#### Southampton

School of Physics and Astronomy

#### Neutrino Mass Models

#### Lecture 1: Lepton Mixing

Steve King, St.Andrews, Scotland, 10-22 August, 2014 International Neutrino Summer School 2014 (INSS 2014) 70th Scottish Universities Summer School in Physics (SUSSP70)

# Standard Model Puzzles

Standard Model Lectures

The problem of flavour - the problem of the undetermined fermion masses and mixing angles (including neutrino masses and lepton mixing angles) together with the CP violating phases, in conjunction with the observed smallness of flavour changing neutral currents and very small strong CP violation.

The origin of mass - the origin of the weak scale, its stability under radiative corrections, and the solution to the hierarchy problem (most urgent problem of LHC)

The quest for unification - the question of whether the three known forces of the standard model may be related into a grand unified theory, and whether such a theory could also include a unification with gravity.

#### 

μ

ARC AR

e

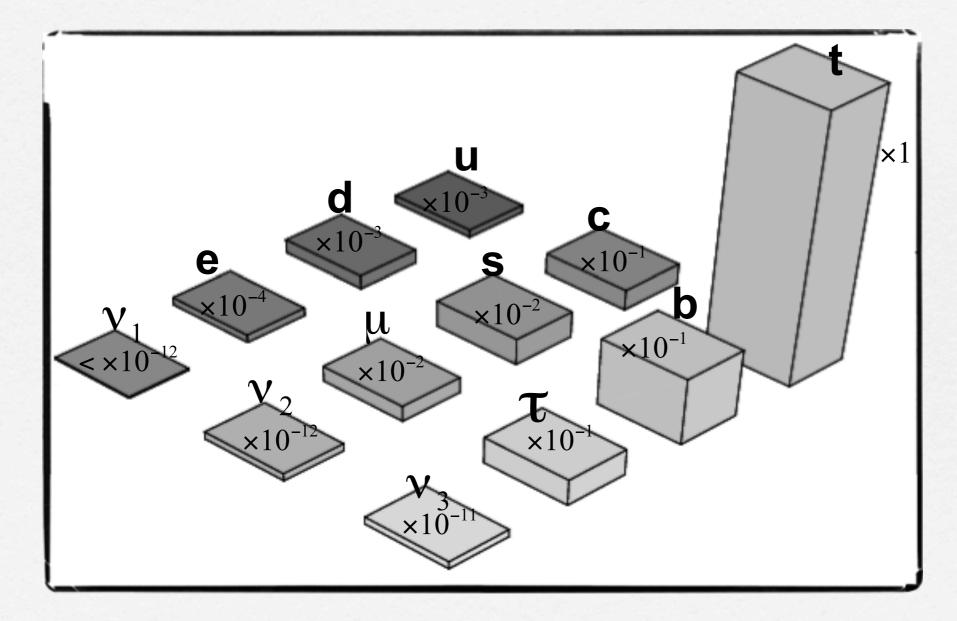
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S

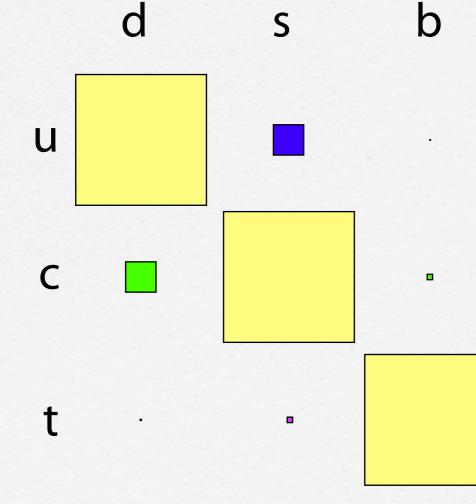
τ

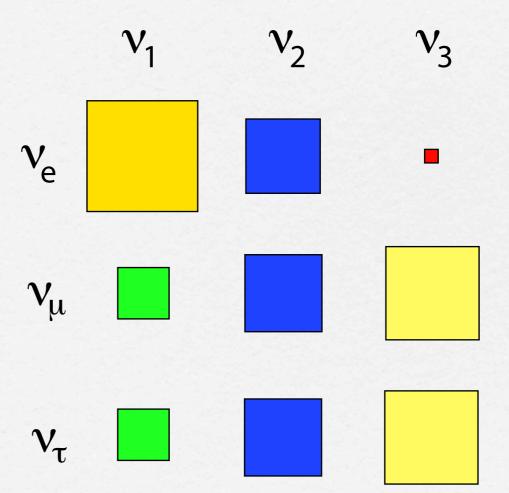
BEISM

#### What is the origin of Quark and Lepton Masses?



#### What is the origin of Quark and Lepton Mixing? CKM PMNS







Neutrínos have tíny masses (much less than electron)
 Neutrínos míx a lot (unlíke the quarks)
 At least 7 new params: 3 masses, 3 angles, 1 phase
 Fírst (and so far only) new physics BSM
 Lepton Flavor ís not conserved: L<sub>e</sub>, L<sub>μ</sub>, L<sub>τ</sub> broken
 Neutríno mass may be Dírac or Majorana
 The Orígín of neutríno mass ís unknown

# A Brief History (98-)

**Neutrino Oscillation Lectures** 

Atmospheric  $v_{\mu}$  disappear, large  $\theta_{23}$  (SK) (98)  $\mathbf{V}$  solar  $v_e$  disappear, large  $\theta_{12}$  (H/S, Ga, SK) (02) Solar v, are converted to  $v_{\mu} + v_{\tau}$  (SNO) (02) Reactor antí-v<sub>e</sub> dísappear/reappear (KamLAND) (04) Accelerator Vu disappear (K2K 04, MINOS 06)  $\checkmark$  Accelerator  $v_{\mu}$  converted to  $v_{\tau}$  (OPERA 10) Accelerator  $v_{\mu}$  converted to  $v_{e}$ ,  $\theta_{13}$  hint (T2K, MINOS, DC) (11)  $\mathbf{V}$  Reactor anti- $v_e$  disappear,  $\theta_{13}$  meas. (Daya Bay, RENO) (12)

## Implications for PP and Cosmology

- Origin of tiny neutrino mass Lecture 2
   See-saw mechanism, loop models, RPV SUSY, Extra dimensions
   Unification of matter, forces and flavour
   GUTS, Family Symmetry,... Lecture 3
- Díd neutrínos play a role ín our existence? Leptogenesis
  Cosmology Lectures
- Díd neutrinos play a role in forming galaxies? Hot/Warm Dark matter component
- Díd neutrínos play a role ín bírth of the universe? Sneutríno inflation

 $\Box$  can neutrinos shed light on dark energy?  $\Lambda \sim m_v^{*}$  )

Partícle Physics

### Constructing the mixing matrix

**Standard Model Lectures** 

$$\mathcal{L} = -v^{u}Y_{ij}^{u}\overline{u}_{L}^{i}u_{R}^{j} - v^{d}Y_{ij}^{d}\overline{d}_{L}^{i}d_{R}^{j} + h.c. \quad \text{Quark sector}$$

$$U_{u_{L}}Y^{u}U_{u_{R}}^{\dagger} = \begin{pmatrix} y_{u} & 0 & 0 \\ 0 & y_{c} & 0 \\ 0 & 0 & y_{t} \end{pmatrix}, \quad U_{d_{L}}Y^{d}U_{d_{R}}^{\dagger} = \begin{pmatrix} y_{d} & 0 & 0 \\ 0 & y_{s} & 0 \\ 0 & 0 & y_{b} \end{pmatrix}$$

$$\mathcal{L}^{CC} = -\frac{g}{\sqrt{2}} \left( \bar{u}_{L} \quad \bar{c}_{L} \quad \bar{t}_{L} \right) U_{CKM} \gamma^{\mu} W_{\mu}^{+} \begin{pmatrix} d_{L} \\ s_{L} \\ b_{L} \end{pmatrix} \quad \begin{array}{c} U_{CKM} = U_{u_{L}}U_{d_{L}}^{\dagger} \\ \mathbf{5} \text{ phases removed} \\ \\ L = -\frac{1}{2}m^{\nu}\overline{\nu}_{L}^{i}\nu_{L}^{cj} - v^{d}Y_{ij}^{e}\overline{e}_{L}^{i}e_{R}^{j} + h.c. \quad \begin{array}{c} \text{Lepton sector} \\ 0 & y_{\mu} & 0 \\ 0 & 0 & y_{\pi} \end{pmatrix} \\ \\ \mathcal{L}^{CC} = -\frac{g}{\sqrt{2}} \left( \bar{e}_{L} \quad \bar{\mu}_{L} \quad \bar{\tau}_{L} \right) U_{PMNS} \gamma^{\mu} W_{\mu}^{-} \begin{pmatrix} \nu_{1L} \\ \nu_{2L} \\ y_{3L} \end{pmatrix} \quad \begin{array}{c} U_{PMNS} = U_{e_{L}}U_{\nu_{L}}^{\dagger} \\ \mathbf{3} \text{ phases removed} \\ \end{array}$$

#### Parametrising the mixing matrix

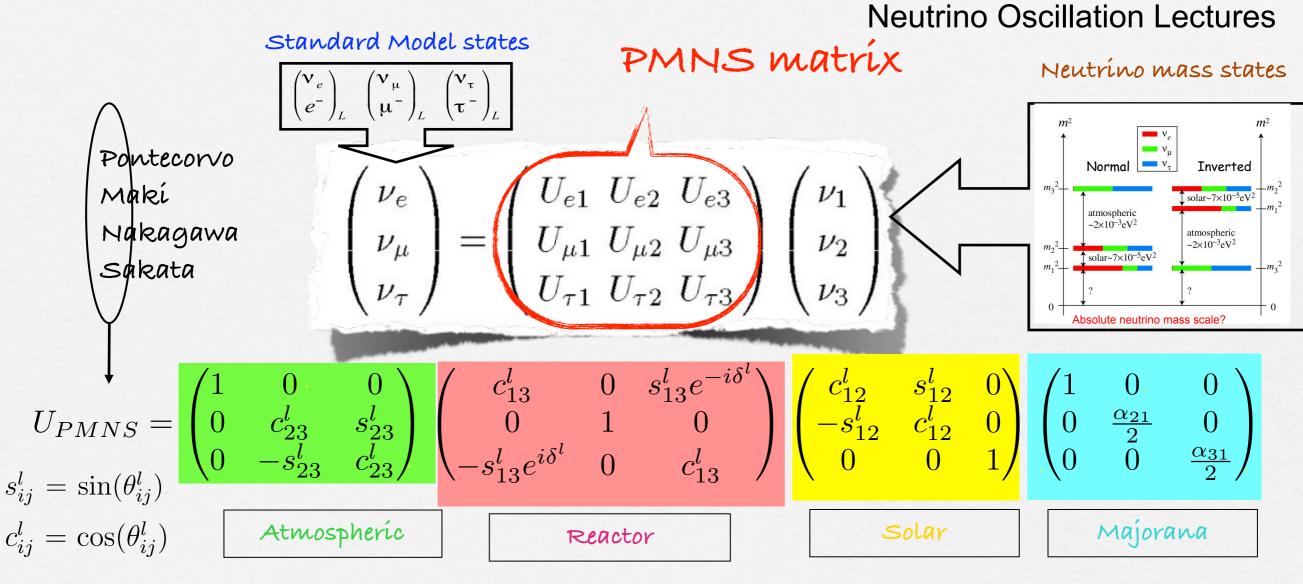
**Standard Model Lectures** 

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23}-c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23}-s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23}-c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23}-s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Same parameterisation for CKM and PMNS matrix

PMNS matrix may have two additional Majorana phases

## PMNS Lepton mixing matrix

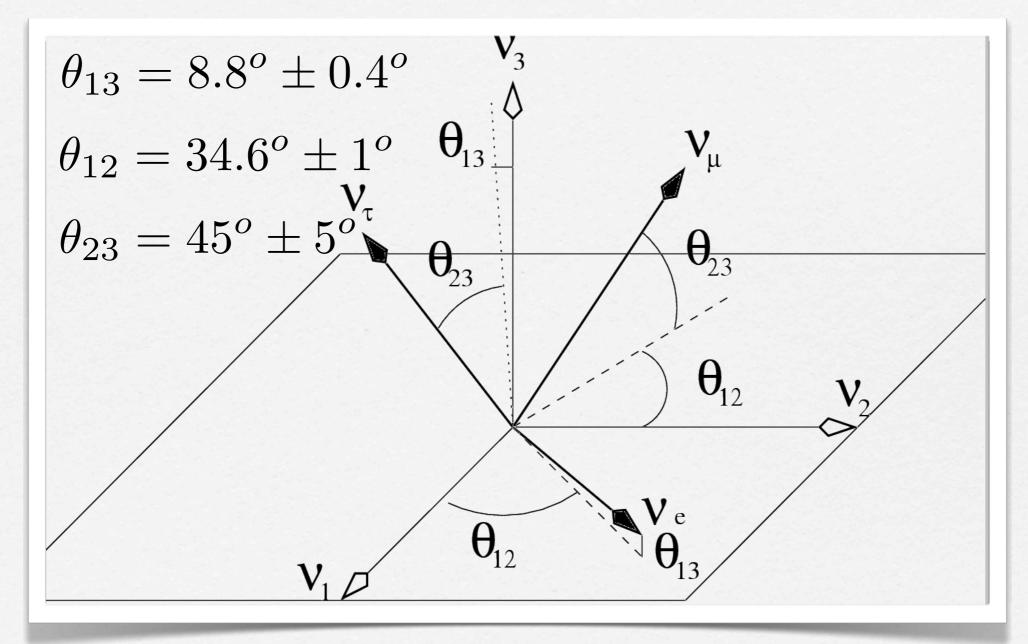


Oscillation phase  $\delta^l$ Majorana phases  $lpha_{21}, lpha_{31}$ 

з masses + з angles + з phases = 9 new parameters for SM

MM	M M M M	N NA NA NA NA NA NA	<u>NA NA NA NA NA NA NA</u>
# #	Parameters	Neutrino Oscillation Experiments <sup>a</sup>	Image: Comparison of the second system         Image:
	$\frac{\Delta m_{21}^2}{\Delta m_{21}^2}$	${\rm KamLAND}~(\overline{\nu}_e \rightarrow \overline{\nu}_e)^{21}$	$[7.60^{+0.19}_{-0.18}] \cdot 10^{-5} \text{ eV}^2  1405.7540$
	$\Delta m_{31}^2$	$\begin{array}{l} {\rm T2K} \ (\nu_{\mu} \rightarrow \nu_{\mu})^{22} \\ {\rm MINOS} \ (\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{\mu}, \ \nu_{\mu} \rightarrow \nu_{\mu})^{23} \end{array}$	+ $[2.48^{+0.05}_{-0.07}] \cdot 10^{-3} \text{ eV}^2 \text{ (NH)}$ - $[2.38^{+0.05}_{-0.06}] \cdot 10^{-3} \text{ eV}^2 \text{ (IH)}$
	$\theta_{12}$	solar neutrinos $(\nu_e \rightarrow \nu_e)$ Borexino <sup>24</sup> , SNO <sup>25,26</sup> , Super-Kamionkande I-IV <sup>27</sup>	$34.63^{\circ +1.02^{\circ}}_{-0.98^{\circ}}$
	$\theta_{13}$	Daya Bay $(\overline{\nu}_e \to \overline{\nu}_e)^{28}$ RENO $(\overline{\nu}_e \to \overline{\nu}_e)^{29}$	$8.80^{\circ +0.37^{\circ}}_{-0.39^{\circ}}$ (NH) $8.91^{\circ +0.35^{\circ}}_{-0.36^{\circ}}$ (IH)
	$\theta_{23}$	atmospheric neutrinos $(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{\mu}, \nu_{\mu} \rightarrow \nu_{\mu})$ Super-Kamiokande I-IV <sup>30</sup>	$48.9^{\circ +1.6^{\circ}}_{-7.4^{\circ}} \text{ (NH)}$ $49.2^{\circ +1.5^{\circ}}_{-2.5^{\circ}} \text{ (IH)}$
	δ	Neutrino Oscillation Lectures	$241^{\circ +115^{\circ}}_{-68^{\circ}} \text{ (NH)}$ $266^{\circ +62^{\circ}}_{-57^{\circ}} \text{ (IH)}$

# Neutrino Mixing Angles



### Simple Mixing Ansatze $\theta_{13} = 0^{\circ} \quad \theta_{23} = 45^{\circ}$

🛛 Bimaximal

 $U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0\\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}}\\ \frac{1}{2} & -\frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix} P \quad \theta_{12} = 45^{o}$ 

 $U_{TB} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0\\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} P \\ \theta_{12} = 35.26^{o}$ 

🗆 Tri-bimaximal

c.f. Tutorial Problem 1(a)

🗆 Golden ratio

 $\phi = \frac{1 + \sqrt{5}}{2}$ 

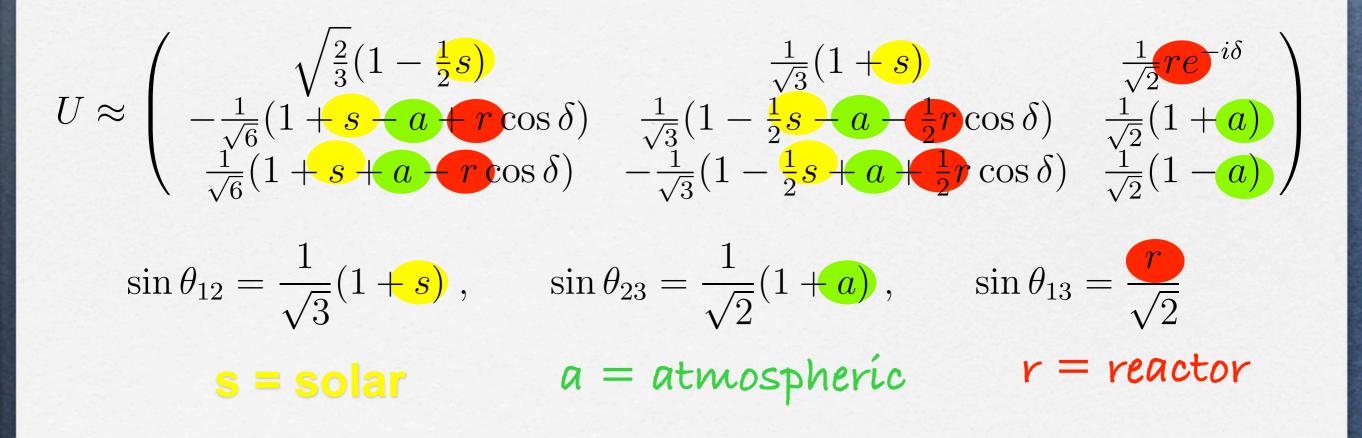
$$U_{GR} = \begin{pmatrix} c_{12} & s_{12} & 0\\ -\frac{s_{12}}{\sqrt{2}} & \frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{s_{12}}{\sqrt{2}} & -\frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} P$$
$$\tan \theta_{12} = \frac{1}{\phi} \qquad \theta_{12} = 31.7^{\circ}$$

Charged Lepton Mixing Corrections hep-ph/0506297			
$U_{PMNS} = U_e U_\nu^{\dagger} \qquad \qquad$			
cabibbo-like / TB neutrino mixing			
charged Lepton / Tutorial Problem 3(f)			
$U_{\rm PMNS} = \begin{pmatrix} c_{12}^e & s_{12}^e e^{-i\delta_{12}^e} & 0\\ -s_{12}^e e^{i\delta_{12}^e} & c_{12}^e & 0\\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0\\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} \cdots & \cdots & \frac{s_{12}^e}{\sqrt{2}}e^{-i\delta_{12}^e}\\ \cdots & \cdots & \frac{c_{12}^e}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$			
$\mathbf{C.f.}  U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$			
$c_{23}c_{13} = \frac{1}{\sqrt{2}}  s_{13} = \frac{s_{12}^e}{\sqrt{2}},$ $ s_{23}s_{12} - s_{13}c_{23}c_{12}e^{i\delta}  = \frac{1}{\sqrt{6}}.$ $\theta_{13} \approx 9.2^\circ \text{ if } \theta_e \approx \theta_C \approx 13^\circ$ $\theta_{12} - 35^\circ \approx \theta_{13} \cos \delta$ Solar sum rule			

#### **Tri-Bimaximal Deviations**

c.f. Tutorial Problem 1(b)

0710.0530



## Tri-maximal Mixing

 $U \approx \begin{pmatrix} \sqrt{\frac{2}{3}}(1 - \frac{1}{2}s) & \frac{1}{\sqrt{3}}(1 + s) \\ -\frac{1}{\sqrt{6}}(1 + s + a + r\cos\delta) & \frac{1}{\sqrt{3}}(1 - \frac{1}{2}s + a + \frac{1}{2}r\cos\delta) & \frac{1}{\sqrt{3}}(1 - \frac{1}{2}s + \frac{1}{2}r\cos\delta) & \frac{1}{\sqrt{3$ 

$$\frac{\frac{1}{\sqrt{2}}re^{-i\delta}}{\frac{1}{\sqrt{2}}(1+a)} \\ \frac{\frac{1}{\sqrt{2}}(1-a)}{\frac{1}{\sqrt{2}}(1-a)}$$

$$U_{\rm TM1} \approx \begin{pmatrix} \sqrt{\frac{2}{3}} & - & - \\ -\frac{1}{\sqrt{6}} & - & - \\ \frac{1}{\sqrt{6}} & - & - \end{pmatrix} \qquad U_{\rm TM2} \approx \begin{pmatrix} - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \\ - & -\frac{1}{\sqrt{3}} & - \end{pmatrix}$$

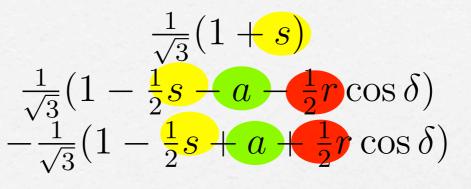
c.f. Tutorial Problem 1(b)

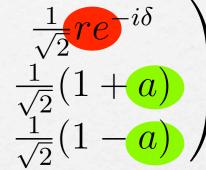
 $\Box \text{ Tri-maximal 1 } s \approx 0, \ a \approx r \cos \delta$  $\Box \text{ Tri-maximal 2 } s \approx 0, \ a \approx -\frac{1}{2}r \cos \delta$ 

### **Tri-Bimaximal-Cabibbo Mixing**

1205.0506, 1304.6264

 $U \approx \begin{pmatrix} \sqrt{\frac{2}{3}}(1 - \frac{1}{2}s) & \frac{1}{\sqrt{3}}(1 + s) & \frac{1}{\sqrt{2}}re^{-i\delta} \\ -\frac{1}{\sqrt{6}}(1 + s + a + r\cos\delta) & \frac{1}{\sqrt{3}}(1 - \frac{1}{2}s + a - \frac{1}{2}r\cos\delta) & \frac{1}{\sqrt{2}}(1 + a) \\ \frac{1}{\sqrt{6}}(1 + s + a + r\cos\delta) & -\frac{1}{\sqrt{3}}(1 - \frac{1}{2}s + a + \frac{1}{2}r\cos\delta) & \frac{1}{\sqrt{2}}(1 - a) \end{pmatrix}$ 

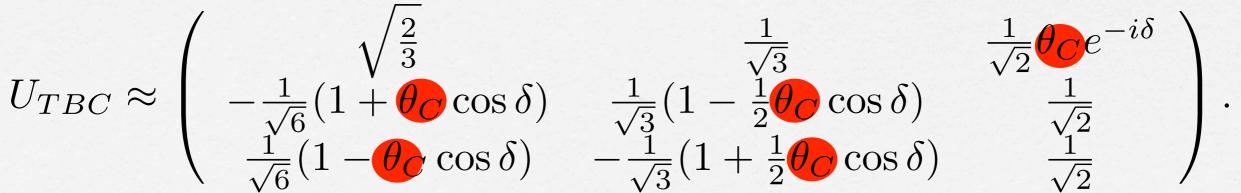




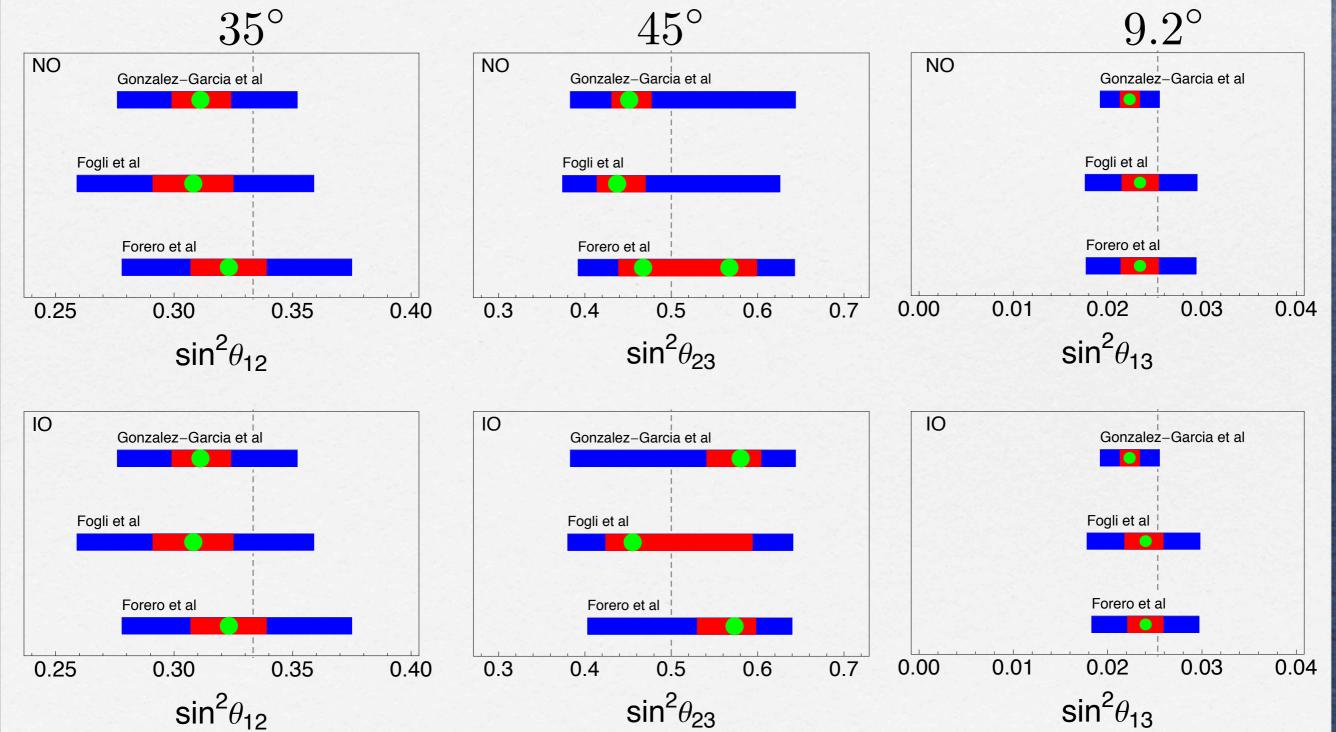
TBC corresponds to  $s = a = 0, \quad p = \theta_C$ 

$$\theta_{12} = 35^{\circ} \qquad \theta_{23} = 45^{\circ}$$
$$\theta_{13} = \frac{\theta_C}{\sqrt{2}} = 9.2^{\circ}$$



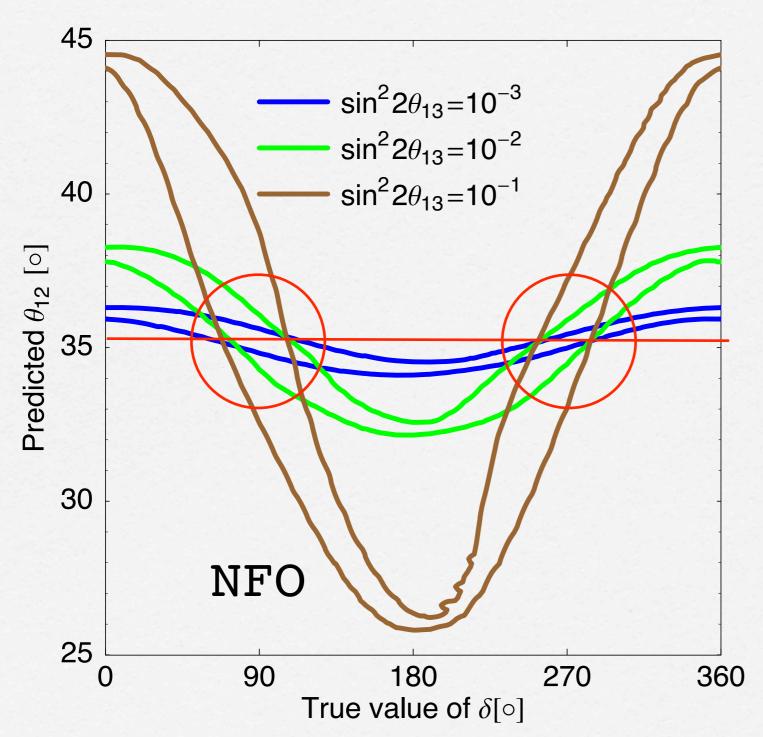


### Global Fits 2014 vs TBC Mixing



- NA Lepton Mixing Sum Rules □ Solar sum rules (from ch lepton corr) Exact relations  $|U_{\tau 1}| / |U_{\tau 2}| = 1$ Bimaximal  $\theta_{12} \approx 45^o + \theta_{13} \cos \delta$  $|U_{\tau 1}|/|U_{\tau 2}| = 1/\sqrt{2}$ Tri-bimaximal  $\theta_{12} \approx 35^o + \theta_{13} \cos \delta$  $|U_{\tau 1}|/|U_{\tau 2}| = 1/\varphi$ Golden Ratio  $\theta_{12} \approx 32^o + \theta_{13} \cos \delta$ Golden Ratio  $\varphi = \frac{1+\sqrt{5}}{2}$ □ Atm. sum rules (TM1 or TM2)  $|U_{e1}| = \sqrt{2/3}$   $|U_{\mu 1}| = |U_{\tau 1}| = \frac{1}{\sqrt{6}}$   $|U_{e2}| = |U_{\mu 2}| = |U_{\tau 2}| = \frac{1}{\sqrt{3}}.$ Trimaximal1  $\theta_{23} \approx 45^o + \sqrt{2\theta_{13}} \cos \delta$ Trimaximal2  $\theta_{23} \approx 45^o - \frac{\theta_{13}}{\sqrt{2}} \cos \delta$  c.f. Tutorial Problem 1(c)

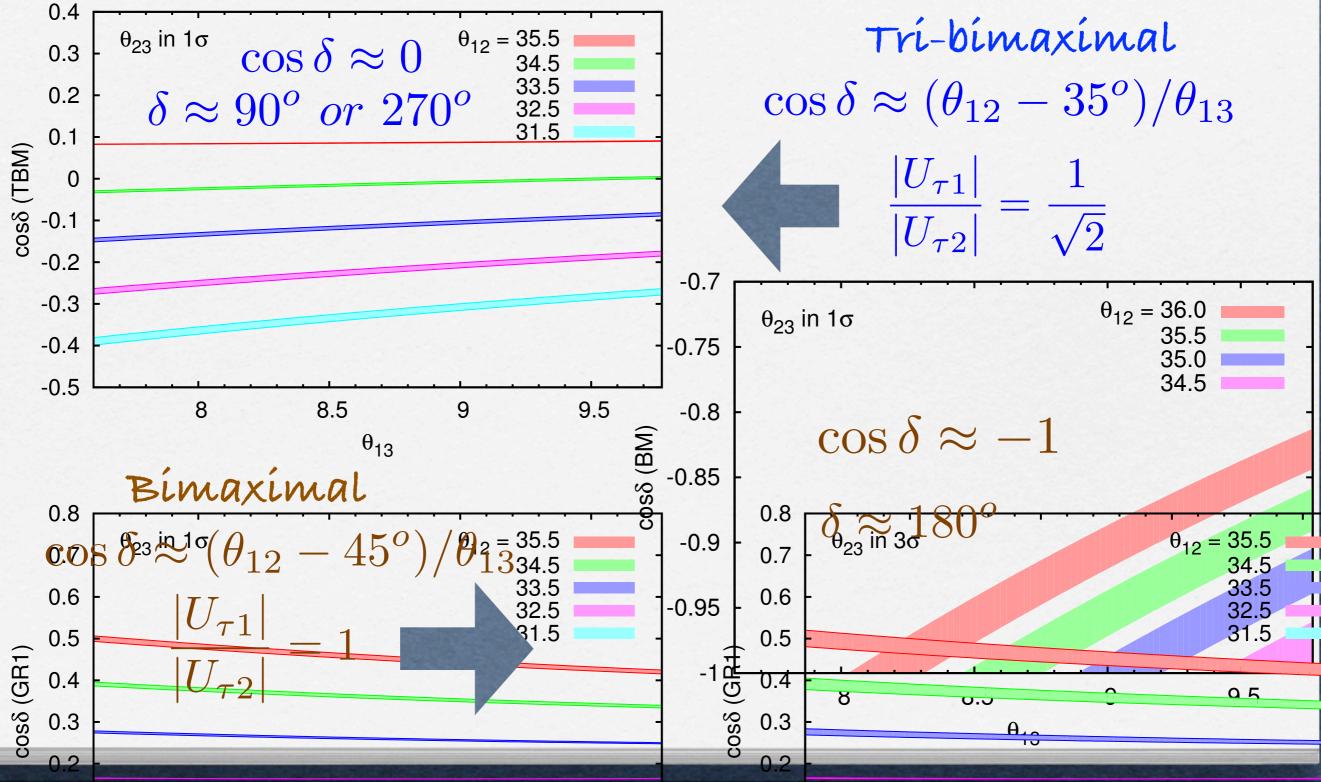
# Testing Solar Sum Rules



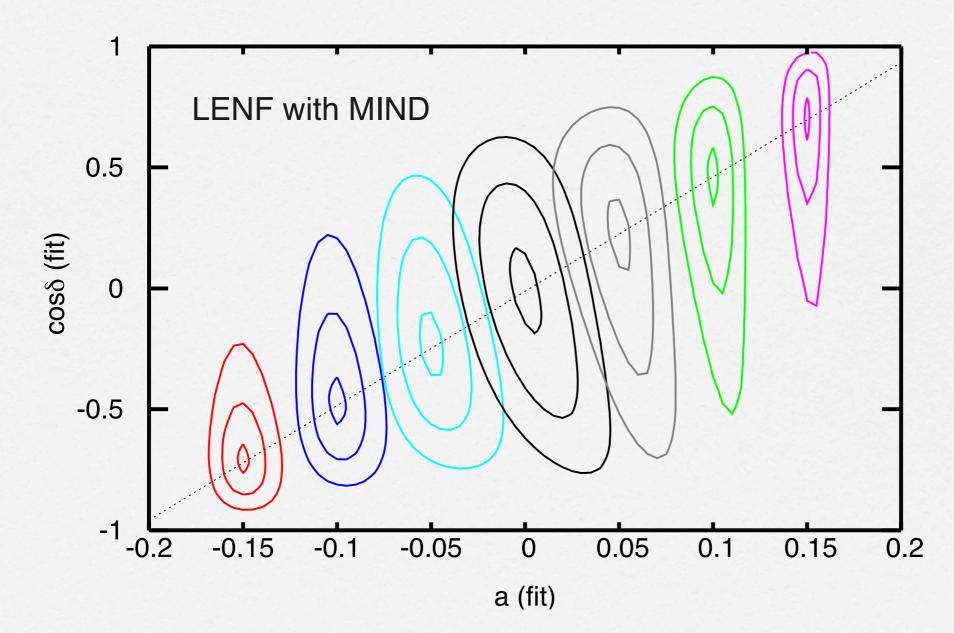
hep-ph/0702286

Tri-bimaximal  $\theta_{12} \approx 35^{\circ} + \theta_{13} \cos \delta$ Predicts  $\delta \approx 90^{\circ} \text{ or } 270^{\circ}$ 





### **Testing Atmospheric Sum Rules** $s \approx 0, \ a \approx r \cos \delta$ 1308.4314



# Lepton Mixing Open Questions

Is the atmospheric angle maximal 45°?
Is the atmospheric angle maximal 45°?
If not then which octant?  $\theta_{13}$   $v_{\mu}$ Is the solar angle trimaximal 35°?
If not then less or greater?
Is the CP phase special 0, pi ± pi/2, ...?
If not then what is it?  $v_1 \rho$   $\theta_{12}$   $v_e$   $\theta_{13}$ 

#### 

- The Neutrino Revolution post 1998 has led to a new flavour puzzle, that of large lepton mixing
- Símple patterns of lepton míxing such as Bímaximal, Trí-bímaximal, Golden Ratío are ruled out by Daya Bay/RENO
- However they may be rescued by invoking large charged lepton corrections leading to <u>solar sum rules</u> involving the CP phase delta
- Other patterns consistent with Daya Bay and RENO have been proposed such as Tri-bimaximal-Cabibbo mixing and two versions of Trimaximal mixing, leading to <u>atmospheric sum rules</u> also involving the CP phase
- It is vital to measure the mixing angles and the CP phase delta to good precision to distinguish these possibilities

## Tutorial Questions

1. The PMNS matrix for Dirac neutrinos is [1],

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}, \qquad (1)$$

(2)

(3)

where  $s_{13} = \sin \theta_{13}$ , etc.

(a) Show that tri-bimaximal mixing defined by

$$s_{13} = 0, \ s_{12} = \frac{1}{\sqrt{3}}, \ s_{23} = \frac{1}{\sqrt{2}},$$

implies the tri-bimaximal (TB) mixing matrix,

$$U_{\rm TB} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0\\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$

(b) Consider the reactor, solar and atmospheric parameters r,s,a which parameterise the deviations from tri-bimaximal mixing [2],

$$s_{13} = \frac{r}{\sqrt{2}}, \ s_{12} = \frac{(1+s)}{\sqrt{3}}, \ s_{23} = \frac{(1+a)}{\sqrt{2}}.$$
 (4)

By expanding the PMNS mixing matrix to first order in the small parameters r, s, a, it is possible to show (although you do not need to do this) that,

$$U \approx \begin{pmatrix} \sqrt{\frac{2}{3}}(1-\frac{1}{2}s) & \frac{1}{\sqrt{3}}(1+s) & \frac{1}{\sqrt{2}}re^{-i\delta} \\ -\frac{1}{\sqrt{6}}(1+s-a+r\cos\delta) & \frac{1}{\sqrt{3}}(1-\frac{1}{2}s-a-\frac{1}{2}r\cos\delta) & \frac{1}{\sqrt{2}}(1+a) \\ \frac{1}{\sqrt{6}}(1+s+a-r\cos\delta) & -\frac{1}{\sqrt{3}}(1-\frac{1}{2}s+a+\frac{1}{2}r\cos\delta) & \frac{1}{\sqrt{2}}(1-a) \end{pmatrix}.$$
 (5)

Verify that for TB mixing r = s = a = 0, the mixing matrix reduces to  $U_{\text{TB}}$ . Show that, for  $s \approx 0$ ,  $a \approx r \cos \delta$ , the first column of the mixing matrix approximately corresponds to that of TB mixing (TM1 mixing).

Similarly show that for  $s \approx 0$ ,  $a \approx -(r/2) \cos \delta$ , the second column of the mixing matrix approximately corresponds to that of TB mixing (TM2 mixing).

(c) Show that the relations  $a \approx r \cos \delta$  and  $a \approx -(r/2) \cos \delta$  imply the approximate "atmospheric sum rules" of the form,

$$\theta_{23} - 45^{\circ} \approx C \times \theta_{13} \cos \delta \tag{6}$$

and find the constant C in each case. [Hint: take the sine of both sides of the Eq.6, assuming  $\sin \theta_{13} \approx \theta_{13}$ , then expand  $\sin(\theta_{23} - 45^\circ)$  and use definitions of r, a.] Then discuss how well these so called "atmospheric sum rules" are satisfied by current data on the atmospheric and reactor mixing angles and how future precision measurements of these angles will fix the CP violating phase  $\delta$  [3].