

General Instanton countings and 5d(6d) SCFTs

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Outline

- Motivation & Message
- ADHM Quantum Mechanics
- 5d SCFTs with enhanced global symmetry
- Conclusions

Motivation

- Much interests in computation of exact results in SYM
- Partition function on S^n , Superconformal index on $S^n \times S^1$, some other spaces
- Various 4d, 3d, 2d examples (Many people here)
- Evaluation can be done via localization
- Help to understand the nonperturbative aspects of SUSY field theories in various dimensions (Seiberg duality, mirror symmetry, AGT, understanding non Lagrangian SCFTs ...)
- It's known that nontrivial SCFTs exist in higher dimensions
- via String, M, F theory considerations
 - ex)(0,2), (0,1) E_8 , 5d SCFTs (Seiberg; Seiberg, Intriligator, Morrison; Bergman, Rodriguez-Gomez, Zafrir)
 - various 6d (0,1)s (Apruzzi, Fazzi, Rosa, Tomasiello; Gaiotto, Tomasiello; Heckman, Morrison, Vafa; Del Zoto, Heckman, Morrison, Tomasiello, Vafa)
- Some of them are related to AdS^7 , AdS^6

Motivation II

- Some of them obtained by considering the strong coupling limit of SYM in 5d
- One can obtain the partition function or the index of SCFTs in higher dimensions
- from the localization of 5d SYM on S^5 , $S^4 \times S^1$
- though 5d SYM is not renormalizable

Motivation continued

- All of these are reduced to the evaluation of instanton partition of Nekrasov on R^4 or $S^1 \times R^4$ with various groups and with various matters
- e.g. It's known that N=1 5d $Sp(1)$ with $N_f = 5, 6, 7, 8$, one antisymmetric hyper exhibit E_6, E_7, E_8 global symmetry
- $Sp(1)$ with $N_f = 5, 6, 7, 8$ nonrenormalizable, no connections to 4d theory, how to define the instanton calculus?
- Related fact: 5d $U(1)N = 1^*$ Nekrasov partition function necessarily involves small instanton singularities
- Crucial to obtain the index of 1 M5-brane (0,2) theory

Motivation continued

- For the problem of the ambiguities in UV completion, we use the string theory as a guide
- Viewed 5d SYM as D4 system, we consider D0-D4 SUSY Quantum mechanics as a proposal to compute the Nekrasov partition function (modulo removing string DOF irrelevant to field theory)

Message

- We solve the previously unsolved technical problem
- evaluation of the Nekrasov partition function is reduced to the evaluation of the residue
- Contour for this evaluation for general cases not known
- 5d $N=1$ vector multiplets worked out by Nekrasov
- 5d $N=1$ hypermultiplet of arbitrary representation ?
- We give the systematic derivation of the contour using ADHM QM
- related to evaluation of 2d elliptic genus using Jeffrey-Kirwan residue via dimensional reduction (Benini, Eager, Hori, Tachikawa I, II) + some additional subtleties
- Thereby establishing the basic tools to explore various 5d, 6d SCFTs
- Related work: Hori, Kim, Yi and Cordova, Shao

ADHM Quantum Mechanics

- Interested in 5d N=1 gauge theories (8 supercharges) and their instantons
- 8 supersymmetries $Q_{\alpha}^A, \bar{Q}_{\dot{\alpha}}^A$
- $\alpha = 1, 2, \dot{\alpha} = 1, 2$ index of rotation $SO(4)=SU(2) \times SU(2)$
- $A = 1, 2$ doublet of $SU(2)_R$ symmetry
- topological $U(1)_I$ charge
 $k = \int \text{Tr} F \wedge F \in \mathbb{Z}$
- These are particles in 5d
- Instantons preserve 1/2 of SUSY $\bar{Q}_{\dot{\alpha}}^A$ (0,4) SUSY
- could be bound states of elementary particles and instantonic particles
 $M = \frac{k}{g_{YM}^2} + \text{Tr} v \Pi$
- v scalar vev of Coulomb branch, Π electric charge

ADHM Quantum Mechanics

- Nekrasov instanton partition function on $R^4 \times S^1$ = Witten index of BPS particles

$$Z_{Nek} = 1 + \sum_k Z_k q^k = \text{Tr}[(-1)^F q^k e^{-\beta\{Q, Q^\dagger\}} e^{-\epsilon_+(2J_R^3 + J_1 + J_2)} e^{-\epsilon_-(J_1 - J_2)} e^{-\text{tr}v\Pi}]$$

- $Q = -\bar{Q}_2^2, Q^\dagger = \bar{Q}_1^1, J_R^3 : SU(2)_R$ Cartan
- J_1, J_2 rotations of $SO(4)$
- computable from SUSY QM on the instanton moduli space (with singularities)
- motivates D0-D4 QM

ADHM Quantum Mechanics as D0-D4 systems

- D4 Gauge group $G = U(N), Sp(N), SO(N)$
- D0 Gauge group $\hat{G} = U(k), O(k), Sp(k)$, k being instanton number
- D0-D4 bifundamentals of $G \times \hat{G}$ denoted as $q_{\dot{\alpha}}$
- D0-D0 adj, symmetric, antisymmetric of $\hat{G} = U(k), O(k), Sp(k)$
 $a_{\alpha\dot{\beta}} = a_m \sigma_{\alpha\dot{\beta}}^m, \sigma^m = (i\tau, i)$
position moduli of instantons
- Supermultiplets
 $(q_{\dot{\alpha}}, \psi^A), (a_{\alpha\dot{\beta}}, \lambda_{\alpha}^A)$

ADHM Quantum Mechanics as D0-D4 systems continued

- vector multiplets of \hat{G}

A_t , scalar ϕ , $\bar{\lambda}_{\dot{\alpha}}^A$

3 auxiliary fields $D_{\dot{\alpha}\dot{\beta}} = D_{\dot{\beta}\dot{\alpha}}$

$$\begin{aligned}
 L = & \frac{1}{g_{QM}^2} \text{tr} \left[\frac{1}{2} (D_t \phi)^2 + \frac{1}{2} (D_t a_m)^2 + D_t q_{\dot{\alpha}} D_t \bar{q}^{\dot{\alpha}} + \frac{1}{2} [\phi, a_m]^2 - (\phi \bar{q}^{\dot{\alpha}} - \bar{q}^{\dot{\alpha}} \phi) (q_{\dot{\alpha}} \phi - \phi q_{\dot{\alpha}}) \right. \\
 & + \frac{1}{2} (D^I)^2 - D^I \left((\tau^I)^{\dot{\alpha}\dot{\beta}} \bar{q}^{\dot{\beta}} q_{\dot{\alpha}} + \frac{1}{2} (\tau^I)^{\dot{\alpha}\dot{\beta}} [a^{\dot{\beta}\alpha}, a_{\alpha\dot{\beta}}] - \zeta^I \right) + \frac{i}{2} (\bar{\lambda}^{A\dot{\alpha}})^\dagger D_t \bar{\lambda}^{A\dot{\alpha}} + \frac{i}{2} (\lambda_\alpha^A)^\dagger D_t \lambda_\alpha^A \\
 & + i (\psi^A)^\dagger D_t \psi^A + \sqrt{2} i \left((\bar{\lambda}^{A\dot{\alpha}})^\dagger \bar{q}^{\dot{\alpha}} \psi^A - (\psi^A)^\dagger q_{\dot{\alpha}} \bar{\lambda}^{A\dot{\alpha}} \right) + (\psi^A)^\dagger (\psi^A \phi - \phi \psi^A) \\
 & \left. + \frac{1}{2} (\bar{\lambda}^{A\dot{\alpha}})^\dagger [\phi, \bar{\lambda}^{A\dot{\alpha}}] - \frac{1}{2} (\lambda_\alpha^A)^\dagger [\phi, \lambda_\alpha^A] - i (\lambda_\alpha^A)^\dagger (\sigma^m)_{\alpha\dot{\beta}} [a_m, \bar{\lambda}^{A\dot{\beta}}] \right]. \tag{2.5}
 \end{aligned}$$

- v vev of Coulomb branch of 5d, ζ^I $I = 1, 2, 3$ FI parameters

ADHM Quantum Mechanics as D0-D4 systems continued

- Additional matters give more QM degrees
- e.g., 5d N=1 theory with fundamentals give rise to Fermi multiplets
- 5d Chern-Simons term induces $L_{CS} = k(\phi + A_t)$
- $g_{QM} \rightarrow \infty$ Instantons in Higgs branch
mass for ϕ given by $g_{QM}|q_{\dot{\alpha}}|$ large in the strong coupling
- Decomposition of (0,4) multiplets into (0,2) multiplets

$$\begin{aligned} \text{vector } (A_t, \varphi, \bar{\lambda}_{\dot{\alpha}}^A) &\rightarrow \text{vector } (A_t, \varphi, \bar{\lambda}_1^1, \bar{\lambda}_2^2) + \text{Fermi } (\bar{\lambda}_2^1, \bar{\lambda}_1^2) \\ \text{hyper } (\phi^{\dot{\alpha}}, \psi^A) &\rightarrow \text{chiral } (\phi^{\dot{1}}, \psi^1) + \text{chiral } (\bar{\phi}_2 = \bar{\phi}^{\dot{1}}, \bar{\psi}_2) . \end{aligned}$$

- $J \equiv J_r + J_R$, J_r part of the $SO(4)$ rotation, $J_R SU(2)_R$ symmetry play an important role, conjugate to ϵ_+

Witten Index of ADHM Quantum Mechanics

- Compute the index \leftarrow (0,2) elliptic genus of Benini, Eager, Hori, Tachikawa,
- $Z_{inst} = \sum Z_k q^k$

$$Z_{QM}^k(\epsilon_1, \epsilon_2, \alpha_i, z) = \text{Tr} \left[(-1)^F e^{-\beta\{Q, Q^\dagger\}} e^{-\epsilon_1(J_1 + J_R)} e^{-\epsilon_2(J_2 + J_R)} e^{-\alpha_i \Pi_i} e^{-zF} \right]$$

- J_1, J_2 Cartans of $SO(4)$ J_R Cartan of $SU(2)_R$
 α_i chemical potential of electric charge Π_i , F flavor symmetry

Witten Index of ADHM Quantum Mechanics continued

- zero mode integral A_t holonomy and scalar ϕ in the vector multiplet
- $\phi^I = \varphi^I + iA^I$ give rise to cylinder geometry $I = 1 \dots \text{rank} G$

$$Z = \frac{1}{|W|} \oint e^{\kappa \text{tr}(\phi)} Z_{1\text{-loop}} = \frac{1}{|W|} \oint e^{\kappa \text{tr}(\phi)} Z_V \prod_{\Phi} Z_{\Phi} \prod_{\Psi} Z_{\Psi}$$

$$Z_V = \prod_{\alpha \in \text{root}} 2 \sinh \frac{\alpha(\phi)}{2} \prod_{I=1}^r \frac{d\phi_I}{2\pi i}$$

$$Z_{\Phi} = \prod_{\rho \in R_{\Phi}} \frac{1}{2 \sinh \left(\frac{\rho(\phi) + J\epsilon_+ + Fz}{2} \right)}$$

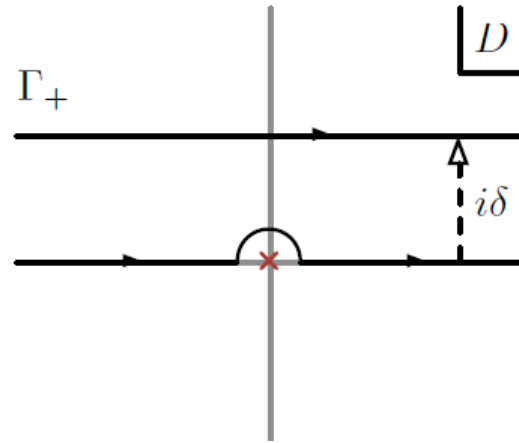
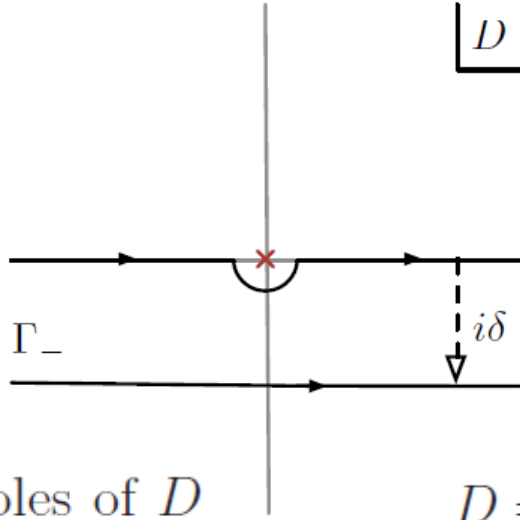
$$Z_{\Psi} = \prod_{\rho \in R_{\Psi}} 2 \sinh \left(\frac{\rho(\phi) + J\epsilon_+ + Fz}{2} \right)$$

Witten Index of ADHM Quantum Mechanics continued

- Dangerous regions
 - a. two noncompact regions of cylinder denoted by $0, \infty$
 - b. poles from chiral multiplets $\rho(\phi_*) + J\epsilon_+ + Fz = 0$
- poles from chiral multiplets; regularized by D terms and work out the pole regions carefully

$$Z_{\Phi}(\phi, \epsilon_+, z, D) = \prod_{\rho \in R_{\Phi}} \prod_{n=-\infty}^{\infty} \frac{-2\pi i n + \rho(\bar{\phi}) + J\bar{\epsilon}_+ + F\bar{z}}{|2\pi i n + \rho(\phi) + J\epsilon_+ + Fz|^2 + i\rho(D)}$$

$$Z = \int_{\mathbb{R}} dD \int_M d^2\phi f_{e,g}(\phi, \bar{\phi}, D) \exp\left(-\frac{D^2}{2e^2} - i\zeta D\right)$$



poles of D

$$D = \frac{i}{Q_i} |2\pi i n + Q_i \phi + J \epsilon_+ + F z|^2$$

$$Z^+ = \sum_{Q_i > 0} R_i + \frac{1}{2} (R_0 + R_\infty) \left[1 + \lim_{e, \epsilon \rightarrow 0} \operatorname{erf} \left(\frac{e\zeta}{\sqrt{2}} \right) \right]$$

$$Z^- = - \sum_{Q_i < 0} R_i - \frac{1}{2} (R_0 + R_\infty) \left[1 - \lim_{e, \epsilon \rightarrow 0} \operatorname{erf} \left(\frac{e\zeta}{\sqrt{2}} \right) \right]$$

Witten indices in two limits $e\zeta = \pm\infty$

$$Z(e\zeta = \infty) = - \sum_{Q_i < 0} R_i, \quad Z(e\zeta = -\infty) = \sum_{Q_i > 0} R_i$$

$$Z(\zeta > 0) - Z(\zeta < 0) = R_0 + R_\infty$$

Multi-dimensional residues

- Given by Jeffrey-Kirwan residues
- Choose $\eta = -\zeta$ (FI) if needed

$$\text{JK-Res}(\mathbf{Q}_*, \eta) \frac{d\phi_1 \wedge \cdots \wedge d\phi_r}{Q_{j_1}(\phi) \cdots Q_{j_r}(\phi)} = \begin{cases} |\det(Q_{j_1}, \dots, Q_{j_r})|^{-1} & \text{if } \eta \in \text{Cone}(Q_{j_1}, \dots, Q_{j_r}) \\ 0 & \text{otherwise} \end{cases}$$

$$Z = \frac{1}{|W|} \sum_{\phi_*} \text{JK-Res}(\mathbf{Q}(\phi_*), \eta) Z_{1\text{-loop}}(\phi, \epsilon_+, z)$$

Dependence on FI parameters; Wall Crossing?

- BPS states depend on the sign of FI parameters?
- (0,4) SUSY has triplet of FI parameters not expected to have such FI dependence
- BPS states breaks neither $SU(2)_r$ nor $SU(2)_R$ no dependence on FI
- expect to have $R_0 + R_\infty = 0$
- For most of the cases such conditions are satisfied but some of them are not
- at the infinities of cylinders Coulomb branch can be developed and D0 can escape
- not a field theory degrees of freedom
- $Z = Z_{QFT} Z_{string}$
- all FI dependence comes from Z_{string}

Heuristic Derivation of Contour

$$\frac{1}{2 \sinh \left(\frac{Q_i(\phi) + J_i \epsilon_+ + F_i z}{2} \right)} \sim \frac{1}{e^{Q_i(\phi)} - e^{-J_i \epsilon_+} e^{-F_i z}}$$

- $\exp(Q_i \phi) = \exp(-J_i \epsilon_+)$
For vectors $J_i > 0$ residue is inside the unit circle for positively charged fields (Nekrasov)
For hypers $J_i < 0$ residue is outside the unit circle for positively charged fields (Observed by Hollands, Keller, Song)
- These prescriptions agree with the the above derivations from ADHM QM
- For 5d $N = 1^* U(N)$ theory, the contribution from hypers are vanishing and only vectors contribute

Example

- 5d $N = 1^* U(N)$ theory
- Contribution from vector multiplets are classified by Young diagrams

$$\frac{1}{k!} \oint \left[\prod_{I=1}^k \frac{d\phi_I}{2\pi i} \right] Z_{\text{vec}}(\phi, \alpha, \epsilon_{1,2}) Z_{\text{adj}}(\phi, \alpha, \epsilon_{1,2}, m)$$

For $G = U(N)$ and $\hat{G} = U(k)$

$$Z_{\text{vec}} = \frac{\prod_{I \neq J} 2 \sinh\left(\frac{\phi_{IJ}}{2}\right) \cdot \prod_{I,J=1}^k 2 \sinh\left(\frac{\phi_{IJ} + 2\epsilon_+}{2}\right)}{\prod_{I=1}^k \prod_{i=1}^N 2 \sinh\left(\frac{\phi_I - \alpha_i + \epsilon_+}{2}\right) \cdot 2 \sinh\left(\frac{\alpha_i - \phi_I + \epsilon_+}{2}\right) \prod_{I,J=1}^k 2 \sinh\left(\frac{\phi_{IJ} + \epsilon_1}{2}\right) \cdot 2 \sinh\left(\frac{\phi_{IJ} + \epsilon_2}{2}\right)}$$

$$Z_{\text{adj}} = \frac{\prod_{I=1}^k \prod_{i=1}^N 2 \sinh\left(\frac{\phi_I - \alpha_i + m}{2}\right) \cdot 2 \sinh\left(\frac{\alpha_i - \phi_I + m}{2}\right) \prod_{I,J=1}^k 2 \sinh\left(\frac{\phi_{IJ} \pm m - \epsilon_-}{2}\right)}{\prod_{I,J=1}^k 2 \sinh\left(\frac{\phi_{IJ} \pm m - \epsilon_+}{2}\right)}$$

$$Y_i = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array} \quad \phi_1 - \alpha_i + \epsilon_+ = 0, \quad \phi_{21} + \epsilon_1 = 0, \quad \phi_{31} + \epsilon_2 = 0$$

$$Y_i = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array}.$$

$$\phi_1 - \alpha_i + \epsilon_+ = 0, \quad \phi_{21} + \epsilon_1 = 0, \quad \phi_{31} + \epsilon_2 = 0, \quad \phi_{43} + \epsilon_1 = 0, \quad \phi_{42} + \epsilon_2 = 0$$

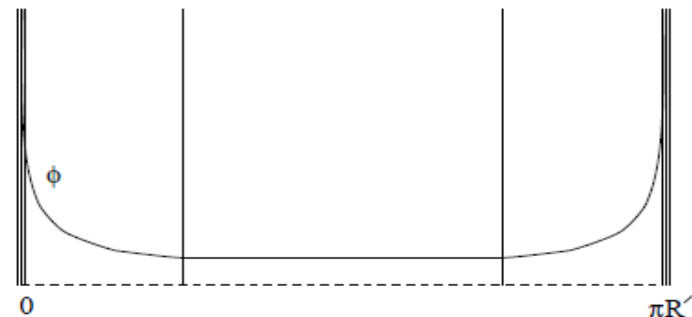
$$Z_k = \sum_{\sum_i |Y_i|=k} \prod_{i,j=1}^N \prod_{s \in Y_i} \frac{\sinh \frac{E_{ij}+m-\epsilon_+}{2} \sinh \frac{E_{ij}-m-\epsilon_+}{2}}{\sinh \frac{E_{ij}}{2} \sinh \frac{E_{ij}-2\epsilon_+}{2}}$$

$$E_{ij} = \alpha_i - \alpha_j - \epsilon_1 h_i(s) + \epsilon_2 (v_j(s) + 1)$$

- $h_i(s)$ distance from the box to the right edge
 $v_j(s)$ distance from the box to the bottom edge

Sp(N) theory

- 5d $N = 1$ Sp(N) with N_f flavors and one antisymmetric hyper
- This arise from D0-D4-D8-O8 of Type I'
- The strong coupling limit corresponds to heterotic $E_8 \times E_8$ with Wilson lines
- $N_f = 5, 6, 7$ have E_6, E_7, E_8 global symmetry in the strong coupling limit
- $N_f = 8$ corresponds to 6d (0,1) theory with E_8 global symmetry (M5-M9 system)



Sp(N) theory continued

- Important point; antisymmetric hyper
- Sp(1) antisymmetric hyper perturbatively trivial
- but nontrivial nonperturbatively, ADHM QM is different
- Should exclude D0-D8 contribution
- This has applications to the superconformal index for Sp(N) defined on $S^1 \times S^4$

$$I(t, u, m_i, q) = \text{Tr} [(-1)^F e^{-\beta\{Q,S\}} t^{2(J_r+J_R)} u^{2J_l} e^{-F \cdot m} q^k]$$

- J_r, J_l rotations of $SO(4)$ on S^4 , J_R Cartans of $SU(2)_R$ of $F(4)$ superconformal symmetry
k instanton number F global symmetry

$$\{Q, S\} = E - 2J_r - 3J_R \geq 0$$

$$I(t, u, m_i, q) = \int [da] Z_{\text{pert}}(ia, t, u, m_i) Z_{\text{inst}}(ia, t, u, m_i, q) Z_{\text{inst}}(-ia, t, u, -m_i, q^{-1})$$

$$Z_{\text{pert}} = \text{PE} [f_{\text{vec}}(t, u, e^{ia}) + f_{\text{fund}}(t, u, e^{ia}, e^{m_l}) + f_{\text{anti}}(t, u, e^{ia}, e^m)]$$

$$f_{\text{vec}} = -\frac{t(u + u^{-1})}{(1 - tu)(1 - t/u)} \left[\sum_{i < j}^N e^{\pm ia_i \pm ia_j} + \sum_{i=1}^N e^{\pm 2ia_i} + N \right]$$

$$f_{\text{fund}} = \frac{t}{(1 - tu)(1 - t/u)} \sum_{i=1}^N \sum_{l=1}^{N_f} e^{\pm ia_i \pm m_l}$$

$$f_{\text{anti}} = \frac{t(e^m + e^{-m})}{(1 - tu)(1 - t/u)} \left[\sum_{i < j}^N e^{\pm ia_i \pm ia_j} + N \right] .$$

- Instanton part is localized at the north and south pole of S^4 and reduced to the Nekrasov instanton partition function

$$\begin{aligned}
I = & 1 + \chi_{133}^{E_7} t^2 + \chi_2(u) [1 + \chi_{133}^{E_7}] t^3 + [1 + \chi_{7371}^{E_7} + \chi_3(u) (1 + \chi_{133}^{E_7})] t^4 \\
& + [\chi_2(u) (1 + \chi_{133}^{E_7} + \chi_{7371}^{E_7} + \chi_{8645}^{E_7}) + \chi_4(u) (1 + \chi_{133}^{E_7})] t^5 \\
& + [2\chi_{133}^{E_7} + \chi_{8645}^{E_7} + \chi_{238602}^{E_7} + \chi_3(u) (2 + 2\chi_{133}^{E_7} + \chi_{1539}^{E_7} + 2\chi_{7371}^{E_7} + \chi_{8645}^{E_7}) \\
& \quad + \chi_5(u) (1 + \chi_{133}^{E_7})] t^6 + \mathcal{O}(t^7) ,
\end{aligned}$$

$$\begin{aligned}
I = & 1 + \chi_{248}^{E_8} t^2 + \chi_2(u) [1 + \chi_{248}^{E_8}] t^3 + [1 + \chi_{27000}^{E_8} + \chi_3(u) (1 + \chi_{248}^{E_8})] t^4 \\
& + [\chi_2(u) (1 + \chi_{248}^{E_8} + \chi_{27000}^{E_8} + \chi_{30380}^{E_8}) + \chi_4(u) (1 + \chi_{248}^{E_8})] t^5 \\
& + [2\chi_{248}^{E_8} + \chi_{30380}^{E_8} + \chi_{1763125}^{E_8} + \chi_3(u) (2 + 2\chi_{133}^{E_8} + \chi_{3875}^{E_8} + 2\chi_{27000}^{E_8} + \chi_{30380}^{E_8}) \\
& \quad + \chi_5(u) (1 + \chi_{248}^{E_8})] t^6 + \mathcal{O}(t^7) ,
\end{aligned}$$

- For $Sp(2)$ expected enhanced global symmetry observed

Features of the superconformal index

- It exhibits the global symmetry E_7, E_8
- Instanton plays the crucial role
- $E_7 \rightarrow SO(12) \times U(1)_I$
 $E_8 \rightarrow SO(14) \times U(1)_I$ where $U(1)_I$ denotes the instanton charge
- The character of $E_5 = SO(10) \rightarrow SO(8) \times U(1)_I$

$$45 \rightarrow 1_0 + 28_0 + (\mathbf{8}_s)_1 + (\mathbf{8}_s)_{-1}$$

$$\chi_{45}^{E_5} = \chi_1^{SO(8)} + \chi_{28}^{SO(8)} + q\chi_{\mathbf{8}_s}^{SO(8)} + q^{-1}\chi_{\mathbf{8}_s}^{SO(8)}$$

Comparison of the previous work

- $\frac{Z(\text{with antisym})}{Z(\text{without antisym})} = Z(\text{superparticle index } D0 - D8 - O8) N_f \leq 5$
- Explains the previous work by Kim, Kim, Lee on 5d SCFTs

Conclusions

- We derive the Nekrasov instanton partition in a systematic way using ADHM QM
- This holds for classical gauge groups with arbitrary matter representations
- Instanton partition function for ABCDEFG?
- With basic tools available, one can explore conformal zoo in 5d, 6d SCFTs
- Obvious examples: $(0,1) E_8$, Orbifolds of $(0,2)$, $(0,1) E_8$, 5d SCFTs but much more
(Some of them are done, others to be done)
- Relation to local singularities in F theory and M theory on Calabi-Yau