

ISOLDE Nuclear Reaction and Nuclear Structure Course

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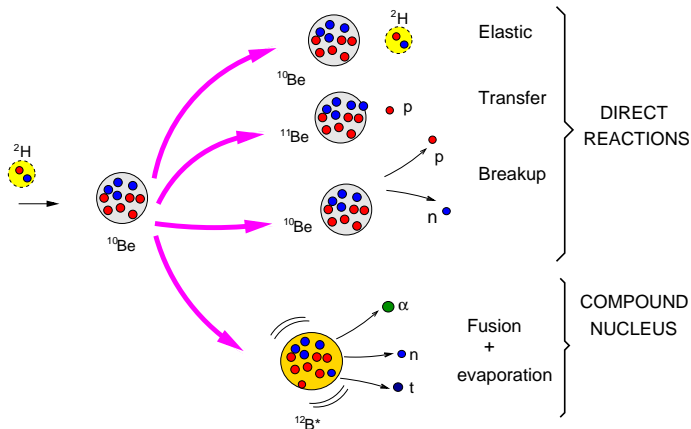
1 Introduction: some general scattering theory

- Partitions and modelspace
- Feshbach formalism: P and Q spaces

2 Single-channel scattering: the optical model

- Optical model formalism
- Elastic scattering phenomenology

Direct and compound nucleus processes



Direct versus compound reactions

DIRECT: elastic, inelastic, transfer,...

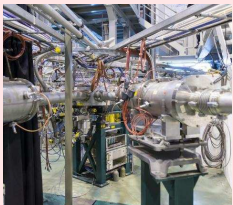
- only a few modes (degrees of freedom) involved
- small momentum transfer
- angular distribution asymmetric about $\pi/2$ (peaked forward)

COMPOUND: complete, incomplete fusion.

- many degrees of freedom involved
- large amount of momentum transfer
- “loss of memory” \Rightarrow almost symmetric distributions forward/backward

Linking theory with experiments: the cross section

EXPERIMENT



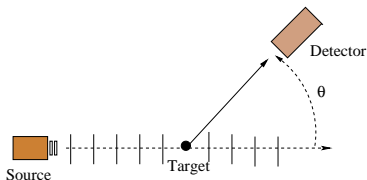
THEORY ($H\Psi = E\Psi$)



CROSS SECTIONS

$$\frac{d\sigma}{d\Omega}, \frac{d\sigma}{dE}, \text{etc}$$

Experimental cross section



$$\Delta I = I_0 n_t \frac{d\sigma}{d\Omega} \Delta\Omega$$

- ΔI : detected particles per unit time in $\Delta\Omega$
- I_0 : incident particles per unit time
- n_t : number of target nuclei per unit surface
- $\Delta\Omega$: solid angle of detector
- $d\sigma/d\Omega$: differential cross section

$$\frac{d\sigma}{d\Omega} = \frac{\text{flux of scattered particles through } dA = r^2 d\Omega}{\text{incident flux}}$$

Model Hamiltonian

Full Hamiltonian

$$H = \hat{T}_{\mathbf{R}} + H_p(\xi_p) + H_t(\xi_t) + V(\mathbf{R}, \xi_p, \xi_t)$$

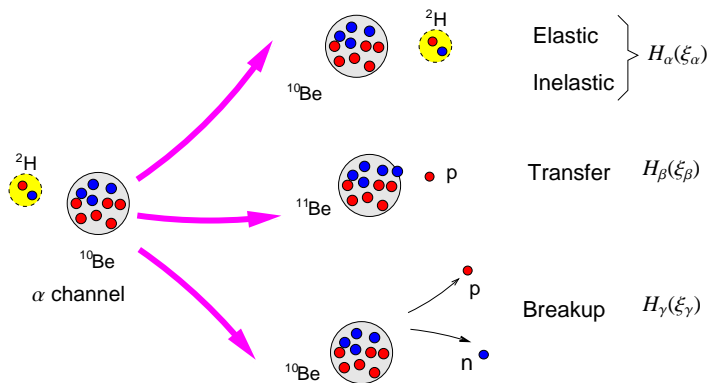
- $\hat{T}_{\mathbf{R}}$: proj.–target kinetic energy
- $H_p(\xi_p)$: projectile Hamiltonian
- $H_t(\xi_t)$: target Hamiltonian
- $V(\mathbf{R}, \xi_p, \xi_t)$: projectile–target interaction

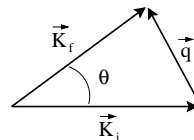
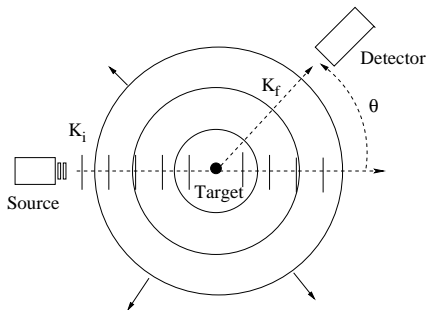
Scattering wavefunction

$$[H - E]\Psi = 0$$

Projectile and target internal Hamiltonians

- Full **internal** Hamiltonian: $H_\alpha(\xi_\alpha) \equiv H_p(\xi_p) + H_t(\xi_t)$
- Internal states: $[H_\alpha(\xi_\alpha) - \varepsilon_\alpha]\Phi_\alpha(\xi_\alpha) = 0$ $\{\varepsilon_\alpha\}$ = excitation energies
- Non-elastic partitions will have different Hamiltonians: $H_\beta(\xi_\beta)$, $H_\gamma(\xi_\gamma)$, etc





Among the many mathematical solutions of $[H - E]\Psi = 0$ we are interested only in those behaving asymptotically as:

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \rightarrow \Phi_\alpha(\xi_\alpha) e^{i\mathbf{K}_\alpha \cdot \mathbf{R}_\alpha} + (\text{outgoing spherical waves in } \alpha, \beta, \dots)$$

Scattering amplitude and cross sections

$$\Psi_{\mathbf{K}_\alpha}^{(+)} \rightarrow \Phi_\alpha(\xi_\alpha) e^{i\mathbf{K}_\alpha \cdot \mathbf{R}_\alpha} + \Phi_\alpha(\xi_\alpha) f_{\alpha,\alpha}(\theta) \frac{e^{iK_\alpha R_\alpha}}{R_\alpha} \quad (\text{elastic})$$

$$+ \sum_{\alpha' \neq \alpha} \Phi_{\alpha'}(\xi_\alpha) f_{\alpha',\alpha}(\theta) \frac{e^{iK_{\alpha'} R_\alpha}}{R_\alpha} \quad (\text{inelastic})$$

$$+ \sum_{\beta} \Phi_\beta(\xi_\beta) f_{\beta,\alpha}(\theta) \frac{e^{iK_\beta R_\beta}}{R_\beta} \quad (\text{transfer})$$

☞ $f_{\beta,\alpha}$ is the scattering amplitude

Cross sections:

$$\left(\frac{d\sigma}{d\Omega} \right)_{\alpha \rightarrow \beta} = \frac{K_\beta}{K_\alpha} |f_{\beta,\alpha}(\theta)|^2$$

$$E = \frac{\hbar^2 K_\alpha^2}{2\mu_\alpha} + \varepsilon_\alpha = \frac{\hbar^2 K_\beta^2}{2\mu_\beta} + \varepsilon_\beta$$

Defining our model space: Feshbach formalism

- Divide the full space into two groups: **P** and **Q**
 - ⇒ **P**: channels of interest
 - ⇒ **Q**: remaining channels
- Write $\Psi = \Psi_P + \Psi_Q$

$$\begin{aligned}(E - H_{PP})\Psi_P &= H_{PQ}\Psi_Q \\ (E - H_{QQ})\Psi_Q &= H_{QP}\Psi_P\end{aligned}$$

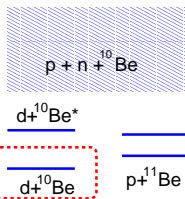
$$(H_{PP} = PHP, H_{PQ} = PHQ, \text{ etc.})$$

- Eliminate (formally) Ψ_Q :

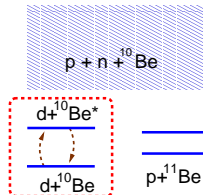
$$\underbrace{\left[H_{PP} + H_{PQ} \frac{1}{E - H_{QQ} + i\epsilon} H_{QP} \right]}_{H_{\text{eff}}} \Psi_P = E\Psi_P$$

- H_{eff} too complicated (complex, energy dependent, non-local) \Rightarrow needs to be replaced by a simpler Hamiltonian:

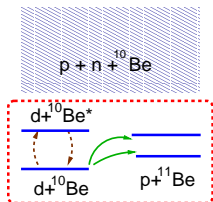
$$H_{\text{eff}} \longrightarrow H_{\text{model}} \quad (\text{complex, energy dependent})$$

Example: the $d+{}^{10}\text{Be}$ case

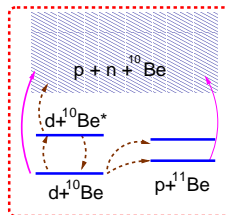
(a) 1 channel (elastic)



(b) 2 channels (elastic + inelastic)



(c) elastic + inelastic + transfer



(d) elastic + inelastic + transfer + breakup

Single-channel scattering: effective potential

- **P** space represents just the ground state of projectile and target
- Wavefunction:

$$\Psi = \underbrace{\Psi_P}_{\text{elastic}} + \underbrace{\Psi_Q}_{\text{non-elastic}}$$

- Schrodinger equation in modelspace:

$$[T + H_\alpha(\xi_\alpha) + \mathcal{V}] \Psi_P = E \Psi_P$$

$$\mathcal{V} = \underbrace{V_{PP}}_{\text{Bare interaction}} + V_{PQ} \underbrace{\frac{1}{E - H_{QQ} + i\epsilon}}_{\text{“Polarization” potential}} V_{QP}$$

- \mathcal{V} too complicated \Rightarrow use $\mathcal{V} \approx U(\mathbf{R})$ (complex)

Elastic scattering within the optical model

- **Effective Hamiltonian:**

$$H = T_{\mathbf{R}} + H_{\alpha}(\xi_{\alpha}) + U(\mathbf{R}) \quad (U(\mathbf{R}) \text{ complex!})$$

- $U(\mathbf{R})$ independent of $\{\xi_{\alpha}\}$

$$\Psi_{\mathbf{K}}^{(+)}(\xi_{\alpha}, \mathbf{R}) = \Phi_0(\xi_{\alpha})\chi_0^{(+)}(\mathbf{K}, \mathbf{R})$$

- **Schrödinger equation:**

$$[T_{\mathbf{R}} + U(\mathbf{R}) - E_{\alpha}]\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) = 0 \quad (E_{\alpha} = E - \varepsilon_{\alpha} = \frac{\hbar^2 K^2}{2\mu})$$

- **Boundary condition:**

$$\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) \rightarrow e^{i\mathbf{K}\cdot\mathbf{R}} + f(\theta)\frac{e^{iKR}}{R}$$

Partial wave decomposition

- For a central potential ($U(\mathbf{R}) = U(R)$):

$$\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) = \frac{1}{KR} \sum_{\ell m} i^\ell (2\ell + 1) \chi_{\ell}(K, R) P_\ell(\cos \theta) \quad (\theta = \hat{R} \cdot \hat{K})$$

- $\chi_\ell(K, R)$ obtained from:

$$\left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + \frac{\hbar^2}{2\mu} \frac{\ell(\ell + 1)}{R^2} + U(R) - E_0 \right] \chi_\ell(K, R) = 0.$$

- For $U(R) = 0$, $\chi_0^{(+)}(\mathbf{K}, \mathbf{R})$ must reduce to the plane wave:

$$e^{i\mathbf{K} \cdot \mathbf{R}} = \frac{1}{KR} \sum_{\ell} i^\ell (2\ell + 1) F_\ell(KR) P_\ell(\cos \theta)$$

$$F_\ell(KR) = (KR) j_\ell(KR) \\ \rightarrow \sin(KR - \ell\pi/2)$$

⇒ So, for $U = 0 \Rightarrow \chi_\ell(K, R) = F_\ell(KR)$

Asymptotic solution for the case $U(R) \neq 0$

- For $R \gg \Rightarrow U(R) = 0$

$$\chi_\ell(K, R) = AF_\ell(KR) + BG_\ell(KR) \quad G_\ell(KR) \rightarrow \cos(KR - \ell\pi/2)$$

- A, B determined to give the physically known behaviour:

$$\begin{array}{rcccl} \chi_0^{(+)}(\mathbf{K}\mathbf{R}) & \rightarrow & e^{i\mathbf{K}\cdot\mathbf{R}} & + & f(\theta)\frac{e^{iKR}}{R} \\ \Downarrow & & \Downarrow & & \Downarrow \\ U = 0 & \chi_\ell(KR) & \rightarrow & F_\ell(KR) & + & 0 \\ U \neq 0 & \chi_\ell(KR) & \rightarrow & F_\ell(KR) & + & T_\ell[G_\ell(KR) + iF_\ell(KR)] \end{array}$$

$$G_\ell(KR) \pm iF_\ell(KR) \equiv H_\ell^{(\pm)}(KR) \rightarrow e^{\pm i(KR - \ell\pi/2)} \quad (\text{outgoing/ingoing free solutions})$$

Numerical procedure

- 1 Fix a *matching radius*, R_m , such that $U(R_m) \approx 0$
- 2 Integrate $\chi_\ell(R)$ from $R = 0$ up to R_m , starting with the condition:

$$\lim_{R \rightarrow 0} \chi_\ell(K, R) = 0$$

- 3 At $R = R_m$ impose the boundary condition:

$$\begin{aligned} \chi_\ell(K, R) &\rightarrow F_\ell(KR) + T_\ell H_\ell^{(+)}(KR) \\ &= \frac{i}{2} [H_\ell^{(-)}(KR) - S_\ell H_\ell^{(+)}(KR)] \end{aligned}$$

☞ T_ℓ = transmission coefficient S_ℓ = reflection coefficient (S-matrix)

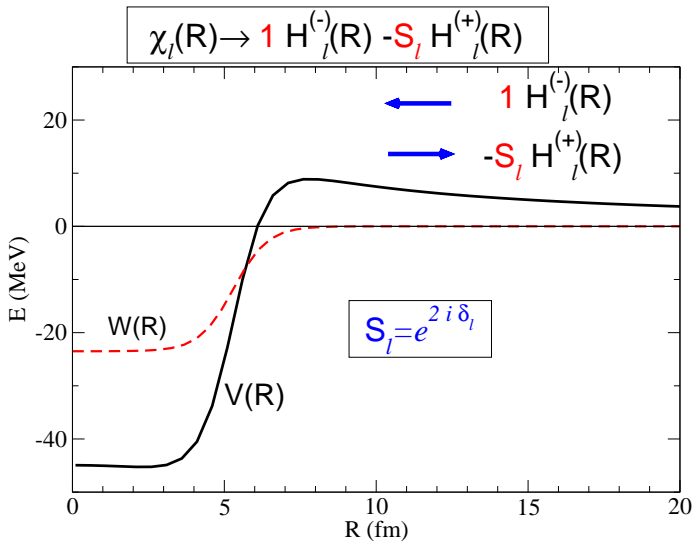
- 4 Phase-shifts:

$$S_\ell = 1 + 2iT_\ell \equiv e^{i2\delta_\ell}$$

The S-matrix and phase-shifts

- S_ℓ = coefficient of the outgoing wave for partial wave ℓ .
- $|S_\ell|^2$ is the *survival* probability for the partial wave ℓ :
 - U real $\Rightarrow |S_L| = 1 \Rightarrow \delta_\ell$ real
 - U complex $\Rightarrow |S_L| < 1 \Rightarrow \delta_\ell$ complex
- $U(R) = 0 \Rightarrow$ No scattering $\Rightarrow S_\ell = 1 \Rightarrow \delta_\ell = 0$
- For $\ell \gg \Rightarrow S_\ell \rightarrow 1$

The S-matrix and phase-shifts



The scattering amplitude

- Replace the asymptotic $\chi_\ell(K, R)$ in the general expansion:

$$\begin{aligned}\chi_0^{(+)}(\mathbf{K}, \mathbf{R}) &\rightarrow \frac{1}{KR} \sum_{\ell} i^{\ell} (2\ell + 1) \{F_{\ell}(KR) + T_{\ell} H_{\ell}^{(+)}(KR)\} P_{\ell}(\cos \theta) \\ &= e^{i\mathbf{K}\cdot\mathbf{R}} + \frac{1}{K} \sum_{\ell} (2\ell + 1) e^{i\delta_{\ell}} \sin \delta_{\ell} P_{\ell}(\cos \theta) \frac{e^{iKR}}{R}\end{aligned}$$

- The scattering amplitude is the coefficient of e^{iKR}/R :

$$\begin{aligned}f(\theta) &= \frac{1}{K} \sum_{\ell} (2\ell + 1) e^{i\delta_{\ell}} \sin \delta_{\ell} P_{\ell}(\cos \theta) \\ &= \frac{1}{2iK} \sum_{\ell} (2\ell + 1) (S_{\ell} - 1) P_{\ell}(\cos \theta).\end{aligned}$$

- Elastic cross section:

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2.$$

Coulomb plus nuclear case

Radial equation:

$$\left[\frac{d^2}{dR^2} + K^2 - \frac{2\eta K}{R} + \frac{2\mu}{\hbar^2} U(R) + \frac{\ell(\ell+1)}{R^2} \right] \chi_\ell(K, R) = 0$$

$$\eta = \frac{Z_p Z_t e^2}{\hbar v} = \frac{Z_p Z_t e^2 \mu}{\hbar^2 K}$$

(Sommerfeld parameter)

Asymptotic condition:

$$\chi_\ell^{(+)}(\mathbf{K}, \mathbf{R}) \rightarrow e^{i[\mathbf{K} \cdot \mathbf{R} + \eta \log(kR - \mathbf{K} \cdot \mathbf{R})]} + f(\theta) \frac{e^{i(KR - \eta \log 2KR)}}{R}$$

$$\begin{aligned} \chi_\ell(K, R) &\rightarrow e^{i\sigma_\ell} \left[F_\ell(\eta, KR) + T_\ell H_\ell^{(+)}(\eta, KR) \right] \\ &= (i/2) e^{i\sigma_\ell} \left[H_\ell^{(-)}(\eta, KR) - S_\ell H_\ell^{(+)}(\eta, KR) \right] \end{aligned}$$

- ↷ $\sigma_\ell(\eta)$ = Coulomb phase shift
- ↷ $F_\ell(\eta, KR)$ = regular Coulomb wave
- ↷ $H_\ell^{(\pm)}(\eta, KR)$ = outgoing/ingoing Coulomb wave

Coulomb plus nuclear case: scattering amplitude

Total scattering amplitude:

$$f(\theta) = f_C(\theta) + \frac{1}{2iK} \sum_{\ell} (2\ell + 1) e^{2i\sigma_{\ell}} (S_{\ell} - 1) P_{\ell}(\cos \theta)$$

☞ $f_C(\theta)$ is the amplitude for pure Coulomb:

$$\frac{d\sigma_R}{d\Omega} = |f_C(\theta)|^2 = \frac{\eta^2}{4K^2 \sin^4(\frac{1}{2}\theta)} = \left(\frac{Z_p Z_t e^2}{4E} \right)^2 \frac{1}{\sin^4(\frac{1}{2}\theta)}$$

Integrated cross sections

- Total **elastic** cross section (uncharged particles!)

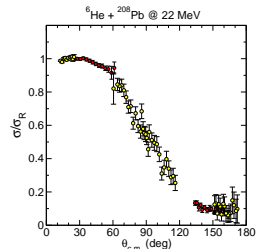
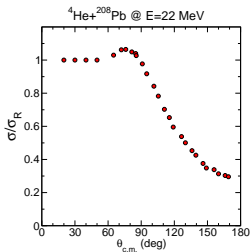
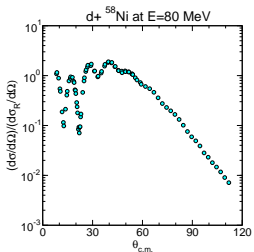
$$\sigma_{el} = \int d\Omega \frac{d\sigma}{d\Omega} = \frac{\pi}{K^2} \sum_{\ell} (2\ell + 1) |1 - S_{\ell}|^2$$

- Total **reaction** cross section (loss of flux from elastic channel)

$$\sigma_{reac} = \frac{\pi}{K^2} \sum_{\ell} (2\ell + 1) (1 - |S_{\ell}|^2) = \frac{\pi}{K^2} \sum_{\ell} (2\ell + 1) |T_{\ell}|^2$$

Elastic scattering

What can we learn from the analysis of the elastic cross section?



Phenomenological optical model

Effective potential: $U(R) = U_{\text{nuc}}(R) + U_{\text{coul}}(R)$

- **Coulomb potential:** charge sphere distribution

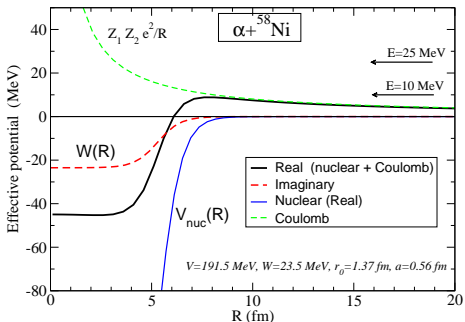
$$U_c(R) = \begin{cases} \frac{Z_1 Z_2 e^2}{2R_c} \left(3 - \frac{R^2}{R_c^2}\right) & \text{if } R \leq R_c \\ \frac{Z_1 Z_2 e^2}{R} & \text{if } R \geq R_c \end{cases}$$

- **Nuclear potential (complex):** Woods-Saxon parametrization

$$U_{\text{nuc}}(R) = V(r) + iW(r) = -\frac{V_0}{1 + \exp\left(\frac{R-R_0}{a_0}\right)} - i \frac{W_0}{1 + \exp\left(\frac{R-R_i}{a_i}\right)}$$

Typically: $R_0 = r_0(A_p^{1/3} + A_t^{1/3})$

- r_0 =reduced radius ($r_0 \sim 1.1 - 1.4$ fm)
- A_p, A_t : projectile, target atomic numbers

Effective potential: $^4\text{He} + ^{58}\text{Ni}$ exampleEffective potential: $U(R) = U_{\text{nuc}}(R) + U_{\text{coul}}(R)$ 

✎ The maximum of $V_{\text{nuc}}(R) + V_C(R)$ defines the Coulomb barrier. Approximately:

$$E_b \approx \frac{Z_p Z_t e^2}{1.44(A_p^{1/3} + A_t^{1/3})} = \frac{Z_p Z_t}{(A_p^{1/3} + A_t^{1/3})} \quad [\text{MeV}]$$

Grazing radius and angular momentum

- Grazing collisions are those for which $b \approx R_1 + R_2 = R_g$
- Relation with angular momentum:
 - ① **Only nuclear:** $bK = \sqrt{\ell(\ell + 1)} \approx \ell + 1/2$

$$KR_g \approx \ell_g + 1/2 \quad (\ell_g = \text{grazing angular momentum})$$

- ② **Nuclear + Coulomb:**

$$KR_g (1 - 2\eta/KR_g) \approx \ell_g + 1/2$$

⇒ As E increases, so does the number of partial waves involved

⇒ Peripheral processes (inelastic, transfer) occur mainly around $\ell \sim \ell_g$

Elastic scattering: phenomenology

☞ Depending on the bombarding energy E and the charges of the interacting nuclei, we observe different types of elastic scattering.

☞ For medium/heavy systems, this can be characterized in terms of the Coulomb (or Sommerfeld) parameter:

$$\eta = \frac{Z_p Z_t e^2}{4\pi\epsilon_0 \hbar v}$$

- E well above the Coulomb barrier ($\eta \lesssim 1$) \Rightarrow Fraunhofer scattering
- E around the Coulomb barrier ($\eta \gg 1$) \Rightarrow Fresnel scattering
- E well below the Coulomb barrier ($\eta \gg \gg 1$) \Rightarrow Rutherford scattering

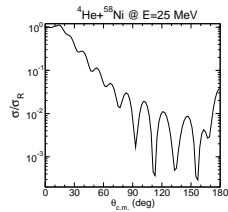
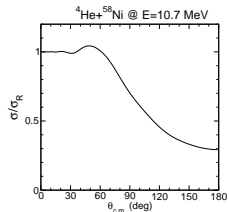
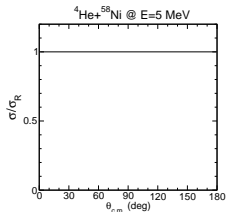
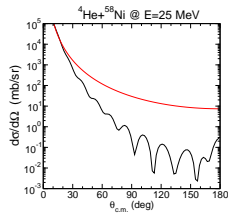
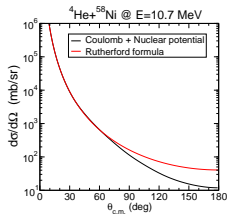
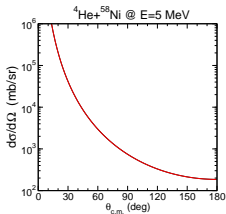
Elastic scattering: optical model

Dynamical effects: ${}^4\text{He}+{}^{58}\text{Ni}$ at $E=5, 10.7, 25$ and 50 MeV

E_{lab} (MeV)	η	K (fm^{-1})	$\lambda = 1/K$ (fm)	$2a_0$ (fm)
5	7.95	0.920	1.087	17.2
10.7	5.62	1.34	0.746	8.06
25	3.55	2.06	0.485	3.44
50	2.51	2.91	0.343	1.69

- $\eta \ggg 1$: Rutherford scattering: $\sigma(\theta) \propto 1/\sin^4(\theta/2)$
- $\eta \gg 1$: Fresnel scattering (rainbow)
- $\eta \leq 1$: Fraunhofer scattering (oscillatory behaviour):

Elastic scattering: energy dependence



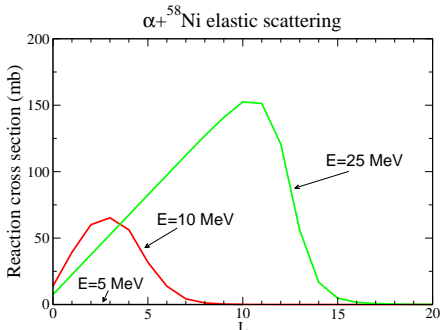
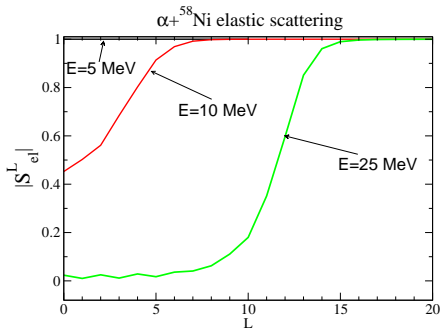
Rutherford scattering

Fresnel

Fraunhofer

Elastic scattering: S-matrix elements

Elastic (nuclear) S-matrix : $\chi_\ell(K, R) = H_\ell^{(-)}(R) - S_\ell H_\ell^{(+)}(R)$

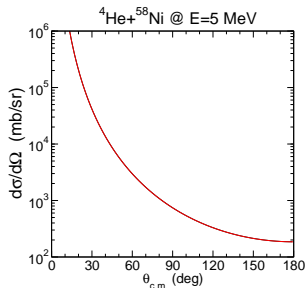
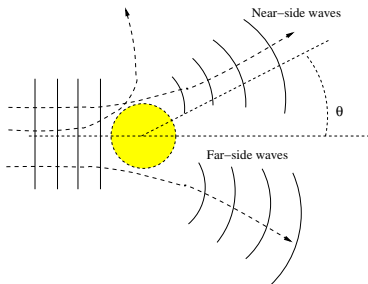


$$KR_g \left(1 - 2\eta/KR_g\right) \approx \ell_g + 1/2$$

\Rightarrow the number of partial waves required for convergence grows approximately as \sqrt{E}

Elastic scattering: phenomenology

RUTHERFORD SCATTERING

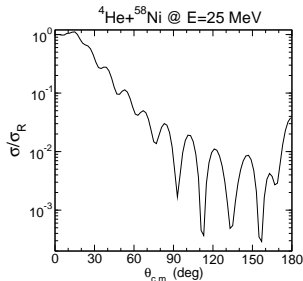
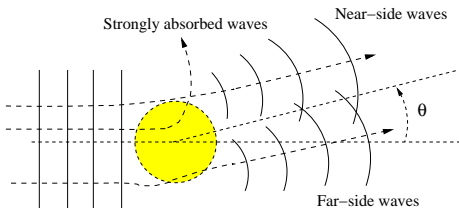


- Purely Coulomb potential ($\eta \gg 1$)
- Bombarding energy well below the Coulomb barrier
- Obeys Rutherford law:

$$\frac{d\sigma}{d\Omega} = \frac{Z_p Z_t e^2}{4E} \frac{1}{\sin^4(\theta/2)}$$

Elastic scattering: phenomenology

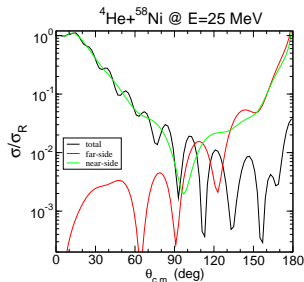
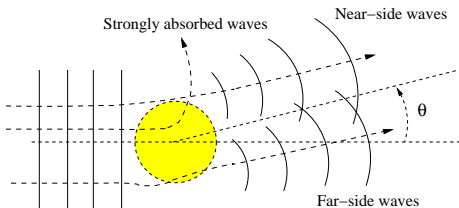
FRAUNHOFER SCATTERING:



- Bombarding energy well above Coulomb barrier
- Coulomb weak ($\eta \lesssim 1$)
- Nearside/farside interference pattern (diffraction)

Elastic scattering: phenomenology

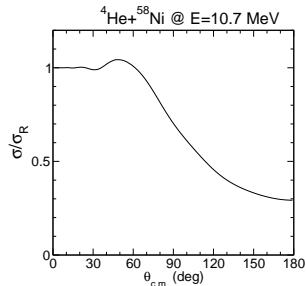
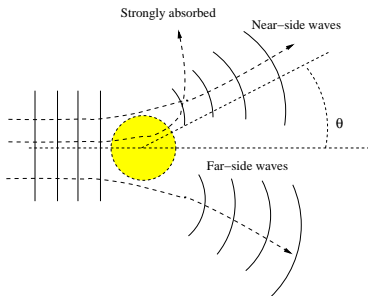
FRAUNHOFER SCATTERING:



- Bombarding energy well above Coulomb barrier
- Coulomb weak ($\eta \lesssim 1$)
- Nearside/farside interference pattern (difraction)

Elastic scattering: phenomenology

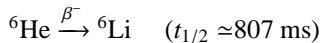
FRESNEL SCATTERING:



- Bombarding energy around or near the Coulomb barrier
- Coulomb strong ($\eta \gg 1$)
- 'Illuminated' region \Rightarrow interference pattern (near-side/far-side)
- 'Shadow' region \Rightarrow strong absorption

Halo and Borromean nuclei: the ${}^6\text{He}$ case

● Radioactive:



● Weakly bound:

$$\epsilon_b = -0.973 \text{ MeV}$$

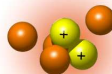
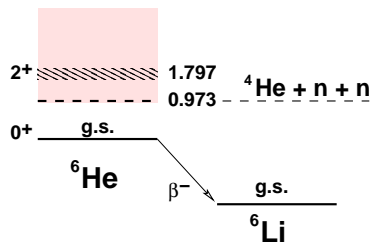
● Neutron halo

● Borromean system:

n-n and α -n unbound

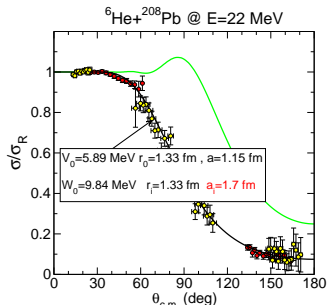
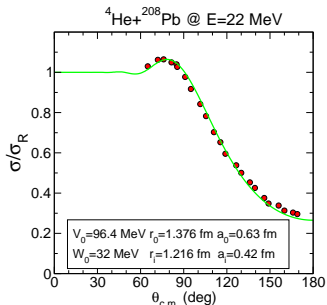
● ~ 3 body system:

α almost inert



Normal versus halo nuclei

How does the halo structure affect the elastic scattering?



- $^4\text{He} + ^{208}\text{Pb}$ shows typical Fresnel pattern and “standard” optical model parameters
- $^6\text{He} + ^{208}\text{Pb}$ shows a prominent reduction in the elastic cross section, suggesting that part of the incident flux goes to non-elastic channels (eg. breakup)

Understanding and disentangling these non-elastic channels requires going beyond the optical model (eg. [coupled-channels method](#) \Rightarrow next lecture)