Numerical Optimization of Accelerators



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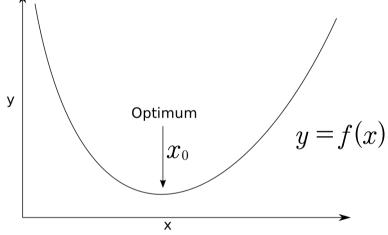
Contents:

- Optimization: Basics
- Example problem: Injection in a synchrotron
- Beam physics codes and optimization schemes
- Genetic algorithms
- Applications in accelerator physics
- Conclusions

Optimization



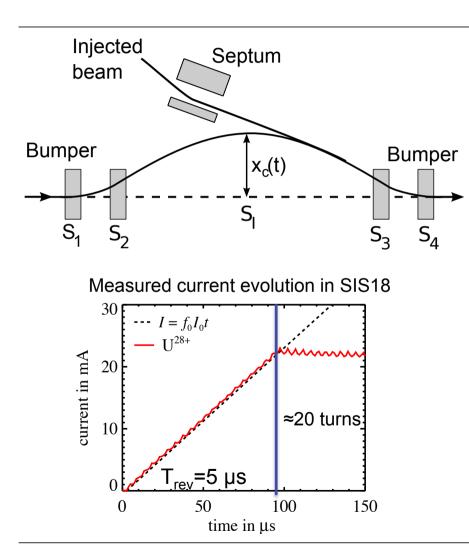
Find \mathbf{x}_0 such that $f(\mathbf{x}_0) \leq f(\mathbf{x})$ for all $a_j \leq x_j \leq b_j$ The function $f(\mathbf{x})$ is called, variously, an objective function, fitness function (maximization), cost function (minimization)

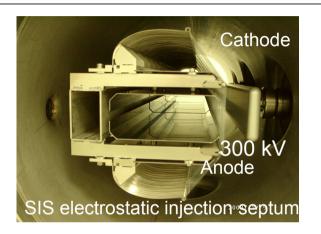


Multi-objective optimization: $\min(f_1(\mathbf{x}), f_2(\mathbf{x}), ...)$ -> Pareto front

Example: Multi-turn injection (into the GSI SIS)







- The beam from the linac is injected in horizontal phase space until the machine acceptance is reached
- Loss (at the septum) should be as low as possible -> activation, damage, vacuum

(Multi-) objectives:

- stacked current (maximize)
- beam loss (minimize)

Example: Multi-turn injection

Sabrina Appel, http://arxiv.org/abs/1403.5972



4.4

Measured vs. simulated MTI efficiency

Parameters:

1.0 injected turns: nPATRIC 0.9 pyORBIT current from linac: I_0 0.8 - Measurement horizontal emittance: ε_x 0.7 ۳ 0.6 horizontal tune: Q_x 0.5 bumper ramp: τ 0.4 **Objectives**: 0.3 1/3|/5 4.1 4.2 4.3 $I(Q_x, n, \varepsilon_x, \tau, \ldots)$ Q_x $\eta(Q_x, n, \varepsilon_x, \tau, \ldots)$ MTI efficiency: $\eta = \frac{I_{loss}}{\eta I_0}$

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Numerical models and codes (for intense hadron beams)



Tracking codes: ELEGANT, MADX,

Tracking + Beam-Beam codes: BEAMBEAM3D,

Tracking + space charge/wakefields: pyORBIT, PATRIC

For MTI simulations we use pyORBIT and PATRIC at GSI.

Challenges for employing tracking codes in optimization schemes: Model reduction, Performance !!!!! -> Parallel processing, GPUs

PATRIC (O. Boine-F., S. Appel, V. Kornilov, et al.):

- 3D particle tracking with self-consistent 2.5D space charge solver and wake fields
- MADX maps, arbitrary rf bucket forms
- Implemented for multi-core CPUs using MPI.

pyorbit (A. Shishlo, S. Cousineau, J. Holmes, et al.)

- <u>https://code.google.com/p/py-orbit/</u>
- o Teapot tracking
- 2D/3D space charge models

o MPI

Numerical accelerator optimization



Traditional, gradient-based methods:

- may get stuck in a local minimum/maximum (and never come out).
- require local gradients
- work if initial guess is already close to the optimum

Parameter scans:

- only applicable for 1D or 2D parameter spaces

Optimization problem:

Find \mathbf{x}_0 such that $f(\mathbf{x}_0) \leq f(\mathbf{x})$

for all $a_j \leq x_j \leq b_j$

f(**x**) evaluated by simulation code (or measured in the machine)

Accelerator problems: Multi-dimensional, Nonlinear, Multi-objective, Several 'optimum' solutions (choice of the accelerator designer is required)

Genetic algorithms: Search for solutions using techniques inspired by natural evolution, such as inheritance, mutation, selection, and crossover. In between fast converging gradient methods and slow converging random search methods.

Can be combined with gradient-based methods (for refinement).

Genetic Algorithms (GA)



Analogy:

Gene Individual Population Mutation Recombination Generation Fitness Variable
Set of variables
Set of points
Changing variable values
Exchange of variable values
Iteration
Value of objective function

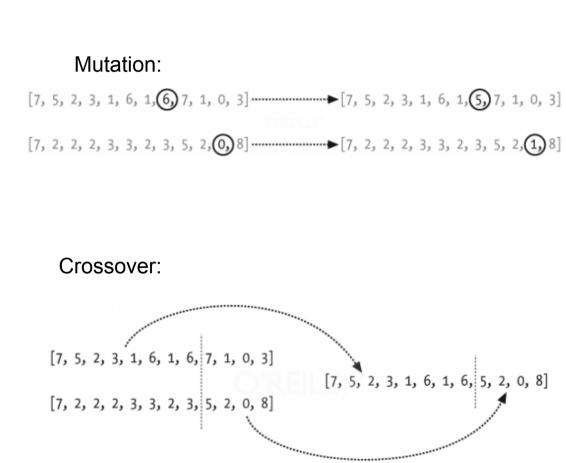


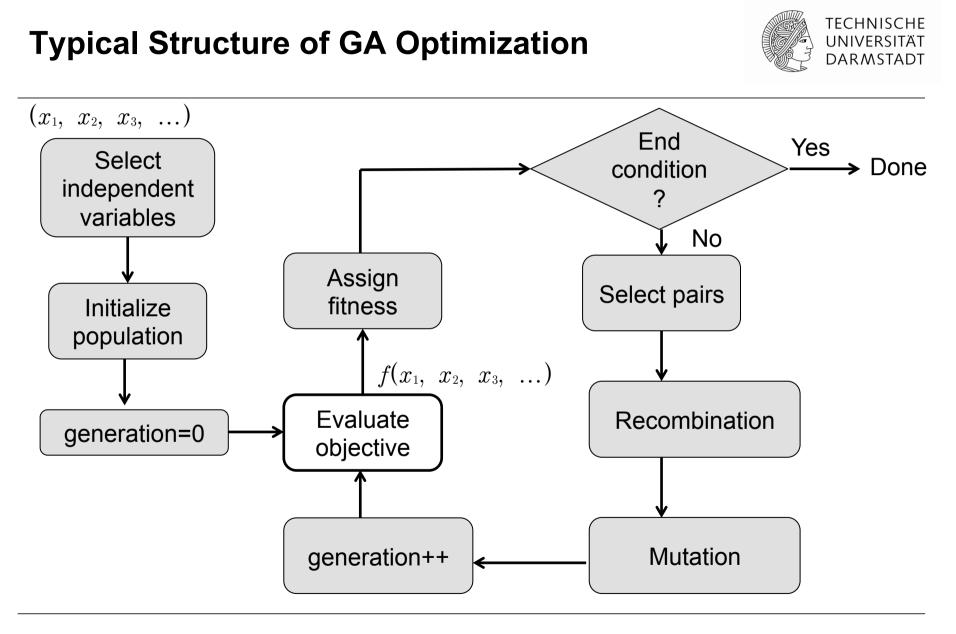
The 2006 NASA ST5 spacecraft antenna. This complicated shape was found by an evolutionary computer design program to create the best radiation pattern (from Wikipedia).

A practical example: travel cost minimization



Travel solutions	Cost
[7, 5, 2, 3, 1, 6, 1, 6, 7, 1, 0, 3]	4394
[7, 2, 2, 2, 3, 3, 2, 3, 5, 2, 0, 8]	4661
[0, 4, 0, 3, 8, 8, 4, 4, 8, 5, 6, 1]	7845
[5, 8, 0, 2, 8, 8, 8, 2, 1, 6, 6, 8]	8088



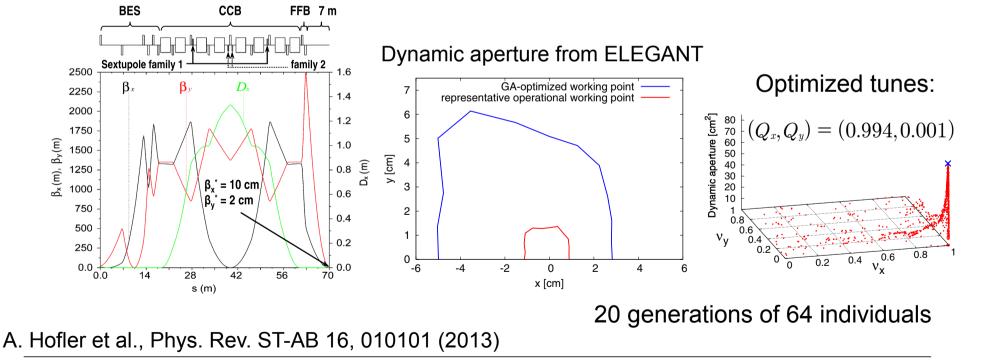


GA application: Dynamic aperture (DA) maximization



Single-objective, 2D, nonlinear optimization problem: $DA(Q_x, Q_y) = Q_x, Q_y$: tunes

Interaction section in a proposed e-A collider. Dynamic aperture caused by sextupoles for chromaticity correction. GA combined with the ELEGANT tracking code for the function evaluator



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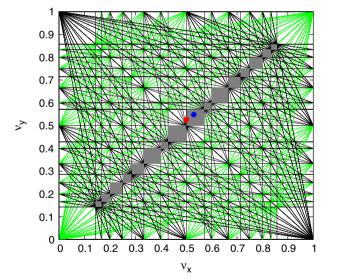
GA example: Optimum tunes with beam-beam



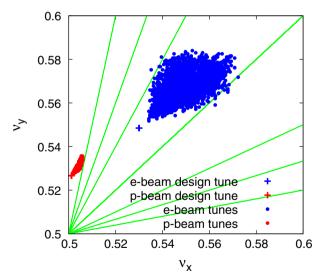
Single-objective, 2D, nonlinear optimization problem: $L(Q_x^A, Q_y^A, Q_x^e, Q_y^e)$ Luminosity:

 $L = nf rac{N_e N_A}{\pi \hat{eta}^* oldsymbol{arepsilon}}$

Resonance diagram with optimum tunes for e and A beams.



Obtained optimum machine tunes with incoherent tune distribution



GA combined with the BEAMBEAM3D tracking code as the function evaluator

A. Hofler et al., Phys. Rev. ST-AB 16, 010101 (2013)

Multi-objective genetic algorithms (MOGA)



Two objectives:

60 $f_1(x_1, x_2) = x_1$ Search space Pareto-optimal front Generation 1 50 Generation 10 $f_2(x_1,x_2)=rac{1+x_2}{x_1}$ Generation 20 \odot 40 $f_2(x_1,x_2)$ 30 2D parameter space: 20 $0.1 \le x_1 \le 1$ $0 \le x_2 \le 5$ B. 10 900 0 0.4 0.2 0.6 0.8 0 $f_1(x_1, x_2)$

A. Hofler et al., Innovative applications of genetic algorithms in accelerator physics, Phys. Rev. ST-AB 16, 010101 (2013)

Multiobjective Optimization: DA and Chromaticity

Two-objective, 2D, nonlinear optimization problem:

2nd-order chromaticity -> momentum acceptance

Goal: Find a working point that gives a balance between a large momentum acceptance and large DA

Pareto front after 24 generations of 64 individuals

0.12

0.10

0.08

0.04

0.02

0.00

0.06

×××

0.04

0.2

1/A [m⁻²]

> 0.06

20

18

16

14

12

10

8

6

Δ

2

0

0

0.02

ξ⁽²⁾ x10⁴

XA



0.4

0.08

0.6

0.1

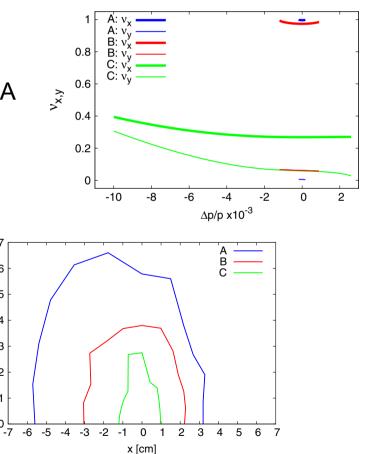
ν_x

0.8

С

0.12

0.14





 $DA(Q_x,Q_y) = \boldsymbol{\xi}^{\scriptscriptstyle (2)}(Q_x,Q_y)$

6

5

3

2

1 0

y [cm] 4

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Other example applications of GA



Magnet design optimization S. Ramberger, S. Russenschuck, *Genetic algorithms for the optimal design of superconducting accelerator magnets* EPAC 98

Magnet sorting in a storage ring. Chen, J., Wang, L., Li, W.-M., & Gao, W.-W. ,*Optimization of magnet sorting in a storage ring using genetic algorithms*, Chinese Physics C (2013)

Linac settings for high intensity Pang, X., & Rybarcyk, L. J., *Multi-objective particle swarm and genetic algorithm for the optimization of the LANSCE linac operation*.NIMA 741 (2013)

Minimization of the energy consumption of an accelerator facility Ripp, C., Boine-Frankenheim, O., Hanson, J., Stadlmann, J., Spiller, P., Lindenberg, J., Zimmer, H. *Electric energy consumption of an accelerator facility*. IYCE (pp. 1–3) IEEE 2013

Real machine based optimization in a storage ring Tian, K., Safranek, J., & Yan, *Machine based optimization using genetic algorithms in a storage ring*, Phys. Rev. ST AB, 17 (2013)

Conclusions



During the last years evolutionary, and more specifically, genetic algorithms became very popular in the numerical optimization of accelerators.

Existing simulations codes are used as function evaluators.

Performance of the accelerator codes is crucial for the optimization.

To be completed