

# **Tracking in the L1 Trigger**

*for the ATLAS & CMS phase-2 upgrades*

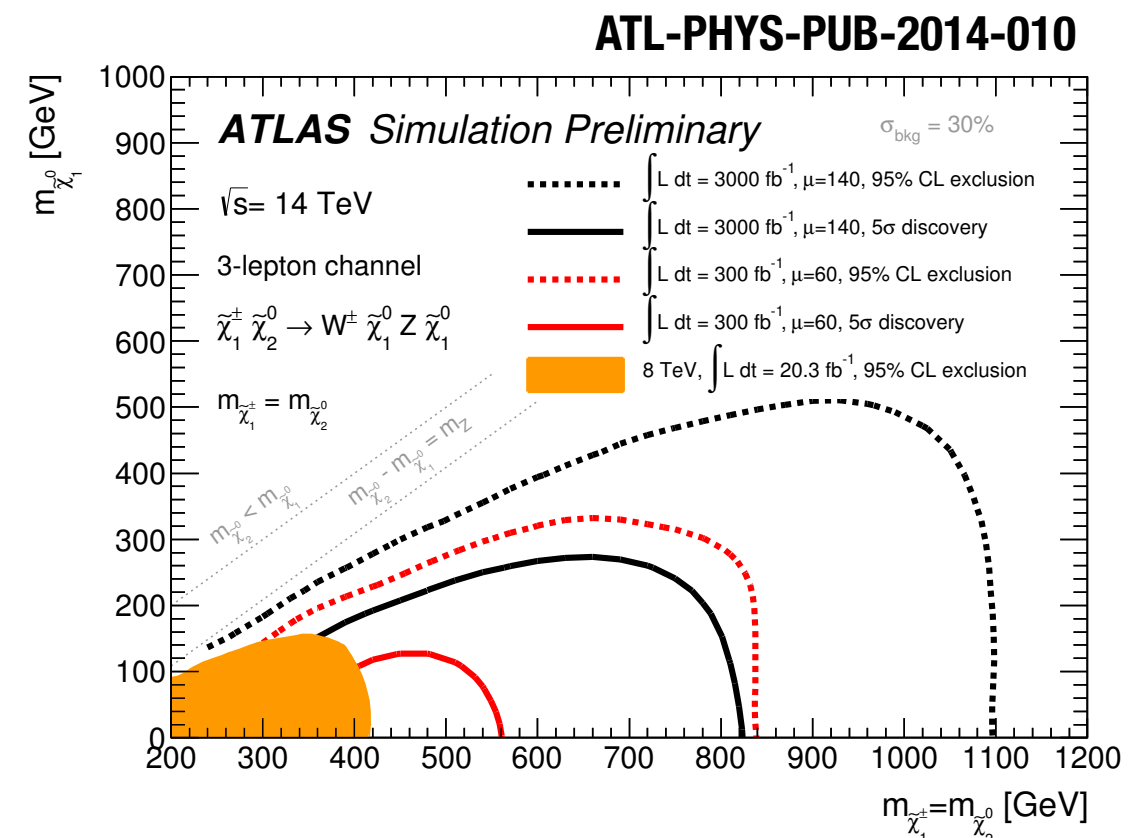
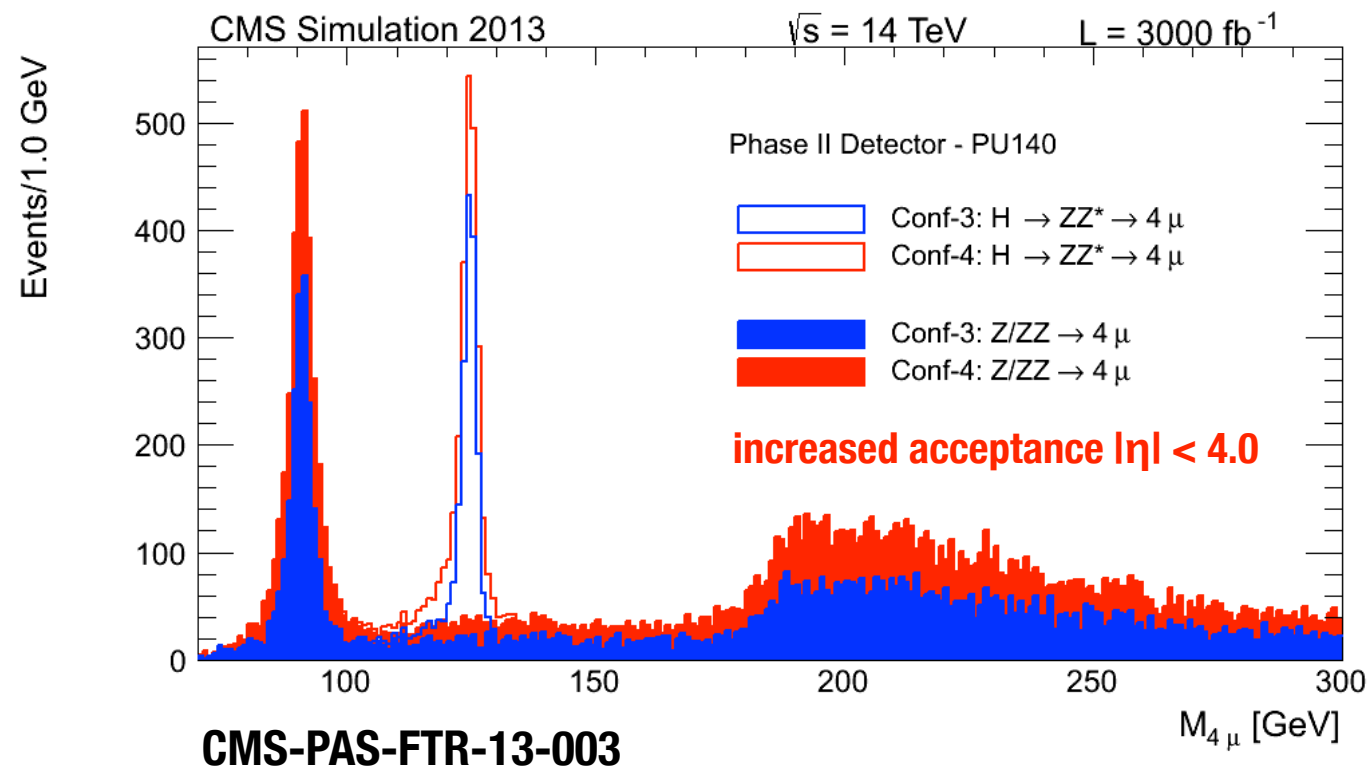
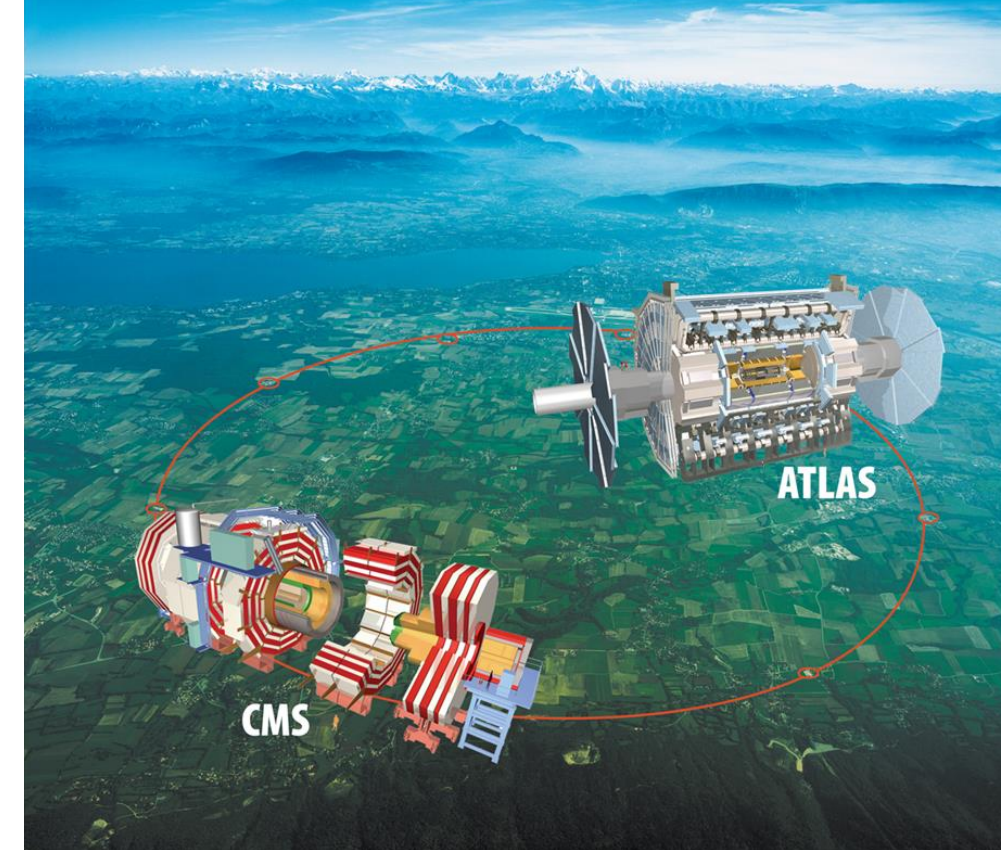
**Louise Skinnari (Cornell University)**  
*on behalf of the ATLAS & CMS collaborations*

**Physics at LHC and Beyond, Quy-Nhon, Vietnam, 10-17 August 2014**



# High-Luminosity LHC

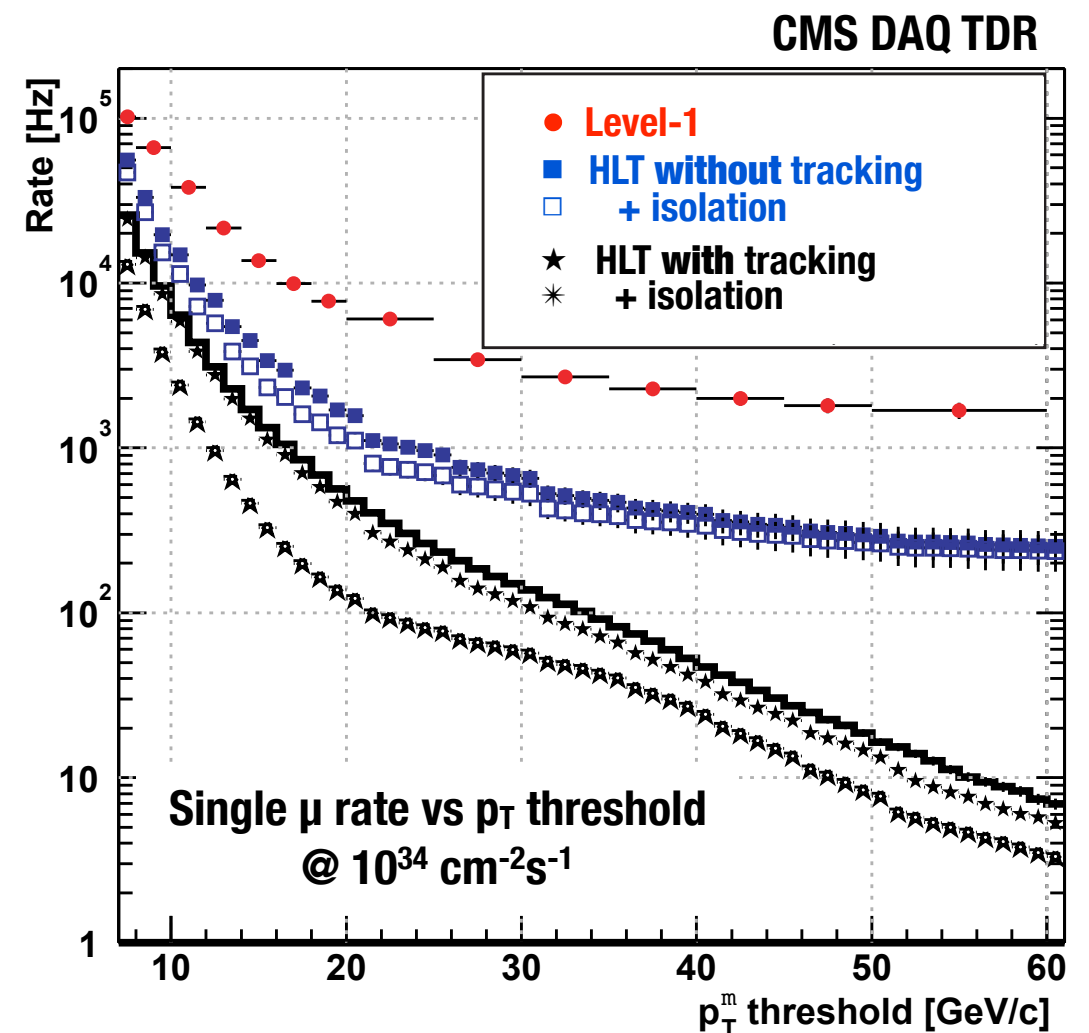
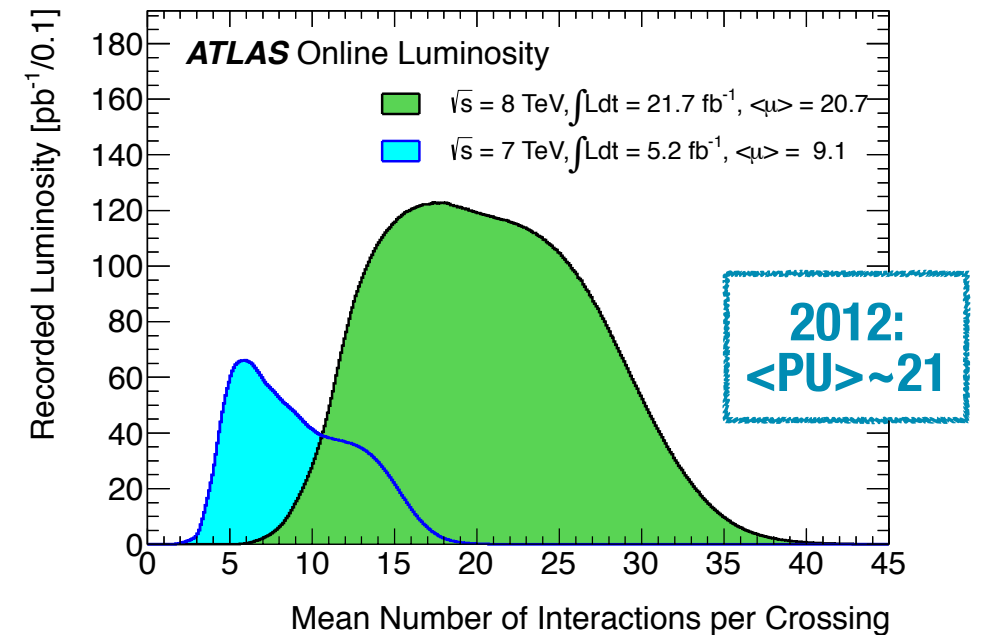
- Upgrade to High-Luminosity LHC (HL-LHC) foreseen for 2023-2025
  - Peak luminosity  $5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
  - Goal of  $3000 \text{ fb}^{-1}$  (10 years)
- Physics motivation
  - Precision measurements of Higgs properties, couplings & rare decays
  - Higgs self-coupling
  - Searches for SUSY & other BSM scenarios, rare processes, ...



# Why Tracking @ L1?

- Price for high instantaneous luminosity:  
 **$\langle \text{PU} \rangle = 140$** , reaching up to 200
- Physics goals rely on continued excellent detector performance & **trigger capabilities**
  - Must allow triggering on objects at EW scale
- With HL-LHC, single  $\mu$ , e, jet rates would exceed 100 kHz

Increasing thresholds  
limits physics potential  
+ alone insufficient!  
 **$\Rightarrow$  Tracking @ L1**



# *Tracking @ L1:* **Overview**

- Different approaches to incorporating tracking in L1 trigger
  - Region-of-interest (ATLAS)
  - Self-seeded tracking (CMS)
    - *Impacts tracker detector design*
- Technologies
  - Associative memory (AM) chip pattern recognition (ATLAS, CMS)
  - Tracking using FPGAs with tracklet-based approach (CMS)
- **With either approach, must combine L1 tracks with L1 objects for use in trigger!**



# Tracking @ L1: **ATLAS**

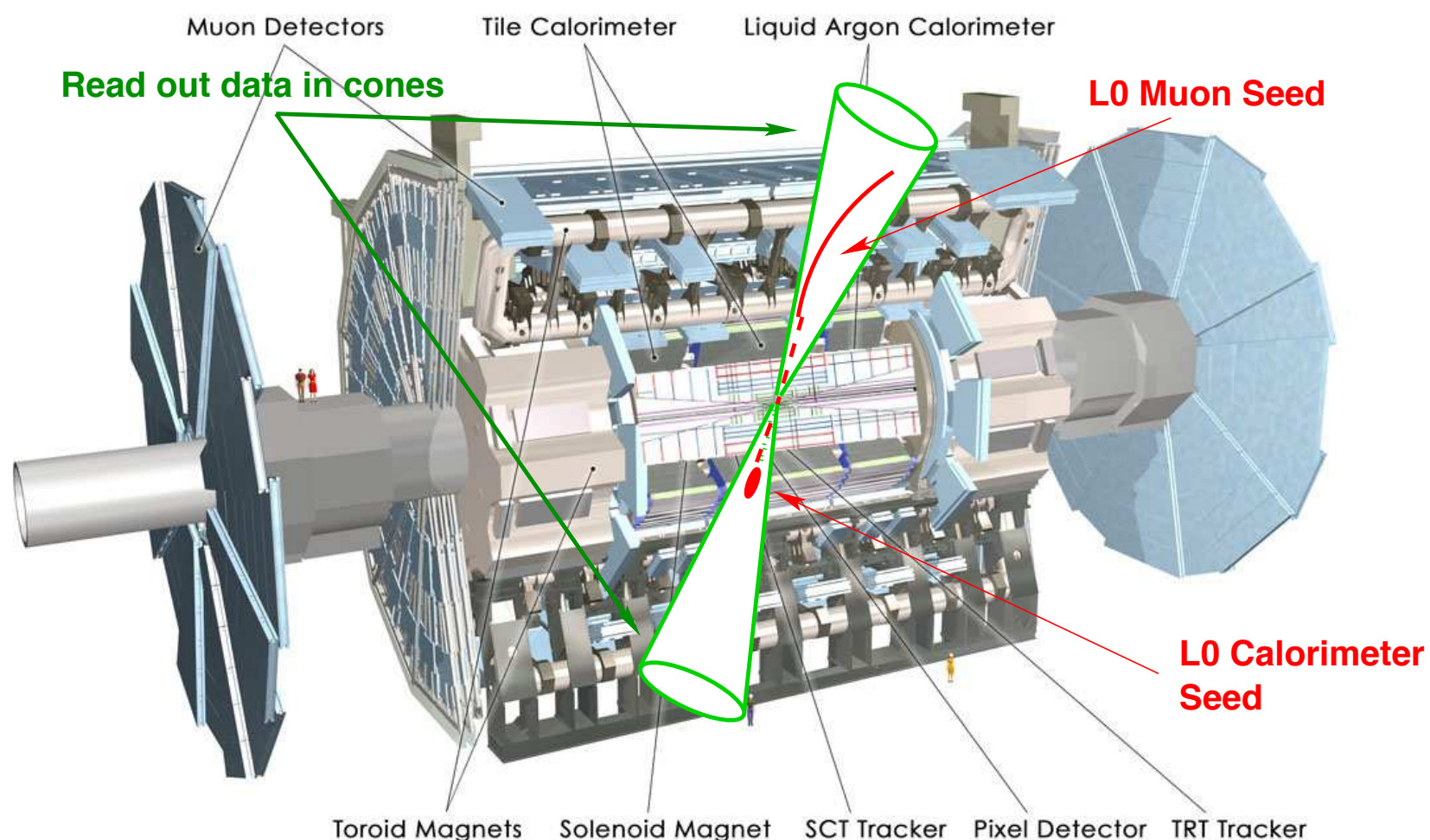
- **Region of Interests (Rols)**

- Split current L1 into two levels: **L0 (old L1) + new L1**
- L0 provides Rols (calorimeter & muon seeds) for new L1
- Partial tracker readout for Rol track finding, full readout at reduced rate
  - *Rols correspond to max ~10% of entire detector*

- Baseline trigger architecture

- L0 readout up to 1 MHz, 6  $\mu$ s latency
- L1 readout up to 400 kHz, 30  $\mu$ s latency

- Alternative self-seeded trigger considered as option in Phase-2 Lol, but not implemented in baseline tracker design



# Tracking @ L1: CMS

- **Self-seeded trigger**

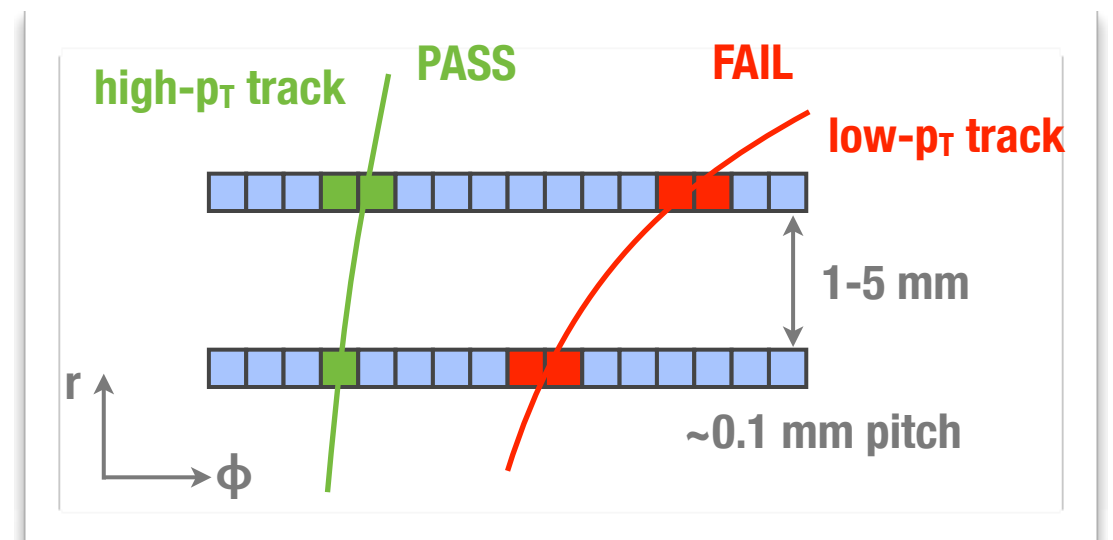
- Local  $p_T$  reconstruction
- Take advantage of strong CMS magnetic field ( $\sim 4T$ )
- **Goal:** Find tracks with  $p_T > 2$  GeV & identify  $z$  positions with  $\sim 1$  mm precision
  - *Similar to average vertex separation at  $PU=140$*

- **$p_T$  modules:**  $p_T$  discrimination through hit correlations between closely spaced sensors

- **Stubs:** Correlated pairs of hits, consistent with 2 GeV track
  - *In minimum-bias events, 95% of tracks have  $p_T \leq 2$  GeV*
- Data reduction of  $O(10)$  at stub level

- Trigger architecture

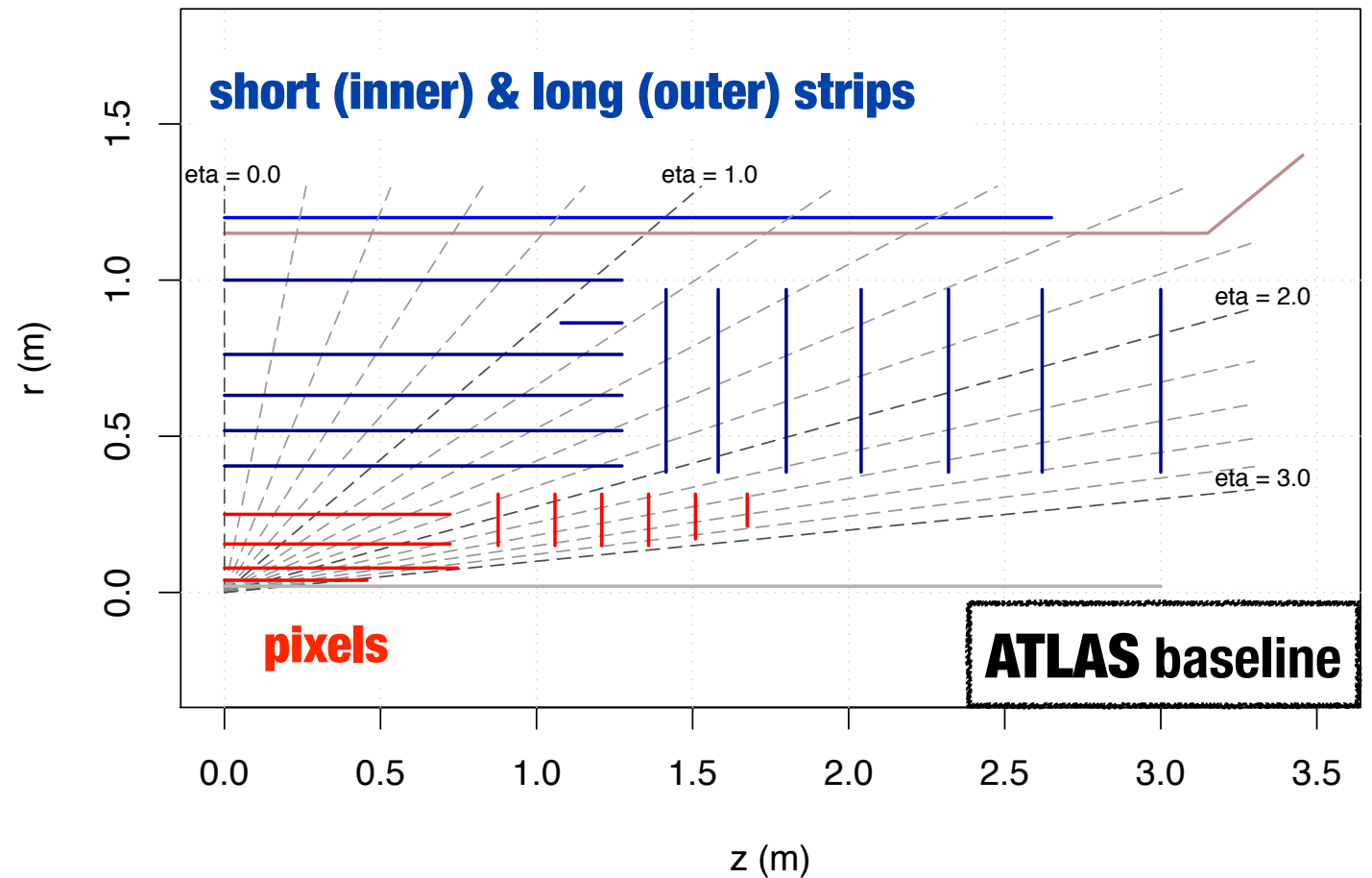
- L1 readout @ 500 kHz - 1 MHz with 10-20  $\mu s$  latency



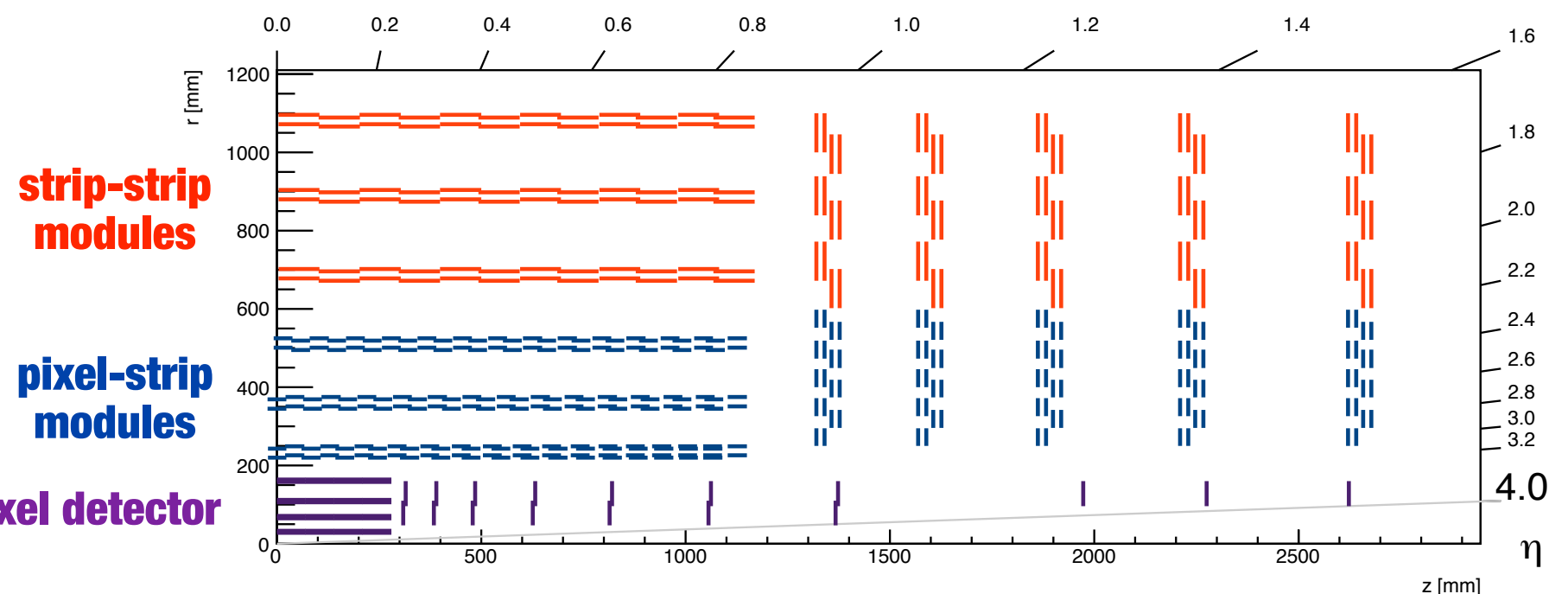
# Tracker Layouts

- Replace inner tracking systems to handle HL-LHC conditions
  - Radiation hard sensors
  - Finer granularity to keep occupancy low
  - Reduce material to improve performance
  - Data rate saturates available FE readout bandwidth
- All-silicon detectors

ATLAS Phase-2 Lol



CMS baseline



not used in L1  
track-triggering

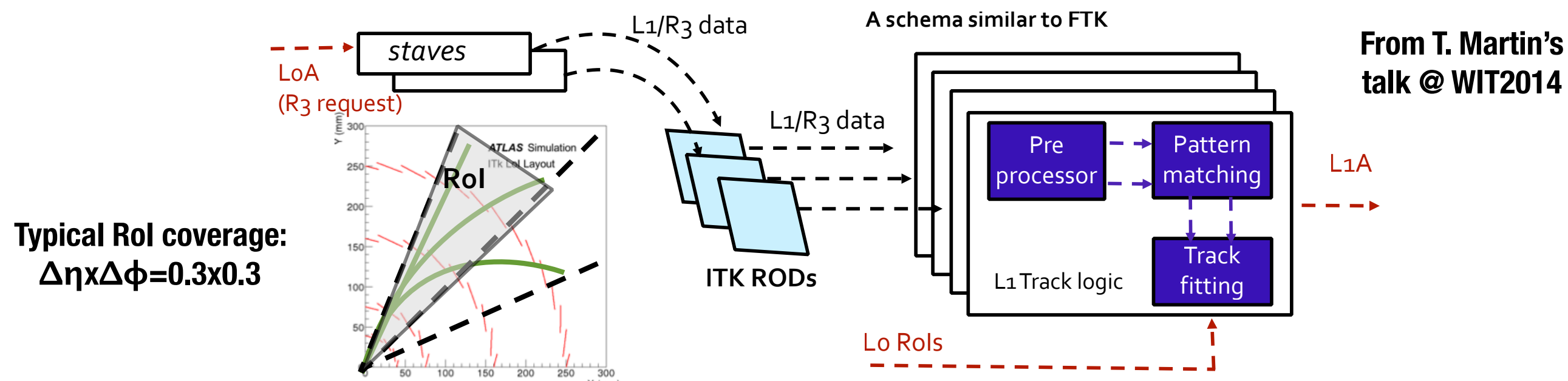


pixel detector

<http://mersi.web.cern.ch/mersi/layouts/.current/>

# *L1 Tracking:* **Region-of-Interests (ATLAS)**

- L0 accept → Regional Readout Request (R3) to relevant FEs, sends data to RODs → Sent to L1 Tracking



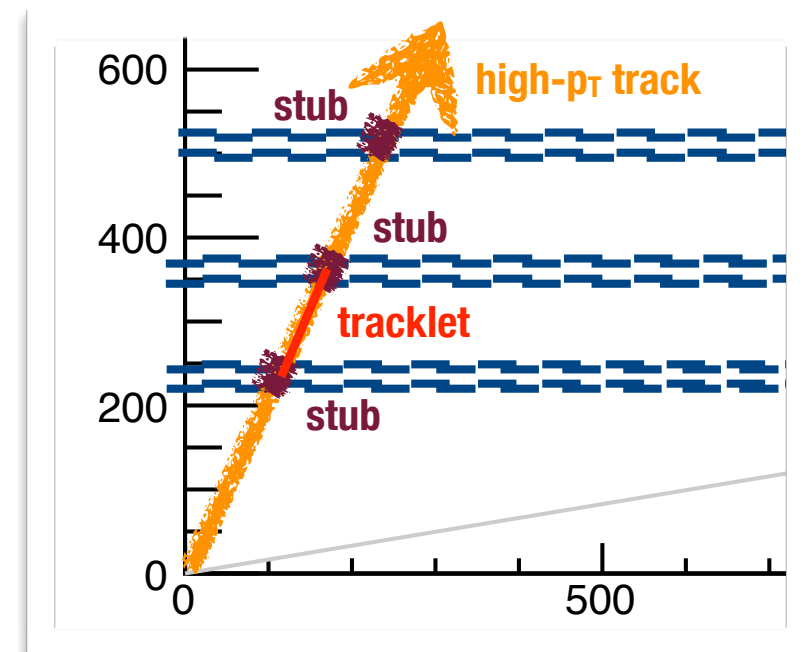
- L1 tracking follows ATLAS FTK principle
  - Pattern recognition with **associative memory (AM) chips**, track fitting using FPGAs
  - **FTK:** 8000 AM chips,  $10^9$  patterns
- L1 tracking vs FTK
  - Shorter latency
  - Higher PU + larger number of silicon layers requires many more stored patterns

← **ATLAS Fast Tracker (FTK)**  
to provide pre-fitted  
tracks for HLT in phase-1



# *L1 Tracking:* **Self-Seeded (CMS)**

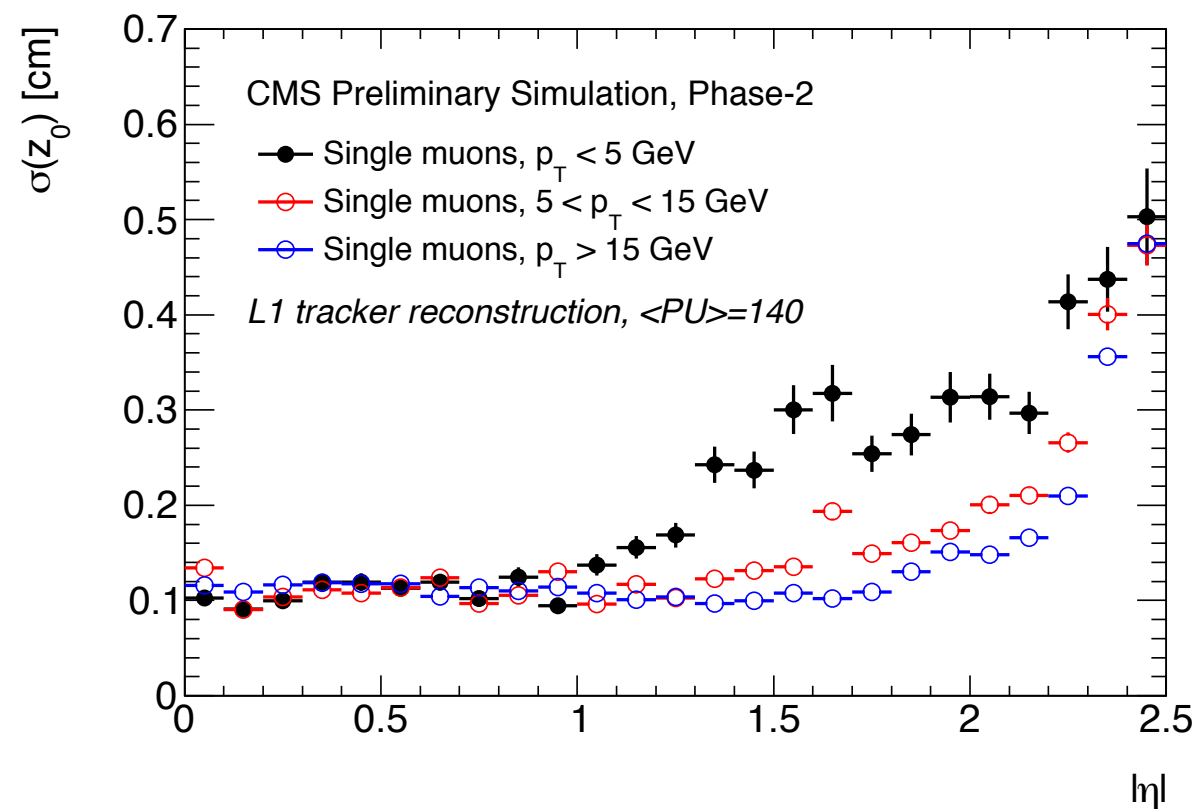
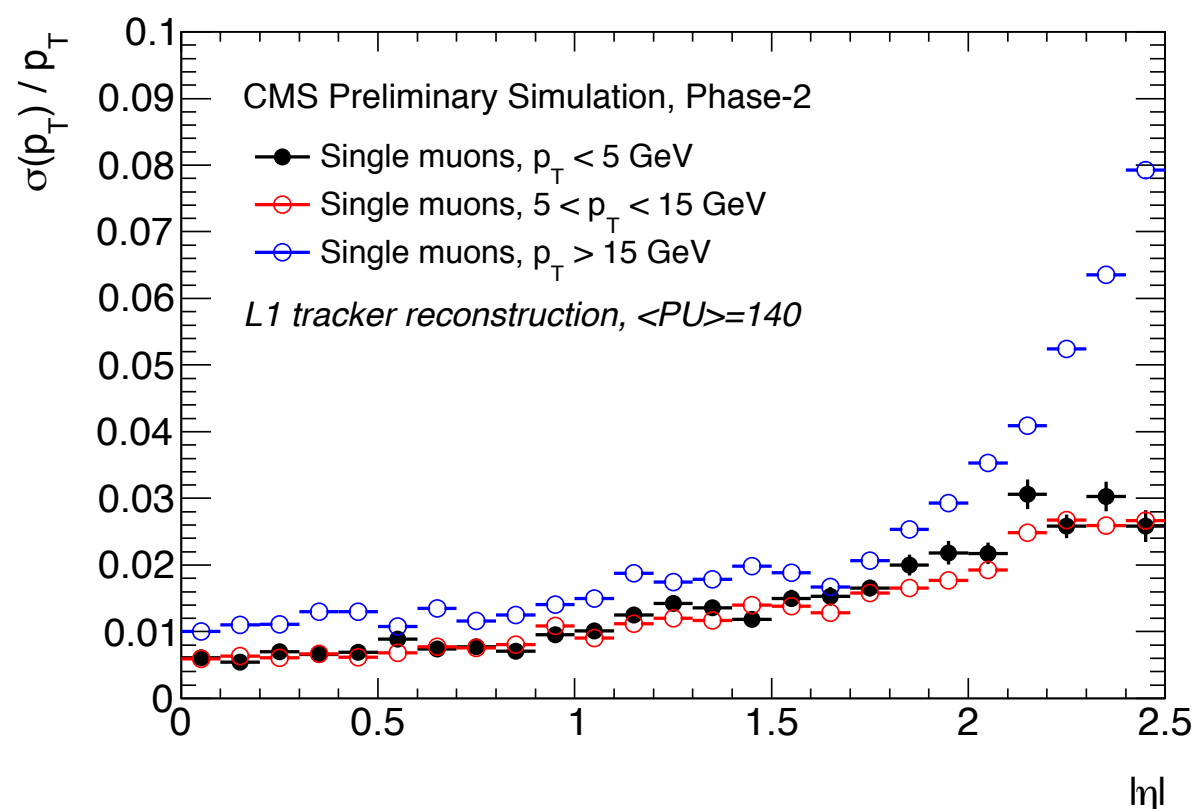
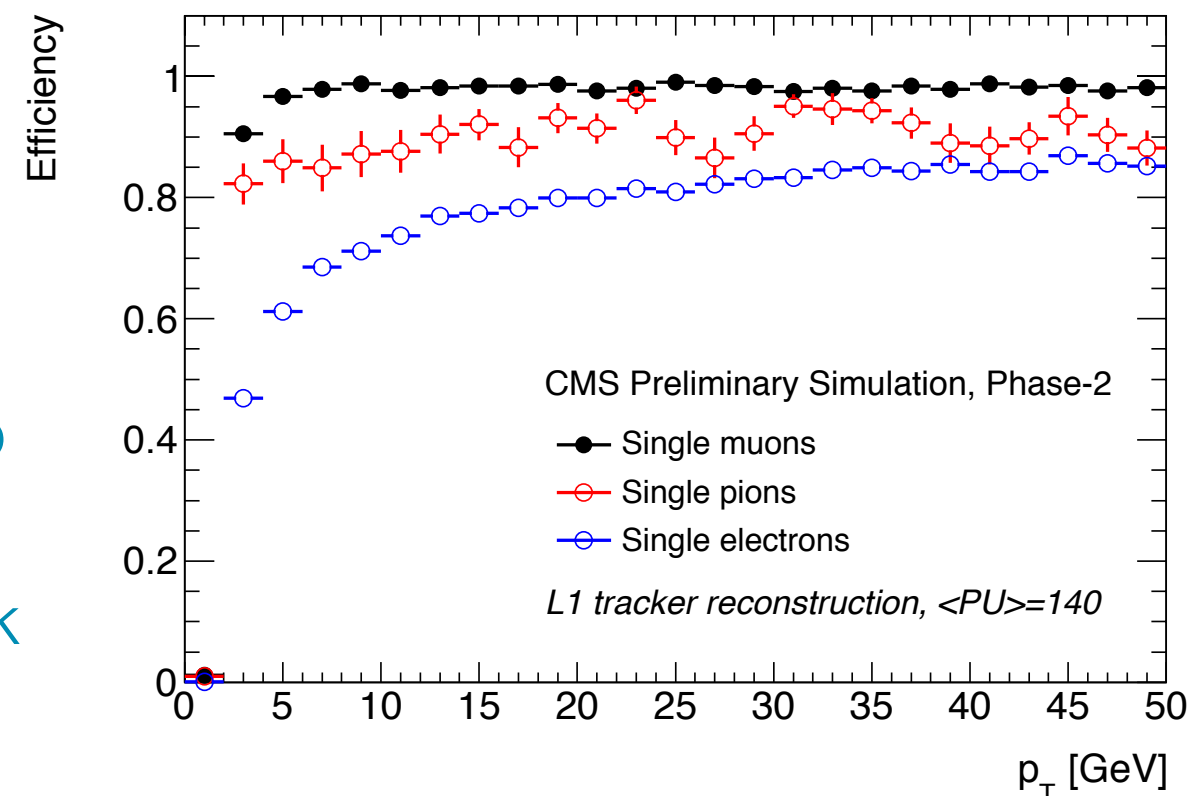
- Different approaches to L1 track finding, all using **stubs** as input
- **Associative memories**
  - Pattern-recognition with AM chips
    - *Stored “roads” filled with matching stub data*
  - Several track fitting methods studied
    - *Principle Component Analysis, Hough transform, Retina*
- **Tracklet method**
  - Use FPGAs to implement track finding @ L1
  - **Seed** by forming tracklets from pairs of stubs
  - **Project** to other layers/disks
  - **Fit** stubs matched to trajectory for track parameters (using linearized  $\chi^2$  fit)
- Evaluate expected L1 tracking performance using simulation



# *L1 Tracking:* Performance (CMS)

Shown for tracklet-based approach

- 99% efficiency for single muons
  - Lower efficiency for electrons, affected by brehmsstrahlung
- Resolutions
  - $\sigma(z_0) \sim 1\text{mm}$  for wide range of  $\eta$  thanks to PS modules
  - $\sigma(p_T)/p_T \sim 1\%$  at central  $\eta$  for high- $p_T$  track





# Toward Triggers

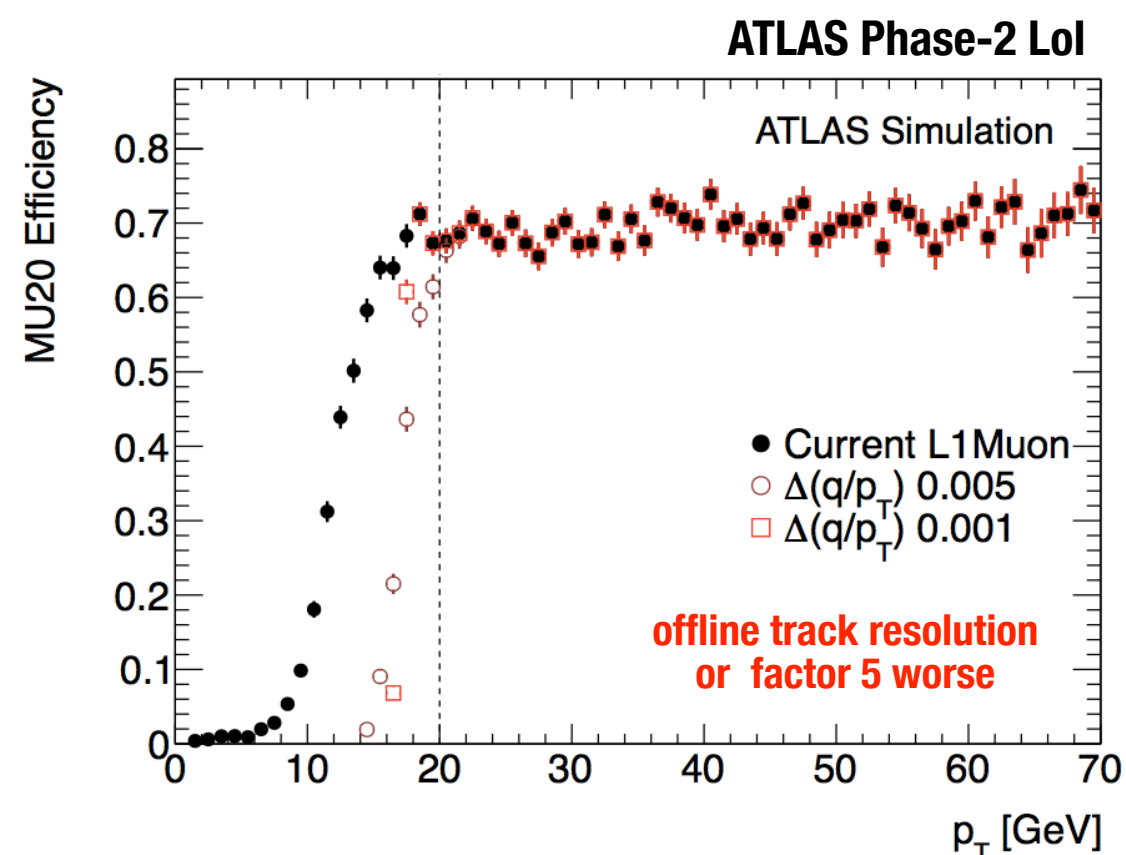
- How does L1 tracking help reduce trigger rates?
  - **Leptons...**
    - *Matching L1 objects to L1 tracks*
      - Improved  $p_T$  measurements
      - Determine  $z$  positions
    - *Add track isolation*
  - **Photons...**
    - *Add track isolation*
  - **Hadronic...**
    - *Determine jet  $z$  positions*
    - *Require jets to originate from common vertex to reject jets from PU interactions*
  - **Primary vertex & track MET**

# Using L1 Tracks: $e/\mu$ (ATLAS)

- Significant rate reductions for single-lepton triggers w.r.t. L0 rate
  - Muon  $p_T$  turn-on curve sharpened
  - Track-matched EM trigger rejects background from EM energy deposits from  $\pi^\pm$  & photons from  $\pi^0$ 
    - Factor  $\sim 5$  in rate single  $e/\mu$  trigger

**ATLAS Phase-2 Lol Draft Trigger Menu**

Object(s)	Trigger	Estimated Rate	
		no L1Track	with L1Track
$e$	EM20	200 kHz	40 kHz
$\gamma$	EM40	20 kHz	10 kHz*
$\mu$	MU20	$> 40$ kHz	10 kHz
$\tau$	TAU50	50 kHz	20 kHz
$ee$	2EM10	40 kHz	$< 1$ kHz
$\gamma\gamma$	2EM10	as above	$\sim 5$ kHz*
$e\mu$	EM10_MU6	30 kHz	$< 1$ kHz
$\mu\mu$	2MU10	4 kHz	$< 1$ kHz
$\tau\tau$	2TAU15I	40 kHz	2 kHz
Other	JET + MET	$\sim 100$ kHz	$\sim 100$ kHz
Total		$\sim 500$ kHz	$\sim 200$ kHz





# Using L1 Tracks: $e/\mu$ (CMS)

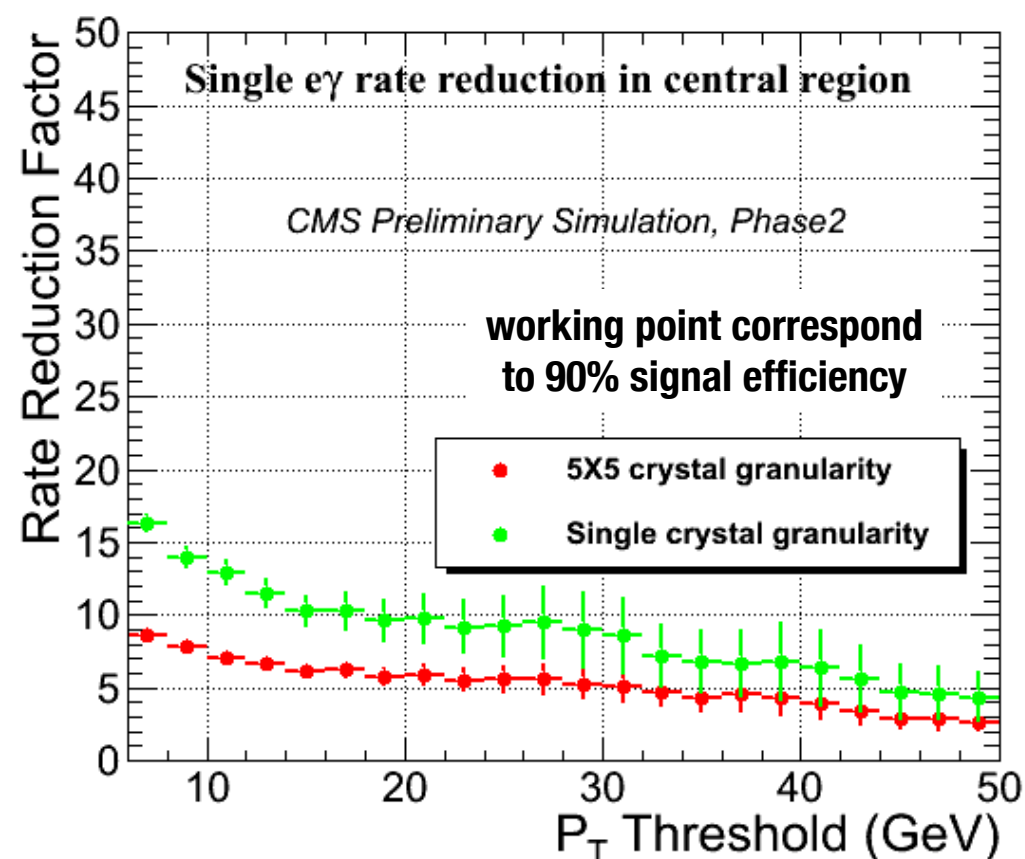
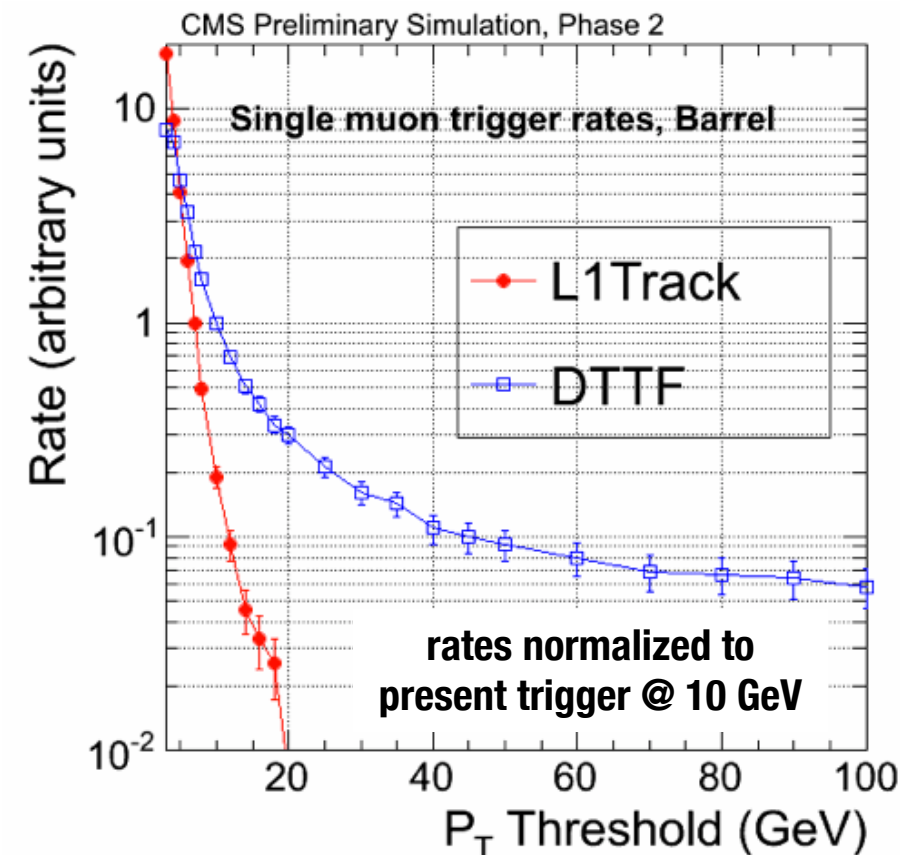
- **Muons**

- Rates flatten at high thresholds
- Matching to L1 tracks  $\rightarrow$  factor  $>10$  reduction for  $p_T > 14$  GeV (w/o track isolation)

- **Electrons**

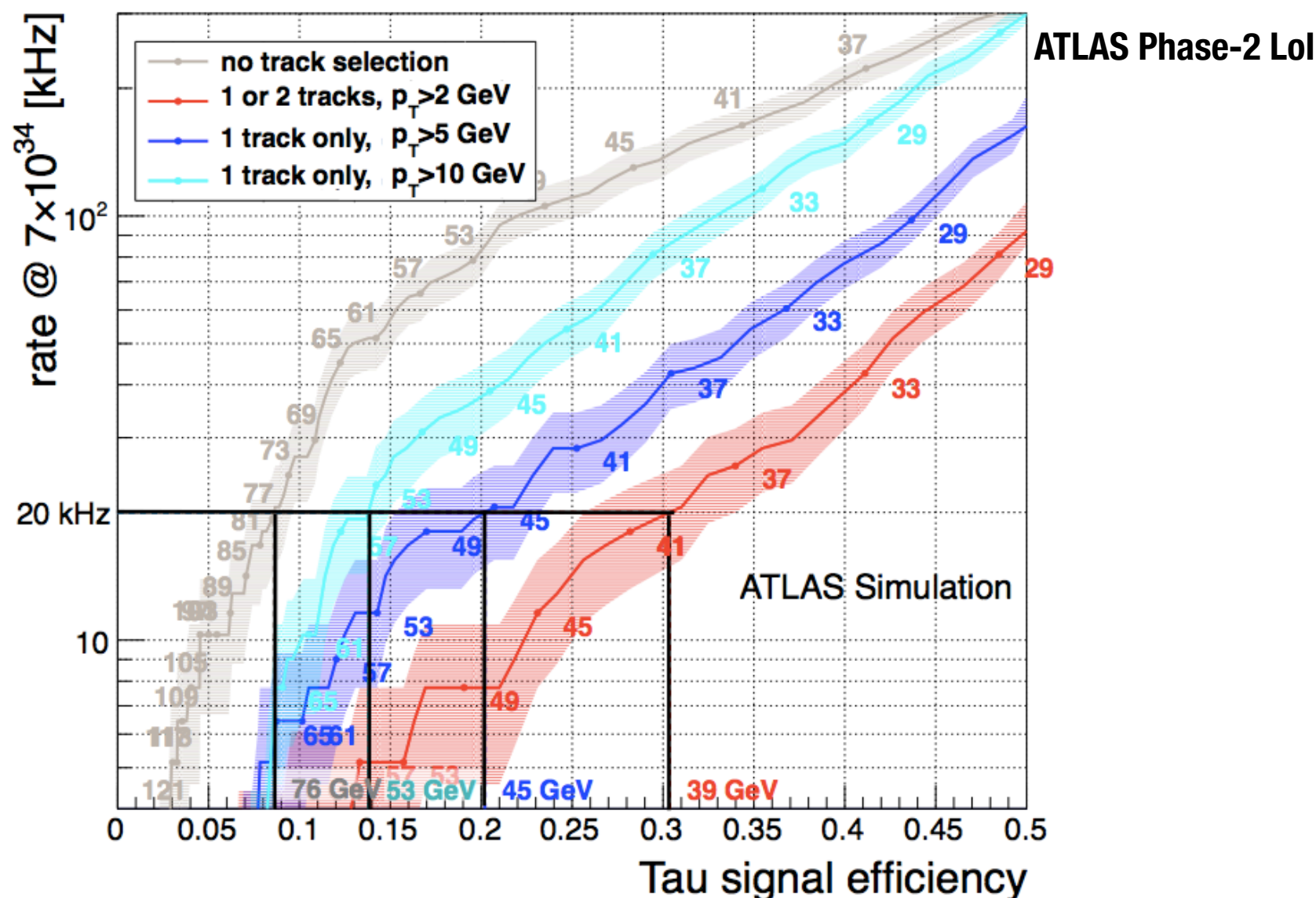
- Match L1  $e/\gamma$  objects to stubs
- Factor  **$\sim 10$  ( $\sim 6$ )** rate reduction for **single** (**current 5x5**) crystal granularity @ 20 GeV

- *Additional factor  $\sim 2$  rate reduction with L1 track-based isolation*



# Using L1 Tracks: **Taus (ATLAS)**

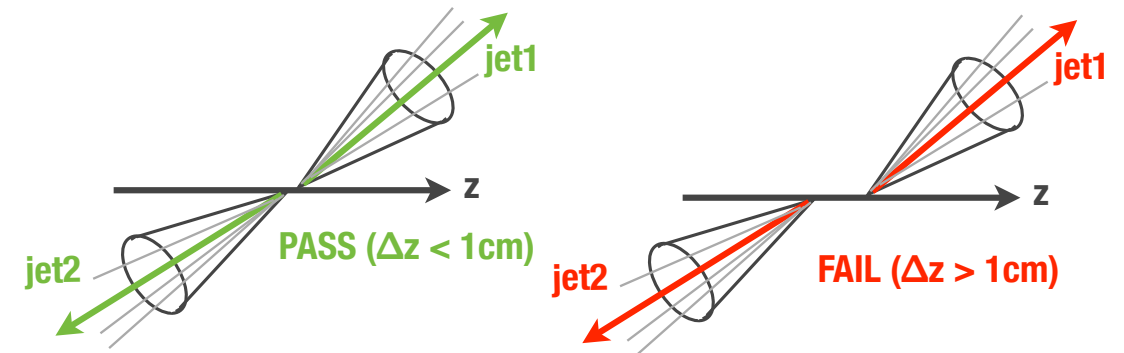
- Rate vs L1 tau finding efficiency for taus from 120 GeV Higgs signal
- Single L1 tau trigger @ 20 kHz
  - **Without tracking:** 79 GeV threshold - 8% signal efficiency
  - **With 1-2 tracks ( $p_T > 2$  GeV):** 39 GeV threshold - 30% signal efficiency



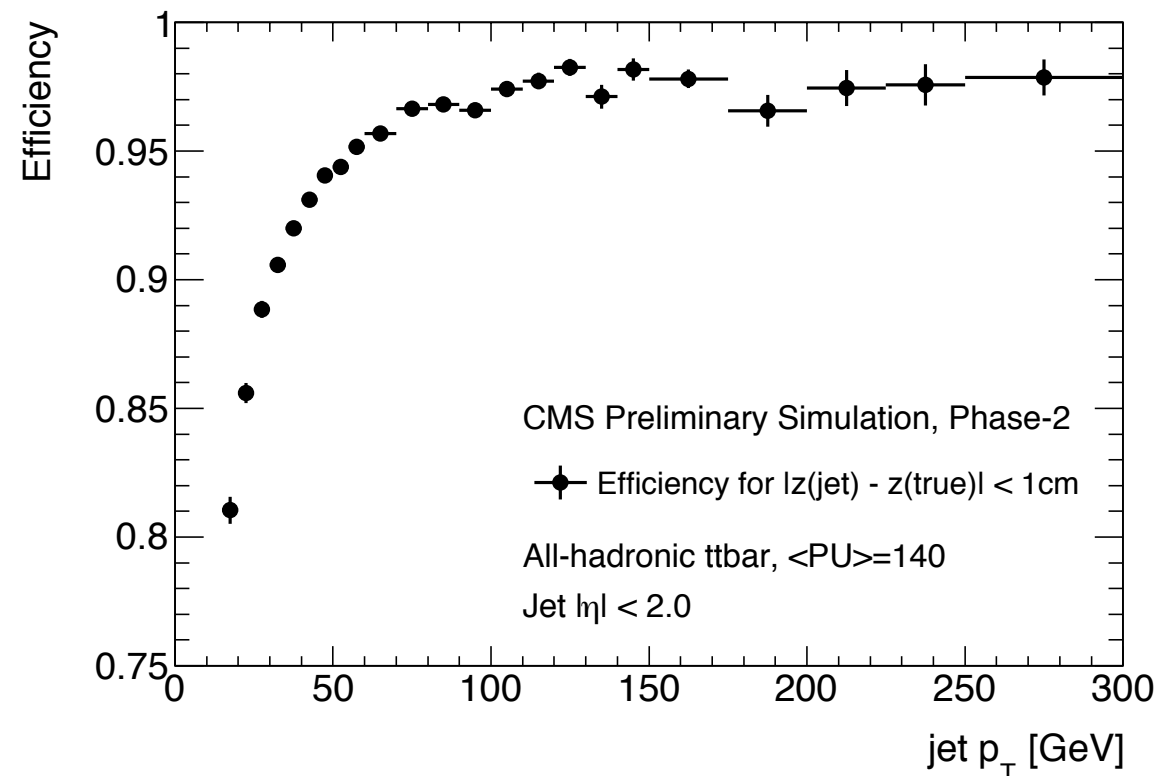


# Using L1 Tracks: **Jets (CMS)**

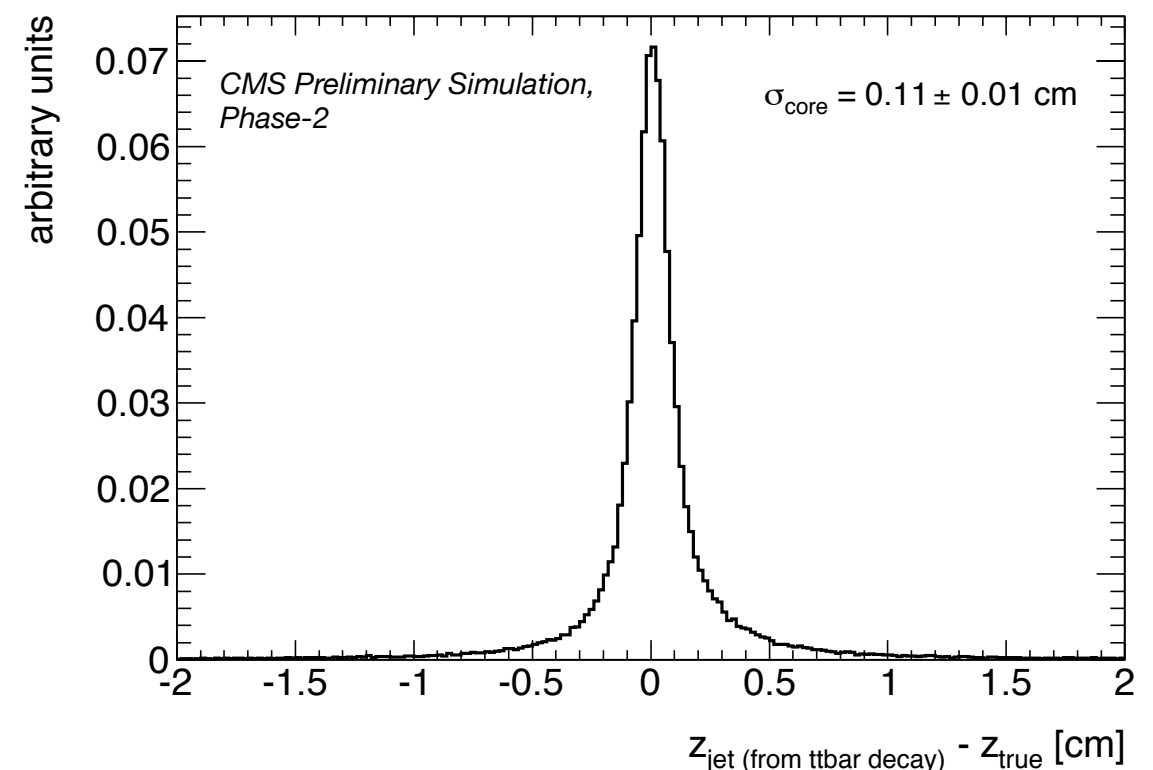
- Associate jets to nearby L1 tracks to measure jet's z position
- Define hadronic triggers requiring jet vertex consistency
  - Multijet triggers
  - $H_T$  (missing  $H_T$ ) triggers,  $H_T = \sum(\text{jet } p_T)$



**High efficiency to measure jet z position**

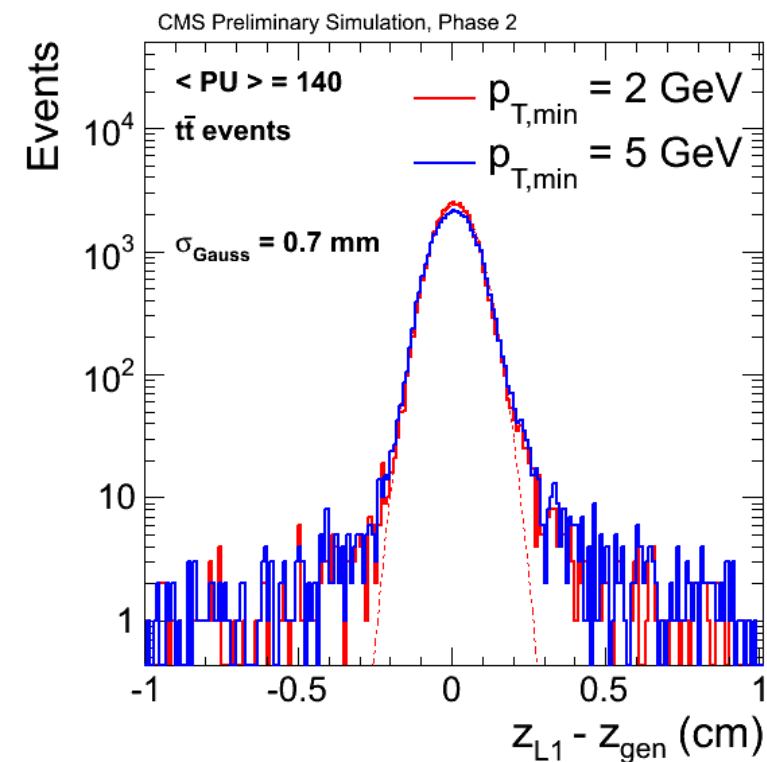
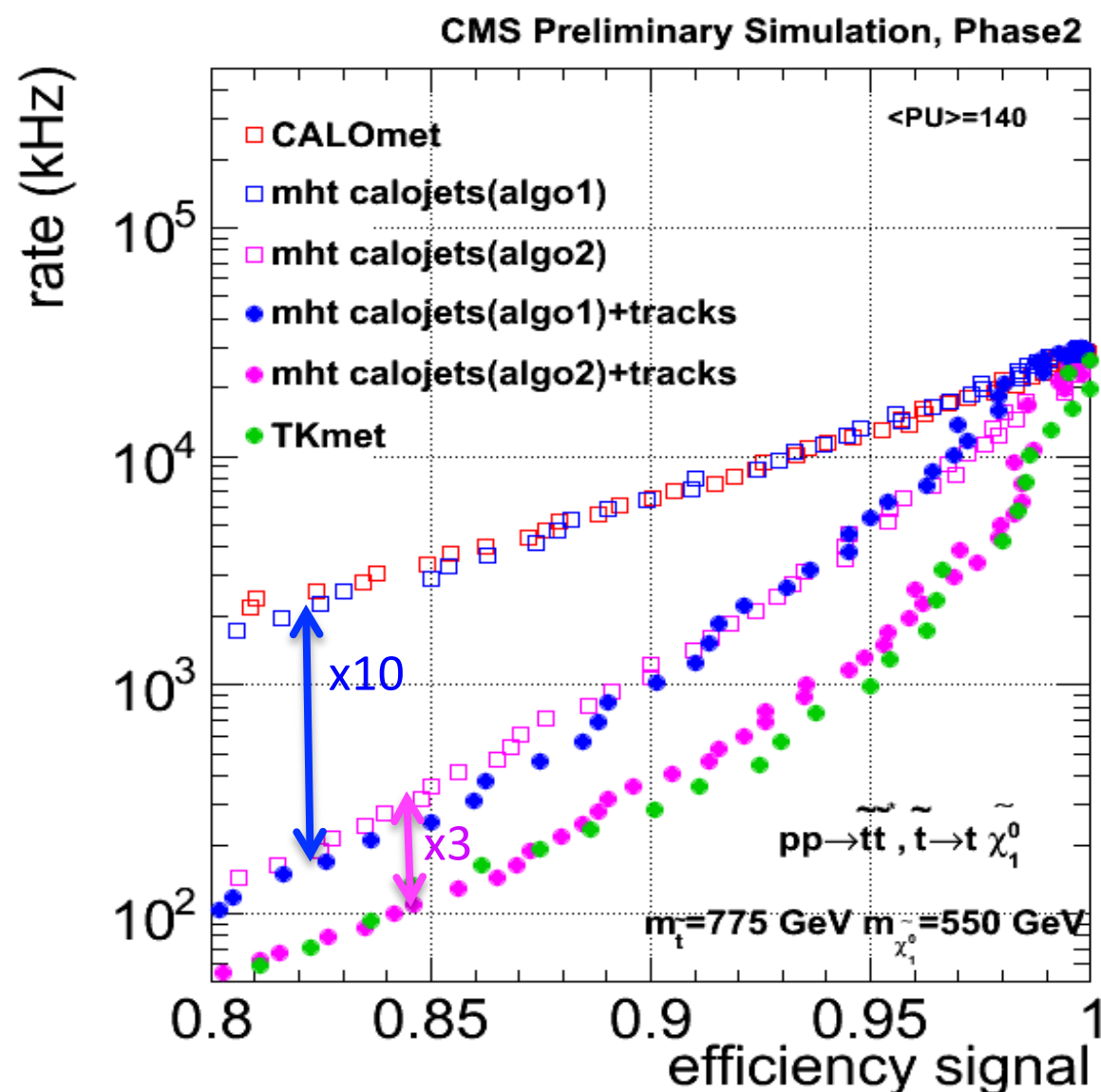


**~1mm z resolution (all-hadronic  $t\bar{t}$ bar)**



# Using L1 Tracks: Track MET (CMS)

- Use L1 tracks to reconstruct primary vertex
  - $<1\text{mm}$  resolution for high track multiplicity events
- Define “track MET” using L1 tracks from primary vertex



- Rate reductions for SUSY signal
  - Stop pair production with hadronic top decays
  - Missing  $H_T$  with/without vertex association
    - Two L1 calorimeter jet algorithms, different PU subtraction methods
  - Sizable rate reductions!



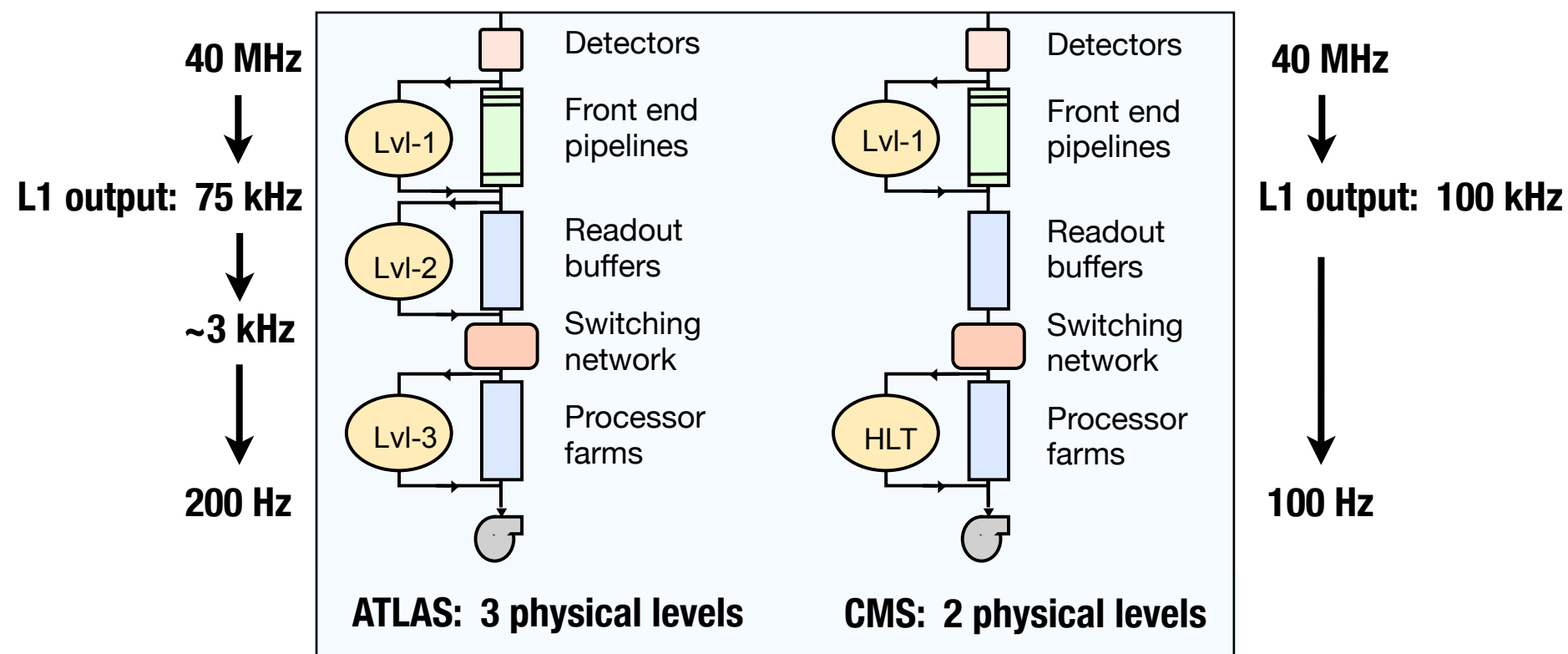
# Conclusions

- HL-LHC
  - High instantaneous luminosity with  $\sim 3000 \text{ fb}^{-1}$  collected over 10 year period
  - Challenging environment for the experiments with  $\langle \text{PU} \rangle = 140$
- Maintaining high efficiencies while keeping event rates under control requires tracking @ L1 trigger
  - Single  $e/\mu$  rates can be reduced by factor of  $O(10)$
  - Powerful also for hadronic triggers
- Different approaches to L1 tracking studied
  - Region-of-interest seeded (ATLAS)
  - Self-seeded track trigger (CMS)
- **Incorporating tracking information in L1 trigger important to achieve necessary rate reductions as driven by the physics!**

**BACKUP**

# ATLAS & CMS: Trigger System

- Current trigger systems
  - **L1 trigger**
    - *Hardware-based, implemented in custom-built electronics*
    - *Muon & calorimeter information with reduced granularity, no tracking information*
  - **High-Level Trigger (HLT)**
    - *Software-based, executed on large computing farms*
    - *Tracking information & full detector granularity*
    - *ATLAS use level-2 & event filter, CMS single-step HLT*



**L1 trigger decision  
in ~2.5 (4)  $\mu$ s for  
ATLAS (CMS)**



# ATLAS & CMS: Phase-1/2 Upgrades

- **Phase-1 upgrades (2018-2019)**

- **ATLAS**

- *Fast Track Trigger (FTK) @ Level 1.5*
    - *New small muon wheel*
    - *Finer granularity in L1 calorimeter trigger*

- **CMS**

- *Upgraded L1 trigger system - improved high-PU performance*
    - *New pixel detector*
    - *Upgrade hadronic calorimeter (HCAL) electronics & photodetectors*

- **Phase-2 upgrades (2023-2025)**

- **ATLAS / CMS**

- *All new tracker detector (ATLAS, CMS)*
    - *Triggering @ L1 (ATLAS, CMS)*
    - *Upgrade muon/calorimeter electronics (ATLAS)*
    - *Upgraded endcap calorimeters (CMS)*
    - *Upgrade barrel calorimeter front-end electronics (CMS)*