



European School of Instrumentation in Particle & Astroparticle Physics

2-3 February 2014, Archamps

Tracking

Lecture outline

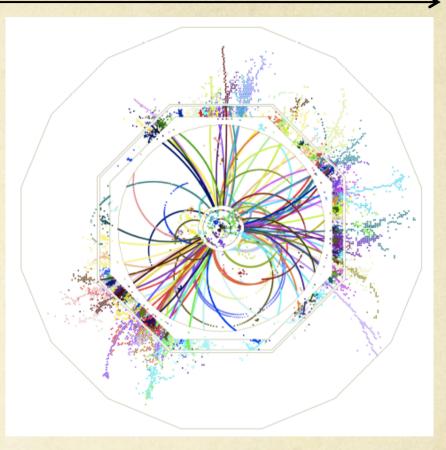
- 1. Basic concepts
- 2. Position sensitive detectors
- 3. Standard algorithms
- 4. Advanced algorithms
- 5. Optimizing a tracking system
- 6. References

1. Motivations & basic concep

- O Motivations
- The 2 main tasks
- Environmental considerations

Motivations

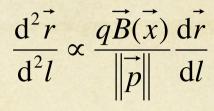
- O Understanding an event
 - ✓ Individualize tracks ≃ particles
 - Measure their properties
 - → LHC: ~1000 particles per 25 ns "event"
- Track properties
 - ➤ Momentum
 - Reconstruct invariant masses
 - → Energy
 - → Mass ⇔ identification
 - → Origin ⇔ vertexing (track merging)
 - Identify decays
 - Measure flight distance

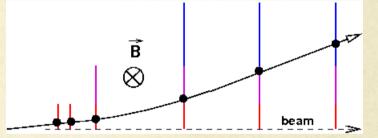


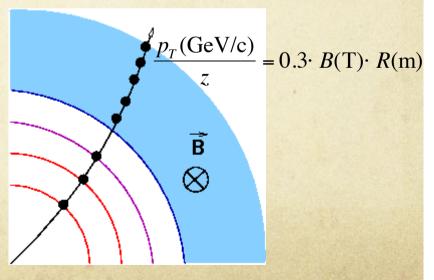
8 jets event (tt-bar h) @ 1 TeV ILC

Momentum measurement

- Magnetic field curves trajectories $\frac{dp}{dt} = q\vec{v} \times \vec{B}$
 - → Rewritten with position (x) and path length (l) = basic equation
 - → In B=4T a 1 GeV/c particle will get a sagitta of 1.5 mm
- Fixed-target experiments
 - Dipole magnet
 - Measurement of deflection (angle variation)
- O Collider experiment
 - → Barrel-type with axial B
 - Measurement of curvature (sagitta)
- O Other arrangements
 - Toroidal B... not covered
- Two consequences
 - Position sensitive detectors needed
 - Any perturbation effects on trajectories is a pain







Multiple scattering - 1/2

- Reminder on the physics (see other courses) 0
 - Coulomb scattering mostly on nuclei
 - Molière theory description as a centered gaussian process
 - the thinner the material, the less true \rightarrow large tails
- In-plane description (defined by vectors p_{in}, p_{out}) 0 Corresponds to (ϕ, θ) with $\mathbf{p}_{in} = \mathbf{p}_z$ and $p_{out}^2 = p_{out,z}^2 + p_{out,z}^2$

$$\begin{cases} p_{out,z} \cos\theta \approx p_{out,z} \\ p_{out,T} \sin\theta \approx p_{out,T} \\ \end{cases}$$

$$\sigma_{\theta} = \frac{13.6 \text{ (MeV/c)}}{\beta p} \cdot z \cdot \sqrt{\frac{\text{thickness}}{X_0}} \cdot \left[1 + 0.038 \ln(\frac{\text{thickness}}{X_0})\right]$$

- 0
- In-space description (defined by fixed x/y axes) $\rightarrow \quad \text{Corresponds to } (\theta_x, \theta_y) \text{ with } p_{out,T}^2 = p_{out,x}^2 + p_{out,y}^2 \begin{cases} p_{out,x} \sin \theta_x \approx p_{out,T} \theta_x \\ p_{out,y} \sin \theta_y \approx p_{out,T} \theta_y \end{cases} \Rightarrow \quad \theta^2 = \theta_x^2 + \theta_y^2$
 - θ x and θ y are independent gaussian processes $\sigma_{\theta}^2 = \sigma_{\theta x}^2 + \sigma_{\theta y}^2$ and $\sigma_{\theta y} = \sigma_{\theta y} = \frac{\sigma_{\theta}}{\sqrt{2}}$

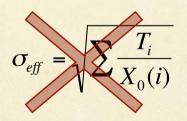
Multiple scattering - 2/2

- Important remark when combining materials
 - Total thickness $T = \Sigma T_i$, each material (i) with $X_0(i)$
 - → Definition of effective radiation length \Rightarrow $X_{0,ef}$
 - → Consider single gaussian process σ_e

th
$$\rightarrow X_{0,eff} = \frac{\sum T_i \times X_0(i)}{T}$$

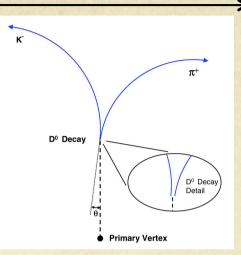
of $\propto \sqrt{\frac{T}{X_{0,eff}}}$

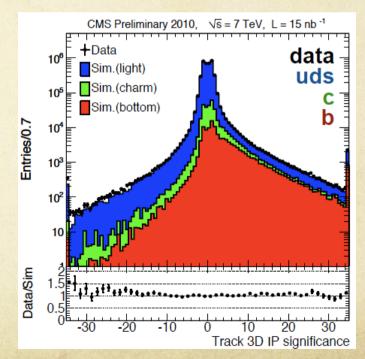
and never do variance addition



Vertexing

- O Identifying through topology
 - Short-lived weakly decaying particles
 - Charm c τ ~ 120 μm
 - Beauty c τ ~ 470 μm
 - Exclusive reconstruction
 - Decay topology with secondary vertex
 - Inclusive reconstruction
 - Flavor tagging partly based on impact parameter
 - $\sigma_{\rm IP}$ ~ 20-100 µm requested
- Finding the origin
 - Where did the collision did occur?
 - Primary vertex (could be multiple)
 - (life)Time dependent measurements
 - CP-asymmetries @ B factories ($\Delta z \approx 60-120 \mu m$)
- Remarks:
 - Usually no measurement below 1-2 cm / vertex
 - ➤ Requires extrapolation



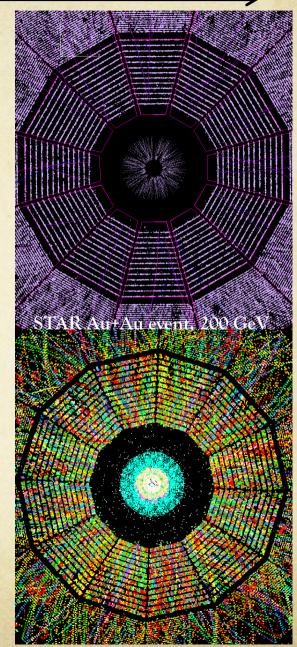


Energy measurement

- Usually not a tracker task 0
 - CALORIMETERs (see lecture by Isabelle)
 - Indeed calorimeters gather material to stop particles + while trackers try to avoid material (multiple scattering)
 - however...calorimetry tries to improve granularity
- Particle flow algorithm 0
 - LHC / ILC
- Energy evaluation by counting particles 0
 - Clearly heretic from calorimetry experts
 - NOTCOVEREL Required to separate Edeposit in dense environment
- Range measurement for low energy particles 0
 - Stack of tracking layers
 - Modern version of nucelar emulsion

The two man tasks

- Basic inputs from detectors
 - Succession of 2D or 3D points (or track segments)
 - → Who's who ?
- O 2 steps process
 - Step 1: track identification = finding = pattern recognition
 - Associating a set of points to a track
 - Step 2: track fitting
 - Estimating trajectory parameters → momentum
- Both steps require
 - Track model (signal, background)
 - Knowledge of measurement uncertainties
 - Knowledge of materials traversed (Eloss, mult. Scattering)
- Vertexing needs same 2 steps
 - Identifying tracks belonging to same vertex
 - Estimating vertex properties (position + 4-vector)



1. Motivations & Basic Concepts: Environmental conditions – 1/2

- Life in a real experiment is tough (for detectors of course)
 - ➤ Chasing small cross-sections → large luminosity and/or energy
 - Short interval between collisions
 - LHC: 25 ns
 - CLIC: 5 ns (but not continuous)
 - → Large amount of particles ⇒ background, radiation
 - makes the finding more complicated
 - Vacuum could be required (space, very low momentum particles (CBM, LHCb))
- Radiation tolerance
 - Two types of energy loss
 - Ionizing (generate charges): dose in Gy = 100 Rad
 - Non-ionizing (generate defects in solid): fluence in $n_{eq}(1 \text{ MeV})/\text{cm}^2$
 - → The more inner the detection layer, the harder the radiation (radius² effect)
 - Examples for most inner layers:
 - LHC: 10^{15} to $<10^{17} n_{eq}(1 \text{ MeV})/\text{cm}^2$ with 50 to 1 MGy
 - ILC: $<10^{12} n_{eq}(1 \text{ MeV})/\text{cm}^2$ with 5 kGy

Environmental conditions – 2/2

- Timing consideration
 - Readout speed limits dead time
 - Time resolution offers time-stamping of tracks
 - Tracks in one "acquisition event" could be associated to their proper collisions event if several have piled-up
- O Heat concerns
 - → Spatial resolution → segmentation
 Readout speed → power dissipation/channel

Hot cocktail!

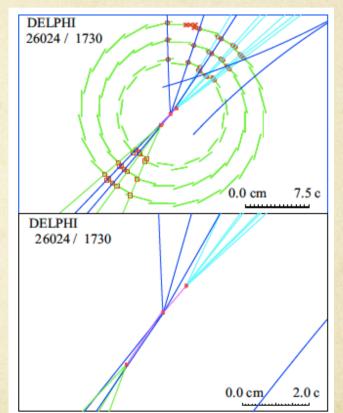
 Efficient cooling techniques exist BUT add material budget and may not work everywhere (space)

O Conclusion

- → Tracker technology driven by environmental conditions: hadron colliders (LHC)
- → Tracker technology driven by physics performances: lepton colliders (B factories, ILC)
- → Of course, some intermediate cases: superB factories, CLIC

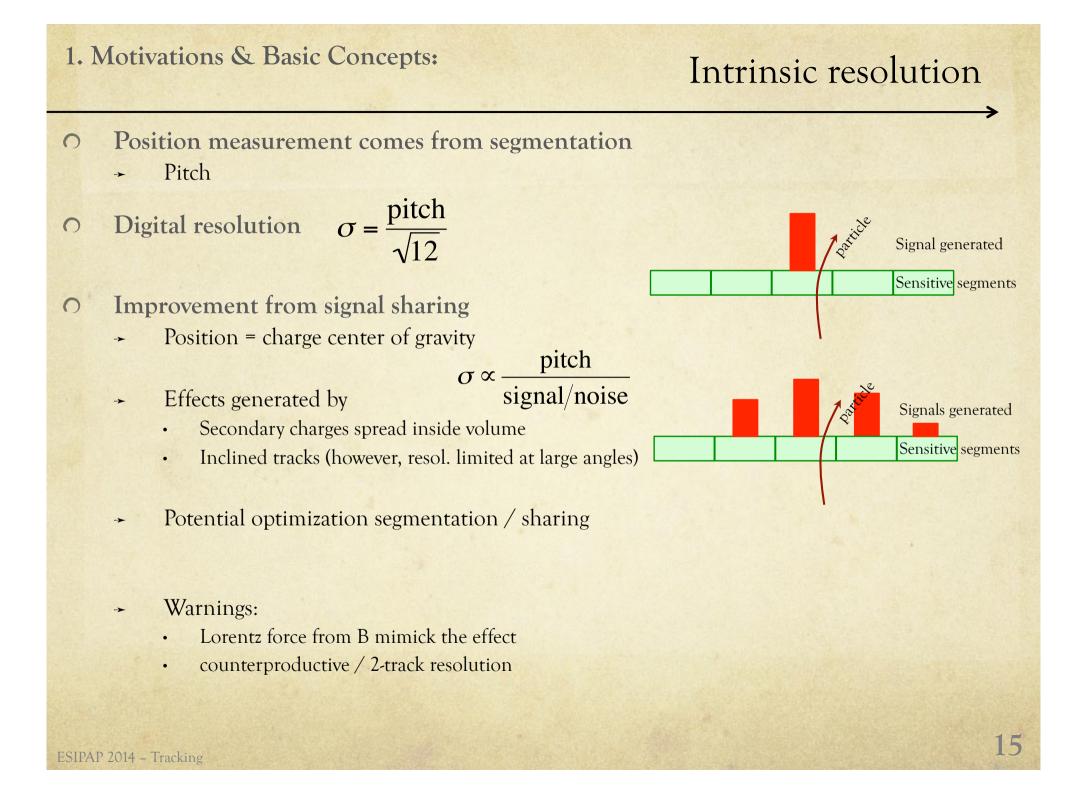
Figures of Merit

- For detection layer
 - Detection efficiency
 - Mostly driven by Signal/Noise
 - <u>Note:</u> Noise = signal fluctuation \oplus readout (electronic) noise
 - Intrinsic spatial resolution
 - Driven by segmentation (not only)
 - Useful tracking domain $\sigma < 1$ mm
 - Material budget
 - → "Speed" (integration time, count rate, ...)
- O Two-track resolution
 - Ability to distinguish to nearby trajectories
 - Mostly governed by signal spread
- Momentum resolution $\frac{\sigma(p)}{p}$
- Impact parameter resolution
 - Sometimes called "distance of closest approach" to a vertex



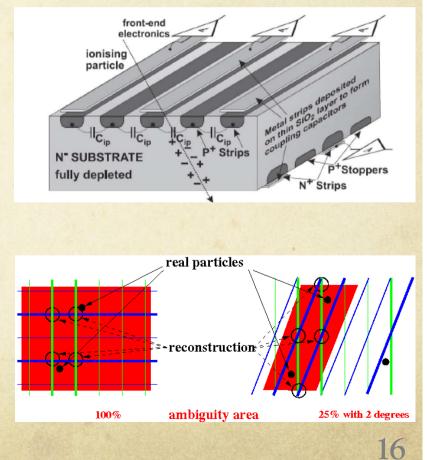
2. Detection technologies

- O Intrinsic resolution
- Single layer systems
 - → Silicon, gas sensors
- O Multi-layer systems
 - Drift chamber and TPC
- Tentative comparison
- O Magnets
- 0 Leftovers



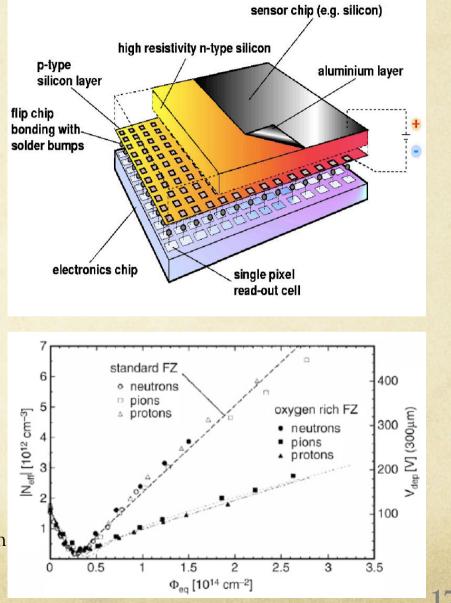
Silicon sensors: strips

- Basic sensitive element
 - E-h pairs are generated by ionization in silicon
 - 3.6 eV needed
 - 300 µm thick Si generates ~ 22000 charges for MIP BUT beware of Landau fluctuation
 - Collection: P-N junction = diode
 - Depletion (10 to 0.5 kV) generates a drift field (10⁴ V/cm)
 - Collect time \sim 15 ps/µm
- Silicon strip detectors
 - sensor"easily" manufactured
 with pitch down to ~25 μm
 - → 1D if single sided
 - → Pseudo-2D if double-sided
 - Stereo-angle useful against ambiguities
 - Difficult to go below 100 µm thickness



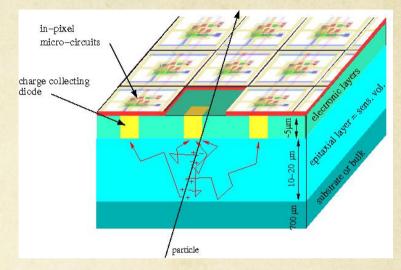
Silicon sensors: hybrid-pixels

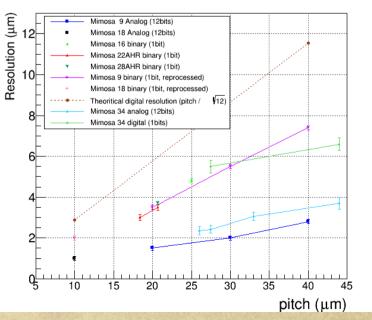
- O Concept
 - $\rightarrow \quad \text{Strips} \rightarrow \text{pixels on sensor}$
 - One to one connection from electronic channels to pixels
- Performances
 - Real 2D detector
 & keep performances of strips
 - Can cope with LHC rate (speed & radiation)
 - Pitch size limited by physical connection and #transistors for treatment
 - minimal (today): 50x50 μm²
 typical: 100x150/400 μm²
 - spatial resolution about10 μm
 - → Material budget
 - Minimal(today): 100(sensor)+100(elec.) μm
 - Power budget: 10 μW/pixel



CMOS Pixel Sensor

- O Concept
 - Use industrial CMOS process
 - Implement an array of sensing diode
 - Amplify the signal with transistors near the diode
 - Gain in granularity: pitch down to \sim 10 μ m
 - Gain in sensitive layer thickness \sim 10-20 μ m
 - → BEWARE: depletion not systematically available
 - Slow (100 ns) thermal drift
- Performances
 - Spatial resolution 1-10 μm (in 2 dimensions)
 - Material budget: $\leq 30 \,\mu m$
 - → Power budget: 1-5 µW/pixel
 - → Integration time ~ 50-100 µs demonstrated
 - 1 µs in development



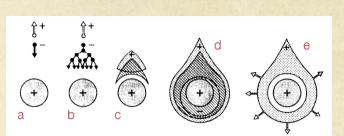


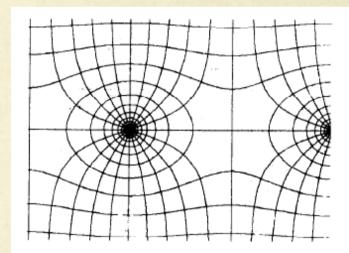
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Mimosa resolution vs pitch

Wire chambers

- Basic sensitive element
 - → Metallic wire, 1/r effect generated an avalanche
 - Signal depends on gain (proportional mode) typically 10⁴
 - ➤ Signal is fast, a few ns
- Gas proportional counters
 - Multi-Wire Proportional Chamber
 - Array of wires
 - 1 or 2D positioning depending on readout
 - Wire spacing (pitch) limited to 1-2 mm
 - → Straw or drift tube
 - One wire in One tube
 - Extremely fast (compared to Drift Chamber)
 - Handle high rate
 - Spatial resolution <200 μm
 - Left/right ambiguity





Electric fields line around anode wires

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Wire chambers "advanced"

harge probability on 5 MeV α particle 10⁻⁵ 10⁻⁶

10⁻¹ 520

20

Micro-pattern gas multipliers MSGC Replace wires with lithography micro-structures FAST TRIGGER Smaller anodes pitch 100-200 µm • BUT Ageing difficulties due to high voltage and manufacturing not so easy OORDINATE GEM X-COORDINATE Gain 10⁵ Multigern gain-rlischar 10⁵ Hit rate 10⁶ Hz/cm2 **Fotal Gain** MICROMEGAS 10^{4} RIPLE GEN Even smaller distance anode-grid Hit rate 10⁹ Hz/cm2 10^{3} SINGLE GEM -a-aa--a--aa D - - -More development 10^{2} 500 360 380 400 420460480Electron emitting foil working in vacuum! $\Delta V(V)$

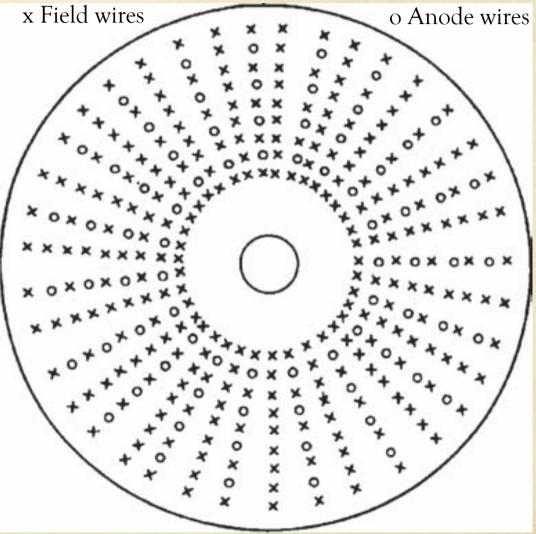
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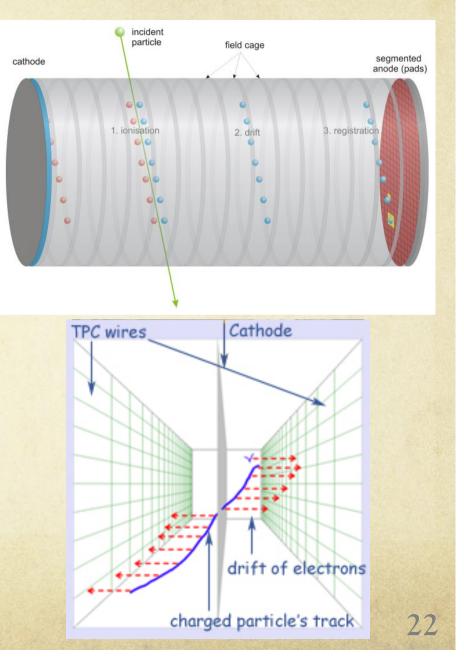
Drift chambers

- Basic principle
 - Mix field and anode wires
 - Generate a drift
 - Pressurize gas to increase charge velocity (few atm)
 - → 3D detector
 - 2D from wire position
 - 1D from charge sharing at both ends
- O Spatial Resolution
 - Related to drift path $\sigma \propto \sqrt{\text{drift length}}$
 - Typically 100-200 μm
- O Remarks
 - Could not go to very small radius



Time Projection Chambers 1/2

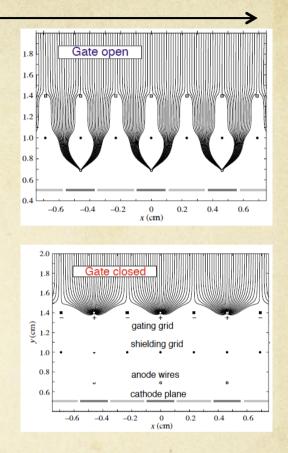
- Benefits
 - → Large volume available
 - Multi-task: tracking + Part. Identification
- Basic operation principle
 - → Gas ionization \rightarrow charges
 - → Electric field → charge drift along straight
 - → End cap readout
 - wire proportional chamber type
 - Information collected
 - 2D position of charges at end-cap
 - 3rd dimension from drift time
 - Energy deposited from #charges
 - ➤ Different shapes:
 - rectangles (ICARUS)
 - Cylinders (colliders)
 - Volumes can be small or very large



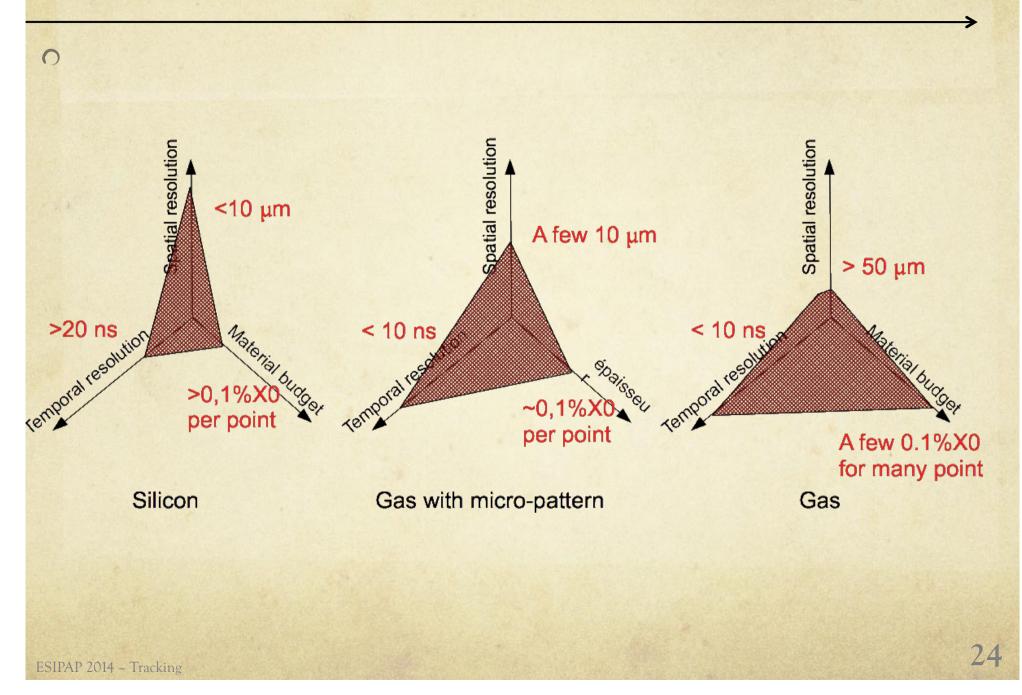
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Time Projection Chambers 2/2

- End cap readout
- Performances
 - ➤ Two-track resolution ~ 1cm
 - Transverse spatial resolution \sim 100 200 μ m
 - Longitudinal spatial resolution ~0.2 1 mm
 - Longitudinal drift velocity: 5 to 7 cm/µs
 - ALICE TPC (5m long): 92 µs drift time
 - Limiting usage with respect to collision rate



Tentative comparison



O Solenoid

- Field depends on current I, length L, # turns N
 - on the centerline $B = -\pi$
- $B = \frac{\mu_0 NI}{\sqrt{L^2 + 4R^2}}$
 - Typically: 1 T needs 4 to 8 kA \rightarrow superconducting metal to limit heat
- Field uniformity needs flux return (iron structure)
 - Mapping is required for fitting (remember B(x)?)
 - Usually performed with numerical integration
- ➤ Calorimetry outside ➤ limited material ➤ superconducting

→ Fringe field calls for compensation		Field (T)	Radius (m)	Length (m)	Energy (MJ)
	ALICE	0.5	6		150
	ATLAS	2	2.5	5.3	700
 Supercondiction → cryo-operation → quenching possible ! 	CMS	4	5.9	12.5	2700
	ILC	4	3.5	7.5	2000

- Magnetic field induces energy: $E \propto B^2 R^2 L$
 - Cold mass necessary to dissipate heat in case of quench

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Magnets

Practical considerations

- From a detection principle to a detector
 - → Build large size or many elements
 - Manufacture infrastructures
 - Characterization capabilities
 - Production monitoring
 - Integration in the experiment
 - Mechanical support
 - Electrical services (powering & data transmission)
 - Cooling (signal treatment dissipates power)
 - → Specific to trackers
 - Internal parts of multi-detectors experiment \rightarrow limited space
 - Material budget is ALWAYS a concern
 - \Rightarrow trade-offs required

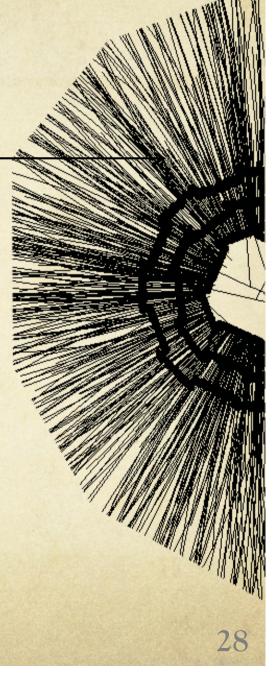
Leftovers

- O Silicon drift detectors
 - Real 2D detectors made of strips
 - 1D is given by drift time
- O Diamond detectors
 - Could replace silicon for hybrid pixel detectors
 - Very interesting for radiation tolerance
- Plasma sensor panels
 - Derived from flat television screen
 - Still in development
- Charge Coupled Devices (CCD)
 - Fragile/ radiation tolerance
- ∧ Signal generation
 → see Ramo's theorem

- 0 DEPFET
 - → Depleted Field Effect Transistor detector
 - Real 2D and partly monolithic
- Nuclear emulsions
 - One of the most precise $\sim 1 \mu m$
 - ➤ No timing information → very specific applications
- 0 Scintillators
 - Extremely fast (100 ps)
 - Could be arranged like straw tubes
 - But quite thick $(X_0 \sim 2 \text{ cm})$

3. Standard algorithms

- Finders
- First evaluation of momentum resolution
- 0 Fitters
- O Alignment



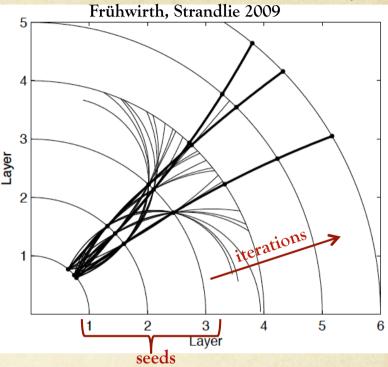
FINDING : 2 strategies

- O Global methods
 - Transform the coordinate space into pattern space
 - "pattern" = parameters used in track model
 - Identify the "best" solutions in the new phase space
 - Use all points at a time
 - No history effect
 - Well adapted to evenly distributed points with same accuracy
- O Local methods
 - Start with a track seed = restricted set of points
 - Then extrapolate to next layer-point
 - And so on...iterative procedure
 - "Wrong" solutions discarded at each iteration
 - Possibly sensitive to "starting point"
 - Well adapted to redundant information

FINDING drives tracking efficiency

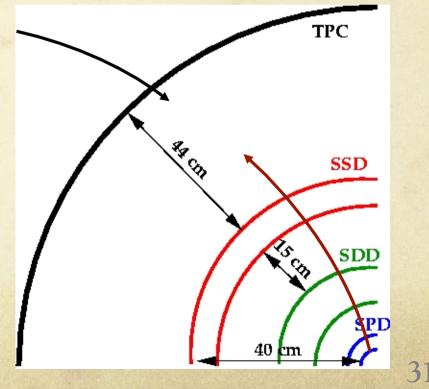
Local method

- Track seed = initial segment
 - → Made of few (2 to 4) points
 - One point could be the expected primary vtx
 - Allows to initialize parameter for track model
 - Farther from primary interaction
 - At lowest density
 - Limit mixing points from different tracks
 - Variant: choose inner layers first to benefit from precision
- Extrapolation step
 - Usually inward (toward primary vtx) onto the next layer
 - Not necessarily very precise, especially only local model needed
 - Extrapolation uncertainty ≤ layer point uncertainty
 - Computation speed important
 - Match (associate) nearest point on the new layer
 - Might skip the layer if point missing
 - Might reject a point on 2 basis



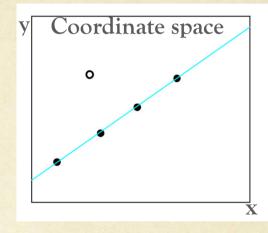
Local method

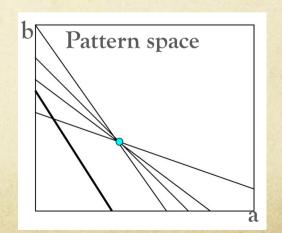
- Variant with track segments
 - First build "tracklets" on natural segments
 - Sub-detectors, or subparts with same resolution
 - Then match segments together
 - Typical application:
 - Segments large tracker (TPC) with vertex detector (Si)
 → layers dedicated to matching
- Variant with track roads
 - Full track model used from start
- O Variant with Kalman filter
 - → See later



Global methods

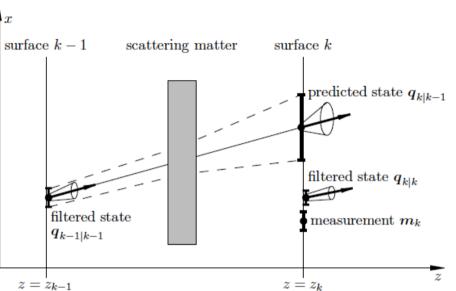
- Brute force = combinatorial way
 - Consider all possible combination of points to make a track
 - Keep only those compatible with model
 - → Usually too time consuming...
- Hough transform
 - Example straight track:
 - Coord. space $y = a^*x + b \Leftrightarrow$ pattern space $b = y x^*a$
 - Each point (y,x) defines a line in pattern space
 - All lines, from points belonging to same straight-track, cross at same point (a,b)
 - In practice: discretize pattern space and search for maximum
 - Applicable to circle finder
 - needs two parameters as well (r, ϕ of center) if track is assumed to originate from (0,0)
 - More difficult for more than 2 parameters...





FITTING

- Why do we need to fit?
 - Measurement error
 - Multiple scattering error
- Recursive method (linear χ^2 and Kalman filter)
 - → Start from an initial set of parameters:
 - Propagate to next layer:
 - New parameters
 - AND new covariance matrix
 - Update the covariance matrix with additional uncertainties from
 - Material budget between layers
 - Use new point to update (FIT) parameters and covariance
 - → Iterate...
- 0 Notes
 - The method is only matrix computation
 - Can be used for finding as well after propagation step (local finder)
 - Some points can be discarded if considered as outliers in the fit (use χ^2 value)

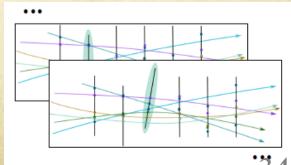


FITTING drives track extrapolation & momentum res.

Alignment strategy

- Let's come back to one hypothesis
 - → We know were the point are located
 - → True to the extent we know were the detector is!
 - BUT, mechanical instability (magnetic field, temperature, air flow...) and also drift speed variation (temperature, pressure, field inhomogeneity...) limit our knowledge
 - Periodic determination of positions and deformations needed = alignment
- Methods
 - → Track model depends on new "free" parameters, i.e. the alignment
 - → Global alignment:
 - Fit the new params. to minimize the overall χ^2 of a set of tracks (Millepede algo.)
 - Beware: many parameters could be involved (few 10³ can easily be reached)
 - Iterative alignment:
 - Use tracks reconstructed with reference detectors and align other detectors by minimizing the "residual" (track-hit distance) width
 - Use a set of well know tracks and tracking-"friendly" environment to avoid bias



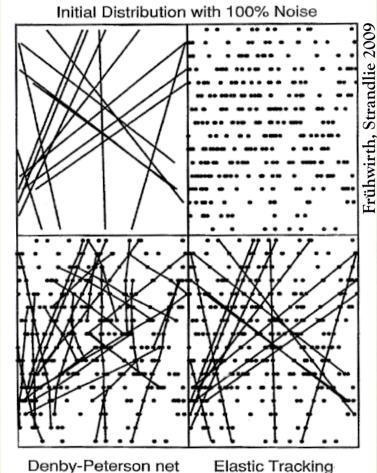


4. Advanced methods

- O Neural network
- Cellular automaton

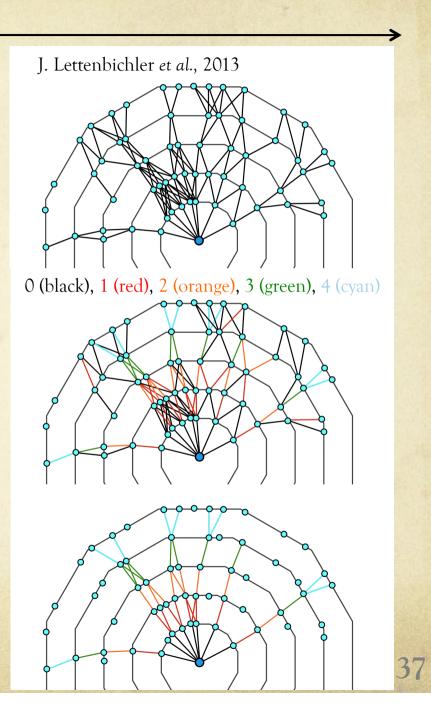
Adaptive methods

- Shall we do better?
 - Higher track/vertex density, less efficient the classical method
 - Allows for many options and best choice
- O Adaptive features
 - Dynamic change of track parameters during finding/ fitting
 - Measurements are weighted according to their uncertainty
 - Allows to take into account several "normally excluding" info
 - Many hypothesis are handled simultaneously
 - But their number decrease with iterations (annealing like behavior)
 - → Non-linearity
 - Often CPU-time costly (is that still a problem?)
- O Examples
 - Neural network, Elastic nets, Gaussian-sum filters, Deterministic annealing



• Cellular automaton

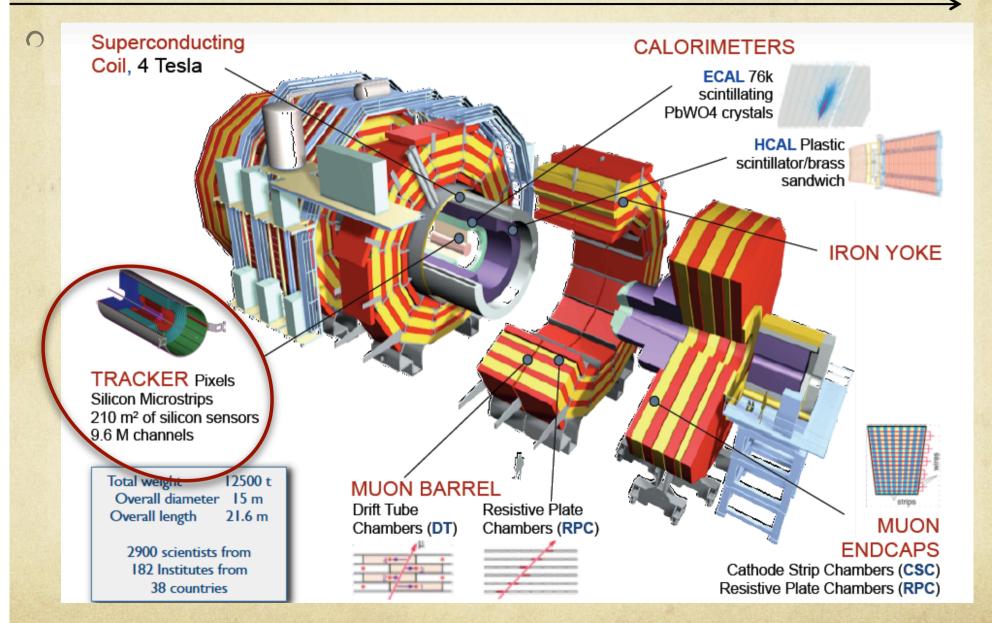
- → Initialization
 - built any cell (= segment of 2 points)
- → Iterative step
 - associate neighbour cells (more inner)
 - Raise "state" with associated celles
 - Kill lowest state cells

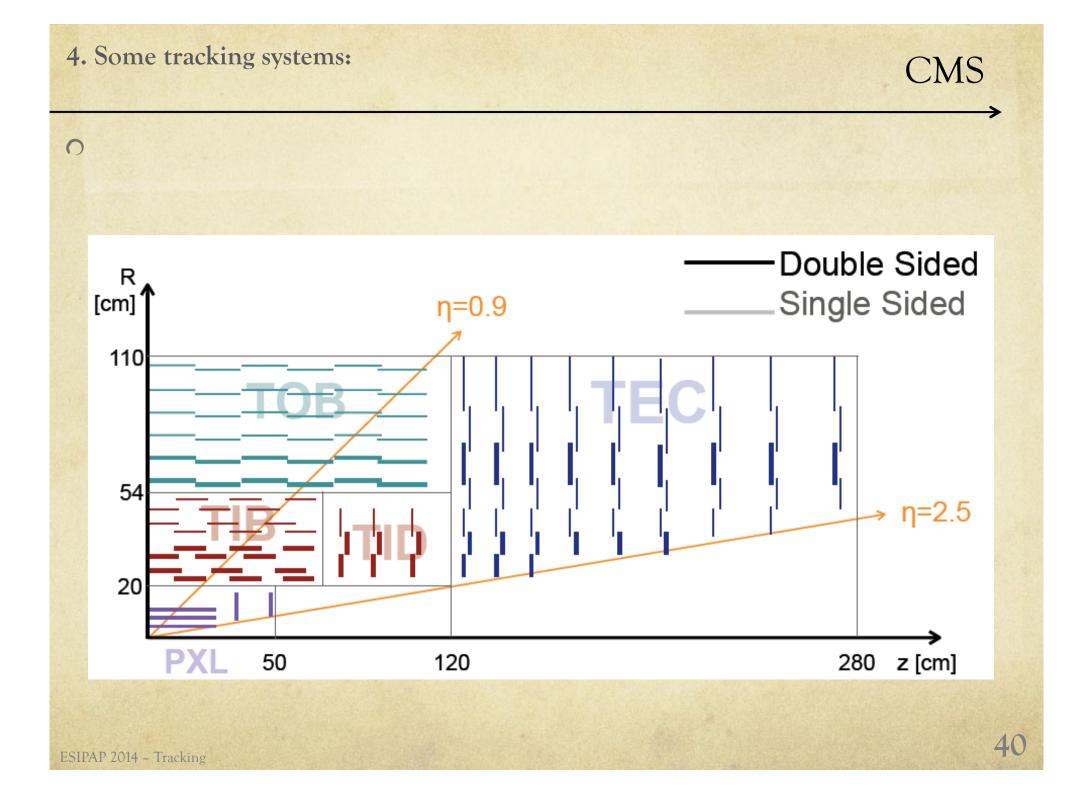


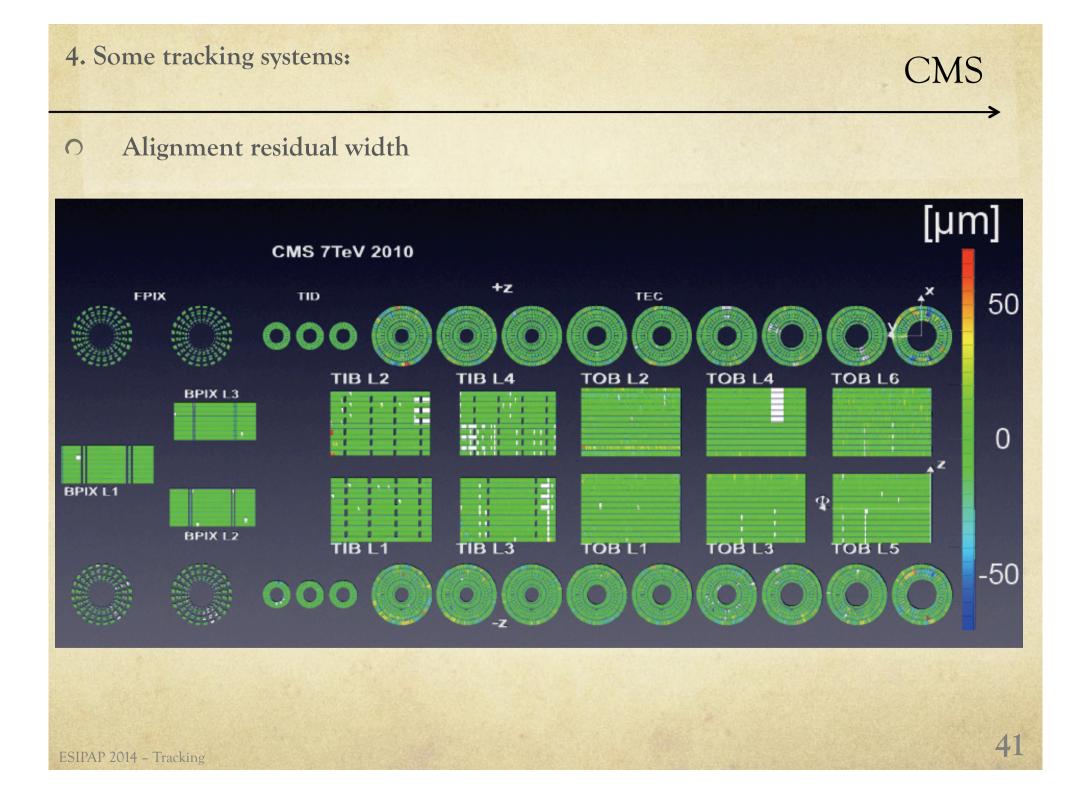
4. Deconstructing some tracking systems

- o CMS
- O AMS

CMS





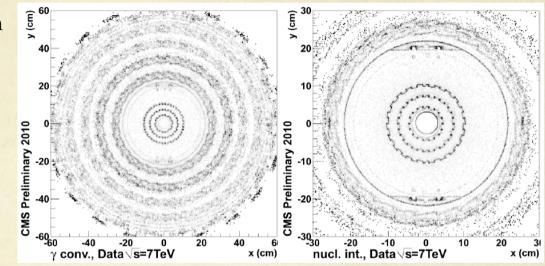


CMS

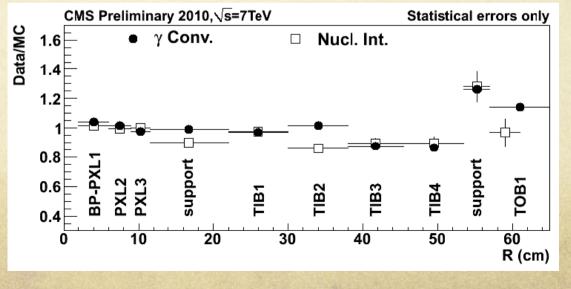
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• Taking a picture of the material budget

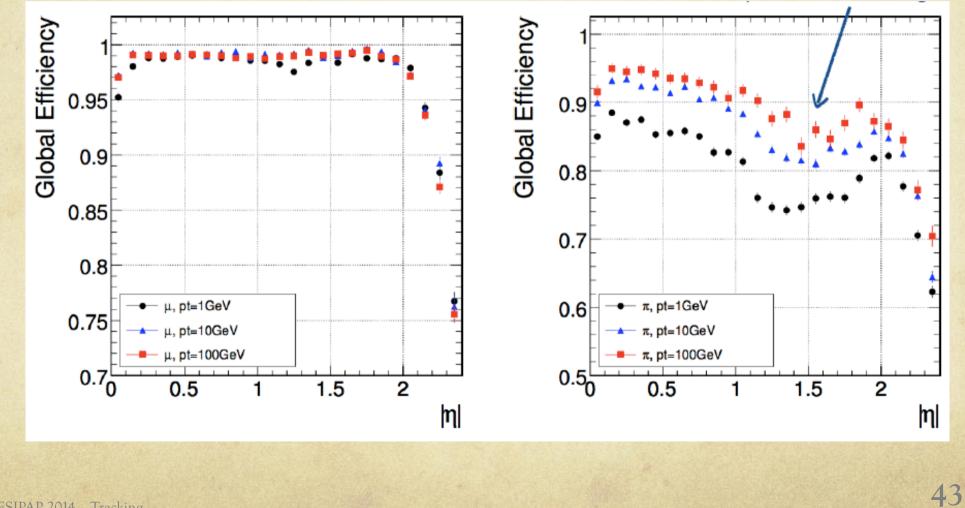
Using secondary vertices from photon conversion nuclear interaction



Measuring it by data/simulation comparison

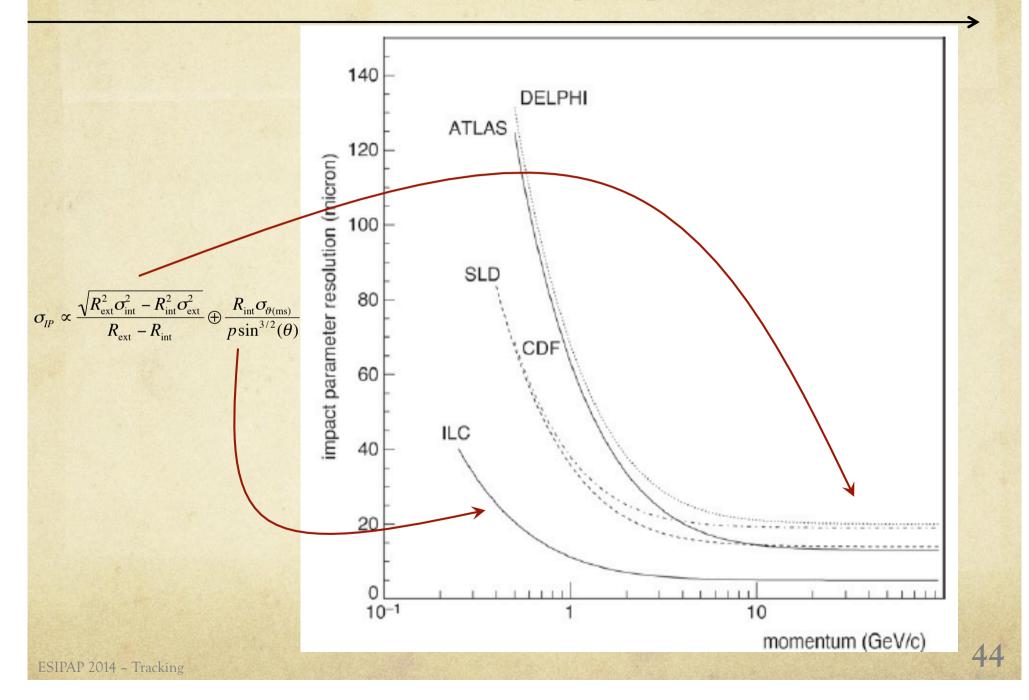


Tracking efficiency 0

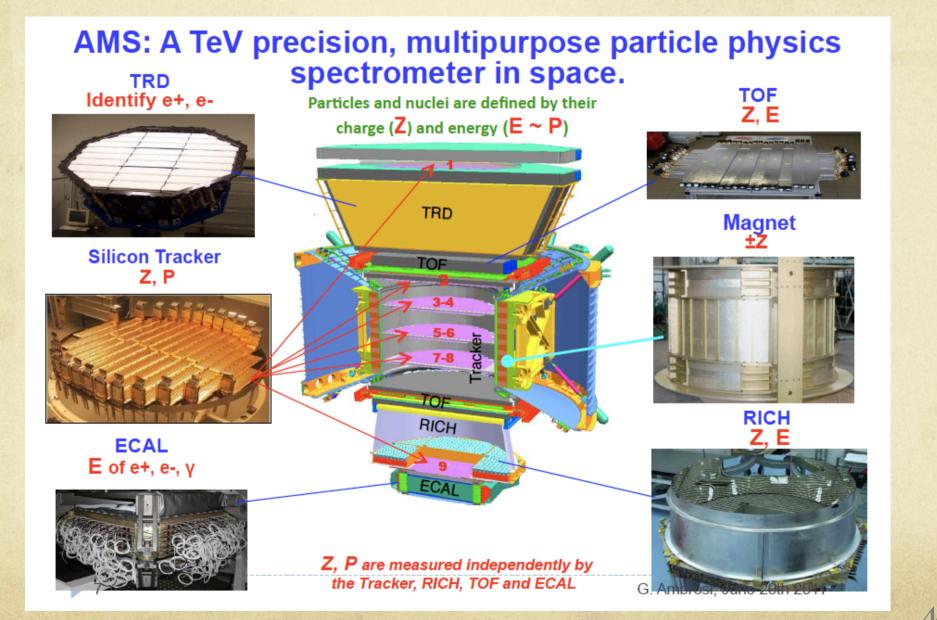


CMS

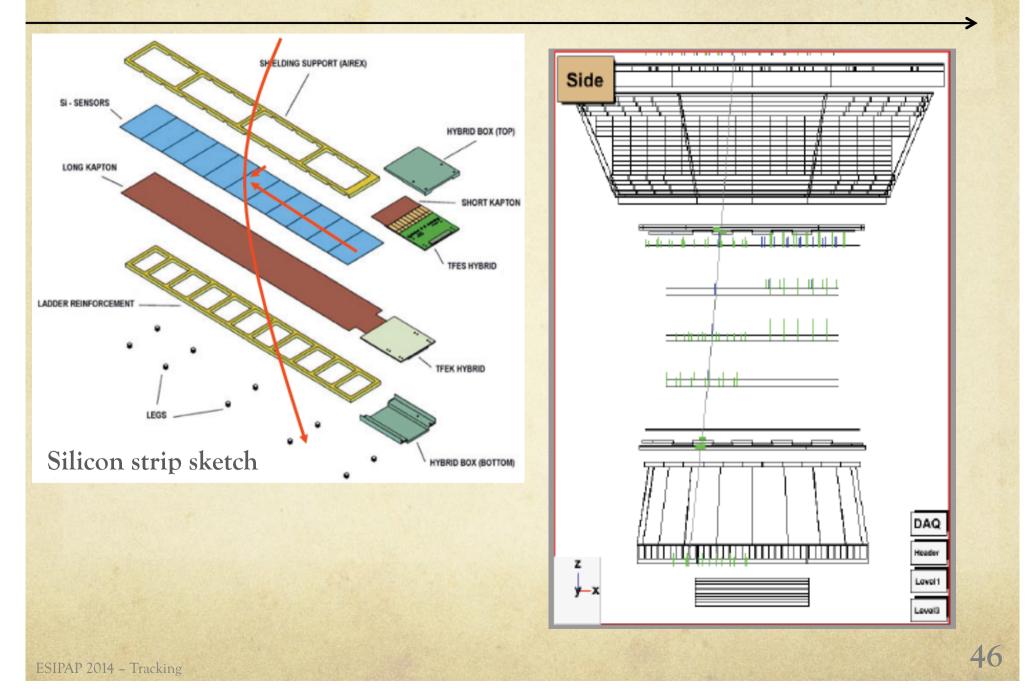
Impact parameter resolution



AMS



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Summary

- Fundamental characteristics of any tracking & vertexing device:
 - (efficiency), granularity, material budget, power dissipation, "timing", radiation tolerance
 - All those figures are intricated: each technology has its own limits
- Many technologies available
 - None is adapted to all projects (physics + environment choose, in principle)
 - Developments are ongoing for upgrades & future experiments
 - Goal is to extent limits of each techno. → convergence to a single one?
- Reconstruction algorithms
 - → Enormous boost (variety and performances) in the last 10 years
 - Each tracking system has its optimal algorithm
- Development trend
 - Always higher hit rates call for more data reduction
 - → Tracking info in trigger → high quality online tracking/vertexing
- O Link with:
 - → PID: obvious with TPC, TRD, topological reco.
 - ← Calorimetry: Particle flow algorithm, granular calo. using position sensors

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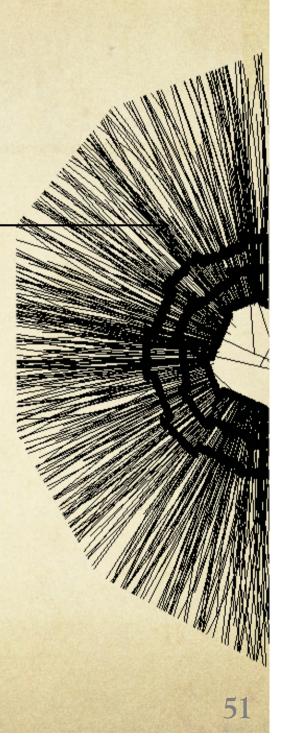
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Was not discussed

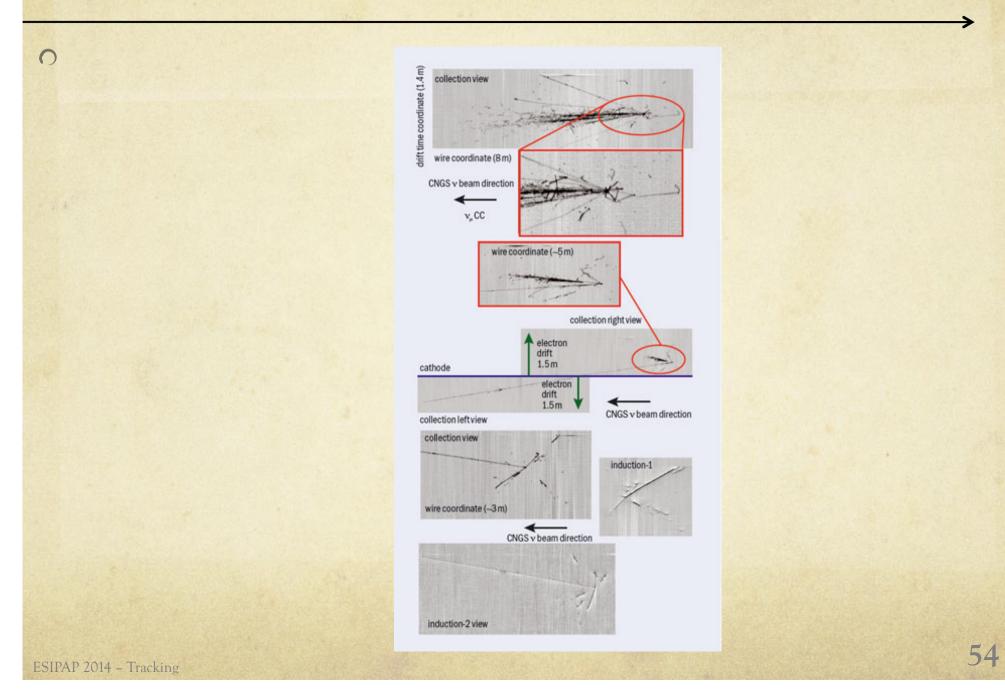
- Particle interaction with matter
- The readout electronics
- O Cooling systems
- The magnets to produce the mandatory magnetic field for momentum measurement



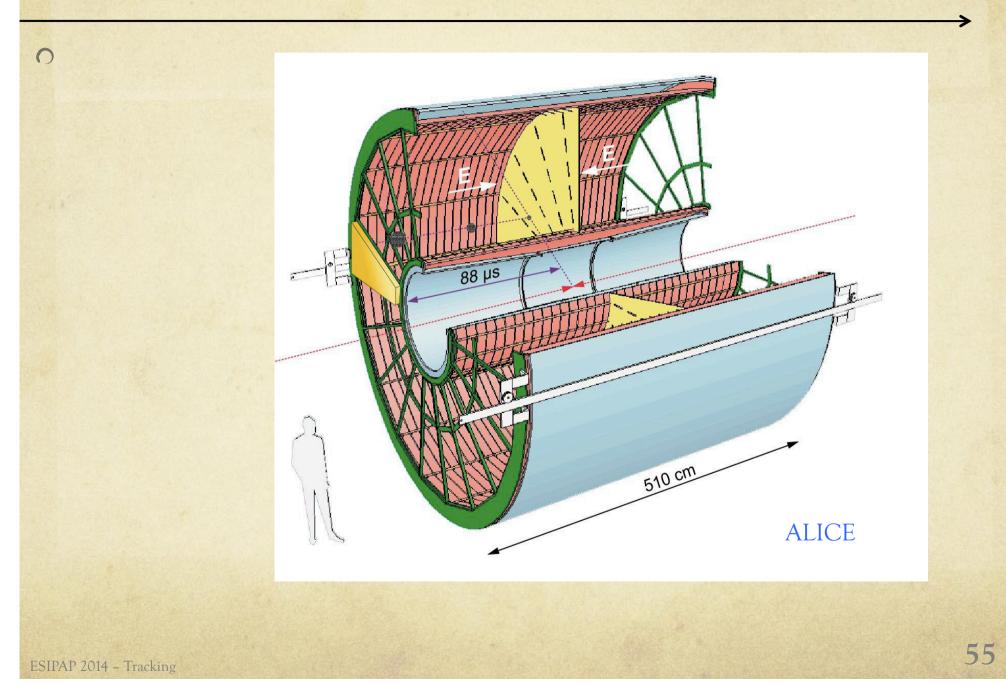
OPAL drift chamber



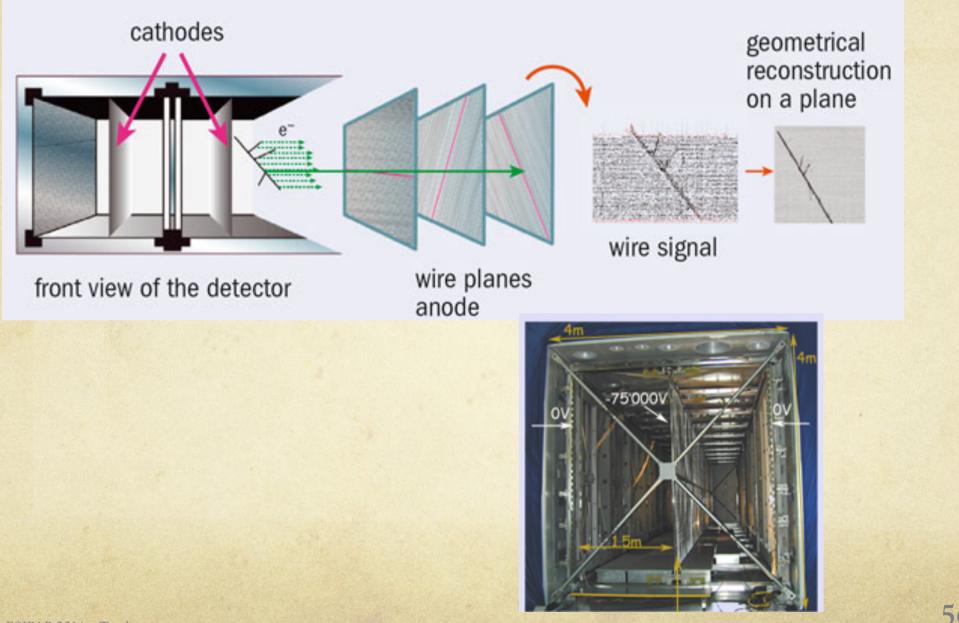
ICARUS - event

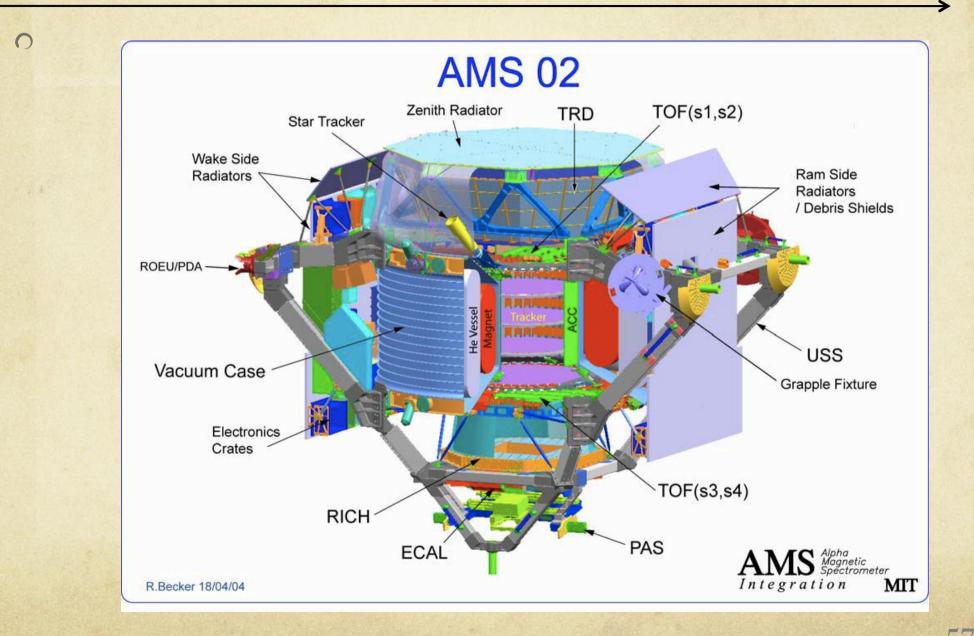


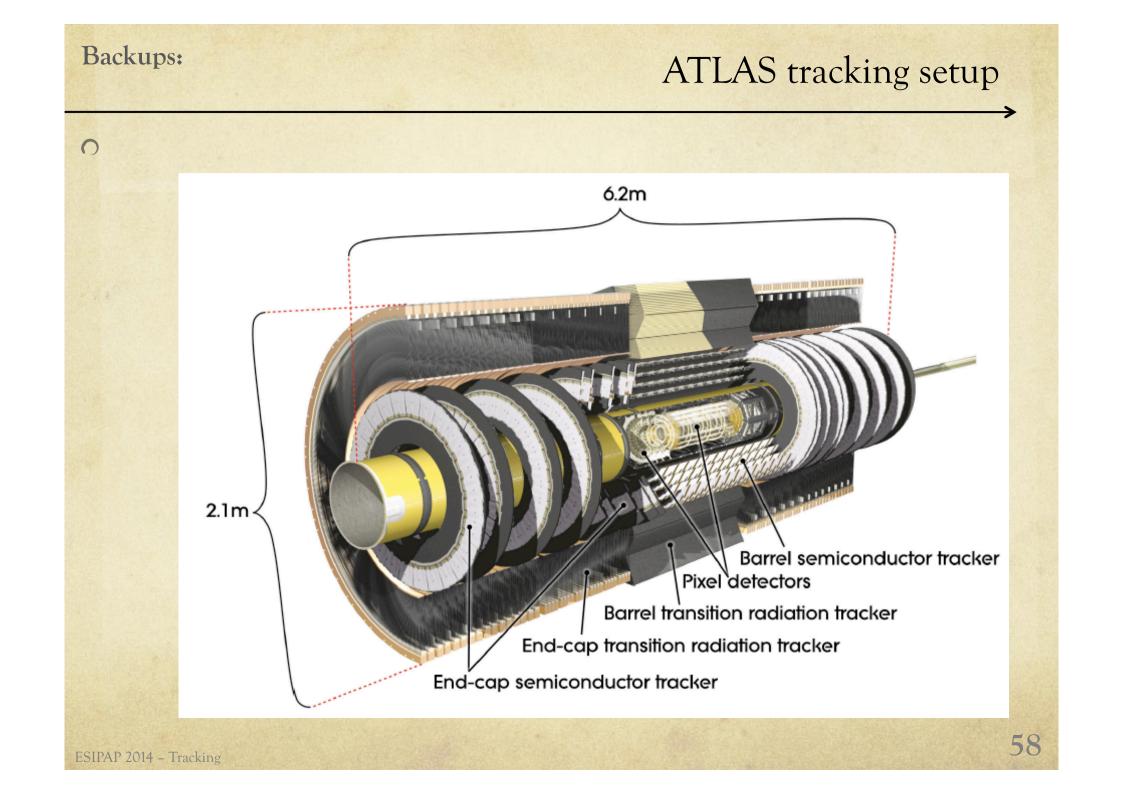
ALICE - TPC

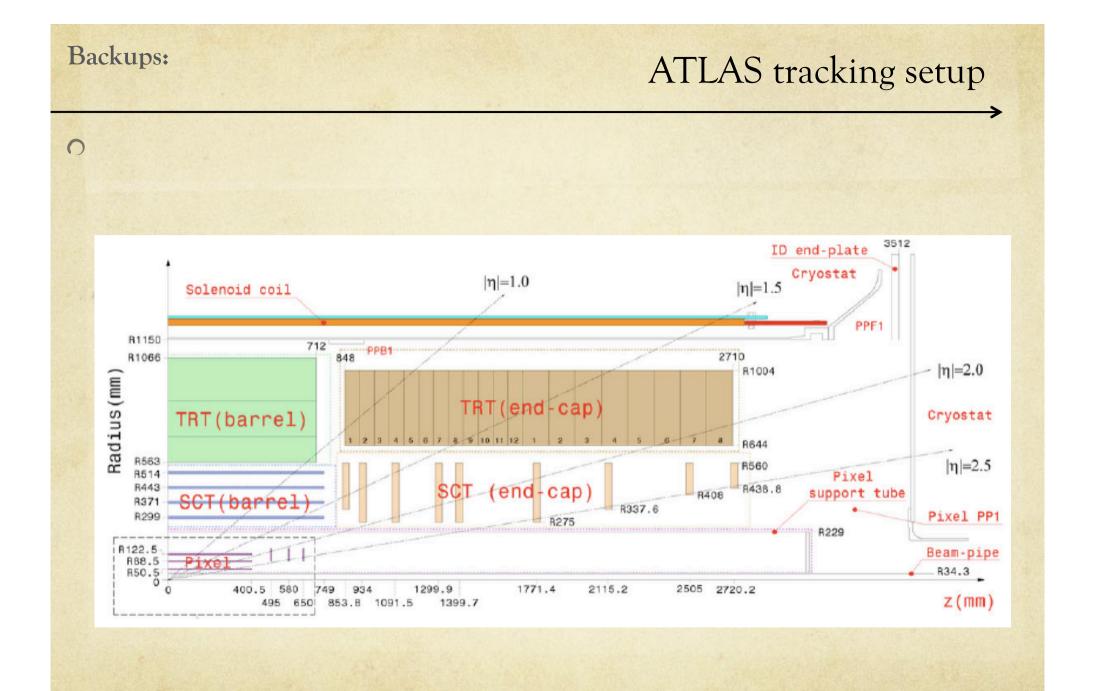


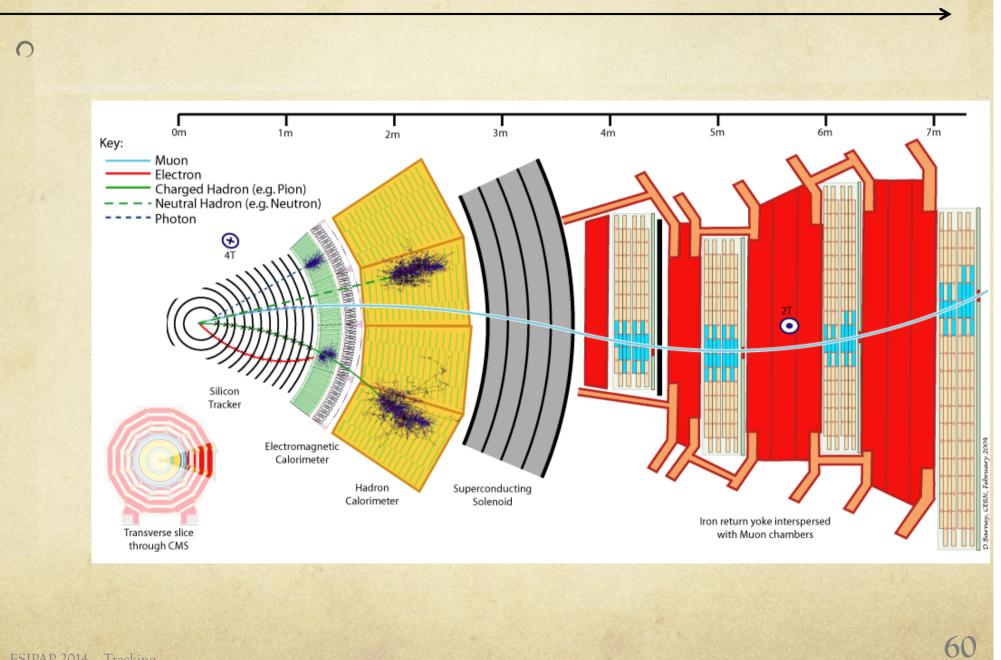
ICARUS - TPC



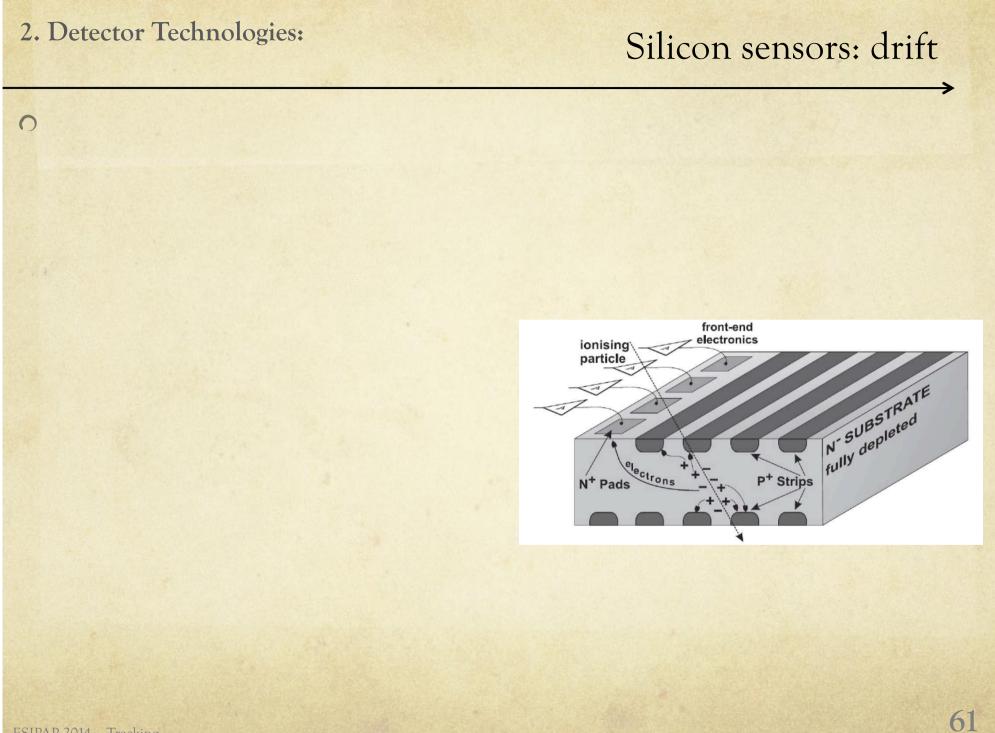




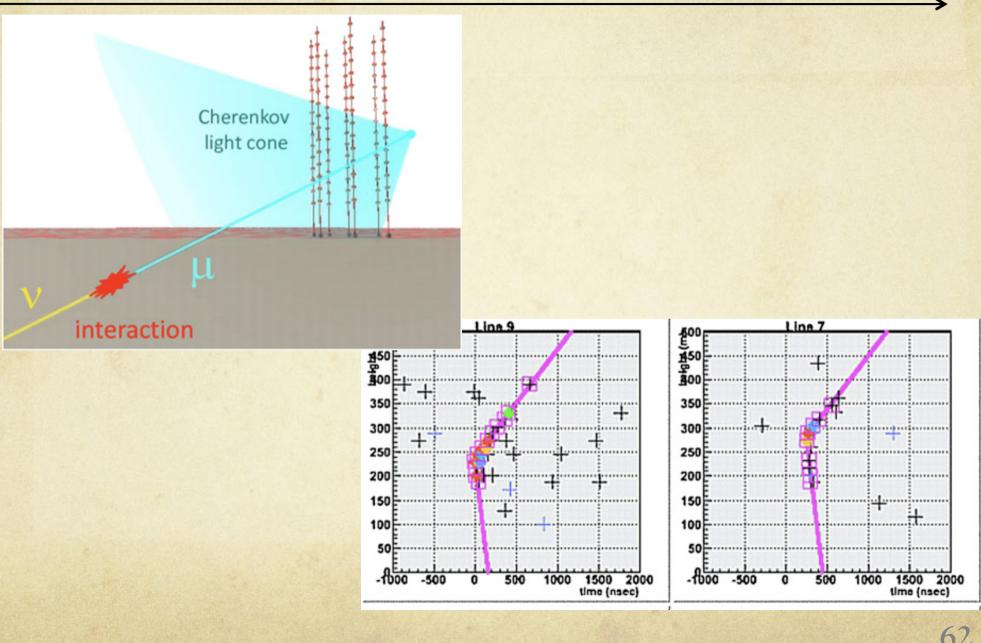




CMS



ANTARES





3. Reconstruction algorithm:

Resolution on P: fixed target

В

 $\sigma_{\alpha}^2 = \frac{16 \sigma^2}{M l^2}$

L

В

M/4 det.

M/4 det.

Δα

Pout

ΔP

M/4 det.

P

- Hypothesis 0
 - M detectors, each with σ single point accuracy
 - Uniform field over L from dipple $\Delta \alpha = \frac{0.3qBL}{2}$
 - Trajectory: $\Delta p = p \Delta \alpha$
 - Bending: •
 - Geometrical arrangement optimized for resolution
 - Angular determination on input and output angle:
- Without multiple scattering 0

$$\Delta \alpha = \left| \frac{0.3qBL}{p} \right|$$

M/4 det.

Uncertainty on momentum