

Cluster algebras from 2d gauge theories

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Exact Results in SUSY Gauge Theories in Various Dimensions
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Introduction

2d gauge theories
with $\mathcal{N} = (2, 2)$ SUSY

← Seiberg-like dualities →

Cluster
Algebras

2d $\mathcal{N}=(2, 2)$ theories have physical and mathematical applications:

- interesting as 2d theories
- 4d $\mathcal{N} = 2$ string compactifications
- Kähler and Calabi-Yau geometry: GLSM \rightarrow NLSM
- gauge theories \leftrightarrow quantum integrable systems [Nekrasov, Shatashvili 09]
- will see more today. . .

These theories are very much under control

Introduction

Cluster algebra: [Fomin, Zelevinsky 01] to describe coordinate rings of groups and Grassmannians

Provides special class of coordinates, with special properties, on manifolds

Other contexts:

- Teichmuller theory [Gekhtman, Shapiro, Vainshtein; Fock, Goncharov 03]
- Integrable systems (Y-systems)
- BPS quivers and wall crossing in 4d $\mathcal{N} = 2$ theories
- Amplitudes

Many interesting properties:

- Total positivity
- Laurent phenomenon
- Poisson and symplectic structures
- ...
- (physics \rightarrow) sets of Kähler metrics

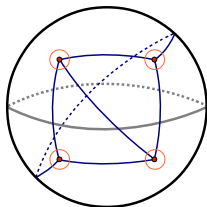
Example: (decorated) Teichmüller theory

Teichmüller space \mathcal{T}_g^s :

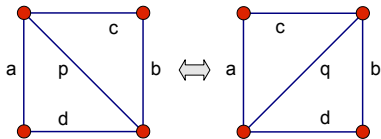


Decorated Teichmüller space $\tilde{\mathcal{T}}_g^s$

Penner coordinates: $f(e) = e^{\ell(e)}$



- Flip an edge:



Ptolemy relation

$$f(q) = \frac{f(a)f(b) + f(c)f(d)}{f(p)}$$

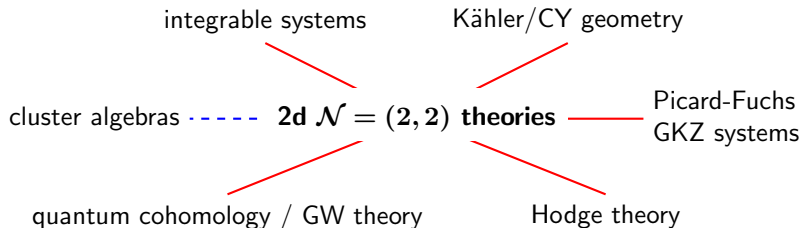
- Projection $\tilde{\mathcal{T}}_g^s \rightarrow \mathcal{T}_g^s$: Thurston shear coordinates $f(e) \rightarrow g(e)$

$$e^{g(p)} = f(a)f(b)f(c)^{-1}f(d)^{-1}$$

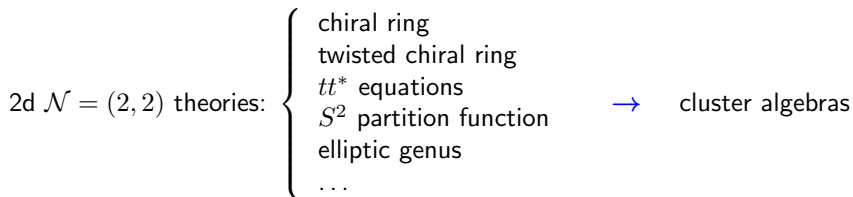
Introduction

Main motivations:

1



2



Outline

- Seiberg-like dualities of 2d $\mathcal{N} = (2, 2)$ gauge theories
- S^2 partition function
- Cluster algebras
- From dualities to cluster algebras
- Some applications

2d Seiberg-like dualities

2d $\mathcal{N} = (2, 2)$ gauge theories

The class of gauge theories we consider includes:

- Vector multiplet: $V = (A_\mu, \lambda, \sigma, D)$
→ (field strength) twisted chiral multiplet : $\Sigma = (\sigma, \lambda, F_{12} + iD)$
- Chiral multiplet: $\Phi = (\phi, \psi, F)$
- Interactions:
 - gauge coupling g
 - complexified FI term: $\mathcal{L}_{FI} = \xi D + \frac{\theta}{2\pi} F_{12}, \quad t = 2\pi\xi + i\theta$
 - twisted superpotential $\widetilde{W}(\Sigma) = t\Sigma + \dots$
 - superpotential $W(\Phi)$
 - twisted masses $V_{\text{ext}}, \langle \sigma_{\text{ext}} \rangle$

2d Seiberg-like dualities [Jockers, Kumar, Lapan, Morrison, Romo 12; FB, Cremonesi 12]

A: $U(N)$ with N_f fundamentals, N_a antifundamentals



B: $U(\max(N_f, N_a) - N)$ with N_a fundamentals, N_f antifundamentals, $N_f N_a$ gauge singlets, superpotential $W_{\text{dual}} = \tilde{q} M q$



Comments:

- cfr. with 4d: no gauge anomaly \rightarrow any N_f, N_a
- similar to Hori-Tong duality – but $U(N)$ instead of $SU(N)$
- $N_f = N_a$: flow to IR CFT (otherwise gapped)
- map of parameters: complexified FI term, twisted masses

$$t = 2\pi\xi + i\theta, \quad m_j, \quad \tilde{m}_f \quad \Rightarrow \quad z = (-1)^{\text{out-colors}} e^{-t},$$

$$z' = \frac{1}{z}$$

Geometric interpretation [Witten 82; Jia, Sharpe, Wu 14]

Only fundamentals. Large positive FI: geometric realization

$$\mathrm{Gr}(N, N_f) = \mathrm{Gr}(N_f - N, N_f)$$

With antifundamentals (assume $N_f \geq N_a$).

- **Theory A**: each antifundamental gives a copy of tautological bundle S

Equality of bundles:

$$S^{N_a} \rightarrow \mathrm{Gr}(N, N_f) = (Q^*)^{N_a} \rightarrow \mathrm{Gr}(N_f - N, N_f)$$

Universal quotient bundle: $0 \rightarrow S \rightarrow \mathcal{O}^{N_f} \rightarrow Q \rightarrow 0$

- **Theory B**: mesons give $(\mathcal{O}^{N_f})^{N_a}$, superpotential imposes short exact sequence.
- Flip fundamentals \leftrightarrow antifundamentals \Rightarrow FI parameter $\xi \leftrightarrow -\xi$

Chiral ring

Chiral operators modulo F-term relations

Theory A: $\tilde{Q}_f Q_j$ (no baryons)

Theory B: $\tilde{q}_j q_f, M_{fj}$, but W imposes $\tilde{q}_j q_f = 0$

$$\text{Map: } \tilde{Q}_f Q_j = M_{fj}$$

- Add superpotential $W = f(\tilde{Q}_f Q_j) = f(M_{fj})$

Twisted chiral ring

Generators: $\text{Tr } \sigma^k$ $k = 1, \dots, N$ or symm. polynomials in $\sigma = \text{diag}(\sigma_1, \dots, \sigma_N)$

$$Q(x) = \det(x - \sigma) = x^N - x^{N-1} \text{Tr } \sigma + \dots$$

Relations: effective superpotential on the Coulomb branch $t = 2\pi\xi + i\theta$

$$\widetilde{W}_{\text{eff}} = -t \sum_a \sigma_a - \sum_j \sum_{\rho \in R_j} (\rho(\sigma) - m_j) [\log(\rho(\sigma) - m_j) - 1]$$

Impose $\partial \widetilde{W}_{\text{eff}} / \partial \sigma_a \in \mathbb{Z}$ and $\sigma_a \neq \sigma_b$: (quantum equivariant coh) $z \simeq e^{-t}$

$$\prod_{j=1}^{N_f} (x - m_j) + i^{N_a - N_f} z \prod_{f=1}^{N_a} (x - \tilde{m}_f) = C(z) Q(x) T(x)$$

$T(x)$ has degree $N' = \max(N_f, N_a) - N$

$$C(z) = \begin{cases} 1 & \text{if } N_f > N_a \\ 1 + z & \text{if } N_f = N_a \\ i^{N_a - N_f} z & \text{if } N_f < N_a \end{cases}$$

• Duality: $T(x) = Q'(x) = \det(x - \sigma')$

S^2 partition function

S^2 partition function [FB, Cremonesi 12; Doroud, Gomis, Le Floch, Lee 12]

Any Euclidean 2d $\mathcal{N} = (2, 2)$ theory with R_V -symmetry can be placed supersymmetrically on S^2 , with *no twist*.

$$Z_{S^2}(\text{param}) = \int \mathcal{D}\phi e^{-S(\text{param})}$$

- Parameters:
- complexified FI term $t = 2\pi\xi + i\theta$, $z \simeq e^{-t}$
 - real twisted masses m_j , \tilde{m}_f and flavor magnetic fluxes \mathbf{n}_j , $\tilde{\mathbf{n}}_f$
 - R-charges R

Localization:

$$Z_{S^2} = \frac{1}{|\mathcal{W}|} \sum_{\mathbf{m} \in \mathbb{Z}^N} \int d^N \sigma e^{i \text{Re} \tilde{W}(\sigma + \frac{i}{2} \mathbf{m})} Z_{1\text{-loop}}$$

$$Z_{1\text{-loop}}^{\text{gauge}} = \prod_{\text{roots } \alpha > 0} \left(\frac{\alpha(\mathbf{m})^2}{4} + \alpha(\sigma)^2 \right)$$

$$Z_{1\text{-loop}}^{\text{chiral}} = \prod_{\text{chiral } \Phi} \prod_{\rho \in \mathfrak{R}_j} \frac{\Gamma\left(\frac{R[\Phi]}{2} - i\rho(\sigma) - if^i[\Phi]m_i - \frac{\rho(\mathbf{m}) + f^i[\Phi]\mathbf{n}_i}{2}\right)}{\Gamma\left(1 - \frac{R[\Phi]}{2} + i\rho(\sigma) + if^i[\Phi]m_i - \frac{\rho(\mathbf{m}) + f^i[\Phi]\mathbf{n}_i}{2}\right)}$$

Quantum Kähler metric

For gauge theories that in the IR flow to **NLSMs on Calabi-Yau** manifolds, there is a geometric interpretation of Z_{S^2} .

- Geometry of Kähler moduli space:

Z_{S^2} computes **quantum** (genus zero) **Kähler metric** on Kähler moduli space

→ (equivariant) GW invariants of CYs

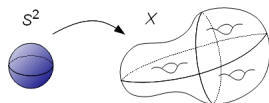
$$Z_{S^2} = \langle \bar{0}|0 \rangle_{RR} = e^{-K_{\text{Kähler}}}$$

[Jockers, Kumar, Lapan, Morrison, Romo 12]

[Gomis, Lee 12]

[Park, Song 12]

[Bonelli, Sciarappa, Tanzini, Vasko 13]



S^2 partition function

We can prove:

$$Z^{(A)}(m_j, \mathbf{n}_j, \tilde{m}_f, \tilde{\mathbf{n}}_f; z) = f_{\text{contact}} f_{\text{Kt}} Z^{(B)}\left(\tilde{m}_f - \frac{i}{2}, \tilde{\mathbf{n}}_f, m_j - \frac{i}{2}, \mathbf{n}_j; z^{-1}\right)$$

Method: **vortex partition function** (or Toda [Gomis, Le Floch 14])

$$Z_{S^2} = \sum_{\text{Higgs vacua}} Z_{\text{cl}} Z'_{1\text{-loop}} Z_{\text{vortex}} Z_{\text{antivortex}}$$

- Mass shift: shift of R-charges, compatible with W_{dual}

$$r \equiv R[\tilde{Q}_f Q_j] = R[M_{fj}] \quad \Rightarrow \quad R[\tilde{q}_j q_f] = 2 - r$$

- $f_{\text{Kt}} = |f_{\text{hol}}|^2$: real function \rightarrow Kähler transformation [Closset, Cremonesi 14]

FT: local counterterm or improvement transformation [Gomis, Komargodski 14]

Geom: according to [Jockers, Kumar, Lapan, Morrison, Romo 12]

$$Z_{S^2} = e^{-K_{\text{Kähler}}}$$

Kähler transformation (does not affect the metric)

The contact term

- $f_{\text{contact}} = e^{i \text{Re } \widetilde{W}_{\text{contact}}}$: phase

Twisted superpotential, only function of parameters (twisted chirals):

$$m_j + \frac{i}{2} \mathbf{n}_j, \quad \tilde{m}_f + \frac{i}{2} \tilde{\mathbf{n}}_f, \quad t$$

Theory A: $\widetilde{W}_A = -t \text{Tr } \sigma = \log z \text{Tr } \sigma$

Theory B: result depends on number of flavors

- $N_f > N_a + 1$: $\widetilde{W}_B = \log z^{-1} \text{Tr } \sigma' + \log z \text{Tr } m$
- $N_f = N_a + 1$: $\widetilde{W}_B = \log z^{-1} \text{Tr } \sigma' + \log z \text{Tr } m + iz$
- $N_f = N_a$: $\widetilde{W}_B = \log z^{-1} \text{Tr } \sigma' + \log \frac{z}{1+z} \text{Tr } m + \log(1+z) \text{Tr } \tilde{m}$

If flavor symmetry is gauged, $m, \tilde{m} \rightarrow$ dynamical twisted chiral multiplets

$$\delta \widetilde{W} = \log z_f \text{Tr } m + \log z_a \text{Tr } \tilde{m}$$

f_{contact} transforms neighboring Fls.

Cluster algebras

Cluster algebra [Fomin, Zelevinsky 01] of rank n

Commutative ring with unit and no zero divisors
with distinguished set of *generators* called **cluster variables**.

Cluster variables are organized into
overlapping n -subsets called **clusters**.

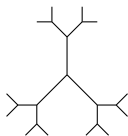
- Exchange property (**mutations**):

for every cluster \mathbf{X} and $x \in \mathbf{X}$,
there is another cluster obtained by substituting $x \rightarrow x'$ with rule

$$xx' = M_1 + M_2$$

$M_{1,2}$: *monomials* in $n - 1$ variables $\mathbf{X} \setminus \{x\}$, with no common divisors.

Any two clusters can be obtained from each other by sequence of *mutations*.

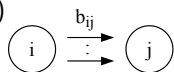


Cluster algebra [Fomin, Zelevinsky 06]

- Seed \mathbf{X} :

Antisymmetric $n \times n$ matrix $b_{ij} \rightarrow$ **quiver** B (no 1-, 2-cycles)

Coefficients $y_i \in \mathbb{P}$ *semifield* (\cdot, \oplus) (*tropical*)



Cluster variables x_i .

- *Semifield* (\cdot, \oplus) : auxiliary addition, not subtraction nor zero.

- Eg: \mathbb{R}_+ .

- **Tropical semifield** $\mathbb{P}_1 (\cdot, \oplus)$: $\mathbb{P}_1 = \{u^a \mid a \in F\}$ $F = \mathbb{Z}$ or \mathbb{Q} or \mathbb{R}

Operations: $u^a \cdot u^b = u^{a+b}, \quad u^a \oplus u^b = u^{\max(a,b)}$

u is formal variable

- Eg: $\mathbb{P}_0 = \{1\}, \quad 1 \cdot 1 = 1 \oplus 1 = 1.$

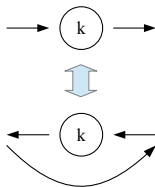
Cluster algebra [Fomin, Zelevinsky 06]

- Mutation (at node k):

$$b'_{ij} = \begin{cases} -b_{ij} & \text{if } i = k \text{ or } j = k \\ b_{ij} + \text{sign}(b_{ik}) [b_{ik} b_{kj}]_+ & \text{otherwise} \end{cases}$$

$$y'_j = \begin{cases} y_k^{-1} & \text{if } j = k \\ y_j y_k^{[b_{kj}]_+} (y_k \oplus 1)^{-b_{kj}} & \text{otherwise} \end{cases}$$

$$x'_j = \begin{cases} \frac{1}{x_k} \left(\frac{y_k}{y_k \oplus 1} \prod_i x_i^{[b_{ik}]_+} + \frac{1}{y_k \oplus 1} \prod_i x_i^{[-b_{ik}]_+} \right) & \text{if } j = k \\ x_j & \text{otherwise.} \end{cases}$$



Hierarchical structure.

- Dual cluster variables: $z_j = \prod_i x_i^{b_{ij}}$

$$z'_j = \begin{cases} z_k^{-1} & \text{if } j = k \\ z_j z_k^{[b_{kj}]_+} \left(\frac{y_k}{y_k \oplus 1} z_k + \frac{1}{y_k \oplus 1} \right)^{-b_{kj}} & \text{otherwise.} \end{cases}$$

Cluster algebra

- Total positivity

Cluster algebra transformations involve $+$, not $-$.

Canonical choice of “positive” submanifold of a cluster manifold.

- Laurent phenomenon

Any cluster variable x_i , viewed as a rational function of the variables in a given cluster \mathbf{x}' , is a Laurent polynomial.

It is conjectured that has *positive* coefficients.

- Poisson and symplectic structures

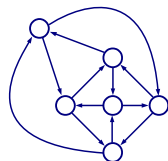
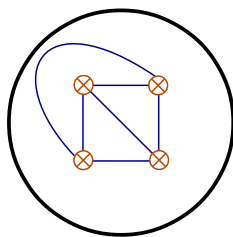
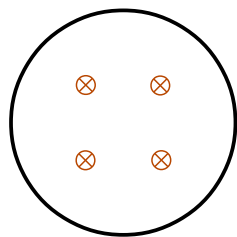
$$\omega = b_{ij} dx_i \wedge dx_j$$

$$\{z_i, z_j\} = b_{ij} z_i z_j \quad \text{extend by Liebniz} \quad (\text{log canonical})$$

They are invariant under mutations.

Example: (decorated) Teichmüller theory

Decorated Teichmüller space:



Penner coordinates $f(e)$ on $\tilde{\mathcal{T}}_g^s$

Flip on edge

Thurston coordinates $e^{g(e)}$ on \mathcal{T}_g^s

1

Cluster variables x_i

Mutation

Dual cluster variables z_i

No coefficients – $\mathbb{P}_0 = \{1\}$

Obs: these are BPS quivers of class \mathcal{S} theories

From dualities to cluster algebras

Quivers and cluster algebras

Seiberg-like dualities connect $2d \mathcal{N} = (2, 2)$ quiver gauge theories and cluster algebras.

Class of quiver gauge theories:
no 1-cycles nor 2-cycles.

Apply Seiberg-like duality to a node k

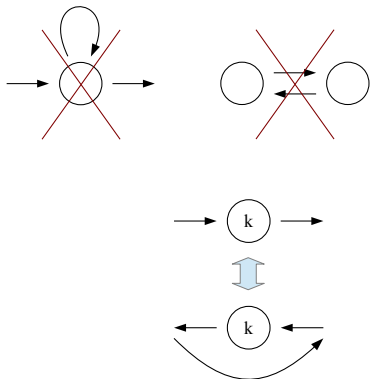
- Quiver: almost CA action

Never generate 1-cycles.

Can generate 2-cycles.

Assume that all 2-cycles are accompanied by *quadratic* superpotential $W \supset X_1 X_2$

→ integrate them out.



Quivers and cluster algebras

- Coefficients.

Transformation of ranks: $N' = \max(N_f, N_a) - N$

Tropical semifield $\mathbb{P}(\cdot, \oplus)$: $u^a \cdot u^b = u^{a+b}$, $u^a \oplus u^b = u^{\max(a,b)}$
 u is formal variable

Ranks: $r_i = u^{N_i}$ $r'_j = \begin{cases} r_k^{-1} (\prod_i r_i^{[b_{ij}]_+} \oplus \prod_i r_i^{[-b_{ij}]_+}) & j = k \\ r_j & \text{otherwise} \end{cases}$

Beta-functions: $y_j \equiv \prod_i r_i^{b_{ij}} \Rightarrow \boxed{y_j = u^{\beta_j}}$

y_j transform as CA coefficients. u interpreted as ratio of energy scales.

Quivers and cluster algebras

- Dual cluster variables. Transformation of FI parameters $z \simeq e^{-t}$:

$$\begin{array}{llll} N_a < N_f : & z_a \rightarrow z_a & z \rightarrow z^{-1} & z_f \rightarrow z_f z \\ N_a = N_f : & z_a \rightarrow z_a(1+z) & z \rightarrow z^{-1} & z_f \rightarrow z_f \frac{z}{1+z} \\ N_a > N_f : & z_a \rightarrow z_a z & z \rightarrow z^{-1} & z_f \rightarrow z_f \end{array}$$

From cluster variables define: $z_j = \prod_i x_i^{b_{ij}}$

$$z'_j = \begin{cases} z_k^{-1} & j = k \\ z_j z_k^{[b_{kj}]_+} \left(\frac{y_k}{y_k \oplus 1} z_k + \frac{1}{y_k \oplus 1} \right)^{-b_{kj}} & \text{otherwise} \end{cases}$$

- Conformal case — $y_i \equiv 1$:

Transformation of FIs exactly reproduces CA

- General case:

The transformation rules are the u^0 term in the expression.

Can be extracted taking $u \rightarrow \infty$ limit.

→ Cluster algebra encodes the transformations for **every possible choice of ranks**.

The superpotential

We assumed that whenever Seiberg-like duality generates a 2-cycle, this is removed by suitable *quadratic* superpotential term W_{quad} .

Highly non-trivial!

Q: given a quiver, there \exists an R-symmetric superpotential W such that \forall sequences of mutations, 2-cycles are always “massive”?

Such W : non-degenerate graded potential

Theory: non-degenerate if it admits such a potential.

- It is easy to produce examples of degenerate theories
- Complete classification of non-degenerate theories is *not* known
- E.g. of non-degenerate quivers:
 - loop-less quivers
 - quivers dual to ideal triangulations of marked Riemann surfaces.

The Q -polynomial

Twisted chiral ring of the quiver: $Q_j(x) = \det(x - \sigma_j)$

$$\prod_i Q_i(x)^{[b_{ji}]_+} + i^{N_a(j) - N_f(j)} z_j \prod_i Q_i(x)^{[-b_{ji}]_+} = C_j(z_j) Q_j(x) T_j(x) \quad \forall j$$

Under duality, identify $Q'_k(x) = T_k(x)$.

- Conformal cases: $y_i = 1$.

Take $z_i \in \mathbb{R}_+$: they transform as coefficients.

Q -polynomials **transform as cluster variables!**

$$Q'_j(x) = \begin{cases} \frac{1}{Q_k(x)} \left(\frac{z_k}{z_k + 1} \prod_i Q_i(x)^{[b_{ik}]_+} + \frac{1}{z_k + 1} \prod_i Q_i(x)^{[-b_{ik}]_+} \right) & j = k \\ Q_j(x) & \text{otherwise} \end{cases}$$

Some applications

The quantum Kähler moduli space

“Conformal” quivers flow to IR CFTs: NLSM on (non-compact) Calabi-Yau’s

Complexified FI parameters z_i control Kähler moduli

Metric on Kähler moduli space computed by [Jockers, Kumar, Lapan, Morrison, Romo 12]

$$Z_{S^2}(t_i, \bar{t}_i) = e^{-K_{\text{Kähler}}(t_i, \bar{t}_i)}$$

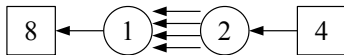
Quantum Kähler moduli space has cluster algebra structure

Also has Poisson structure.

- Compact example: Gulliksen-Negård CY_3

$$\mathcal{X} = \{\phi \in \mathbb{P}^7 \mid \text{rank}(A_{4 \times 4}^a \phi_a) \leq 2\} \quad (h^{1,1}, h^{2,1}) = (2, 34)$$

Can be realized by a $U(1) \times U(2)$ quiver [Jockers, Kumar, Lapan, Morrison, Romo 12]



There is a cubic superpotential that breaks the flavor symmetry.

Quantum integrable systems (spin chains)

Nekrasov-Shatashvili: $\mathcal{N} = (2, 2)$ gauge theory \leftrightarrow quantum integrable system

- $\mathcal{N} = (2, 2)^*$ SQCD:
$$\begin{cases} U(N), N_f \text{ hypers (fund + antifund), one adjoint} \\ W = \tilde{Q}\Phi Q \end{cases}$$
- $SU(2)$ periodic twisted inhomogeneous $XXX_{\frac{1}{2}}$ spin chain, N_f nodes, sector with $S_z = -\frac{N_f}{2} + N$ (N -particle states)

Twisted chiral ring relations \leftrightarrow algebraic Bethe ansatz equations

Quantum integrable systems (spin chains)

Q: Integrable systems for our quivers? Seiberg-like dualities?

- Strategy:
- Construct $\mathcal{N} = (2, 2)^*$ “quivers”
 - Look for a duality
 - Limit of parameters $\rightarrow \mathcal{N} = (2, 2)$ cycle-free quiver
 - Interpret duality in integrable system context

$\mathcal{N} = (2, 2)^*$: diagrams with no arrows

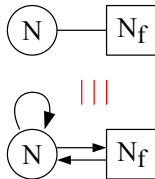
“Particle-hole” duality: $U(N) \leftrightarrow U(N_f - N)$

$$T^* \text{Gr}(N, N_f) = T^* \text{Gr}(N_f - N, N_f)$$

- Limit: It is crucial to expand in the correct vacuum.

Limit is compatible with Seiberg-like duality.

Integrable system: “highly quantum and inhomogeneous limit”



Conclusions

Open directions

- Cluster algebra in systems of Picard-Fuchs equations?
GKZ-systems, A-systems, . . . well-understood only for Abelian theories
- B-side of the story and Hori-Vafa mirror symmetry
- Relation of 2d $\mathcal{N} = (2, 2)$ quivers to other physical systems?
Teichmüller theory and class \mathcal{S} theories (what is Z_{S^2} ? what is $K_{\text{Kähler}}$?)
- Study transformation rules of boundary conditions using Z_{D^2}

Thank you!