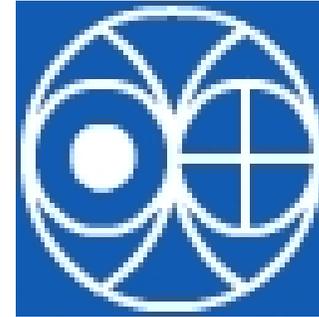


An Overview of Neutrino Physics

Srubabati Goswami

Physical Research Laboratory, Ahmedabad, India



Lecture 3: Outline

- Absolute Neutrino Mass
- Origin of Seesaw
- Three Types of Seesaw
- Seesaw at LHC
- Neutrinoless Double Beta decay

Absolute Neutrino Masses

- Tritium β -decay

$$m_\beta = [c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}}$$

Present bound:

$$m_\beta < 2.3 \text{ eV (95\% C.L.) (Mainz, Troisk)}$$

- Neutrinoless double beta decay

$$m_{\beta\beta} = | c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} |$$

Present bound:

$$m_{\beta\beta} < 0.25 - 0.52 \text{ (GERDA),}$$

$$m_{\beta\beta} < 0.11 - 0.25 \text{ (Xe)}$$

Nuclear Matrix Element Uncertainty

- Cosmology

$$m_{\text{cosmo}} = \sum m_i$$

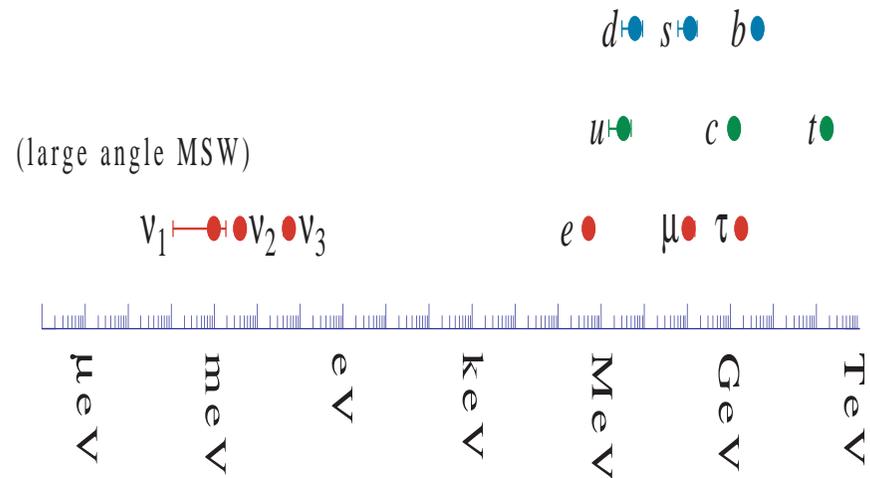
Varies from $\approx 0.2 - 1 \text{ eV}$ depending on the data set used

Neutrino Masses

- For normal hierarchy:

$$m_1 \approx 0, m_2 \approx 0.009 \text{ eV and } m_3 \approx 0.05 \text{ eV}$$

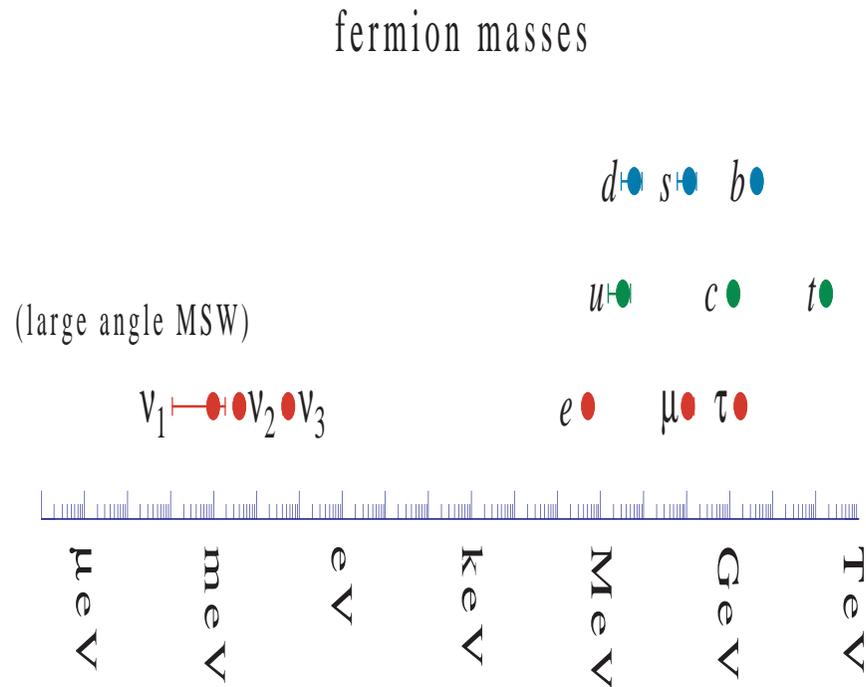
fermion masses



- Neutrino masses much smaller than quark and charged lepton masses
- Hierarchy of neutrino masses not strong : $m_3/m_2 \leq 6$
- completely different from quark sector
- Inverted hierarchy and quasidegeneracy has no analogue in quark sector

Models for Neutrino Mass: Key Issues

- Why neutrino masses are much smaller than quark and lepton masses



- Most natural way for generating small neutrino masses is the **Seesaw Mechanism**

Models for Neutrino Mixing: Key Issues

- Why two large and one small mixing angle unlike in quark sector where all mixing angles are small
- Close to **Tri-bimaximal** form

$$U_{TBM} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix} \text{diag}(e^{i\alpha_1/2}, e^{i\alpha_2/2}, e^{i\alpha_3/2}),$$

Harrison, Perkins and Scott

- $\sin^2 \theta_{23} = 0.5, \sin^2 \theta_{12} = 0.33, \sin^2 \theta_{13} = 0$
- Flavour symmetry $S_3, A_4, \mu - \tau$ symmetry ...
- Current data $\Rightarrow \sin^2 \theta_{23} = 0.5, \sin^2 \theta_{12} = 0.33, \sin^2 \theta_{13} = 0.1 \rightarrow$ Perturbed Tri-Bimaximal Form
- Renormalization group effects...

Why neutrino masses are small

- Is the smallness of neutrino mass related to some new physics at high scale \rightarrow seeaw mechanism
- $\mathcal{M} = m_D^T M_R^{-1} m_D$; $m_D \sim v Y_\nu$
- $m_\nu \sim 0.05 \text{ eV}$ for $M_R = 10^{16} \text{ GeV}$, $m_D \sim 100 \text{ GeV}$, $Y_\nu \sim 1$



Higher Dimensional Operators

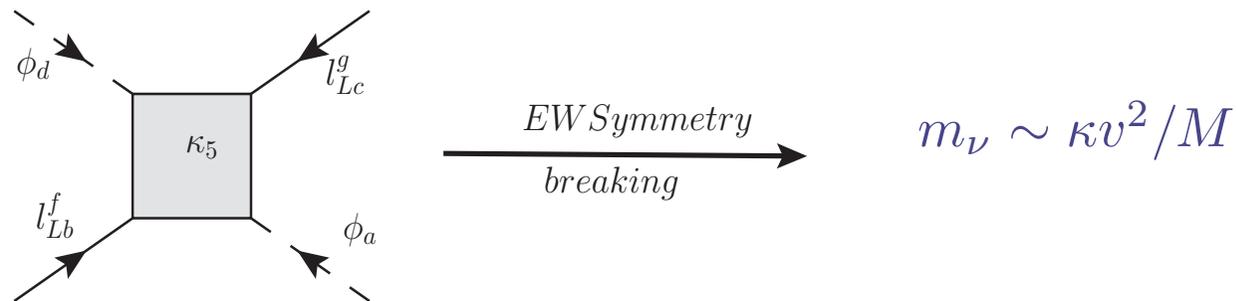
- SM is an effective field theory and one can add non-renormalizable operators:

$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_{eff}^{d=5} + \mathcal{L}_{eff}^{d=6} + \dots, \quad \text{with} \quad \mathcal{L}_{eff}^d \propto \frac{1}{\Lambda_{\mathcal{NP}}^{d-4}} \mathcal{O}^d.$$

- They parameterize the effects of the high energy degrees of freedom on the low energy theory order by order
- The operator coefficients are weighted by inverse powers of the scale of new physics $\Lambda_{\mathcal{NP}}$

The Dimension 5 Operator

- Dimension 5 only 1 operator
- $\mathcal{L} = c_5 LLHH$ $c_5 = \kappa/M$ Weinberg, 1979
- After EWSB $L_{eff}^{d=5} \rightarrow m_\nu \sim \kappa v^2/M$
- Can be small if scale of new physics is high
- Lepton number violation \implies Neutrinos are Majorana particles
- Correct neutrino mass $\implies M \sim 10^{15}$ GeV
- Can the new physics be GUT ?

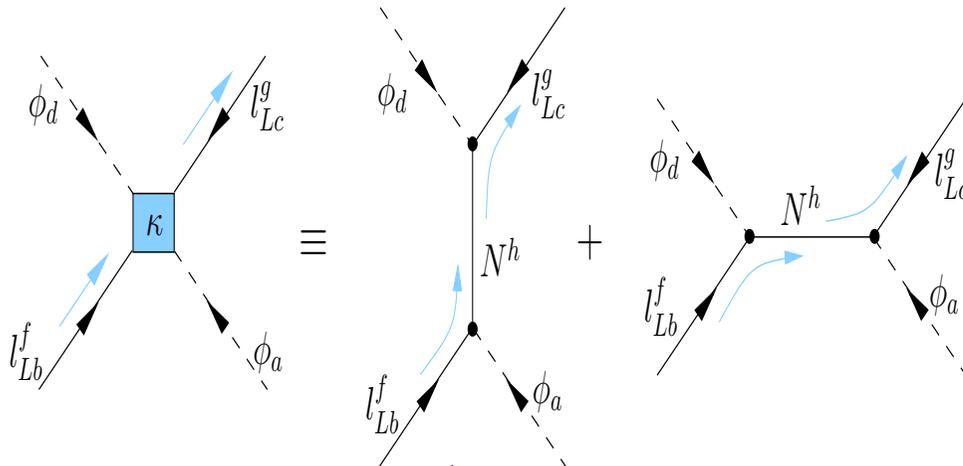


How can one generate the Dimension 5 operator

- $\mathcal{L}_{eff} = \kappa LL\phi\phi$
- Three ways to form a gauge singlet with the SM doublets l and ϕ such that neutrino mass can be generated
 - Type-I : L and ϕ form a singlet [$2 \times 2 = 3 \oplus 1$]
→ Mediated by heavy singlet Fermions
 - Type-II: L and L (and ϕ and ϕ) form a triplet [$3 \times 3 = 5 \oplus 3 \oplus 1$]
→ Mediated by heavy $SU(2)$ triplet Higgs
 - Type-III: L and ϕ form a triplet [$3 \times 3 = 5 \oplus 3 \oplus 1$]
→ Mediated by $SU(2)$ heavy triplet fermions
- Mediated by → tree level exchange at a high scale \sim heavy particle mass
- L - L and $\phi - \phi$ forming singlets does not give neutrino mass term

Type-I seesaw

- $\mathcal{L} = \mathcal{L}_{SM} + (Y_\nu) \overline{N}_R \tilde{\phi}^\dagger l_L + \frac{1}{2} \overline{N}_R^c (M_R)_{ij} N_R + \text{h.c}$



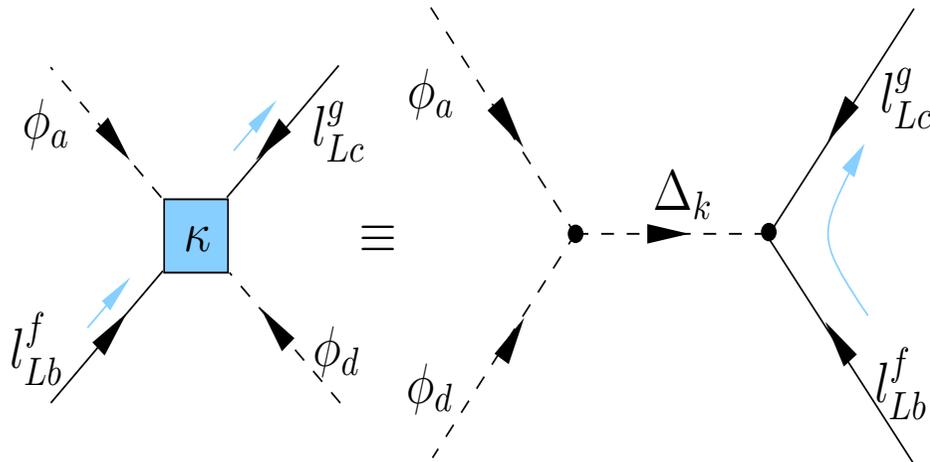
$$\kappa = Y_\nu^T M_R^{-1} Y_\nu \quad (\text{at } M_R) \quad m_\nu = \kappa v^2$$

Minkowski 77, Yanagida 79, Glashow 79, Gell-Mann, Rammond, Slansky 79, Mohapatra, Senjanovic 80

- $M_\nu = m_D^T M_R^{-1} m_D, m_D = v Y_\nu$
- Fits naturally into SO(10) GUTS : $N_R \in \underline{16}$ of SO(10)
- Left-Right Symmetric Models
- Seesaw Scale $\sim M_R \sim 10^{15}$ GeV
- Cannot be tested directly
- Possibilities for TeV scale type-I seesaw ?

Type-II seesaw

- $\mathcal{L} \subset \mathcal{L}_{SM} + f_L L L \Delta + M_\Delta^2 \Delta^\dagger \Delta + \mu \Delta^\dagger \phi \phi$



$$\Delta = \begin{pmatrix} \Delta^+/\sqrt{2} & \Delta^{++} \\ \Delta^- & -\Delta^+/\sqrt{2} \end{pmatrix}$$

$$\kappa = f_L \frac{\mu}{M_\Delta^2} \quad m_\nu = \kappa v^2$$

Magg, Wetterich 80; Schechter, Valle 80
 Lazarides, Shafi, Wetterich 81, Mohapatra
 Senjanovic 81; Gelmini, Roncadelli 81

- $M_L = f_L \frac{\mu v^2}{M_\Delta^2}$

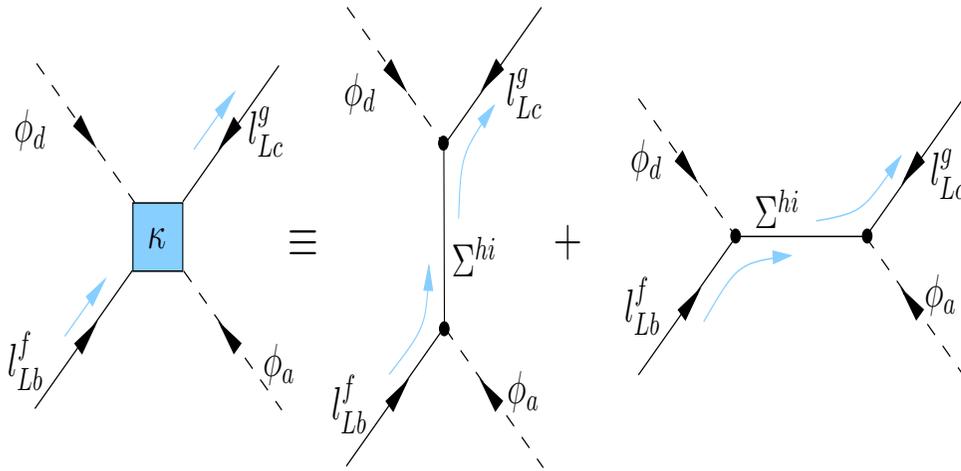
- Fits naturally into $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ and SO(10) GUTS

- Also possible to have $M_\Delta \sim \text{TeV}$, with small μf_L

- Can be probed at LHC

Type-II seesaw

• $\mathcal{L} = \mathcal{L}_{SM} + \bar{l}\sqrt{2}Y_{\Sigma}^{\dagger}\Sigma\tilde{\phi} - \phi^T \varepsilon^T \bar{\Sigma}\sqrt{2}Y_{\Sigma}l + \frac{1}{2}[\bar{\Sigma}M\Sigma^C]$



$$\Sigma_R = \begin{pmatrix} \Sigma_R^0/\sqrt{2} & \Sigma_R^+ \\ \Sigma_R^- & -\Sigma_R^0/\sqrt{2} \end{pmatrix}$$

SU(2) triplet, Y=0

$$\kappa = Y_{\Sigma}^T M_R^{-1} Y_{\Sigma}$$

Foot, Lew, He, Joshi 89

- Fits naturally into the 24 dimensional representation of SU(5)
 $24 \in (1, 1, 0) + (1, 3, 0)$
- Gauge coupling unification in SU(5) for $M_{\Sigma} \sim \text{TeV}$ for $M_{GUT} \sim 10^{16} \text{ GeV}$
- Can generate neutrino mass through type-I + type-III seesaw
- Can be probed at LHC

Prediction of low energy observables

- Consider type-I seesaw
- The Majorana mass matrix at seesaw scale $M_\nu = \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix}$.
- The light neutrino mass matrix after the seesaw diagonalization assuming $M_R \gg m_D$ is given by $\mathcal{M} = -m_D^T M_R^{-1} m_D$
- The neutrino mixing matrix is obtained as $V_\nu^T \mathcal{M} V_\nu = \text{diag}(m_1, m_2, m_3)$
- In a basis where the charged lepton mass matrix is diagonal $U_{PMNS} = V_\nu$
- For 3 N_R s:
 - No. of free parameters in $m_D = 18 - 3 = 15$
 - No. of free parameters in $M_R = 12 - 3 = 9$
 - Total no. of free parameters = 24
- Number of Low energy parameters = 9
- What is the minimal number of N_R 's required ?

Minimal Sessaw Model

$$\mathcal{M} = -m_D^T M_R^{-1} m_D$$

- If we have only one N_R at high scale $\rightarrow \mathcal{M}$ has two zero eigenvalues
- With 2 N_R s there is only one massless state \rightarrow can be consistent with the low energy data
- $m_D \rightarrow 3 \times 2$; $M_R^{-1} = 2 \times 2$
- Number of free parameters: $m_D \rightarrow 9$; $M_R^{-1} \rightarrow 4$
- Reduction in number of free parameters
- Low scale parameter : 9

Barbieri, Hambye, Romanino 03; Ibarra, Ross 04; Guo,Xing,Zhou 2007; Chang, Kang,Siyeon, 04

Reconstructing the Sessaw

$$\mathcal{M} = -m_D^T M_R^{-1} m_D$$

- We do not know m_D
- We do not know M_R
- Less number of low energy parameters
- Need assumptions about m_D, M_R
- Using GUT motivated forms of m_D ($m_D \sim m_{up}$)
- Conventional seesaw gives small mixing
- Combination of type-I and type-II seesaw to generate large mixing

Lindner, Rodejohann, 07

Reconstructing the Sessaw

- In a basis where M_R and m_l are diagonal

$$-m_D M_R^{-1} m_D^T = U m_\nu^{\text{diag}} U^T$$

$$m_D = i U \sqrt{m_\nu^{\text{diag}}} R \sqrt{M_R}$$

Casas, Ibarra (2001)

- R orthogonal
- m_ν, U, M_R known
- Still many possibilities for m_D

Texture zeros

- Texture zero \rightarrow very small entries in neutrino mass matrices
- Can be due to flavour symmetries
- Initially applied to quark sector

Fritzsch, 78; Giudice, 92; Rammond, Roberts, Ross, 93; Branco, Silva-Marcos94....

- Texture zeros in M_ν , m_D , M_R

Frampton, Glashow, Marfatia, Xing, Desai, Roy, Vaucher,
Merle, Rodejohann, Leontaris, Lola, Scheich, Vergados,
Barr, Dorsner, Kageyama, Kaneko, Shimoyama, Tanimoto, Yanagida, Barbieri, Ham-
bye, Romanino, Hagedorn, Watanabe, Yoshioka, Guo, Xing, Zhou,

Implications of Seesaw

- Neutrinos are Majorana Particles. \implies Neutrinoless double beta decay
- Heavy mediators can decay to lepton, Higgs and and their antiparticles \rightarrow **leptogenesis** \rightarrow Baryon assymetry of the Universe
- Can Seesaw be tested at LHC ?
- If new physics scale is $\sim 10^{15}$ GeV then no connection can be made to LHC \rightarrow **TeV scale seesaw**
- If $M_R \sim \text{TeV}$, $m_D/M_R \sim 10^{-1} \implies$ large light-heavy mixing
- Lepton Flavour Violation e.g $\mu \rightarrow e\gamma$ through light-heavy mixing
- Di-lepton signal at LHC
- Vacuum stability bound on the Higgs
- TeV Seesaw Model – Inverse Seesaw, Higher-dimensional operators with enlarged particle contents, specific textures...

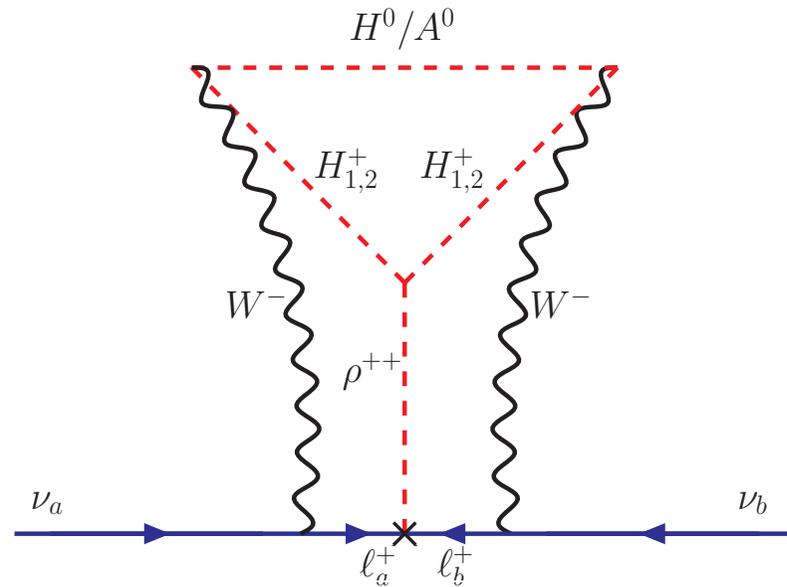
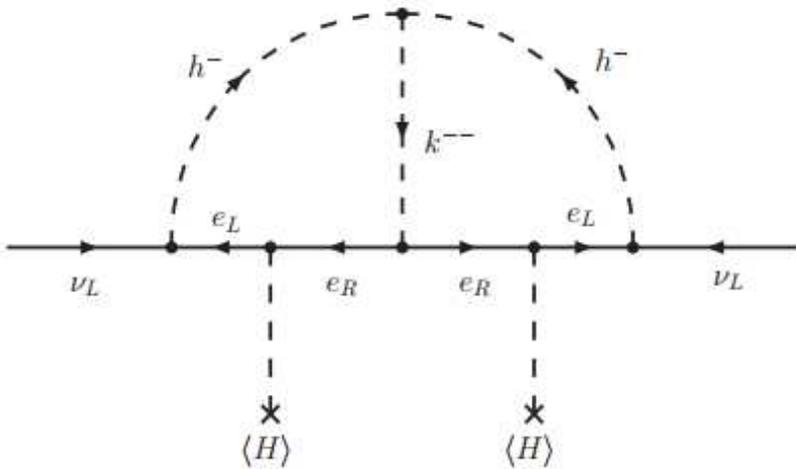
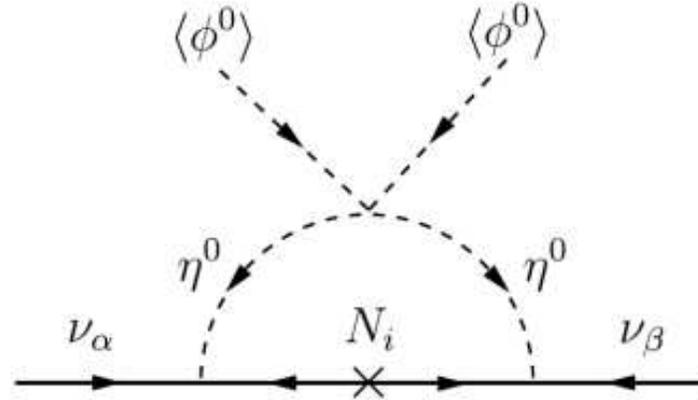
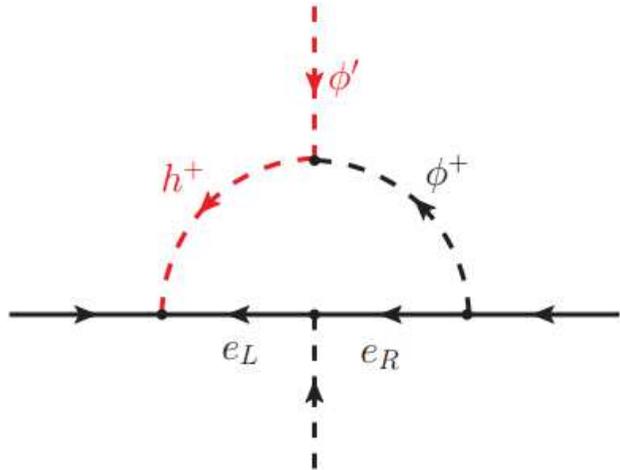
Seesaw at LHC ?

- $m_\nu = m_D^2/M$; $m_D \sim Y_\nu v$
- $m_\nu \sim 0.01$ eV for $M = 10^{16}$ GeV, $m_D \sim 100$ GeV, $Y_\nu \sim 1$
- If $M \sim 1000$ GeV then we need $Y_\nu \sim 10^{-6}$ for $m_\nu \sim 0.1$ eV
- So $Y_\nu \sim Y_e$
- More natural ?
- Seesaw scale \sim TeV \implies can be probed at LHC (?)
- Small Y_ν is a problem
- Exception Left-Right symmetric models with W_R at TeV scale... can give rise to additional processes

Is Seesaw the only option ?

- An alternative to Seesaw is the radiative neutrino mass models where neutrino mass is absent at tree level but can arise at the loop level
- The smallness of neutrino mass is explained by loop suppressions
- New Physics scale close to TeV \rightarrow LHC, LFV processes
- Also higher dimensional (> 5) models with enlarged particle contents
- Mass Generation : Seesaw + radiative
- Rich Phenomenology

Radiative Generation of Neutrino Mass



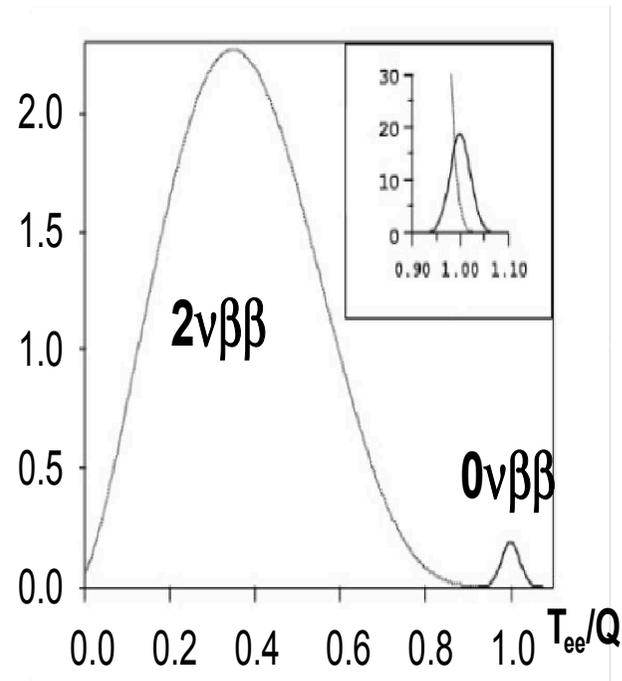
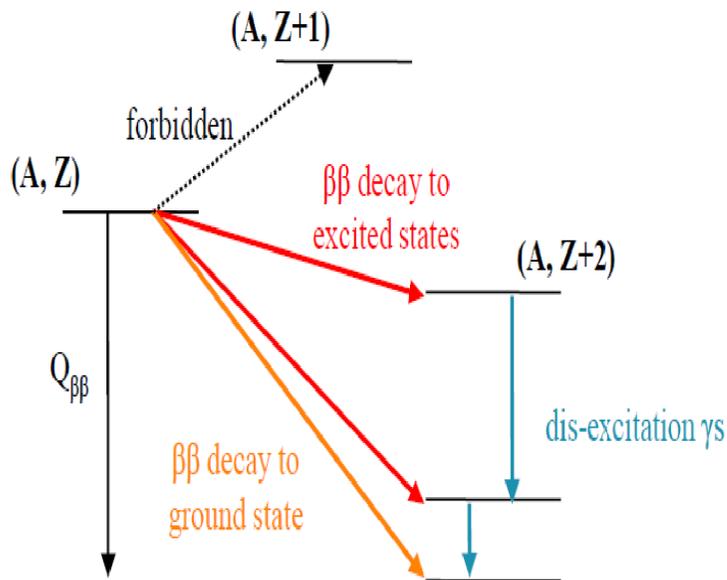
- Zee model, Ma model, Zee-Babu model, Cocktail model

Neutrinoless Double Beta decay

- Neutrinoless double β decay $(A, Z) \rightarrow (A, Z + 2) + 2e^-$
- Possible in principle for even-even nuclei for which usual β -decay is energetically forbidden if Lepton number is violated
- 35 nuclei can undergo $0\nu\beta\beta$
- $2\nu\beta\beta$ has been observed
- $0\nu\beta\beta$ implies lepton number violation.. \implies particles are their own anti-particles..
- Can establish Majorana Nature of the Neutrino

$0\nu\beta\beta$: Experimental Signature

- $(A, Z) \rightarrow (A, Z + 2) + 2e^-$
- Minimum information : spectrum of the **energy sum** of the **two electrons**
- Exhibits a **peak at Q** over the $2\nu\beta\beta$ tail



- **Additional signatures:** Angular correlation between the two electrons, track and event topology, daughter nuclear species..

$0\nu\beta\beta$: Experimental Bounds

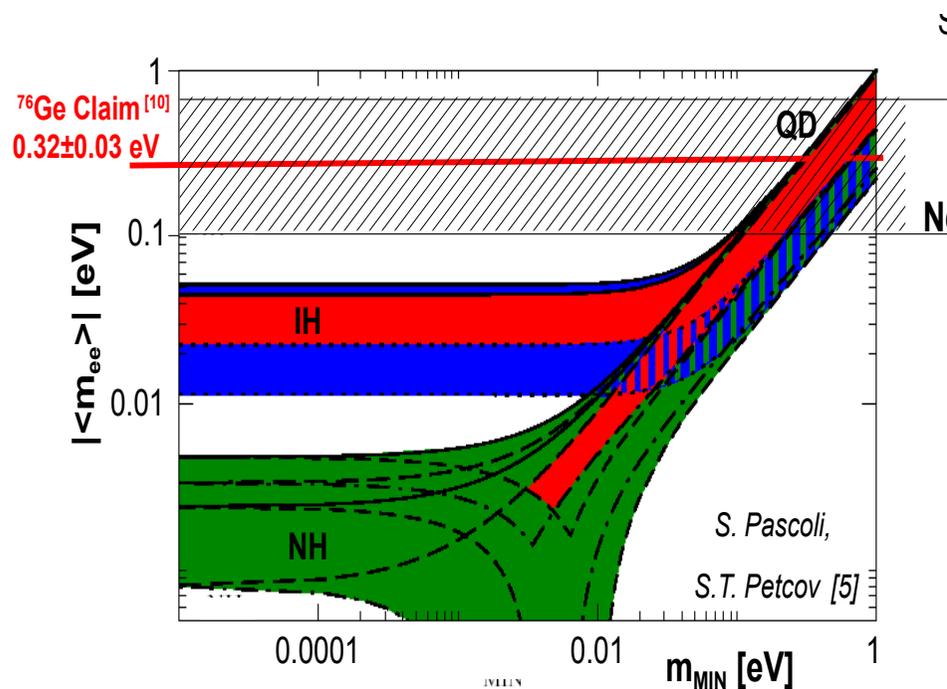
- Positive claim by part of HM group:

$$T_{1/2}^{0\nu} = 2.23_{-0.31}^{+0.44} \times 10^{25} \text{ yr at 68\% CL using } ^{76}\text{Ge}$$

H. V. Klapdor-Kleingrothaus *et al.* Phys. Lett. B 586, 198 (2004).

- $\frac{1}{T_{1/2}^{0\nu}} = G|\mathcal{M}_\nu|^2 \left| \frac{m_{ee}^\nu}{m_e} \right|^2 \rightarrow m_{ee}^\nu$ can be extracted

- Depending on the NME used: $m_{ee}^\nu = 0.21 - 0.58 \text{ eV}$

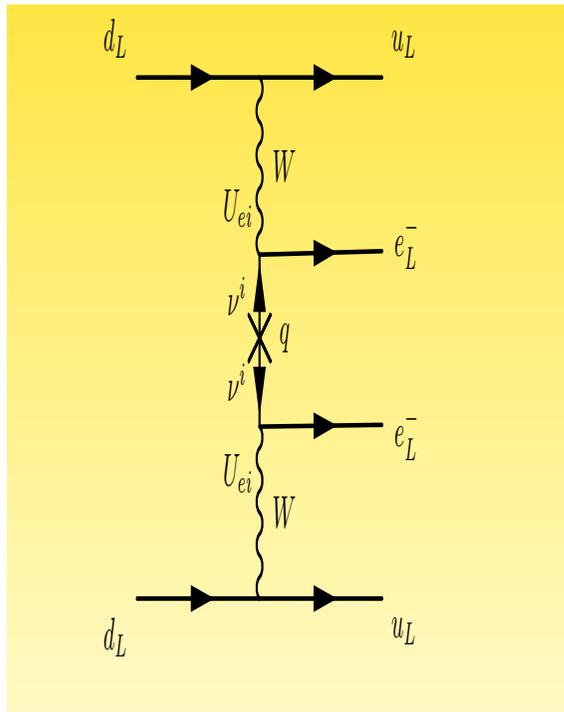


- \Rightarrow neutrinos are quasi-degenerate

- Many new experiments were planned to test this..

Half Life for Neutrinoless Double Beta decay

- $(A, Z) \rightarrow (A, Z + 2) + 2e^-$
- Standard Picture: $0\nu\beta\beta$ mediated by the **light neutrinos**



- The half-life for $0\nu\beta\beta$,

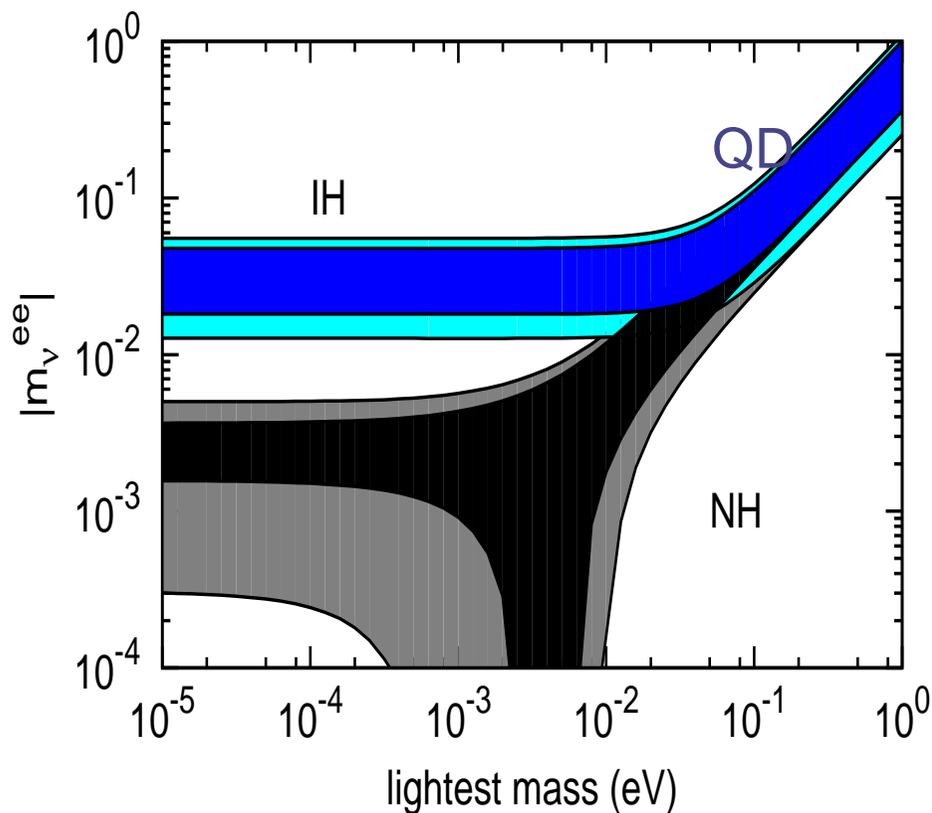
$$\frac{1}{T_{1/2}^{0\nu}} = G |\mathcal{M}_\nu|^2 \left| \frac{m_{ee}^\nu}{m_e} \right|^2 ,$$

- $G \rightarrow$ contains the phase space factors (**calculable**)
- \mathcal{M}_ν is the nuclear matrix element (**complicated**).
- $|m_{ee}^\nu| = |U_{ei}^2 m_i| \rightarrow$ the effective mass, (**interesting**)
- Additional diagrams possible in Seesaw models

The effective mass

$$|m_\nu^{ee}| = |m_1 U_{e1}^2 + m_2 U_{e2}^2 e^{2i\alpha_1} + m_3 U_{e3}^2 e^{2i\alpha_2}|$$

- ν Mass Spectrum
- Absolute ν Mass Scale
- CP phases
- Depends on 7 out of 9 parameters of neutrino mass matrix



NH: $m_1 \ll m_2 \ll m_3$

IH: $m_3 \ll m_1 \approx m_2$

QD: $m_1 \approx m_2 \approx m_3$

$0\nu\beta\beta$: Recent Results

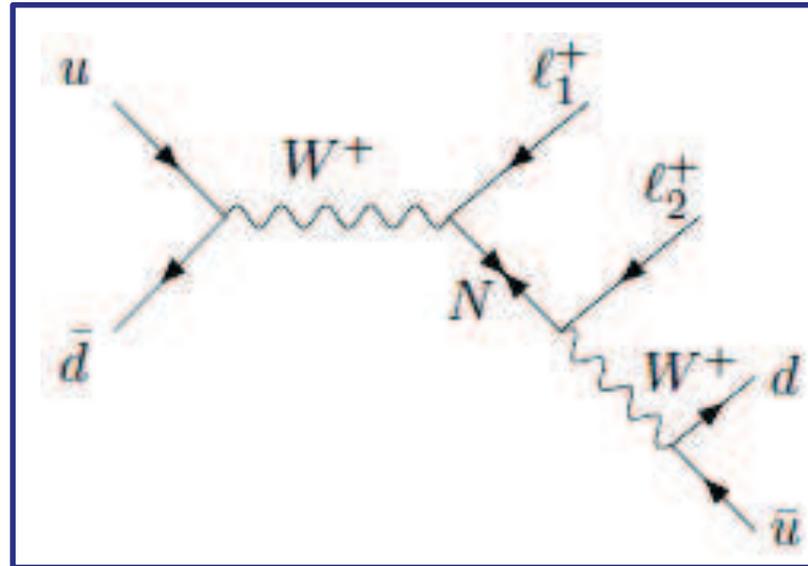
- New results from experiments using ^{136}Xe
- $T_{1/2}^{0\nu} > 1.9 \times 10^{25}$ yr at 90% CL (KamLAND-ZEN) (2013)
- $T_{1/2}^{0\nu} > 1.6 \times 10^{25}$ yr at 90% C.L. (EXO) (2012)
- $T_{1/2}^{0\nu} > 3.4 \times 10^{25}$ yr at 90% CL (Combined, 2013)
- Recent results from GERDA : $T_{1/2}^{0\nu}(^{76}\text{Ge}) > 2.1 \times 10^{25}$ yr at 90% CL
- GERDA + IGEX + HM : $T_{1/2}^{0\nu}(^{76}\text{Ge}) > 3.0 \times 10^{25}$ yr at 90% CL
- Can be translated into lower bound on m_{ee}^{ν}
- Depends on NME

$0\nu\beta\beta$: Experimental Bounds

NME	Limit on m_{ee}^ν (eV)				
	^{76}Ge			^{136}Xe	
	GERDA	comb	KK	KLZ	comb
EDF(U)	0.32	0.27	0.27-0.35	0.15	0.11
ISM(U)	0.52	0.44	0.44-0.58	0.28	0.21
IBM-2	0.27	0.23	0.23-0.30	0.19	0.14
pnQRPA	0.28	0.24	0.24-0.31	0.20	0.15
SRQRPA-B	0.25	0.21	0.21-0.28	0.18	0.14
SRQRPA-A	0.31	0.26	0.26-0.34	0.27	0.20
QRPA-B	0.26	0.22	0.22-0.29	0.25	0.19
QRPA-A [?]	0.28	0.24	0.24-0.31	0.29	0.21
SkM-HFB-QRPA [?]	0.29	0.24	0.24-0.32	0.33	0.25

- GERDA and KK barely consistent
- Inconsistent with combined KLZ+EXO limit for most NME's

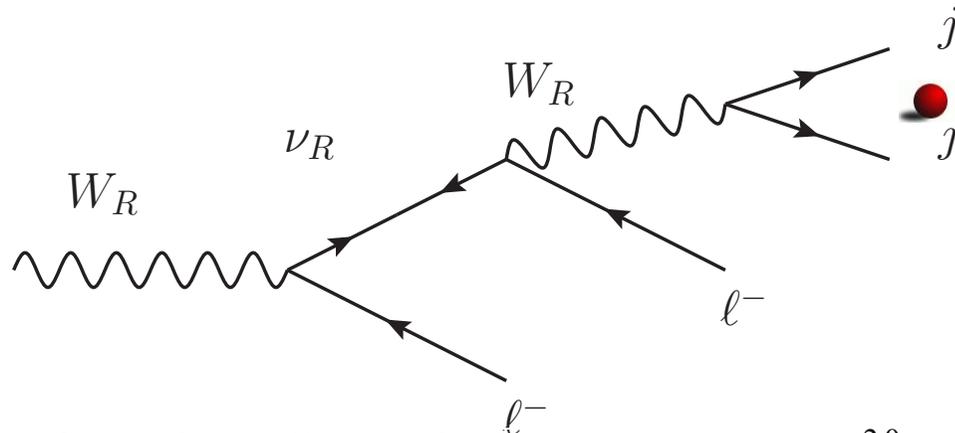
Can Maorana Nature be tested at Colliders ?



- Same sign di-leptons + jet
- Controlled by light-heavy mixing

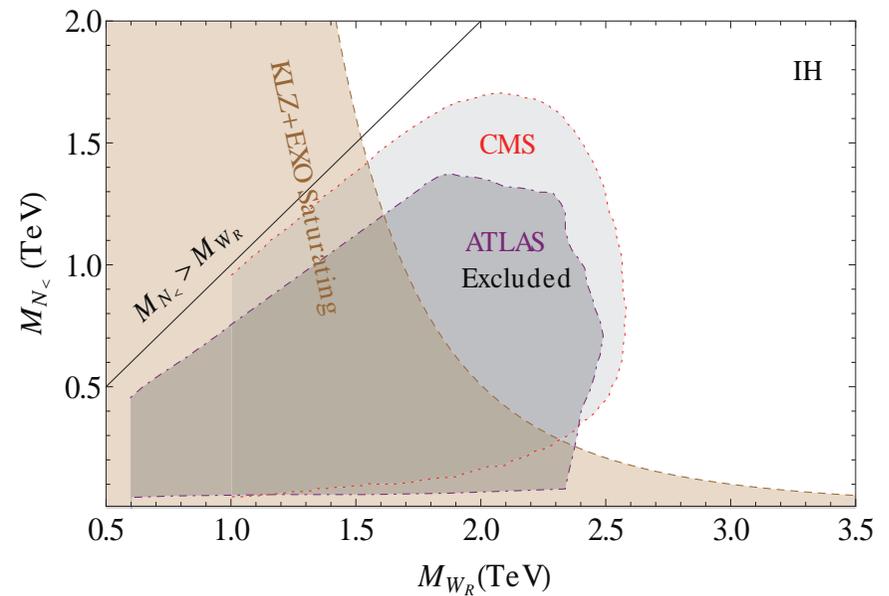
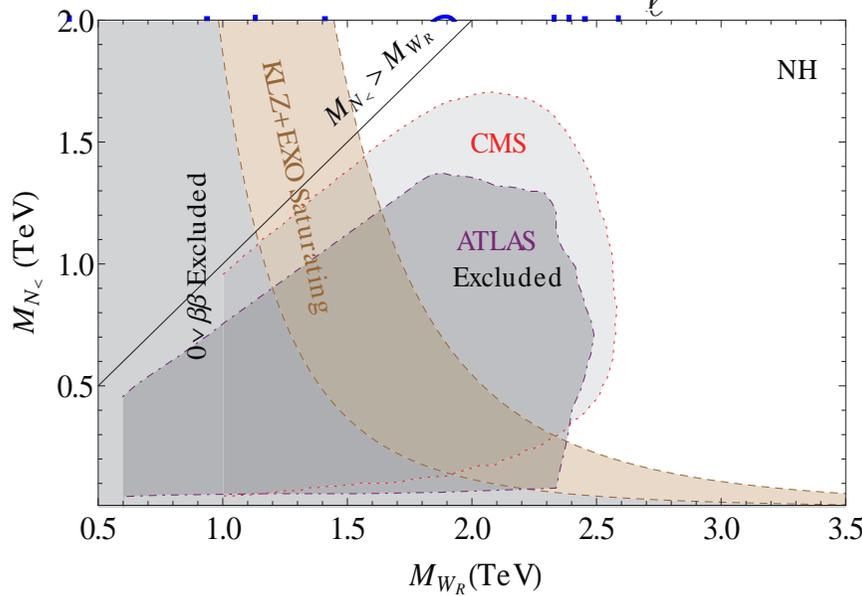
Can Maorana Nature be tested at Colliders ?

- Left-Right Symmetric Models: $SU(2)_R \times SU(2)_L \times U(1)_{B-L}$



● Same sign di-leptons + jet ,
Golden Channel

[Keung Senjanovic '83]



- Complimentary constraints for NH

Dev, S.G, Mitra, Rodejohann, 2013

Finally ...

Thank You to the team SANGAM @ HRI