

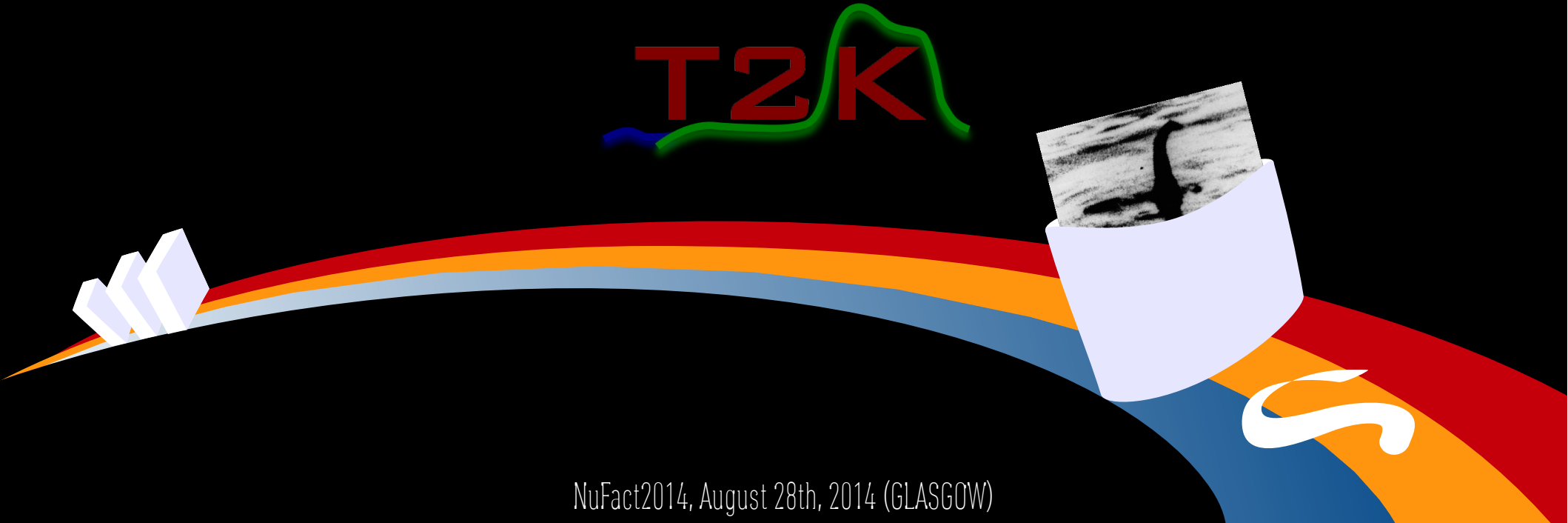


NuFact2014

Short baseline oscillation measurements at T2K

Javier Caravaca-Rodríguez

on behalf of the T2K collaboration



NuFact2014, August 28th, 2014 (GLASGOW)

## Short baseline $\nu_e$ disappearance at ND280

1. Motivation: Reactor and gallium anomalies
2.  $\nu_e$  selection at the T2K near detector (ND280)
3.  $\nu_e$  disappearance at ND280 in the 3+1 model

## Short baseline $\nu_e$ appearance in a $\nu_\mu$ beam at nuPRISM

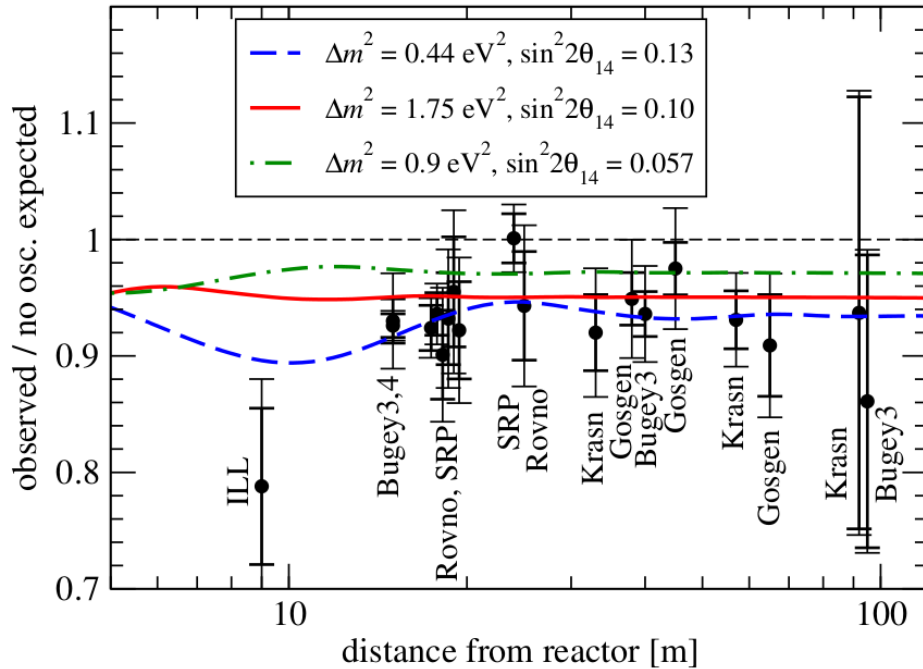
*Further details of the T2K experiments and oscillation results:*

- C. Bronner talk (WG1 Tuesday)
- S. Cartwright talk (WG1+2 Tuesday)

# Short baseline $\nu_e$ disappearance anomalies

## Reactor experiments

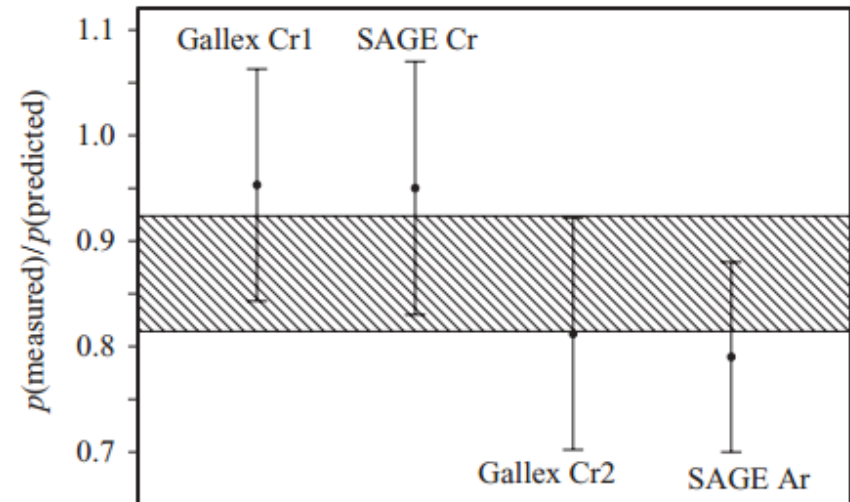
*Phys. Rev. D 83, 073006 (2011)*



$$R = 0.927 \pm 0.023$$

## Experiments with Gallium

*Phys. Rev. C 80, 015807 (2009)*



$$R = 0.87 \pm 0.05$$

*Combined  $\sim 3.6\sigma$  exclusion of the null hypothesis*

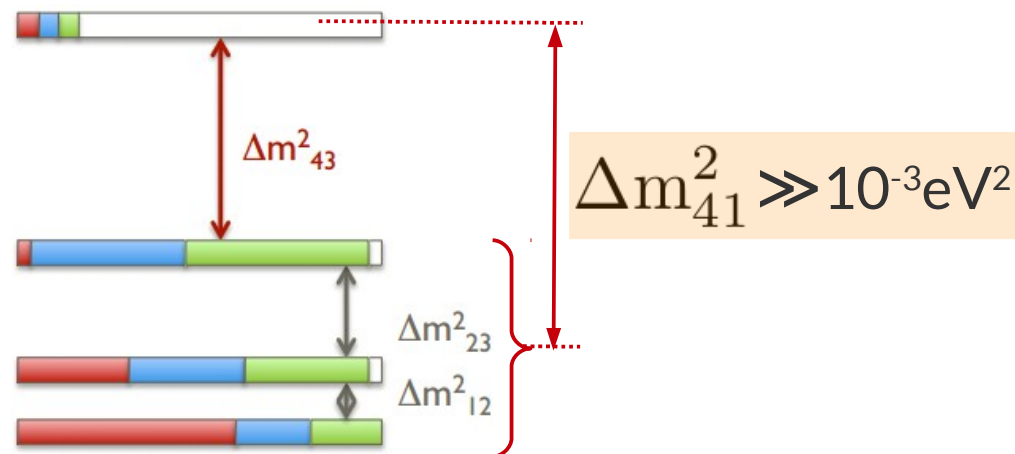
*Tensions between appearance and disappearance channels  $\rightarrow$  Study anomalies one by one starting from  $\nu_e$  disappearance*

# A possible solution: the 3+1 model

Sterile neutrino mixes with active neutrinos enabling active-sterile oscillations

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{bmatrix}$$

$$\sin^2(2\theta_{ee}) = 4|U_{e4}|^2(1 - |U_{e4}|^2)$$

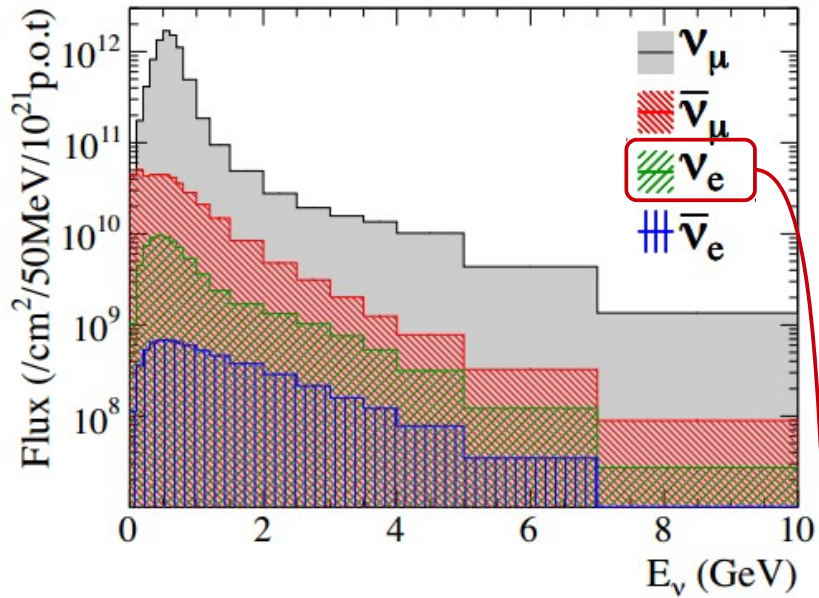


$\nu_e \rightarrow \nu_s$ :  $\nu_e$  disappearance at short baseline

$$P_{ee} = P(\nu_e \rightarrow \nu_e) \sim 1 - \sin^2(2\theta_{ee}) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E[\text{MeV}]} \right)$$

# $\nu_e$ component of the T2K beam

Flux prediction (Beam MC)

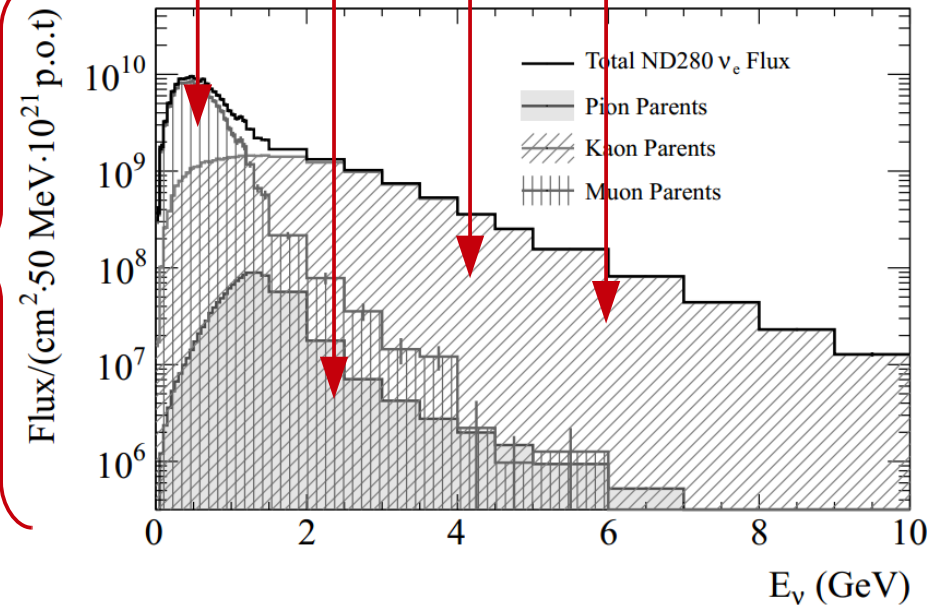


$\nu_\mu$  ~93%     $\bar{\nu}_\mu$  ~6%

$\nu_e$  ~1.1% (~0.5% at the peak)

Particle (GeV)	Decay channel	Branching ratio (%)
$\pi^+$	$\rightarrow \mu^+ \nu_\mu$	99.9877
	$\rightarrow e^+ \nu_e$	$1.23 \times 10^{-4}$
$K^+$	$\rightarrow \mu^+ \nu_\mu$	63.55
	$\rightarrow \pi^0 \mu^+ \nu_\mu$	3.353
	$\rightarrow \pi^0 e^+ \nu_e$	5.07
$K_L^0$	$\rightarrow \pi^- \mu^+ \nu_\mu$	27.04
	$\rightarrow \pi^- e^+ \nu_e$	40.55

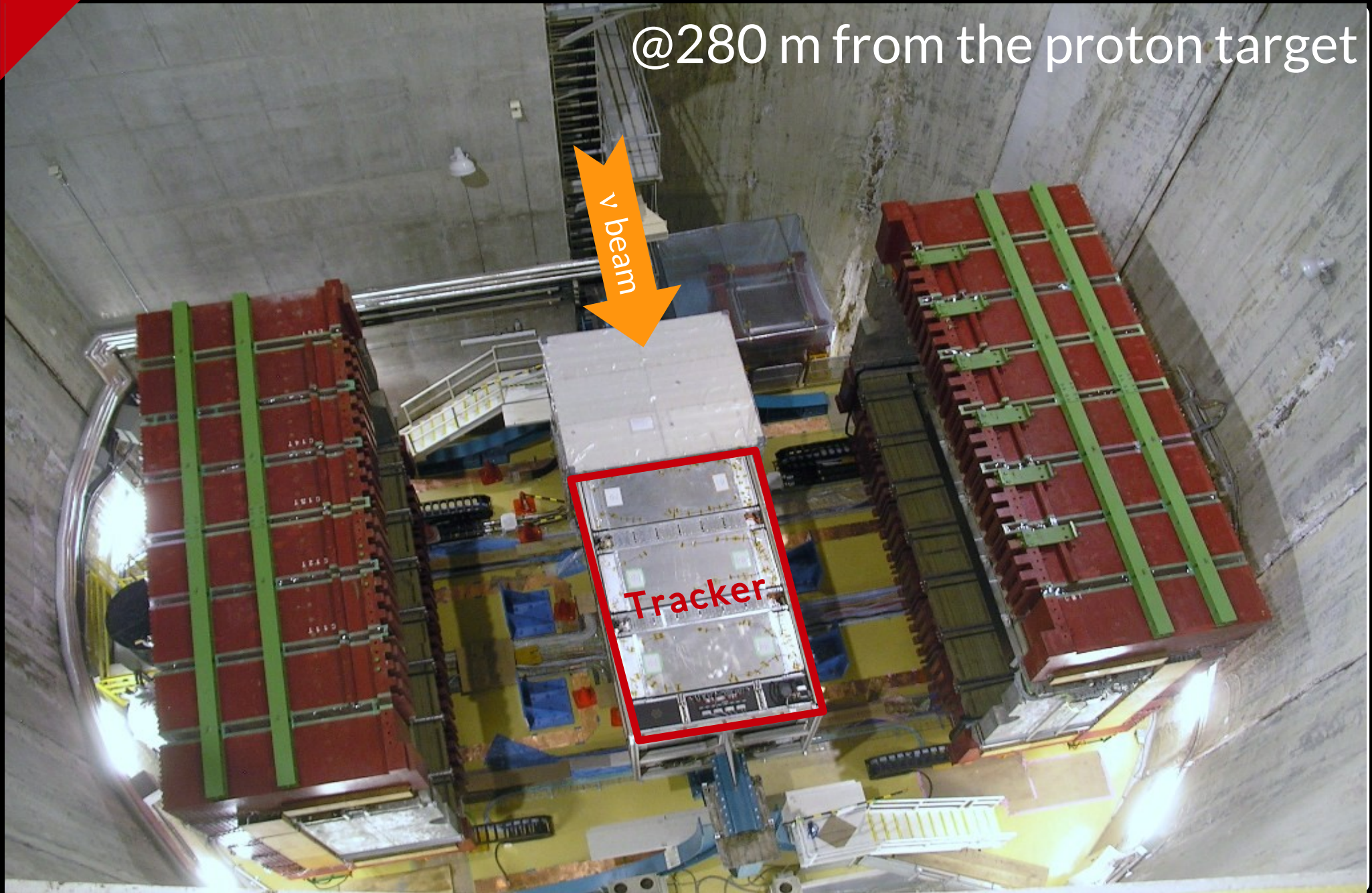
$$\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$$



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# The T2K off-axis near detector: ND280

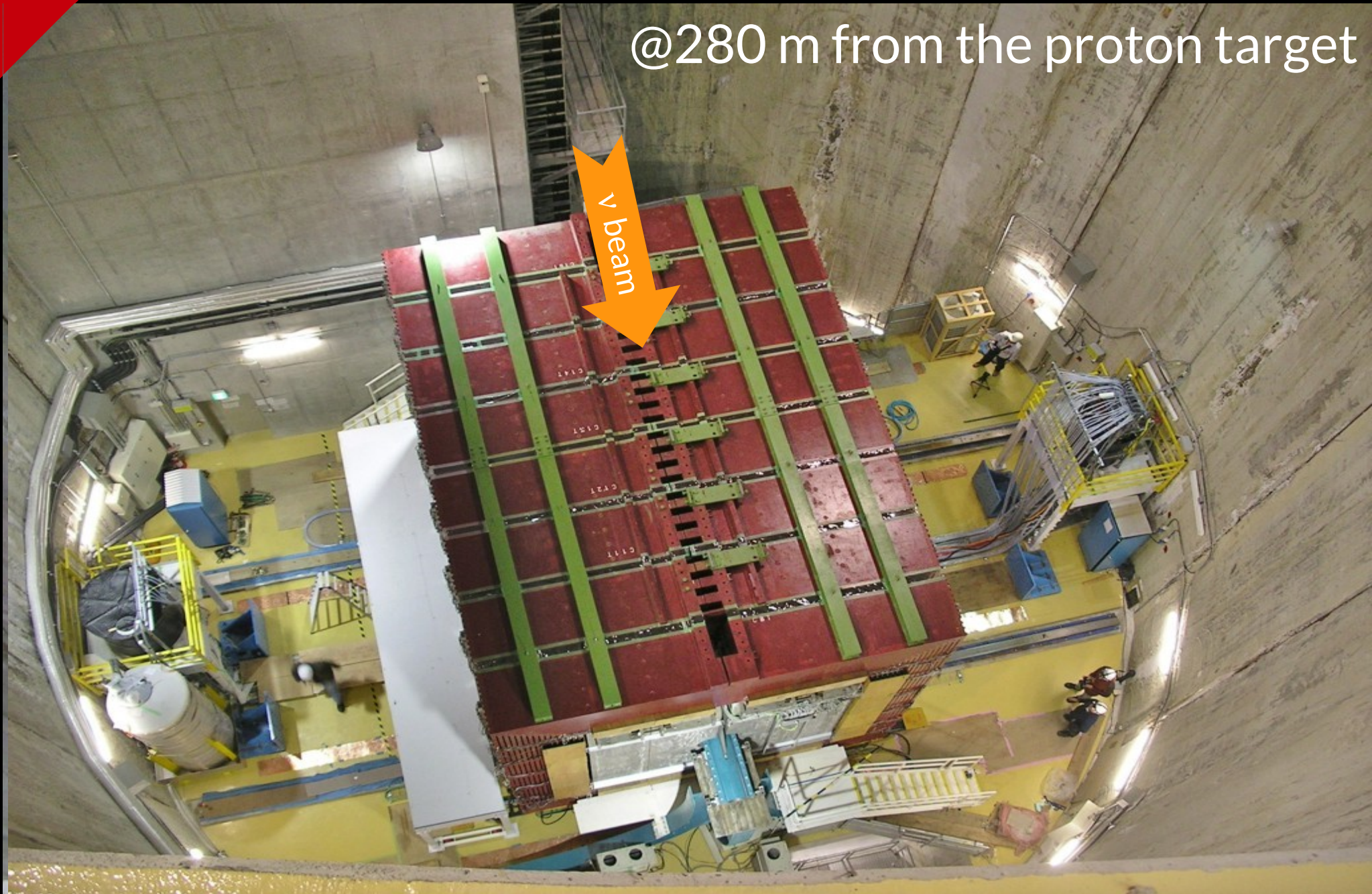
@280 m from the proton target



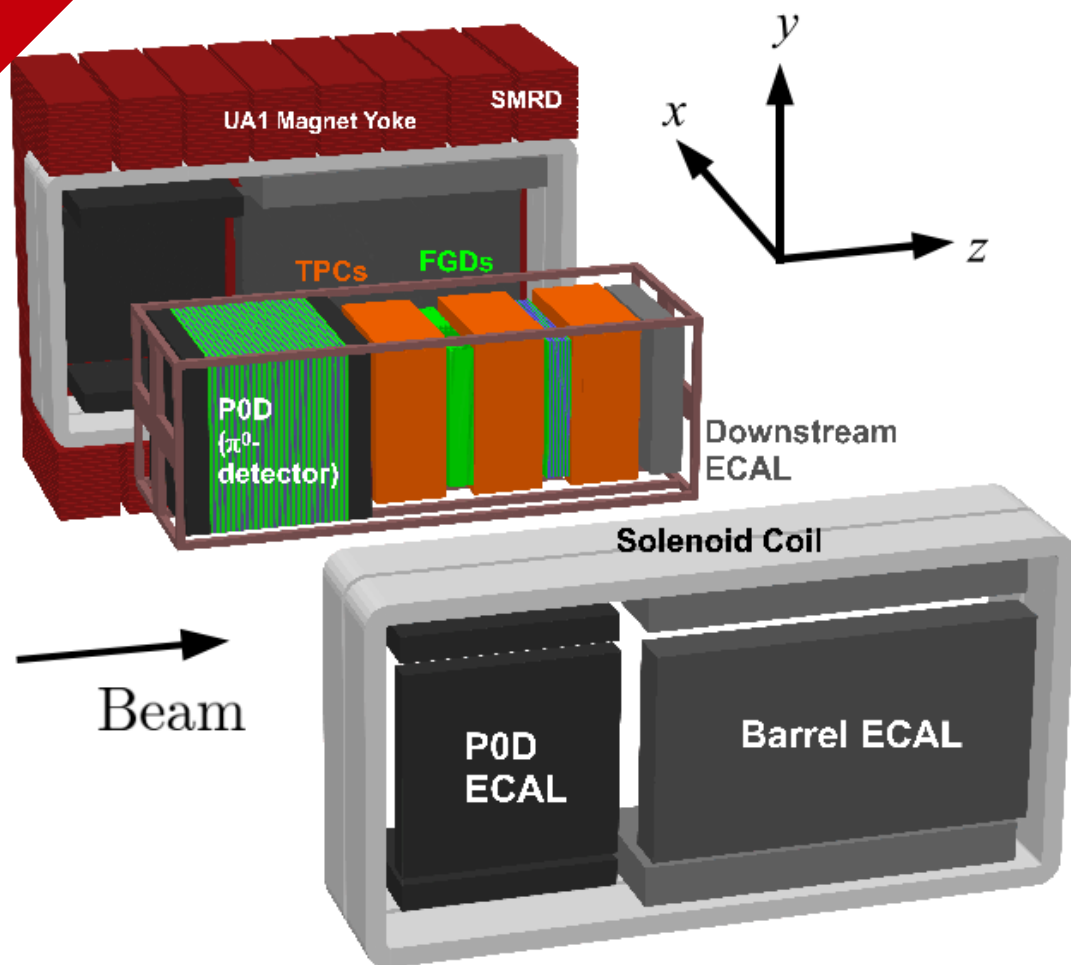
7

# The T2K off-axis near detector: ND280

@280 m from the proton target

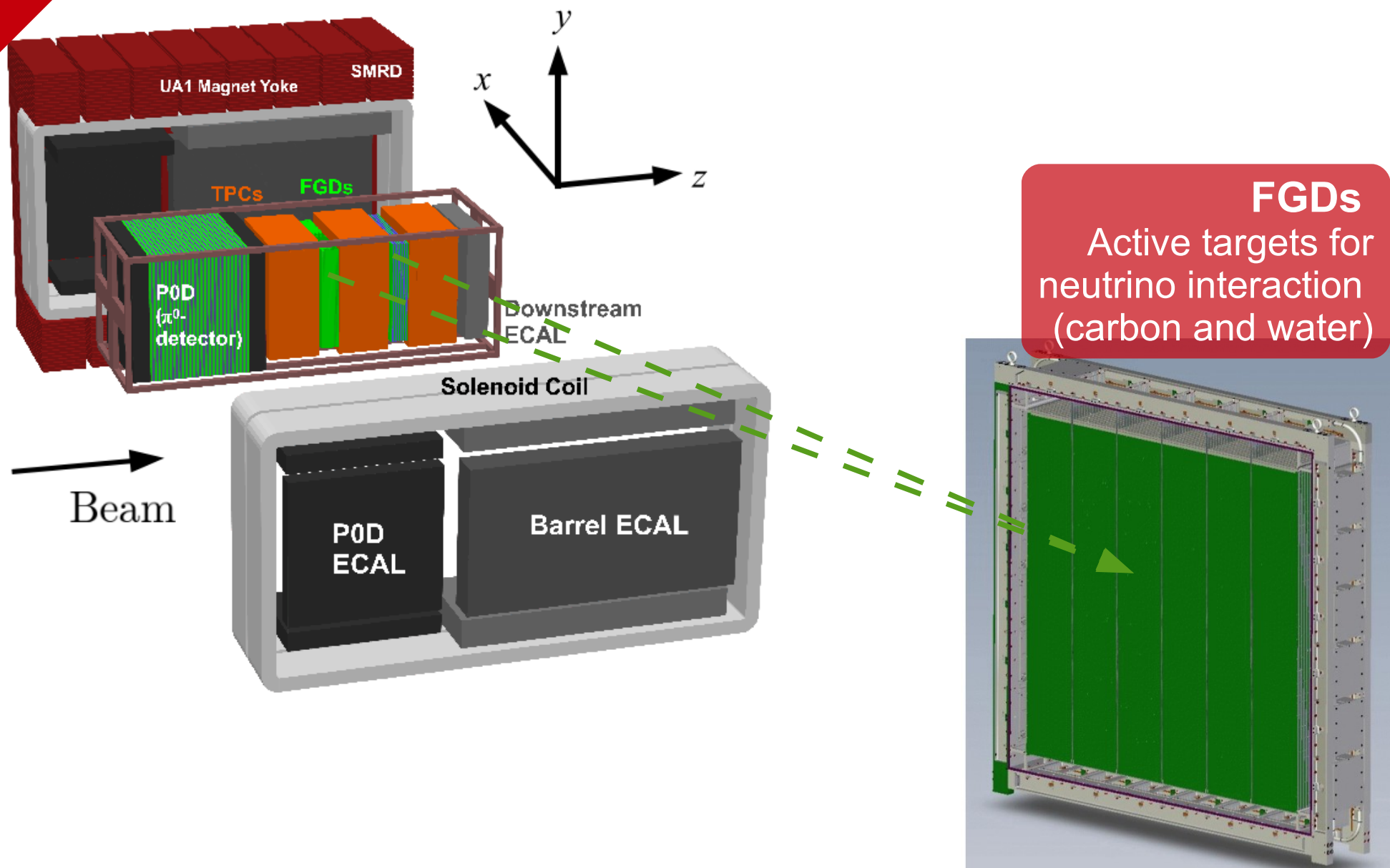


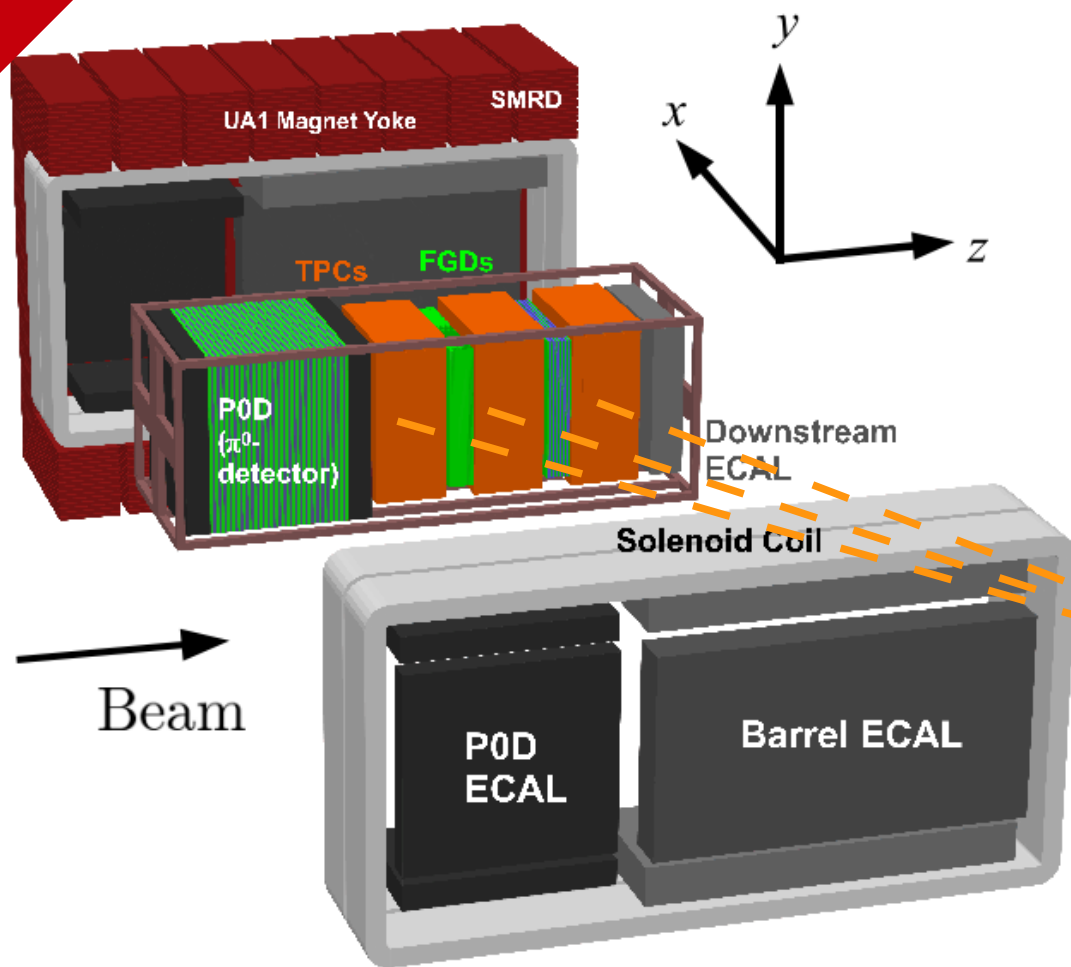
## ND280 in parts



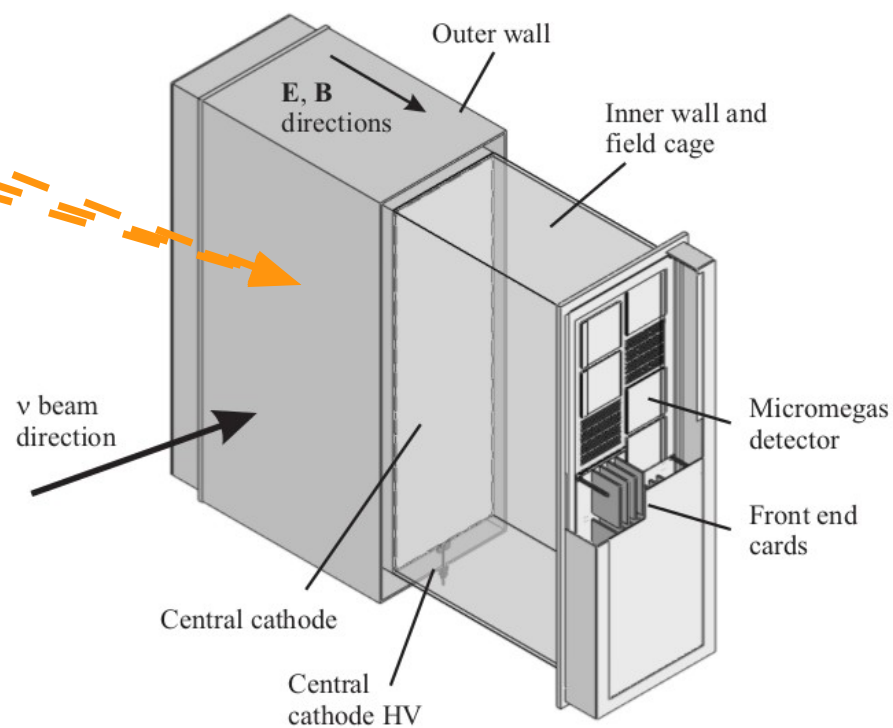


## ND280 in parts

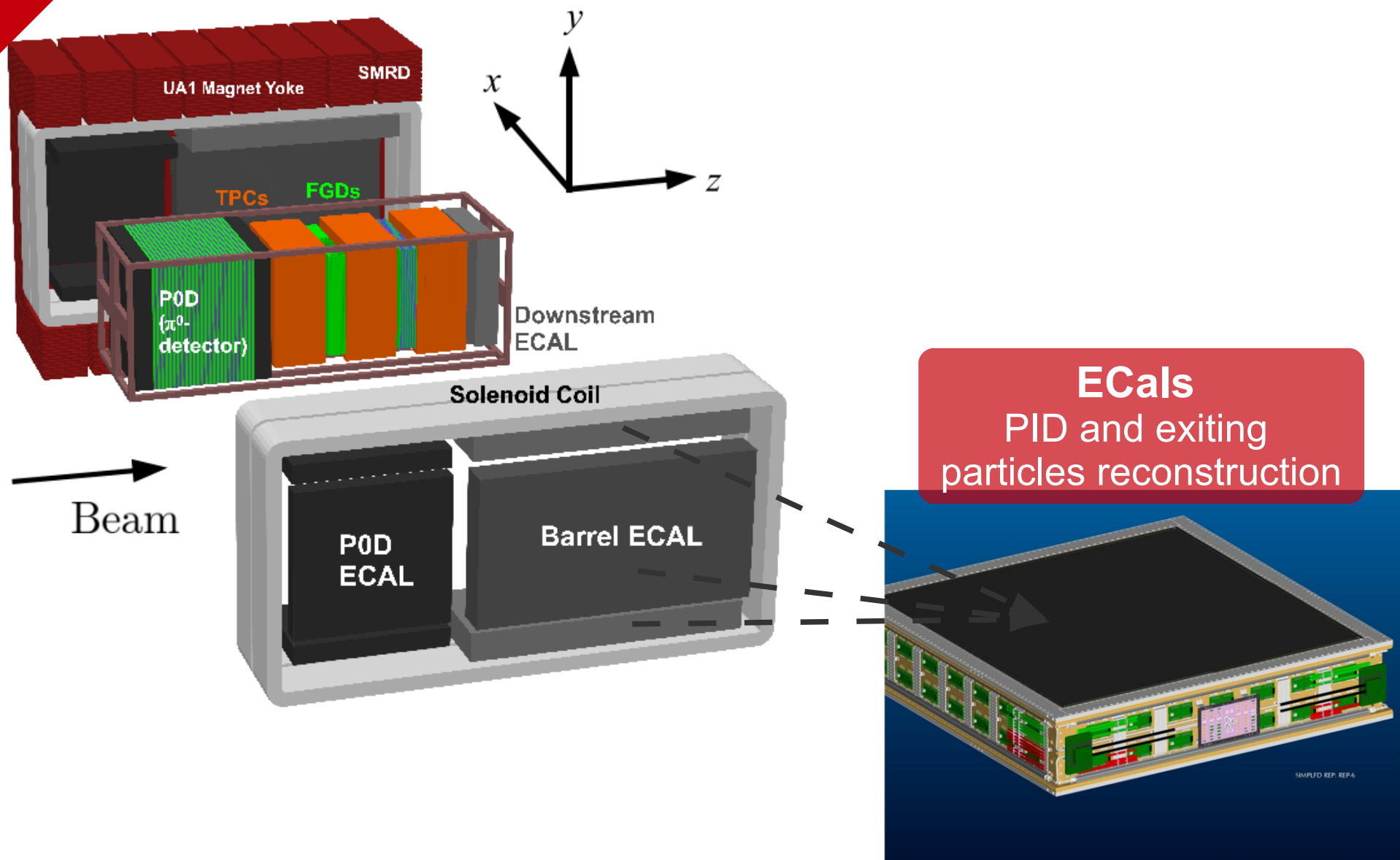




**TPCs**  
tracking, momentum measurement  
and PID capabilities

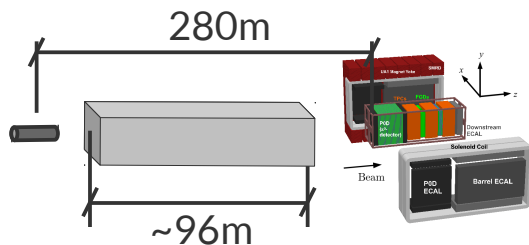
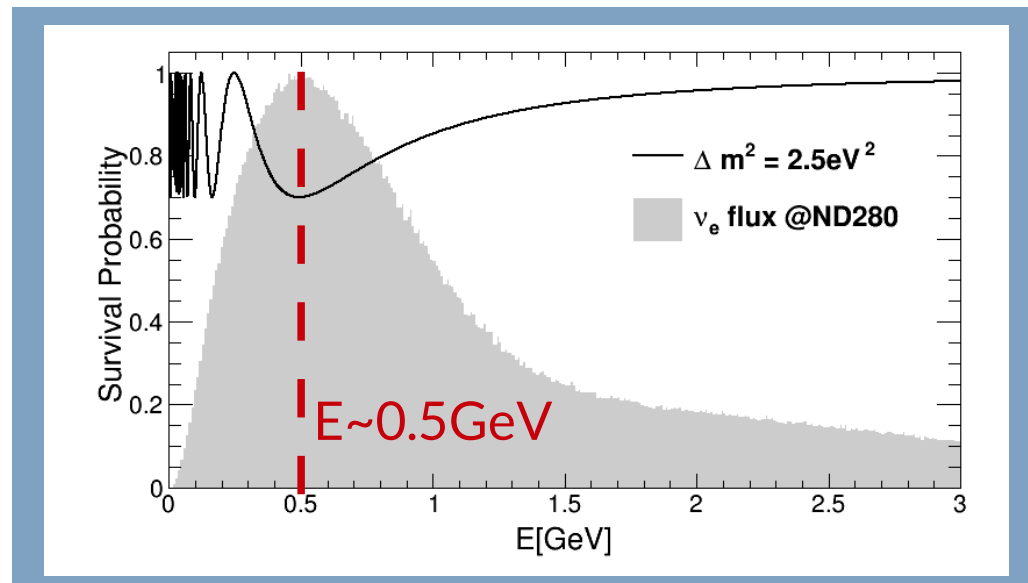
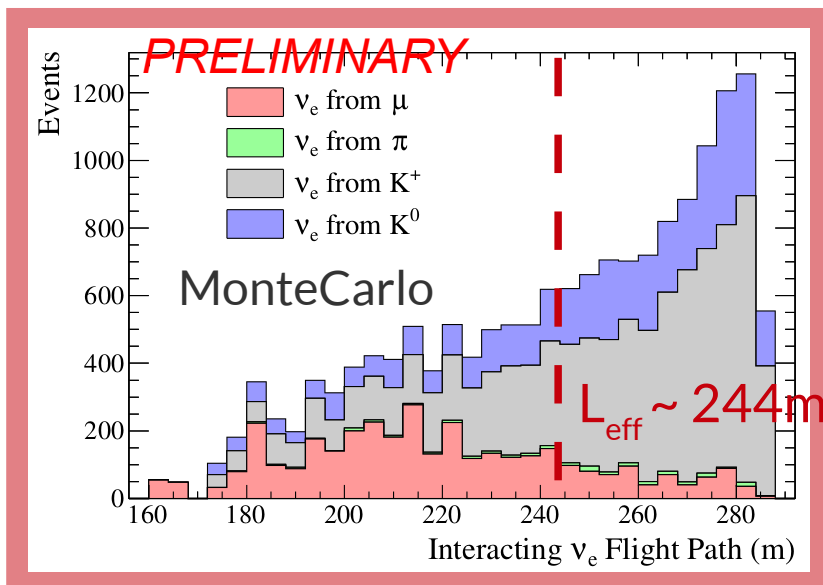


## ND280 in parts



$\nu_e$  disappearance at ND280

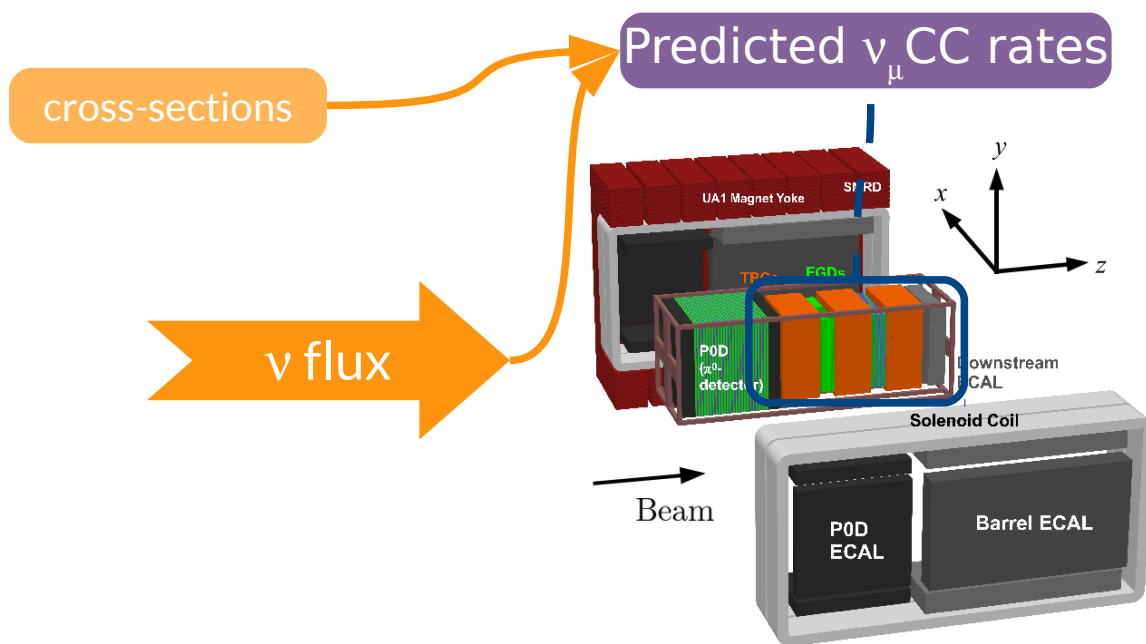
$$P_{ee} = P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta_{ee}) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E[\text{MeV}]} \right)$$

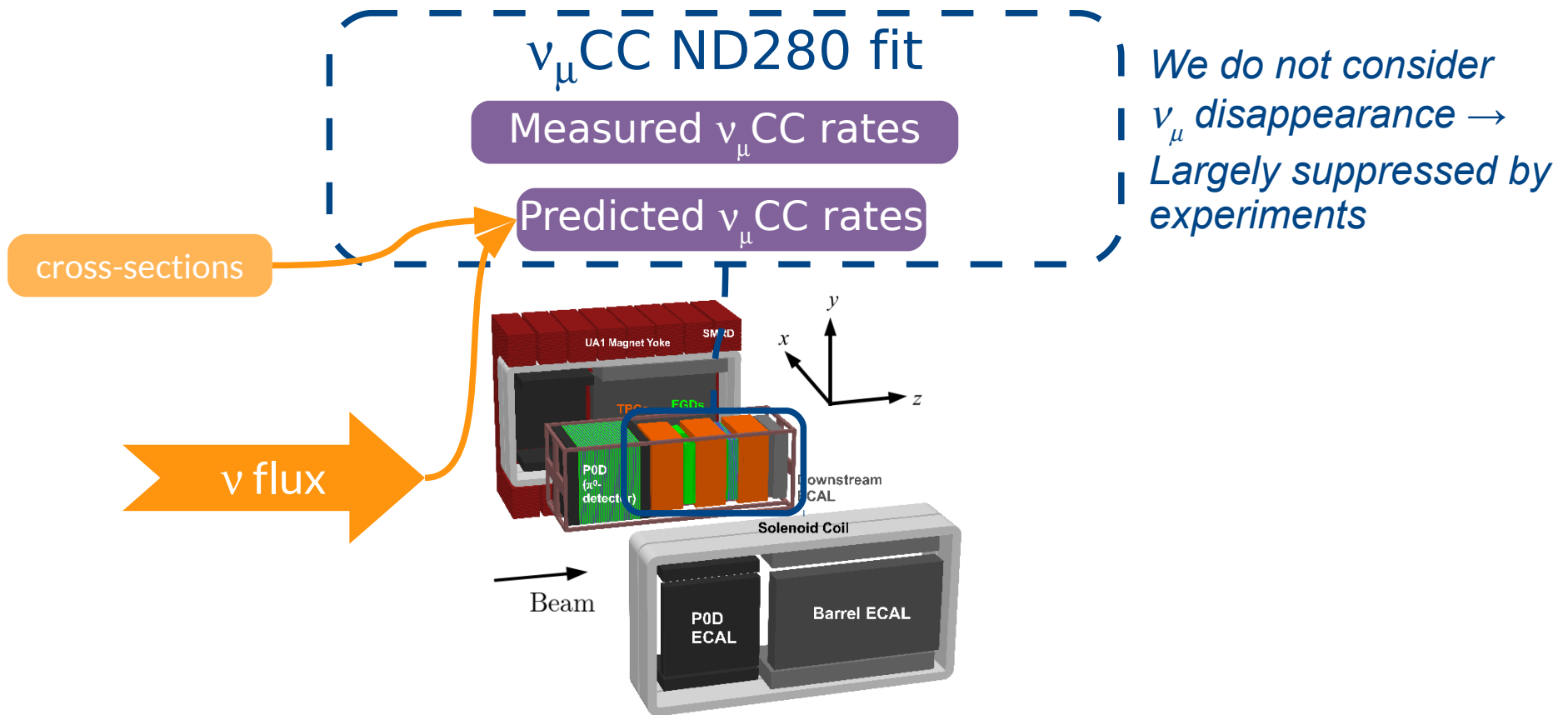


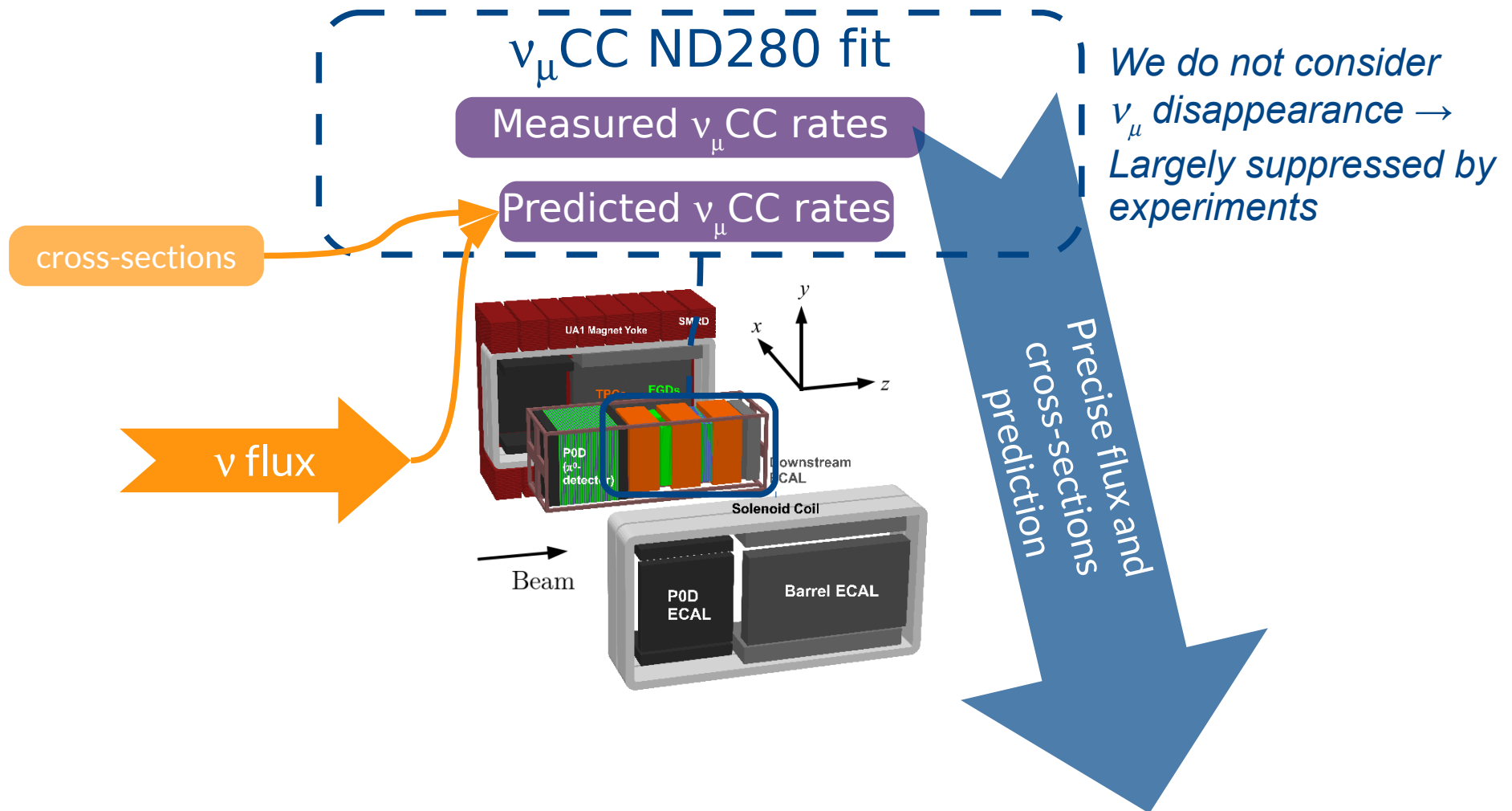
ND280 is sensitive around

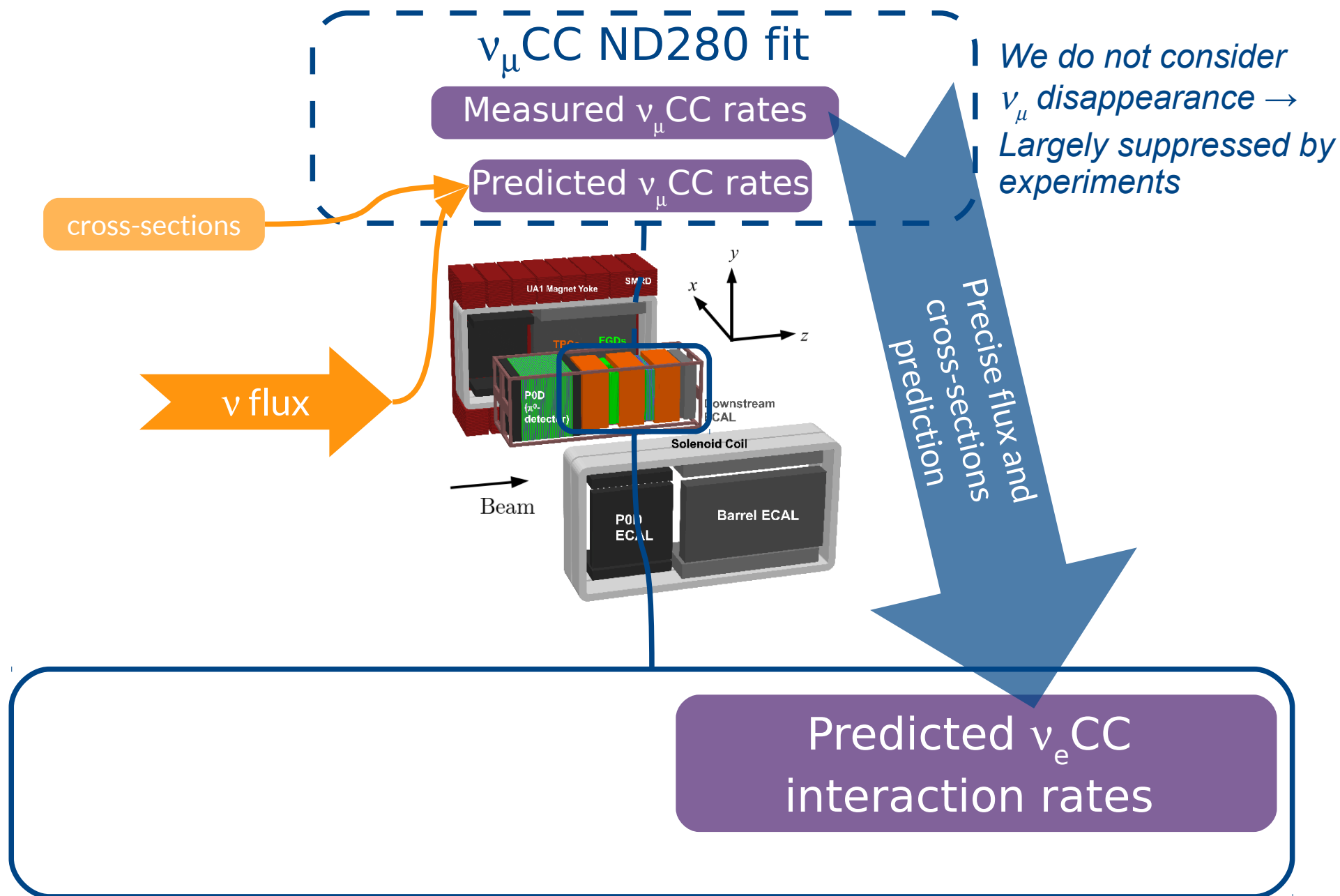
$$\Delta m_{41}^2 = \frac{\pi}{2 \times 1.27} \frac{E}{L_{\text{eff}}} \sim 3 \text{eV}^2$$

Test with ND280 the *reactor and gallium anomalies*  
in a  $\sim 1\text{GeV}$   $\nu_e$  beam



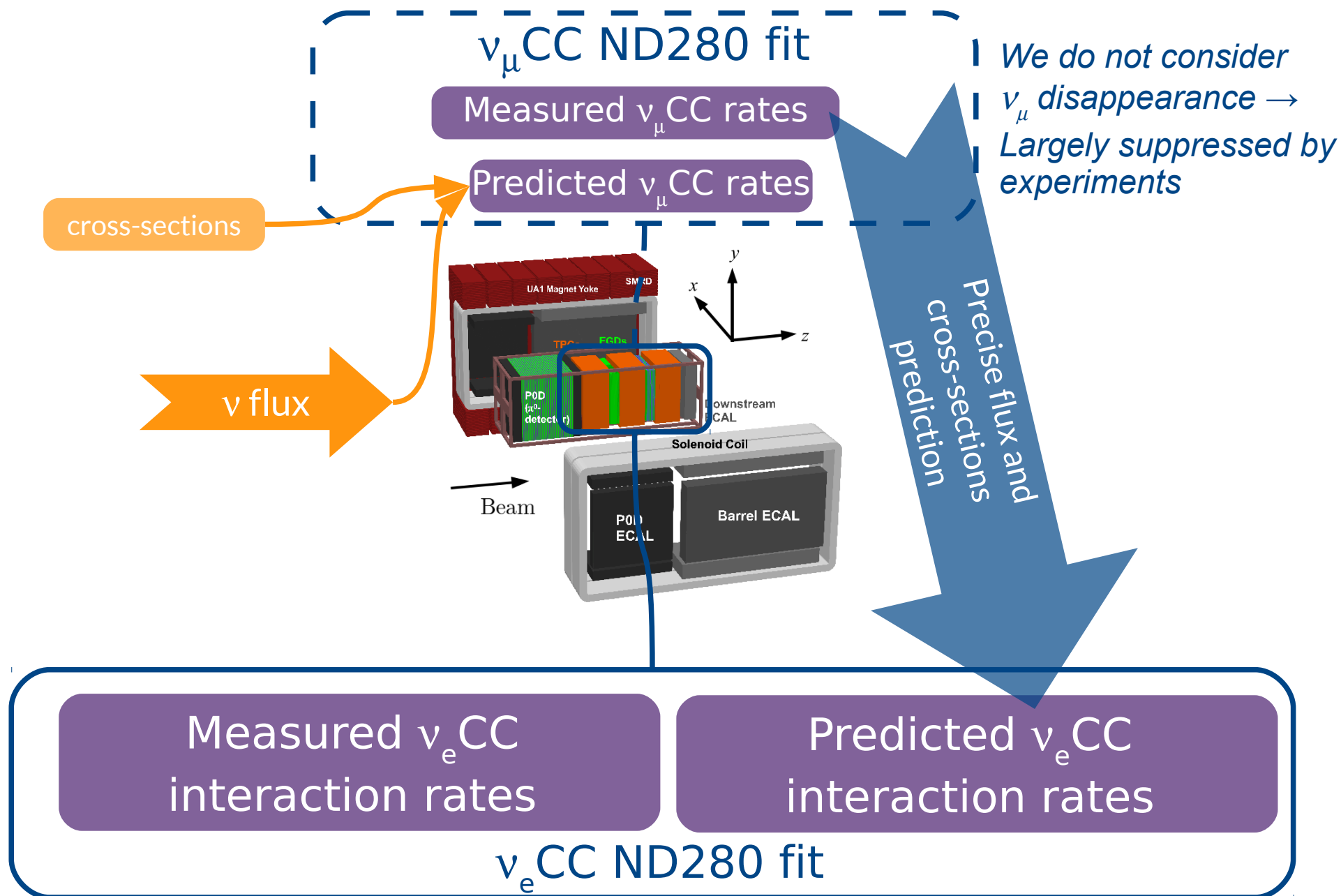








## Analysis overview

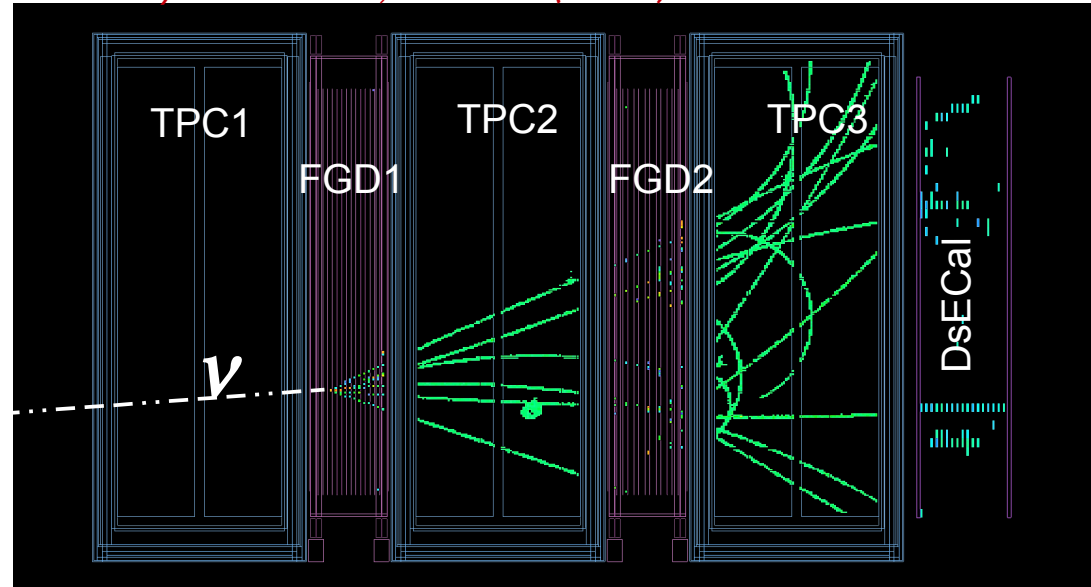
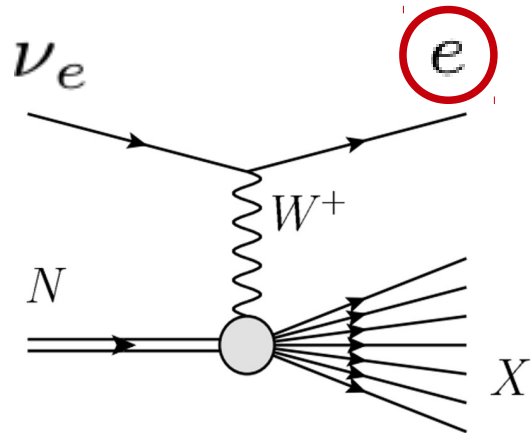


# $\nu_e$ CC selection: lepton selection

Further details: Luke Southwell poster about  $\nu_e$  and  $\bar{\nu}_e$  analyses

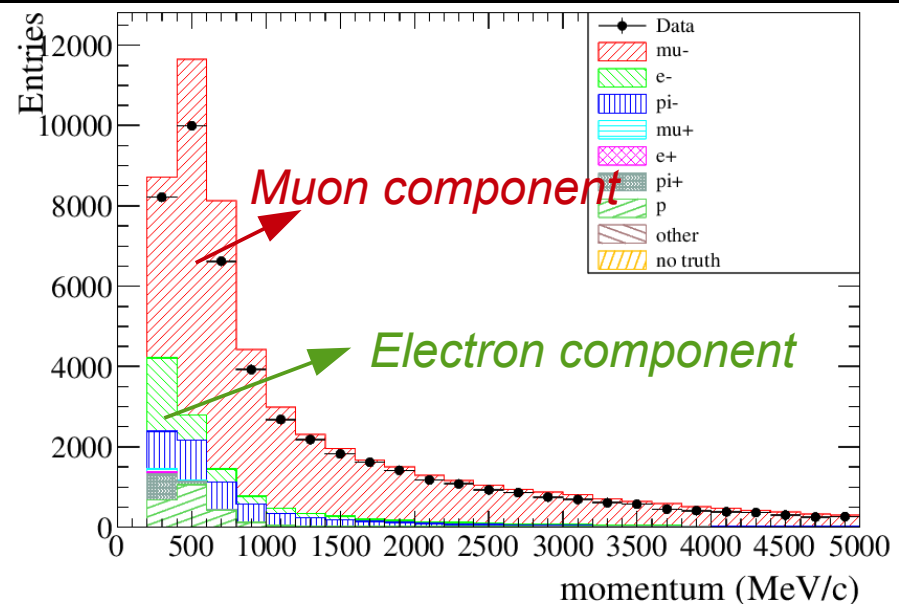
Beam  $\nu_e$  measurement: *Phys. Rev. D* 89, 092003 (2014)

Identify  $\nu_e$ CC interactions  
searching for *electrons*



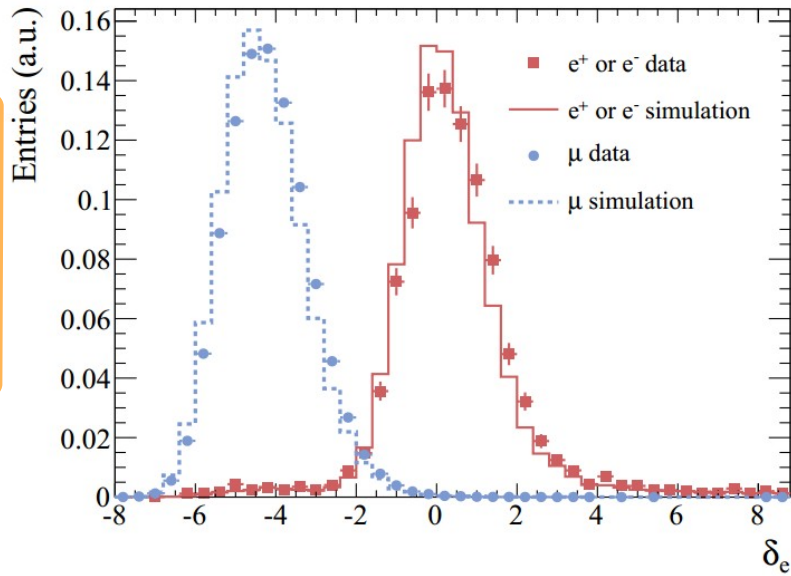
- Select the highest momentum negative track in a fiducial volume
- Look for an electron-like track applying the particle identification

$5.9 \times 10^{20}$  pot

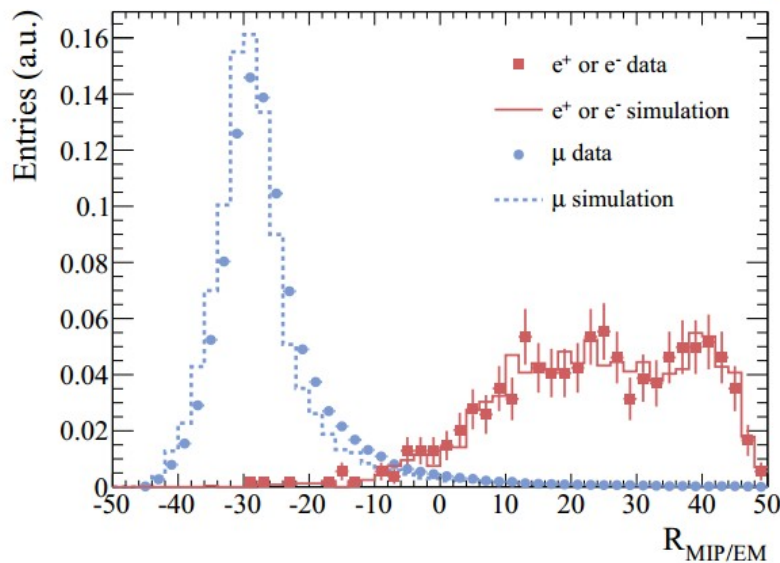


$\nu_e$ CC selection: electron identification

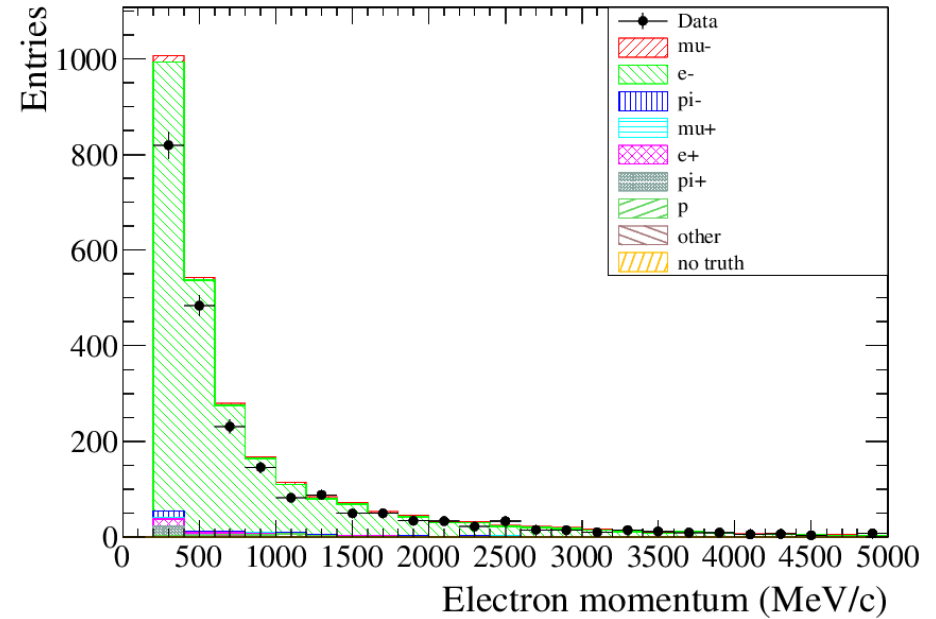
TPC PID



Ecal PID



Muon-electron misidentification  
for  $1\text{GeV} < 0.5\%$

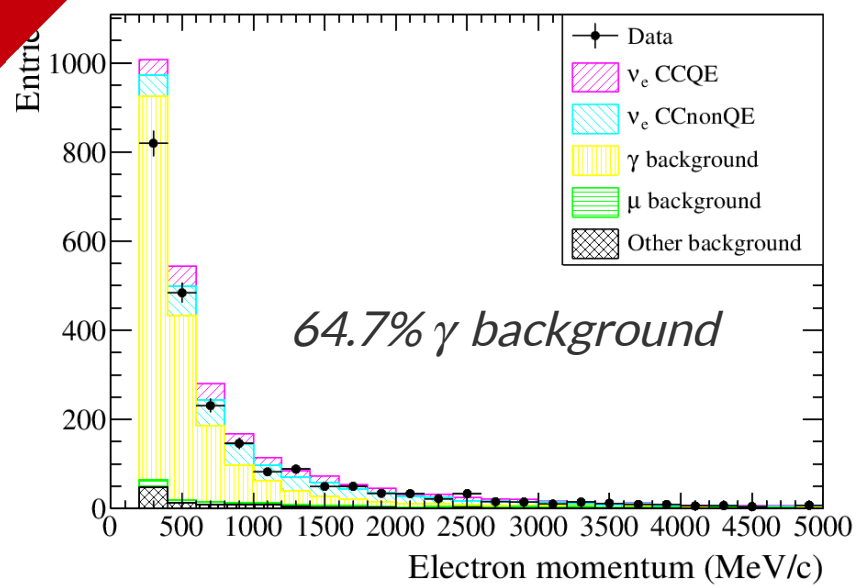


99.8% of the  $\mu$  rejected

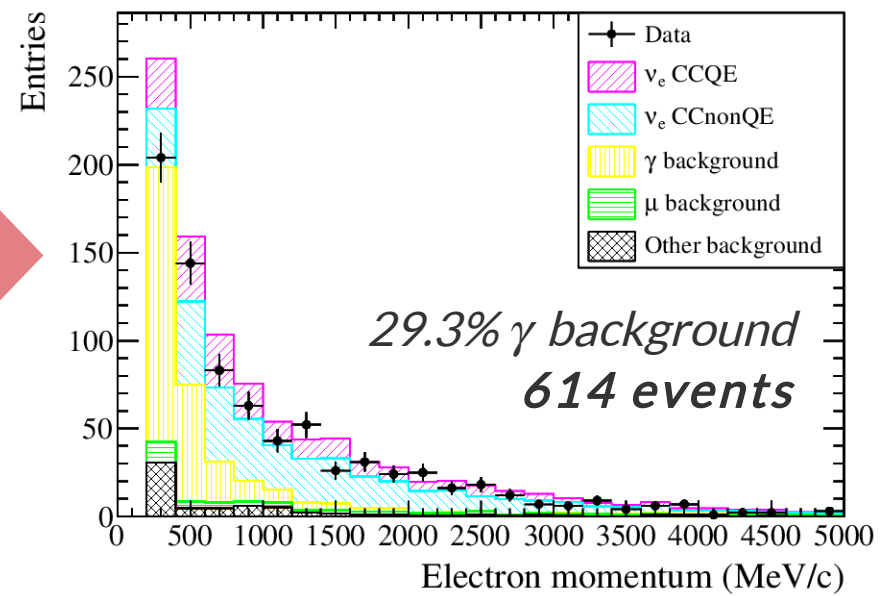
$\sim 5\%$  misidentified  $\mu$

$e^-$  purity of 91,7%  
 $e^-$  efficiency of 70%

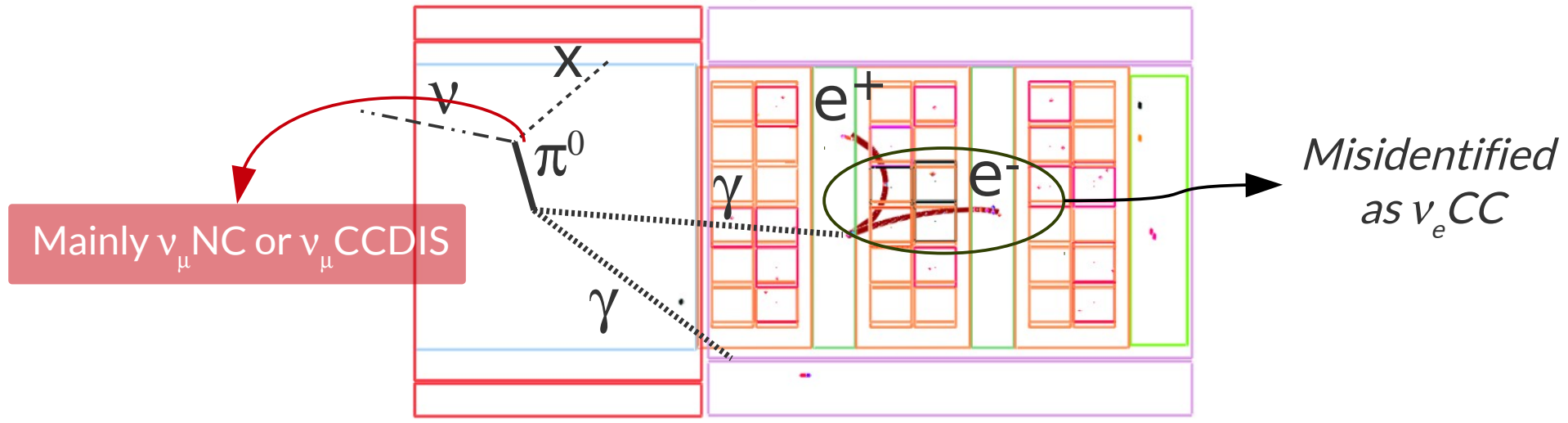
# $\nu_e$ CC selection: photon background



VETOES



$\gamma$  event



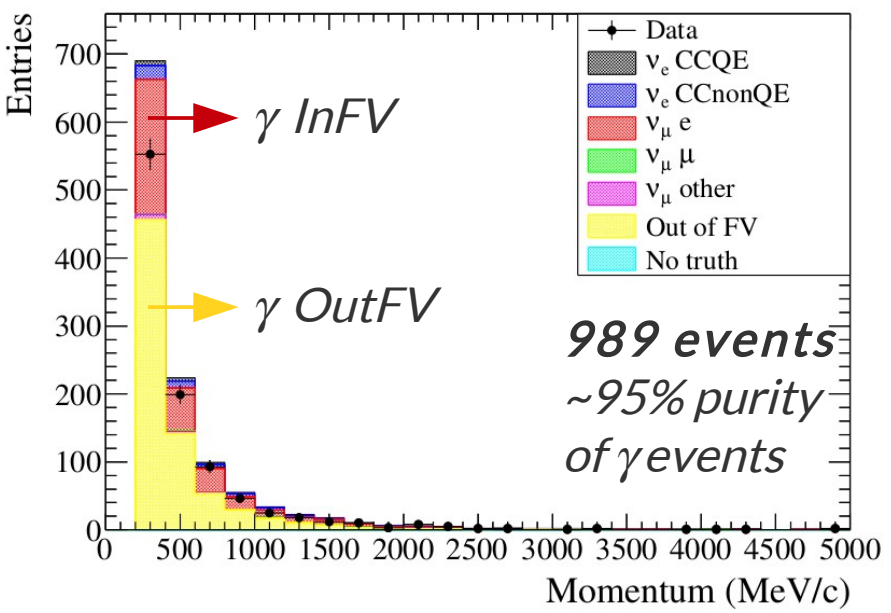
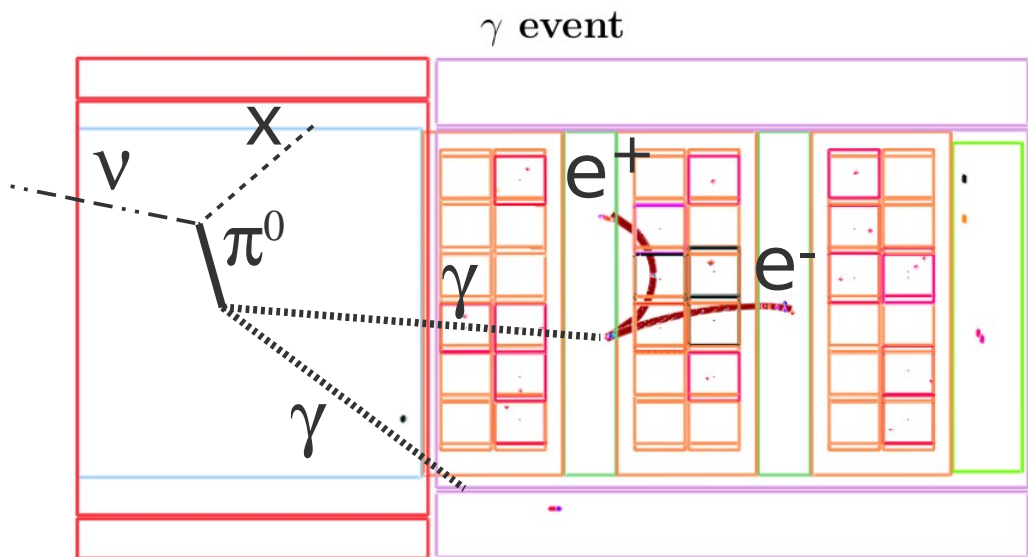
$\gamma$  background mainly concentrated at low momentum

# The photon conversion control sample

Develop a pair  $e^+e^-$  selection to control the dominant  $\gamma$  background

Look for close electron-like tracks with opposite charges and invariant mass smaller than 50MeV

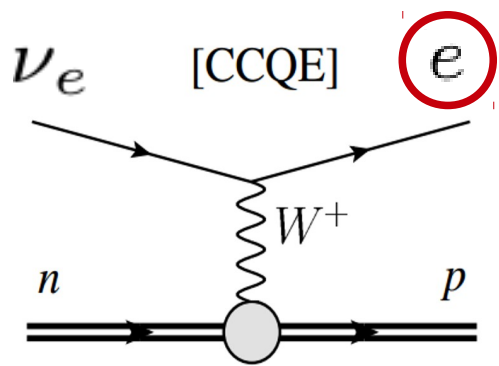
$$m_{inv} = \sqrt{2m_e^2 + 2(E_+^2 E_-^2 - p_+^2 p_-^2)}$$



62% come from Out of FV neutrino interactions → Similar break down as  $\nu_e$  background

# Reconstructed neutrino energy

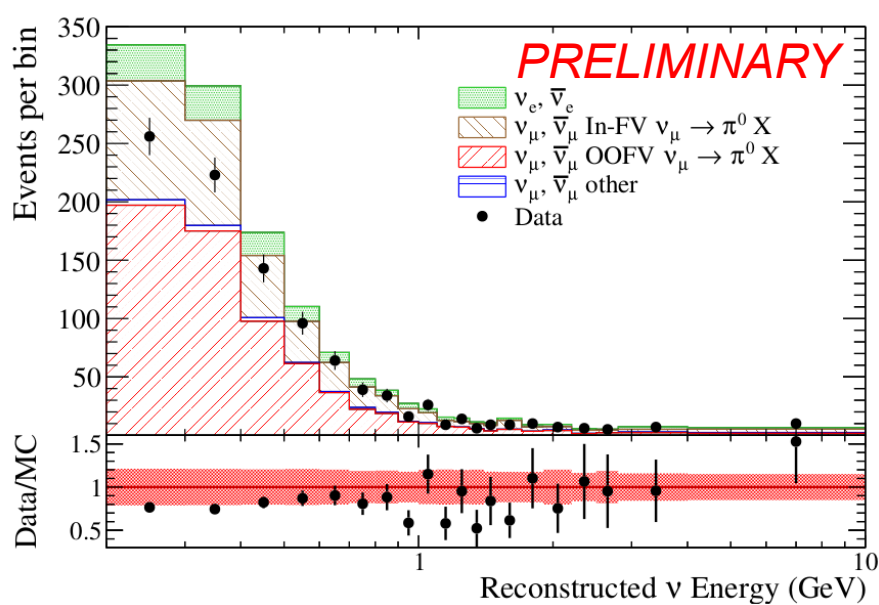
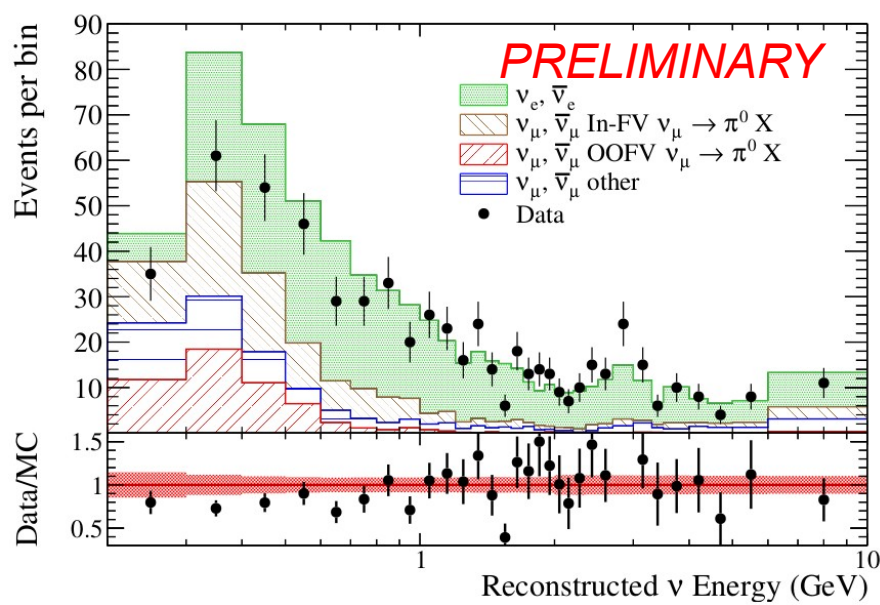
Reconstruct the neutrino energy in the CCQE hypothesis out of the electron kinematics



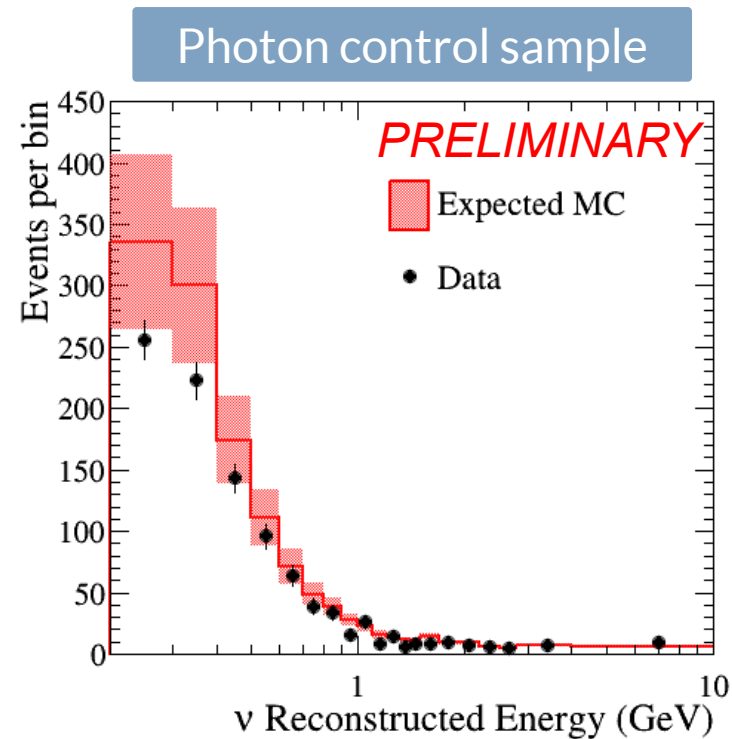
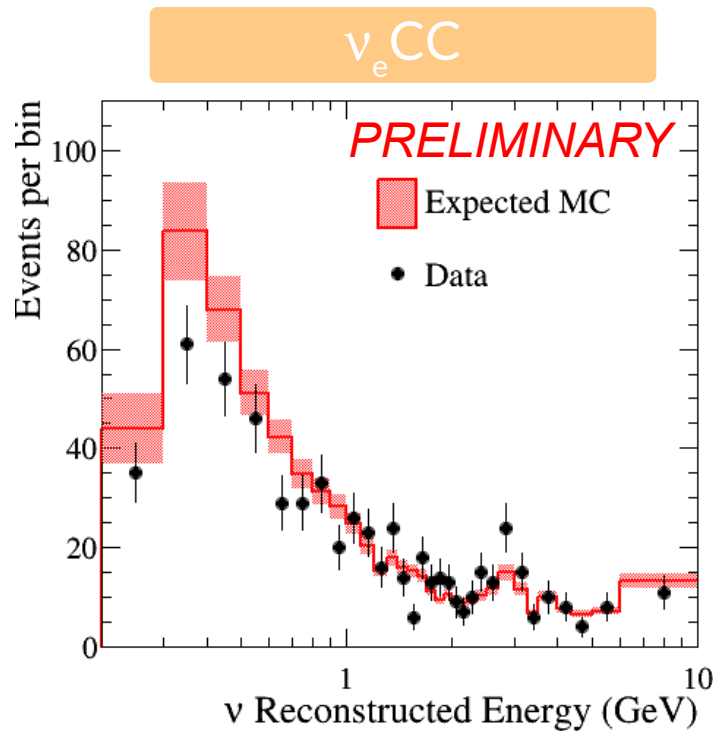
$$E_{Rec} = \frac{m_p^2 - (m_n - E_b)^2 - m_e^2 + 2(m_n - E_b)E_e}{2(m_n - E_b - E_e + p_e \cos \theta_e)}$$

$\nu_e$  CC

Photon control sample



## Systematic uncertainties



*Effect of the systematics in the selections (%)*

Error source (# param.)	$\nu_e$ sample (sig+bkg)	$\nu_e$ sample (sig only)	$\nu_\mu \rightarrow \pi^0 X$ sample
Flux and common (40) cross sections	4.4	5.2	6.7
Not common (5) cross sections	3.7	3.0	17.8
Detector + FSI (10)	5.1	5.5	5.5
Total (55)	7.6	8.1	19.9

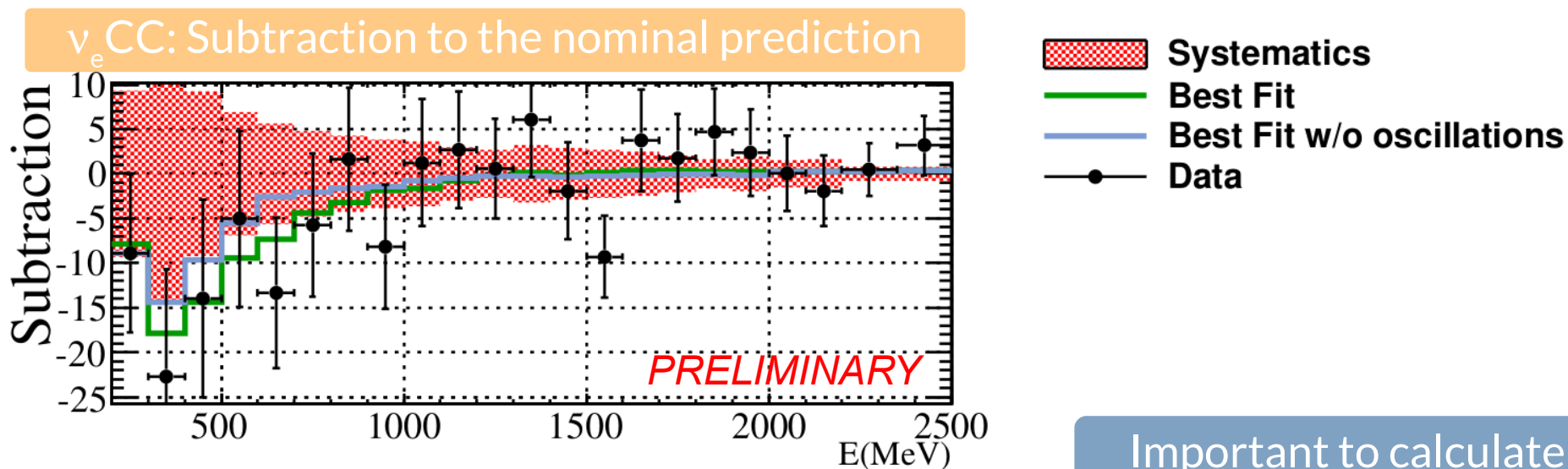
Out of fiducial volume systematics are the dominant ones → Calibrated with the  $\gamma$  sample

# Binned log-likelihood fit

Binned log-likelihood ratio analysis

$$\chi^2 = \chi^2_{\nu_e} + \chi^2_{\gamma} + \text{penalty term}(\vec{f})$$

Nuisance parameters to model the systematics



Best fit parameters

$$\Delta m_{41bf}^2 = 2.14 \text{ eV}^2$$

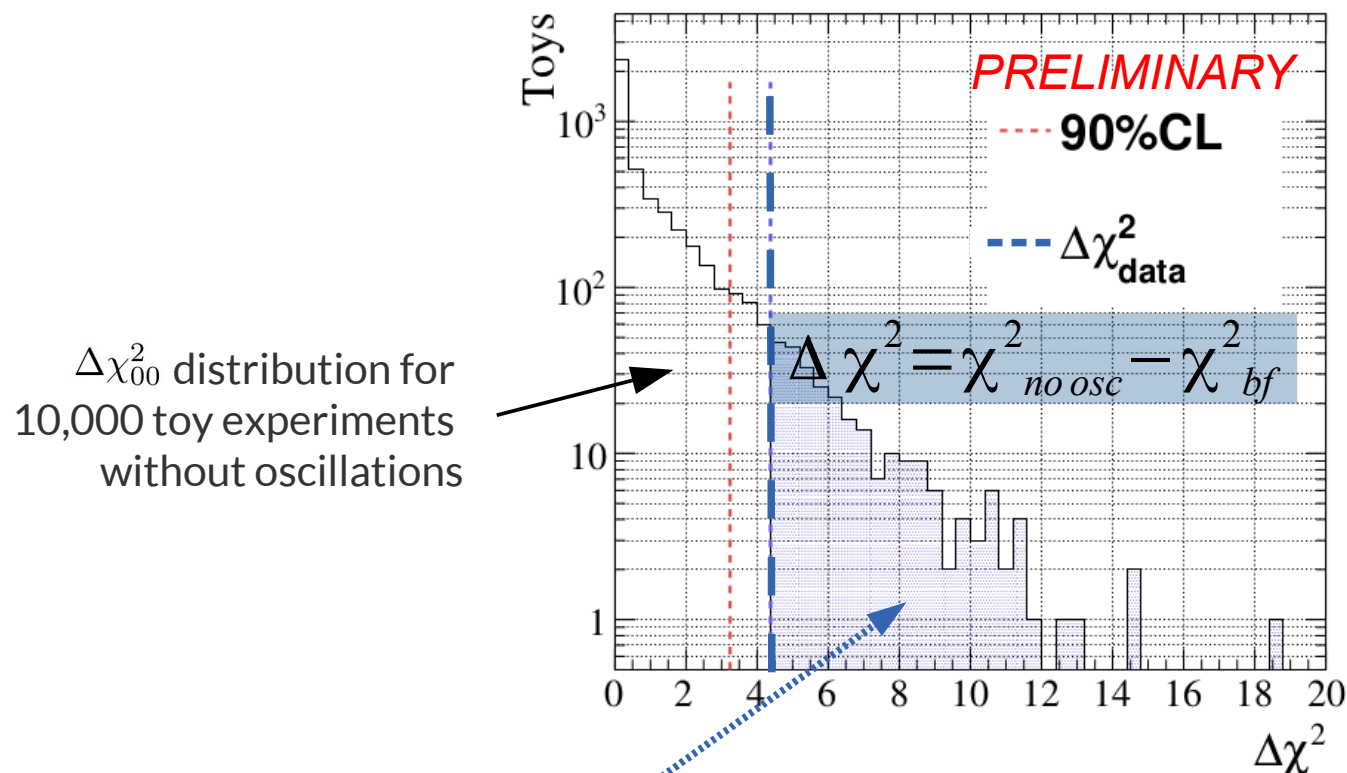
$$\sin^2(2\theta_{ee})_{bf} = 1.00$$

Important to calculate the significance





*p-value* → estimates the compatibility of the ND280 data with the non-oscillation hypothesis



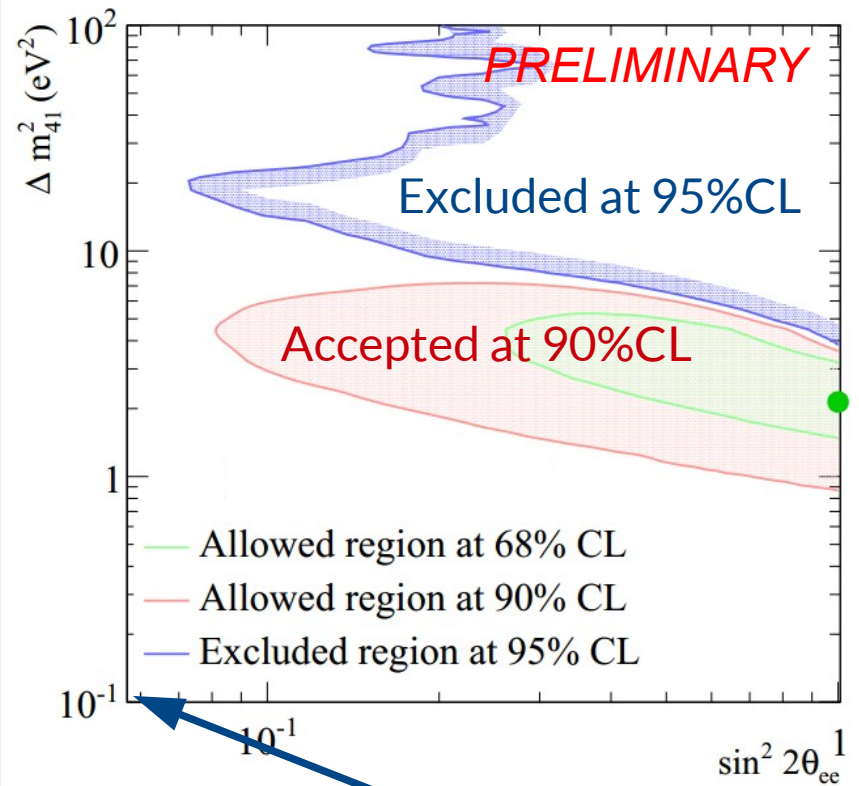
*p value* = 0.061

The null hypothesis is accepted at the ~6%

# Confidence intervals

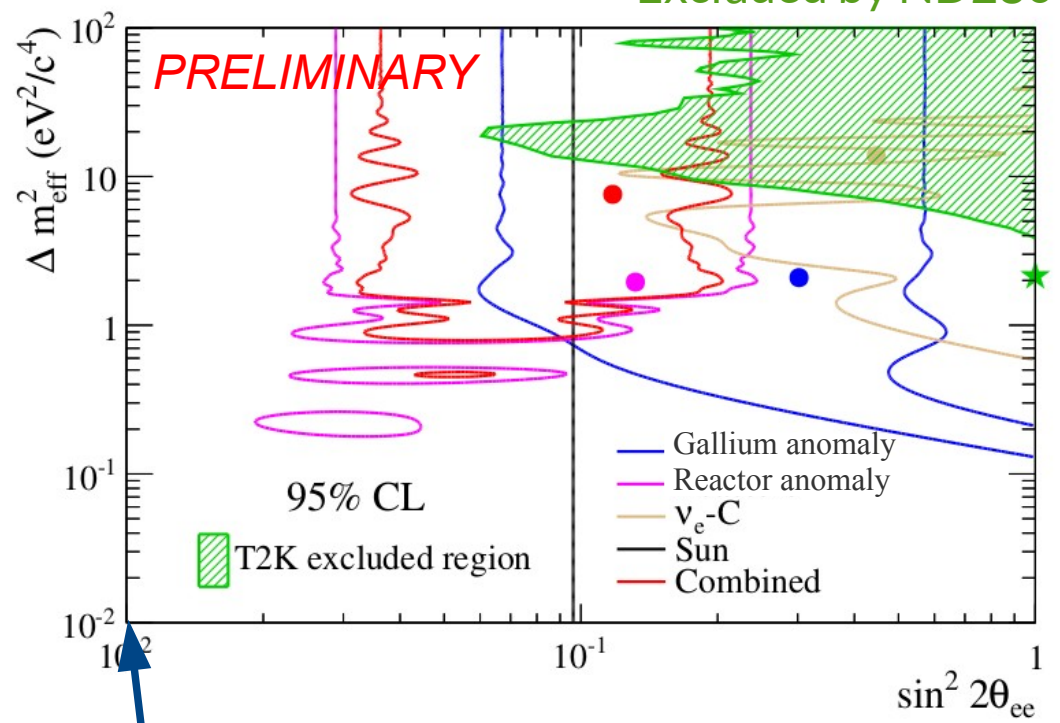
Used the Feldman & Cousins method to extract the confidence contours

## ND280 results



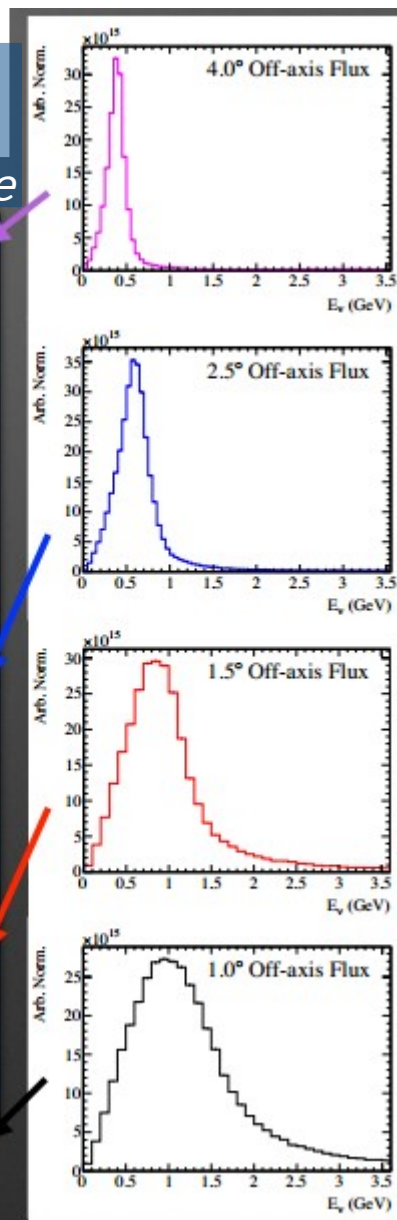
Null hypothesis excluded at ~94%CL

## Excluded by ND280



# nuPRISM and short baseline $\nu_e$ appearance

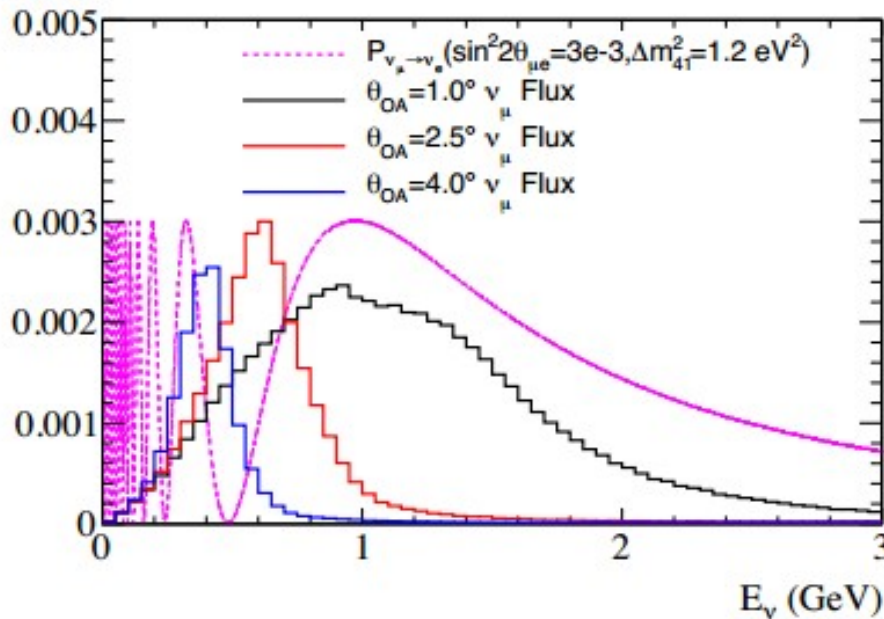
Cherenkov detector  
to be located  $\sim 1\text{km}$   
from the neutrino source



Further details:  
Mark Scott talk  
@WG2 (Monday)

- Proposed new detector in the T2K beamline
- Many neutrino fluxes at different energies for the same flight path  $\rightarrow$  Unique constraint for sterile neutrinos
- It can test the MiniBooNE and LSND  $\nu_e$  appearance anomalies as a function of the neutrino energy

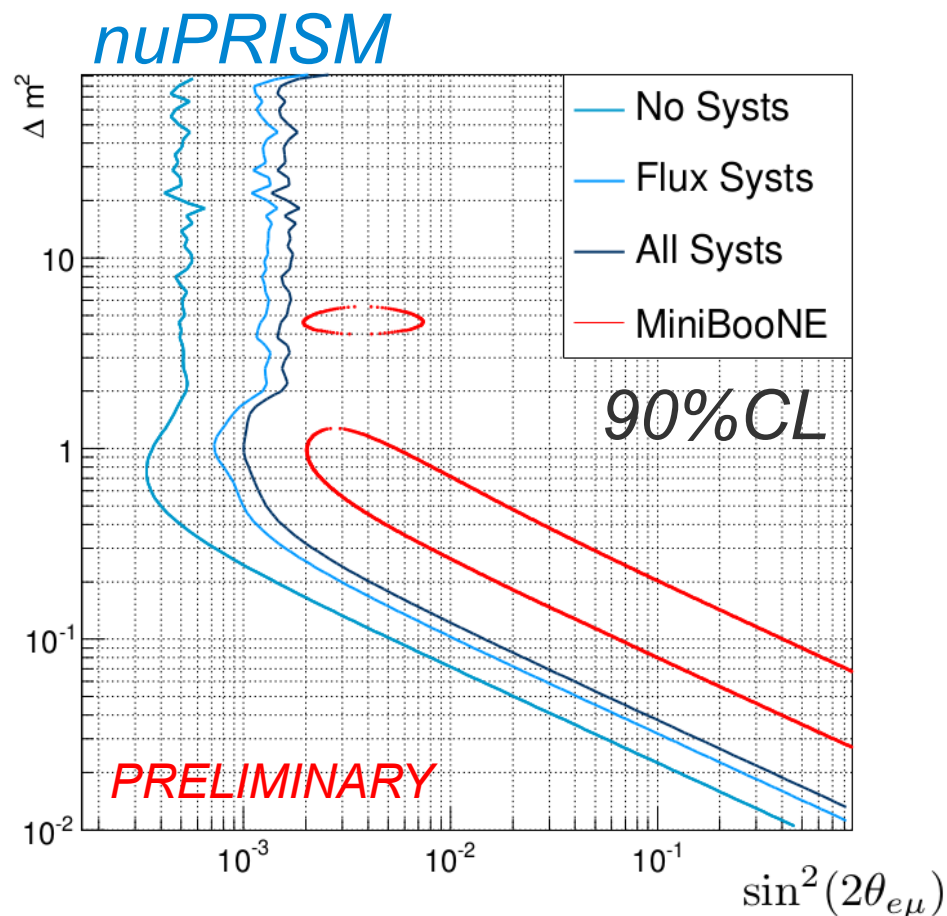
Short Baseline Osc. Prob. and  $\nu$ PRISM Fluxes



# Preliminary sensitivity

*Sensitivity for an analysis in Erec and off-axis angle for the expected T2K exposure after the ~2018 beam upgrade*

$$P_{e\mu} \equiv P(\nu_\mu \rightarrow \nu_e) = P(\nu_e \rightarrow \nu_\mu) = \sin^2(2\theta_{e\mu}) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E \text{ MeV}} \right)$$



*nuPRISM can test the  $\nu_e$  appearance anomalies at 90%CL*

**Large room for improvements**

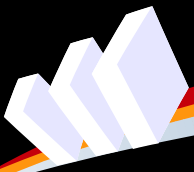
- HK statistics is x10 larger
- $\nu_e/\nu_\mu$  analysis
- ND280 has not yet been utilized as a near detector in this analysis

ND280 is sensitive to the short baseline  $\nu_e$  disappearance for  $\Delta m^2 \sim 3\text{eV}^2$  and above

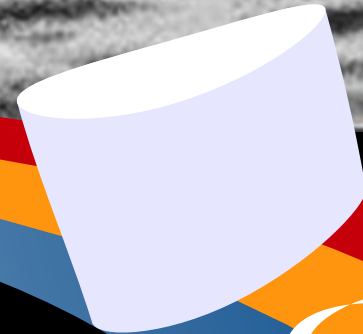
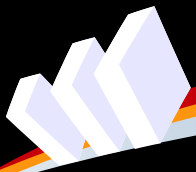
A clean selection of  $\nu_e$  CC interactions at ND280 is developed with a good purity

ND280 studies the 3+1 model for an exposure of  $5.9 \times 10^{20}$  pot and is able to reject some parameter space allowed by the reactor and gallium anomalies at the 95%CL

The multi off-axis nuPRISM concept provides a promising sensitivity to the  $\nu_e$  appearance at short baseline, covering the whole MiniBooNE anomaly within 90%CL



Thanks for your attention!



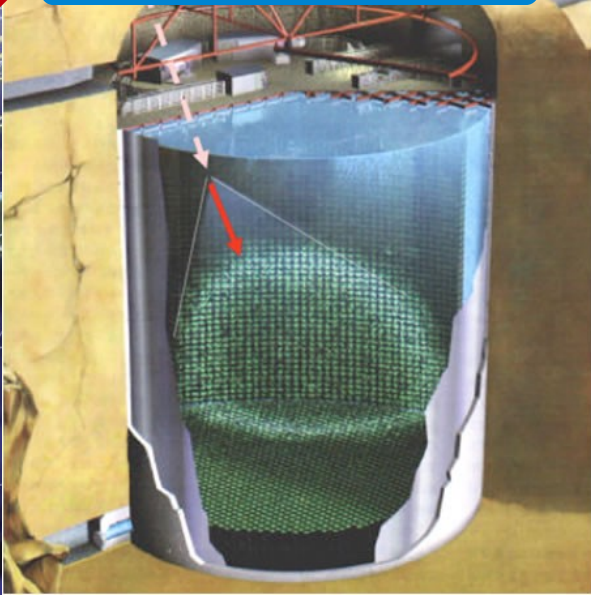
# BACK-UP SLIDES





# T2K experimental set-up

## SuperKamiokande



T2K is a neutrino oscillation experiment that studies neutrinos produced by an accelerator at two different distances

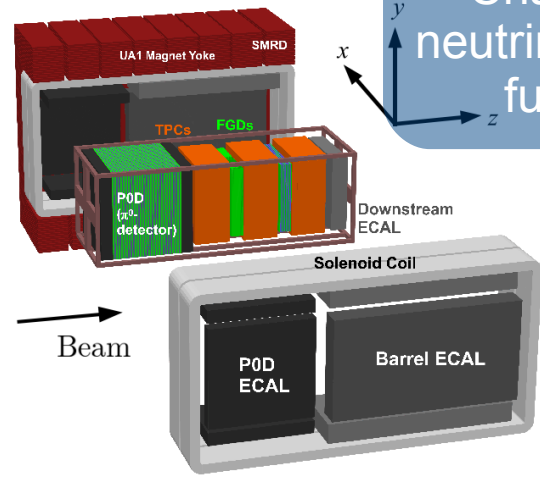
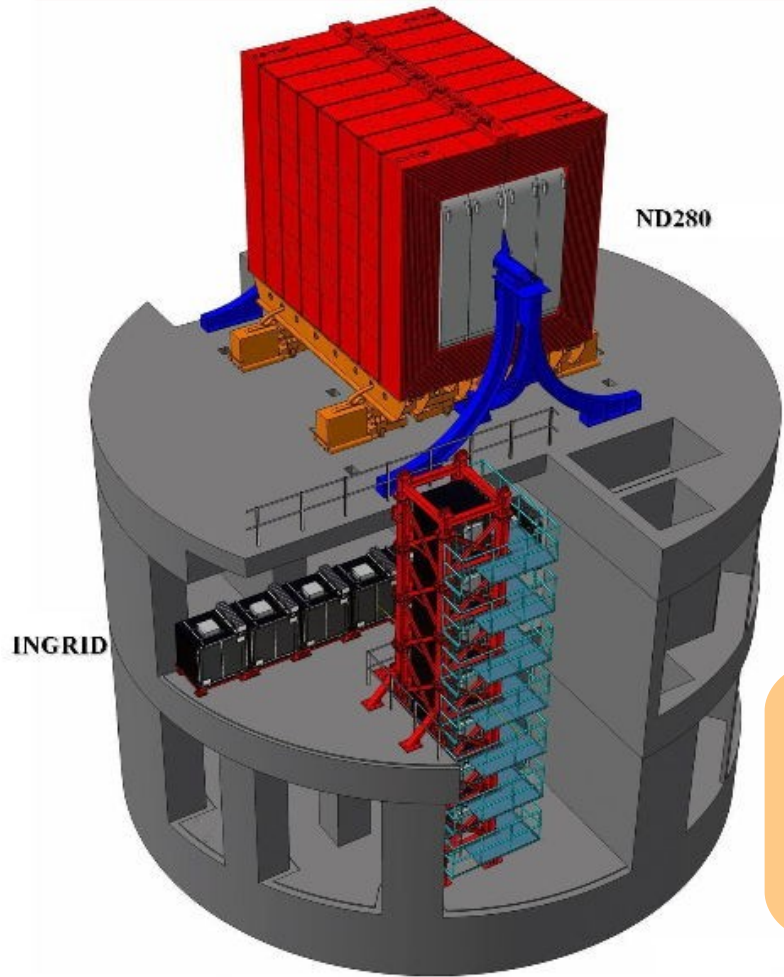
Its main purpose is the measurement of  $\theta_{13}$ ,  $\theta_{23}$  and  $\delta_{CP}$



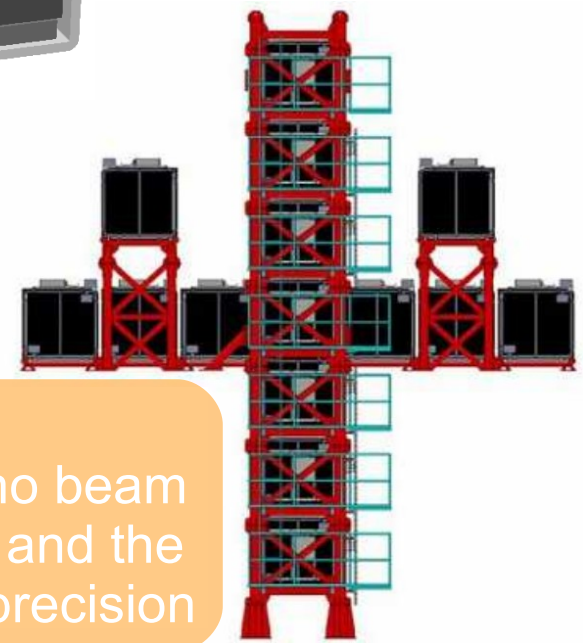
# The near detectors

Neutrino monitors at 280 meters from the source → Studies neutrino fluxes *BEFORE* the oscillations

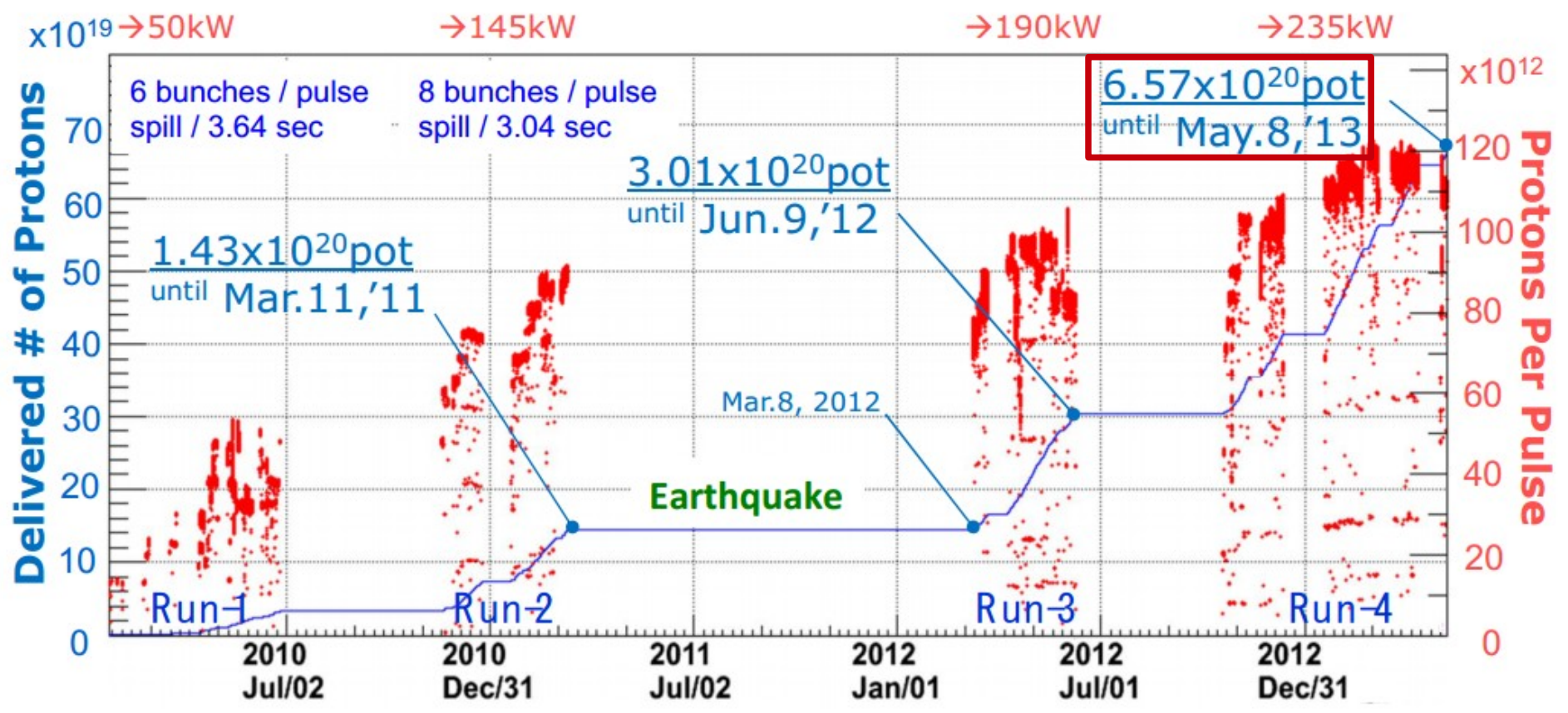
ND280  
Characterize the off-axis neutrino beam composition in function of the energy



INGRID  
Characterize the neutrino beam measuring the intensity and the direction with 0.4mrad precision



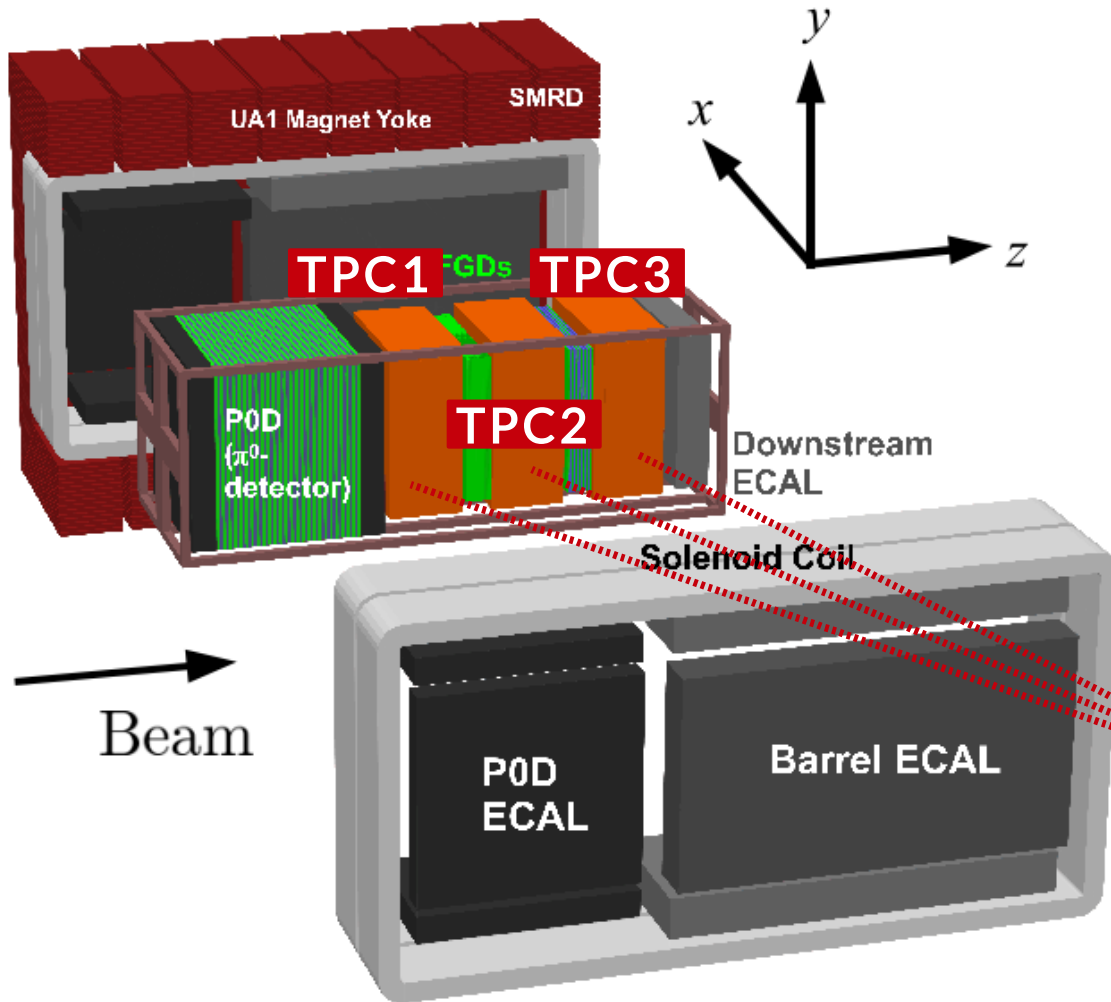
# Analysis data set



$6.57 \times 10^{20}$  protons on target by May 2014  
 $5.9 \times 10^{20}$  with good quality at ND280

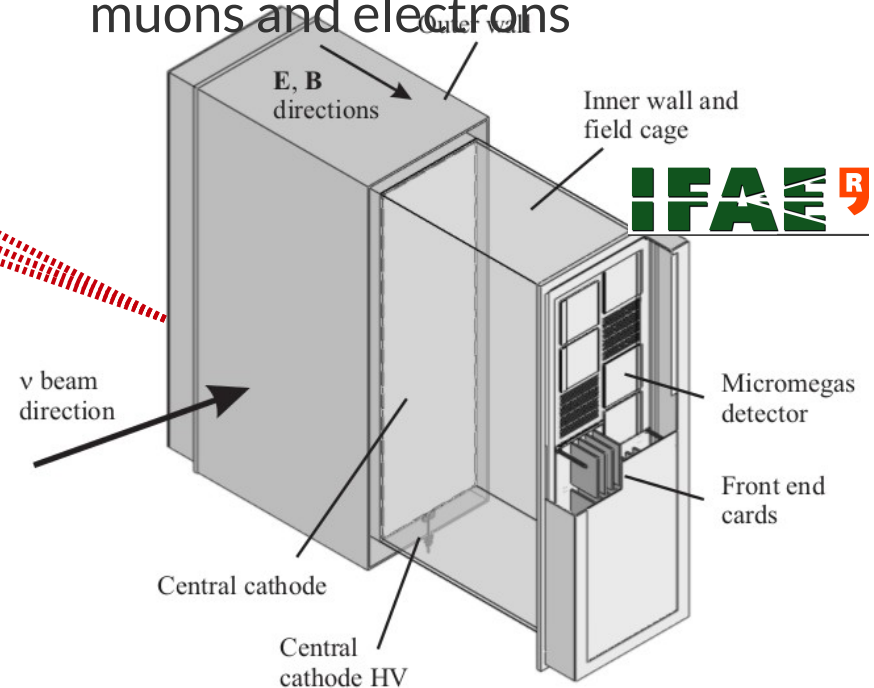
~8% of the total approved pot

## Time Projection Chambers (TPCs)



*Argon-based gaseous detectors with good tracking and particle identification*

- Measure the momentum (10% resolution at 1GeV) and the sign of the charged particles
- Good separation between muons and electrons

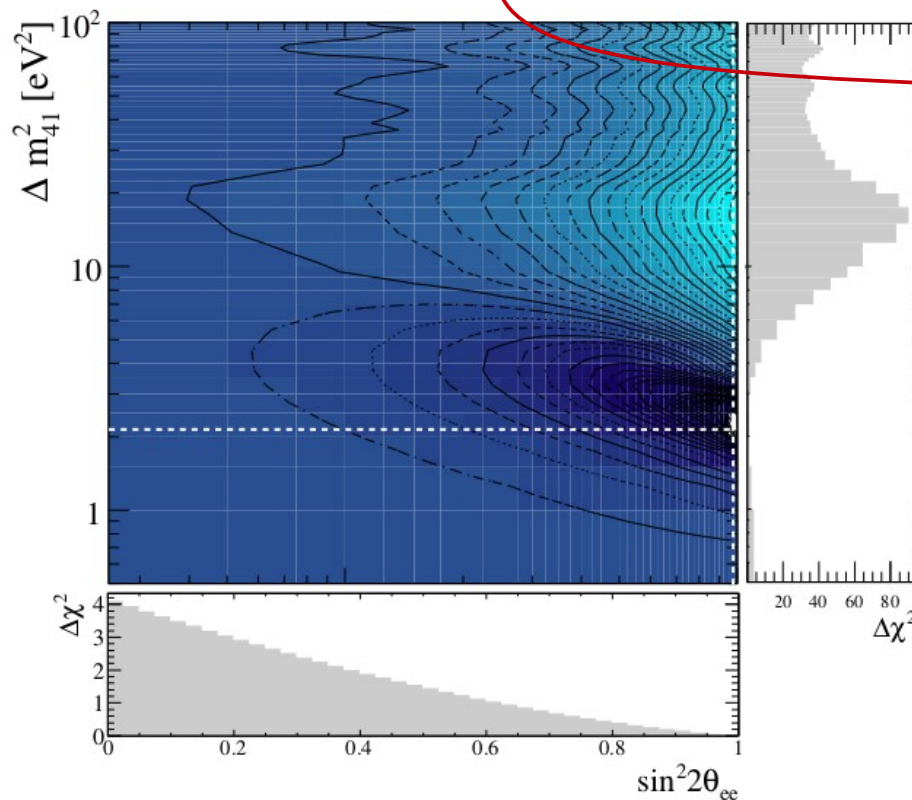


## log-likelihood ratio map

Use a log-likelihood ratio as test statistic

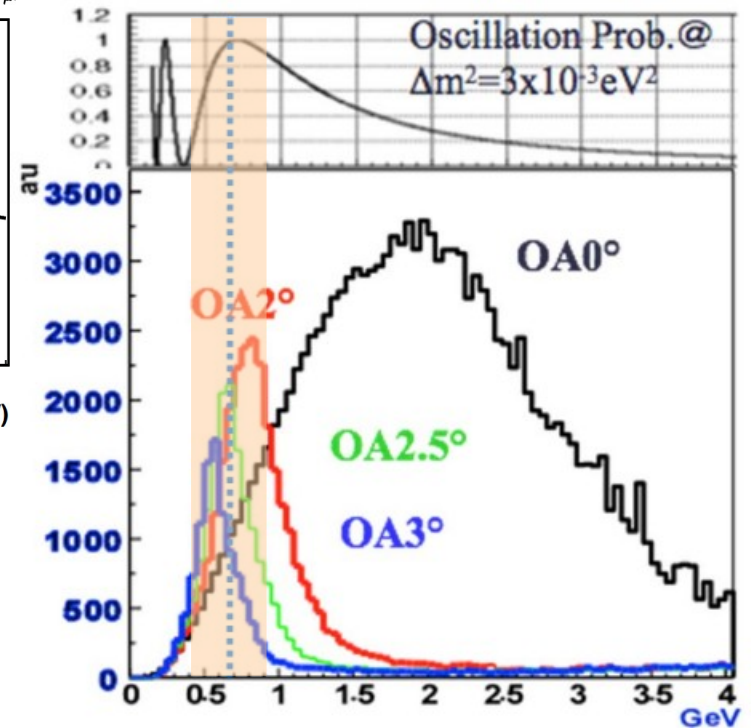
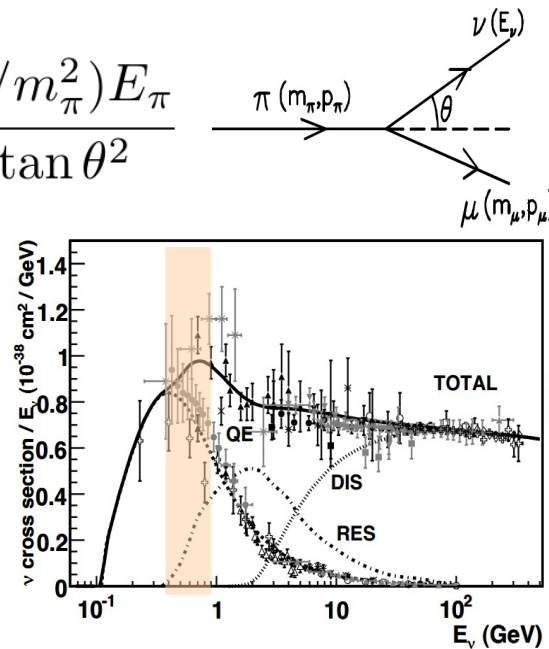
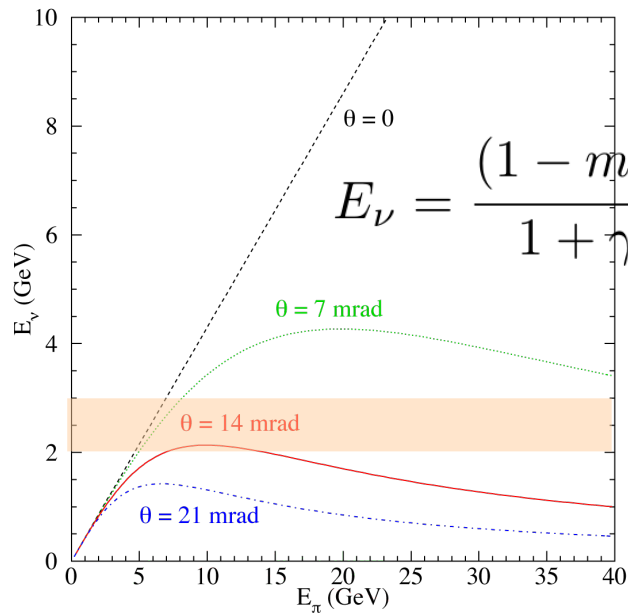
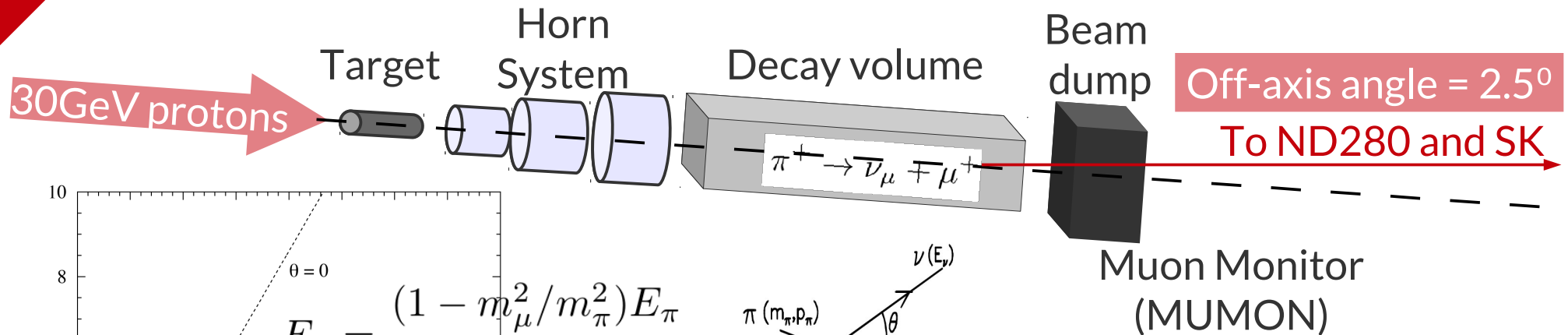
$$\chi^2 \equiv -2 \log \mathcal{L} = 2 \sum_{l=0}^{31} \left\{ n_{exp}^{l, \nu_e} - n_{dt}^{l, \nu_e} + n_{dt}^{l, \nu_e} \times \log \left( \frac{n_{dt}^{l, \nu_e}}{n_{exp}^{l, \nu_e}} \right) \right\} + 2 \sum_{i=0}^{20} \left\{ n_{exp}^{l, \gamma} - n_{dt}^{l, \gamma} + n_{dt}^{l, \gamma} \times \log \left( \frac{n_{dt}^{l, \gamma}}{n_{exp}^{l, \gamma}} \right) \right\} + (\vec{f} - \vec{f}_0)^T V^{-1} (\vec{f} - \vec{f}_0)$$

Calculate  $\Delta\chi_{ij}^2 \equiv -2 \log \mathcal{L}_{ij}^r = \chi_{ij}^2 - \chi_{bf}^2$  for each point in the parameter space



Systematic parameters  
are treated as  
*nuisance* parameters  
and the likelihood is *profiled*

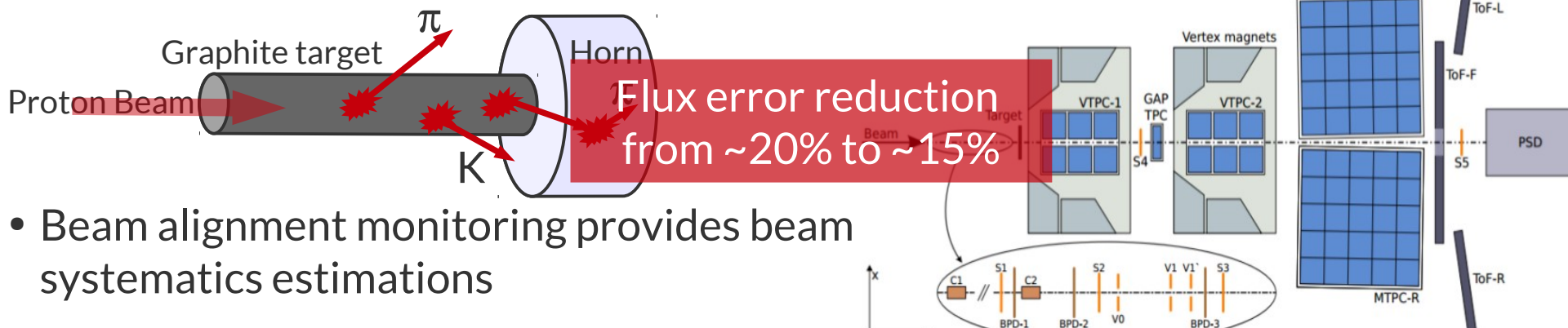
## The neutrino beam: Off-axis technique



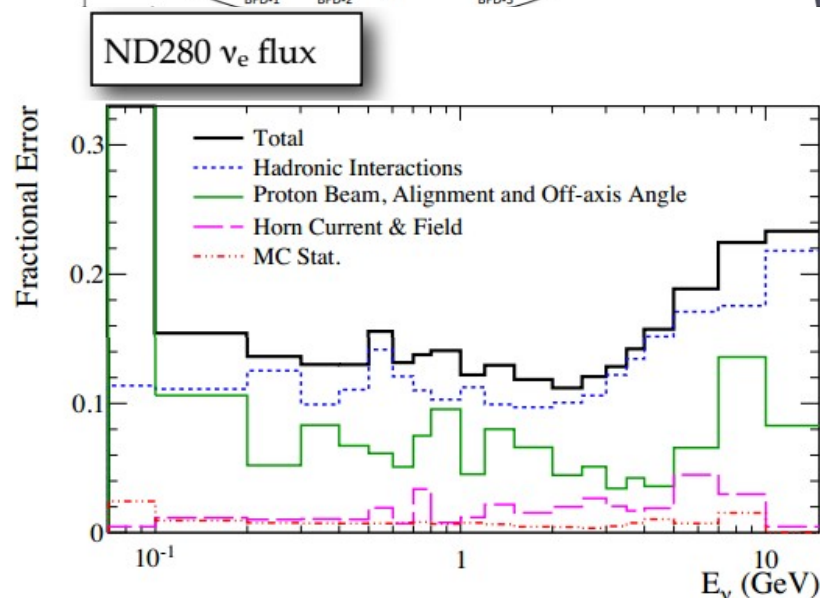
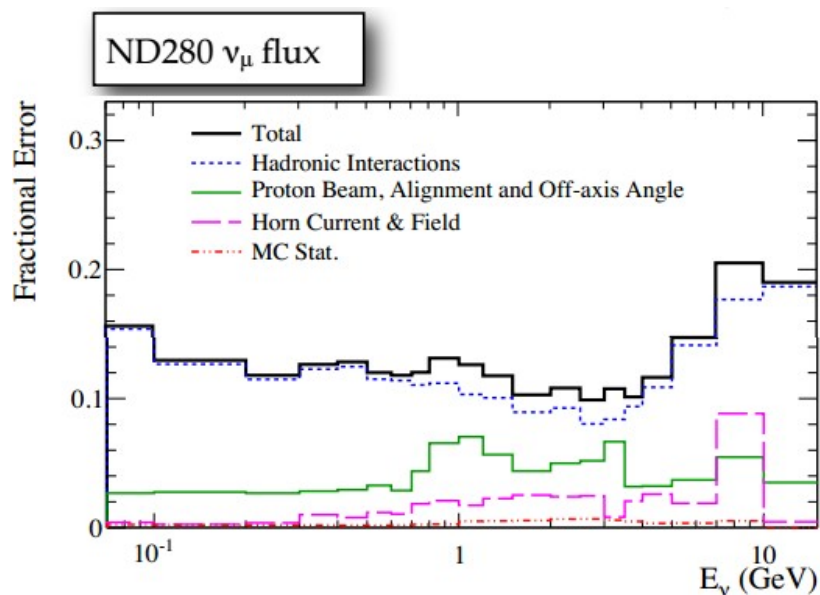
- Enhances neutrino oscillations
- Enhances CCQE interactions
- Reduces  $\nu_e$  contamination at the peak

# The neutrino beam $\nu_e$ flux prediction

- The flux is predicted from a data-driven simulation  $\rightarrow$  NA61 experiment measures the  $\pi$  and K production cross-sections using a T2K replica target

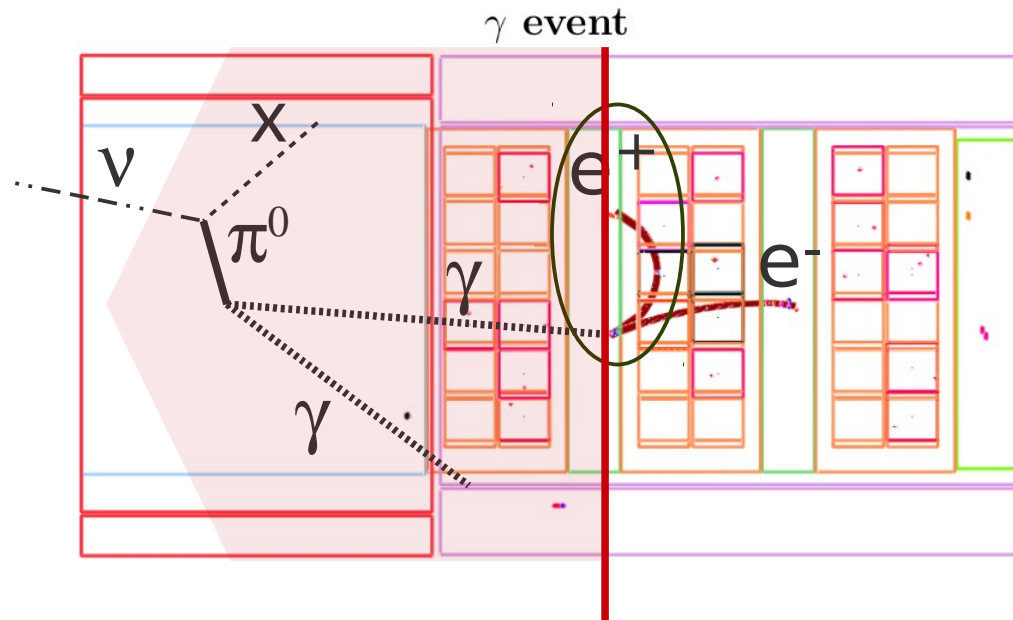


- Beam alignment monitoring provides beam systematics estimations



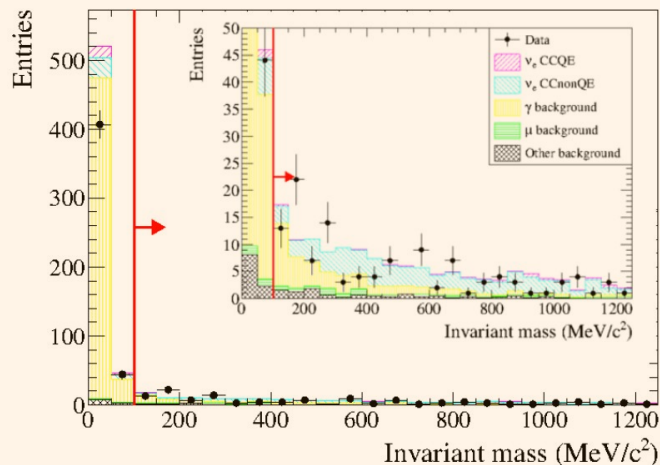
Flux error is further constrained with the  $\nu_\mu$  ND280 analysis from  $\sim 15\%$  to  $< 10\%$

# $\nu_e$ selection: the photon conversion background



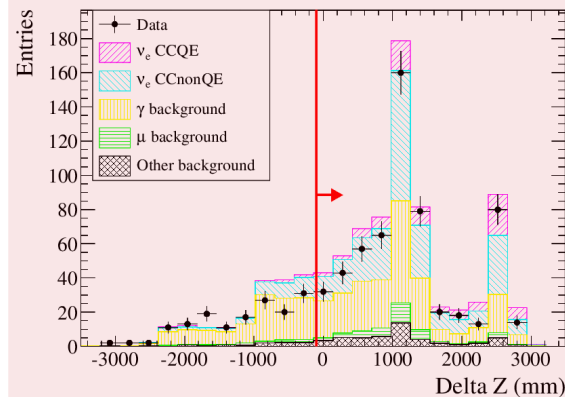
If positron is reconstructed:  
Calculate invariant mass

$$m_{inv} = \sqrt{2m_e^2 + 2(E_+^2 E_-^2 - p_+^2 p_-^2)}$$

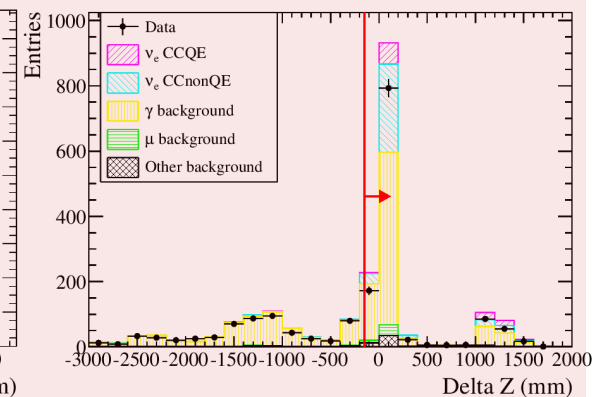


If extra activity exists:  
Reject events with depositions below the main  $e^-$  track

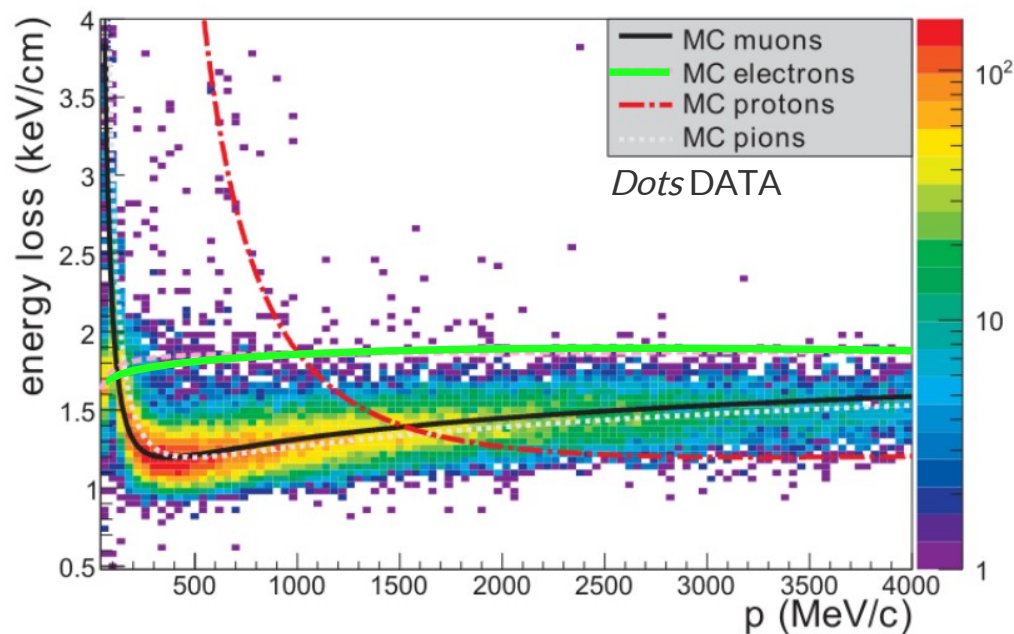
ECal Veto



TPC1 Veto





$\nu_e$  selection: TPC PIDCombination of ionization energy ( $dE/dx$ ) and momentum measurement

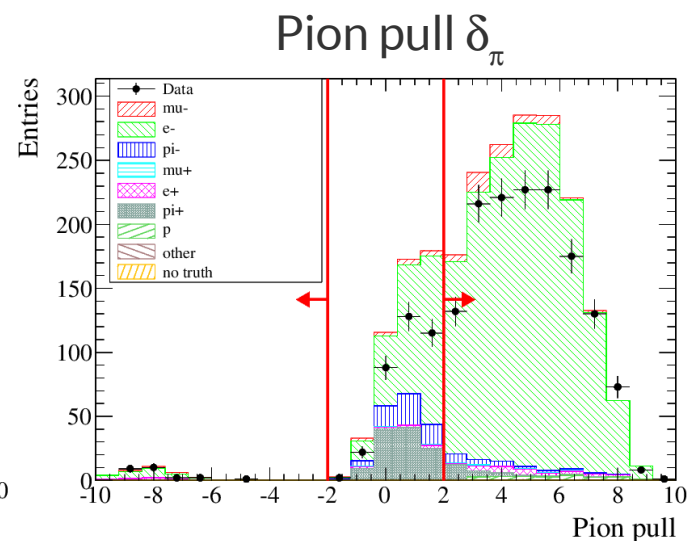
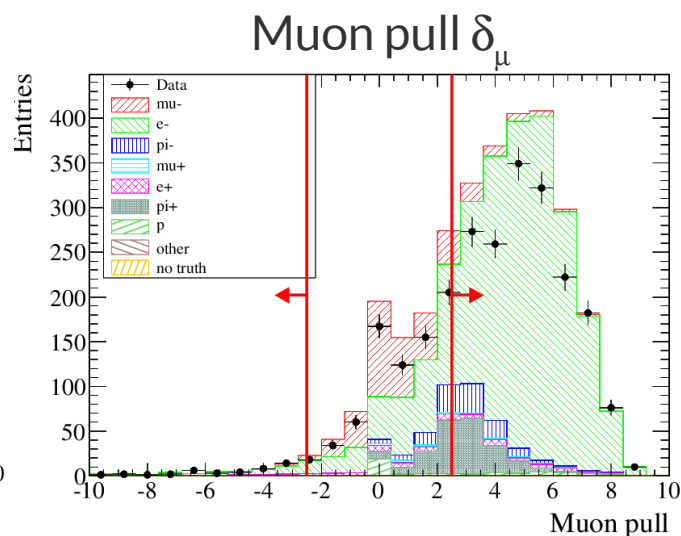
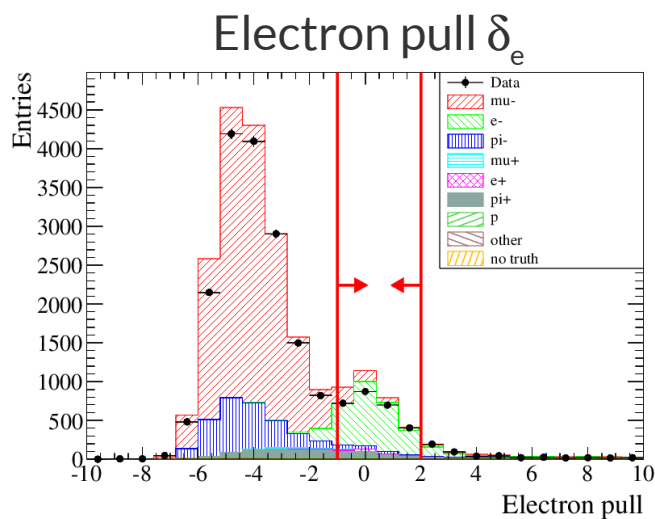
TPC PULL

$$\delta_\alpha = \frac{C_T^{\text{meas}} - C_T^\alpha}{\sigma^\alpha}$$

$C_T^{\text{meas}}$  →  $C_T^{\text{predicted}}$

$C_T^{\text{resolution}} \sim 7.8\%$  →  $\sigma^\alpha$

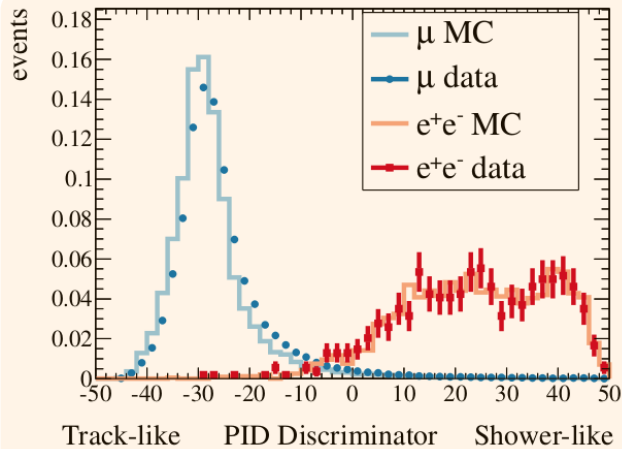
$C_T = \text{energy loss}$



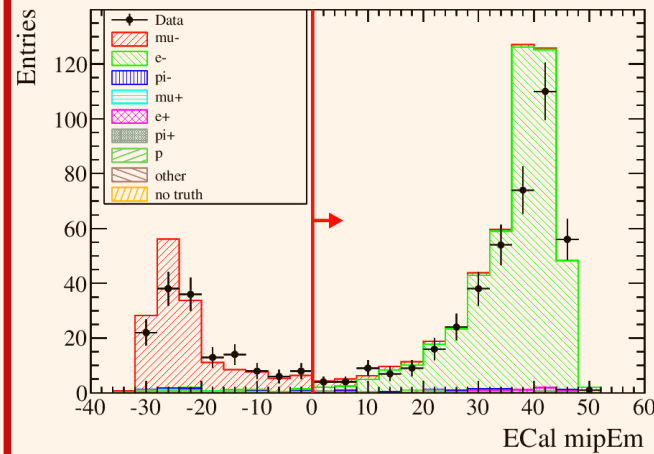
$\nu_e$  selection: ECAL PID

Only used if momentum &gt; 300MeV

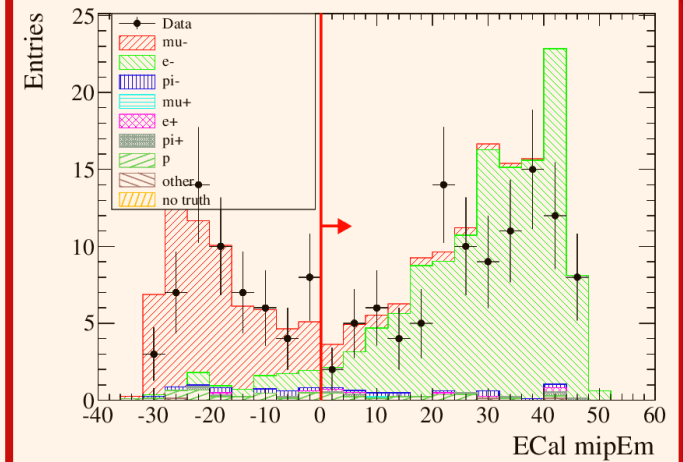
## Geometry of the cluster



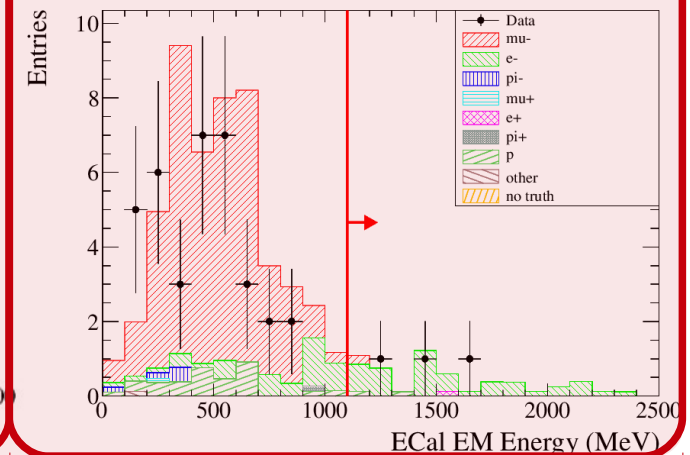
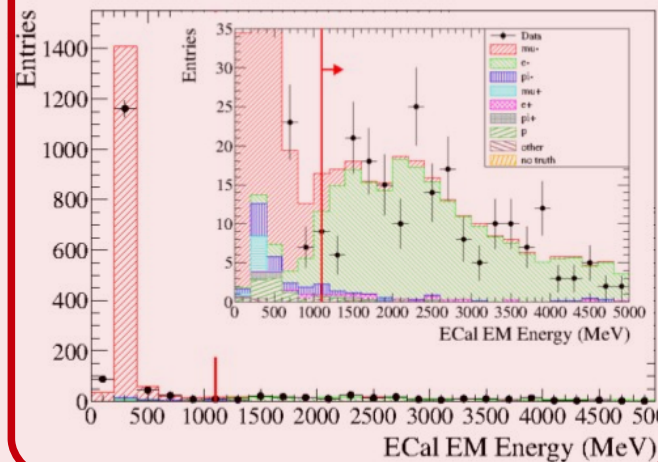
## DsECal



## BrECal



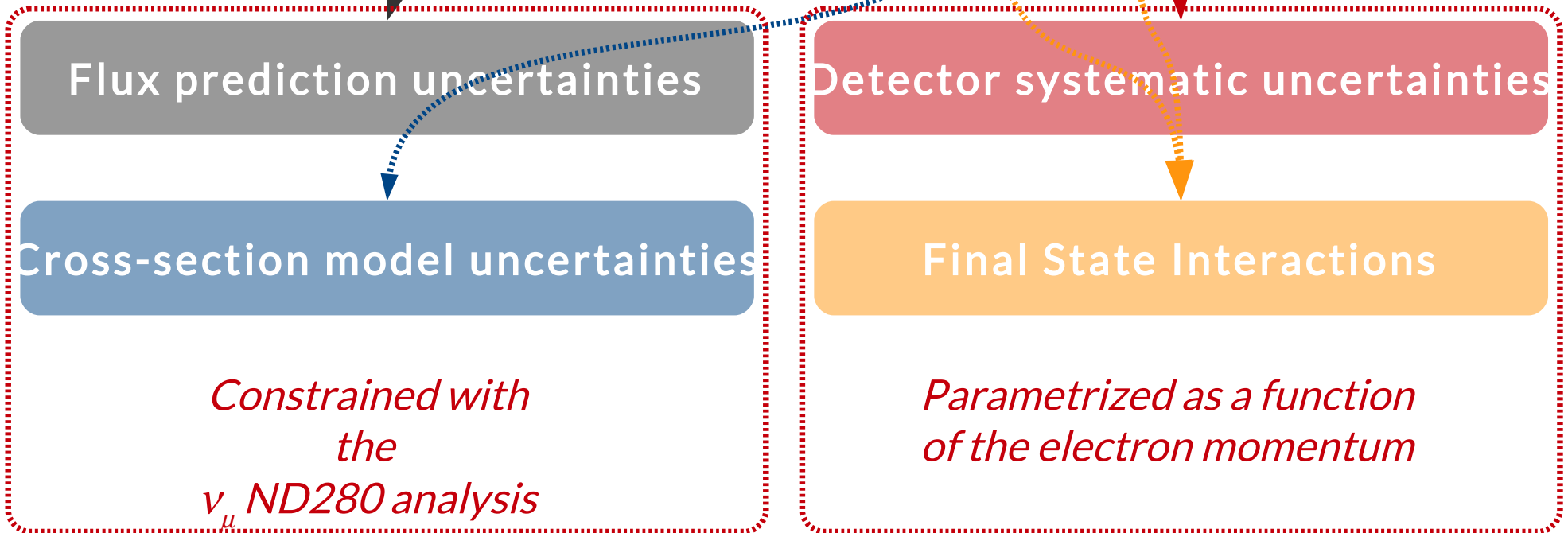
## Ionization energy

Total deposited energy  
in the ECALOnly used if  
momentum > 1GeV

# Systematic uncertainties: overview

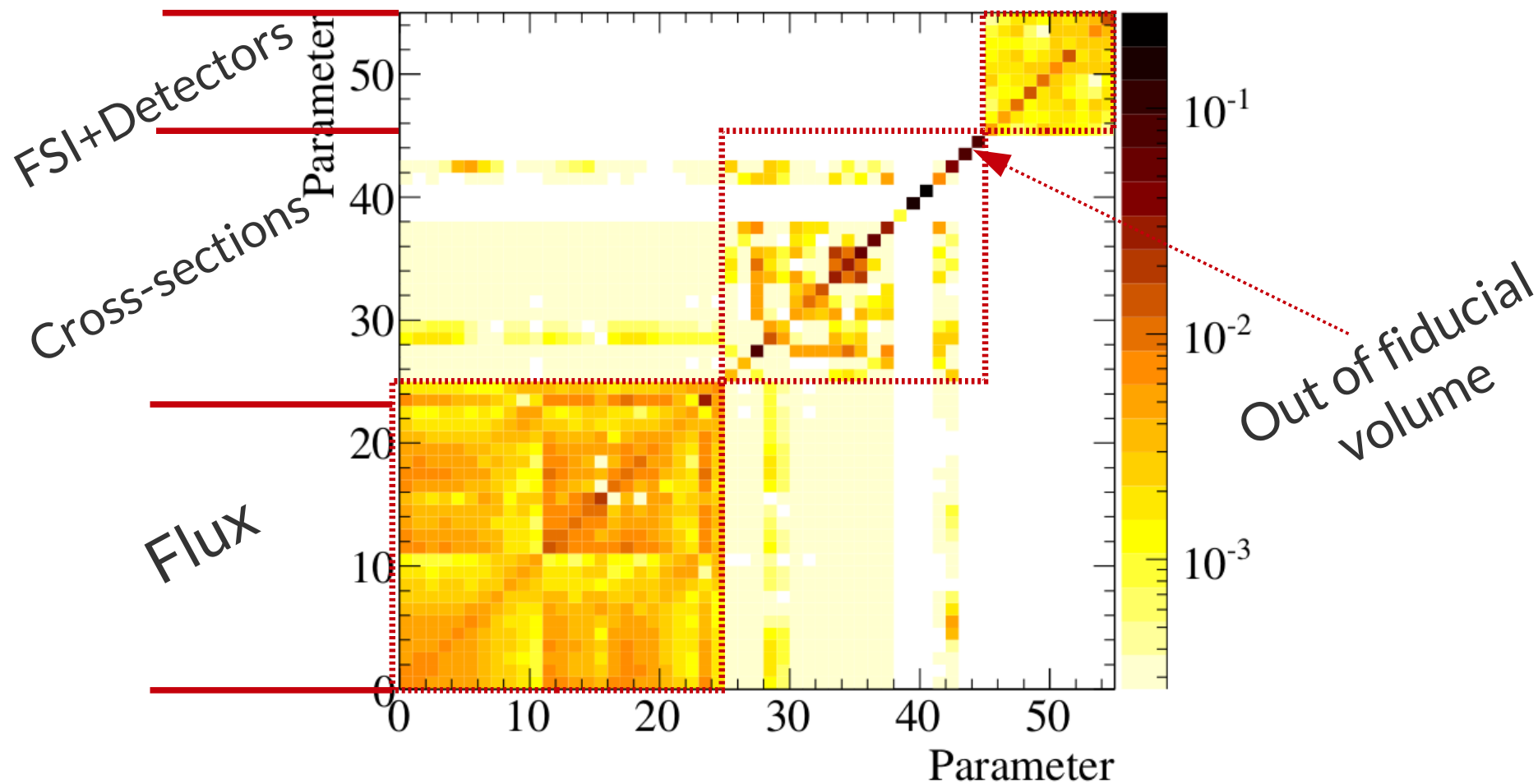
Expected number of electron-neutrino interactions

$$N_{exp} \propto \int dE \phi(E) \sigma(E) \epsilon(E)$$



# Systematic uncertainties: covariance matrix

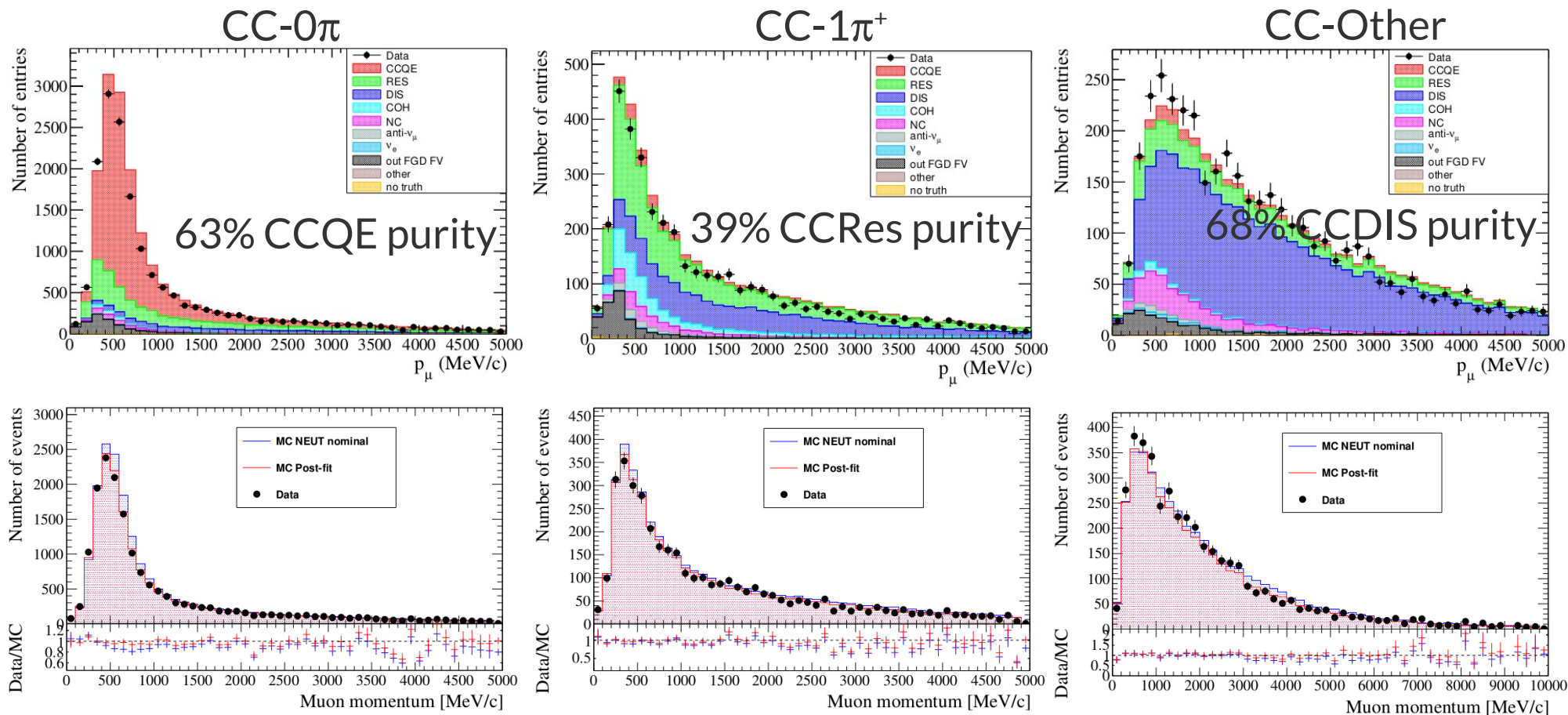
Parameters that take into account all the systematic uncertainties sources are treated through a covariance matrix



- Three  $\nu_\mu$  CC samples are selected according to the event topology
- The simulation is fit to the data tuning flux and cross-section parameters

Reduce  $\nu_e$  flux error due to strong correlations with  $\nu_\mu$  flux

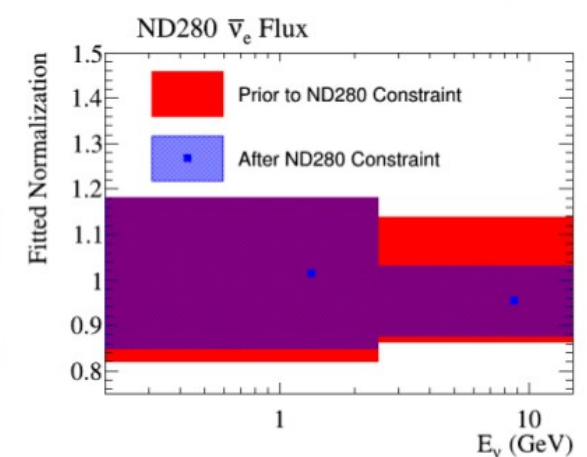
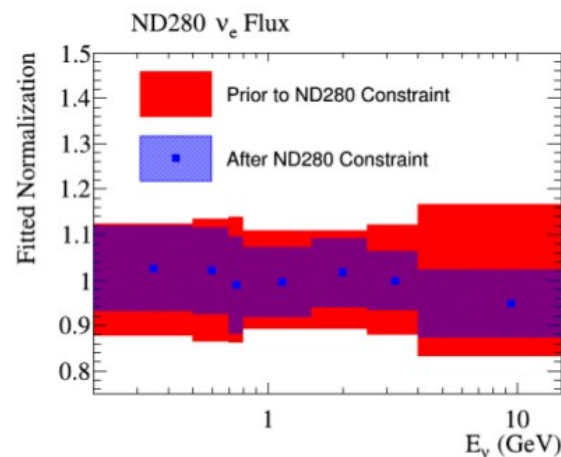
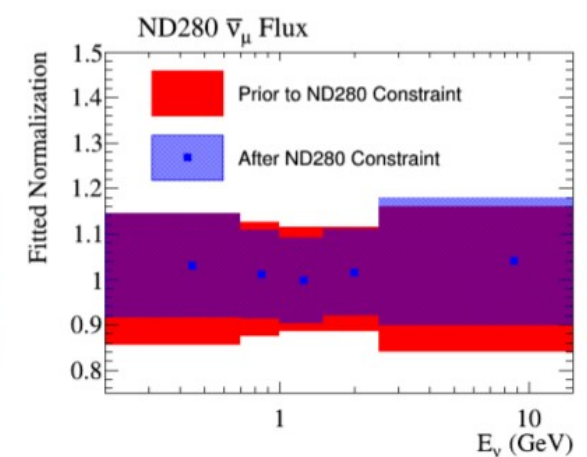
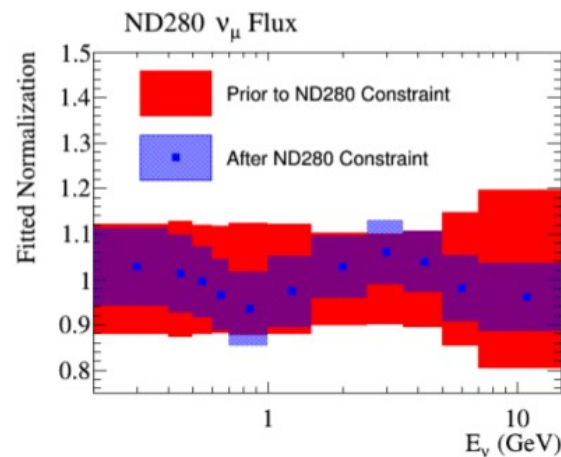
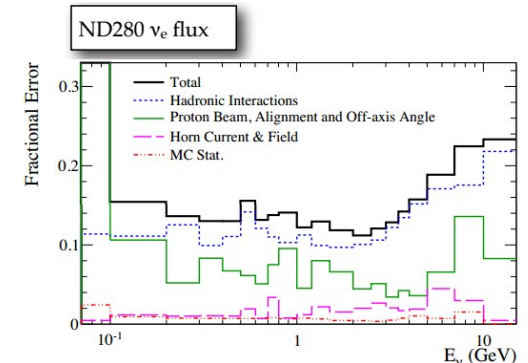
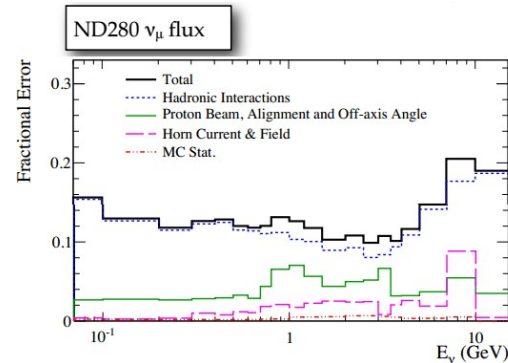
Reduce  $\nu_e$  cross-section uncertainties due to are similar to  $\nu_\mu$  within the 3%



# Systematic uncertainties: Neutrino flux uncertainties

- NA61 reduces flux errors from  $\sim 20\%$  to  $\sim 15\%$
- $\nu$  flux is parametrized in neutrino energy and flavour with 25 normalization parameters

ND280  $\nu_\mu$  measurement reduces the errors from  $\sim 15\%$  to  $< 10\%$

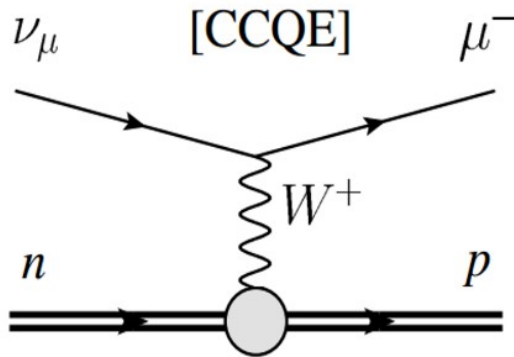


uncertainties

The cross sections are parametrized with a minimal set of

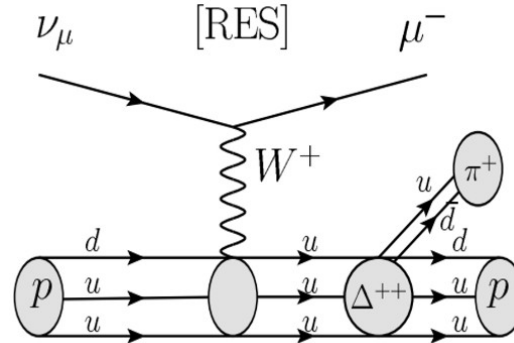
## CCQE

- $M_A^{QE}$
- 3 normalizations



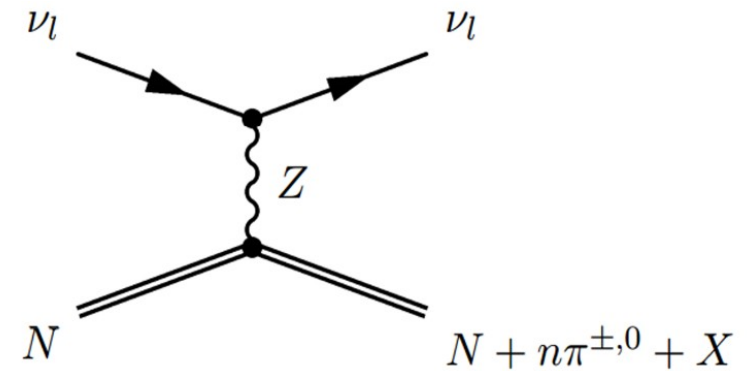
parameters (20)  
CC1π

- $M_A^{RES}$
- 2 normalizations



## Neutral Currents

- $\pi^0$  production  $\rightarrow$  1 normalization and  $W$ -shape
- Other NC  $\rightarrow$  1 normalization

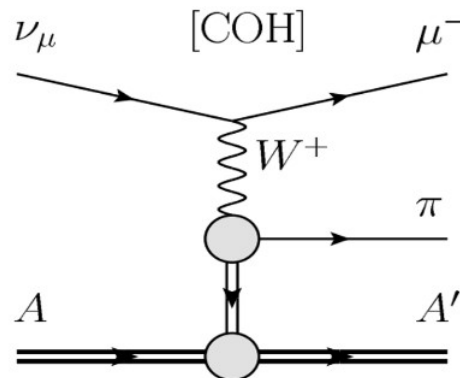


## Nuclei models

- Binding energy uncertainty
- Fermi momentum
- Spectral function model

## Out of Fiducial Volume

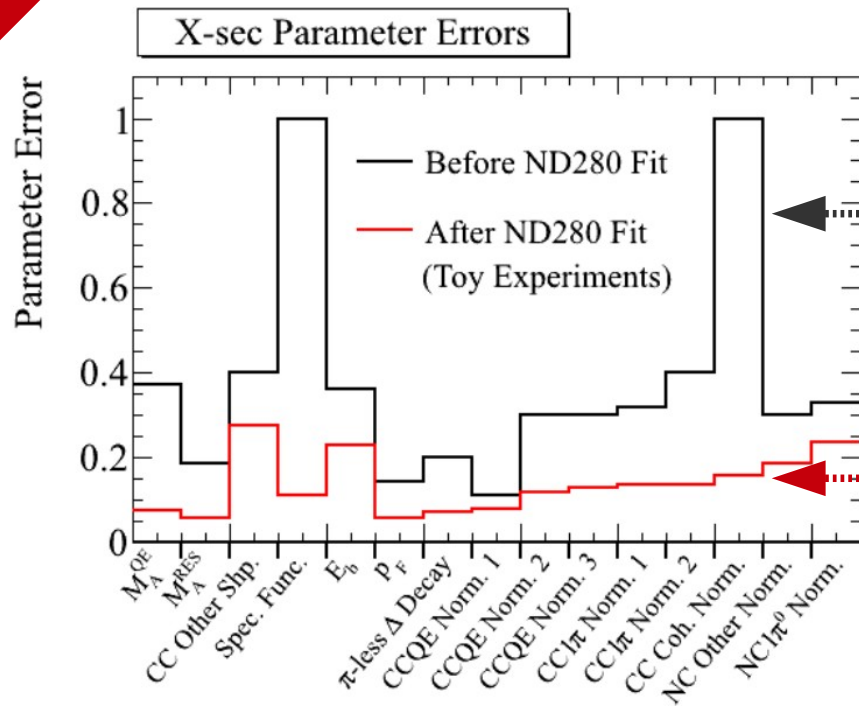
- OOFV e+e-
- OOFV Other



## Other interactions

- Coherent  $\pi$  production in CC
- Multi- $\pi$  production
- $\pi$ -less  $\Delta$  decay
- Differences in  $\sigma(\nu_e)$  and  $\sigma(\nu_\mu)$
- Differences in  $\sigma(\nu)$  and  $\sigma(\bar{\nu})$

# Systematic uncertainties: Neutrino cross-section uncertainties



Before  $\nu_\mu$  fit: errors are estimated fitting external data (e.g. MiniBooNE) with NEUT and using *the difference as uncertainty*

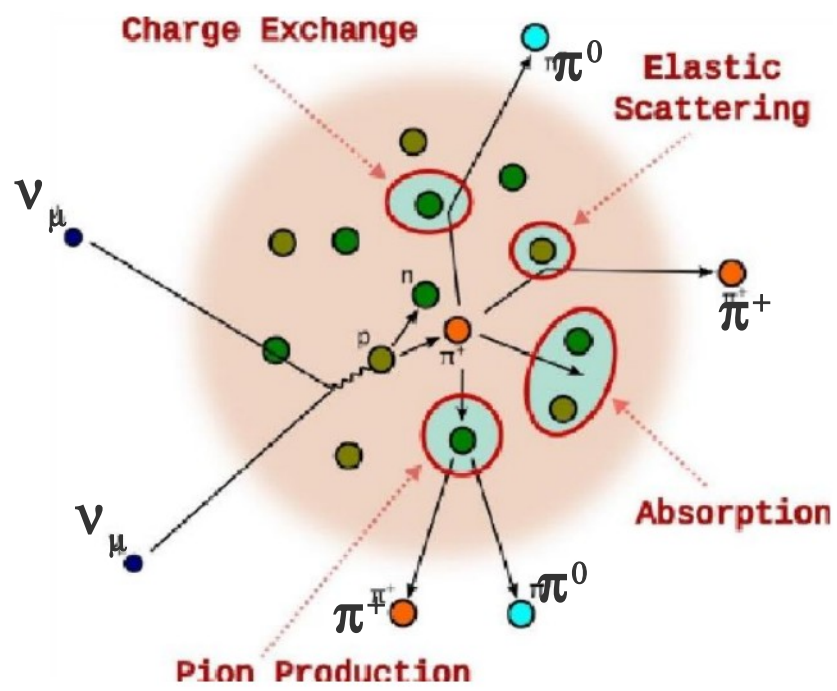
After  $\nu_\mu$  fit: 15 parameters are further constrained from ~40% to <20%

Unconstrained by ND280  $\nu_\mu$

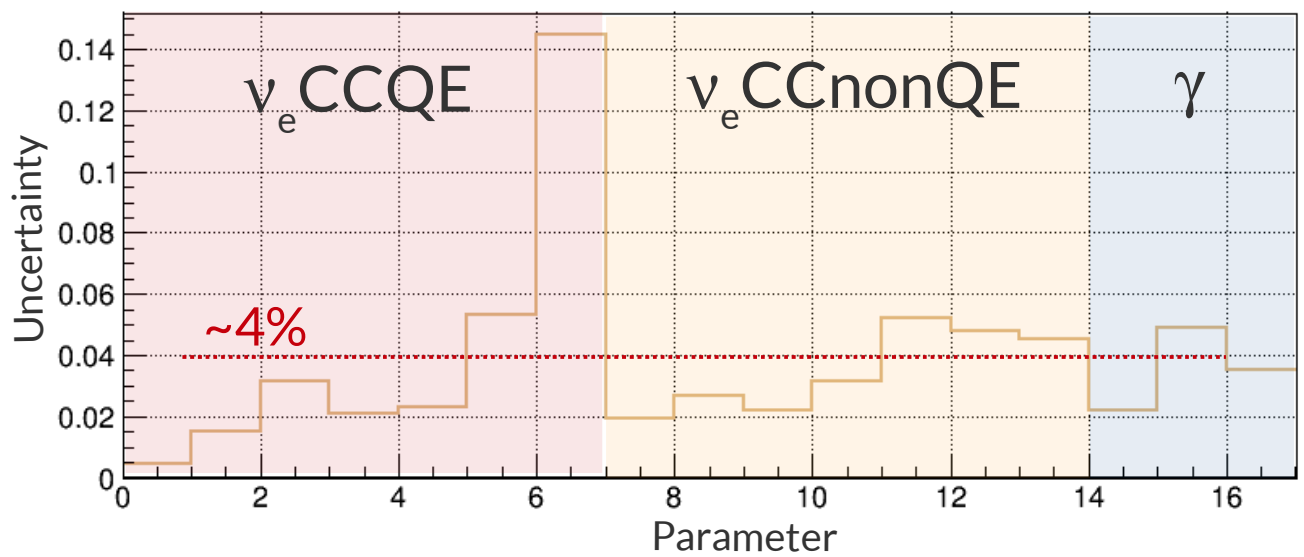
- W-shape  $\rightarrow$  52%
- $\sigma(\nu_e)/\sigma(\nu_\mu) \rightarrow$  3%
- $\sigma(\nu)/\sigma(\bar{\nu}) \rightarrow$  40%
- OOFV $e+e^- \rightarrow$  30%
- OOFVOther  $\rightarrow$  30%



# Systematic uncertainties: Final State Interactions (FSI)



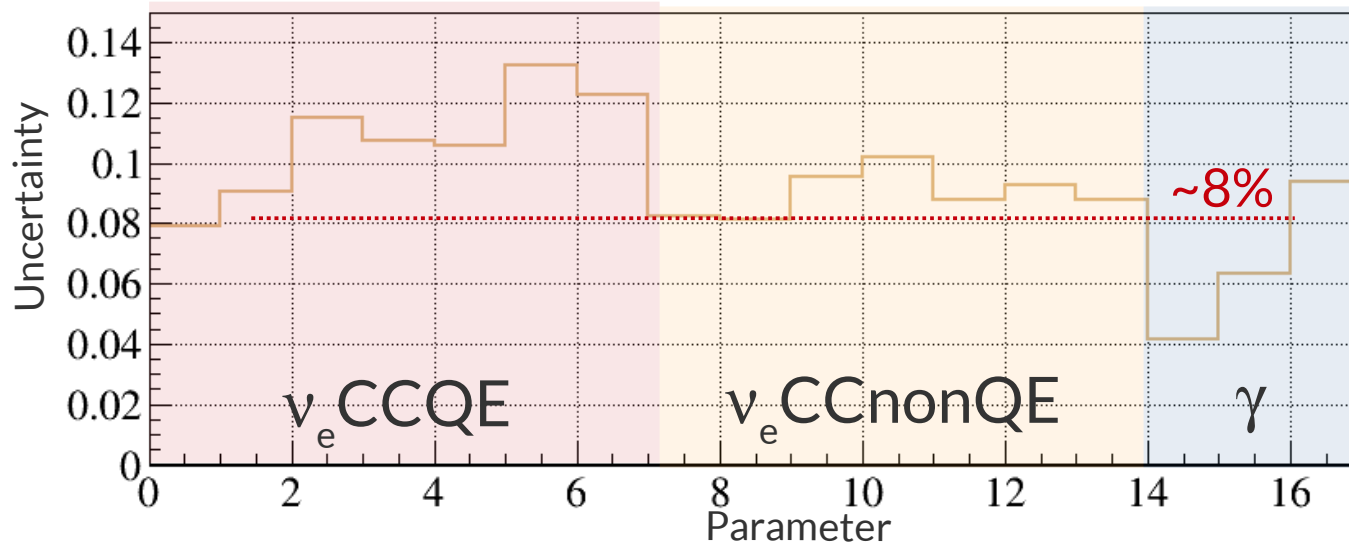
We rather treat the FSI through effective parameters that parametrize the number of events along the analysis variable



# Systematic uncertainties: Detector

- Detector systematic uncertainties are calculated using control samples
- A total of 24 systematic sources are considered → TPC momentum resolution is the dominant one
- They are parametrized and propagated using low-level parameters
- The simulation is corrected by the estimated detector systematics

We treat them in the same way than that FSI



Detector and FSI uncertainties are added and treated together

# Detector systematic uncertainties

Systematic	Type	Applied to
B-field distortion	Migration	All
FGD mass uncertainty	Weight	All
FGD track efficiency	Weight	Not used for $\gamma$
Michel electron eff.	Weight	Not used for $\gamma$
Pile-up (TPC1)	Weight	Not used for $\gamma$
Pion secondary interactions	Weight	All
TPC-FGD matching eff.	Weight	All
TPC charge confusion	Weight	All
TPC momentum scale	Migration	All
TPC momentum resolution	Migration	All
TPC track eff.	Weight	All
TPC track quality	Weight	All
TPC PID scale ( $e^\pm$ )	Migration	All
TPC PID bias ( $e^\pm$ )	Migration	All
TPC PID scale ( $\mu^\pm$ and $\pi^\pm$ )	Migration	All
TPC PID bias ( $\mu^\pm$ and $\pi^\pm$ )	Migration	All
TPC PID scale (p)	Migration	All
TPC PID bias (p)	Migration	All
ECal energy resolution	Migration	All
ECal energy scale	Migration	All
ECal PID	Migration	All
Pile-up (P0D)	Weight	Not used for $\gamma$
Pile-up (ECal)	Weight	Only for $\nu_e$ CCQE
Pile-up (Upstream ECal)	Weight	Only for $\nu_e$ CCnonQE
TPC-ECal matching eff.	Migration	All

## Binned log-likelihood ratio definition

$$\chi^2 \equiv -2 \log \mathcal{L} = 2 \sum_{l=0}^{31} \left\{ n_{exp}^{l, \nu_e} - n_{dt}^{l, \nu_e} + n_{dt}^{l, \nu_e} \times \log \left( \frac{n_{dt}^{l, \nu_e}}{n_{exp}^{l, \nu_e}} \right) \right\}$$

$$+ 2 \sum_{i=0}^{20} \left\{ n_{exp}^{l, \gamma} - n_{dt}^{l, \gamma} + n_{dt}^{l, \gamma} \times \log \left( \frac{n_{dt}^{l, \gamma}}{n_{exp}^{l, \gamma}} \right) \right\}$$

$$+ (\vec{f} - \vec{f}_0)^T V^{-1} (\vec{f} - \vec{f}_0)$$

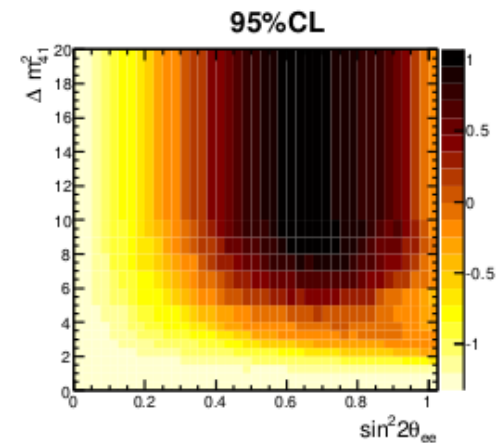
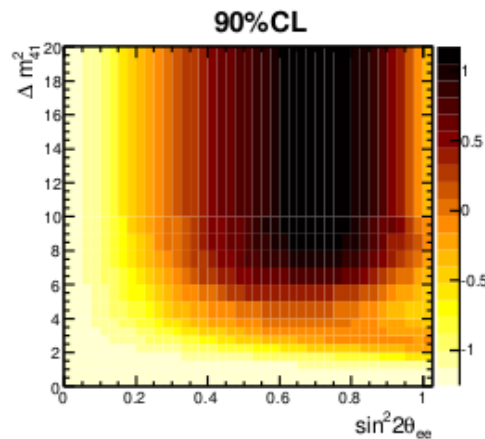
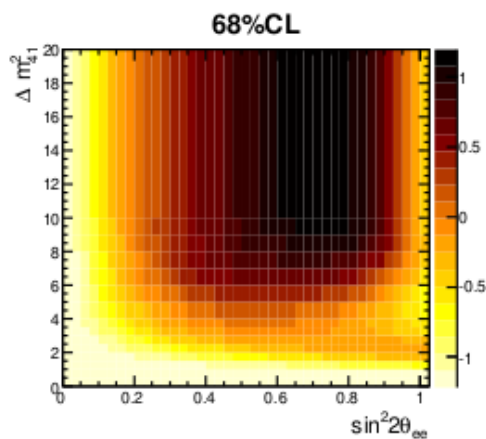
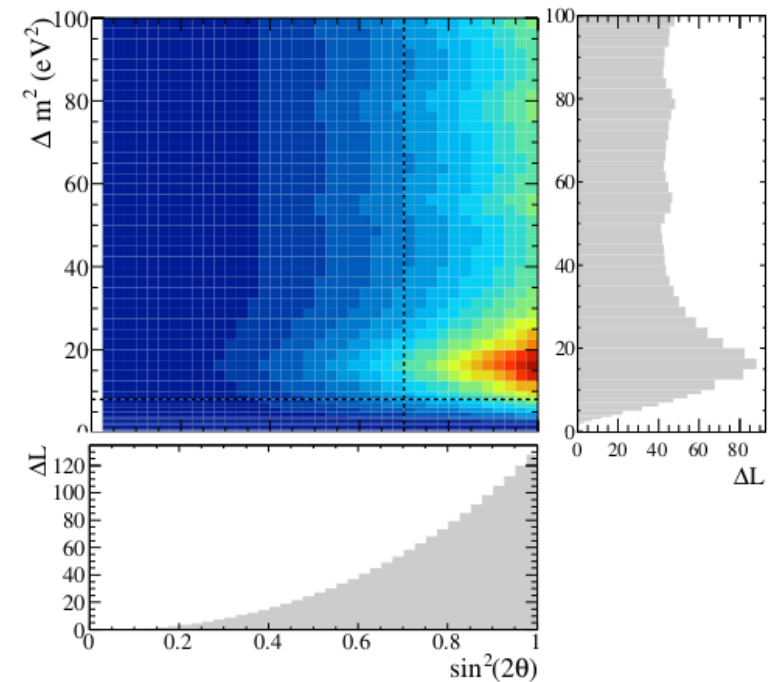
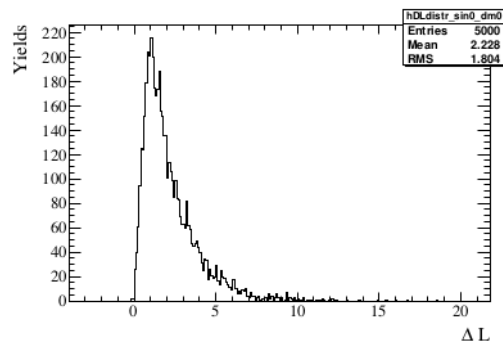
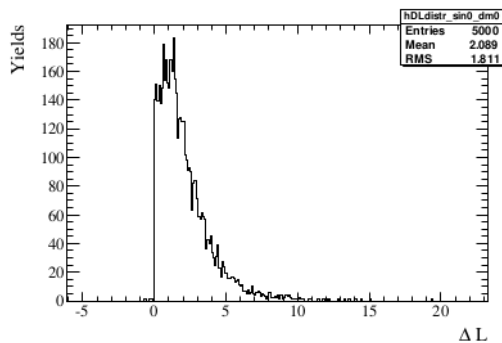
Nuisance parameters

Systematics covariance matrix

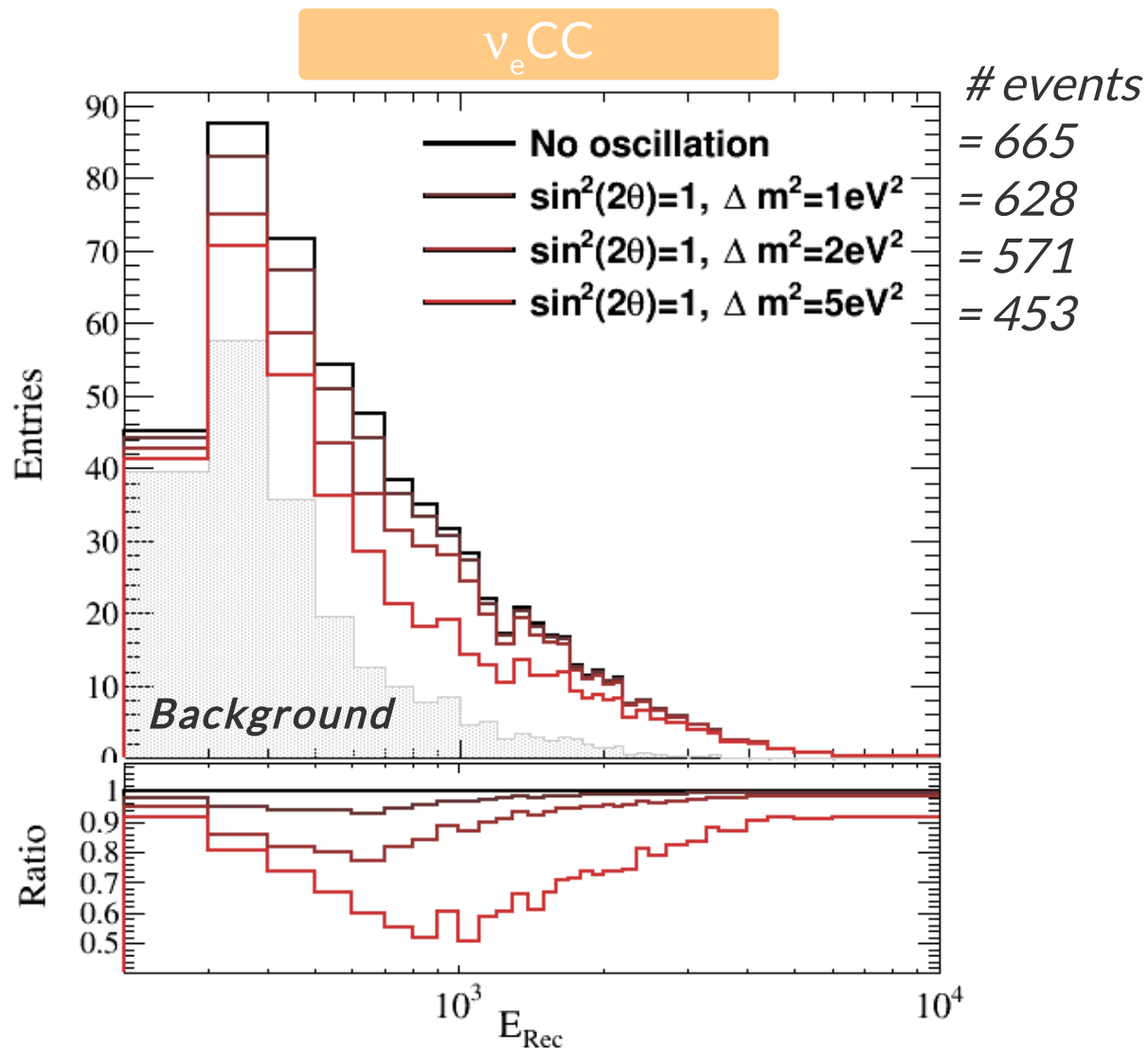
Penalty term

## Feldman &amp; Cousins method

- Generate and fit many toysMC in one point of the parameter space grid
- Calculate the  $\Delta\chi^2$  distributions and from there the critical values
- Repeat for various grid points to scan the whole space

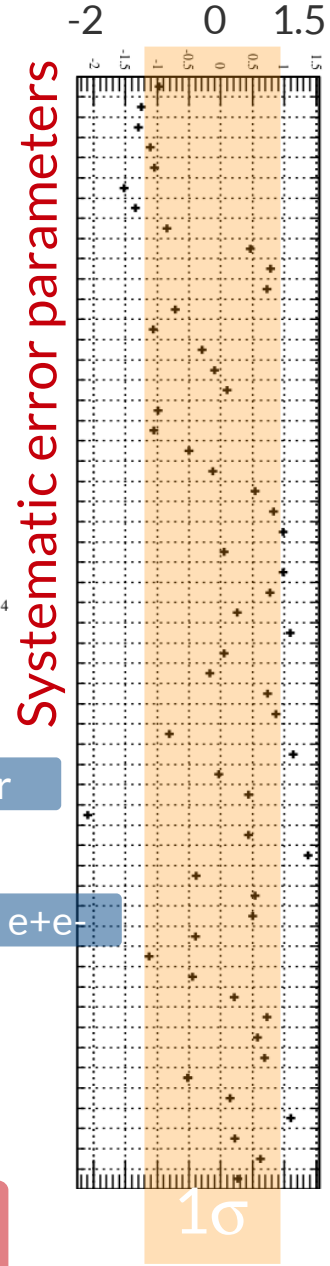
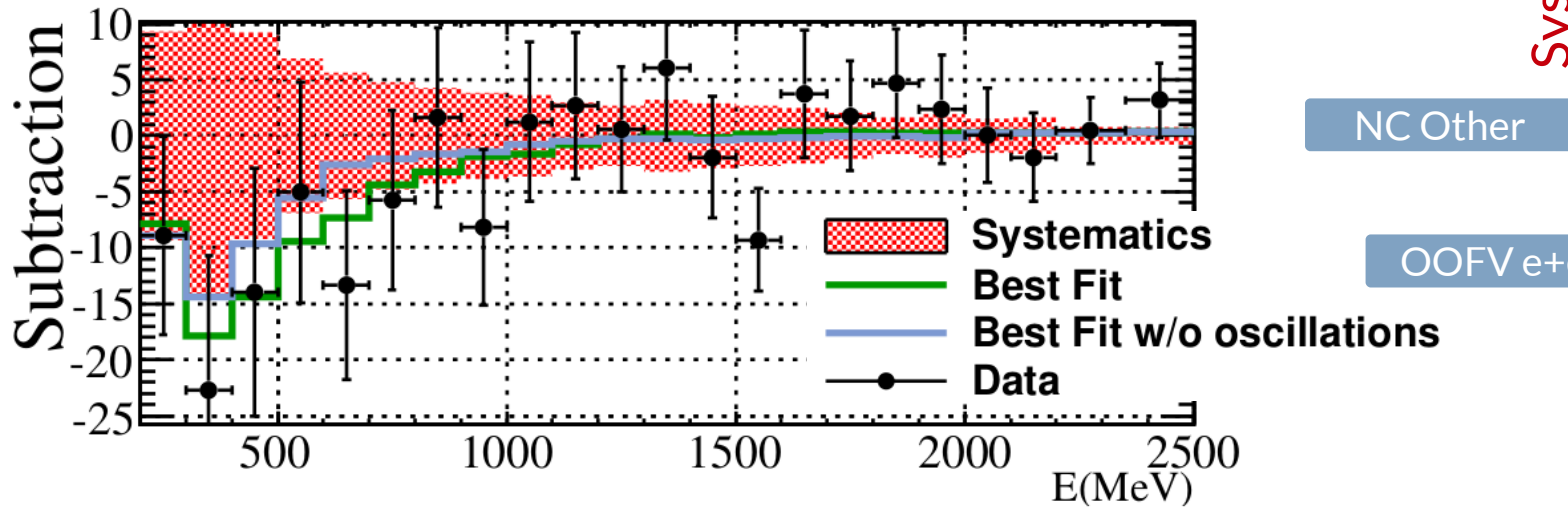
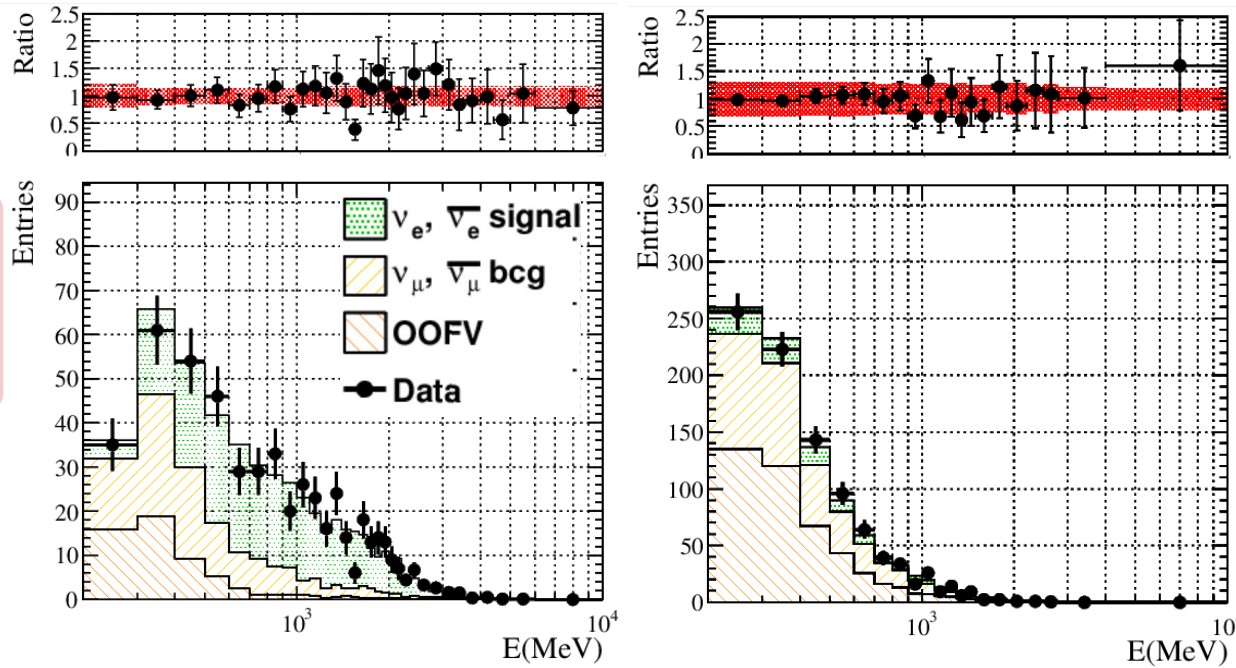


Calculated in an *event-by-event* basis so the energy and flight path are treated exactly



# Results on the data: best fit parameters

$\sin^2(2\theta_{ee})_{bf} = 1.00$   
 $\Delta m_{41bf}^2 = 2.14$



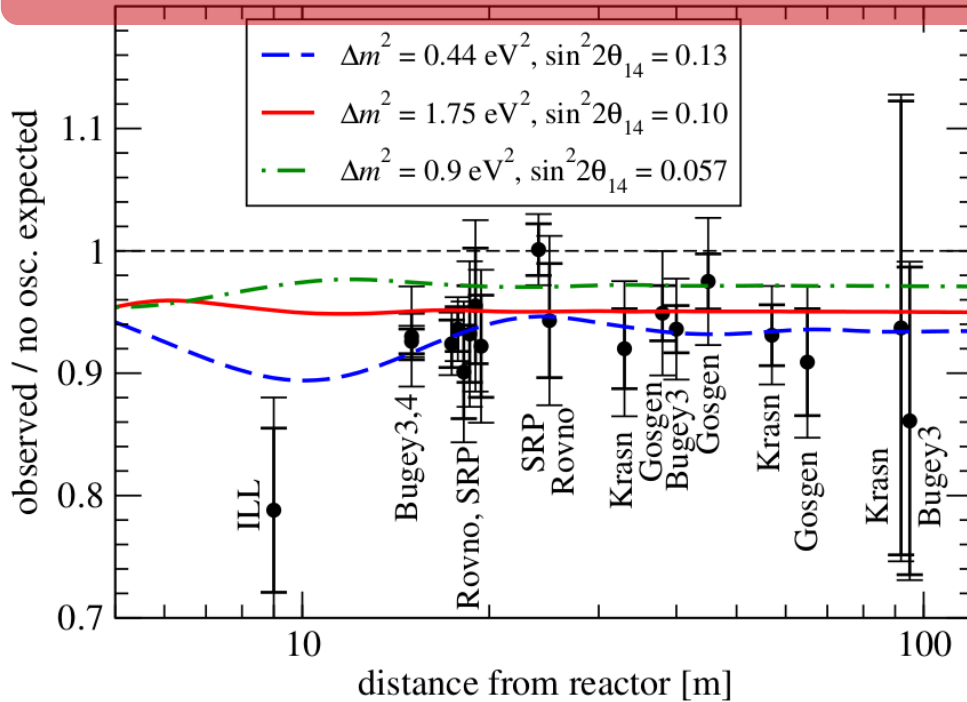
Small deficit that is better fit taking into account  $\nu_e \rightarrow \nu_s$  oscillations

# Light sterile neutrinos: The reactor anti-neutrino anomaly

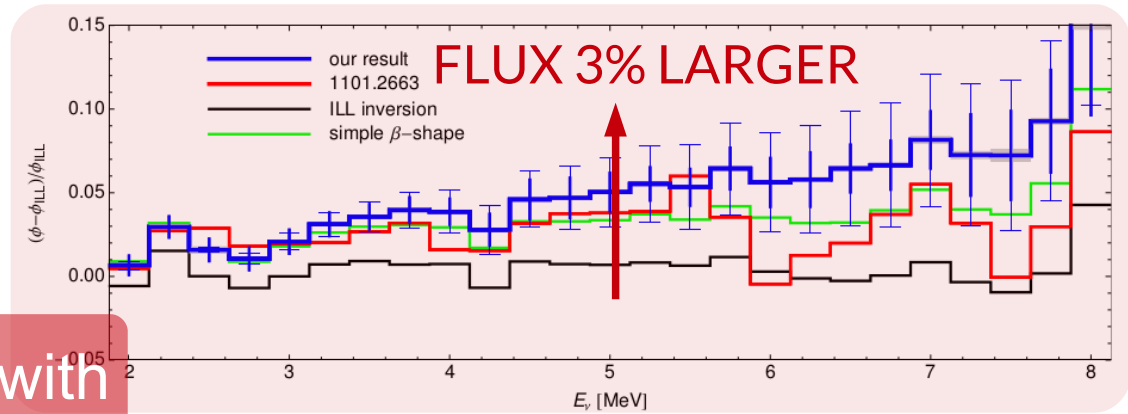
Number of observed *IBD* events:

$$N_{IBD} \propto \phi(E) \sigma(E)$$

Predicted *IBD* rates increased with new recalculation in 2010

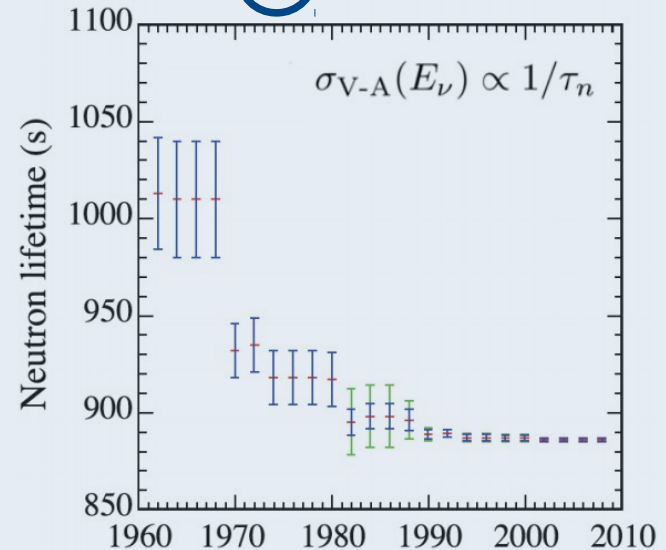


6% deficit → 3σ effect



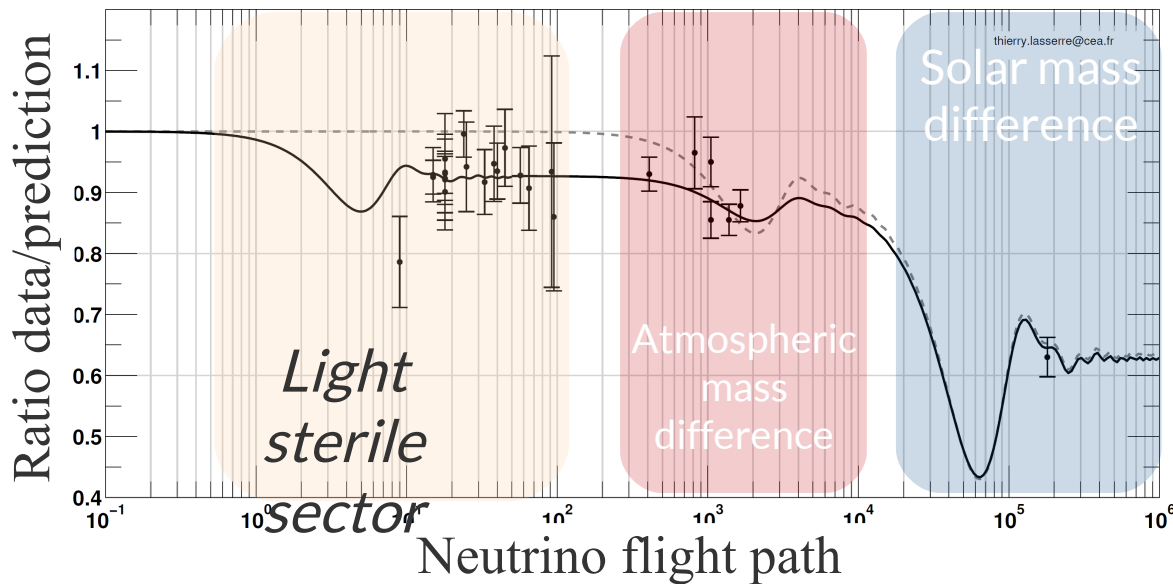
CROSS-SECTION 1% LARGER

$$\sigma = \frac{2\pi^2}{m_e^5 f_{p.s.} \tau_n} E_e p_e \times 10^{-42} \text{ cm}^2$$



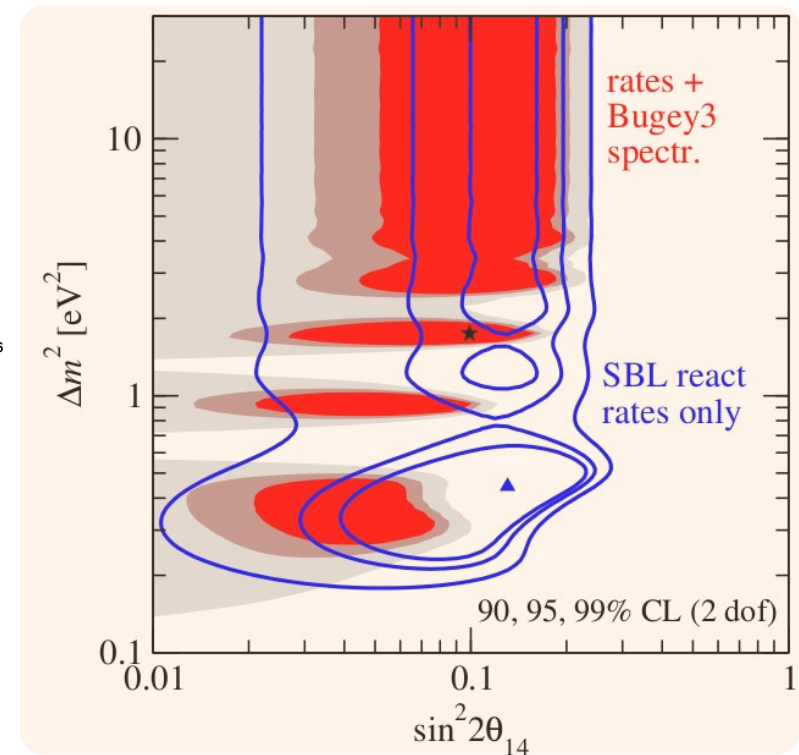


$$P_{ee} = P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta_{ee}) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E[\text{MeV}]} \right)$$



--- Standard oscillations

— 3+1 model



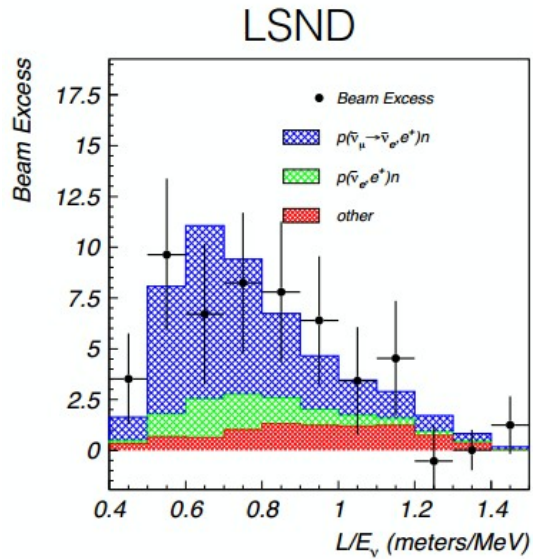
Deficit explainable by  $\nu_e$  disappearance  
with parameters

$$|\Delta m_{41}^2| = 1.75 \text{ eV}^2 \text{ and } \sin^2(2\theta_{ee}) = 0.10$$

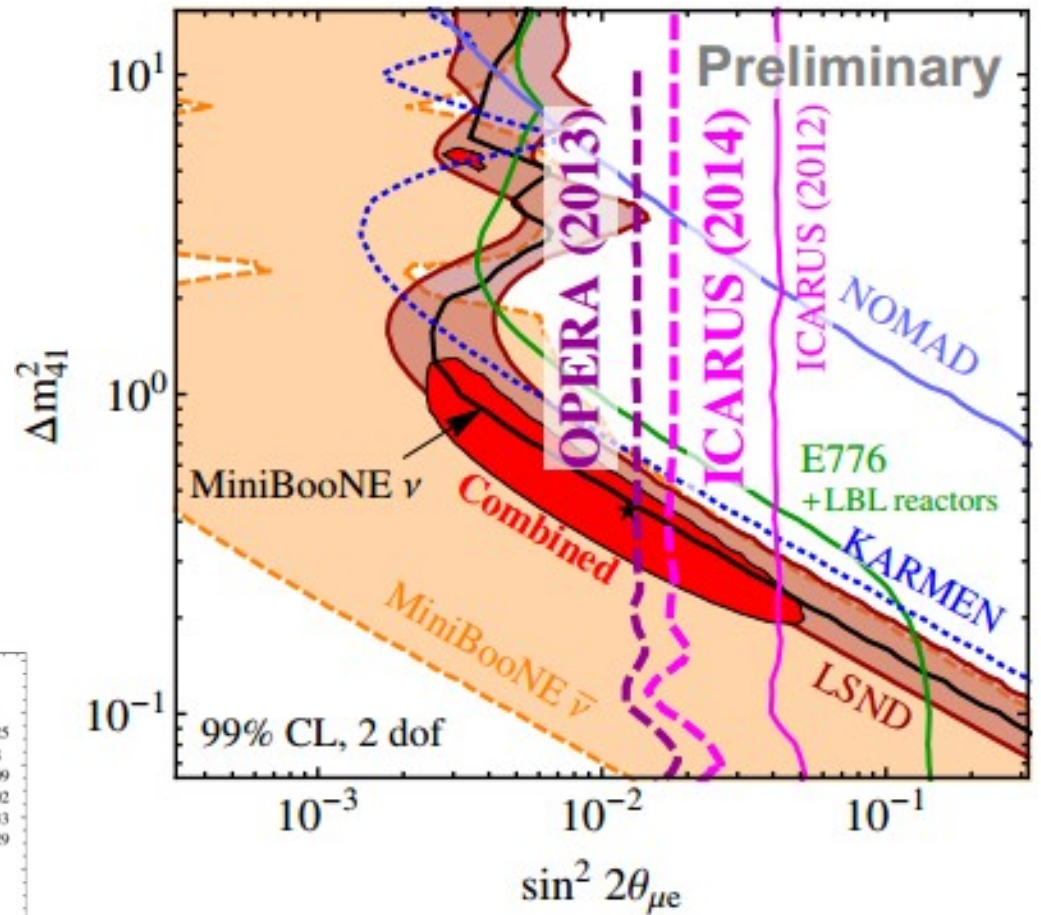
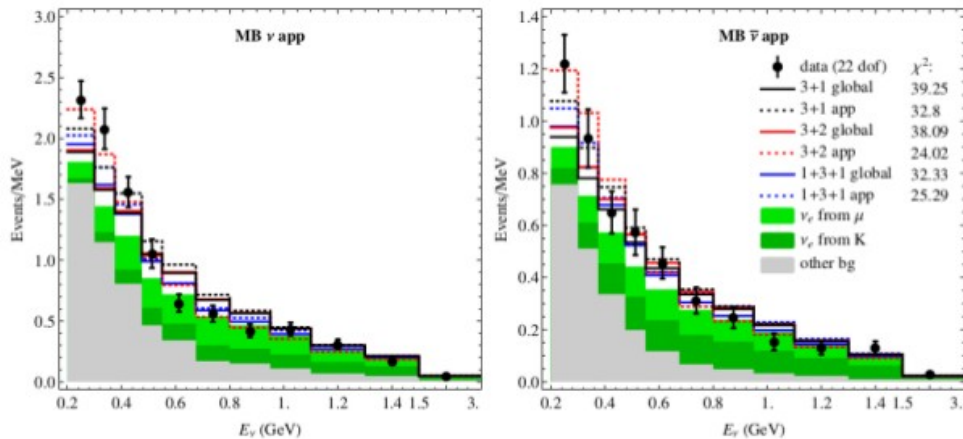
Non-oscillation hypothesis  
rejected by  $3\sigma$

$\nu_\mu \rightarrow \nu_e$  short baseline appearance

$$P_{e\mu} \equiv P(\nu_\mu \rightarrow \nu_e) = P(\nu_e \rightarrow \nu_\mu) = 4|U_{e4}|^2|U_{\mu4}|^2 \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E \text{ MeV}} \right)$$



MiniBooNE

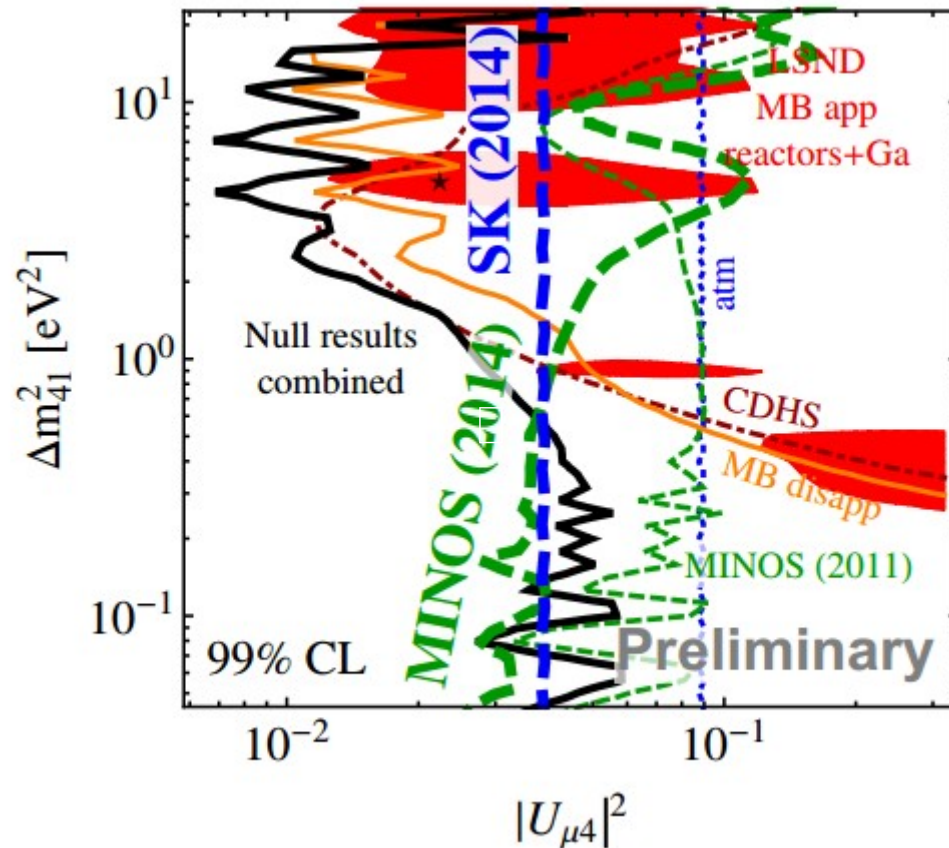


$$\sin^2(2\theta_{e\mu}) \approx \frac{1}{4} \sin^2(2\theta_{ee}) \sin^2(2\theta_{\mu\mu})$$

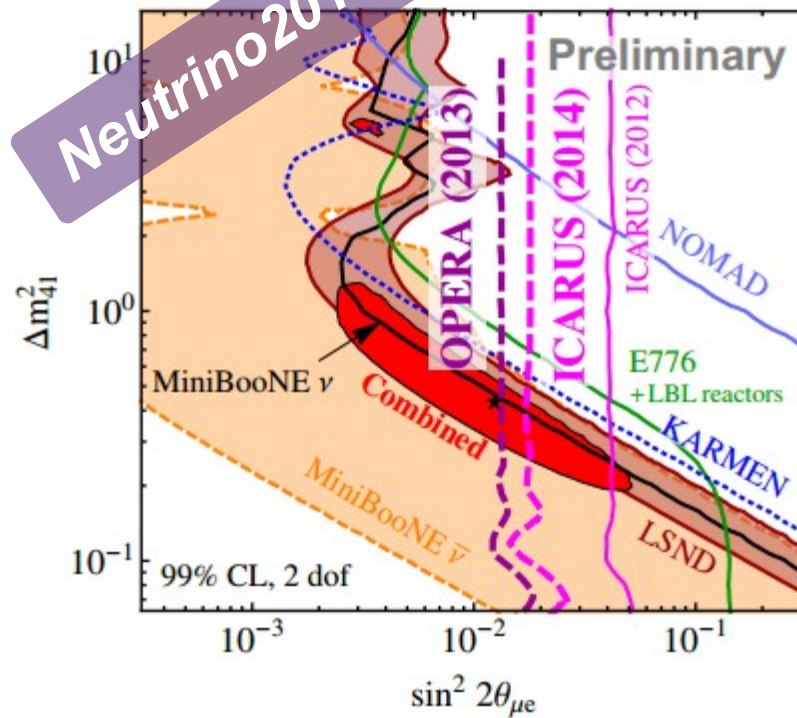
$\nu_\mu \rightarrow \nu_\mu$  short baseline appearance

$$P_{\mu\mu} \equiv P(\nu_\mu \rightarrow \nu_\mu) = 1 - 4|U_{\mu 4}|^2(1 - |U_{\mu 4}|^2) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E \text{ MeV}} \right)$$

$$\sin^2(2\theta_{\mu\mu}) = 4|U_{\mu 4}|^2(1 - |U_{\mu 4}|^2)$$



*SBL  $\nu_e$  appearance analyses*



# Light sterile neutrinos: Summary

$$P(\nu_e \rightarrow \nu_e)$$

$\nu_e$  disappearance:

- Reactor anomaly ✓
- Gallium anomaly ✓
- Solar and KamLAND ✗
- Long base-line reactors ✗
- LSND+KARMEN cross-section measurements ✗

$$P(\nu_\mu \rightarrow \nu_e)$$

$\nu_e$  appearance

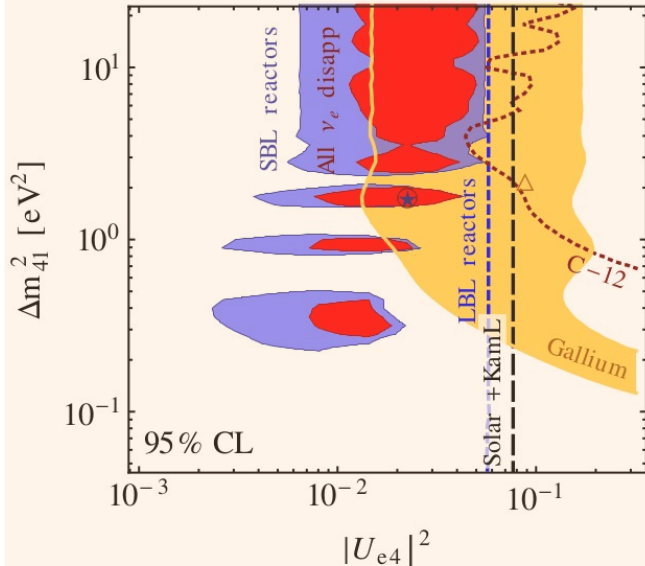
- LSND ✓
- MiniBooNE ✓
- KARMEN ✗
- Others ✗

$$P(\nu_\mu \rightarrow \nu_\mu)$$

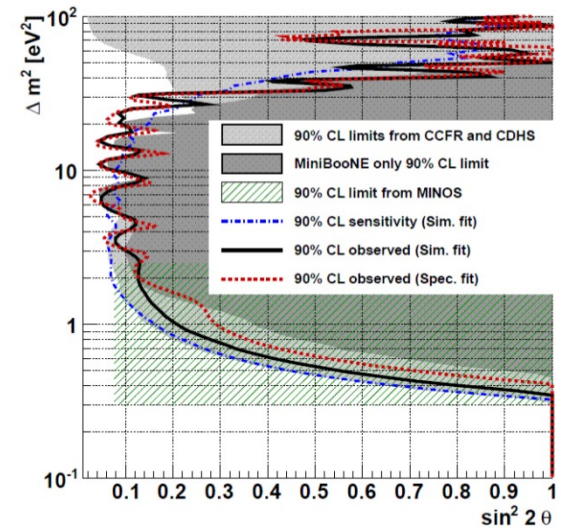
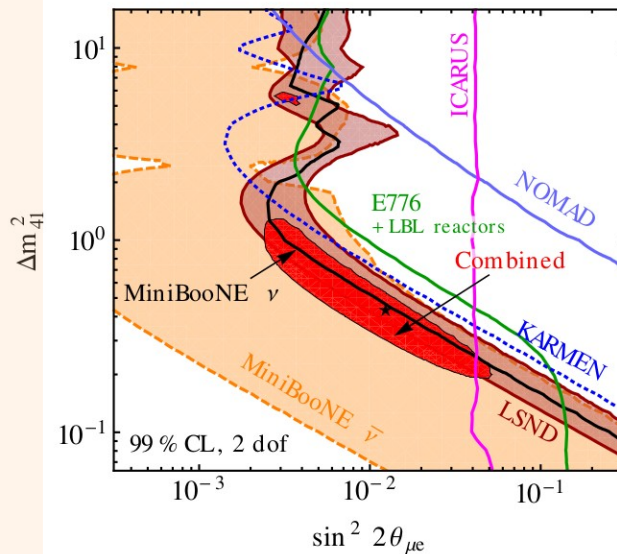
$\nu_\mu$  disappearance

- MINOS ✗
- Sciboone+MiniBooNE ✗
- CDHSW ✗
- CCFR ✗

✓ Anomaly  
✗ Standard



Global fit → 99.8%CL



# Light sterile neutrinos: The 3+1 model

$$\sin^2(2\theta_{ee}) = 4|U_{e4}|^2(1 - |U_{e4}|^2)$$

$$\sin^2(2\theta_{\mu\mu}) = 4|U_{\mu4}|^2(1 - |U_{\mu4}|^2)$$

$$\sin^2(2\theta_{e\mu}) = 4|U_{e4}|^2|U_{\mu4}|^2$$

## Neutrino flavour disappearance

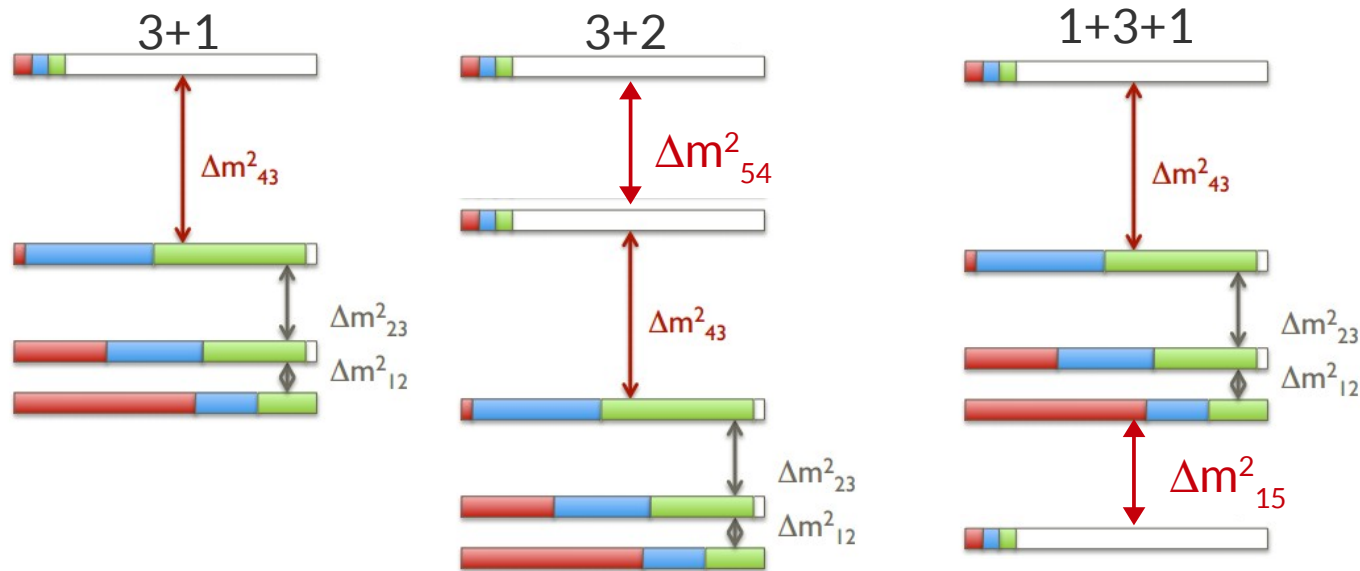
$$P_{ee} \equiv P(\nu_e \rightarrow \nu_e) = 1 - 4|U_{e4}|^2(1 - |U_{e4}|^2) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E[\text{MeV}]} \right)$$

$$P_{\mu\mu} \equiv P(\nu_\mu \rightarrow \nu_\mu) = 1 - 4|U_{\mu4}|^2(1 - |U_{\mu4}|^2) \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E[\text{MeV}]} \right)$$

## Neutrino flavour appearance

$$P_{e\mu} \equiv P(\nu_\mu \rightarrow \nu_e) = P(\nu_e \rightarrow \nu_\mu) = 4|U_{e4}|^2|U_{\mu4}|^2 \sin^2 \left( 1.27 \Delta m_{41}^2 [\text{eV}^2] \frac{L[\text{m}]}{E[\text{MeV}]} \right)$$

## Light sterile neutrinos: 3+2 and 1+3+1 models



Appearance:

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}, 3+2} = 4 |U_{\alpha 4}|^2 |U_{\beta 4}|^2 \sin^2 \phi_{41} + 4 |U_{\alpha 5}|^2 |U_{\beta 5}|^2 \sin^2 \phi_{51} + 8 |U_{\alpha 4} U_{\beta 4} U_{\alpha 5} U_{\beta 5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \gamma_{\alpha\beta})$$

Allows SBL CPV

Disappearance (survival):

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}, 3+2} = 1 - 4 \left( 1 - \sum_{i=4,5} |U_{\alpha i}|^2 \right) \sum_{i=4,5} |U_{\alpha i}|^2 \sin^2 \phi_{i1} - 4 |U_{\alpha 4}|^2 |U_{\alpha 5}|^2 \sin^2 \phi_{54}$$

$$\phi_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}, \quad \gamma_{\alpha\beta} \equiv \arg(I_{\alpha\beta 54}), \quad I_{\alpha\beta ij} \equiv U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*$$

## Light sterile neutrinos: the global picture

$P(\nu_\mu \rightarrow \nu_e)$  Sensitive to  $\sin^2(2\theta_{e\mu})$

$P(\nu_e \rightarrow \nu_e)$  Sensitive to  $\sin^2(2\theta_{ee})$

$P(\nu_\mu \rightarrow \nu_\mu)$  Sensitive to  $\sin^2(2\theta_{\mu\mu})$

Model	$\chi^2$	Goodness-of-fit
3+1	712/(689-9)	$1.2 \times 10^{-4}$
3+2	701/(689-14)	$0.34 \times 10^{-4}$
1+3+1	694/(689-14)	$21.0 \times 10^{-4}$

$$\chi_{PG}^2 \equiv \chi_{\min, \text{glob}}^2 - \chi_{\min, \text{app}}^2 - \chi_{\min, \text{dis}}^2$$

$$\sin^2(2\theta_{e\mu}) \approx \frac{1}{4} \sin^2(2\theta_{ee}) \sin^2(2\theta_{\mu\mu})$$

- Disappearance experiments are sensitive to appearance parameters
- A global fit to all the available data indicates there are tensions between both sectors
- Tension driven by the negative signal in the  $\nu_\mu \rightarrow \nu_s$  searches

