

# ISOLDE Nuclear Reaction and Nuclear Structure Course

*Antonio M. Moro*



Universidad de Sevilla, Spain

April 23, 2014

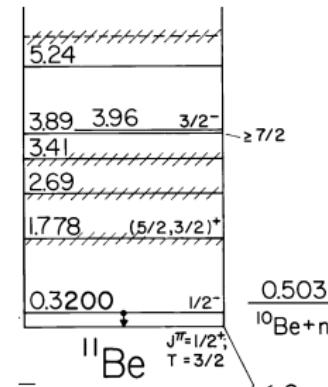
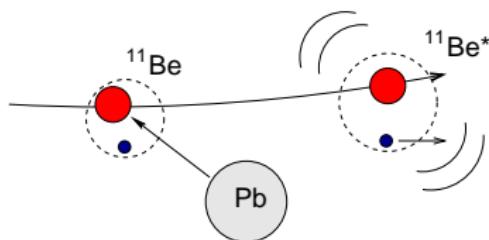
# Table of contents I

## 1 Inelastic scattering

- General features of inelastic scattering
- Formal treatment: Coupled-Channels method
- An integral equation for  $f_{\beta,\alpha}(\theta)$
- Models for inelastic scattering
- Coulomb excitation
- Collective nuclear excitations
- Physical example: the  $^{16}\text{O} + ^{208}\text{Pb}$  inelastic scattering
- Extra material

## Inelastic scattering

- Nuclei are not inert or *frozen* objects; they do have an internal structure of protons and neutrons that can be modified (excited) during the collision.
  - Quantum systems exhibit, in general, an energy spectrum with bound and unbound levels.

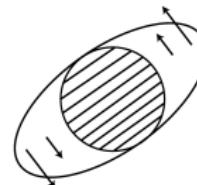


## Inelastic scattering

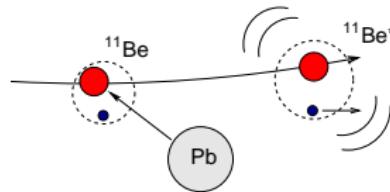
- Direct reactions → nuclei make “glancing” contact and separate immediately.
  - Energy/momentum transferred from **relative** motion to **internal** motion so the projectile and/or target are left in an excited state.
  - Involve small number of degrees of freedom.
  - The colliding nuclei preserve their identity:  $a + A \rightarrow a^* + A^*$
  - Typically, they are peripheral (surface) processes.

## Models for inelastic excitations

- **COLLECTIVE:** Involve a collective motion of several nucleons which can be interpreted macroscopically as **rotations** or **surface vibrations** of the nucleus.



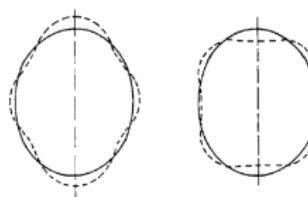
- **FEW-BODY/SIGLE-PARTICLE:** Involve the excitation of a nucleon or cluster.



## Types of collective excitations

The nucleons can move inside the nucleus in a coherent (collective) way.

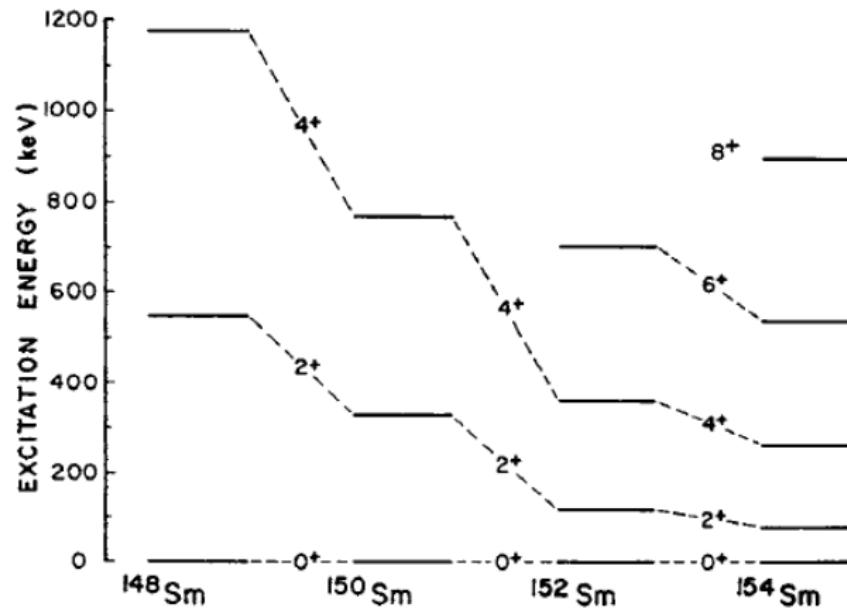
- 1 Vibrations (spherical nuclei): small surface oscillations in shape.



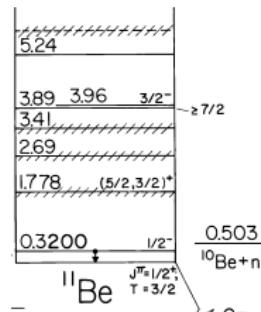
- ② **Rotations** (non-spherical nuclei): permanent deformation.
  - ③ **Monopole (*breathing*) mode:** oscillations in the size (radius).
  - ④ **Isovector** excitations (protons and neutrons move out of phase) (eg. giant dipole resonance)

## Types of collective excitations

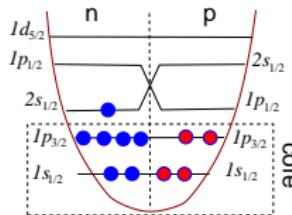
- ☞ The type of collective motion is closely related to the kind of energy spectrum.
    - Rotor:  $E_J \propto J(J + 1)$
    - Vibrator:  $E_J \approx n\hbar\omega$



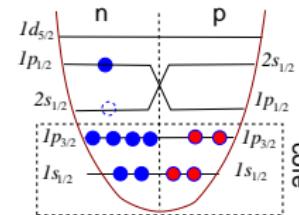
## Microscopic description in the IPM: the $^{11}\text{Be}$ case



## Ground state ( $1/2^+$ )

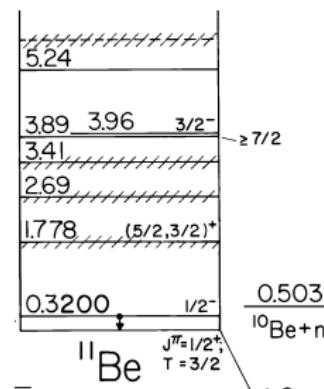
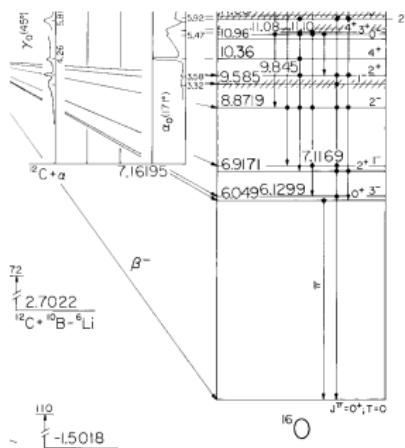


### First excited state ( $1/2^-$ )



# Models for inelastic excitations

**Microscopically**, what we describe in both cases are quantum transitions between discrete or continuum states:



☞ **Collective excitations can be regarded as a coherent superposition of many single-particle excitations.**

- By doing inelastic scattering experiments we *measure* the *response* of the nucleus to an external field (Coulomb, nuclear). This response is related to some structure property of the nucleus.

Example: for a **Coulomb** field:

$$B(E\lambda; i \rightarrow f) = \frac{1}{2I_i + 1} |\langle \Psi_f | \mathcal{M}(E\lambda) | \Psi_i \rangle|^2$$

where  $\mathcal{M}(E\lambda, \mu)$  is the electric multipole operator:

$$\mathcal{M}(E\lambda, \mu) \equiv e \sum_i^{Z_p} r_i^\lambda Y_{\lambda\mu}^*(\hat{r}_i)$$

- The structure  $\Psi_{i,f}$  can be described in a collective, few-body or microscopic model.

## Energy balance for inelastic scattering

- For projectile excitation:  $a + A \rightarrow a^* + A$

$$E_{\text{cm}}^i + M_a c^2 + M_A c^2 = E_{\text{cm}}^f + M_a^* c^2 + M_A c^2$$

$$M_{a^*} = M_a + E_x \quad (E_x = \text{excitation energy})$$

- $Q$ -value:

$$Q = M_a c^2 + M_A c^2 - M_a^* c^2 - M_A^2 c^2 = -E_x < 0$$

$$E_{\text{cm}}^f = E_{\text{cm}}^i + Q$$

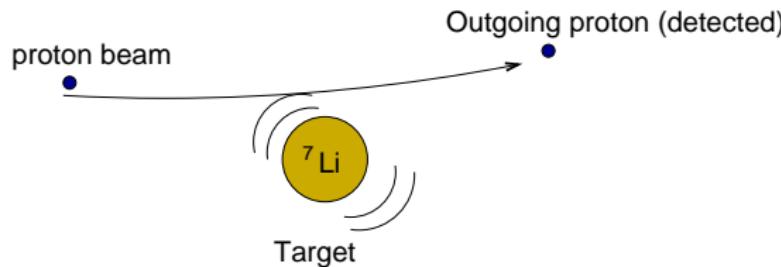
- So

$$E_x = E_{\text{cm}}^i - E_{\text{cm}}^f$$

## What do we measure in an inelastic scattering experiment?

- ☞ In general, one measures the **scattering angle** and **energy** of outgoing particles.

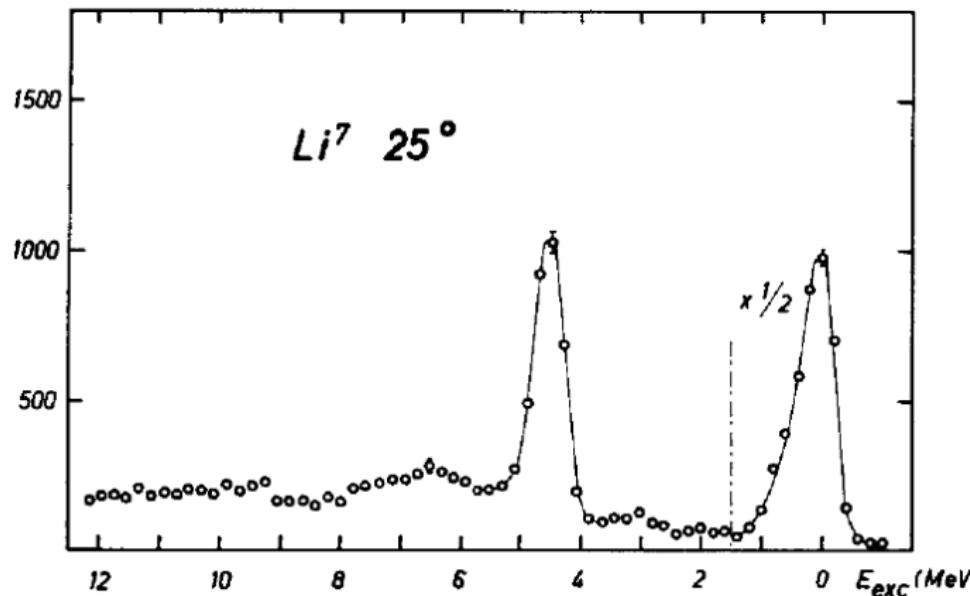
**EXAMPLE:**  $p + {}^7\text{Li} \rightarrow p + {}^7\text{Li}^*$



- ☞ Eg. *energy and angular distribution of the outgoing protons.*

## What do we measure in an inelastic scattering experiment?

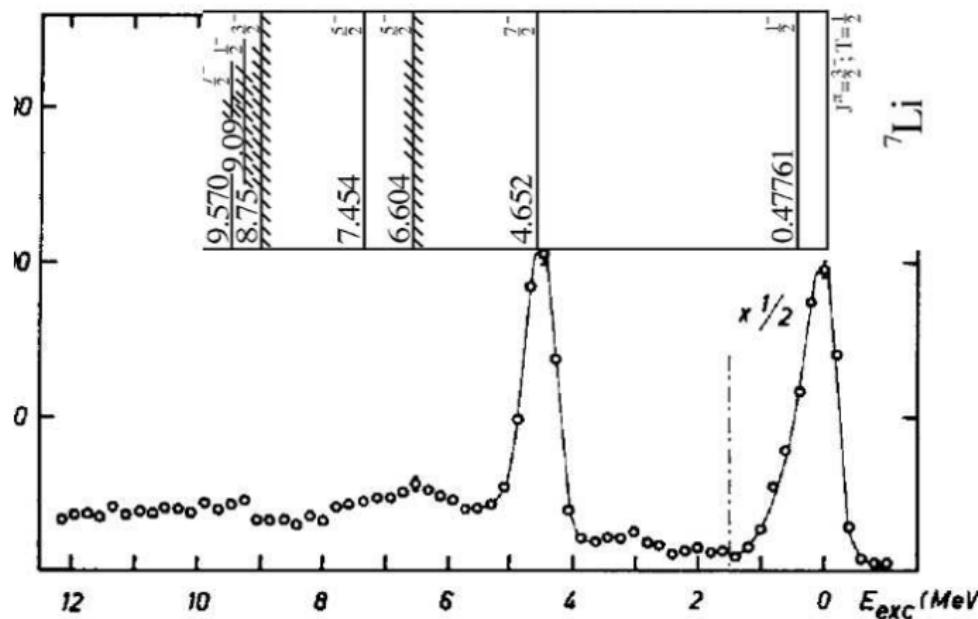
- The proton energy carries information on the  ${}^7\text{Li}$  excitation spectrum.



Data from Nuclear Physics 69 (1965) 81-102

# What do we measure in an inelastic scattering experiment?

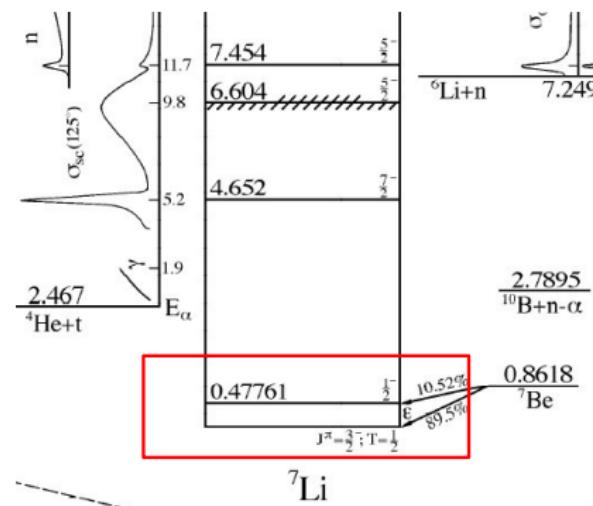
- The proton energy carries information on the  ${}^7\text{Li}$  excitation spectrum.



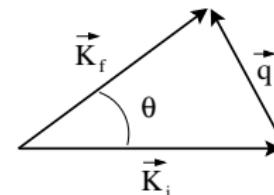
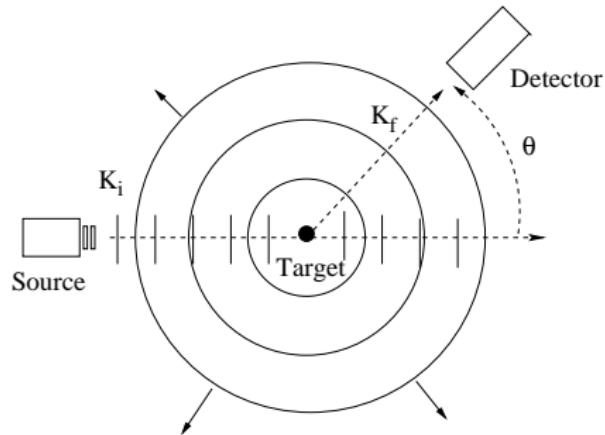
## What information do we get from an inelastic scattering experiment?

- The proton energy spectrum shows peaks which correspond to the states of the target ( ${}^7\text{Li}$ )
- The heights of peak (~ cross section) are different for each state  $\Rightarrow$  not all states are populated with the same probability.
- Some peaks are narrow, other are broad. Why?...
- Above a certain excitation energy, the spectrum becomes continuous and structureless.

# What information do we get from an inelastic scattering experiment?



Reminder: single-channel case



- The incident projectile is described by a plane wave  $\rightarrow e^{i\mathbf{K}_i \cdot \mathbf{R}}$
  - The scattered projectile is described at large distances by outgoing spherical waves:  $\rightarrow \frac{e^{iK_f R}}{R}$



## Reminder: single-channel case

- Wavefunction:  $\Psi_{\mathbf{K}_i}^{(+)}(\xi, \mathbf{R}) = \phi_0(\xi) \chi_0^{(+)}(\mathbf{K}_i, \mathbf{R})$

$$\chi_0^{(+)}(\mathbf{K}_i, \mathbf{R}) \rightarrow e^{i\mathbf{K}_i \cdot \mathbf{R}} + f(\theta) \frac{e^{iK_i R}}{R}$$

$f(\theta)$ =scattering amplitude

- Cross section:

$$\boxed{\frac{d\sigma}{d\Omega}(\theta) = |f(\theta)|^2}$$

- ☞  $f(\theta)$  is the coefficient of the outgoing spherical wave at large distances.
- ☞ The square of  $f(\theta)$  gives the probability that the particle be scattered at an angle  $\theta$ .



## Multi-channel case: the coupled-channels method

We need to incorporate explicitly in the Hamiltonian the internal structure of the nucleus being excited (eg. target).

$$H = T_R + h(\xi) + V(\mathbf{R}, \xi)$$

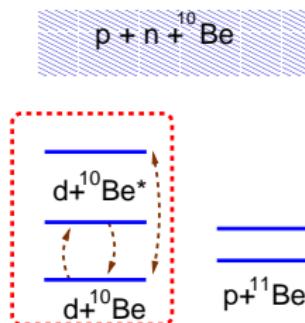
- $T_R$ : Kinetic energy for projectile-target relative motion.
- $\{\xi\}$ : Internal degrees of freedom of the target (depend on the model).
- $h(\xi)$ : Internal Hamiltonian of the target.

$$h(\xi)\phi_n(\xi) = \varepsilon_n\phi_n(\xi)$$

- $V(\mathbf{R}, \xi)$ : Projectile-target interaction, eg:

$$V(\mathbf{R}, \xi) = \sum_{i=1}^N V_{pi}(\mathbf{r}_{pi})$$

Defining the modelspace:  $d + {}^{10}\text{Be} \rightarrow d + {}^{10}\text{Be}^*$  example



☞ P space composed by ground states (elastic channel) and some excited states (inelastic scattering)

Boundary conditions:

$$\Psi_{\mathbf{K}_0}^{(+)}(\mathbf{R}, \xi) \xrightarrow{R \gg} \underbrace{e^{i\mathbf{K}_0 \cdot \mathbf{R}} \phi_0(\xi)}_{\text{incident}} + \underbrace{f_{0,0}(\theta) \frac{e^{iK_0 R}}{R} \phi_0(\xi)}_{\text{elastic}} + \underbrace{\sum_{n>0} f_{n,0}(\theta) \frac{e^{iK_n R}}{R} \phi_n(\xi)}_{\text{inelastic}}$$

### Cross sections:

$$\left( \frac{d\sigma(\theta)}{d\Omega} \right)_{0 \rightarrow n} = \frac{K_n}{K_0} |f_{n,0}(\theta)|^2$$

## CC model wavefunction (target excitation)

We expand the total wave function in a subset of internal states (the P space):

$$\Psi_{\text{model}}(\mathbf{R}, \xi) = \phi_0(\xi)\chi_0(\mathbf{K}_0, \mathbf{R}) + \sum_{n \geq 0} \phi_n(\xi)\chi_n(\mathbf{K}_n, \mathbf{R})$$

Boundary conditions for the  $\chi_n(\mathbf{R})$  (unknowns):

$$\chi_0^{(+)}(\mathbf{K}_0, \mathbf{R}) \rightarrow e^{i\mathbf{K}_0 \cdot \mathbf{R}} + \textcolor{red}{f_{0,0}(\theta)} \frac{e^{iK_0 R}}{R} \quad \text{for n=0 (elastic)}$$

$$\chi_n^{(+)}(\mathbf{K}_n, \mathbf{R}) \rightarrow f_{n,0}(\theta) \frac{e^{i K_n R}}{R} \quad \text{for } n > 0 \text{ (non-elastic)}$$

## Calculation of $\chi_n^{(+)}(\mathbf{R})$ : the coupled equations

- The model wavefunction must satisfy the Schrödinger equation:

$$[H - E]\Psi_{\text{model}}^{(+)}(\mathbf{R}, \xi) = 0$$

- Multiply on the left by each  $\phi_n(\xi)^*$ , and integrate over  $\xi \Rightarrow$  coupled channels equations for  $\{\chi_n(\mathbf{R})\}$ :

$$[E - \varepsilon_n - T_R - V_{n,n}(\mathbf{R})] \chi_n(\mathbf{R}) = \sum_{n' \neq n} V_{n,n'}(\mathbf{R}) \chi_{n'}(\mathbf{R})$$

- Coupling potentials:

$$V_{n,n'}(\mathbf{R}) = \int d\xi \phi_{n'}(\xi)^* V(\mathbf{R}, \xi) \phi_n(\xi)$$

$\phi_n(\xi)$  will depend on the structure model (collective, single-particle, etc).

## Optical Model

- ### • The Hamiltonian:

$$H = T_R + V(\mathbf{R})$$

- Internal states: Just  $\phi_0(\xi)$

- Model wavefunction:

$$\Psi_{\text{mod}}(\mathbf{R}, \xi) \equiv \chi_0(\mathbf{K}, \mathbf{R}) \phi_0(\xi)$$

- Schrödinger equation:

$$[H - E]\chi_0(\mathbf{K}, \mathbf{R}) = 0$$

## Optical Model

- The Hamiltonian:

$$H = T_R + V(\mathbf{R})$$

- Internal states: Just  $\phi_0(\xi)$

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## Coupled-channels method

- ### • The Hamiltonian:

$$H = T_R + h(\xi) + V(\mathbf{R}, \xi)$$

- ### • Internal states:

$$h(\xi)\phi_n(\xi) = \varepsilon_n\phi_n(\xi)$$

- ### • Model wavefunction

$$\Psi_{\text{model}}(\mathbf{R}, \xi) = \phi_0(\xi)\chi_0(\mathbf{K}, \mathbf{R}) + \sum_{n>0} \phi_n(\xi)\chi_n(\mathbf{K}, \mathbf{R})$$

- ### • Schrödinger equation

$$[H - E]\Psi_{\text{model}}(\mathbf{R}, \xi) = 0$$

↓

$$[E - \varepsilon_n - T_R - V_{n,n}(\mathbf{R})] \chi_n(\mathbf{K}, \mathbf{R}) = \sum_{n' \neq n} V_{n,n'}(\mathbf{R}) \chi_{n'}(\mathbf{K}, \mathbf{R})$$



## Two-potential formula for $f_{\beta,\alpha}(\theta)$

Introduce auxiliary potential  $U_\beta(\mathbf{R})$  and rewrite  $V_\beta$  as

$$V_\beta(\mathbf{R}, \xi) = U_\beta(\mathbf{R}) + [V_\beta(\mathbf{R}, \xi) - U_\beta(\mathbf{R})]$$

such that the scattering solution of  $U_\beta$  is solvable:

$$[\hat{T}_\beta + U_\beta - E_\beta] \widetilde{\chi}_\beta^{(+)}(\mathbf{R}_\beta) = 0 \quad E_\beta = E - \varepsilon_\beta$$

Then, the **exact** scattering amplitude can be written as ( $\beta \neq \alpha$ ):

$$f_{\beta,\alpha}(\theta) = -\frac{\mu}{2\pi\hbar^2} \int \int \widetilde{\chi}_\beta^{(-)*}(\mathbf{K}_\beta, \mathbf{R}_\beta) \Phi_\beta^*(\xi_\beta) [V_\beta - U_\beta] \Psi_{\mathbf{K}_\alpha}^{(+)} d\xi_\beta d\mathbf{R}_\beta$$



## Deriving the DWBA approximation from the exact scattering amplitude

- Assume that we can write the p-t interaction as:  $V(\mathbf{R}, \xi) = V_0(\mathbf{R}) + \Delta V(\mathbf{R}, \xi)$
- Apply the two-potential formula taking as auxiliary potential  $U_\beta(\mathbf{R}) \equiv V_0(\mathbf{R})$ :

$$f_{i \rightarrow f}^{\text{exact}}(\theta) = -\frac{\mu}{2\pi\hbar^2} \int d\mathbf{R} \chi_f^{(-)*}(\mathbf{K}_f, \mathbf{R}) \Delta V(\mathbf{R}, \xi) \Psi_i^{(+)}(\mathbf{K}_i, \mathbf{R}) d\xi d\mathbf{R}$$

with

$$[\hat{T}_{\mathbf{R}} + V_0(\mathbf{R}) - E_f] \tilde{\chi}_f^{(+)}(\mathbf{K}_f, \mathbf{R}) = 0 \quad (E_f = E - \varepsilon_f)$$

- Make the **Born approximation**:  $\Psi_{\mathbf{K}_i}^{(+)}(\mathbf{R}, \xi) \simeq \tilde{\chi}_i^{(+)}(\mathbf{K}_i, \mathbf{R}) \phi_i(\xi)$ , with

$$[\hat{T}_{\mathbf{R}} + V_0(\mathbf{R}) - E_i] \tilde{\chi}_i^{(+)}(\mathbf{K}_i, \mathbf{R}) = 0$$

- The scattering amplitude becomes (DWBA):

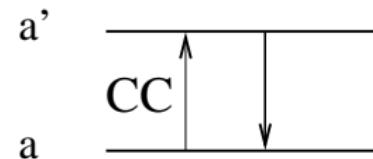
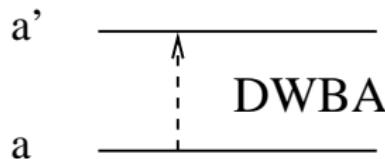
$$f_{i \rightarrow f}^{\text{DWBA}}(\theta) = -\frac{\mu}{2\pi\hbar^2} \int d\mathbf{R} \chi_f^{(-)*}(\mathbf{K}_f, \mathbf{R}) \Delta V_{if}(\mathbf{R}) \chi_i^{(+)}(\mathbf{K}_i, \mathbf{R})$$

with the **transition potential**:

$$\Delta V_{if}(\mathbf{R}) \equiv \int d\xi \phi_f^*(\xi) \Delta V(\mathbf{R}, \xi) \phi_i(\xi)$$

## Physical interpretation of the DWBA method

- DWBA can be interpreted as a first-order approximation of a full coupled-channels calculation:



- The auxiliary potential  $U_\beta$  generating the entrance and exit distorted waves is usually chosen in order to reproduce the elastic scattering at the corresponding c.m. energy.

## Multipole expansion of the interaction: reduced matrix elements

- In actual calculations, the internal states will have definite spin/parity:

$$\phi_i(\xi) = |I_i M_i\rangle \quad \text{and} \quad \phi_f(\xi) = |I_f M_f\rangle$$

- The projectile-target interaction can be expanded in multipoles:

$$V(\mathbf{R}, \xi) = \sqrt{4\pi} \sum_{\lambda, \mu} V_{\lambda\mu}(R, \xi) Y_{\lambda\mu}(\hat{\mathbf{R}})$$

- CC and DWBA calculations require the transition potentials:

$$\langle I_f M_f | V(\mathbf{R}, \xi) | I_i M_i \rangle = \sqrt{4\pi} \sum_{\lambda, \mu} \langle I_f M_f | V_{\lambda\mu}(R, \xi) | I_i M_i \rangle Y_{\lambda\mu}(\hat{\mathbf{R}})$$

- Wigner-Eckart theorem → reduced matrix elements

$$\langle I_f M_f | V_{\lambda\mu}(R, \xi) | I_i M_i \rangle = (2I_f + 1)^{-1/2} \langle I_f M_f | I_i M_i \lambda\mu \rangle \underbrace{\langle I_f | V_\lambda(R, \xi) | I_i \rangle}_{\text{r.m.e}}$$



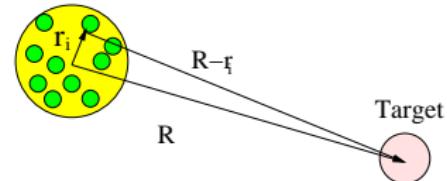
## Models for inelastic scattering



## Inelastic scattering: Coulomb excitation

- Projectile-target Coulomb interaction:

$$V_C(\mathbf{R}, \xi) = \sum_i^{Z_p} \frac{Z_t e^2}{|\mathbf{R} - \mathbf{r}_i|}; \quad \xi \equiv \{\mathbf{r}_i\}$$



- Multipolar expansion:

$$\frac{1}{|\mathbf{R} - \mathbf{r}_i|} = \sum_{\lambda\mu} \frac{4\pi}{2\lambda + 1} \frac{r_i^\lambda}{R^{\lambda+1}} Y_{\lambda\mu}^*(\hat{r}_i) Y_{\lambda\mu}(\hat{R}) \quad (R > r_i)$$

- Electric multipole operator:  $\mathcal{M}(E\lambda, \mu) \equiv e \sum_i^{Z_p} r_i^\lambda Y_{\lambda\mu}^*(\hat{r}_i)$

$$V_C(\mathbf{R}, \xi) = \frac{Z_t Z_p e^2}{R} + \sum_{\lambda>0, \mu} \frac{4\pi}{2\lambda + 1} \frac{Z_t e}{R^{\lambda+1}} \mathcal{M}(E\lambda, \mu) Y_{\lambda\mu}(\hat{R}) \equiv \frac{Z_t Z_p e^2}{R} + \Delta V(\mathbf{R}, \xi)$$



## Coupling potentials for Coulomb excitation

- Transition potentials:

$$\Delta V_{if}(\mathbf{R}) = \sum_{\lambda>0,\mu} \frac{4\pi}{2\lambda+1} \frac{Z_t e}{R^{\lambda+1}} \langle f; I_f M_f | \mathcal{M}(E\lambda, \mu) | i; I_i M_i \rangle Y_{\lambda\mu}(\hat{R})$$

- Wigner-Eckart theorem ⇒ reduced matrix elements:

$$\langle f; I_f M_f | \mathcal{M}(E\lambda, \mu) | i; I_i M_i \rangle = (2I_f + 1)^{-1/2} \langle I_i M_i \lambda \mu | I_f M_f \rangle \langle f; I_f | \mathcal{M}(E\lambda, \mu) | i; I_i \rangle_{\text{BM}}$$

- Relation to physical quantities

$$\langle f; I_f | \mathcal{M}(E\lambda, \mu) | i; I_i \rangle_{\text{BM}} = \sqrt{2I_i + 1} B(E\lambda; I_i \rightarrow I_f)$$



## DWBA expression for Coulomb excitation

- Projectile-target interaction:

$$V(\mathbf{R}, \xi) = U_{\text{nuc}}(R) + V_C(\mathbf{R}, \xi) = \underbrace{U_{\text{nuc}}(R) + \frac{Z_t Z_p e^2}{R}}_{V_0(R)} + \Delta V(\mathbf{R}, \xi)$$

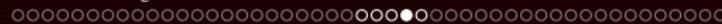
- Use  $V_0(R)$  as auxiliary potential for entrance and exit channels:

$$\left[ \hat{T}_{\mathbf{R}} + V_0(\mathbf{R}) - E_i \right] \tilde{\chi}_i^{(+)}(\mathbf{K}_f, \mathbf{R}) = 0 \quad (E_i = E - \varepsilon_i)$$

$$\left[ \hat{T}_{\mathbf{R}} + V_0(\mathbf{R}) - E_f \right] \tilde{\chi}_f^{(+)}(\mathbf{K}_f, \mathbf{R}) = 0 \quad (E_f = E - \varepsilon_f)$$

- DWBA scattering amplitude for a transition of multipolarity  $\lambda$ :

$$f(\theta)_{iM_i \rightarrow fM_f} = -\frac{\mu}{2\pi\hbar^2} \frac{4\pi Z_t e}{2\lambda + 1} \int d\mathbf{R} \tilde{\chi}_f^{(-)*}(\mathbf{K}_f, \mathbf{R}) \Delta V_{if}^{(\lambda)}(\mathbf{R}) \tilde{\chi}_i^{(+)}(\mathbf{K}_i, \mathbf{R})$$



## Scattering amplitude and cross sections

DWBA SCATTERING AMPLITUDE FOR A TRANSITION OF MULTIPOLARITY  $\lambda$ :

$$f(\theta)_{iM_i \rightarrow fM_f} = -\frac{\mu}{2\pi\hbar^2} \frac{4\pi Z_t e}{2\lambda + 1} \langle f; I_f M_f | \mathcal{M}(E\lambda, \mu) | i; I_i M_i \rangle \int d\mathbf{R} \tilde{\chi}_f^{(-)*}(\mathbf{K}_f, \mathbf{R}) \frac{Y_{\lambda\mu}(\hat{\mathbf{R}})}{R^{\lambda+1}} \tilde{\chi}_i^{(+)}(\mathbf{K}_i, \mathbf{R})$$

CROSS SECTIONS:

$$\left( \frac{d\sigma}{d\Omega} \right)_{iM_i \rightarrow fM_f} = \frac{K_f}{K_i} |f(\theta)_{iM_i \rightarrow fM_f}|^2$$

UNPOLARIZED CROSS SECTION:,

$$\left( \frac{d\sigma}{d\Omega} \right)_{I_i \rightarrow I_f} = \frac{1}{(2I_i + 1)} \frac{K_f}{K_i} \sum_{M_i, M_f} |f(\theta)_{iM_i \rightarrow fM_f}|^2$$

What can we learn measuring Coulomb excitation?

- ⇒ For an inelastic excitation  $i \rightarrow f$  of multipolarity  $\lambda$  the differential cross section is proportional to the electric transition probability  $B(E\lambda; I_i \rightarrow I_f)$  because

$$B(E\lambda; i \rightarrow f) = \frac{1}{2I_i + 1} |\langle f | I_f | \mathcal{M}(E\lambda) | i \rangle_{\text{BM}}|^2$$



$$\frac{d\sigma}{d\Omega} \propto |\langle f | I_f | \mathcal{M}(E\lambda) | i | I_i \rangle|^2 \propto B(E\lambda; I_i \rightarrow I_f)$$

- ⇒ If the approximations involved in the derivation of the DWBA approximation are valid, the transition probabilities  $B(E\lambda; I_f \rightarrow I_f)$  can be obtained comparing the magnitude of the inelastic cross sections with DWBA calculations.



## Nuclear collective excitations

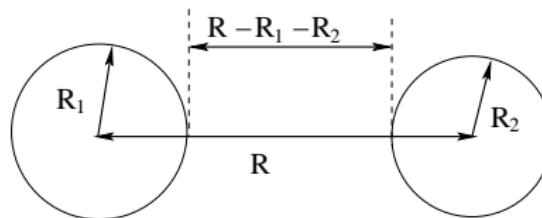
- The nuclear interaction is of short-range, so it depends on the distance between the surfaces of the projectile and targets:

$$U_{\text{nuc}}(\mathbf{R}) = V(R - R_0), \quad R_0 = R_1 + R_2$$

E.g.: Woods-Saxon parametrization

$$U_{\text{nuc}}(R) = -\frac{V_0}{1 + \exp\left(\frac{R-R_0}{a_r}\right)} - i\frac{W_0}{1 + \exp\left(\frac{R-R_i}{a_i}\right)}$$

- For spherical nuclei,  $U(\mathbf{R})$  will not depend on the orientation of the nuclei.





## Deformed potential

- Deformed surface:

$$r(\theta, \phi) = R_0 + \sum_{\lambda, \mu} \hat{\delta}_{\lambda\mu}(\xi) Y_{\lambda\mu}(\theta, \phi)$$

$\hat{\delta}_{\lambda\mu}(\xi)$  =deformation length operator

- Deformed potential:  $V(R - R_0) \rightarrow V(R - r(\theta, \phi)) \equiv V(\mathbf{R}, \xi)$
- Multipole expansion of the potential:

$$V(\mathbf{R}, \xi) = V(R - R_0) - \sum_{\lambda, \mu} \hat{\delta}_{\lambda\mu}(\xi) \frac{dV(R - R_0)}{dR} Y_{\lambda\mu}(\theta, \phi) + \dots \equiv V_0(R) + \Delta V(\mathbf{R}, \xi)$$

- Transition potentials for a multipole  $\lambda$ :

$$\Delta V_{if}^{(\lambda)}(\mathbf{R}) \equiv \langle f | \Delta V^{(\lambda)} | i \rangle = - \frac{dV(R - R_0)}{dR} \langle f; I_f M_f | \hat{\delta}_{\lambda\mu} | i; I_i M_i \rangle Y_{\lambda\mu}(\hat{R})$$



## DWBA amplitude

### DWBA SCATTERING AMPLITUDE:

$$f(\theta)_{I_f M_f \rightarrow I_f M_f} = -\frac{\mu}{2\pi\hbar^2} \langle f; I_f M_f | \hat{\delta}_{\lambda\mu} | i; I_i M_i \rangle \int d\mathbf{R} \tilde{\chi}_f^{(-)*}(\mathbf{K}', \mathbf{R}) \frac{dV}{dR} Y_{\lambda\mu}(\hat{\mathbf{R}}) \tilde{\chi}_i^{(+)}(\mathbf{K}, \mathbf{R})$$

### CROSS SECTIONS:

$$\left( \frac{d\sigma(\theta)}{d\Omega} \right)_{i \rightarrow f} = \frac{K_f}{K_i} \left( \frac{\mu}{2\pi\hbar^2} \right)^2 \left| \langle f; I_f M_f | \hat{\delta}_{\lambda\mu} | i; I_i M_i \rangle \right|^2 \\ \times \left| \int d\mathbf{R} \tilde{\chi}_f^{(-)*}(\mathbf{K}', \mathbf{R}) \frac{dV}{dR} Y_{\lambda\mu}(\hat{\mathbf{R}}) \tilde{\chi}_i^{(+)}(\mathbf{K}, \mathbf{R}) \right|^2$$

- ☞ The differential cross section is proportional to the deformation parameters
- ☞ If the approximations are valid, the deformation parameters can be obtained comparing the magnitude of the inelastic cross sections with DWBA calculations.

## Summary of physical ingredients for collective excitations

- Coulomb excitation → electric reduced matrix elements

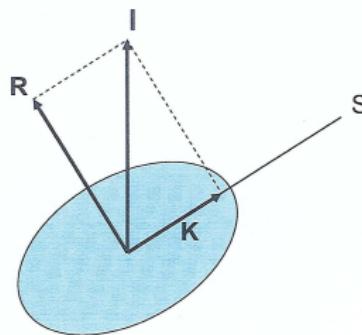
$$\Delta V_{if}(\mathbf{R}) = \sum_{\lambda \geq 0} \frac{4\pi}{2\lambda + 1} \frac{Z_t e}{R^{\lambda+1}} \langle f; I_f M_f | \mathcal{M}(E\lambda, \mu) | i; I_i M_i \rangle Y_{\lambda\mu}(\hat{R})$$

- Nuclear excitation (collective model) → deformation lengths

$$\Delta V_{if}(\mathbf{R}) = -\frac{dV_0}{dR} \sum_{\lambda} \langle f; I_f M_f | \hat{\delta}_{\lambda\mu} | i; I_i M_i \rangle Y_{\lambda\mu}(\hat{R})$$

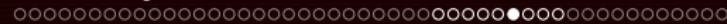


## Strict rotor model



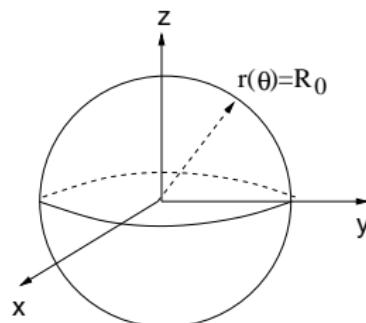
- $I$ =total spin (angular momentum) of the nucleus
- $K$ =projection of  $I$  along symmetry axis

- The nucleus is described by a permanent deformation of **matter** and **charge**.
- The **charge** deformation for a multipole  $\lambda$  is characterized by the Coulomb intrinsic deformation:  $M_n(E\lambda)$
- The **matter** deformation for a multipole  $\lambda$  is characterized by the deformation parameter ( $\beta_2$ ) or the deformation length parameter ( $\delta_\lambda$ )
- Transitions occur among states with the same value of  $K$ .

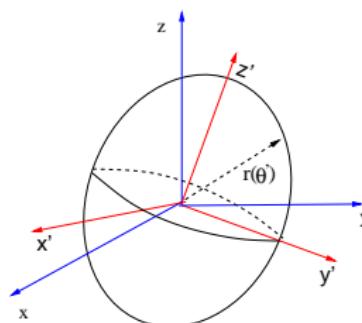


## Description of a deformed surface

Spherical nucleus ( $\beta = 0$ )



Deformed nucleus ( $\beta \neq 0$ )



$$r(\theta') = R_0 [1 + \beta_2 Y_{20}(\theta', 0)]$$

☞ Axial deformed nucleus characterized by  $\beta_2$  (deformation parameters)



## Surface of a permanently deformed nucleus (rotor model)

- Deformed nucleus with axial symmetry:  $r(\theta') = R_0 [1 + \beta_2 Y_{20}(\theta', 0)]$
- $\delta_2 = \beta_2 R_0$  = (quadrupole) deformation length
- $Y_{20}(\theta', \phi')$  can be transformed to the laboratory frame:

$$Y_{\lambda 0}(\theta', 0) = \sum_{\mu} \sqrt{\frac{4\pi}{2\lambda + 1}} Y_{\lambda\mu}(\hat{S}) Y_{\lambda\mu}(\theta, \phi)$$

( $\hat{S} \equiv \{\theta_0, \phi_0\}$  gives the orientation of the symmetry axis in the lab frame)

- Define deformation length operator:

$$\hat{\delta}_{2\mu}(\xi) \equiv \beta_2 R_0 \sqrt{\frac{4\pi}{2\lambda + 1}} Y_{\lambda\mu}(\hat{S}) \quad \{\xi\} = \hat{S}$$

- Deformed surface in LAB frame:

$$r(\theta, \phi) = R_0 + \sum_{\mu} \hat{\delta}_{2\mu}(\xi) Y_{2\mu}(\theta, \phi)$$



## Reduced matrix elements in the strict rotor model

Coulomb excitation:

$$\langle K I_f | \mathcal{M}(E\lambda) | K I_i \rangle_{\text{BM}} = \sqrt{2I_i + 1} \langle I_i K \lambda 0 | I_f K \rangle M_n(E\lambda)$$

⇒  $M_n(E\lambda)$ =reduced matrix element of the charge deformation in intrinsic frame.

For nuclear excitation:

$$\langle f; K I_f | \hat{\delta}_\lambda | i; K I_i \rangle_{\text{BM}} = \sqrt{2I_i + 1} \langle I_i K \lambda 0 | I_f K \rangle \beta_\lambda R_0$$

- $\beta_\lambda$ = deformation parameter
- $\delta_\lambda = \beta_\lambda R_0$ = deformation length parameter

⇒  $M_n(E\lambda)$  and  $\beta_\lambda$  represent the charge and matter deformation in the intrinsic frame

$$M_n(E\lambda) = \frac{3 Z \beta_\lambda R^\lambda}{4\pi}$$

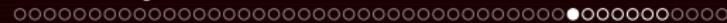


## Coulomb + nuclear potential

- We expect the Coulomb excitation to be more important when:
  - The projectile and/or target charges are large (i.e. large  $Z_1 Z_2 \gg 1$ )
  - At energies below the Coulomb barrier (where nuclear effects are less important).
  - At very forward angles (large impact parameters).
- If both Coulomb and nuclear contributions are important the scattering amplitudes for both processes should be added:

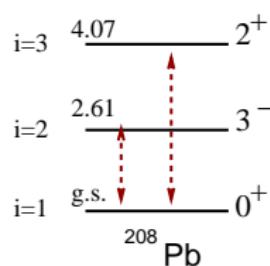
$$\left( \frac{d\sigma}{d\Omega} \right)_{i \rightarrow f} = \frac{K_f}{K_i} |f_{if}^{\text{coul}} + f_{if}^{\text{nuc}}|^2$$

☞ In this case, interferences effects will appear!

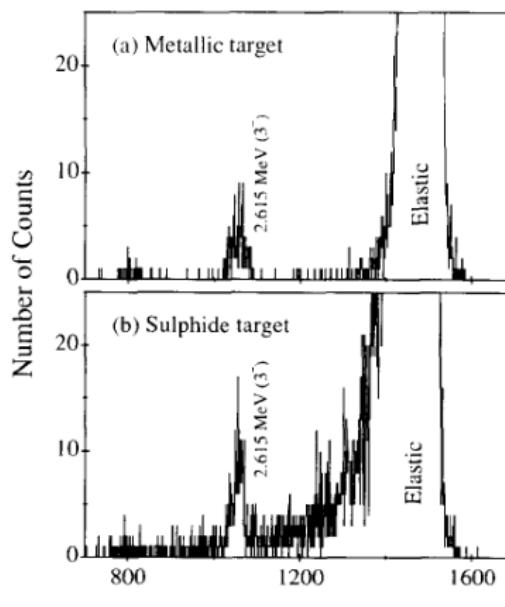


## Collective excitations: example

**Physical example:**  $^{16}\text{O} + ^{208}\text{Pb} \rightarrow ^{16}\text{O} + ^{208}\text{Pb}(3^-, 2^+)$



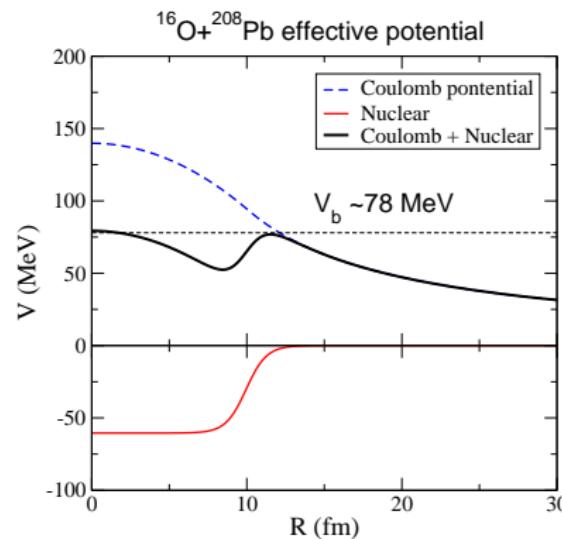
Outgoing  $^{16}\text{O}$  energy:



Nucl. Phys. A517 (1990) 193



# $^{16}\text{O} + ^{208}\text{Pb}$ effective interaction

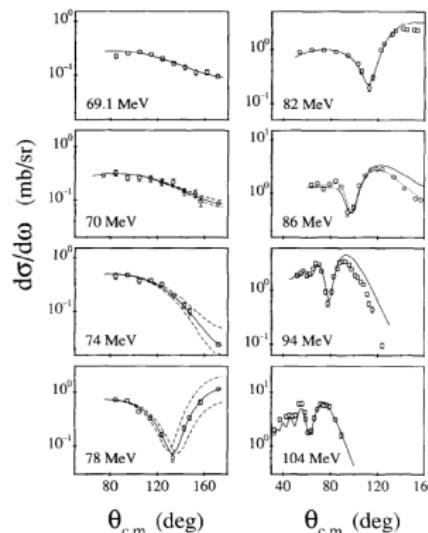
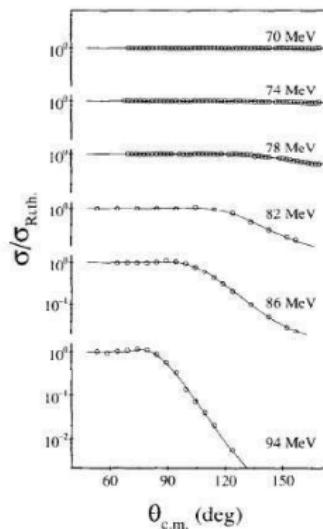


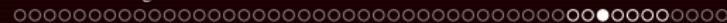
☞ Coulomb barrier:

$$V_{\text{barrier}} \approx \frac{Z_p Z_t e^2}{1.44(A_p^{1/3} + A_t^{1/3})} \simeq 78 \text{ MeV}$$

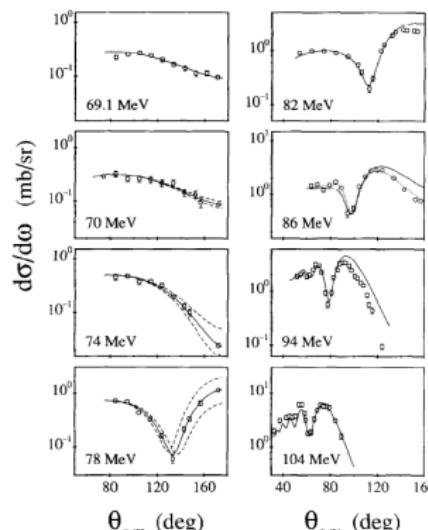
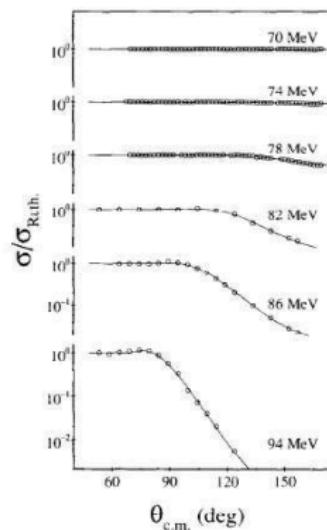


## Collective excitations: example





## Collective excitations: example



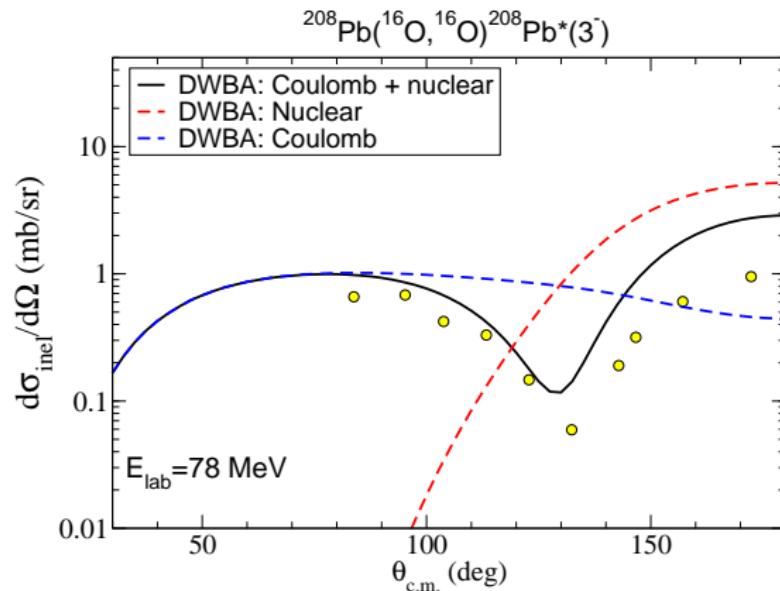
☞ Coulomb barrier:

$$V_{\text{barrier}} \approx \frac{Z_p Z_t e^2}{1.44(A_p^{1/3} + A_t^{1/3})} \simeq 78 \text{ MeV}$$



## $^{208}\text{Pb}({}^{16}\text{O}, {}^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

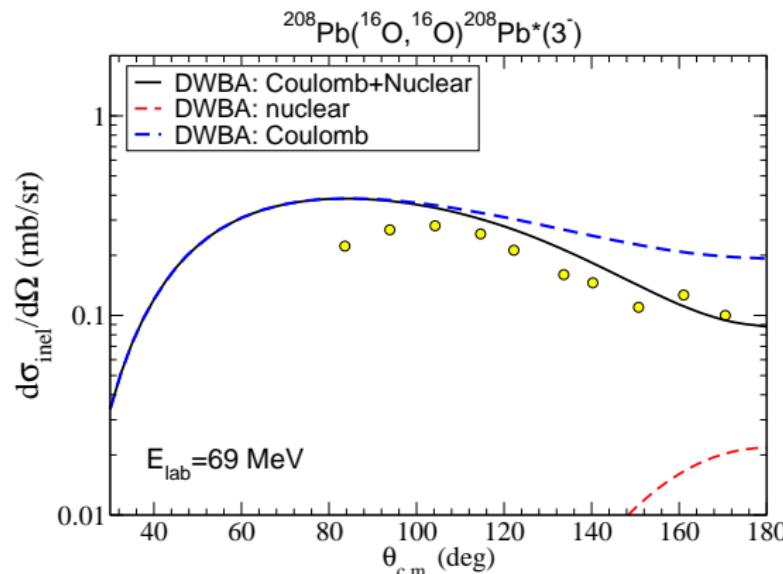
Coulomb and Nuclear excitations can produce constructive or destructive interference:





## $^{208}\text{Pb}({}^{16}\text{O}, {}^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

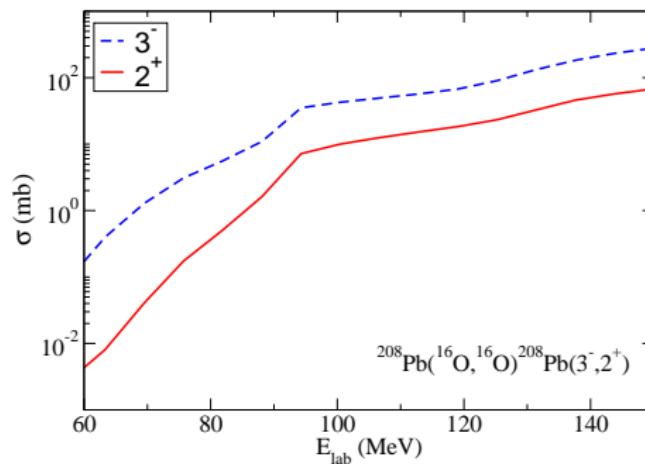
Below the barrier, the Coulomb excitation is dominant, and the interference is smaller:





## $^{208}\text{Pb}({}^{16}\text{O}, {}^{16}\text{O})^{208}\text{Pb}$ inelastic scattering

Effect of the incident energy:



*Extra stuff...*

## DWBA approximation as 1st order CC

- Two-states model  $n = 0, 1$ :

$$\Psi(\mathbf{R}, \xi) = \underbrace{\phi_0(\xi)\chi_0(\mathbf{R})}_{\text{elastic}} + \underbrace{\phi_1(\xi)\chi_1(\mathbf{R})}_{\text{inelastic}}$$

- Coupled-channels equations:

$$[E - \varepsilon_0 - T_0 - V_{00}(\mathbf{R})]\chi_0(\mathbf{R}) = V_{01}(\mathbf{R})\chi_1(\mathbf{R})$$

$$[E - \varepsilon_1 - T_1 - V_{11}(\mathbf{R})]\chi_1(\mathbf{R}) = V_{10}(\mathbf{R})\chi_0(\mathbf{R})$$

- Iterative solution of the CC equations (DWBA):

$$[E - \varepsilon_0 - T_0 - V_{00}(\mathbf{R})]\chi_0(\mathbf{R}) \approx 0$$

$$[E - \varepsilon_1 - T_1 - V_{11}(\mathbf{R})]\chi_1(\mathbf{R}) \approx V_{10}(\mathbf{R})\chi_0(\mathbf{R})$$

## DWBA approximation as 1st order CC

- Asymptotically:

$$\chi_1^{(+)}(\mathbf{R}) \rightarrow f_{10}(\theta) \frac{e^{iK_1 R}}{R}$$

with (not proven here!)

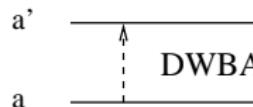
$$f_{10}(\theta) = -\frac{\mu}{2\pi\hbar^2} \int d\mathbf{R} \tilde{\chi}_1^{(-)*}(\mathbf{K}_1, \mathbf{R}) V_{10}(\mathbf{R}) \tilde{\chi}_0^{(+)}(\mathbf{K}_0, \mathbf{R})$$

where  $\tilde{\chi}_0(\mathbf{K}_0, \mathbf{R})$ ,  $\tilde{\chi}_1(\mathbf{K}_1, \mathbf{R})$  are solutions of:

$$[E - \varepsilon_0 - T_0 - V_{00}(\mathbf{R})] \tilde{\chi}_0(\mathbf{K}_0, \mathbf{R}) = 0$$

$$[E - \varepsilon_1 - T_1 - V_{11}(\mathbf{R})]\tilde{\chi}_1(\mathbf{K}_1, \mathbf{R}) = 0$$

- ☞ The DWBA approximation amounts at solving the CC equations to 1st order (Born approximation)



Reminder of Wigner-Eckart theorem: reduced matrix elements

$$\langle I_f M_f | \hat{O}_{\lambda\mu} | I_i M_i \rangle = C(I_i, I_f, \lambda) \langle I_f M_f | \lambda \mu I_i M_i \rangle \underbrace{\langle I_f | \hat{O}_\lambda | I_i \rangle}_{\text{r.m.e}}$$

## Two popular conventions in Nuclear Physics:

- ① Bohr-Mottelson (BM) convention:  $C(I_i, I_f, \lambda) = (2I_f + 1)^{-1/2}$

$$\langle I_f M_f | \hat{O}_{\lambda\mu} | I_i M_i \rangle = (2I_f + 1)^{-1/2} \langle I_f M_f | \lambda \mu I_i M_i \rangle \langle I_f | \hat{O}_\lambda | I_i \rangle_{\text{BM}}$$

- ② Brink-Satchler (BS) convention:  $C(I_i, I_f, \lambda) = (-1)^{2\lambda}$

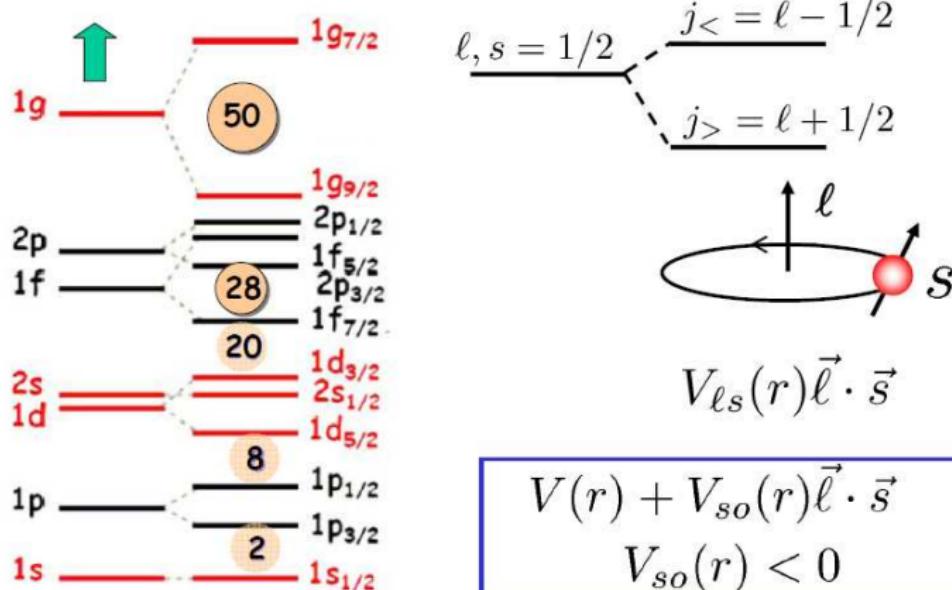
$$\langle I_f M_f | \hat{O}_{\lambda\mu} | I_i M_i \rangle = (-1)^{2\lambda} \langle I_f M_f | \lambda \mu I_i M_i \rangle \langle I_f | \hat{O}_\lambda | I_i \rangle_{BS}$$

So, the r.m.e. are related by:

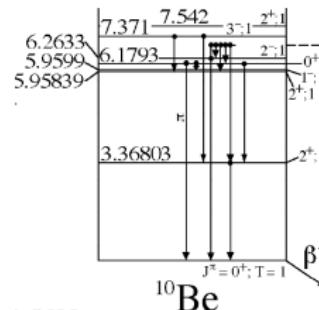
$$\langle I_f | \hat{O}_\lambda | I_i \rangle_{\text{BM}} = \sqrt{2I_f + 1} \langle I_f | \hat{O}_\lambda | I_i \rangle_{\text{BS}}$$



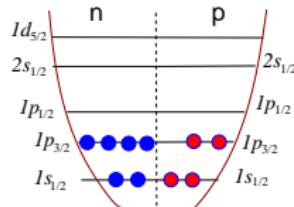
## Reminder of the independent particle model (IPM)



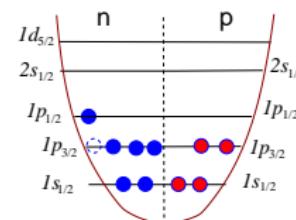
### Extreme IPM model:



## Ground state ( $0^+$ )



### First excited state ( $2^+$ )

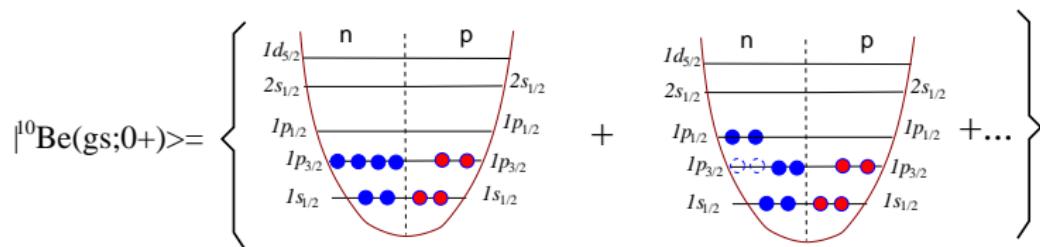




## Microscopic description in the IPM: the $^{10}\text{Be}$ case

But life is not that simple...

Ground state ( $0^+$ )



First excited state ( $2^+$ )

