



# UV Physics and String Inflation

Gary Shiu

University of Wisconsin-Madison





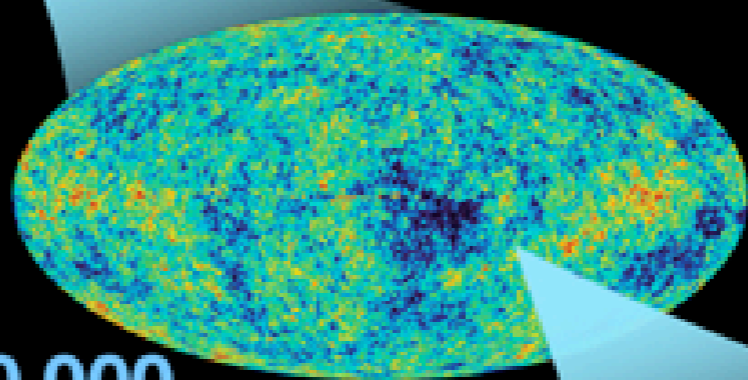


**DAWN  
OF  
TIME**



**tiny fraction  
of a second**

**inflation**



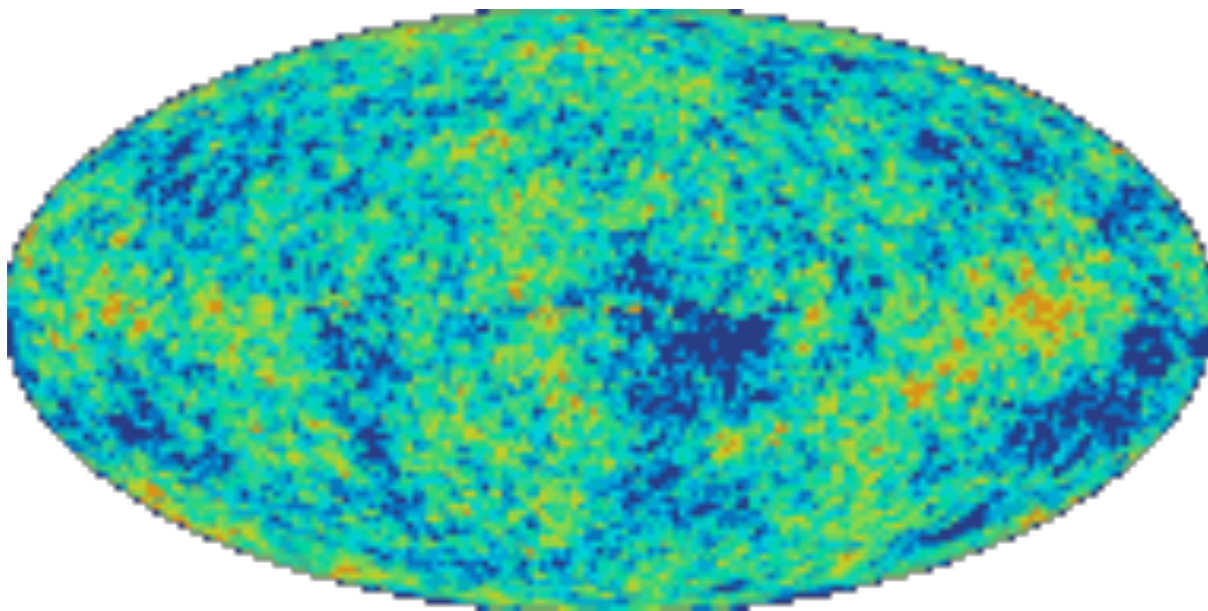
**380,000  
years**

**13.7  
billion  
years**

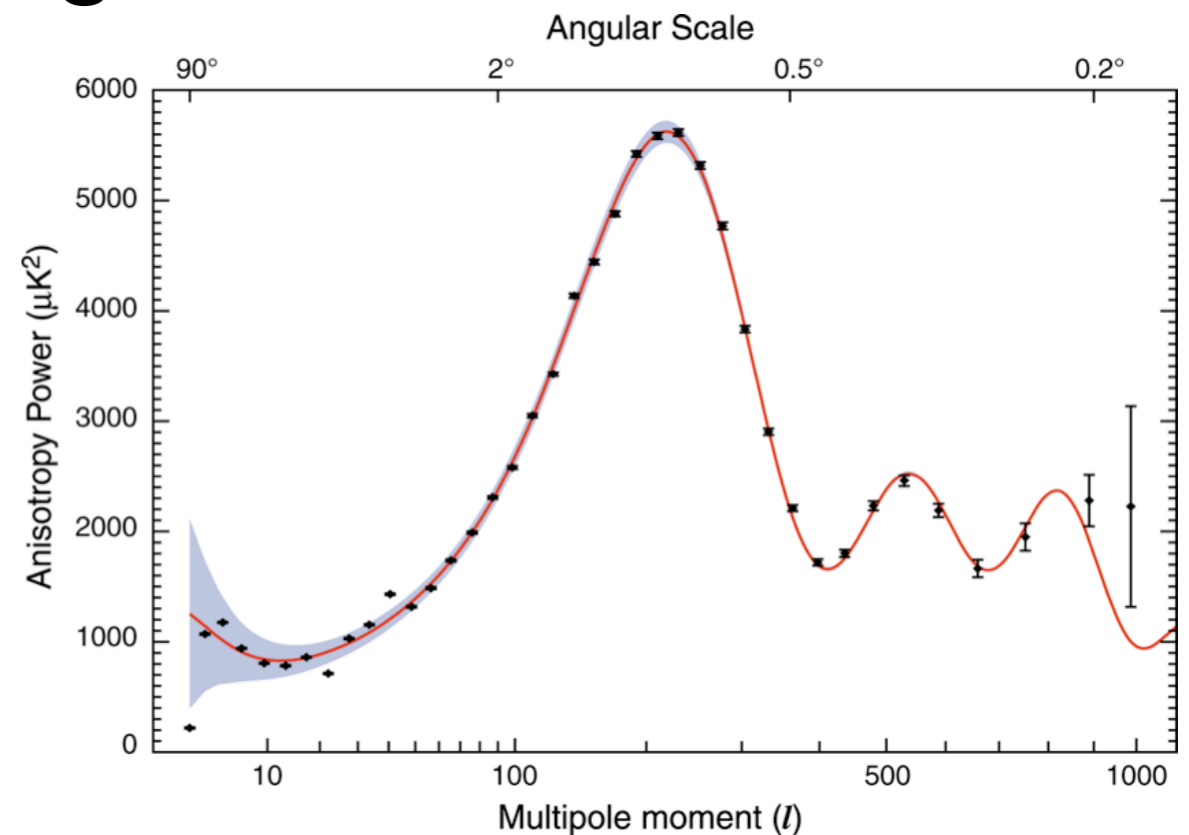


# Inflation and UV Physics

- Almost **scale invariant, Gaussian** primordial spectrum predicted by inflation: good agreement with data.



WMAP



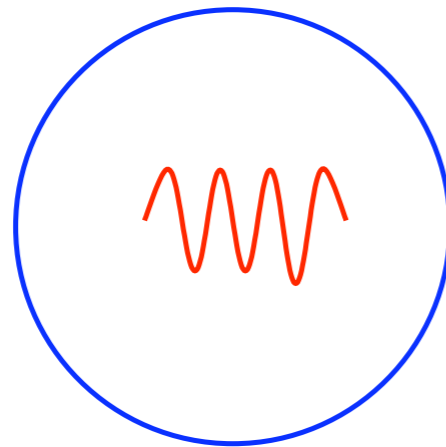
- Tantalizing upper bound on the inflaton energy density:

$$V \leq M_{GUT}^4 \sim (10^{16} \text{ GeV})^4, \text{ i.e., } H \leq 10^{14} \text{ GeV}$$



# Inflation as a Short Distance Probe

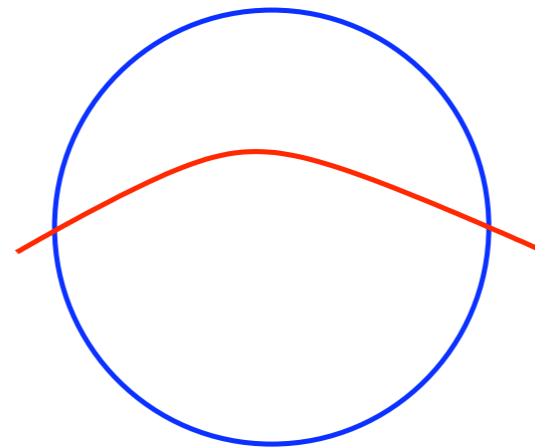
Quantum Fluctuations



$$H^{-1} \sim \text{constant}$$

$$\lambda < H^{-1}$$

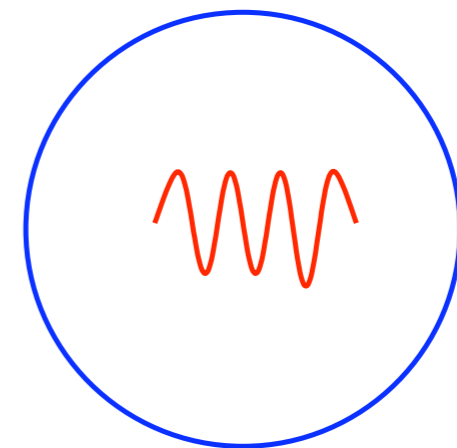
"Freeze In"



$$H^{-1} \sim \text{constant}$$

$$\lambda \sim H^{-1}$$

Structure



$$H^{-1} \text{ increases}$$

$$\lambda < H^{-1}$$

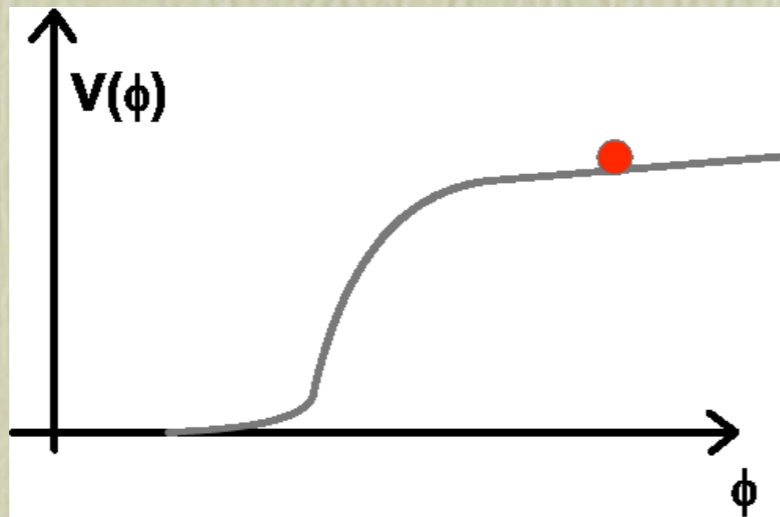
## Imprints of short distance physics

[Brandenberger];[Chu, Greene, GS];[Easter, Greene, Kinney, GS];  
[Kaloper, Kleban, Lawrence, Shenker, Susskind];[Einhorn, Larsen][Danielsson];  
[Goldstein, Lowe];[Burgess, Cline, Holman];[Schalm, GS, van der Schaar], ...



# Example 1: Eta Problem

- In a wide class of models, the inflaton potential takes a peculiar shape:



$$\epsilon = \frac{1}{2} M_P^2 \left( \frac{V'}{V} \right)^2 \ll 1$$

$$\eta = M_P^2 \frac{V''}{V} \ll 1$$

- Dimension 6, Planck suppressed operators can stop inflation:

$$\delta V \sim \frac{V}{M_P^2} \phi^2$$

- A sufficient degree of UV completeness is needed to estimate such corrections.



# Example 2: Tensor Modes

- Lyth bound:  $\frac{\Delta\phi}{M_P} \sim \sqrt{\frac{r}{0.05}}$
- A detection of primordial gravitational wave will imply the inflaton rolled over super-Planckian distances in field space.
- Naturalness suggests order one corrections to inflaton potential, unless UV completion shows otherwise.

$$V(\phi) = V_{\text{renormalizable}}(\phi) + \phi^4 \sum_{n \geq 1} c_n \left( \frac{\phi}{M_P} \right)^n$$



# Example 3: Non-Gaussianities

- Models of large non-Gaussianities tend to involve crucially higher derivative terms. [Chen, Huang, Kachru, GS]
- Models of this sort have been proposed:

• K-inflation

Mukhanov

• DBI inflation

Silverstein, Tong

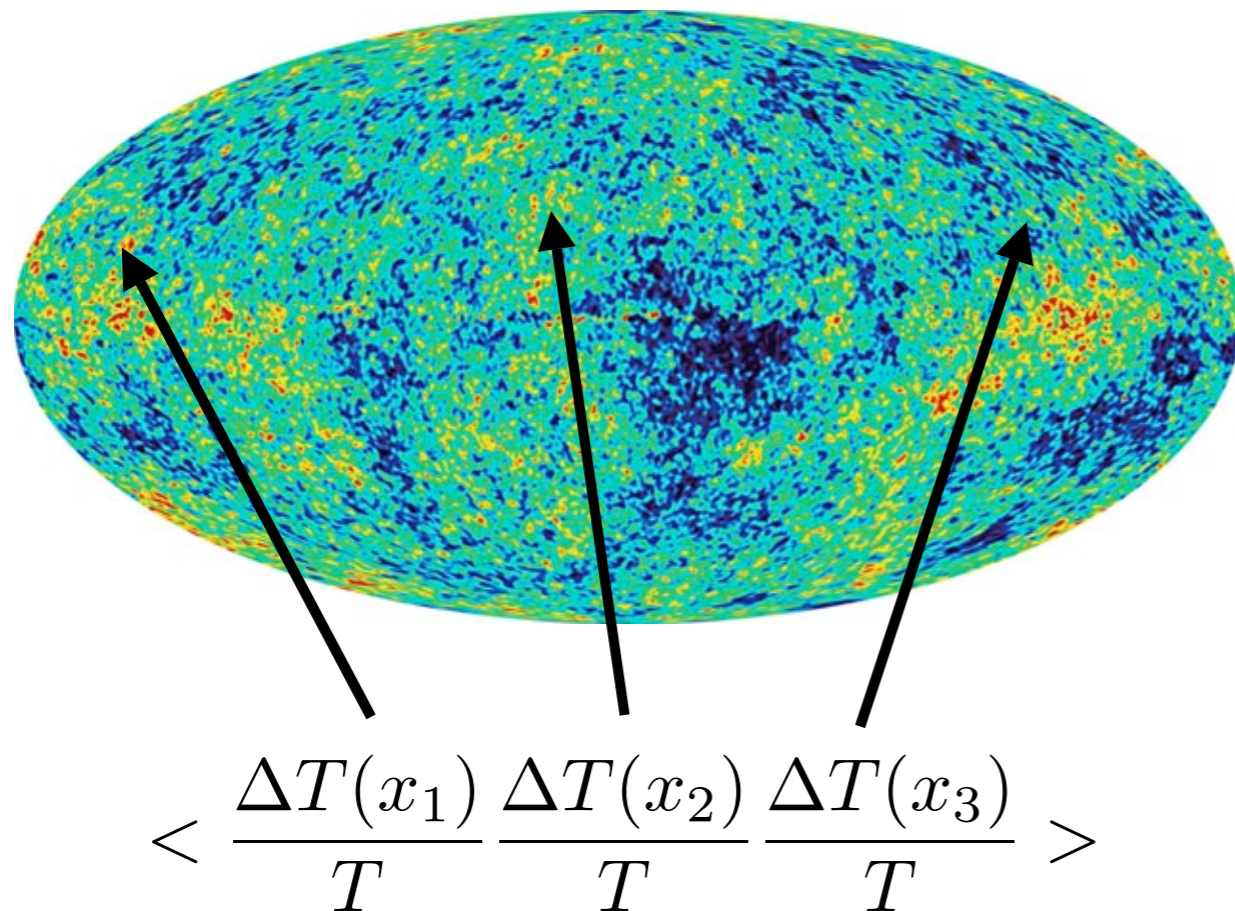
• Ghost inflation

Arkani-Hamed, Creminelli,  
Mukohyama, Zaldarriaga

- UV completion is needed to argue why some terms suppressed by a high mass scale are present while others are absent.



# More about Non-Gaussianities



Size of 3-point function:

$$f_{NL} \sim \frac{\text{Bispectrum}}{(\text{Power Spectrum})^2}$$

For slow-roll:

$$f_{NL} \sim \mathcal{O}(\epsilon)$$

Maldacena 02  
Acquaviva et al 02

**Bispectrum:**

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = (2\pi)^3 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) F(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3)$$

**Power spectrum:**

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \rangle \sim \delta^3(\mathbf{k}_1 + \mathbf{k}_2) \frac{P_k^\zeta}{k_1^3}$$

Bispectrum gives much richer info because of its shape.



# General Results

Chen, Huang, Kachru, GS

- General kinetic term:

$$\mathcal{L}(\phi, X) \quad \text{where} \quad X = \frac{1}{2}g_{\mu\nu}\partial^\mu\phi\partial^\nu\phi$$

- Bi-spectrum depends on 5 parameters:

$$c_s^2 = \frac{\mathcal{L}_{,X}}{\mathcal{L}_{,X} + 2X\mathcal{L}_{,XX}} \quad \epsilon = -\frac{\dot{H}}{H^2}$$
$$\lambda/\Sigma = \frac{X^2\mathcal{L}_{,XX} + \frac{2}{3}X^3\mathcal{L}_{,XXX}}{X\mathcal{L}_{,X} + 2X^2\mathcal{L}_{,XX}} \quad \eta = \frac{\dot{\epsilon}}{\epsilon H}$$

$$s = \frac{\dot{c}_s}{c_s H}$$

Large non-Gaussianities



small  $c_s$  or large  $\lambda/\Sigma$



## Final Results (Chen, Huang, Kachru, GS, 06)

- The 3-pt function for a general single field inflation to  $\mathcal{O}(\epsilon)$ :

$$\begin{aligned} \langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3) \rangle &= (2\pi)^7 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) (\tilde{P}_K^\zeta)^2 \frac{1}{\prod_i k_i^3} \\ &\times (\mathcal{A}_\lambda + \mathcal{A}_c + \mathcal{A}_o + \mathcal{A}_\epsilon + \mathcal{A}_\eta + \mathcal{A}_s) , \end{aligned}$$

where we have decomposed the shape into six parts ( $K \equiv k_1 + k_2 + k_3$ )

$$\begin{aligned} \mathcal{A}_\lambda &= \left( \frac{1}{c_s^2} - 1 - \frac{\lambda}{\Sigma} [2 - (3 - 2\mathbf{c}_1)l] \right)_K \frac{3k_1^2 k_2^2 k_3^2}{2K^3} , \\ \mathcal{A}_c &= \left( \frac{1}{c_s^2} - 1 \right)_K \left( -\frac{1}{K} \sum_{i>j} k_i^2 k_j^2 + \frac{1}{2K^2} \sum_{i \neq j} k_i^2 k_j^3 + \frac{1}{8} \sum_i k_i^3 \right) , \\ \mathcal{A}_o &= \left( \frac{1}{c_s^2} - 1 - \frac{2\lambda}{\Sigma} \right)_K (\epsilon F_{\lambda\epsilon} + \eta F_{\lambda\eta} + s F_{\lambda s}) \\ &\quad + \left( \frac{1}{c_s^2} - 1 \right)_K (\epsilon F_{c\epsilon} + \eta F_{c\eta} + s F_{cs}) , \\ \mathcal{A}_\epsilon &= \epsilon \left( -\frac{1}{8} \sum_i k_i^3 + \frac{1}{8} \sum_{i \neq j} k_i k_j^2 + \frac{1}{K} \sum_{i>j} k_i^2 k_j^2 \right) , \\ \mathcal{A}_\eta &= \eta \left( \frac{1}{8} \sum_i k_i^3 \right) , \\ \mathcal{A}_s &= s F_s . \end{aligned}$$

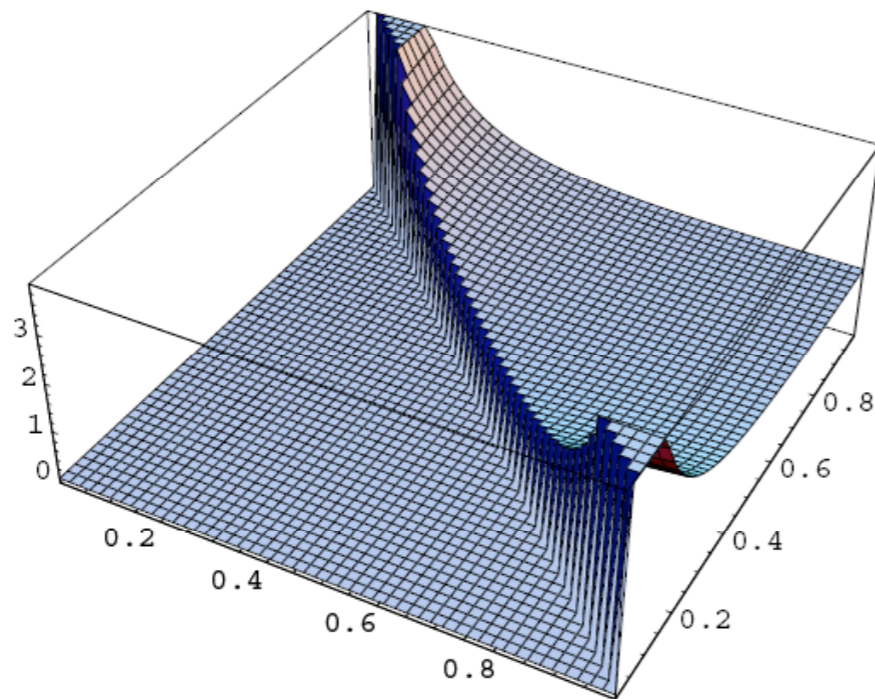
- Completely specified by 5 parameters:  $c_s$  ,  $\frac{\lambda}{\Sigma}$  ,  $\epsilon$  ,  $\eta$  ,  $s$ .



# Shape of Non-Gaussianities

(Babich, Creminelli, Zaldarriaga, 04; Chen, Huang, Kachru, GS, 06)

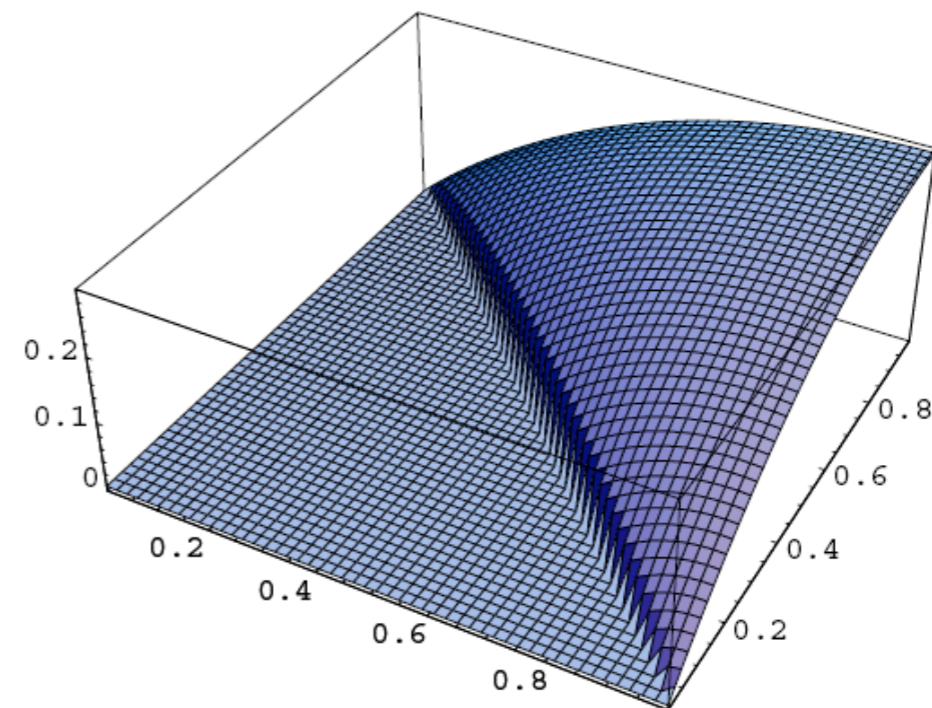
Plot  $\mathcal{A}(1, x_2, x_3)/x_2x_3$



Local shape (Slow-roll)

$\epsilon, \eta, s$

$$f_{NL} \sim \mathcal{O}(\epsilon)$$



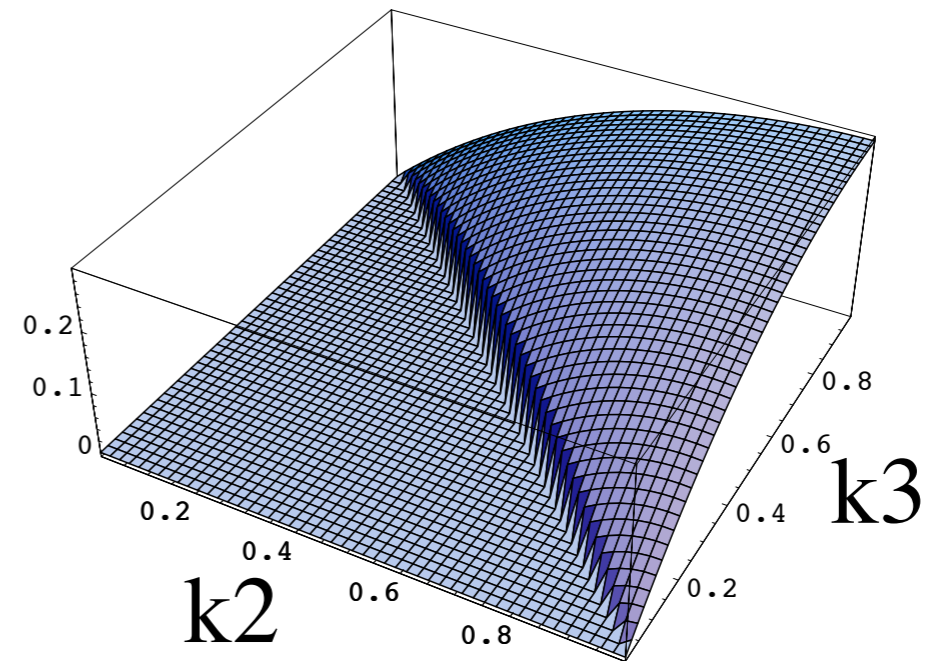
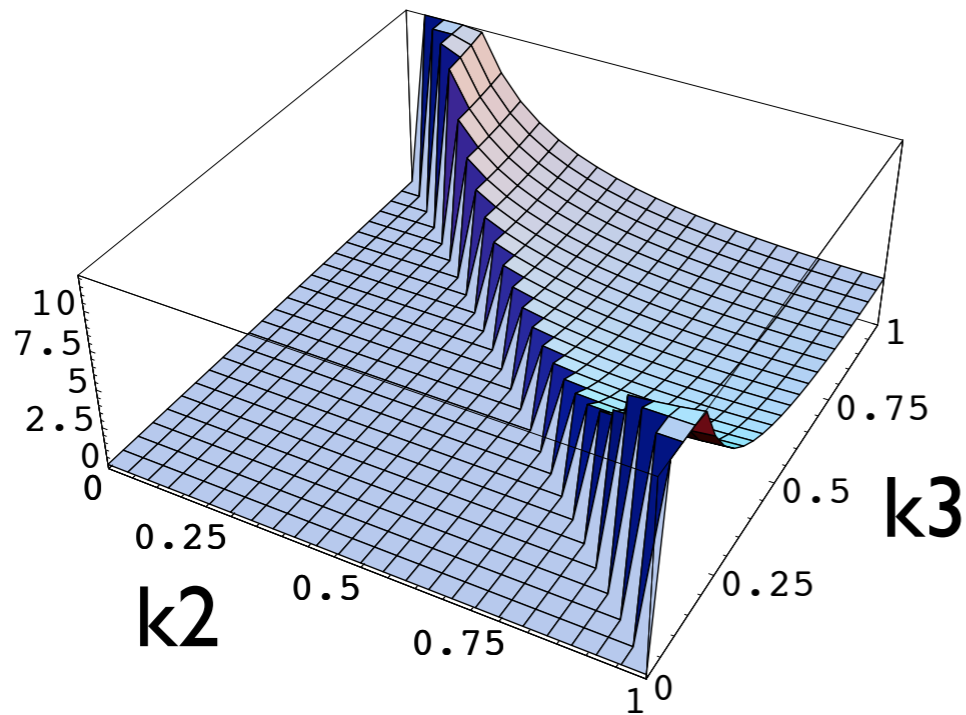
Equilateral shape (e.g., DBI)

$c_s, \lambda$

$$f_{NL} \sim \mathcal{O}(c_s^{-2})$$



# Experimental Bound



**Current bound (WMAP5):**

$$-9 < f_{NL} < 111 \text{ at } 95\% \text{ C.L.}$$

$$-151 < f_{NL} < 253 \text{ at } 95\% \text{ C.L.}$$

**Future expectation:**

$$|f_{NL}(\text{local})| \leq 20 \text{ (WMAP)}$$

$$|f_{NL}(\text{local})| \leq 5 \text{ (PLANCK)}$$




# UV Physics & String Inflation

- All these UV questions about inflation boil down to a controllable effective theory.
- Answers to these questions have important observational consequences as well.
- In addition to the usual  $\alpha'$  &  $g_s$  corrections, there is yet another expansion parameter in warped compactifications:  $g_s N \alpha'$
- Warping ubiquitous in string inflation models: important to understand such corrections.



# A Gentle Landscape

A wide, flat landscape with sparse green and brown vegetation under a blue sky with scattered white clouds. The foreground is filled with low-lying, scrubby plants in shades of green and brown, extending to a flat horizon line. The sky is a clear, bright blue, dotted with several fluffy white clouds of varying sizes. The overall scene conveys a sense of a vast, open, and gentle natural environment.





# A Warped Landscape



# Dynamics of Warped Flux Compactifications

GS, Torroba, Underwood, Douglas



# Dynamics of Warped Flux Compactifications

GS, Torroba, Underwood, Douglas



# Dynamics of Warped Flux Compactifications

GS, Torroba, Underwood, Douglas  
(STUD)

See also: Douglas & Torroba



# Warped Kahler Potential

- The warping corrected Kahler potential for the complex moduli sector was conjectured to be:

$$K = -\log \left( \int e^{-4A} \Omega \wedge \bar{\Omega} \right) \Rightarrow G_{\alpha\bar{\beta}} = -\frac{1}{V_W} \int e^{-4A} \chi_\alpha \wedge \chi_{\bar{\beta}}$$

DeWolfe-Giddings

suggested by the fact that

$$V_{CY} = \int d^6 y \sqrt{g_6} \rightarrow V_W = \int d^6 y \sqrt{\tilde{g}_6} e^{-4A(y)}$$

- For the warped deformed conifold:

$$G_{S\bar{S}} = -\partial_S \partial_{\bar{S}} K = \frac{1}{V_W} \left[ c \log \frac{\Lambda_0^3}{|S|} + c' \frac{(g_s N \alpha')^2}{|S|^{4/3}} \right]$$

Douglas, Shelton, Torroba

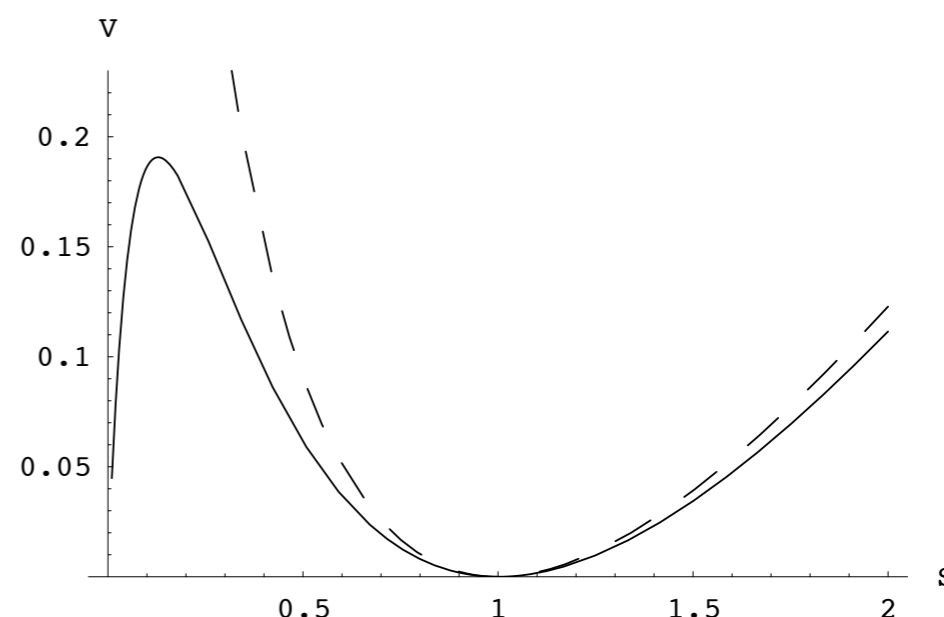


# Applications of Warped EFT

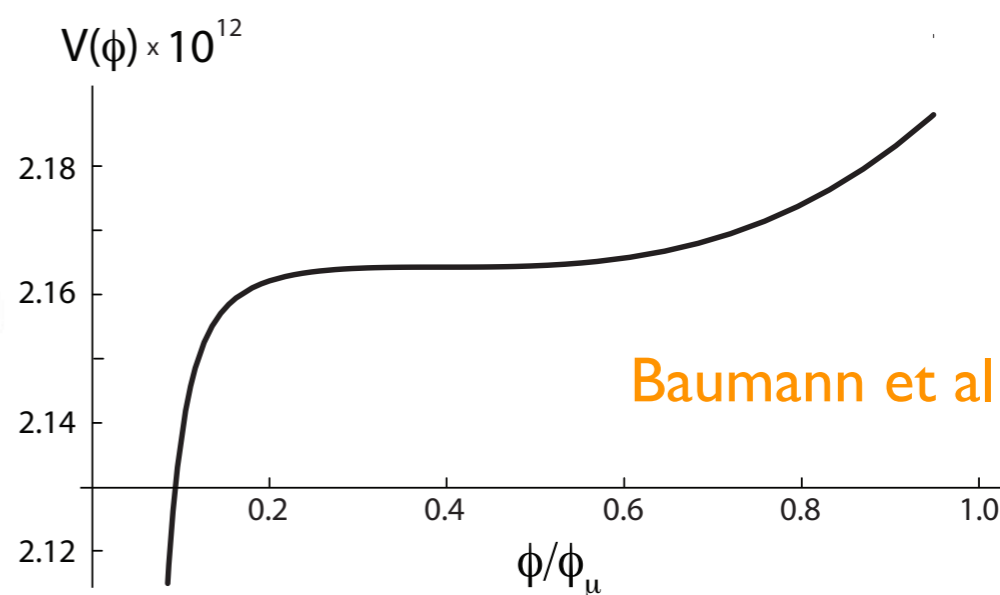
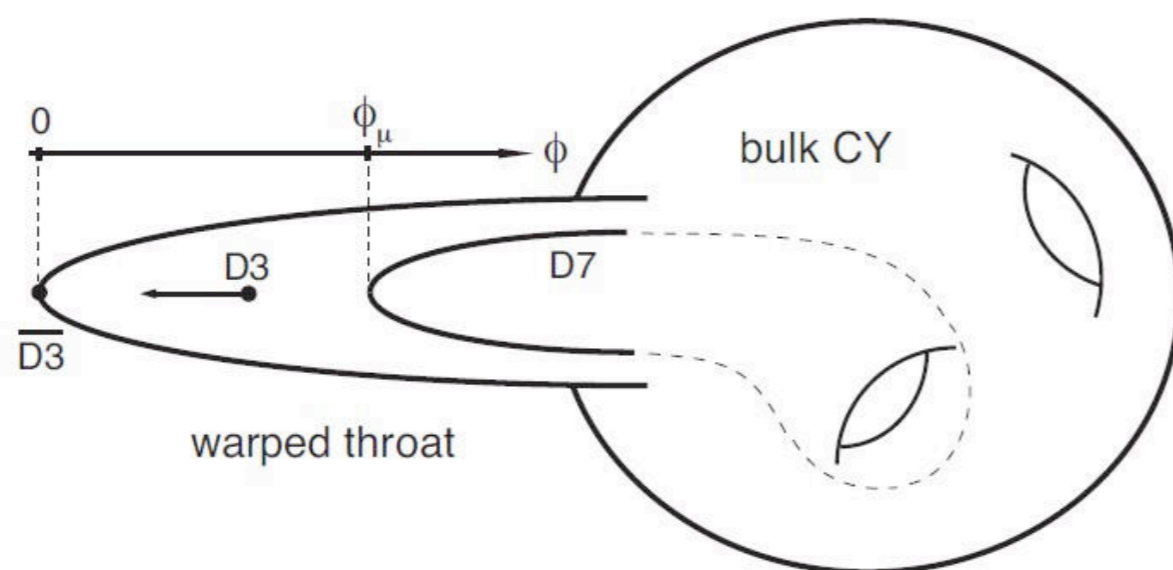
- Moduli (and hierarchy) stabilization potential:

Near the conifold point:

$$V \simeq |S|^{4/3} |D_S W|^2$$



- Inflation potential, soft SUSY breaking terms, etc





# Issues with Strong Warping

D=10 String Theory



Low Energy

D=10 SUGRA  
with fluxes



KK Dimensional Reduction

D=4 N=1  
SUGRA EFT



String vacua, inflation,  
de-Sitter, MSSM...

Ex: GKP and KKLT

Type IIB String Theory in D=10



Low Energy

IIB Supergravity in D=10

$$S_{IIB} = \frac{1}{2\kappa_{10}^2} \int d^{10}x \sqrt{|g|} \left\{ R_{10} - \frac{|G_3|^2}{2\text{Im}\tau} - \frac{1}{4} |\tilde{F}_5|^2 \right\} + \text{CS} + \text{local}$$



KK Dimensional Reduction

N=1 SUGRA in D=4

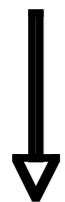
$$K = -3 \log(\rho + \bar{\rho}) - \log(\tau + \bar{\tau}) \\ - \log\left(\int J^3\right) - \log\left(\int \Omega \wedge \bar{\Omega}\right)$$

$$W = \int G_3 \wedge \Omega + W_{np}$$



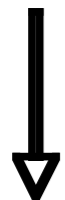
# Issues with Strong Warping

D=10 String Theory



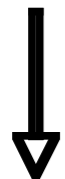
Low  
Energy

D=10 SUGRA  
with fluxes



KK  
Dimensional  
Reduction

D=4 N=1  
SUGRA EFT



String vacua, inflation,  
de-Sitter, MSSM...

Many subtleties with warped KK reduction:

- General KK ansatz (compensators)
- Mixing/sourcing of KK modes with moduli
- Backreaction of moduli on warp factor
- 10D Gauge redundancies
- 10D Constraint equations

In *warped backgrounds* these issues  
are all highly coupled to each other!

# KK Scale in Warped Background

Moduli

KK modes

Unwarped

$$m_z^2 \sim \frac{1}{\alpha'}$$

$$m_{KK}^2 \sim \frac{1}{L^2}$$



# KK Scale in Warped Background

Moduli

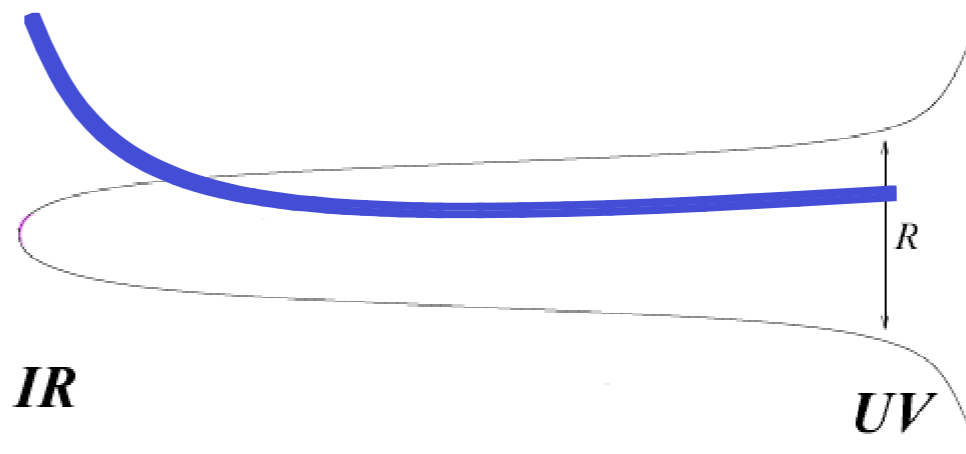
KK modes

Unwarped

$$m_z^2 \sim \frac{1}{\alpha'}$$

$$m_{KK}^2 \sim \frac{1}{L^2}$$

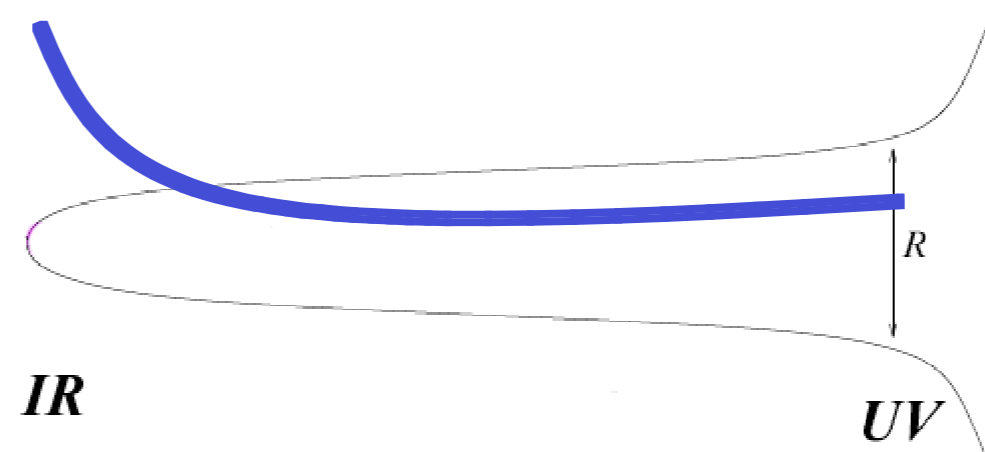
Strong warping



*Bulk  
Manifold*

DeWolfe, Giddings;  
Giddings, Maharana;  
Frey, Maharana;  
Burgess, Camara, de  
Alwis, Giddings,  
Maharana, Quevedo,  
Suruliz; ...

# KK Scale in Warped Background

	Moduli	KK modes
Unwarped	$m_z^2 \sim \frac{1}{\alpha'}$	$m_{KK}^2 \sim \frac{1}{L^2}$
Strong warping		

Fields localize to region of strong warping.

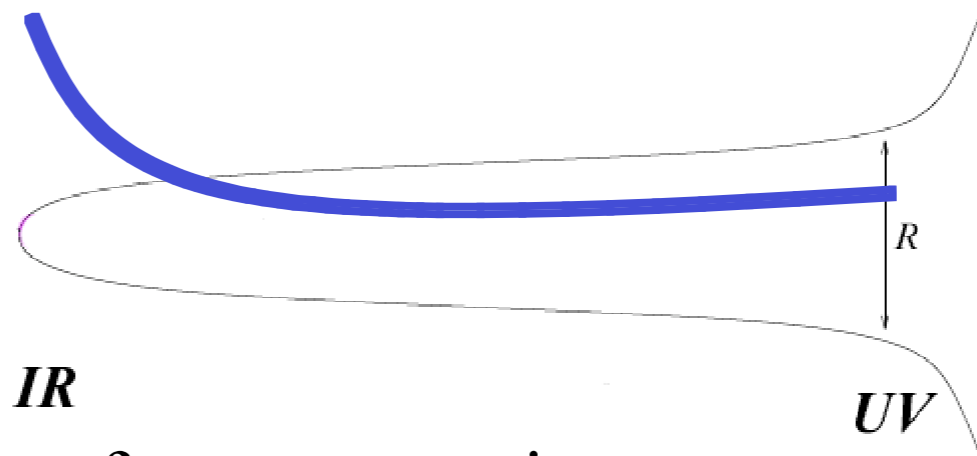
DeWolfe, Giddings;  
Giddings, Maharana;  
Frey, Maharana;  
Burgess, Camara, de  
Alwis, Giddings,  
Maharana, Quevedo,  
Suruliz; ...



# KK Scale in Warped Background

	Moduli	KK modes
Unwarped	$m_z^2 \sim \frac{1}{\alpha'}$	$m_{KK}^2 \sim \frac{1}{L^2}$

Strong warping



DeWolfe, Giddings;  
Giddings, Maharana;  
Frey, Maharana;  
Burgess, Camara, de  
Alwis, Giddings,  
Maharana, Quevedo,  
Suruliz; ...

Fields localize to region of strong warping.

Masses  
redshifted

$m_z^2 \sim e^{2A_0} \frac{1}{\alpha'}$	$m_{KK}^2 \sim e^{2A_0} \frac{1}{\alpha'}$
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# KK Scale in Warped Background

Moduli

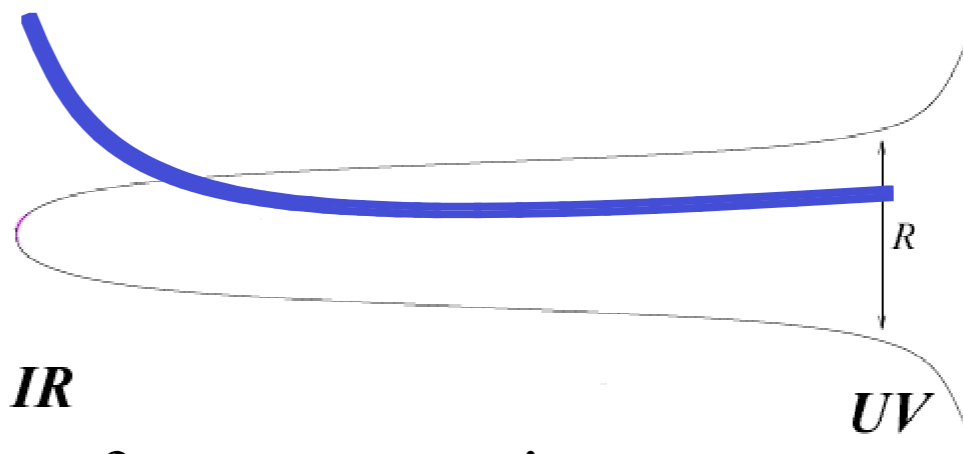
KK modes

Unwarped

$$m_z^2 \sim \frac{1}{\alpha'}$$

$$m_{KK}^2 \sim \frac{1}{L^2}$$

Strong warping



DeWolfe, Giddings;  
Giddings, Maharana;  
Frey, Maharana;  
Burgess, Camara, de  
Alwis, Giddings,  
Maharana, Quevedo,  
Suruliz; ...

Fields localize to region of strong warping.

Masses  
redshifted

$$m_z^2 \sim e^{2A_0} \frac{1}{\alpha'}$$

$$m_{KK}^2 \sim e^{2A_0} \frac{1}{\alpha'}$$

No mass hierarchy between moduli and KK modes for integrating out heavy fields.



# Warped Kahler Potential

Previous proposal: (DeWolfe, Giddings)

$$K = -\log \left( \int e^{-4A} \Omega \wedge \bar{\Omega} \right) \Rightarrow G_{\alpha\bar{\beta}} = -\frac{1}{V_W} \int e^{-4A} \chi_\alpha \wedge \chi_{\bar{\beta}}$$

did not account for all these subtle issues with warping.

Ansatz for fluctuations: (DeWolfe, Giddings)

$$ds^2 = e^{2A} \eta_{\mu\nu} dx^\mu dx^\nu + e^{-2A} (\tilde{g}_{mn} + \delta \tilde{g}_{mn}) dy^m dy^n$$

... does not solve 10D EOM! Giddings, Maharana; STUD

More general ansatz does, but extremely messy ...

$$ds_{10}^2 \rightarrow ds_{10}^2 + 2\partial_\mu \partial_\nu S^\alpha e^{2A} K_\alpha(y) dx^\mu dx^\nu + 2e^{2A} B_{\alpha m}(y) \partial_\mu S^\alpha dx^\mu dy^m .$$

# Linearized Einstein Equations

$$\begin{aligned} \delta G_\nu^\mu = & \delta_\nu^\mu u^I \delta_I \left\{ e^{2A} \left[ -2\tilde{\nabla}^2 A + 4(\tilde{\nabla} A)^2 - \frac{1}{2}\tilde{R} \right] \right\} + e^{-2A} (\partial^\mu \partial_\nu u^I - \delta_\nu^\mu \square u^I) (4\delta_I A - \frac{1}{2}\delta_I \tilde{g}) \\ & + (\partial^\mu \partial_\nu u^I - \delta_\nu^\mu \square u^I) e^{2A} \tilde{\nabla}^p (B_{Ip} - \partial_p K_I) \\ & + e^{-2A} f^K \delta_K G_\nu^{(4)\mu} - \frac{1}{2} (\delta_K g_\nu^\mu - \delta_\nu^\mu \delta_K g^\lambda) e^{2A} \tilde{\nabla}^2 f^K , \end{aligned} \quad (\text{A.14})$$

$$\begin{aligned} \delta G_m^\mu = \delta R_m^\mu = & e^{-2A} \partial^\mu u^I \left\{ 2\partial_m \delta_I A - 8\partial_m A \delta_I A - \frac{1}{2}\partial_m \delta_I \tilde{g} + \partial_m A \delta_I \tilde{g} \right. \\ & - 2\partial^{\tilde{p}} A \delta_I \tilde{g}_{mp} + \frac{1}{2}\tilde{\nabla}^p \delta_I \tilde{g}_{mp} \\ & - \frac{1}{2}\tilde{\nabla}^p \left[ e^{4A} (\tilde{\nabla}_p B_{Im} - \tilde{\nabla}_m B_{Ip}) \right] + 2(\partial_m A B_{Ip} - \partial_p A B_{Im}) \tilde{\nabla}^p e^{4A} \\ & \left. + \frac{1}{2} e^{8A} B_{Im} \tilde{\nabla}^2 e^{-4A} - e^{4A} \tilde{R}_m^n B_{In} \right\} , \end{aligned} \quad (\text{A.15})$$

$$\begin{aligned} \delta G_n^m = & u^I \delta_I \left\{ e^{2A} \left[ \tilde{G}_n^m + 4(\tilde{\nabla} A)^2 \delta_n^m - 8\nabla_n A \tilde{\nabla}^m A \right] \right\} - \frac{1}{2} e^{-2A} \square u^I \tilde{g}^{mk} \delta_I \tilde{g}_{kn} \\ & + \delta_n^m e^{-2A} \square u^I (-2\delta_I A + \frac{1}{2}\delta_I \tilde{g}) \\ & \square u^I \left( \frac{1}{2} e^{-2A} \left\{ \tilde{\nabla}^m [e^{4A} (B_{In} - \partial_n K_I)] + \tilde{\nabla}_n [e^{4A} (B_I^{\tilde{m}} - \partial^{\tilde{m}} K_I)] \right\} \right. \\ & \left. - \delta_n^m \tilde{\nabla}^p [e^{2A} (B_{Ip} - \partial_p K_I)] \right) \\ & + \frac{1}{2} \delta_K g_\mu^\mu \left\{ -\frac{1}{2} e^{-2A} \left[ \tilde{\nabla}^m (e^{4A} \partial_n f^K) + \tilde{\nabla}_n (e^{4A} \partial^{\tilde{m}} f^K) \right] + \delta_n^m \tilde{\nabla}^p [e^{2A} \partial_p f^K] \right\} \\ & - \frac{1}{2} \delta_n^m f^K e^{-2A} \delta_K R^{(4)} . \end{aligned} \quad (\text{A.16})$$

$$\delta T_\nu^\mu = -\delta_\nu^\mu \frac{1}{4\kappa_{10}^2} \left\{ u^I \delta_I \left[ e^{-6A} (\tilde{\nabla} \alpha)^2 \right] - 2e^{-6A} \square u^I S_{Im} \partial^{\tilde{m}} \alpha - 2\square u^I K_I e^{-6A} (\tilde{\nabla} \alpha)^2 \right\} , \quad (\text{A.37})$$

$$\delta T_m^\mu = \frac{1}{2\kappa_{10}^2} \partial^\mu u^I e^{-6A} [\partial_m S_{Ip} - \partial_p S_{Im} + \partial_m \alpha B_{Ip} - \partial_p \alpha B_{Im}] \partial^{\tilde{p}} \alpha , \quad (\text{A.38})$$

$$\delta G_N^M = \kappa_{10}^2 \delta T_N^M$$

$$\begin{aligned} \delta T_n^m = & -\frac{1}{2\kappa_{10}^2} u^I \delta_I \left\{ e^{-6A} \left[ \partial_n \alpha \partial^{\tilde{m}} \alpha - \frac{1}{2} \delta_n^m (\tilde{\nabla} \alpha)^2 \right] \right\} \\ & + \frac{e^{-6A}}{2\kappa_{10}^2} \square u^I \left\{ S_{In} \partial^{\tilde{m}} \alpha + \partial_n \alpha S_I^{\tilde{m}} - \delta_n^m S_{Ip} \partial^{\tilde{p}} \alpha + 2K_I \left[ \partial_n \alpha \partial^{\tilde{m}} \alpha - \frac{1}{2} \delta_n^m (\tilde{\nabla} \alpha)^2 \right] \right\} . \end{aligned} \quad (\text{A.39})$$



# Gauge Invariance & Compensators

Previous proposal: (DeWolfe, Giddings)

$$K = -\log \left( \int e^{-4A} \Omega \wedge \bar{\Omega} \right) \Rightarrow G_{\alpha\bar{\beta}} = -\frac{1}{V_W} \int e^{-4A} \chi_\alpha \wedge \chi_{\bar{\beta}}$$

is not diffeomorphism invariant:

$$\chi \rightarrow \chi + d\alpha$$

This turns out to be equivalent to the failure of the metric ansatz in solving the EOM.

Need extra terms proportional to  $\partial_\mu S^\alpha$

$$ds_{10}^2 \rightarrow ds_{10}^2 + 2\partial_\mu \partial_\nu S^\alpha e^{2A} K_\alpha(y) dx^\mu dx^\nu + 2e^{2A} B_{\alpha m}(y) \partial_\mu S^\alpha dx^\mu dy^m .$$

metric compensators

(Analogously, also flux compensators)

# Compensators in E&M

Consider a U(1) gauge field:

$$S = -\frac{1}{4} \int d^{10}x \sqrt{g_{10}} F^{MN} F_{MN}$$

and a family of solutions to  $D^M F_{MN} = 0$

parametrized by moduli  $u^I$ :  $A_M = (A_\mu = 0, A_i(y; u))$

Promoting  $u^I \rightarrow u^I(x)$ , the kinetic terms give:

$$G_{IJ} = \int d^6y \sqrt{g_6} g^{ij} \frac{\partial A_i}{\partial u^i} \frac{\partial A_j}{\partial u^J}$$

not gauge invariant under  $\delta A_i = \partial_i \epsilon$



# Compensators in E&M

The error is in assuming that:  $A_\mu = 0$   
still holds for time-dependent moduli.

This is incorrect because the 10D EOM:

$$D^M F_{M\mu} = 0 \Rightarrow \partial_\mu \partial^i A_i = \partial^i \partial_i A_\mu$$

cannot be solved by:  $\partial_\mu A_i \neq 0$  ,  $A_\mu = 0$

Instead, the time-dependence forces a non-zero:

$$A_\mu = \Omega_I \partial_\mu u^I , \quad \partial^i \partial_i \Omega_I = \partial^i \frac{A_i}{\partial u^I}$$

$\Omega_I$  : compensator field

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Constraint equations:  
no second order  
time derivatives

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Instead, the time-dependence forces a non-zero:

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# Compensators in E&M

Effect of compensator on dimensionally reduced action:

$$\frac{\partial A_i}{\partial u^I} \rightarrow \delta_I A_i \equiv \frac{\partial A_i}{\partial u^I} - \partial_i \Omega_I \text{ so that } \partial^i (\delta_I A_i) = 0$$

Compensator puts  $\delta_I A_i$  back into harmonic gauge.

The field space metric is simply:

$$G_{IJ} = \int d^6 y \sqrt{g_6} g^{ij} \delta_I A_i \delta_J A_j$$

Natural mathematical definition (Singer): fluctuation  $\delta_I A_i$  orthogonal to gauge transformation, w.r.t  $G_{IJ}$

# Warped Compactifications

Time-dependence of moduli sources off-diagonal metric:

$$ds_{10}^2 = e^{2A(y;u)} g_{\mu\nu}(x) dx^\mu dx^\nu + B_j^I(y) \partial_\mu u^I dx^\mu dy^I + g_{ij}(y;u) dy^i dy^j$$

Compensators put metric back into harmonic gauge.

Hard to generalize YM approach. Two strategies:

- **Lagrangian:** gauge-fixed metric ( $B_j^I = 0$ , compensator gauge), dimensional reduction with 10D constraints.
- **Hamiltonian:** gauge invariant metric, compensators as Lagrange multipliers enforcing 10D constraints.

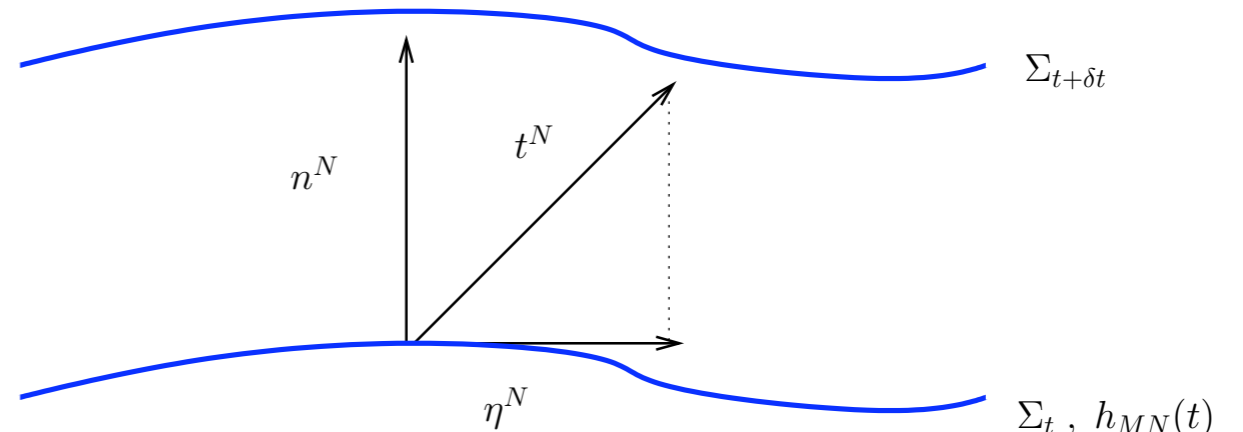


# Hamiltonian of GR

Split metric into:

$h_{MN}$  **space-like piece**

$\eta_N$  **tangential shift**



Extrinsic curvature:

$$K_{MN} = \frac{1}{2} (g^{tt})^{1/2} \left( \dot{h}_{MN} - \nabla_M \eta_N - \nabla_N \eta_M \right)$$

Canonical momentum:

$$\pi_{MN} = \frac{\partial \mathcal{L}_{EH}}{\partial \dot{h}_{MN}} = h^{1/2} (K_{MN} - h_{MN} K)$$

**Hamiltonian:**

$$\mathcal{H}_G = \sqrt{-g_D} \left( -R^{(D-1)} + h^{-1} \pi^{MN} \pi_{MN} - \frac{1}{D-2} h^{-1} \pi^2 \right) - 2\eta_N \nabla_M (\pi^{MN})$$

$\eta_N$  = Lagrange multipliers enforcing the constraints:

$$\nabla_M (\pi^{MN}) = 0$$

# Kinetic Terms

Here, time-dependence of  $h_{MN}$  only implicit through  $u^I(x)$

Computing the shift vectors:  $\eta^i = B_I^i \dot{u}^I$

Therefore, compensators = Lagrange multipliers of  $\mathcal{H}_G$ !

The dynamical variables of H define the metric fluctuations:

$$K_{MN} \sim \dot{u}^I \delta_I h_{MN} \equiv \dot{u}^I \frac{\partial h_{MN}}{\partial u^I} - \nabla_M \eta_N - \nabla_N \eta_M$$

$$\pi_{MN} \sim \dot{u}^I \delta_I \bar{h}_{MN} \equiv \dot{u}^I (\delta_I h_{MN} - h_{MN} \delta_I h)$$

Only effect of compensators is to shift  $\partial_I h_{MN} \rightarrow \delta_I h_{MN}$

(“physical” variation) & enforce constraints:  $\nabla^M (\delta_I \bar{h}_{MN}) = 0$



# Kinetic Terms

**Kinetic term of Hamiltonian:**  $\mathcal{H}_{\text{kin}}(\dot{u}, \dot{u}) = G_{IJ}(u) \dot{u}^I \dot{u}^J$

$$G_{IJ}(u) = \int d^{D-1}x \sqrt{-g_D} g^{tt} \delta_I h^{MN} \delta_J \bar{h}_{MN}$$

**The constraints:**  $\nabla^M (\delta_I \bar{h}_{MN}) = 0$

imply that physical fluctuations are orthogonal to gauge transformations:

$$\mathcal{H}_{\text{kin}}(\nabla \epsilon, \delta h) = 0$$

Equivalently: the constraints minimize  $G_{IJ}$  over each gauge orbit.

# Applications: Warped Compactifications

Conformal Calabi-Yau background:

$$ds_{10}^2 = e^{2A(y;u)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{-2A(y;u)} \tilde{g}_{mn}(y;u) dy^m dy^n$$

Constraint equations:

$$(1) \quad \delta A = \frac{1}{8} \delta \tilde{g} \Leftrightarrow \text{Invariance of } V_W = \int d^6 y \sqrt{\tilde{g}_6} e^{-4A}$$

$$(2) \quad \tilde{\nabla}^{\tilde{m}} \left( \delta \tilde{g}_{mn} - \frac{1}{2} \tilde{g}_{mn} \delta \tilde{g} \right) = 4 \partial^{\tilde{m}} A \delta \tilde{g}_{mn}$$

$\Leftrightarrow$  “Warped” Harmonic Gauge Condition

Warped moduli space metric:

$$G_{IJ}(u) = \frac{1}{4V_W} \int d^6 y \sqrt{\tilde{g}_6} e^{-4A} \tilde{g}^{ik} \tilde{g}^{jl} \delta_I \tilde{g}_{ij} \delta_J \tilde{g}_{kl}$$



# Properties of Moduli Space Metric

- Metric fluctuations are orthogonal to gauge transformation w.r.t.  $G_{IJ}$ .
- Warp factor appears in inner product. Metric fluctuations no longer in harmonic gauge.
- Expression differs from the conjectured form:

$$G_{\alpha\bar{\beta}} = -\frac{1}{V_W} \int e^{-4A} \chi_\alpha \wedge \chi_{\bar{\beta}}$$

$\chi_\alpha$  are harmonic forms of the underlying CY.



# Warped Deformed Conifold

- Compute the field space metric for the complex moduli  $S$  in the deformed conifold
- Klebanov-Strassler solution:

$$ds_{10}^2 = \frac{|S|^{2/3}}{(g_s N \alpha')} I(\tau)^{-1/2} \eta_{\mu\nu} dx^\mu dx^\nu + (g_s N \alpha') I(\tau)^{1/2} \left[ \frac{1}{3K(\tau)} (d\tau^2 + (g^5)^2) + K(\tau) \cosh^2\left(\frac{\tau}{2}\right) ((g^3)^2 + (g^4)^2) + K(\tau) \sinh^2\left(\frac{\tau}{2}\right) ((g^1)^2 + (g^2)^2) \right]$$

where  $e^{-4A(\tau)} = \frac{(g_s N \alpha')^2}{|S|^{4/3}} I(\tau)$

- Note 6D metric independent of  $S$ , which only enters the 4D redshift factor.

# Warped Deformed Conifold

- Internal metric fluctuations are completely determined by compensators!

$$\delta_S g_{ij} = -\nabla_i \eta_j - \nabla_j \eta_i$$

- The field space metric then becomes:

$$G_{S\bar{S}} = -\frac{1}{2V_W} \left( \int \prod_i g^i \right) \sqrt{g_6} e^{2A} \eta_i \delta_S \bar{g}^{i\tau} \Big|_{\tau=0}^{\tau=\tau_\Lambda}$$

- Solving compensator equations near IR end:

$$G_{S\bar{S}} = \frac{k}{V_W} \frac{(g_s N \alpha')^2}{|S|^{4/3}}$$

Same qualitative feature as DG, but differs by order one coefficient.



# Warped EFT: Summary

- Many subtle issues need to be taken into account for strong warping - all important and coupled.
- Calculate warping and KK corrections to 4D EFT, Kahler potential differs from previous proposals.
- Future direction: universal Kahler modulus in strong warping. Important for many phenomenological & cosmological applications.



# D7-branes

- \* Moduli Stabilization Kachru, Kallosh, Linde, Trivedi
- \* Vacuum energy uplifting Burgess, Kallosh, Quevedo
- \* Brane Inflation:
  - Brane-antibrane Baumann et al; ...
  - D<sub>3</sub>-D<sub>7</sub> Haack, Kallosh, Linde, Lust, Zaggerman; ...
- Multi-field effects Chen, Gong, GS
- SUSY D<sub>7</sub> in warped deformed conifold Chen, Ouyang, GS

THANKS

