

Beam test performance of the 2S prototype module for the High Luminosity Upgrade of the CMS Strip Tracker

Daive Braga on behalf of the CMS Collaboration

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Imperial College London



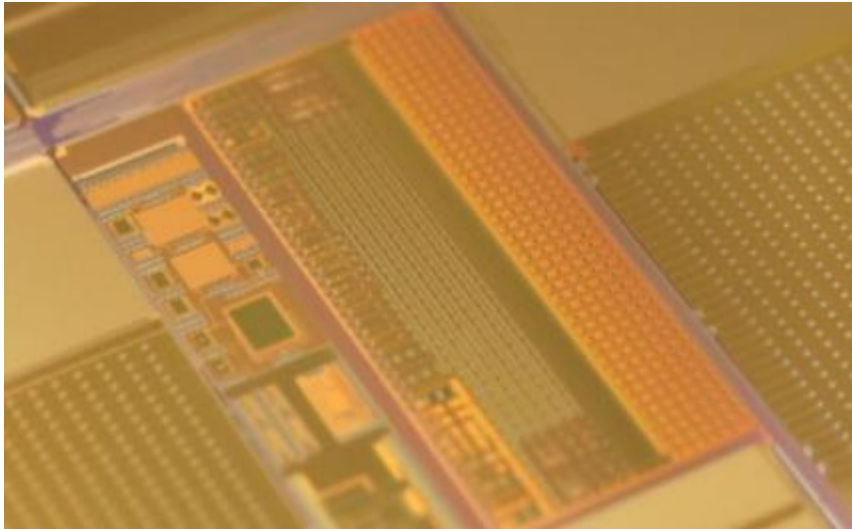
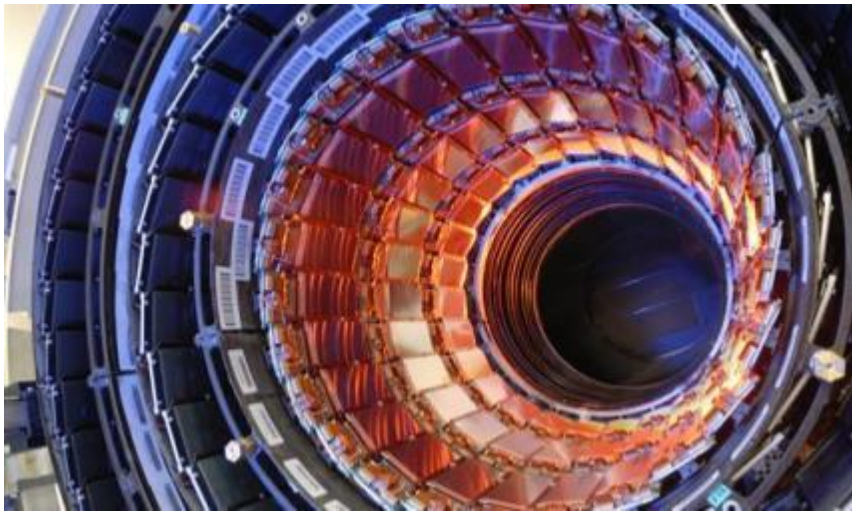
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London



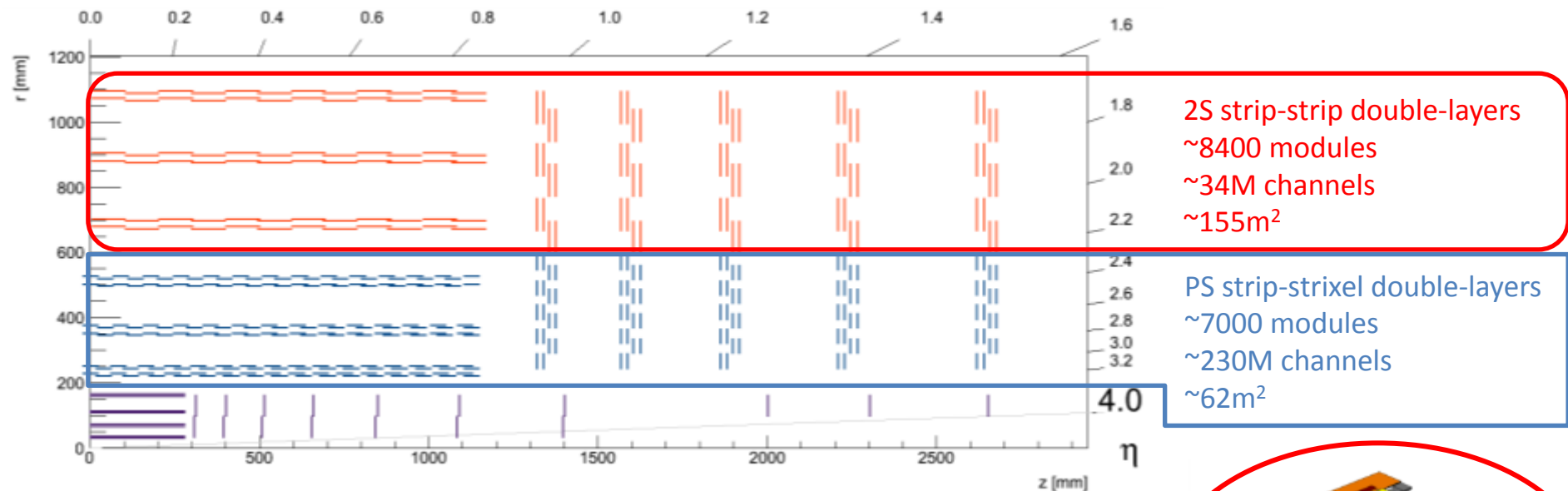
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Facilities Council

Outline

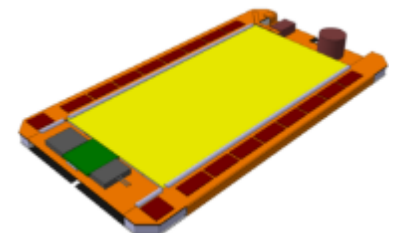
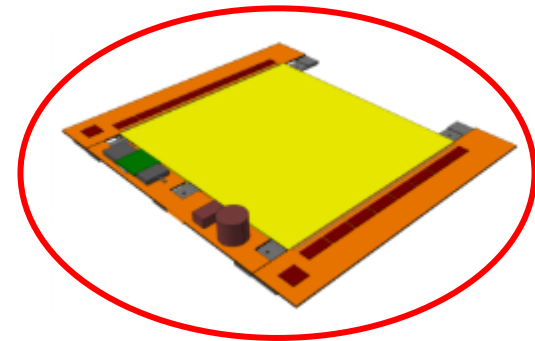
- Tracker upgrade & detector module
- The CMS Binary Chip 2 (CBC2)
- Mini-2S module design & testing
- DESY test beam
 - Setup
 - Results
- Summary & Conclusion



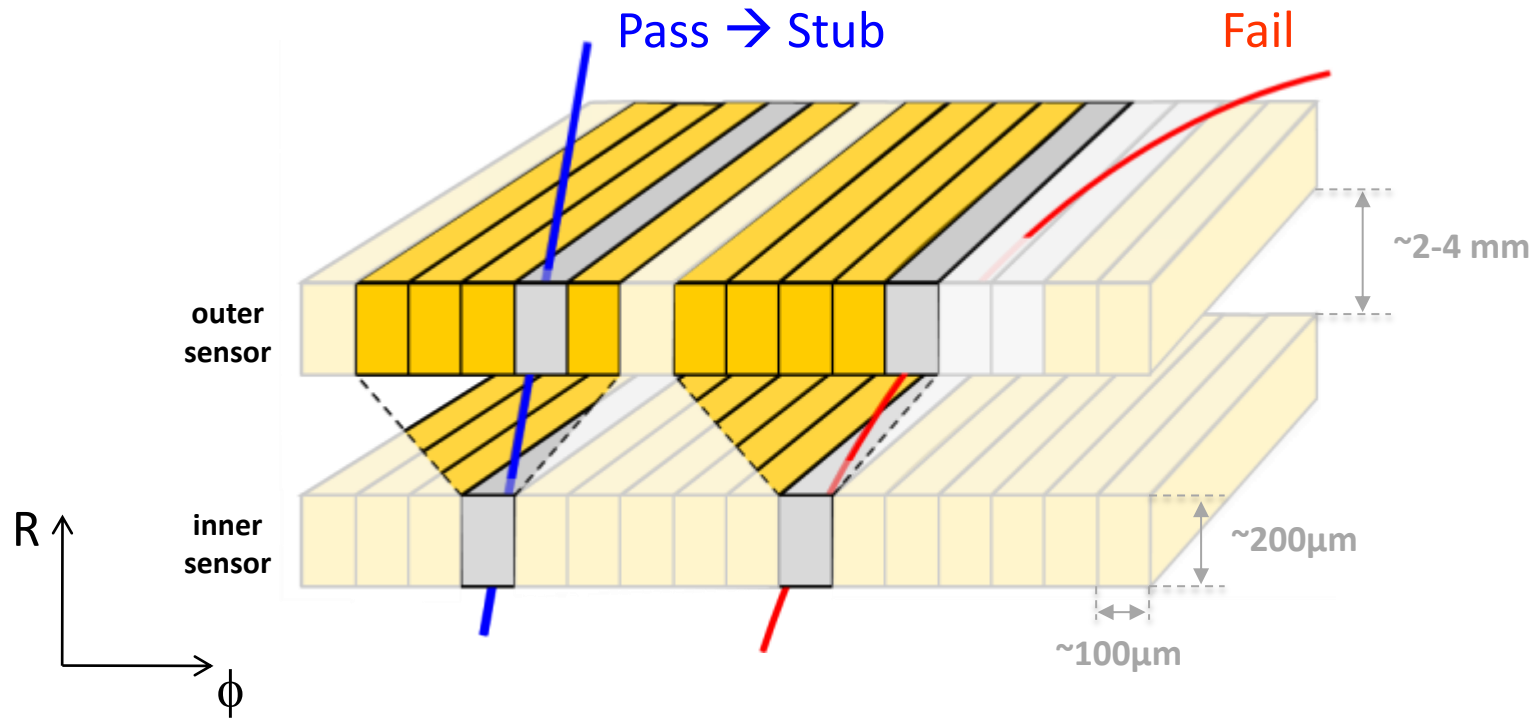
Phase-II upgrade of the CMS Strip Tracker



- Baseline design: Barrel+5Endcaps
- Based on 2 module types only
- Provides at the same time:
 - *readout data* upon receipt of L1 trigger
 - *trigger data* @40MHz



Basic trigger module concept



- High-PT tracks (**stubs**) can be identified if cluster centre in top layer lies within a search window in R- Φ (rows)
- p_T cut given by: module radius (z), sensor separation and correlation window

CBC2 and stub finding logic

CMS Binary Chip (CBC)

2 versions have now been produced - both in 130nm CMOS

CBC1 (2011)

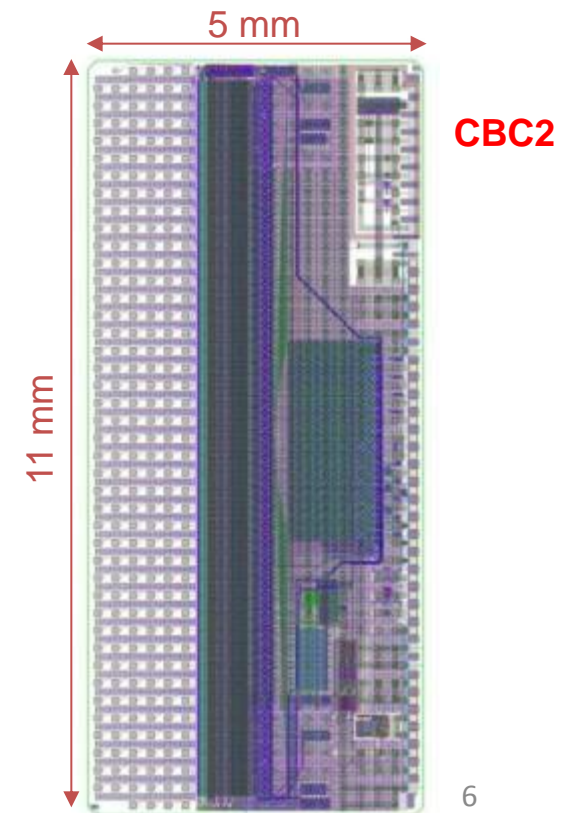
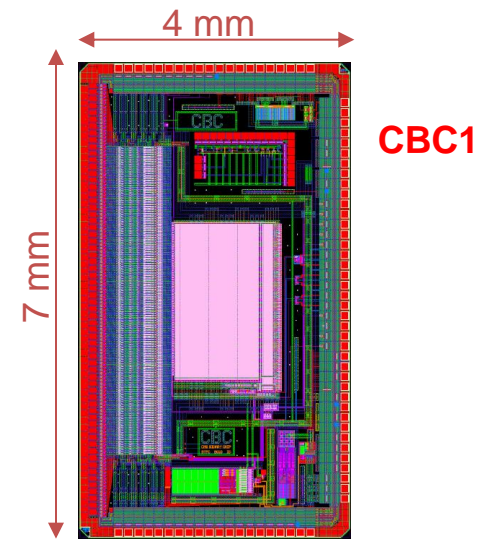
- 128 wire-bond pads, 50 mm pitch
- front end designed for short strips, up to 5 cm
 - DC coupled, up to 1mA leakage tolerant, both sensor polarities
- binary unparsified readout
- pipeline length 6.4 msec

- chip worked well in lab and test beam
- no triggering features

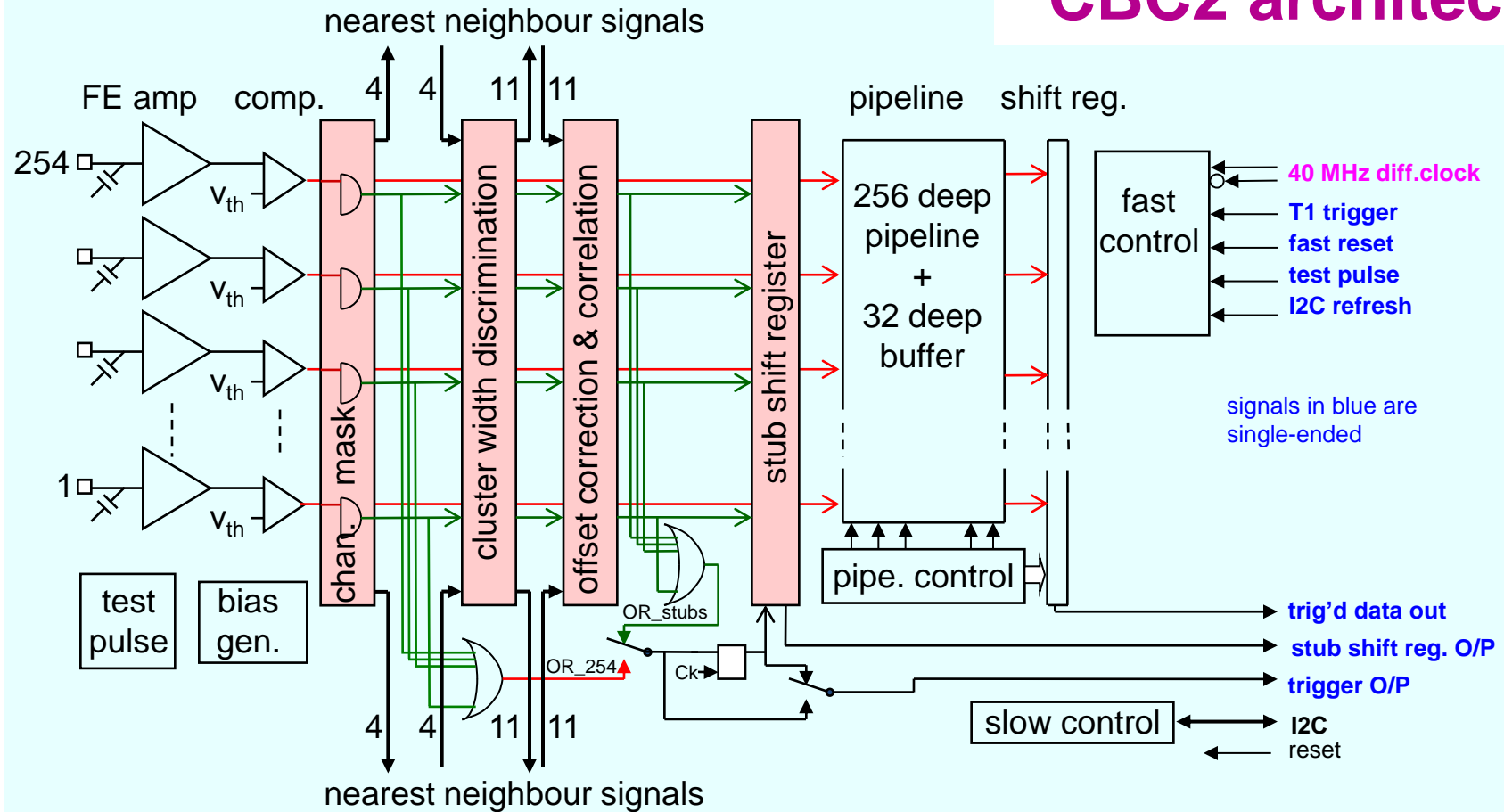
CBC2 (January, 2013)

- 254 channels
- ~same front end, pipeline, readout approach as CBC1

- bump-bond layout
- includes triggering features



CBC2 architecture



254 channels:

channel mask:

CWD logic:

correlation logic:

trigger output:

triggered data out:

127 from each sensor layer

block noisy channels from trigger logic

exclude wide clusters >3

for each cluster in lower layer look for cluster in upper layer window

1 bit per BX indicates correlation logic found one (or more) stubs

unsparsified binary data frame in response to L1 trigger

Stub finding logic

Cluster width discrimination (CWD) logic

- exclude clusters with hits in >3 neighbouring channels
- wide clusters not consistent with high p_T track

Offset correction & correlation logic

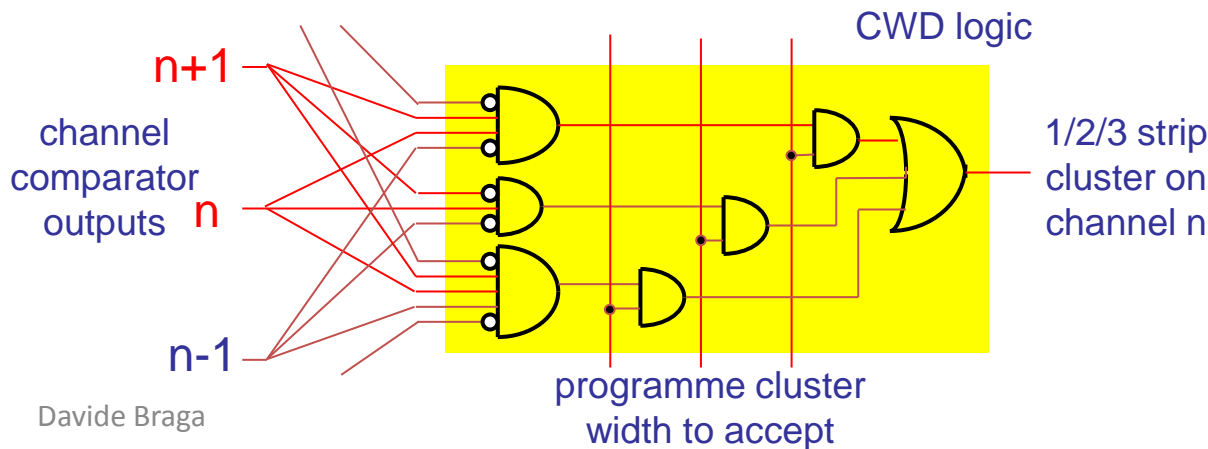
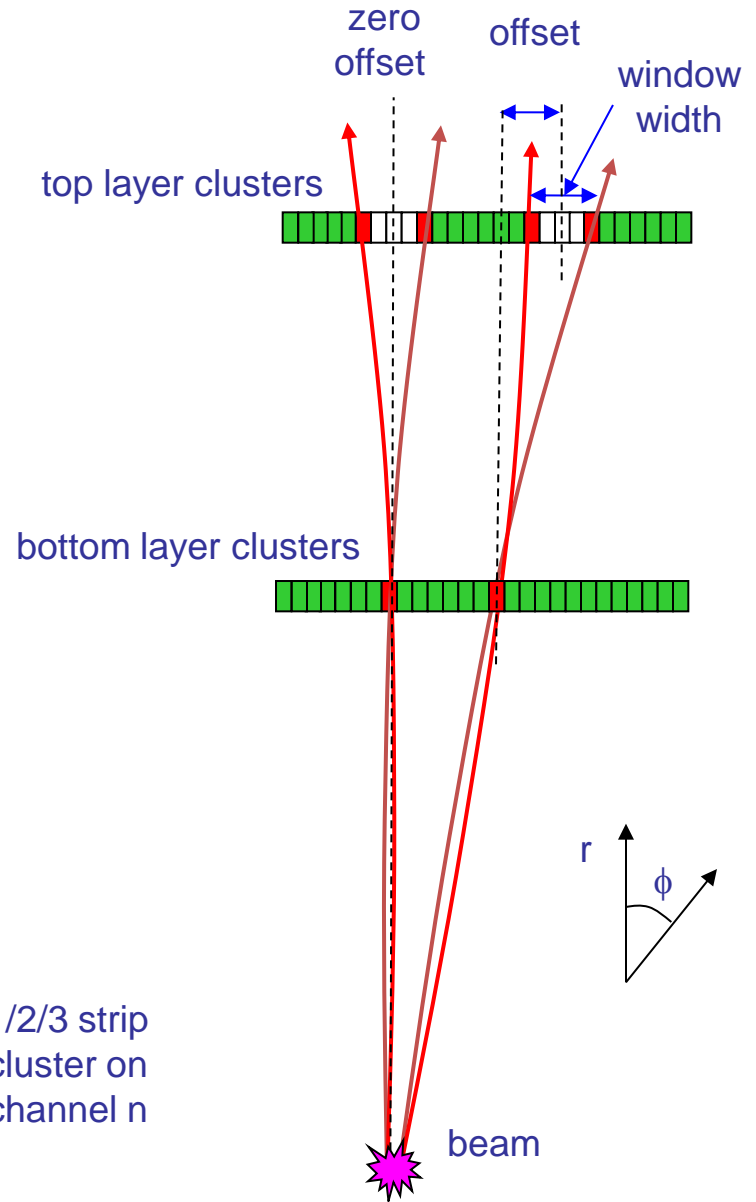
- for a cluster in bottom layer, look for correlating cluster occurring in window in top layer

window width controls p_T cut

- stub found if cluster in bottom layer corresponds to cluster within window in top layer
- programmable up to ± 8 channels

offset defines lateral displacement of window across chip

- programmable up to ± 3 channels

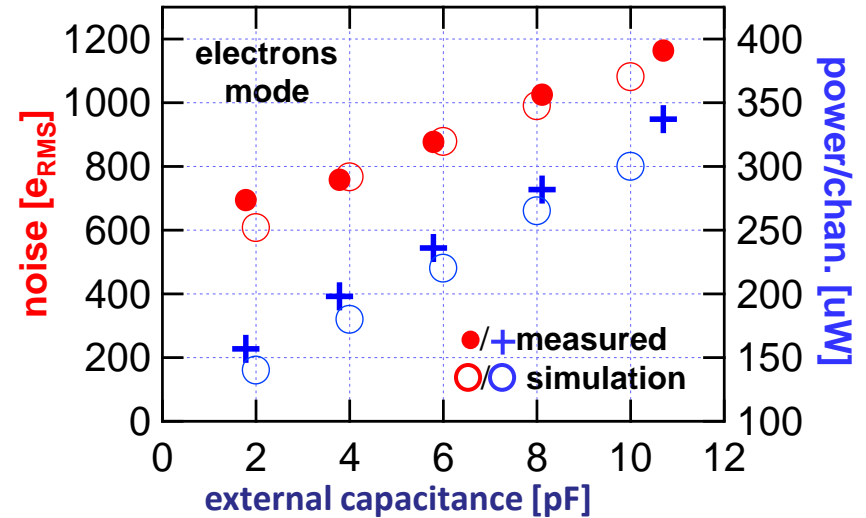


CBC2 performance

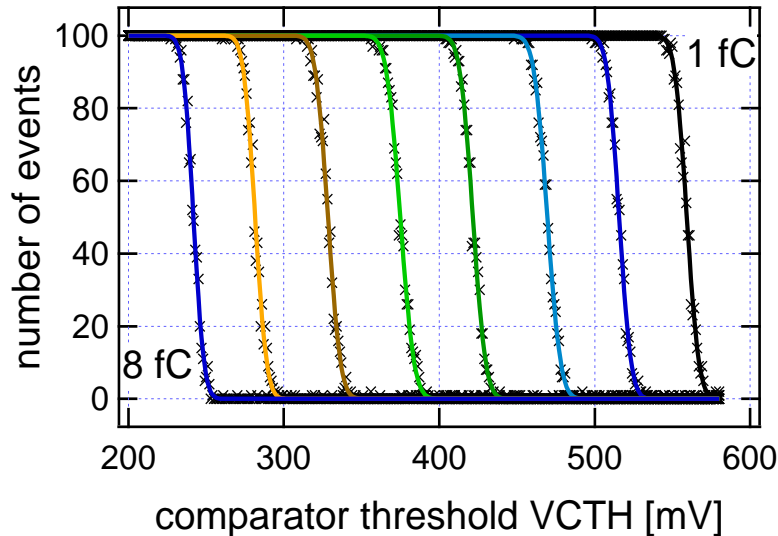
- All core functionality meets requirements
- Correlation functionality verified with test pulses, cosmics (backup), and in test beam
- Analogue performance close to simulation and specifications

e.g. **1000e** noise for 5 cm strips (~8 pF) achievable for total channel power of **350 uW**

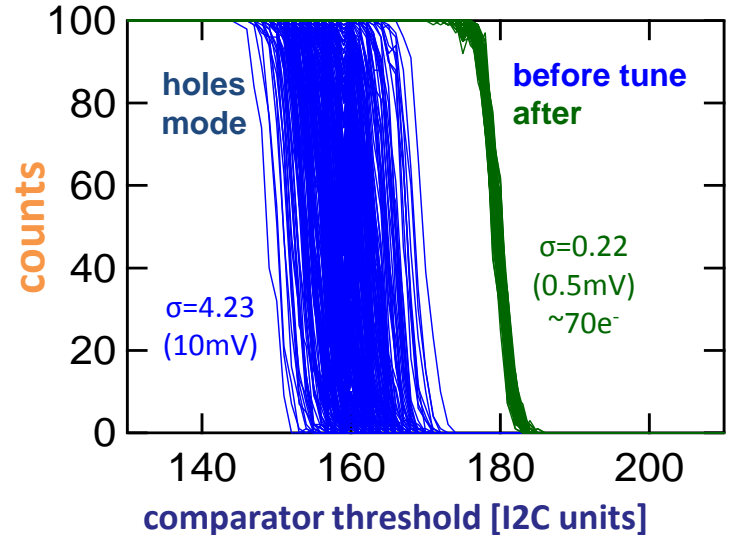
noise & power vs. external capacitance



S-curves



channel offsets tuning

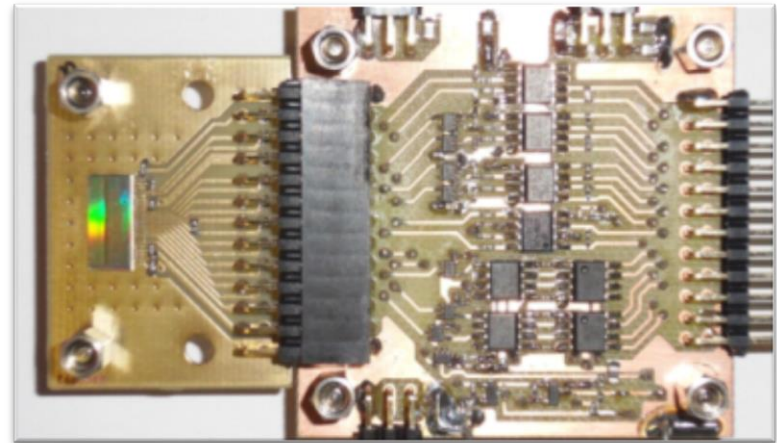


CBC2 Testing Activities

CBC2 testing activities

Wire-bond CBC2

- Useful to develop wafer probe procedures
- X-rays TID testing



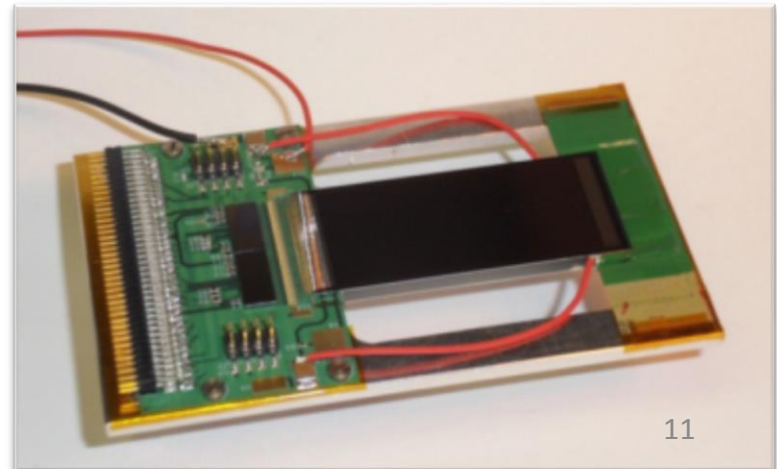
2xCBC2 hybrid

- Hybrid characterization and chip integration
- Bump-bonded ASICs
- Inter-chip links & logic

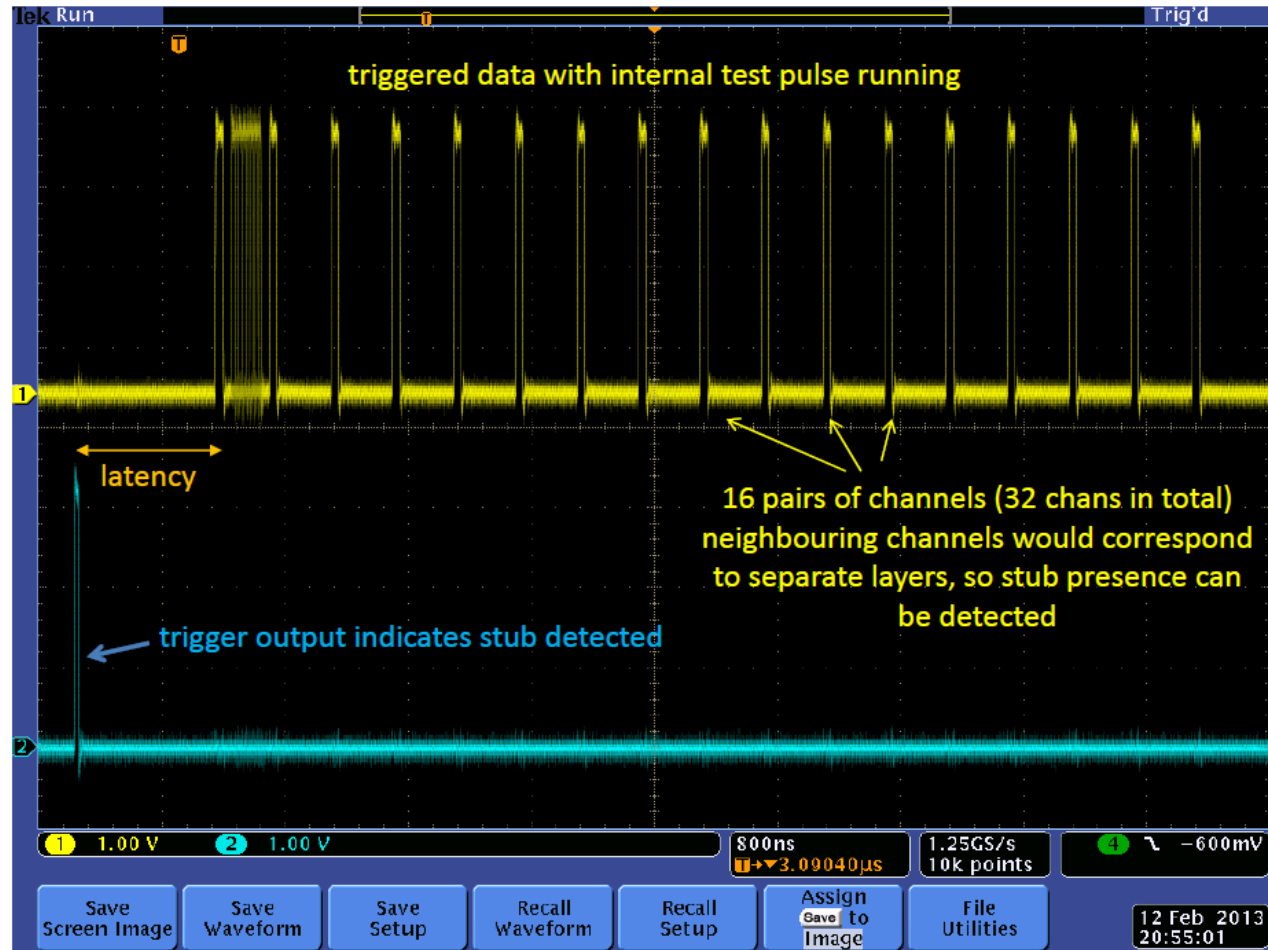
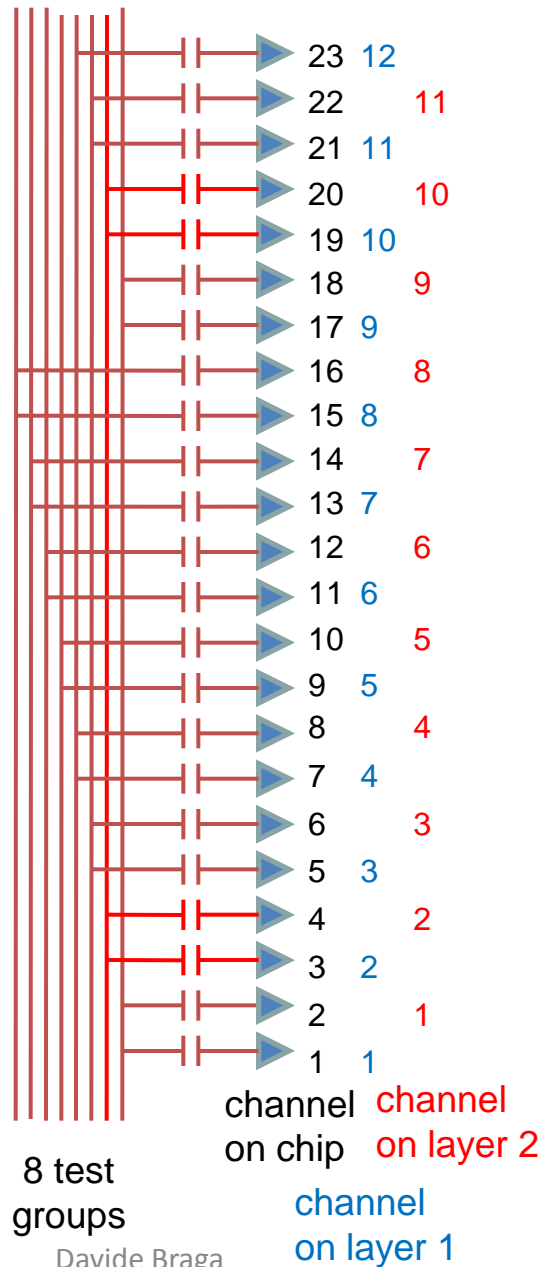


2xCBC2 mini-module + sensor

- Sr-90 source
- Cosmic rays
- Beam Test

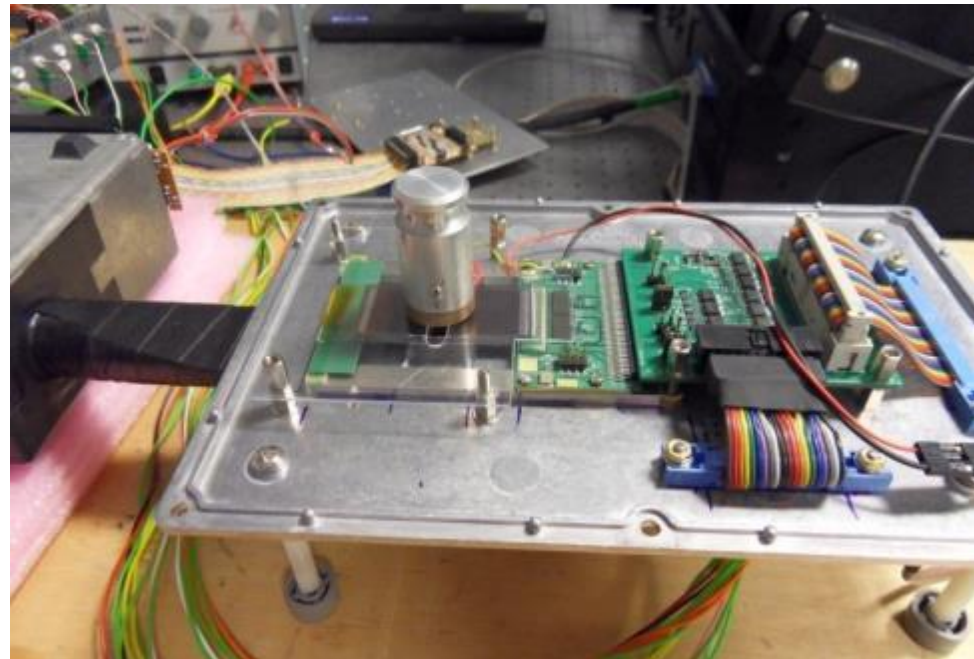
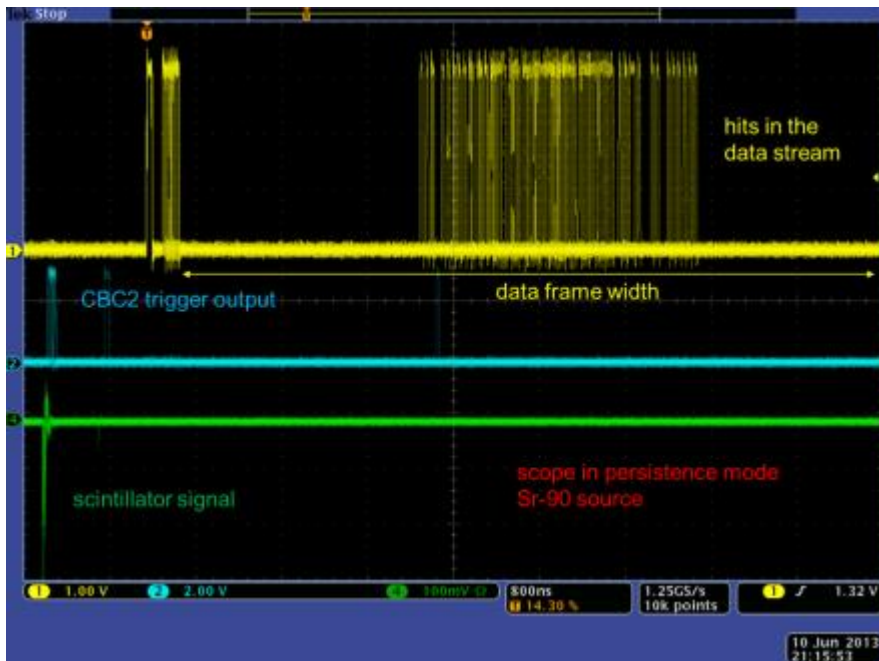
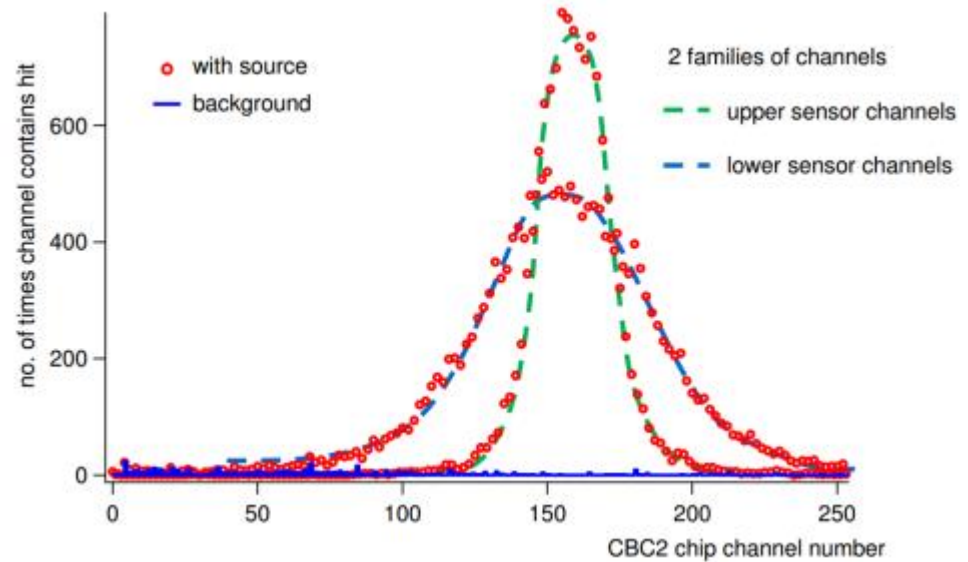
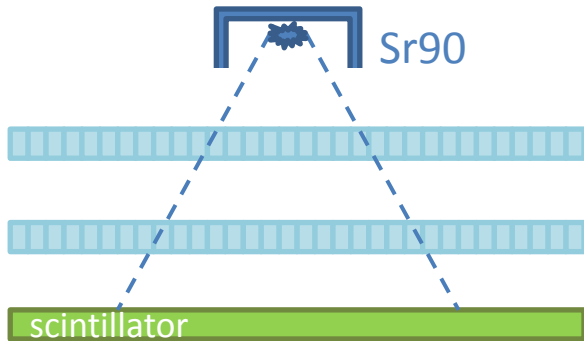


Results with test pulse



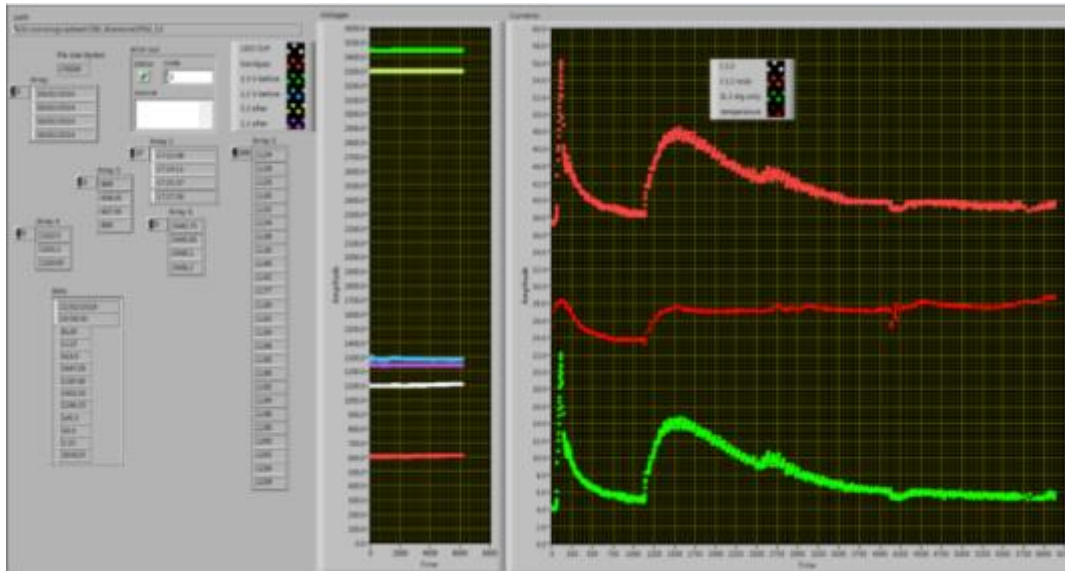
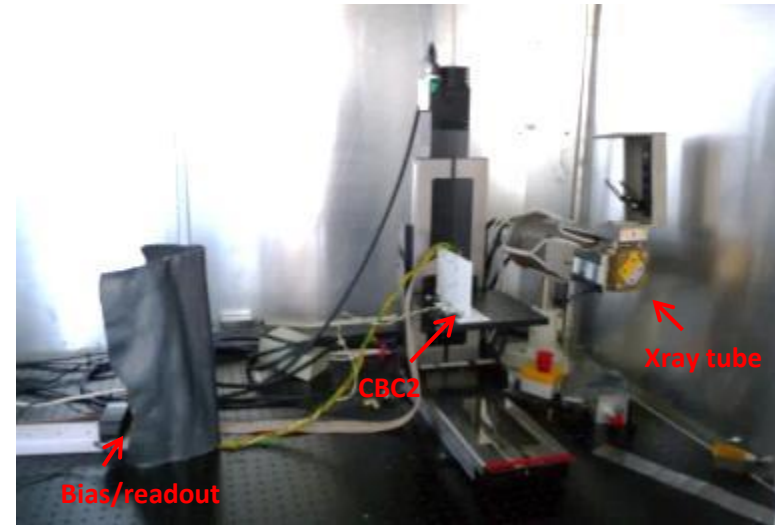
→ Test pulse together with individually-programmable channel masks can be used to fully exercise the coincidence logic

Logic tests using beta source



Total Ionizing Dose test

- Initial Xray irradiation to 10 Mrads and 1wk annealing @100°C
- CBC2 operated continuously during irradiation and annealing
- monitored currents, biases, pedestal, noise
- no significant change in performance, moderate increase in current before annealing



Beam Test

Pt module beam test at DESY

- December 2013
- 4 GeV positron beam
- Datura telescope + 2 pT modules (1 fixed, 1 rotatable) with 2 different strip sensors
- Custom control and DAQ



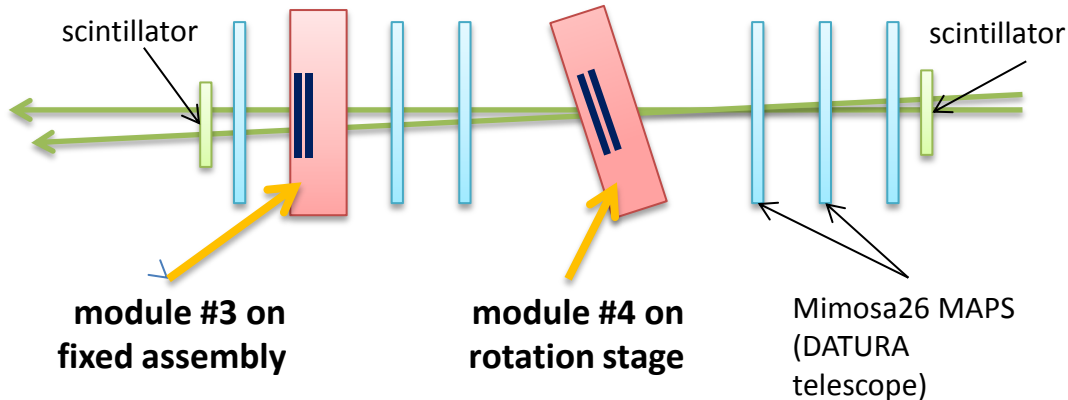
Module #3 (FIXED)

Infineon, n-type
 Sensor 80x300 μm
 dL = 2.8 mm
 Strip length = 50 mm
 #channels = 256

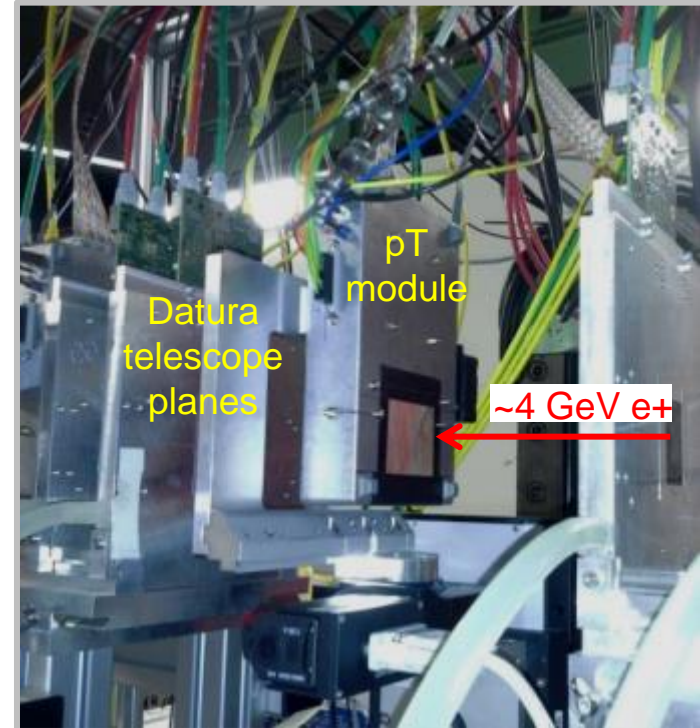
Module #4 (DUT)

CNM, p-type
 Sensor 90x270 μm
 dL = 2.8 mm
 Strip length = 54 mm
 #channels = 254

positron beam
 low angular
 divergence (small
 angular error)



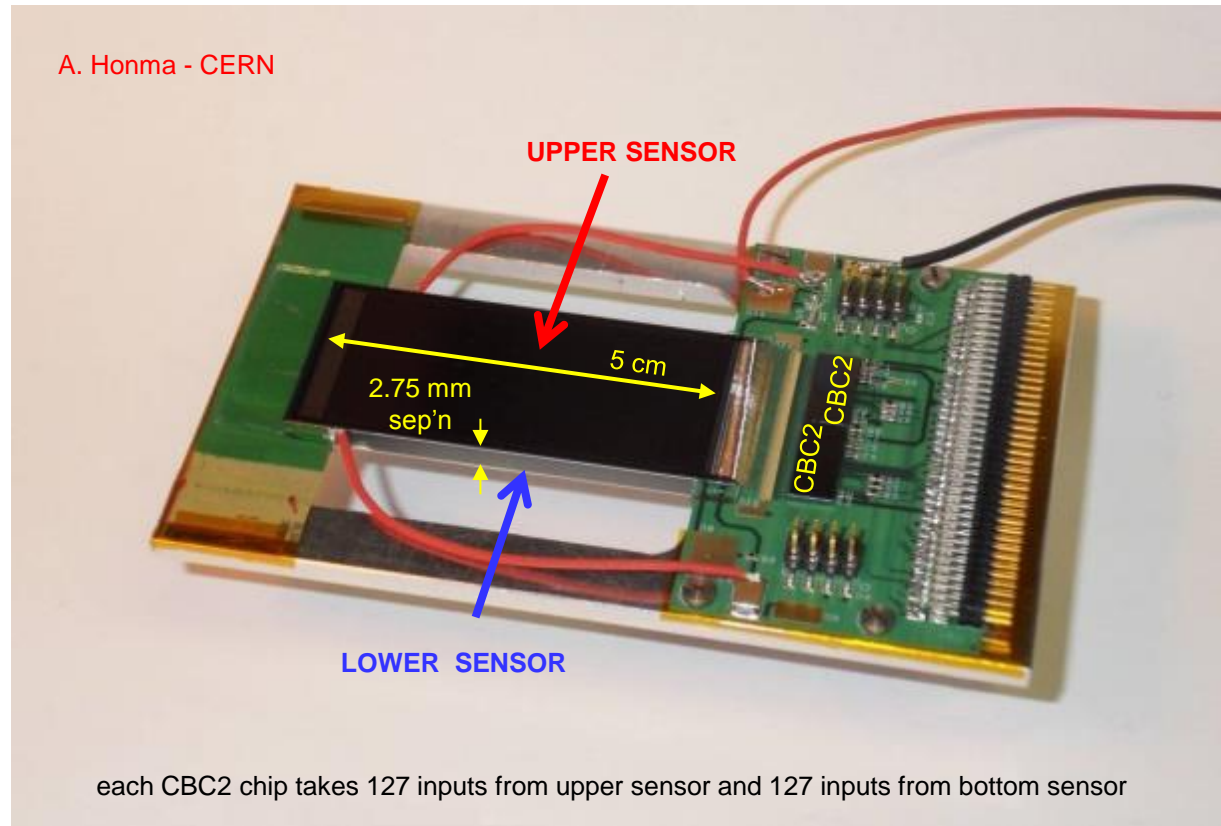
TOP VIEW: strip direction into page



Pt modules & sensor variants

3 PT modules taken to DESY:

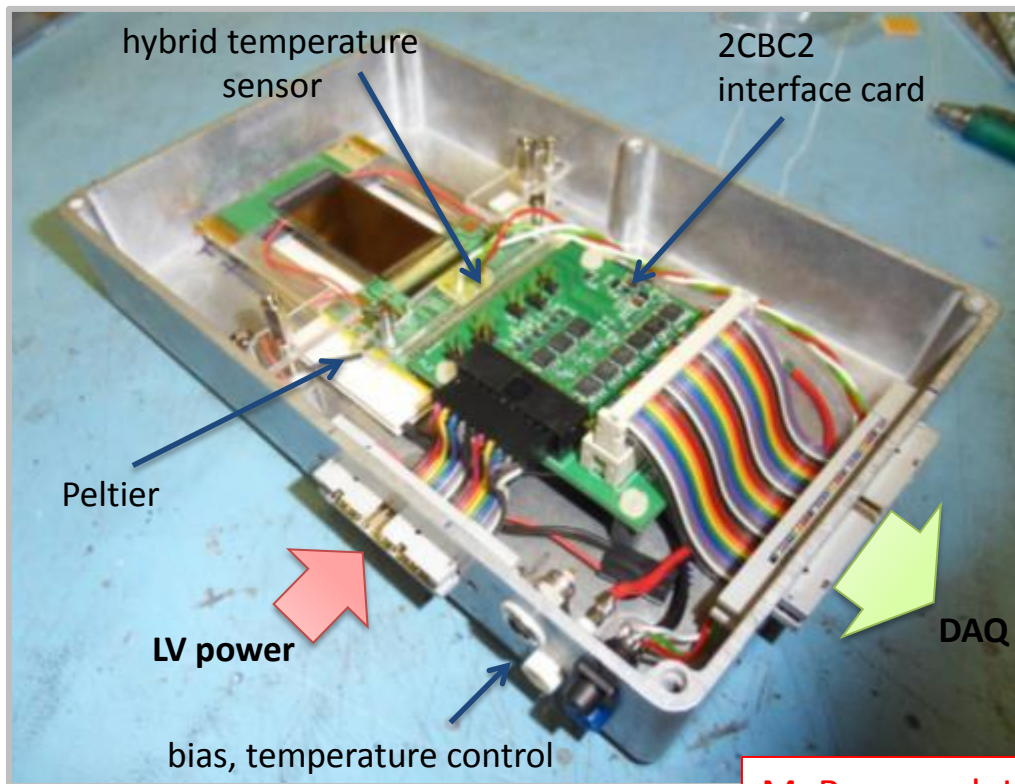
- 2 different sensor types
- one module left as backup



| module # | sensor | sensor type | pitch [um] | thickness [um] | length [mm] | # strips | comments | tested |
|----------|----------|-------------|------------|----------------|-------------|----------|---|------------|
| 3 | Infineon | n-type | 80 | 300 | 50 | 256 | region of disconnected channels | yes |
| 4 | CNM | p-type | 90 | 270 | 54 | 254 | | yes |
| 1 | Infineon | n-type | 80 | 300 | 50 | 256 | noisy strips, disconnected channels, odd low bias behaviour | no/ backup |

Modules taken to DESY

Each module assembled into identical units for mechanical support, environment control & handling

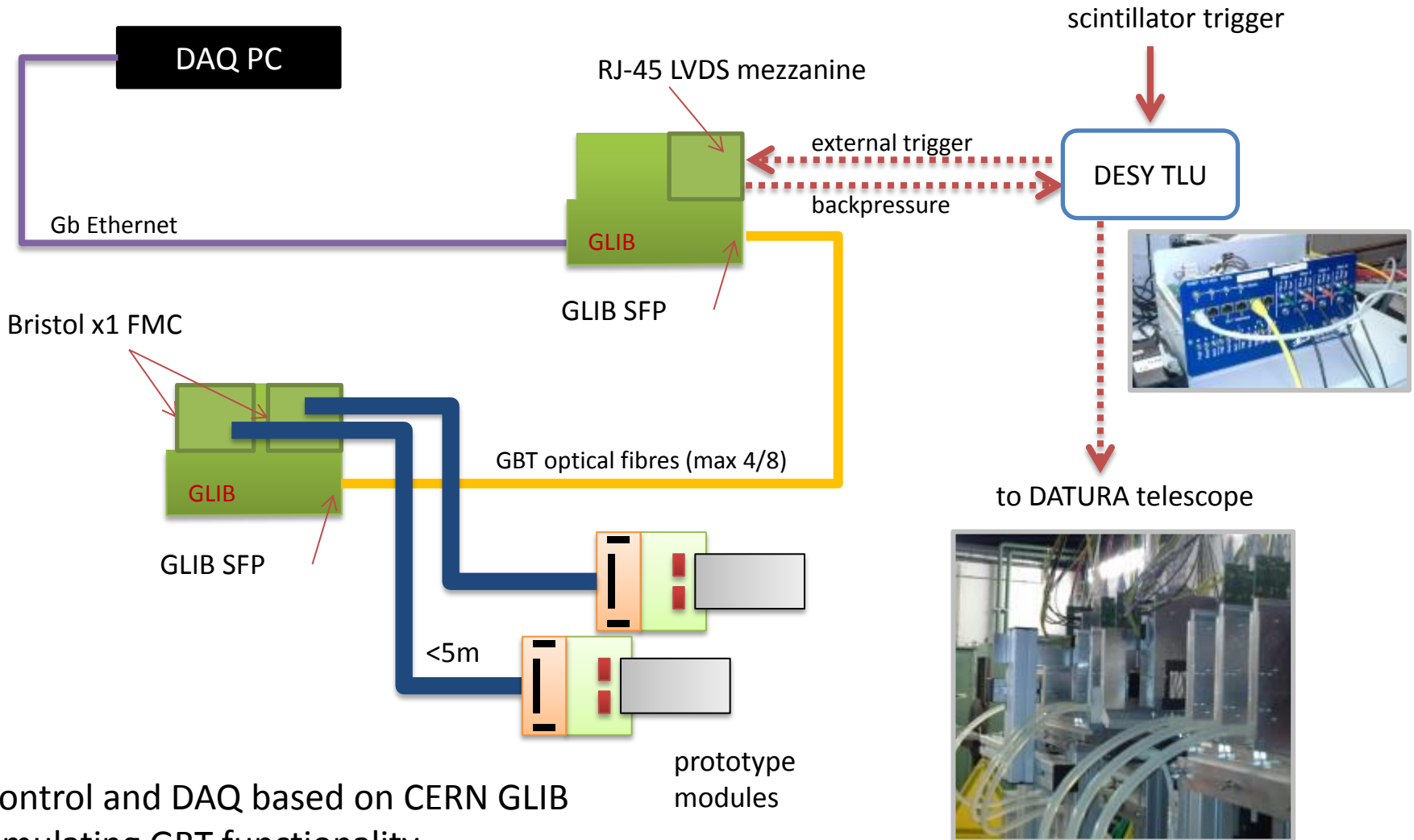


M. Raymond, IC

- light-tight aluminium cast $\sim 10 \times 20$ cm boxes for support and heatsinking
- aluminium foil windows
- Peltier elements to actively cool hybrid to 20° via external Arduino-based controller
- connectors for DAQ, power, temperature control, HV bias

Beam test DAQ

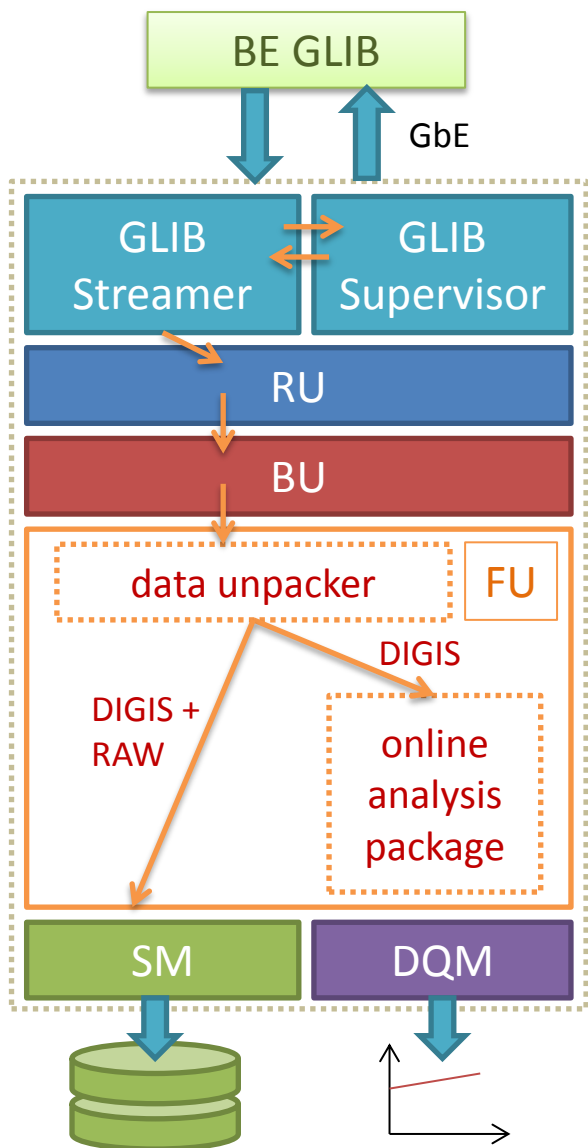
M. Pesaresi, IC
L. Gross, IPHC



control and DAQ based on CERN GLIB
emulating GBT functionality

Beam test DAQ (2)

- basic elements for the CMS based DAQ were put in place just in time for beam test



This block contains three screenshots related to the XDAQ system. The top screenshot is the 'GlibSupervisor' main parameters window, showing two 'PMC' (Process Monitoring Controller) icons and a list of front ends (Front End 0, 1, 2, 3). The middle screenshot is the 'StorageManager instance 0' window, which is 'Halted' and displays various statistics and performance metrics. The bottom screenshot is a histogram showing the distribution of 'strips' with a peak around 150 and a width of approximately 1.2 cm, as indicated by a red double-headed arrow.

| Parameter | Total | 0 s |
|----------------------------|-------|-------|
| Frames Received | 0 | 0 |
| Bandwidth (MB/s) | 0.00 | 0.00 |
| Rate (frames/s) | 0.00 | 0.00 |
| Latency (µframes) | inf | inf |
| Total volume received (MB) | 0.000 | 0.000 |

Beam test issues

- most issues solved during week's running:
DAQ ran stably for last few days (albeit at ~300Hz)
- some issues that took a while to solve to do with the external TLU

Timestamp issue with the Datura Telescope:

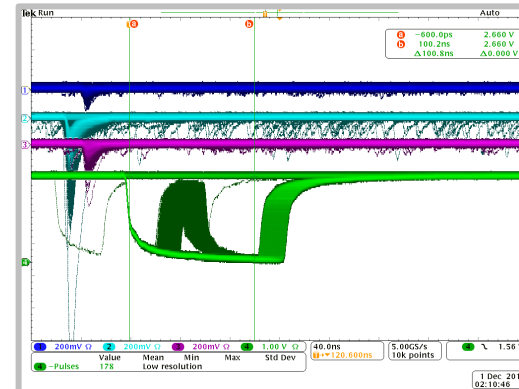
- BX and Orbit number not saved by the GLibStreamer
- Ali Harb (DESY) looking into ways to synchronise the two data sets based on hit patterns

Commissioning steps

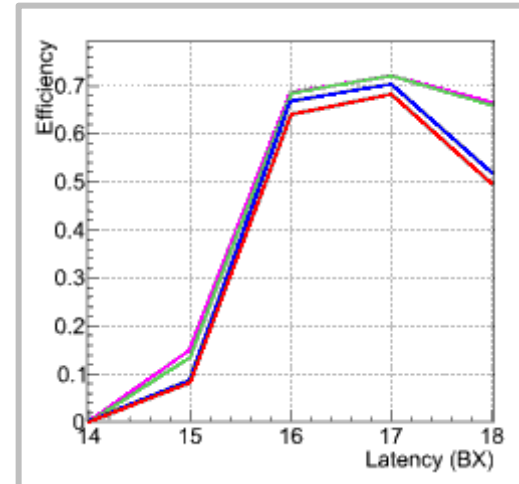
much time was dedicated to commissioning the modules in beam

Measurements

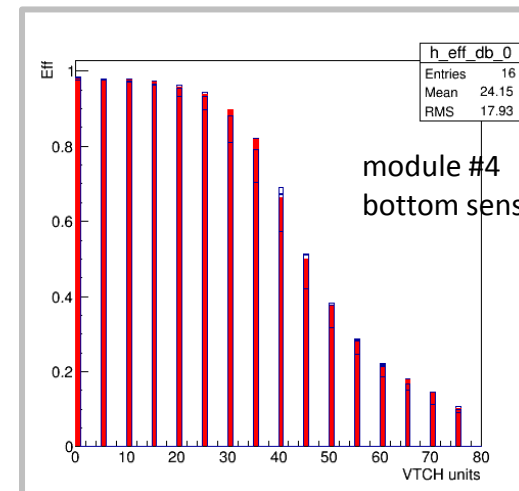
- 24h shifts in the last 3-4 days
- over 120M events to disk!
- threshold scans at normal incidence (high statistics, fine VCTH steps)
- low threshold scan (to see noise floor)
- latency scans
- angular scans with high statistics, nominal thresholds
- threshold vs angular scans (low statistics, coarse VCTH steps)
- runs with different cluster width discrimination settings
- dedicated runs to check DAQ and telescope synchronisation



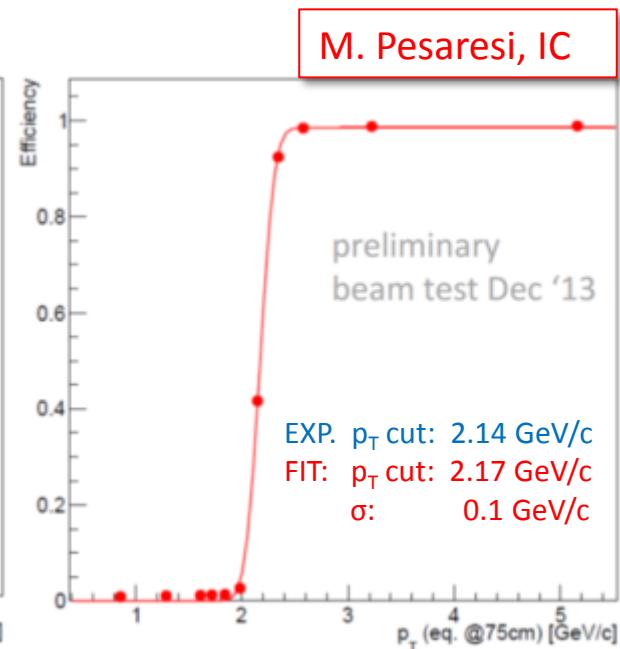
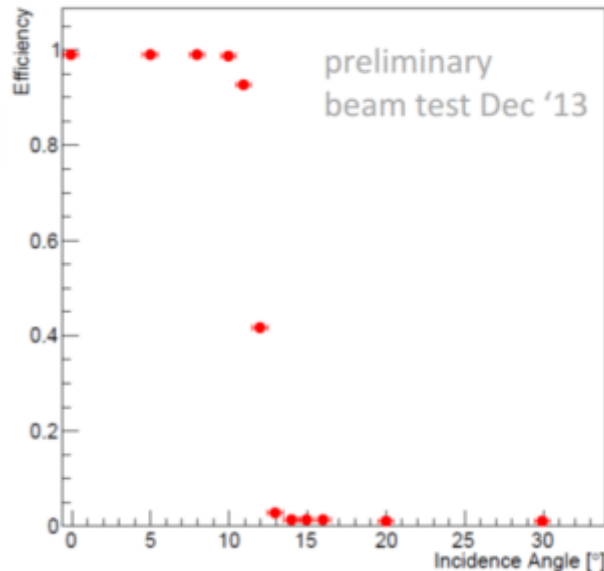
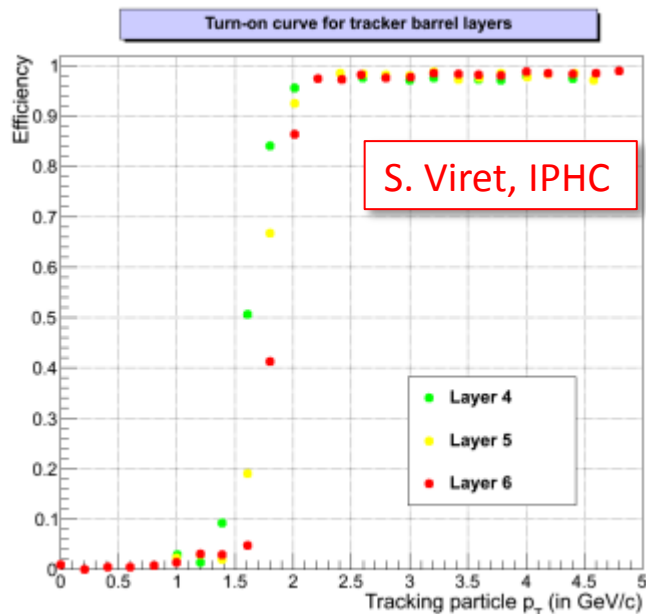
Trigger and stub latency scan



Thresholds scan at normal incidence



P_T cut principle demonstrated



Pt Selection cut: simulation



measured efficiency



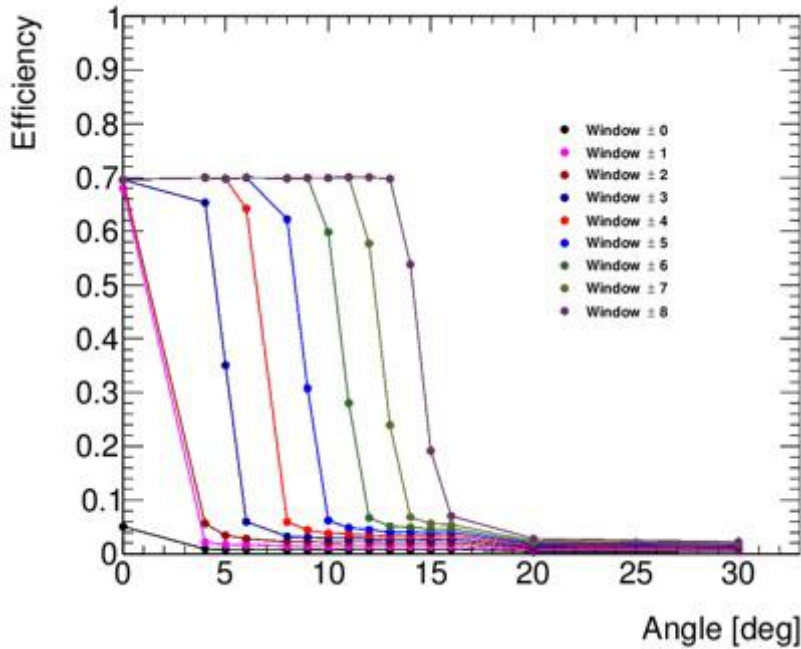
reconstructed p_T cut of
r=75cm layer

CBC2 correlation window was set to
+/- 7 strips; 0 strip offset

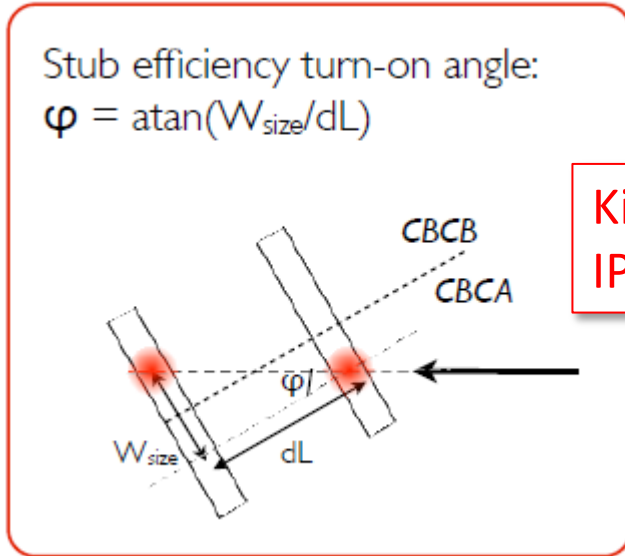
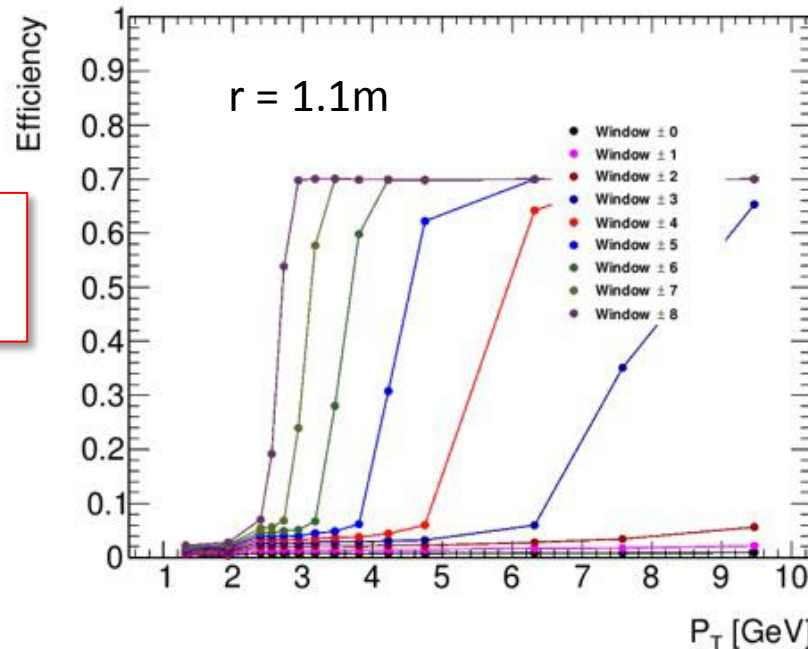
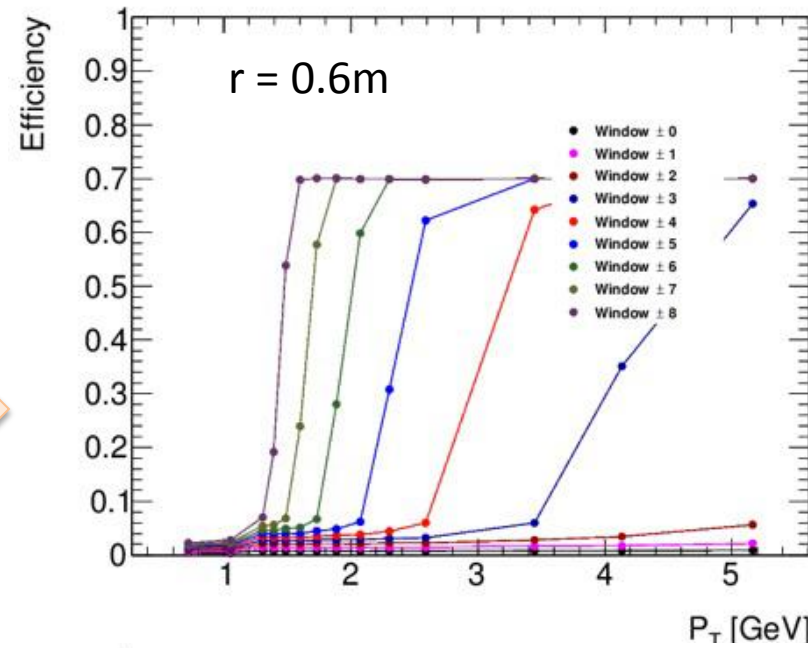
- P_T -cut reconstructed from beam test data matches the design one exactly
- Sharp turn-on

→ **First experimental result to prove the stub selection concept!**

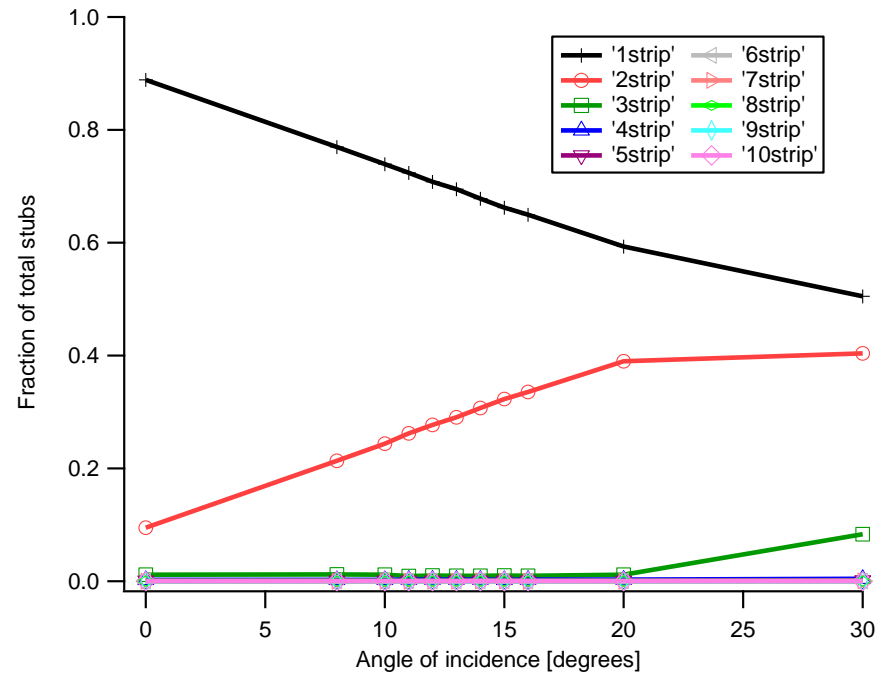
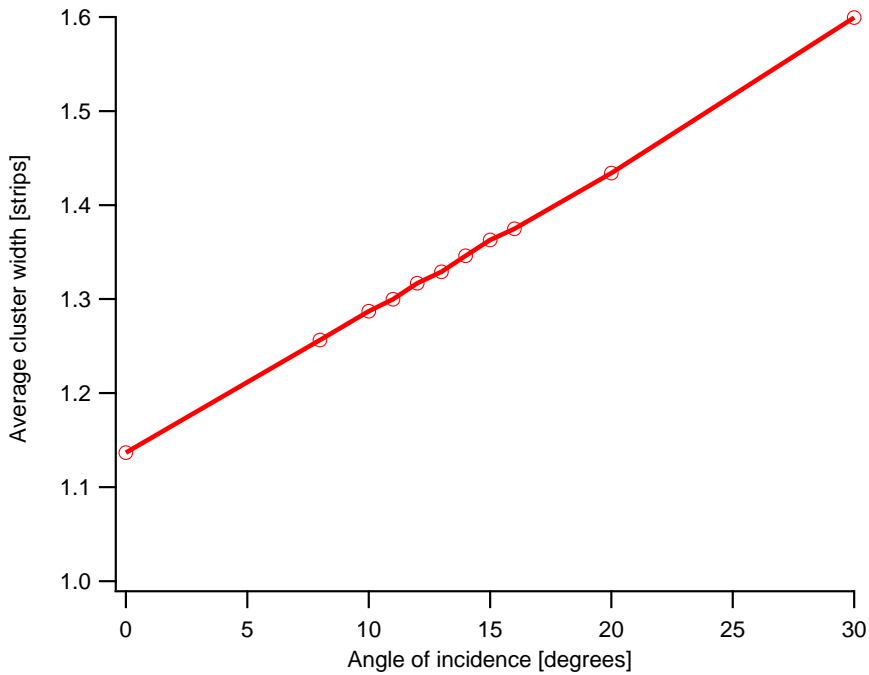
Stub Efficiency vs. Angle



Reconstructed stub Efficiency vs. P_T

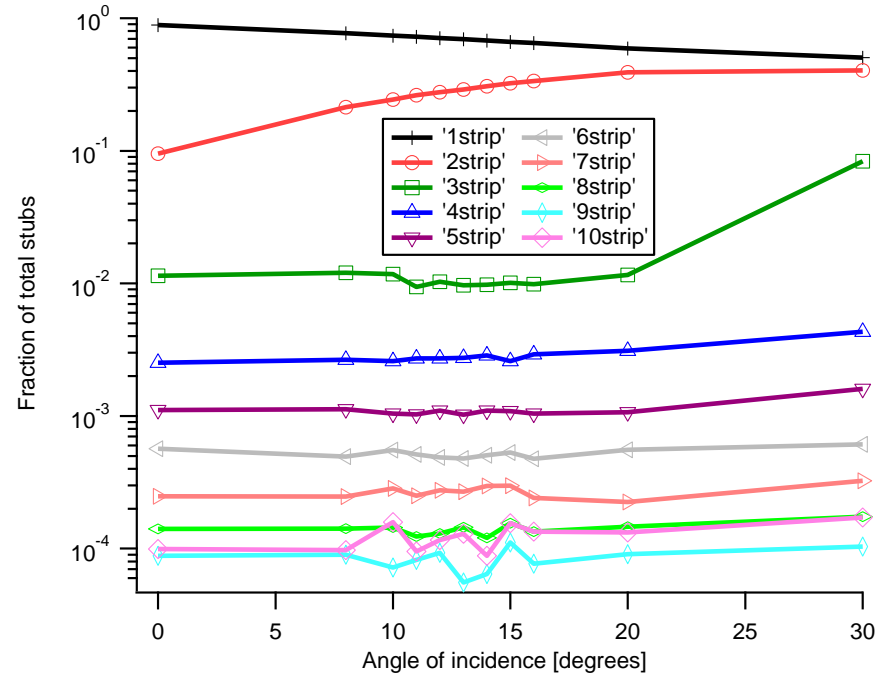


Kirill Skovpen,
IPHC

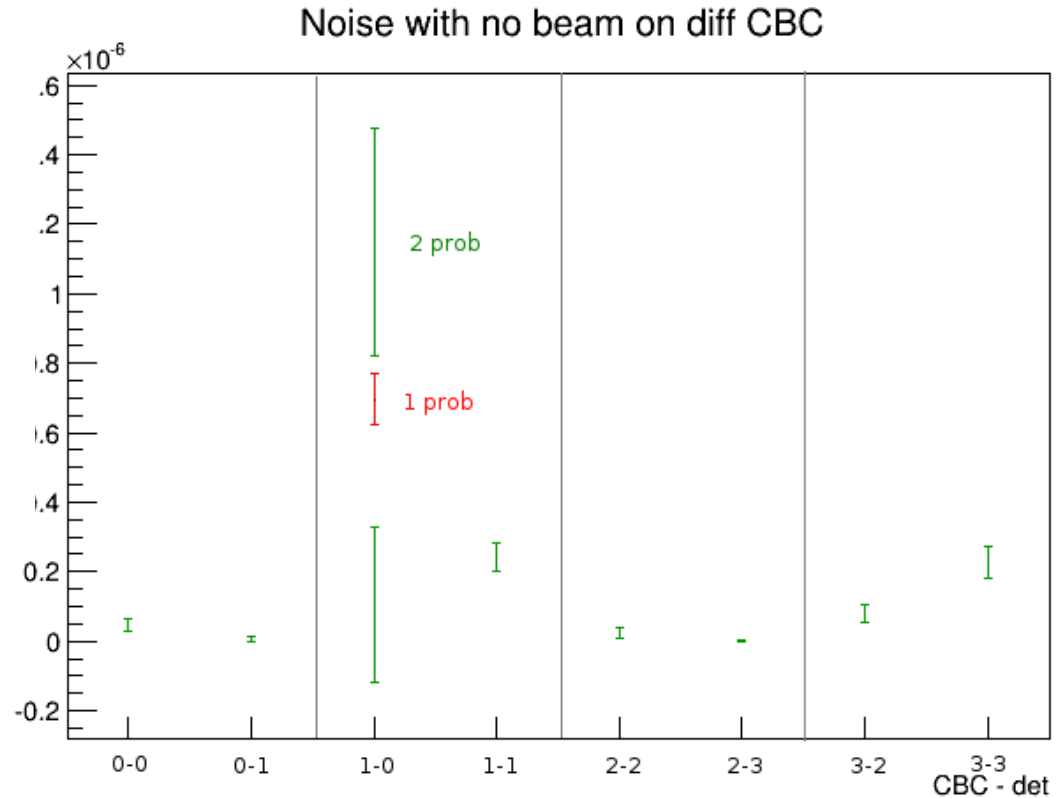
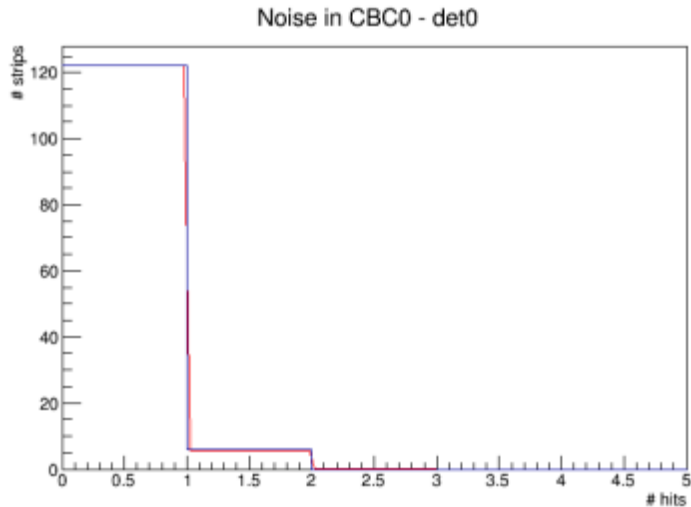


Study of cluster width

- Average width and cluster width distribution study
- Data used for comparison with CMSSW Digitizer reconstruction (Suchandra Dutta and Suvankar Roy Chowdhury, Saha Institute of Nuclear Physics)



Strip noise



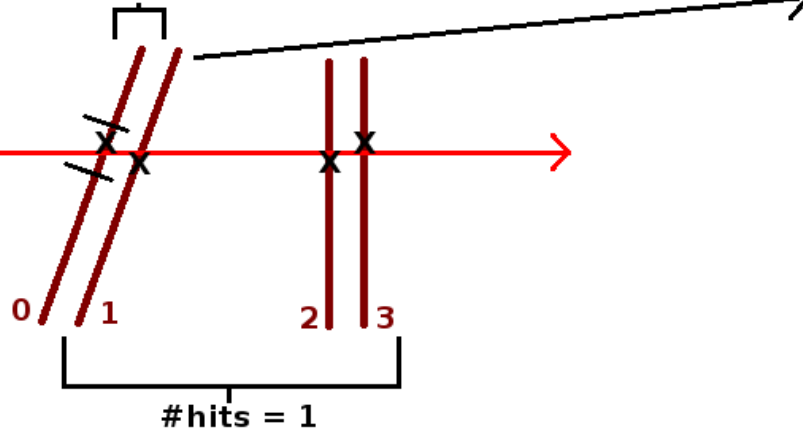
Martin Delcourt,
UC Louvain

Noise probabilities per CBC and per sensor

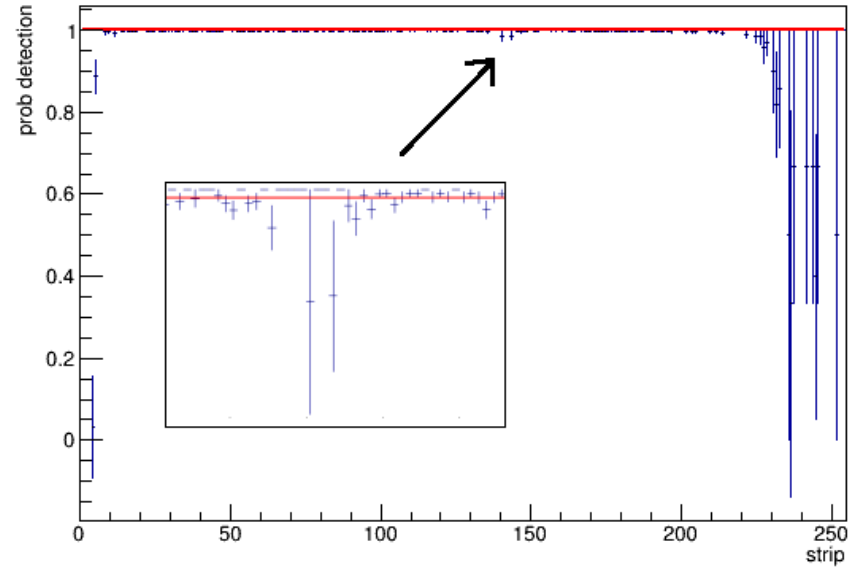
- Noise obtained by fitting a binomial to the number of hits per strip with no beam
- One case (CBC1-sens.0) not well described by single binomial → need more data
- Noise smaller than 10^{-6} per strip per trigger

Detection Efficiency

Hit within window



Hits with hit detected within window



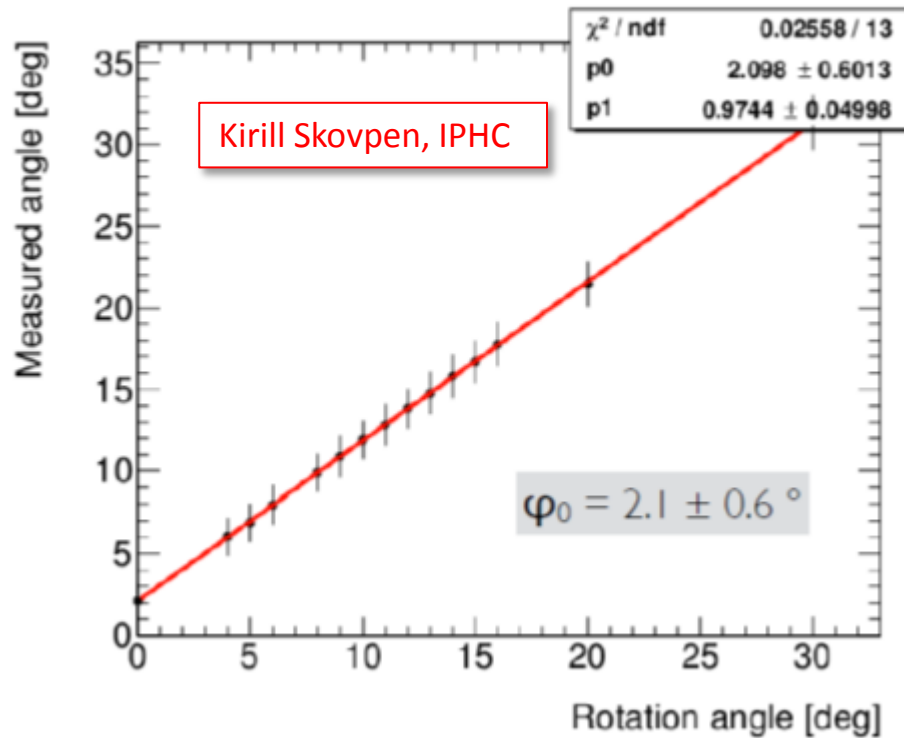
- $\epsilon = 99.91 \pm 0.01\%$ (CBC0) – $99.89 \pm 0.01\%$ (CBC1)
- Loss of efficiency on the sides
- Loss of efficiency in the due to bad strip

| det | CBC | $\epsilon(\%)$ | σ_ϵ |
|-----|-----|----------------|-------------------|
| 0 | 0 | 99.92 | 0.01 |
| 0 | 1 | 99.89 | 0.01 |
| 1 | 0 | 99.54 | 0.01 |
| 1 | 1 | 99.45 | 0.03 |
| 2 | 2 | 99.07 | 0.03 |
| 2 | 3 | 99.31 | 0.04 |
| 3 | 2 | 99.39 | 0.02 |
| 3 | 3 | 99.45 | 0.03 |

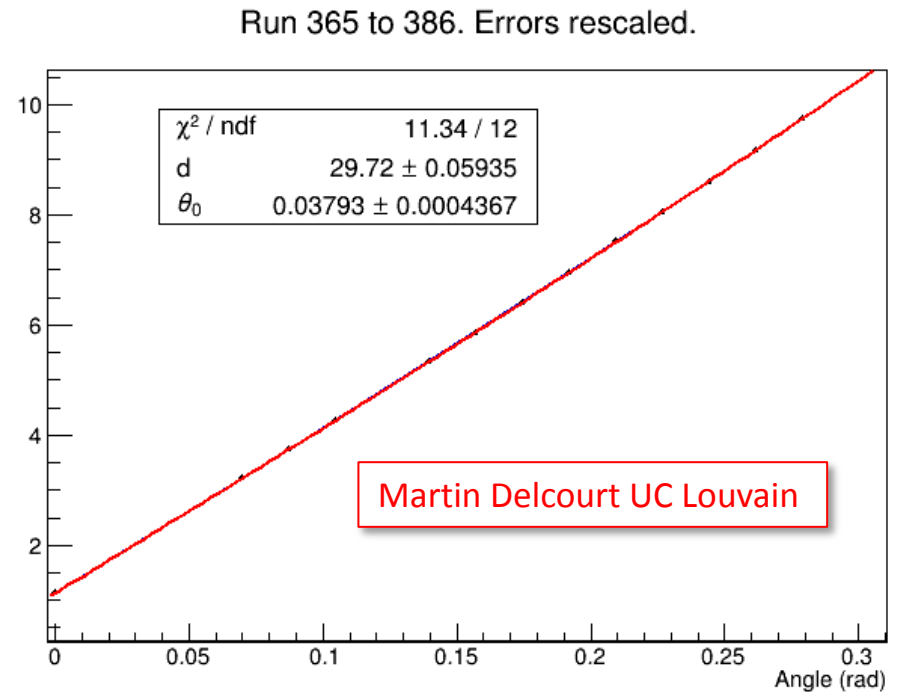
Table : Efficiency of the different sensors/CBC as defined earlier.

Module alignment

Alignment of the rotated module



$$\phi = 2.1 \pm 0.6^\circ$$

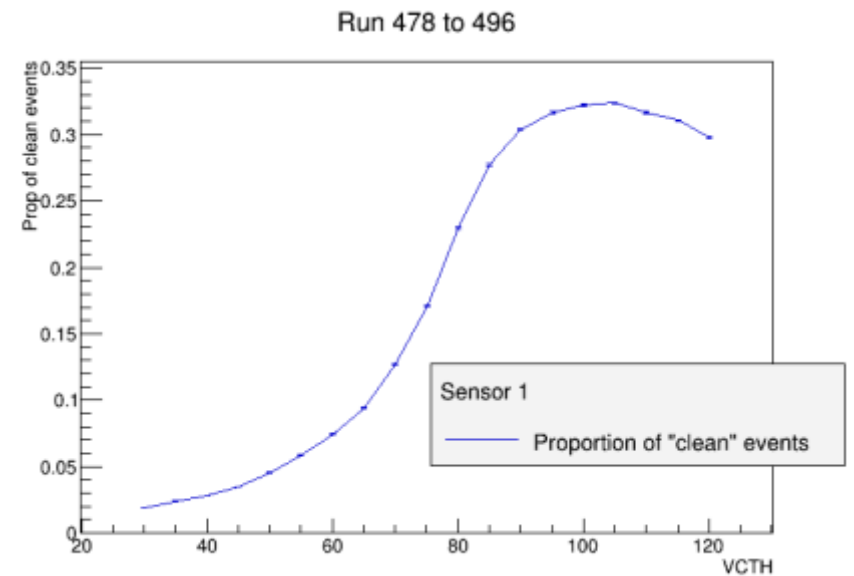


$$\phi = 2.17 \pm 0.03^\circ$$

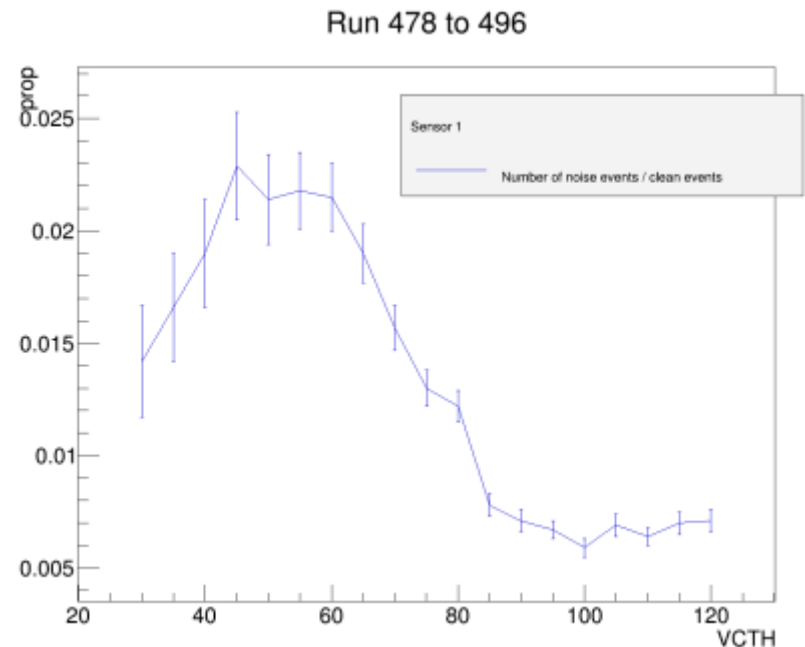
Clean Event Scan

Martin Delcourt
UC Louvain

VCTH \sim 100 optimum setting for low noise and “clean” events



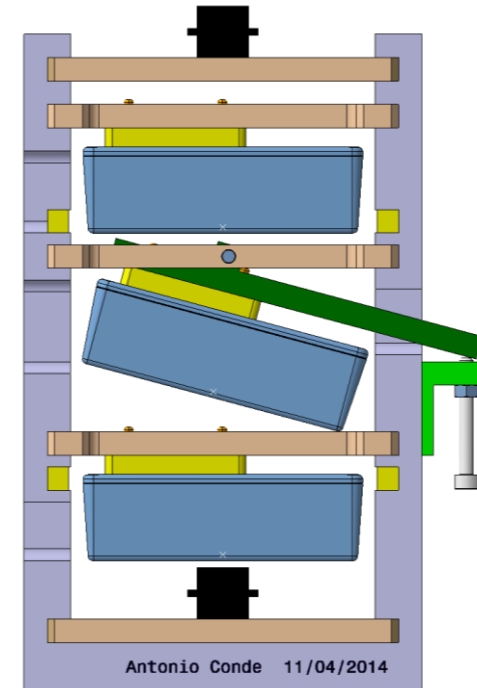
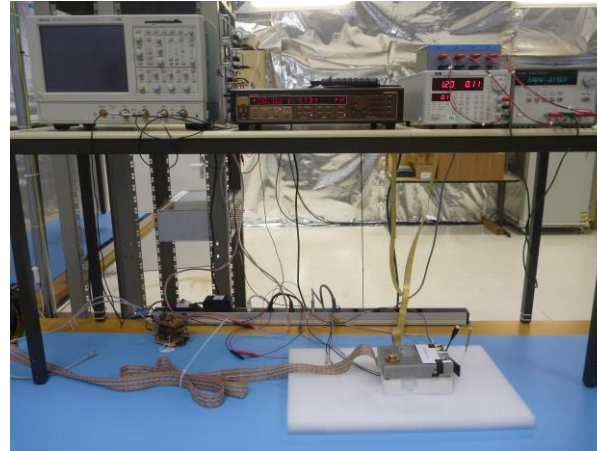
Proportion of events with cluster occupancy = 1 for all sensors.



If $occ=1$ for all sensors, proportion on events with distance > 4 between hits on sensor 0 and sensor 1.

Module-Test Setup at CERN

- Fully equipped Module-Test Setup available at CERN
- exactly the same DAQ chain as in the beam test (FW & SW)
- fully integrated in uTCA shelf
- infrastructure for testing, development & debugging



- mechanics for testing with cosmics / radioactive source & scintillator trigger
- used for: integration tests of components, development of commissioning & calibration procedures
- currently focusing on: CBC hit correlation studies

Georg Auzinger, Stefano Mersi, CERN

Conclusions and Future Work

Conclusions and Future Work

Two successful full-size prototypes of new Outer Tracker ASIC and mini-2S module in hand

- ✓ CBC2 working to specs, stub finding logic functioning

First beam test of the 2S prototype module

- ✓ successful commissioning & readout of two prototype stacked modules in beam, prompt analysis and online s/w
- ✓ data taken for measurement of sensor/front-end performance & to demonstrate ability of 2S modules to discriminate on pT
- ✓ DAQ and readout system integrated, commissioned & working in beam test

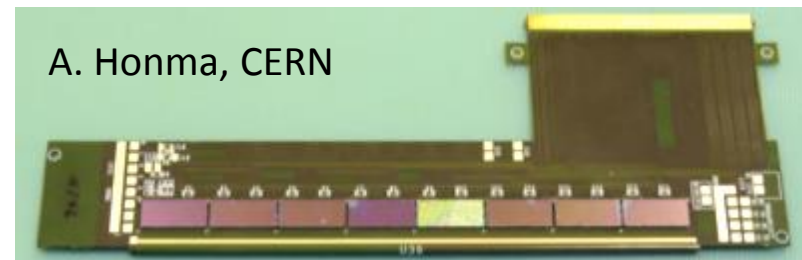
TID testing started, no problem so far

Goals for 2014:

- ✓ Continue Pt Module characterization in beam
(sensor + front-end ASIC + hybrid + DAQ)
- Applied for beam time at the end of the year at SPS
- First characterization of irradiated sensors (cooling required)
- ✓ 8-CBC2 hybrids for the telescope planes
- Validation of full DAQ chain, including future ASIC (Concentrator) emulated in FPGA

First results to prove the track-trigger concept

→ CMS ambitious plans for a track trigger look promising



Acknowledgements

CBC2:

RAL: Davide Braga, Lawrence Jones, Peter Murray, Mark Prydderch

IMPERIAL COLLEGE: Geoff Hall, Mark Pesaresi, Mark Raymond

CERN: Federico Faccio, Kostas Kloukinas, Stefano Michelis

2S module:

CERN: Georges Blanchot, Alan Honma, Mark Kovacs, Francois Vasey

Beam test and analysis:

CERN: Georg Auzinger, Stefano Mersi

DESY: Ali Harb, Andreas Mussgiller, Doris Eckstein

HEPHY: Thomas Bergauer, Wolfgang Treberspurg

IPHC: Christian Bonnin, Kirill Skovpen, Laurent Charles, Laurent Gross

KIT: Alexander Dierlamm, Martin Printz

IMPERIAL COLLEGE: Davide Braga, Jonathan Fulcher, Mark Pesaresi, Mark Raymond

SAHA INSTITUTE OF NUCLEAR PHYSICS: Suchandra Dutta, Suvankar Roy Chowdhury

UC LOUVAIN: Christophe Delaere, Jerome De Favereau, Martin Delcourt

UNIVERSITY OF BRISTOL: David Cussans, Fionn Ball

control, commissioning
& data taking