Theory Perspective on Flavour

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FLASY 2014 - 4th Workshop on Flavour Symmetries and Consequences in Accelerators and Cosmology

> 17-21 June 2014 University of Sussex



not a systematic review of models, rather a reappraisal of few well-known ideas as an introduction to the presentations of this Workshop

approaches

1

Y should be deduced from first principles

most striking fact: nothing approaching a standard theory of \mathcal{Y} , despite decades of experimental progress and theoretical efforts

2 *Y* are due to chance

many variants

bottom-up: anarchy, FN models, fermions in ED, partial compositness top-down: fundamental theory with a landscape of ground states

observed Y are environmental and cannot be fully predicted

assumptions

knowledge of statistical distribution of \mathcal{Y} in the fundamental theory

the observed Y are typical

[any anthropic selection?]

relevant questions

how typical are the \mathcal{Y} we observe?

which is the statistical distribution of \mathcal{Y} in the fundamental theory?

relative sizes of solar

planetary orbits



fundamental theory

the quark sector

any empirical evidence for G_f from the quark sector?

[Froggatt, Nielsen 1979]

 $G_{f} = U(1)_{FN}$

mass ratios and mixing angles are small, hierarchical parameters

 $\frac{m_u}{m_t} \ll \frac{m_c}{m_t} \ll 1 \qquad \frac{m_d}{m_b} \ll \frac{m_s}{m_b} \ll 1 \qquad |V_{ub}| \ll |V_{cb}| \ll |V_{us}| \equiv \lambda < 1$ easily reproduced by $G_f = U(1)_{FN}$

mass ratios and mixing angles are powers of a small SB parameter λ

U(1) _{FN} broken by	$\lambda = \frac{<\varphi>}{\Lambda_f} \approx 0.2$		flavon φ	<i>Q</i> _{<i>FN</i>} –1	
$y_u = F_{U^c} Y_u F_Q$ $y_d = F_{D^c} Y_d F_Q$	call this map " <mark>hierarchy</mark> "	$F_X = \begin{pmatrix} \lambda^l \\ \end{pmatrix}$	$\nabla (X_1)$ $0 \qquad \lambda$ 0	0 $FN(X_2)$ 0	$egin{array}{c} 0 \ 0 \ \lambda^{FN(2)} \end{array}$
$Y_{u,d} \approx O(1)$ undetermined by U(1)	l) _{FN}	$FN(X_i)$ $(X = Q, U)$	are U(1) _f ^{rc} ,D ^c)	_{=N} char	ges

not a mere book-keeping take $FN(Q_1) > FN(Q_2) > FN(Q_3) \ge 0$

$$\left(V_{u,d} \right)_{ij} \approx \frac{F_{Q_i}}{F_{Q_j}} < 1 \quad (i < j) \quad V_{CKM} = V_u^+ V_d$$

$$V_{ud} \approx V_{cs} \approx V_{tb} \approx O(1)$$

$$V_{ub} \approx V_{td} \approx V_{us} \times V_{cb}$$
 [O.K. within a factor of 2]

independently from the specific charge choice

correct orders of magnitude of V_{ij} reproduced by e.g.

FN(Q) = (3,2,0)

correct orders of magnitude of quark/charged lepton mass ratios [up to a couple of moderate tunings] reproduced by e.g.

 $FN(U^c) = FN(E^c) = FN(Q) = (3,2,0)$ $FN(D^c) = FN(L) = (2,0,0)$

charge assignment compatible with SU(5) gauge unification

we have recently tested this scenario in the context of an SO(10) model all FN charges <-> 4 parameters [F, Patel, Vicino to appear]

is a symmetry really needed ?

$$y_u = F_{U^c} Y_u F_Q$$
$$y_d = F_{D^c} Y_d F_Q$$

 $F_{X_i} = \left(\frac{\Lambda_c}{\Lambda}\right)^{\frac{\gamma_i}{2}}.$

split fermions in an Extra Dimension

$$F_{X_i} = \sqrt{\frac{2\mu_i}{1 - e^{-2\mu_i r}}}$$

no symmetry: hierarchy produced by geometry

partial compositness

$$F_{X_i} = \Delta_i M_i^{-1}$$

chiral multiplets X_i of the MSSM coupled to a superconformal sector [Nelson-Strassler 0006251]

ED	$\mu_{_i}$	r
Flat $[0,\pi R]$	$M_{_i}$ / Λ	$\Lambda \pi R$
Warped [R,R']	$1/2 - M_i R$	$\log R'/R$

 M_i = bulk mass of fermion X_i

Y_{u,d} = O(1) Yukawa couplings between bulk fermions and a Higgs localized at one brane

- M_i = masses of composite fermions
- Δ_i = elementary-composite mixing
- $Y_{u,d} = O(1)$ Yukawa couplings in composite sector

 γ_i anomalous dimension of X_i $\Lambda_c = M_{GUT}$ $\Lambda = M_{Pl}$



dangerous FCNC

the "hierarchy" map can support a Maximal Flavour Symmetry similar to G_{MFV}

flavour group felt by quarks can be as large as G_{MFV} , but there are more spurions

$$F_Q, F_U^c, F_D^c, Y_u, Y_d$$

true flavour symmetry can be weaker, dep. on the way "hierarchy" is realized, as e.g. in FN models [Dudas, von Gersdorff, Parmentier, Pokorski 1007.5208] maximal symmetry applies to RS models [RS-GIM Agashe, Perez, Soni 0408134]

one concrete example
$$O_K^4 = (\bar{s}_L d_R)(\bar{s}_R d_L)$$

contributions to $\epsilon_{\rm K}$ are both chiral and RG enhanced

arises from $\frac{1}{\Lambda_{u}^2} (\bar{Q} F_Q^+ \gamma_\mu F_Q)$

$$_{Q}Q) (\overline{D}^{c}F_{D^{c}}^{+}\gamma^{\mu}F_{D^{c}}D^{c})$$

 C_{K}^{4}

$$= \frac{1}{\Lambda_{NP}^2} \frac{1}{\langle Y_d \rangle^2} \frac{2m_d m_s}{v^2}$$

 $\operatorname{Im}(C_{K}^{4}) < (160 \times 10^{3} \, TeV)^{-2}$ $\operatorname{Im}(C_{K}^{4}) \approx \operatorname{Re}(C_{K}^{4})$

 $\langle Y_d \rangle \Lambda_{NP} > 20 \ TeV$

confirmed by explicit computation in RS O_{K}^{4} from tree-level KK gluon exchange [also neutron EDM -> M_{KK} >O(10) TeV]

FCNC and/or CPV not sufficiently suppressed if there is New Physics at the TeV scale

 $M_{KK} > (22 \pm 6) TeV$

[Csaki, Falkowski, Weiler 0804.1954 Von Gersdorff 1311.2078]

some lessons from the quark sector

Pattern of quark masses and mixing angles well-explained by a hierarchy map: underlying $Y_{u,d}$ are O(1) hierarchy realized in several different frameworks: FN, RS, NS,.... symmetry is not a necessary ingredient

correct order-of-magnitude predictions

compatible with SU(5)/SO(10) GUTs

compatible with/incorporated in known solutions to the hierarchy problem

 $\boldsymbol{\Gamma}$

additional ingredients needed to control the new sources of FC/CPV arising from New Physics at the TeV scale

 $\boldsymbol{\Gamma}$

 $\boldsymbol{\Gamma}$

alignment universality

$$\begin{array}{ccc} \Gamma_{Q} & \Gamma_{D^{c}} & \Gamma_{U^{c}} \\ \searrow Y_{d} & \searrow Y_{u} \end{array}$$

large number of independent O(1) parameters: < test of statistical distributions

present precision in quark mass/mixing parameters some symmetry ?

additional constraints?

testable predictions beyond order-of-magnitude accuracy?

the lepton sector

small parameters

	$\sin^2\vartheta_{23} - 1/2$	$\sin^2 \vartheta_{_{13}}$	$\Delta m_{21}^2 / \Delta m_{31}^2$	$\Delta m_{21}^{2} / m_{1}^{2}$
NH	$0.067^{+0.032}_{-0.128}$	0.0234 ± 0.0020	0.0306 ± 0.0011	Ι
ΙH	$0.073^{+0.025}_{-0.043}$	0.0240 ± 0.0019	0.0319 ± 0.0009	≤ 0.016

accidental origin

$$F_{E_1^c} >> F_{E_2^c} >> F_{E_3^c}$$

$$F_{L_1} = F_{L_2} = F_{L_3}$$

[viable both for Majorana or Dirac neutrinos, here focus on Majorana]

Anarchy [Hall, Murayama, Weiner 1999 De Gouvea, Murayama 1204.1249]

 $m_{v} \propto \left(\begin{array}{ccc} O(1) & O(1) & O(1) \\ O(1) & O(1) & O(1) \\ O(1) & O(1) & O(1) \end{array} \right)$

mixing angles and mass ratios from random O(1) quantities $\left| U_{PMNS} \right| \approx \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.4 & 0.6 & 0.6 \\ 0.4 & 0.6 & 0.8 \end{pmatrix}$

consistent with data

 $\vartheta_{13} \approx 0.15$ rad and the hint for non maximal ϑ_{23} have strengthened the case for anarchy

not entirely accidental 2

-- variants of Anarchy e.g. in U(1)_{FN} models, quarks and leptons treated on equal foot -- compatible with SU(5) unification

[Buchmuller, Domcke, Schmitz, 1111.387; Altarelli, F. Masina, Merlo 1207.0587; Bergstrom, Meloni, Merlo, 1403.4528]



 10^{-1}

10

$F(L_i) = \lambda^{FN(L_i)}$		FN(L)	λ
	A	(0,0,0)	
	$A_{\mu au}$	(1,0,0)	0.25
	$PA_{\mu\tau}$	(2,0,0)	0.35
	Н	(2,1,0)	0.45



10

constraints from lepton flavour violation

take the limit m_v = 0 if MFV applied, we would expect no LFV [y_e diagonal]



in our setup, in general F_E^c , F_L , Y_e do not commute [not even when F_L is universal] LFV expected at some level

dominant LFV dipole operator

Explicit computation in RS

[Agashe, Blechman, Petriello 0606021 Csaki, Grossman, Tanedo, Tsai 1004.2037] comparable bounds from e EDM

[Keren-Zur, Lodone, Nardecchia, Pappadopulo, Rattazzi, Vecchi, 1205.5803]

$$L_{rv} = \frac{e}{\Lambda_{NP}^{2}} E^{c} (\sigma_{\mu\nu} F^{\mu\nu}) \underbrace{(F_{E^{c}} Y_{e} Y_{e}^{+} Y_{e} F_{L})}_{\text{not diagonal}} (H^{+}L)$$

$$\underbrace{(H^{+}L)}_{\text{when } y_{e} = F_{E^{c}} Y_{e} F_{L}} \text{ diagonal}}_{\text{when } y_{e} = F_{E^{c}} Y_{e} F_{L}} \text{ diagonal}}_{BR(\mu \rightarrow e\gamma)} < 5.7 \times 10^{-13}}$$

$$M_{_{KK}} > O(10) \ TeV$$

 F_{F^c}, Y_e, F_L

a sufficient condition for the absence of LFV:

for instance:

 $F_L \propto 1$

$$F_{E^c} \propto Y_e Y_e^+$$

diagonal in the same basis

[M.C. Chen and Yu, 08042503 Perez, Randall 0805.4652]

anything special from data, requiring a symmetry?

- ϑ₂₃ maximal ?
- 2 $\delta_{CP} = -\pi/2$?

3 examples from a longer list...

3 U_{PMNS} close to TB (BM,...)?



a small change of P_{ee} and/or P_{ue} within about 1 σ can bring back ϑ_{23} to maximal

difficult to improve ϑ_{23} from $P_{\mu\mu}$ $\delta\vartheta_{23} \approx \sqrt{\delta P_{\mu\mu}} / 2$ $\delta P_{\mu\mu} \approx 0.01$ $\delta\vartheta_{23} \approx 0.05$ rad (2.9°)

 ϑ_{23} nearly maximal would be a crucial piece of information

 9_{23} cannot be made maximal by RGE evolution [barring tuning of b.c. and/or ad hoc thresold corrections]

no maximal ϑ_{23} from an exact symmetry

broken abelian symmetries do not work [not a theorem but no counterexamples]



we are left with broken non-abelian symmetries

[T2K: 1311.4750

and 1311.4114]





1 add large corrections $O(9_{13}) \approx 0.2$

- predictability is lost since in general correction terms are many
- new dangerous sources of FC/CPV if NP is at the TeV scale



[He, Zee 2007 and 2011, Grimus, Lavoura 2008, Grimus, Lavoura, Singraber 2009, Albright, Rodejohann 2009, Antusch, King, Luhn, Spinrath 2011, King, Luhn 2011, G. Altarelli, F.F., L. Merlo and E. Stamou hep-ph/1205.4670]

deviation from TB is linear in α for $\sin^2\theta_{23}$, whereas is quadratic for $\sin^2\theta_{12}$, the best measured angle

sum rules can be tested by measuring δ_{CP} and improving on sin^2 ϑ_{23}

δ

3 change discrete group G_f

solutions exist
 special forms of TM₂

G_{f}	Δ(96)	Δ(384)	$\Delta(600)$
α	$\pm \pi/12$	$\pm \pi/24$	$\pm \pi/15$
$\sin^2 \vartheta^0_{13}$	0.045	0.011	0.029

 δ^0 =0, π (no CP violation) and α "quantized" by group theory

complete classification of $|U_{PMNS}|$ from any finite group available now!

$$C_{P}/\pi \left[O_{0.00}^{0.507} O_{0.05}^{0.507} O_{0.05}^{$$

$$U^{0} = U_{TB} \times \begin{pmatrix} \cos \alpha & 0 & e^{i\delta} \sin \alpha \\ 0 & 1 & 0 \\ -e^{-i\delta} \sin \alpha & 0 & \cos \alpha \end{pmatrix}$$

F.F., C. Hagedorn, R. de A.Toroop hep-ph/1107.3486 and hep-ph/1112.1340 Lam 1208.5527 and 1301.1736 Holthausen1, Lim and Lindner 1212.2411 Neder, King, Stuart 1305.3200 Hagedorn, Meroni, Vitale 1307.5308]

[Fonseca, Grimus 1405.3678]

4 change LO pattern

$$U_{PMNS}^0 = U_{BM}$$

corrected by Ue12

$$\sin^2 \vartheta_{12} = \frac{1}{2} + \sin \vartheta_{13} \cos \delta_{CP} + O(\sin^2 \vartheta_{13})$$

include CP in the SB pattern

$$G_{CP} = G_f \rtimes CP$$

$$G_e \qquad G_v =$$

[F. F, C. Hagedorn and R. Ziegler 1211.5560, 1303.7178 Ding,King,Luhn,Stuart 1303.6180 Ding, King, Stuart 1307.4212]

$$G_v = Z_2 \times CP$$

mixing angles and CP violating phases $(\vartheta_{12}^0, \vartheta_{23}^0, \vartheta_{13}^0, \delta^0, \alpha^0, \beta^0)$

predicted in terms of a single real parameter $0 \le 9 \le \pi$

2 examples with $G_f = S_4 G_e = Z_3$ $\sin^2 \vartheta_{23}^0$

$$f \text{ a single real}$$

$$f \text{ a single real}$$

$$2 \vartheta_{23}^{0} = \frac{1}{2} |\sin \delta^{0}| = 1 \qquad \frac{\sin \alpha^{0}}{\sin \beta^{0}}$$



no conclusions...



back up slides

Conclusions

flavour symmetries are a useful tool in our quest of the origin of \mathcal{Y} but no compelling and unique picture have emerged so far. Present data can be described within widely different frameworks [despite the constant, impressive progress on the experimental side]

simple schemes with a minimal amount of structure can well reproduce the main features of Y in both quark and lepton sectors also in a GUT framework main drawbacks: -- no precise questions/no precision tests allowed [e.g. maximal ϑ_{23} unexplained] -- more structure needed to suppress FCNC and CPV if there is new physics at the TeV scale

some special features [ϑ_{23} maximal, $\delta_{CP} = -\pi/2$, $U_{PMNS} \approx TB$, BM,...] can survive experimental refinements and guide us in the search of a unifying principle for the flavour sector.

θ_{23} maximal from some flavour symmetries?

a no-go theorem [F. 2004] $\vartheta_{23} = \pi/4$ can never arise in the limit of an exact realistic symmetry

charged lepton mass matrix:



 $\vartheta_{23} = \frac{\pi}{4}$

determined entirely by breaking effects (different, in general, for v and e sectors)

G_f = discrete flavor symmetry

$$U_{PMNS} = U_{PMNS}^0 + \text{corrections} \bigstar$$

some simple pattern, exactly reproduced by a flavor symmetry

well motivated before 2012

$$U_{PMNS}^{0} = U_{TB} \equiv \begin{pmatrix} 2/\sqrt{6} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$
Tribimaximal Mixing

discrete flavor symmetries showed very efficient to reproduce U⁰_{PMNS}

still justified today?

$$U_{TB} \approx \begin{pmatrix} 0.82 & 0.58 & 0 \\ -0.41 & 0.58 & -0.71 \\ -0.41 & 0.58 & 0.71 \end{pmatrix} \qquad \qquad \left| U_{PMNS} \right| = \begin{pmatrix} 0.80 \div 0.85 & 0.51 \div 0.59 & 0.13 \div 0.18 \\ 0.21 \div 0.54 & 0.42 \div 0.73 & 0.58 \div 0.81 \\ 0.22 \div 0.55 & 0.41 \div 0.73 & 0.57 \div 0.80 \end{pmatrix}$$

[30 ranges from Gonzalez-Garcia, Maltoni, Salvado, Schwetz 1209.3023]

2011/2012 breakthrough

-- LBL experiments searching for $v_{\mu} \rightarrow v_{e}$ conversion

-- SBL reactor experiments searching for anti-ve disappearance



[see Fogli's talk]

sterile neutrinos coming back

reactor anomaly (anti-v_e disappearance) re-evaluation of reactor anti-v_e flux: new estimate 3.5% higher than old one



supported by the Gallium anomaly

 v_e flux measured from high intensity radioactive sources in Gallex, Sage exp

 $v_e + {}^{71}Ga \rightarrow {}^{71}Ge + e^-$ [error on σ or on Ge

extraction efficiency]

most recent cosmological limits

[depending on assumed cosmological model, data set included,...] relativistic degrees of freedom at recombination epoch

 $N_{eff} = 3.30 \pm 0.27$

[Planck, WMAP, BAO, high multiple CMB data]

long-standing claim 2

evidence for $v_{\mu} \rightarrow v_{e}$ appearance in accelerator experiments

exp		E(MeV)	L(m)	
LSND	$\overline{v}_{\mu} \rightarrow \overline{v}_{e}$	10 ÷ 50	30	3.8σ
MiniBoone	$ \begin{array}{c} \nu_{\mu} \rightarrow \nu_{e} \\ \overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e} \end{array} $	300÷3000	541	3.8σ



fully thermalized non relativistic v $N_{eff} < 3.80 \quad (95\% CL)$ $m_{s} < 0.42 \, eV \quad (95\% \, CL)$

[signal from low-energy region]

parameter space limited by negative results from Karmen and ICARUS

$$\vartheta_{e\mu} \approx 0.035$$

 $\Delta m^2 \approx 0.5 \, eV^2$

3



interpretation in 3+1 scheme: inconsistent (more than 1s disfavored by cosmology)

$$\underbrace{\vartheta_{e\mu}}_{0.035} \approx \underbrace{\vartheta_{es}}_{0.2} \times \vartheta_{\mu s} \implies \vartheta_{\mu s} \approx 0.2$$

predicted suppression in ν_{μ} disappearance experiments: undetected

by ignoring LSND/Miniboone data the reactor anomaly can be accommodated by $m_s \ge 1 \text{ eV}$ and $\vartheta_{es} \approx 0.2$ [not suitable for WDM, more on this later]



predictions based on G_f=A₄ × Z₃ × U(1)_{FN} [+ SEE-SAW] [Altarelli, F 2005]

lepton mixing is TB, by construction, plus NLO corrections of order 0.005 < u < 0.05 at the LO neutrino mass spectrum depends on two complex parameters there is a sum rule among (complex) mass eigenvalues m_{1.2.3}

