

Measurement of W-boson production in p-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV with ALICE

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- ❖ Physics motivation
- ❖ ALICE layout and data sample
- ❖ Analysis strategy :
 - ❑ measure muons from W decays at forward and backward rapidities
 - ❑ W signal extraction
 - ❑ Acc. x Eff. Correction
 - ❑ normalization
- ❖ Results :
 - ❑ cross section vs. rapidity
 - ❑ yield/ $\langle N_{\text{coll}} \rangle$ vs. multiplicity
- ❖ Conclusion



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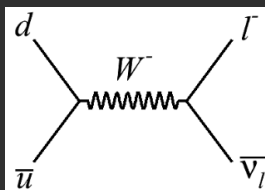
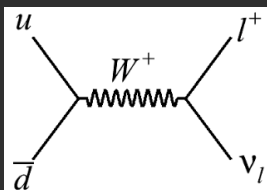
Physics motivation

❖ Why ?

- ❑ Electroweak (EW) bosons are produced in initial hard partonic scattering processes
- ❑ In p-p collisions :
 - ✓ W boson production mechanism (e.g. via $q\bar{q}$ annihilation) makes it sensitive to parton distribution functions (PDFs)
- ❑ In p-Pb collisions :
 - ✓ investigate the cold nuclear matter effects
 - ✓ modification of PDFs in nuclei
- ❑ In Pb-Pb collisions :
 - ✓ produced before QGP is formed
 - ✓ colorless probes which are supposed not to interact with QGP
- ❑ Luminosity and detector alignment cross-checks

❖ How ?

- ❑ Dominant production processes (LO)



- ❑ Detected through their muonic decay :

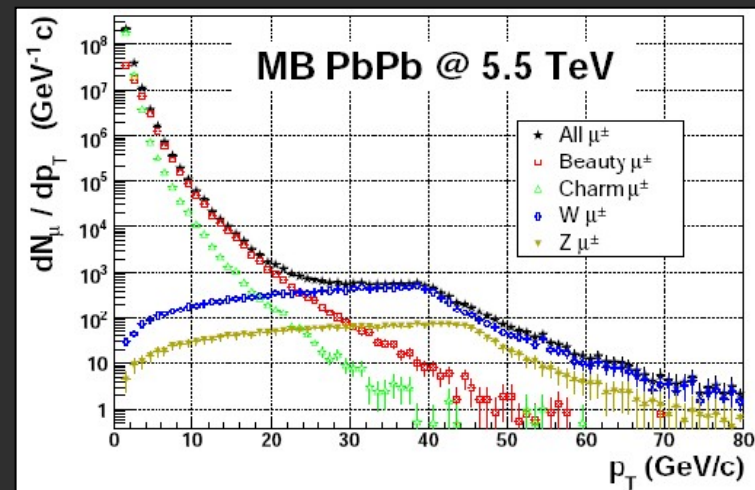
$$W^+ \rightarrow \mu^+ \nu_\mu \quad W^- \rightarrow \mu^- \bar{\nu}_\mu$$
- ❑ $\mu^\pm \leftarrow W^\pm$ production is maximum at ~ 40 GeV/c and dominates the high p_T range

[Z. Conesa del Valle et al., ALICE-INT-2006-021 & Eur. Phys. J. C49 (2007) 149]

❖ Where ?

- ❑ In the ALICE Muon Spectrometer, covering a rapidity range complementary to those of ATLAS and CMS

statistics: 1 month ($L = 5.10^{26} \text{ cm}^{-2} \text{ s}^{-1}$, $t = 10^6 \text{ s}$)

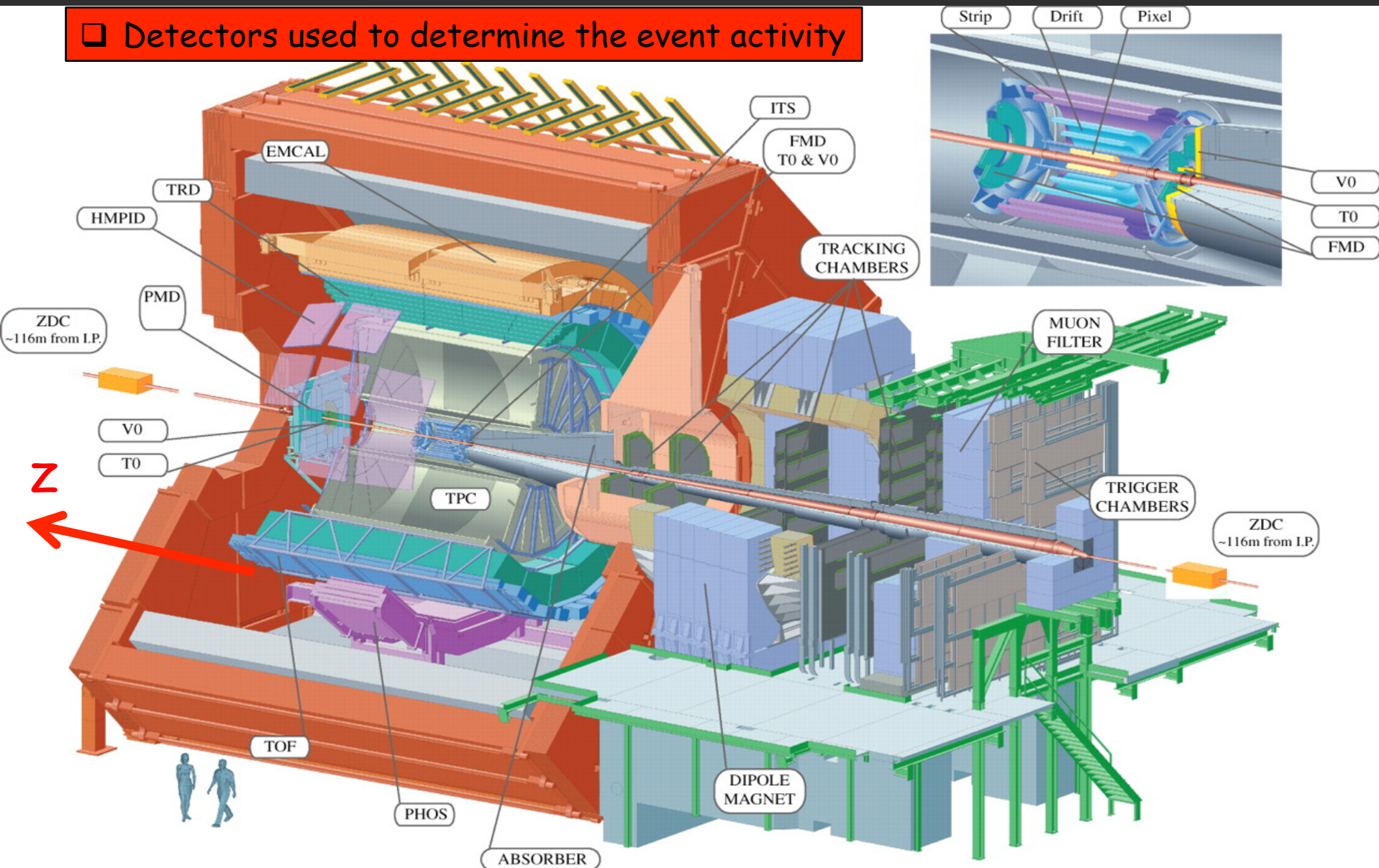




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ALICE detector layout (I)

□ Detectors used to determine the event activity

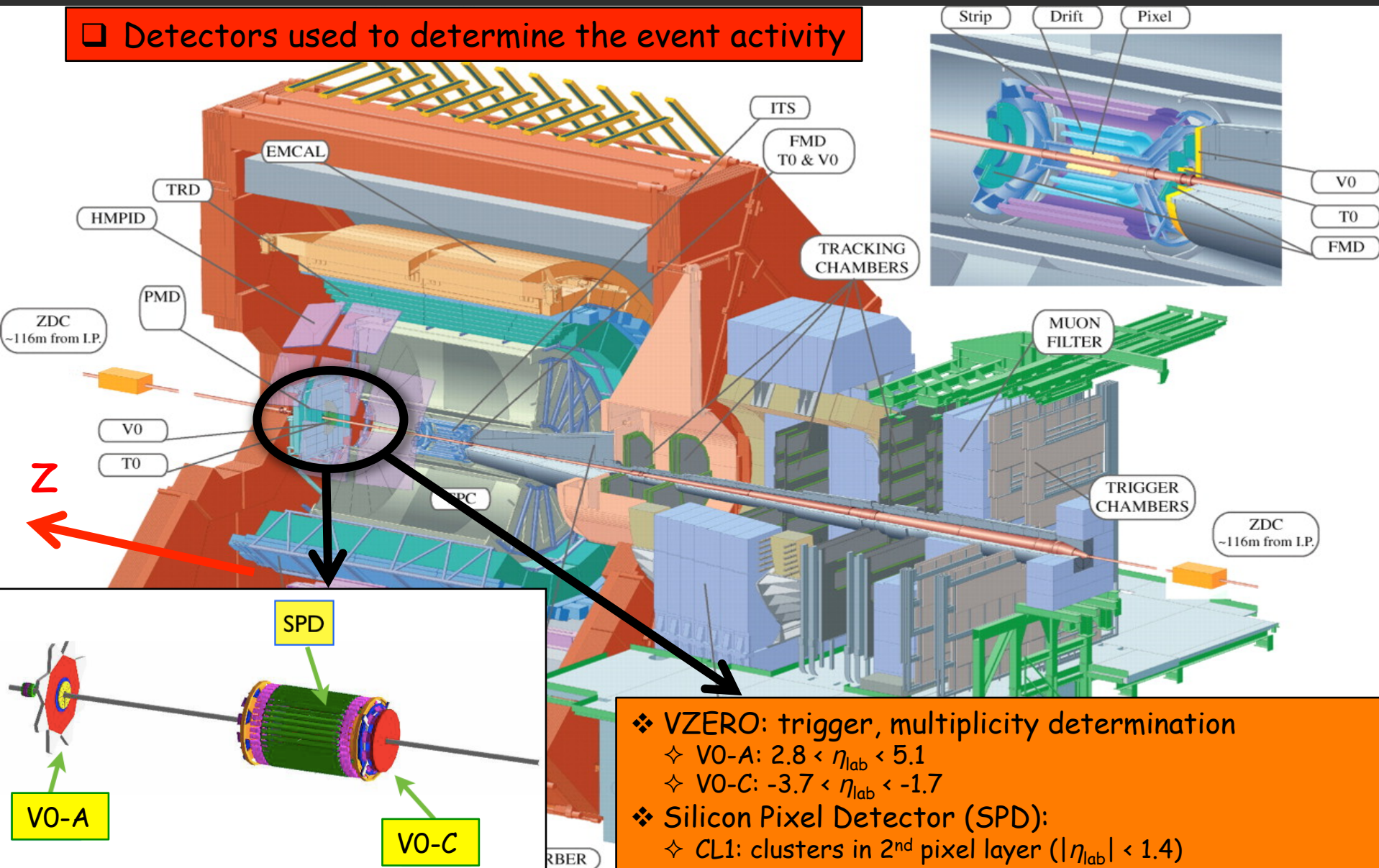




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ALICE detector layout (I)

❑ Detectors used to determine the event activity



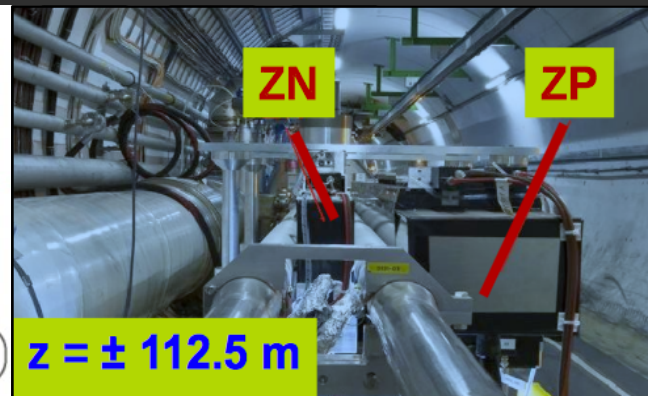
- ❖ **VZERO**: trigger, multiplicity determination
 - ❖ V0-A: $2.8 < \eta_{lab} < 5.1$
 - ❖ V0-C: $-3.7 < \eta_{lab} < -1.7$
- ❖ **Silicon Pixel Detector (SPD)**:
 - ❖ CL1: clusters in 2nd pixel layer ($|\eta_{lab}| < 1.4$)



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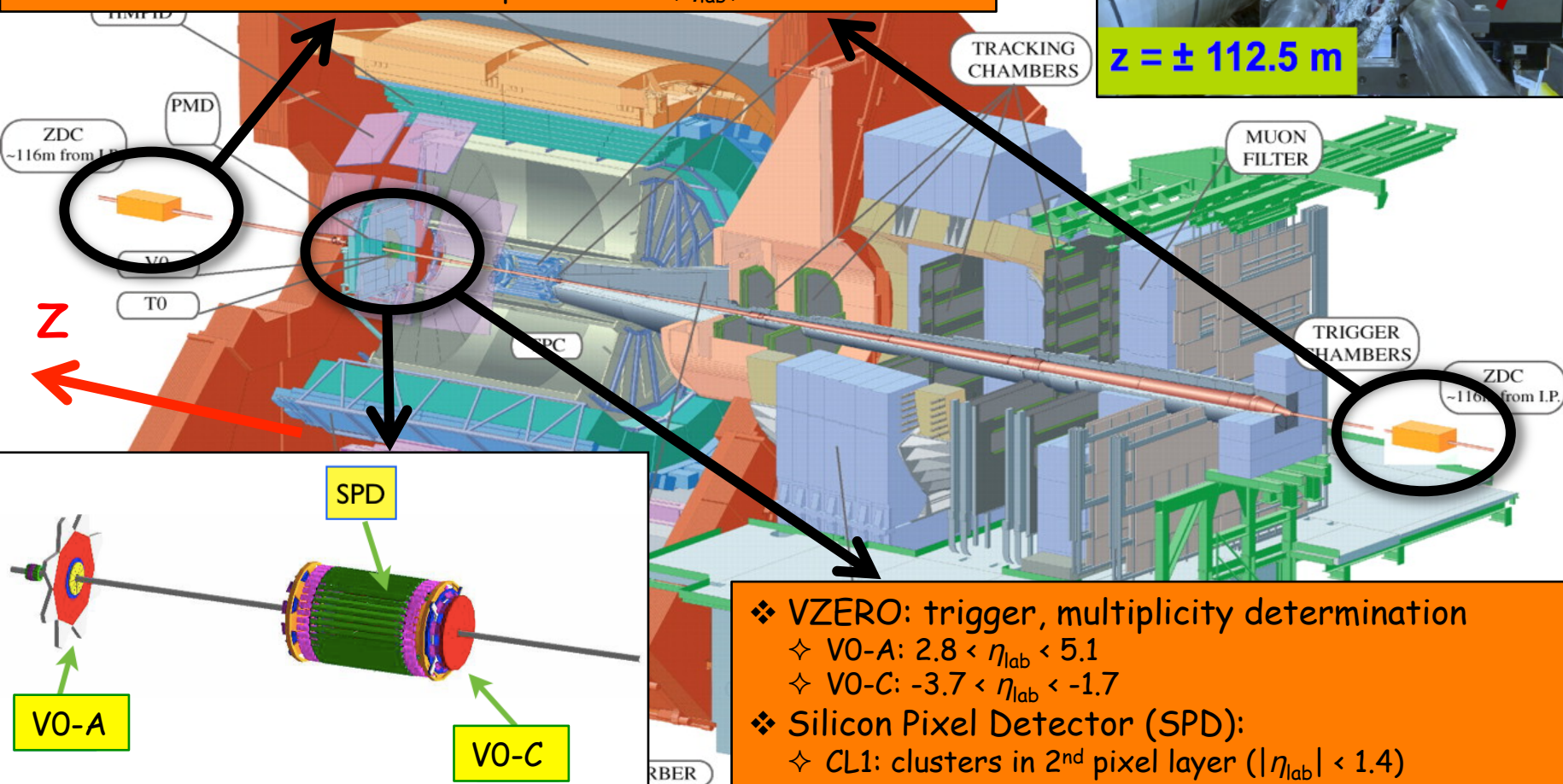
ALICE detector layout (I)

❑ Detectors used to determine the event activity



❖ Zero Degree Calorimeter (ZDC):

- ❖ ZNA (+112.5 m from I.P.), ZNC (-112.5 m from I.P.)
- ❖ each ZN is placed at zero degree with respect to the beam axis and is used to detect neutral particles at $|\eta_{lab}| > 8.7$



❖ VZERO: trigger, multiplicity determination

- ❖ VO-A: $2.8 < \eta_{lab} < 5.1$
- ❖ VO-C: $-3.7 < \eta_{lab} < -1.7$

❖ Silicon Pixel Detector (SPD):

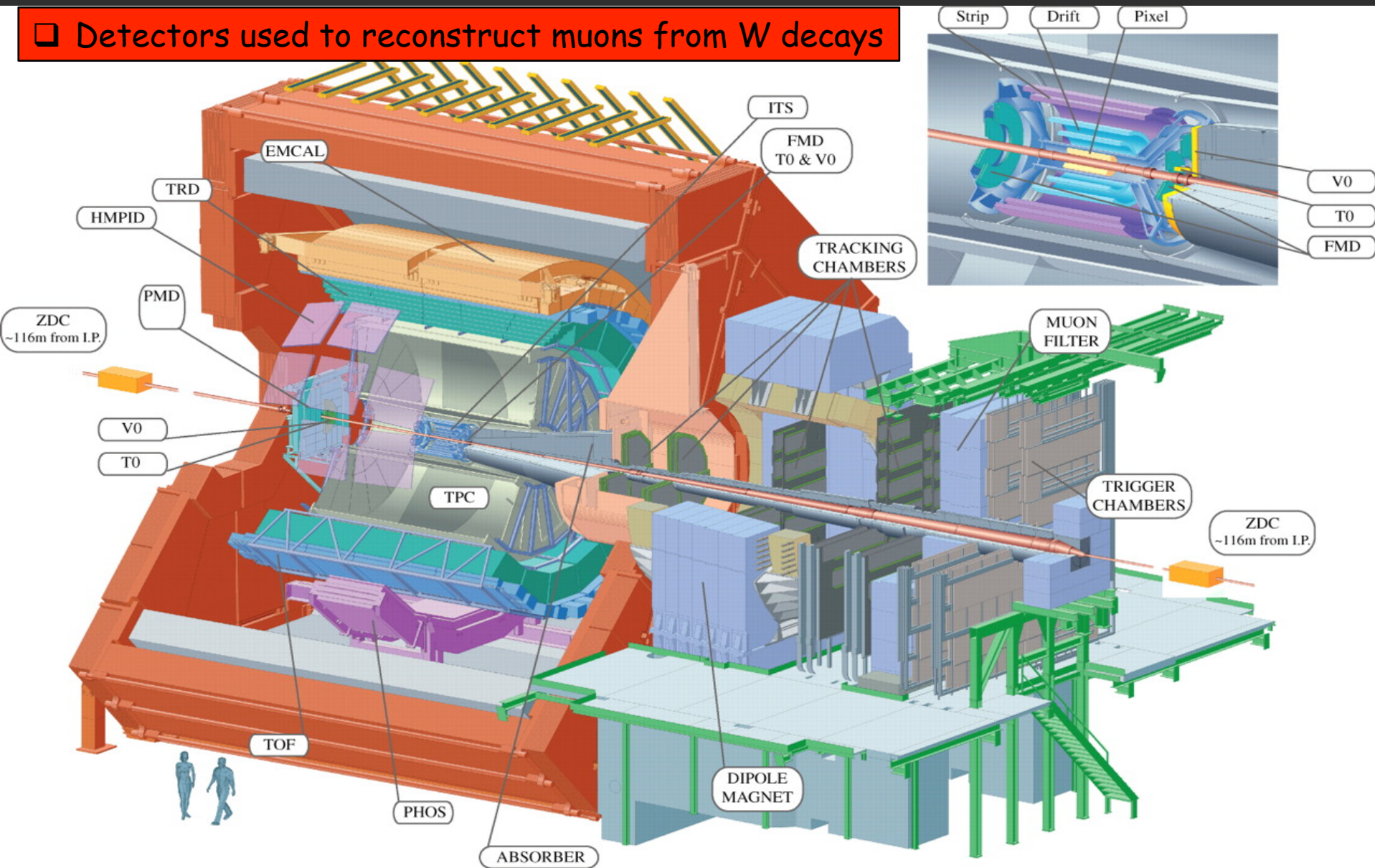
- ❖ CL1: clusters in 2nd pixel layer ($|\eta_{lab}| < 1.4$)



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ALICE detector layout (II)

□ Detectors used to reconstruct muons from W decays

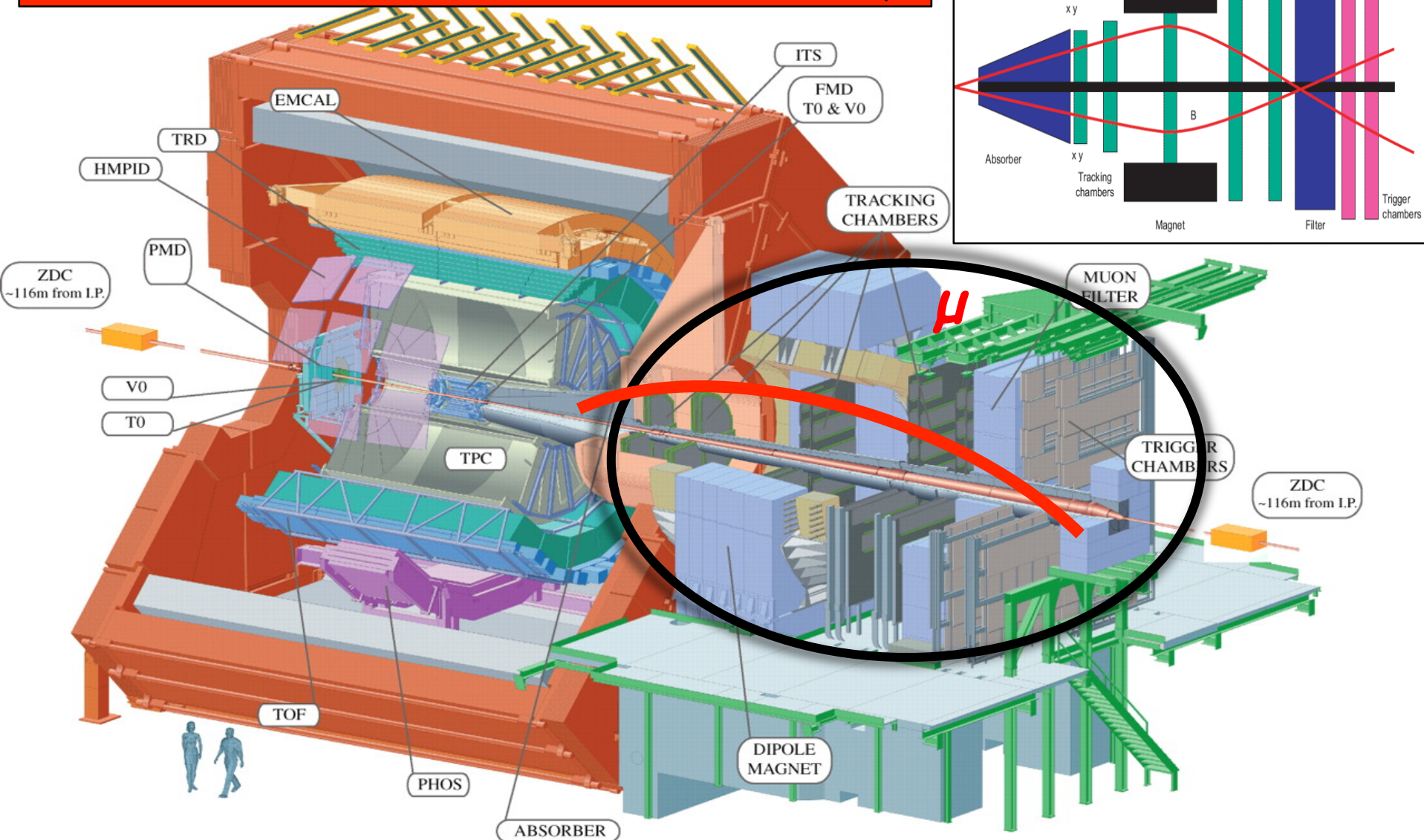




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ALICE detector layout (II)

□ Detectors used to reconstruct muons from W decays

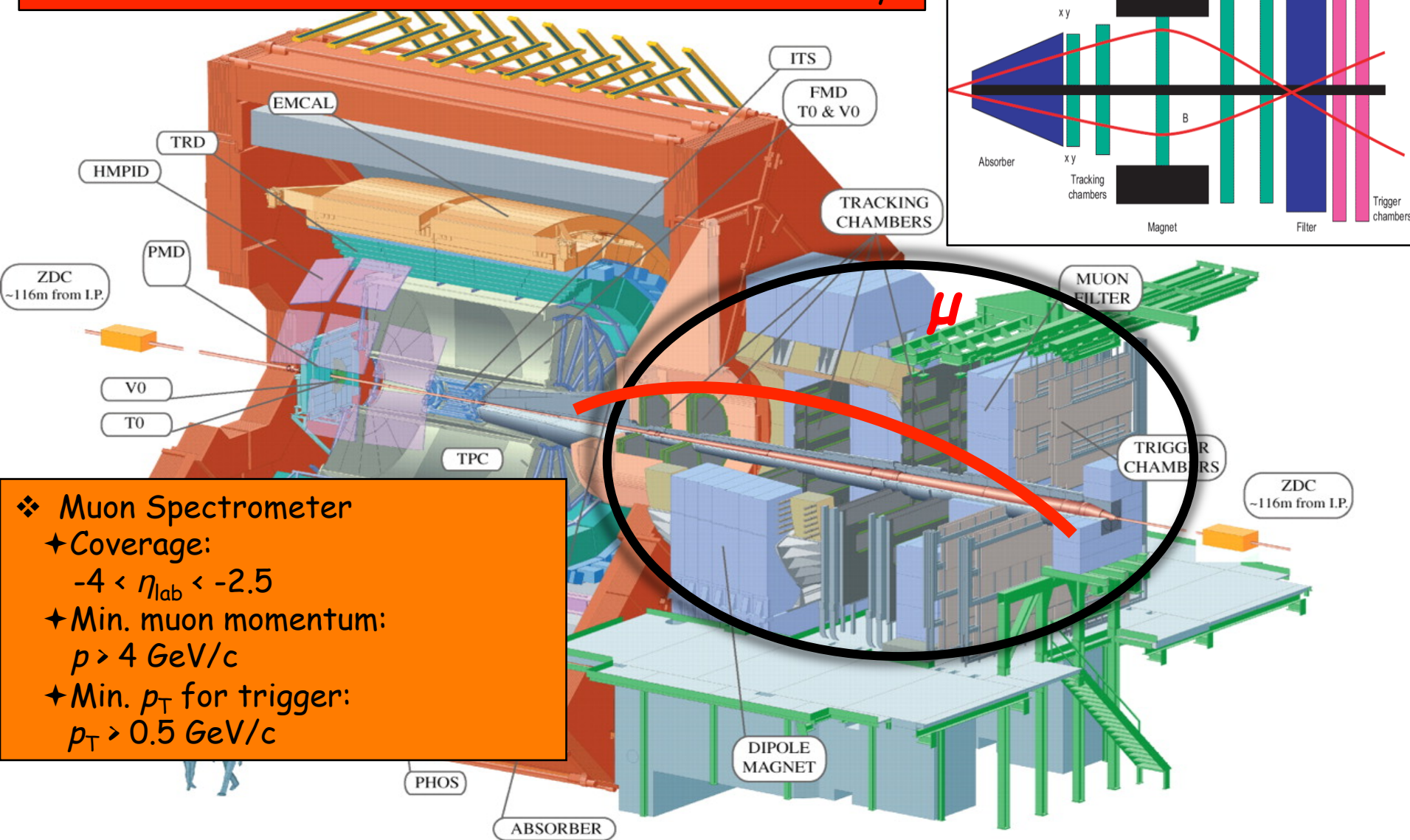




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ALICE detector layout (II)

□ Detectors used to reconstruct muons from W decays



❖ Muon Spectrometer

- ✦ Coverage: $-4 < \eta_{lab} < -2.5$
- ✦ Min. muon momentum: $p > 4 \text{ GeV}/c$
- ✦ Min. p_T for trigger: $p_T > 0.5 \text{ GeV}/c$



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Data sample

❖ p-Pb collisions :

❑ **Beam energy** : $\sqrt{s_{NN}} = 5.02 \text{ TeV}$

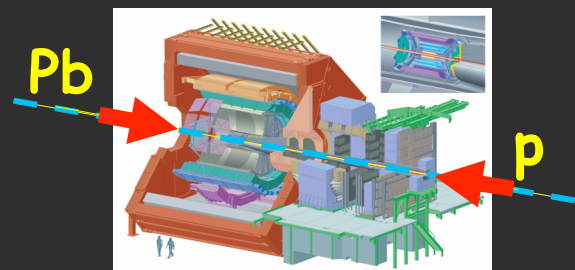
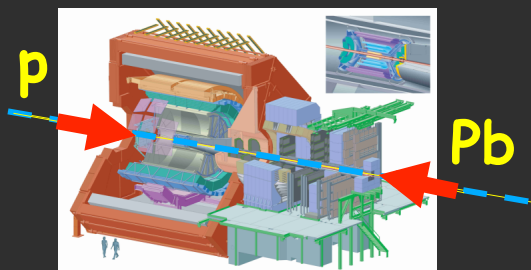
- Energy asymmetry of the LHC beams ($E_p = 4 \text{ TeV}$, $E_{Pb} = 1.58 \text{ A} \cdot \text{TeV}$)
→ rapidity shift $\Delta y = 0.465$ in the proton direction

❑ **Beam configurations** :

- Data collected with two beam configurations : p-Pb and Pb-p in the range $2.5 < y_{lab} < 4$

→ **forward rapidity** ($2.03 < y_{CMS} < 3.53$)

backward rapidity ($-4.46 < y_{CMS} < -2.96$)



❑ **Trigger** : high p_T muon triggered events = MB events (coincidence of VOA & VOC) with a muon of $p_T \gtrsim 4.2 \text{ GeV}/c$ in the spectrometer

❑ **Statistics** :

	Integrated luminosity
forward	$4.9 \times 10^3 \text{ ub}^{-1}$
backward	$5.8 \times 10^3 \text{ ub}^{-1}$

❖ Muon track selection :

❑ **acceptance and geometrical cuts**

❑ **muon trigger matching** : reject punch-through hadrons

❑ **pxDCA cut** : correlation between momentum and distance of closest approach (DCA) to the interaction vertex to remove beam-gas collisions and fake tracks

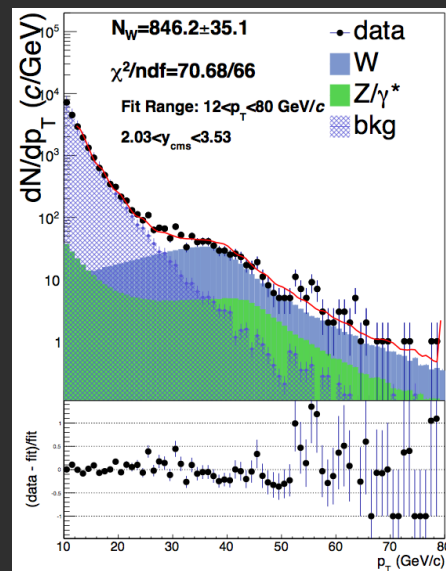
Analysis strategy

- ✧ W^\pm decay muons are the main contributors in single muon momentum distribution at high p_T ($p_T > 30$ GeV/c)
- ✧ Heavy-flavor decay muons are the dominant background at low p_T ($8 < p_T < 40$ GeV/c)
- ✧ For $p_T > 50$ GeV/c, Z^0/γ^* is the main source of background
- ✧ Extract W^\pm signal from a fit of the transverse momentum distribution of single muons with

$$f(p_T) = N_{bkg} \cdot f_{bkg}(p_T) + N_{\mu \leftarrow W} \cdot f_{\mu \leftarrow W}(p_T) + N_{\mu \leftarrow Z/\gamma^*} \cdot f_{\mu \leftarrow Z/\gamma^*}(p_T)$$

- $f_{bkg}(p_T)$: phenomenological functions or FONLL-based MC template
- $f_{\mu \leftarrow W}(p_T), f_{\mu \leftarrow Z/\gamma^*}(p_T)$: Monte-Carlo templates (POWHEG)
- $N_{bkg}, N_{\mu \leftarrow W}$: free parameters
- $N_{\mu \leftarrow Z/\gamma^*}$: fixed to $N_{\mu \leftarrow W}$

- ✧ Correct the extracted signal by Acceptance x Efficiency (Acc. x Eff)
- ✧ Normalize the corrected yield ($\mu^\pm \leftarrow W^\pm$) to the Minimum Bias cross-section
- ✧ Compare results with theory



W^\pm and Z^0/γ^* MC templates

Simulation configuration :

- ❑ W^\pm and Z^0/γ^* generated with POWHEG in p-p & p-n collisions at 5.02 TeV
- ❑ W^\pm and Z^0/γ^* forced to decay into muonic channels

Generators :

❑ POWHEG :

[JHEP 0807(2008)060]

- is interfaced with PYTHIA6.4 to apply showering, CTEQ6m PDF and no shadowing

❑ PYTHIA6.4 : (is used only for systematics, including effects of shadowing)

[JHEP 05(2006)026]

- shadowing : p or n considered in a Pb nucleus, parameterized with EPS09 [JHEP 0904(2009)065]
- PDF set : CTEQ6l

Combine p-p & p-n to obtain p-Pb :

- ❑ $A = 208, Z = 82$

$$\frac{1}{N_{pPb}} \cdot \frac{dN_{pPb}}{dp_T} = \frac{Z}{A} \cdot \frac{1}{N_{pp}} \cdot \frac{dN_{pp}}{dp_T} + \frac{A-Z}{A} \cdot \frac{1}{N_{pn}} \cdot \frac{dN_{pn}}{dp_T}$$



HF background: phenomenological functions

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- ❖ The background mainly consists of muons from heavy-flavor (b+c) decays
- ❖ Small shadowing effects expected at high p_T : use FONLL p_T shapes in the generation of D and B mesons
- ❖ Phenomenological functions used by CMS, ATLAS and LHCb collaboration for similar measurements at the LHC

Rayleigh:

$$f(p_T) = C \cdot p_T \cdot \exp\left(-\frac{p_T^2}{2(A + B \cdot p_T)^2}\right)$$

[Phys. Lett. B 715 (2012) 66]

ATLAS:

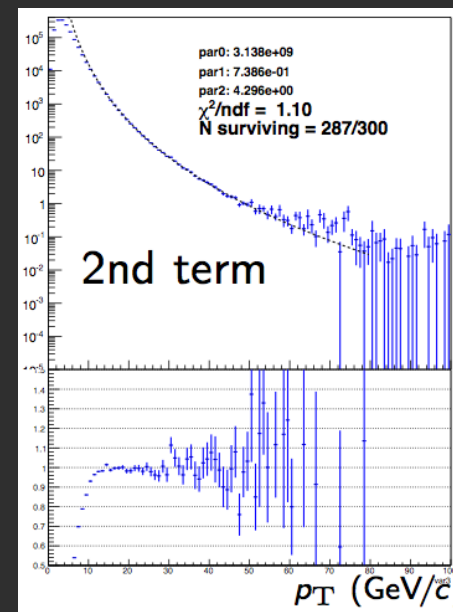
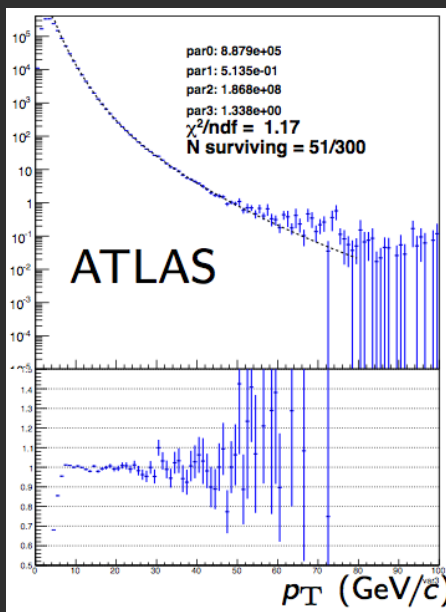
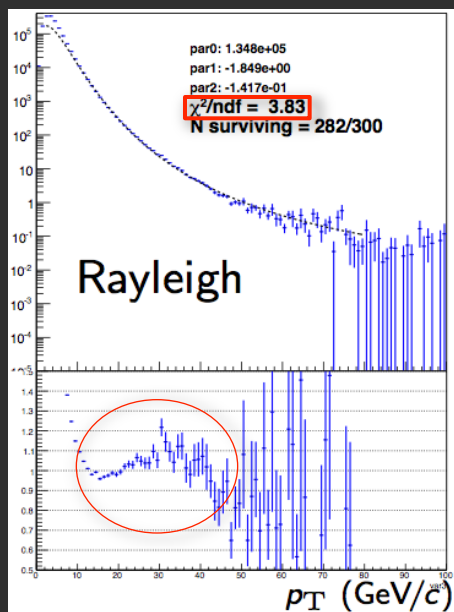
$$f(p_T) = A \cdot e^{-B \cdot p_T} + C \cdot \frac{e^{D \cdot \sqrt{p_T}}}{p_T^{2.5}}$$

[ATLAS-CONF-2011-078]

ATLAS 2nd term:

$$f(p_T) = C \cdot \frac{e^{D \cdot \sqrt{p_T}}}{p_T^E}$$

- ❖ Test on FONLL-based MC template: **reject Rayleigh**



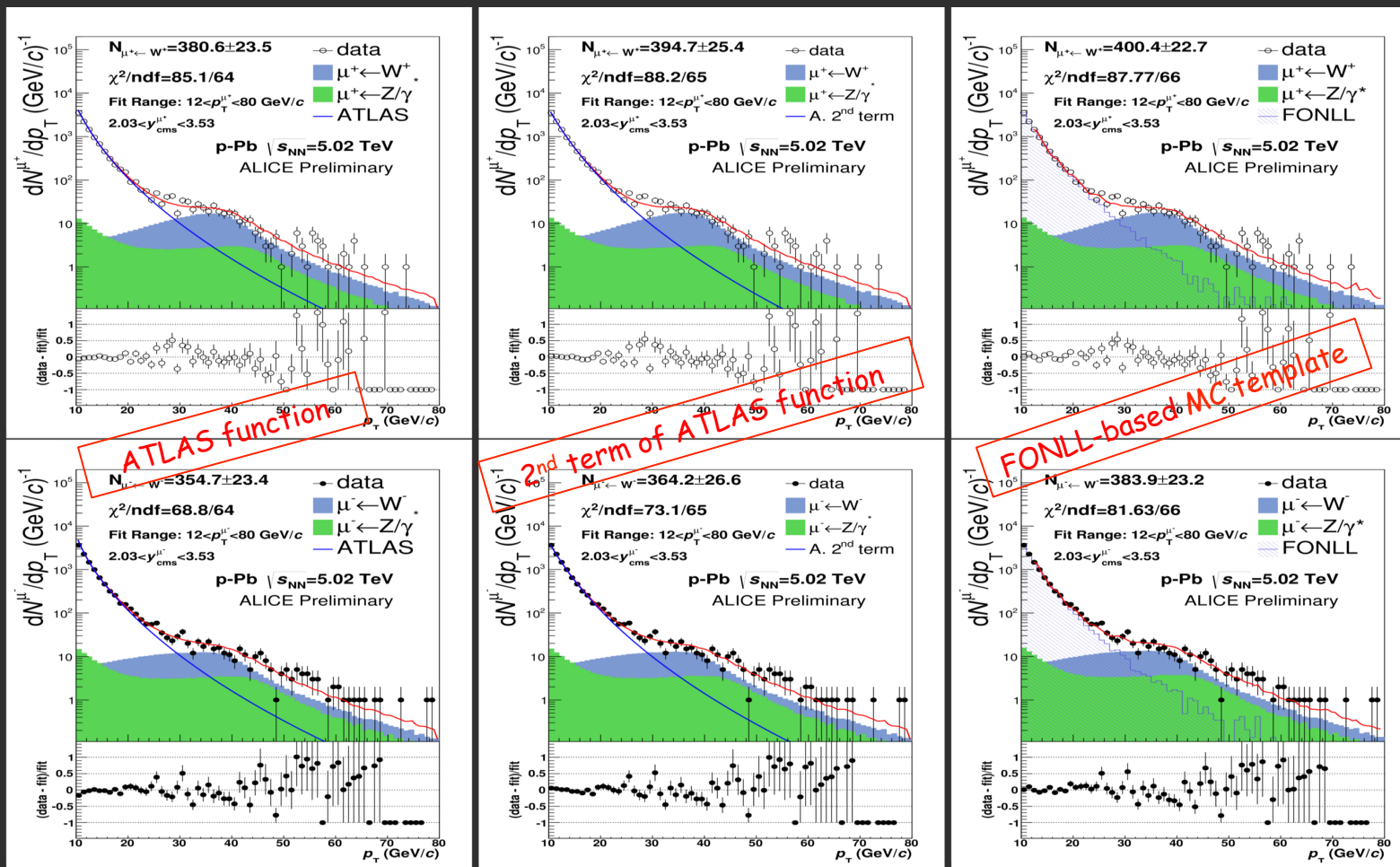


Example of W signal extraction (forward)

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- ❖ The yield of $\mu^\pm \leftarrow W^\pm$ is defined as the integral W template for $p_T > 10 \text{ GeV}/c$
- ❖ Fit range : $12 < p_T < 80 \text{ GeV}/c$

Example of fit in forward rapidity:



ALI-PREL-81302

❖ Slight dependence of the W yield on the background description in the fit



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Systematic on signal extraction

- ❖ **Signal extraction** : several fits performed on the muon p_T distribution (trials) by varying the fit configuration
 - ❑ **heavy-flavor background description** → change fit functions and p_T range of fit
 - ❑ **fraction of muons from Z^0/γ^* decays** → use difference between POWHEG and PYTHIA
 - ❑ **alignment effects** → vary the detector positions in simulations within uncertainties on alignment
- ❖ The yield of $\mu^\pm \leftarrow W^\pm$ is defined as the weighted average of the trials :
 - ❑ the results of (3 background descriptions) \times (1 MC templates for signal, POWHEG) \times (different p_T ranges) \times (2 values of $N_{\mu \leftarrow Z/\gamma^*}/N_{\mu \leftarrow W}$) \times (2 residual alignment files) are merged together to obtain the final value

$$\langle N_W \rangle = \frac{\sum_{i=1}^n w_i N_{\mu \leftarrow W, i}}{\sum_{i=1}^n w_i}$$

$$w_i = \frac{1}{\left(\frac{\sigma_{\mu \leftarrow W}}{\sqrt{N_{\mu \leftarrow W}}} \right)^2}$$

- ❖ The statistical error is given by propagating the error on each trial :

$$\sigma_{\langle N_{\mu \leftarrow W} \rangle}^{stat.} = \frac{\sqrt{\sum_{i=1}^n (w_i \sigma_{\mu \leftarrow W, i})^2}}{\sum_{i=1}^n w_i} \cdot \sqrt{n}$$

- ❖ Assuming that the results from different trials come from a uniform distribution, one can finally estimate the systematic uncertainty as :

$$\sigma_{\langle N_{\mu \leftarrow W} \rangle}^{syst.} = \frac{Max(N_{\mu \leftarrow W, i}) - Min(N_{\mu \leftarrow W, i})}{\sqrt{12}}$$

- ❖ **Shadowing effects** : use PYTHIA (with EPS09) for W templates



Acceptance x Efficiency: alignment effects

- ❖ In order to get the real yield of W , the results should be corrected by Acc. x Eff.
- ❖ Acc. x Eff. is determined from the same simulations used to obtain the $\mu^{\pm} \leftarrow W^{\pm}$ templates

	forward		backward	
	μ^+	μ^-	μ^+	μ^-
Acc. x Eff.	0.888	0.887	0.775	0.760

Alignment effect :

- ❖ Systematics due to imperfect knowledge of the detector positions estimated by varying the alignment in the simulations and found to be $< 1\%$

Tracking/trigger efficiency :

- ❖ Systematic uncertainties for muon tracks :
 - Tracking: 2% Trigger: 1% (detector efficiency only at high p_T) Matching: 0.5%
- ❖ Propagation to the number of muons from W decays
- ❖ A conservative uncertainty of **2.5%** is considered for all multiplicity bins



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Normalization

- ❖ MSL: muon single low p_T trigger ($p_T \gtrsim 0.5 \text{ GeV}/c$), MSH: muon single high p_T trigger ($p_T \gtrsim 4.2 \text{ GeV}/c$)
- ❖ MSH events must be normalized to equivalent minimum bias to obtain the cross-section
- ❖ Normalization factors estimated with two methods :
 - ❑ Offline method which uses trigger inputs :

$$F_{norm}^{MSH} = \frac{N_{MB} \times F_{pile-up}}{N_{(MB \& \& 0 \text{ MSL})}} \times \frac{N_{MSL}}{N_{(MSL \& \& 0 \text{ MSH})}}$$

where $F_{pile-up} = \mu/(1-e^{-\mu})$ and μ is the mean value of Poisson distribution which describes the probability to have N collisions

- ❑ Trigger scalers which use Level 0 (L0b) trigger counters :

$$F_{norm}^{MSH} = \frac{L0b_{MB} \times purity_{MB} \times F_{pile-up}}{L0b_{MSH} \times PS_{MSH}}$$

PS_{MSH} fraction of accepted high p_T triggered events which pass the physics selection

- ❖ The difference of the methods is used as systematic uncertainty (1%)
- ❖ MB cross sections :
 - ❑ p-Pb (forward) : $2.09 \pm 0.07 \text{ b}$
 - ❑ p-Pb (backward) : $2.12 \pm 0.06 \text{ b}$

$$\sigma_{\mu \leftarrow W} = \frac{N_{\mu \leftarrow W}}{Acc. \times Eff.} \times \frac{\sigma_{MB}}{N_{MSH} \times F_{norm}}$$

Summary of systematic uncertainties

- ❖ Systematic on the generator is based on : the NLO generator POWHEG and PYTHIA6.4 which is used to take into account systematics on nPDFs
- ❖ Other possible sources :
 - ✓ input PDFs
 - ✓ The ratio of Z^0/γ^*
 - ✓ All of above are $< 1\%$
- ❖ The summary of systematics considered is shown below :

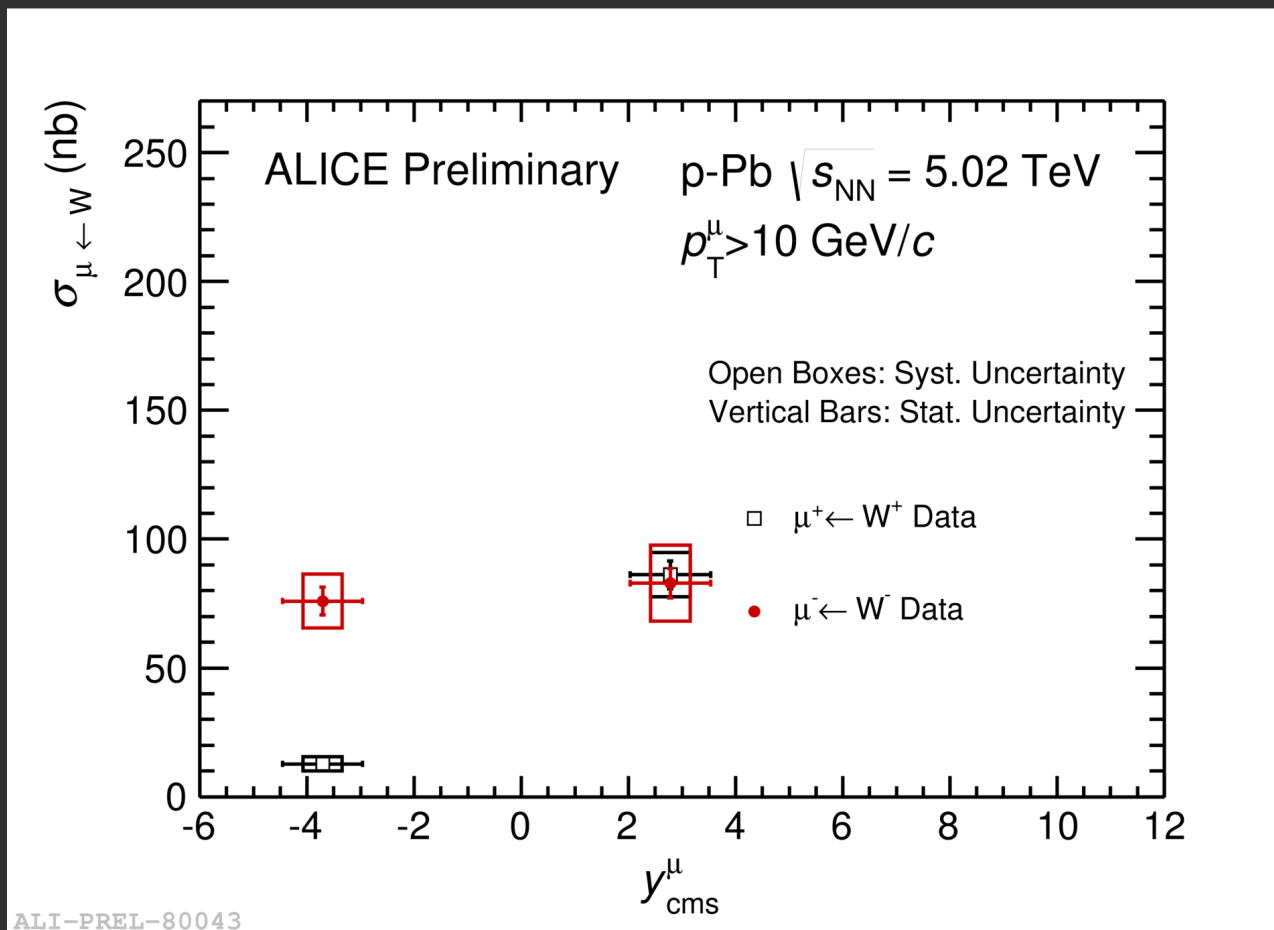
Signal extraction (includes alignment, fit stability/shape, etc.)	from ~ 6% to ~ 24%
Acc.xEff. - track./trig. Efficiencies - alignment	2.5% 1%
Normalization to MB - F_{norm} - σ_{MB} Pile-up	1% 3.2% (forward) 3% (backward) from 0 to 7.5%
Normalization to $\langle N_{\text{coll}} \rangle$	from 8% to 21% depending on bin



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Results: cross section vs. rapidity

- ❖ Cross section of muons from W decays with $2.03 < y_{\text{CMS}} < 3.53$ (forward) and $-4.46 < y_{\text{CMS}} < -2.96$ (backward), $p_{\text{T}}^{\mu} > 10 \text{ GeV}/c$ in p-Pb collisions



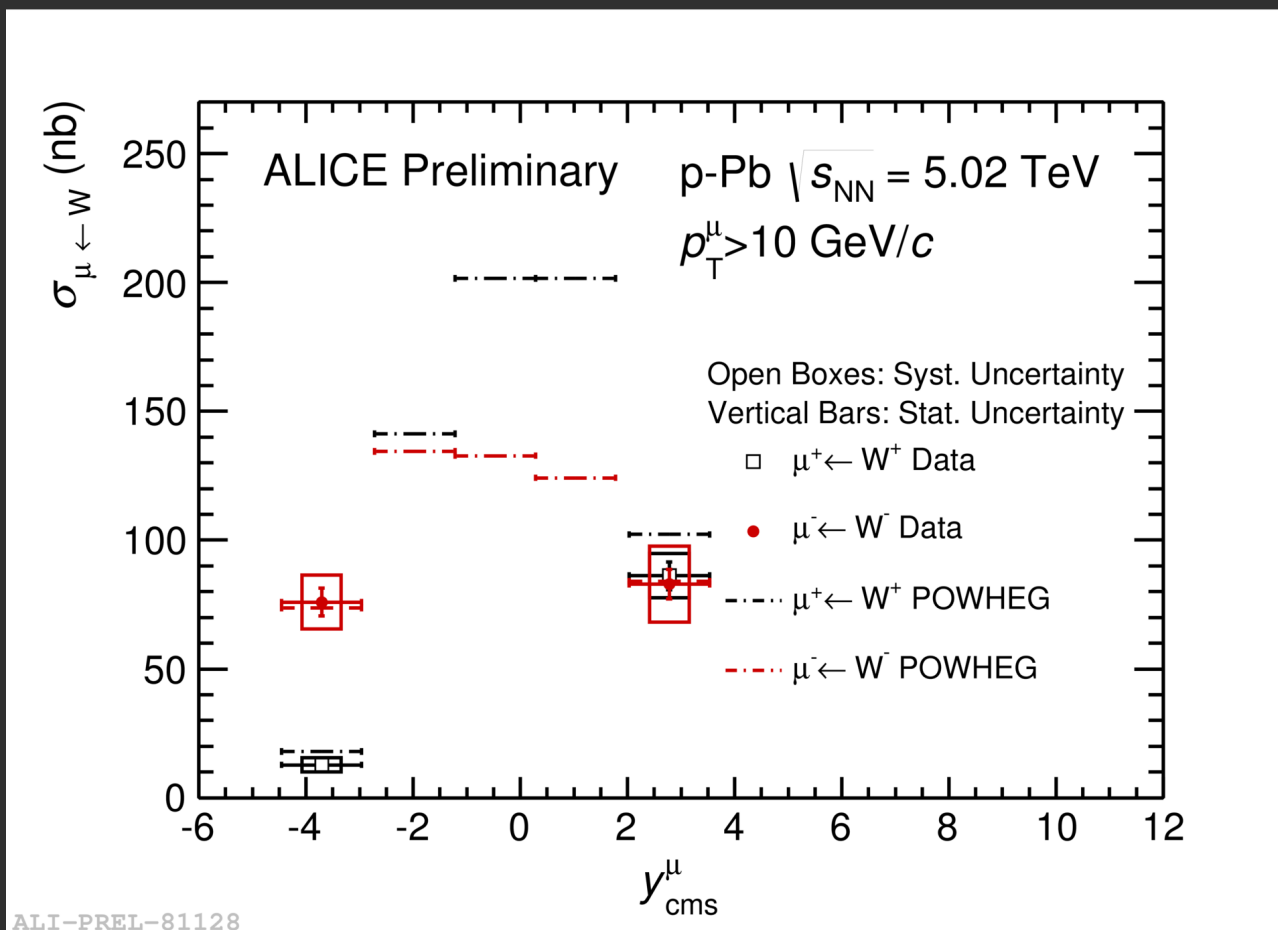
ALI-PREL-80043



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Results: cross section vs. rapidity

- ❖ Cross section of muons from W decays with $2.03 < y_{\text{CMS}} < 3.53$ (**forward**) and $-4.46 < y_{\text{CMS}} < -2.96$ (**backward**), $p_{\text{T}}^{\mu} > 10$ GeV/c in p-Pb collisions
- ❖ Measurement is consistent with POWHEG cross-section within 1.5σ
- ❖ POWHEG here does not include nuclear PDF effects





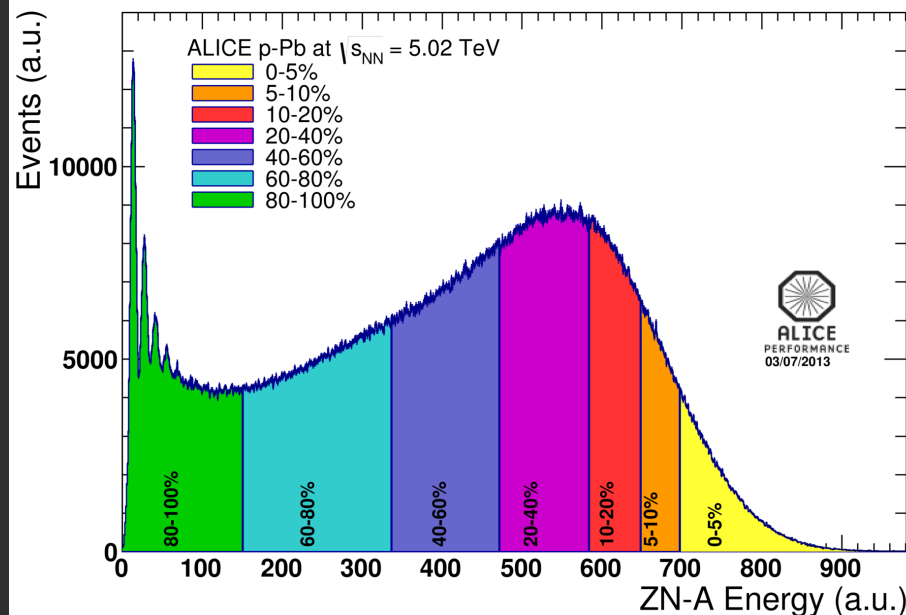
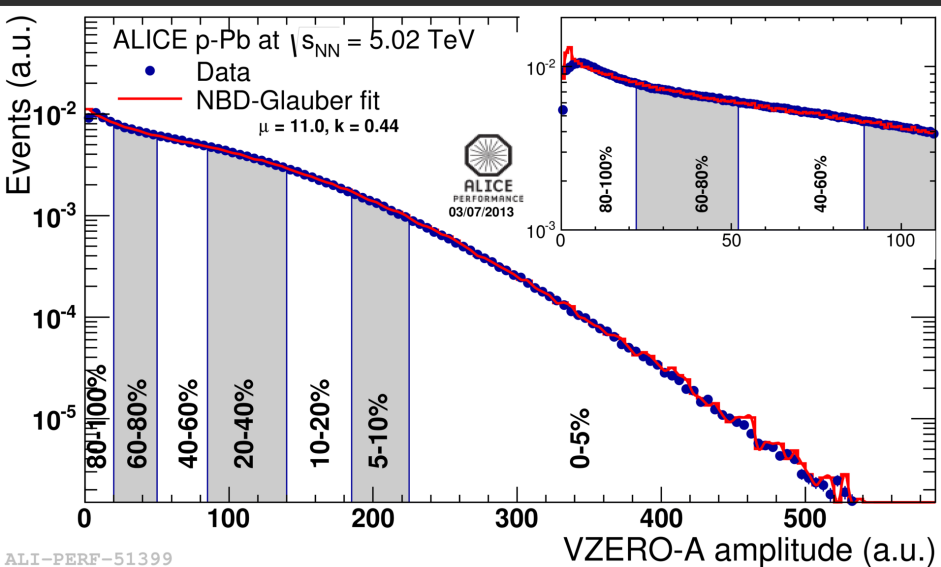
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Determination of $\langle N_{coll} \rangle$

❖ Average number of collisions were estimated :

- for VOA, VOC and CL1 : Glauber + Negative Binomial Distribution fits to amplitude
- for ZNA and ZNC : Hybrid method
 - slice events in ZN energy (Pb-going side)
 - $\langle N_{coll} \rangle$ in ZN energy class is obtained by scaling the minimum bias value

$$\langle N_{coll} \rangle_i = \langle N_{coll} \rangle_{MB} \left(\frac{\langle dN/d\eta \rangle_i}{\langle dN/d\eta \rangle_{MB}} \right)_{-1 < \eta < 0} - 1$$

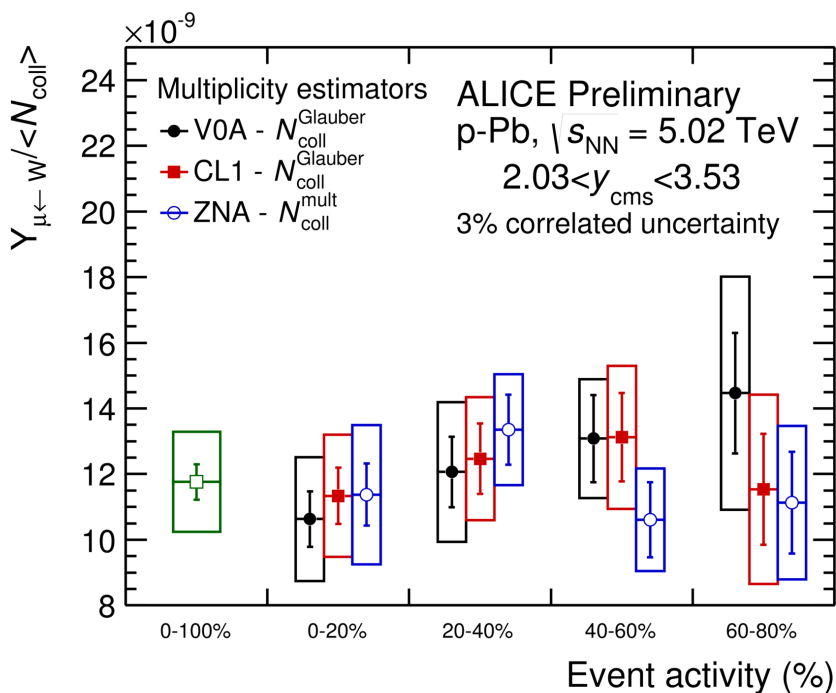




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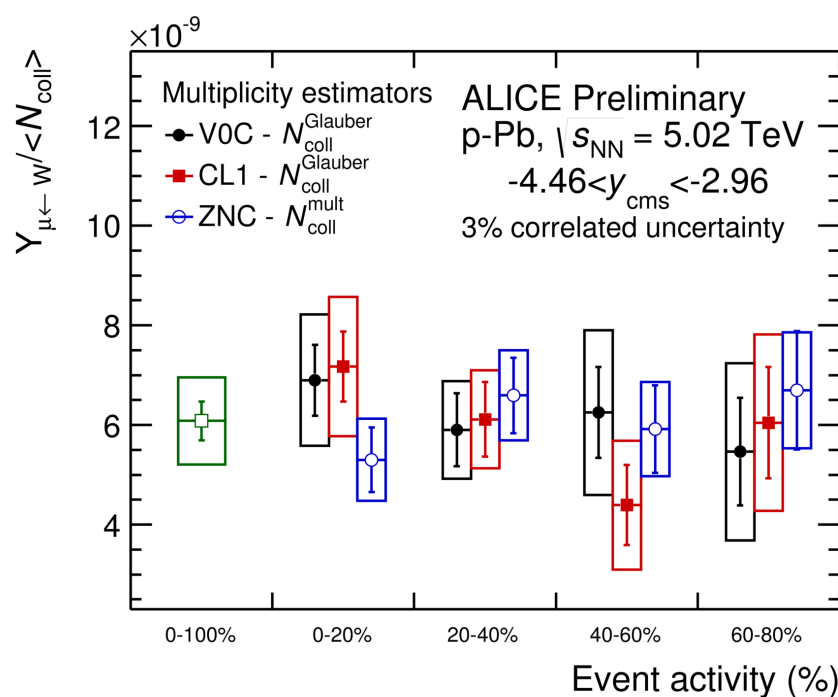
Results: $Y/\langle N_{coll} \rangle$ vs. multiplicity

- ❖ In order to increase statistics, $\mu^+ \leftarrow W^+$ and $\mu^- \leftarrow W^-$ were added
- ❖ In case $\langle N_{coll} \rangle$ estimation was not biased, $Y/\langle N_{coll} \rangle$ was not expected to depend on event activity



ALI-PREL-79988

forward



ALI-PREL-80001

backward

- ❖ Behavior of different multiplicity estimators compatible within uncertainties

Conclusion

- ❖ The production of muons from W decays was measured in p-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV in the ranges $2.03 < y_{CMS}^{\mu} < 3.53$ and $-4.46 < y_{CMS}^{\mu} < -2.96$, $p_{T}^{\mu} > 10$ GeV/c

- ❖ Results:
 - cross section of muons with $p_{T}^{\mu} > 10$ GeV/c as a function of y_{CMS}
 - yields/ $\langle N_{coll} \rangle$ using different multiplicity estimators to determine $\langle N_{coll} \rangle$: yield in different event activity bins found to scale with $\langle N_{coll} \rangle$ within (large) uncertainties

- ❖ Cross section is compared with POWHEG :
 - agreement within 1 (1.5) sigma for μ^{-} (μ^{+})
 - no nuclear modification of the PDFs in POWHEG



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Backup



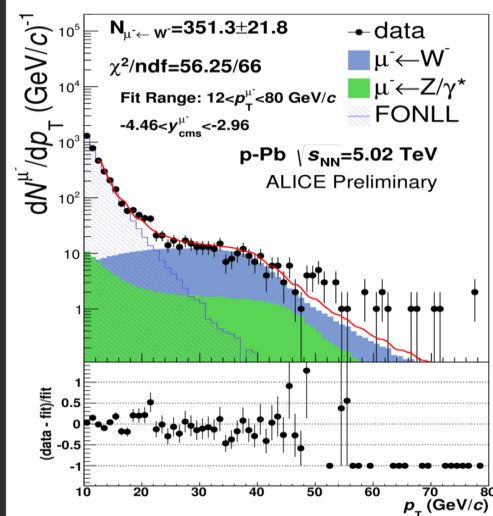
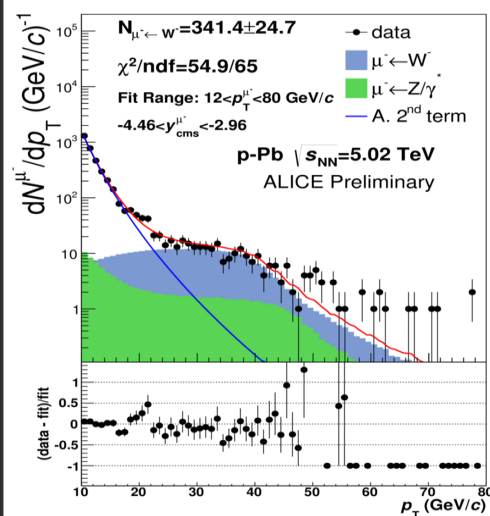
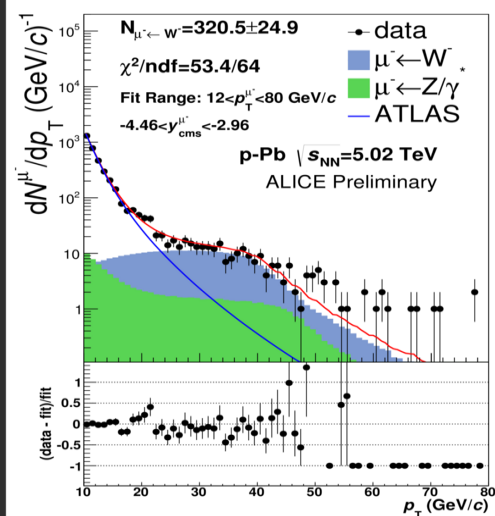
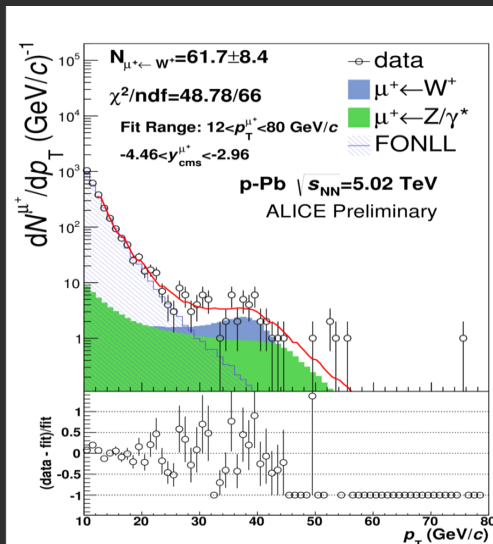
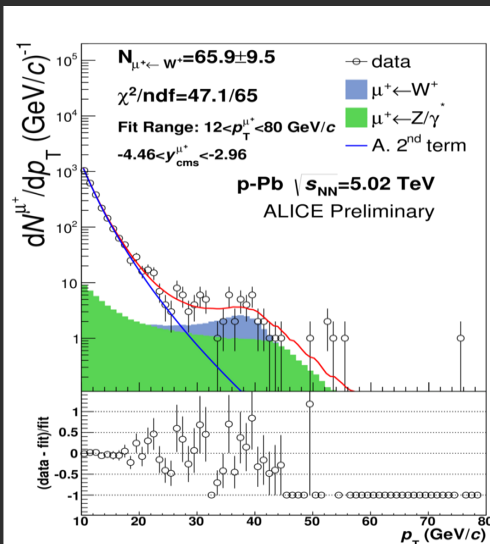
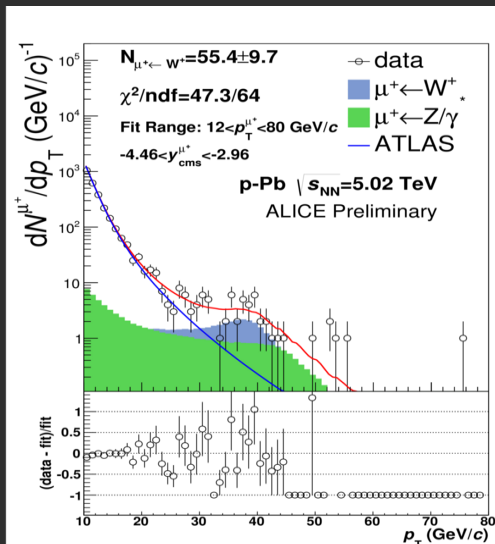
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Example of combined fit (backward)

ATLAS function

2nd term of ATLAS function

FONLL-based MC template





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Yields of muons from W decays normalized to binary number of collisions

Multiplicity	VOA		CL1		VOC		Hybrid ZNA	
	$\langle N_{\text{coll}} \rangle$	syst.	$\langle N_{\text{coll}} \rangle$	syst.	$\langle N_{\text{coll}} \rangle$	syst.	$\langle N_{\text{coll}} \rangle$	syst.
0-20%	12.8	11%	13.4	11%	12.85	11%	11.5	9.3%
20-40%	9.36	10%	9.51	10%	9.39	10%	9.57	8.1%
40-60%	6.42	9%	6.29	9%	6.40	9%	7.01	9.9%
60-80%	3.81	21%	3.52	21%	3.74	21%	4.33	12.7%
0-100%	$\langle N_{\text{coll}} \rangle : 6.8835$ syst. : 8%							

- ❖ In order to increase the statistics, the results for $\mu^+ \leftarrow W^+$ and $\mu^- \leftarrow W^-$ are summed together
- ❖ The systematic uncertainties on signal extraction are considered as uncorrelated and summed in quadrature
- ❖ The uncertainties on the normalization factor and tracking & trigger uncertainties and efficiency are fully correlated among μ^+ and μ^- and also among the different multiplicity bins
- ❖ The uncertainties on Acc.xEff. are uncorrelated for μ^+ and μ^- , but correlated with multiplicity
- ❖ The uncertainties on pile-up and $\langle N_{\text{coll}} \rangle$ are correlated among μ^+ and μ^- , but uncorrelated in multiplicity