

*Oscillations of
Pseudo-Dirac Neutrinos
and
Supernovae*

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Pseudo-Dirac Neutrinos & SuperNovæ

Oscillations of Neutrinos

- **Solar Neutrino Problem** $\Delta m_{sol}^2 = \Delta m_{21}^2 \simeq 7.6 \times 10^{-5} eV^2$ & $\sin^2 2\theta_{12} \simeq 0.3$
Super-Kamiokande Collaboration, Phys. Rev. D83 (2011)
- **Atmospheric Neutrino Problem** $\Delta m_{atm}^2 = \Delta m_{31}^2 \simeq 2.45 \times 10^{-3} eV^2$ & $\sin^2 2\theta_{23} \simeq 0.5$
MINOS Collaboration, Phys. Rev. Lett 106 (2011)
- **Short Baseline Experiments** $\Delta m_{SBL}^2 = \Delta m_{41}^2 \simeq 1eV^2$
S. Schael et al., Phys. Rept. 427 (2006) & J. Kopp et al., JHEP (2013)
- **Low Flux of High Energy Astrophysical Muon Neutrinos** $\Delta m_{GRB}^2 = \Delta m^2 \leq 10^{-12} eV^2$
IceCube Collaboration, Nature 484 (2012) & S. Pakvasa et al; Phys. Rev. Lett. 110 (2013)
- **Contribute to Supernova Shock Revival**
H.Th. Janka, A&A 368 (2001)

Oscillations of Neutrinos in Supernovae

- $\nu_{\mu,\tau} \rightarrow \nu_e$ **RSP** theoretical study C.S. Lim & W.L. Marciano, *INS report 645*, (1987)
- $\nu_{\mu,\tau} \rightarrow \nu_e$ **RSP** $9eV^2 \leq \Delta m^2$ & $R \approx 1.6$ E.Kh. Akhmedov et al, *Phys. Rev. D 55* (1997)
- $\nu_{\mu,\tau} \rightarrow \nu_e$ **MSW** $10^3 eV^2 \leq \Delta m^2 \leq 10^5 eV^2$ G.M. Fuller et al., *Astro.J.389* (1992)
- **Sterile Neutrino Oscillations** : To include dark matter candidate
 $10eV \leq \Delta m_{21}^2 \leq 20keV$ & $10^{-9} \leq \sin^2 2\theta_s \leq 0.01$ L.W. MacKenzie et al, *arXiv:1405.6101*
 $\Delta m^2 \sim 1keV$ & $\sin^2 2\theta_s \simeq 10^{-9}$ & $R = 2$ J. Hidaka & G.M. Fuller, *Phys.Rev.D76* (2007)
- $\Delta m^2 \sim 1eV^2$ **suppresses neutrino heating** M.R. Wu et al, *Phys.Rev.D89*, (2014)
- **Collective Neutrino Oscillation** enhancing heating by not more than a few percent in the most optimistic case B. Dasgupta et al, *Phys. Rev. D 85* (2012)

Pseudo-Dirac Neutrinos & SuperNovæ

Pseudo-Dirac Neutrinos:

- *Atmospheric Neutrino Problem* : but problems with SuperKam results.

M. Kobayashi & C.S. Lim, Phys.Rev. D64 (2001)

- *Solar Neutrino Oscillations*: must have $\Delta m_s^2 \leq 10^{-9} eV^2$

deGouvea et al. Phys.Rev.D80 (2009)

- *Neutrinoless double beta decay would be highly suppressed*

J.F. Beacom et al; Phys.Rev.Lett. 92 (2004)

- *UHE neutrinos events at IceCube coincident with gamma ray bursts (GRBs)*

$$10^{-12} eV^2 \leq \Delta m_s^2 \leq 10^{-18} eV^2$$

J.F. Beacom et al; Phys.Rev.Lett. 92 (2004)

A. Esmaili, Phys.Rev. D81 (2010) and A. Esmaili & Y. Farzan, JCAP 1212 (2012)

S. Pakvasa et al, Phys. Rev. Lett. 110 (2013)

A.S. Joshipura & S.D. Rindani; Phys.Rev. D89 (2014)

Pseudo-Dirac Neutrinos & SuperNovæ

Oscillations of Neutrinos

Physical vs. Interaction States:

Neutrinos are produced as field states via weak interactions $\nu^{(f)} \equiv \begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix}$

But propagate as mass states: $i \frac{d}{dt} \nu^{(P)}(t) = H \nu^{(P)}(t) \quad \nu^{(P)} \equiv \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$

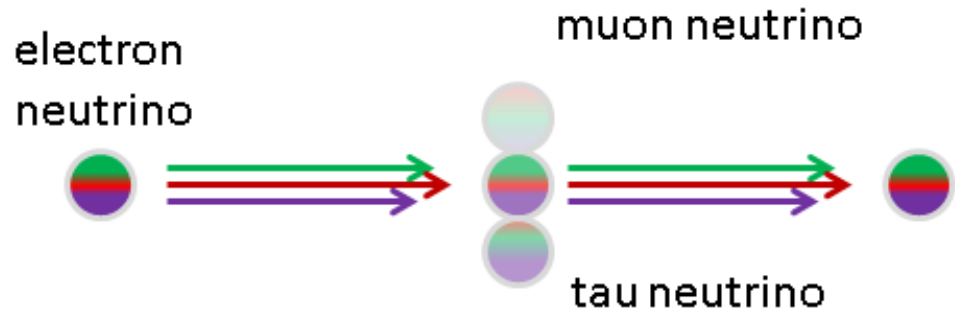
$$\nu^{(P)} = O^+ \nu^{(f)}$$

$$i \frac{d}{dx} \nu^{(f)} = O H O^+ \nu^{(f)}$$

Due to their differing masses, $\nu^{(P)}$ will travel at slightly different velocities.

Then, are detected as other field states:

$$\nu_{new}^{(f)} \equiv \begin{pmatrix} \nu'_\alpha \\ \nu'_\beta \end{pmatrix}$$



Pseudo-Dirac Neutrinos & SuperNovæ

Oscillations of Neutrinos

In Vacuum: no interactions (Bilenky S.M. arXiv:1208.2497)

For field states

$$H = \left(|P| + \frac{m_1^2 + m_2^2}{4|P|} \right) - \frac{\Delta}{4|P|} \sigma_3 \quad \Delta = m_2^2 - m_1^2$$

For mass states

$$O = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \quad H_V = U H U^\dagger = |P| + \frac{m_1^2 + m_2^2}{4|P|} + \frac{\Delta}{|P|} \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

So probability for transformation after distance x :

$$P(\nu_\alpha \rightarrow \nu_\beta, x) = \left| \langle \nu_\beta(x) | \nu_\alpha(0) \rangle \right|^2 = \sin^2 2\theta \times \sin^2 \frac{\Delta \times x}{4E}$$

Not negligible if:

$$\sin^2 2\theta \approx 1 \quad x \geq L_m / 2$$

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Oscillations of Neutrinos

In Matter: Probability of transformations:

$$P(\nu_i \rightarrow \nu_j, x) = \sin^2 2\theta_m \times \sin^2 \frac{\pi \cdot x}{L_m} : i \neq j$$

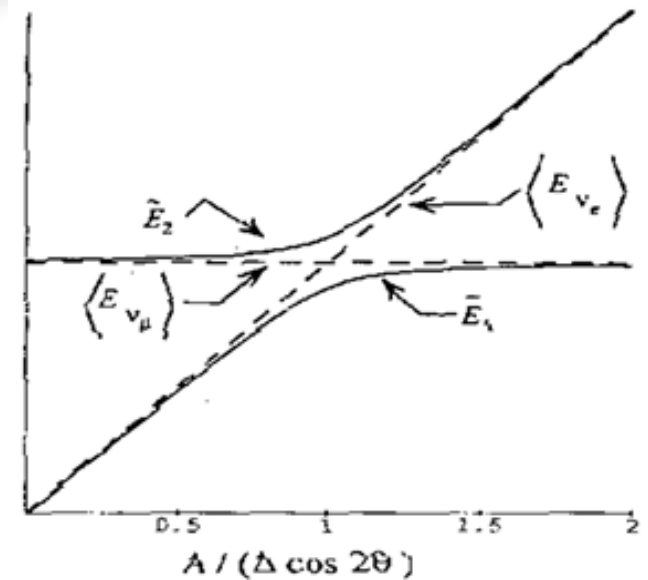
With matter angle:

$$\sin^2 2\theta_m = \frac{\Delta^2 \sin^2 2\theta}{(\Delta \cos 2\theta - A)^2 + \Delta^2 \sin^2 2\theta}$$

$$L_m = \frac{4\pi E / \Delta}{\sqrt{\cos^2 2\theta (1 - A / A_r)^2 + \sin^2 2\theta}} ; A_r = \Delta \cos 2\theta$$

Mikheyev-Smirnov-Wolfenstein Effect:

$$A = \Delta \cos 2\theta = A_r \Rightarrow \bar{P}(\nu_e \rightarrow \nu_\mu) = \frac{1}{2} \sin^2 2\theta_m \approx \frac{1}{2}$$



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Oscillations of Neutrinos

In Matter: non-homogenous

$$i \frac{d}{dx} \begin{pmatrix} \nu_m^{(1)} \\ \nu_m^{(2)} \end{pmatrix} = \begin{pmatrix} \frac{M_1^2}{2E} & i \frac{d\theta_m}{dx} \\ i \frac{d\theta_m}{dx} & \frac{M_2^2}{2E} \end{pmatrix} \begin{pmatrix} \nu_m^{(1)} \\ \nu_m^{(2)} \end{pmatrix}$$

Adiabatic case or $\frac{d\theta_m}{dx}$ small:

$$P_{ad}(\nu_e \rightarrow \nu_e) = 1 - P_{ad}(\nu_e \rightarrow \nu_\mu) = \frac{1}{2} \left[1 + \cos 2\theta_m^{(C)} \cos 2\theta_m^{(D)} \right]$$

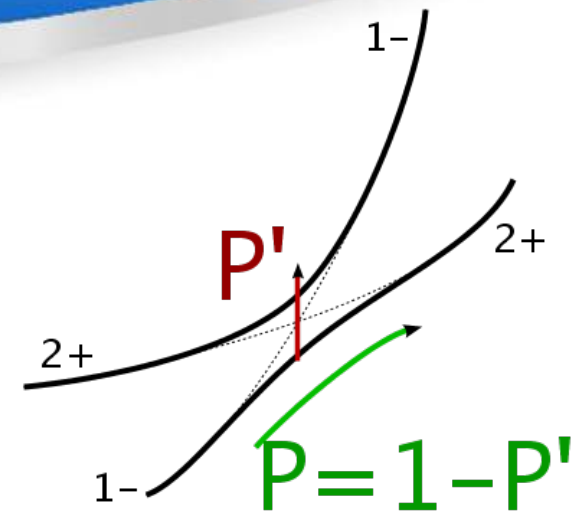
Non-Adiabatic case or $\frac{d\theta_m}{dx}$ not small:

$$P_{nad}(\nu_e \rightarrow \nu_\mu) = \frac{1}{2} \left[1 - (1 - 2P_{LZ}) \cos 2\theta_m^{(C)} \cos 2\theta_m^{(D)} \right]$$

Landau-Stueckelberg-Zener Effect:

$$P_{LZ} = \exp \left(-\frac{\pi}{4} F \gamma_R \right)$$

$$\gamma_R = \frac{\Delta \sin^2 2\theta}{E \cos 2\theta} \left| \frac{d}{dx} \ln n_e \right|_R^{-1}$$

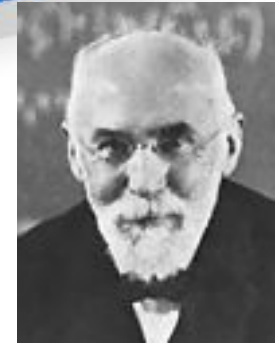


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Oscillations of Neutrinos

Neutrino types: From left handed neutrinos to right handed ones, we have two possible ways:

$$\nu_- \xrightarrow{CPT} \bar{\nu}_+ \qquad \nu_- \xrightarrow{\text{Lorentz}} \nu_+$$



Hendrik A. Lorentz
1853-1928

Majorana neutrinos:

$$-L_m^{D+M} = \frac{1}{2} \bar{n}_L^C \begin{pmatrix} M_L & M_D \\ M_D^T & M_R \end{pmatrix} n_L + h.c.$$

$$n_L \equiv \begin{pmatrix} \nu_{1,L} \\ \nu_{2,L} \\ \nu_{1,R}^C \\ \nu_{2,R}^C \end{pmatrix}$$



Ettore Majorana
1906-1938

Dirac neutrinos:

$$\begin{pmatrix} M_L = 0 & M_D \\ M_D^T & M_R = 0 \end{pmatrix}$$

Pseudo-Dirac neutrinos:

$$\begin{pmatrix} M_L \ll M_D & M_D \\ M_D^T & M_R \ll M_D \end{pmatrix}$$

Paul A. M. Dirac
1902-1984



Pseudo-Dirac Neutrinos & SuperNovæ

Pseudo-Dirac Neutrinos

General Formalism: We write sterile neutrino as right handed one and we use the following basis.

$$i \frac{d}{dt} \nu(t) = H \nu(t) \quad H = H(\nu) + H(int) + H(F) \quad \nu = (\nu_L, \nu_R^C, \nu_L^C, \nu_R) = (\nu_e, \bar{\nu}_R, \bar{\nu}_e, \nu_R)$$

$$H = \begin{pmatrix} -\frac{1}{2} \Delta C 2\theta + V_L & \frac{1}{2} \Delta S 2\theta & 0 & \mu B \\ \frac{1}{2} \Delta S 2\theta & \frac{1}{2} \Delta C 2\theta - V_R & -\mu B & 0 \\ 0 & -\mu B & -\frac{1}{2} \Delta C 2\theta - V_L & \frac{1}{2} \Delta S 2\theta \\ \mu B & 0 & \frac{1}{2} \Delta S 2\theta & \frac{1}{2} \Delta C 2\theta + V_R \end{pmatrix}$$

$$S 2\theta \equiv \sin 2\theta \quad C 2\theta \equiv \cos 2\theta \quad \Delta \equiv \Delta m^2 / 2E \quad V_L = \sqrt{2} G_F \left(n_e - \frac{1}{2} n_n \right); V_R = \sqrt{2} G \times n_e$$

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Pseudo-Dirac Neutrinos

Possible Oscillations: by equalizing the diagonal terms, we have 4 cases:

$$\alpha = G/G_F$$

$$n_n = \rho(1 - Y_e)N_A; n_e = \rho Y_e N_A$$

$$\sqrt{2}\Delta \cos 2\theta = G_F N_A \rho F(Y_e) \quad F(Y_e) = \begin{cases} (3 + 2\alpha)Y_e - 1 \leftrightarrow \bar{\nu}_R \longrightarrow \nu_e \text{ (MSW1)} \\ -(3 + 2\alpha)Y_e + 1 \leftrightarrow \nu_R \longrightarrow \bar{\nu}_e \text{ (MSW2)} \\ (3 - 2\alpha)Y_e - 1 \leftrightarrow \nu_R \longrightarrow \nu_e \text{ (RSP1)} \\ -(3 - 2\alpha)Y_e + 1 \leftrightarrow \bar{\nu}_R \longrightarrow \bar{\nu}_e \text{ (RSP2)} \end{cases}$$

MSW for Mikheyev-Smirnov-Wolfenstein

RSP for Resonant Spin Precession

$$\frac{\Delta m^2}{2E} \cos 2\theta = \frac{1}{\sqrt{2}} G_F N_A \rho \left[\pm \left(3 \pm 2 \frac{G}{G_F} \right) Y_e \mp 1 \right]$$

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Pseudo-Dirac Neutrinos

Possible Oscillations:

$$\frac{\Delta m^2}{2E} \cos 2\theta = \frac{1}{\sqrt{2}} G_F N_A \rho \left[\pm \left(3 \pm 2 \frac{G}{G_F} \right) Y_e \mp 1 \right]$$

We take $\Delta m^2 \leq 10^{-9} eV^2$ from solar neutrinos experiments (*deGouvea et al. Phys.Rev.D80 2009*) and $E \approx 10 MeV$; So the right hand side is close to zero:

$$\rho \approx 10^7 \sim 10^{12} g / cm^3 \Rightarrow \left[\pm \left(3 \pm 2 \frac{G}{G_F} \right) Y_e \mp 1 \right] \approx 0$$

For MSW: $(3 + 2\alpha) Y_e - 1 \approx 0 \& 0 \leq Y_e \leq 1/2 \Rightarrow \alpha \geq -1/2$

For RSP: $(3 - 2\alpha) Y_e - 1 \approx 0 \& 0 \leq Y_e \leq 1/2 \Rightarrow \alpha \leq 1/2$

Pseudo-Dirac Neutrinos

Possible Oscillations: We have 3 different regions

$$\left\{ \begin{array}{l} \alpha \geq 1/2 \Rightarrow \bar{\nu}_R \longrightarrow \nu_e (MSW1) \ \& \ \nu_R \longrightarrow \bar{\nu}_e (MSW2) \\ 1/2 \geq \alpha \geq -1/2 \Rightarrow (MSW1) \ \& \ (MSW2) \ \& \ (RSP1) \ \& \ (RSP2) \\ -1/2 \geq \alpha \Rightarrow \nu_R \longrightarrow \nu_e (RSP1) \ \bar{\nu}_R \longrightarrow \bar{\nu}_e (RSP2) \end{array} \right.$$

And the transition probabilities are

$$P(v_\alpha \rightarrow v_\beta) = \frac{1}{2} - \frac{1}{2} \cos 2\theta_m^{(C)} \cos 2\theta_m^{(D)} (1 - 2P_{LZ})$$

$$\cos 2\theta_m (MSW) = \frac{\Delta \cos 2\theta - \sqrt{2}G_F N}{\sqrt{(\Delta \sin 2\theta)^2 + (\Delta \cos 2\theta - \sqrt{2}G_F N)^2}}$$

$$\cos 2\theta_m (RSP) = \frac{\Delta \cos 2\theta - \sqrt{2}G_F N}{\sqrt{(2\mu B)^2 + (\Delta \cos 2\theta - \sqrt{2}G_F N)^2}}$$

Pseudo-Dirac Neutrinos

Possible Oscillations:
$$P(v_\alpha \rightarrow v_\beta) = \frac{1}{2} - \frac{1}{2} \cos 2\theta_m^{(C)} \cos 2\theta_m^{(D)} (1 - 2P_{LZ})$$

With
$$P_{LZ}^{MSW} = \exp \left\{ -(\pi / 2\Delta) \frac{\sin^2 2\theta}{\cos 2\theta} \left| \frac{d}{dr} \ln N \right|_{res}^{-1} \right\}$$

$$P_{LZ}^{RSP} = \exp \left\{ -(\pi / 2)(2\mu B)^2 \frac{1}{\Delta \cos 2\theta} \left| \frac{d}{dr} \ln N \right|_{res}^{-1} \right\}$$

And:
$$N = \begin{cases} N(MSW1) = \rho N_A \left\{ \left(\frac{3}{2} + \alpha \right) Y_e - \frac{1}{2} \right\} \\ N(MSW2) = -\rho N_A \left\{ \left(\frac{3}{2} + \alpha \right) Y_e - \frac{1}{2} \right\} \\ N(RSP1) = \rho N_A \left\{ \left(\frac{3}{2} - \alpha \right) Y_e - \frac{1}{2} \right\} \\ N(RSP2) = -\rho N_A \left\{ \left(\frac{3}{2} - \alpha \right) Y_e - \frac{1}{2} \right\} \end{cases}$$

And we have
$$\left| \frac{d}{dr} \ln N \right| = \left| \frac{d}{dr} \ln \left(\rho N_A \left\{ \pm \left(\frac{3}{2} \pm \alpha \right) Y_e \mp \frac{1}{2} \right\} \right) \right| \approx \left| \frac{d}{dr} \ln \rho \right|$$

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Pseudo-Dirac Neutrinos

Possible Oscillations:
$$P(v_\alpha \rightarrow v_\beta) = \frac{1}{2} - \frac{1}{2} \cos 2\theta_m^{(C)} \cos 2\theta_m^{(D)} (1 - 2P_{LZ})$$

We start with the MSW type of oscillations $P_{LZ}(MSW) \approx 1$ unless $\left| \frac{d}{dr} \ln \rho \right|_{res} \leq 10^{-16}$

Production reactions are at neutrino-sphere (Y_e goes from 0 to $\frac{1}{2}$):

$$C : \rho \approx 10^{12} g \times cm^{-3} \Rightarrow G_F N \approx -10^{-3} eV$$

And detection at the Wilson bubble:

$$D : \rho \approx 10^6 \sim 10^7 g \times cm^{-3} \Rightarrow G_F N \approx 10^{-9} \sim 10^{-8} eV$$

This gives:

$$\cos 2\theta_m^{(C)} \approx 1 \ \& \ \cos 2\theta_m^{(D)} \approx -1 \Rightarrow P(MSW1) \approx 0$$

And also:

$$N(MSW2) = -N(MSW1) \Rightarrow P(MSW2) \approx 0$$

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Pseudo-Dirac Neutrinos

Possible Oscillations:
$$P(v_\alpha \rightarrow v_\beta) = \frac{1}{2} - \frac{1}{2} \cos 2\theta_m^{(C)} \cos 2\theta_m^{(D)} (1 - 2P_{LZ})$$

We consider now the RSP type of oscillations

$$\mu_\nu \approx 10^{-12} \mu_B; B_{res} \approx 10^{12} G; \Delta m^2 \approx 1 eV^2$$

$$P_{LZ}^{RSP} \approx \exp \left\{ -0.2 \cdot 10^{-11} \left(\frac{eV^2}{10^{-9} \Delta m^2} \right) \left(\frac{E_\nu}{10 MeV} \right) \left(\frac{\mu_\nu B_{res}}{\mu_B \times G} \right)^2 \left(10^{-5} cm \left| \frac{d}{dr} \ln \rho \right|_{res}^{-1} \right) \right\}$$

We take the profile:

$$B(r) \approx (r_0 / r)^n \times 10^{12} G; r_0 = 10 km; r = 400 km; \mu_\nu \approx 10^{-12} \mu_B \Rightarrow \mu B \approx 10^{-12} eV$$

And as for the MSW case, we consider creation point C and detection one D, where we

have the G_{FN} term dominant in $\cos 2\theta$ expressions, and so we get: $P_{LZ}^{RSP} \approx 1$

and $P(RSP1) = P(RSP2) \approx 1$ unless $\mu_\nu B_{res} \geq 10^6 \mu_B G$

Pseudo-Dirac Neutrinos

Possible Oscillations: We summarize:

$$\left\{ \begin{array}{l} \alpha \leq -1/2 \Rightarrow \begin{cases} P(\nu_R \rightarrow \nu_e) = P(RSP1) \approx 1 \\ P(\bar{\nu}_R \rightarrow \bar{\nu}_e) = P(RSP2) \approx 1 \end{cases} \\ \\ -1/2 \leq \alpha \leq 0 \Rightarrow \begin{cases} P(\nu_R \rightarrow \nu_e) = P(RSP1) \approx 1 \\ P(\bar{\nu}_R \rightarrow \bar{\nu}_e) = P(RSP2) \approx 1 \\ P(\nu_R \rightarrow \bar{\nu}_e) = [1 - P(RSP1)] P(MSW2) \approx 0 \\ P(\bar{\nu}_R \rightarrow \nu_e) = [1 - P(RSP2)] P(MSW1) \approx 0 \end{cases} \\ \\ 0 \leq \alpha \leq 1/2 \Rightarrow \begin{cases} P(\nu_R \rightarrow \nu_e) = [1 - P(MSW2)] P(RSP1) \approx 1 \\ P(\bar{\nu}_R \rightarrow \bar{\nu}_e) = [1 - P(MSW1)] P(RSP2) \approx 1 \\ P(\nu_R \rightarrow \bar{\nu}_e) = P(MSW2) \approx 0 \\ P(\bar{\nu}_R \rightarrow \nu_e) = P(MSW1) \approx 0 \end{cases} \\ \\ 1/2 \leq \alpha \Rightarrow \text{all probabilities are zero} \end{array} \right.$$

Conclusion:

➤ Only RSP oscillations are relevant in our case

➤ If we use the estimation of Akhmedov: *E.Kh. Akhmedov, Phys.Rev.D55 (1997)*

$$R_{RSP} \approx \frac{Y_n + Y_p \left(T_{\nu_s} / T_{\nu_s} \right)^2}{Y_n + Y_p \left(T_{\bar{\nu}_e} / T_{\nu_s} \right)^2} \approx 40$$

To compare to a factor 2 when using $\nu_{\mu,\tau}$.

G.M. Fuller et al., Astro.J.389 (1992)

➤ For the cross section, we have

Following the estimation made by: *J. Hidaka & G.M. Fuller, Phys.Rev.D76 (2007)*

➤ Oscillations between sterile and active neutrinos can fulfill the conditions for shock revival by neutrino heating in core-collapse supernovae. *H.Th. Janka, A&A 368 (2001)*

➤ Need computations instead of estimations

Pseudo-Dirac Neutrinos & SuperNovæ

First and foremost, because we exist, we need an explosion:



so:

“Put your trust in God and keep your powder dry!”

Oliver Cromwell, 1649 or William Blacker, 1834