

# **Protection of accelerator hardware : RF systems**

Joint Accelerator School  
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This course will go through some selective topics only  
will not touch details (90 min. course)

RF system layout

arcing/discharge mechanism

possible damages from high intensity beam

discuss about protection

Due to large spread of area expertise between students, the lecture materials are prepared accordingly

One homework for student credit

## HOMEWORK:

A RF structure is running at  $f=200\text{MHz}$  and has a gap ( $g=2\text{ cm}$ ).

A plane parallel geometry is a good approximation for this RF structure.

The electric field between gap is

$$E = E_0 \sin(\omega t)$$

$\omega$ : RF angular frequency

$E_0$ : electric field amplitude

$t$ : time

Assume that electron starts with zero energy at  $t=0$  from one end.

The gap voltage is

$$V_g = E_0 g = \frac{m_e \omega^2 g^2}{\pi e} \frac{1}{(2n - 1)}$$

$g$ : gap length (m)

$m_e$ : electron mass ( $=9.11 \times 10^{-31}\text{ kg}$ )

$e$ : electron charge ( $=1.6 \times 10^{-19}\text{ C}$ )

$n$ : order of multipacting level ( $n=1,2,3,\dots$ )

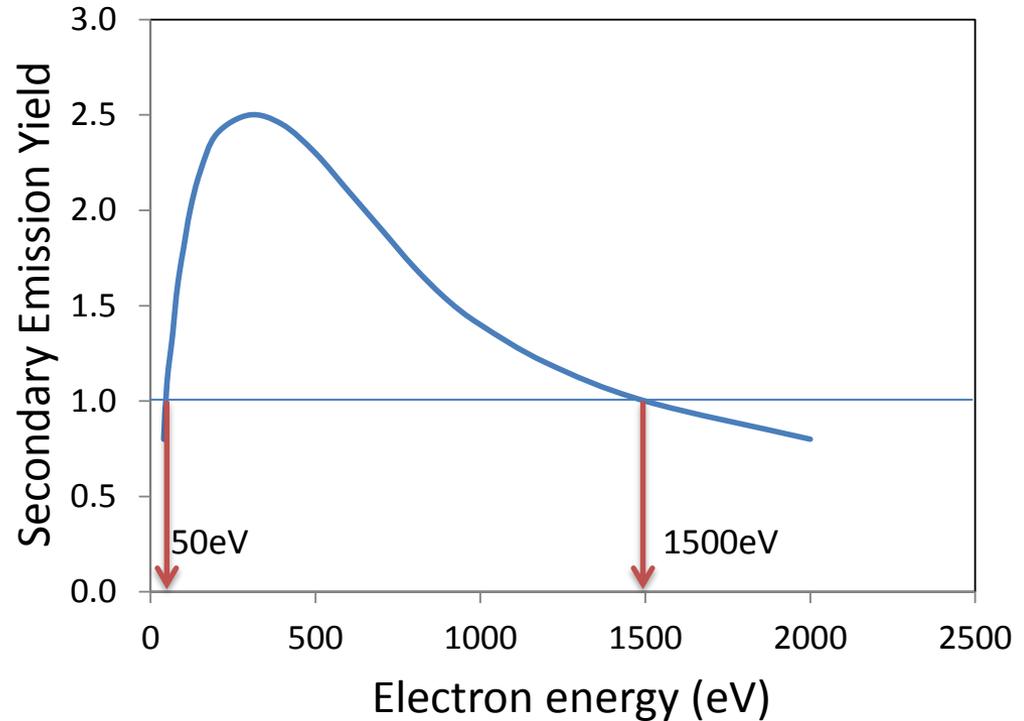
1. Ignore magnetic field effect.

Calculate the gap voltages ( $V_g$ ) and electron impact energies for  $n=1, 2, 3, 4, 5$ .

2. What is the threshold electric field  $E_0$  for no multipacting condition in any order of  $n$ , before knowing secondary emission yield (purely kinematic resonance condition)? Above this threshold no multipacting can occur.

3. The secondary emission yield of the material for this RF structure is shown above.

Which order of multipacting levels would be dangerous?



# RF system layout

# Typical RF system for particle accelerators

RF source; klystrons are the most popular devices for  $f > 300\text{MHz}$  and high power.

Tetrode, solid state amplifier for relatively lower power and/or lower frequency

RF transmission; Waveguides or coaxial lines

Circulator; usually used as an isolator with matched load to protect RF source

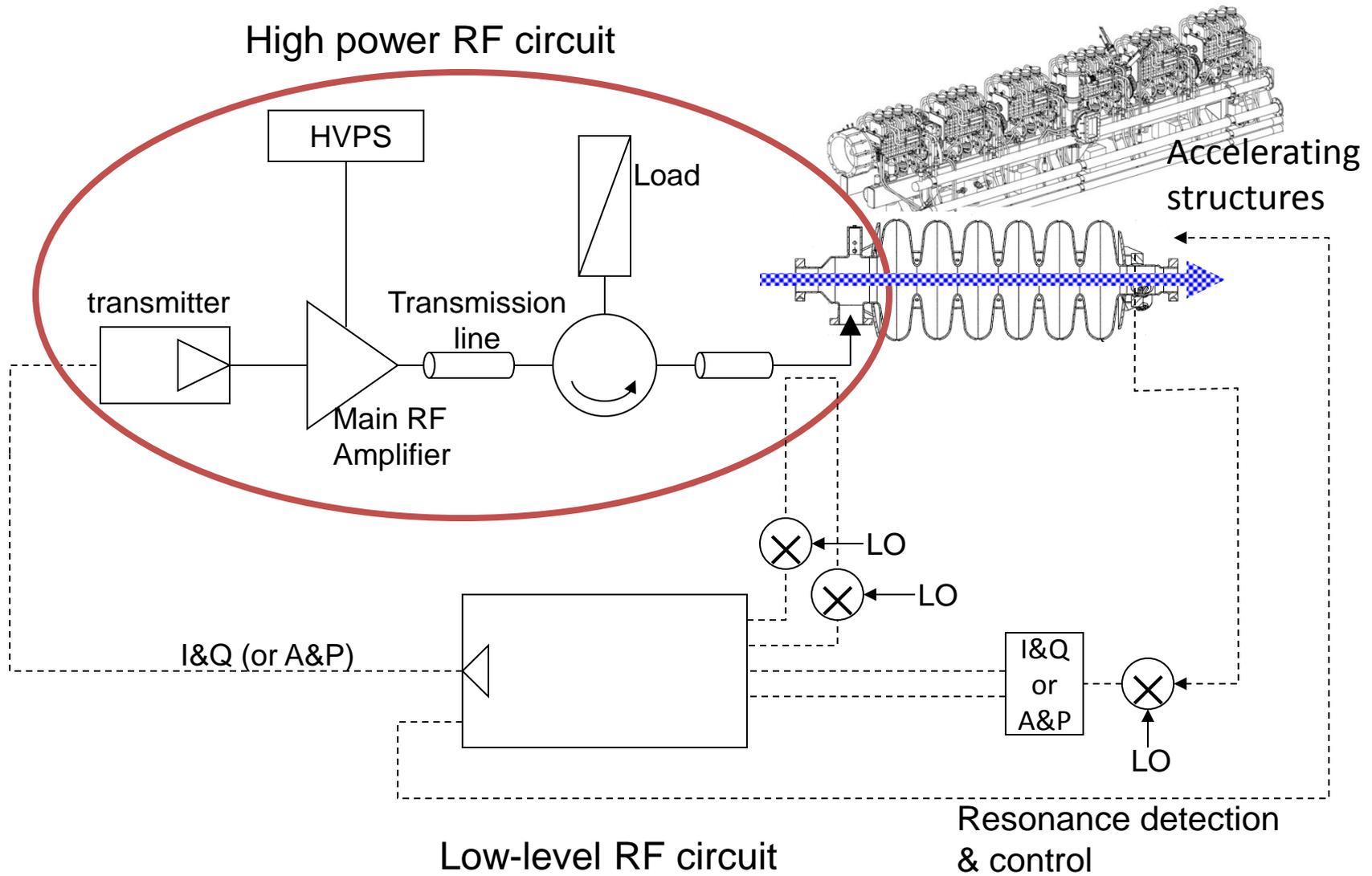
Power coupler; feed RF power to a cavity

Cavity or accelerating structure; electro-magnetic energy storage device

RF control; control cavity field and phase

And protection system

# High power RF circuit



AND...

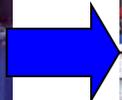
Timing system & Synchronization

Protection system (machine & personal)

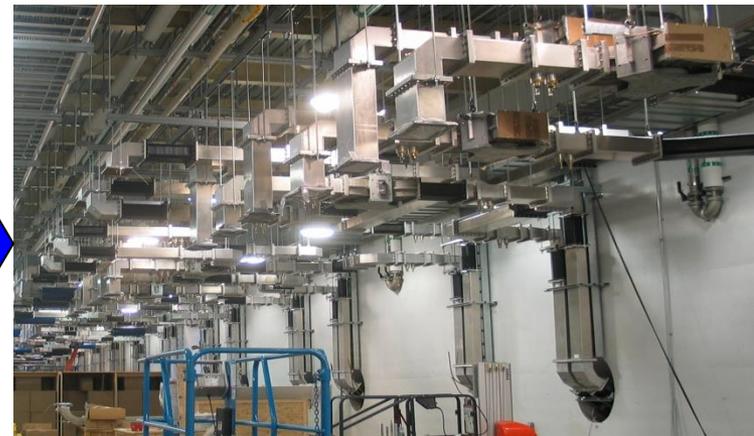
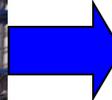
Diagnostics & user interfaces



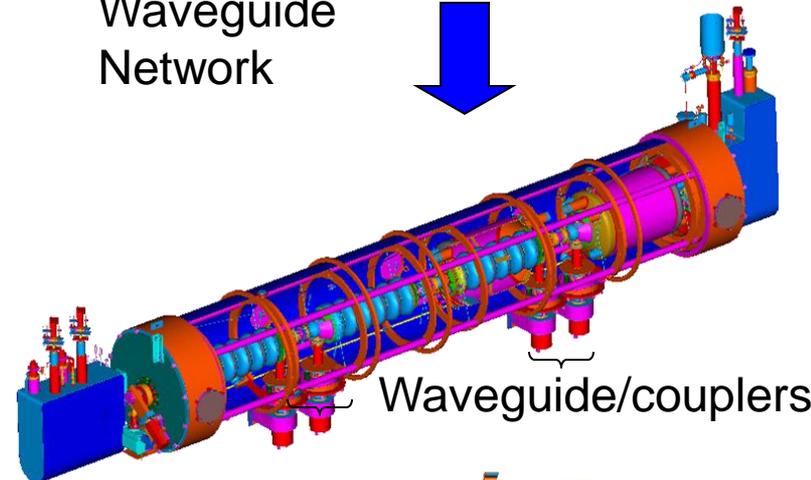
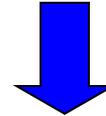
High Voltage  
Power Supply



High power  
RF source



Waveguide  
Network

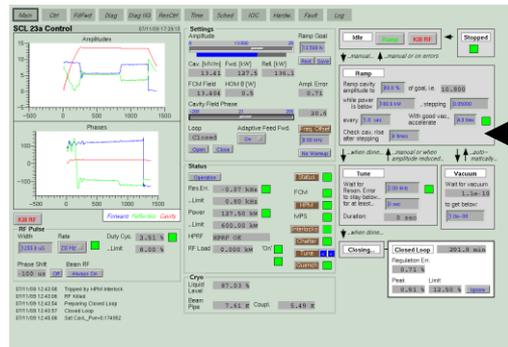


Waveguide/couplers

Transmitter

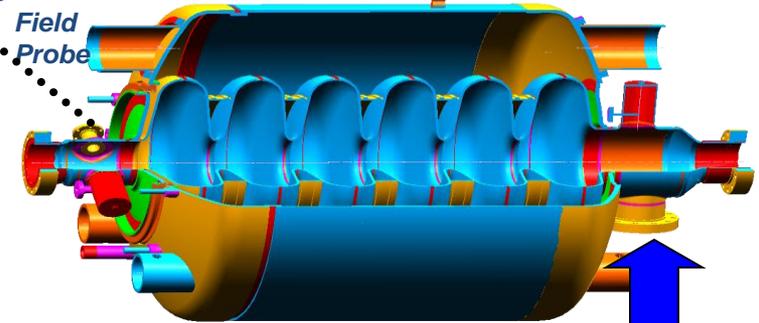


RF control/protection system



EPICS user interface  
Control/monitoring

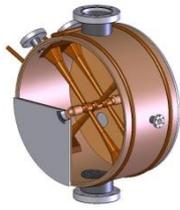
Field Probe



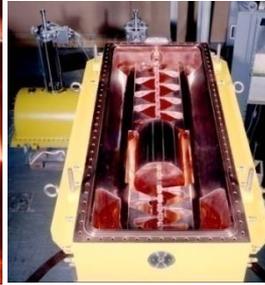
Fundamental  
Power Coupler



# RF Structures for Particle Acceleration



Normal Conducting Structures



$\beta=0$

0.05

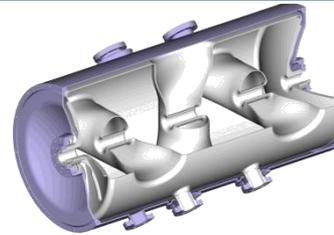
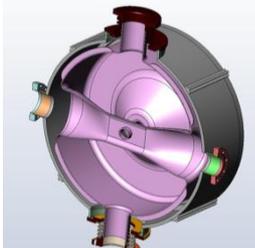
0.1

0.25

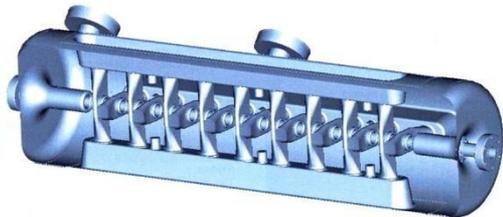
0.5

0.8

$\beta=1$



$\beta=1$



Superconducting Structures

SRF applications are expanding for lower beta region using different cavity shape (Quarter wave, half wave, spoke-type, etc)

# What could go wrong regarding machine protection?

Goal: Keep the system in a reliably operable condition preferably forever or till equipment lifetime! (normal large scale machines run about 40-50 years, klystron's life time 10-15 years, etc.)

In general, high voltage, high power RF system and RF structures give the most downtime in operating machines.

Equipment/parts damages – would require long and expensive rework/rebuild/replacement (and require large numbers of spares)

There are lots of elements that can cause damages and lead to catastrophic failures

## For example;

Water leak into the RF system

Air leak into the vacuum boundaries

Sharp edges in the high electric field region

Dirty RF surfaces

Possible multipacting bands

Beam hits RF surfaces

beam halo/loss → activation

errant beam

mis-steered beam by mistake

Large reflected power to the RF source

Over-powered (over voltage, over current), etc....

Machine protection deals with mostly abnormal conditions and should be well prepared for upset conditions.

No perfect system! Continuous efforts are needed.

# Critical issues in the RF systems mostly end up

with RF interaction → arcing/sparkling/discharge  
sometimes processed out  
sometimes makes surface damage

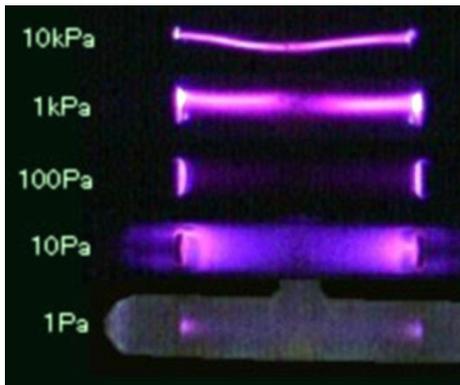
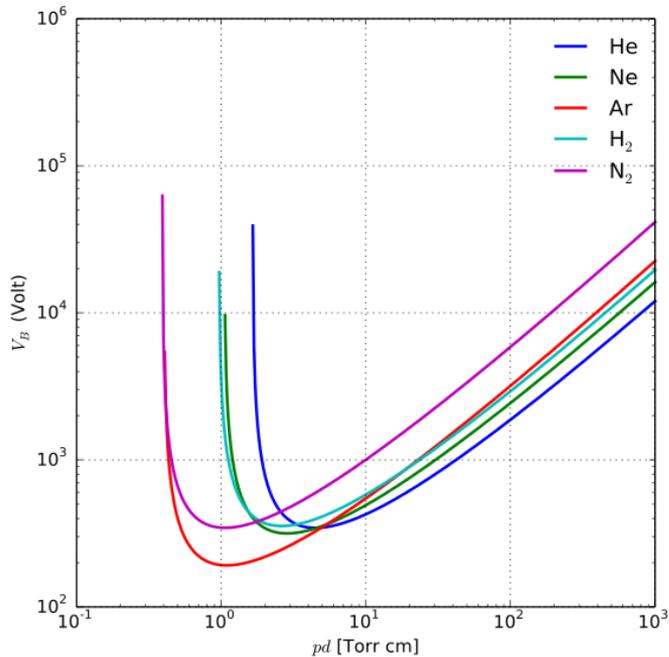
When mis-steered beam directly hits the structure  
also surface damage could occur

When a surface damage happens on bad spots (high electric field region, ceramic surface, welding/brazing joints, multipacting region),  
→ Could results in irreversible process

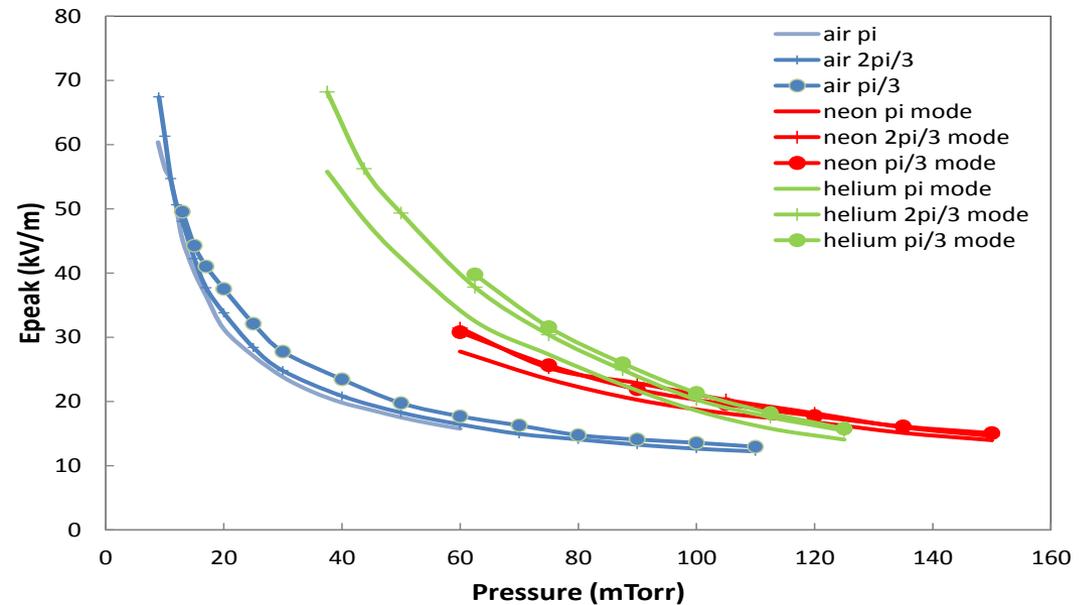
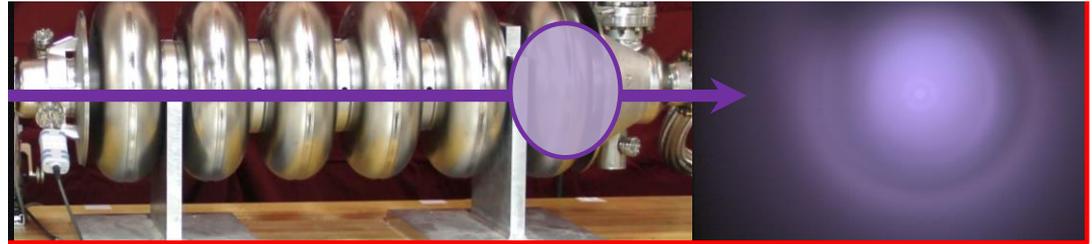
# Discharge mechanism

# Gas discharge condition at $>\sim 10$ mTorr

Paschen curve: DC discharge



Discharge experiment with SRF cavity



# Glow discharge and Arcs

## Glow discharge:

Cold surfaces or electrodes (thermionic emission and field emission: negligible).

Electron emission source: secondary from the surface by ion, radical, photon.

Large fraction of electrons: from electron avalanche multiplication in the gas layers adjacent to the cathode (or surfaces).

In DC glow discharge, large potential drop (a few hundred V) near cathode → sputtering of cathode material. (often glow discharge application: sputter deposition or etching)

## Arc:

Large electron emission from surfaces (by thermionic and/or field emission) in a small area of the surface can occur.

The concentrated current flow can produce a very high temperature very locally. even though majority of surface is cold → local dense plasma

We are talking about very small dimension → small potential can lead a very high peak electric field.

high electron current can be produced without electron multiplication in a gas.

# DC Vacuum breakdown

In high vacuum

; electron mean free path  $\gg$  structure dimension

; no formation of electron avalanches in space as in gas discharge

Breakdown mechanism is not well understood yet

- Particle exchange mechanism
- Clump theory
- Field emission mechanism

# Particle exchange theory

Charged particles come out of one electrode under high electric field; statistically always possible

Accelerated and hit another electrode; liberate particles

Oppositely charged particles back to the first electrode

When this process becomes cumulative → chain reaction

Usually when applied voltage is > a few hundred kV

# Clump theory

When loosely bound particles (clusters) exist on surface

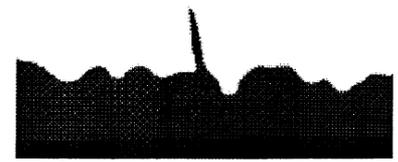
These particles (clusters) get charged under high electric field

Accelerated and hit the other surface → vaporization → breakdown

# Field emission theory

- Anode heating mechanism

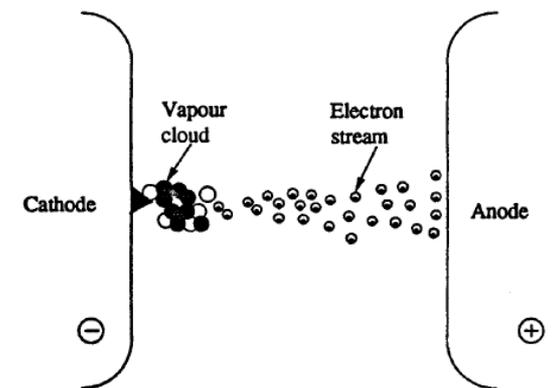
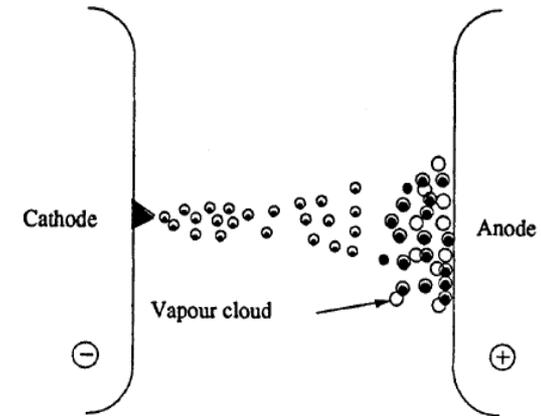
- Electron emission at micron scale protrusion → bombard anode → cause local temperature increment, release gas → electrons ionize gas, produce ions → ions arrive at the cathode → increase electron emission (by space charge formation and secondary electrons → chain reaction until breakdown
- Longer gap length



10 microns

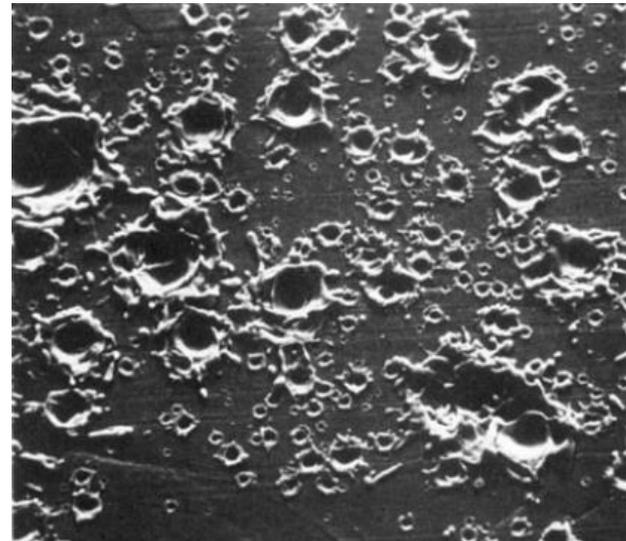
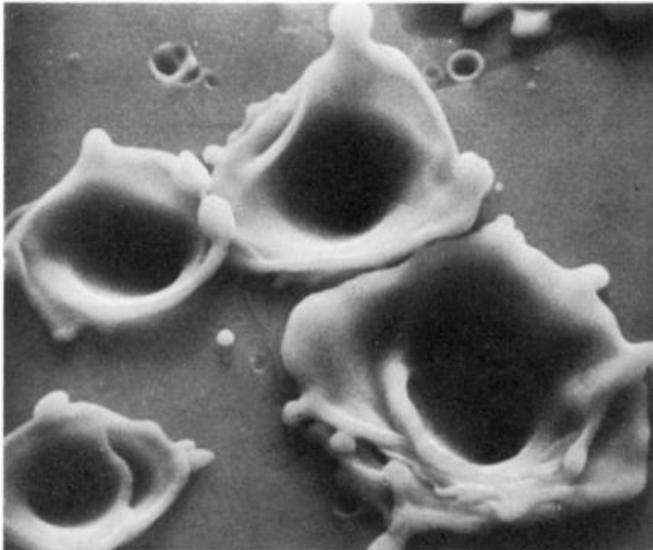
- Cathode heating mechanism

- Assumption; existence of pre-breakdown at the field emitter near the breakdown voltage
- Electric field increases → Field emission increases → current density at the field emitter goes high → reach melting point → plasma forms (thermo field electrons) explodes → vacuum breakdown
- Short gap length (~a few mm)

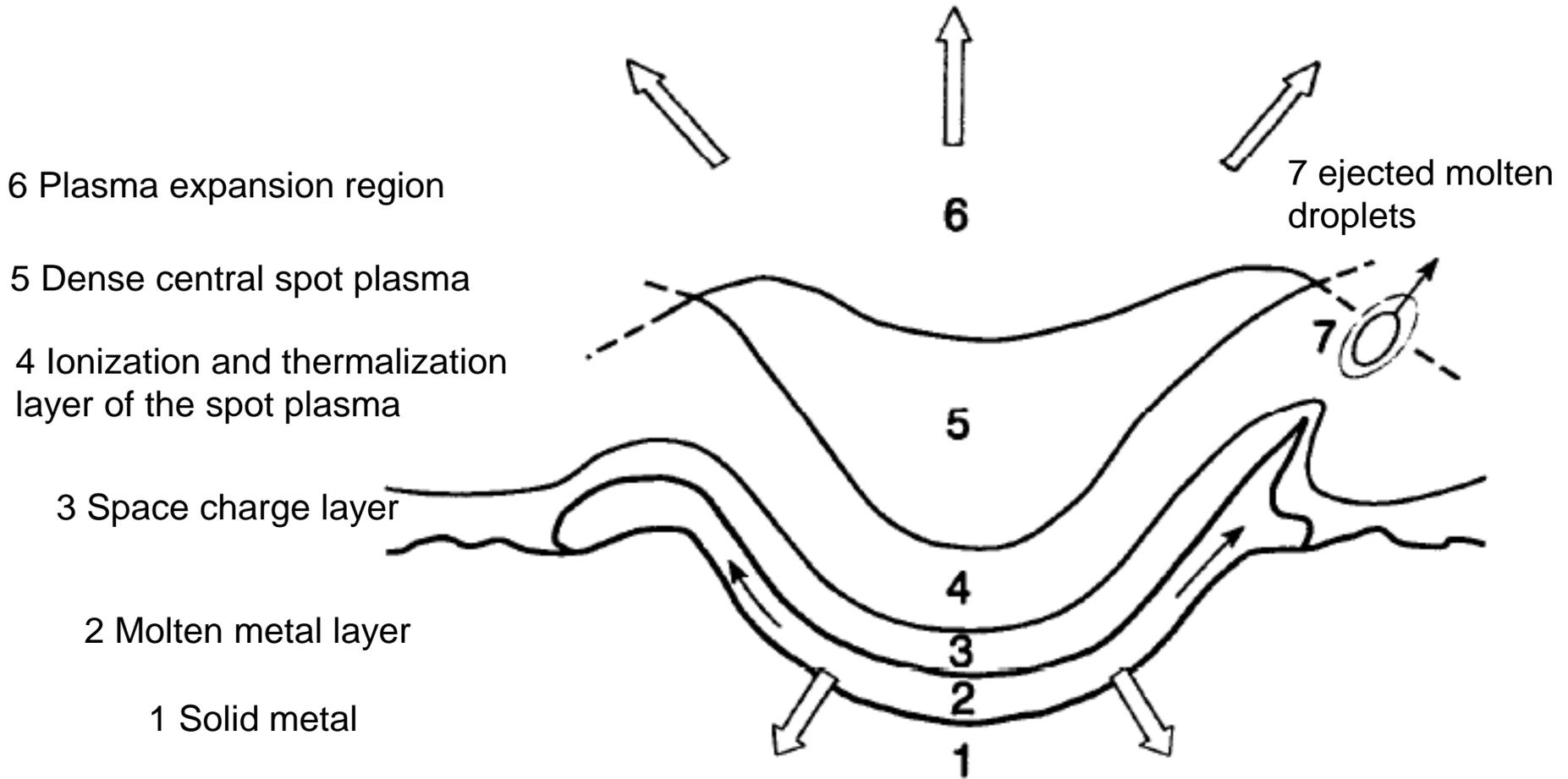


# Cathode spot (crater formation)

- Rapid and intense heating of the surface (by ion impact and Joule heating)
- Formation of molten layer and evaporation
- Acceleration of the melt mainly by the extremely high ion pressure acting on the surface → pushing the liquid metal outwards → parts of the melt that achieved the highest velocities are thrown out

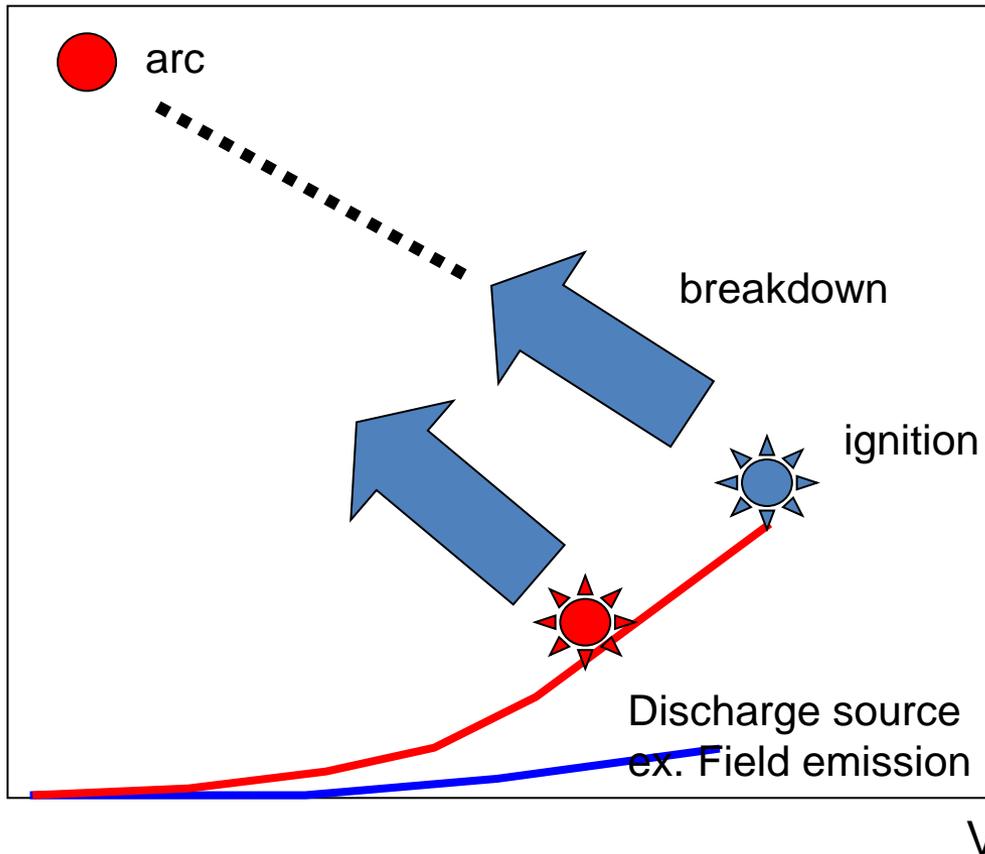


# Schematics of cathode spot



# Breakdown/arc development

- Before breakdown; quasi static
- At ignition; very short pulse (high frequency)

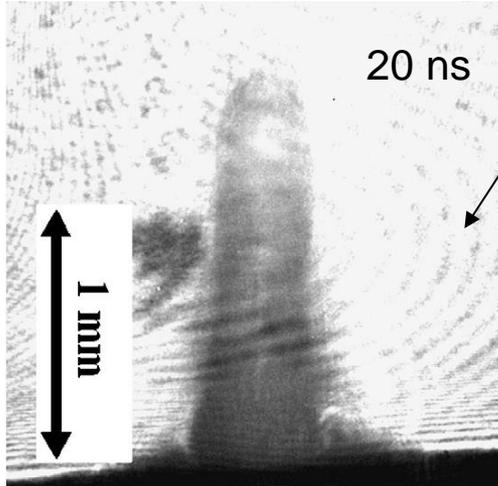


Function of  
Capacitances,  
Field emitter characteristics,  
Potential development,  
Field profile,  
Geometry,  
Details of contacts,  
Current,  
Material,  
etc.

When the power supply or stored  
energy is large enough,  
full arcing condition can occur

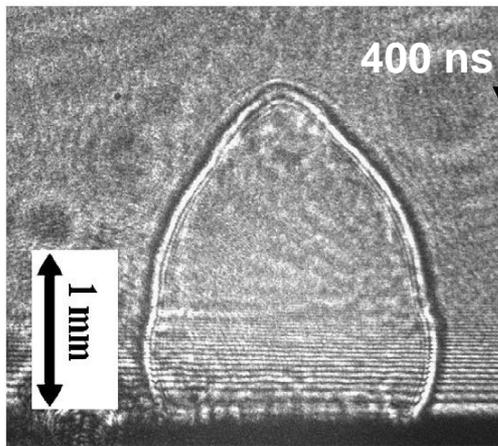
# Plasma formation and Crater

- some analogies; can have rough guessing about power density and duration of breakdown
- Thermal load; intense, short laser on aluminum surface

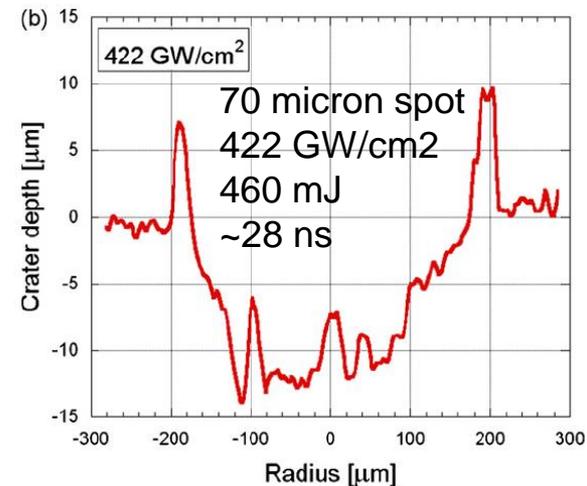
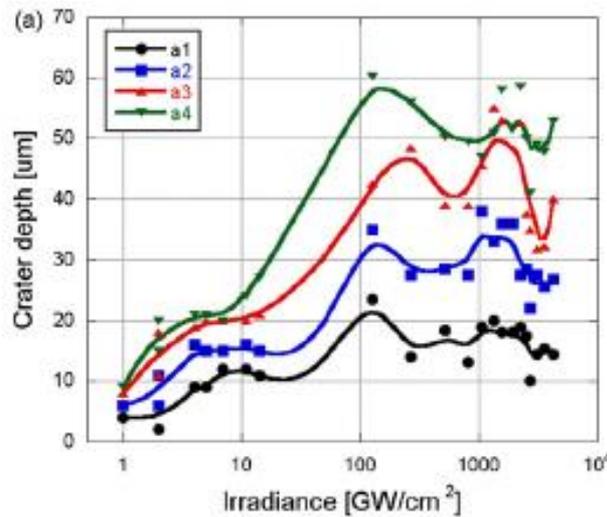
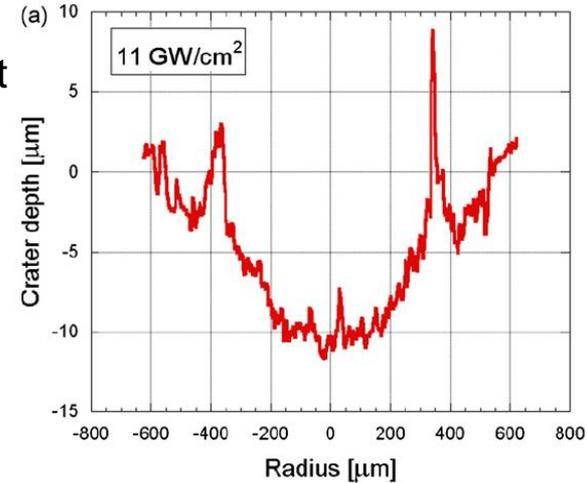


20 ns  
 92 mJ  
 (270 GW/cm<sup>2</sup>)  
 70 micron spot  
 ~9 ns

600 micron spot  
 11 GW/cm<sup>2</sup>  
 280 mJ  
 ~9 ns



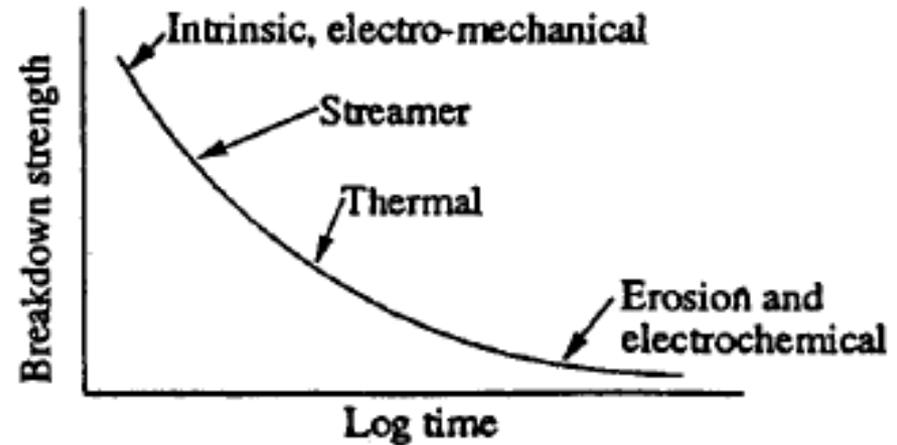
400 ns



A. Gojani, et al, Extended measurement of crater depths for aluminum and copper at high irradiances by nanosecond visible laser pulses, App. Sur. Sci. 255 (2008)

# Breakdown in solid/surface (dielectric)

- Ionic breakdown
- Electromechanical
- Treeing (streamer)
- Thermal
- Electrochemical
- From internal partial discharge
  
- In practice
  - Electrochemical deterioration
  - Treeing and streamer
  - Internal discharge (void or cavity)
  - Surface; Flashover/treeing



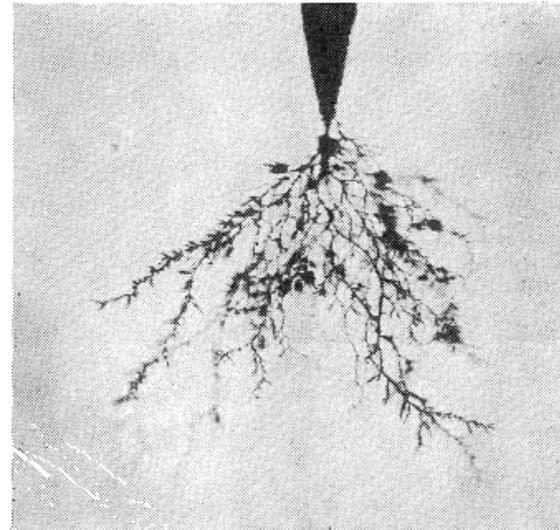
- Catastrophic failure in insulator
  - Driven by electrical power
  - Ultimately thermal
  - Carbonization or vaporization
  - Mechanical failure

# Dielectric breakdown enhancing factors

- external source for charge buildup
- Non-uniformity
  - Provide initiation
  - Field concentration
  - Non-uniform charge buildup
  - void, impurity, inhomogeneity of material, insulator related at contact, junction at the boundary, absorbed gases, wrinkles, contact/boundary material, temperature dependencies, etc.

# Treeing

- An electrical pre-breakdown phenomenon
- A damage process from partial discharges
- High field concentration
  - at around the edge of trees
  - Charge inhomogeneity near electrodes
- Progress through the stressed dielectric insulation
- Finally breakdown/failure
- Could results in vacuum arc

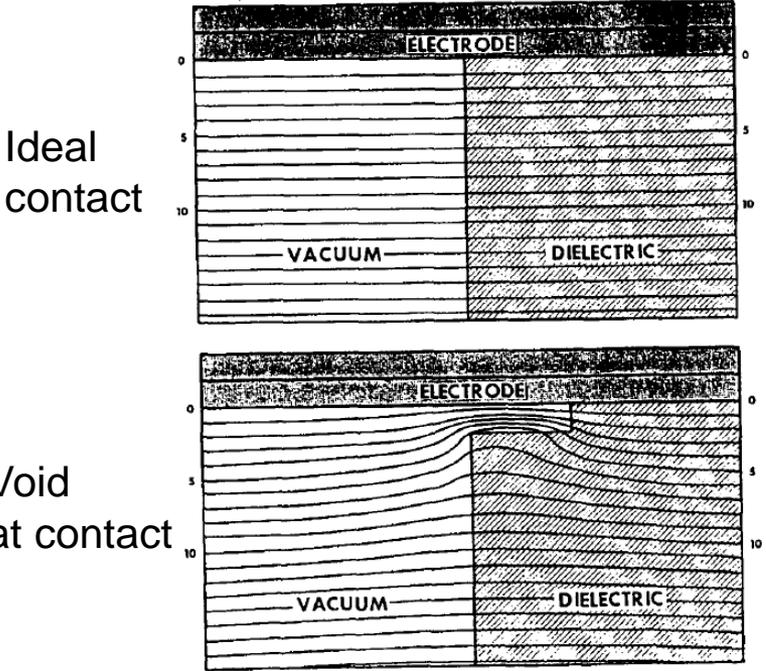


# Surface Flashover in general

- Field establishment from trapped charge
- Surface charging due to diffusion of trapped charge or from the multiplication of secondary electrons
- Subsequent avalanche of the surface discharge
- Streamer growth of charges
- Breakdown (atoms or clusters)
  
- Three stage
  - Initiation
  - Development
  - Final

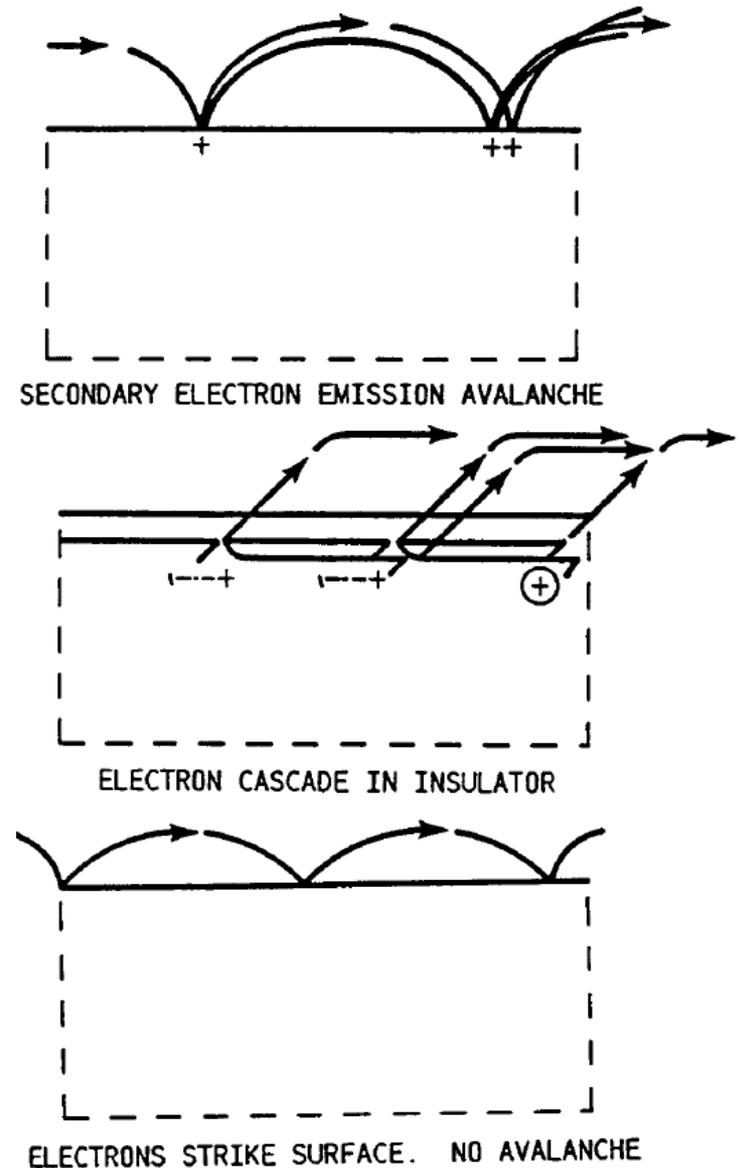
# Initial stage

- Electron emission at 'Triple junction point'

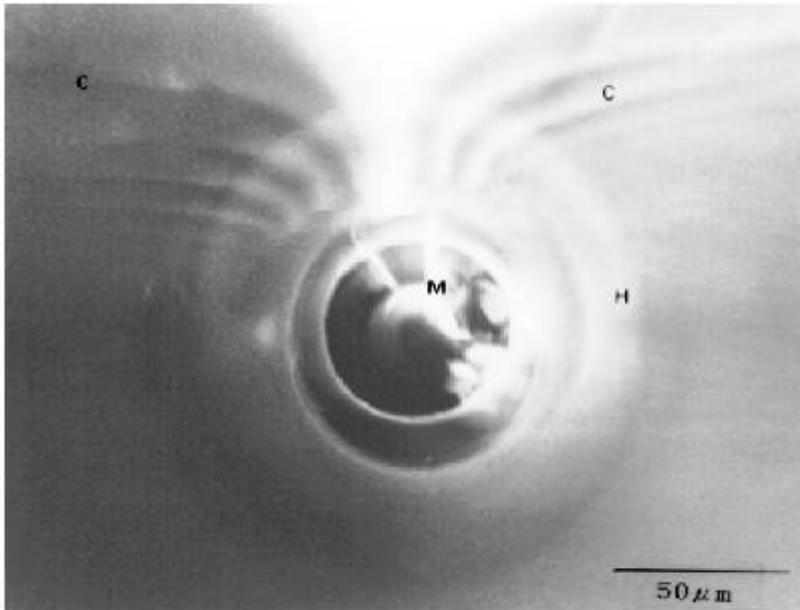


# Development and breakdown

- Electron multiplication by
  - Surface secondary electron emission avalanche
  - Or electron cascade in a thin surface layer
- Travelling electrons
  - form a pre-breakdown current
  - Desorption of gas or evaporation and ionization
  - Further increase in current
- Breakdown
- If sufficiently large current density then, vacuum arc will happen
- If field concentration is large at anode, flashover can start at anode triple point

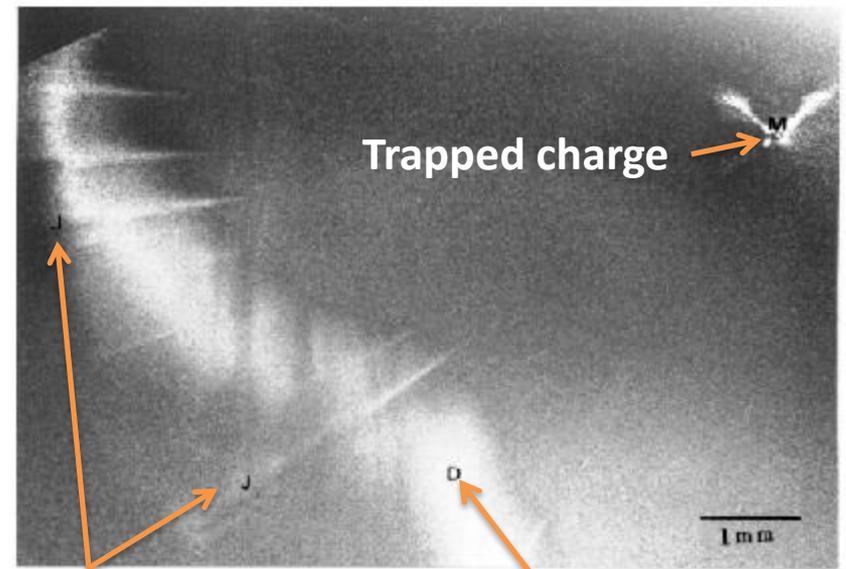


## Curved discharging trace



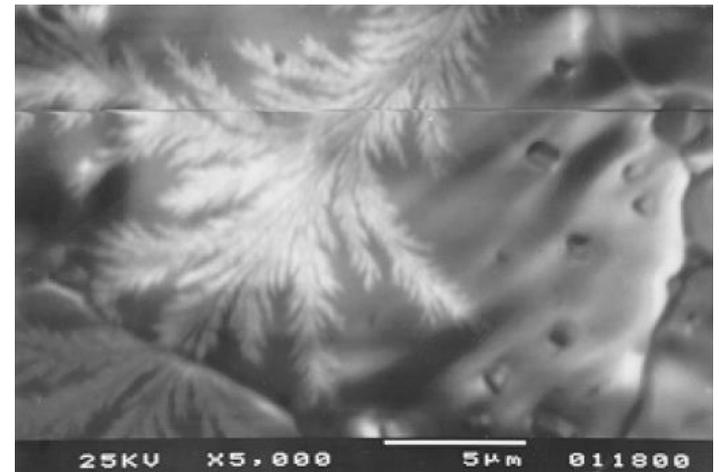
**Figure 1.** A SEM image showing halo (H) and curved discharging (C) traces on a PMMA surface. The central disc (M) is the mirror image of the scanning electron microscope chamber, and its centre indicates the position of the trapped-charge concentration.

H. Gong et al, J. Phys.: Condens. Matter 9 (1997) pp. 1631-1636



Jet-like  
discharging trace

Diffused electrons



Surface treeing

A. Sutjipto, et al, World Acad. Sci., Eng, & Tech. 53 (2009) pp.8-11

# RF breakdown in high vacuum

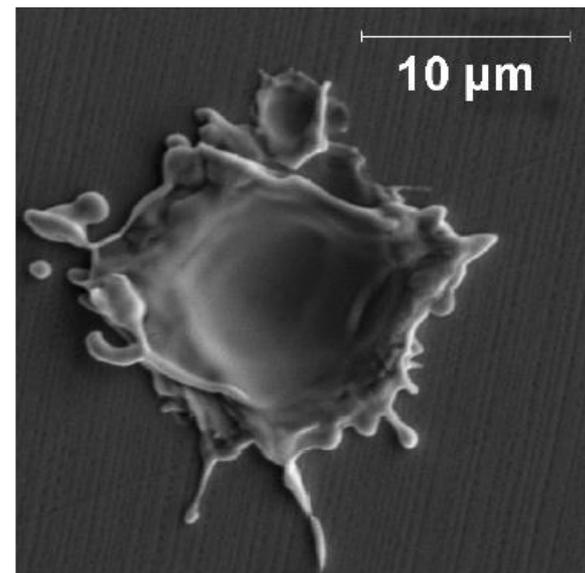
1.  
Electrons (multipacting, field emission) heats surface  
Electron bombardment results in gas desorption  
Or  
Beam hits surface and results in gas desorption

2.  
Local vacuum could be worse and could go into RF breakdown regime

3.  
With largely available RF power and stored EM energy, plasma heated up and expansion. Usually with flash of X-ray emission and drastic Q-drop.

The detailed mechanism is not well understood, but there are reports that say probably related with field emission and/or multipacting (also beam stimulated).

If the field is sufficiently high, there are lots of examples of electric breakdown or sparking, arcing, etc.

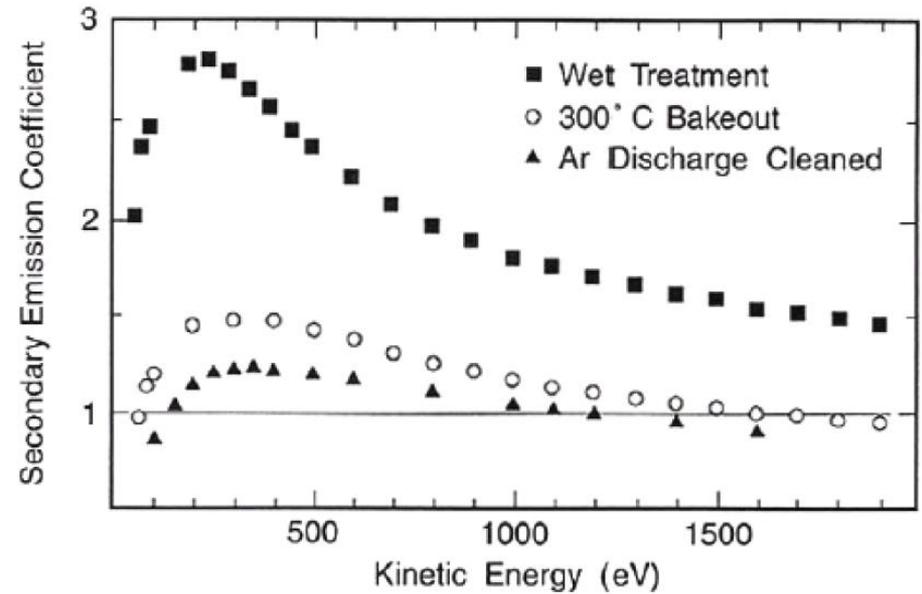
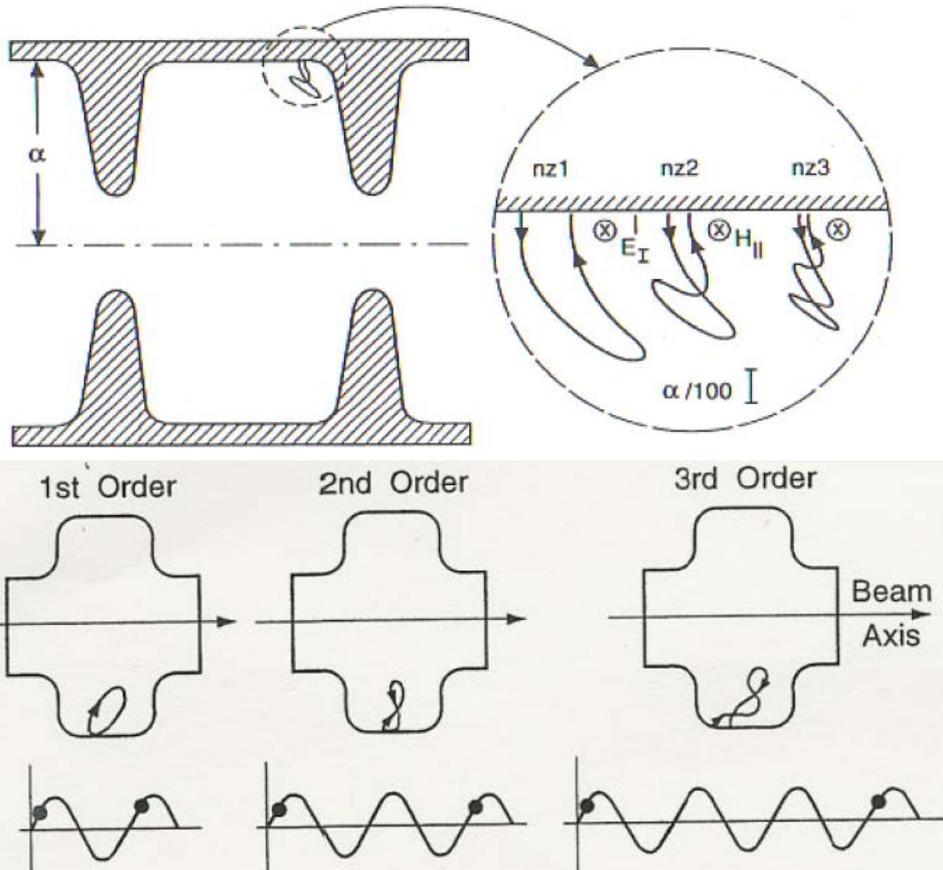


# Multipacting

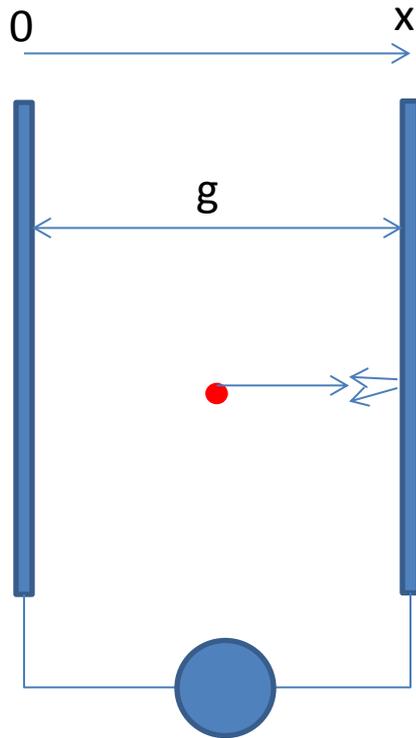
Resonant electron loading  $\rightarrow$  strongly depends on geometry and field distribution

Multipacting condition

1. Resonant trajectory  
(insensitive to the initial energy)
2.  $SEY(E) > 1$  (Physical surface condition)

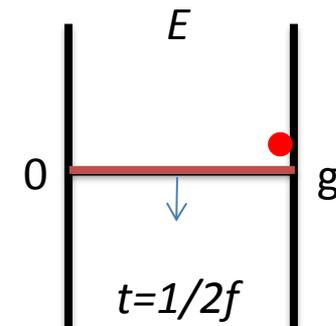
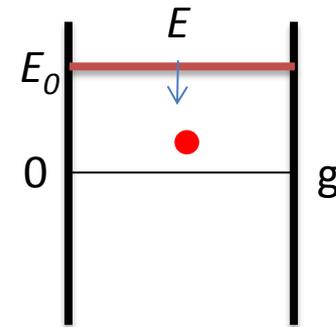
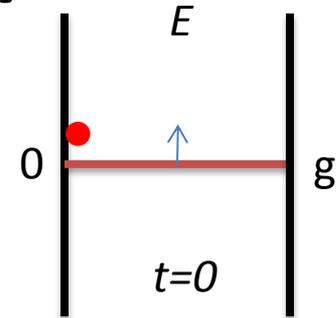


# MP calculation for simple geometry



$$E = E_0 \sin \omega t$$

Let's assume,  
Electron starts from  
 $x=0$  with '0' energy  
at  $t=0$



If the electron  
arrives at  $x=g$  when  
the  $t=1/2f=T/2$ ,  
resonance condition  
is satisfied.

In general  $t=(2n-1)/2f=(2n-1)T/2$ ,  $n=1, 2, 3, 4, \dots$

Equation of motion

$$a = \frac{eE_0}{m_e} \sin \omega t$$

Integration for  $v$

$$v = -\frac{eE_0}{m_e \omega} \cos \omega t + C = \frac{eE_0}{m_e \omega} (1 - \cos \omega t) \quad (\because v=0 \text{ at } t=0)$$

actually secondary electron energy at birth is about 1-3 eV

Integration for  $x$

$$x = \frac{eE_0}{m_e \omega^2} \sin \omega t + \frac{eE_0}{m_e \omega} t \quad (\because x=0 \text{ at } t=0)$$

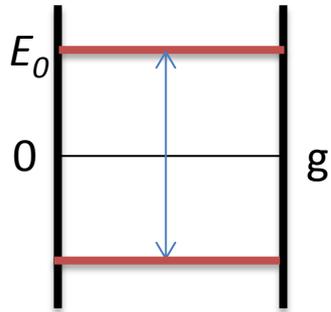
Resonance condition,  $x=g$  at  $t=(2n-1)/2f$

$$g = \frac{eE_0}{m_e \omega} \frac{(2n-1)}{2f} = \frac{\pi e E_0}{m_e \omega^2} (2n-1) \rightarrow E_0 = \frac{m_e \omega^2}{\pi e} \frac{g}{(2n-1)}$$

Gap voltage  $V_g = E_0 g$  (no transit time yet)

$$V_g = E_0 g = \frac{m_e \omega^2}{\pi e} \frac{g^2}{(2n-1)}$$

Transit time factor (TTF): since the field is changing while the electron moves, the effective voltage or field seen by the electron should be taken into account.



$$E = E_0 \sin \omega t$$

The energy gain becomes:  $KE = E_0(TTF)g$

In this parallel plate geometry, the transit time factor for the particles in resonance condition is

$$TTF = \frac{2}{\pi(2n - 1)} \quad n = 1, 2, 3, 4, \dots$$

The electron impact energy in resonance condition (again let's assume the secondary electron at birth is '0', actually it is about 1-3 eV)

$$KE = E_0 g = \frac{2m_e \omega^2}{\pi^2 e} \frac{g^2}{(2n - 1)^2} \quad \text{in eV}$$

Ex.  $f=500\text{MHz}$ ,  $g=0.02\text{m} \rightarrow$   $n=1$ , impact energy=2915 eV  
 $n=2$ , impact energy=324 eV  
 $n=3$ , impact energy=116 eV

# Multipacting in general

MP is mostly at low electric field side.

during ramp-up

beam pipe (stray field region)

window, iris, couplers

equator sides of cavity , etc

How to avoid:

Careful analysis during design stage & simplify the design (avoid resonances)

Reduce Secondary Emission Yield

Keep the surface clean (no contaminant, gas, particulates..)

RF conditioning reduces

Baking, discharge cleaning,

Surface coating (Ti, TiN)

DC biasing

\*Detuning

Slight change of VSWR: sometimes helps when MP happens in the transmission line (waveguide, window, coupler, etc.)

\*Over-coupling (lower time constant)

to pass MP region quickly especially in pulse machine

\*very limited range of control

# Field emission (FE)

Fowler Nordheim Law

$$j \propto \frac{(\beta E_s)^2}{\phi} \exp \left[ \frac{-a\phi^{3/2}}{\beta E_s} \right]$$

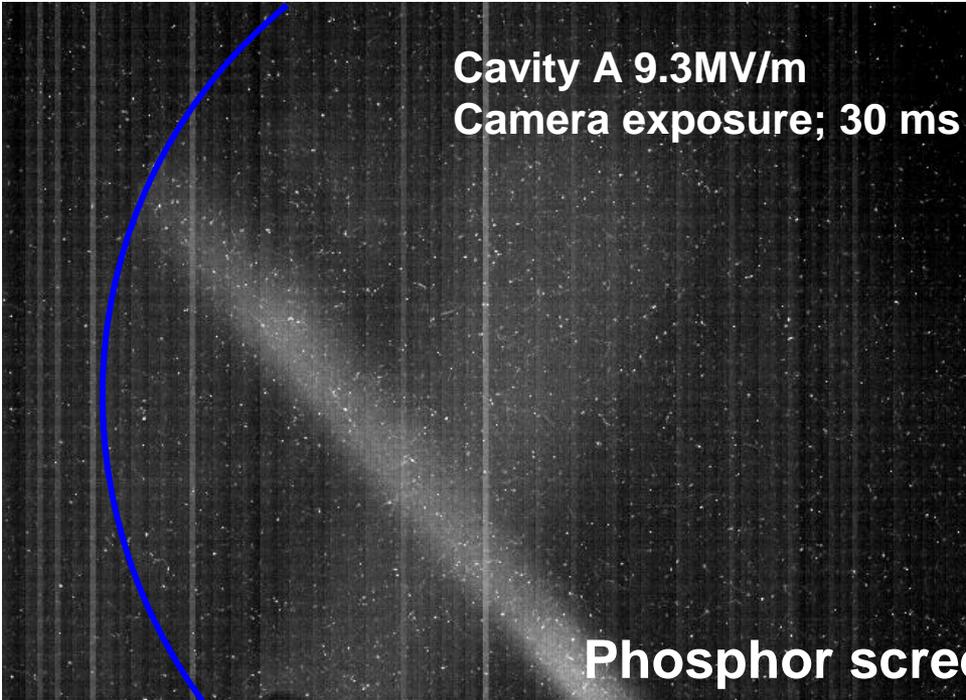
Shape factor  $\beta$  (could be  $>100$ ), work function  $\phi$ , etc.

Theoretical limit  $\sim 1$  GV/m, reality  $\sim <10$  MV/m

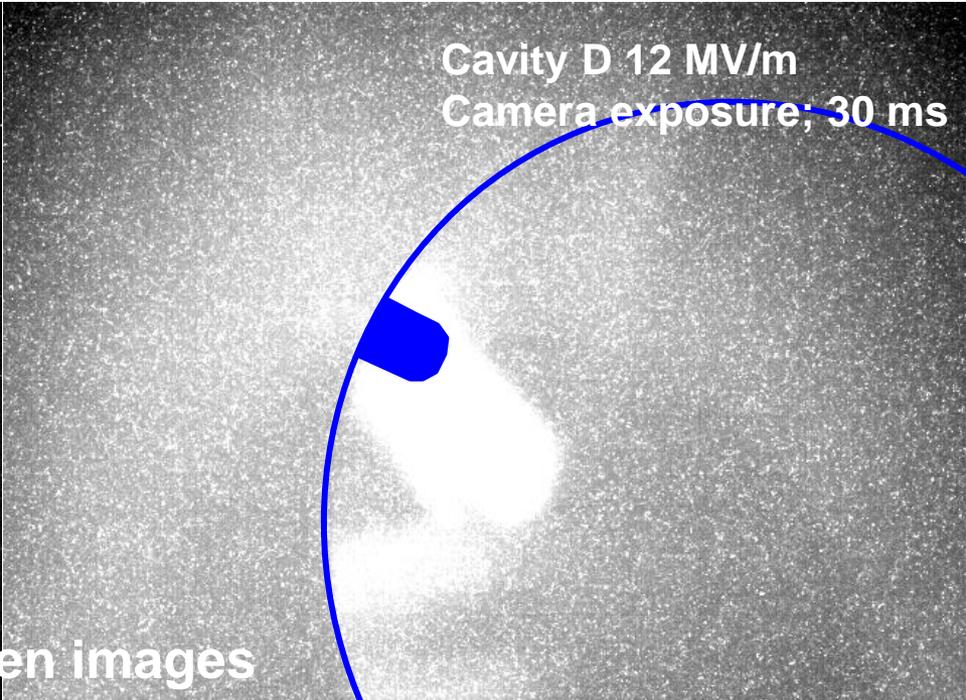
Mild FE: system may be operable. Vacuum could be worse (operation would be difficult).

Could kill valve o-rings. Especially in superconducting cavity, limit achievable gradient, lower Q, make system unstable, etc.

Sometime big burst of field emitter could make surface defects.



Cavity A 9.3MV/m  
Camera exposure; 30 ms

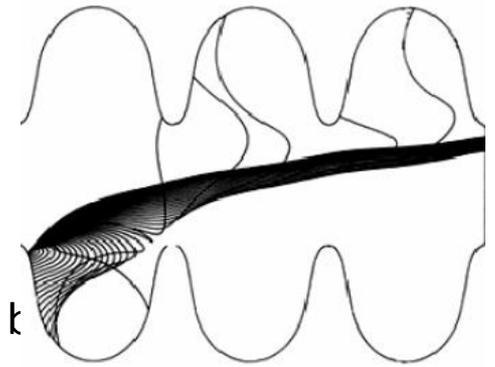


Cavity D 12 MV/m  
Camera exposure; 30 ms

Phosphor screen images

# Field emission and its enhancement

- Model
  - Protrusion-to-protrusion
  - Modification of constant and shape factor in FN equation  $k$  and other contaminant
  - Activation of field emitter at elevated temperature by changes of the boundary layer
  - Insulator enhanced; from the distortion of electric field
- Complexity
  - Function of size, shape, kinds of particle, charge, substrate status, wettability, temperature, processing history..... (statistical distribution, hard to control, larger surface will statistically have more field emitter)

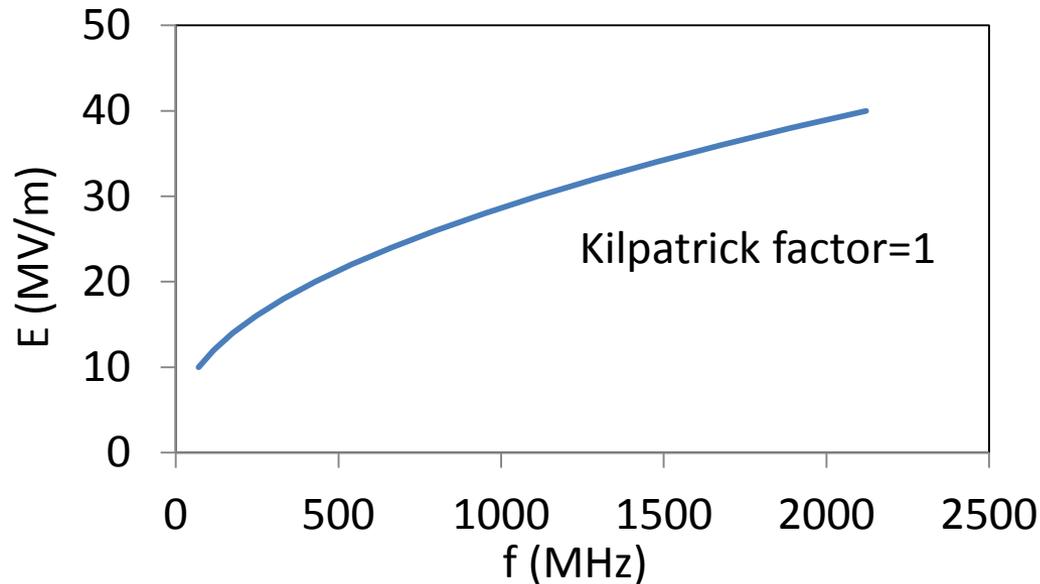


# Kilpatrick criterion

Old criterion on RF breakdown but still in use

In late 1950s, W. Kilpatrick analyzed for RF breakdown free condition.

$$f(\text{MHz}) = 1.64E^2 \exp\left(-\frac{8.5}{E}\right) \quad E: \text{breakdown electric field} \left[\frac{\text{MV}}{\text{m}}\right]$$

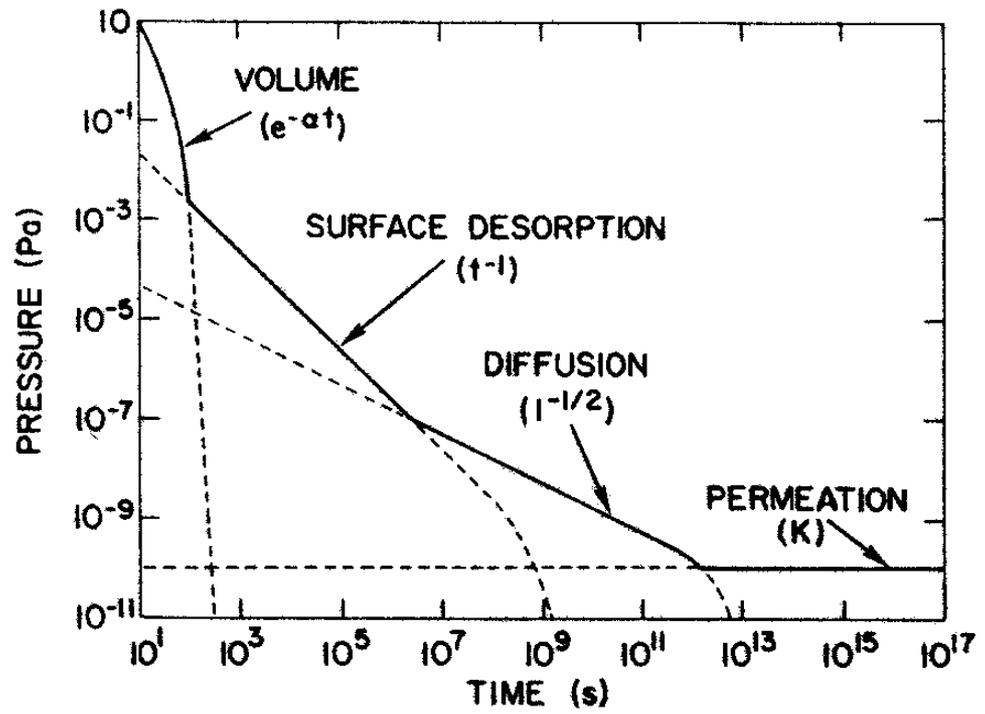
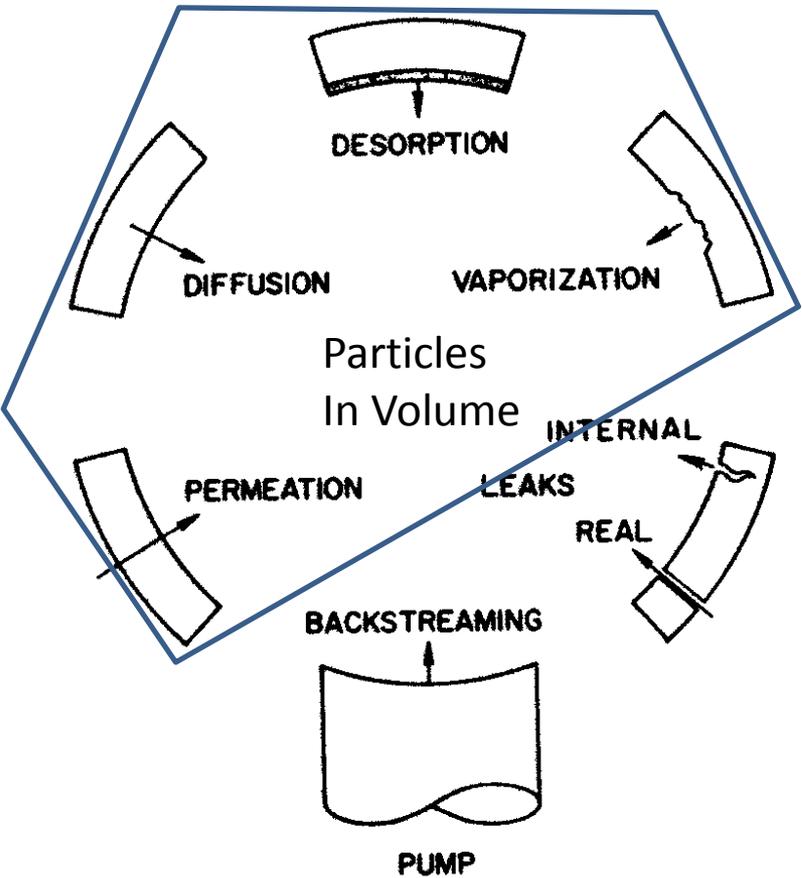


This estimation is based on the old system especially vacuum system.

It is a pretty conservative criterion.

Usually RF system is designed and operated at the factor between 1 and 2.

Vacuum



Typical time scale for non-treated material

When there is no leak and pumping is good, outgassing still exists.

# Outgassing

1. Desorption: gas release from the surface. Final state of all outgassing mechanism. Bonding mechanisms can be either physical or chemical. In general chemical bonding is stronger. Desorption rate increases with temperature. **Desorption is accelerated by photons, electrons and ion bombardment.**
2. Vaporization: phase transition of material to the gas. Materials with higher vapor pressure can evaporate in vacuum and/or at elevated temperature.
3. Diffusion: dissolved gas in the bulk material moves to the vacuum surface. **Hydrogen** have high mobility in the bulk material.
4. Permeation: absorbed gas from outside diffuses through the bulk material and then desorbed from the vacuum surface.

# Reducing Outgassing

Polishing: reduce the effective surface area and the adsorption capacity

Heating: baking or firing

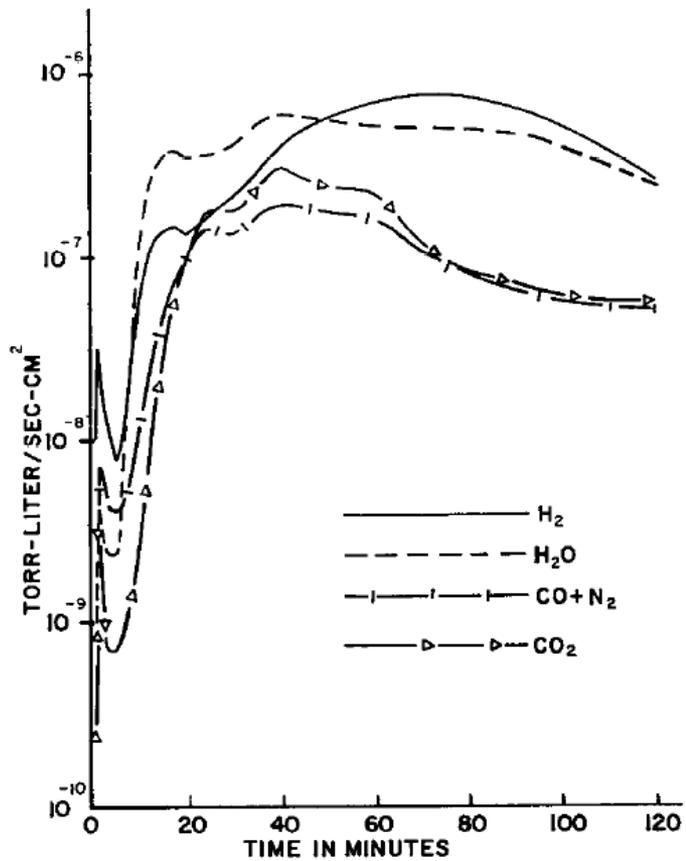
150-300C baking is very helpful to get rid of water but not enough for hydrogen. Any metal is a large reservoir of hydrogen. During the heat treatment CO and CO<sub>2</sub> are often emitted in addition to hydrogen.

Firing temperature: 500 C for OF Copper, 1000 C for Stainless Steel

Gas discharge cleaning

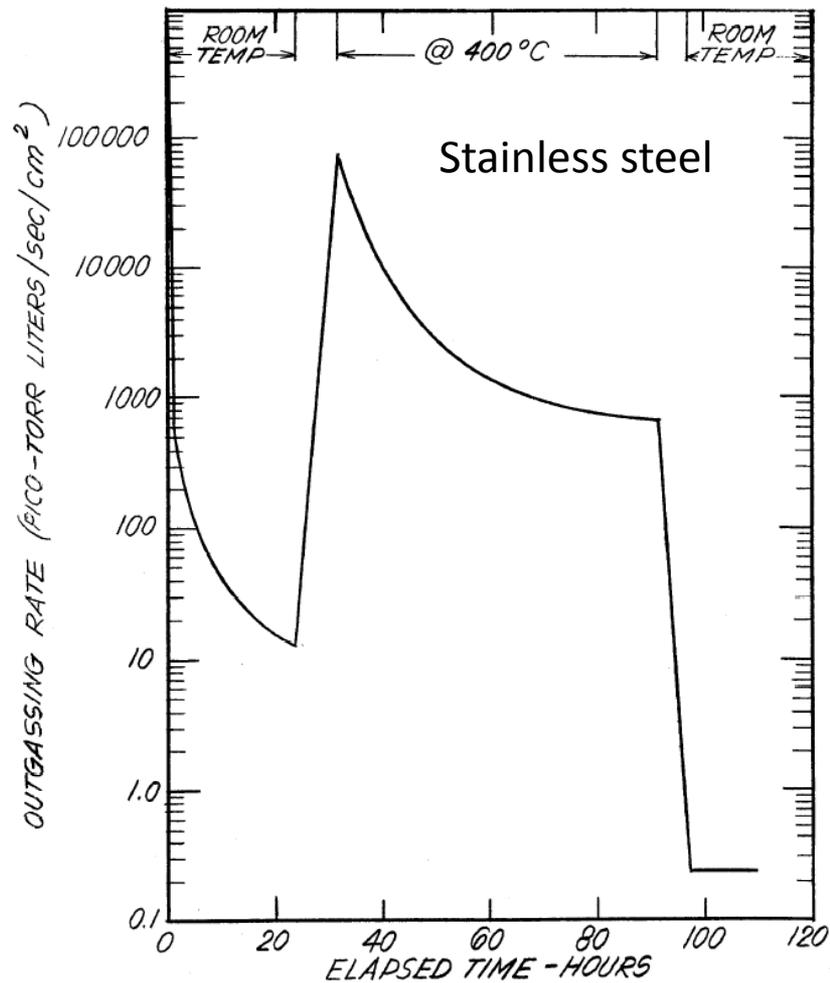
Photon or electron bombardment/showering

Coating



OFH copper degassing at 500C

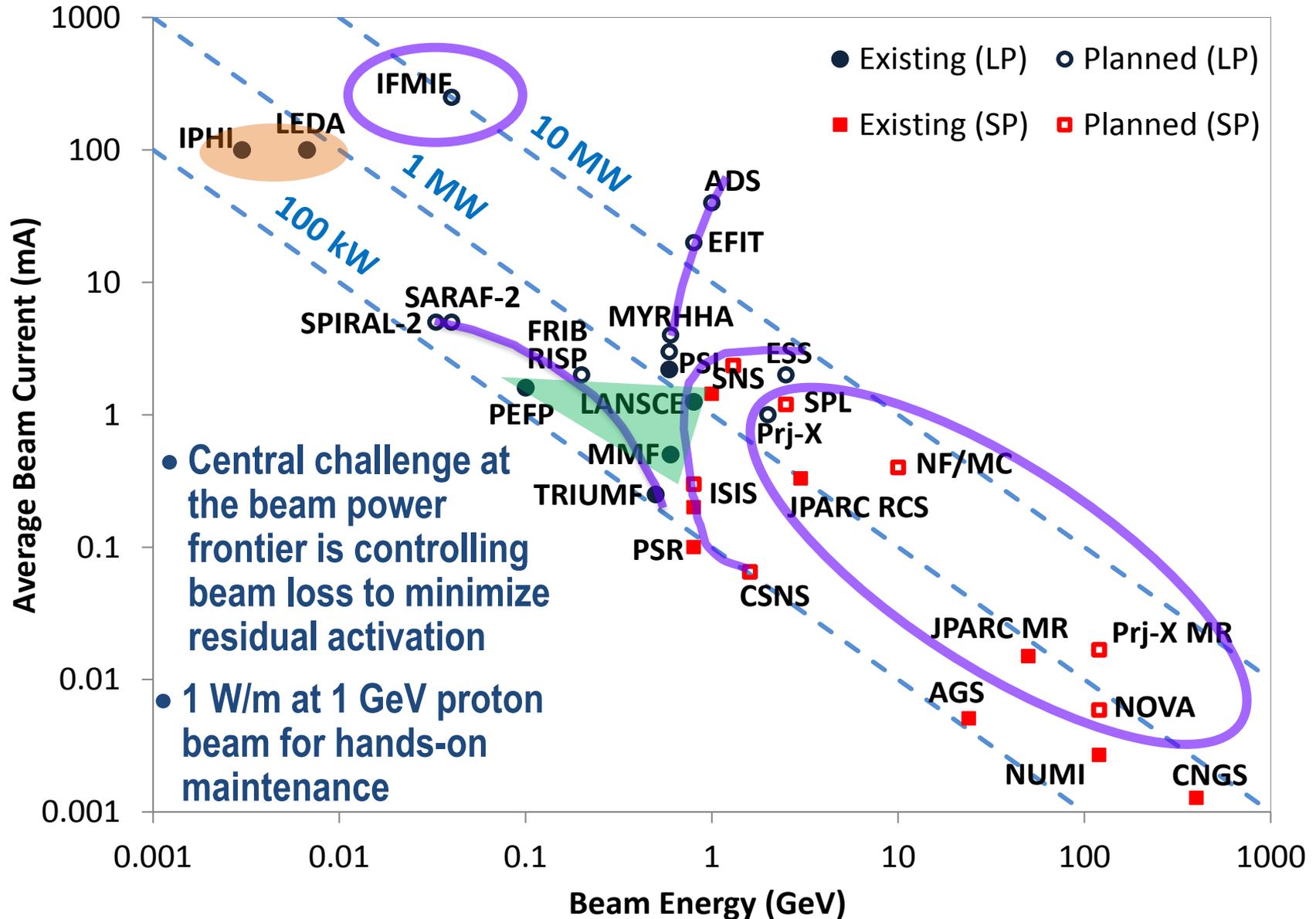
R. Kirkendall et al, J. Vac. Sci. & Tech. 3, 214 (1966)



E. Hoyt, SLAC-TN-64-5, Jan (1964)

# Beam related issues

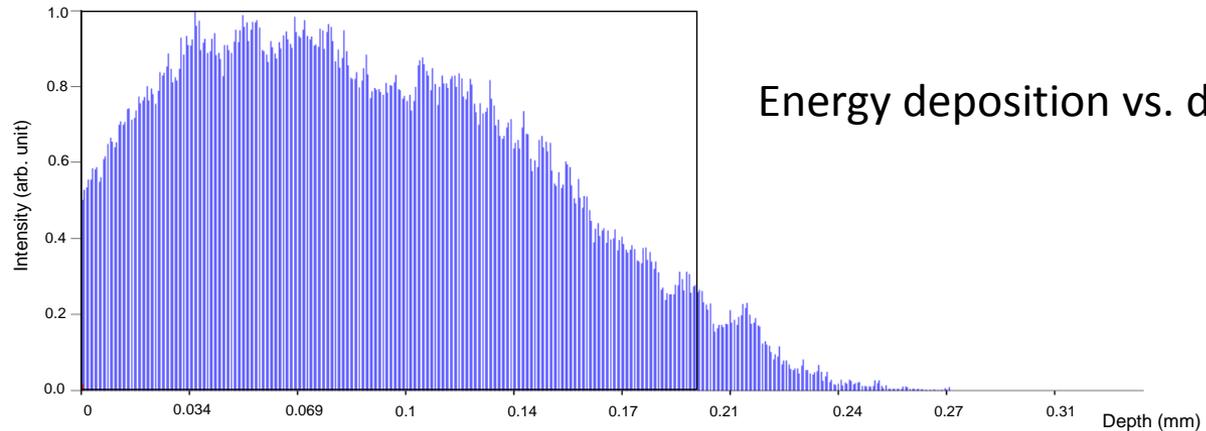
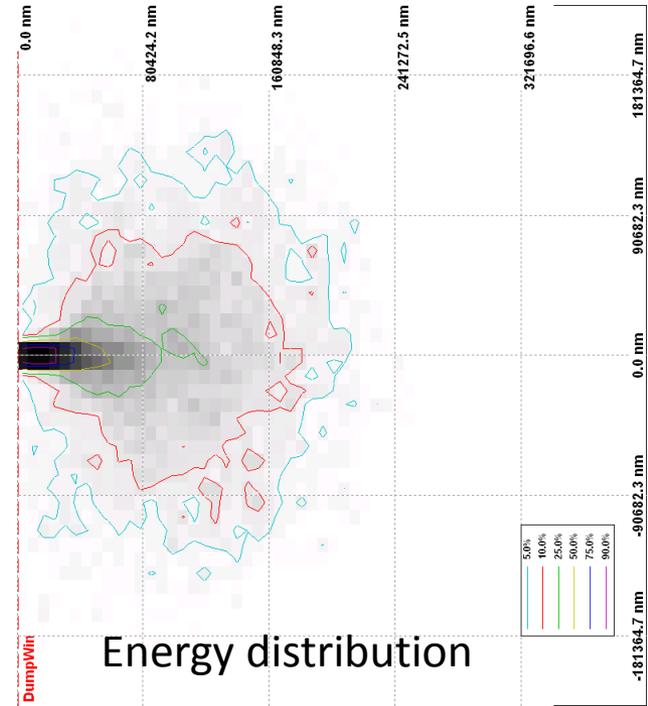
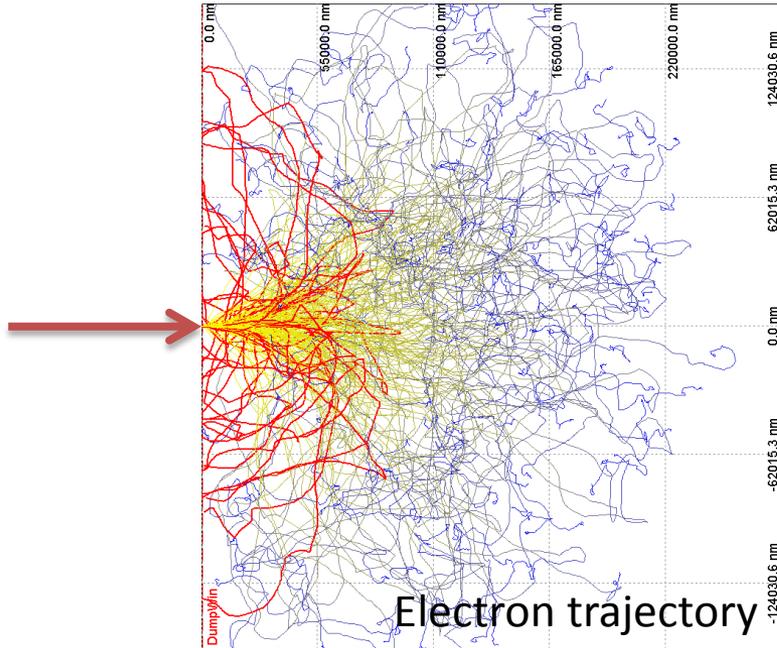
# Activation: Beam Power Frontier for ion beam accelerators



# Mis-Steered beam: Copper damage

## Electron beam

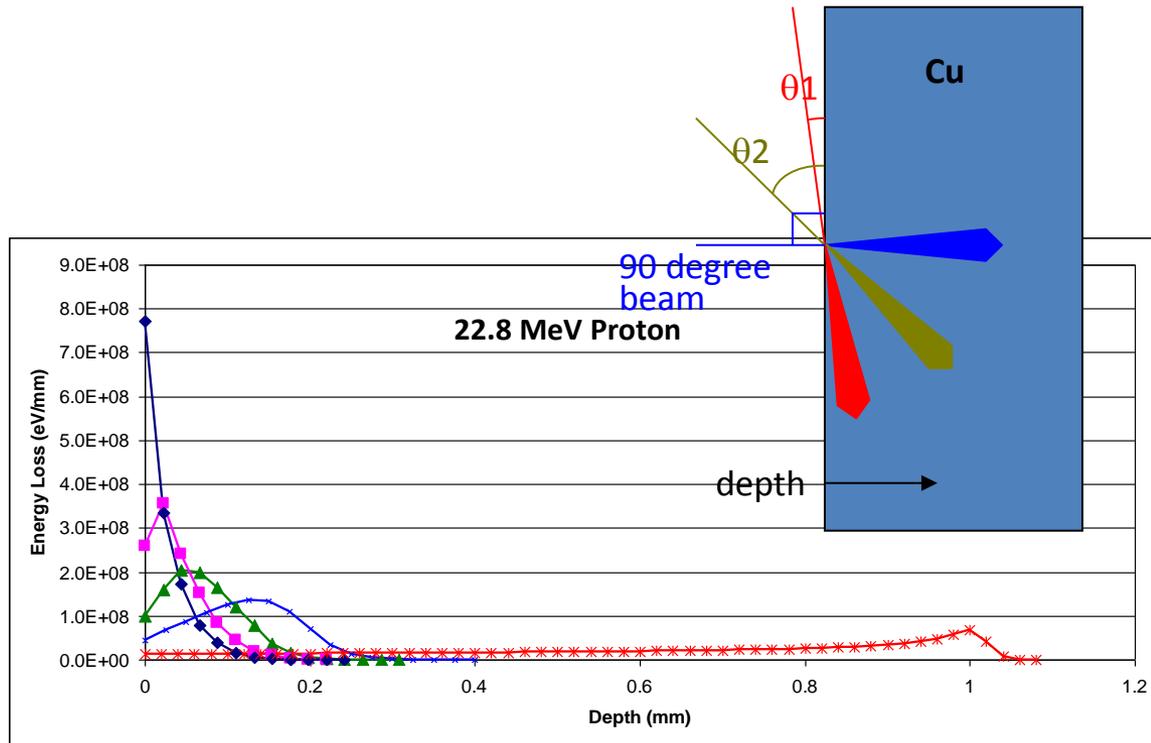
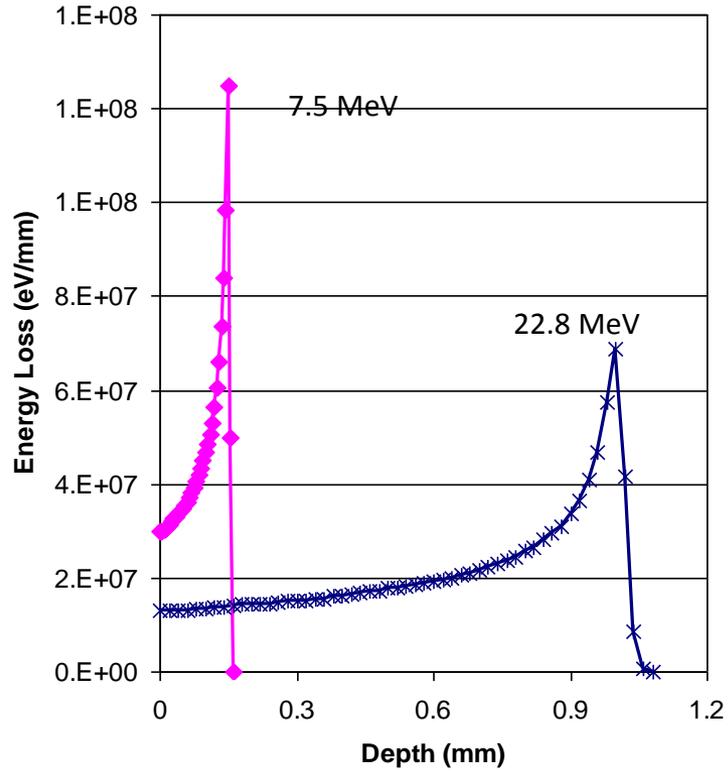
Electron stopping in the material

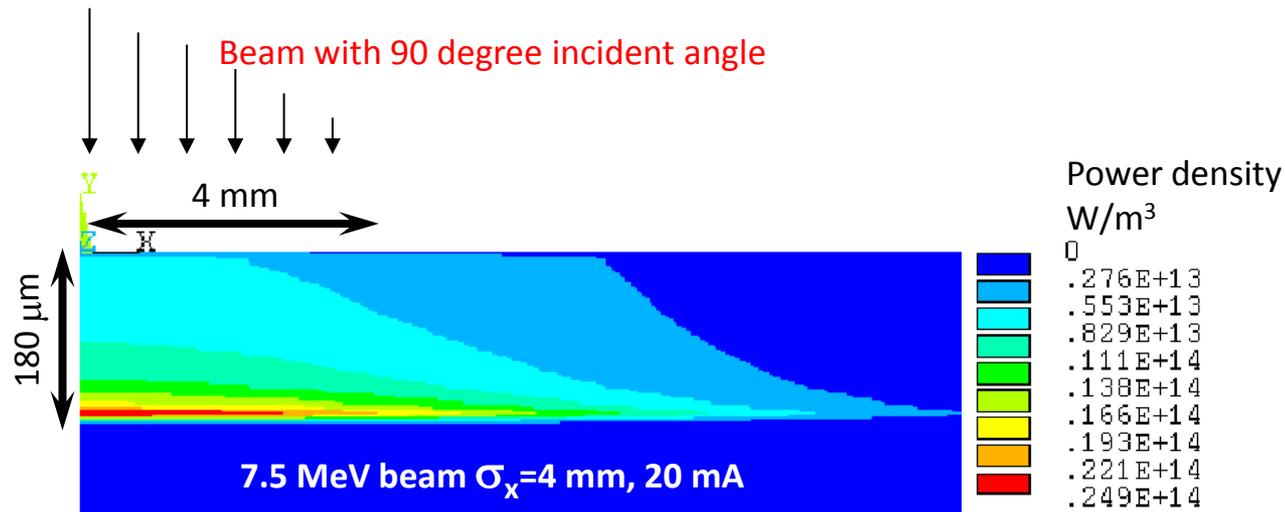


Simulation for SNS  
linac beam dump window  
using CASINO  
(electrons stripped from H-)

# Proton/Ion beam stopping

Bragg curves for 90 degree incidents





Thresholds or Criteria to protect structure (example)

Thermal: below melting temperature

Mechanical: below mechanical stress limit (ex 70 % of yield, 50 % of tensile strength..) due to thermal gradient, also including fatigue effect and fractural toughness

Mostly mechanical threshold comes much earlier

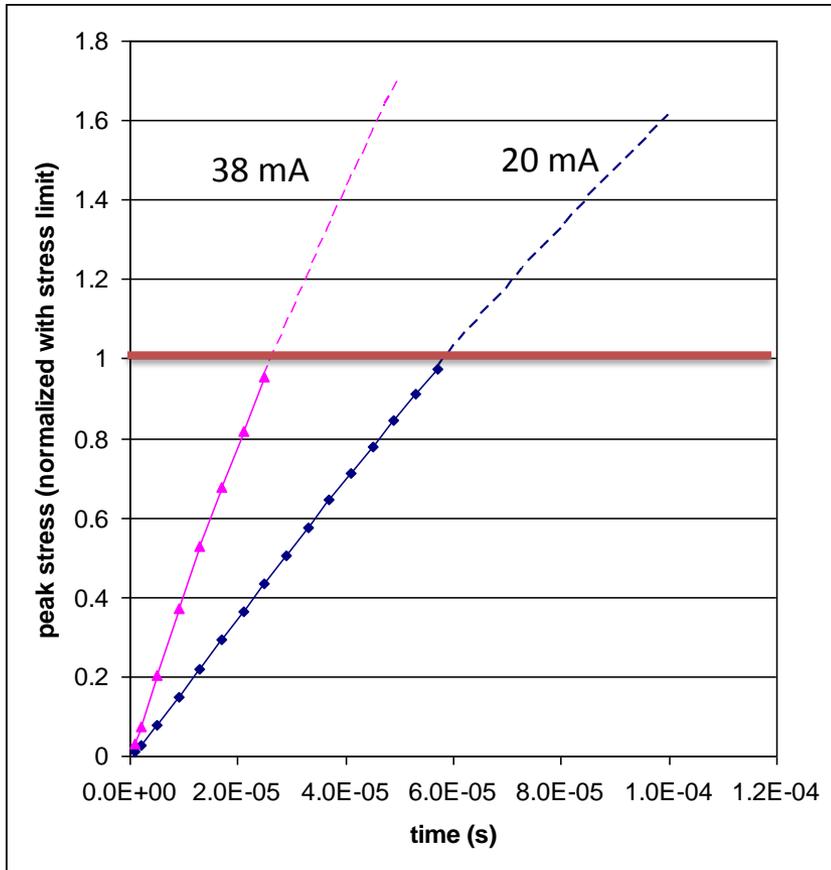
In this example the peak temperature is < 200 C when the peak stress reaches the mechanical threshold

Usually MPS analysis is done with pretty conservative assumption

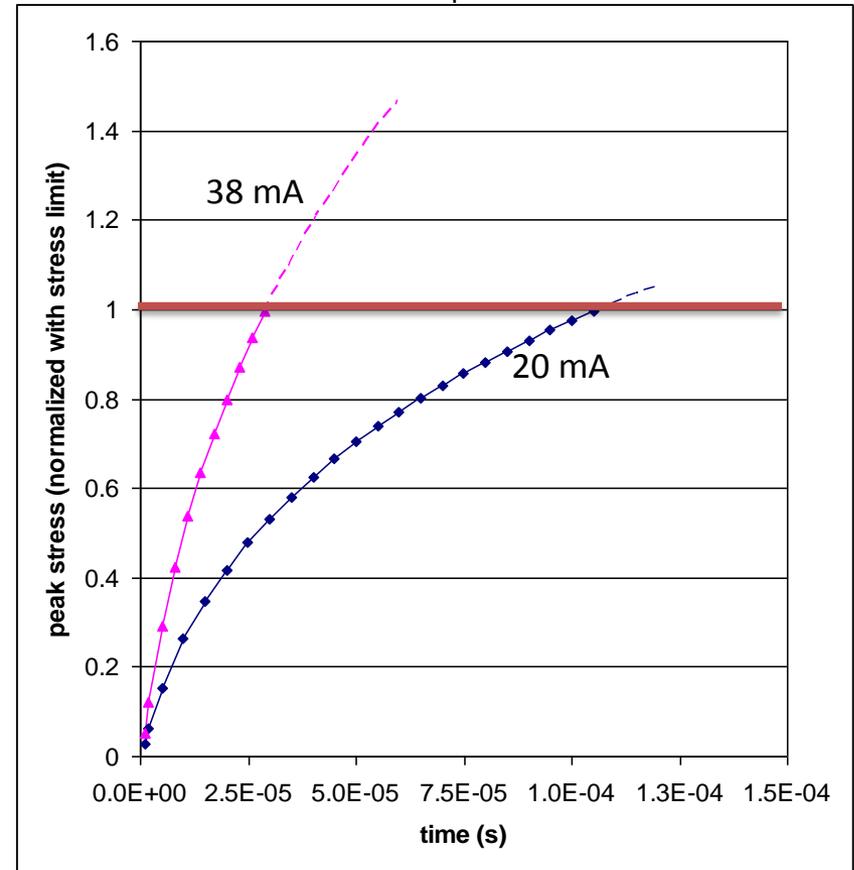
Ex. SNS DTL (2.5 MeV DTL 1 input energy, 7.5 MeV DTL output energy)

Time to reach the mechanical stress threshold

7.5 MeV,  $\sigma_r=4$  mm



2.5 MeV,  $\sigma_r=4$  mm



# MPS delay from the fast interlock system

## RF system

When a fault condition is detected in a unit of the system (arc, cavity field, forward power, reflected power, circulator power, klystron reflected power, etc.)

→ It truncate or turn-off the RF in  $< 1-2$  us.

At the same time it sends the signal to MPS system

→ The signal passes through the MPS chains

→ abort beam (usually source beam truncation from front-end)

} MPS delay

## Abnormal beam from the Source

→ Abnormal beam transportation

→ Beam loss monitor detects the fault condition

At the same time it sends the signal to MPS system

→ The signal passes through the MPS chains

→ abort beam (usually source beam truncation from front-end)

} MPS delay

Typical MPS delay that uses fiber optic cables and passes through the chain: 15-30 us

# Errant beam

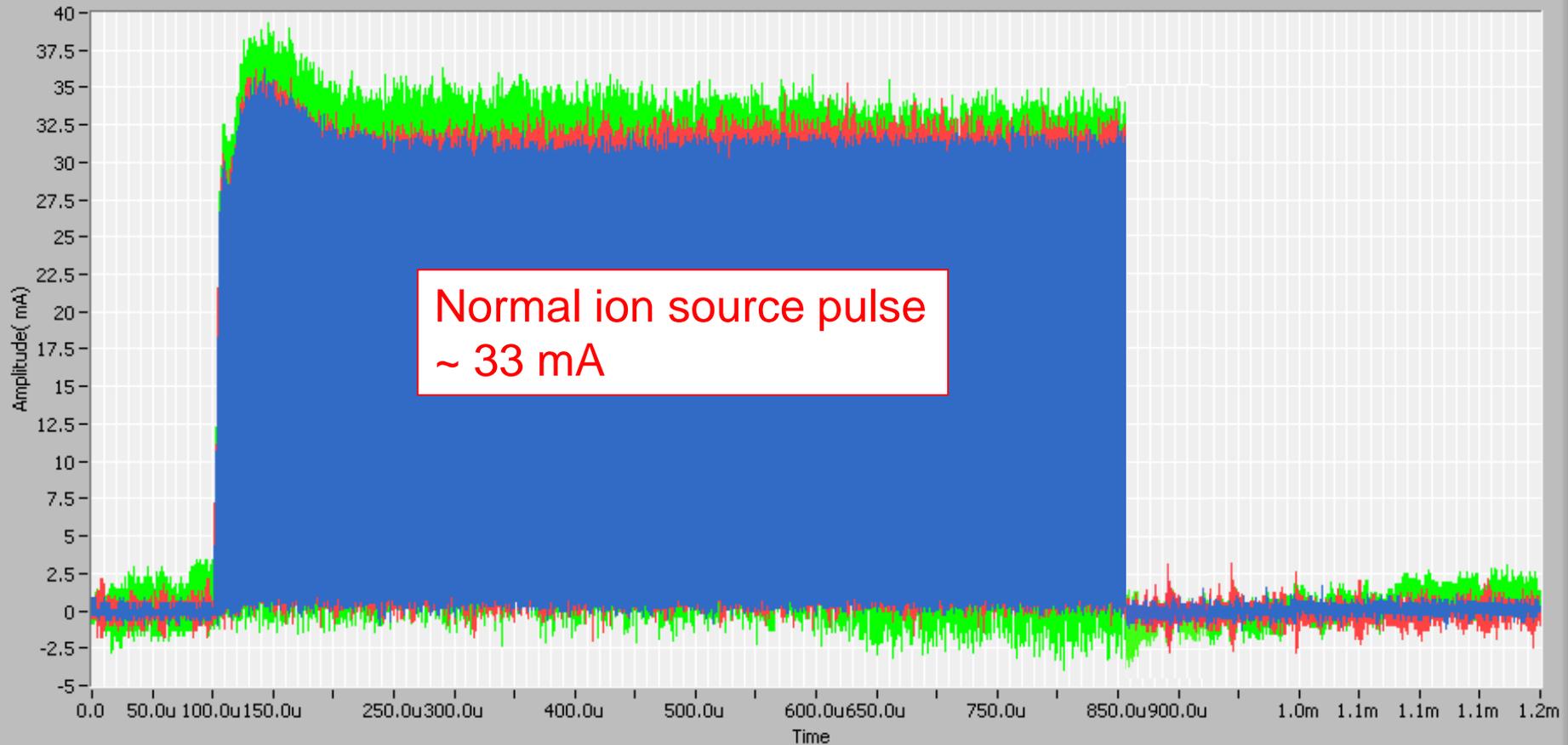
off-energy beam generated anywhere in the accelerator and transported to the downstream in [a fault condition](#)

Since the errant beam is off-energy beam, it is mostly lost while transported through the linac, which results in beam trips caused by excessive beam loss.

Errant beam hits cavity surface: desorbs gas or particulates and there's a non-zero chance for creating an environment for arcing/discharge

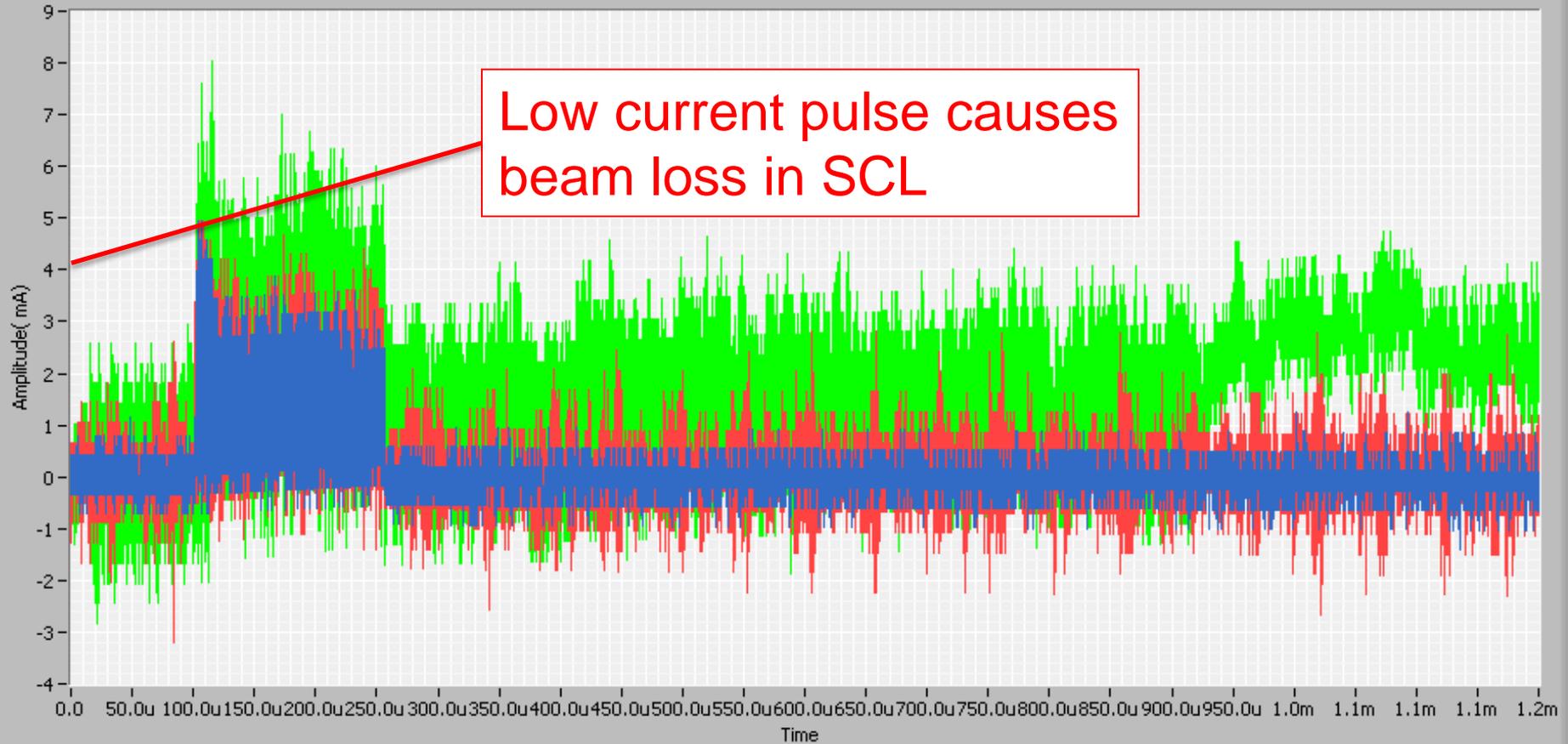
Dedicated MPS line for the fast interlock system could reduce the MPS delay down to 5-6 us.

# Ion source/LEBT is one cause of errant beams



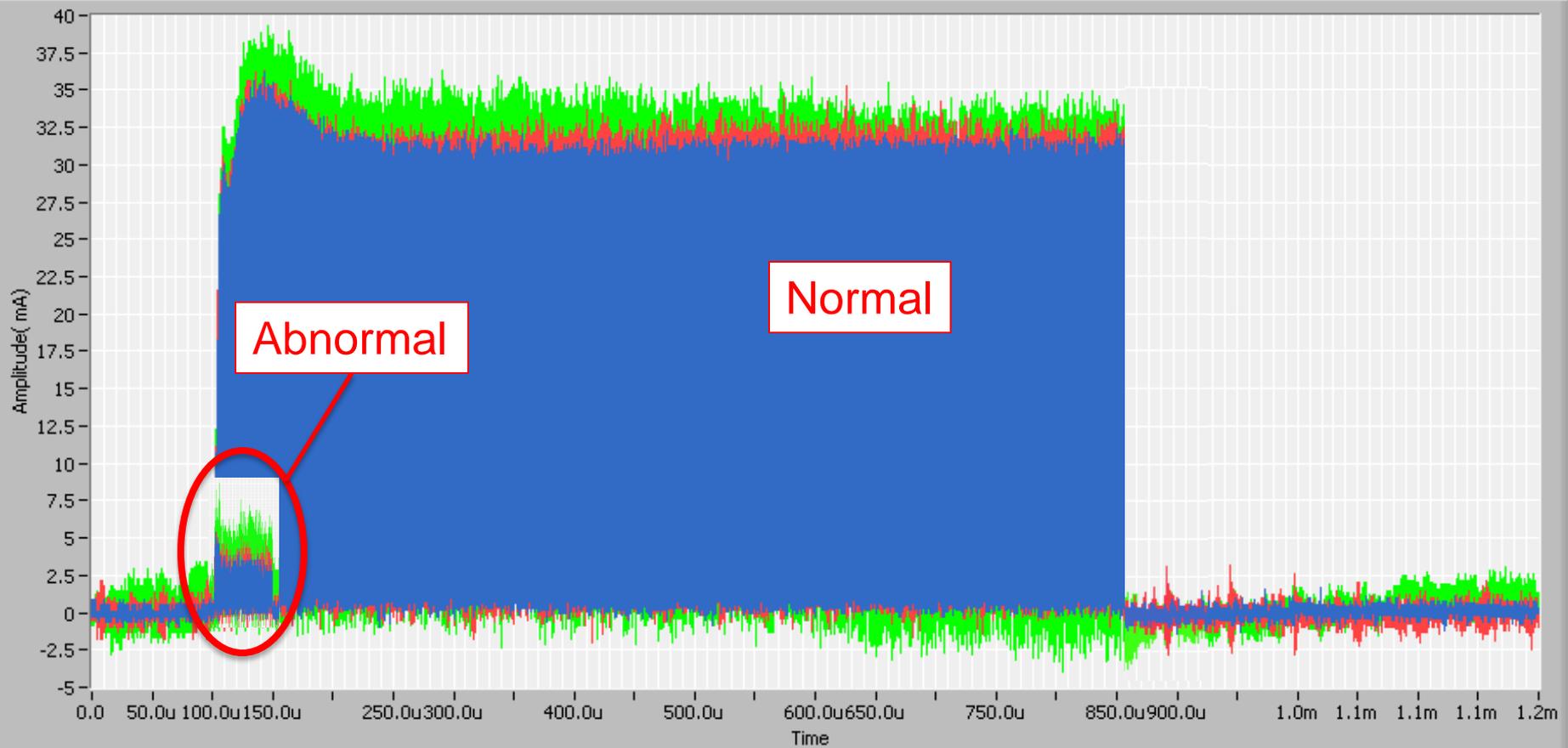
HEBT BCM01   
CCL BCM102   
MEBT BCM02 

File 120510\_212641.png



HEFT BCM01   
CCL BCM102   
MEFT BCM02 

File 120510\_000302.png

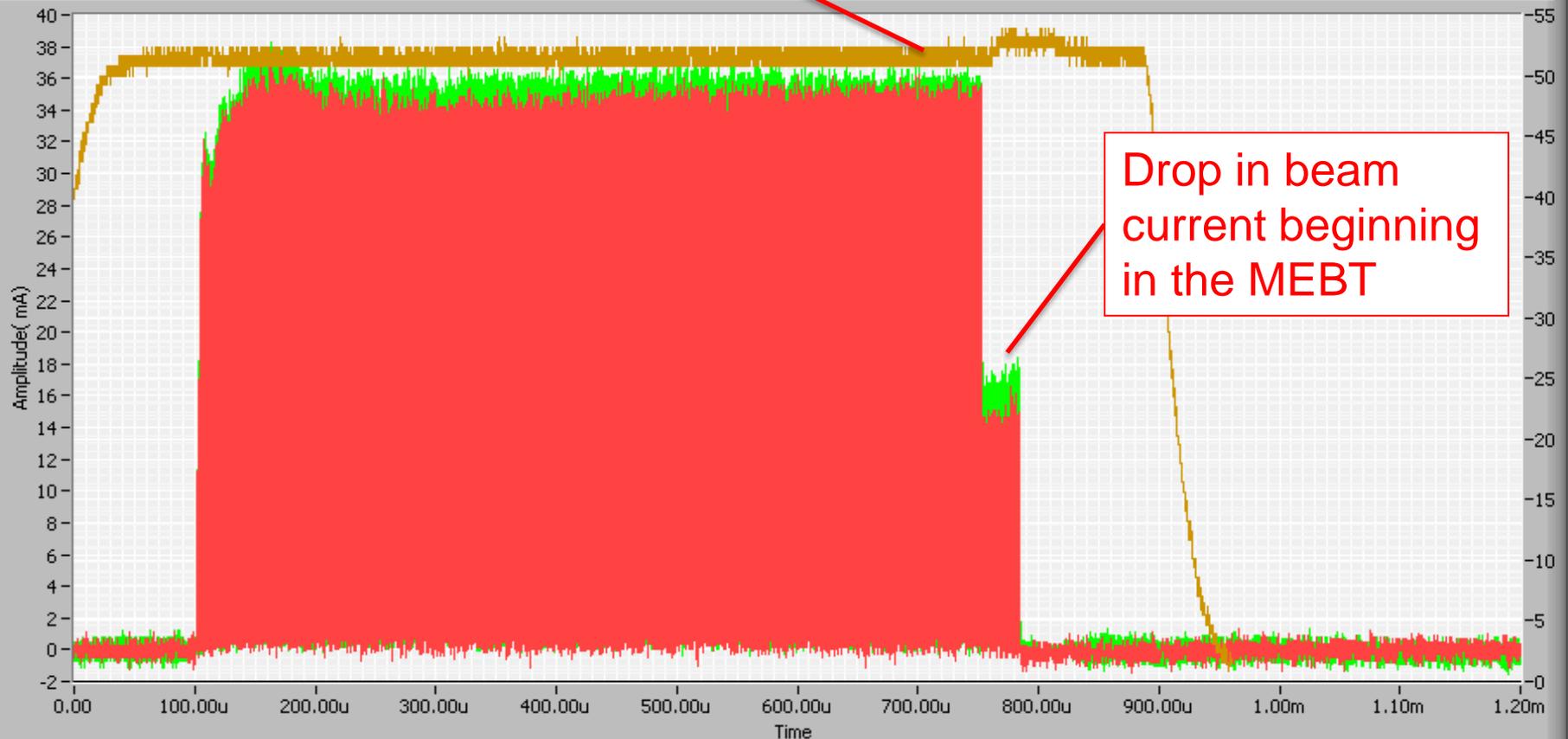


- HEBT BCM01
- CCL BCM102
- MEBT BCM02

File 120510\_212641.png

# Ion source/LEBT errant beam example

DTL4 RF waveform



	charge	Turns	Date
RF-DTL4	16u	817	121207_172541.82
RF-DTL5	13.1u	707	121207_172541.248
HEBT-BCM01	0	0	
CCL-BCM102	13.2u	714	121207_172541.248
MEBT-BCM02	14u	714	121207_172541.248

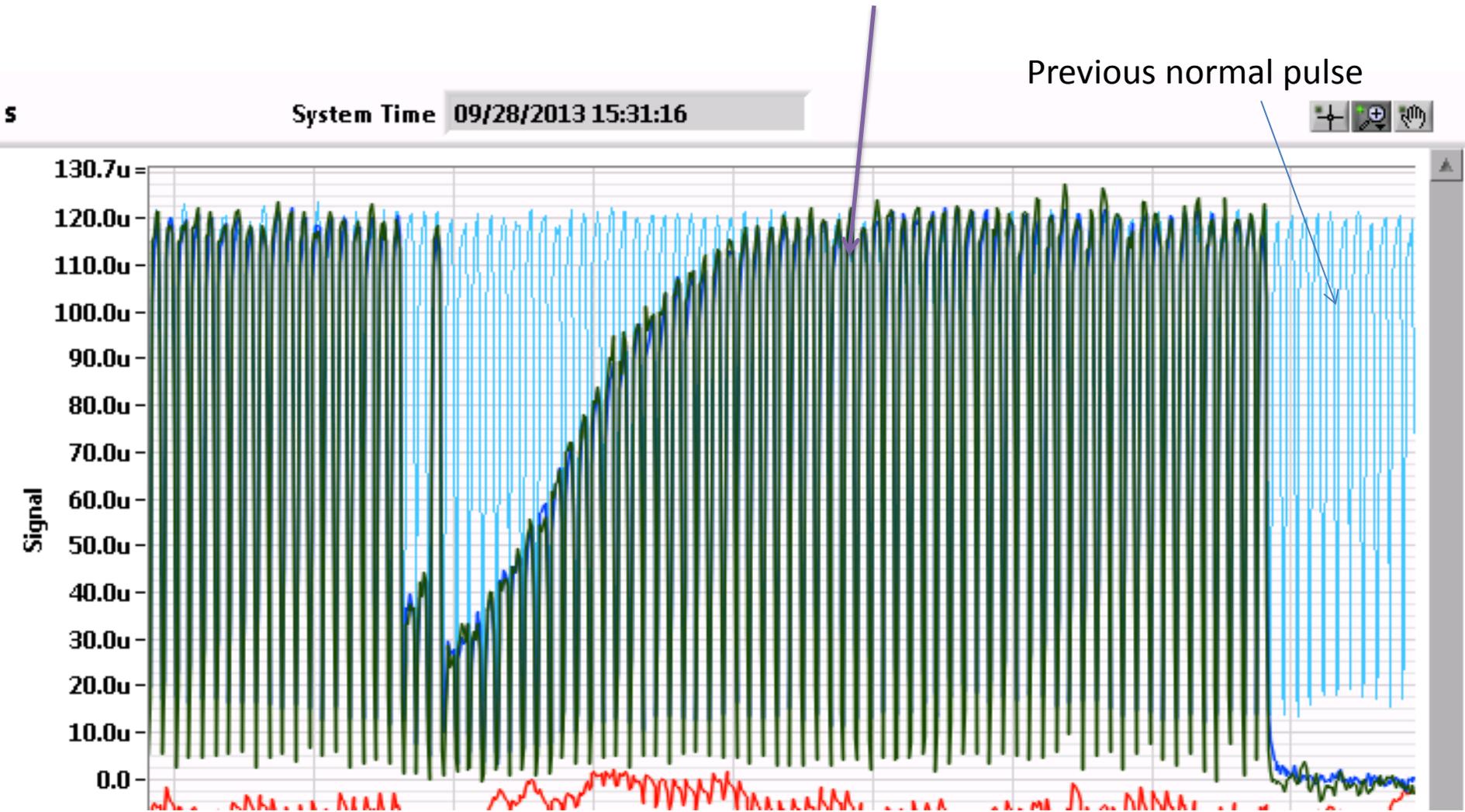
Time

Amplitude( mA)

File 121207\_172541.png

HEBT BCM01 was not working for this case

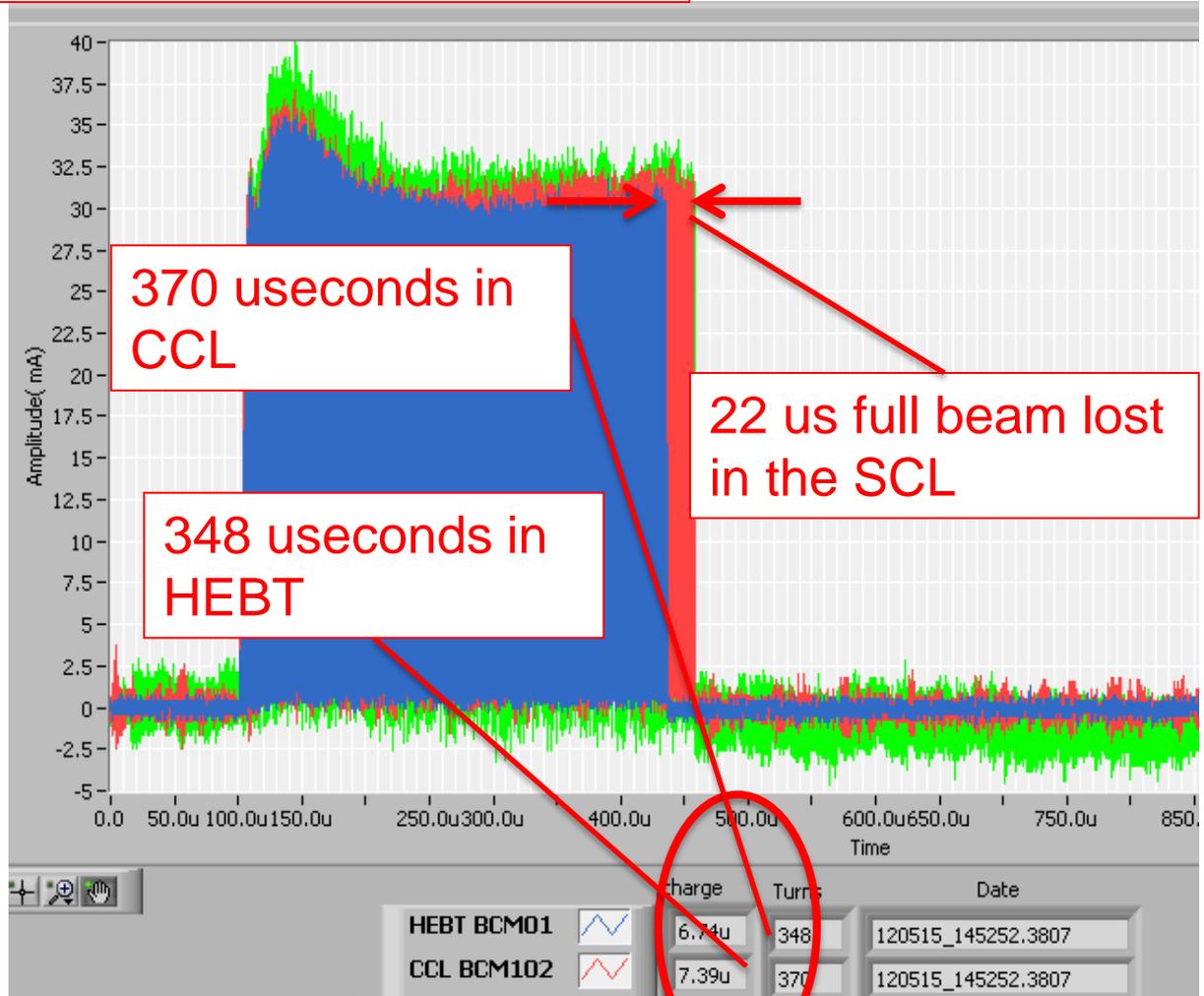
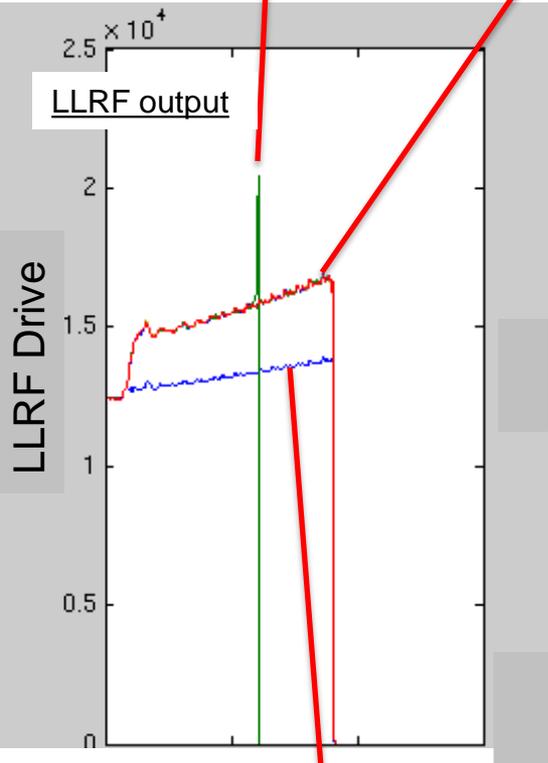
# Example: Odd beam from front end



# RF Truncation in NC structure

Abnormal RF pulse with beam

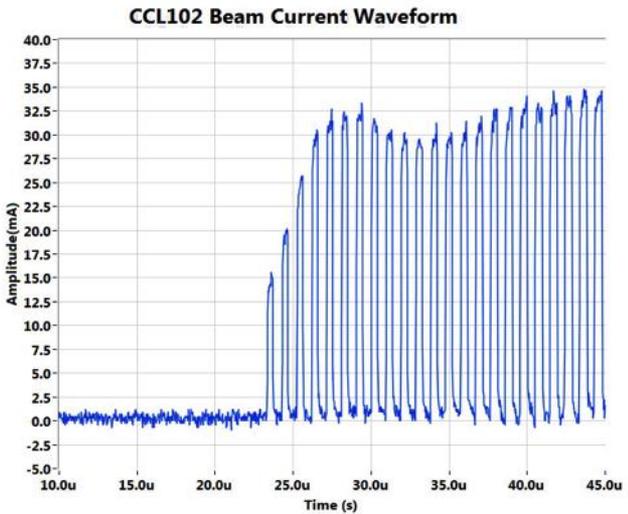
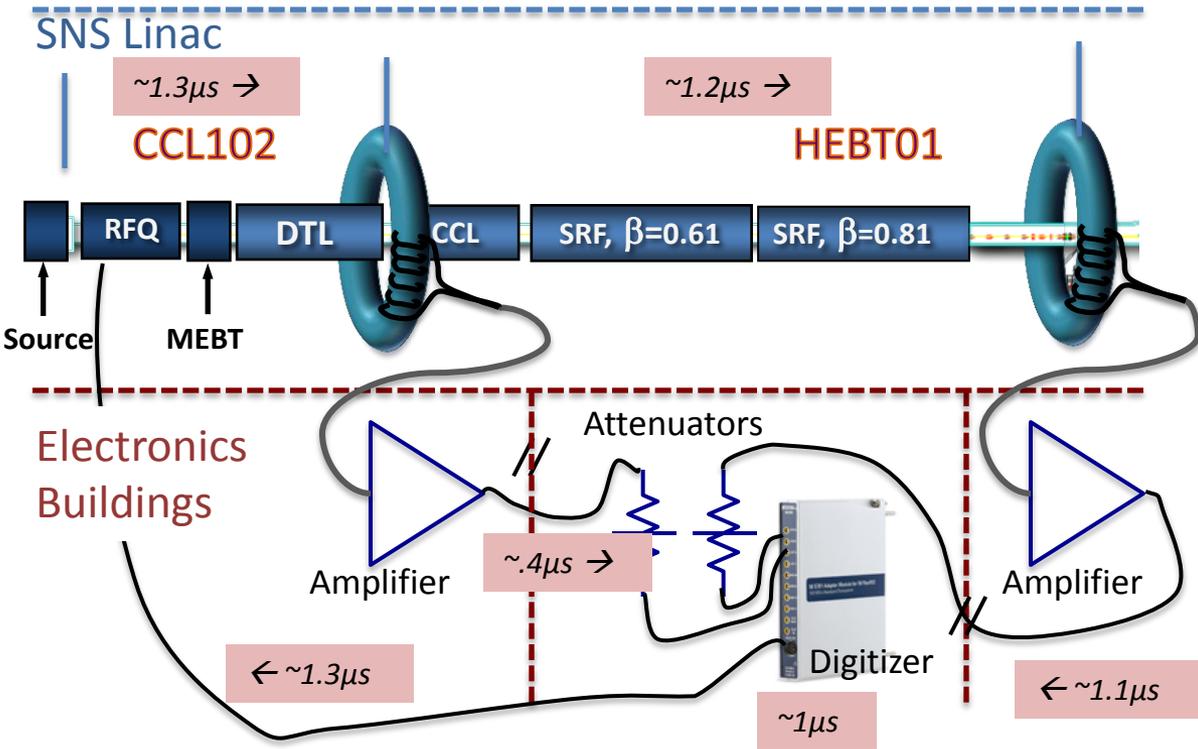
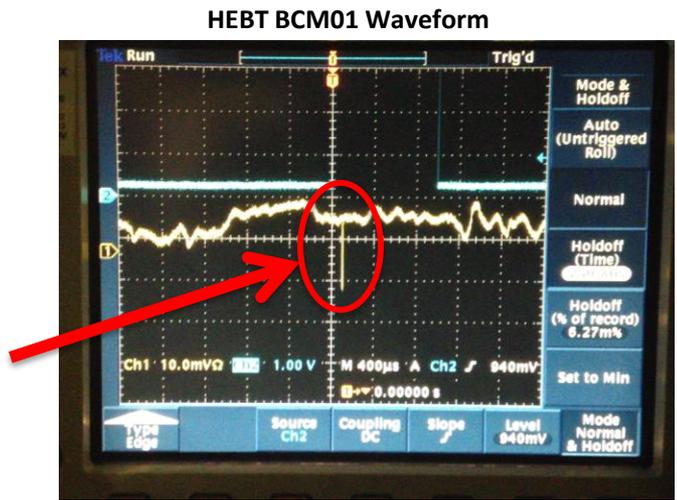
Normal RF pulse with beam



Normal RF pulse with no beam

# Layout of dedicated MPS

- Wideband current transformers:
  - 1 GHz with 1 ms droop time constant
  - Nearest one before and after SCL
  - Long cable lengths (500-1200ft)



Amplifiers and attenuators to counter induced noise on long cables

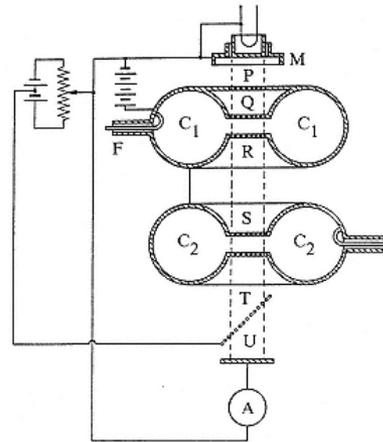
# Understanding of the system is the most important aspect for the safe operation and equipment protection

- Let's take an example with klystron for machine protection point of view.
  - how they are designed or fabricated
  - Klystron is a high power rf source where many accelerator related components are in place such as electron gun, beam acceleration, RF coupling, beam bunching, maintain high vacuum, DC high voltage, beam dump, RF window, cooling, beam focusing, etc.
- And then discuss about how to protect it.
- Since there are many analogies, this example will help expanding the idea for other equipment.

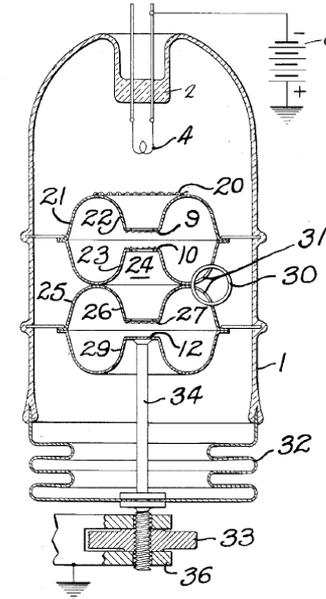
**Klystron**

# Klystron

- History



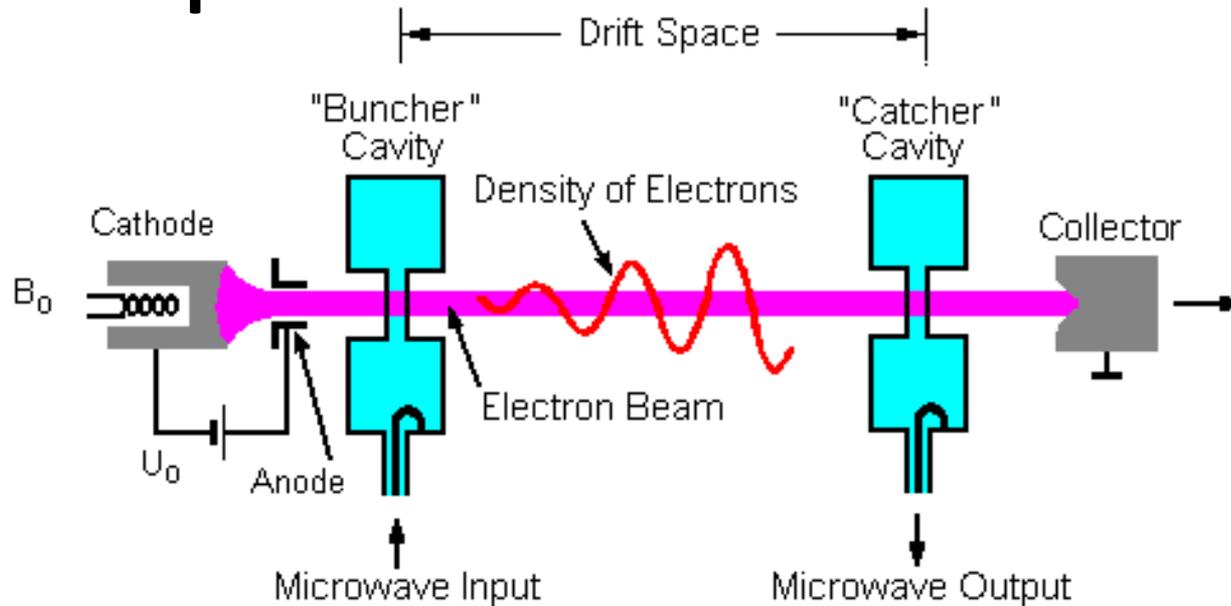
The klystron as first described by the Varian brothers. *J. appl. phys.*, 10 (1939), 324.



US patent No. 2242275, Russell H. Varian, May 20, 1941

- A few hundred MHz ~ tens of GHz
- A few hundred W ~ several tens of MW
- Typical efficiency RF power/DC power: 50-70 %

# Basic Principle



Klystron is a RF amplifier.

Electron beam starts from cathode and accelerated by the pulse or DC voltage.

(DC beam, not bunched yet)

Beam passes through the input (bunching) cavity that is excited by low power RF/microwave.

When beam passes through the input cavity, bunching process starts.

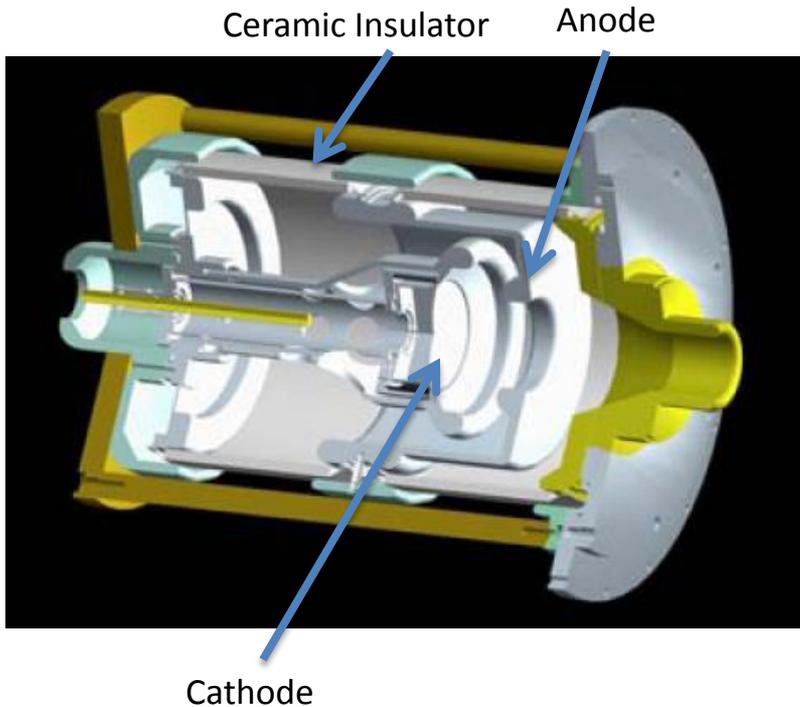
While drifting the beam tube, bunching develops.

When bunched electron beam reaches the output (or catcher) cavity where the bunching is maximum, bunched beam induces RF current in the output cavity.

The amplified RF is extracted through the output coupler.

Beam is dumped on collector.

# Electron Gun



## High voltage

400 kV (ex. S-band 80 MW tube)

130 kV (ex. 700-800 MHz, 5 MW tube)

→ DC Arcing/discharge

## Dispenser cathode

- Operating temperature: 1100K-1500K depending on application
- Current density: A few tens  $A/cm^2$
- Typically  $<2 A/cm^2$  or much lower
- BaO, SrO,  $Al_2O_3$  impregnated into porous tungsten + many artistic additions
- Very sensitive emission characteristics
- Need very careful treatment & handling
- Lifetime is function of temperature (or emission current density)

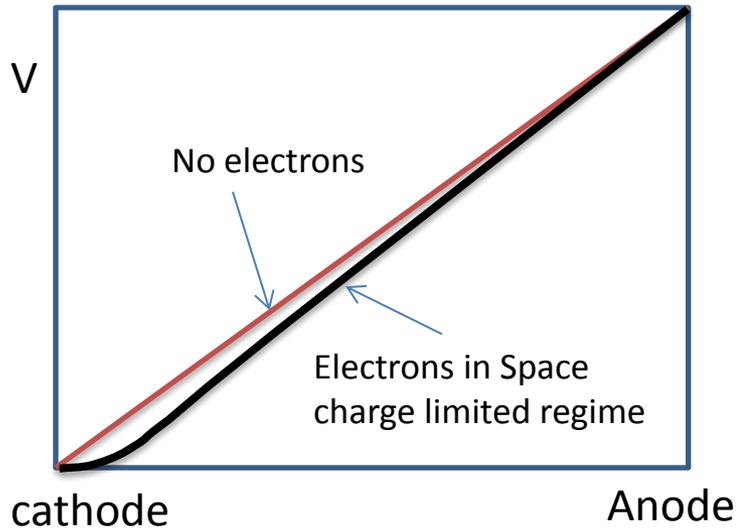
→

1. **Failure of emission**: poisoning or depletion of oxide or impregnant.
2. **Failure of heating mechanism**: heater short or open circuit.

Have to keep good vacuum, clean condition, & **adequate cathode temperature**

# Cathode

E-guns are mostly running in the space charge limited regime



**Space charge limited regime:** when electron emission density is large enough at a certain cathode-anode voltage, electric field at the cathode surface becomes zero.

And emission current vs. voltage → Child-Law:

$$I = PV^{3/2}, \quad P: \text{Perveance (geometric factor)}$$

If **temperature is not high enough** (if some area of the cathode does not reach the space charge limited condition), the emission characteristic becomes very sensitive with temperature (temperature limited regime) thus beam quality and optics change.

If **temperature is too high**, cathode life time gets short.

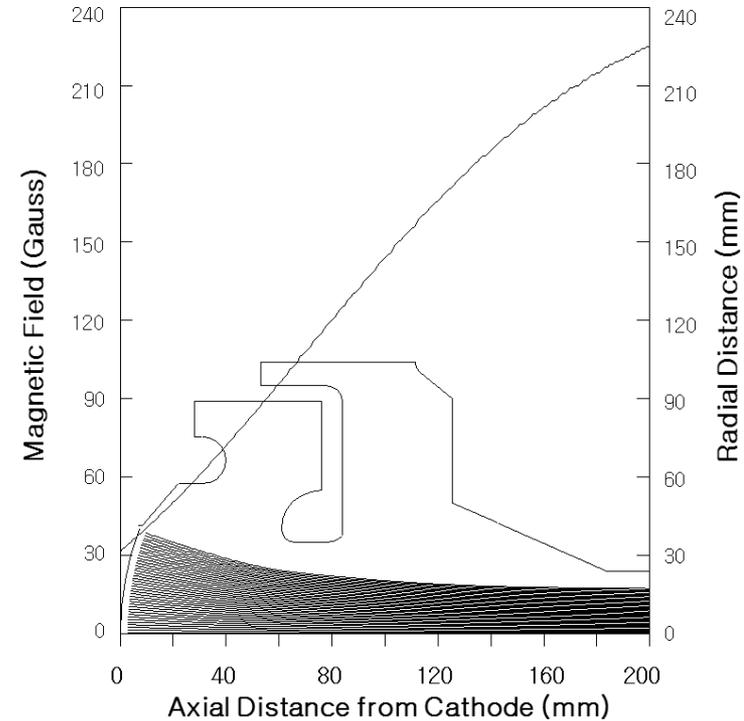
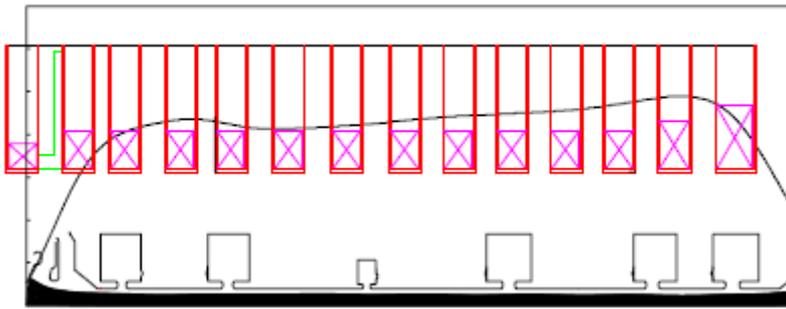
# Magnetic field

Cathode is immersed in magnetic field

Confined flow focusing

Failure in magnet or magnet power supply

Running at a wrong field → could be catastrophic



# Collector

Designed to have fairly uniform power density

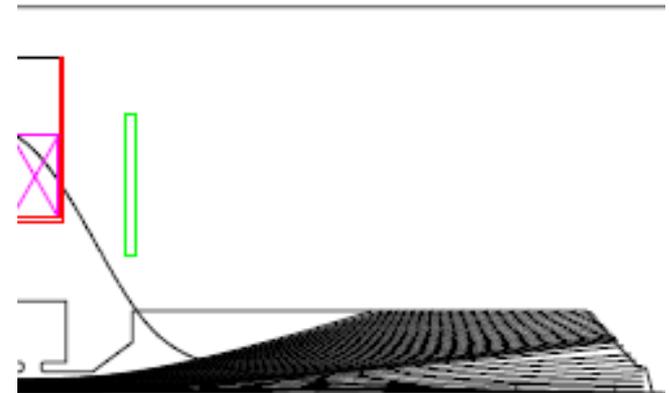
High power klystrons

ex 1.3 MW CW: ~2 MW DC beam dump

cooling failure → catastrophic

vacuum failure → catastrophic

: vacuum firing (minimal outgassing at high power beam bombardment)



# Output coupling and Window

Typically iris or loop coupling

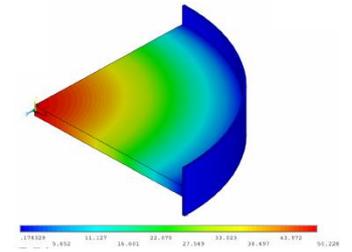
Window:

One of the limiting factors for peak and average power.  
Adequate power handling: low loss RF dielectric material.  
Good match over the frequency band of the klystron.

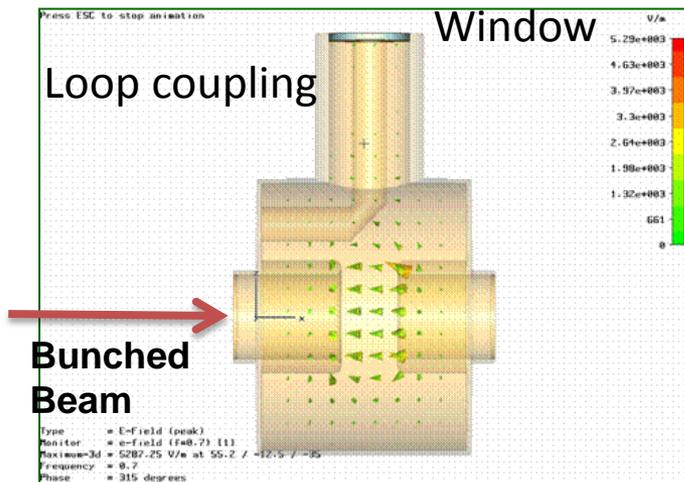
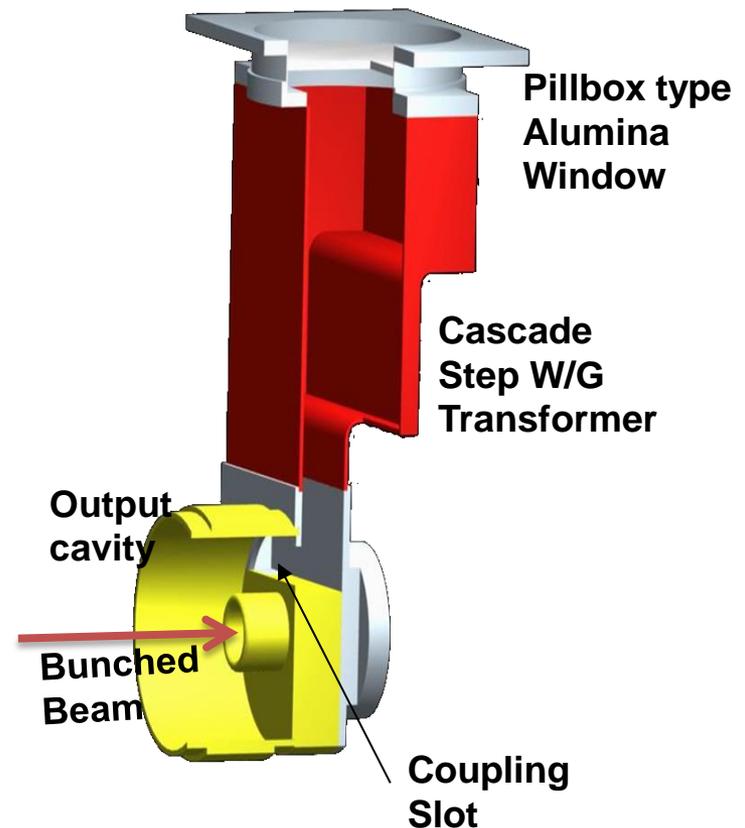
**Trapped mode** near operating frequency:  
electric breakdown, instability of RF

Main danger in high peak power window:

**Multipacting**  
**high VSWR**



Iris coupling

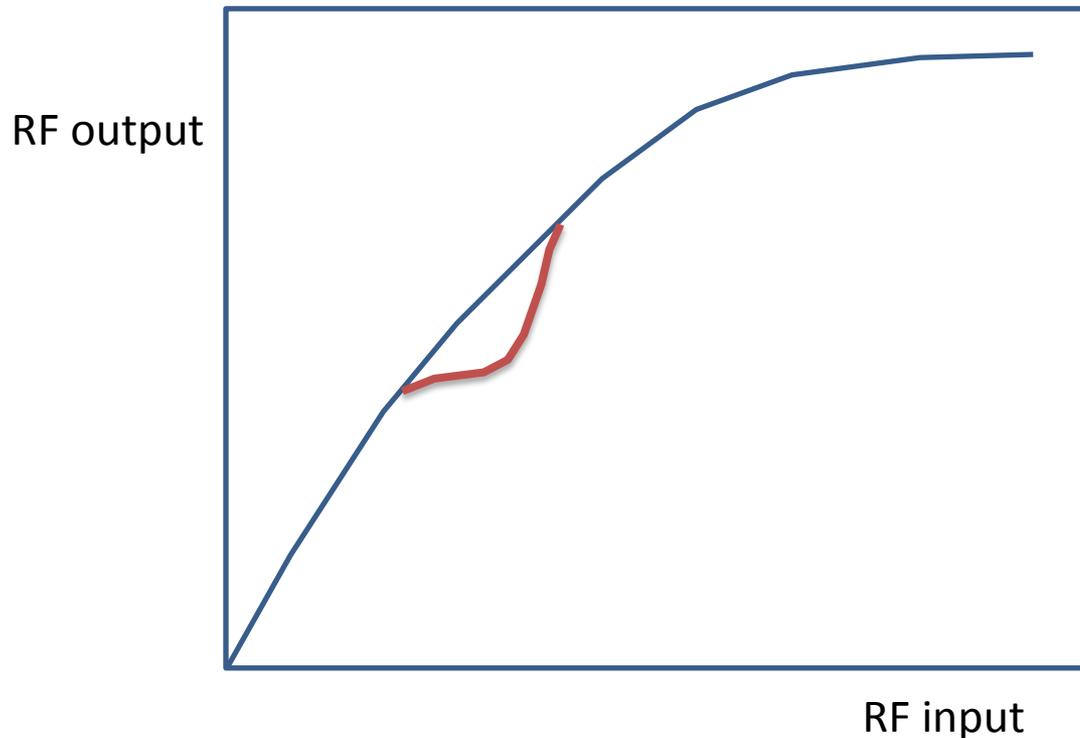


# Multipacting on cavities in klystrons

As mentioned in the previous page, MP is possible in windows and output circuit.

In addition, the cavities in the klystron is, of course, the possible **MP** region.

It could be dangerous or could result in instability.



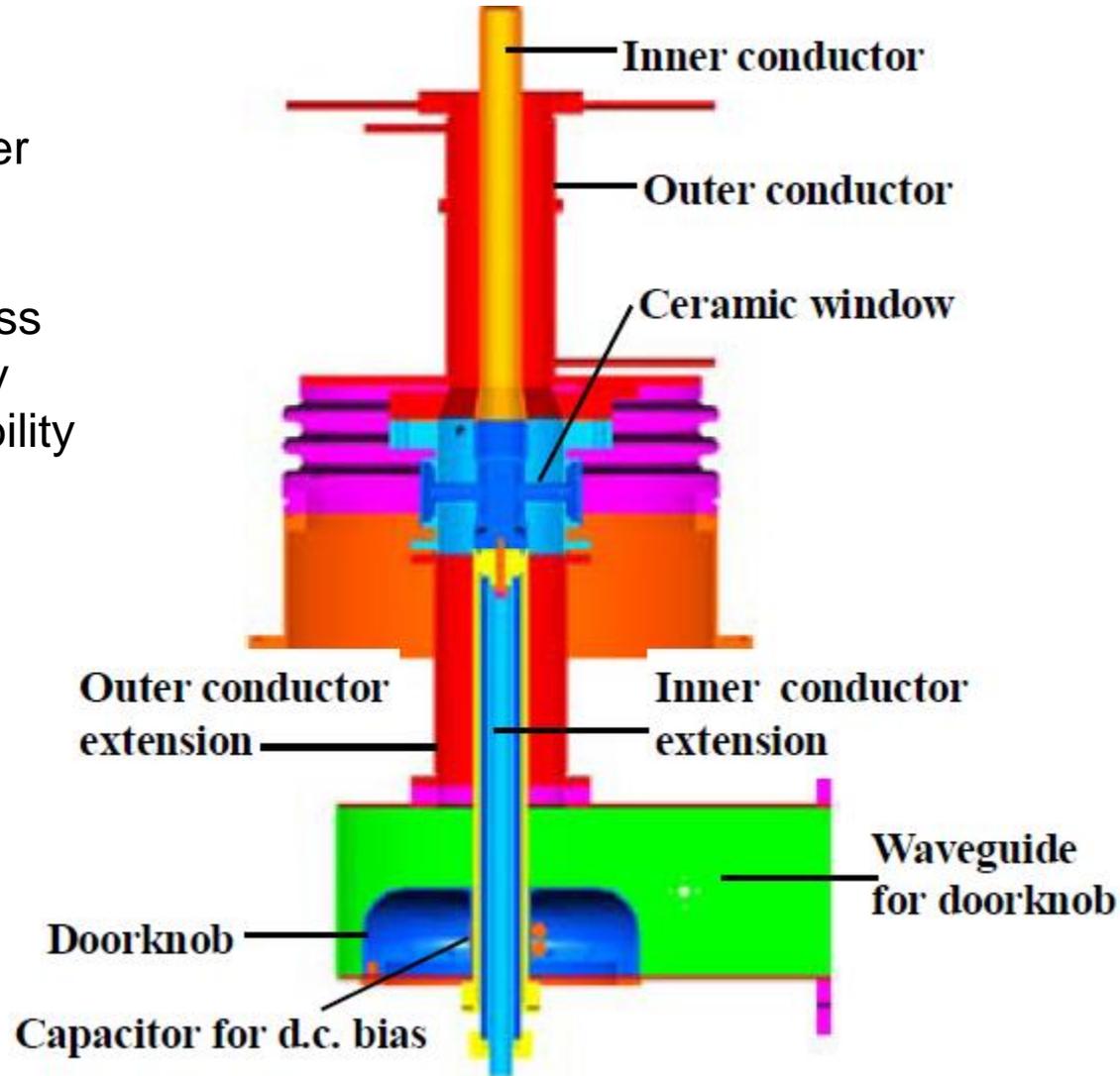
# Couplers

# Power Coupler

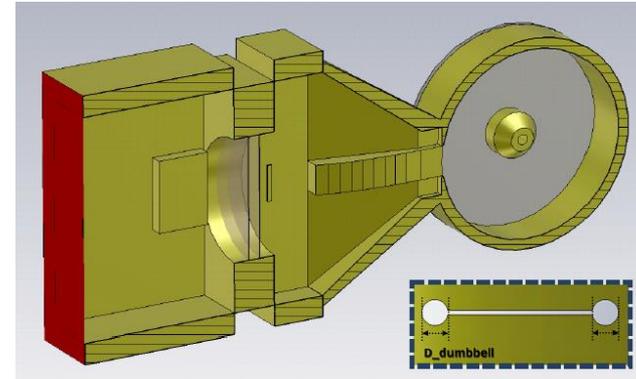
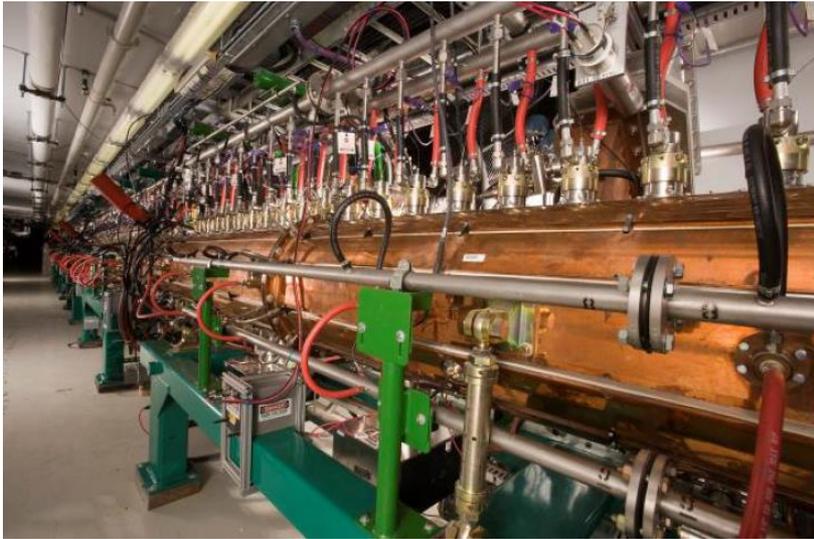
Function: Deliver RF power  
Coupling  $\rightarrow Q_{ex}$

Concerns: Transmission loss  
Thermal stability  
Mechanical stability  
Simplicity  
Reliability  
Cost  
Multipacting

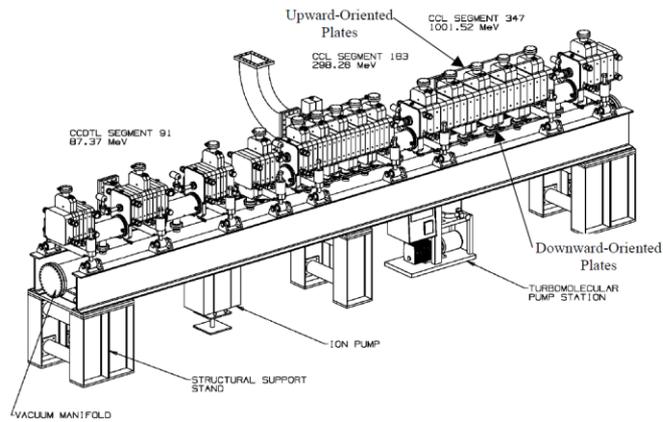
Frequency  
Power needed  
Coupling  
Types  
Cooling  
Window



SNS Fundamental Power Coupler



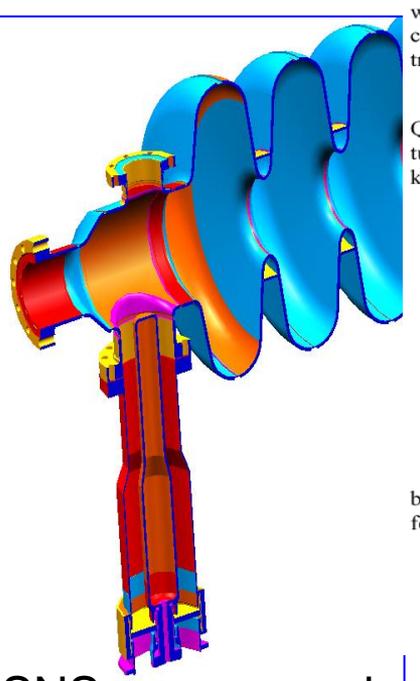
DTL window and coupler



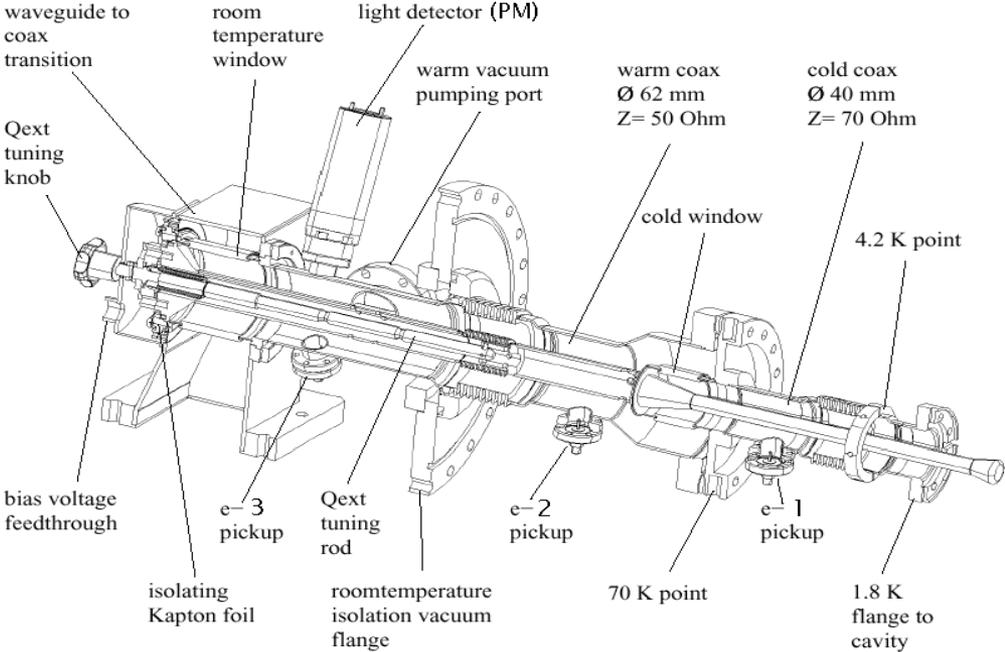
CCL window and coupler

# Power couplers For SRF cavities

Coaxial



SNS power coupler

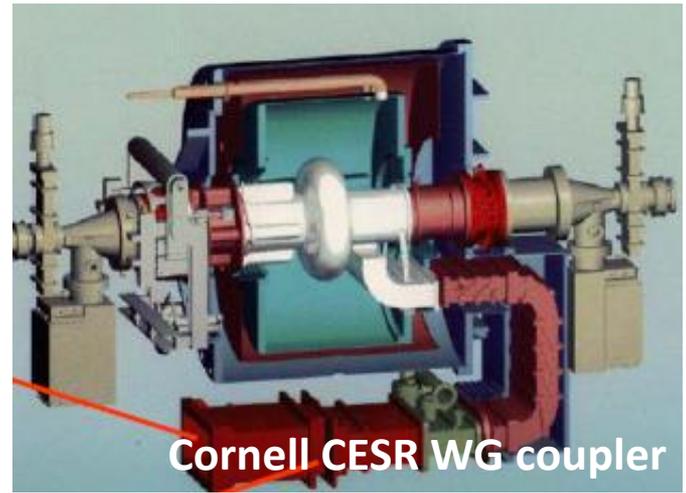


TTF3 coupler (ILC)

Waveguide

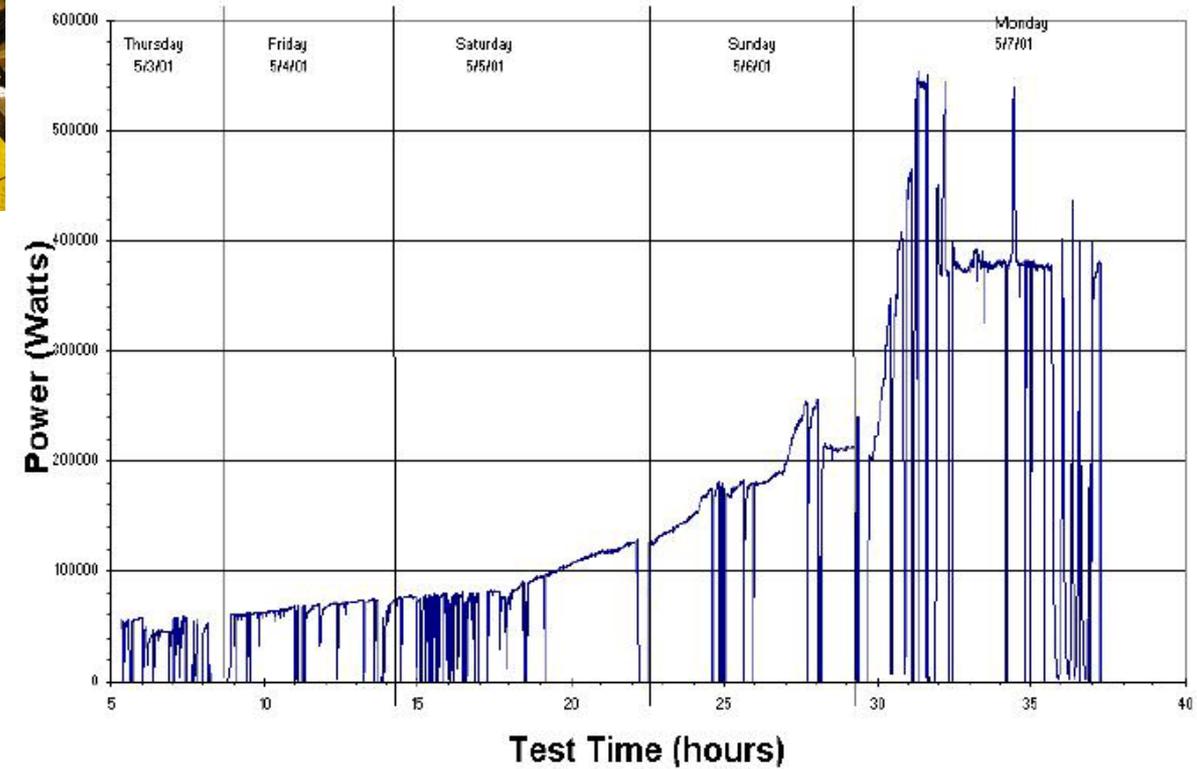
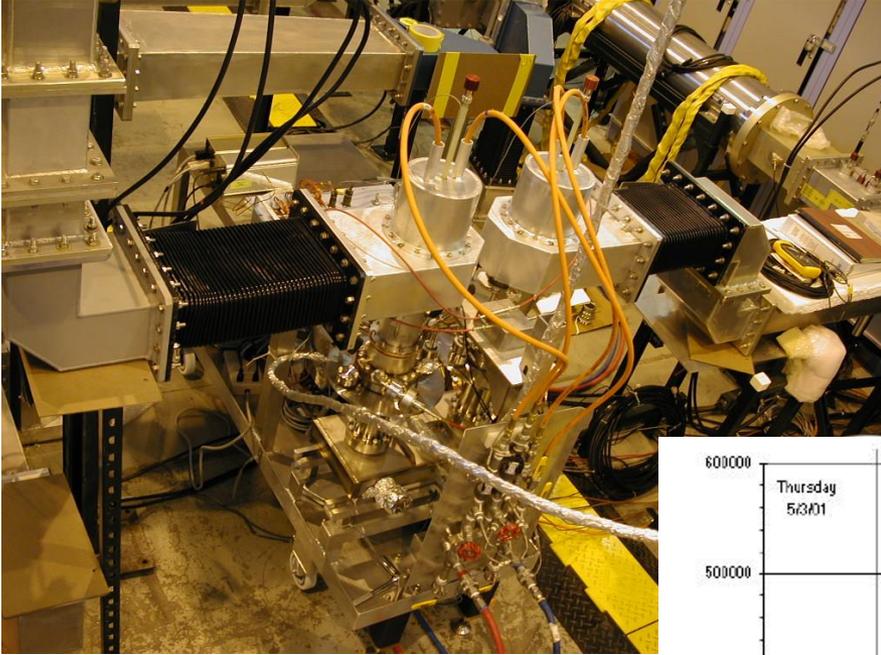


CEBAF WG coupler

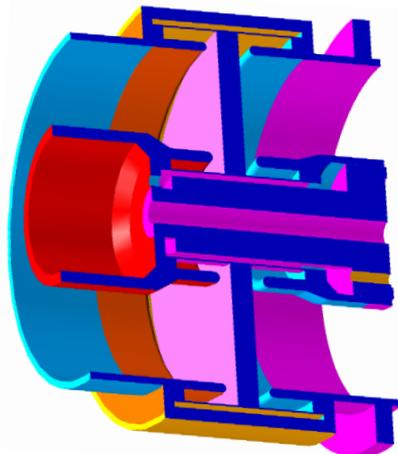


Cornell CESR WG coupler

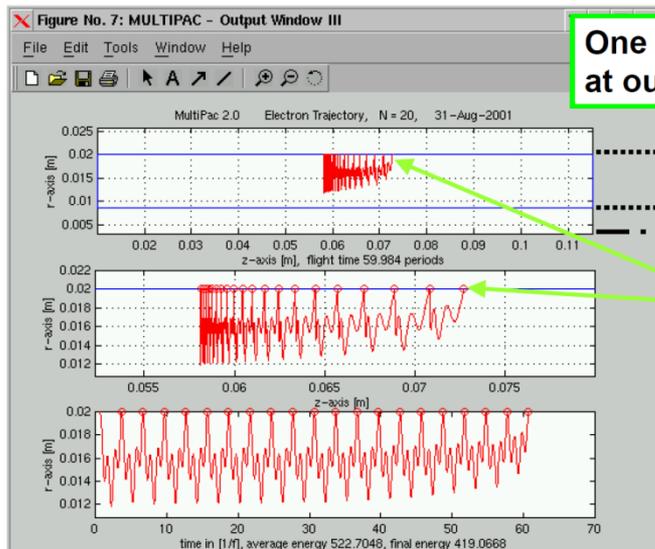
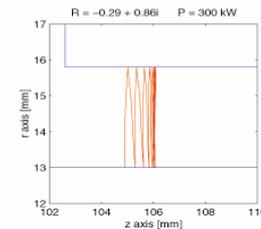
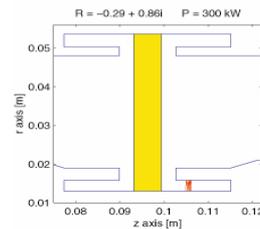
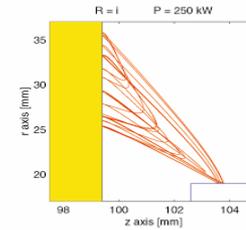
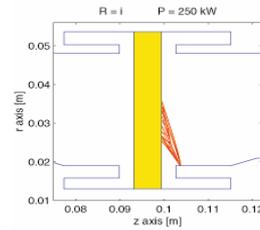
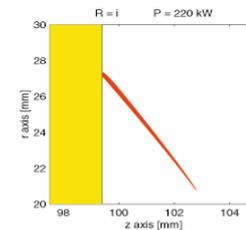
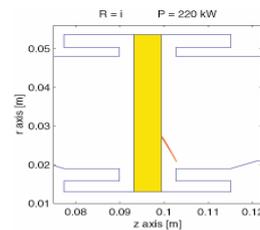
# Coupler conditioning



# Multipacting simulations



SNS Choke window



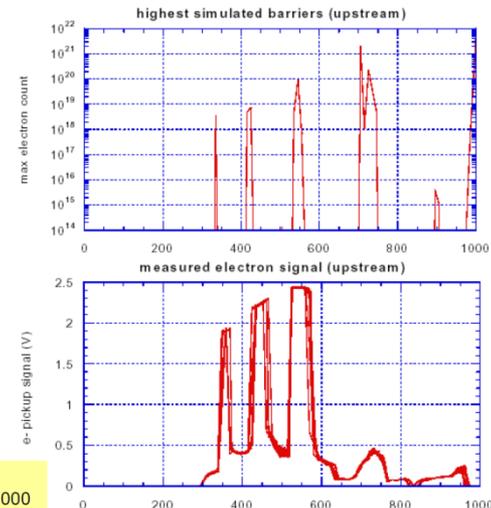
One point MP at outer conductor

outer  
inner

e- start

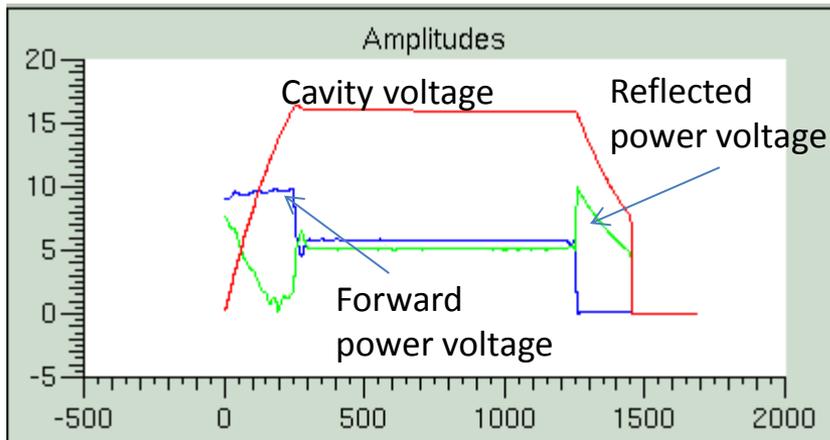
\* D. Proch: "Techniques in High-power Components for SRF Cavities-a Look to the Future", Linac 2002

\* G. Devanz, CEA Saclay, SFP Meeting in Roscoff in 2000

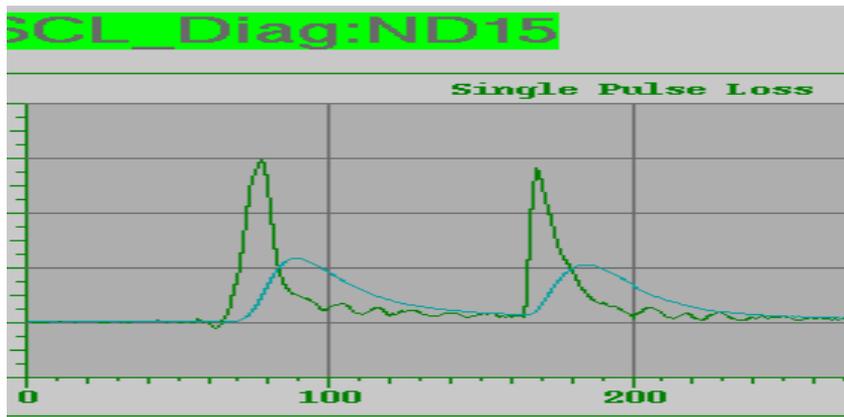


- 1.3 GHz
- 50 Ohms
- 61.6 mm

# SNS MP examples: e-probe, radiation

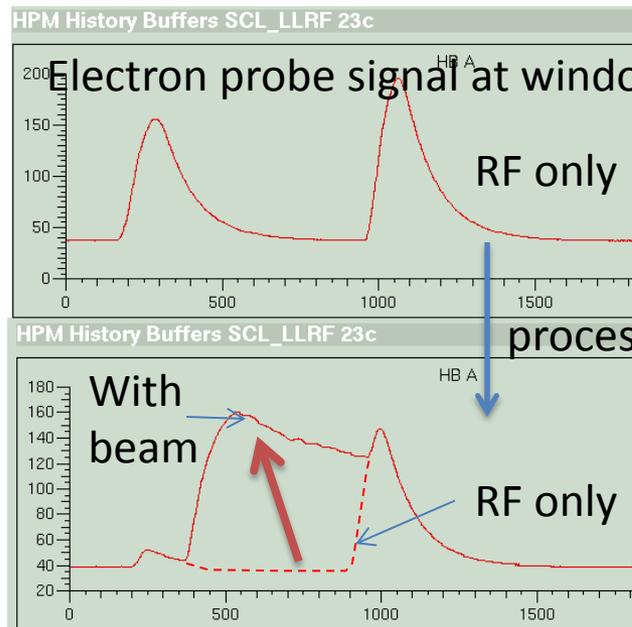


ex. Radiation detector signal  
Mostly from field emission



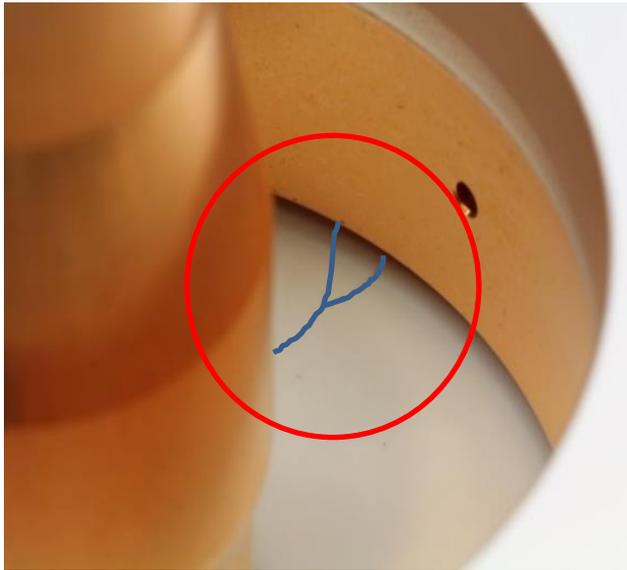
Radiation detector signal  
ex. Mostly from multipacting around power coupler

Processing in a mild condition  
and DC biasing help.

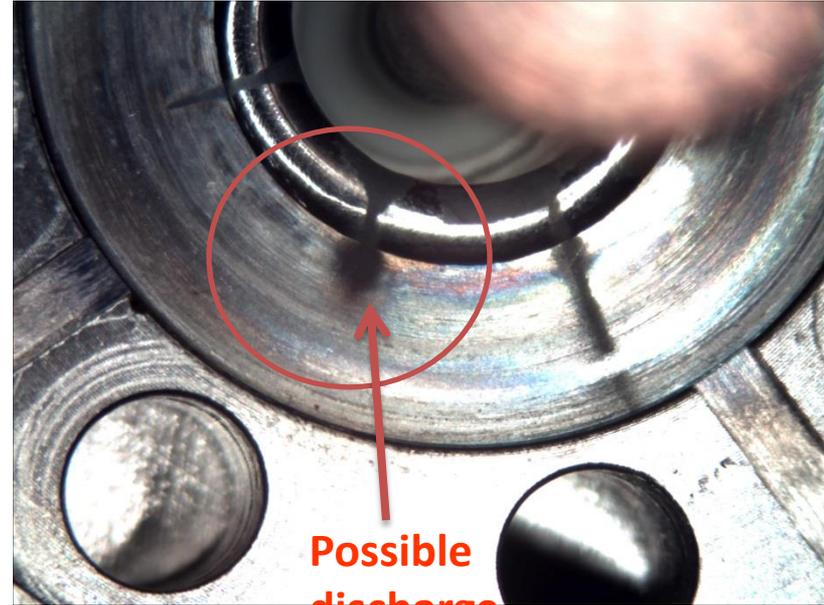


With beam on, the MP condition at the flattop

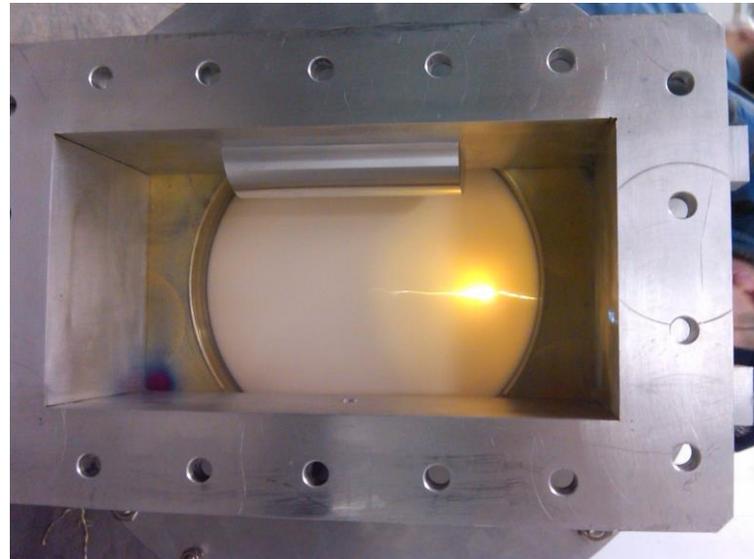
# Arcing on the window: vacuum leak



550 kW, 805 MHz  
Coaxial window  
crack



Possible  
discharge  
evidence



5 MW, 805 MHz  
window failure

# MP in the notch type HOM coupler

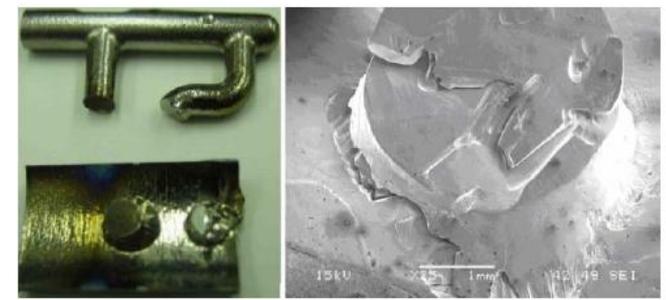
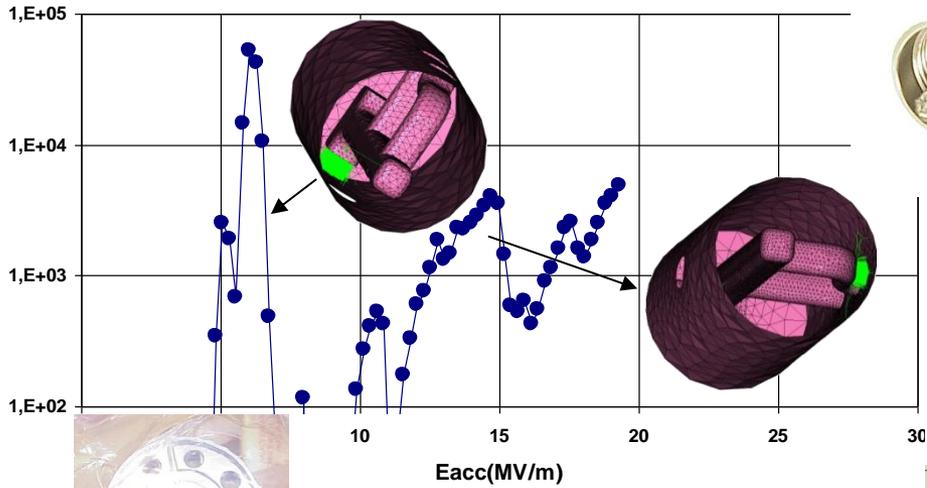
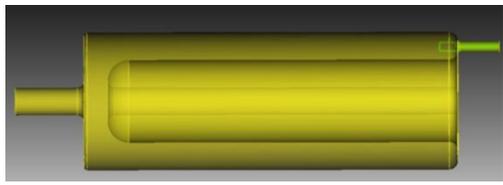
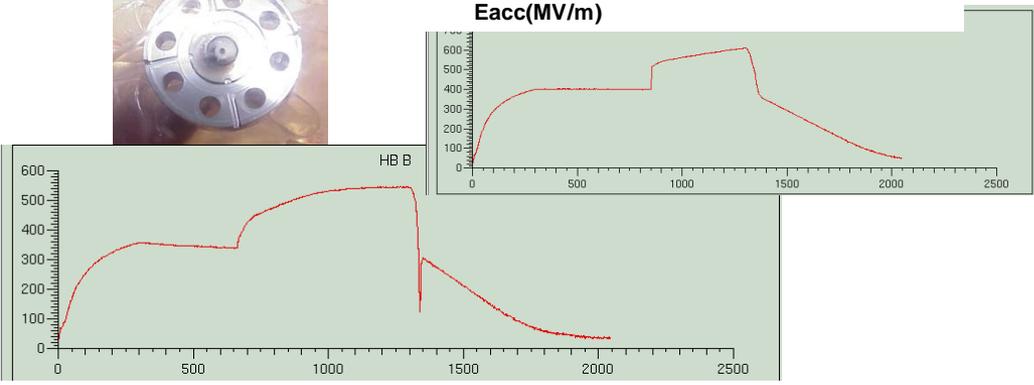


Figure 3: Curved leg of the HOM F-probe fractured from HOM can. T. Khabiboulline et al, PAC07



56MHz QWR for RHIC  
SLAC-PUB-15753

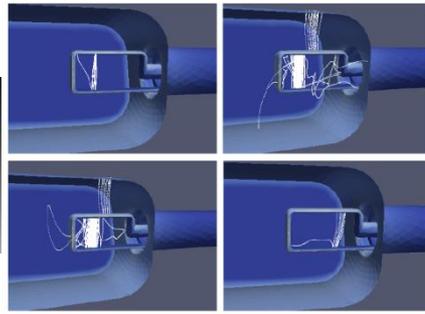
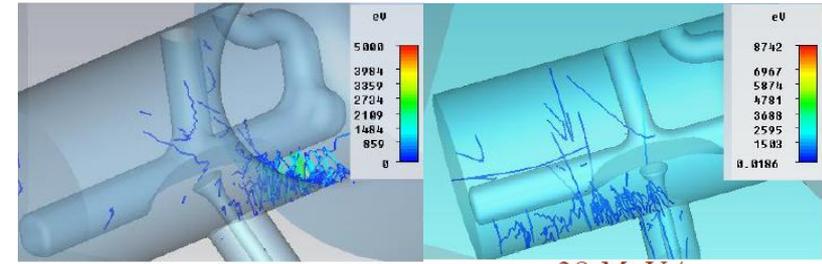


Figure 7: Resonant particle trajectories at the HOM coupler. Top-left: Epeak = 72 kV/m; Top-right: Epeak = 93 kV/m; Bottom-right: Epeak = 102 kV/m; Bottom-left: Epeak = 344 kV/m.



10 MeV/m

28 MeV/m

Simulation for ILC, FNAL

Again in order to avoid MP

One can carefully design the RF geometry to avoid MP.

If the surface is not clean enough, still there are chances especially if geometry is complex. Analysis may not cover all details.

Also if geometry is complex, cleaning would be very difficult.

Usually MP could be processed out by careful conditioning/processing.

But not always. If there's not enough diagnostics (blind conditioning), arcing or catastrophic failure could be followed.

When arc detector detects an arc or e-probe shows abnormal signals, careful conditioning would be required (a single arc could damage surface).

Conditioning at short pulse, low duty helps (sometimes very time consuming).

Initial conditioning without DC biasing is preferable if possible.

# SNS Examples

# Interlocks/RF permission

LLRF
Systems

LLRF Interlocks (on ics-srv02.ornl.gov)
Info

LLRF Status SCL\_LLRF 01a

**Interlocks & Info** HPM

HPM Config

RF Permit from Vac.

RF Perm./MPS Check

Chatter Fault

HPRF

Coupler

Cryo Permit

HPRF IOC Heartbt.

Cryo IOC Heartbt.

Software RF Permit

RF Trip

Fiber Optic Arc

**RF Permit (HPM)**

Suspected Quench

MPS Permit Out

**HPM Summary**

**Info** FCM

PLL Locked?

FCM uses HPM

FCM Uses LBUS

LBC3=Gate

Timing Pulse OK

FCM Temperature OK

**RF Permit Summary**

**SCL 01a Control**

**LLRF 01a**

**Info** FCM

PLL Locked?

FCM uses HPM

FCM Uses LBUS

LBC3=Gate

Timing Pulse OK

FCM Temperature OK

**RF Pulse**

Width:  Rate:

Phase Shift:  Beam I:

05/30/13 13:22:39 Set CavL\_P

05/30/13 13:24:46 Clear CavL

05/30/13 13:24:52 Set CavL\_P

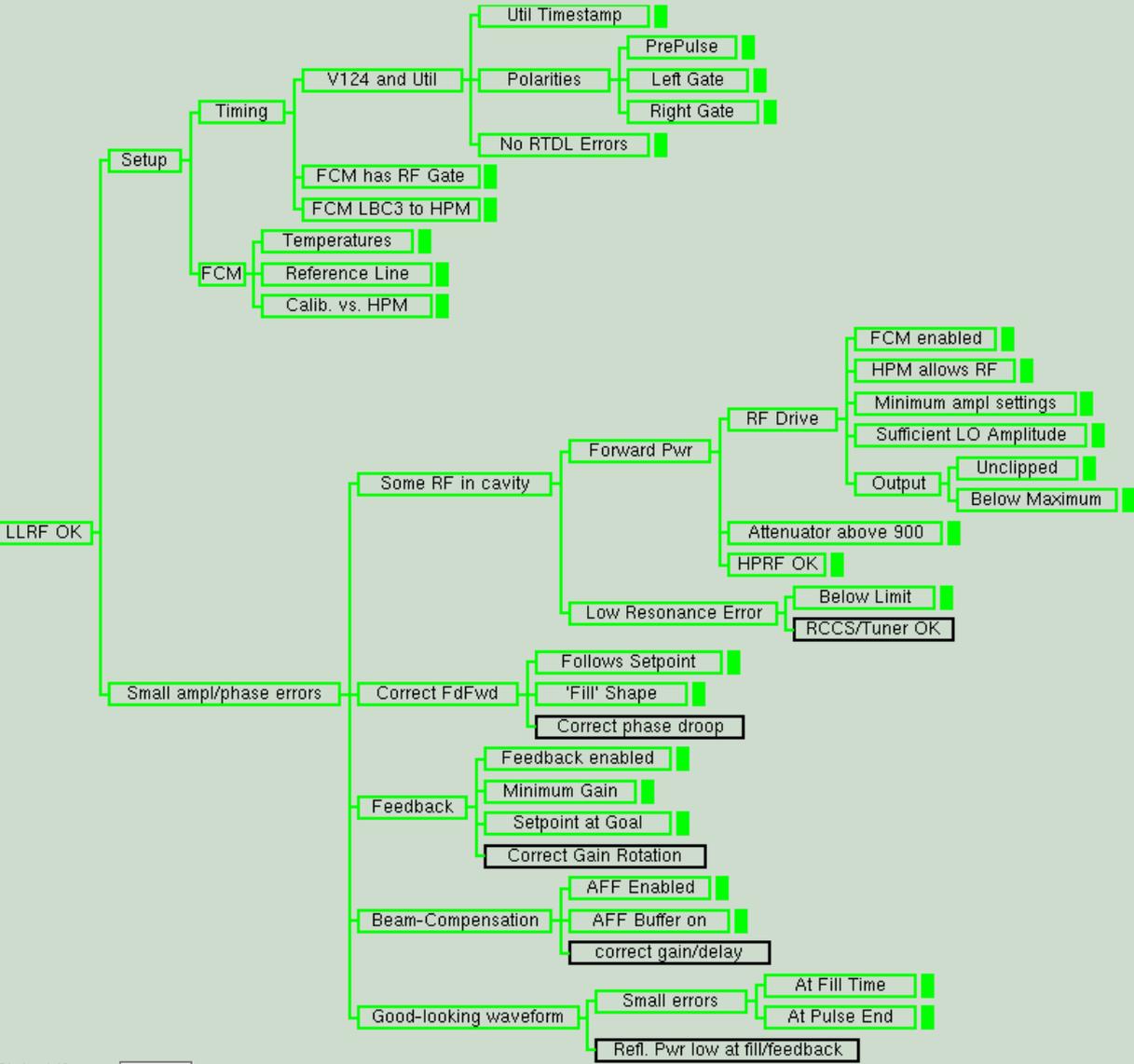
05/30/13 13:25:40 Clear CavL

05/30/13 13:25:46 Set CavL\_P

**Kill RF**

**Stopped**

**Kill RF**



LLRF Internal

**NC HPRF: RFQ, DTL, & CCL**

RF Conditioning      DTL RF Windows      CCL RF Windows

RFQ   DTL1   DTL2   DTL3   DTL4   DTL5   DTL6   CCL1   CCL2   CCL3   CCL4

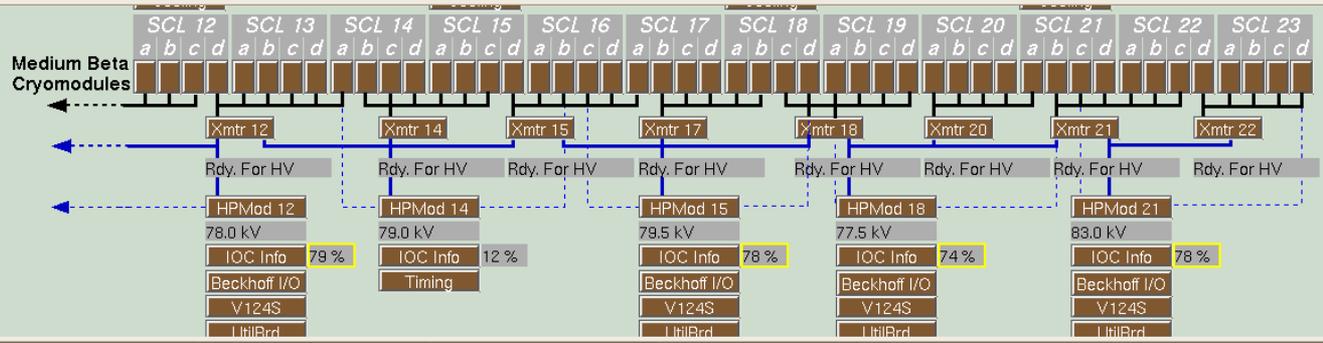
Help  
Keeper  
Controls

/ade/epics/supTop/operations/opi/SCHPRF\_Base.edl (on ics-srv02.ornl.gov)

### HPRF Main, SCL\_HPRF 01

<b>Status</b> Main Breaker Main Breaker Closed Mode Rdy. For HV Timing Pulse Enabled Delay 0.7 ms PLC Battery OK Cab Air Flow OK Vac-Ion PS Voltage 4.0 kV HV On Vac-Ion PS Current 0.1 uA Filament Warmup 0.0 min Ready	<b>Interlocks</b> <b>Ext. PSS Intlks</b> Ext. PSS 1 OK Ext. PSS 2 OK Ext. PSS 3 OK Ext. ORNL PSS 1 OK Ext. ORNL PSS 2 OK <b>Ext. ORNL PSS Intlks</b> Ready f. HV 1 OK Ready f. HV 2 OK Ready f. HV 3 OK	<b>Local Controls</b> Door Open OK Cab. Air Flow OK PSS Power OK	<b>Communications</b> PSS-PLC Com 1 OK PSS-PLC Com 2 OK HV1-PSS Com OK HV2-PSS Com OK Cool-PSS Com OK Com 1 Link OK PLC S/W Test OK EPICS S/W B2	<b>HV Tank 1</b> Kly. Socket OK Connect OK Access OK Temp. 85.0 F Level 0.8 in <b>HV Tank 2</b> Kly. Socket OK Connect OK Access OK Temp. 84.0 F Level 1.1 in	<b>RF Range</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Actual</th> <th>High Limit</th> <th>Actual</th> <th>High Limit</th> </tr> </thead> <tbody> <tr> <td>RF Detector 01a</td> <td>0.3 V</td> <td>0.8 V</td> <td>0.7 kW</td> <td>5.6 kW</td> </tr> <tr> <td>RF Detector 01b</td> <td>0.2 V</td> <td>0.7 V</td> <td>0.5 kW</td> <td>5.6 kW</td> </tr> <tr> <td>RF Detector 01c</td> <td>0.4 V</td> <td>0.8 V</td> <td>1.1 kW</td> <td>7.0 kW</td> </tr> <tr> <td>RF Detector 02a</td> <td>0.2 V</td> <td>0.8 V</td> <td>0.2 kW</td> <td>5.6 kW</td> </tr> <tr> <td>RF Detector 02b</td> <td>0.2 V</td> <td>1.1 V</td> <td>0.3 kW</td> <td>5.6 kW</td> </tr> <tr> <td>RF Detector 02c</td> <td>0.2 V</td> <td>0.7 V</td> <td>0.3 kW</td> <td>5.6 kW</td> </tr> <tr> <td>SSA 01a</td> <td>OK</td> <td>OK</td> <td>SSA 02a</td> <td>OK</td> </tr> <tr> <td>SSA 01b</td> <td>OK</td> <td>OK</td> <td>SSA 02b</td> <td>OK</td> </tr> <tr> <td>SSA 01c</td> <td>OK</td> <td>OK</td> <td>SSA 02c</td> <td>OK</td> </tr> </tbody> </table>		Actual	High Limit	Actual	High Limit	RF Detector 01a	0.3 V	0.8 V	0.7 kW	5.6 kW	RF Detector 01b	0.2 V	0.7 V	0.5 kW	5.6 kW	RF Detector 01c	0.4 V	0.8 V	1.1 kW	7.0 kW	RF Detector 02a	0.2 V	0.8 V	0.2 kW	5.6 kW	RF Detector 02b	0.2 V	1.1 V	0.3 kW	5.6 kW	RF Detector 02c	0.2 V	0.7 V	0.3 kW	5.6 kW	SSA 01a	OK	OK	SSA 02a	OK	SSA 01b	OK	OK	SSA 02b	OK	SSA 01c	OK	OK	SSA 02c	OK
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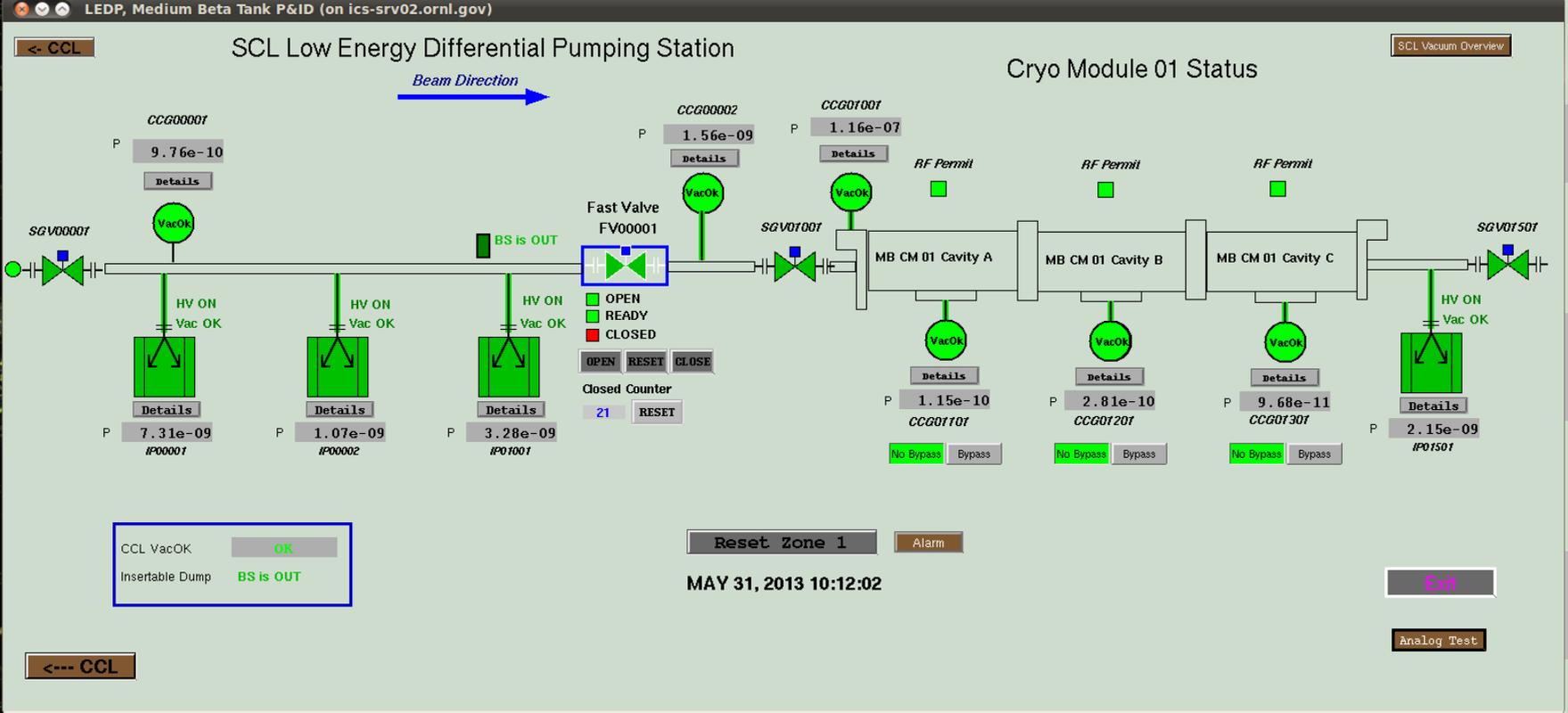
	Hour Meters		Magnet PS A				Magnet PS B				Klystron Settings					Body Flow Cooling		Misc. RF Cooling	
	HV ON	FPS	Low	Actual	High	Low	Actual	High	Low	Setpoint	Actual	High	Cathode	Low	Actual	Low	Actual		
Kly 01a	40778.2	45298.4	14.3 A	15.2 A	16.3 A	26.6 A	27.6 A	28.6 A	7.1 A	8.1 A	8.1 A	9.1 A	10.61 A	2.0 gpm	9.0 gpm	Kly 01x Col Flow 27.0 gpm 49.9 gpm Load 01x Flow 7.0 gpm 25.7 gpm			
Kly 01b	40778.1	45298.4	15.2 A	16.3 A	17.2 A	26.9 A	28.0 A	28.9 A	7.4 A	8.4 A	8.4 A	9.4 A	10.61 A	2.0 gpm	9.3 gpm	Circ 01a-01b Flow 3.0 gpm 7.5 gpm			
Kly 01c	40778.1	45298.3	15.1 A	16.0 A	17.1 A	26.5 A	27.4 A	28.5 A	8.3 A	9.3 A	9.3 A	10.3 A	10.49 A	2.0 gpm	9.2 gpm	Circ 01c-02a Flow 3.0 gpm 7.8 gpm			
Kly 02a	40778.0	45298.4	19.4 A	20.3 A	21.4 A	25.7 A	26.7 A	27.7 A	7.0 A	8.0 A	8.0 A	9.0 A	10.78 A	2.0 gpm	8.9 gpm	Kly 02x Col Flow 27.0 gpm 51.1 gpm Load 02x Flow 7.0 gpm 39.8 gpm			
Kly 02b	40766.7	45298.2	16.5 A	17.5 A	18.5 A	25.6 A	26.7 A	27.6 A	7.3 A	8.3 A	8.3 A	9.3 A	10.54 A	2.0 gpm	8.6 gpm	Circ 02b-02c Flow 3.0 gpm 7.6 gpm			
Kly 02c	40766.8	45298.3	15.5 A	16.5 A	17.5 A	26.9 A	27.9 A	28.9 A	7.7 A	8.7 A	8.7 A	9.7 A	10.45 A	2.0 gpm	9.3 gpm				



V124S UtilBrd      V124S UtilBrd      V124S UtilBrd      V124S UtilBrd

SCL Vacuum Status Overview

Wm&Cold VacOk	UpStr VacOk	IP (Torr)	SGV (A) Status	RF Permit Status	VacOk Status / CCG readback (Torr)	DwStr VacOk	IP (Torr)	SGV (B) Status	Beam Permit
Zone 1	LEDP				9.76e-10		3.28e-09		FV
	MB 01	1.56e-09			1.15e-10	2.81e-10	2.15e-09		
	02	4.66e-10			8.32e-11	5.25e-11	4.09e-10		
	03	5.47e-10			1.15e-10	1.60e-10	5.72e-10		
	04	6.48e-10			5.19e-11	6.33e-11	2.13e-10		
	05	4.33e-10			1.76e-10	1.41e-10	3.63e-09		



edm 1-12-  
File View Path Help

6s  
File Edit View

This is a Fed Government. unauthorized

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/ade/epics/supTop/operations/opi/navwogif.edl (on ics-srv02.ornl.gov)

PS FAULT INFO

MPS IOC Status Cooling Overview Diagnostics ODH PPS MagPS Timing Vacuum RF Misc Tools Web Links XAL Apps BLMs Help

Gate Keeper

net Controls

HEBT QV33

/ade/epics/iocTop/R3.14.8.2/linac/cryo/cryo-latest/opi/SCL\_CM\_MB.edl (on ics-srv02.ornl.gov)

Snake Alarm

6.1 Medium Beta Cryomodule Status

Cryomodules 01 - 04

MAY 31, 2013 10:15:35

Cryomodule 01

Cryomodule Details

Liquid Level	87.0 %	2K HX HP out TD_022	4.51	Shield Ret TD_041	
Pressures	Low Limits	2K HX LP Inlet TD_023	1.90	Pri Sup TD_521	
Primary Ret (Low)	0.0377 atm	2K Return TD_024	2.58	Shield Sup TD_541	

Temperatures

Medium Beta Cryomodule Status

Cryomodule 01

MAY 31, 2013 10:15:35

Level / Pressure		Temperatures			
	Limit	Primary	Shield		
Vacuum	1.16e-07 T	Pri Sup TD_521	5.02	Shield Sup TD_541	38.98
Liquid Level	87.0 %	30.0	2K HX HP out TD_022	4.51	Shield Ret TD_041
Pri Ret (Low)	0.0377 atm	0.0441	2K HX LP Inlet TD_023	1.90	
Pri Ret (High)	0.04 atm	1.4000	2K Return TD_024	2.58	
Surge Tank	3.16 atm	Cal	Surge Tank TD_025	5.47	

CM 01 Cavity Temperatures					Cool Down Temps		RF Permissive	
Coupler TDxy37	FPC Flange TDxy21	Beam Pipe TDxy24	He Exh TExy33	Window T1 TExy38	Cavity Low TDxy22	Cavity High TDxy23	Details	
Cav 01A	6.49 K	7.10 K	8.03 K	243.76 K	302.26 K	7.10 K	8.03 K	1
Cav 01B	16.02 K	7.17 K	4.97 K	269.86 K	309.56 K	7.17 K	4.97 K	1
Cav 01C	6.39 K	6.23 K	7.25 K	272.26 K	294.36 K	6.23 K	7.25 K	1

CM 01 Heater Details

Used in Swing?

Control	OFF	ON	Manual	Remote	Current	4.424 amp	
Status	On	Rmt/Lcl	Remote	Power	55.77 W	Resistance	2.856 Ohm
Fault	ok	Voltage Set	12.603	Voltage	12.808 V	Efficiency	92.460 %

CM 01 Window Heater Details

Control	OFF	ON	Manual	Remote	Current	7.106 amp	Temp a	316.46 K	High	325.0 K	Low	300.0 K
Status	On	Rmt/Lcl	Remote	Power	174.03 W	Temp b	317.26 K					
Fault	ok	Voltage Set	27.000	Voltage	24.495 V	Temp c	312.36 K					

/ade/epics/iocTop/R3.14.8.2/linac/cryo/cryo-latest/opi/SCL\_CM\_MB\_1

RF Permissive Status

Cryomodule 01

MAY 31, 2013 10:15:35

Cryomodule Permissive Calculation

((A>B)&&(C<D)&&E&&F)?1:0

EPICS PLC

Liquid Level	87.03 %	A	PLC
LOLO	85.000	B	E
Primary Ret (Low)	0.0377 atm	C	F
HIHI	0.0420	D	

Cavity		Coupler Window Temperatures	Beam Pipe and Coupler Temperatures		RF Permissive
		Window T1 TExy38	Beam Pipe TDxy24	Coupler TDxy37	
a	HIHI	302.26 K	8.03 K	6.49 K	1
	LOLO	350.000	12.000	30.000	
b	HIHI	309.56 K	4.97 K	16.07 K	1
	LOLO	350.000	12.000	40.000	
c	HIHI	294.36 K	7.25 K	6.39 K	1
	LOLO	350.000	12.000	30.000	

Limit Adjust

EXIT

ECV01549Pv	Normal	44.990	ECV01039Pv	Manual	0.038
TD01041			CM01		
Set Val	45.000		Set Val	0.000	
Cur Pos	58.718 %		Cur Pos	99.782 %	
	25.000	100.00		-20.00	100.00

Cool Down

ECV01032Pv

Manual

CM01

87.031

Set Val

95.000

Cur Pos

0.700 %

0.000

0.00

RESET

RST

EXIT

04-26-2007

FCM Diag S...

History Buffer

15000  
10000  
5000  
0  
-5000  
-10000  
-15000  
-20000

-500

Avg.

Start

Decay Buffer

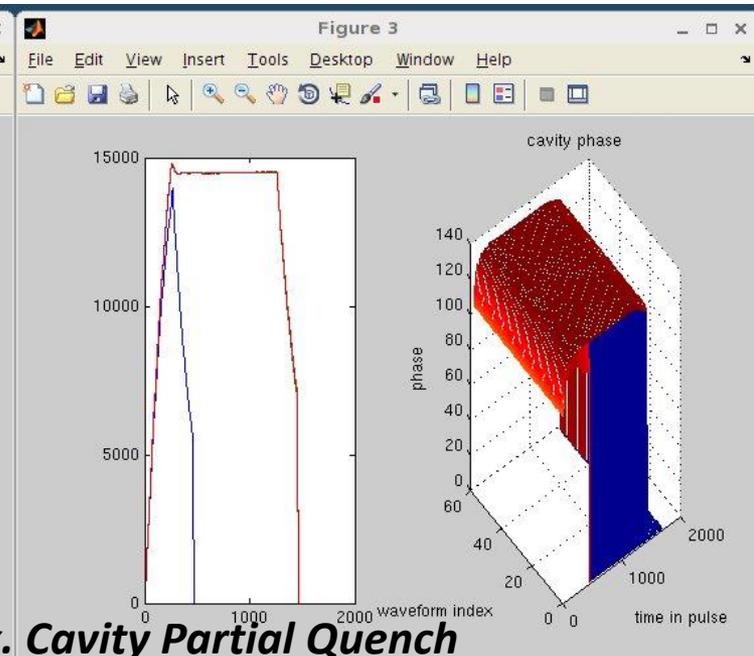
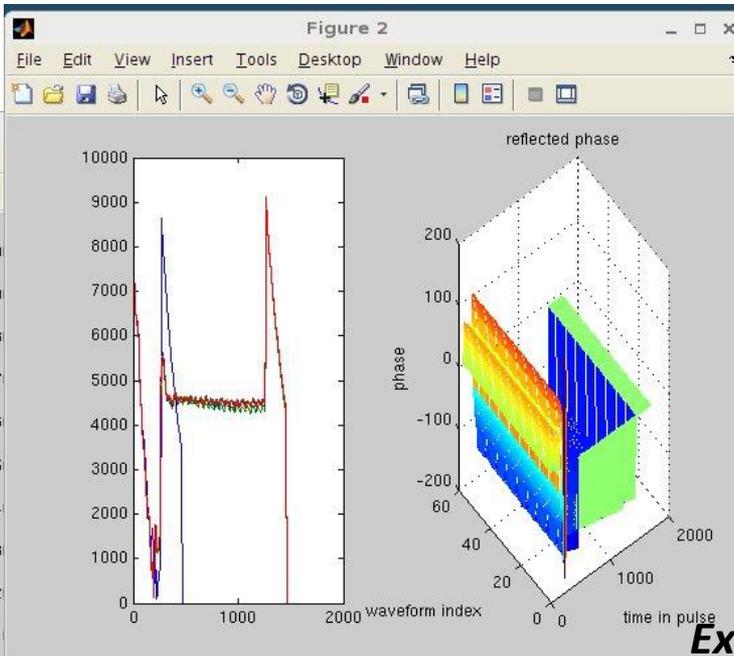
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-15000  
-20000

-50

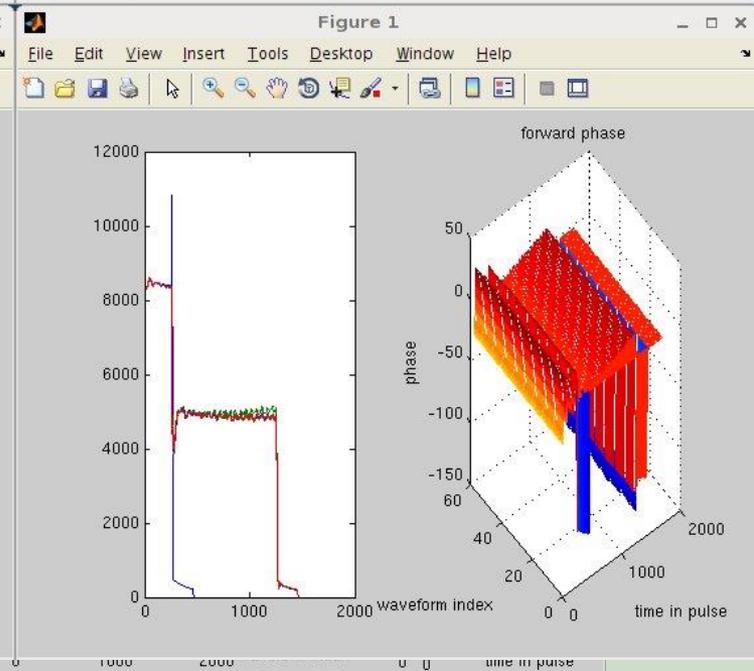
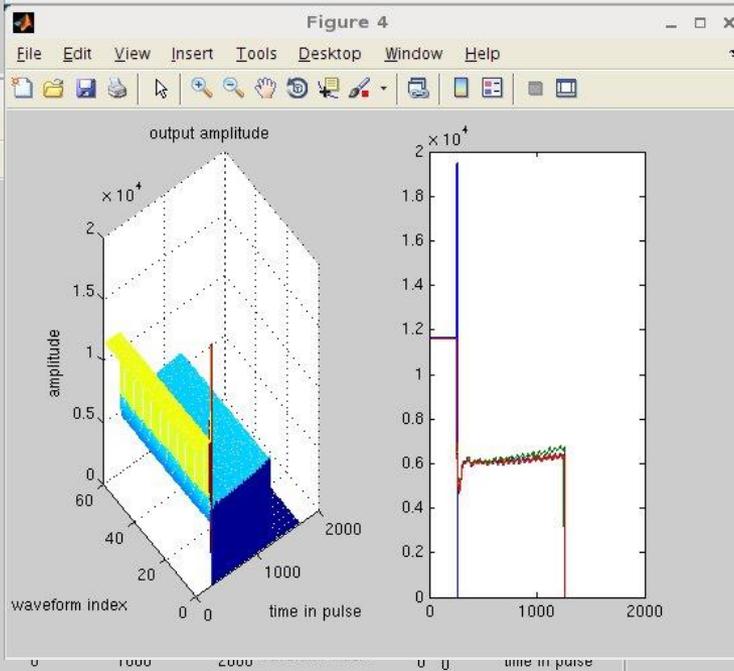
Avg.

Start

remove DC



**Ex. Cavity Partial Quench**



(horizontal axes in microseconds)

# Discussion & Question