

# Beam Cooling Techniques

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*"... In the Beginning was The Word..."*



1838 Joseph Liouville (J. De Math. v.3, 1838, p.349)

Theorem of phase space density conservation:

$$\frac{d\rho}{dt} = \frac{\partial\rho}{\partial t} + \sum_{i=1}^{3N} \left( \frac{\partial\rho}{\partial q_i} \cdot \frac{dq_i}{dt} + \frac{\partial\rho}{\partial p_i} \cdot \frac{dp_i}{dt} \right), \quad \frac{dq_i}{dt} = \frac{\partial H}{\partial p_i}, \quad \frac{dp_i}{dt} = -\frac{\partial H}{\partial q_i}$$

The truism saying

**"the history does not teach anything"**

has **no relation to physics**. The longstanding history of development of cooling methods is a fascinating "novel" of fighting with famous theorem formulated by Joseph Liouville in 1838:

**the theorem of phase space density conservation.**



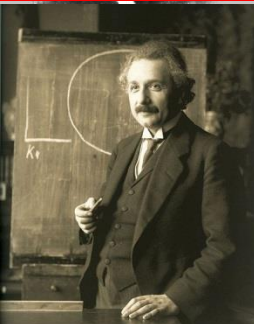
# Introduction: Prehistoric Period

Therefore it is worth to remind that particle beam physics is based on the works of the Great Predessors



1872 Ludwig Eduard Boltzmann

$$\frac{\partial f}{\partial t} + \frac{\vec{p}}{m} \frac{df}{d\vec{r}} + \vec{F} \frac{\partial f}{\partial \vec{p}} = \frac{\partial f}{\partial t} \Big|_{coll} \quad \text{Kinetic equation}$$



1906 Albert Einstein, 1913 Marian Smoluchowsky

Equation of Brownian motion

$$\frac{\partial f}{\partial t} + \frac{\vec{p}}{m} \frac{df}{d\vec{r}} + \vec{F} \frac{\partial f}{\partial \vec{p}} = \frac{\partial}{\partial p} \xi \left( \frac{p}{m} f + T \frac{\partial f}{\partial \vec{p}} \right)$$



1914 Adrian Fokker, 1917 Max Planck

Equation of dipole rotation in radiation el.-magn. field



# Introduction: Prehistoric Period

The 30<sup>th</sup> - Progress in Theory of Plasma Physics

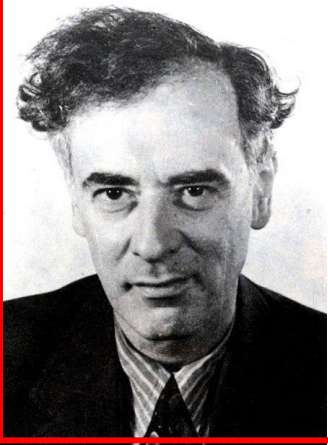


Exactly 1 century after Liouville!

1938 Anatoly A. Vlasov (JETP v.8 (1938) 291)

$$\frac{df_{\alpha}}{dt} = \frac{\partial f_{\alpha}}{\partial t} + \vec{v} \frac{df_{\alpha}}{d\vec{r}} + \frac{q_{\alpha}}{m_{\alpha}} \left( \vec{E} + [\vec{v}, \vec{B}] \right) \frac{df_{\alpha}}{d\vec{v}}$$

Vlasov equation:  
Collisionless plasma



1937 Lev D. Landau (JETP v.7 (1937) 203)

$$\frac{df_{\alpha}}{dt} = \frac{\partial f_{\alpha}}{\partial t} + \vec{v} \frac{df_{\alpha}}{d\vec{r}} + \frac{q_{\alpha}}{m_{\alpha}} \vec{F} \frac{df_{\alpha}}{d\vec{v}}$$

Collision integral in plasma  
(F - Coulomb interaction "force")

The theory developed in plasma physics is used in particle beam physics!



# Introduction: Zoo of Beam Cooling Techniques

RC - Radiation

EC - Electron

SC - Stochastic

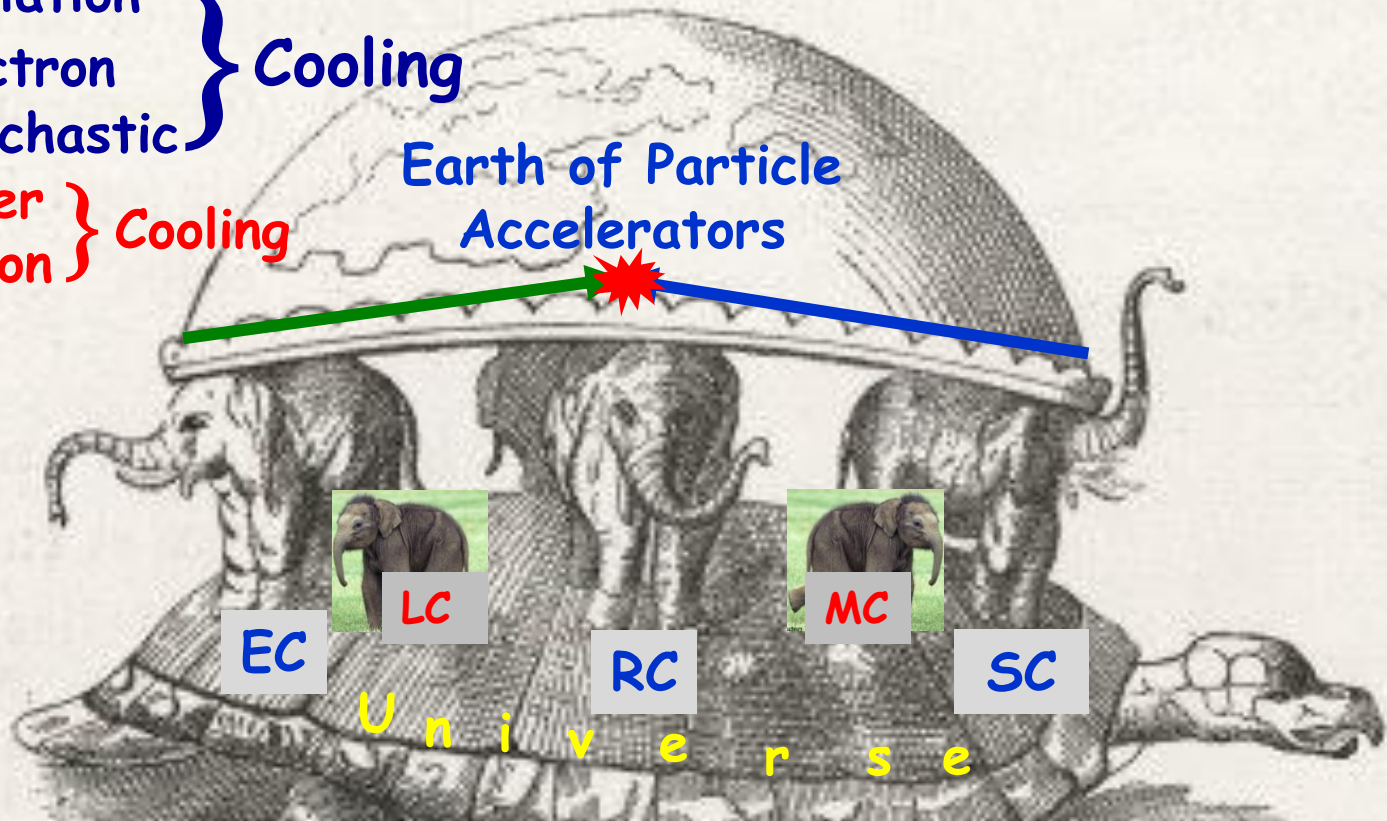
LC - Laser

MC - Muon

Cooling

Cooling

Earth of Particle  
Accelerators



What is the "cooling"?  $\Rightarrow$  **The methods of reduction of 6D phase space volume** occupied by charged particles of a beam in accelerators and storage rings.

There are also cooling methods for charged particles in traps that we do not consider here.



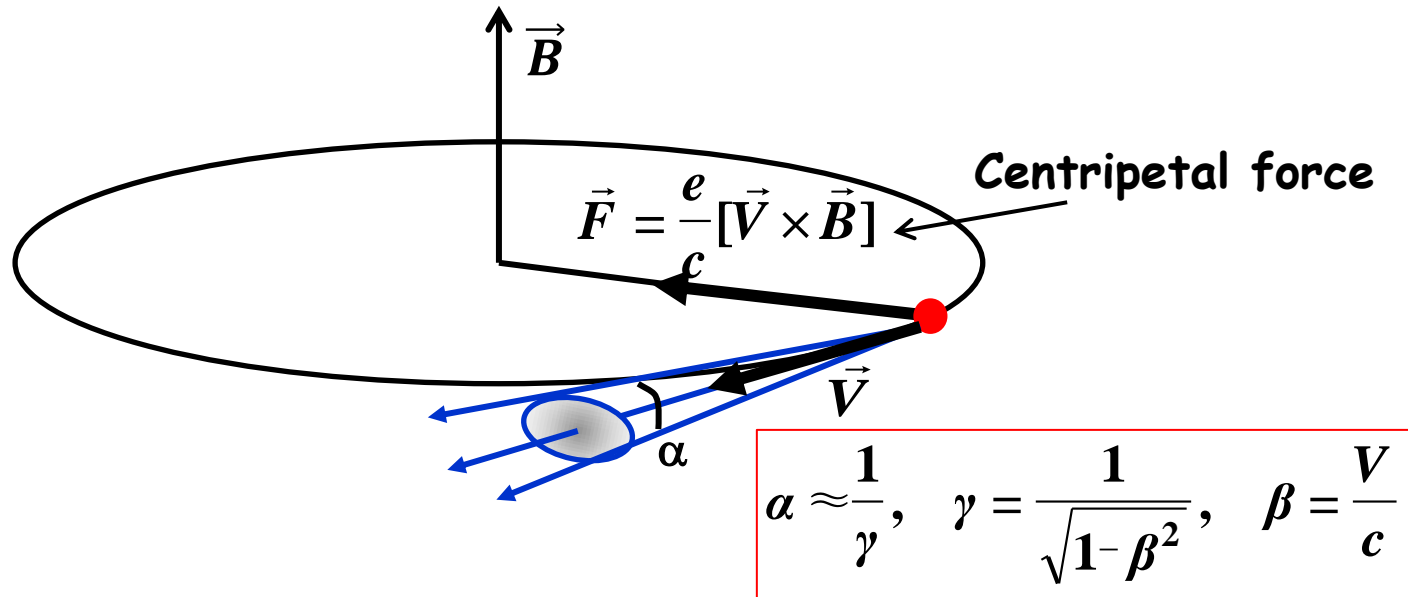


# I. Radiation Cooling Method



# I. Radiation Cooling Method

The cooling nature: Magnetic dipole radiation of a charged particle moving in magnetic field



$$\left(\frac{d\vec{p}}{dt}\right)_{recoil} \propto -\vec{V}$$

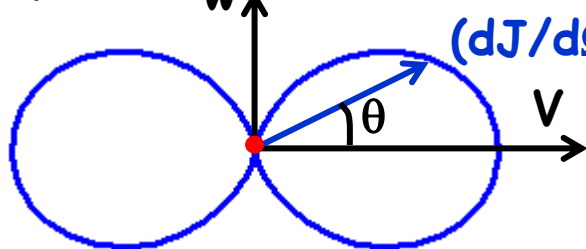




# I. Radiation Cooling Method

The cooling nature: effect of synchrotron radiation of a charged particle moving in magnetic field

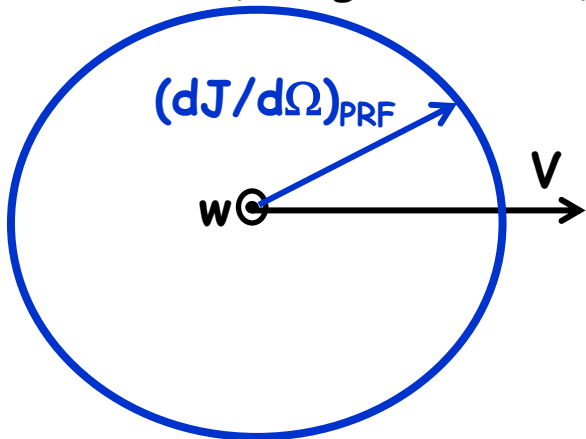
Top view Particle rest frame (PRF)



$$(dJ/d\Omega)_{PRF} \propto (\sin\theta)^2$$

Radiation flux is normalized by maximum!

Side view (along vector w)

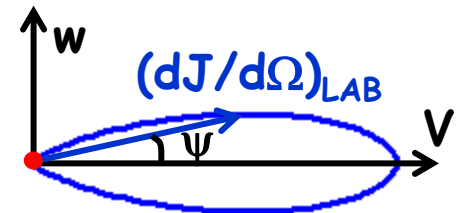


$$(dJ/d\Omega)_{PRF}$$

Laboratory reference frame (Lab)

Result of Lorentz transformation:

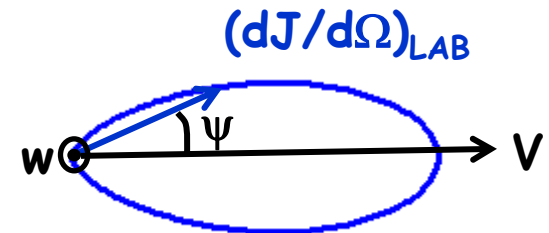
Top view



$$(dJ/d\Omega)_{LAB}$$

$$\beta \equiv V/c = 0.7$$

Side view (along vector w)



$$(dJ/d\Omega)_{LAB}$$



# I. Radiation Cooling Method

## When Cooling Had Different Name...



**1956 A.A.Kolomensky & A.N.Lebedev**  
(*Proc. of the USSR Academy of Sci.*, 106 (1956) 807)

**Synchrotron radiation "friction"**

$$\tau_{||} = \frac{3}{2} \cdot \frac{\rho^2}{\gamma^3 r_{cl} c}, \quad r_{cl} = \frac{e^2}{mc^2}$$



Geneva,  
1959

**1958 Kenneth W. Robinson**  
**Radiation Effects in Circular Electron Accelerators**  
(*Phys. Rev.* v.11 (1958) 373)

Sorry, could not find his photo...

PHYSICAL REVIEW

VOLUME 111, NUMBER 2

JULY 15, 1958

The main contents Robinson's paper:

**The theorem on sum of decrements** and consideration of **decrement redistribution** between 6 degrees of freedom,

Both papers analysed an influence of **quantum fluctuations** of radiation on particle dynamics.



# I. Radiation Cooling Method

## Two Numerical Estimates

Particle energy loss per turn  $\Delta\varepsilon_T = \frac{4\pi}{3} \frac{r_{cl}}{\rho} \gamma^4 mc^2$

Collider (CERN)	LEP	LHC
Particle	Electron	Proton
Bending radius $\rho$ , km	2.804	2.804?
Energy	104.5 GeV	7 TeV
$\gamma$	$2.045 \times 10^5$	$7.463 \times 10^3$
Energy loss per turn	3.8 GeV	6.7 keV
Cooling time	1.6 ms	17 h 6 min.



# I. Radiation Cooling Method

## The RC Method Application

- 1) Formation of intense  $e^+$  and  $e^-$  beams of extremely low emittance to reach
  - ✓ very high luminosity of cycling (ring) **accelerators** and **colliders**;
  - ✓ extremely high luminosity of **linear collider** (using damping rings where SR plays a vital part);
  - ✓ Extremely high brightness of Free Electron Lasers (FEL).
- 2) Formation of **polarized  $e^+$**  and  $e^-$  beams (with application of the effect of "Radiation polarization" (*A. Sokolov and I. Ternov, 1963*)).



## II. Electron Cooling Method

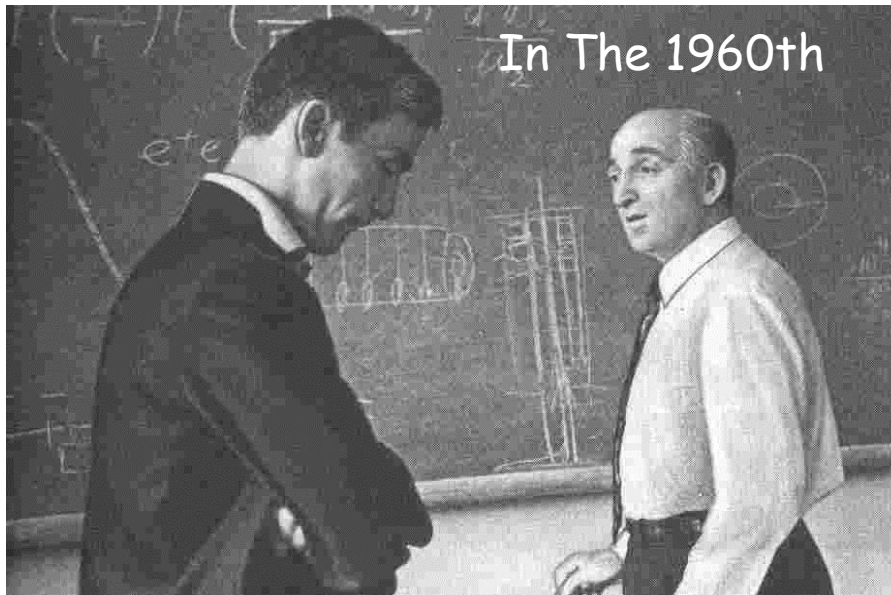


# II. Electron Cooling Method

**1966 G.I. Budker, Electron cooling**

Effective method of particle oscillation damping  
in proton and antiproton storage rings

Proc. Of The Intern. Symp. on Electron and Positron  
Storage Rings, Saclay, 1966, p. II-I-I;  
*Atomnaya Energia* 1967, 22, p.346-348 (in Russian).



Together with A. Skrinsky they  
developed the Budker's idea!  
That was **Skrinsky** who proposed  
to use e-cooling for **p-bar**  
storage.

**G. Budker and A. Skrinsky**  
(not PhD yet!)

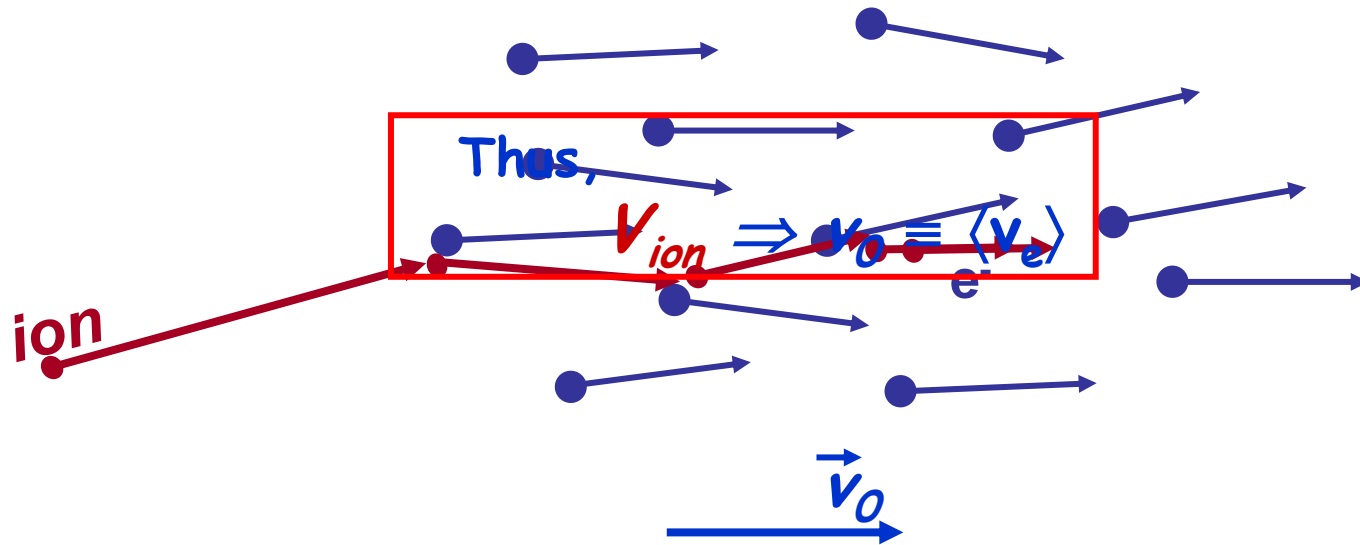


# II. Electron Cooling Method

## Physics of Electron Cooling

Simple analogy: heap of sand ("electrons"!) flying with average velocity  $\vec{v}_0$  and a bullet ("ion") shot at the sand heap;

$$V_{ion} > v_0$$





## II. Electron Cooling Method

### Physics of Electron Cooling

At cooling  $T_{ion} \Rightarrow T_e$  and equilibrium state corresponds to the equality of ion temperature to electron one in PRF

$$T_{ion} = T_e.$$

It gives us (in PRF!):

$$V_{ion} = \sqrt{\frac{m_e}{M_{ion}}} \cdot v_e \approx \frac{0.023}{\sqrt{A_{ion}}} \cdot v_e$$

Then in LabRF the angle between the ion trajectory and beam axis (equilibrium ion orbit) is equal to

$$\theta \equiv \frac{p_{\perp}}{p_{\parallel}} = \frac{v_{ion}}{\beta c} = \sqrt{\frac{m_e}{M_{ion}}} \frac{v_e}{\beta c} \approx \frac{0.023}{\sqrt{A_{ion}}} \frac{v_e}{\beta c} \ll 1.$$

*It is "The plasma approach", which Budker was using in his consideration (invention!) of electron cooling process:  
Approximation of homogeneous and isotropic plasma.*

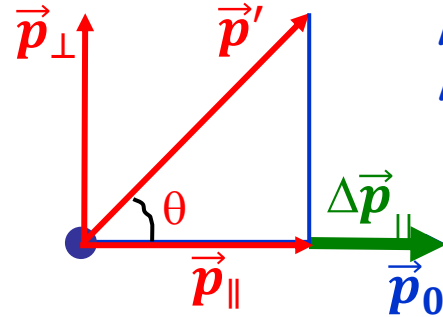
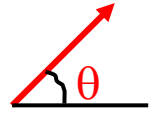
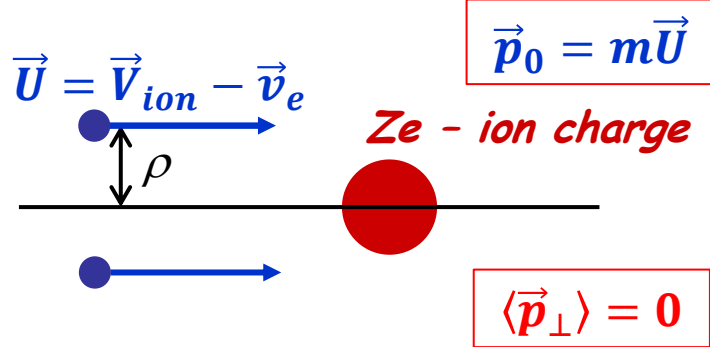


Approximation of spatially homogeneous electron plasma  
**Cooling (Friction) Force**

**Binary collision approximation**

*Coulomb scattering*

*In ion rest frame:*



*Formula well known since Rutherford time is:*

$$\cot \frac{\theta}{2} = \frac{mU^2 \rho}{Ze^2}$$

$$\Delta \vec{p}_\parallel = m\vec{U} \cdot (1 - \cos\theta)$$

*Thus, we came to the Formula for **cooling force!***

*Summarizing by all impact parameters and by electron velocity  $v_e$  (see next slide) we find :*

$$\vec{F}_{cool} = -4\pi q \int \frac{\vec{U}}{|U|^3} f(\vec{v}_e) \cdot d^3 v_e$$

$$q = \frac{Z^2 e^4 n_e L_C}{m} = Z^2 n_e (r_e c)^2 m c^2 L_C$$

$$n_e(\vec{r}, \vec{v}_e) = n_e f(\vec{v}_e) \quad L_C = \ln \frac{\rho_{max}}{\rho_{min}}$$


*Approximation of spatially homogeneous electron plasma*  
**Diffusion**

*Binary collision approximation*

*Alas, it is not all story! Indeed,*

$$\langle p_{\perp} \rangle = 0.$$

*But*

$$\langle (p_{\perp})^2 \rangle \neq 0!$$

*It gives diffusion, i.e. heating.*

One can obtain the formula for the heating power:

$$Q_{\alpha} = 2\pi q \cdot \frac{m}{M} \cdot \sum_{\beta} \int_{-\infty}^{\infty} \frac{U^2 \delta_{\alpha\beta} - U_{\alpha} U_{\beta}}{|U|^3} \cdot d^3 v_e$$

[1] See details in: I.Meshkov, *Electron Cooling Status and Perspectives*, *Physics of Particles and Nuclei*, v. 25 (6) (1994) p.p.631- 661



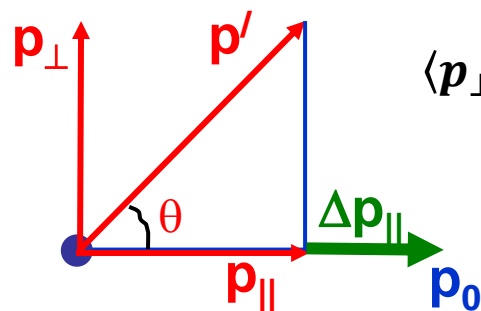
## II. Electron Cooling Method: Physics of Electron Cooling

*Approximation of spatially homogeneous electron plasma*

**Optional** <sup>[1]</sup>

### *Cooling (Friction) Force (Contnd)*

How to integrate over impact parameters:



$$\vec{U} = (\vec{V})_{ion} - \vec{v}_e, \quad \vec{p}_0 = m\vec{U}$$

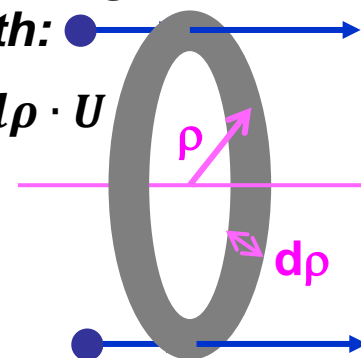
$$\langle p_{\perp} \rangle = 0 \quad \Delta p_{\parallel} = mU \cdot (1 - \cos\theta)$$

$$\cot \frac{\theta}{2} = \frac{mU^2 \rho}{Ze^2}$$

*From here do find  $\sin^2 \theta/2$  and insert into Formula below*

*Electron flux through the ring of  $d\rho$  width:*

$$dJ = n_e 2\pi\rho \cdot d\rho \cdot U$$



$$\frac{\Delta p_{\parallel}}{\Delta t} = mU^2 n_e \int_{\rho_{min}}^{\rho_{max}} (1 - \cos\theta) \cdot 2\pi\rho \cdot d\rho = 2\pi mU^2 n_e \int_{\rho_{min}}^{\rho_{max}} \frac{d\rho^2}{1 + \left(\frac{mU^2 \rho}{Ze^2}\right)^2}$$

$$F_{cool} = -\frac{\Delta p_{\parallel}}{\Delta t} = -\frac{2\pi n_e Z^2 e^4}{mU^2} \ln \frac{1 + \left(\frac{mU^2 \rho_{max}}{Ze^2}\right)^2}{1 + \left(\frac{mU^2 \rho_{min}}{Ze^2}\right)^2} \approx -\frac{4\pi n_e Z^2 e^4}{mU^2} \ln \frac{\rho_{max}}{\rho_{min}}$$



## II. Electron Cooling Method: Physics of Electron Cooling

Approximation of spatially homogeneous electron plasma

Optional [1]

### Cooling (Friction) Force (Contnd)

#### Coulomb Logarithm and Asymptotics

$$L_C = \frac{\rho_{max}}{\rho_{min}} \quad \rho_{max} = \min \left\{ \begin{array}{l} R_D = \sqrt{\frac{a_e T_e}{4\pi Z r_e n_e m c^2}} \\ U\tau = UL_{cool}/\beta c \end{array} \right.$$

- $a_e$  - electron beam radius
- $R_D$  - Debye radius,  $T_e$  - electron temperature in PRF
- $L_{cool}$  - cooling section length,  $\beta c$  - electron velocity in Lab.Ref.Frame

$$\rho_{min} \rightarrow \frac{mV^2}{2} = \frac{Ze^2}{\rho_{min}} \rightarrow \rho_{min} = Zr_e \left(\frac{c}{V}\right)^2 \quad r_e = 2.818... \times 10^{-13} \text{ cm is electron classic radius}$$

$$\vec{F}_{cool} = -4\pi q \cdot \begin{cases} \frac{|\vec{V}_{ion}|}{3}, V_{ion} \gg \Delta_e \\ \frac{|\vec{V}_{ion}|}{\Delta_e^3}, V_{ion} \ll \Delta_e \end{cases} \quad q = \frac{Z^2 e^4 n_e L_C}{m} = Z^2 n_e (r_e c)^2 m c^2 L_C$$

$n_e \approx 6 \cdot 10^7 \text{ cm}^{-3}, L_C = 15 \Rightarrow q \approx Z^2 \cdot 3.3 \cdot 10^{10} \text{ eV} \cdot \text{cm/s}^2$

$$T_e \sim 0.2 \text{ eV}, \Delta_e = \sqrt{\langle v_e^2 \rangle} = \sqrt{\frac{T_e}{m_e}} \sim 2 \cdot 10^7 \text{ cm/s}$$

*As we see, electron cooling force strongly depends on ion velocity (beam emittance)!*



## II. Electron Cooling Method: Physics of Electron Cooling

**Optional** [1]

### Langevin Equation and Equilibrium State

$$M \frac{d(V_\alpha)^2}{dt} = P_{cool} + Q_{heat}, \quad P_{cool} = (\vec{F}_{cool})_\alpha V_\alpha$$

$Q_{heat}$  is ion heating by electron cloud (see slide 18 and Ref. 1)

**Equilibrium:**  $P_{cool} = -Q_{heat}$  . This equality defines minimum ion temperature

### Maxwellian Isotropic Ion-Electron Plasma

$$\vec{F}(\vec{V}_{ion})_{Maxw} = -4\pi q \cdot \frac{\vec{V}_{ion}}{(V_{ion})^3} \cdot \varphi(x), \quad \varphi(x) = \sqrt{\frac{2}{\pi}} \cdot \left( \int_0^x e^{-y^2/2} \cdot dy - x \cdot e^{-x^2/2} \right)$$
$$Q_{Maxw} = \frac{4\sqrt{2\pi}qL_c}{M \cdot \left( \frac{T_{ion}}{M} + \frac{T_e}{m} \right)^{1/2}}$$

**Equilibrium:**  $T_{ion} = T_e$ ,  $\langle V_{ion} \rangle_{min} = \sqrt{\frac{m}{M}} \Delta_e$

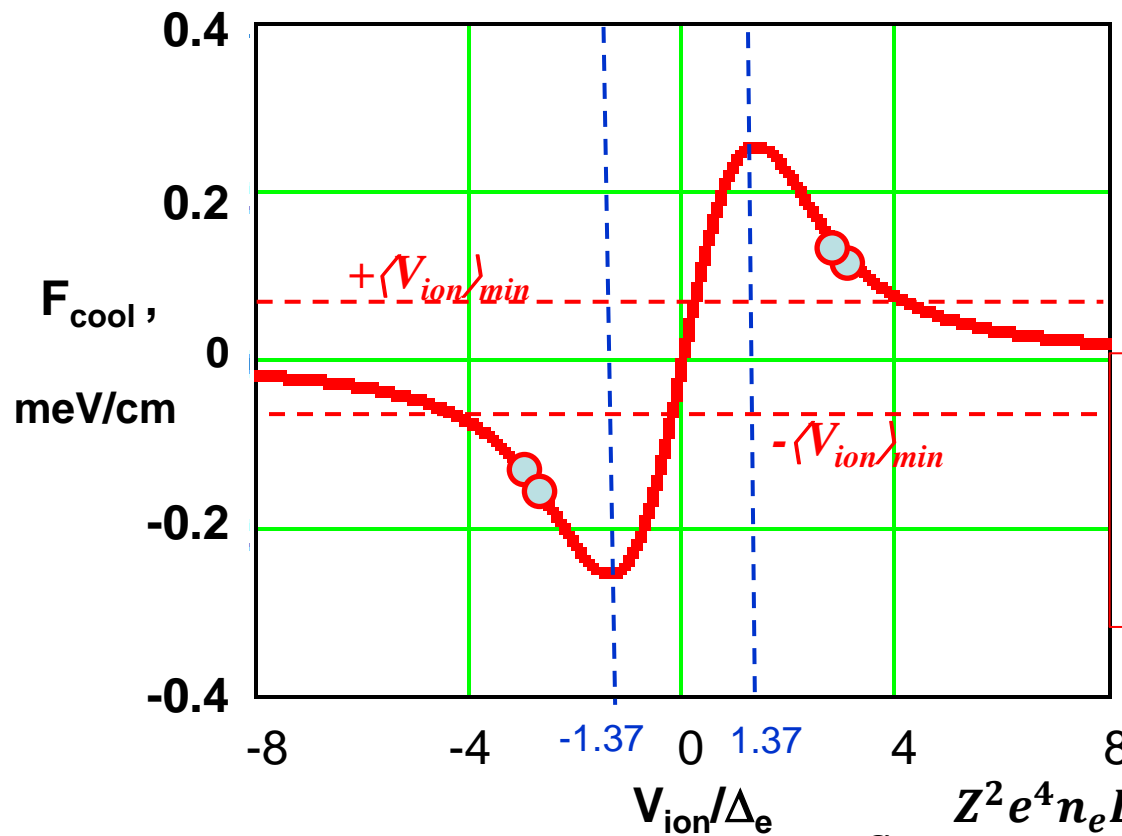


## II. Electron Cooling Method: Physics of Electron Cooling

Approximation of spatially homogeneous electron plasma

**Cooling (Friction) Force (Contnd)**

**Maxwellian Isotropic Electron Gas**



$n_e = 6 \times 10^7 \text{ cm}^{-3}$   
 $L_C = 15$   
 $T_e = 0.2 \text{ eV}$   
 $\Delta_e = 1.88 \times 10^7 \text{ cm/s}$   
 $Z = 1$

**Equilibrium:**

$$T_{ion} = T_e$$

$$\langle V_{ion} \rangle_{min} = \sqrt{\frac{m}{M}} \Delta_e$$

$$q = \frac{Z^2 e^4 n_e L_C}{m} = Z^2 n_e (r_e c)^2 m c^2 L_C$$





**Optional** [1]

### Flattened Electron Velocity Distribution

Formation of cooling electron beam with acceleration in electrostatic field  $E$  leads to decrease of electron velocity spread in PRF.

A simplified explanation: nonrelativistic electrons ( $\beta \ll 1$ )

In LabRF we have (energy conservation law) the equality

$$\varepsilon_e = T_{cathode} + eU_0 \equiv \frac{m(v_0 + \Delta v)^2}{2}, \quad \frac{mv_0^2}{2} \equiv eU_0 \equiv \varepsilon_0$$

*In nonrelativistic case  $\Delta v$  is electron velocity difference both in LabRF and PRF:*

$$\Delta v \approx \frac{T_{cathode}}{mv_0}$$

*Then electron temperature in PRF is equal to*

$$T_e|_{PRF} = \frac{m \Delta v^2}{2} \approx \frac{T_{cathode}^2}{4\varepsilon_0}$$



## II. Electron Cooling Method: Physics of Electron Cooling

**Optional** <sup>[1]</sup>

### Flattened Electron Velocity Distribution (Contnd)

In reality one has to take into account several effects:

1) Electron energy distribution in PRF:  $f(\varepsilon) = e^{-\varepsilon/T_{cathode}}$

2) Correlation energy of electrons at "fast" acceleration:

$$T_{correlation} = e^2(n_e)^{1/3}, \quad n_e - \text{electron density in LabRF}.$$

3) Lorenz transformation ( $\beta \approx 1$ ) for energy-momentum of electrons.

Finally we have:

$$T_{||} = \frac{T_{cathode}^2}{\beta^2 \gamma^2 mc^2} + e^2 n_e^{1/3}$$

Typical numbers:  $\varepsilon_{ion} = 55 \text{ MeV/amu}$  ( $\varepsilon_e = 30 \text{ keV}$ ),  $T_{cathode} = 0.1 \text{ eV}$ ,

$$n_e = 3 \times 10^8 \text{ cm}^{-3} \quad (J_e = 0.5 \text{ A/cm}^2) \Rightarrow$$

$$T_{\perp} \approx 0.2 \text{ eV}, \quad T_{||} = (1.7 \times 10^{-7} + 0.96 \times 10^{-4}) \text{ eV}$$



## II. Electron Cooling Method: Physics of Electron Cooling

**Optional** <sup>[1]</sup>

### Flattened Electron Velocity Distribution

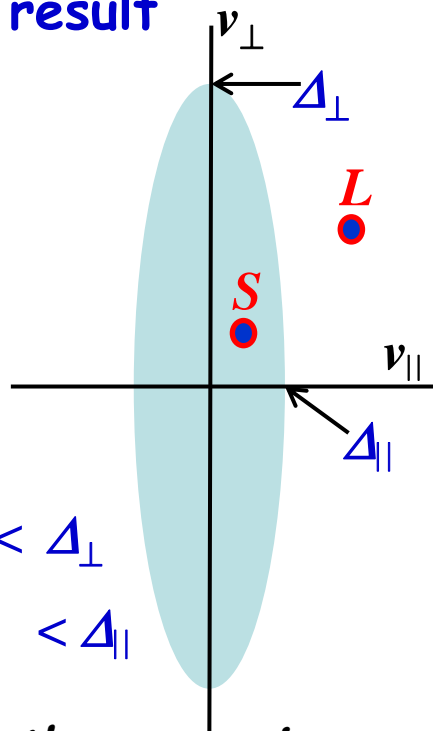
Electron density distribution  
in velocity space - the result  
of the "flattening":

• Ion velocity ranges:

**H** - high  $\Rightarrow \Delta_{\perp} < |V_{ion}|$

**L** - low  $\Rightarrow \Delta_{||} < |V_{ion}| < \Delta_{\perp}$

**S** - superslow  $\Rightarrow |V_{ion}| < \Delta_{||}$



**H**

*We remind:*

$$\vec{F}_{cool} = -4\pi q \int \frac{\vec{U}}{|\vec{U}|^3} f(\vec{v}_e) \cdot d^3 v_e$$
$$q = \frac{Z^2 e^4 n_e L_C}{m} = Z^2 n_e (r_e c)^2 m c^2 L_C$$
$$\vec{U} = \vec{V}_{ion} - \vec{v}_e$$

*The Formula for  $F_{cool}$  has the same view as that one for **Coulomb law**! It means one can use results for calculations of electrostatic field of a charges' system; for instance, here - for a charge ball (**H** velocity range) and for charged layer (**L** and **S**).*



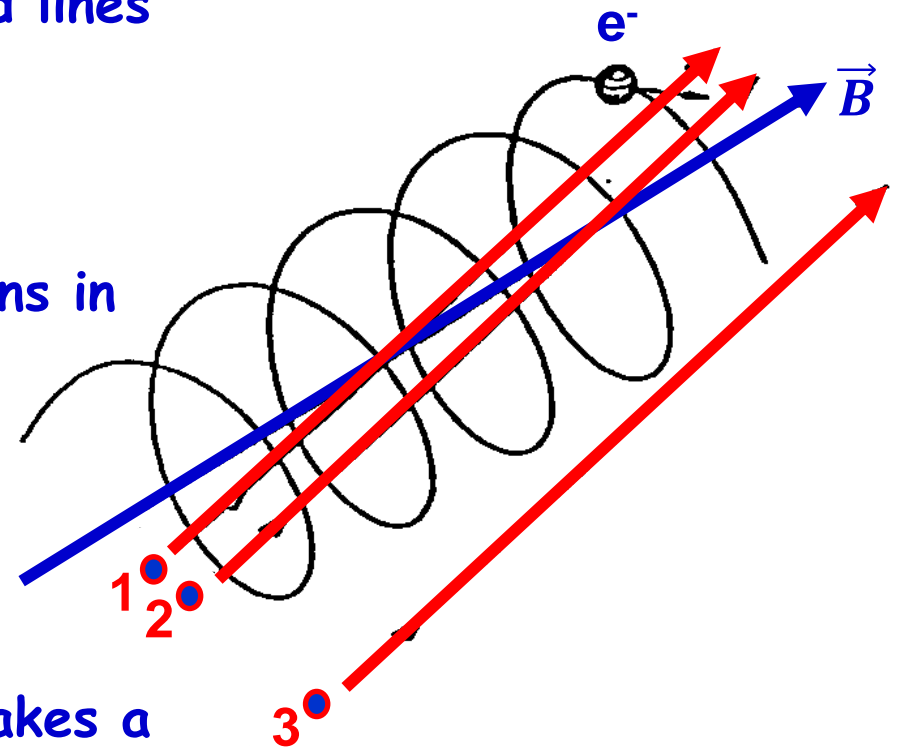
## II. Electron Cooling Method: Physics of Electron Cooling

### Magnetization of Electrons

Electrons move along magnetic field lines  
on helical trajectories.

One can distinguish  
three types of electron-ion collisions in  
magnetic field:

- 1 - fast collisions: electron does not "feel" the magnetic field presence at all;
- 2 - adiabatic collisions: electron makes a few turns around magnetic field line transferring to the ion impact momentum;
- 3 - magnetized collisions: electron does not move across the magnetic field transferring mainly momentum in longitudinal direction;

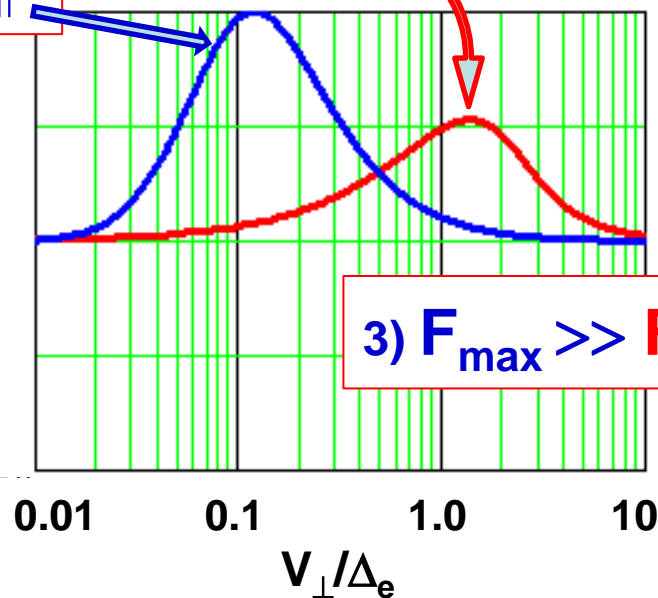
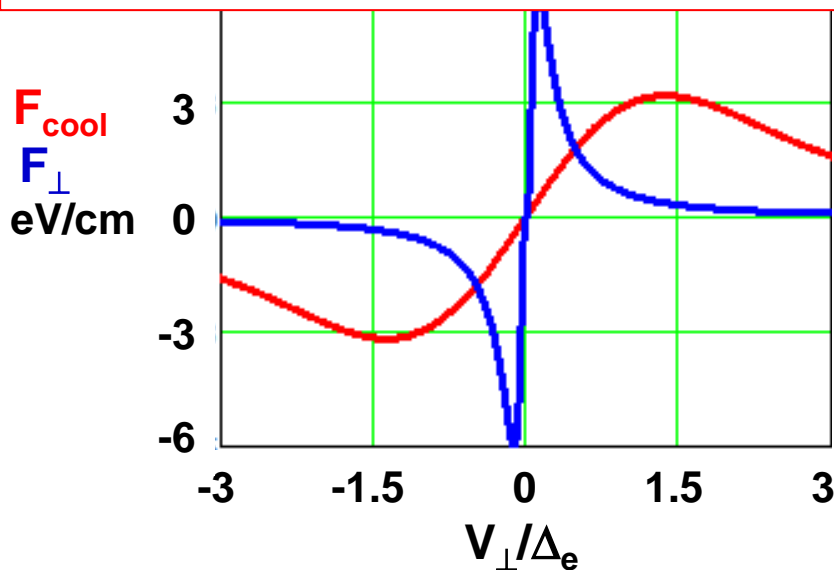


## II. Electron Cooling Method: Physics of Electron Cooling

Cooling force has in this case specific characteristics:

1) Maxwellian E-Gas:  $F_{\text{cool}} = F_{\text{max}}$  at  $V_{\perp} = \Delta_{\perp}$

2) Magnetized electrons  $F_{\perp} = F_{\text{max}}$  at  $V_{\perp} = \Delta_{\parallel}$



3)  $F_{\text{max}} \gg F_{\text{max}}!$

Parameters in pictures:  $Z = 79$  ( $^{197}\text{Au}^{79+}$ ),  $\varepsilon_{\text{ion}} = 55$  MeV/u,  $\varepsilon_e = 30$  keV

$n_e = 1.2 \times 10^8 \text{ cm}^{-3}$  ( $J_e = 0.2 \text{ A/cm}^2$ )  $\Delta_e = \Delta_{\perp}, \Delta_{\parallel} = 0.1 \times \Delta_{\perp}$

$L_C = 15$  (Maxw. electron beam)

$T_e = T_{\perp} = 0.2 \text{ eV}$ ;  $T_{\parallel} = 0.01 \times T_{\perp}$  (artificial choice)

$\Delta_e = \Delta_{\perp} = 1.9 \times 10^7 \text{ cm/s}$ ,  $\Delta_{\parallel} = 0.1 \times \Delta_{\perp} = 1.9 \times 10^6$

5) Equilibrium state:

$$(T_{\text{ion}})_{\text{min}} = T_{\parallel}$$

$$\langle V_{\text{ion}} \rangle_{\text{min}} = \sqrt{\frac{m}{M}} \Delta_{\parallel}$$



## II. Electron Cooling Method: Physics of Electron Cooling

### Magnetized Electron Beam with Flattened Velocity Distribution

#### Optional [1, 2] Theory of Electron Cooling with Magnetized Electrons at Flattened Velocity Distribution

Formulae for cooling force based on results of Ref.[2] and adopted for concrete simulations in Ref.[1] (known in The Cooling Community as “DSM-Formulae”).

These Formulae describe cooling process rather satisfactory, but require *accurate definition of collision parameters*. They can be used mainly at numerical simulation.

$$\mathbf{F}_{\perp} \approx -\frac{2\pi q}{m} \mathbf{V}_{\perp} \begin{cases} \frac{1}{V^3} \left( 2L_{FH} + \frac{V_{\perp}^2 - 2V_{\parallel}^2}{V^2} L_{MH} \right), & \text{H} \\ \frac{2}{\Delta_{\perp}^3} (L_{FL} + N_L L_{AL}) + \frac{V_{\perp}^2 - 2V_{\parallel}^2}{V^2} \frac{L_{ML}}{V^3}, & \text{L} \\ \frac{2}{\Delta_{\perp}^3} (L_{FS} + N_S L_{AS}) + \frac{L_{MS}}{V^3}, & \text{S} \end{cases}$$

$$\mathbf{F}_{\parallel} \approx -\frac{2\pi q}{m} \mathbf{V}_{\parallel} \begin{cases} \frac{1}{V^3} \left( 2L_{FH} + \frac{3V_{\perp}^2}{V^2} L_{MH} + 2 \right), & \text{H} \\ \frac{2}{\Delta_{\perp}^3 V_{\parallel}} (L_{FL} + N_L L_{AL}) + \left( \frac{3V_{\perp}^2}{V^2} L_{ML} + 2 \right) \frac{1}{V^3}, & \text{L} \\ \frac{2}{\Delta_{\perp}^2 \Delta_{\parallel}} (L_{FS} + N_S L_{AS}) + \frac{L_{MS}}{\Delta_{\parallel}^3}. & \text{S} \end{cases}$$

[2] See details in: Ya.Derbenev and A.Skrinsky, *Sov. J. Plasma Phys.* v. 4 (1978) p.273



## II. Electron Cooling Method: Physics of Electron Cooling

### Magnetized Electron Beam with Flattened Velocity Distribution

**Optional** [3]

#### Theory of Electron Cooling with Magnetized Electrons at Flattened Velocity Distribution

Much more useful for practical application is so called

#### “Parkhomchuk Formula”

derived in the 1970<sup>th</sup> by V.V.Parkhomchuk during first experiments on electron cooling development at Budker INP (Novosibirsk). In PRF it is

$$F_{cool}^{\alpha} = \frac{Z_{ion}^2}{A_{ion}} \frac{4mc^2 (r_e c)^2 n_e V_{\alpha}}{(V_{\alpha}^2 + V_{\parallel}^2 + V_{eff}^2)^{3/2}} \cdot \ln \left( 1 + \frac{\rho_{max}}{\rho_L + \rho_{min}} \right)$$

It is empirical Formula [3] for cooling force that approximates very well experimental results and is applicable to the case of cooling with “magnetized e-beam”. Here  $Z_{ion}e$ ,  $A_{ion}$  are electric charge and atomic weight of the ion,  $m$ ,  $r_e$  is mass and classic radius of electron,  $V_{\alpha}$ ,  $V_{\parallel}$  are ion velocity transverse and longitudinal components,  $V_{eff}$  and  $\rho_{max}$ ,  $\rho_L$ ,  $\rho_{min}$  - see in slide #31.

[3] If interested do ask your lecturer for copy of manual file.





## II. Electron Cooling Method: Physics of Electron Cooling

Optional [1,4,5]

### Cooling Time

When cooling force is calculated one can find *cooling decrement*  $D_{cool}$  and *cooling time*  $\tau_{cool} = 1/D_{cool}$ . Formula for cooling decrement follows from Langevin equation [slide #19]:

$$\tau_{\alpha}^{-1}(\vec{V}) = -\frac{1}{M_{ion}} \cdot \frac{\partial F_{\alpha}(\vec{V}_{\alpha})}{\partial V_{\alpha}}$$

[See details in **Ref.1**]. It is instant magnitude of decrement.

Due to nonlinearity of the function  $\vec{F}(\vec{V})$  both decrement and cooling time are functions of vector  $\vec{V}$ . Therefore calculation of total cooling time requires numerical simulation.

The most advanced code for cooling process simulation is **BETACOOOL** developed at JINR since 1995<sup>[4,5]</sup>. The code has many options and allows one to include in simulation a lattice of the cooler ring.

[4] <http://betacool.jinr.ru>

[5] A.Sidorin, A.Smirnov, Long Term Dynamics Simulation with the BETACOOOL Code, Proc. Of RuPAC'2012, <http://JACoW>



## II. Electron Cooling Method: Physics of Electron Cooling

Optional [1]

### Cooling Time (Contnd)

For estimates by order of magnitude one can use *approximate cooling time Formula*. Besides, a practical application requires to find cooling time value in Lab. Reference Frame. Then one needs to make Lorenz transformation of all parameters from PRF to Lab. Ref. Frame. One needs to introduce the following parameters:

$$\theta_{ion\perp} = \frac{\Delta p_{ion\perp}}{p_{ion}}, \quad \theta_{ion\parallel} = \frac{\Delta p_{ion\parallel}}{p_{ion}},$$

$$\theta_{e\perp} = \frac{\Delta p_{e\perp}}{p_e} = \frac{1}{\gamma\beta} \sqrt{\frac{T_{e\perp}}{mc^2}}, \quad \theta_{e\parallel} = \frac{\Delta p_{\parallel}}{p_e} = \frac{1}{\beta} \sqrt{\frac{T_{e\parallel}}{mc^2}}, \quad \eta_{cool} = \frac{l_{cool}}{C_{Ring}}.$$

Here  $\Delta p_{\perp}$  ( $\Delta p_{\parallel}$ ) are transverse (longitudinal) components of ion or electron momentum spreads in Lab. Ref. Frame,  $l_{cool}$  is cooling section length. Using The "Parkhomchuk Formula" one can derive Formula for cooling time:

$$\tau_{cool} = \frac{A_i}{Z_i^2} \cdot \frac{\beta^4 \gamma^5 I_0}{4r_p c L_c J_e} \left( \theta_{i\perp}^2 + \frac{\theta_{i\parallel}^2 + \theta_{e\parallel}^2}{\gamma^2} + \theta_B^2 \right)^{3/2}.$$

Here  $r_p$  is electron classic radius,  $J_e$  is electron beam density (A/cm<sup>2</sup>),  $\theta_B$  is angular amplitude of solenoid magnetic field deviation.

$$L_c = \ln \left( 1 + \frac{\rho_{max}}{\rho_{L\perp} + \rho_{min}} \right), \quad I_0 = \frac{mc^3}{e} \approx 17000 \text{ A},$$

$\rho_{max} = [(\gamma\theta_{ion\perp})^2 + (\theta_{ion\parallel})^2]^{1/2} l_{cool}$ ,  $\rho_{min} = Z_i r_e / \beta^2 [(\gamma\theta_{ion\perp})^2 + (\theta_{e\parallel})^2]^{1/2}$ ,  $\rho_{L\perp} = \beta\gamma\theta_{e\perp} mc^2 / eB$  - electron "transverse" Larmor radius .



## II. Electron Cooling Method: Physics of Electron Cooling

### Cooling Time (Contnd)

Numerical examples:

### Three Cooler-Rings



Cooler-Ring	NAP-M (Budker INP)		LEAR (CERN)	Recycler (Tevatron Fermilab)
Particles	p		$^{207}\text{Pb}^{54+}$	$\bar{p}$
Energy	80 MeV		4.5 MeV/u	8.0 GeV
$C_{\text{Ring}}$ , m	47.0		78.0	3319.4
$L_{\text{cool}}$ , m	1.0		1.5	20.0
$J_e$ , A/cm <sup>2</sup>	0.2		0.01	0.5
$T_e$ , eV	0.2		0.2	0.2
$\theta_{i\perp}$ , mrad	1.0	0.1	0.24	0.1
$\theta_{i\parallel}$ , 10 <sup>-3</sup>	1.0	0.1	0.5	0.1
$\tau_{\text{cool}}$	3.8 s	9.5 ms <sup>*)</sup>	0.15 s	2.5 h
B, T	0.1		0.06	0.02

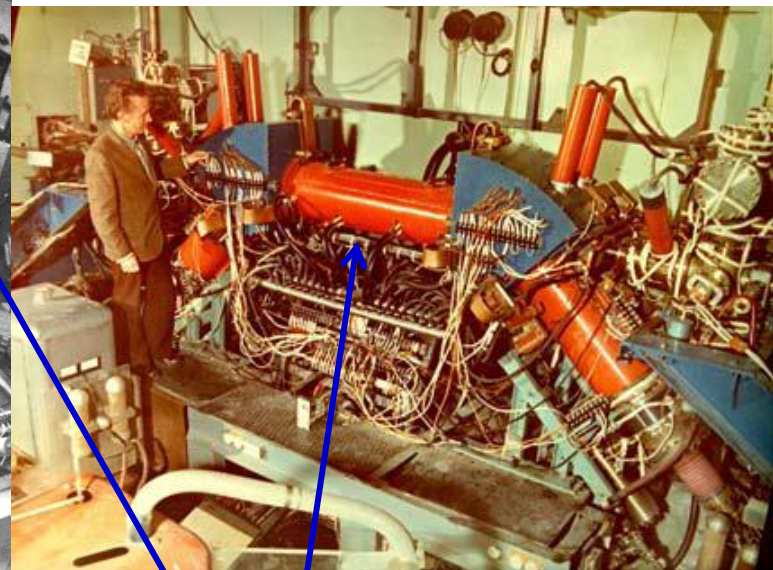
<sup>\*)</sup> "Fast electron cooling" at small ion betatron amplitudes





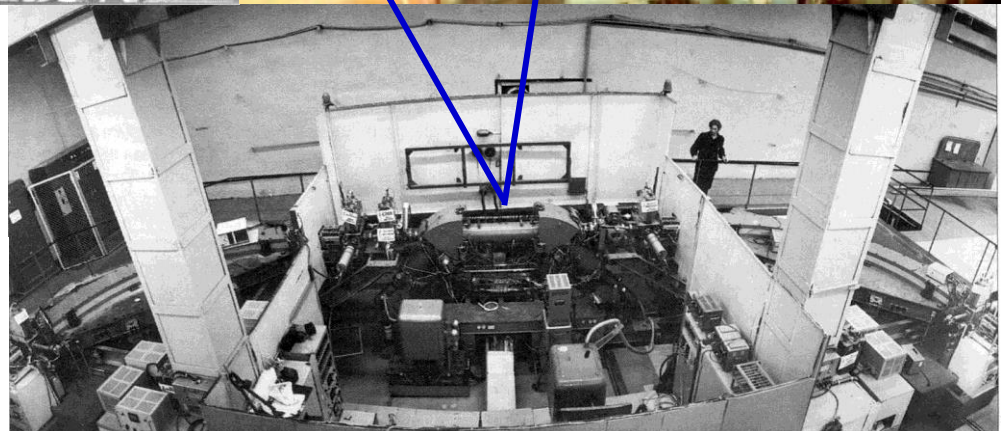
## II. Electron Cooling Method

### First Experimental Proof: NAP-M Experiment (1974)



**NAP-M :**  
**"Antiproton Storage Ring - Model"**  
**INP Novosibirsk, 1974 - 1984**

**First electron cooler "EPOCHa"**  
**("Electron beam for cooling of antiprotons", Rus.)**





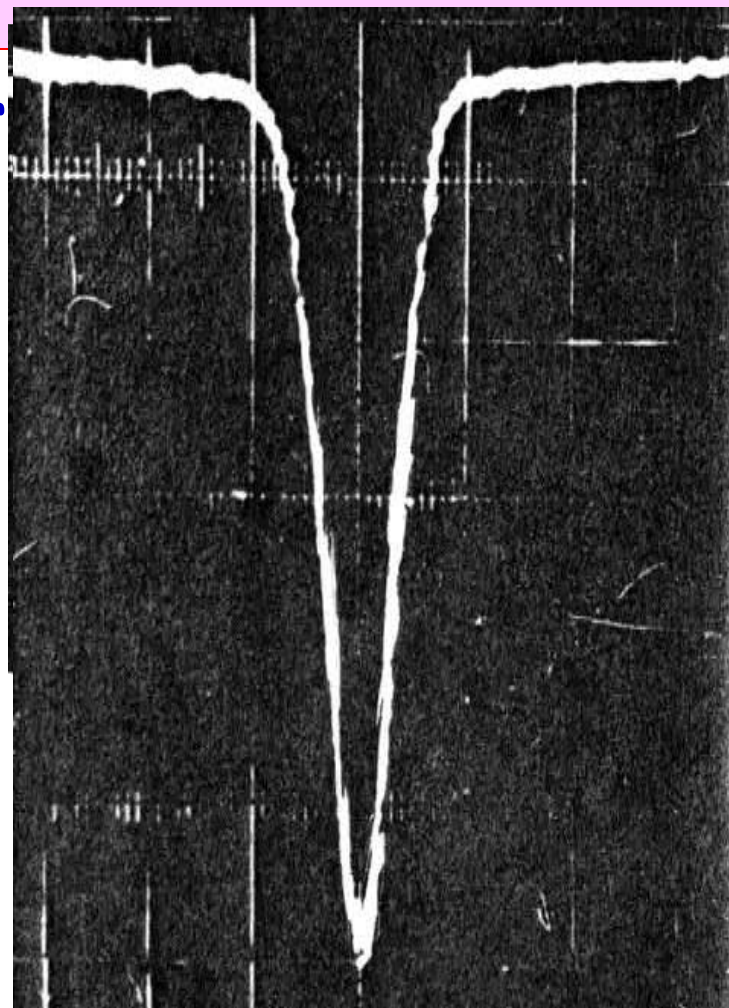
## II. Electron Cooling Method

### First Experimental Proves: NAP-M Experiment



V.Parkhomchuk, A.Skrinsky, I.Meshkov and N.Dikansky in NAP-M control room (1975)

Electron cooling  
theory in progress:  
Ya.Derbenev  
(1977)



Dynamics of proton density  
distribution at electron  
cooling (BPM based on Mg  
vapor jet, NAP-M, 1975)



## II. Electron Cooling Method

### Electron Cooling Technique

Two key elements of electron cooler:

- 1) Electron optic system for “cold” and intense electron beam formation;
- 2) Electron beam power recovering (recuperation)

Electron optic system: Electron beam immersed into longitudinal magnetic field  $B_{||}$ .

Two kinds of electron optics in  $B_{||}$ :

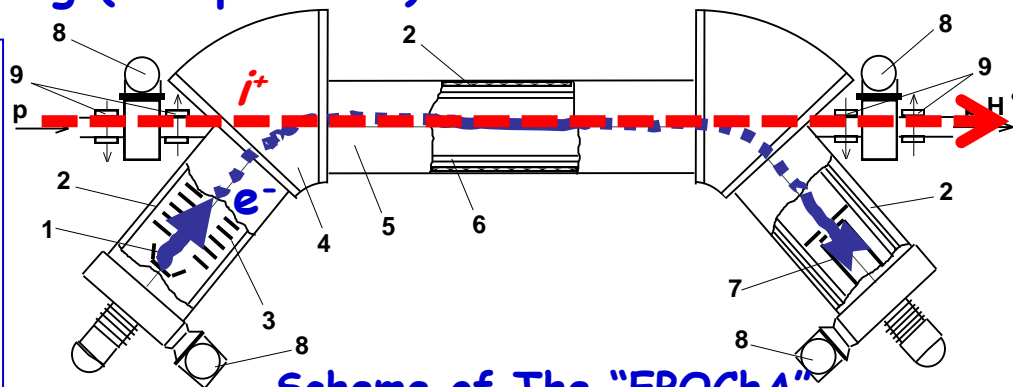
- Resonant optics,

$$L_B = 2\pi\rho_L n, \quad n = 1, 2, \dots;$$

- Adiabatic optics,  $L_B \gg \rho_L$ .

$L_B$  - length of inhomogeneous  $B_{||}$ ,

$\rho_L$  - electron Larmor radius.



Scheme of The “EPOCHa”

1 - electron gun, 2, 4 - solenoids with iron shield, 3 - anodes, 5 - cooling section, 6 - vacuum chamber wall, 7 - electron collector, 8 - ion pumps, 9 - dipole magnets for correction of ion trajectories

Typical electron transverse temperature (due to optic distortion)  $\sim 0.2$  eV in PRF;

Typical el-beam angular spread  $\theta \leq 1$  mrad in Lab Ref. Frame.



## II. Electron Cooling Method

### Electron Cooling Technique

#### Electron beam power recovering

Electron collector is put on potential close to the cathode one.

Typical beam power recuperation parameters:

#### 1. Electron collection efficiency

$$\Delta I/I \begin{cases} > 3 \cdot 10^{-3} \Rightarrow \text{bad} \\ \sim 1 \cdot 10^{-4} \Rightarrow \text{good} \\ < 1 \cdot 10^{-4} \Rightarrow \text{excellent} \end{cases}$$

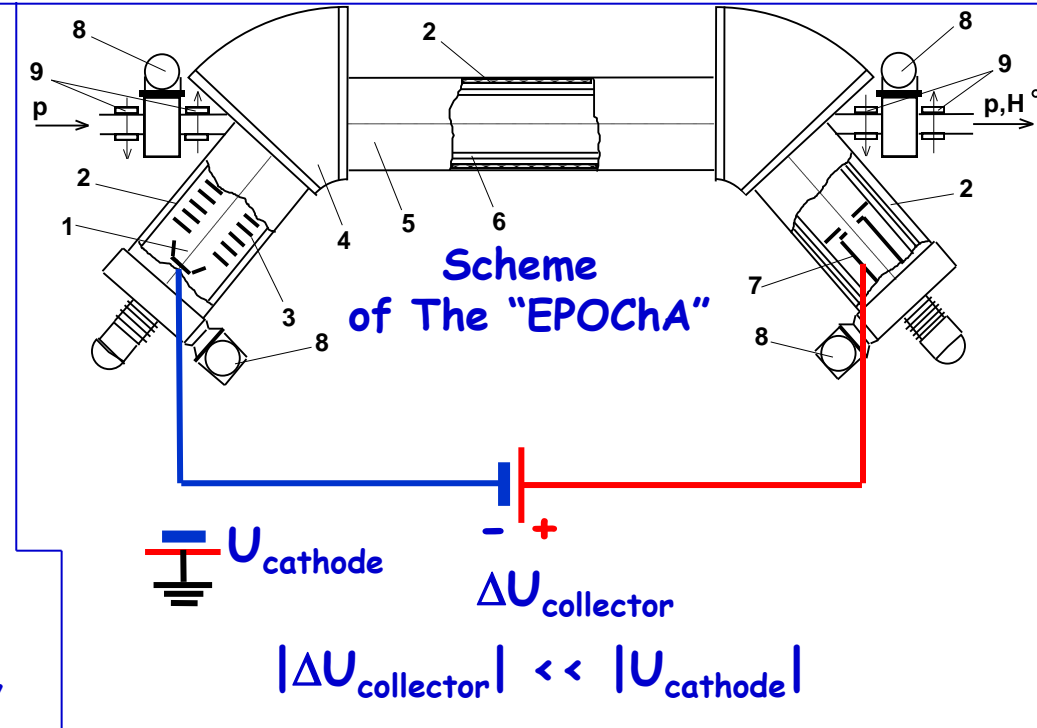
#### 2. Collector potential and electron beam current

$$I_{\max} = P_{\text{collector}} \times (\Delta U_{\text{collector}})^{3/2},$$

Collector- collector perveance

(from *pervenio*, Latin - to reach).

Typical value  $P_{\text{collector}} \sim 15 \mu\text{A}/\text{V}^{3/2}$ , e.g.  $I = 1 \text{ A}$  requires  $\Delta U_{\text{collector}} \geq 1.65 \text{ kV}$ .





# III. Stochastic Cooling Method



# III. Stochastic Cooling Method

1968 S. van der Meer, Stochastic cooling

“Stochastic damping of betatron oscillations”

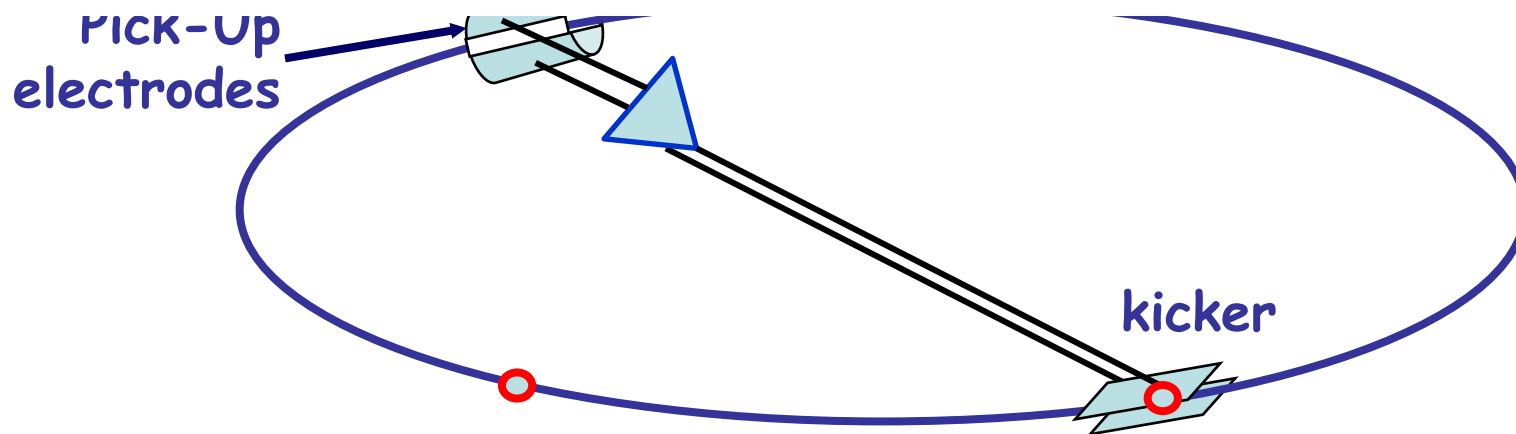


*Such a system resembles Maxwell's demon, which is supposed to reduce the entropy of a gas... violating the second law of thermodynamics..."*

*Simon van-der Meer, Nobel Lecture, p.2*

“Demons do not obey 2nd law of thermodynamics”

*Boris Chirikov, 1969*



### III. Stochastic Cooling Method

## First Experimental Proof: ISR\*) experiment (1975)



1977

monstration of emittance cooling

"...*After developing a primitive theory (1968) I therefore did not pursue this subject. However, the work was taken up by others and in 1974 the first experiments were done in the ISR.*"

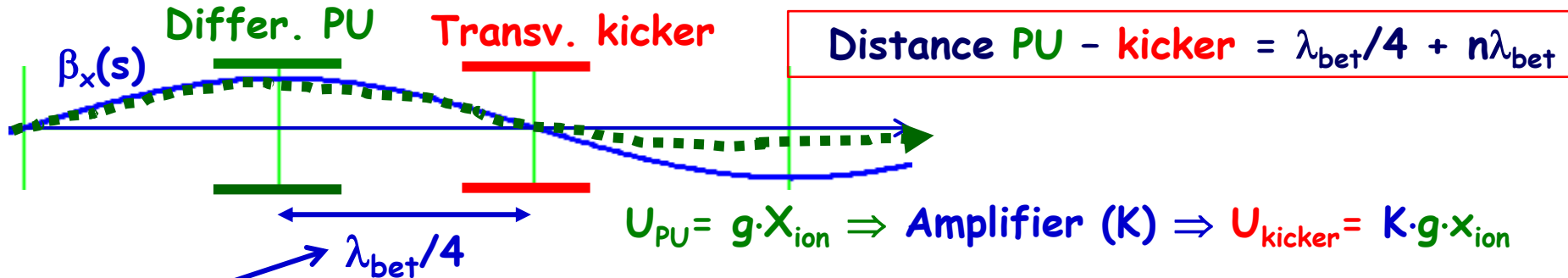
*Simon van-der-Meer,  
Autobiography of Nobel Laureat*

Lars Thorndahl & Dieter Möhl -  
- "others" who has done "the work"  
(March 1977 - going to Zermatt).



### III. Stochastic Cooling Method

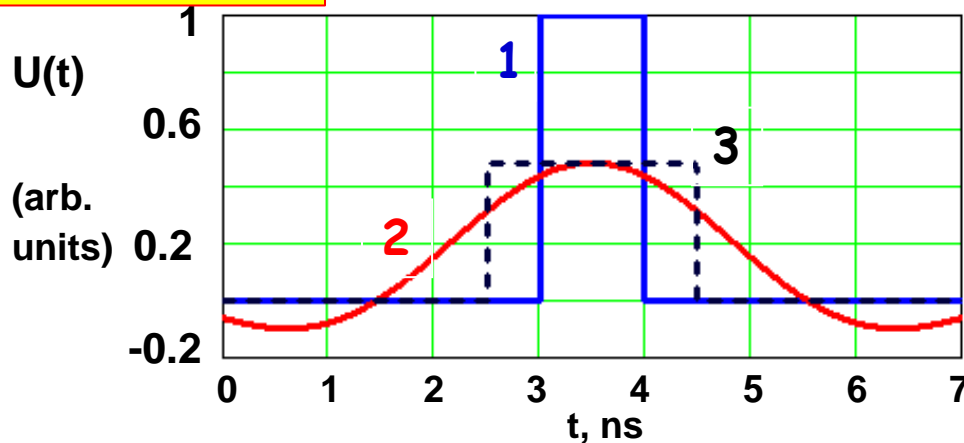
#### Single Particle (Simplified) Approach Transverse Stochastic Cooling



Owing to **Kicker location** it decreases ion angular betatron amplitude

*Optional* [6,7]

#### Signal transfer from PU to kicker



- 1 - input signal,  $\tau_{signal} = 1 \text{ ns}$
- 2 - output signal,  $W = 0.25 \text{ GHz}$
- 3 - approximation of output signal

Uncertainty relation (or **sampling theorem**):

$$\tau_{pulse} \sim 1/2W,$$

where  $W$  is bandwidth of transfer line.



# III. Stochastic Cooling Method

## Multi-Particle Approach

### Optional [6.7] Transverse Stochastic Cooling - Cooling Time

#### 1<sup>st</sup> approximation

Input signal of the test ion:  $\tau_{signal} \approx \frac{L_{PU}}{V} \approx 1 \text{ ns}$

Output signal after passing through feed back  $T_s = \frac{1}{2W}$

$W$  - bandwidth of the feed back transfer line.

Ion "sample":  $N_s = N \cdot \frac{T_s}{T_{rev}} \approx N \cdot \frac{L_{PU}}{C_{Ring}}$ ,  $T_{rev}$  - ion revolution period in the ring.

Test ion is free of influence of other ions in the sample during

$$\Delta t = \frac{T_s}{N_s} = \frac{T}{N}$$

Kicker kick changes ion betatron amplitude by  $\Delta x = -\lambda x \equiv -k \cdot \Delta t \cdot x$ .

Obviously,  $\Delta t \equiv T_s$  and  $\lambda_{\text{optimum}} = 1$ . Then  $k_{\text{opt}} = 1/T_s = 2W$ .

Further,  $\frac{dx}{dt} \approx \frac{\Delta x}{T_{rev}} = -\frac{\Delta t}{T_s} \cdot \frac{x}{T_{rev}}$ . That gives us

$$\tau_{cool}^{-1} = -\frac{1}{x} \frac{dx}{dt} = \frac{2W}{N}$$

[6] D.Möhl, Proc. of CAS'1985 p.p. 453 - 533

[7] D.Möhl Stochastic Cooling of Particle Beams, Springer, Lecture Notes in Physics, v. 866



### III. Stochastic Cooling Method

#### Multi-Particle Approach

Optional [6,7]

#### Transverse Stochastic Cooling - Cooling Time

2nd approximation: Omitting here detailed description let's contain ourselves here with formulation the result of rigorous analysis (see Ref. [6]) of so called *incoherent effect* - heating of the test ion by "other" ions of the sample. It gives us the cooling time value in 2<sup>nd</sup> approximation:

$$\tau_{cool}^{-1} = \frac{2W}{N} \left( 2g - g^2 \left( 1 + \frac{r_{NS}}{Z^2} \right) \right), \quad g = \lambda N_s \approx \lambda N \cdot \frac{L_{PU}}{C_{Ring}}$$

Customary name of  $g$  is "gain",  $\lambda$  is "electronic gain",

$r_{NS}$  is noise-to-signal power ratio in feed back system;

for ions:  $r_{NS} \Rightarrow r_{NS}/Z^2$ ,  $Ze$  - ion charge.



### III. Stochastic Cooling Method

#### Multi-Particle Approach

**Optional** [6,7]

#### Transverse Stochastic Cooling - Cooling Time

3rd approximation: introduction of the “mixing factor”  $M$  - “number of turns for ion with average momentum error  $\delta p$  to move by one sample length” (see Ref. [6]). The mixing effect is related to off-momentum function (or “slip factor”):

$$\eta = \frac{1}{\gamma^2} - \frac{1}{\gamma_{transition}^2}, \quad \frac{\delta f}{f} = \eta \cdot \frac{\delta p}{p}$$

Here  $\gamma$  and  $\gamma_{transition}$  are Lorentz factors corresponding to ion energy and the ring transition energy,  $f$  is particle revolution frequency. It results in the following:

$$\tau_{cool}^{-1} = \frac{2W}{N} \cdot \left[ 2g - g^2 \left( M + \frac{r_{NS}}{Z^2} \right) \right], \quad g_{opt} = \frac{1}{M + r_{NS}/Z^2}.$$

Important to have  $M \rightarrow 1$  (“good mixing” at ion travelling from kicker to PU).



## Multi-Particle Approach

**Optional** [6.7]

### Transverse Stochastic Cooling - Cooling Time

3rd approximation (continued):

“Bad mixing” occurs at ion travelling from PU to kicker and can be account by inserting the “bad mixing factor”  $\tilde{M}$ :

$$\tilde{M} = \frac{T_c}{\Delta t_{PU \rightarrow Kicker}}, \quad T_c < T_s, \quad \Delta t_{PU \rightarrow Kicker} = t_{PU \rightarrow Kicker} \cdot \eta_{PU \rightarrow Kicker} \cdot \frac{\Delta p}{p}$$

Then one should change  $g \rightarrow g(1 - \tilde{M}^{-2})$  and we find finally

$$\tau_{cool}^{-1} = \frac{2W}{N} \cdot \left[ 2g(1 - \tilde{M}^{-2}) - g^2 \left( M + \frac{r_{NS}}{Z^2} \right) \right] .$$

It calls **The basic cooling rate ( $\tau_{cool}^{-1}$ ) equation** [7].





# III. Stochastic Cooling Method

## Multi-Particle Approach

**Optional** [6.7]

### Transverse Stochastic Cooling - Cooling Time

3rd approximation (ending):

Cooling time has minimum at

$$g_{opt} = \frac{1 - \tilde{M}^{-2}}{M + r_{NS}/Z^2} .$$

and it is equal to

$$(\tau_{cool})_{min} = \frac{N}{W} \frac{M + \frac{r_{NS}}{Z^2}}{(1 - \tilde{M}^{-2})^2}$$

An example:  $M = 1$ ,  $\tilde{M}^{-2} = 0$ ,  $r_{NS} \ll 1$ ,  $N = 10^{10}$ ,  $W = 2 \text{ GHz} \Rightarrow$   
 $\Rightarrow \tau_{cool} = N/W = 5 \text{ s}.$

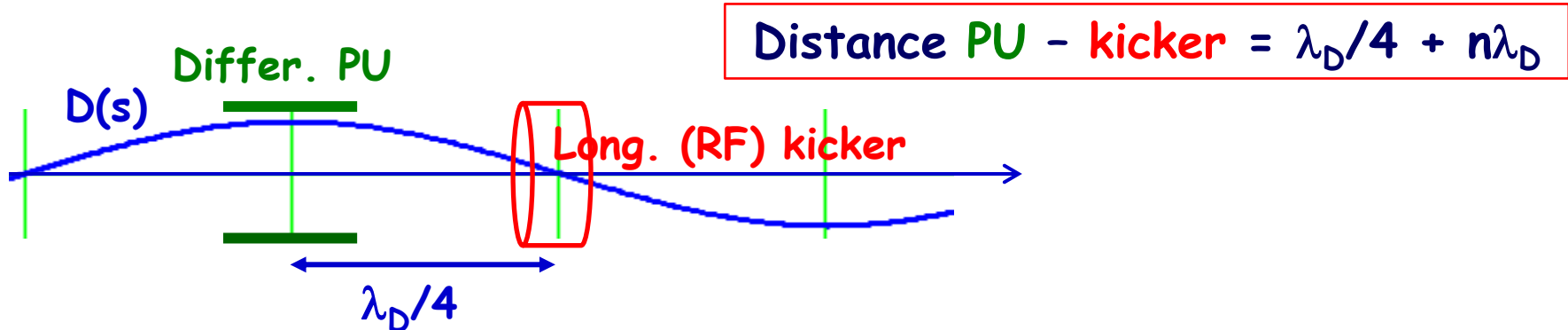
Note: Stochastic cooling time does not depend on ion beam emittance, but proportional to ion number in the beam! **Therefore electron cooling and stochastic one are complimentary!**



### III. Stochastic Cooling Method

## Single Particle Approach Longitudinal (Momentum) Stochastic Cooling

Palmer Method (R.B.Palmer, SLAC, 1975)



$$x_{PU}(\Delta p) = D_{PU} \times (\Delta p/p)$$

$$U_{PU} = g \cdot x_{PU}(\Delta p) \Rightarrow \text{Amplifier (K)} \Rightarrow U_{kicker} = K \cdot g \cdot x_{PU}(\Delta p)$$

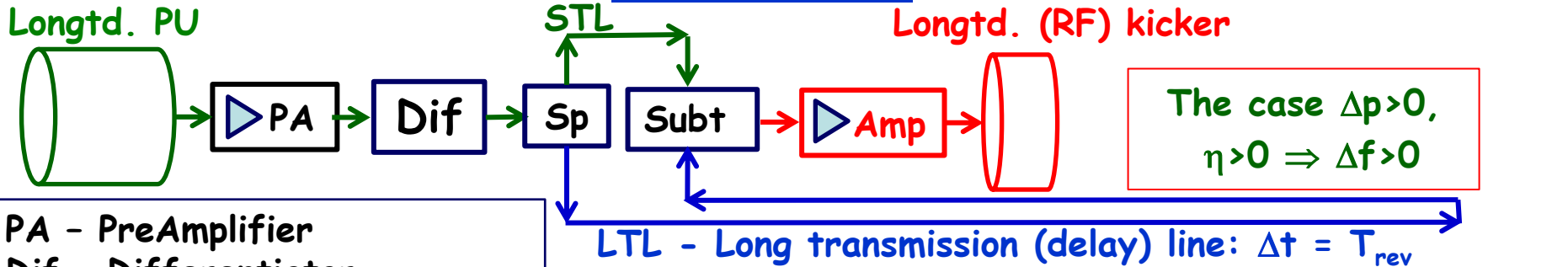
Note: Owing to **proper location of the kicker** ( $D_{kicker} = 0$ ) it decreases ion momentum **without additional excitation of betatron oscillation** - no betatron amplitude increase! (See Ref. [7], § 2.13 "Hereward cooling").



# III. Stochastic Cooling Method

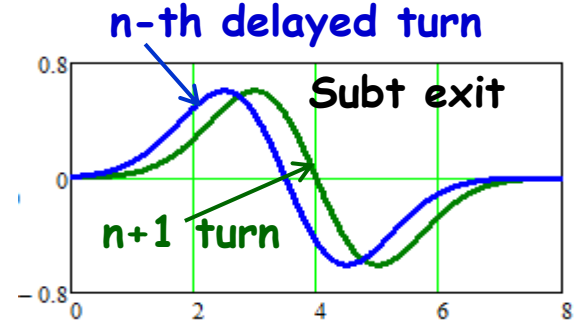
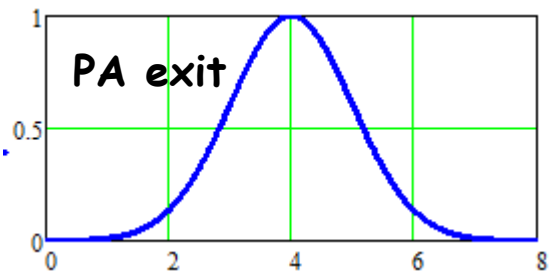
## Single Particle Approach Longitudinal (Momentum) Stochastic Cooling

### Filter Method (L.Thorndahl & G.Carron, CERN, 1977)

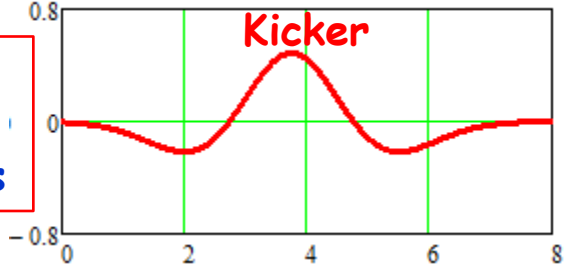


- PA - PreAmplifier
- Dif - Differentiator ( $\pi/2$  phase shifter)
- Sp - Splitter
- STL - Short transmission line
- LTL - Long transmission line
- Subt - Subtractor
- Amp - amplifier

**Important remark:**  
 PU location at filter method is indifferent to  $D(s)$  value. But not the Kicker!  
 The condition  $D_{ricker} = 0$  remains in force (see remark in previous slide).



at  $\Delta p > 0$  ion decelerates  
 at  $\Delta p = 0$  ion  $p = \text{constant}$   
 at  $\Delta p < 0$  - ion accelerates



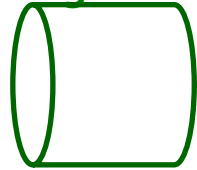
# III. Stochastic Cooling Method

## Single Particle Approach Longitudinal (Momentum) Stochastic Cooling

### Time of flight (ToF) Method

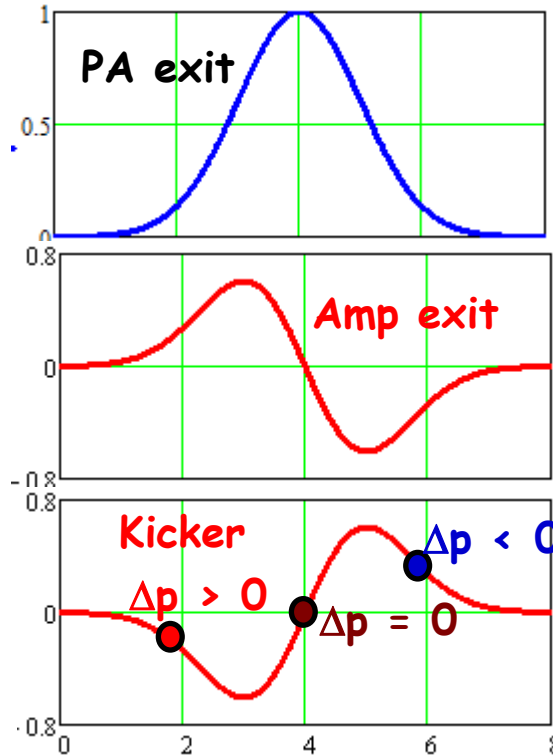
(W.Kells, Fermilab - initial idea, 1980; R.Stassen, FZ Jülich - first realization, 2012)

Longtd. PU



Longtd. (RF) kicker

PA - PreAmplifier  
 Dif - Differentiator  
 ( $\pi/2$  phase shifter)  
 Amp - amplifier  
 $\pi$ -PS -  $\pi$ -phase shifter



The method has been tested once at COSy cooler ring (2012), but was not implemented yet in operational cooling system[7].

The signal is delayed in feedback transmission line by  $\Delta t_{\text{delay}} = T_{\text{rev}}$  so that ion in kicker

Decelerates, if  $\Delta p > 0$ ,  
 Accelerates, if  $\Delta p < 0$ ,  
 Gets zero "kick" if  $\Delta p = 0$ .



# III. Stochastic Cooling Method

## Stochastic Cooling Technique - Steady Progress

Amplifiers: From travelling-wave tubes  $\Rightarrow$   
 $\Rightarrow$  to solid-state powerful microelectronics

Transmission lines: From TEM waveguides  $\Rightarrow$  to optical transmission lines

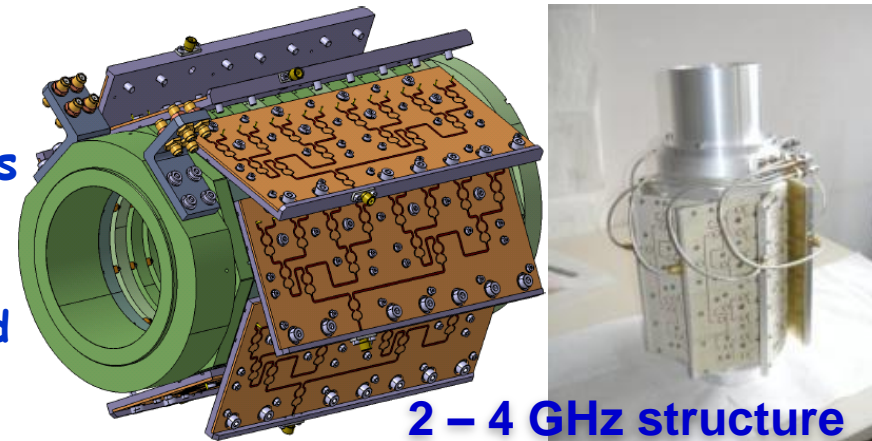
Pick-Ups and kickers  $\Rightarrow$  to wideband devices

### “Ring slot coupler”

(L.Thorndahl, CERN and R.Stassen, FZ Jülich)

This sophisticated device can work both as PU and kicker.

It is used at Nuclotron (JINR) and will be used for NICA Collider (JINR) and HESR (FAIR).



Result: bandwidth of stochastic cooling system increased  
from  $W \sim 300$  MHz (150 ÷ 500 MHz)

to 2 and 4 GHz (2 ÷ 4 GHz and/or 4 ÷ 8 GHz).

It led, correspondingly, to shortening of cooling time!



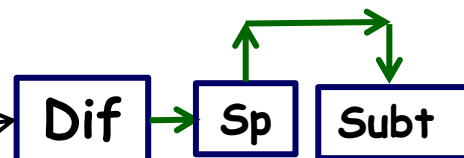
### III. Stochastic Cooling Method

## Stochastic Cooling Technique - Steady Progress

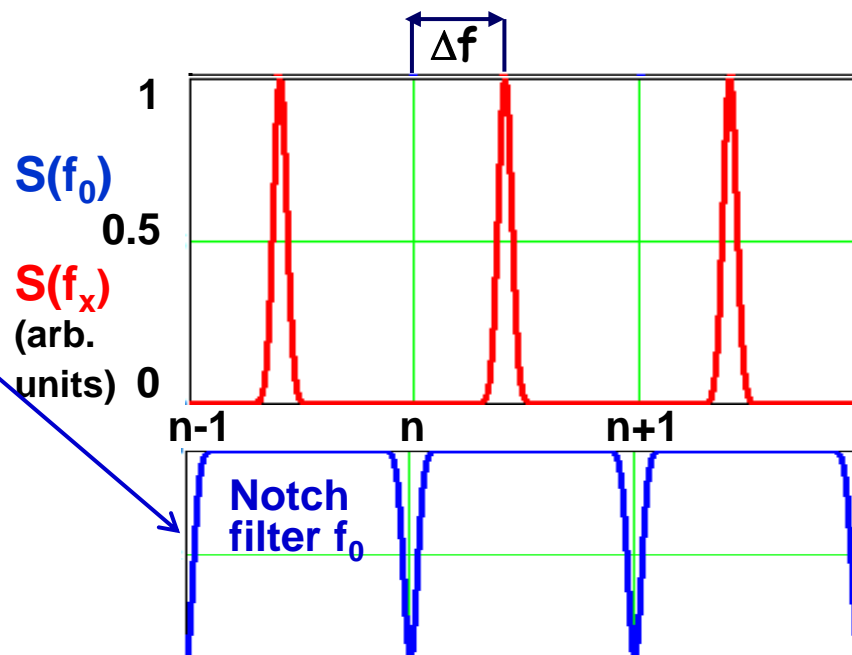
### Progress in microelectronics - one example

In early years of the stoch. cooling method development these three elements were used with so called notch filter ("comb") that worked for pulse preliminary analysis.

Nowadays it is one integral circuit (a "chip"), like "Hybrid coupler 180°"



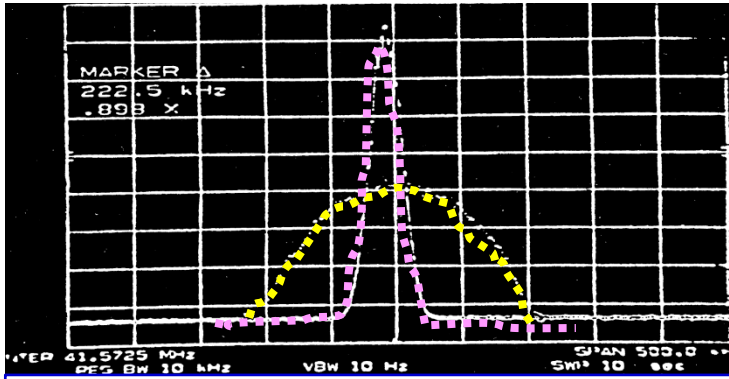
Spectra of equilibrium ion ( $f_0$ ) and of one shifted by energy (velocity)



# III. Stochastic Cooling Method

## Stochastic Cooling Technique - Steady Progress

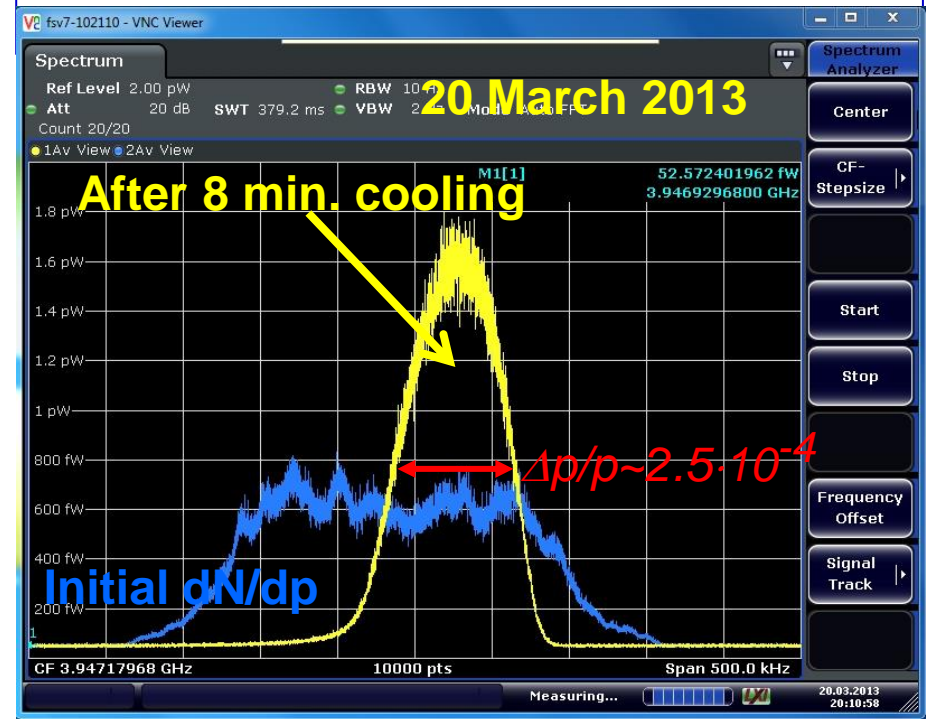
### Two Examples



**Momentum cooling of antiprotons in Low Energy Antiproton Ring - LEAR, CERN, 1988**

Momentum distribution of  $3 \times 10^9$  antiprotons at injection and after 3 min. cooling,  $W = 250$  MHz.

### Momentum Stochastic Cooling Experiment at Nuclotron (JINR)





# IV. Laser Cooling Method





# IV. Laser Cooling Method

## First laser cooling in co

VOLUME 64, NUMBER 24

PHYSICAL REVIEW

1990 TSR  
(MPI Heidelberg,  
Mainz Univ., GSI)

S.Schröder

### First Laser Cooling of Relativistic

S. Schröder, R. Klein, N. Boos, M. Gerhard, R. Griem  
and N. Schmitt  
*Institut für Physik der Universität Mainz, D-6500*

T. Kühl and R. Neugebauer  
*Gesellschaft für Schwerionenforschung, D-6100 Da*

V. Balykin,<sup>(a)</sup> M. Grieser, D. Habs, E. Jaeschke, D. Krämer,  
D. Schwalm, P. Sigray,<sup>(c)</sup> M. Steck,  
*Physikalisches Institut der Universität Heidelberg at  
D-6900 Heidelberg, Federal Republic of Germany*  
(Received 26 February 1990)

The first successful laser cooling of ions at relativistic velocities in a storage ring. A  ${}^7\text{Li}^+$ -ion beam of 13.3 MeV was overlapped with counterpropagating laser beams. The metastable ions were cooled.

VOLUME 67, NUMBER 10

PHYSICAL REVIEW

1991 ASTRID  
(Aarhus Univ.)

J.S.Hangst

### Laser Cooling of a Stored

J. S. Hangst,<sup>(a),(b)</sup> M. Kristensen, J. S. Nielsen, S. E. Hansen, S. E. Schmitz, and J. S. Pedersen  
*Institute of Physics, University of Aarhus, DK-8000 Aarhus C, Denmark*  
(Received 5 February 1991)

The interaction of laser-induced and intrabeam forces has been studied in a dense stored beam of 100-keV  ${}^7\text{Li}^+$  ions. A fraction of the ions ( $\sim 10^{-4}$ ) exist in the metastable  $1s2s\ {}^3S$  state. Using this state as a laser spectroscopic probe, we observe fast longitudinal heating in the injected, nonequilibrium distribution due to Coulomb scattering. Laser cooling of the metastable ions is ineffective during this heating period. The metastable fraction of the equilibrated beam is subsequently laser cooled to a longitudinal temperature of  $\sim 1$  mK, the lowest temperature ever reported in a stored ion beam.

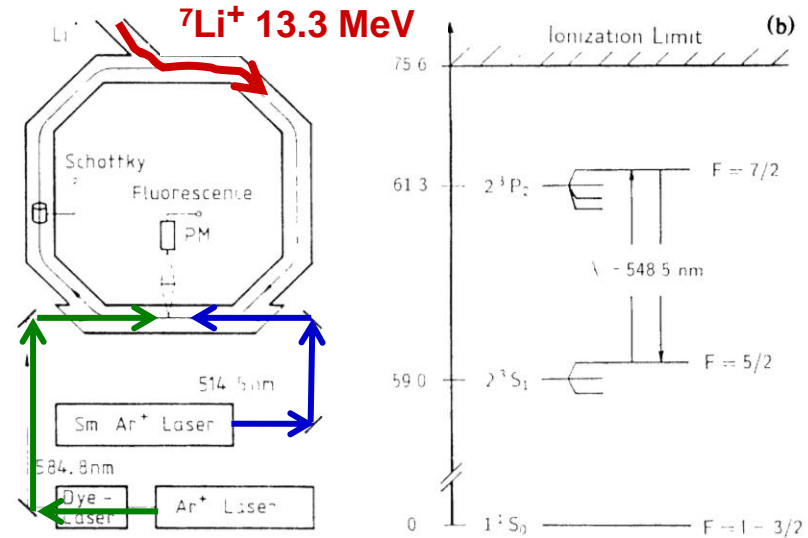
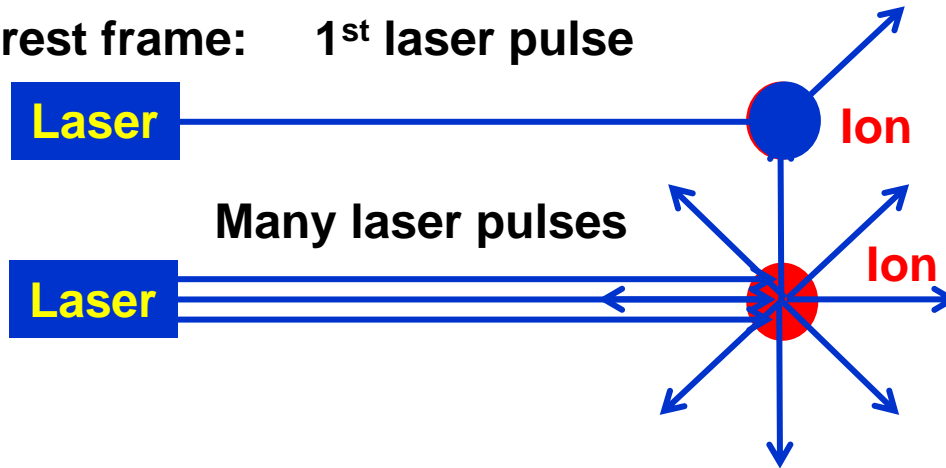


FIG. 1. (a) Experimental setup and (b) partial  ${}^7\text{Li}^+$  level scheme. Two counterpropagating laser beams (single-mode  $\text{Ar}^+$ -ion laser and ring dye laser) are merged with the  ${}^7\text{Li}^+$ -ion beam stored in the TSR at  $\beta=0.064$ . The fluorescence signal is detected by a photomultiplier at  $90^\circ$ . The dynamical properties of the stored beam are monitored by a Schottky noise pickoff in (a). A schematic  ${}^7\text{Li}^+$  level scheme is shown in (b). The closed two-level system  ${}^3S_1(F=5/2) \rightarrow {}^3P_2(F=7/2)$  is used for cooling as well as for fluorescence detection.

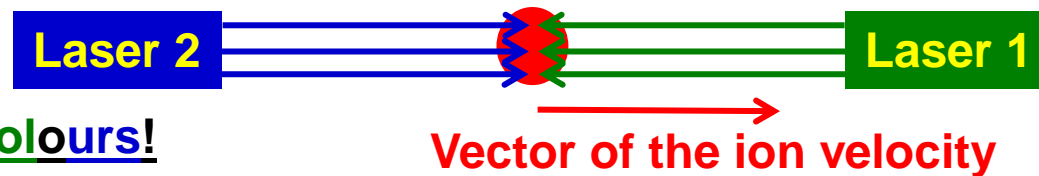


## Physics of Laser Cooling

In ion rest frame: 1<sup>st</sup> laser pulse



Directed flux of laser photons forms directed force. However, it is **drag force:** one direction force (!) – not a cooling force. The latter one can be formed with two laser beams – co- and counter propagating.



Do mind beam colours!



## Physics of Laser Cooling

Optional [8,9]

In ion (particle) rest frame laser beams have Doppler shifted frequencies:

$$(\omega_{PRF})_{1,2} = \gamma \cdot (\omega_L)_{1,2} \cdot (1 \pm \beta)$$

Here index **1** denotes **counter propagating laser beam**, index **2** - **co-propagating** one. Momentum from single photon absorption is

$$p_{1,2} = \pm \hbar \frac{(\omega_{PRF})_{1,2}}{c}$$

Then laser cooling force can be written as following:

$$F_\omega = \frac{dp_1}{dt} + \frac{dp_2}{dt} = p_1 \frac{d\dot{N}_1}{dS} \sigma(\omega_1) + p_2 \frac{d\dot{N}_2}{dS} \sigma(\omega_2)$$

$\frac{d\dot{N}_{1,2}}{dS}$  - flux density of lasers' photons,  $\sigma(\omega_{1,2})$  - photons' absorption cross section:

$$\sigma(\omega) \cong \lambda_\omega^2 \frac{(\Gamma/2)^2}{(\omega - \omega_0)^2 + (\Gamma/2)^2},$$

$\omega_0$  - ion transition frequency,  $\Gamma$  - transition line width.

[8] D.Möhl & A.Sessler, NIM A 532 (20014) 1-10; [9] V.Parkhomchuk, Proc. JAS-2000



## Physics of Laser Cooling

### Laser Cooling Force

For nonrelativistic ions ( $\beta \ll 1, \gamma \approx 1$ ) and  $\dot{N}_1 = \dot{N}_2 = \dot{N}$  one can find

$$F_\omega = -\lambda_\omega^2 \alpha_\omega \frac{\hbar \omega_0}{c} \frac{2 \cdot \Delta\beta}{(\Delta\beta)^2 + \alpha_\omega^2} \frac{d\dot{N}}{dS}, \quad \Delta\beta = \beta - \beta_0,$$

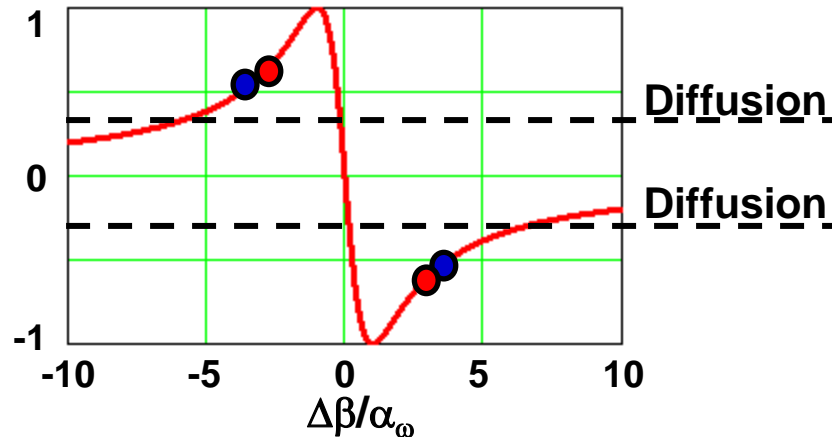
$\beta_0 = V_0/c$ ,  $V_0$  – ion equilibrium velocity that follows from the equation

$$(\omega_{PRF})_1 = (\omega_{PRF})_2 = \omega_0 \quad \text{at } V = V_0.$$

$$\alpha_\omega = \frac{\Gamma}{2\omega_0}$$

$$F_{max} = \lambda_\omega^2 \alpha_\omega \frac{\hbar \omega_0}{c} \frac{d\dot{N}}{dS}$$

$F_\omega(\Delta\beta)/F_{max}$



## Laser Cooling Time

$$\tau_{cool}^{-1} = -\frac{2\eta}{M} \frac{dF_{\omega}}{dV} \approx \frac{4\eta}{Mc} \cdot \frac{\lambda_{\omega}^2}{\alpha_{\omega}} \frac{\hbar\omega_0}{c} \frac{d\dot{N}}{dS}, \quad \Delta\beta \ll \alpha_{\omega}, \quad \frac{d\dot{N}}{dS} = \frac{1}{\hbar\omega_0} \frac{dP_{Laser}}{dS}.$$

**An example** (Parameters of TSR-1990 experiment):

${}^7\text{Li}^+$  ions,  $\lambda_{\omega} = 548.5 \text{ nm}$ ,  $\omega_0 = 3.44 \cdot 10^{15} \text{ s}^{-1}$ ,  $\varepsilon_{\text{photon}} = 2.19 \text{ eV}$ ,  $\Gamma = 23 \text{ MHz}$ ,  
 $\alpha_{\omega} = 3.38 \cdot 10^{-9}$ ,  $P_{\text{laser}} = 40 \text{ mW}$ ,  $d^2N_{\text{photon}}/dS \cdot dt = 1.14 \cdot 10^9 \text{ cm}^{-2} \cdot \text{s}^{-1}$ ,

that gives  $\tau_{cool} \approx 80 \text{ ns}$  (!)

One should underline this cooling time value is minimum one (corresponding to cooling time of “fast” electron cooling – slide #32). In practice cooling time is defined by  $\Delta V_{\text{max}}$  ( $\Delta\beta_{\text{max}}$ ) and laser scanning time (see references in slide #53).



## Physics of Laser Cooling

Optional

## 3D Laser Cooling

(!) Why can not one to cool ions transversally sending laser beam across ion one?

Because  $(\eta_{\text{cool}})_{\text{transverse}} = \phi_{\text{Laser}} / C_{\text{ring}} \ll 1 !$

Therefore indirect methods should be applied.

There are presently two methods which use longitudinal-transverse coupling components of ion momenta: ions cooled longitudinally “redistribute” large transverse momentum components to small longitudinal ones

1) “sympathetic” (induced) cooling<sup>[10, 11]</sup> – using IntraBeam Scattering (IBS) in ion-ion collisions;

2) using coupling at excitation of synchro-betatron resonances in ion motion in the ring (proposed in [12] and demonstrated recently in [13]).

- [10] I. Lauer et al., Phys. Rev. Lett. 81, 2052 (1998); [11] N. Madsen et al., Phys. Rev. Lett. 83, 4301 (1999), [12] H.Okamoto, A.Sessler and D.Möhl, Phys. Rev. Lett. 72, (1994), 397, [13] A.Noda et al., Proc. of IPAC’2014, <http://www.jacow.org>



# Laser Cooling Application

Most promising application of laser cooling is formation of so called **crystalline beams** (see below, part VI, slides **83 - 86** ).



# V. Muon Cooling Method





# V. Muon Cooling Method



1965 A.A. Kolomensky: Ionization cooling  
"On damping decrements in accelerators  
under conditions of the arbitrary energy losses"  
(*Atomnaya Energiya* v.19 (1965) 534, in Russian)

The concept turned out to be unproductive for heavy and **strongly interacting particles like p,  $\bar{p}$ , ions** due to strong interaction (**SI**) with a target nuclei ( $n_0$ ):

$$\text{cooling rate} \sim \tau_{cool}^{-1} = 4\pi n_0 c r_e^2 Z_{target} Z_p \cdot \frac{m_e}{\gamma m_p} \cdot \eta, \text{ sec}^{-1}$$

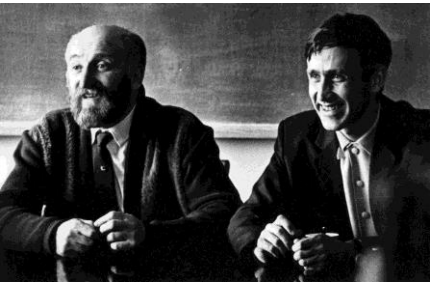
$$\text{particle loss rate} \tau_{SI}^{-1} = \sigma_{SI} n_0 c \eta, \text{ sec}^{-1}; \quad \eta = d_{target} / C_{Ring} \cdot$$

For protons at  $E = 5 \text{ GeV}$  and carbon target  $\tau_{cool} / \tau_{SI} \sim 150$ .

Nevertheless, it was a good start idea



# V. Muon Cooling Method



The main restriction:  $\tau_\mu = 2.2 \mu\text{s}$   
But  $\tau_{\text{LRF}} = \gamma\tau_\mu = 22 \text{ ms at } 1 \text{ TeV!}$

1970 First Proposal of  $\mu^+\mu^-$  Collider

G.I. Budker and A. Skrinsky:

Ionization cooling does work

when applied to muon beam formation:

Muons are deprived of Strong Interaction!

And synch. rad. is less than for  $e^\pm$  by factor

$$(m_\mu/m_e)^4 = (105.6/0.511)^4 \approx 1.6 \times 10^9 !$$

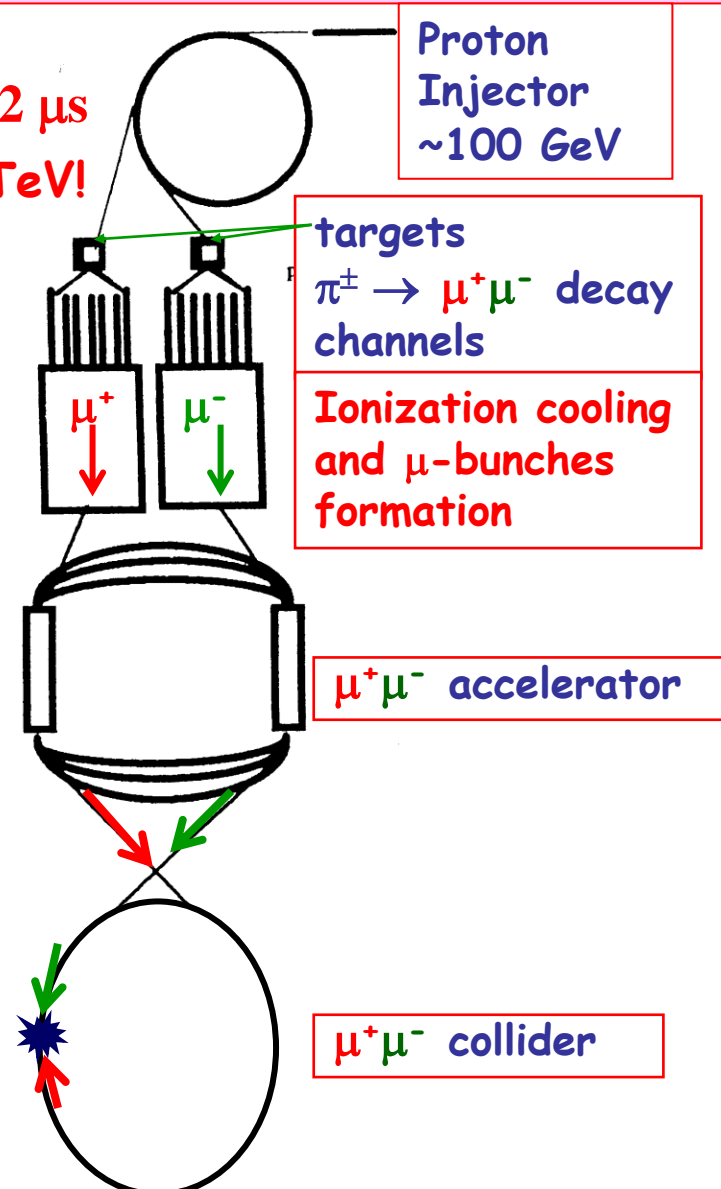
(G.I. Budker, in *Proc. of 15th Intern. Conf. on High Energy Physics*. Kiev, 1970

A.N. Skrinsky, Report at CERN seminar 1971, *Uspekhi Fizicheskich Nauk*, 1982, v. )

Further development - D.V. Neuffer (since 1979), R.B. Palmer (since 1994), and others



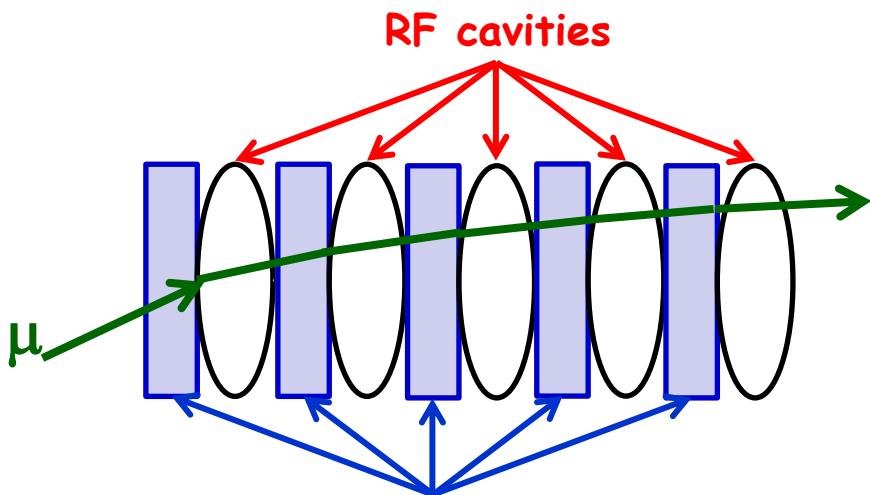
R.B. Palmer



# V. Muon Cooling Method

## Ionization Cooling of Muons

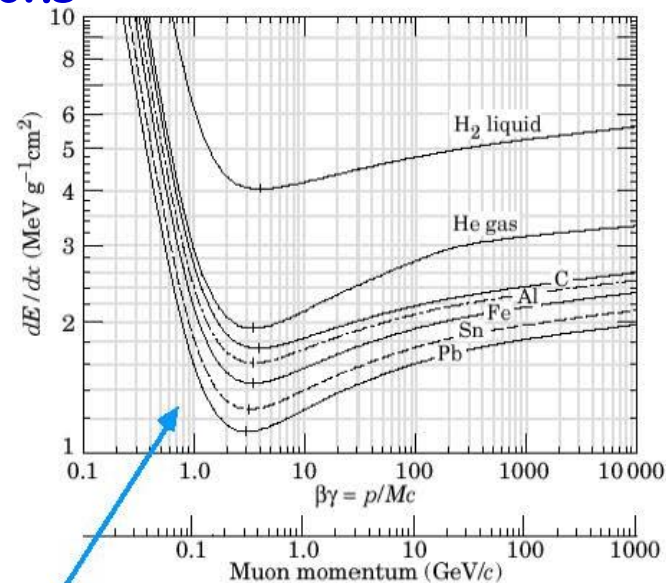
Muon cooler scheme<sup>[14]</sup>



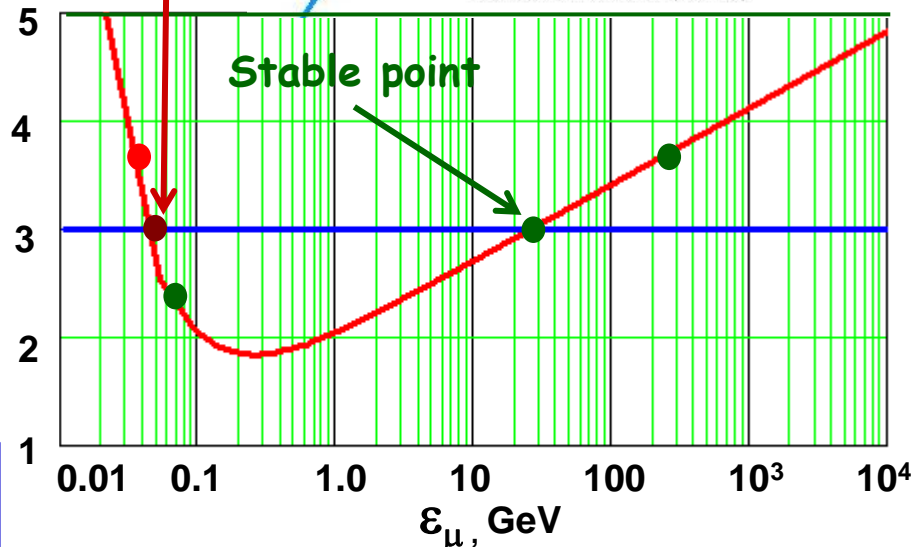
Absorbers  
(Liquid H<sub>2</sub>)

Why liquid hydrogen?  
See next slide

-dε<sub>μ</sub>/dx  
+(dε<sub>μ</sub>/dx)<sub>RF</sub>  
arb.un.



Unstable  
point



Stable point

[14] A.Skrinsky, in Proc. of Joint Accel. School US-CERN-JAPAN-RUSSIA, 2006, p.p.601-615



# V. Muon Cooling Method

Optional

## Ionization Cooling of Muons

Equilibrium state of muons at mu-cooling

Ionization friction force:

$$F_{cool} = -\frac{d\varepsilon_\mu}{ds} = -\frac{4\pi n_{target} Z_{target} e^4}{mc^2 \beta^2} \left( \ln \left( \frac{2mc^2 \gamma^2 \beta^2}{I(Z_{target})} \right) - \beta^2 \right)$$

Ionization potential  $I(Z_{target}) = 12.5 \cdot Z_{target} \text{ eV}$

Ionization cooling time:

$$\tau_{cool}^{-1} = -\frac{\dot{p}_z}{p_z} = -\frac{F_{cool}}{\beta \gamma mc}, \quad \gamma \gg 1.$$

**Muon multiple scattering (ms) in the target:  $Z(Z+1)$  scattering effect!**

$$\left( \frac{d\theta^2}{ds} \right)_{ms} = \frac{4\pi n_{target} Z_{target} (Z_{target} + 1) z_\mu^2 e^4}{\beta^4 \gamma^2 m_\mu^2 c^4} \ln \left( 183 Z_{target}^{-1/3} \right)$$

Equilibrium value  $\theta_{equi}$  follows from the equation:

$$\frac{d\theta^2}{ds} = -\frac{\theta^2}{l_{cool}} + \left( \frac{d\theta^2}{ds} \right)_{ms}, \quad l_{cool} \equiv \beta c \tau_{cool},$$

Thus  $\theta_{equi}^2 = l_{cool} \left( \frac{d\theta^2}{ds} \right)_{ms} \propto (Z_{target} + 1)$ , and  $Z_{target} = 1$  is preferable.



### What is now?

**2001** Beginning of International Muon Ionization Cooling Experiment (**MICE**) at Rutherford Appleton Laboratory and Fermilab<sup>[15]</sup>

Two applications of muon cooling (and MICE goals):

1)  $\mu^+\mu^-$  collider

2) neutrino factory - generation of neutrino flux with small divergence angle => increase of the luminosity (!) of long base neutrino oscillation experiment

[15] R.Kaplan, in. Proc. Of Int. Conf. on High Energy Physics ICHEP'2006, Moscow



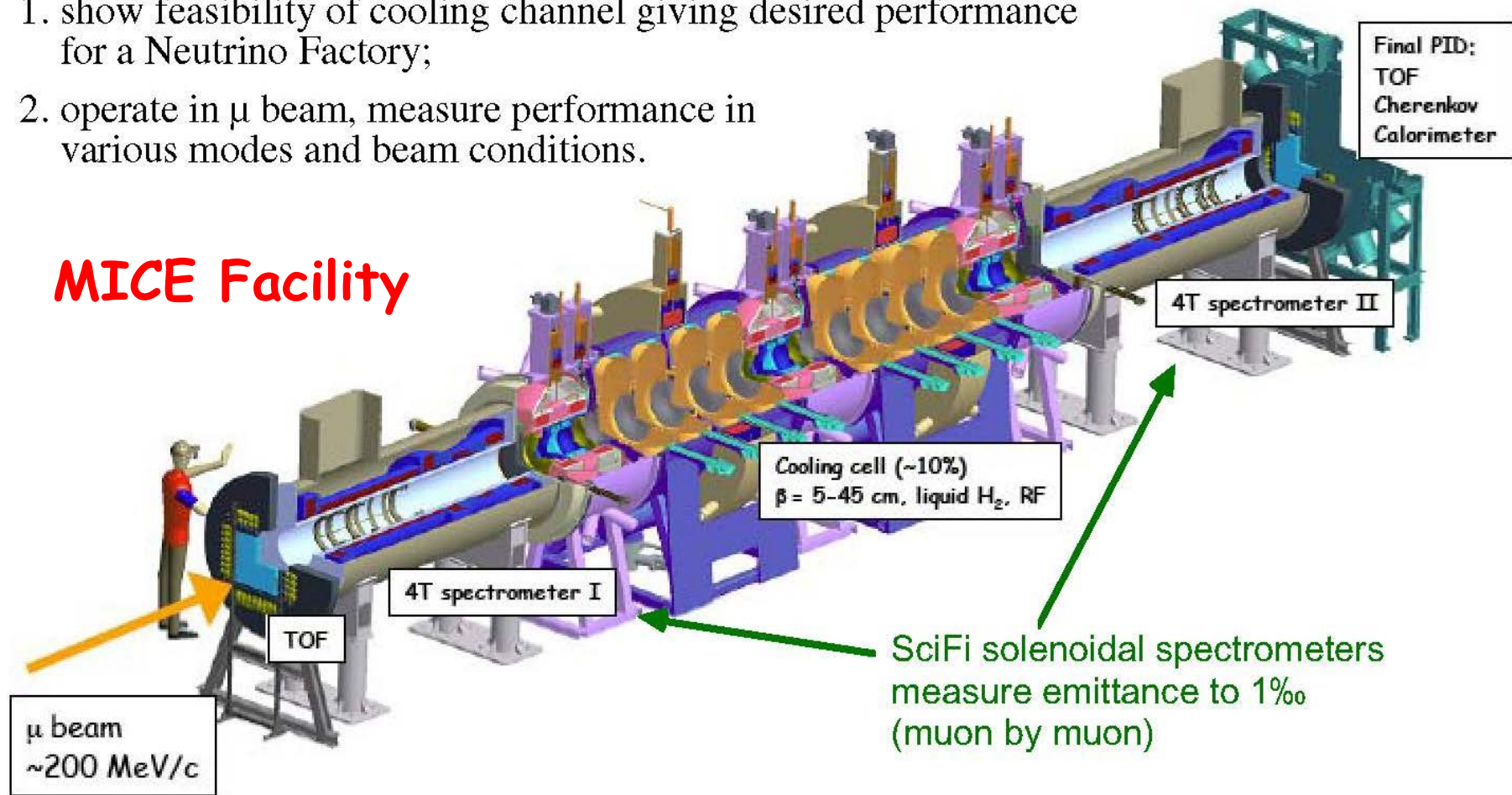


## V. Muon Cooling Method

- **Goals:**

1. show feasibility of cooling channel giving desired performance for a Neutrino Factory;
2. operate in  $\mu$  beam, measure performance in various modes and beam conditions.

### MICE Facility



- **Large international, interdisciplinary collaboration:**

- >100 particle and accelerator physicists and engineers from Belgium, Bulgaria, China, Italy, Japan, Netherlands, Russia, Switzerland, UK, USA

# VI. The Highlights of Cooling Methods' Application





# VI. The Highlights of Cooling Methods' Application: Fruitful Years

First Generation of Cooler Storage Rings		Operation years
1	NAP-M (Storage Ring for Antiprotons – Model, Budker INP)	1974 - 1984
2	ICE (Initial Cooling Experiment, CERN)	1979 - 1980
3	Test Ring (FNAL)	1980 - 1982
4	MOSOL (Model of Solenoid, BINP)	1986 - 1988
5	LEAR (Low Energy Antiproton Ring, CERN)	1988 - 1996
6	IUCF Cooler (Indiana Univ. Cyclotron Facility)	1988 - 2002
7	TSR (Test Storage Ring, MPI, Heideberg)	1988 => ...
8	TARN-II (Test Accumulation Ring for Numatron, Tokyo Univ.)	1985 - 2000
9	ASTRID (Aarhus Storage Ring in Denmark, Aarhus Univ.)	1989 - 2005
10	CELSIUS (Cooling with Electrons and Storing of Ions from Uppsala Synchrocyclotron, Uppsala Univ.)	1989 – 2005
11	ESR (Experimental Storage Ring, GSI)	1990 => ...
12	CRYRING (CRYebis connected to a small synchrotron RING, MSL, Stockholm Univ. - See C.J.Harlander and A.Barany, ECOOL'1984)	1992 - 2009
13	COSY (Cooler-Synchrotron, FZJ)	1992 => ...



## 9 Cooler Storage Rings Operated Presently

(including 3 listed in previous Table)

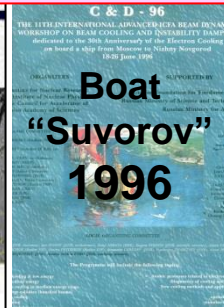
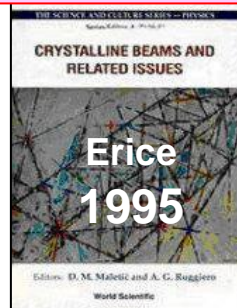
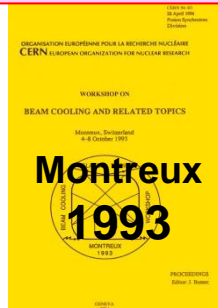
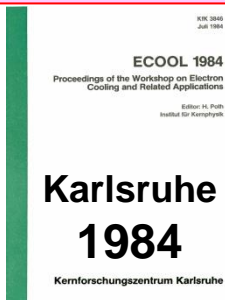
Facility (Lab)		Application	Commissioning
1	TSR (MPI, Heideberg)	Nuclear and atomic physics, Accelerators technology	1988
2	ESR (GSI)		1990
3	COSY (FZJ)	Particle physics	1992
4	SIS-18 (GSI)	Nuclear physics, Low energy part. phys.	1998
5	HIMAC (Chiba-Inage)	Cancer Therapy	2000
6	AD (CERN)	Physics of "anti-atoms"	2000
7	LEIR (CERN)	Lead ions for LHC	2006
8	S-LSR (Kyoto Univ.)	Particle beam physics	2005
9	CSRm & CSRe (HIRFL, IMP, Lanzhou)	Nuclear and atomic physics,	2008

**10 Pelletron e-cooler at Recycler (Tevatron facility, Fermilab) 2005 - 2012**



# VI. The Highlights of Cooling Methods' Application: Fruitful Years

...and developed with the lapse of time:

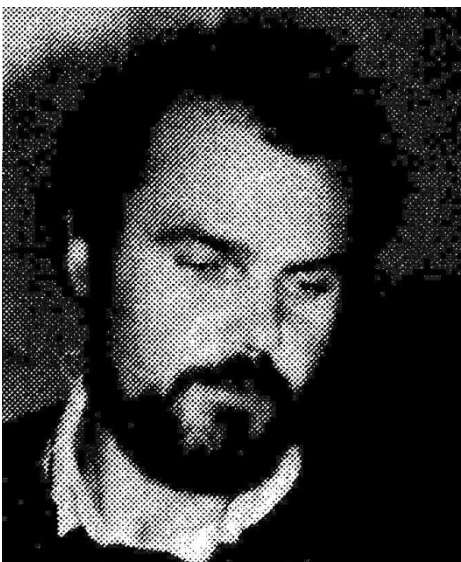


COOL'2015  
JLAB, USA

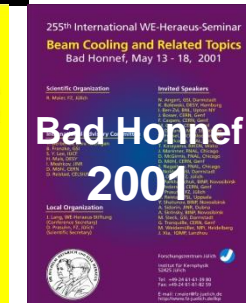
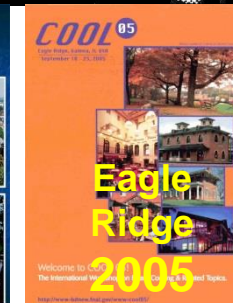
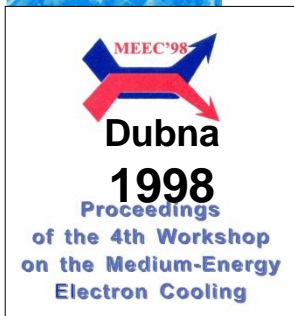
*It will be the 16<sup>th</sup> one*

1984

First Cooling Workshop (Karlsruhe)...



...organized by Dr. Helmut Poth... (FZ Karlsruhe - Cern)



Beam Cooling Techniques  
6-11 July 2014

oPAC 2014  
Royal Holloway University of London

I. Meshkov

### Optional

- ✓ Both electron and stochastic cooling systems became routine tools at cooler storage rings
- ✓ 1993 - 2010 BETACOOOL code for cooling processes simulation developed (JINR) and experimentally tested at COSY, ESR, CELSIUS, LEAR, Fermilab Recycler...)
- ✓ 1998 Schwere Ionen Synchrotron [German] (SIS-18, GSI) with E-cooling (E-Cooler has been constructed at BINP)
- ✓ 2000 **Heavy Ion Medical Accelerator in Chiba** (HIMAC, Chiba-Inage, Japan)
- ✓ 2000 Antiproton Decelerator (AD, CERN) - commissioning with E- and S-cooling



### Optional

- ✓ 2001 Beginning of International Muon Ionization Cooling Experiment (**MICE**) at RAL and Fermilab
- ✓ 2005 S-LSR (**S**mall **L**aser Equipped **S**torage **R**ing, Kyoto Univ.) - commissioning with E-cooling
- ✓ 2005 Recycler (Fermilab) - commissioning of "The Pelletron", HV E-cooler of 4.3MeV and 1 A electron current
- ✓ 2006 Low Energy Ion Ring (LEIR, CERN) - commissioning with E-cooling of Pb ions (E-Cooler has been constructed at BINP)
- ✓ 2008 Heavy Ion Research Facility at Lanzhou (HIRFL, IMP Lanzhou) - commissioning with E-cooling (E-Coolers have been constructed at BINP)
- ✓ Very recent "Past":  
2013 March S-LSR (Kyoto Univ.) - 3D Laser cooling







## $W^\pm$ and Z Bosons

Antiproton Generation Complex at CERN  
based on **stochastic cooling application**,  
Antiproton Accumulator (AA)  
has been constructed ~ 1978.

Owing to this technology  
construction of first **pp** collider

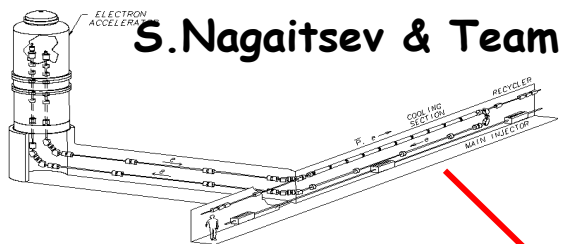
The Super Proton Antiproton Synchrotron (**SPPS**)  
**became possible.**

**It resulted in the discovery of "nobel level".**



# VI. The Highlights of Cooling Methods' Application: Particle Physics

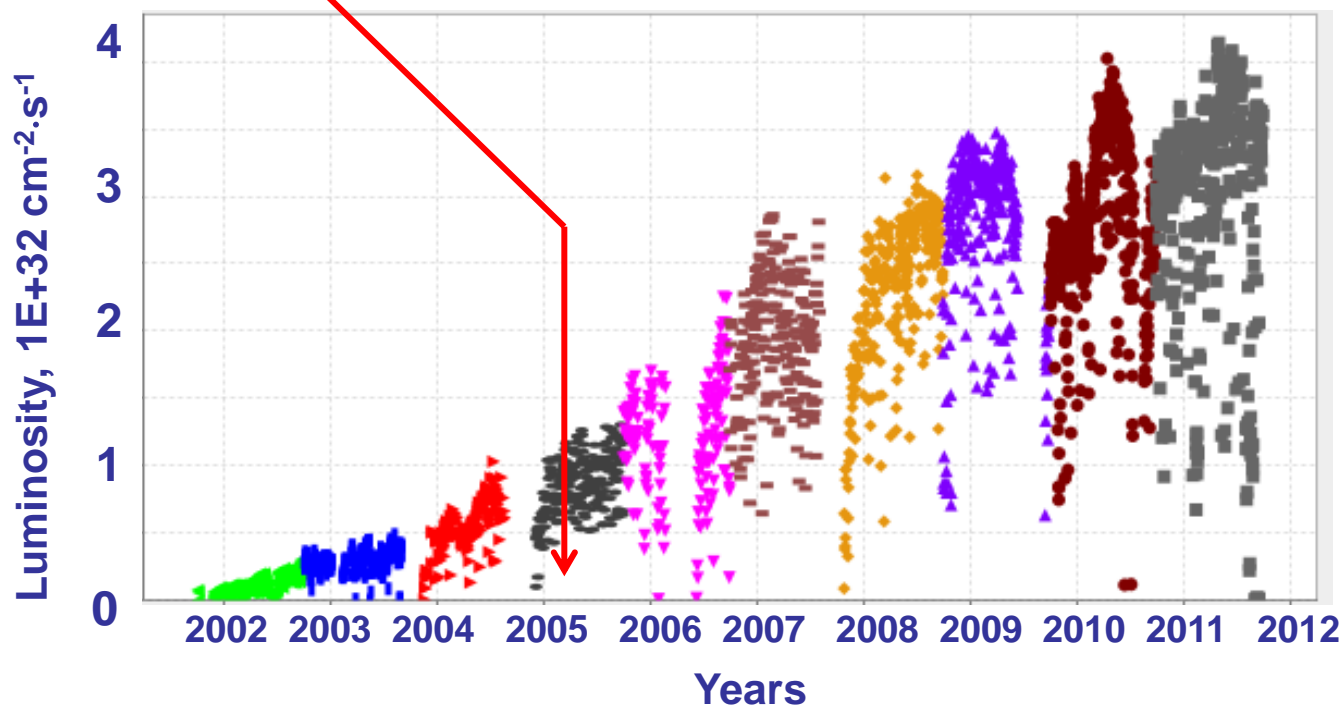
## 2000 - 2011: $\bar{p}p$ Collider Tevatron (Fermilab)



Steady Progress

Run IIA  
 $\bar{p}p$  2x900 GeV  
 $L_{max} = 4 \times 10^{32} \text{ cm}^{-2} \times \text{s}^{-1}$

Tevatron Peak Luminosity



2001 - 2011 Integrated luminosity 11.87103 1/fb  $\approx 1.19e39 \text{ cm}^{-2}$



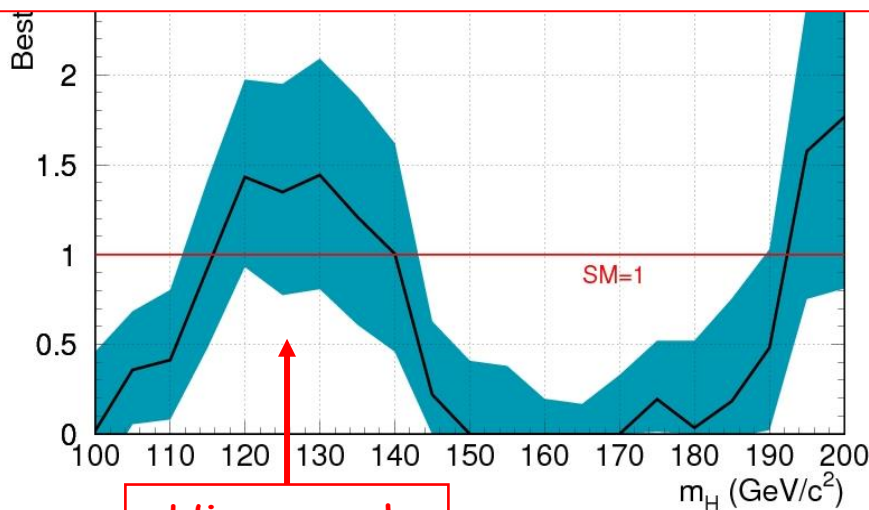


# VI. The Highlights of Cooling Methods' Application: Particle Physics

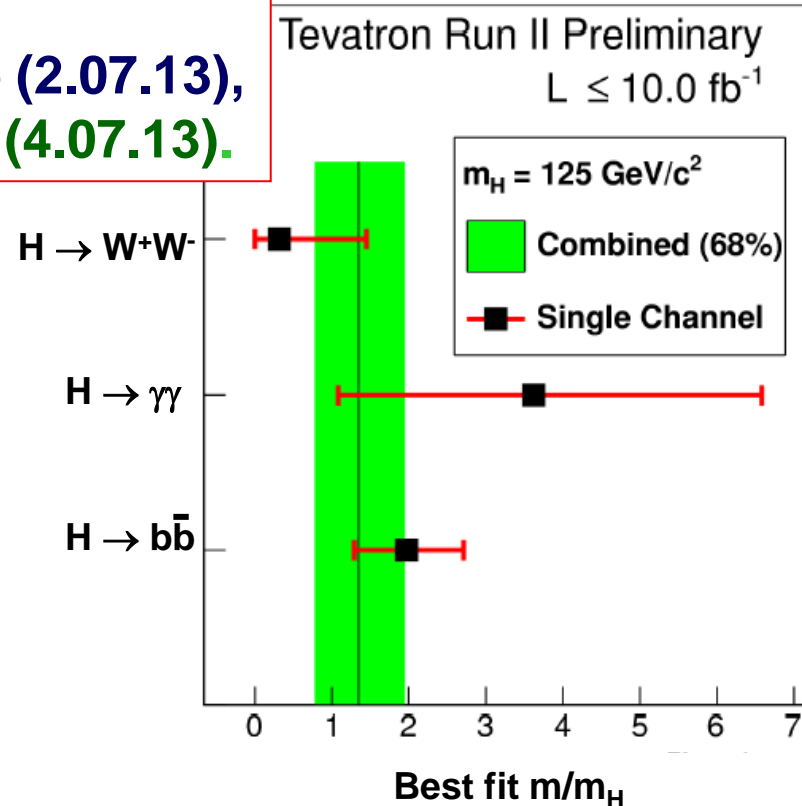
2000 - 2011:  $\bar{p}p$  Collider Tevatron (Fermilab)

2 July 2012, Fermilab seminar

1 sigma => random fluctuations,  
3 sigma => an observation => Fermilab (2.07.13),  
5-sigma result is a discovery => CERN (4.07.13).



Higgs peak  
at 126 GeV

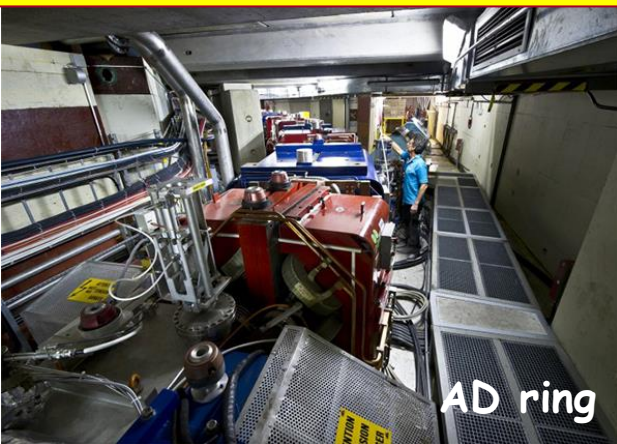


$$\int L \cdot dt \approx 12 \text{ fb}^{-1} = 1.2e40 \text{ cm}^{-2}$$



# VI. The Highlights of Cooling Methods' Application: Particle Physics

## 2010: AD and First H-bar Generation in ALPHA Trap



Stochastic and electron cooling in AD allows to store and decelerate sufficient number of p-bars for 3 experiments - ALPHA, ASACUSA & ATRAP

### Antihydrogen Laser PHysics Apparatus (ALPHA)

#### ALPHA Experiment

17 November 2010 - the first to capture and store **38 antihydrogen atoms** for about **170 ms**.

26 April 2011 - **309 antihydrogen atoms** trapped and kept, some for as long as **1,000 seconds** (about 17 minutes)



## 1996 ESR (GSI)

## Half-Life measurements of Bare, Mass-Resolved Isomers in a Storage-Cooler Ring

H.Irnich, H.Geissel, F.Nolden et al.

The influence of atomic electron shell on the half-lives of different nucleus

Nucleus	half-lives		
	neutral (exper.)	bare (theory)	bare (exper.)
$^{52m}\text{Mn}$	21.2(2) min	21.5(6) min	22.7(3.0) min
$^{52}\text{Fe}$	8.275(8) h	15.1(5) h	12.5( $^{+1.5}_{-1.2}$ ) h
$^{53g}\text{Fe}$	8.51(2) min	8.73(8) min	8.5(3) min
$^{53m}\text{Fe}$	2.58(4) min	2.58(4) min	2.48(5) min

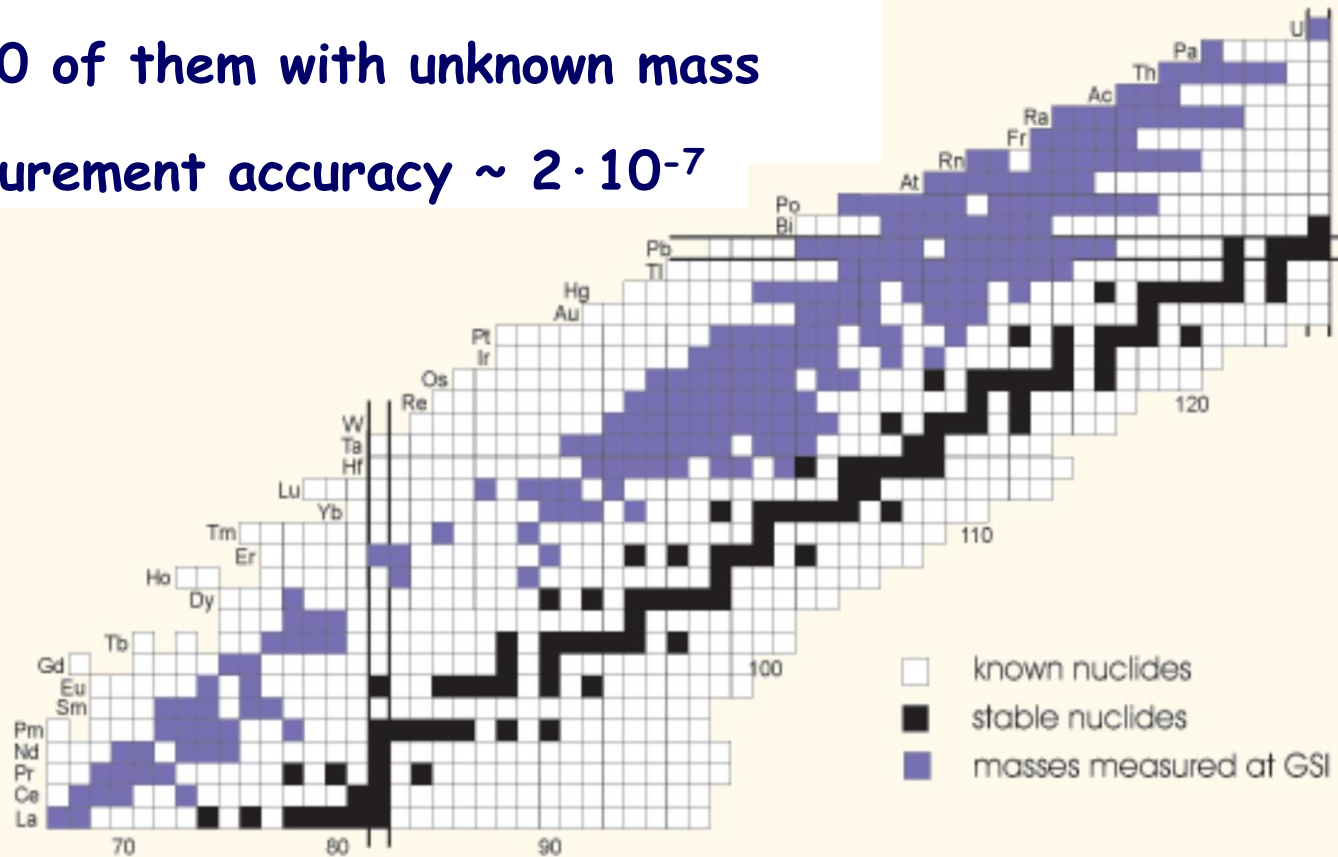


1994 -2014 - ... ESR (GSI)

## High Precision Schottky Mass Spectroscopy

- 194000 peaks identified
- 500 different nuclei
- about 200 of them with unknown mass

Mass measurement accuracy  $\sim 2 \cdot 10^{-7}$

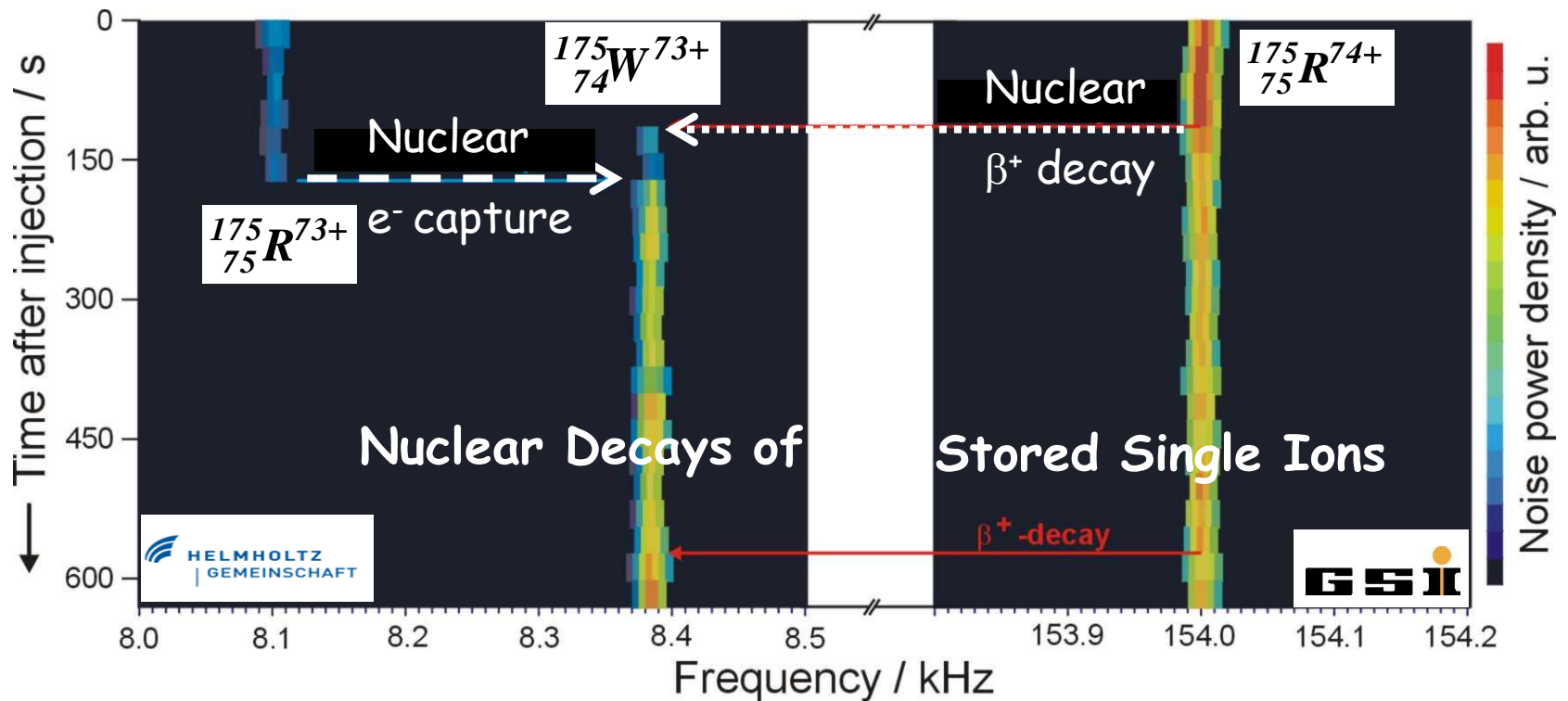




### High Precision Time-Resolved Schottky Mass Spectroscopy (TR SMS)

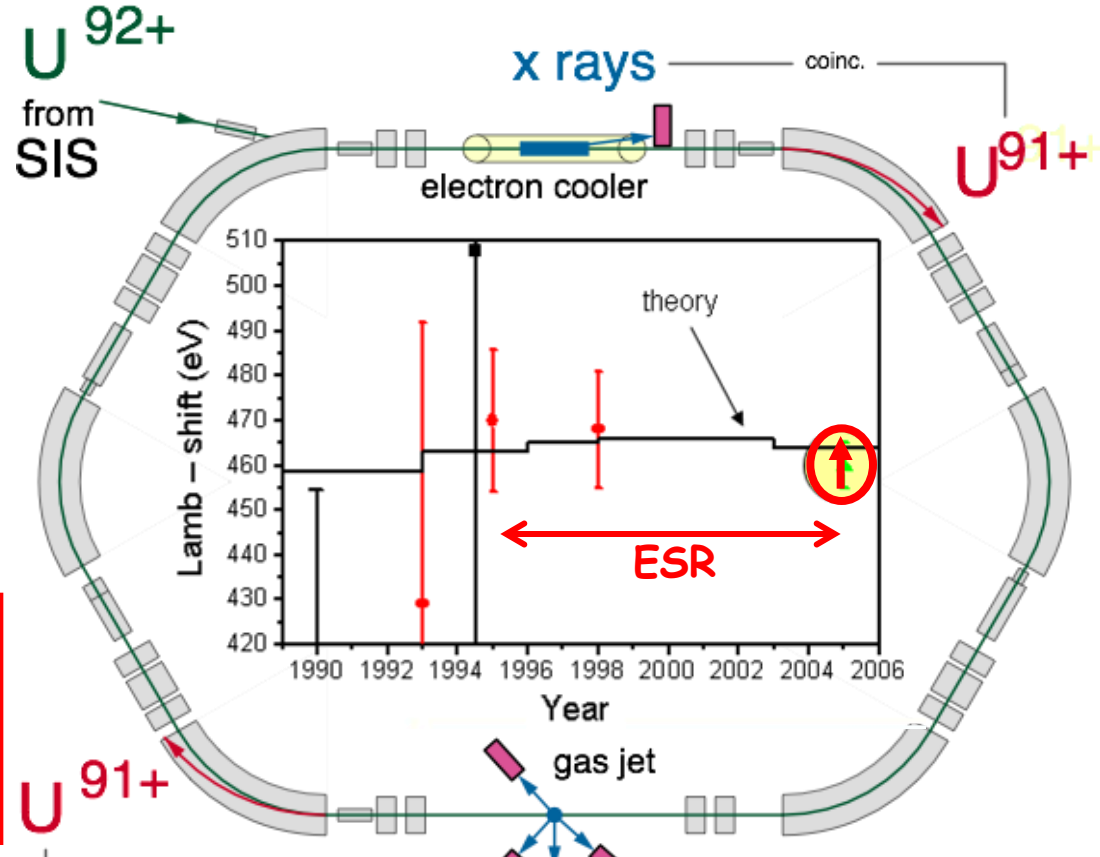
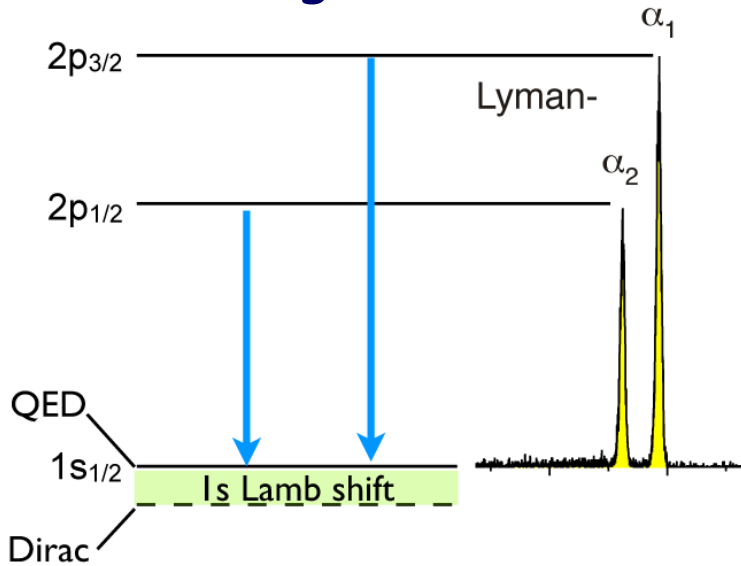
May 10, 2013 Courtesy of Yuri Litvinov and Markus Steck

TR SMS is a perfect tool to study nuclei decays in the ESR



## 1994 - 2005 ESR (GSI)

### High Precision Measurement of $U^{91+}$ 1S Lamb Shift



**Theory (2001)  $460.26 \pm 0.5$  eV**

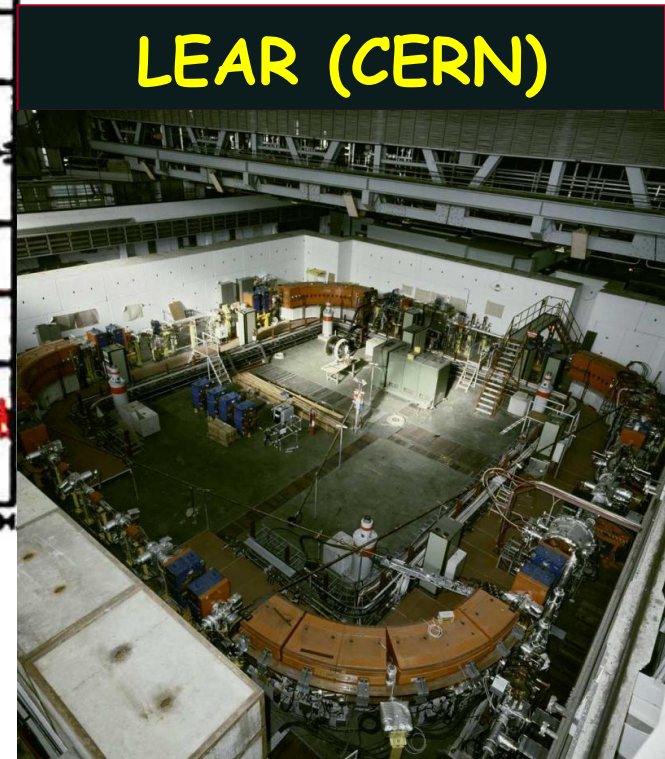
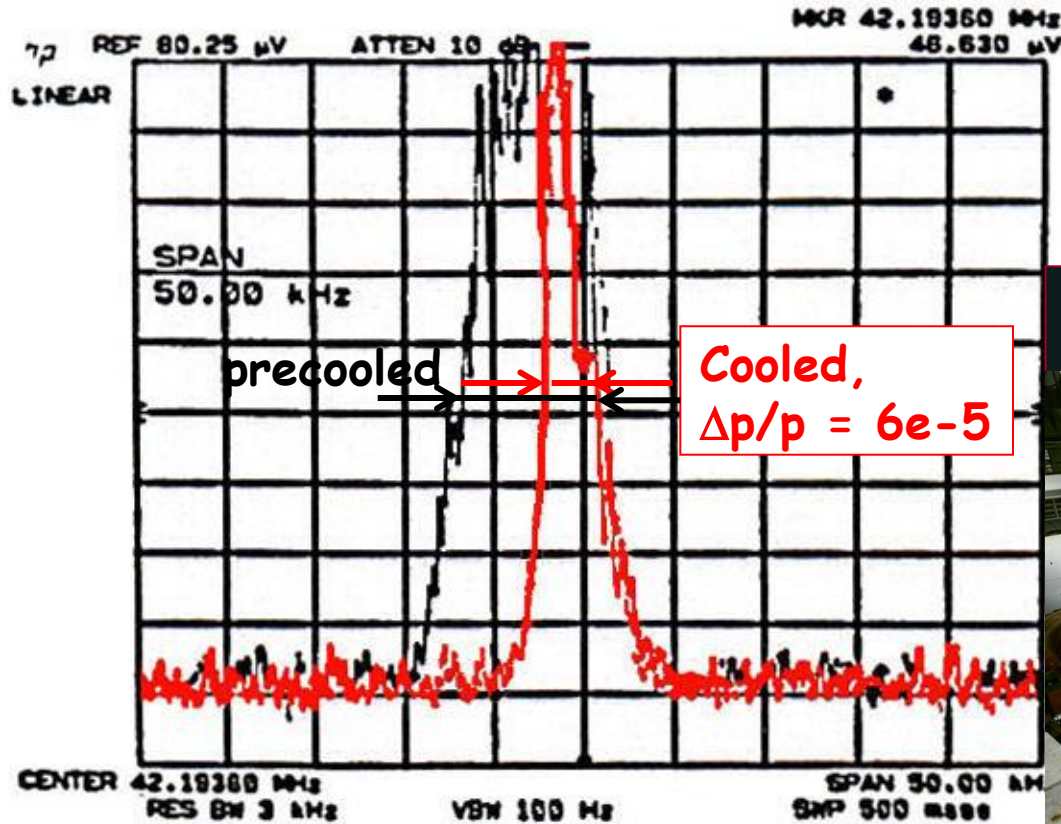
**ESR (1995)  $470 \pm 16$  eV**

**ESR (2005)  $460 \pm 4.6$  eV**

**QED related studies => to be continued at FAIR**  
(Th. Stoehlker et al., NIM B 205 (2003) 156)



December 14, 1988 First Electron Cooling of Antiprotons





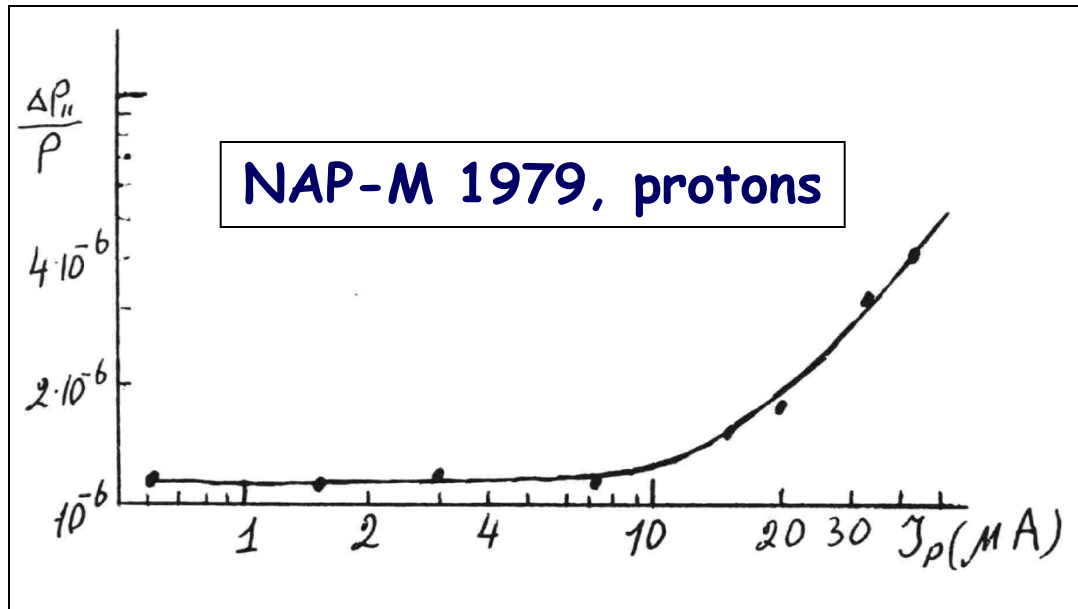
# Hot Jokes for Cold Antiprotons (December 1994)



CERN December 1994



1979 - 2013 => ??? Crystalline Beam



(E.Dementiev, N.Dikansky, V.Parkhomchuk et al., Preprint 79-70 BINP (1979); Preprint 79-41, CERN/PS/AA (1979))

1984 V.Parkhomchuk, Concept of Crystalline Beam

(in Proc. of the Workshop on Electron Cooling and Related Applications, FZ Karlsruhe, 1984, p.71)

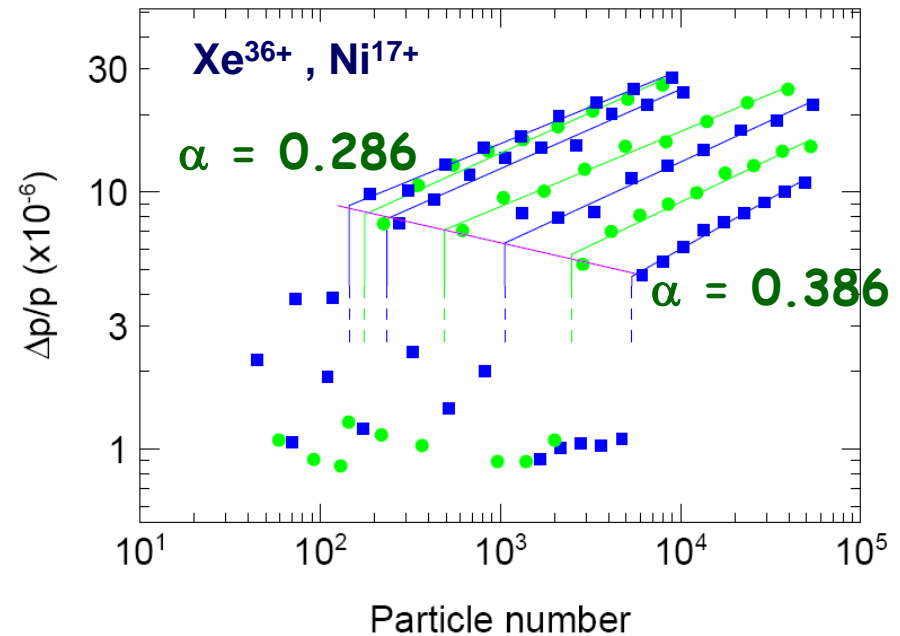
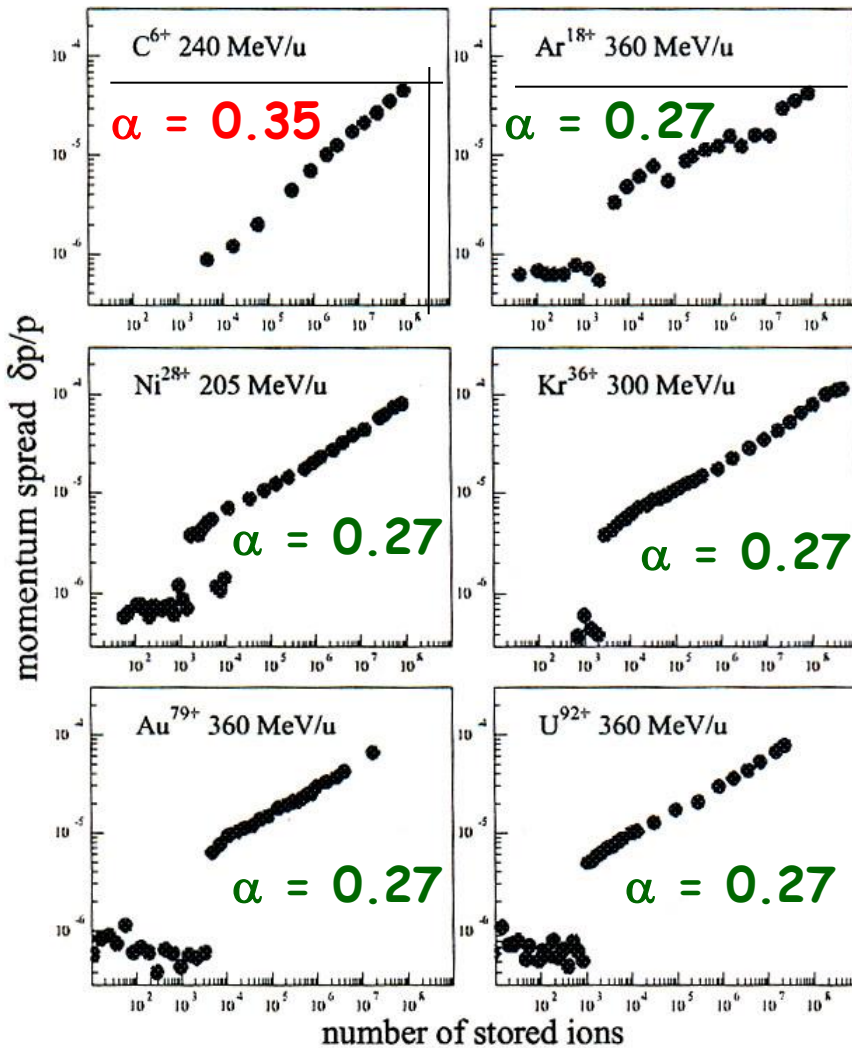


$\Delta p/p \propto N^\alpha$  1979 - 2013 => ??? Crystalline Beam

1996 New Stage: Experiments at ESR  
 M. Steck, *et al.*, PRL 77 (1996) 3803  
 Phase transition in ion beam: ordering

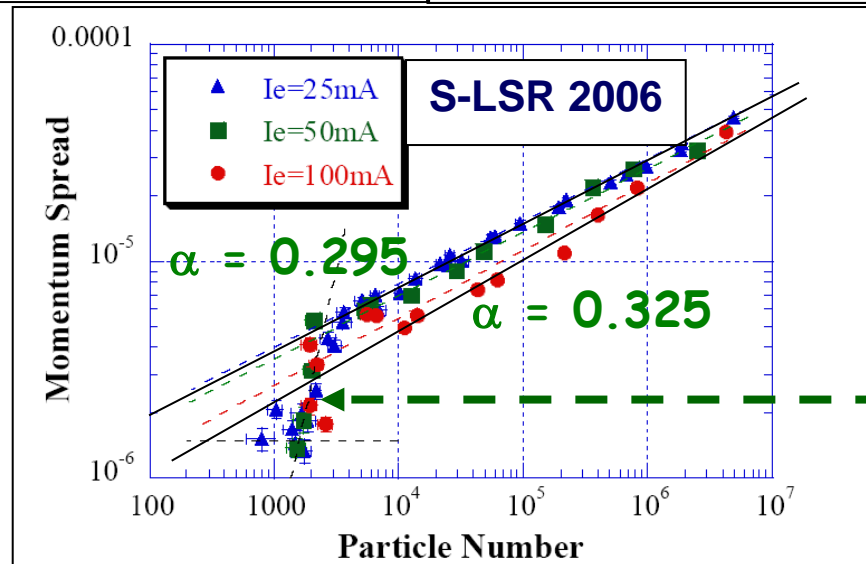
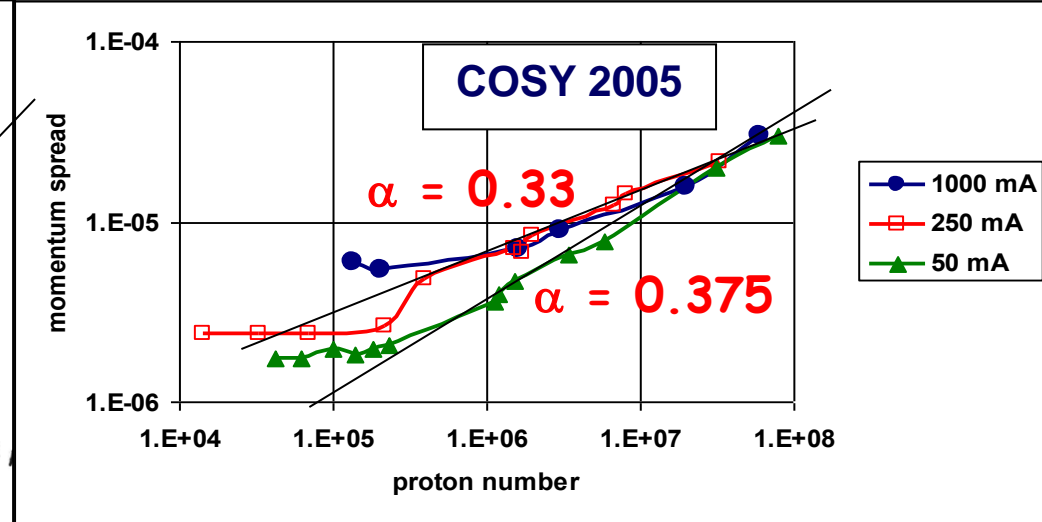
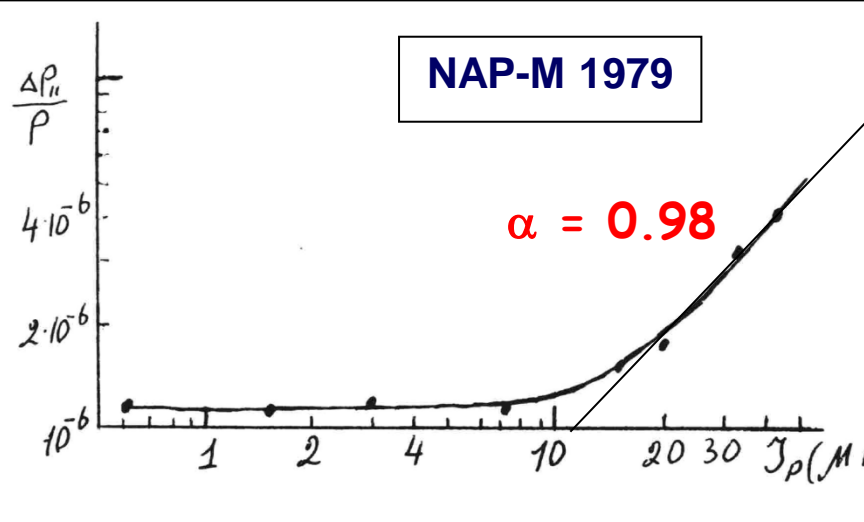
Confirmed at CRYRING

H. Danared *et al.*, PRL 88 (2002) 1003



# VI. The Highlights of Cooling Methods' Application: Particle Beam Physics

## NAP-M / COSY / S-LSR , Proton Ordering



Phase transition

Optional

## Crystalline Beams: Numerical Simulation

A.Smirnov: BETACOOOL Code (2005 -2013)

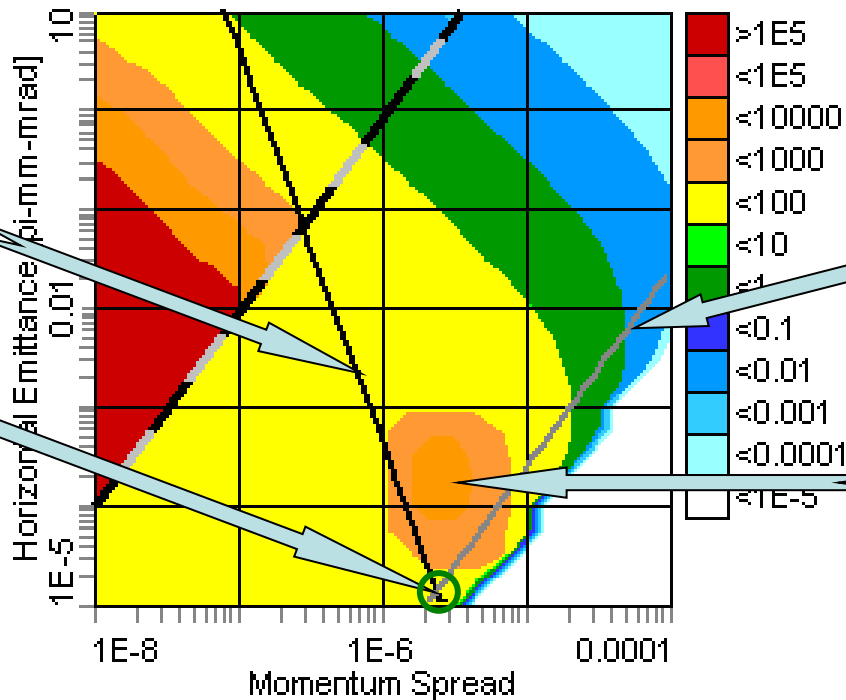
“3D” Dependence of IBS longitudinal heating rates (in colours) vs horizontal emittance and momentum spread of proton beam (S-LSR, 7 MeV,  $N_p = 10^3$ )

Ordering criterion (I.Meshkov, COOL'03)

$$\Gamma_2 = \frac{Z^2 e^2}{T_{||} \sigma_{\perp}} > \pi$$

Criterion line

Ordering point



Proton cooling "trajectory"

Anomalous ("Smirnov") island of IBS heating of longitudinal momentum component



Stability of Cooled Proton Beam: CELSIUS / COSY / HIMAC

**CELSIUS (Uppsala, Sweden):**

Injection energy 48 MeV,  $H^{2+}$ , stripping injection

Intensity 25 mA (bunched beam), cooling at 400 MeV

**COSY (Juelich, Germany):** Vertical acceptance  $24 \pi \cdot \text{mm} \cdot \text{mrad}$

Injection energy 45 MeV,  $H^-$ , stripping injection

Intensity 8 mA:  $10^{11}$  protons (coasting beam)

**HIMAC (Chiba, Japan):** Vertical acceptance  $24 \pi \cdot \text{mm} \cdot \text{mrad}$  (also!)

Injection energy 6 MeV/u,  $Ar^{18+}$

Intensity  $1.5 \cdot 10^9$  ions (coasting beam)

**V.Parhomchuk: Concept of "electron heating"**

(in Proc. of JAS'2000, p.53; Uspekhi Fiz. Nauk, v.170 (2000) 473)

*All three rings are subjected to "the electron heating"!*





## Projects with Cooling Application under Development

### 1) Prototyping/Construction/Commissioning Stage

Project (Lab)		Application	Status
1	CSR (MPI, Heidelberg)	Atomic & molecular physics	Commissioning
2	NICA (JINR, Dubna)	Particle physics	Construction
3	FAIR (Darmstadt)	Particle, nuclear and atomic physics	Design & construction
4	MICE (RAL/Fermilab)	$\nu_{\mu}$ -fabric, Muon collider	Prototyping
5	Bunched beam Stochastic Cooling (RHIC, BNL & NICA, JINR)	Particle physics	Development
6	LEPTA (JINR)	Particle physics	Commissioning with positrons





## Projects with Cooling Application under Development

### 1) Prototyping/Construction/Commissioning Stage

## Ultra Low Particle Energy: Cryogenic Storage Ring (CSR) at MPI, Heidelberg



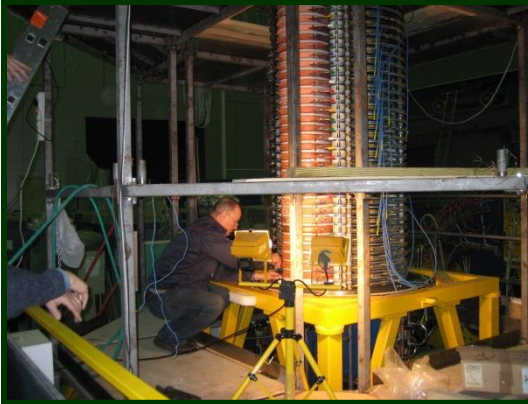
**CSR at Assembling**



Projects with Cooling Application under Development

1) Prototyping/Construction/Commissioning Stage

Electron Coolers for Future Colliders - NICA and HESR



Electron Cooler for COSY:  
2.0 MeV, 1.0 A  
(Prototype for HESR)  
Dec. 2011, Assembling at BINP  
May 2013, Assembling at COSY

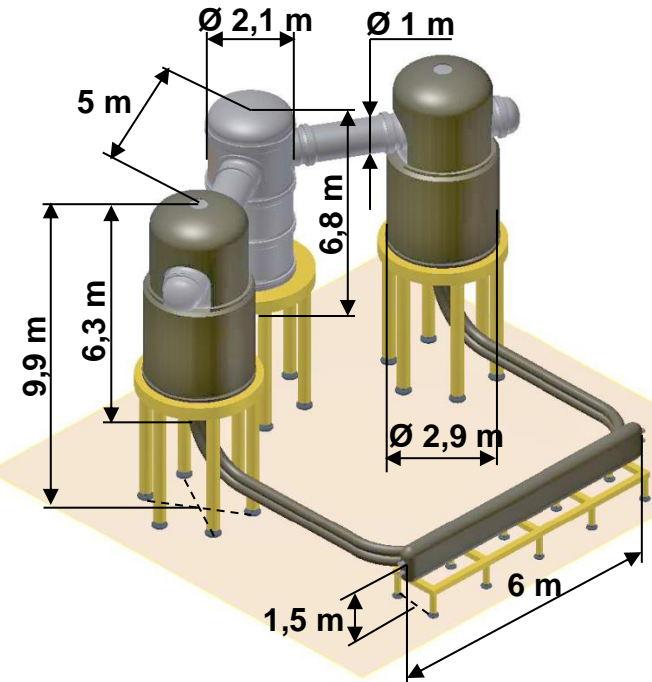
Commissioning now



BINP Version

Two Versions of  
of  
Electron Cooler for  
2.5 MeV, 0.5 A,  
NICA project

JINR Version



Projects with Cooling Application under Development

2) Conceptual Design ("Paperwork") Stage

	Project (Lab)	Application	Status
1	ELENA (CERN)	Antihydrogen physics	Approved
2	Electron-Ion Collider (JLab)	Particle physics	Concept development
3	eRHIC (BNL)		
4	Coherent electron cooling (BNL/JLab)		

New requirements to synchrotron radiation cooling efficiency appeared with development of

- ✓ SuperB-fabric
- ✓  $e^+e^-$  linear collider
- ✓ Electron-Ion Colliders (JLab, BNL, FAIR (?), ...)
- ✓ SLHC in ep-mode ←

Super LHC:  $C_{ring} \approx 100$  km





# Conclusion

Cooling methods were developed for cooling with  
**synchrotron radiation,**  
**electrons,**  
**microwave frequency stochastic**  
**signal,**  
**ionization in medium...**  
 ...and the development continues at new level.



**300 keV electron cooler (Lanzhou)**



**Pelletron**  
**(4.3 MeV electron energy)**

Particle beams being cooled:

electrons, protons, antiprotons, ions...  $\Rightarrow$  muons

Energy Range: **40 keV/u** (ions)  $\div$  **8 GeV** (p-bars)

Cooling method	Particles/Ring	Energy	$T_{  }$ , K	$T_{\perp}$ , K
Electron cooling	$^{40}\text{Ar}^{18+}$ / ESR	360 MeV/u	10	2000
	protons / S-LSR	7 MeV	1.9	11
Laser cooling	$^9\text{Be}^+$ /TSR	7.3 MeV	$5 \cdot 10^{-3}$	-
	$^{24}\text{Mg}^+$ /S-LSR	40 keV	0.4	7.0 (hor.) / 2.1 (ver.)



**Thank you for your attention!**





**Back up**

# III. Stochastic Cooling Method

1968 S. van der Meer, Stochastic cooling

Stochastic damping of betatron oscillations

*Internal Report CERN/ISR-PO/72-31 1972.*

“The S-Cooling ideology”  
(or “How to fight Liouville theorem”)

“Such a system resembles Maxwell's demon, which is supposed to reduce the entropy of a gas by going through a very similar routine, violating the second law of thermodynamics in the process. It has been shown by Szilard that the measurement performed by the demon implies an entropy increase that compensates any reduction of entropy in the gas. Moreover, in practical stochastic cooling systems, the kicker action is far from reversible; such systems are therefore even less devilish than the demon itself.”

*Simon van-der Meer, Nobel Lecture, p.2*

