

# BOOSTED TOPS

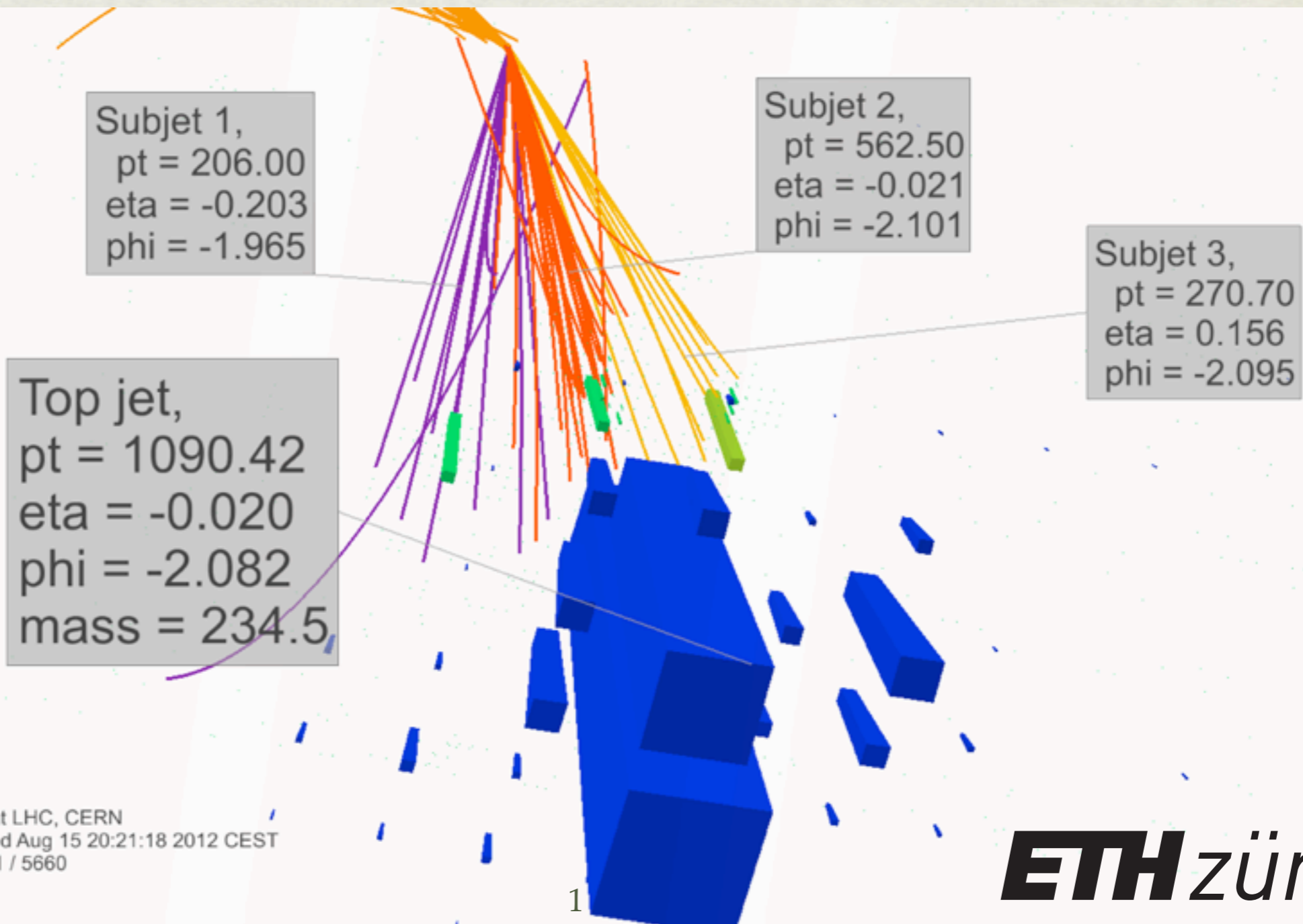
*Gregor Kasieczka*

*On behalf of the ATLAS and CMS Experiments*

*SM@LHC, Madrid - 10. April 2014*



<http://atlas.ch>



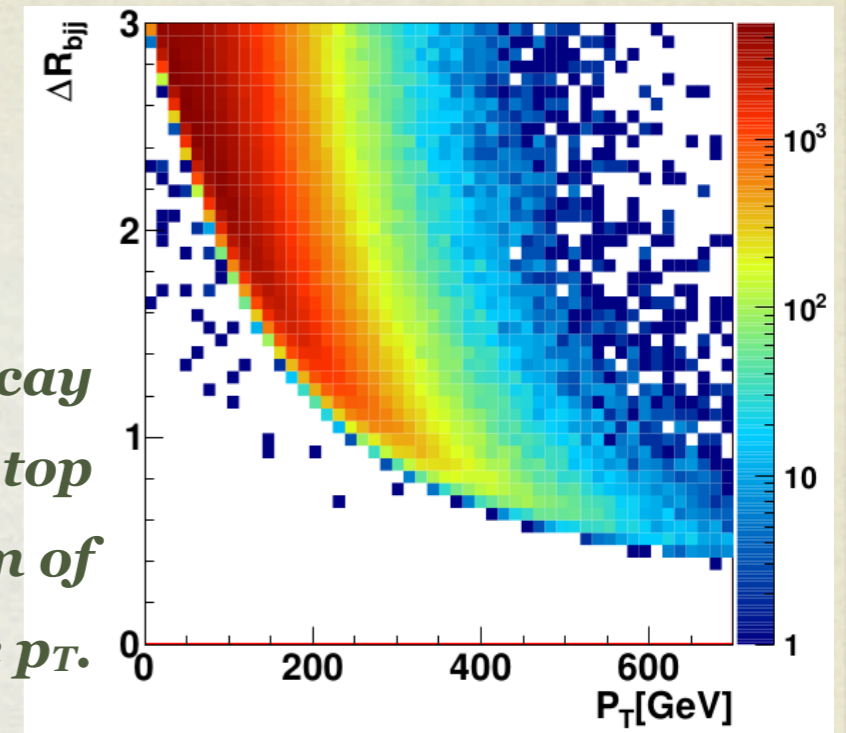
CMS Experiment at LHC, CERN  
Data recorded: Wed Aug 15 20:21:18 2012 CEST  
Run/Event: 200991 / 5660  
Lumi section: 1

**ETH** zürich

# BOOSTED TOPOLOGIES

- Many models of new physics couple to the top quark
- At the LHC top-quarks are produced at high boost ( $p_T/m > 2$ )
- For these top quarks the decay products will become more collimated...

*Distance of the decay products of a top quark as function of the  $p_T$ .*



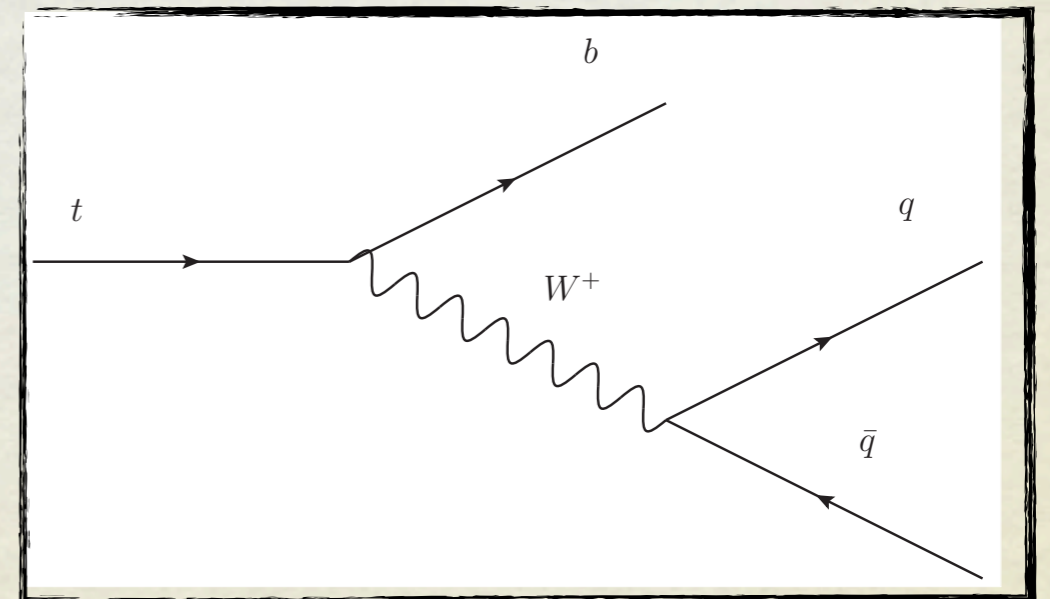
**Separation for a two-body decay:**

$$\frac{m_j^2}{p_{T,j}^2} \sim z(1-z) R_{j_1 j_2}^2 \quad \text{with} \quad z = \frac{\min p_{T,j_i}}{p_{T,j}}$$

- ... and can/will merge into a single jet in the detector
- The fraction of such events will increase for the 13 TeV LHC run

# ANALYSIS APPROACH

- Start with **large-R** ( $R=0.8 \dots 1.8$ ) and analyze the substructure
- General purpose tools (= *ingredients*):
  - **Find** the **hard** substructure: trimming, mass-drop, filtering, pruning, ...
  - **Discriminate** top vs non-top: masses, splitting-scales, n-subjettiness, ...
- **Taggers** are (usually) **combination** of these tools
  - example: mass-drop + filtering + masses (+ some combinatorics) = HEPTopTagger
- Goals:
  - Improve **mass resolution** by reducing pile-up, underlying event, soft radiation
  - **Identify** hadronic top candidate



# PERFORMANCE STUDIES

# KT SPLITTING SCALES

1306.4945

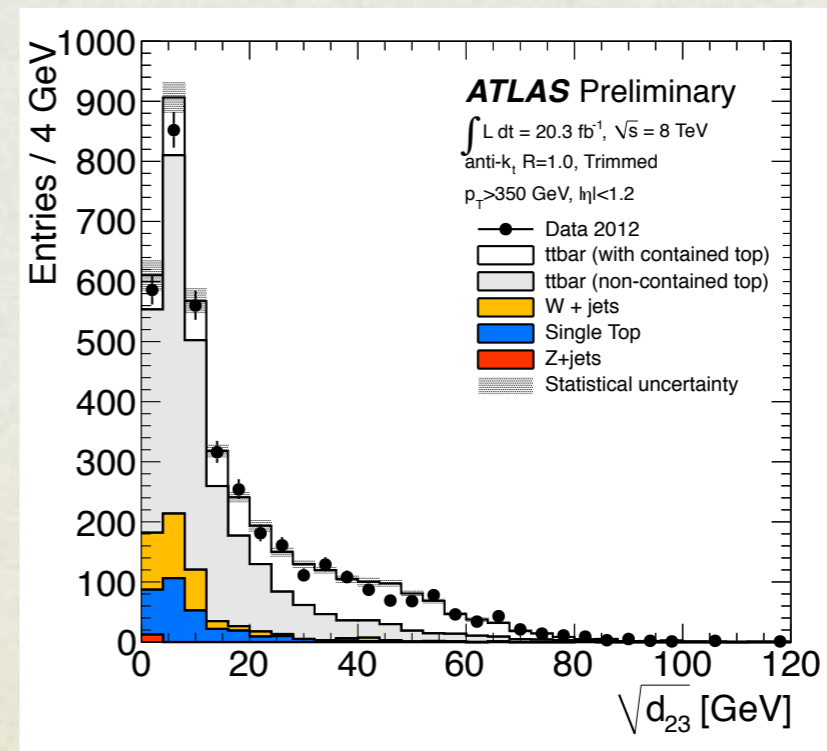
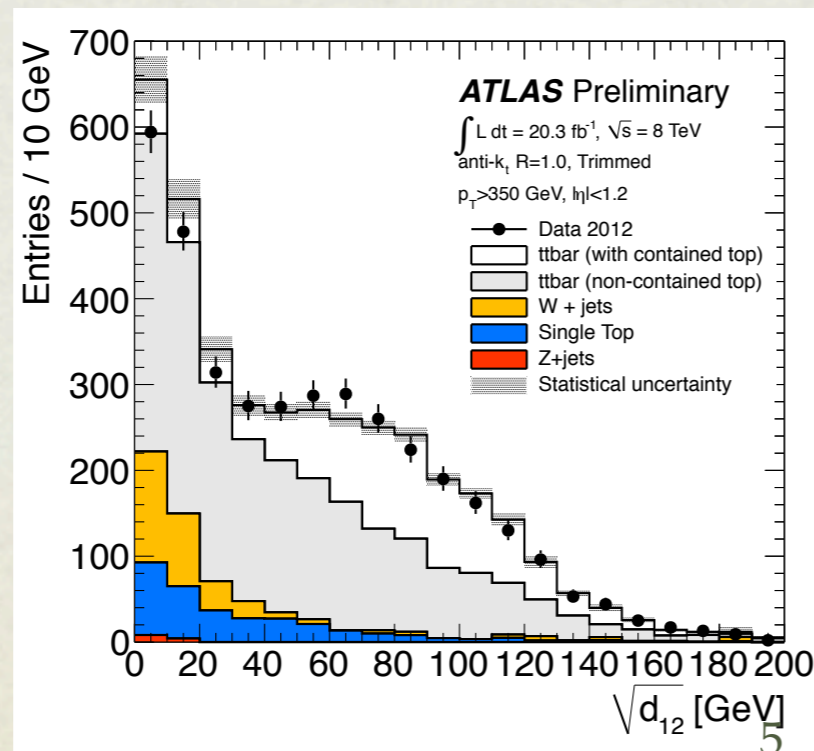
- **Re-cluster** the constituents of a jet using the **kT** algorithm
- Look at distance measure (*splitting scale*) of last or second-to-last step

$$\sqrt{d_{ij}} = \min(p_{Ti}, p_{Tj}) \times \Delta R_{ij},$$

- Distinguishes symmetric heavy particle decays from QCD radiation:

- For a heavy-particle decay:  $\sqrt{d_{12}} \approx m_{\text{top}/2}$   $\sqrt{d_{23}} \approx m_W/2$

- QCD radiation: smaller



# N-SUBJETTINESS

1011.2268

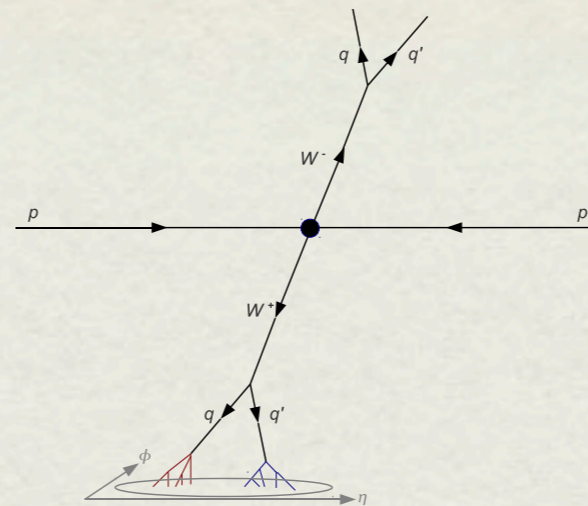
CMS PAS JME-13-007

ATLAS-CONF-2013-084

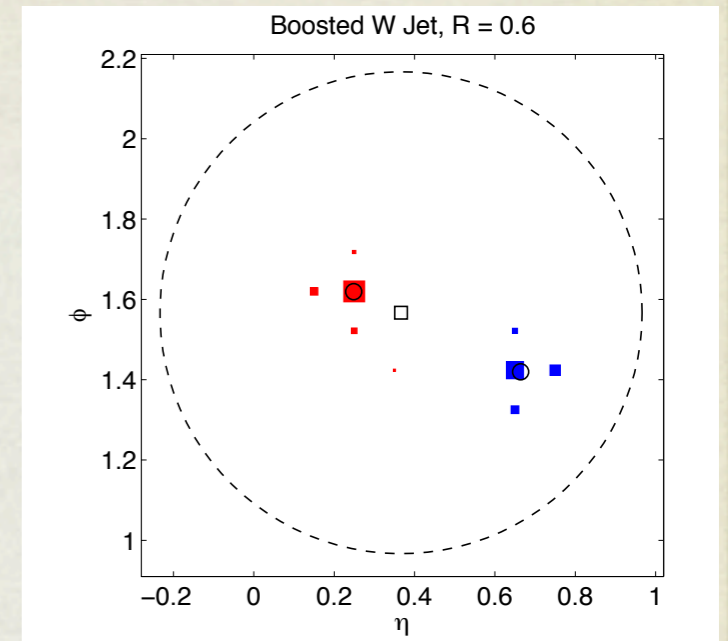
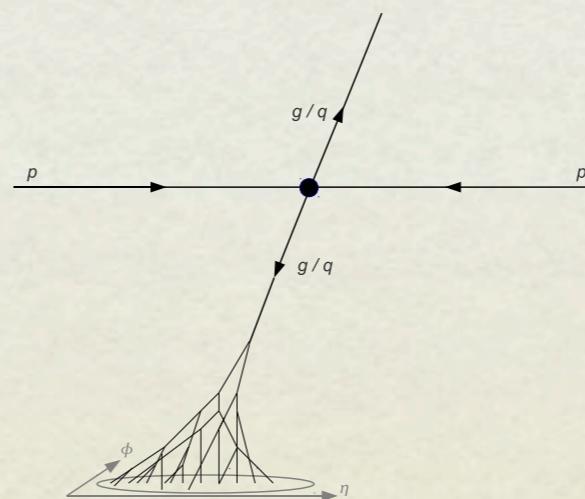
$$\tau_N = \frac{1}{d_0} \sum_k p_{T,k} \min \{ \Delta R_{1,k}, \Delta R_{2,k}, \dots, \Delta R_{N,k} \}$$

$$d_0 = \sum_k p_{T,k} R_0,$$

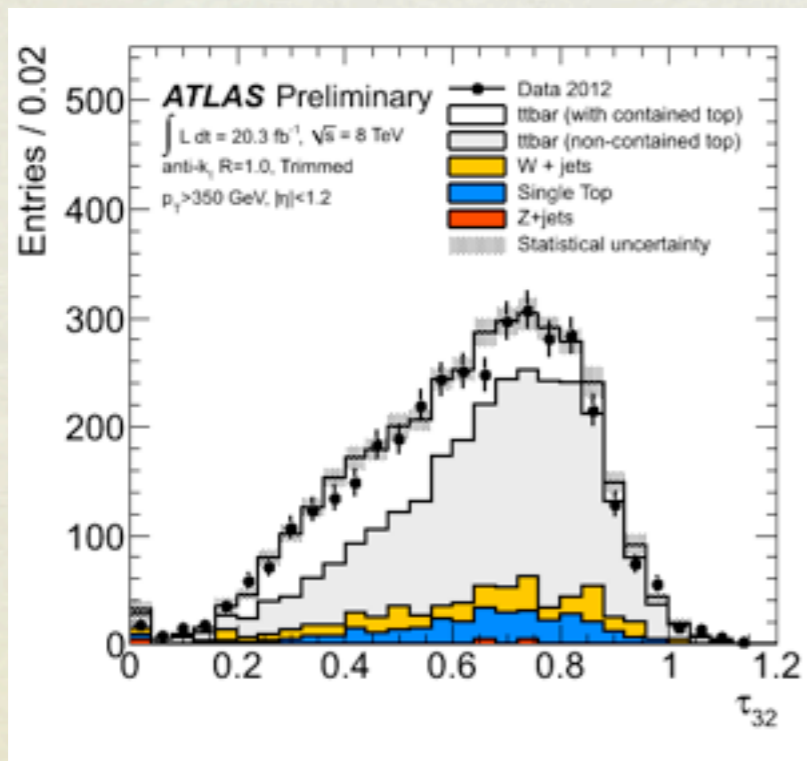
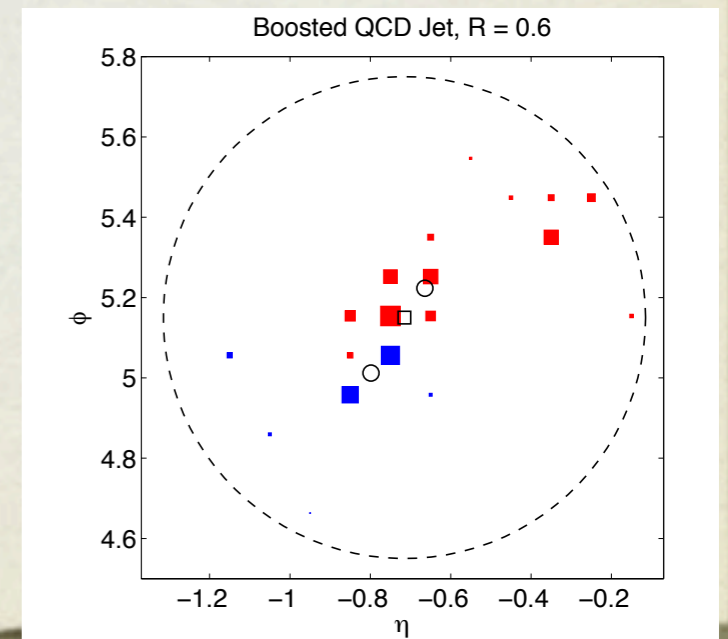
- Idea: Quantify compatibility with 1/2/3-subjet hypothesis
- Lower  $\tau_n$  = better agreement
- Ratio  $\tau_3/\tau_2$  for top tagging



(a)



(b)



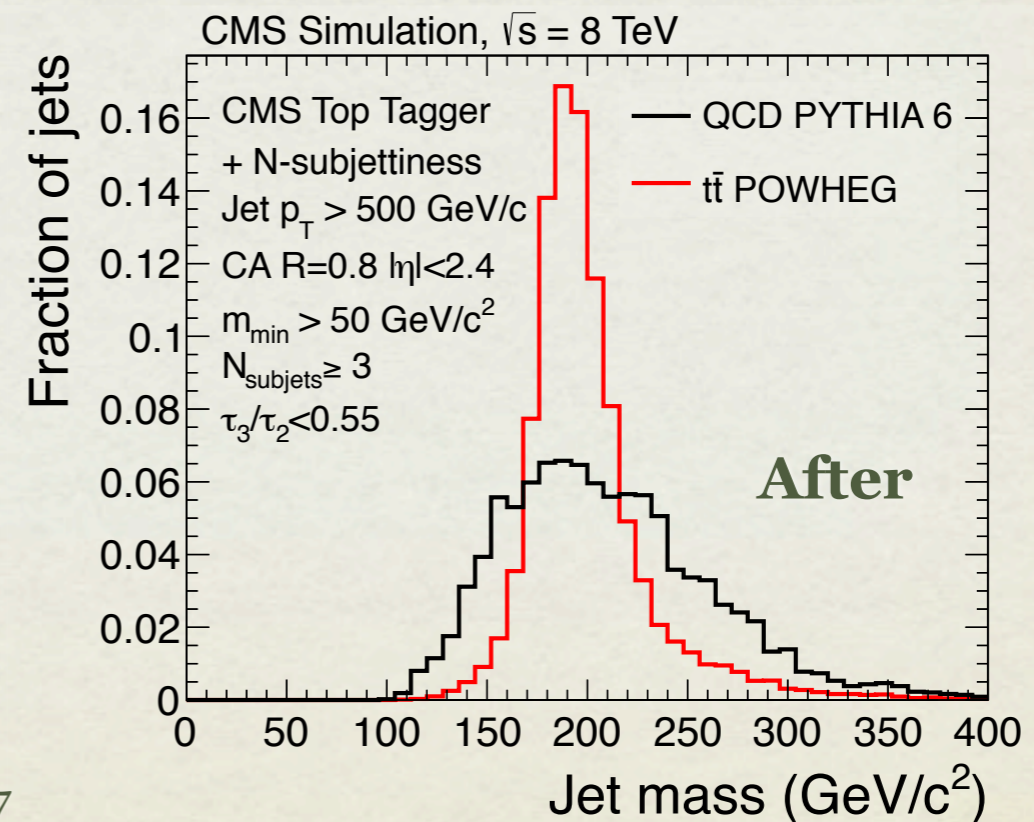
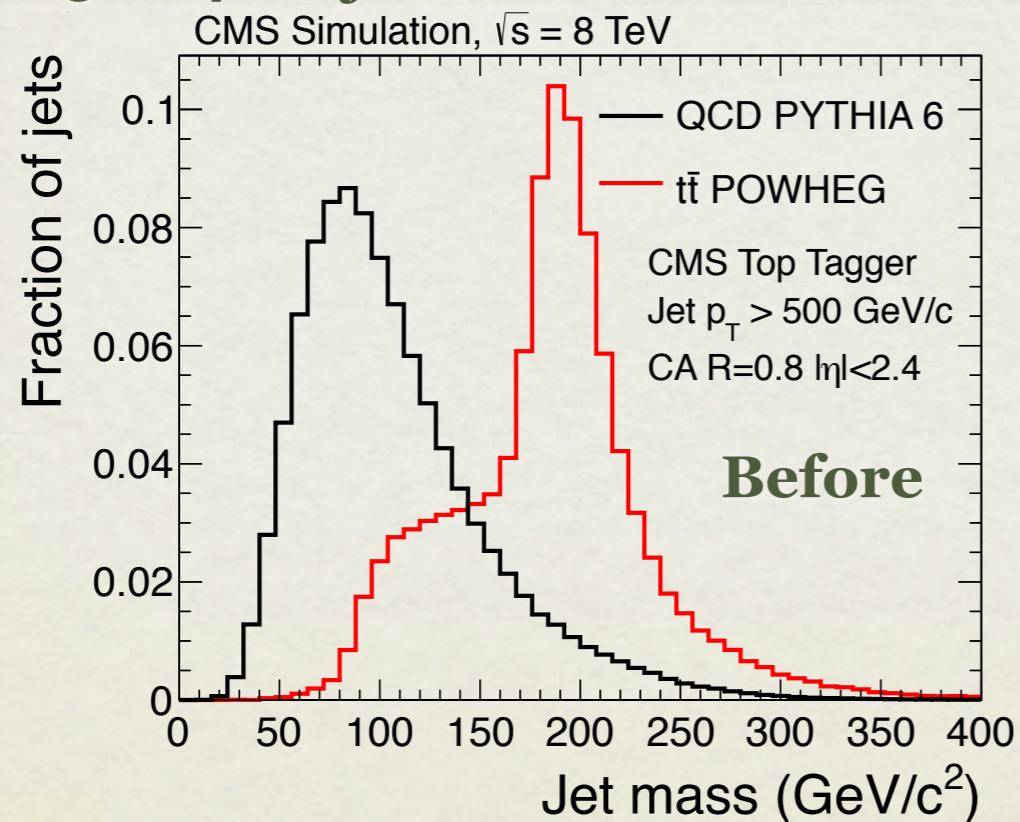
# CMSTOP TAGGER

0806.0848

1204.2488

CMS PAS JME-13-007

- Start with **CA, R=0.8** large-R jets ( $p_T > 350$  GeV)
- Repeatedly **uncluster** large-R jet
  - drop low- $p_T$  (wrt/ large-R jet) subjects and close-by subjects
  - until **3 or 4 subjects** are found
- Selection criteria:
  - **mass** of sum has: [140,250] GeV
  - **minimal pairwise mass** of three leading subjects  $> 50$  GeV
  - ( $\tau_3/\tau_2 < 0.55$ )

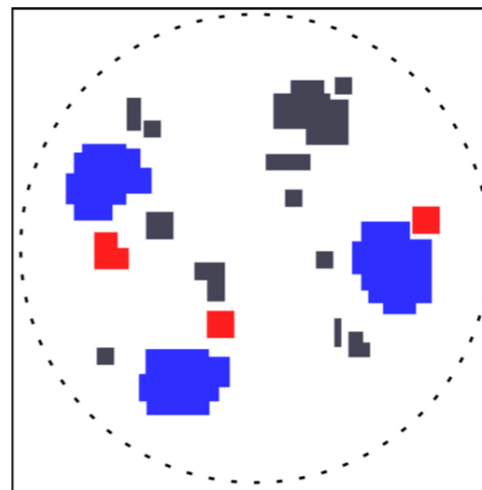
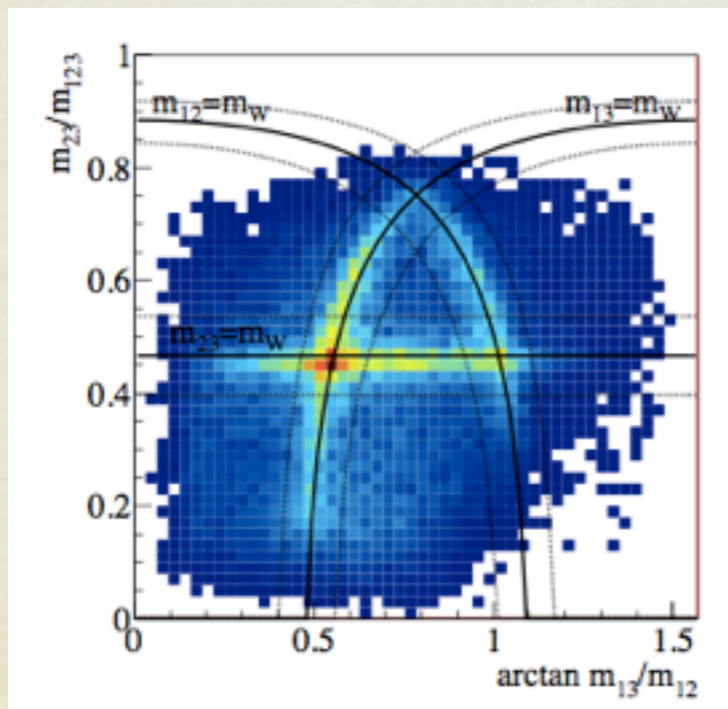


# HEPTOPTAGGER

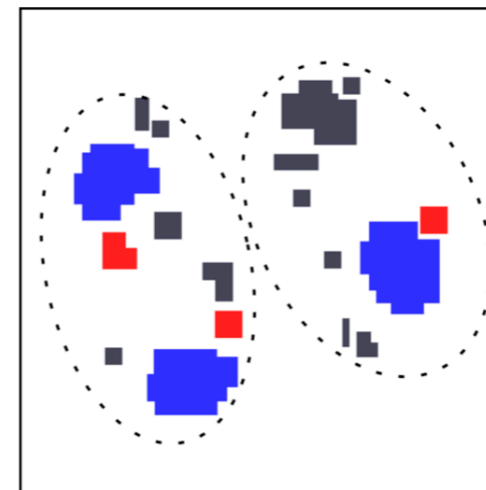
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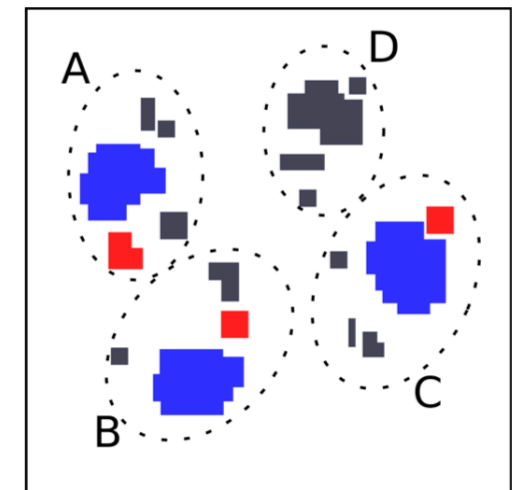
- Identify hadronically decaying top quarks with  $p_T > 200$  GeV
- Start with CA,  $R=1.5$  jets
- Identify top quark decay via mass ratios:



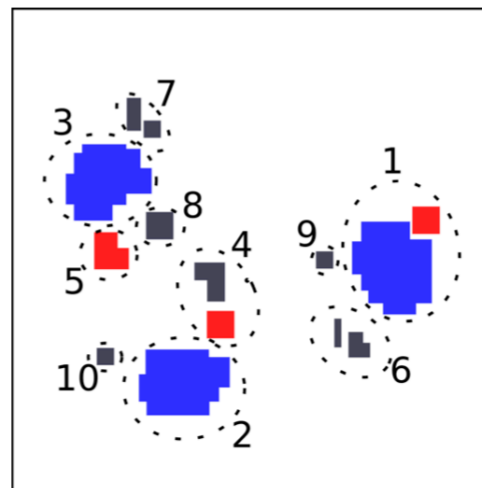
(a) initial fat jet



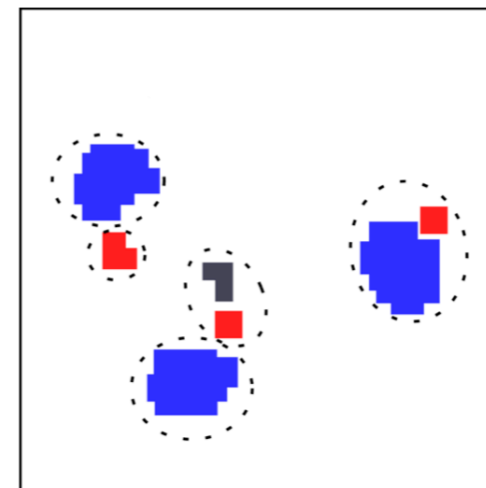
(b) fat jet after first unclustering step



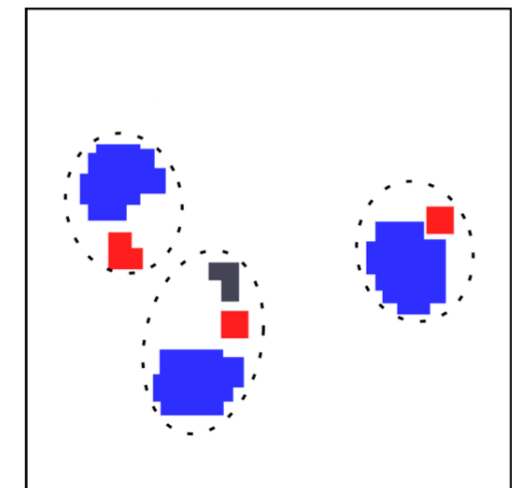
(c) fat jet after the last unclustering step



(d) re-clustered constituents of the triplet of jets A, B and C from the previous step using a smaller distance parameter



(e) the five leading subjets found in the previous step



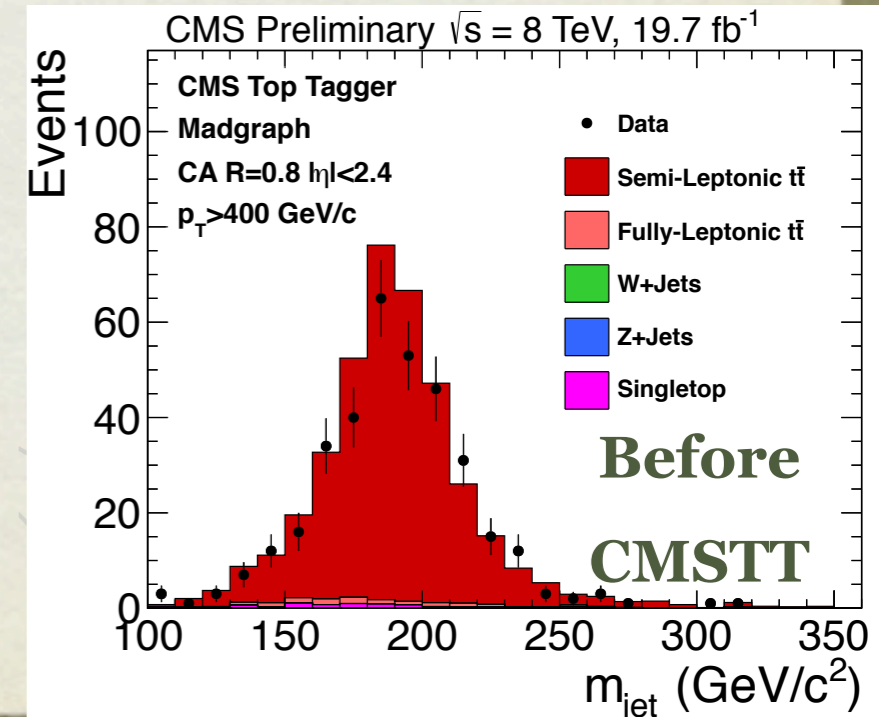
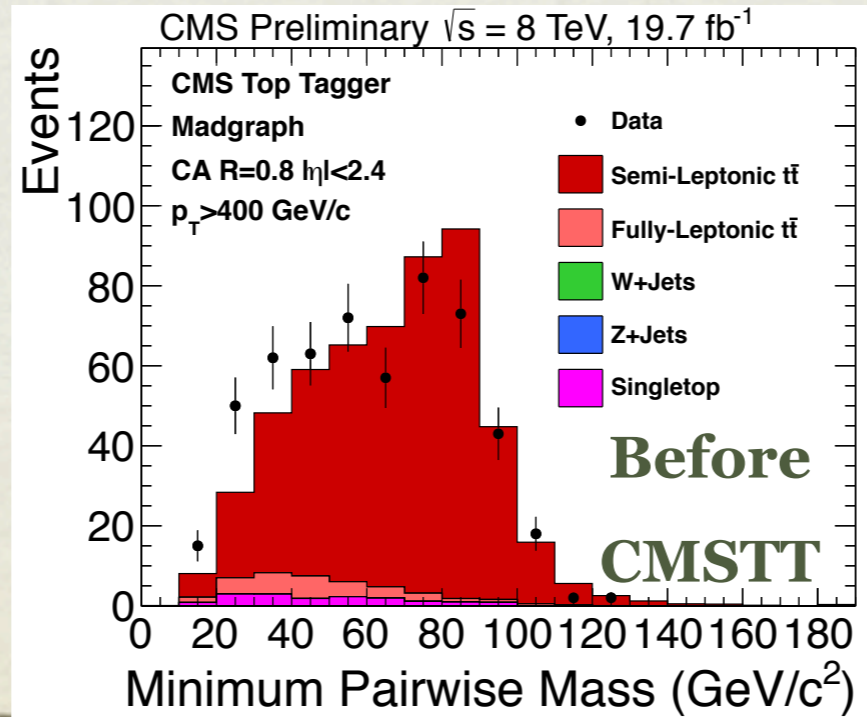
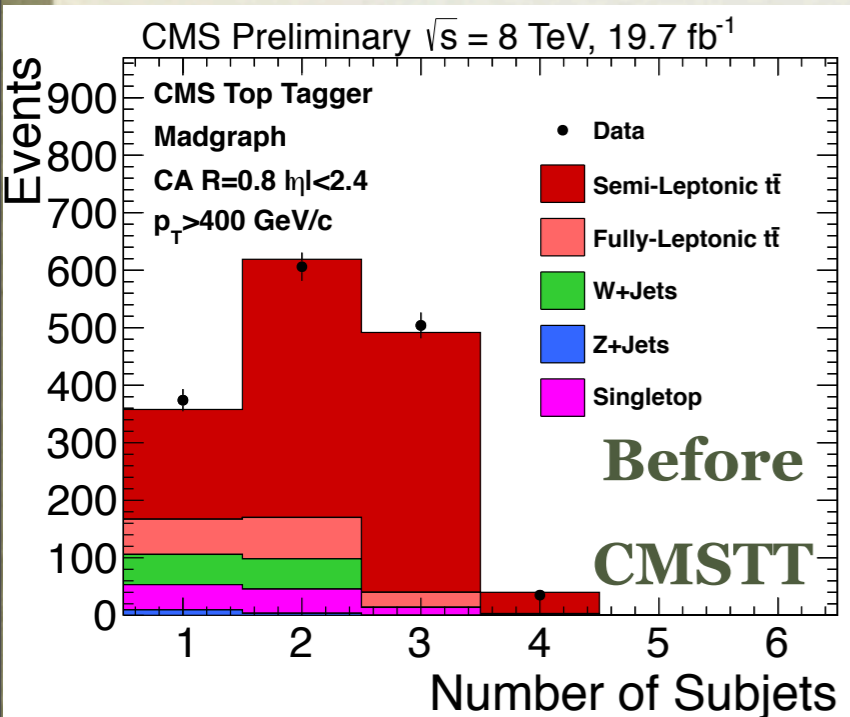
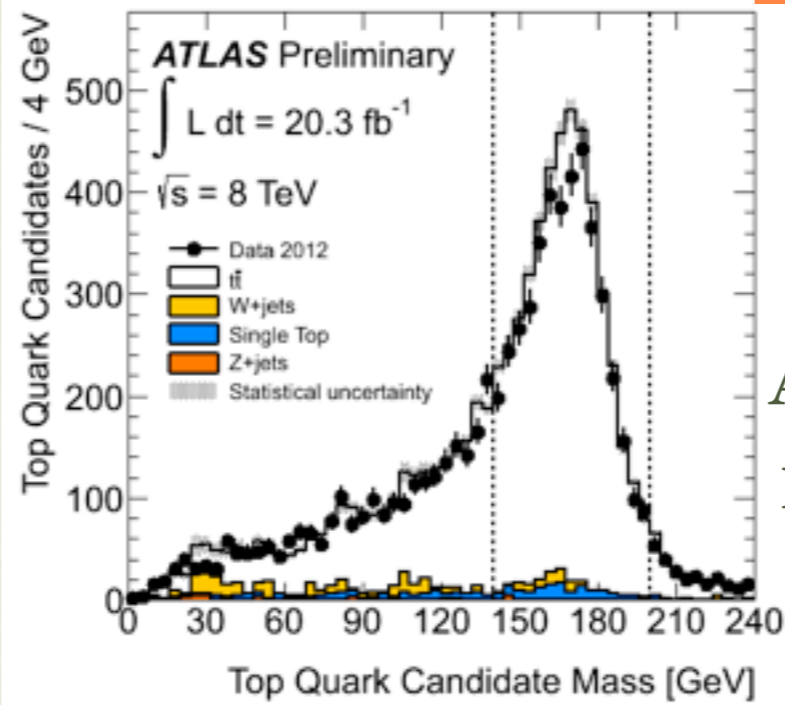
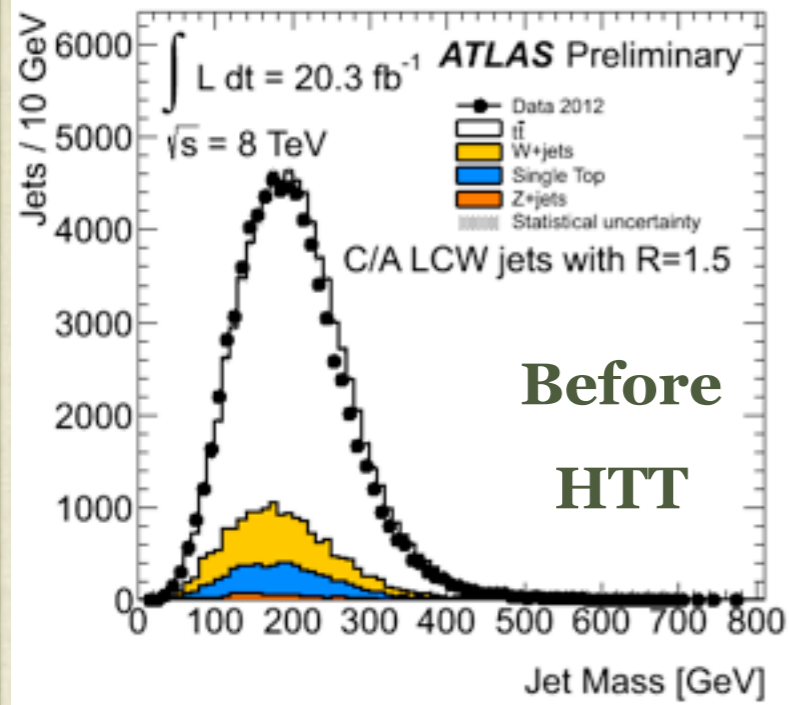
(f) constituents of the five jets from the previous step re-clustered into exactly three jets for testing mass ratios



# CMS & HEP TAGGERS IN DATA

*ATLAS-CONF-2013-084*

*CMS PAS JME-13-007*

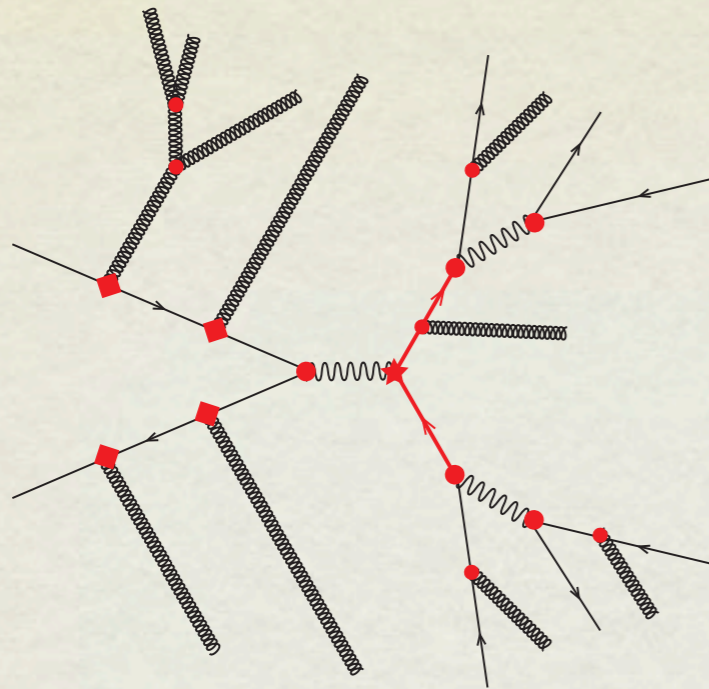


# SHOWER DECONSTRUCTION

ATLAS-CONF-2014-003

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1402.1189



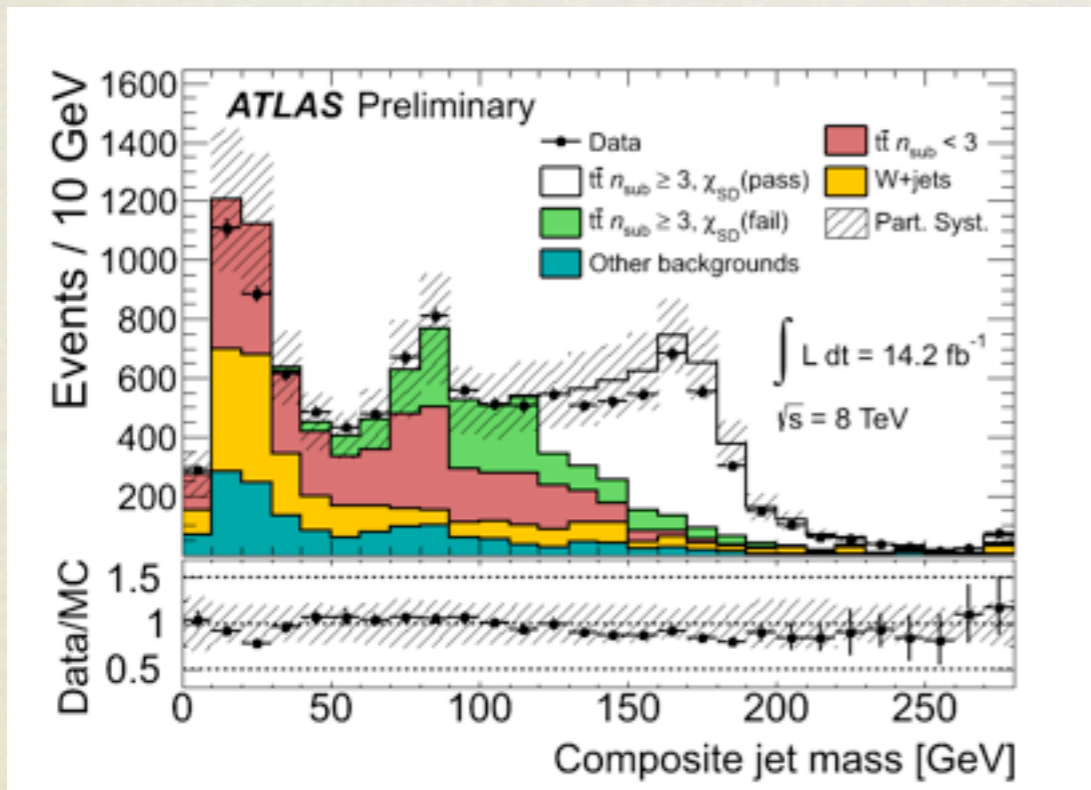
- Assign signal and background probabilities to large-R jet:

$$\mathcal{P}(\{k\}_N, \text{model}) = \sum_h \mathcal{P}(\{k\}_N, \text{model}, h)$$

- Obtain likelihood:

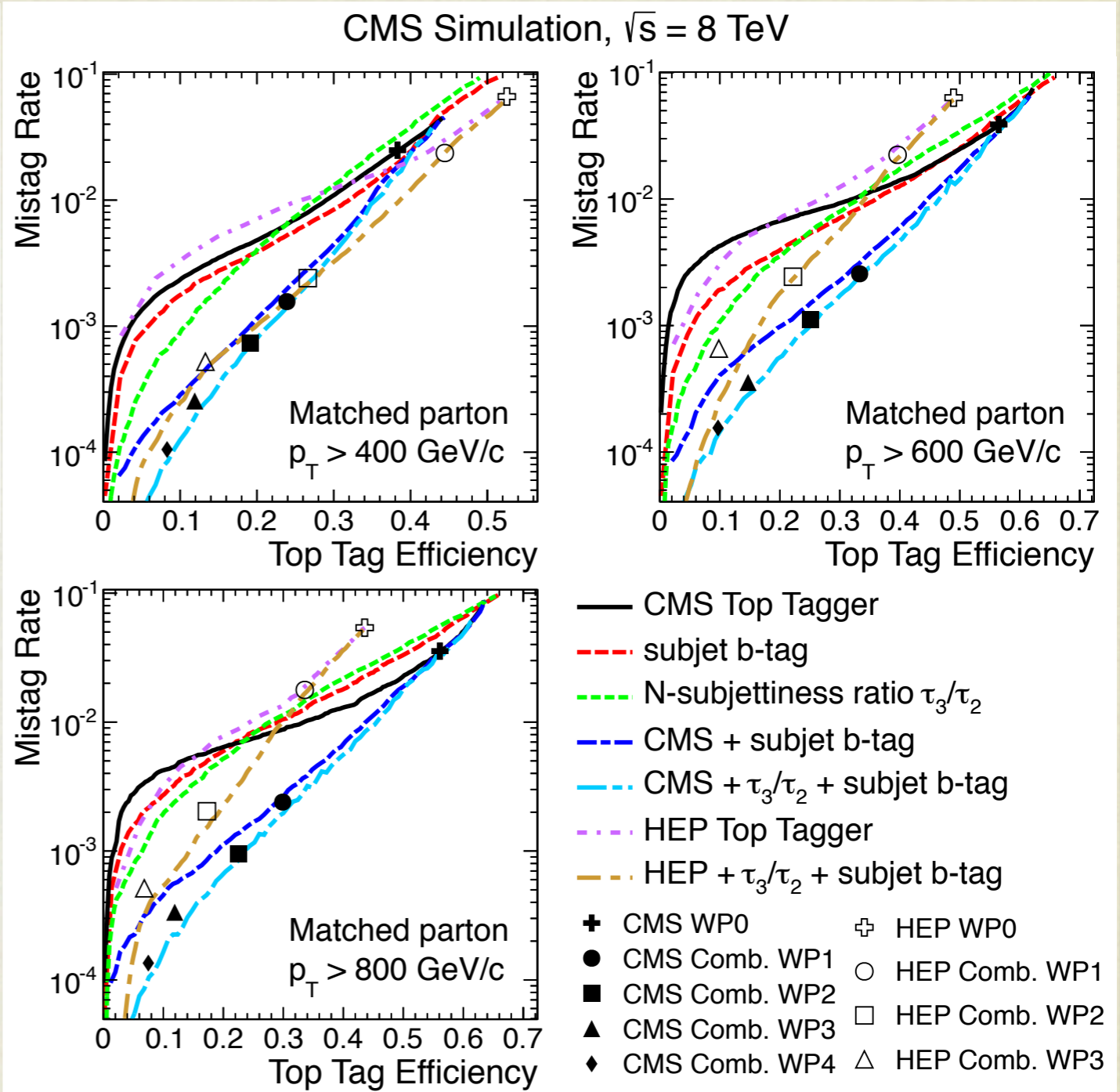
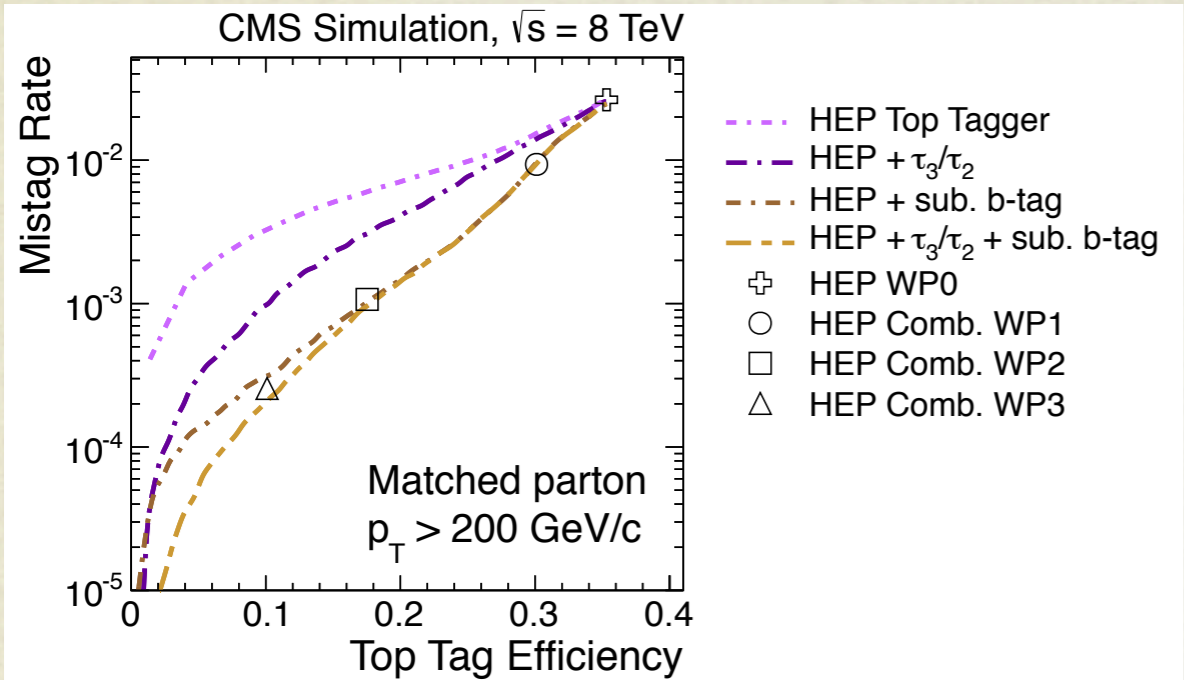
$$\chi = \frac{\mathcal{P}(\{k\}_N, \text{signal})}{\mathcal{P}(\{k\}_N, \text{background})}$$

- Start with AntiKt R=1.0 large-R jet and re-cluster with CA, R=0.2 (Microjets)
- Use Matrix element + parton shower with Sudakov factors to generate possible event histories



# CMS ROCS

**CMS PAS JME-13-007**



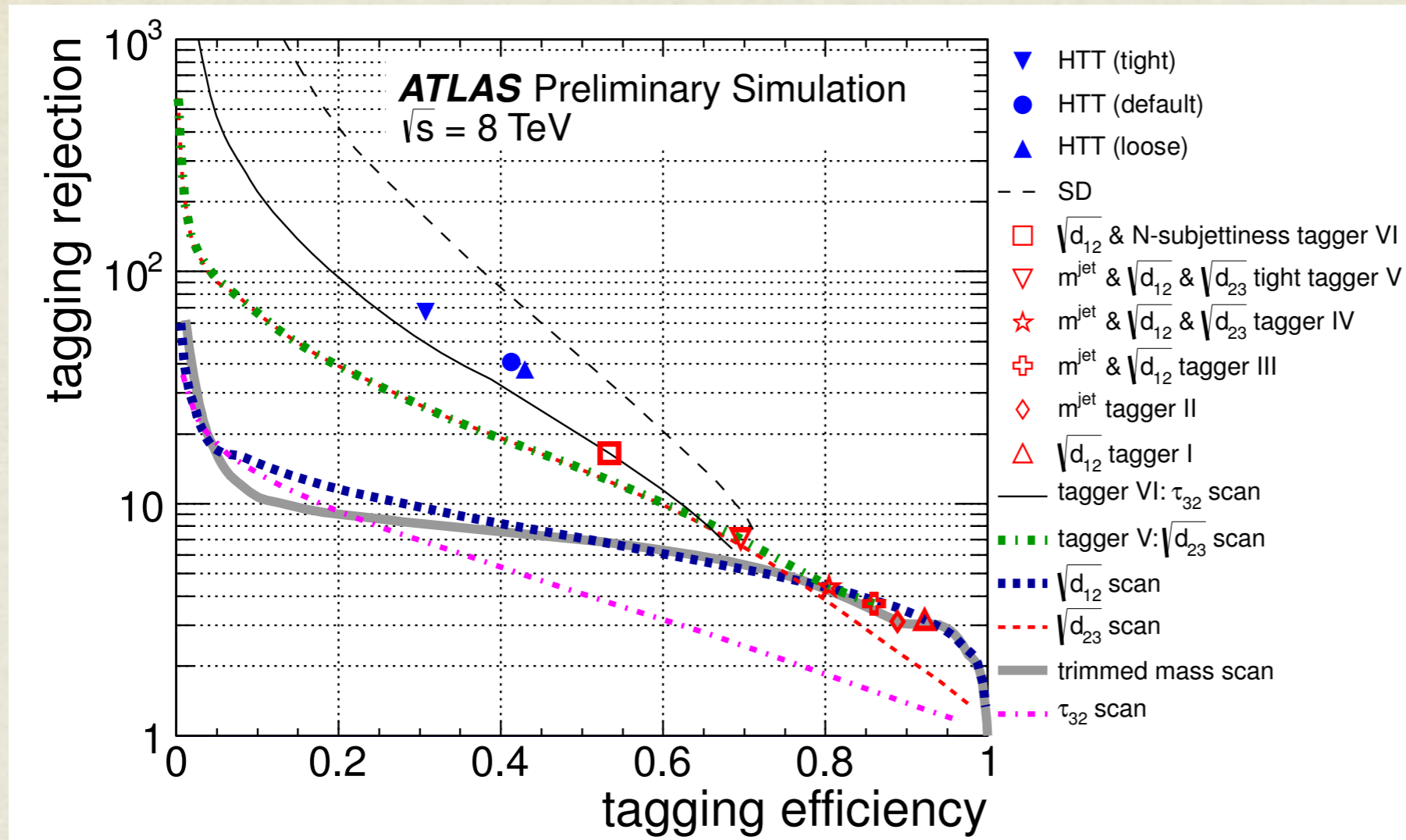
**Denominator:** jets matched to true top/  
quark/gluon

**Numerator:** jets from Denominator that  
fulfill tagging criteria

# ATLAS ROCS

ATLAS-CONF-2013-084

ATLAS-CONF-2014-003



Only using large-R jets  
with  $p_T > 550 \text{ GeV}$

Figure 7: Comparison of expected top jet tagging efficiency and light quark/gluon jet rejection. All substructure taggers and scans use trimmed anti- $k_T$   $R = 1.0$  jets, except the HEPTopTagger (HTT) that uses  $C/A$   $R = 1.2$ . The same  $Z' \rightarrow t\bar{t}$ ,  $m_{Z'} = 1.75 \text{ TeV}$  signal samples and multijet background samples and selection are used for all taggers. Systematic uncertainties are not considered for any of the algorithms.

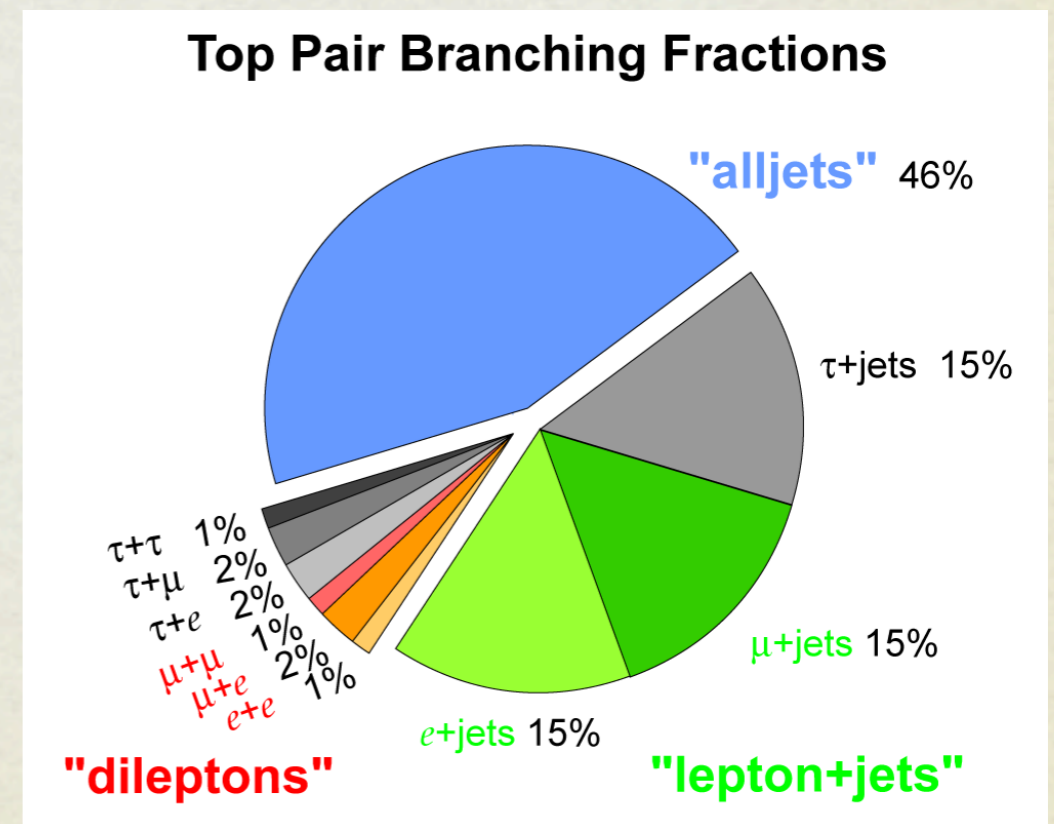
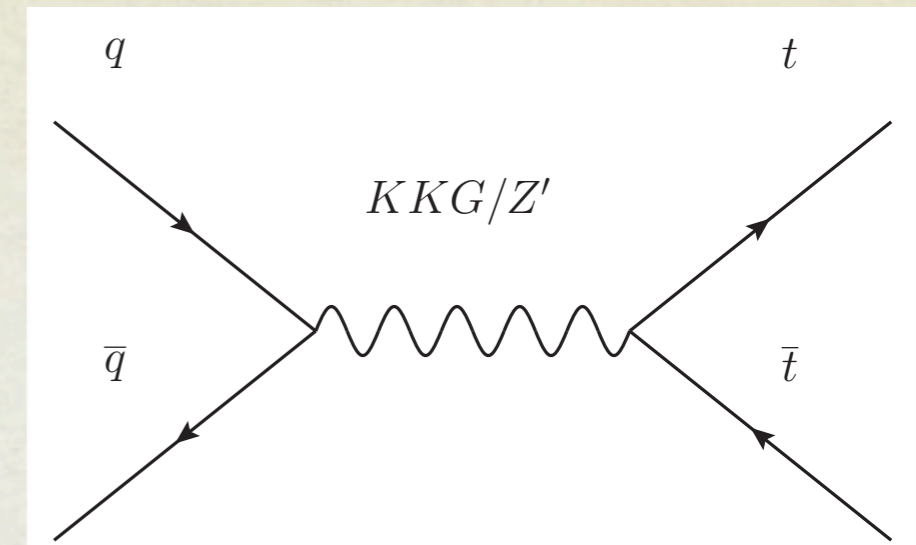
# PHYSICS RESULTS

# RESONANCES

1309.2030

ATLAS-CONF-2013-052

- Search for new particles decaying to a **pair of top** quarks
- Look for an **excess** over SM production in the **di-top** invariant **mass** distribution
- Benchmark models:
  - **Z' boson**
    - Leptophobic topcolor model
    - *Phys. Rev. D 49 (1994) 4454*
  - **Kaluza-Klein Gluon (KKG)**
    - Colored resonance
    - Randall-Sundrum extra dimension models
    - *Phys. Rev. D 76 (2007) 115016*



# RESONANCES II

1309.2030

ATLAS-CONF-2013-052

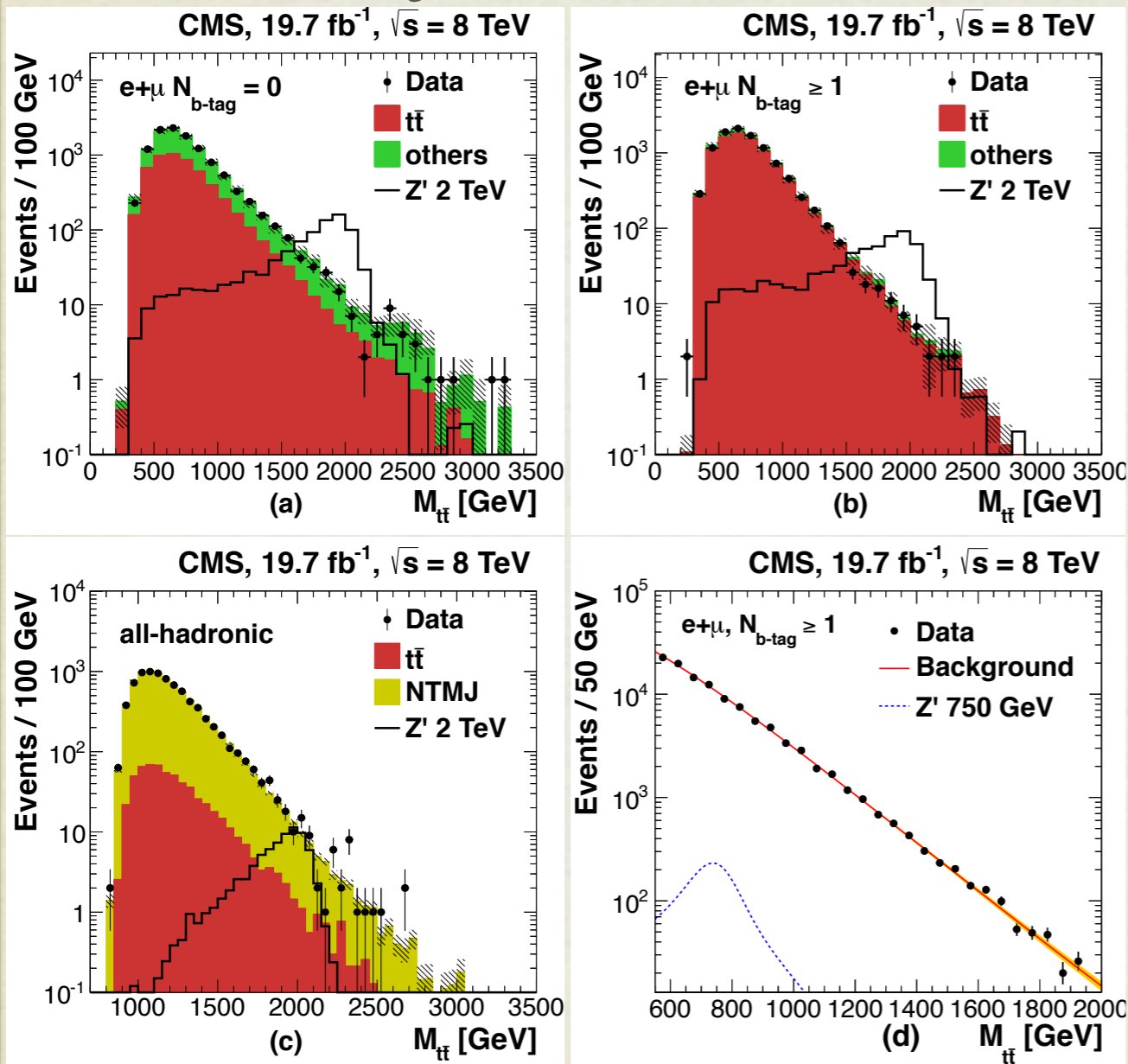
- CMS Lepton+Jets (full 2012 data: 1309.2030)
  - **Resolved:** electron/muon + missing energy + 2 jets (AntiKt, R=0.5) + *b*-tags
  - **Boosted:** electron/muon + missing energy + 1 CMS-top-tagged jet + optional *b*-tags
  - Only combined Lepton+Jets Boosted/Resolved + All Hadronic limit
- CMS All Hadronic (full 2012 data: 1309.2030)
  - 2 CMSTopTagger candidates
- ATLAS Lepton+Jets (14 fb<sup>-1</sup>: ATLAS-CONF-2013-052)
  - **Resolved:** electron/muon + missing energy + 3-4 jets (AntiKt, R=0.4) + 1 *b*-tag
  - **Boosted:** electron/muon + missing energy + 1 jet (AntiKt, R=0.4) + 1 trimmed AntiKt R=1.0 jet with cuts on kT-splitting scale + 1 *b*-tag
  - Only combined Lepton+Jets Boosted/Resolved limit
- ATLAS All Hadronic (full 2011:1211.2202, 2012 results not public yet)
  - 2 HEPTopTagger candidates or 2 template-method candidates + 2 *b*-tags

# RESONANCES III

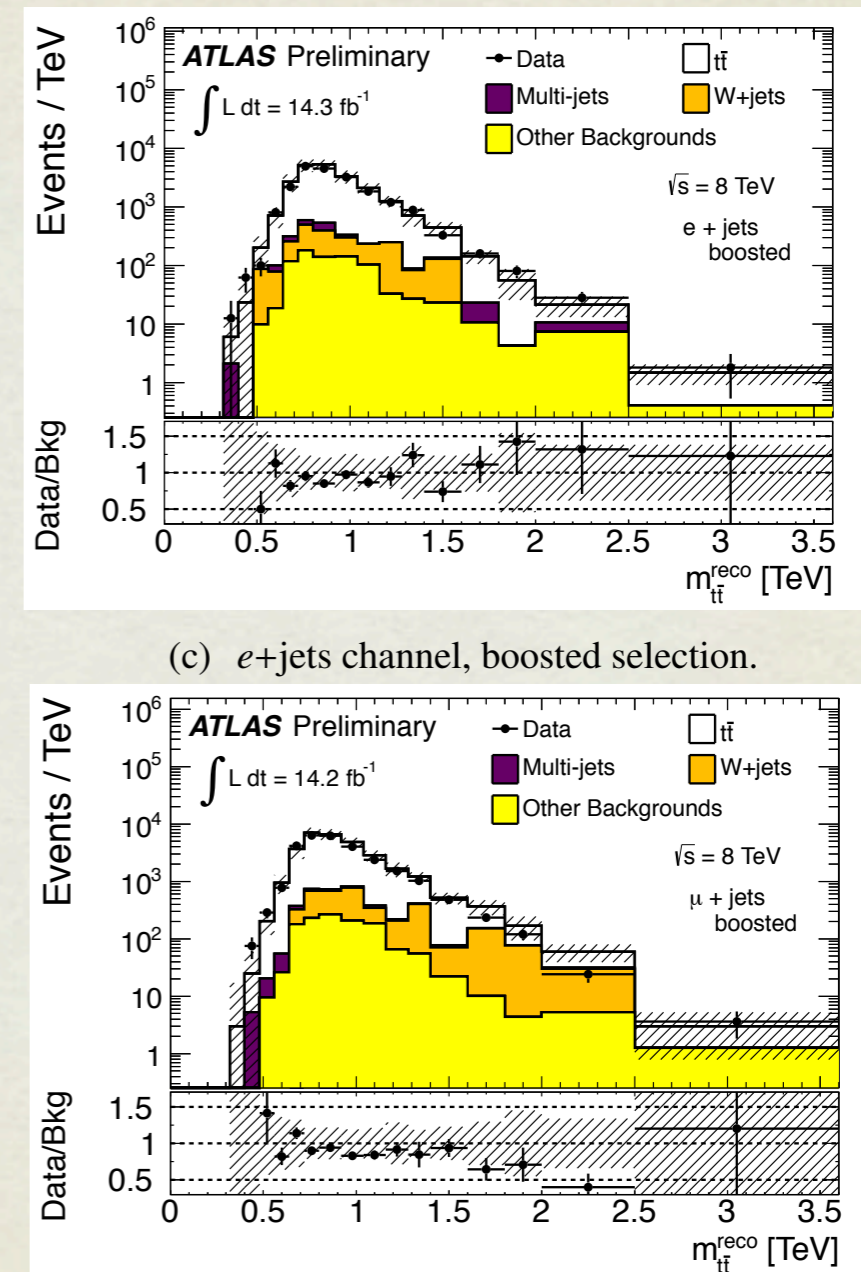
1309.2030

ATLAS-CONF-2013-052

CMS, full 2012 (boosted lepton  
+jets and all hadronic)



ATLAS,  $14 \text{ fb}^{-1}$ , lepton+jets



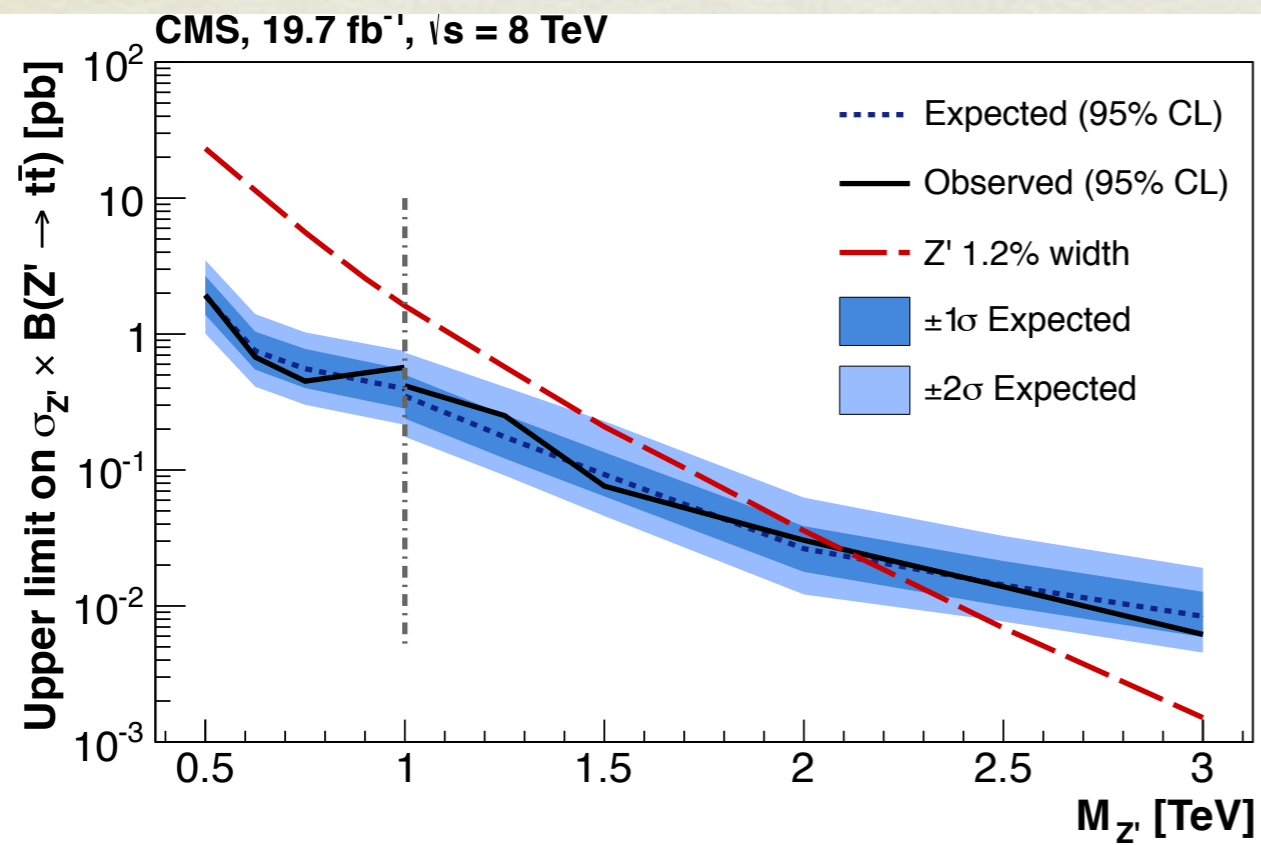


# RESONANCES IV

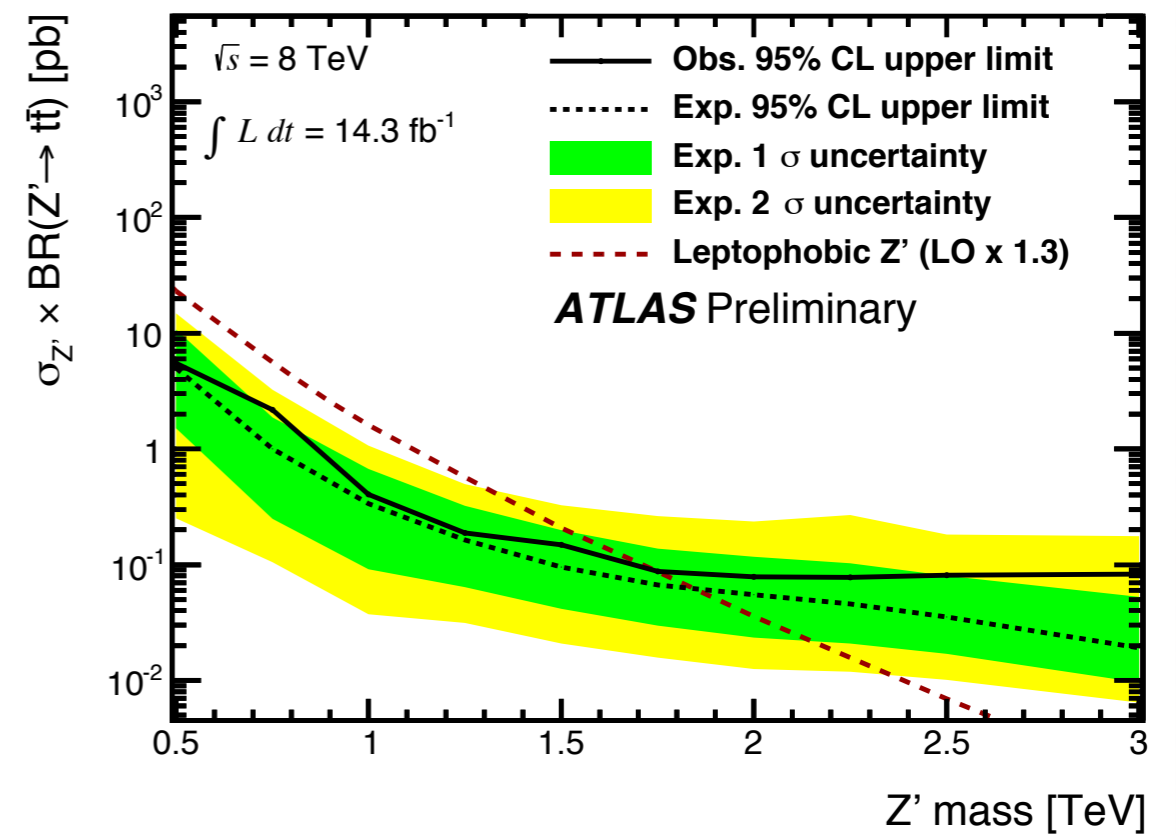
1309.2030

ATLAS-CONF-2013-052

CMS, full 2012 (lepton+jets  
and all hadronic)



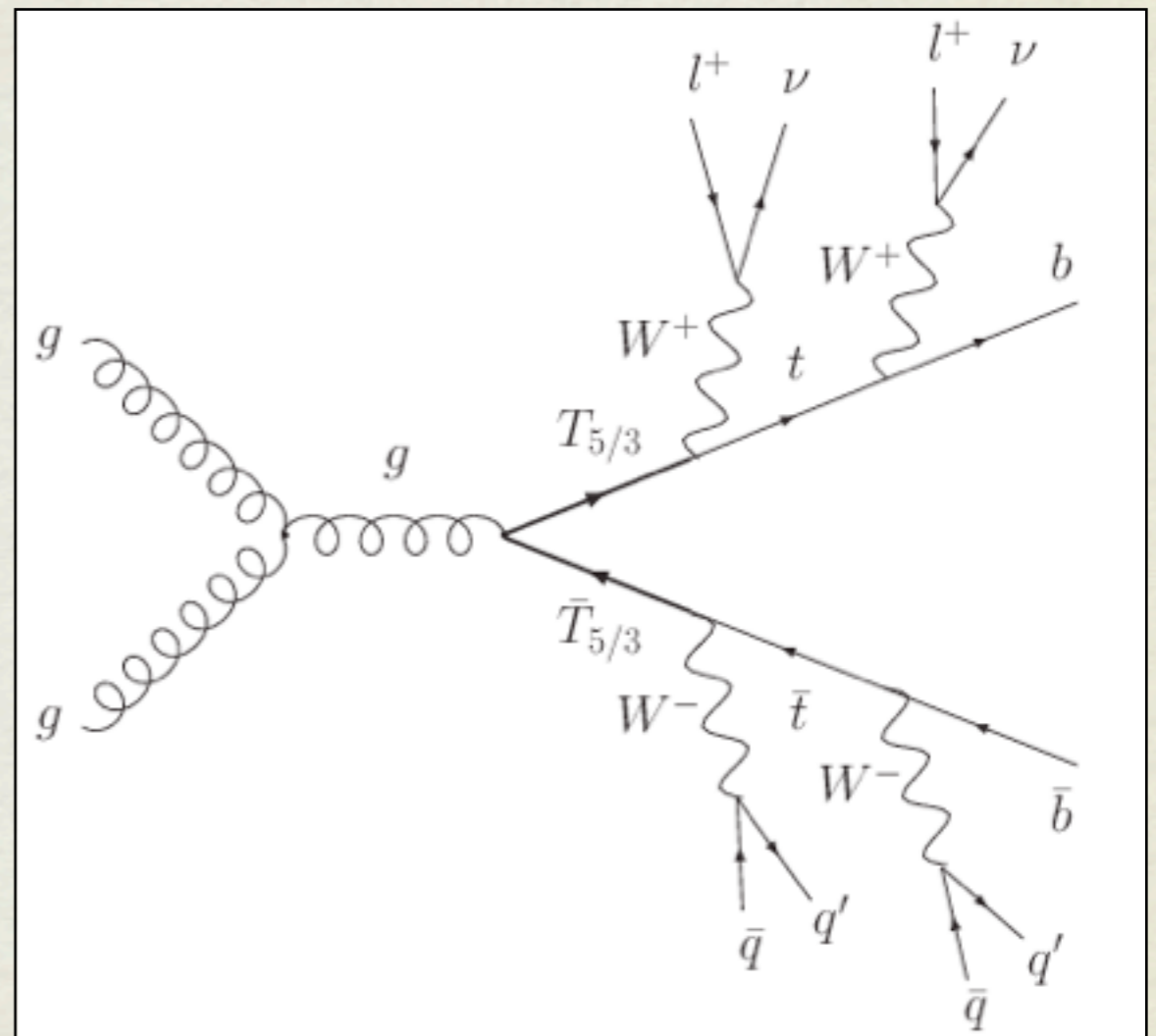
ATLAS, 14 fb<sup>-1</sup>, lepton+jets



# TOP-QUARK PARTNERS

1312.2391

- Di-top **resonance** searches are the main **testing ground** for boosted-top techniques
- Example for another analysis using boosted tops:
  - Search for exotic **top-quark partners** with **charge 5/3** in the same-sign dilepton final state
  - **Pair** production
  - Decay to **tW**

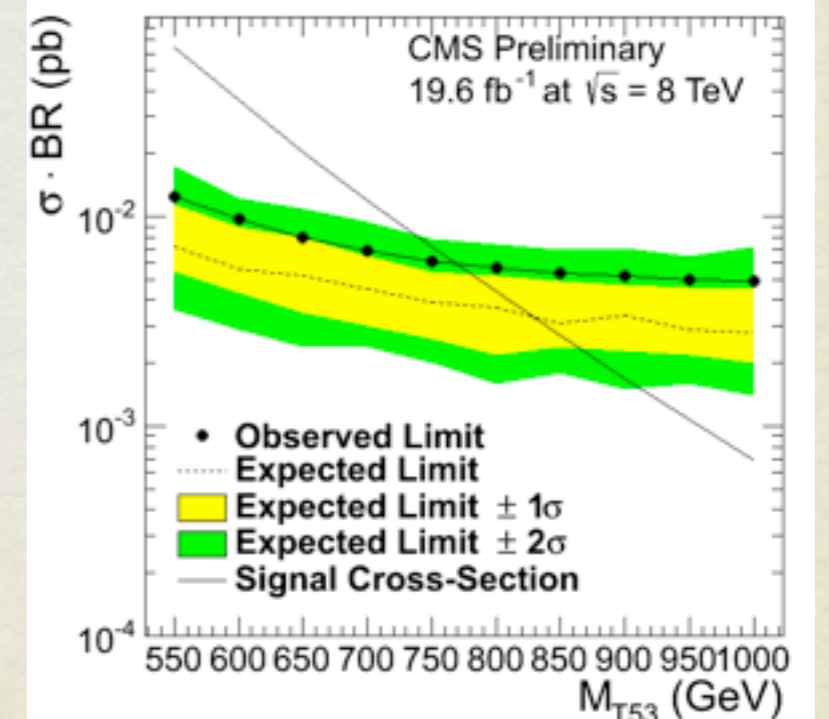
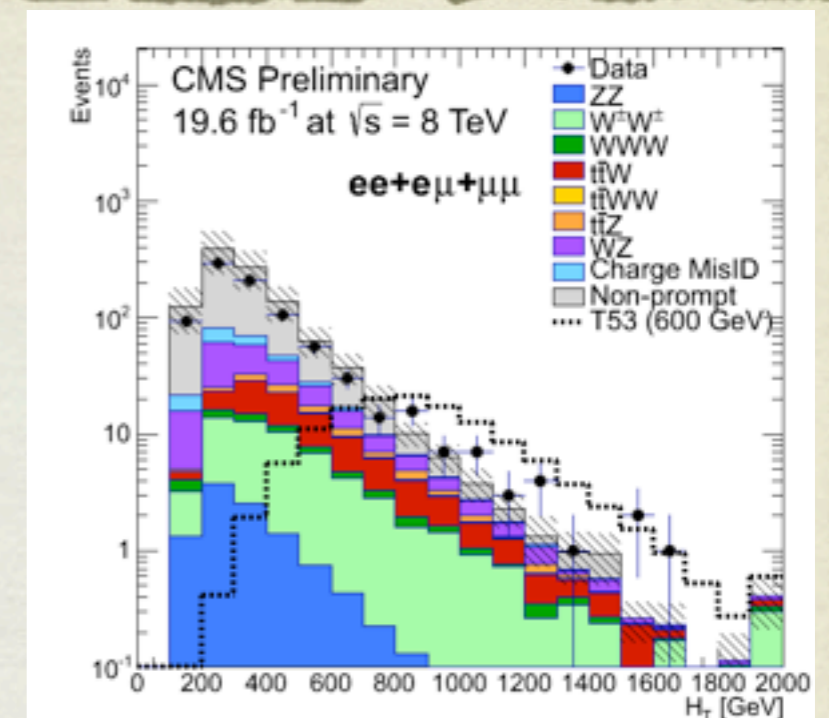


# TOP-QUARK PARTNERS II

1311.7667

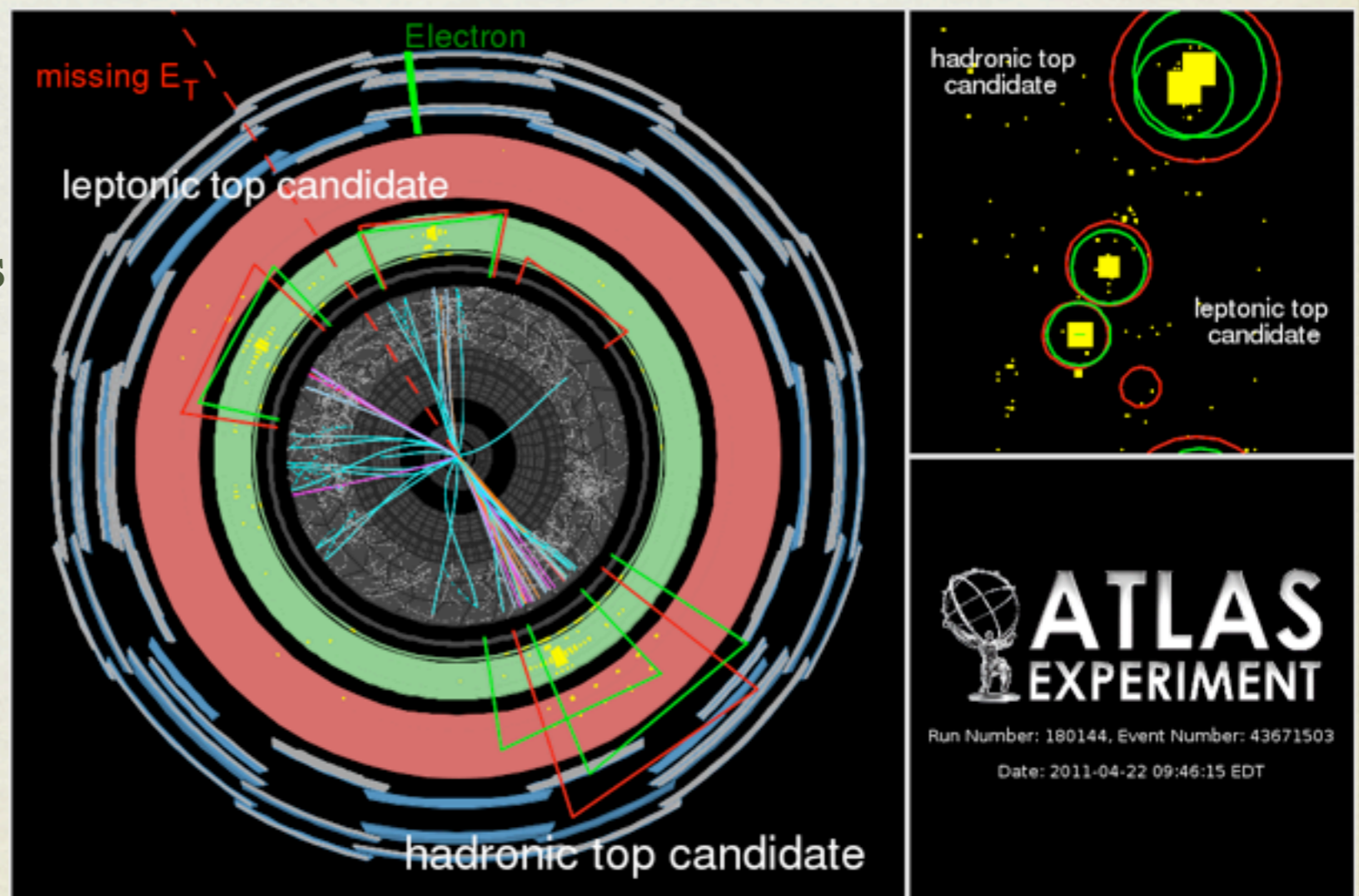
1312.2391

- The **main analysis variable** is  $H_T$  = sum of selected jet and lepton  $p_T$
- **Defaults** jets are reconstructed with **AntiKt** ( $R=0.5$ )
- If there are **CA** ( $R=0.8$ ) top (CMSTopTagger) or W jets these are used for calculation of  $H_T$  **instead**
- Improves limit by **10-20%** for masses from **800 GeV to 1 TeV**
- The CMSTopTagger is also used as input for a BDT in a search for vector-like T quark with charge 2/3



# CONCLUSIONS

- Boosted reconstruction techniques aim to improve the **mass resolution** and **identify** top-quark decays using the **substructure** of large-R jets
- **Many different** boosted-top reconstruction techniques are being **tested** by ATLAS and CMS
- Top-tagging is essential for **boosted resonances** and is starting to play a role for other searches for BSM physics



# BACKUP

# JET CLUSTERING

## I

1112.4441

- Two approaches:
  - **Cone based** - Find stable axes of particles flow (ie. SisCone: 0704.0292 )
  - **Sequential** - Pairwise combination of clusters according to a distance measure:

$k_T$	$d_{j_1 j_2} = \frac{\Delta R_{j_1 j_2}^2}{D^2} \min(p_{T,j_1}^2, p_{T,j_2}^2)$	$d_{j_1 B} = p_{T,j_1}^2$
Cambridge/Aachen	$d_{j_1 j_2} = \frac{\Delta R_{j_1 j_2}^2}{D^2}$	$y_{j_1 B} = 1$
anti- $k_T$	$d_{j_1 j_2} = \frac{\Delta R_{j_1 j_2}^2}{D^2} \min\left(\frac{1}{p_{T,j_1}^2}, \frac{1}{p_{T,j_2}^2}\right)$	$d_{j_1 B} = \frac{1}{p_{T,j_1}^2} \cdot$

# JET CLUSTERING

## II

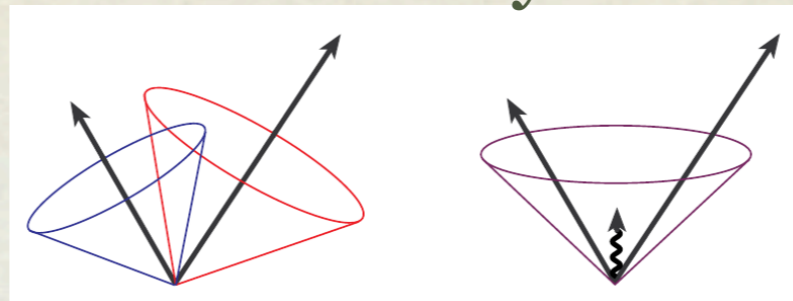
hep-ex/0005012

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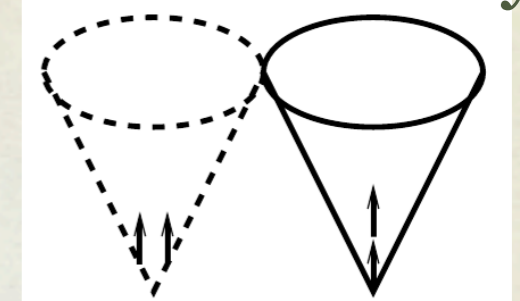
- Important Properties:

- Infrared safety
- Collinear safety

### IR safety



### Collinear Safety

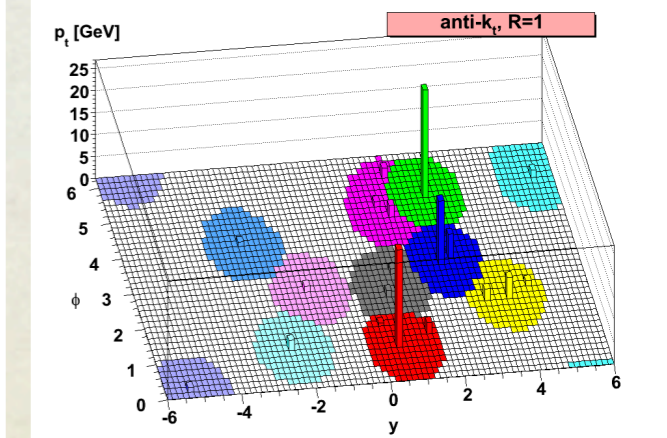
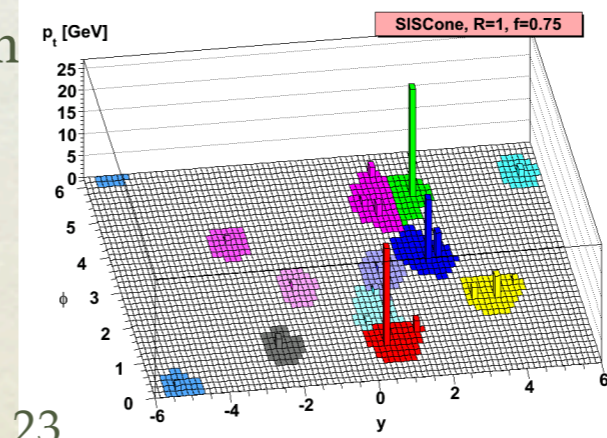
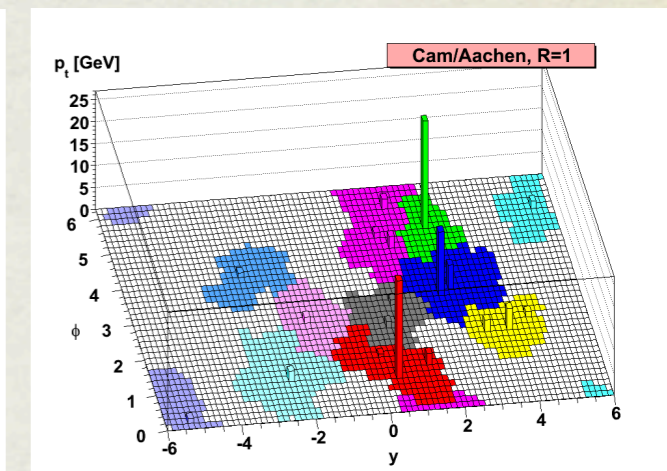
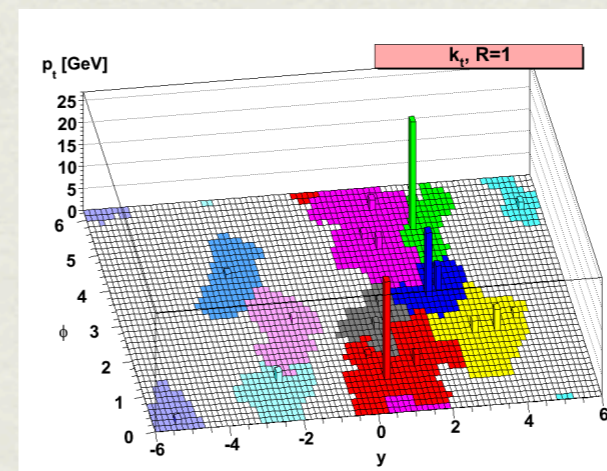


- Most commonly used is **AntiKt**

- $R=0.5$  and  $0.7$  for CMS  
 $R=0.4$  and  $0.6$  for ATLAS
- Resilient, circular boundaries

- For large- $R$  jet studies **CA** and **kT** are very comm

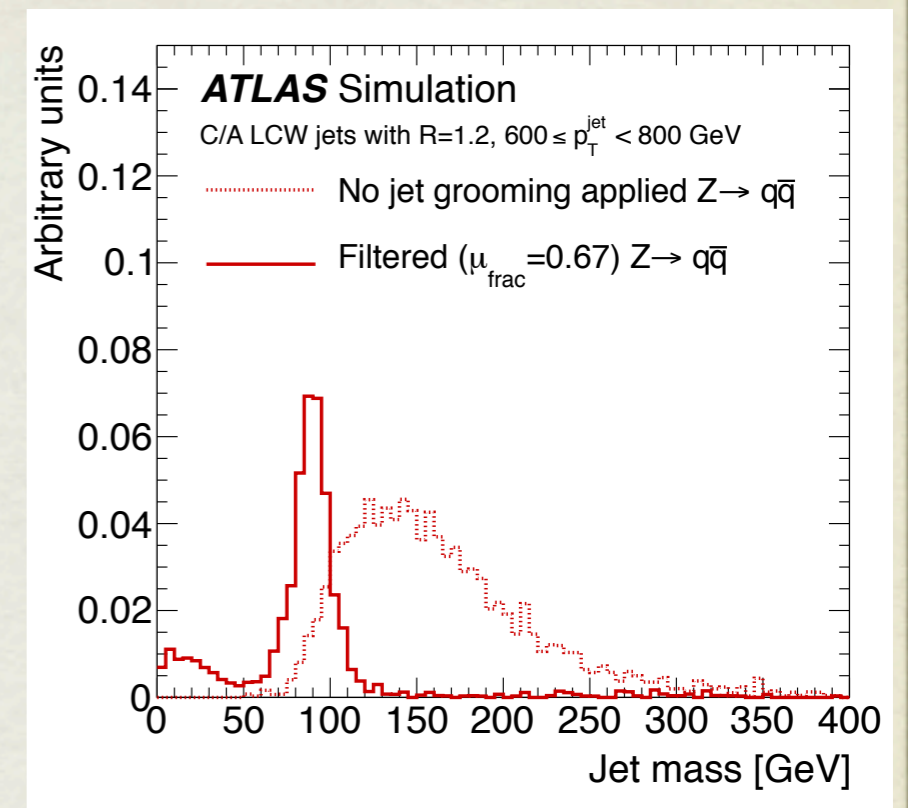
- Substructure can be better interpreted in terms of QCD



# GROOMING

1306.4945

- Idea: Start with **large-R jet** ( $R=0.8-1.8$ ) and **remove** constituents from
  - **pile-up**
  - **rest** of the event
- Arrive at the **mass** of the **initial** hard particle (Z, t, Higgs,...)
- Different techniques:
  - Mass Drop
  - Filtering
  - Trimming
  - Pruning

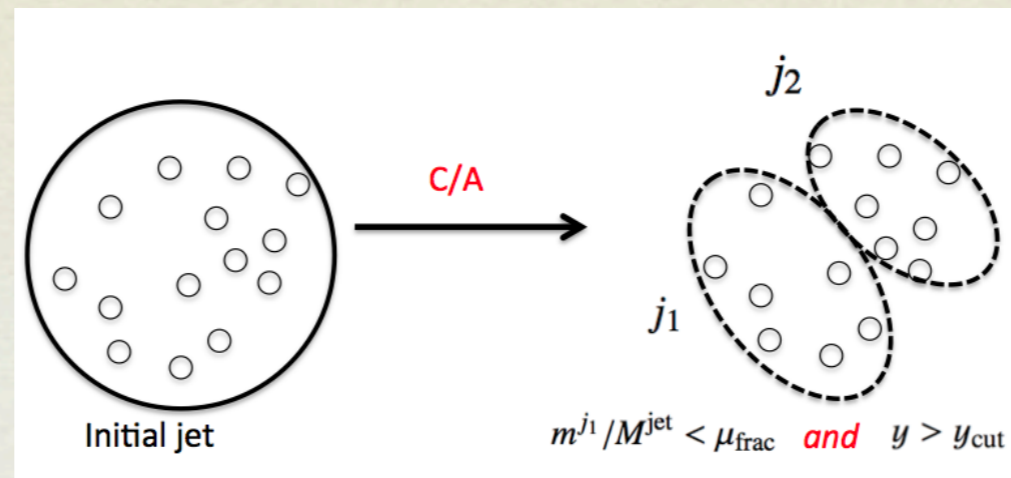




# MASS DROP

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0802.2470



- Mass Drop:
  - **Undo** last **clustering** step ( $j \rightarrow j_1 + j_2$ ,  $m_{j_1} > m_{j_2}$ )
  - If there was a **large mass drop** and the splitting is **not** too **asymmetric** (default:  $\mu=0.67$ ,  $y=0.09$ ):

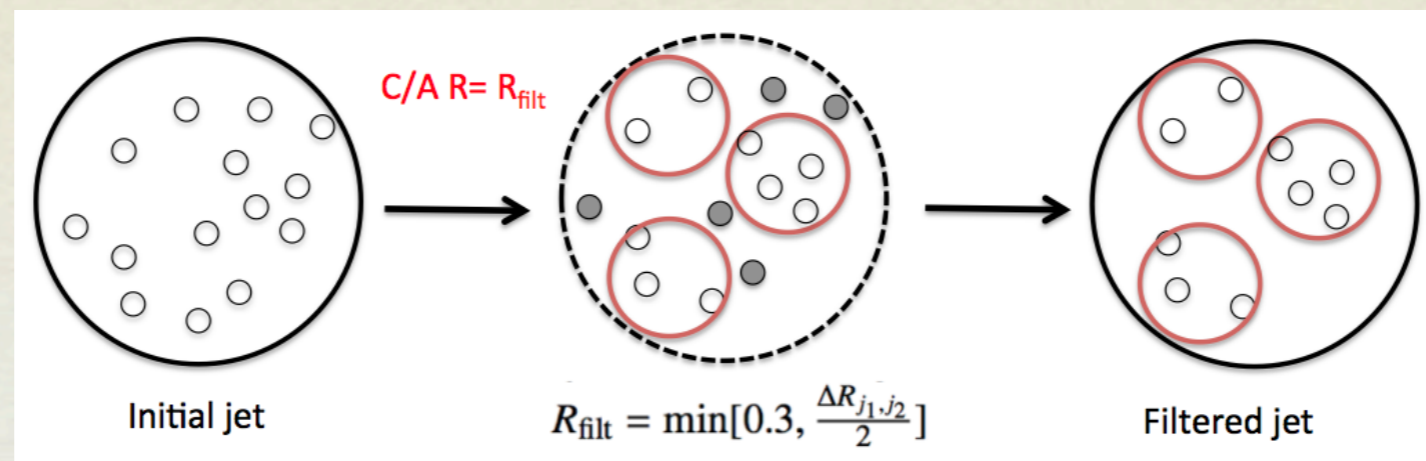
$$m^{j_1}/m^{\text{jet}} < \mu_{\text{frac}} \quad \frac{\min(p_{tj_1}^2, p_{tj_2}^2)}{m_j^2} \Delta R_{j_1, j_2}^2 > y_{\text{cut}}$$

- Then consider  $j_1, j_2$  as interesting substructure and **exit** the loop
- Else: **Repeat** with  $j_1$

# FILTERING

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0802.2470



- Take constituents and **re-cluster** using the CA algorithm and a **smaller** distance parameter:

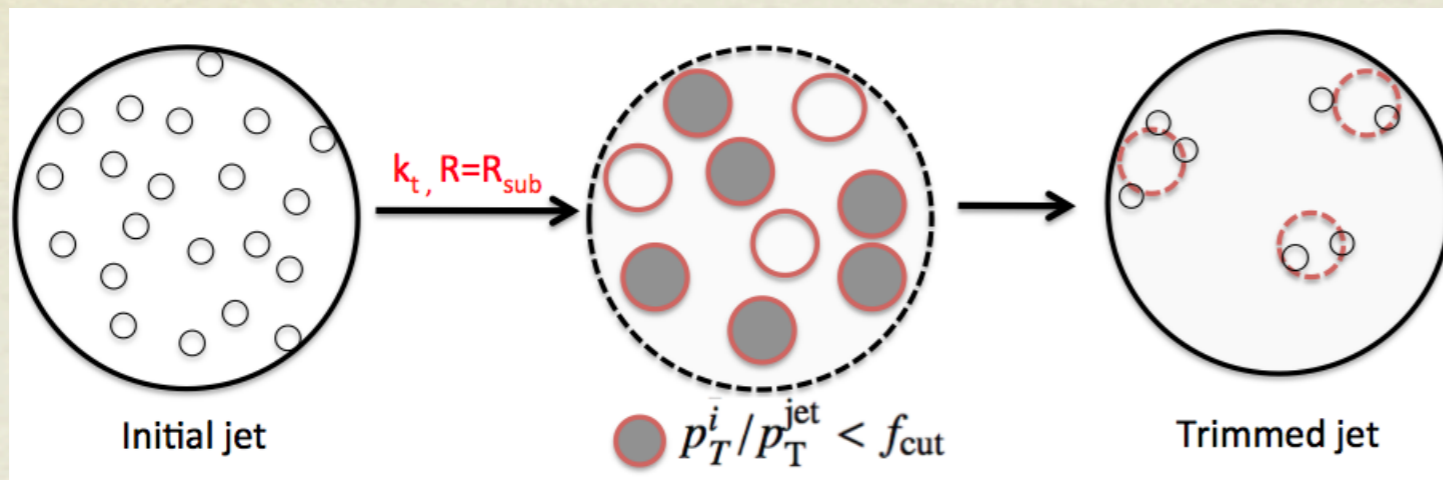
$$R_{\text{filt}} = \min[0.3, \Delta R_{j_1, j_2} / 2]$$

- Usually use  $j_1$  and  $j_2$  resulting from **mass-drop** as input
- Keep **n-leading** (in pT) subjects (typically 3..5)
- Dynamic filtering radius increases sensitivity to collimated decays (high pT)

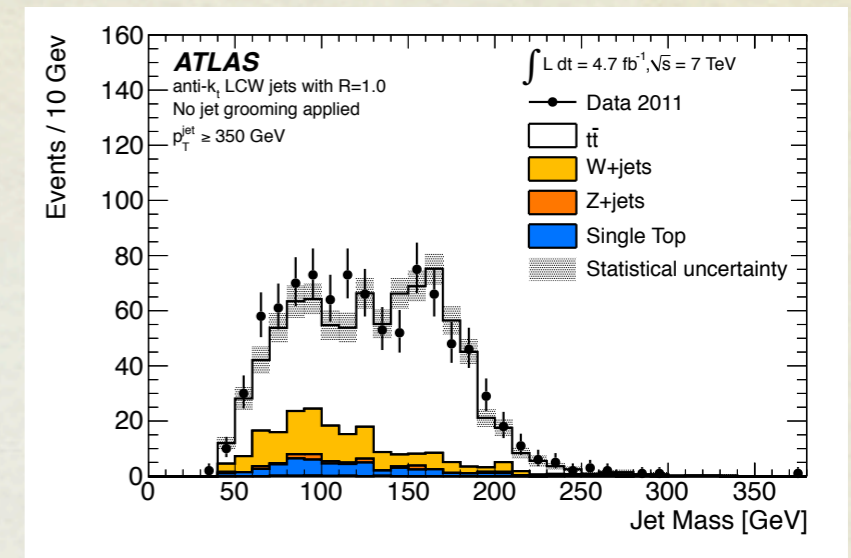
# TRIMMING

1306.4945

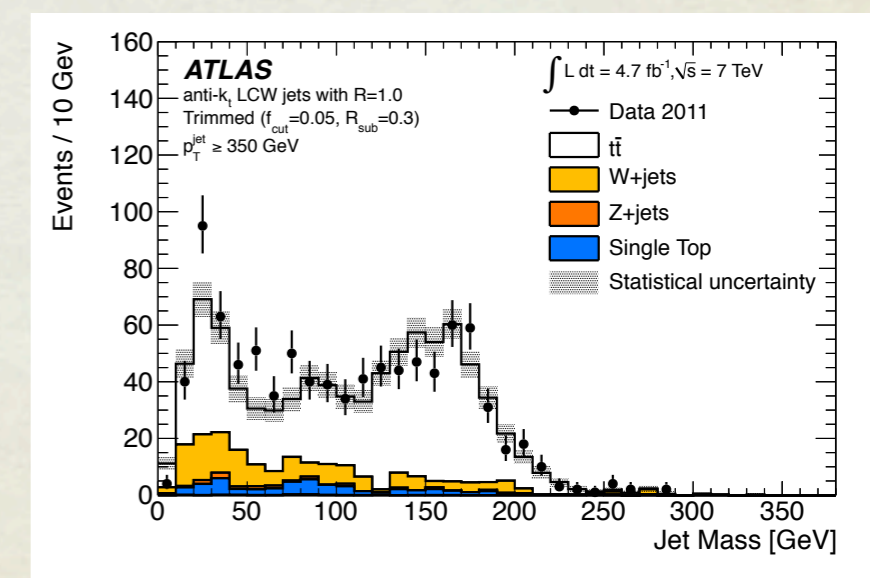
0912.1342



- **Radiation** from..
  - pile-up
  - multi-parton interactions
  - initial-state radiation
- ..is typically **softer** than decay products of hard interaction
- **Recluster** using kT algorithm with  $R=R_{sub}$  (eg. 0.2)
- **Remove** all subjets with  $p_T/p_{T\_Jet} < f\_cut$  (eg. 0.03)



(a) anti- $k_t$ ,  $R = 1.0$ , ungroomed



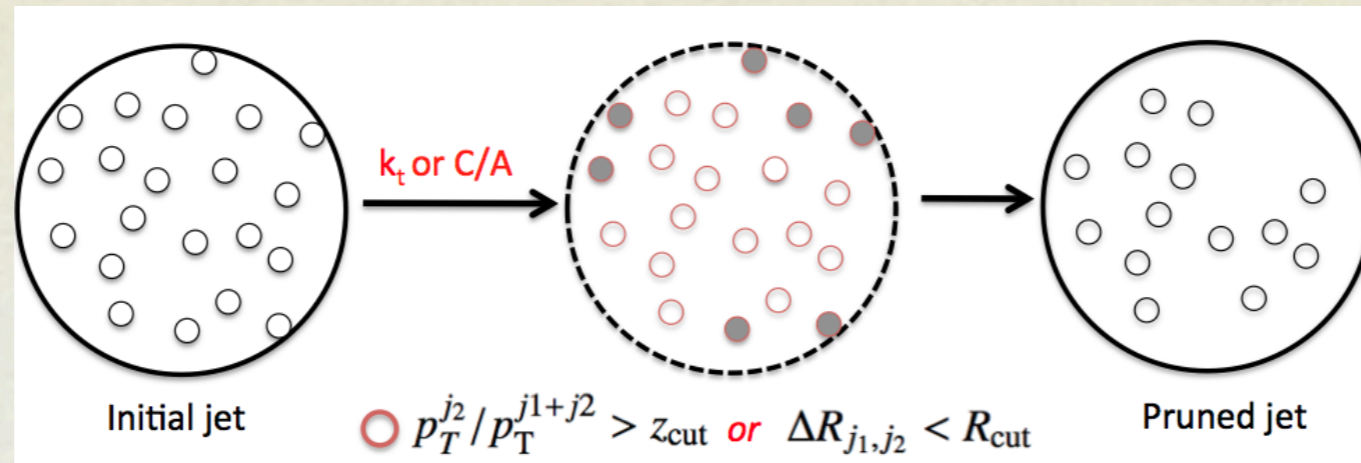
(b) anti- $k_t$ ,  $R = 1.0$ , trimmed

# PRUNING

1306.4945

0903.5081

0912.0033

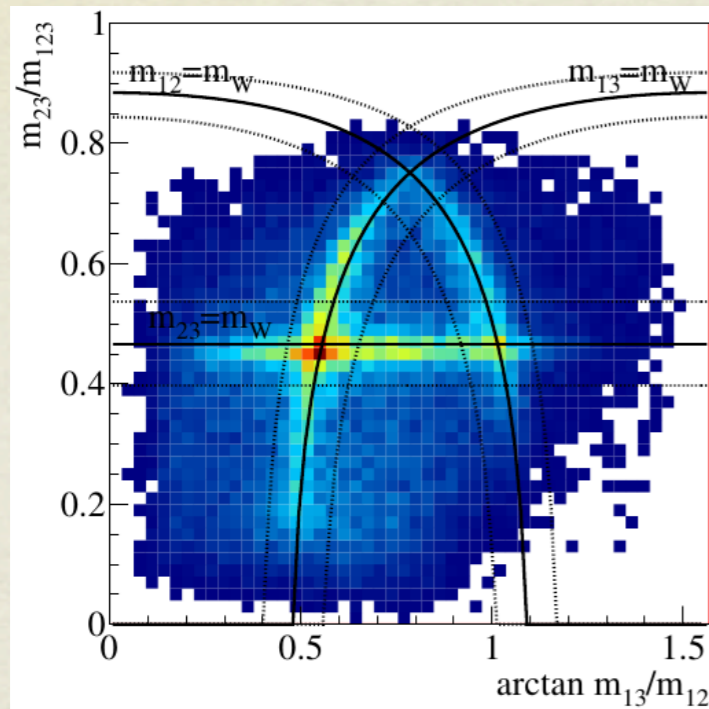


- Similar to trimming, also **removes small pT** constituents
- Additional **veto** on **wide-angle** radiation
- Rerun kT/CA clustering and apply criteria at each stage
- If one of  $p_T^{j2} / p_T^{j1+j2} > z_{\text{cut}}$  or  $\Delta R_{j1,j2} < R_{\text{cut}} \times (2m^{\text{jet}} / p_T^{\text{jet}})$  is satisfied: **merge**
- Otherwise: **discard j2 and continue**

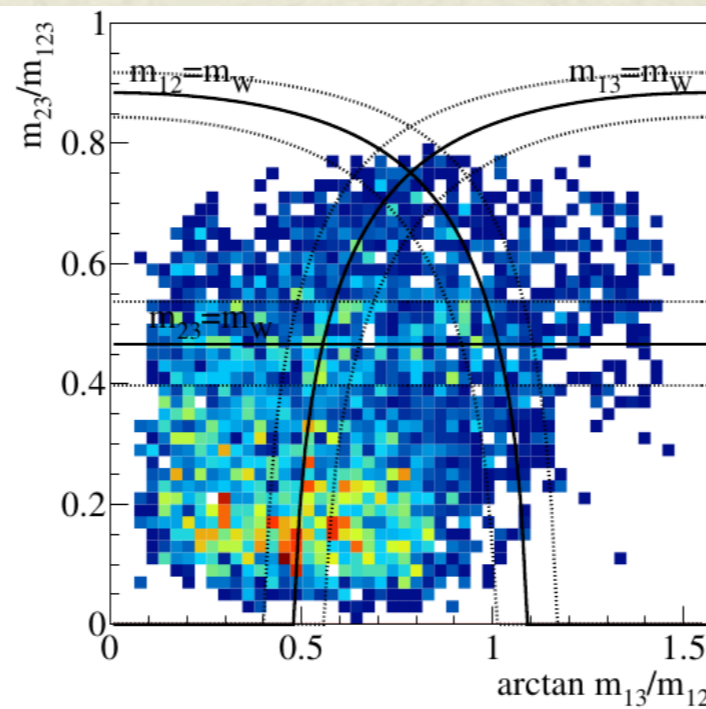
# HTT ALGORITHM

0910.5472

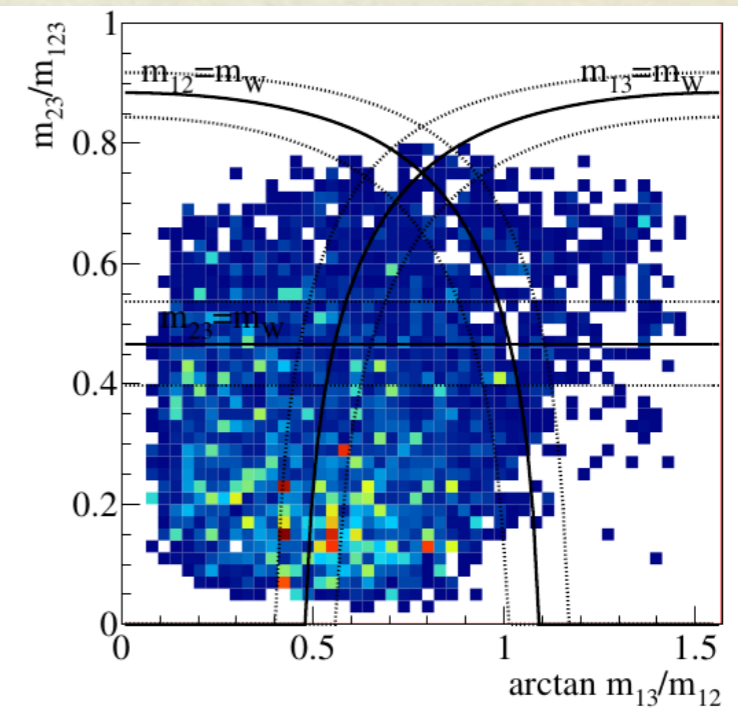
1006.2833



Top



W



QCD

$$0.2 \arctan \frac{m_{13}}{m_{12}} < 1.3 \text{ and } R_{min} < \frac{m_{23}}{m_{123}} < R_{max}$$

$$R_{min}^2 \left( 1 + \left( \frac{m_{13}}{m_{12}} \right)^2 \right) < 1 - \left( \frac{m_{23}}{m_{123}} \right)^2 < R_{max}^2 \left( 1 + \left( \frac{m_{13}}{m_{12}} \right)^2 \right) \text{ and } \frac{m_{23}}{m_{123}} > 0.35$$

$$R_{min}^2 \left( 1 + \left( \frac{m_{12}}{m_{13}} \right)^2 \right) < 1 - \left( \frac{m_{23}}{m_{123}} \right)^2 < R_{max}^2 \left( 1 + \left( \frac{m_{12}}{m_{13}} \right)^2 \right) \text{ and } \frac{m_{23}}{m_{123}} > 0.35.$$

# TEMPLATE OVERLAP METHOD

1211.2202

1006.2035

1212.2977

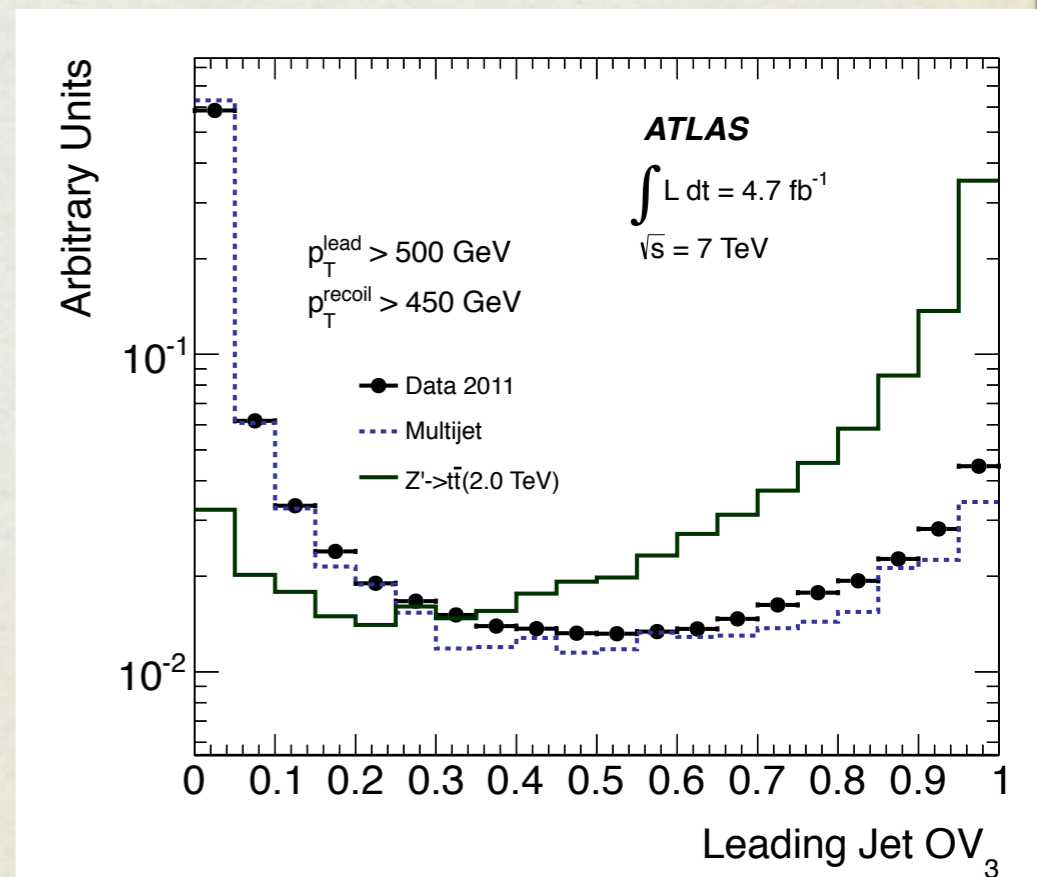
1112.1957

- Calculate templates (sets of “n” four-momenta which satisfy the kinematic constraints of the decay products of a boosted massive jet)
- Test the agreement of the jet constituents with each signal template
- Important difference to MEM: no background hypothesis

$$Ov^{(F)}(i, j) = \max_{TS} \exp \left[ - \sum_f \frac{1}{2\sigma_f^2} \left( \sum_j (E_j - E_f) F(f, j) \right)^2 \right]$$

TS - template “space”  
 f - template momentum  
 j - jet “constituent”  
 template resolution (typically  $E(f)/3$ )  
 The kernel F restricts the angular region around each template momentum

From Mihailo Backovic's talk @ Boost 2013



# ATLAS RESONANCES

**ATLAS-CONF-2013-052**

Systematic Uncertainties	Resolved selection yield impact [%]		Boosted selection yield impact [%]	
	total bkg.	Z'	total bkg.	Z'
Luminosity	2.9	4	3.3	4
PDF	2.9	5	6	2.9
ISR/FSR	0.2	–	0.7	–
Parton shower and fragm.	5	–	4	–
$t\bar{t}$ normalization	8	–	9	–
$t\bar{t}$ EW virtual correction	2.2	–	4	–
$t\bar{t}$ Generator	1.5	–	1.6	–
W+jets $b\bar{b}+c\bar{c}+c$ vs. light	0.8	–	1.0	–
W+jets $b\bar{b}$ variation	0.2	–	0.4	–
W+jets $c$ variation	1.1	–	0.6	–
W+jets normalization	2.1	–	1.0	–
Multi-Jet norm, $e$ +jets	0.6	–	0.3	–
Multi-Jet norm, $\mu$ +jets	1.8	–	0.3	–
JES, small-radius jets	6	2.2	0.7	0.5
JES+JMS, large-radius jets	0.3	4	17	3.3
Jet energy resolution	1.6	0.4	0.6	0.7
Jet vertex fraction	1.7	2.3	2.1	2.4
$b$ -tag efficiency	4	1.8	3.4	6
$c$ -tag efficiency	1.4	0.3	0.7	0.9
Mistag rate	0.7	0.3	0.7	0.1
Electron efficiency	1.0	1.1	1.0	1.0
Muon efficiency	1.5	1.5	1.6	1.6
All systematic uncertainties	31 14	9	22	9

# CMS RESONANCES

1309.2030

Table 1: Constraints used in the likelihood maximization. The  $M_{t\bar{t}}$  distributions of the boosted channels are combined into a single joint likelihood, imposing consistency of the various background and signal components.

	Resolved Semi- Leptonic	Boosted Semi- Leptonic	Boosted All- Hadronic
Constraints on normalization			
Luminosity [41]	2.6%	2.6%	2.6%
Pileup	6%	6%	6%
$t\bar{t}$ [42]	–	15%	15%
Parton distribution functions [43]	$1\sigma$	$1\sigma$	$1\sigma$
Single top	–	50%	–
W+light-flavor jets	–	50%	–
W+heavy-flavor jets	–	100%	–
Z+ jets	–	100%	–
Lepton selection	0.5-3.0%	0.5-3.0%	–
Top-tagging efficiency	–	–	9%
Constraints on shape			
$t\bar{t}$ renormalization, factorization and matching scales	–	variation by $\times 2$ and $\times 0.5$	
Jet energy scale	1–6%	1–6%	1–6%
Jet energy resolution	8–10%	8–10%	8–10%
b-tagging efficiency	2–8%	2–8%	–
b-tagging mis-ID	–	20%	–
Top-tagging mis-ID	–	–	5–20%
Signal and background pdf	$1\sigma$	–	–