

# Measurements of $\nu_{\mu}$ Charged Current Cross Sections Using the NOvA Prototype Detector

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NuFACT 2014  
Glasgow, Scotland



# The NOvA Experiment

## Far Detector

14 kt liquid scintillator tracking calorimeter, located on the surface



## NuMI Beam

- upgrade from 360 kW to 700 kW in progress
- 120 GeV protons strike a graphite target to produce pions and kaons
- forward (reverse) horn current mode produces a mostly  $\nu_\mu$  (anti- $\nu_\mu$ ) beam
- off-axis spectrum is sharply peaked around 2 GeV



designed to make precision measurements of  $P(\nu_\mu \rightarrow \nu_e)$  and  $P(\nu_\mu \rightarrow \nu_\mu)$



## Near Detector

nearly identical, 300 ton underground detector, located 1 km from source





# The NOvA Experiment

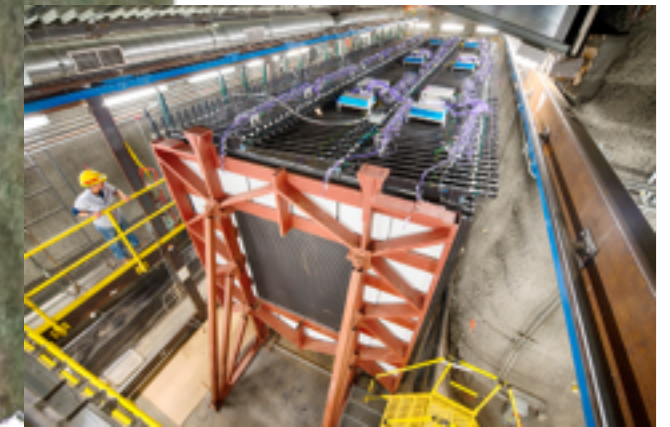
## Far Detector

14 kt liquid scintillator tracking calorimeter, located on the surface



designed to make precision measurements of  $P(\nu_\mu \rightarrow \nu_e)$  and  $P(\nu_\mu \rightarrow \nu_\mu)$

For more information on the NOvA Experiment, see the talk by Xuebing Bu, (60) "The NOvA Experiment"



## Near Detector

nearly identical, 300 ton underground detector, located 1 km from source





# The NOvA Prototype Detector “Near Detector on the Surface (NDOS)”



Designed to test:

- detector component construction and installation procedures
- all detector systems end-to-end

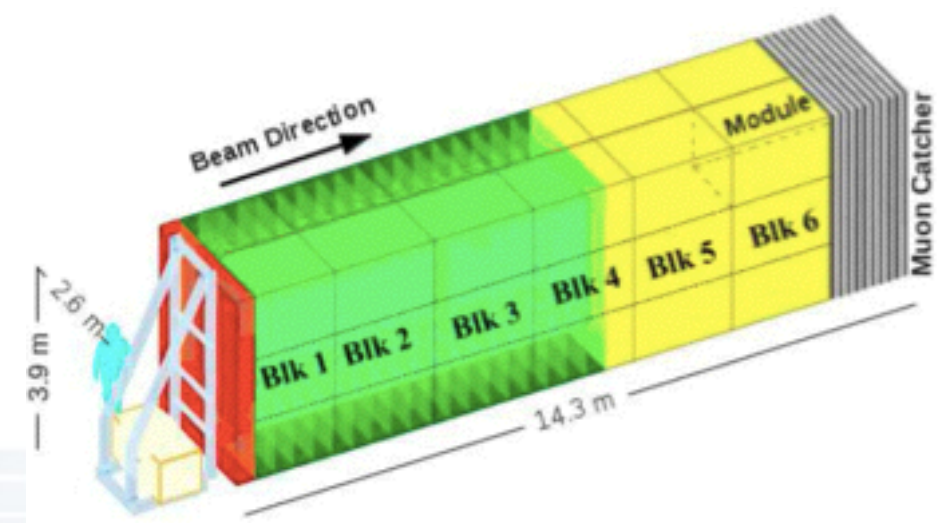
Located  $6.1^\circ$  ( $110$  mrad) off-axis above the NuMI beamline

225 tons,  $\sim 3\text{m}$  (w) x  $\sim 4\text{m}$  (h) x  $\sim 14\text{m}$  (L),  
199 planes of LS-filled PVC modules

Muon catcher at end of detector used to measure energy of muons

Installation was completed May 9, 2011.

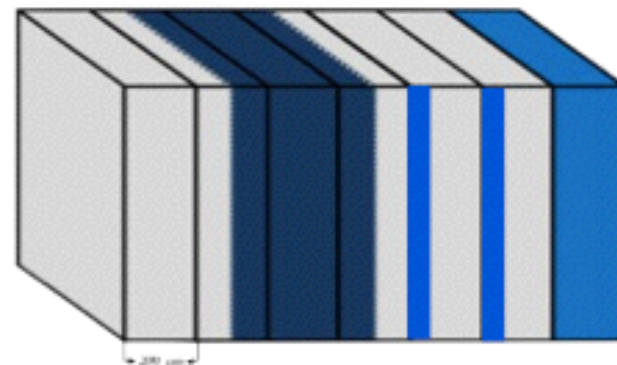
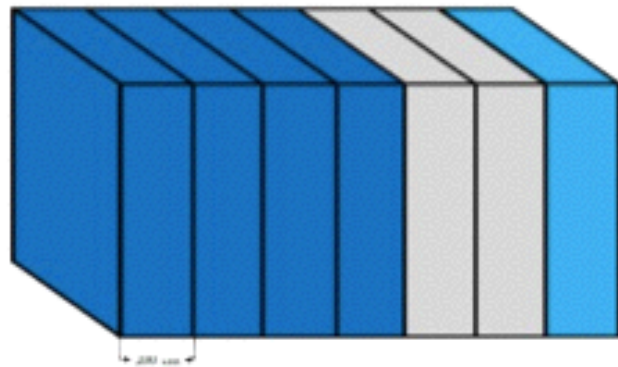
Commissioning and data collection has been on-going since November 2010.







# The NOvA Prototype Detector “Near Detector on the Surface (NDOS)”

Configuration 1

Configuration 2



-  Fully instrumented
-  Mostly instrumented
-  Partially instrumented
-  Uninstrumented

Designed to test:

- detector component construction and installation procedures
- all detector systems end-to-end

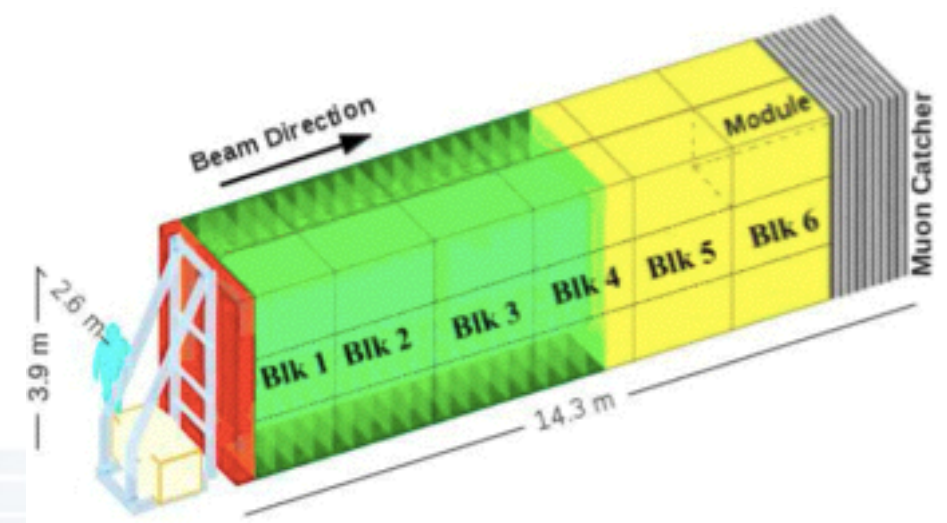
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Muon catcher at end of detector used to measure energy of muons

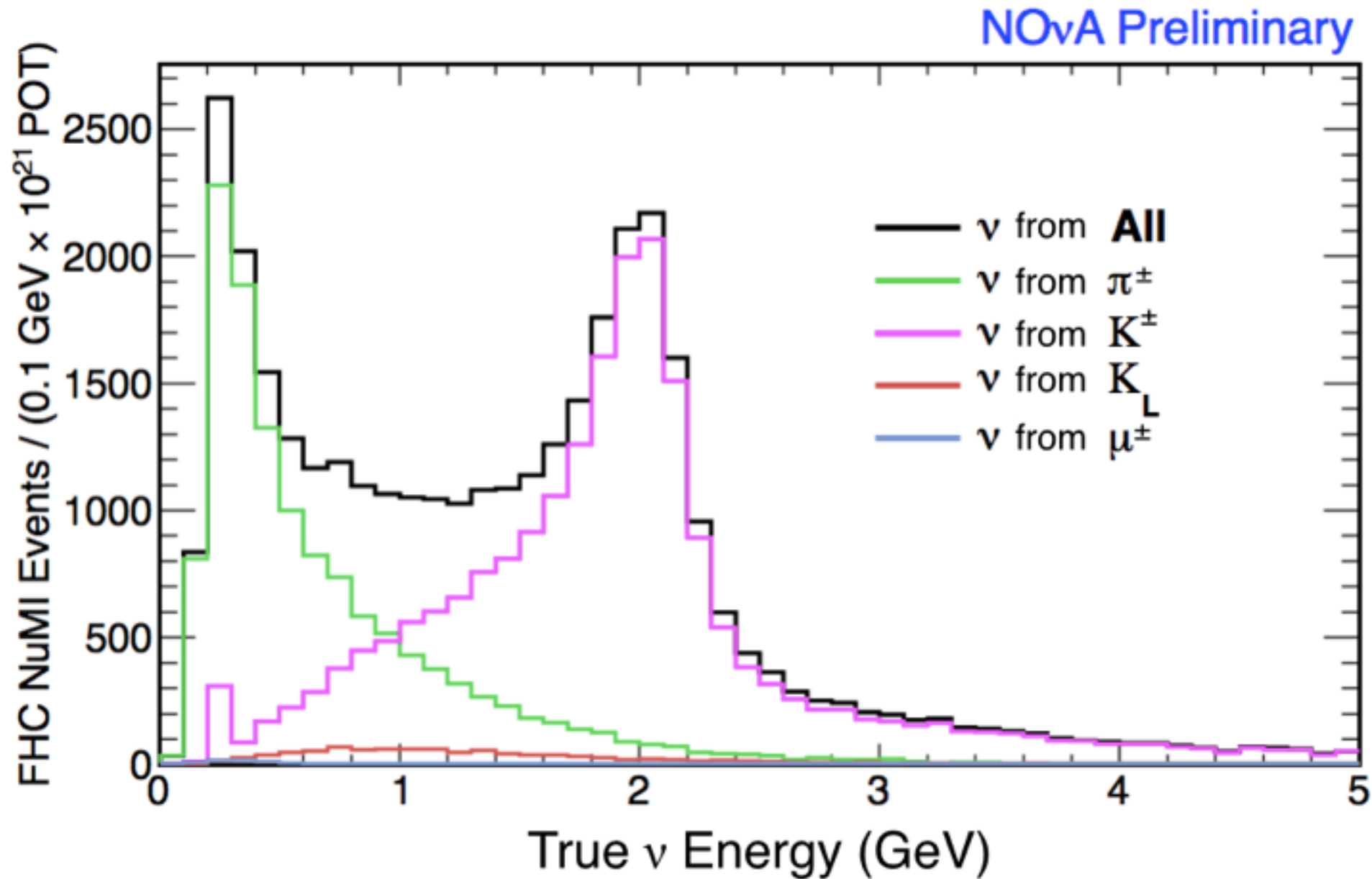
Config 1 (Apr `11-May `11):  $9.6 \times 10^{18}$  POT

Config 2 (Oct `11-Apr `11):  $1.7 \times 10^{20}$  POT



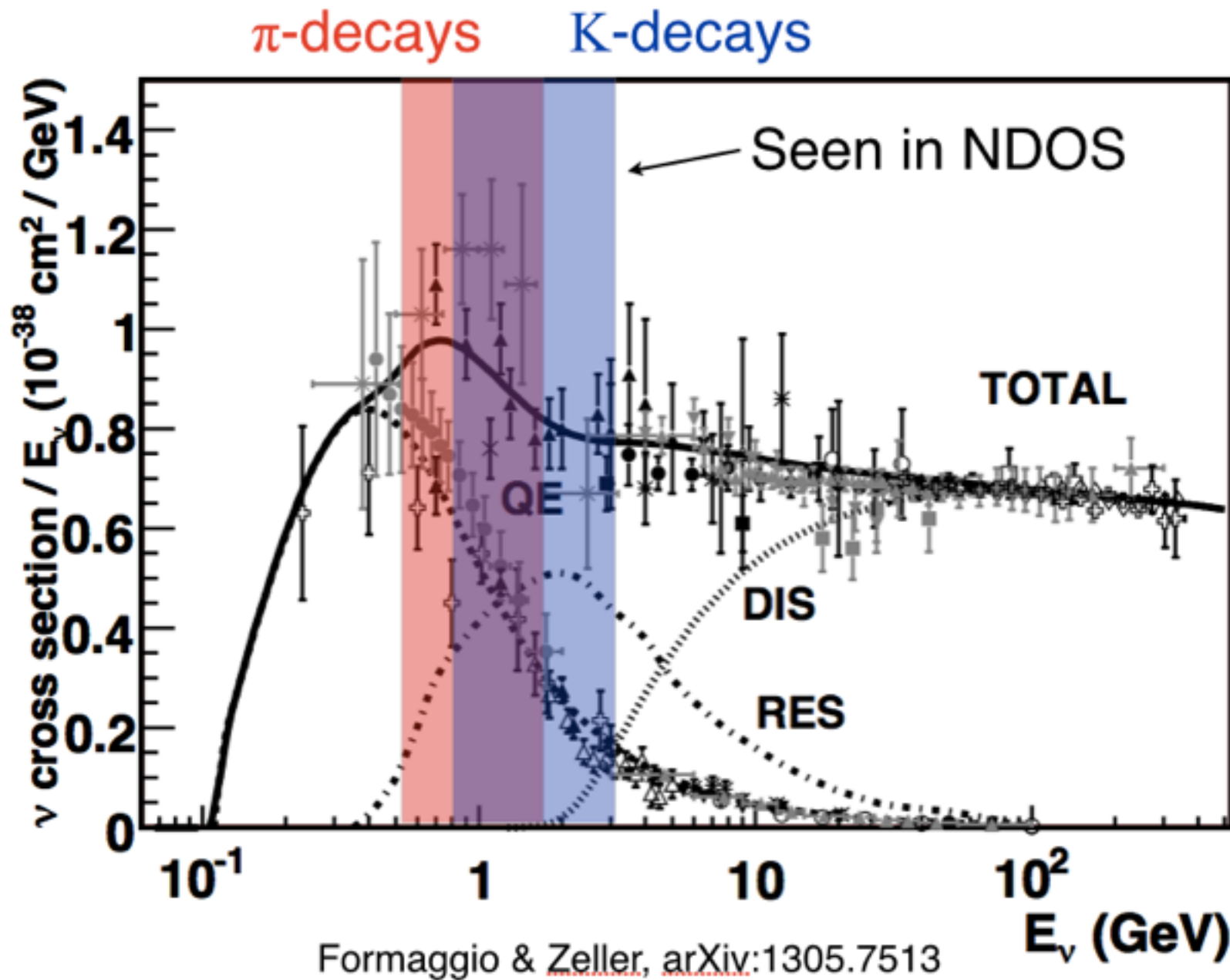


# NDOS NuMI Beam Spectrum



$\nu$  energy spectrum observed with NDOS has two peaks, the pion peak around 0.3 GeV and the kaon peak around 2 GeV.

# NDOS Cross Section Measurements



NDOS is sensitive to energies where quasi-elastic (QE) and resonance (RES) interactions dominate. At the lower energies, the neutrinos come mostly from pion decays, while at the higher energies, the neutrinos come mostly from kaon decays.

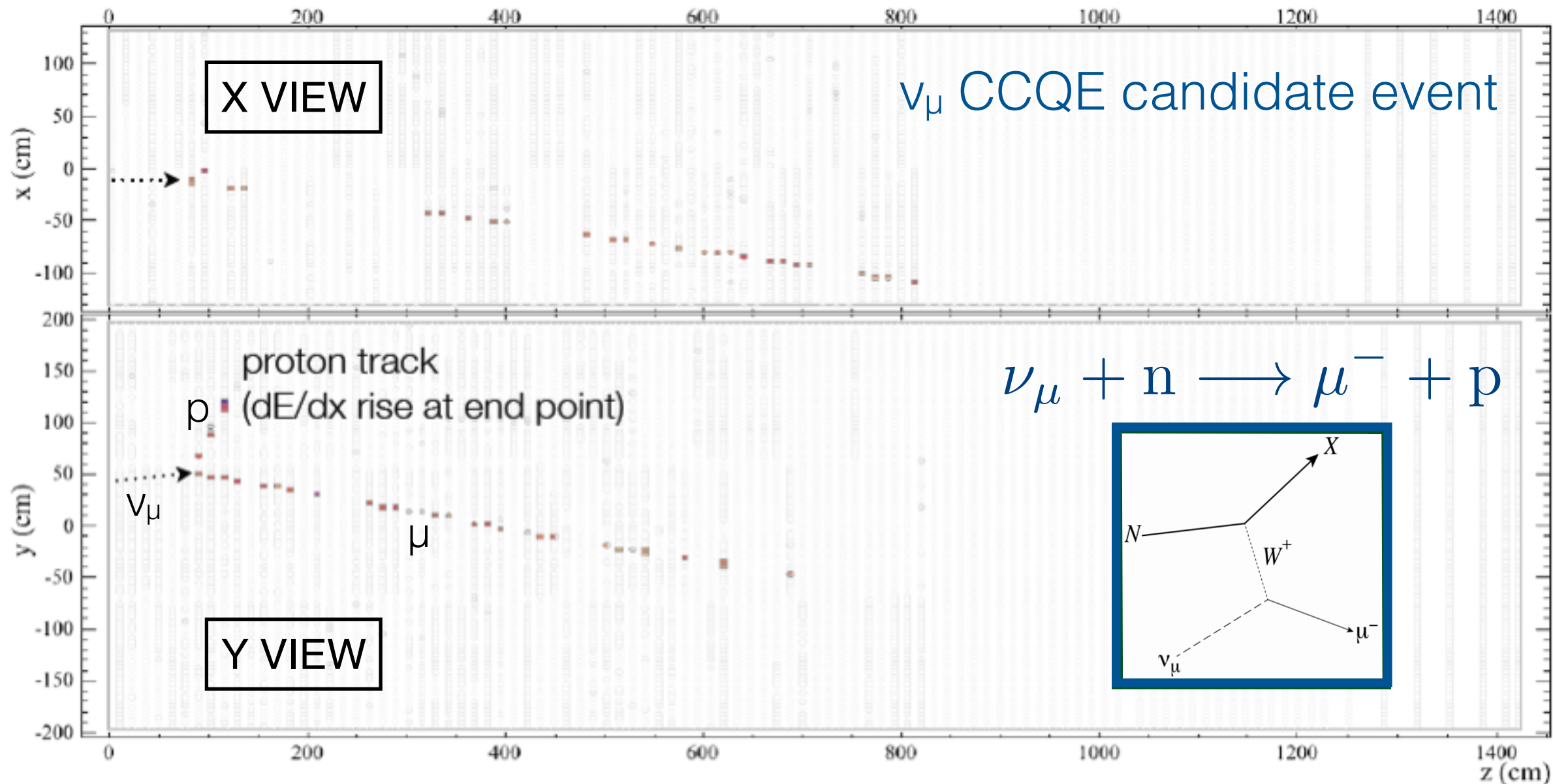
Measurement of the  $\nu_{\mu}$  Charged Current  
Quasi-elastic (CCQE)  
Cross Section

Minerba Betancourt, Ph.D. Thesis  
University of Minnesota, June 2013

<http://nova-docdb.fnal.gov/cgi-bin/RetrieveFile?docid=9359>



# $\nu_\mu$ CCQE Event Selection



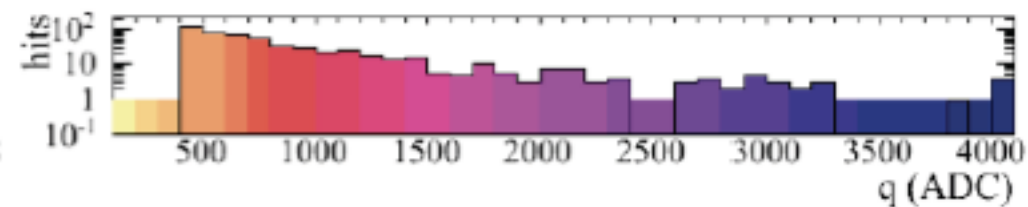
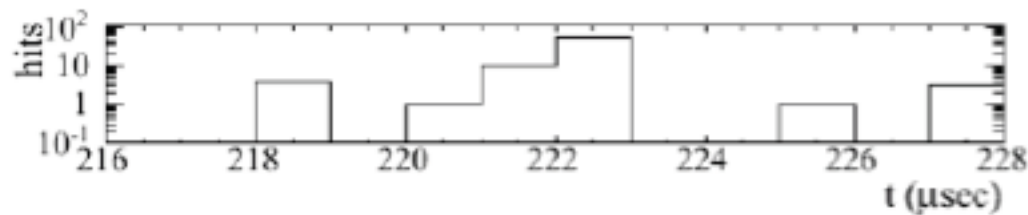
**NOvA - FNAL E929**

Run: 10893/8

Event: 314724

UTC Tue Dec 21, 2010

11:48:18.997623872



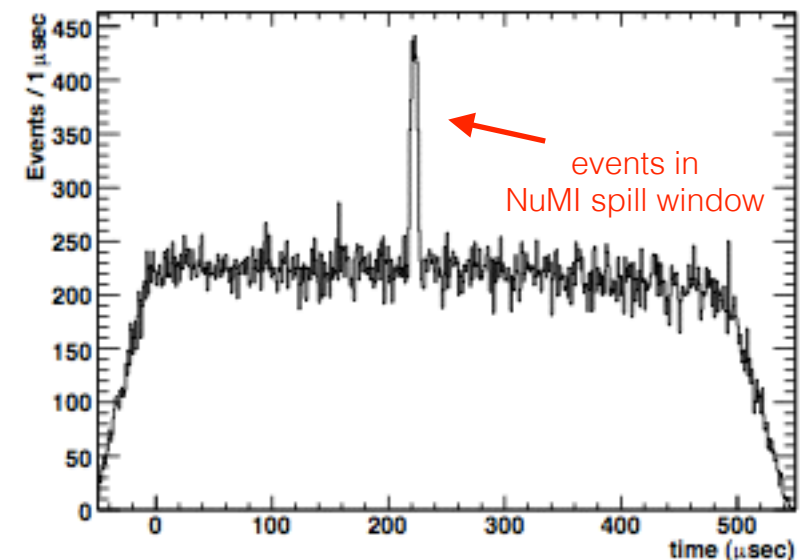
# $\nu_\mu$ CCQE Event Selection

## Pre-selection criteria reduce background from cosmic rays and neutral current events

- timing within NuMI beam spill window
- fiducial cuts on vertex position
- **one** reconstructed, 3D, contained track with length greater than 60 cm (only  $\sim 4\%$  of  $\nu_\mu$  CCQE events have a reconstructed proton track)
- vertical slope of track  $< 45^\circ$
- containment fiducial cuts

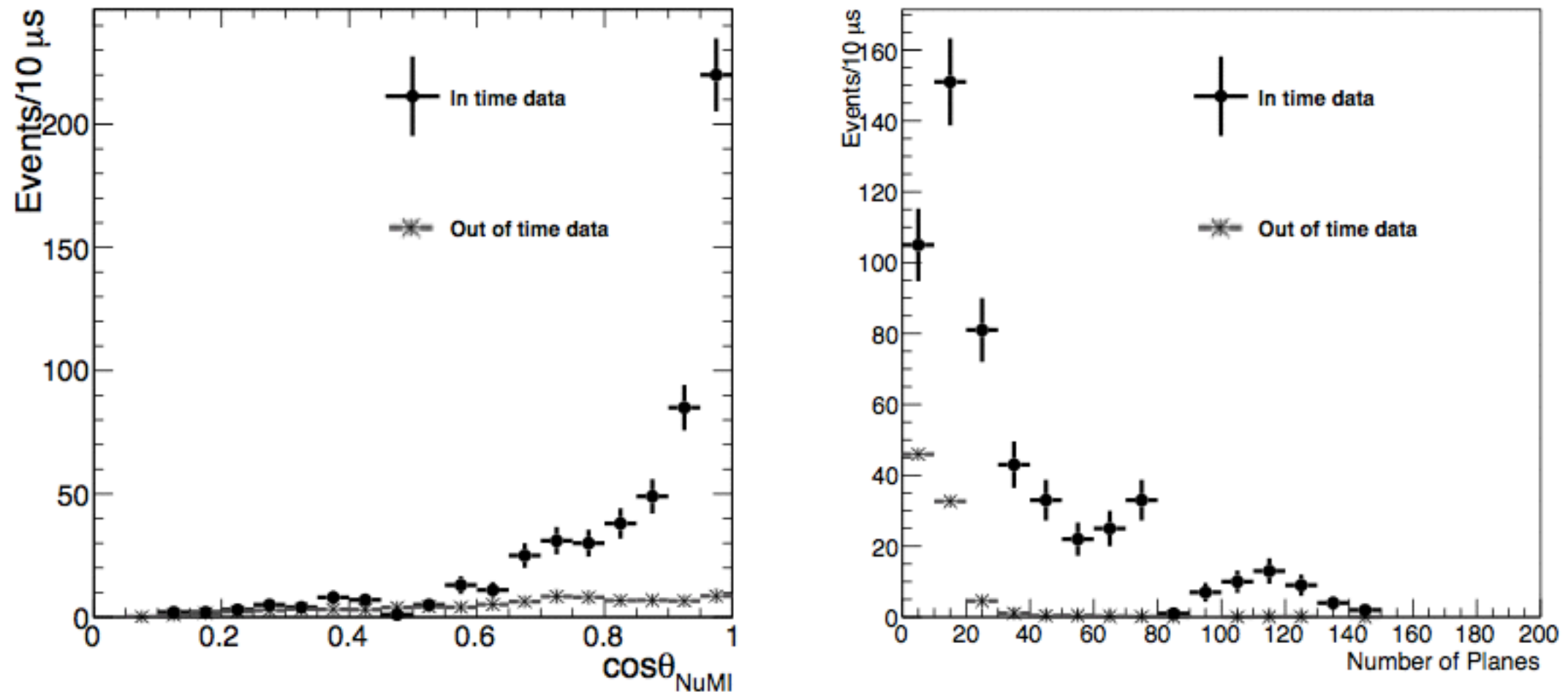
## k-Nearest Neighbor (kNN) algorithm selects CCQE events based on 3 parameters

- number of planes that the track crosses (charged pions from NC events travel through fewer planes than muons)
- ratio of mean energy per plane to track length in plane (CC events deposit less energy per plane than NC)
- deposited energy within a 50 cm radius of the vertex, includes muon track hits (CCQE events deposit less E around vertex than other types of interactions)





# $\nu_\mu$ CCQE In-time vs. Out-of-time Data after Pre-selection



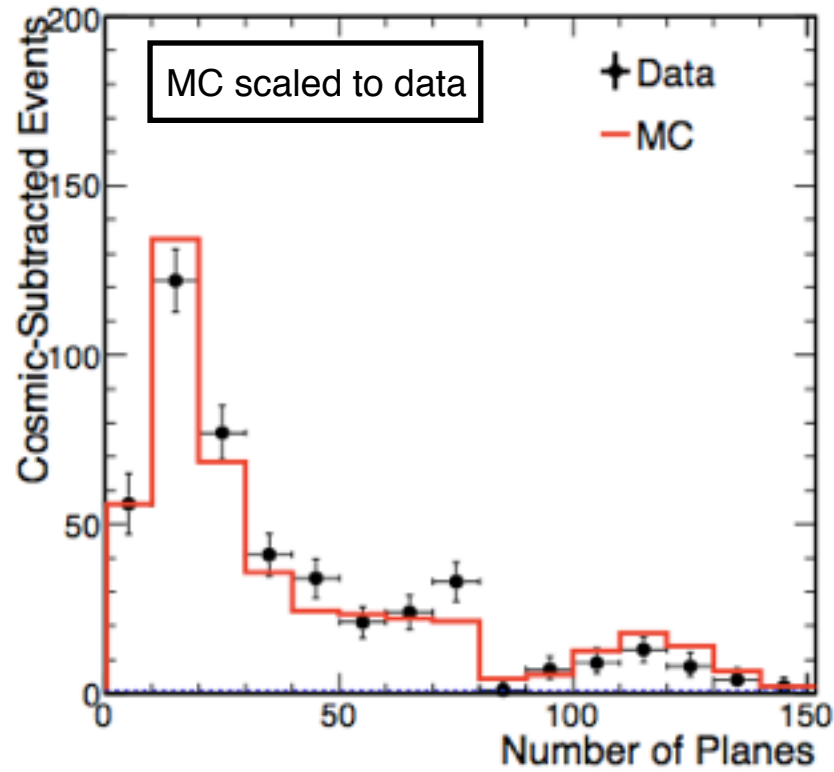
## LEVEL OF RESIDUAL COSMIC RAY BACKGROUND IN DATA AFTER PRE-SELECTION

- in-time data include neutrino interactions as well as CR backgrounds
- out-of-time data sample contains  $\sim 49x$  more data than in-time sample (statistical uncertainties small compared to that of in-time data)
- exposure-weighted out-of-time distributions are subtracted from in-time data after CCQE event selection with the kNN classifier

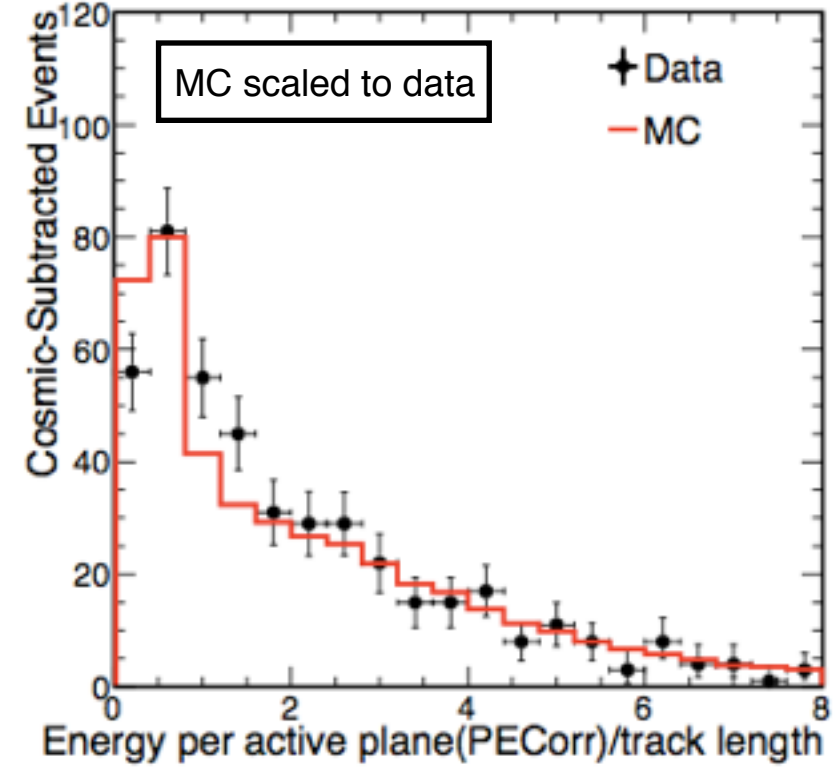


# $\nu_\mu$ CCQE - kNN Algorithm

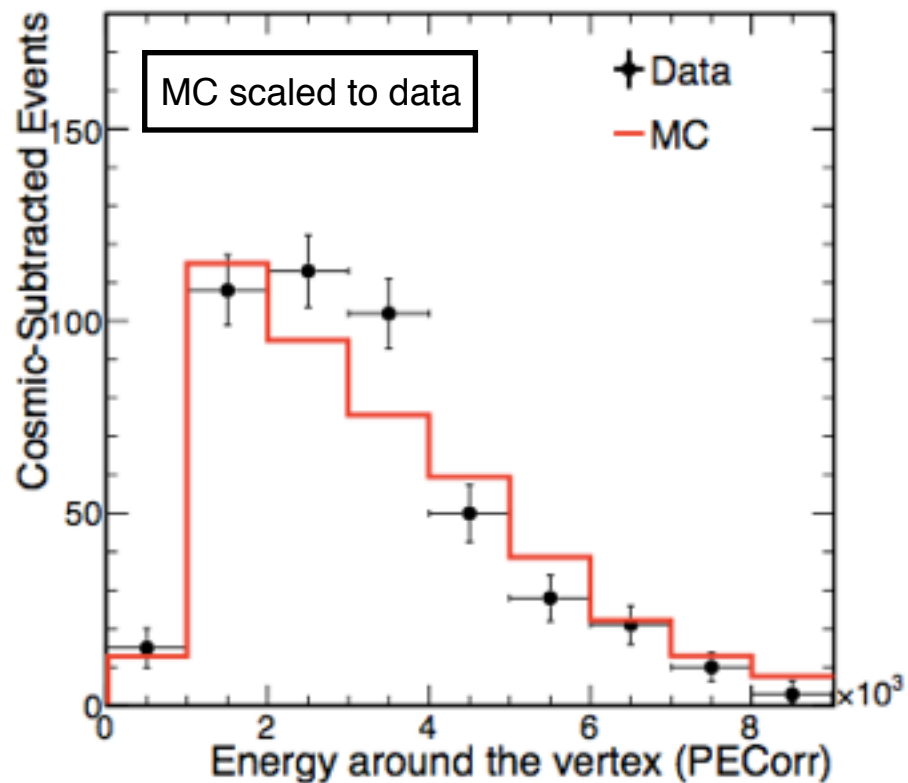
## Number of Planes



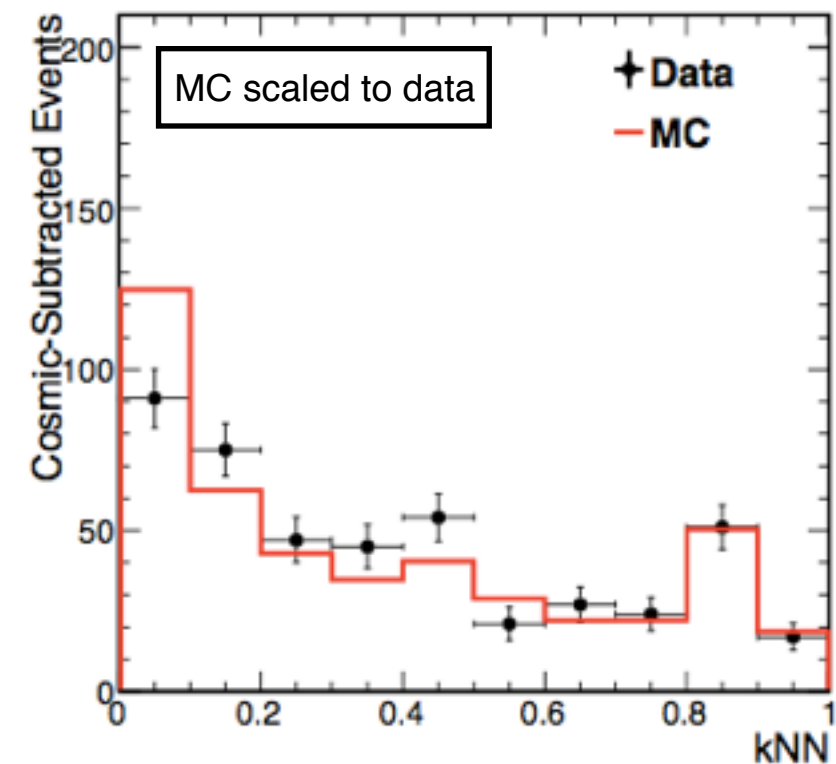
## Energy per Plane/Track Length in Plane



## Energy near Vertex

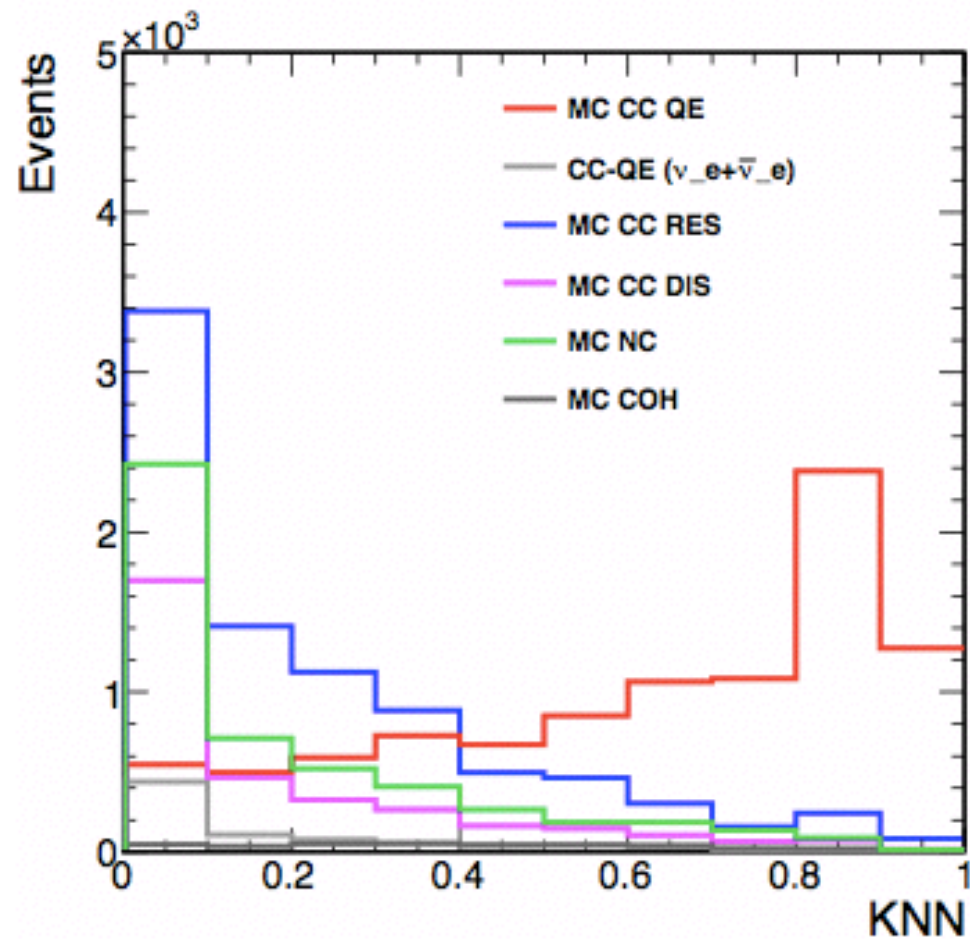


## kNN

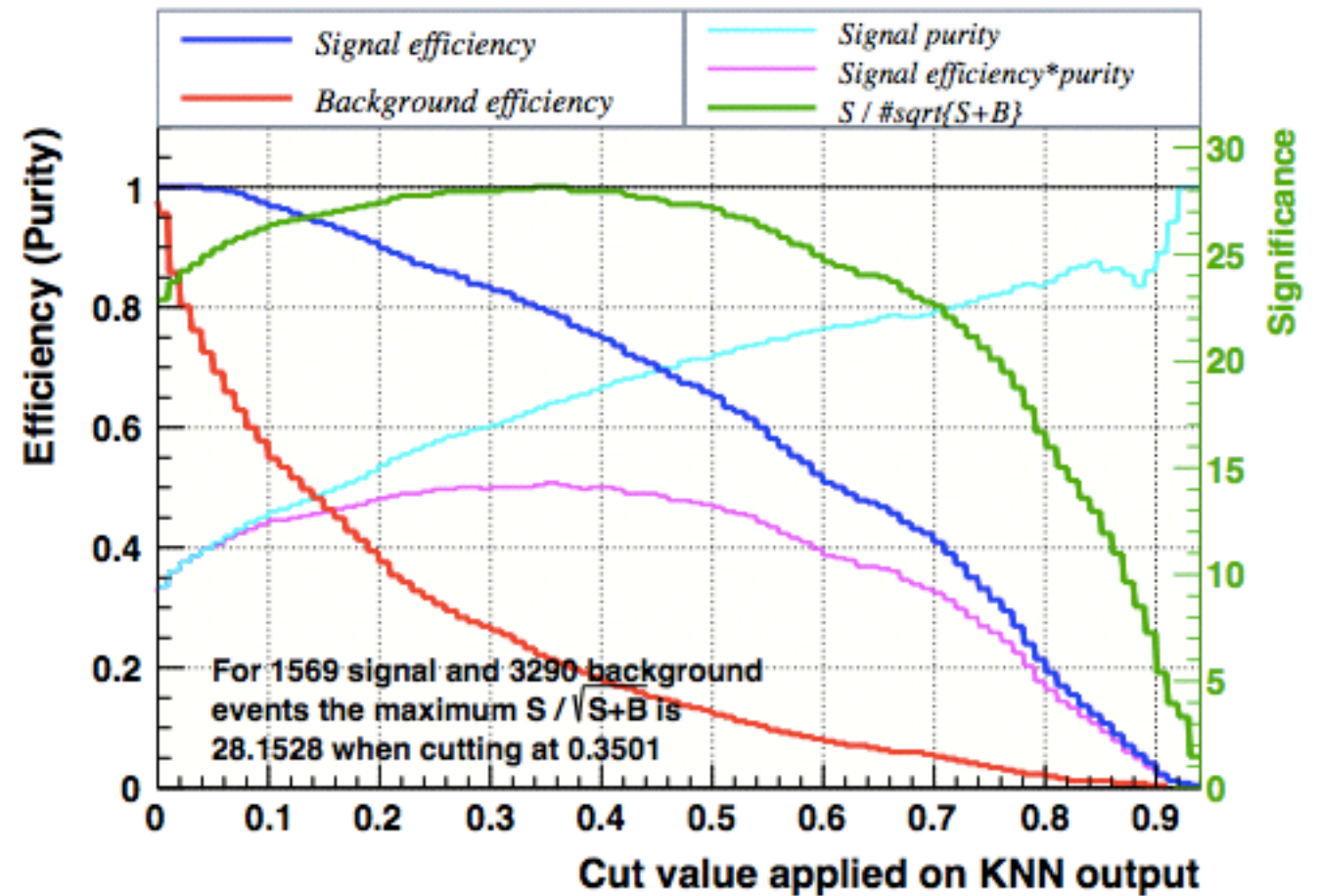




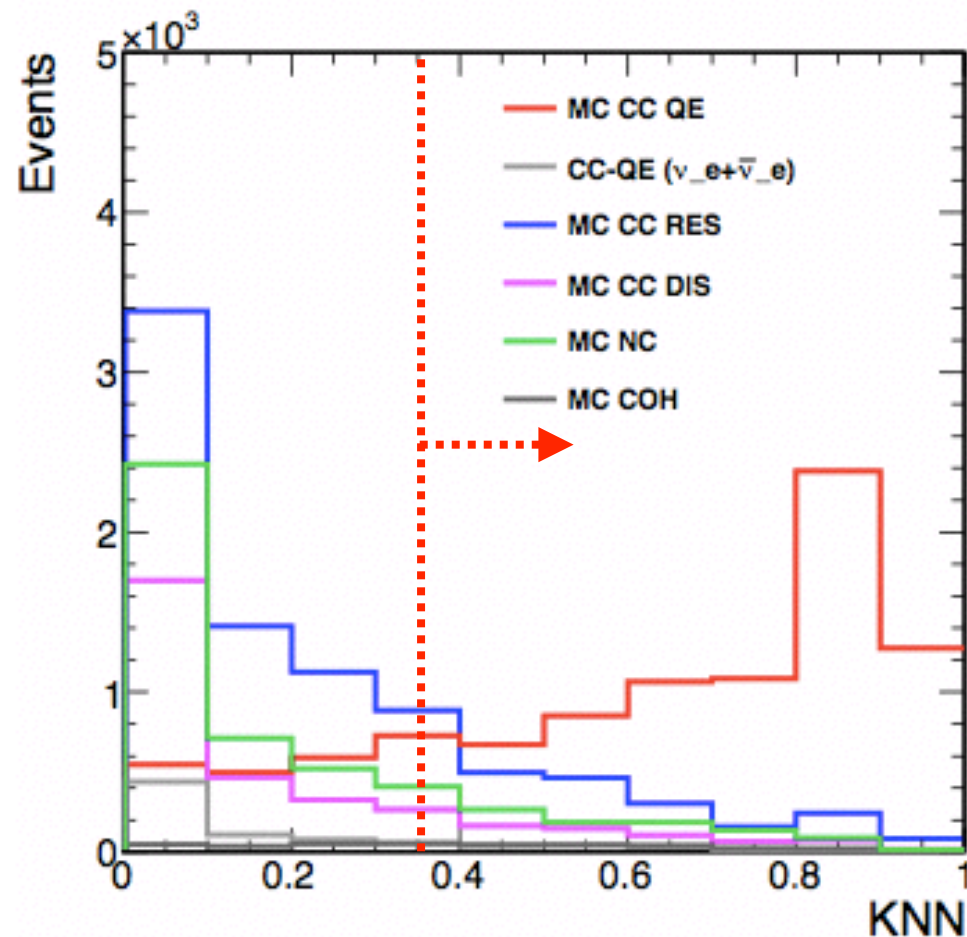
# $\nu_\mu$ CCQE - kNN Algorithm



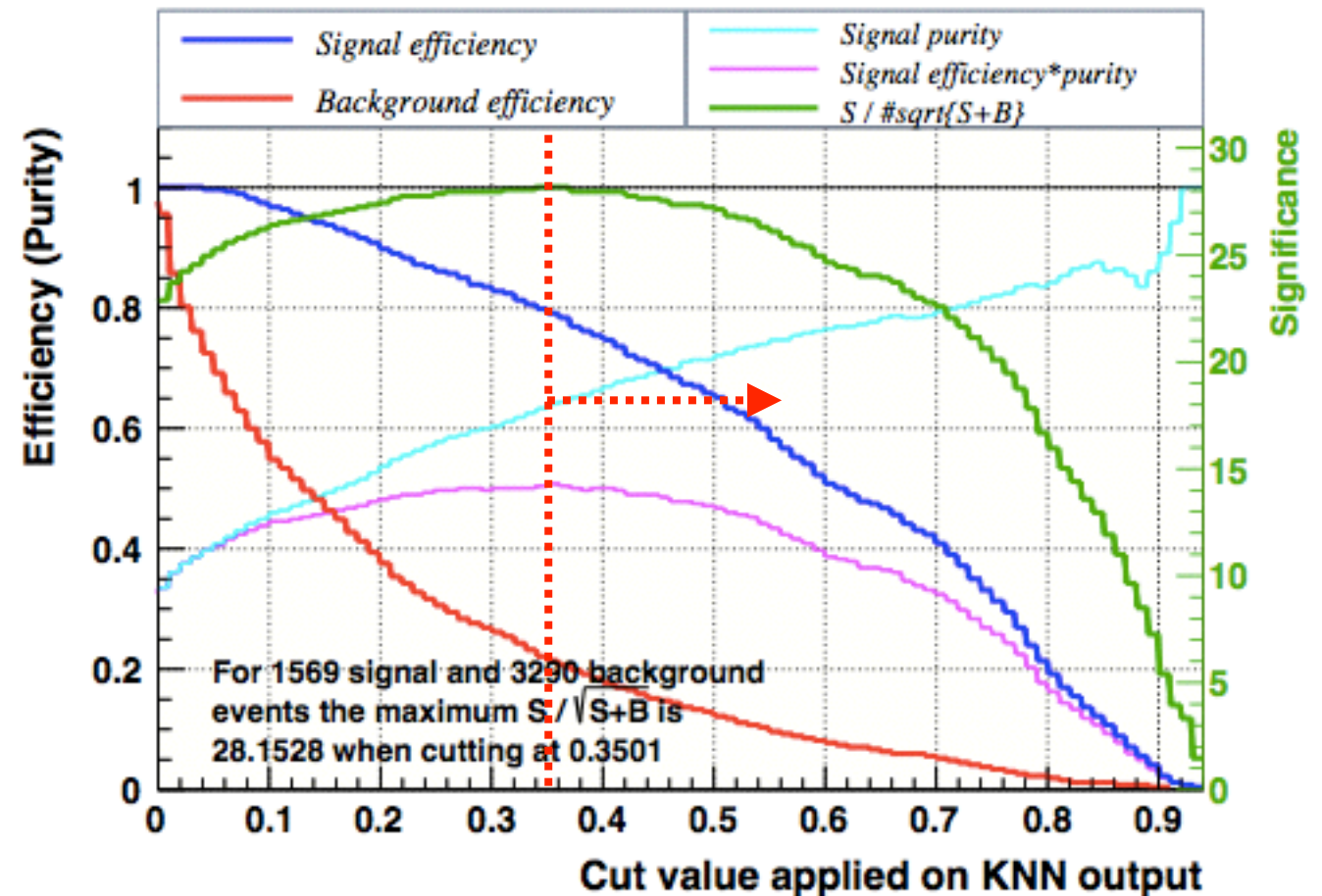
Cut efficiencies and optimal cut value



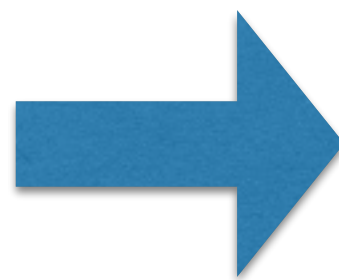
# $\nu_\mu$ CCQE - kNN Algorithm



Cut efficiencies and optimal cut value



kNN selector  $> 0.35$



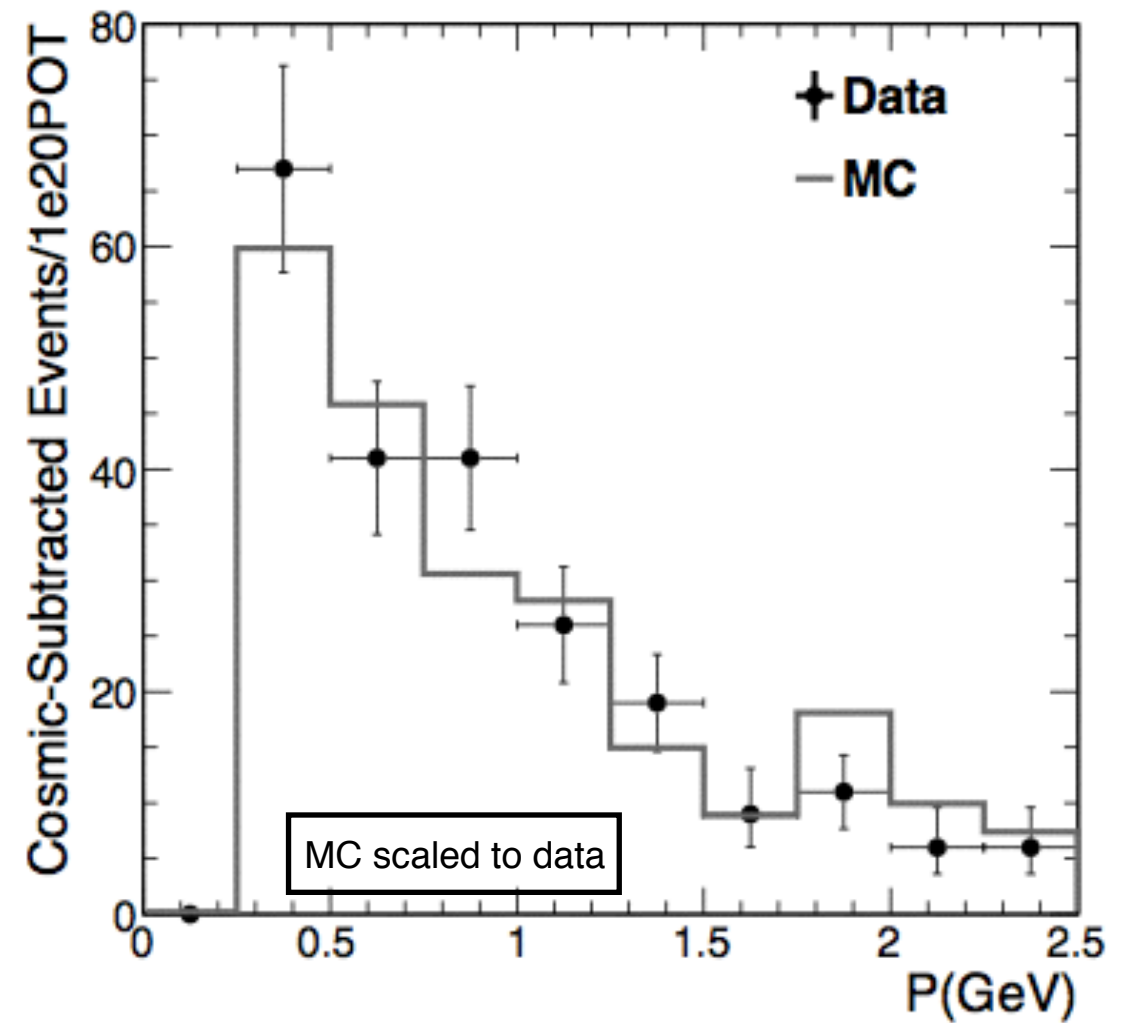
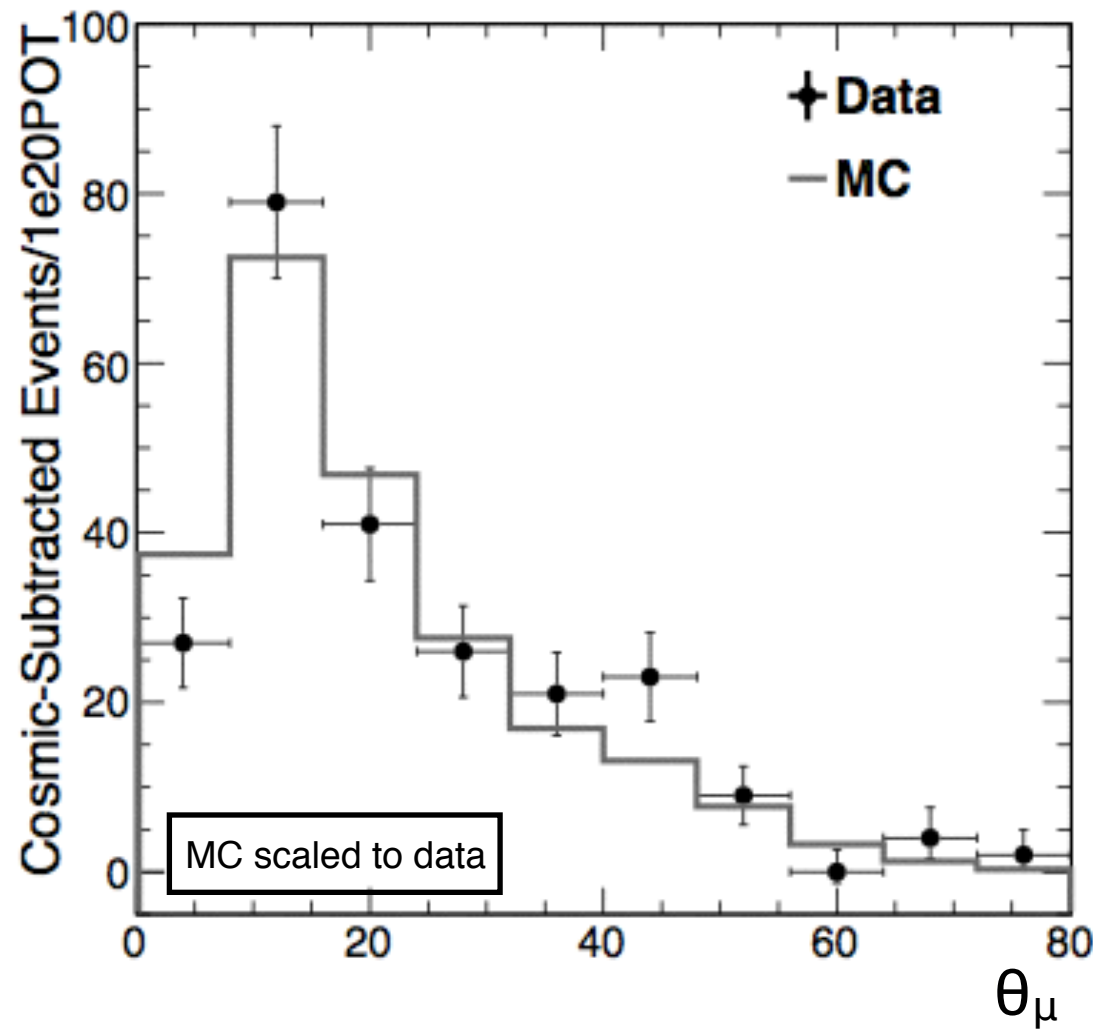
$$FOM = S / \sqrt{S + B} = 28$$
 efficiency = 85%  
 purity = 65%

Config 1: 55 candidate events, 11 predicted cosmic-ray events  
 Config 2: 230 candidate events, 20 predicted cosmic-ray events





# $\nu_\mu$ CCQE - Reconstruction of Neutrino Energy and Four Momentum Transfer

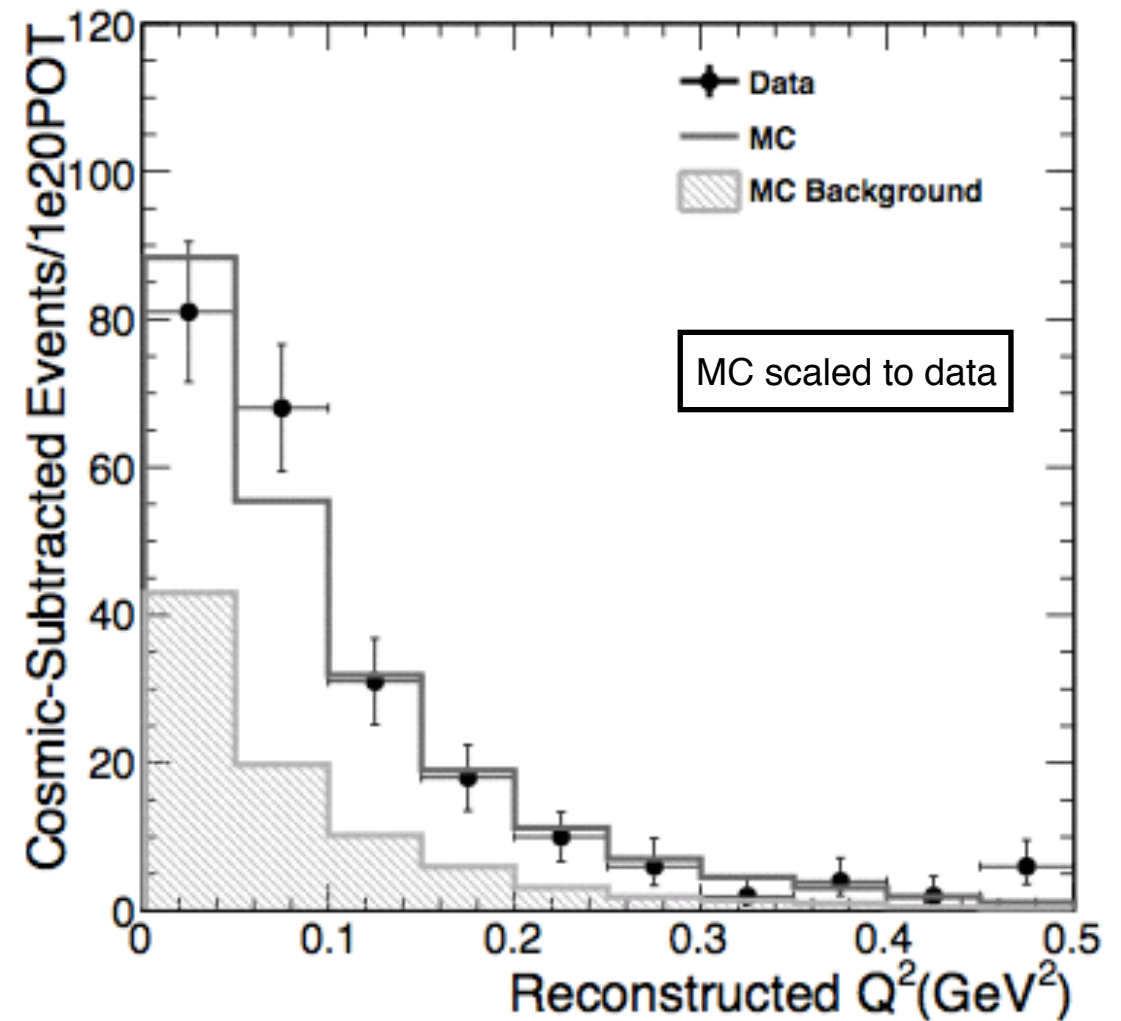
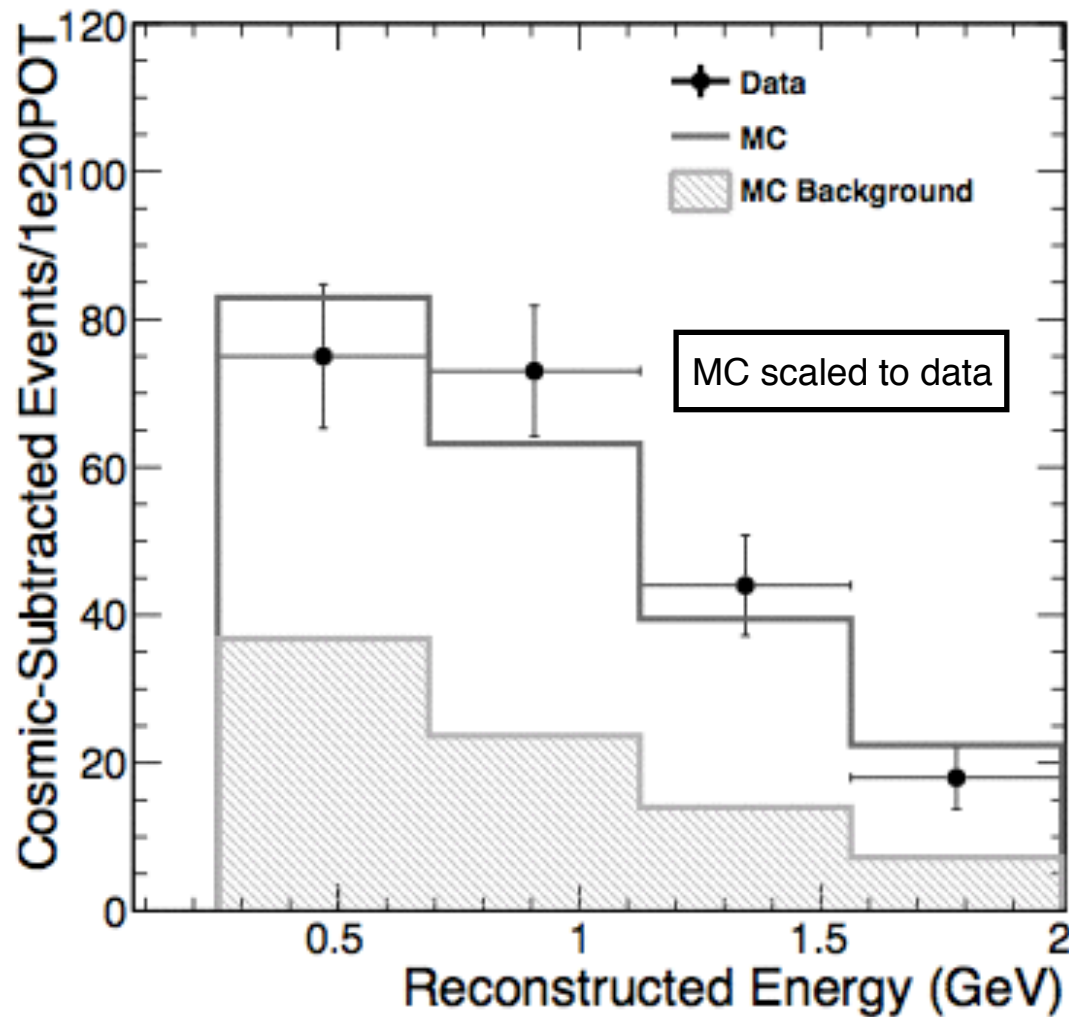


Neutrino Energy: 
$$E_\nu = \frac{2(M'_n)E_\mu - ((M'_n)^2 + m_\mu^2 - M_p^2)}{2[(M'_n) - E_\mu + \sqrt{E_\mu^2 - m_\mu^2} \cos \theta_\mu]}$$

$E_\mu = T_\mu + m_\mu$

Four Momentum Transfer: 
$$Q^2 = -m_\mu^2 + 2E_\nu(E_\mu - \sqrt{E_\mu^2 - m_\mu^2} \cos \theta_\mu)$$

# $\nu_\mu$ CCQE - Reconstruction of Neutrino Energy and Four Momentum Transfer



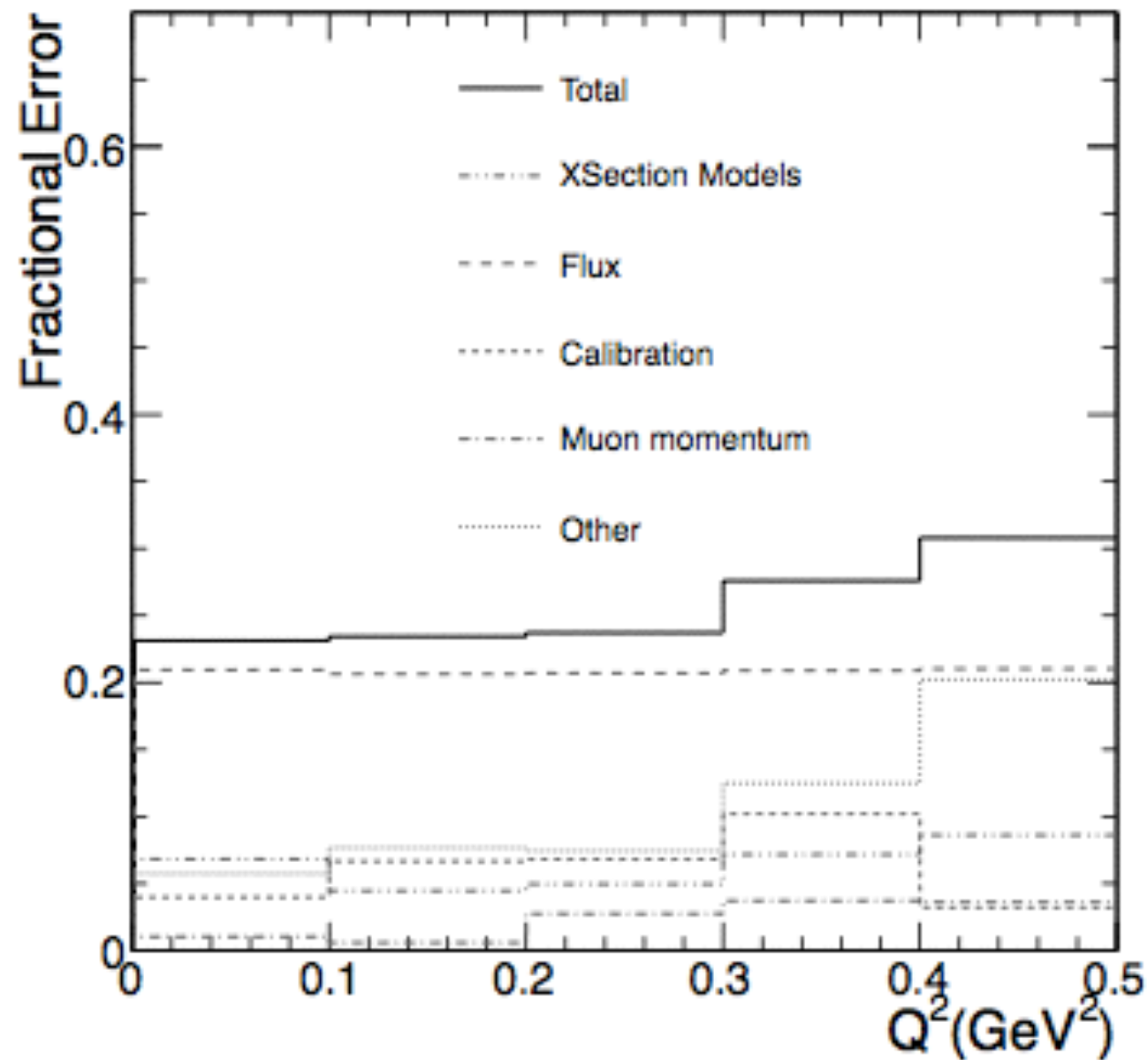
Neutrino Energy: 
$$E_\nu = \frac{2(M'_n)E_\mu - ((M'_n)^2 + m_\mu^2 - M_p^2)}{2[(M'_n) - E_\mu + \sqrt{E_\mu^2 - m_\mu^2} \cos \theta_\mu]} \quad E_\mu = T_\mu + m_\mu$$

Four Momentum Transfer: 
$$Q^2 = -m_\mu^2 + 2E_\nu(E_\mu - \sqrt{E_\mu^2 - m_\mu^2} \cos \theta_\mu)$$





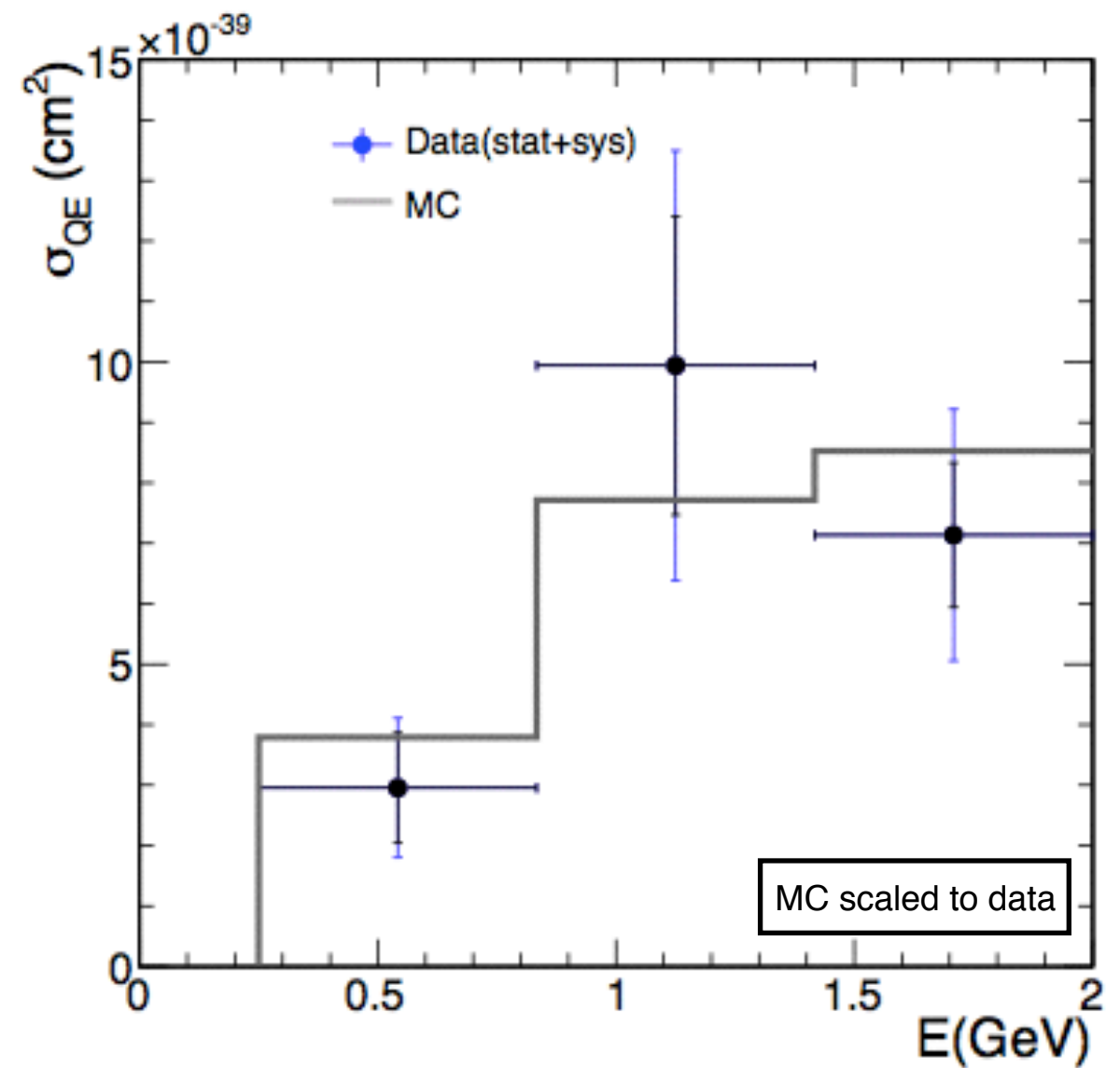
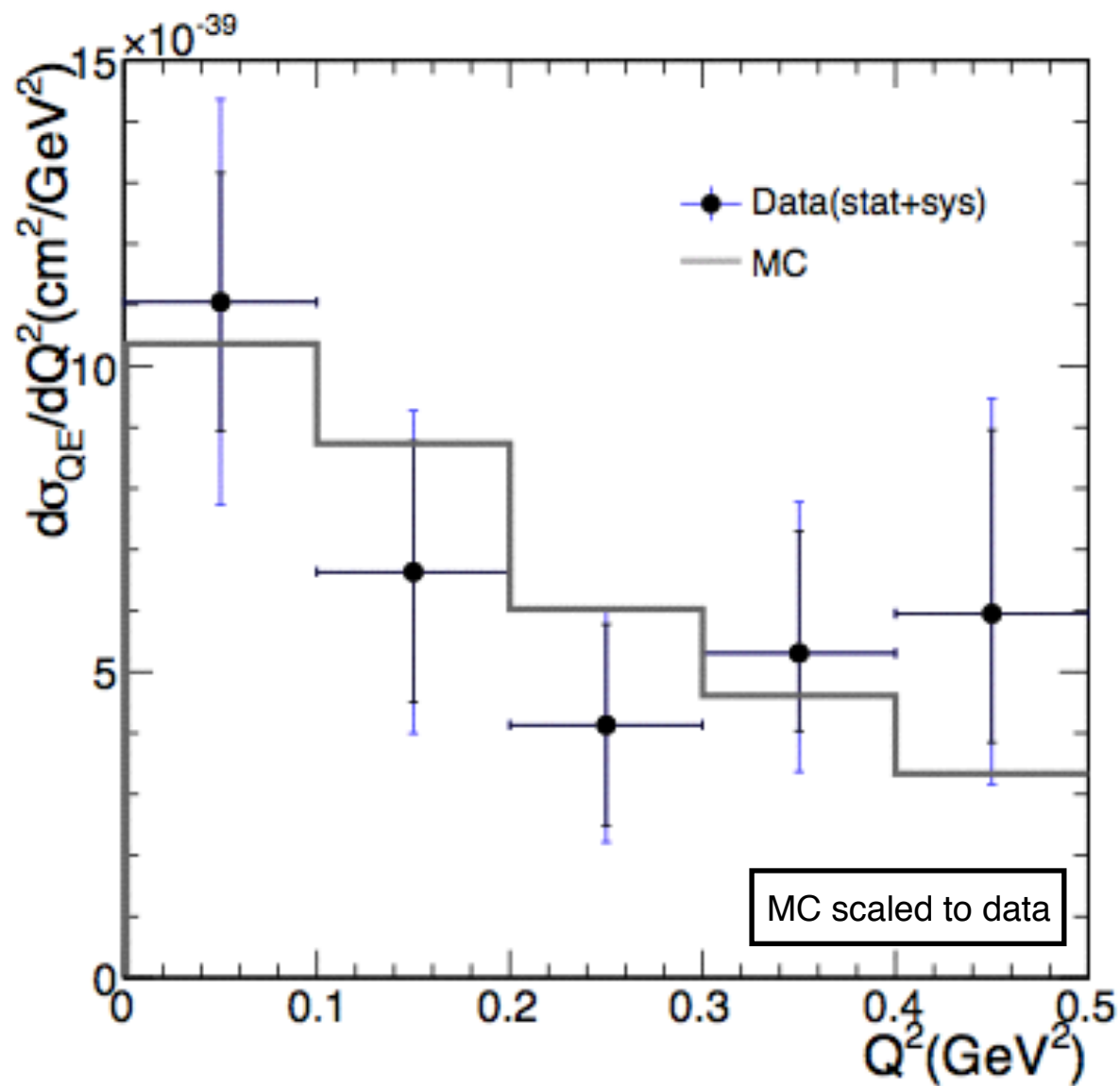
# $\nu_\mu$ CCQE - Systematic Uncertainties



Systematics are dominated at all  $Q^2$  by uncertainty in NuMI beam flux (mostly from hadron production off the NuMI target).

There is an almost equal contribution coming from detector alignment and performance at high  $Q^2$ .

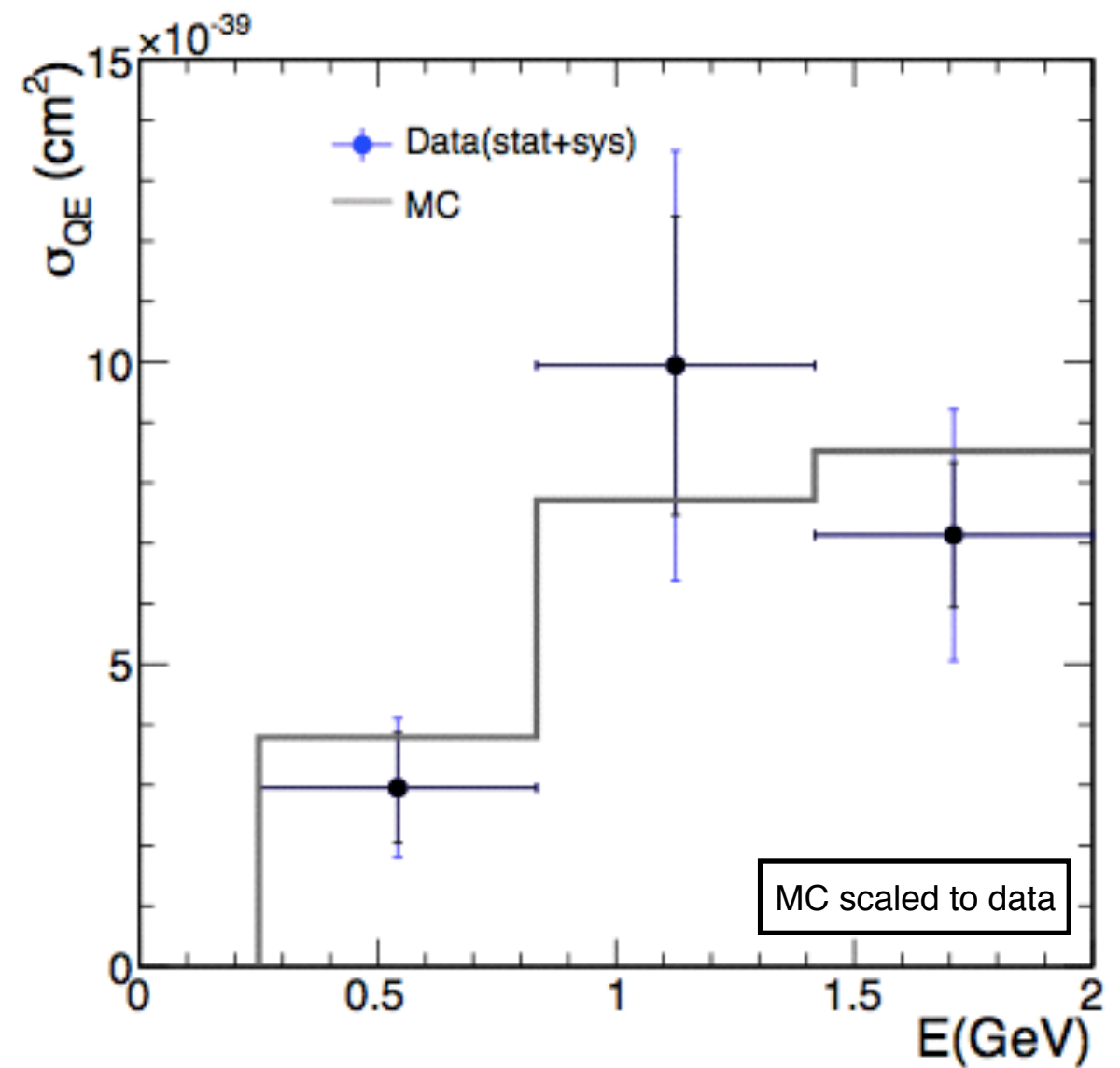
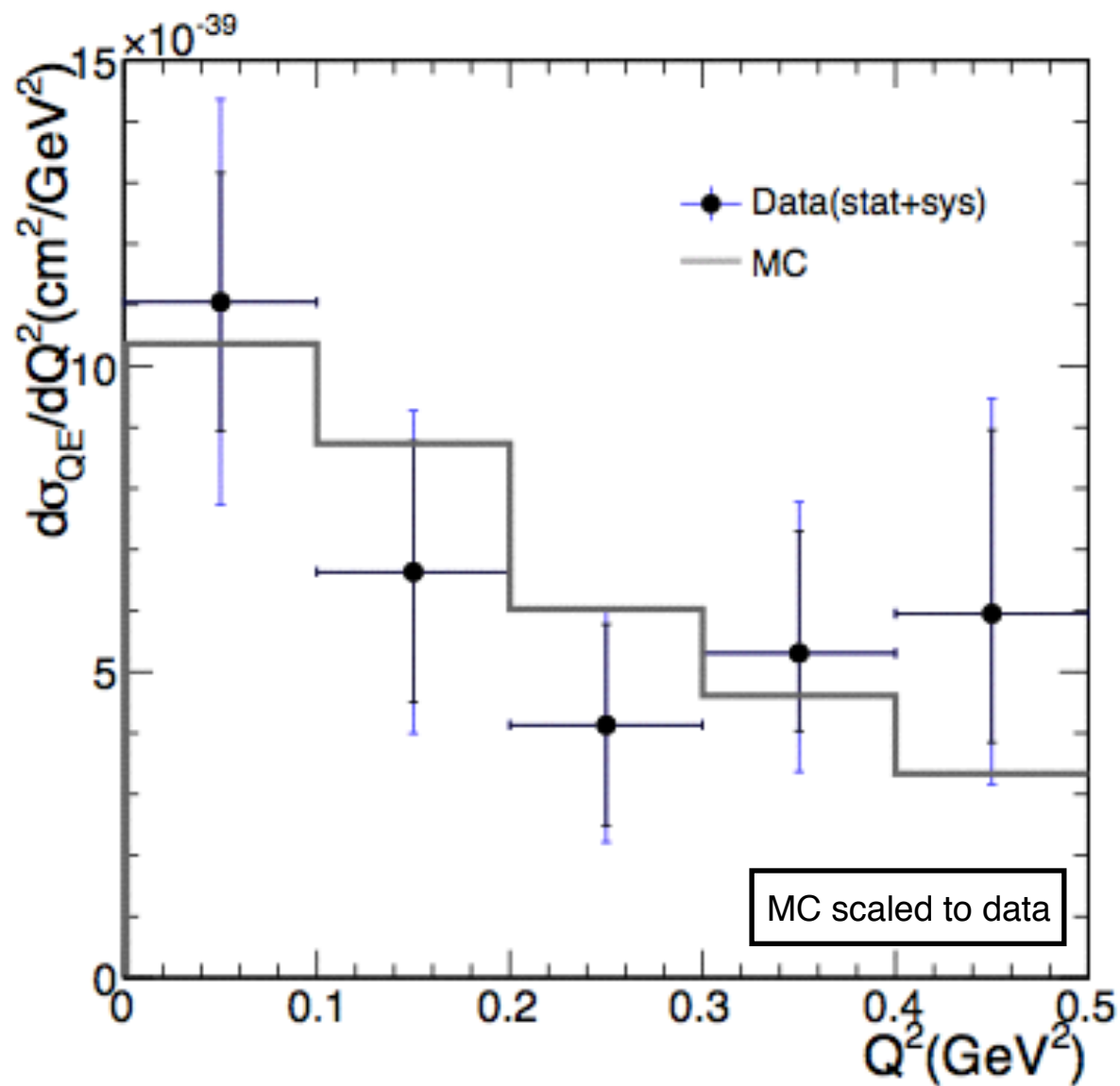
# $\nu_\mu$ CCQE Cross Section Results



Detector resolution is accounted for through the unfolding procedure.  
Efficiencies have been calculated and applied.



# $\nu_\mu$ CCQE Cross Section Results

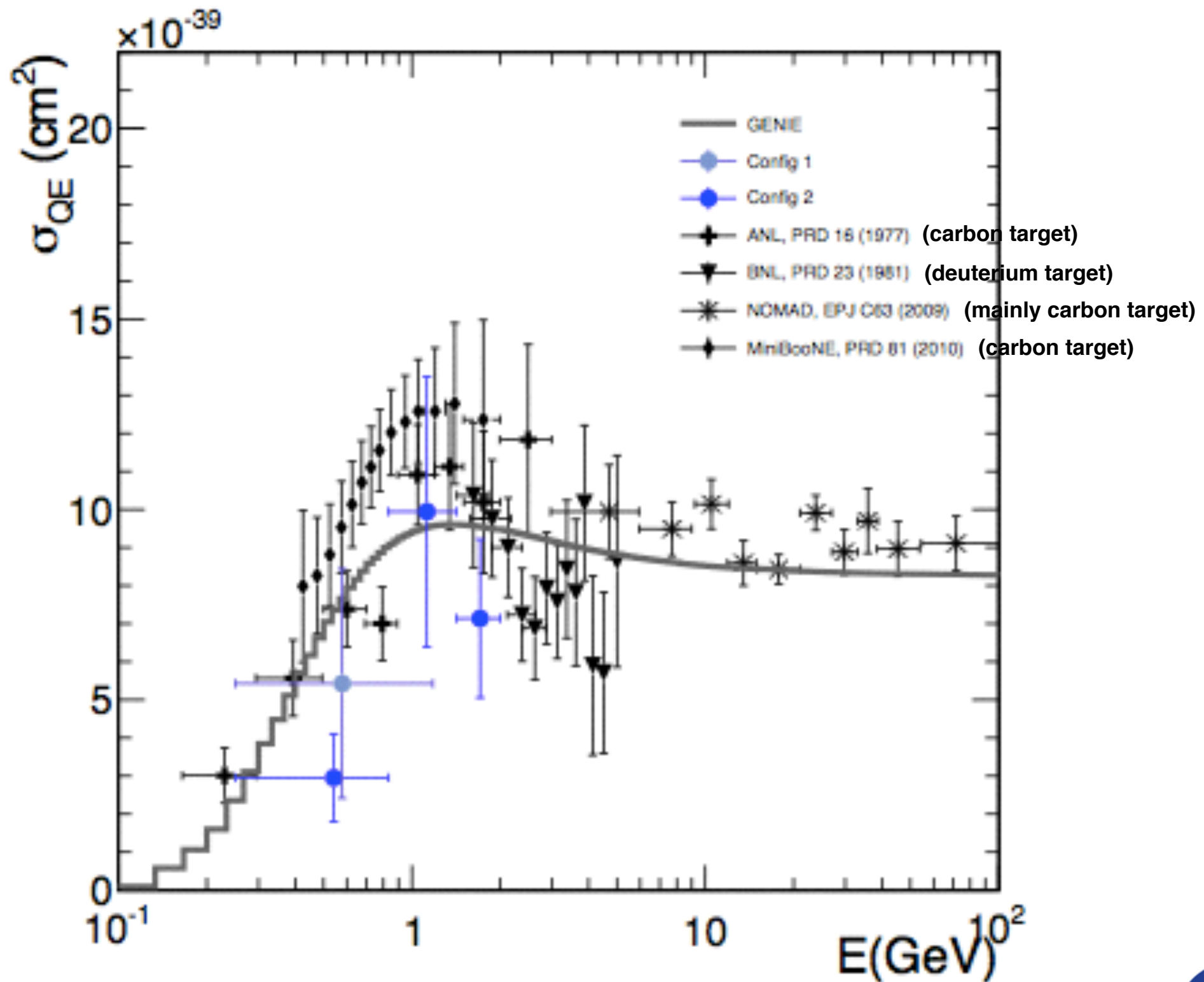


$Q^2$ (GeV <sup>2</sup> )	$\frac{d\sigma}{dQ^2_{QE}} \left( \frac{\text{cm}^2}{\text{GeV}^2} \right)$	stat	syst	total error
0-0.1	$11.1 \times 10^{-39}$	$2.1 \times 10^{-39}$	$2.6 \times 10^{-39}$	$3.3 \times 10^{-39}$
0.1-0.2	$6.6 \times 10^{-39}$	$2.1 \times 10^{-39}$	$1.5 \times 10^{-39}$	$2.6 \times 10^{-39}$
0.2-0.3	$4.1 \times 10^{-39}$	$1.6 \times 10^{-39}$	$1.0 \times 10^{-39}$	$1.9 \times 10^{-39}$
0.3-0.4	$5.3 \times 10^{-39}$	$2.0 \times 10^{-39}$	$1.5 \times 10^{-39}$	$2.5 \times 10^{-39}$
0.4-0.5	$6.0 \times 10^{-39}$	$3.0 \times 10^{-39}$	$1.8 \times 10^{-39}$	$3.5 \times 10^{-39}$

$E$ (GeV)	$\frac{d\sigma}{dE} \left( \frac{\text{cm}^2}{\text{GeV}} \right)$	stat	syst	total error
0.25-0.8	$3.0 \times 10^{-39}$	$0.9 \times 10^{-39}$	$0.7 \times 10^{-39}$	$1.2 \times 10^{-39}$
0.8-1.4	$9.9 \times 10^{-39}$	$2.5 \times 10^{-39}$	$2.5 \times 10^{-39}$	$3.6 \times 10^{-39}$
1.4-2.0	$7.1 \times 10^{-39}$	$1.2 \times 10^{-39}$	$1.7 \times 10^{-39}$	$2.1 \times 10^{-39}$



# $\nu_\mu$ CCQE Cross Section Results

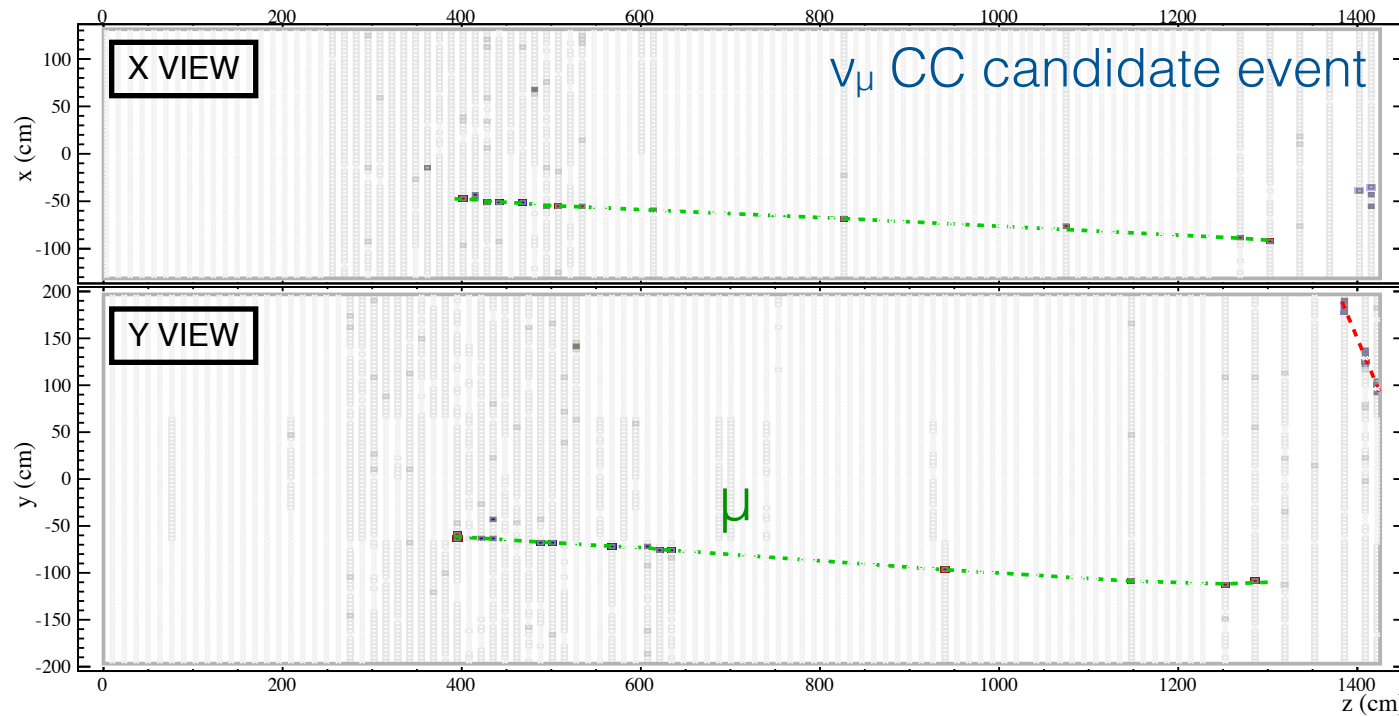


# Measurement of the $\nu_\mu$ Charged Current (CC) Inclusive Cross Section

Enrique Arrieta-Diaz, Ph.D. Thesis  
Michigan State University, July 2014

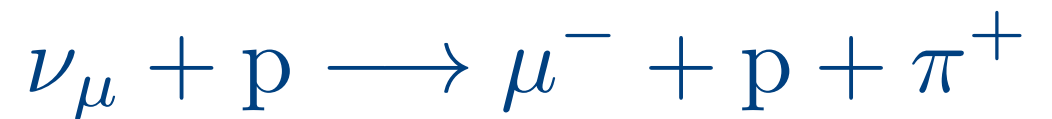
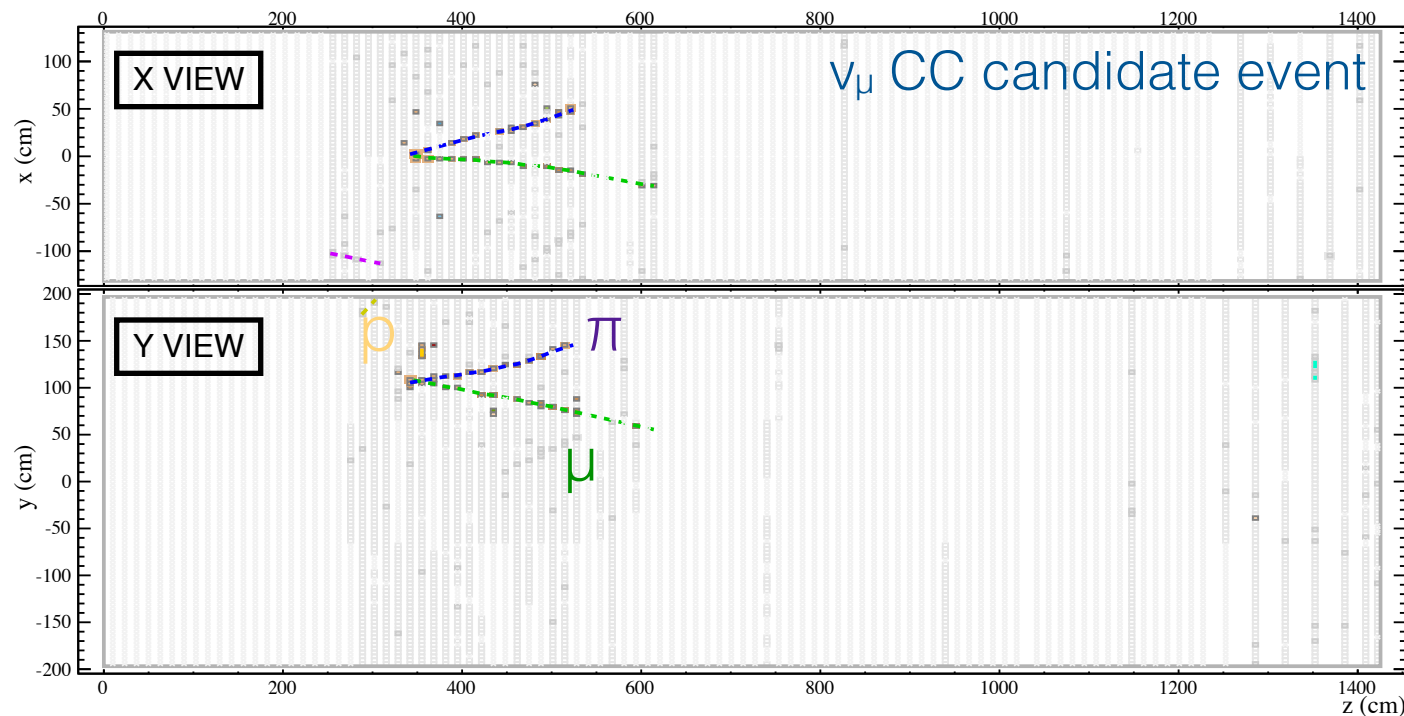
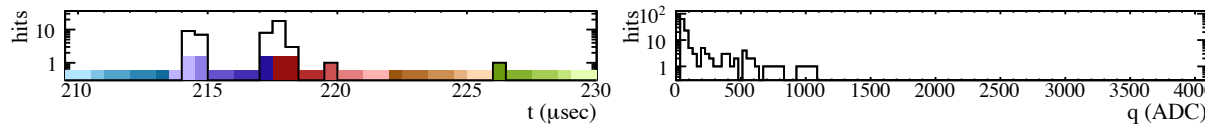


# $\nu_\mu$ CC Inclusive Event Selection



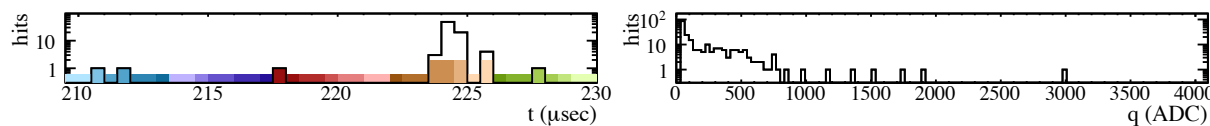
CCQE candidate

NOvA - FNAL E929  
Run: 13405 / 6  
Event: 283899 / NuMI  
UTC Fri Jan 20, 2012  
21:55:3.390529984



RES candidate

NOvA - FNAL E929  
Run: 13068 / 1  
Event: 70583 / NuMI  
UTC Sat Oct 29, 2011  
16:06:37.717474688





# $\nu_\mu$ CC Inclusive Event Selection

Pre-selection criteria reduce background from neutral current events and cosmic rays

- timing within NuMI beam spill window
- **at least one** reconstructed, 3D, contained track with length greater than 200 cm (3.8% of background is then  $\nu_\mu$  NC)
- fiducial cuts on vertex position
- containment fiducial cuts
- cosmic cuts on longest track:  $\cos(\theta_{\text{NuMI}}) > 0.6$  and  $\cos(\theta_y) < 0.6$  (angle between track and vertical component of track)

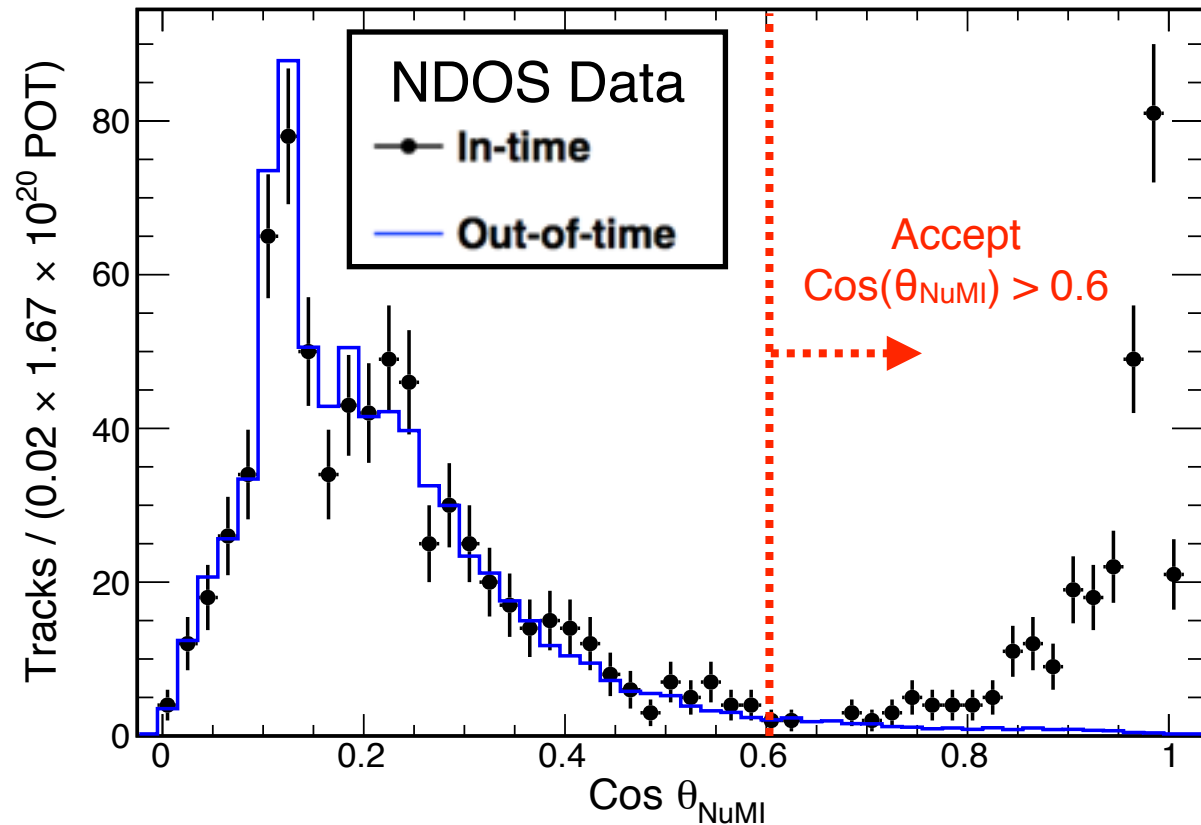
cut on MIP fraction reduces EM background

- MIP defined as  $1.1 \text{ MeV/cm} < dE/dx < 2.7 \text{ MeV/cm}$
- MIP fraction for a track:  $n/N = \# \text{ cells containing a MIP} / \text{total} \# \text{ cells in track}$
- for the longest track in the event,  $n/N > 0.4$

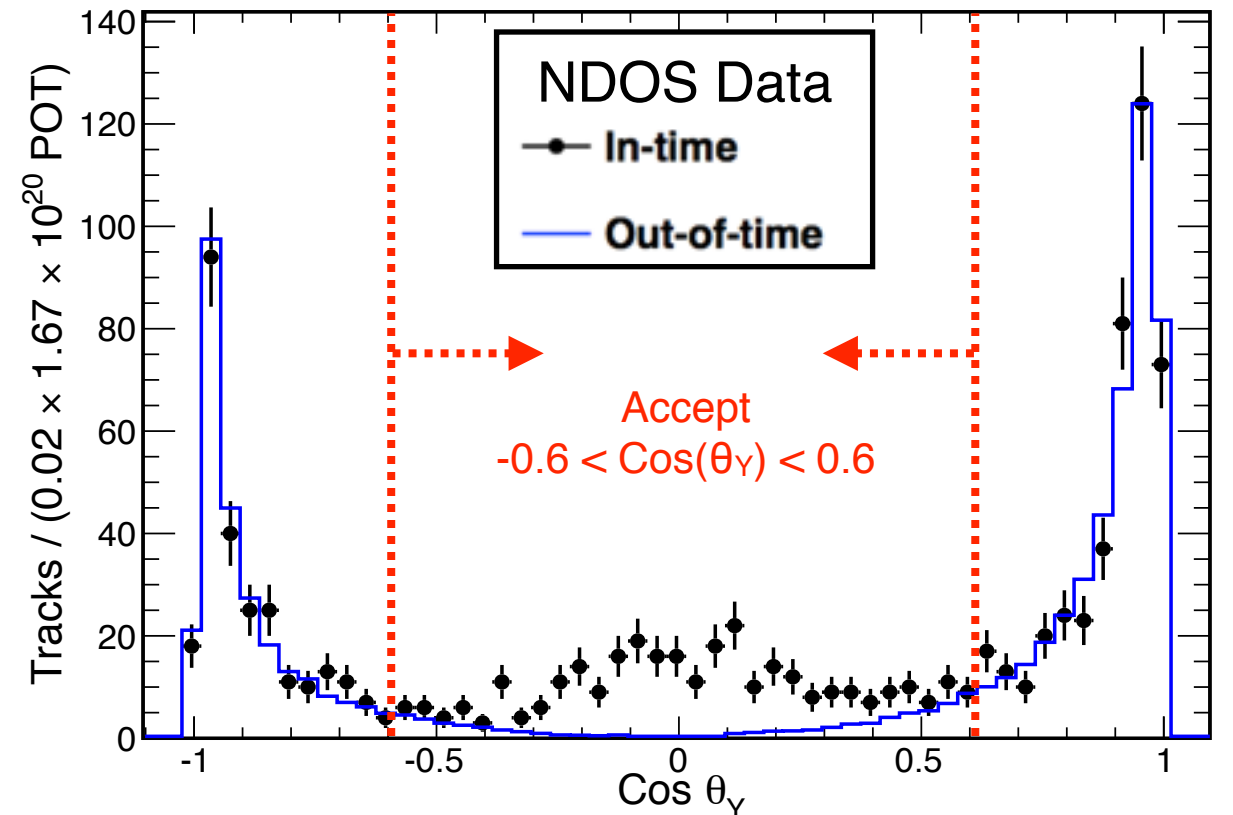


# $\nu_\mu$ CC Inclusive - Cosmic Cut Variables for In-time versus Out-of-time Data

Longest Track  $\text{Cos } \theta_{\text{NuMI}}$  NDOS DATA. **NOvA preliminary**



Longest Track  $\text{Cos } \theta_\gamma$  NDOS DATA. **NOvA preliminary**



## COSMIC CUTS FOR LONGEST TRACK IN EVENT:

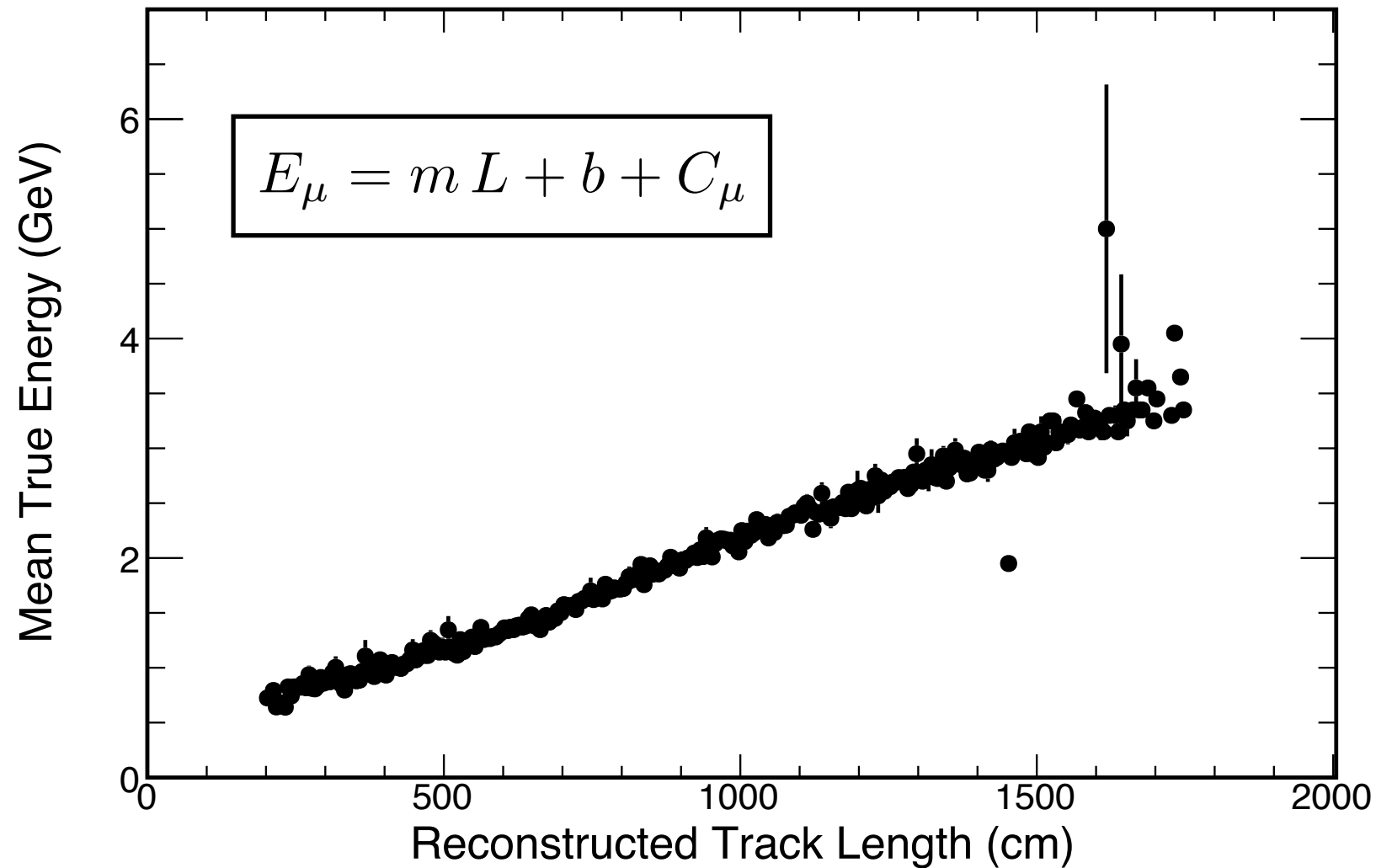
- $\text{cos}(\theta_{\text{NuMI}}) > 0.6$
- $\text{cos}(\theta_\gamma) < 0.6$  (angle between track and vertical component of track)

(in-time data include neutrino interactions as well as CR backgrounds)



# $\nu_\mu$ CC Inclusive - Energy Estimation for Tracks

$\mu$ : Energy vs. Track Length. NDOS MC. **NOvA simulation**



## LINEAR FIT OF TRUE MUON ENERGY VERSUS TRACK LENGTH

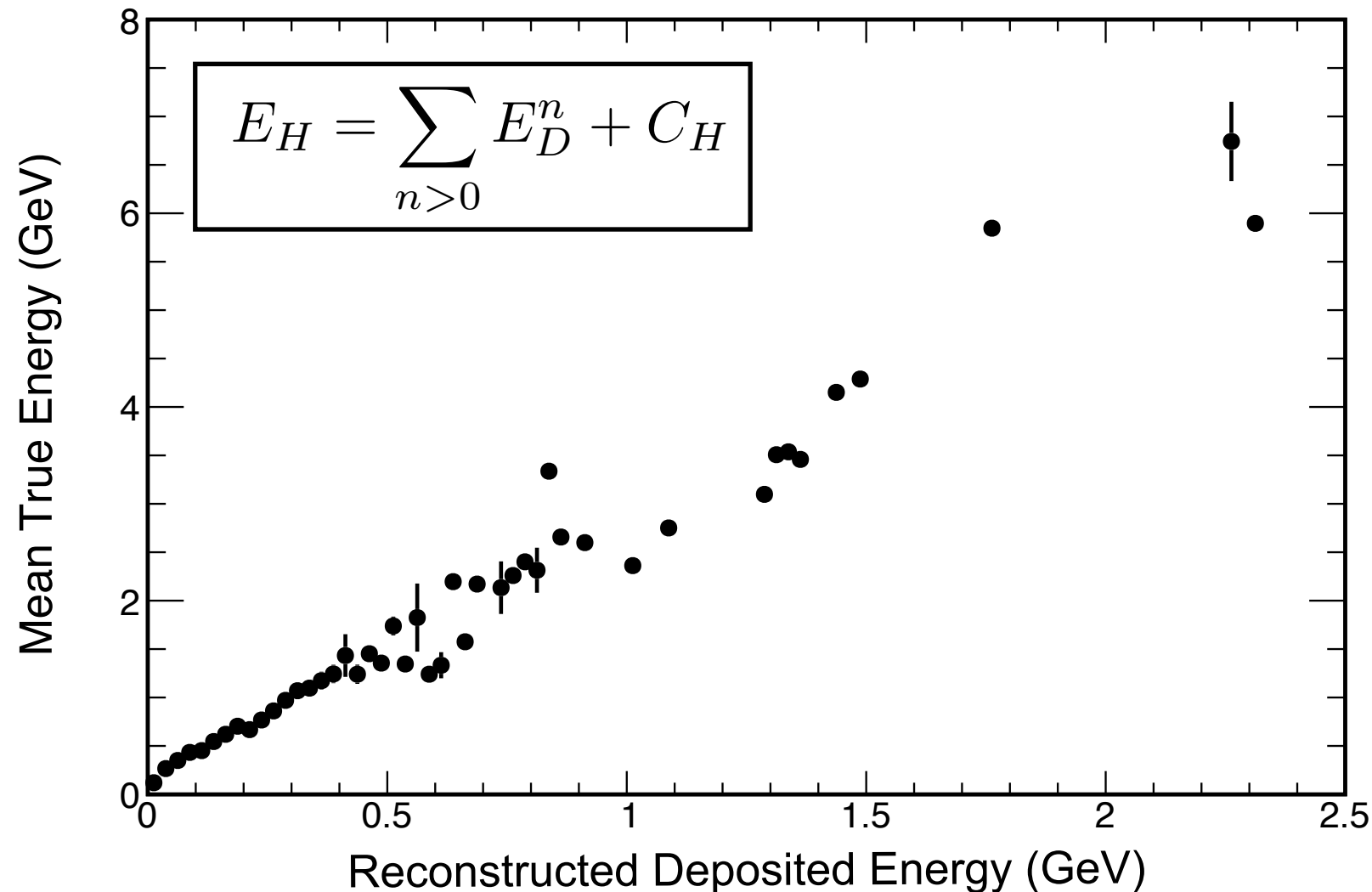
- $C_\mu$  are energy-dependent corrections that achieve  $\Delta E_\mu = E_{\text{true}} - E_{\text{reco}} = 0$
- muon energy resolution at 1 GeV = 14%





# $\nu_\mu$ CC Inclusive - Energy Estimation for Hadronic Activity

Hadronic Energy: True vs. Deposited. NDOS MC. [NO \$\nu\$ A simulation](#)



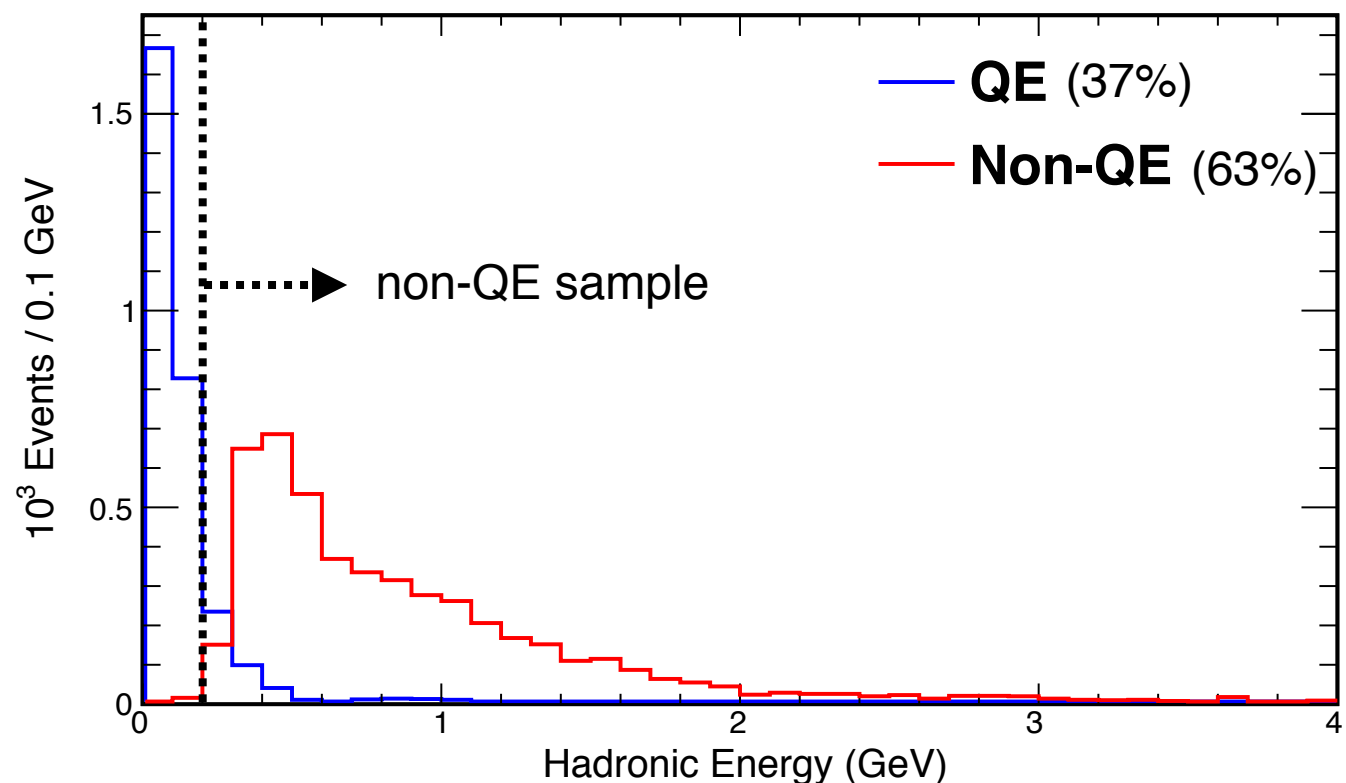
## POLYNOMIAL FIT OF TRUE ENERGY VS. RECONSTRUCTED DEPOSITED ENERGY

- hadronic energy is any energy not associated with longest track
- $C_H$  are energy-dependent corrections that achieve  $\Delta E_H = E_{\text{true}} - E_{\text{reco}} = 0$
- hadronic energy resolution at 0.5 GeV = 36.5%

# $\nu_\mu$ CC Inclusive - Energy Estimation for Hadronic Activity QE vs. non-QE

Quasi-elastic and non-quasi-elastic events are reconstructed with different efficiencies, so they have to be treated separately in the cross section measurement.

Hadronic True Energy Spectra. NDOS MC. **NOvA simulation**



DEFINE:

**QE sample:** events with  $E_H < 200$  MeV

28% of all events

$p = 63\%$ ,  $\epsilon = 74\%$

$E_\mu$  resolution = 5% at 2 GeV

$E_H$  resolution = 60 MeV

**non-QE sample:** events with  $E_H > 200$  MeV

72% of all events

$p = 82\%$ ,  $\epsilon = 78\%$

$E_\mu$  resolution = 6.5% at 2 GeV

$E_H$  resolution = 240 MeV

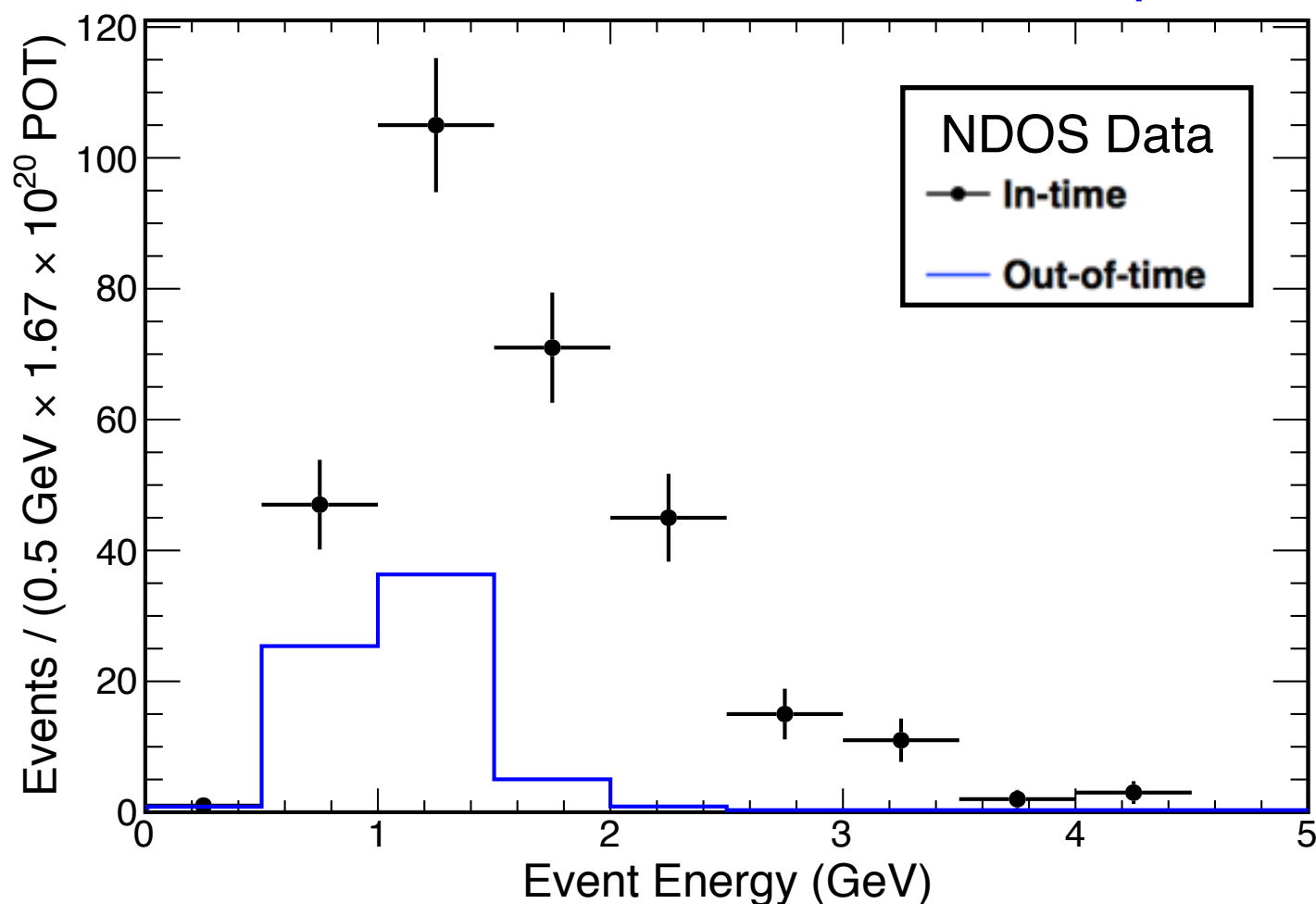
84.1% of QE events have  $E_{\text{true}H} < 200$  MeV

99.6% of non-QE events have  $E_{\text{true}H} > 200$  MeV



# $\nu_\mu$ CC Inclusive - Cosmic Background Subtraction

Event Energy. NDOS DATA.  $\text{NO}\nu\text{A preliminary}$



All events	146085
3D cut	93722
LTL cut	54986
VR cut	3715
Containment cut	993
MIP cut	939
Cosmic cut	301

total # in-time events  
passing all cuts

**in-time** and **out-of-time** data go through same event selection procedure

- 301 events from in-time data sample pass all selection cuts
- 69.5 events from out-of-time data sample pass all selection cuts

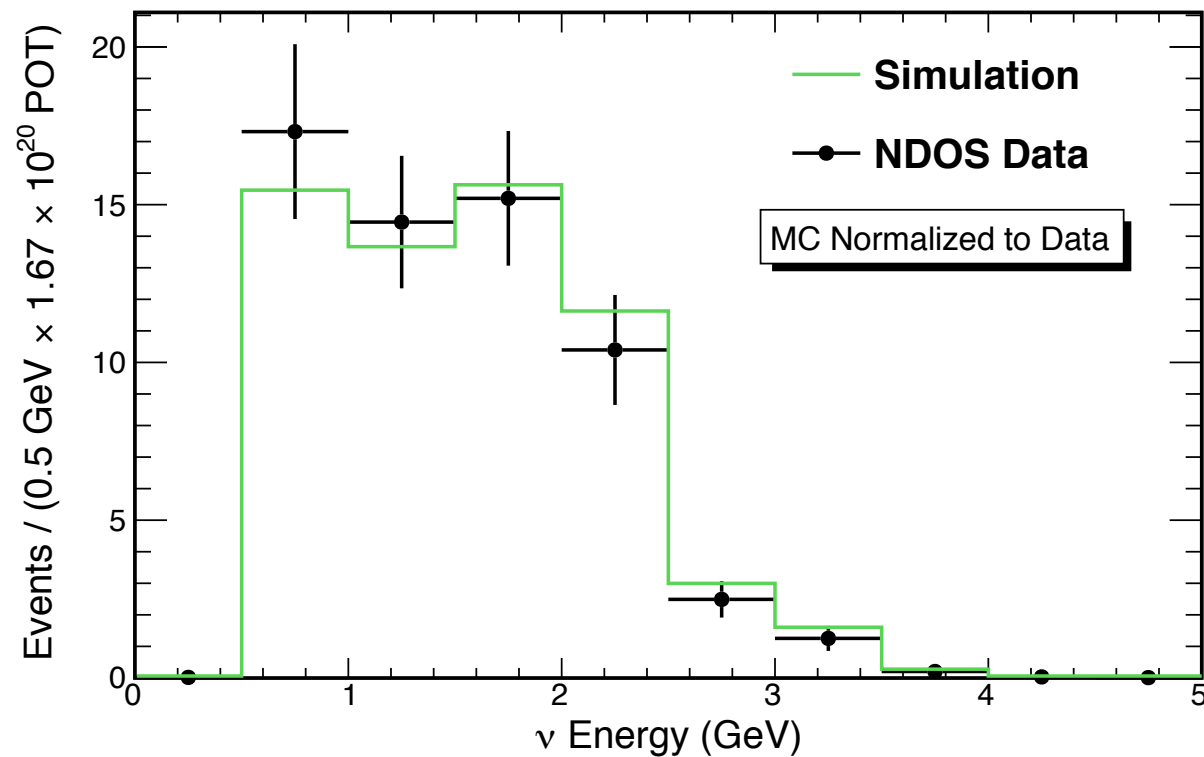
**total # of  $\nu_\mu$  CC candidates** = (in-time) - (out-of-time) = **213.5**



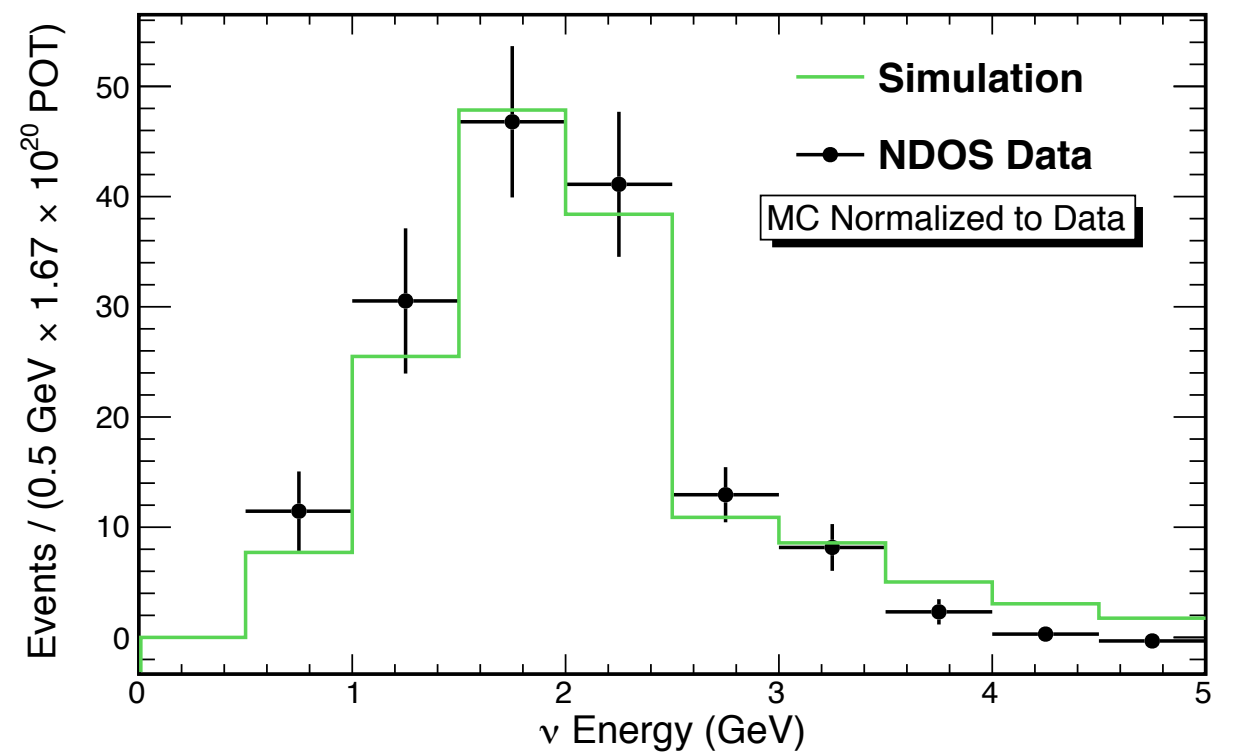


# $\nu_\mu$ CC Inclusive - Reconstruction of Neutrino Energy

$\nu$  Energy. QE Selection. **NOvA preliminary**



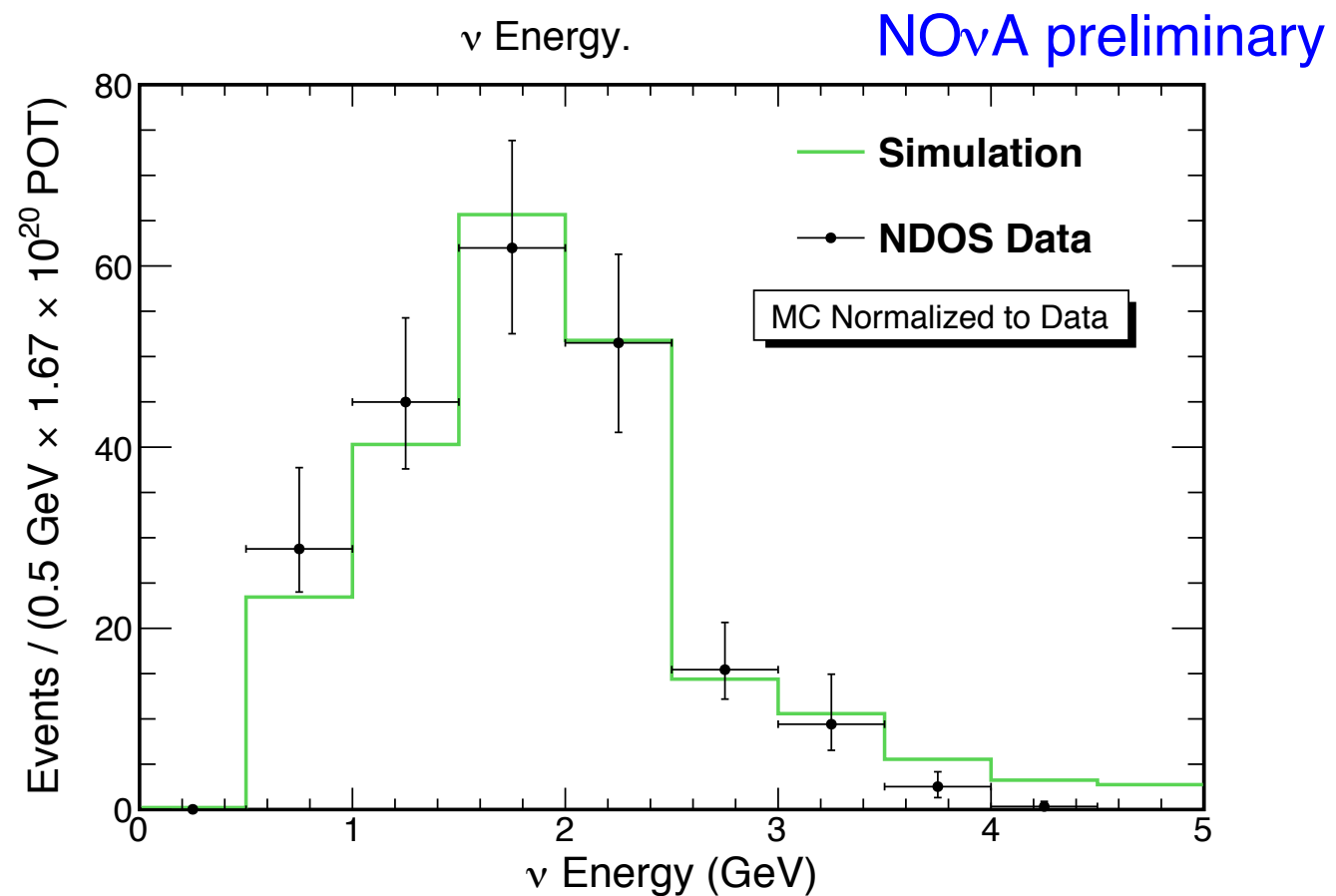
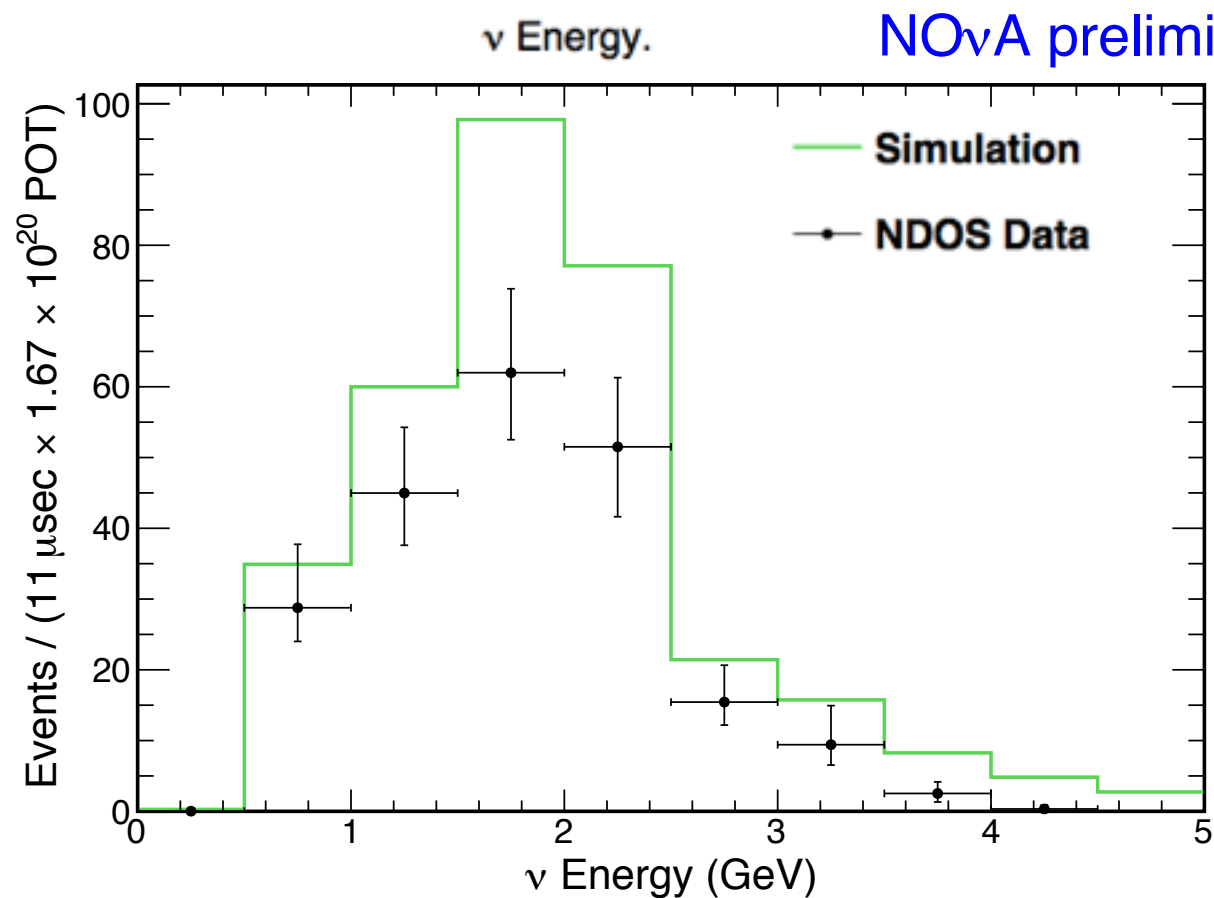
$\nu$  Energy. Non-QE Selection. **NOvA preliminary**



Shapes of energy distributions for data and MC show good agreement between 0.5 GeV and 4 GeV.

Data has  $\sim 35\%$  fewer events than MC predicts for both classifications of events. A study shows that the flux of  $\nu_\mu$  coming from kaon decay (the dominant contribution to neutrino production for  $E_\mu > 1.5$  GeV) is  $\sim 1/3$  less than that predicted by MC, so the normalization discrepancy is due to an over-estimation of the K flux in the MC.

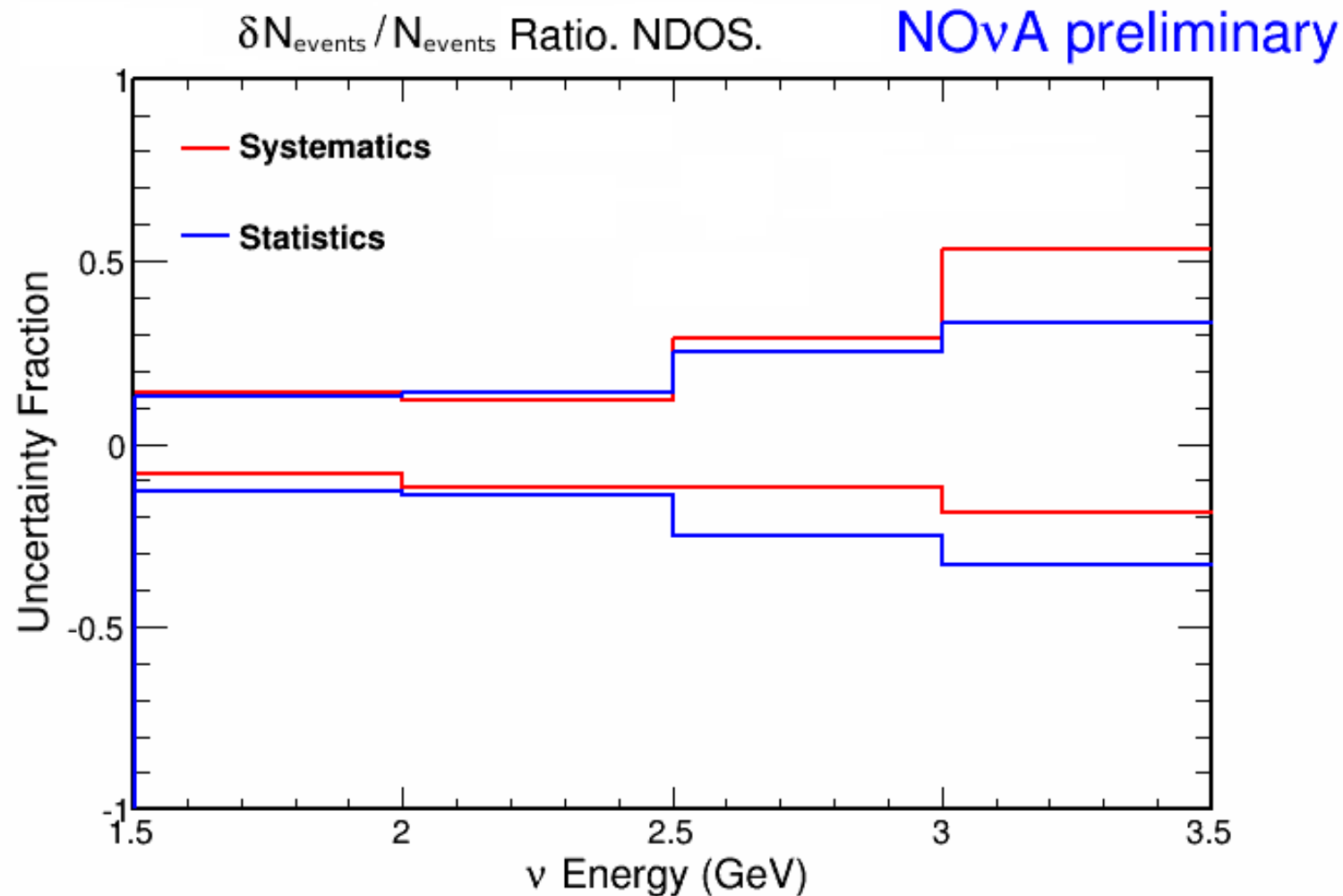
# $\nu_\mu$ CC Inclusive - Reconstruction of Neutrino Energy



Shapes of energy distributions for data and MC show good agreement between 0.5 GeV and 4 GeV.

MC predicts 328.1 events for the 213.5  $\nu_\mu$  CC candidates in the data.

# $\nu_\mu$ CC Inclusive - Systematic Uncertainties in Number of Events



Systematic and statistical uncertainties are comparable in the 2-2.5 GeV range where most of the events are located.

## Modeling of Neutrino Interactions in the Detector - ~20% at energies of interest

- uncertainties in background components and in neutrino interaction cross sections were calculated using GENIE reweighing tools

## Detector Performance Uncertainties - ~15% at energies of interest

- due to any difference between actual bad channels for a data run and the bad channel map used in MC, calculated by varying the channel configurations

## $\nu_\mu$ Energy Uncertainty - ~10% at energies of interest

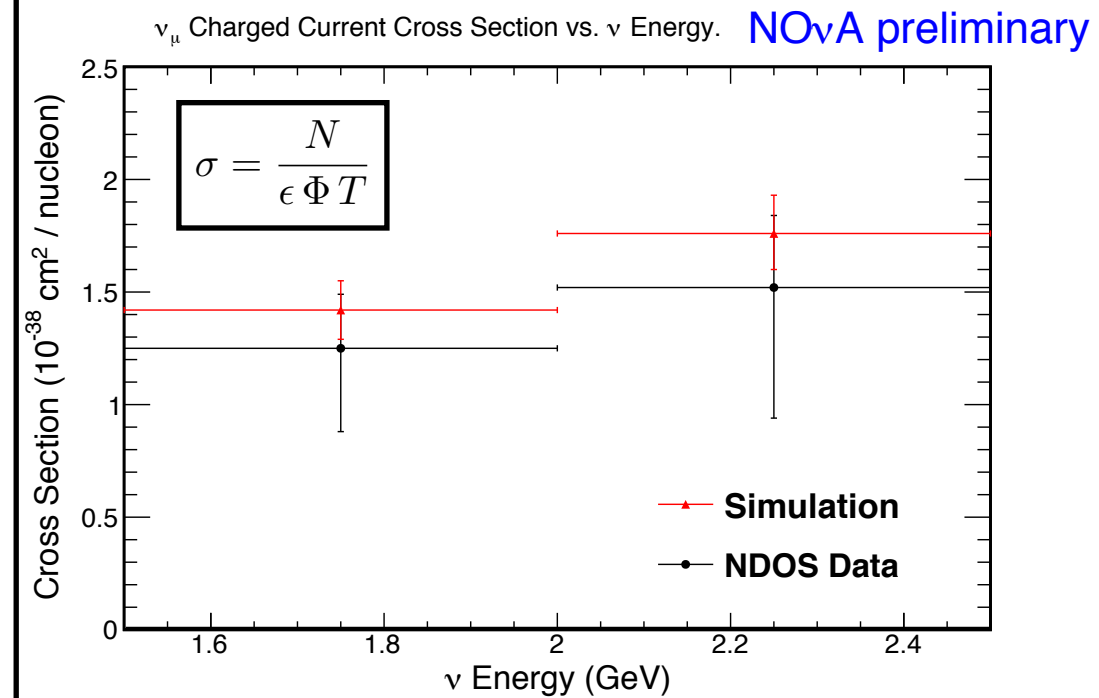
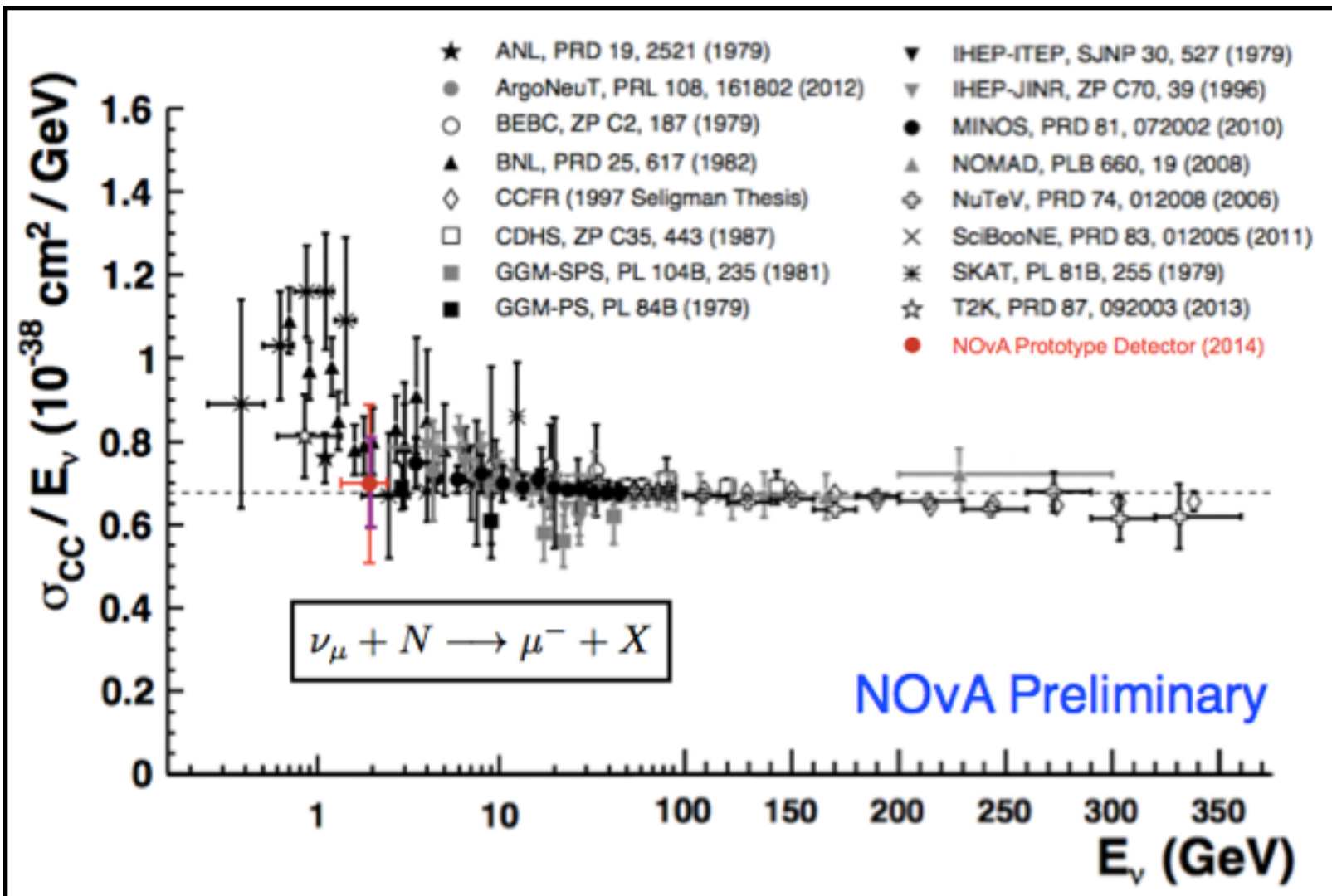
- calculated from uncertainty in measured track lengths and  $dE/dx$  of tracks

## Unfolding Uncertainty - ~5% at energies of interest

- calculated using the initial and final iterations of the unfolding algorithm as well as by varying the cross section model and axial mass used



# $\nu_\mu$ CC Inclusive Cross Section Results



Plot taken from J. Beringer *et al.* (Particle Data Group), Phys. Rev. D86, 010001 (2012) and 2013 partial update for the 2014 edition

$$\sigma_{data} = (1.389 \pm 0.219 \text{ stat } {}^{+0.330}_{-0.383} \text{ sys}) \times 10^{-38} \text{ cm}^2 \text{ at } 1.97 \text{ GeV}$$

Measurement is in agreement with previous measurements made by BNL and SciBooNE.



# Summary

- The NOvA prototype detector has been taking data 6.1° off-axis of the NuMI beamline since November 2011.
- Two detector configurations were realized. Data corresponding to a total of  $\sim 1.8 \times 10^{20}$  POT were collected.
- Measurements of the  $\nu_\mu$  CCQE and  $\nu_\mu$  CC inclusive cross sections as a function of energy have been made. These span the energy range between 0.6 GeV and 2 GeV and agree with previous measurements from ANL, BNL, MiniBooNE, ...
- The NOvA Near Detector is now complete (as of August 15th) and taking data 14 mrad off-axis of the NuMI beam. The off-axis positioning results in a neutrino spectrum that is sharply peaked around 2 GeV and a flux at that energy that is higher than for on-axis. Cross section measurements are some of the many possible measurements to be made.



# For more Information on NOvA

see talks by

- Xuebing Bu (60), “The NOvA Experiment”
- Nicholas Raddatz (85), “Event and Energy Reconstruction in NOvA”

see posters by

- Susan Lein, “Energy Estimation for the NOvA  $\nu_\mu$  Disappearance Analysis”
- Nicholas Raddatz, “Event Selection for the NOvA  $\nu_\mu$  Disappearance Analysis”

