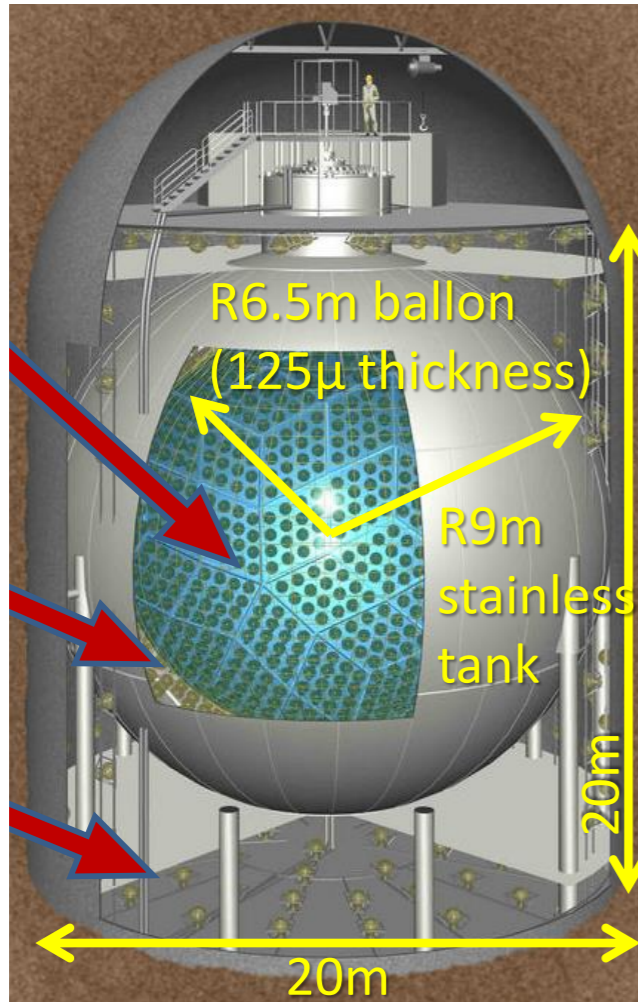


# Measuring the neutrino mass

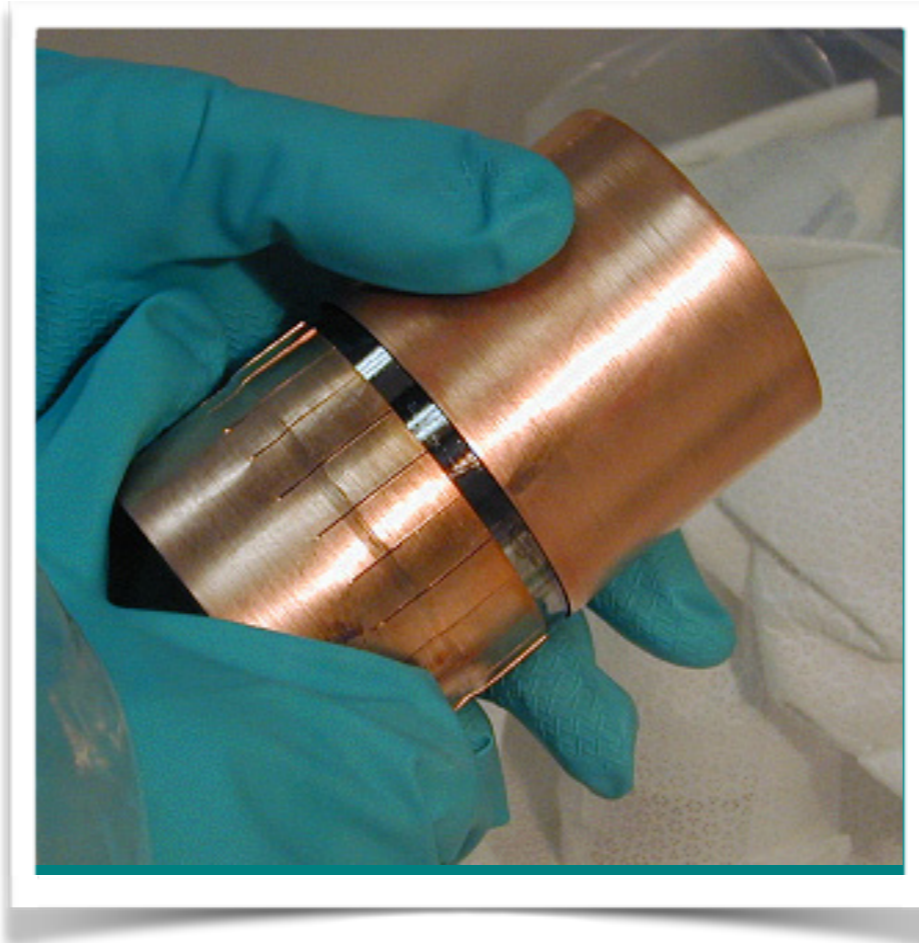
---

**J.J. Gómez Cadenas**  
**IFIC (CSIC & UV)**

**St. Andrews, INSS, 2014**  
**Lecture 4**

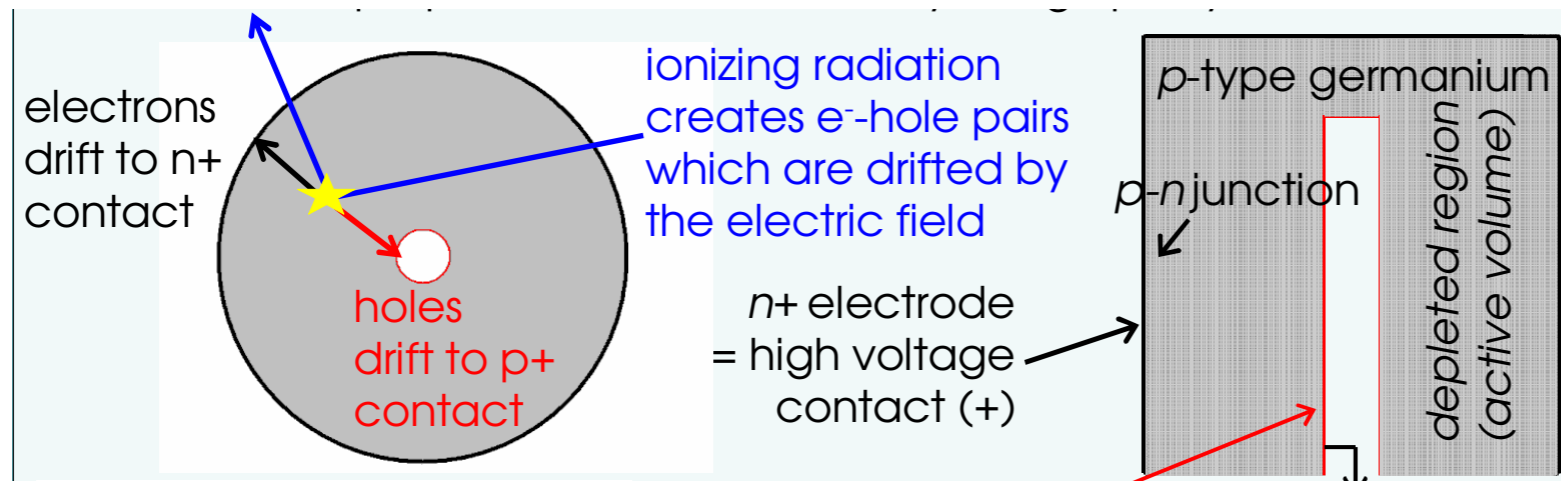


## Calorimeters



**High resolution experiments: Ge diodes, Te bolometers and scintillation bolometers**

# Germanium detectors

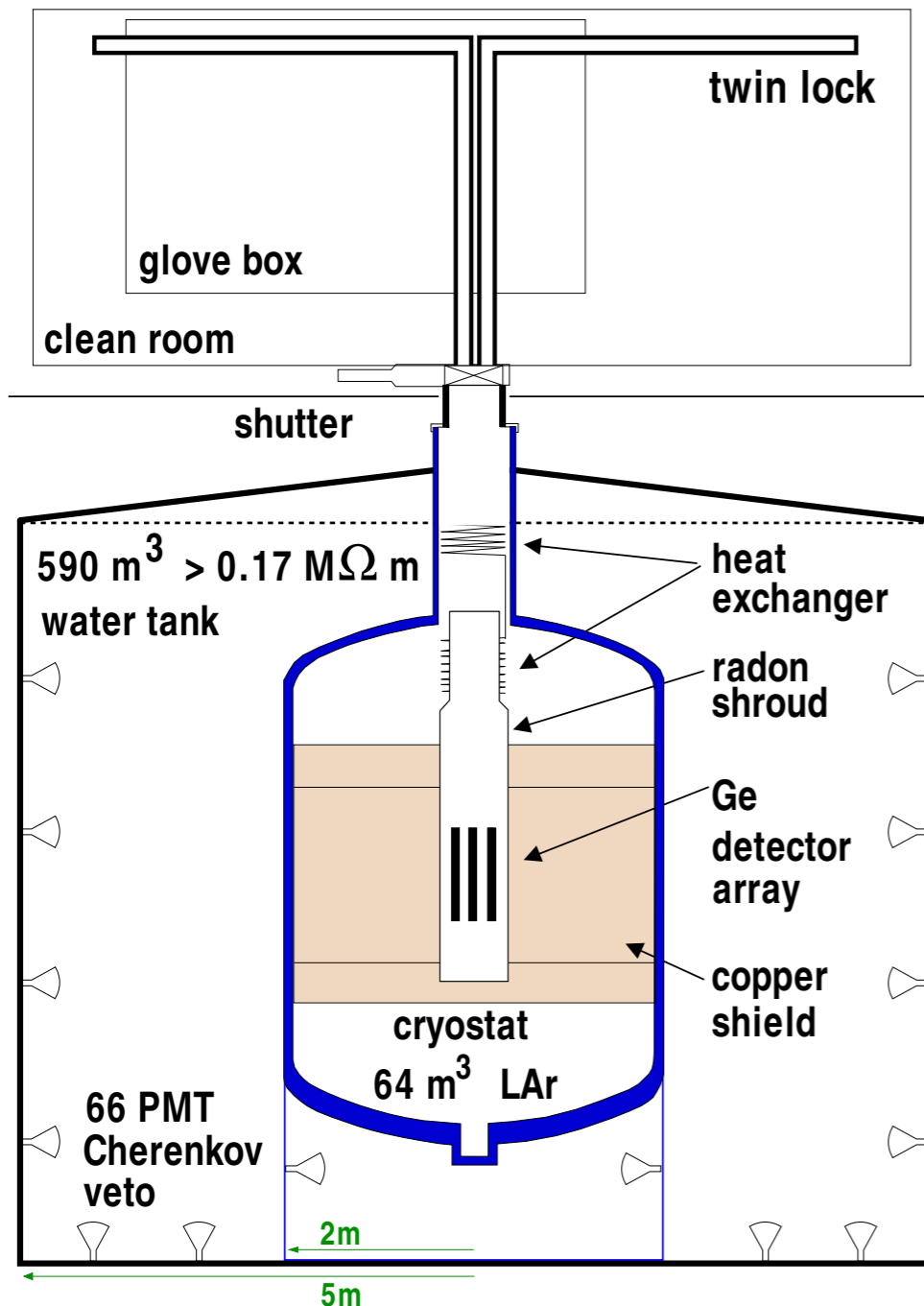


- **Ge has three desirable properties as a detector:**

- Is dense (therefore suitable as a calorimeter)
- Can be manufactured with high purity (avoiding backgrounds in the material itself)
- Is a semiconductor: very good energy resolution

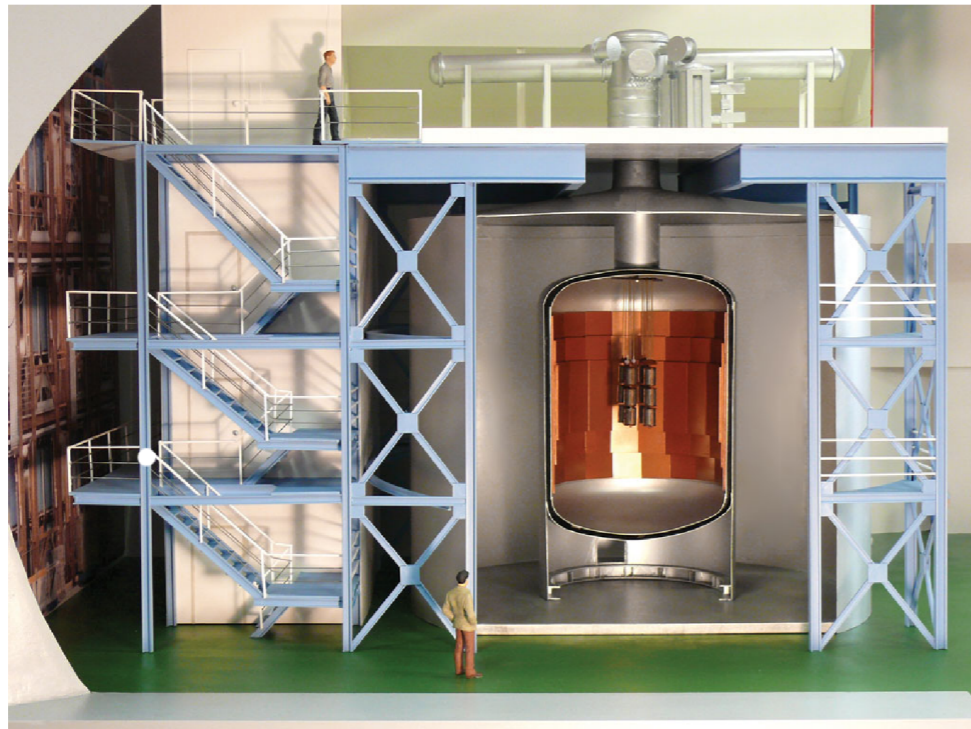
Ge detectors must be cooled ( $\sim 120$  K) to avoid thermal excitation of electron-hole pairs that would spoil energy resolution

# GERDA (GERmanium Detector Array)



- **Array of Ge detectors located inside a cryostat containing 64 m<sup>3</sup> of LAr**
  - LAr acts as coolant and as shield of external backgrounds
  - Copper shield inside (steel) cryostat (further shielding from external backgrounds)
- **Cryostat inside water tank**
  - Water shielding gammas from rocks
  - Instrumented to veto cosmic muons

# GERDA



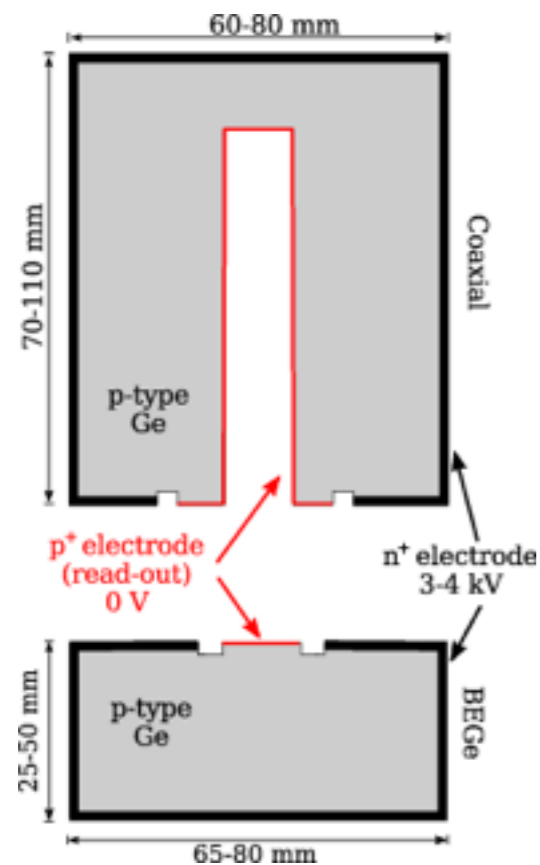
## Experiment structure

- ▶ 590 m<sup>3</sup> Water Tank to absorb neutrons and veto cosmic muons
- ▶ 64 m<sup>3</sup> Liquid Argon (LAr) for cooling and shielding (and vetoing)
- ▶ Plastic scintillators above the cryostat to further veto cosmic

- ▶ Located in Hall A at Laboratori Nazionali del Gran Sasso of INFN
- ▶ 3800 mwe overburden
- ▶ Array of bare enriched Ge detectors in liquid argon (LAr)
- ▶ Minimal amount of material in proximity of the diodes



# Gerda detectors



detector	FWHM [keV]	detector	FWHM [keV]
<i>SUM-coax</i>		<i>SUM-bege</i>	
ANG 2	5.8 (3)	GD32B	2.6 (1)
ANG 3	4.5 (1)	GD32C	2.6 (1)
ANG 4	4.9 (3)	GD32D	3.7 (5)
ANG 5	4.2 (1)	GD35B	4.0 (1)
RG 1	4.5 (3)		
RG 2	4.9 (3)		
mean coax	4.8 (2)	mean BEGe	3.2 (2)

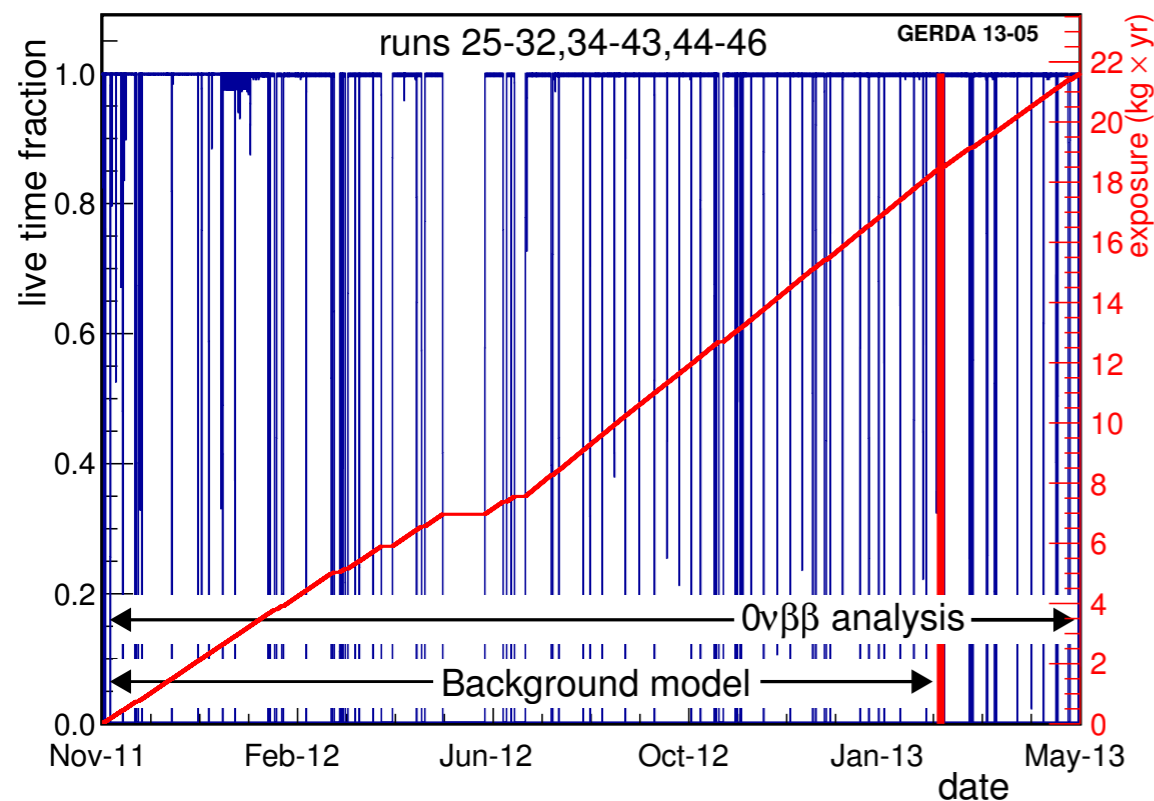
## • Coaxial

- 0.2 % resolution ( $\sim 5$  keV @  $Q_{\beta\beta}$ )
- Reprocessed from previous experiments. Active volume 87%
- Total enriched (useful mass): 14.7 kg
- Deployed in strings of 3 detectors

## • BEGe (Broad Energy Germanium)

- 0.1 % resolution at 2.6 MeV ( $\sim 3$  keV @  $Q_{\beta\beta}$ )
- Enhanced Pulse Shape Discrimination
- 20 kg already produced and tested
- 1 strings of 5 detectors inserted in Gerda. Active volume 92%

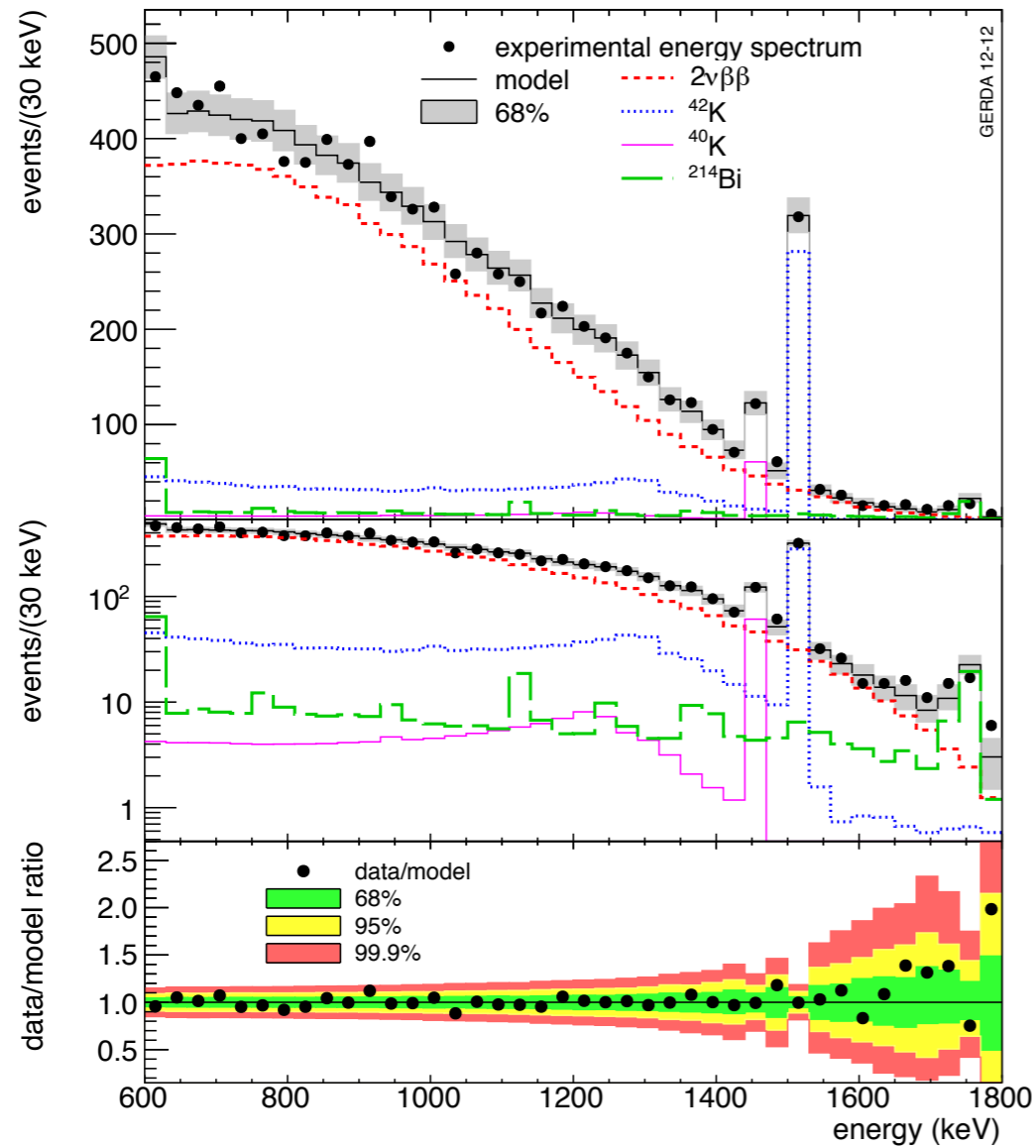
# GERDA I data taking



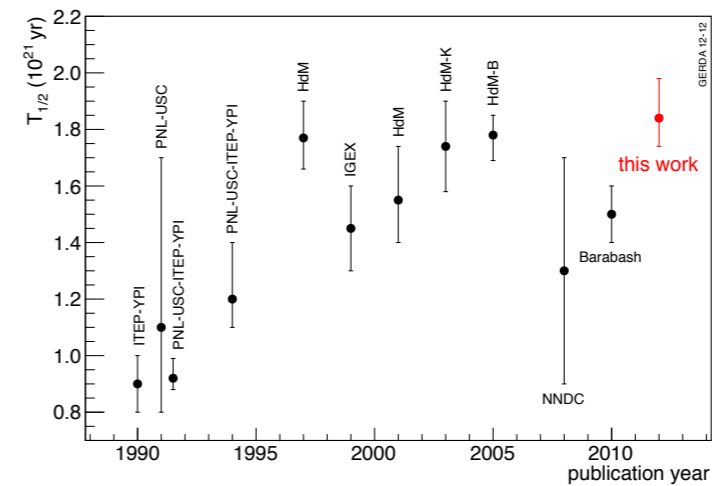
- **Total mass of detectors deployed**
  - 8 coaxial: 17.7 kg
  - 5 BeGe: 3.6 kg.
  - Total mass: 21.3 kg
  - Not all detectors active at all times due to different deployment times and instrumental issues
- **total running time**
  - 18.6 months, duty factor 88%: 492.3 days
- **total exposure**
  - 21.6 kg x yr
  - Thus the overall “exposure efficiency” is roughly 12/18 ~ 66%



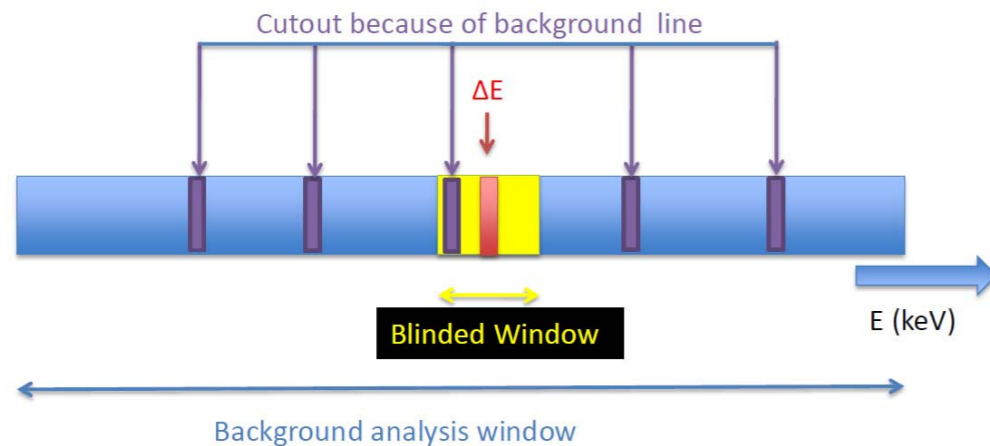
# The $2\nu$ mode



- ▶ Measured by GERDA with 5.04 kg·yr exposure
- ▶ High signal-to-background ratio: small systematic error due to background model
- ▶  $T_{1/2}^{2\nu} = (1.84^{+0.14}_{-0.10}) \cdot 10^{21}$  yr
- ▶ J. Phys. G: Nucl. Part. Phys. 40 (2013) 035110



# GERDA background model



**Fig. 1** Representation of energy spectra for definition of the energy windows used in the blind analysis.

- The strategy is to blind out the region where the signal is expected, to avoid biases.
  - Then measure the expected background in the signal region using an energy window around it.
  - Construct the background model from the known activities of the materials in the detector and the environment.
  - Use the known, narrow energy lines (resolution!) to normalise
- **Background sources**
  - Ar42  $\rightarrow$  K42 (LAr shield)
  - Contamination of bulk materials and surfaces with trace elements from the two main radioactive chains, U238 and Th232.
  - Radon (Rn222)!

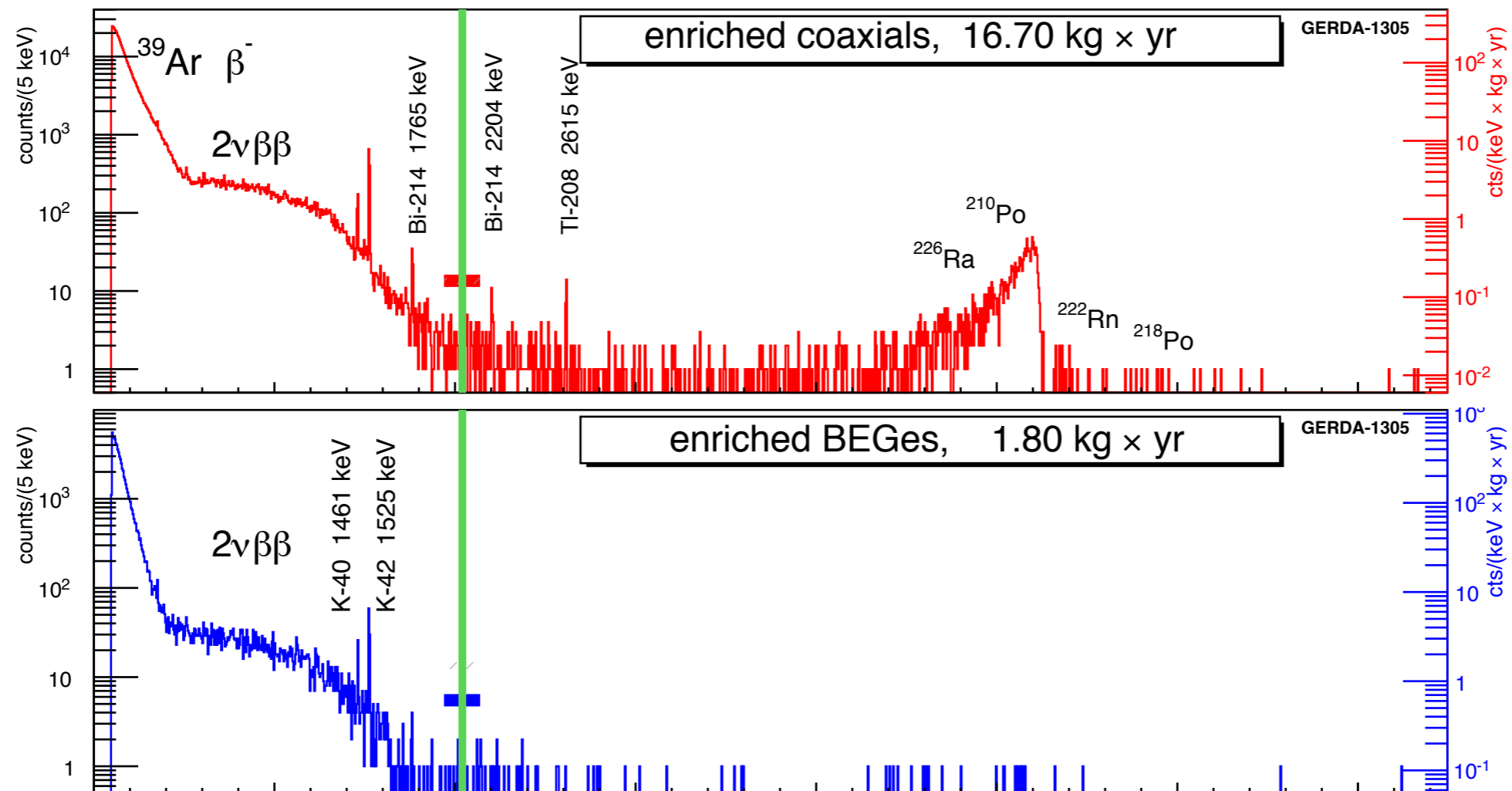
# GERDA background budget

**Table 2** Gamma ray screening and  $^{222}\text{Rn}$  emanation measurement results for hardware components. The activity of the mini shroud was derived from ICP-MS measurement assuming secular equilibrium of the  $^{238}\text{U}$  decay chain. Estimates of the BI at  $Q_{\beta\beta}$  are based on efficiencies obtained by MC simulations [13,14] of the GERDA setup.

component	units	$^{40}\text{K}$	$^{214}\text{Bi}\&^{226}\text{Ra}$	$^{228}\text{Th}$	$^{60}\text{Co}$	$^{222}\text{Rn}$	BI $10^{-3}$ cts/(keV·kg·yr)
close sources: up to 2 cm from detectors							
Copper det. support	$\mu\text{Bq/det.}$	< 7	< 1.3	< 1.5			< 0.2
PTFE det. support	$\mu\text{Bq/det.}$	6.0 (11)	0.25 (9)	0.31 (14)			0.1
PTFE in array	$\mu\text{Bq/det.}$	6.5 (16)	0.9 (2)				0.1
mini shroud	$\mu\text{Bq/det.}$		22 (7)				2.8
Li salt	mBq/kg		17(5)				$\approx 0.003^\dagger$
medium distance sources: 2 - 30 cm from detectors							
CC2 preamps	$\mu\text{Bq/det.}$	600 (100)	95 (9)	50 (8)			0.8
cables and suspension	mBq/m	1.40 (25)	0.4 (2)	0.9 (2)	76 (16)		0.2
distant sources: further than 30 cm from detectors							
cryostat	mBq					54.7 (35)	< 0.7
copper of cryostat	mBq	< 784	264 (80)	216 (80)	288 (72)		] < 0.05
steel of cryostat	kBq	< 72	< 30	< 30	475		
lock system	mBq					2.4 (3)	< 0.03
$^{228}\text{Th}$ calib. source	kBq			20			< 1.0

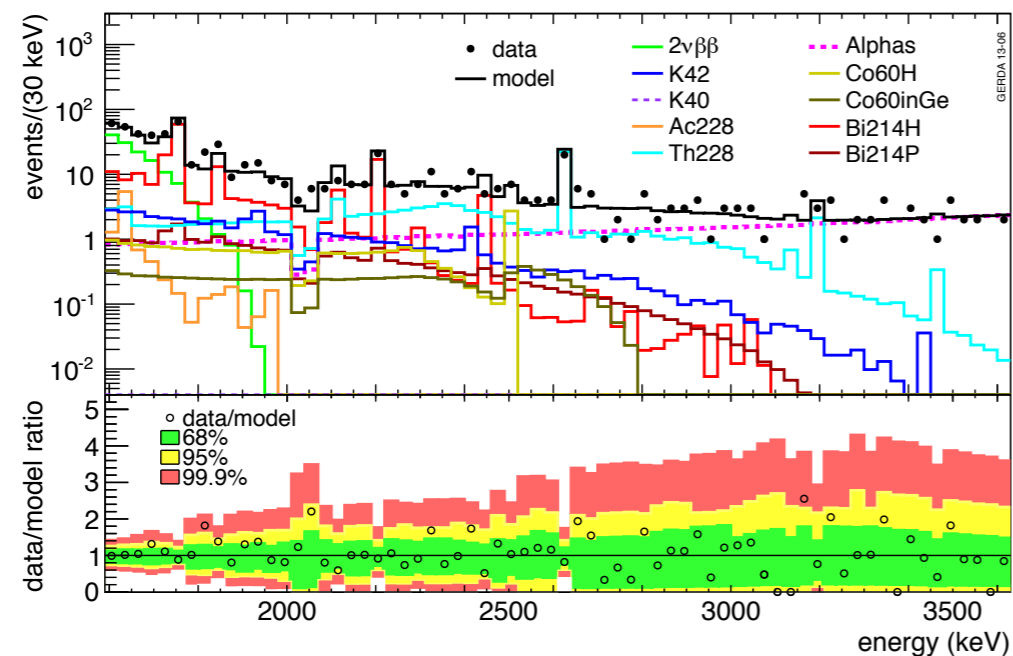
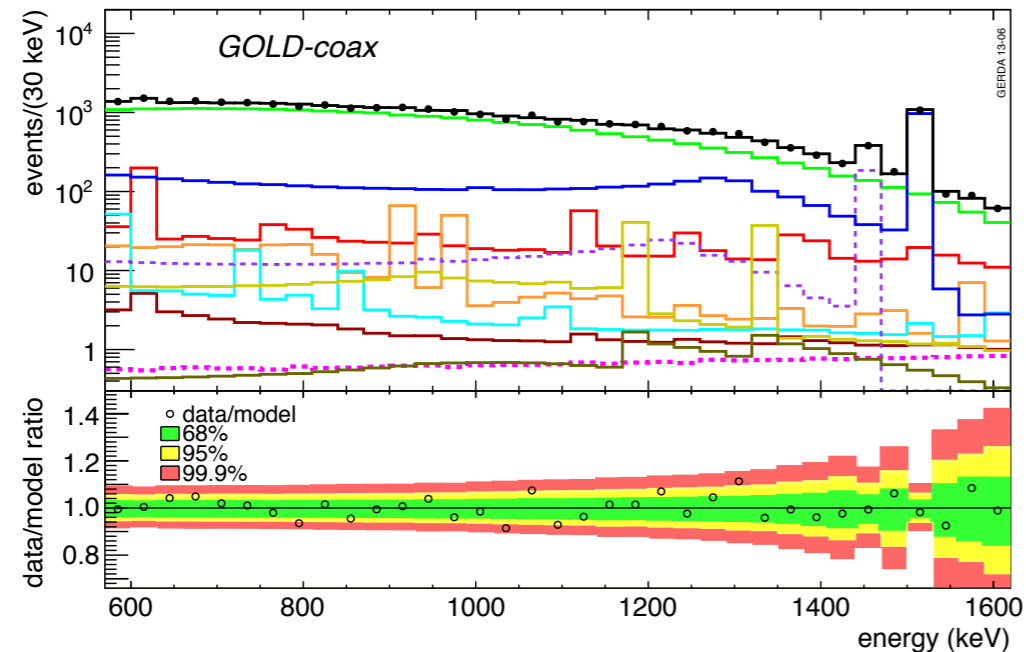
$^\dagger$ ) value derived for 1 mg of Li salt absorbed into the surface of each detector

# GERDA spectrum



- Green line: Blinded signal region
- Horizontal bar: region used to measure background.

# Fitting the data

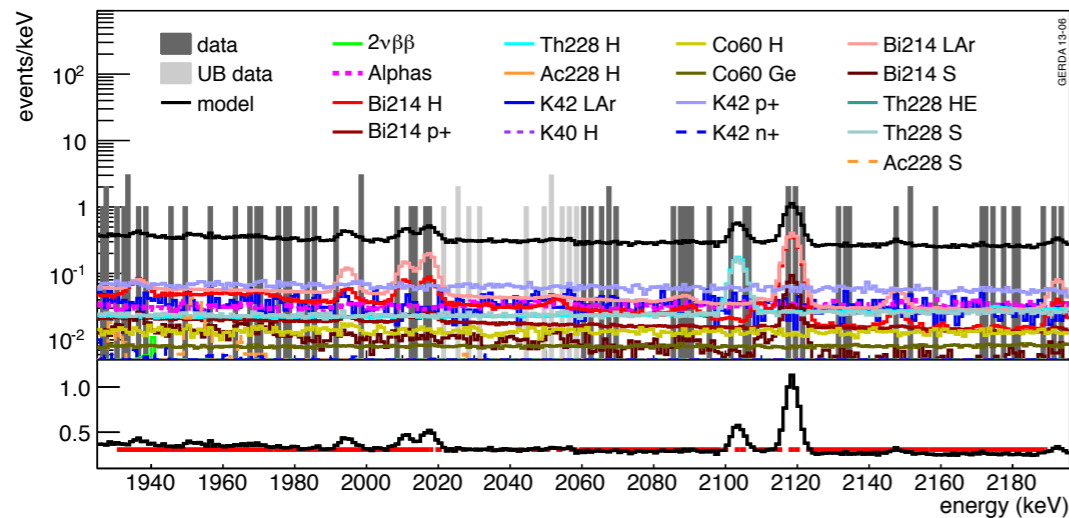
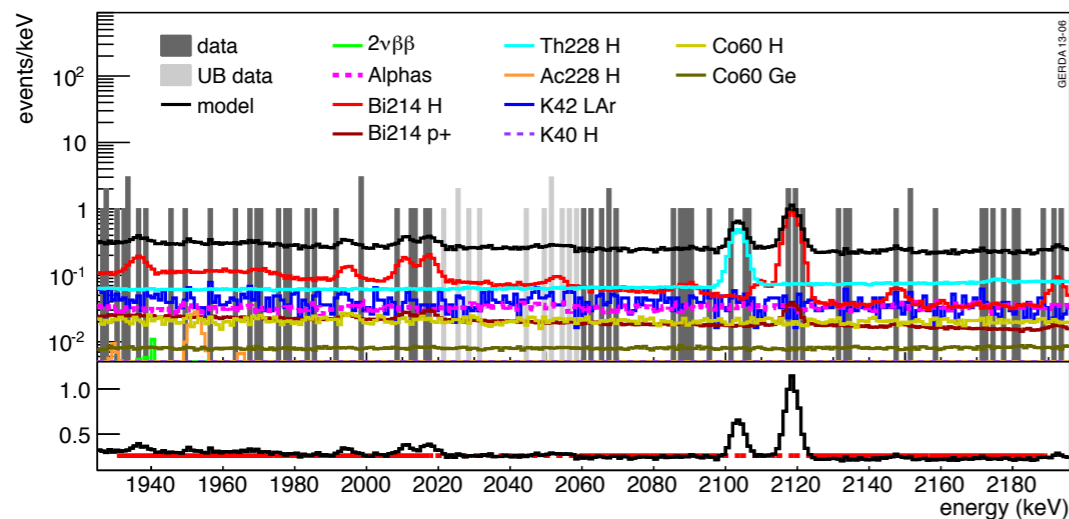


## Minimum model for Golden dataset

- ▶ Only known and visible contributions considered
- ▶ Data used: 09.11.2011-03.03.2013 in order to be in time for the unblinding
- ▶ Fit range: 570-7500 keV
- ▶ No hint for any different behavior in the last 3 months of data
- ▶ Background Model published: EPJC 74 (2014) 2764
- ▶ Alternative (maximum) model constructed, including all possible backgrounds

# Background prediction before PSD

- ▶ Both min and max model predict a flat bkg at  $Q_{\beta\beta}$  → unblind side-bands!
- ▶ BI predicted from bkg models and fitted from data are in agreement



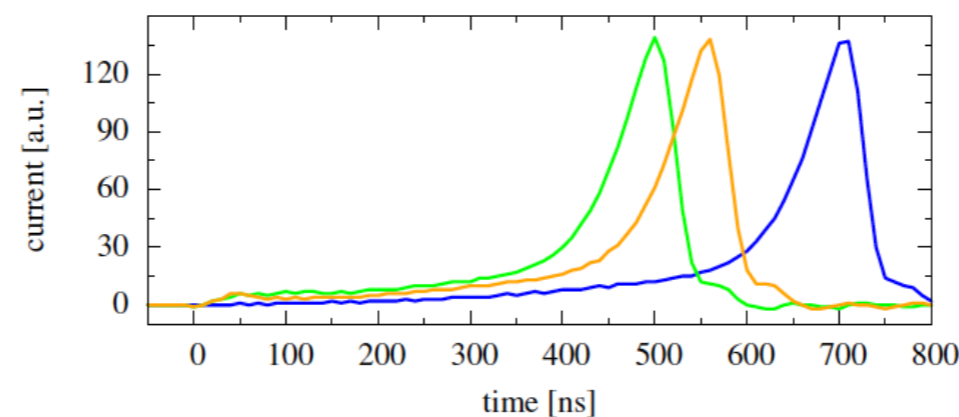
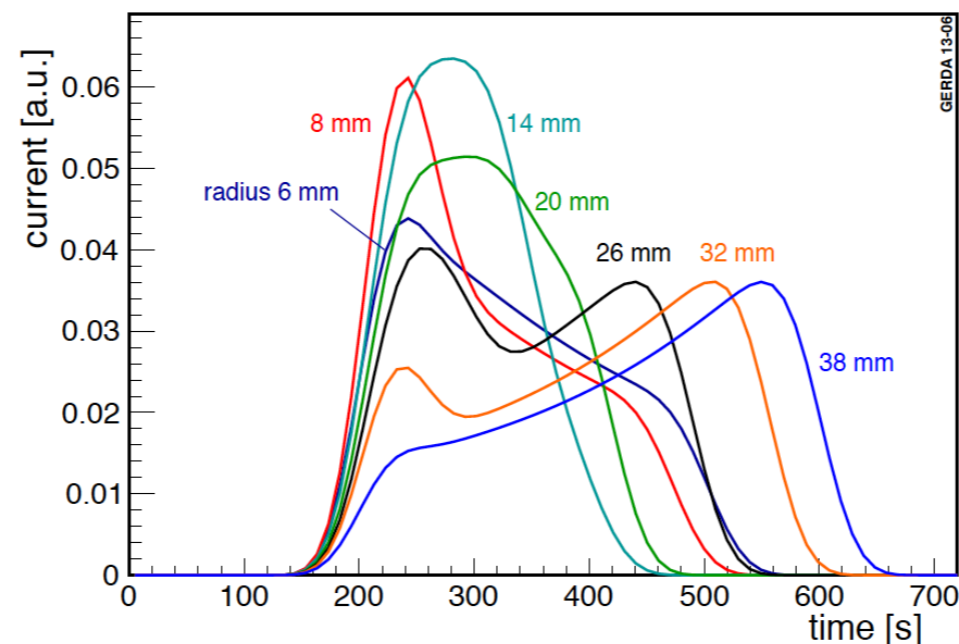
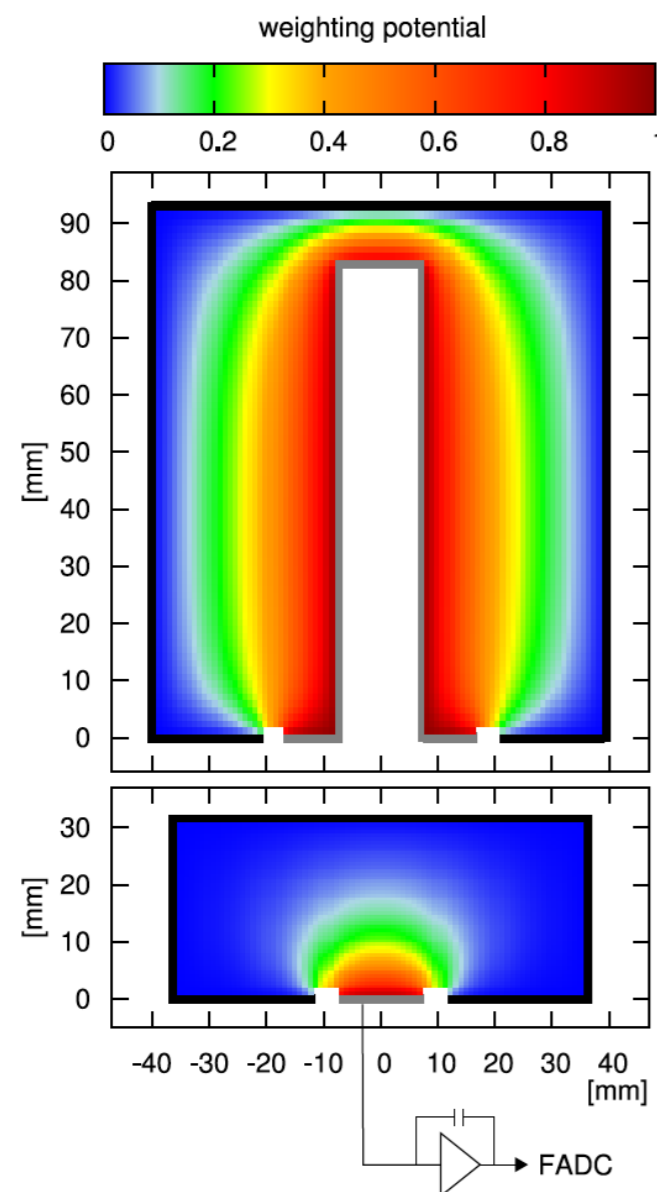
## BI before PSD interpolated in the Region of Interest:

	GOLD-coax	SUM-BEGe
BI in ROI before PSD (10 keV for coaxial, 8 keV for BEGe) [ $10^{-3}$ cts/(keV·kg·yr)]		
interpolation	17.5[15.1, 20.1]	36.1[26.4, 49.3]
minimum	18.5[17.6, 19.3]	38.1[37.5, 38.7]
maximum	21.9[20.7, 23.8]	-

Analysis recipe: fit with Gaussian peak and flat background in the 1930-2190 keV region, excluding known gamma peaks at 2104 ( $^{208}\text{Tl}$  SEP) and 2119 keV ( $^{214}\text{Bi}$ ).

# Pulse Shape Discrimination

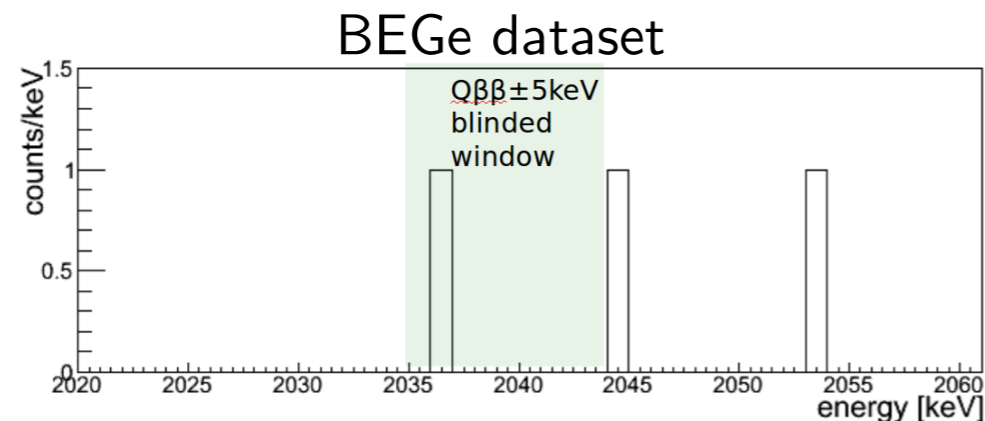
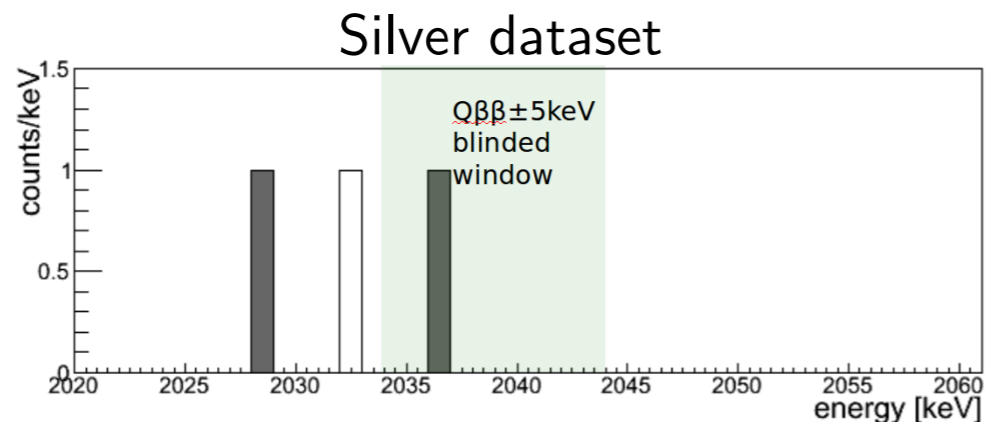
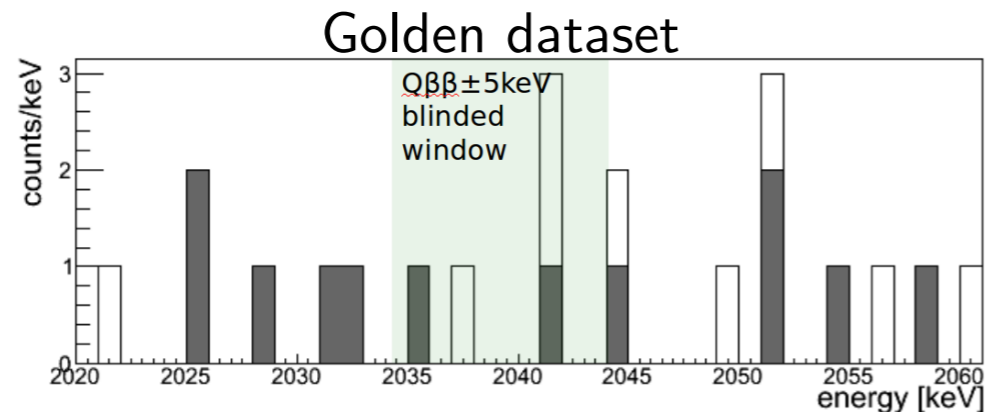
- ▶ PSD: distinguish between ( $0\nu 2\beta$ ) signal-like events (SSE) and background-like events (MSE,  $p^+$ ,  $n^+$ )
- ▶ Different PSD needed for coaxial and BEGe detectors



- ▶ Simulated current pulse in coaxial detector

- ▶ Simulated current pulse in BEGe

# GERDA Phase I result



## Profile Likelihood Method

- ▶ best fit  $N^{0\nu} = 0$
- ▶ No excess of signal over bkg
- ▶ 90% C.L. lower limit:

$$T_{1/2}^{0\nu} > 2.1 \cdot 10^{25} \text{ yr}$$

- ▶ Median sensitivity:  $2.4 \cdot 10^{25} \text{ yr}$

## Bayesian Approach

- ▶ Flat prior for  $1/T_{1/2}^{0\nu}$  in  $[0; 10^{-24}] \text{ yr}^{-1}$

- ▶ best fit  $N^{0\nu} = 0$

- ▶ 90% credibility interval:

$$T_{1/2}^{0\nu} > 1.9 \cdot 10^{25} \text{ yr}$$

- ▶ Median sensitivity:  $2.0 \cdot 10^{25} \text{ yr}$

Phys. Rev. Lett. 111 (2013) 122503

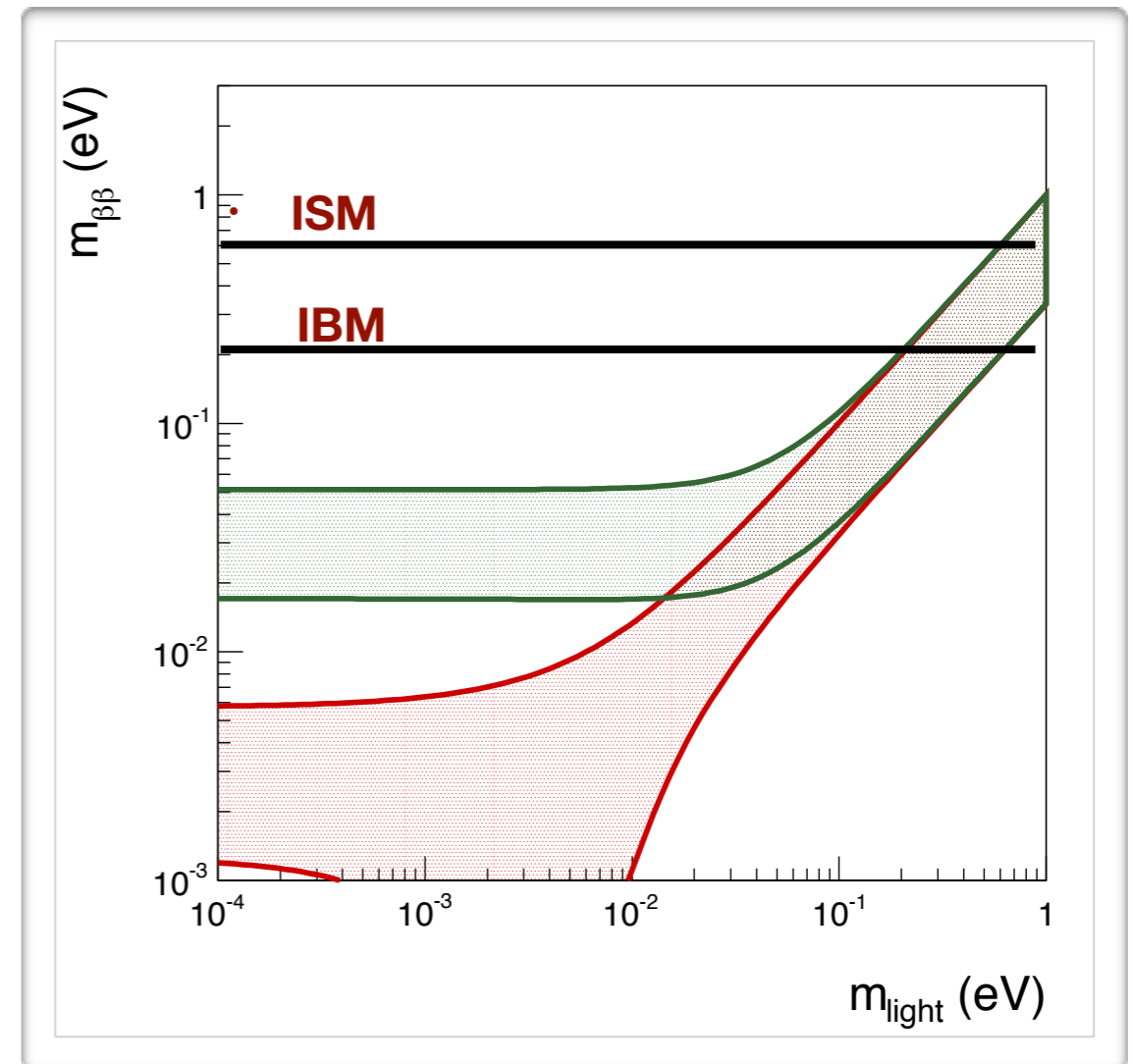
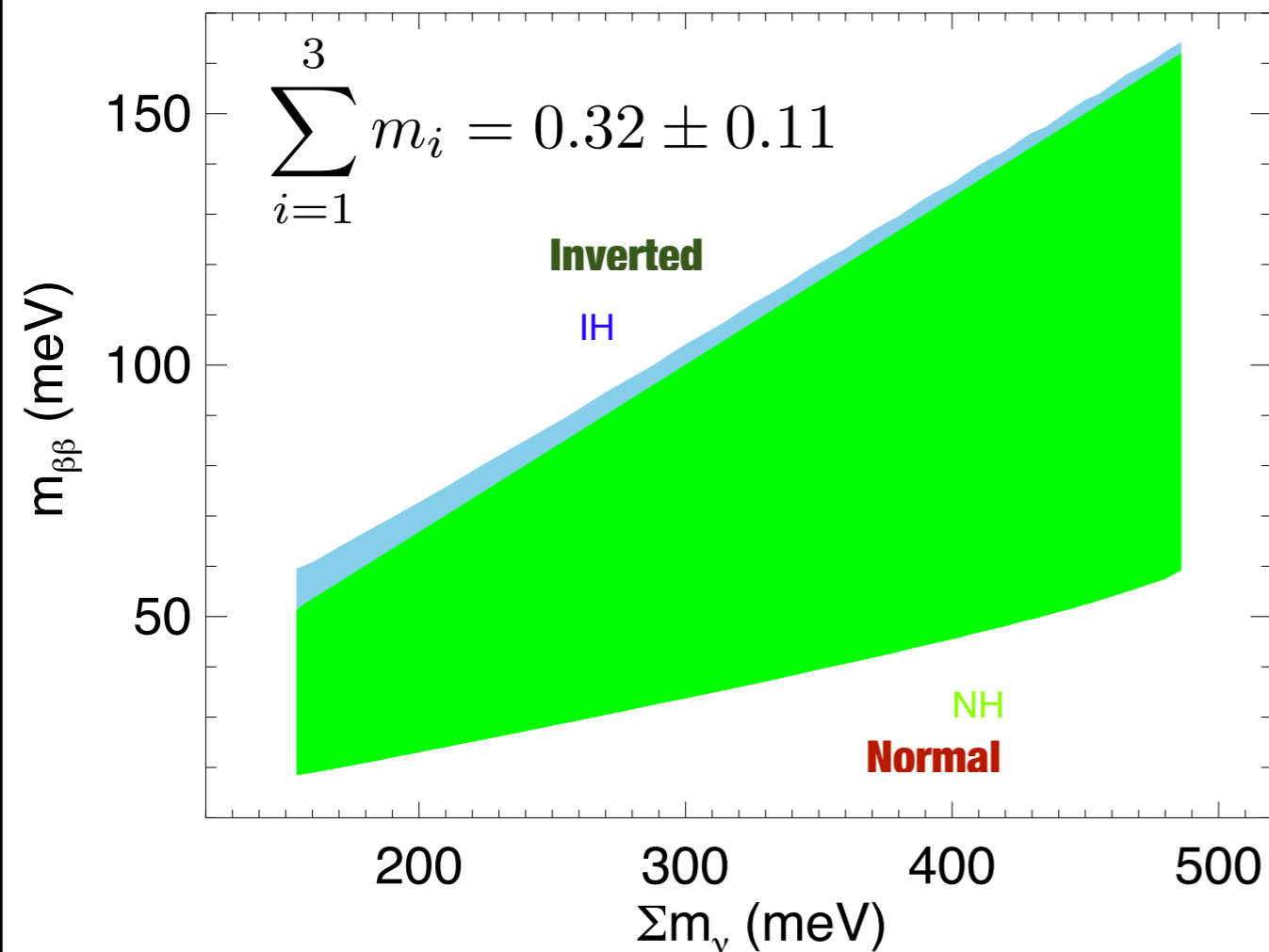


# Reproducing Gerda results

---

- **Run example GERDAI.py in pybbsens software**
  - **Input:**
  - efficiency = 0.62
  - $\Delta E = 0.02$  % at 2040 MeV
  - $B = 1.32 \times 10^{-2}$  ckky
  - Exposure = 21.6 kg x y
  - **Statistical approach**
  - 90 % CL using Feldman & Cousins.
  - Result
  - **$T^{0\nu} = 2.2 \times 10^{25}$  y**
- **Run example GERDAII.py in pybbsens software**
  - **Input:**
  - efficiency = 0.62
  - $\Delta E = 0.01$  % at 2.6 MeV
  - $B = 1. \times 10^{-3}$  ckky
  - Exposure: 200 kg x y
  - Mass 50 kg BeGe
  - Time 4 x 1.5 ~6 years
  - **Statistical approach**
  - 90 % CL using Feldman & Cousins.
  - Result
  - **$T^{0\nu} = 2.3 \times 10^{26}$  y**

# GERDA I



- **In terms of  $m_{\beta\beta}$**
- ISM (worst case): **648.7 meV**; IBM2 (best case): **257.8 meV**
- **Not yet in “cosmo-region”**

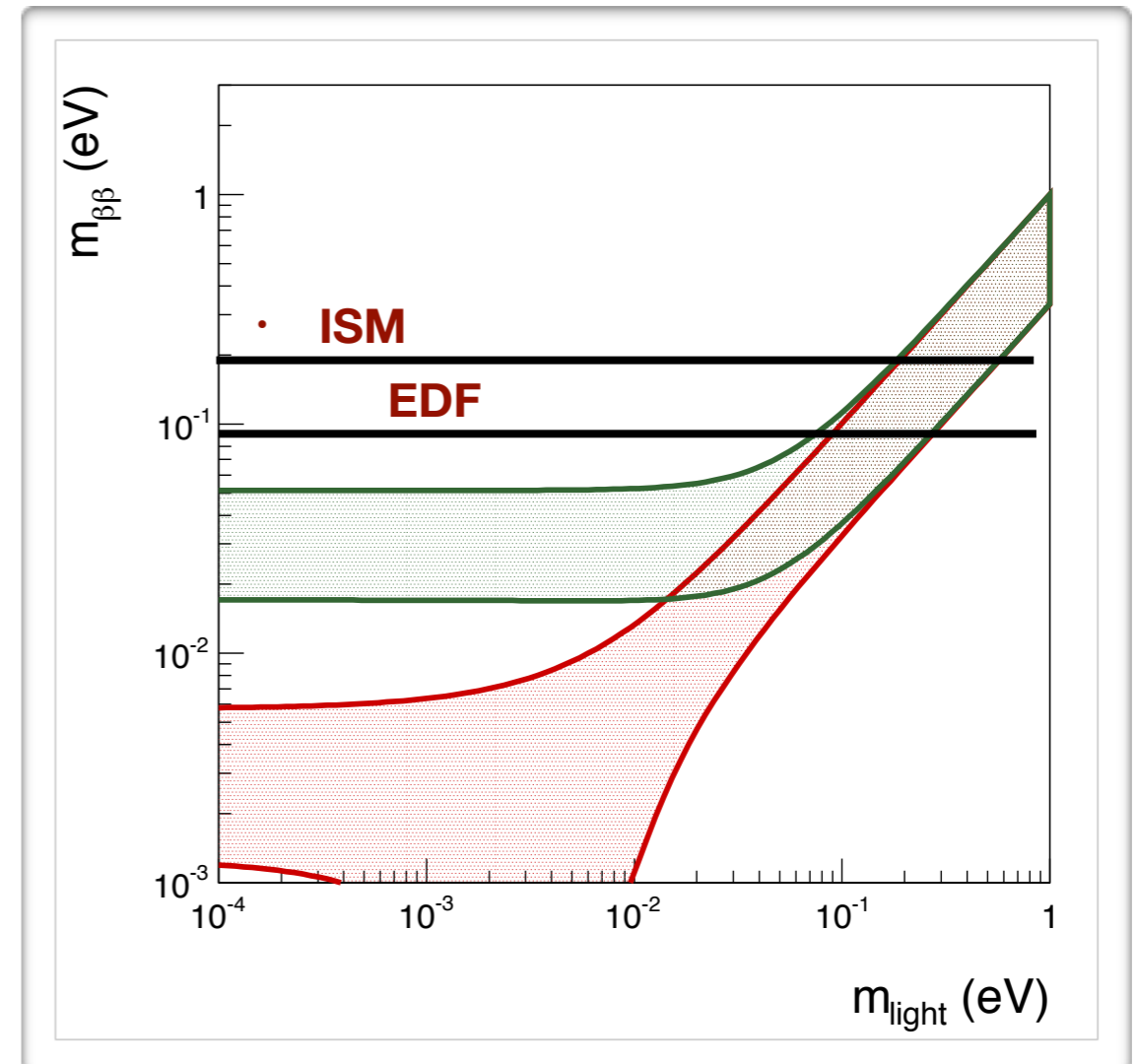
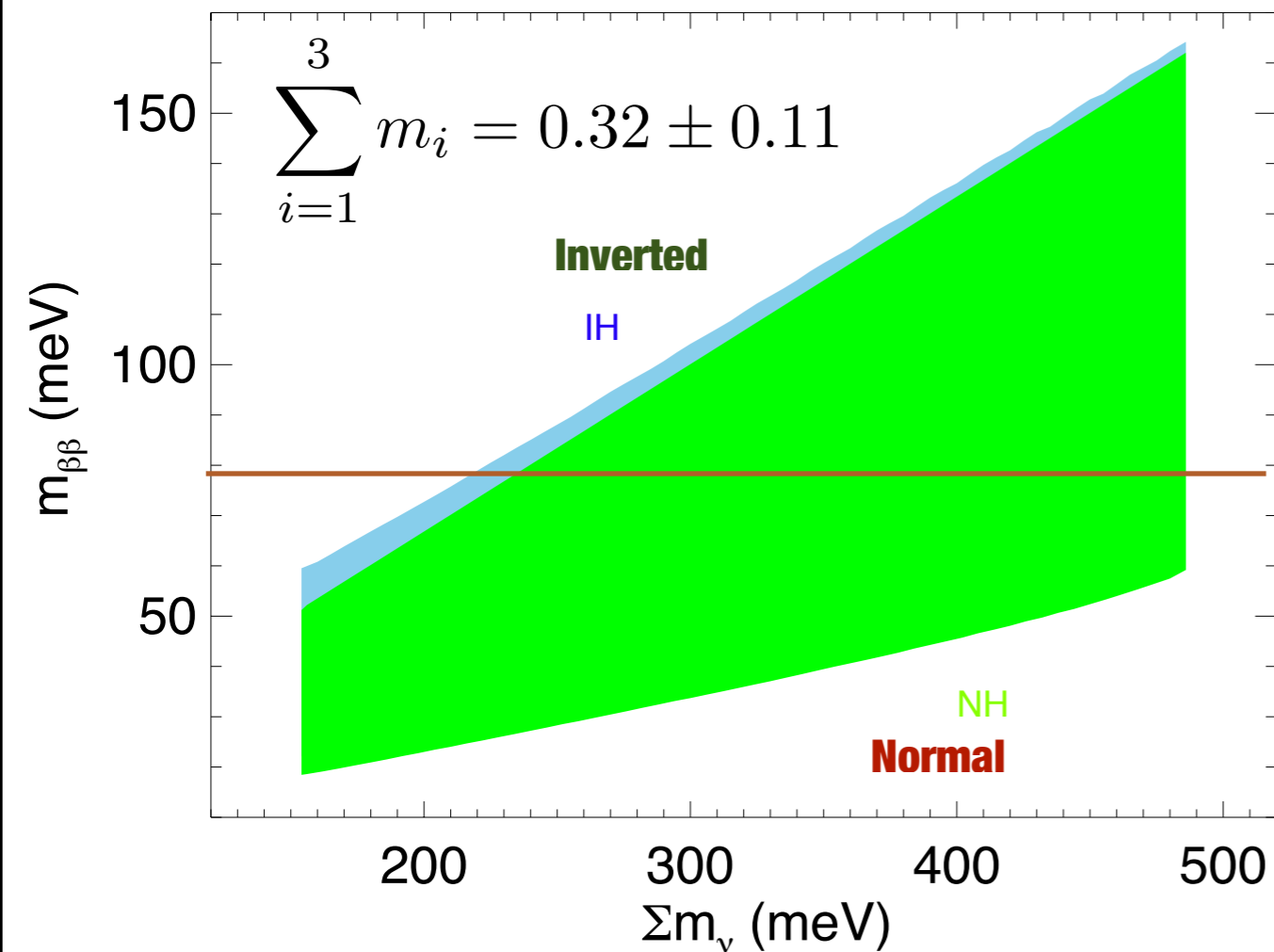
# Phase II

---

How to reach  $10^{26}$  yr sensitivity in  $T_{1/2}^{0\nu}$ ?

- ▶ Increase the statistics
    - More active mass (new BEGe detectors)
    - Longer data taking
  - ▶ Improve energy resolution
    - Use BEGe detectors
    - Improve shaping filter
  - ▶ Reduce Background
    - Cleaner cables and electronics
    - Lighter detector holders
    - Special care in crystal production
    - Reject residual background radiation
      - Improve PSD (BEGe detectors)
      - Read LAr scintillation light
- **The approach is incremental. No new technology providing an extra handle, but systematic improvement of the detector.**

# GERDA II



- **In terms of  $m_{\beta\beta}$**
- ISM (worst case): **200 meV**; IBM2 (best case): **80 meV**
- **Gerda II will explore a fraction of the “cosmo-region”, but still “degenerate hierarchy”**

# Bolometers using natural Te: CUORE

## Cryogenic Underground Observatory for Rare Events

- Search for  $0\nu\text{DBD}$  in  $^{130}\text{Te}$  using an array of 988 natural  $\text{TeO}_2$  bolometers

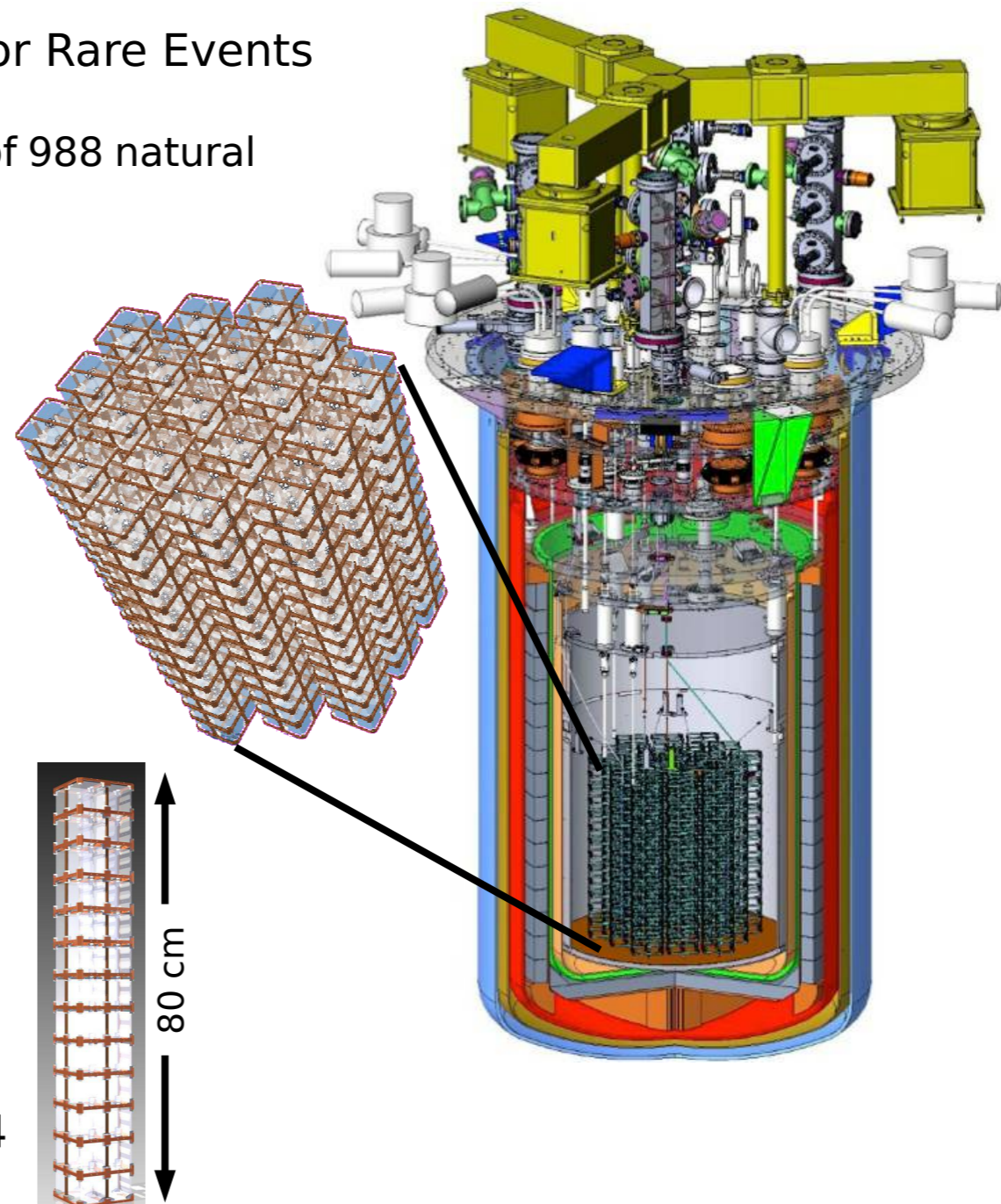
### Detector parameters:

- $^{130}\text{Te}$  mass: 206 kg ( $\sim 10^{27}$  nuclei)
- 988  $\text{TeO}_2$  bolometers (741 kg)
  - 19 towers
  - 52 bolometers/tower
- Single bolometer:
  - $5\times 5\times 5\text{ cm}^3$   $\text{TeO}_2$  crystal

### Goals:

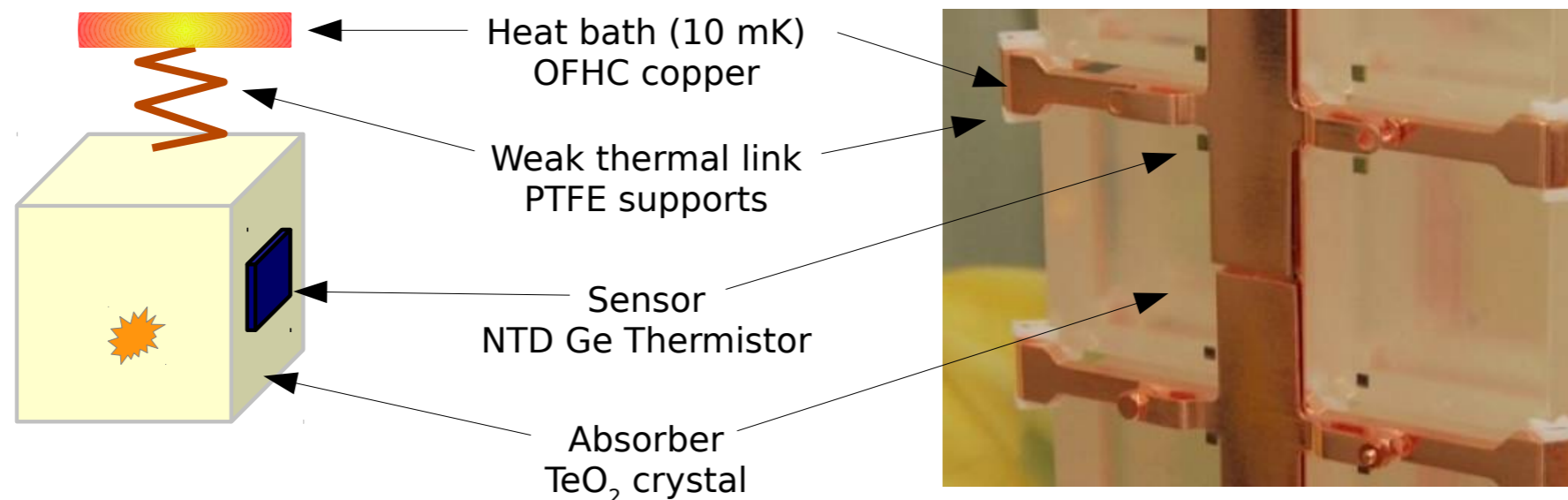
- Resolution: 5 keV FWHM at 2.5 MeV
- Bkg: 0.01 counts/(keV kg y)

Detector cool down at the end of 2014



# The Bo principle (also good for $\beta$ experiments)

Energy releases produce a measurable temperature rise of the absorber crystal:  $\Delta T = \frac{E}{C}$

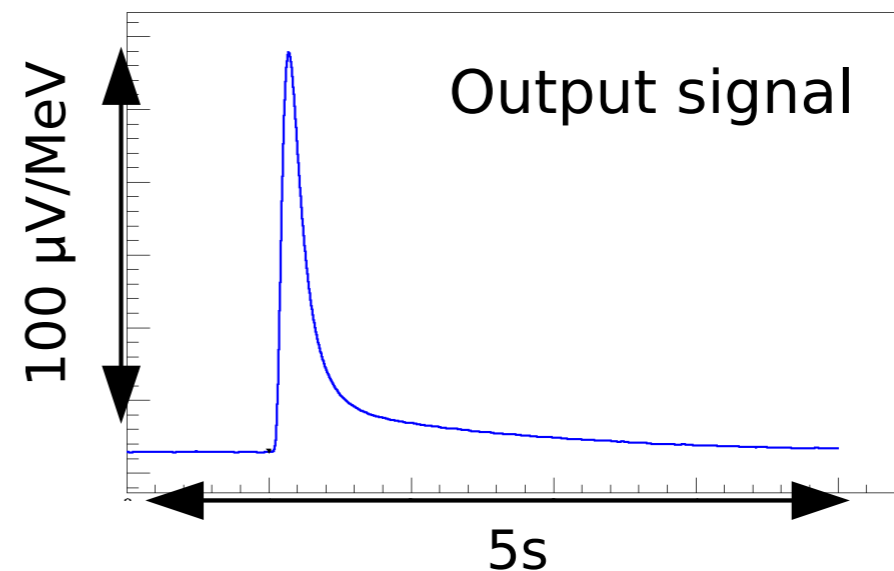


## Absorber

- Dimension: 5x5x5 cm<sup>3</sup>
- $M \sim 0.75$  kg
- $C \sim 10^{-9}$  J/K
- $\Delta T/\Delta E \sim 100$   $\mu$ K/MeV

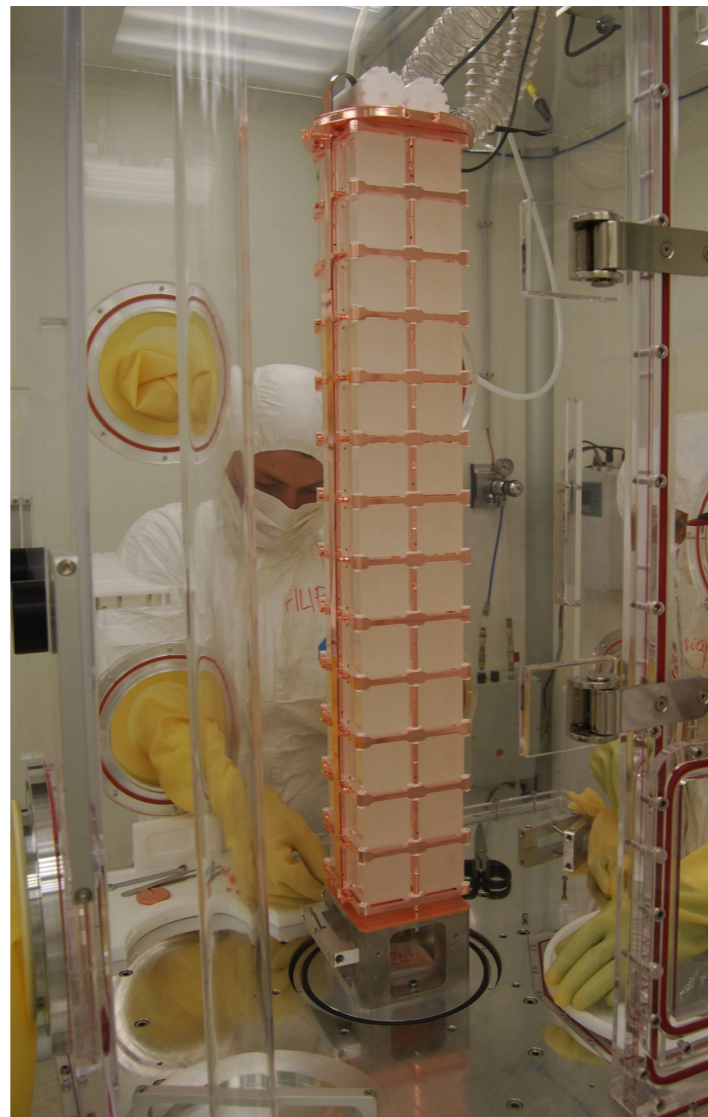
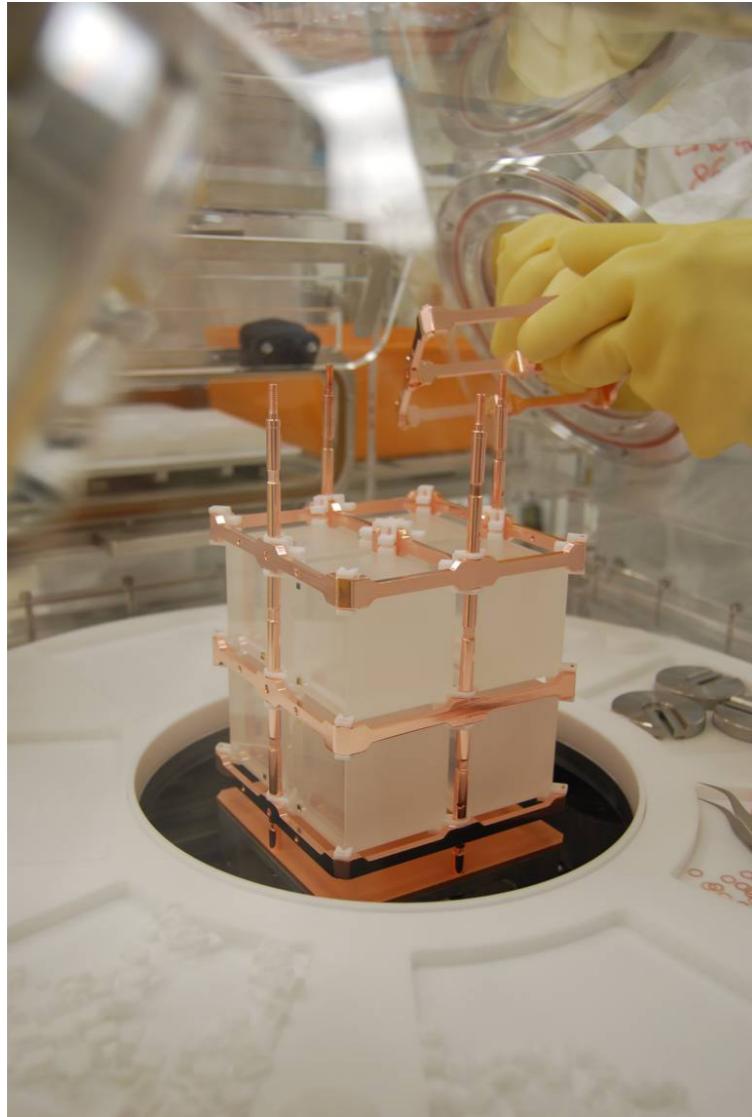
## Sensor

- $R = R_0 \exp[(T_0/T)^{1/2}]$
- $R \sim 100$  M $\Omega$
- $\Delta R/\Delta E \sim 3$  M $\Omega$ /MeV



# Cuore assembly

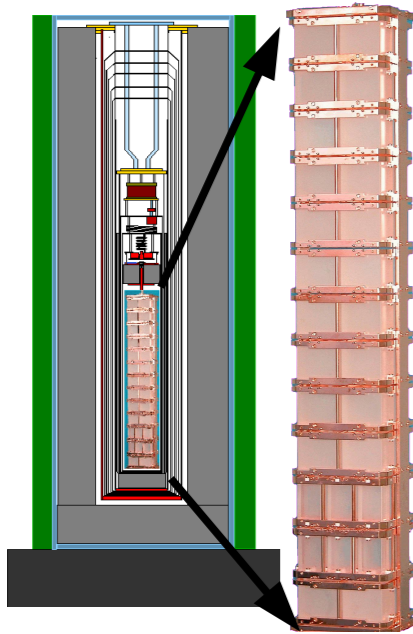
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- Copper support structure
- Teflon supports
- Crystals
- Wire trays

All operations performed in glove boxes to avoid radon recontamination

# Cuoricino (2003-2008)

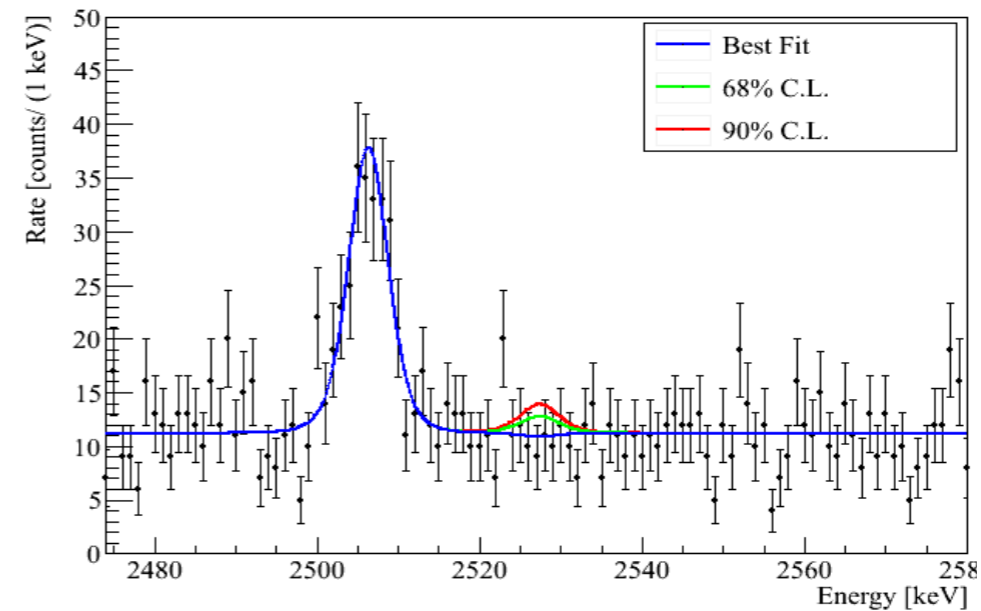


- 62 TeO<sub>2</sub> bolometers
- 41 kg (11.3 kg in <sup>130</sup>Te)
- Statistics: 19.75 kg y in <sup>130</sup>Te
- Resolution: 6.3 keV FWHM
- Bkg: 0.15 counts/(keV kg y) (790g crystals only)

$$T_{1/2}^{0\nu} > 2.8 \times 10^{24} \text{ y @ 90\% CL}$$

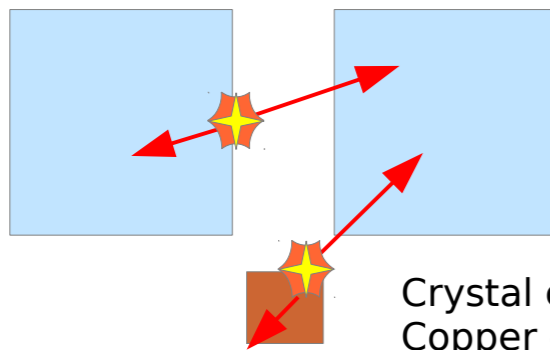
$$m_{\beta\beta} < 0.30 \div 0.71 \text{ eV}$$

*Astropart. Phys.* 34 (2011) 822–831

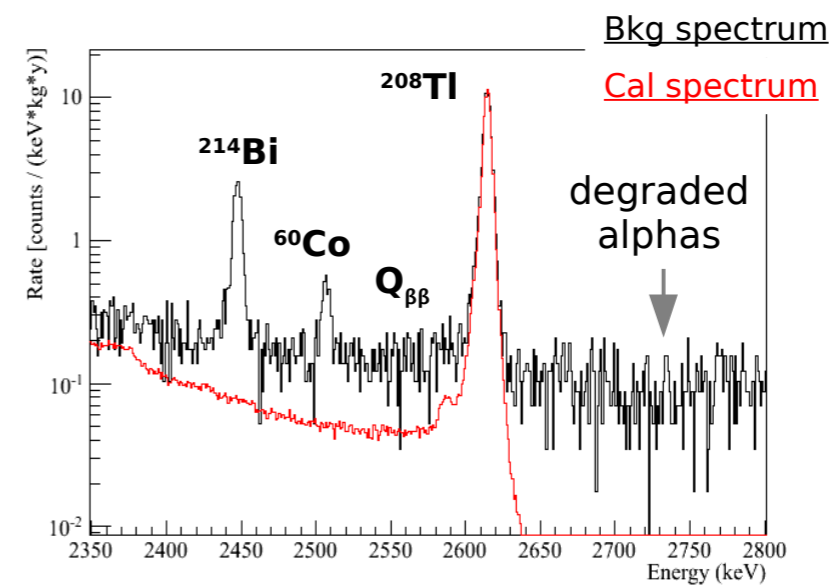


## Main background contributions

- Gammas from <sup>208</sup>Tl (<sup>232</sup>Th cont. in cryostat shields): (30±10)%
- Radioactive contaminations from crystal surfaces: (10±5)%
- Radioactive contaminations from Cu holders surfaces: (50±20)%

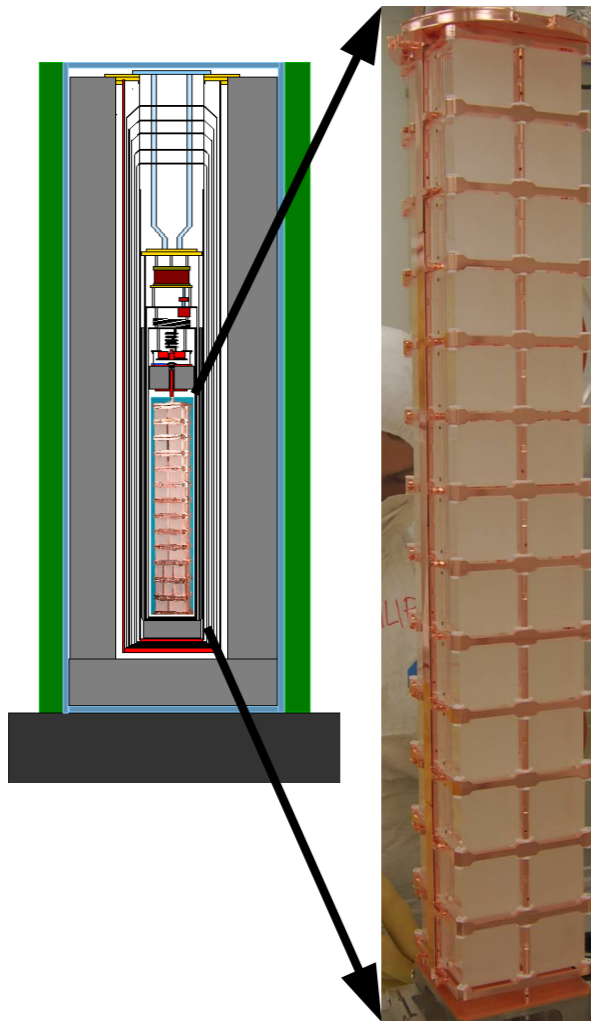


Crystal contamination: double hit  
Copper contamination: single hit





# Cuore-0



- A single CUORE-like tower:
  - 52  $5 \times 5 \times 5 \text{ cm}^3$   $\text{TeO}_2$  bolometers
- Test of the CUORE cleaning procedures
- Test of the CUORE assembly procedures
- A sensitive 0vDBD experiment
  
- Same detector mass as CUORICINO:
  - $\text{TeO}_2$  mass: 39 kg
  - $^{130}\text{Te}$  mass:  $\sim 11$  kg
- Shielding:
  - Internal and external lead shield
  - Borated polyethylene shield
  - Anti radon box

Started data taking in March 2013

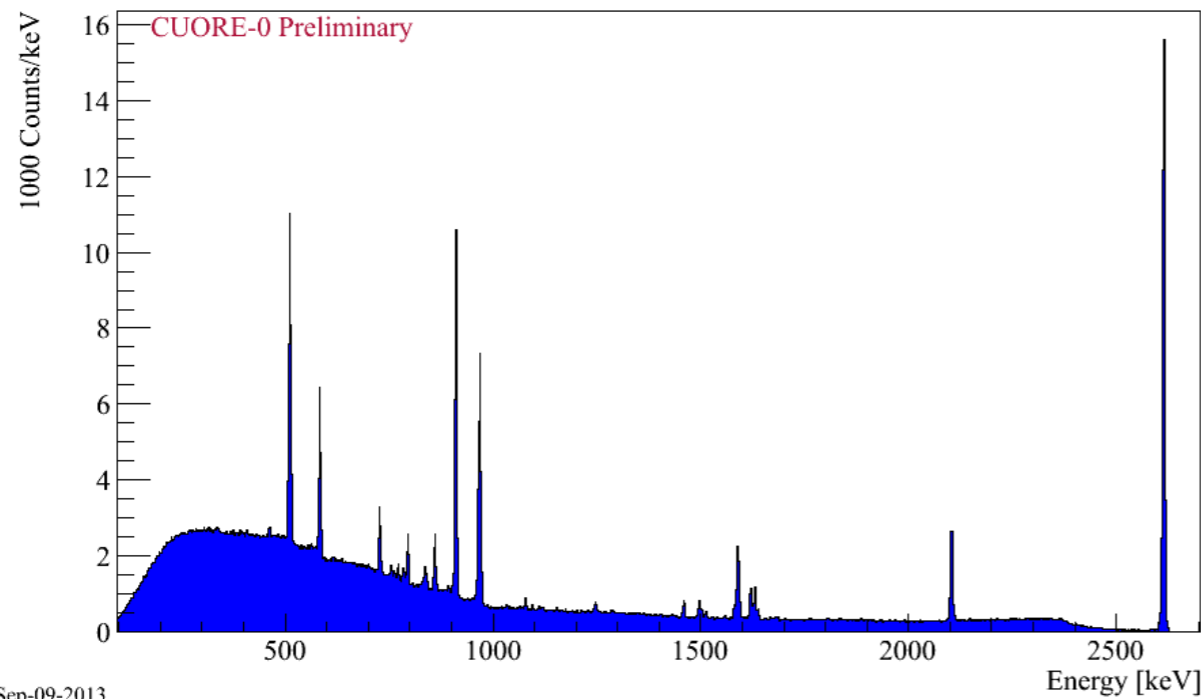
Operated in the CUORICINO cryostat:  
 $\gamma$  background not expected to change



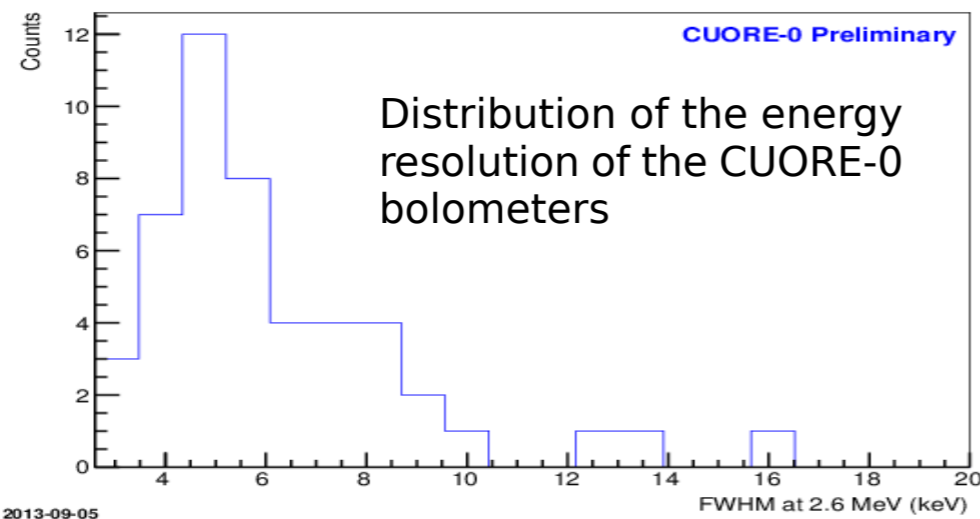
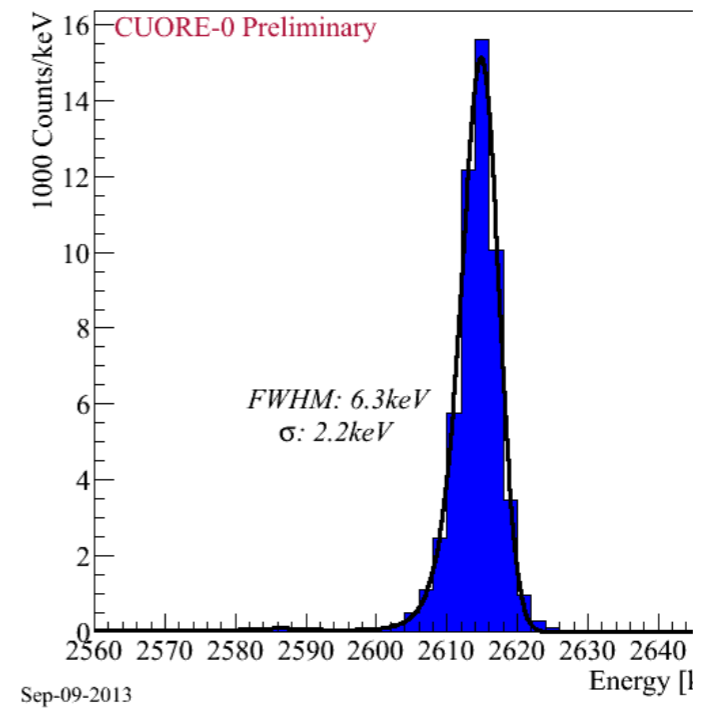
study background due to  
near surface contaminations

# Calibration

CUORE-0 Calibration Spectrum



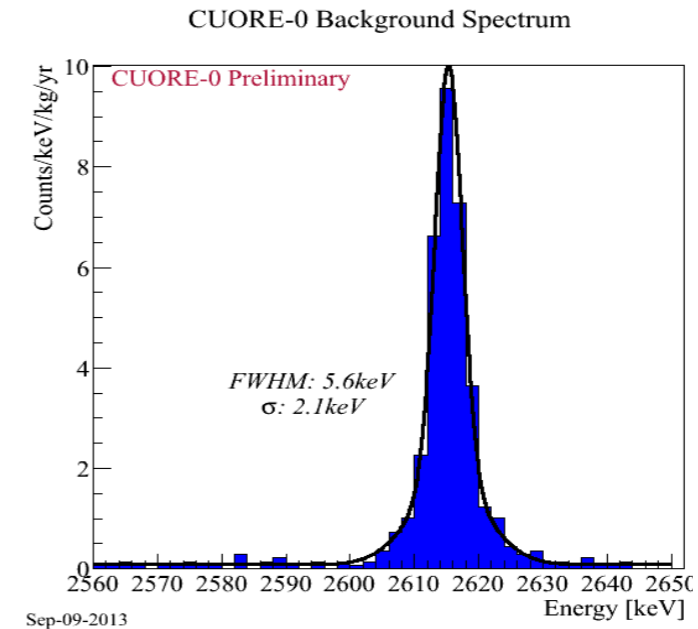
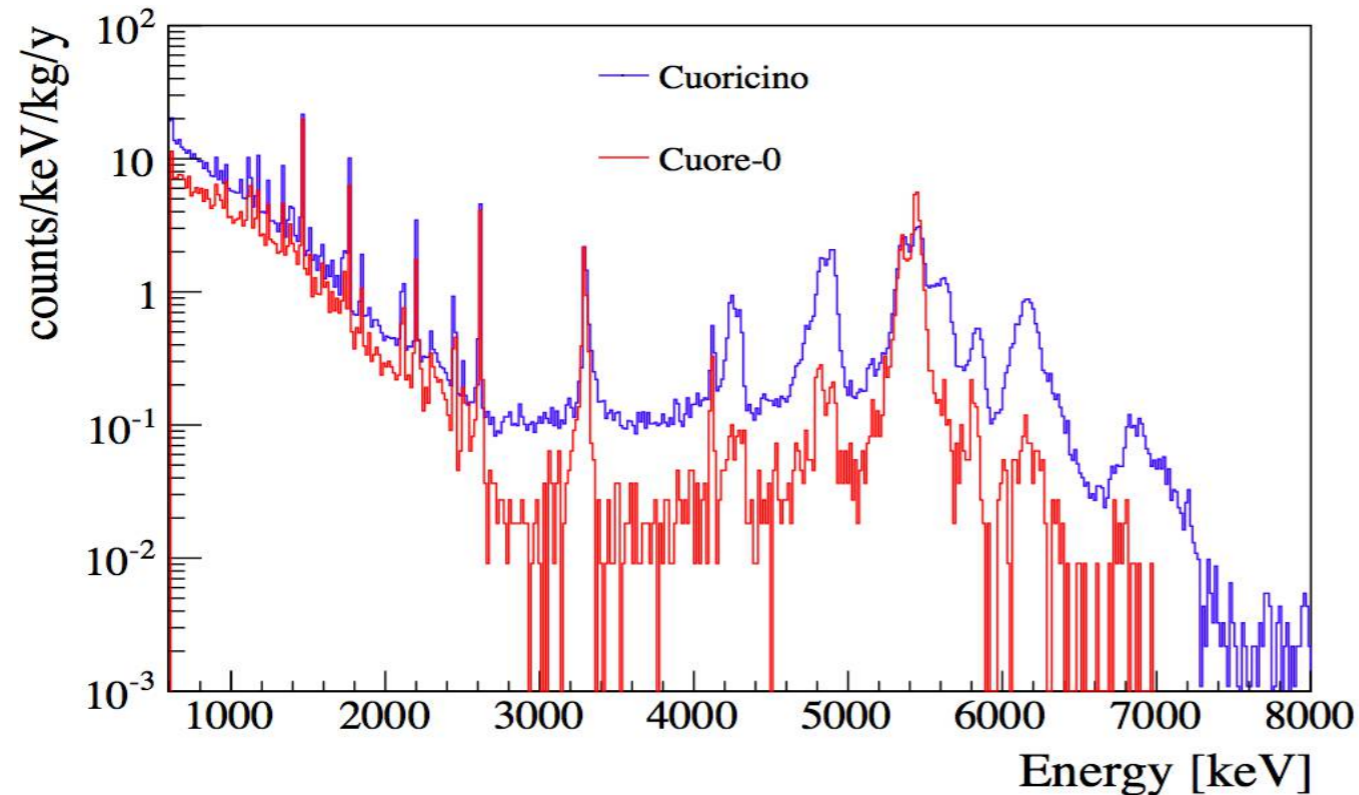
CUORE-0 Calibration Spectrum



Calibration performed by inserting  $^{232}\text{Th}$  source between the cryostat and the external lead shield

Energy resolution: 6.3 keV FWHM

# Background (Cuore-0)



Energy resolution in bkg  
5.6 keV FWHM

$^{238}\text{U}$   $\gamma$  lines reduced by a factor 2 (better radon control)

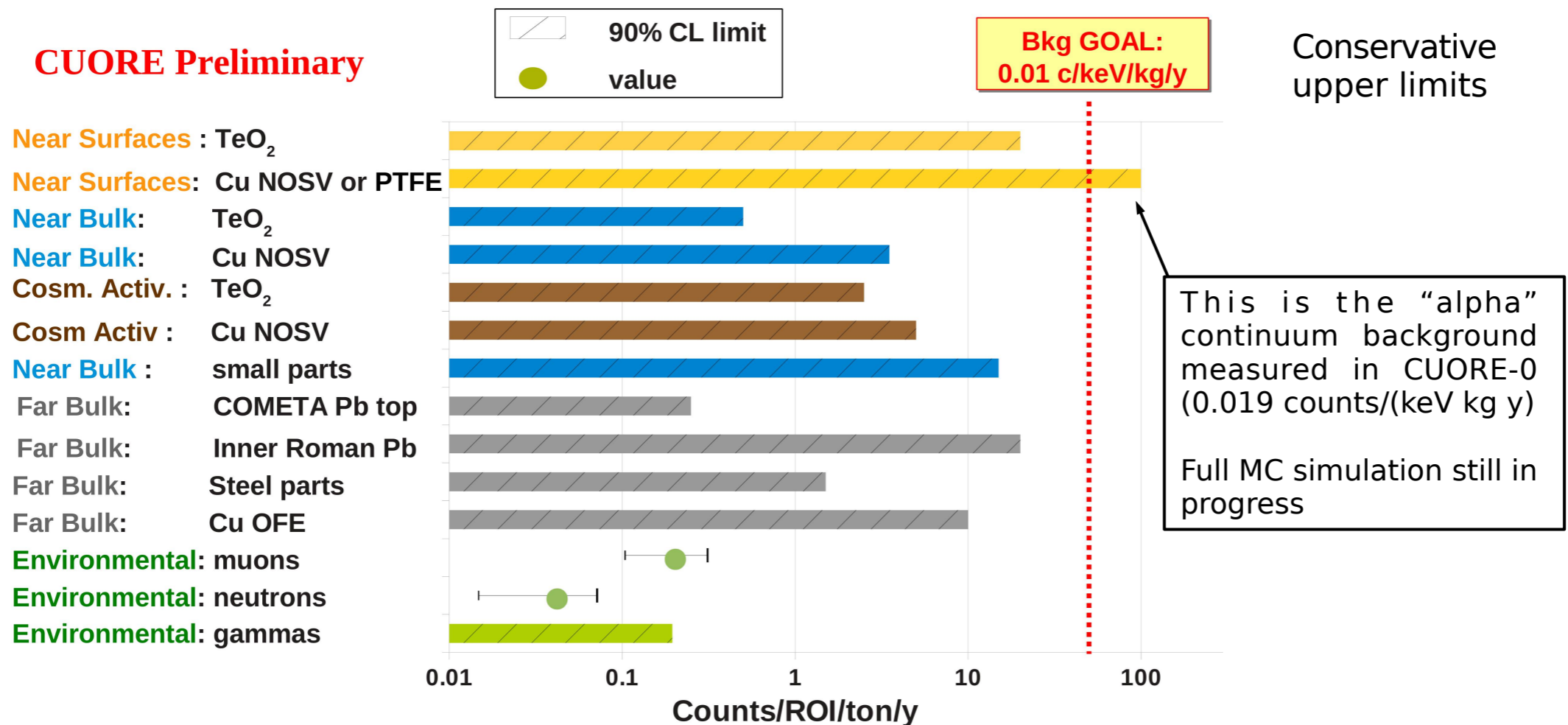
$^{232}\text{Th}$   $\gamma$  lines unchanged (originate from the cryostat)

$^{238}\text{U}$  and  $^{232}\text{Th}$   $\alpha$  lines reduced thanks to improved detector surface cleaning

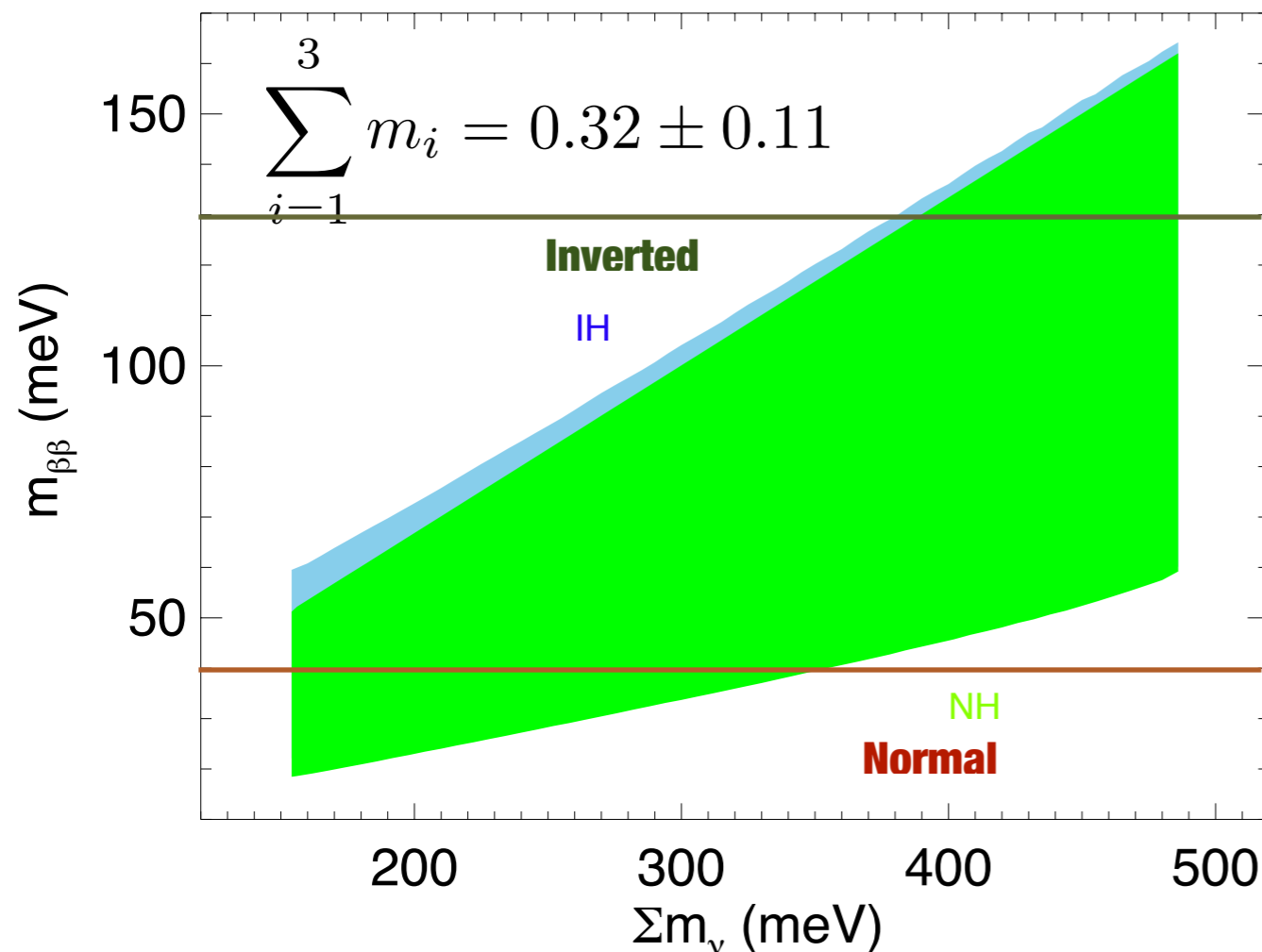
Flat background	Avg flat bkg [counts/(keV kg y)]		Signal efficiency [%] (detector + cuts)
	0vDBD region	2.7 – 3.9 MeV region	
CUORICINO	$0.153 \pm 0.006$	$0.110 \pm 0.001$	$83 \pm 1$
CUORE-0	$0.074 \pm 0.012$	$0.019 \pm 0.002$	$78 \pm 1$

# Background budget

- New cryostat with radio-pure materials:  $\gamma$  contributions are made negligible
- Less copper surface facing the crystals:  $\alpha$  bkg from copper surfaces can be reduced
- More crystal surfaces facing each others: more effective anticoincidence, negligible  $\alpha$  bkg from crystal surfaces



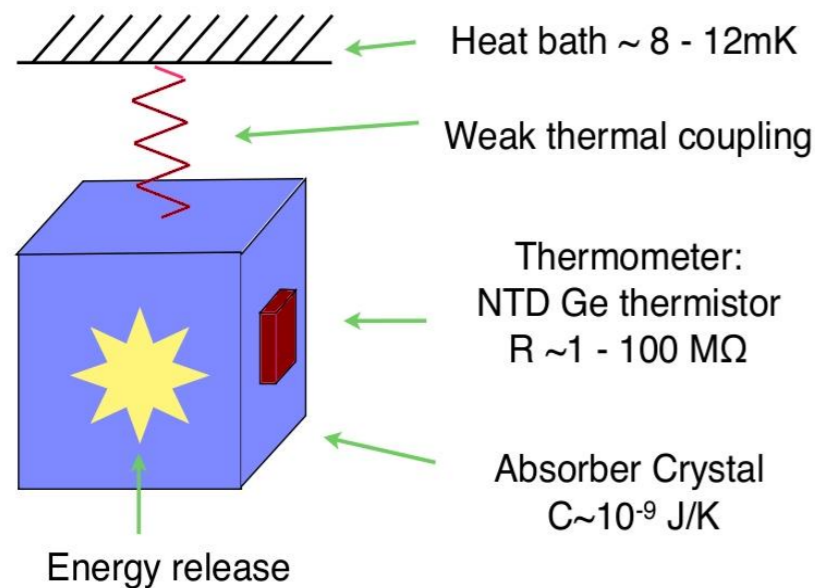
# Predicting CUORE results



- **Run example CUORE.py in pybbsens software**
- **Input:**
  - efficiency = 0.87
  - $\Delta E = 0.02\%$  at 2600 MeV
  - $B = 1. \times 10^{-2}$  ckky (projected)
  - Exposure = 1000 kg x y
- **Statistical approach**
  - 90 % CL using Feldman & Cousins.
  - Result
  - **$T^{0\nu} = 1 \times 10^{26}$  y**

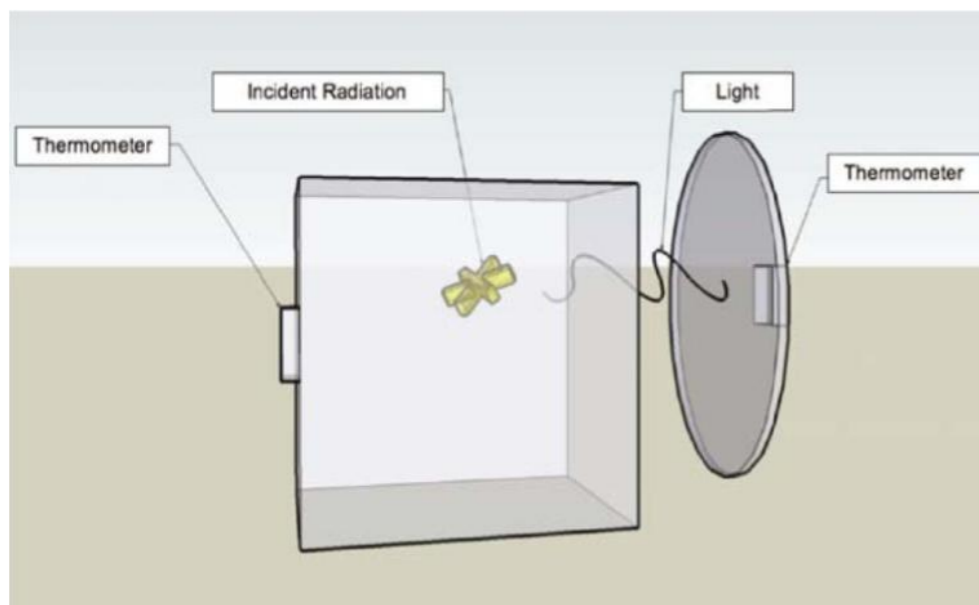
- Mass 206 kg
- Time ~5-7 years
- **$m_{\beta\beta} = 41-129$  meV**
- **Reaches similar sensitivity on period than GERDA-I but more sensitive to  $m_{\beta\beta}$ .**
- **Will cover a significant fraction of the cosmo-region (depending on NME)**

# Scintillating Bolometers



A particle interaction in the crystal causes a temperature increase.

The temperature increase can be transformed in a voltage variation by means of proper sensors.

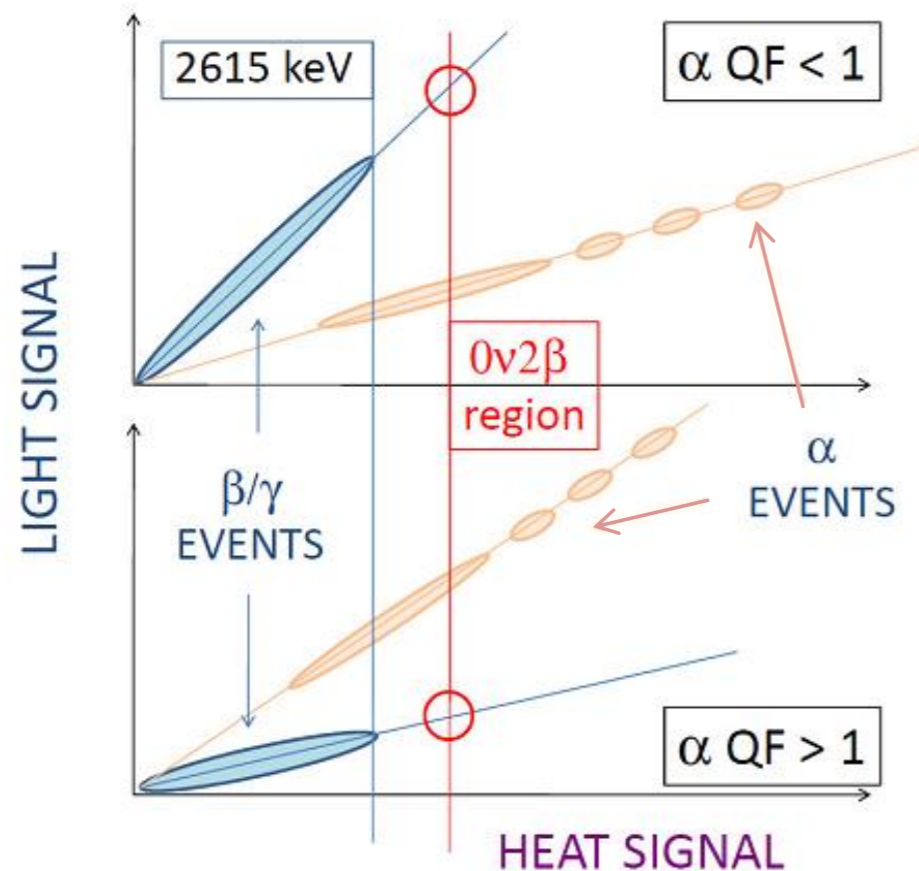


If the crystal **scintillates**, a further background suppression can be achieved by means of the scintillation light read-out.

$\beta/\gamma$  events, indeed, emit more light with respect to  $\alpha$  events.

Therefore, we expect the two different events to lie on different bands in the light vs heat scatter plot.

# The detection technique



Research Proposal (B1) LUCIFER 2009

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$\beta/\gamma$  events, indeed, emit more light with respect to  $\alpha$  events.

Therefore, we expect the two different events to lie on different bands in the light vs heat scatter plot.

→ **Only  $\beta/\gamma$  background!!**

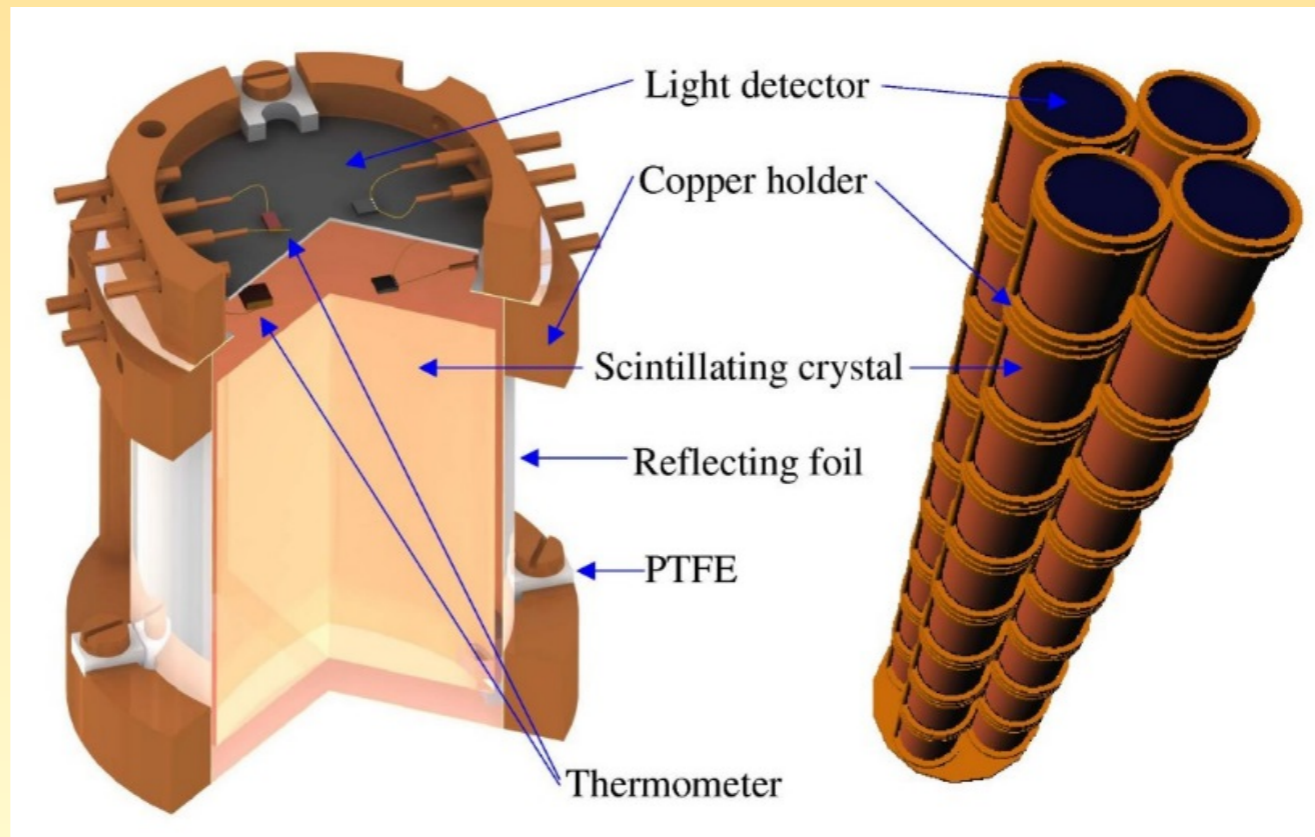
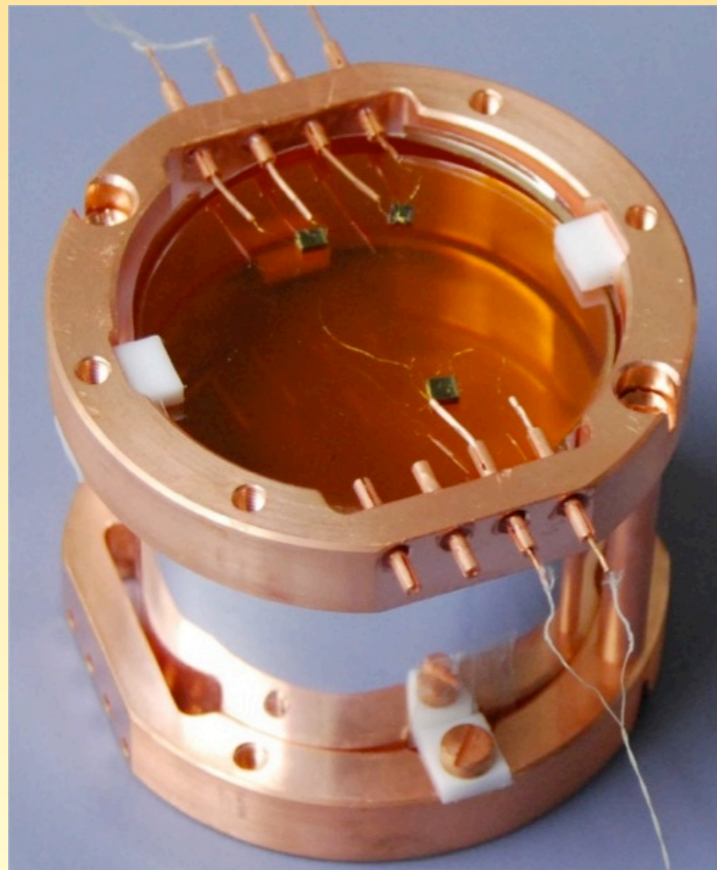
# Lucifer (Lumineux)

Lucifer will be composed by an array of 32÷36 enriched (95%)  $\text{Zn}^{82}\text{Se}$  crystals.  
The total  $^{82}\text{Se}$  nuclei will be  $(6.7\div 8.0) \cdot 10^{25}$

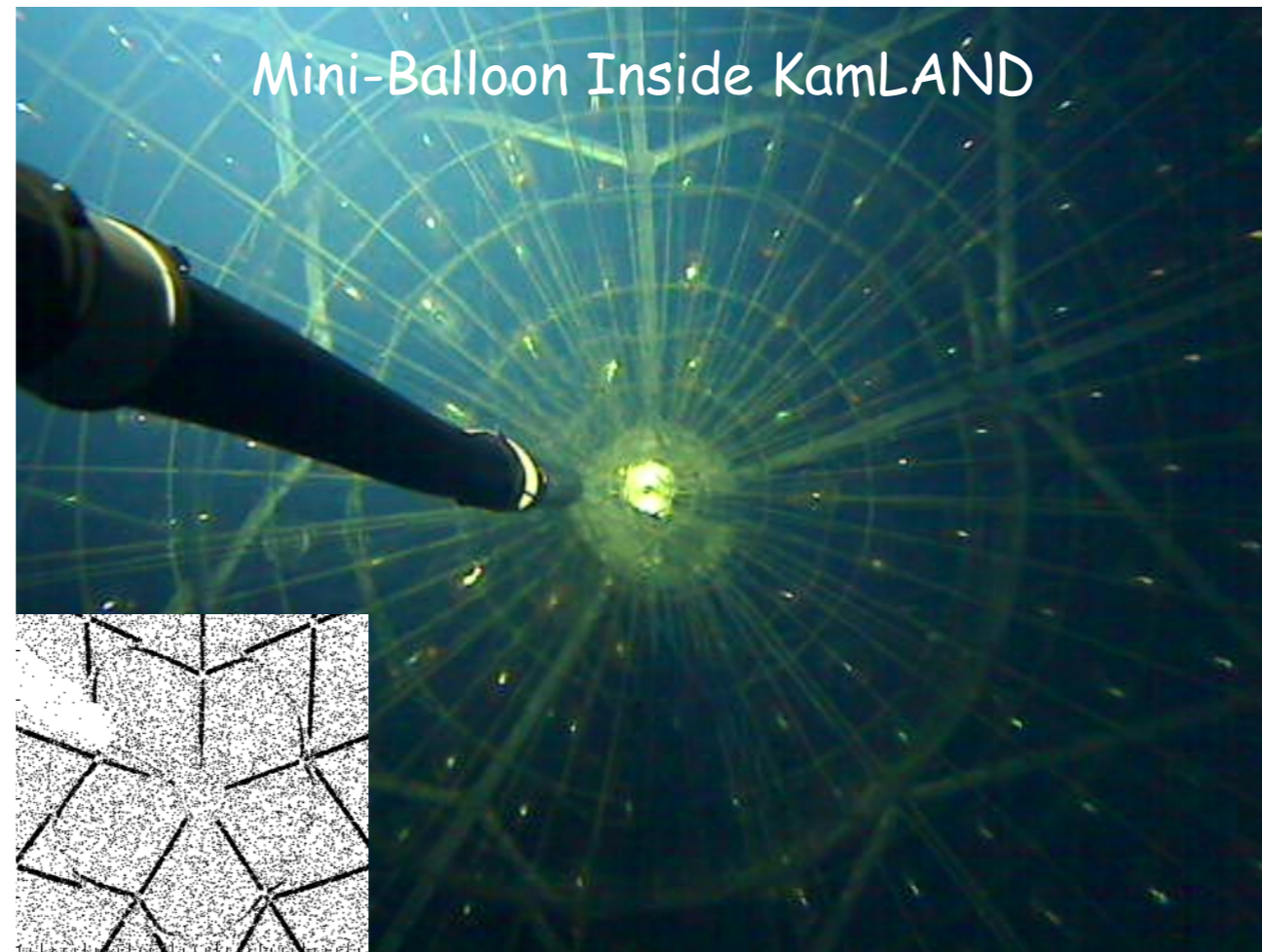
The mass of the single detector will be 460 g

The expected background in the ROI (2995 keV) is of the order of  $1\div 2 \cdot 10^{-3}$  c/keV/kg/y

The energy resolution of the single detector is expected to be  $\sim 10\div 15$  keV FWHM







**Low resolution, high self-shielding experiments: LS calorimeters, KamLAND-ZEN and SNO+**

# Large self-shielding calorimeters: Optimise $\Delta B$

**Basic idea:** Deploy a mini-balloon full of Xe-loaded scintillator into the middle of KamLAND

## Running detector

- > relatively low cost, quick start
- > detector well understood
- > experience with balloons, LS purification
- > ongoing antineutrino program outside Xe mini-balloon

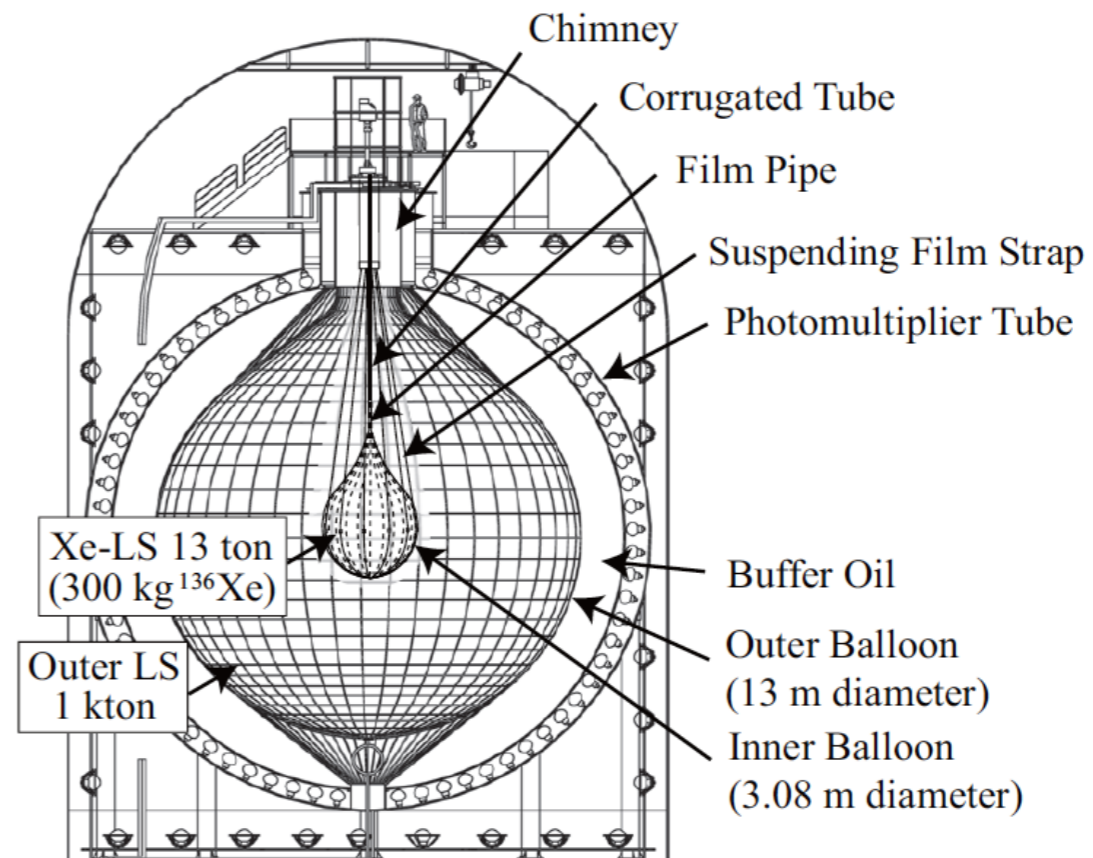
## Large and clean

- > negligible external backgrounds
- > no escaping/invisible  $\beta/\gamma$  energy

## Highly scalable

- > 100s of kg of  $^{136}\text{Xe}$  in first phase
- > up to several tons with larger mini-balloon

**Disadvantage:** energy resolution (4.0% at 2.458 MeV)



**NOTICE:  $\Delta E \sim 10\%$  FWHM at  $Q\beta\beta$  (eg: factor 50-100 Ge calorimeters)**

# Mixing target and detector: Xe dissolved in LS

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## Technical challenges: Xe-loaded liquid scintillator (LS)

- Match light yield to existing KamLAND LS  
-> Achieved: matched to within 3%
- Similar overall density to existing KamLAND LS,  
for mini-balloon integrity  
-> Tuned to 0.10% higher density
- Xe loading:  $(2.52 \pm 0.07)$  % by weight
- Composition:
  - 82% decane
  - 18% pseudocumene
  - 2.7 g/L PPO
  - $(2.52 \pm 0.07)$  % Xe
- Xe is  $(90.93 \pm 0.05)\%$   $^{136}\text{Xe}$ ,  $(8.89 \pm 0.01)\%$   $^{134}\text{Xe}$
- 129 kg  $^{136}\text{Xe}$  in the fiducial volume

# Mini-Balloon

## Technical challenges: Mini-Balloon

- Very thin: 25  $\mu\text{m}$  nylon
- Welded seams (!)
- Must be Xe barrier
- High transparency
- Low contaminations of U, Th, K

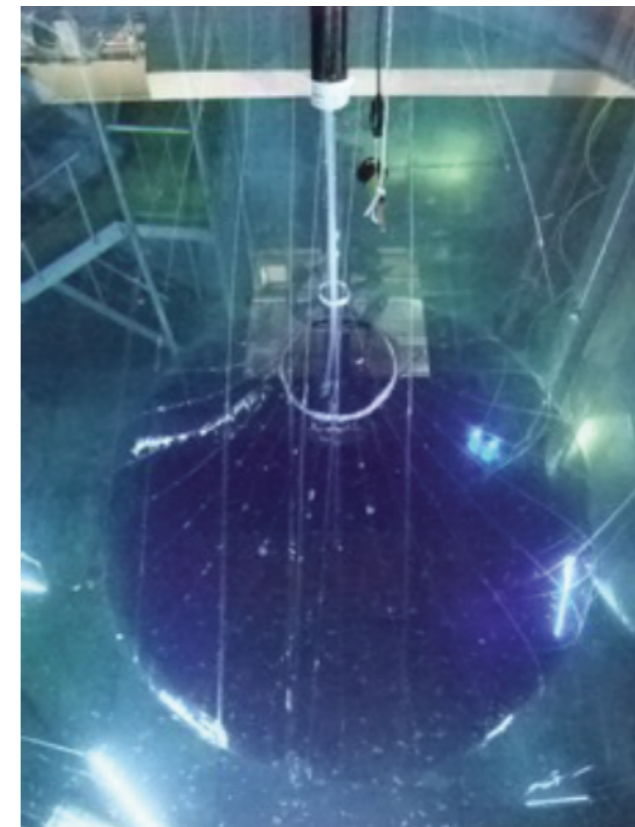
Tests in water to establish procedures for deployment, inflation, LS replacement



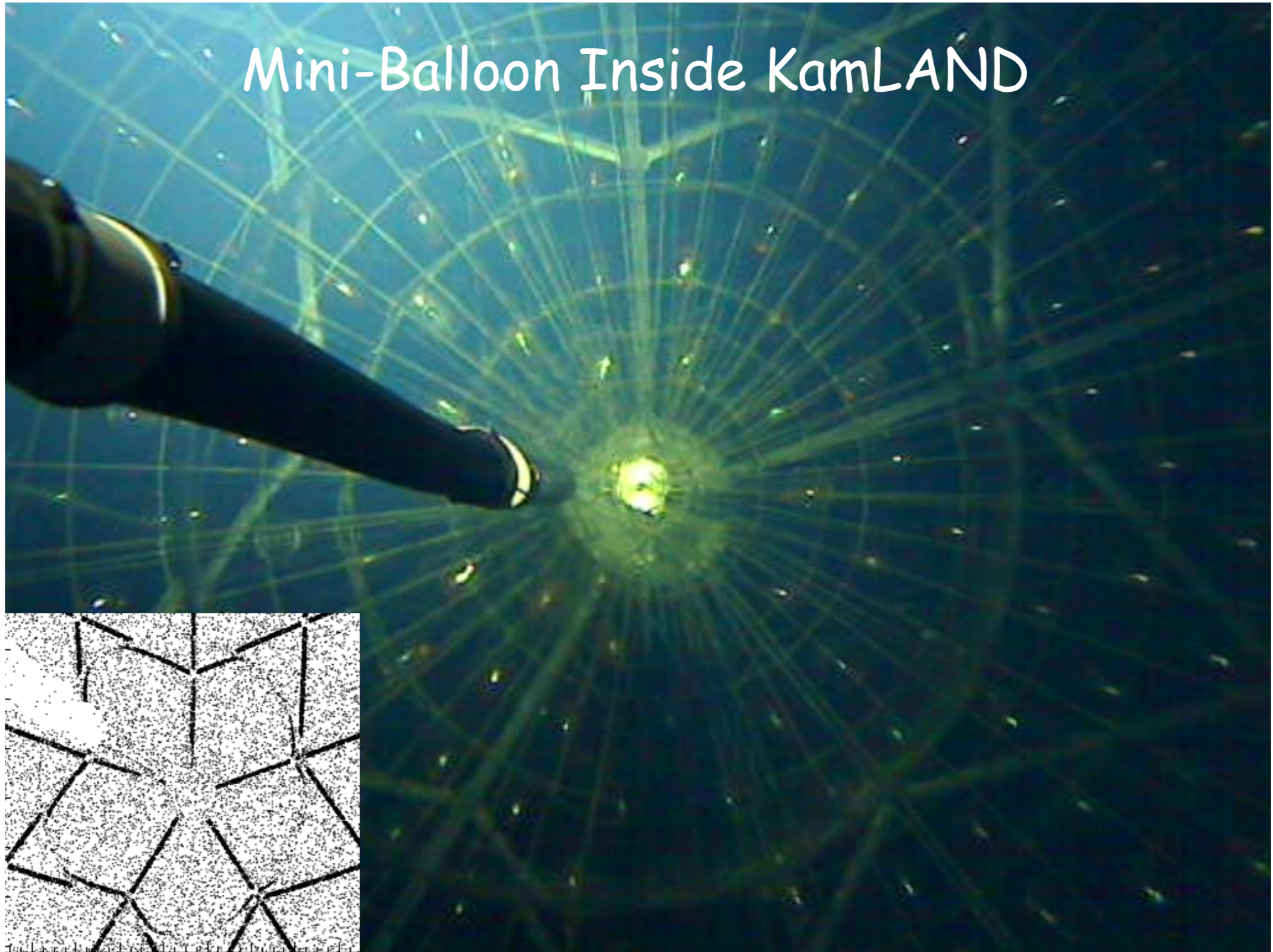
80  $\mu\text{m}$  polyethylene test balloon



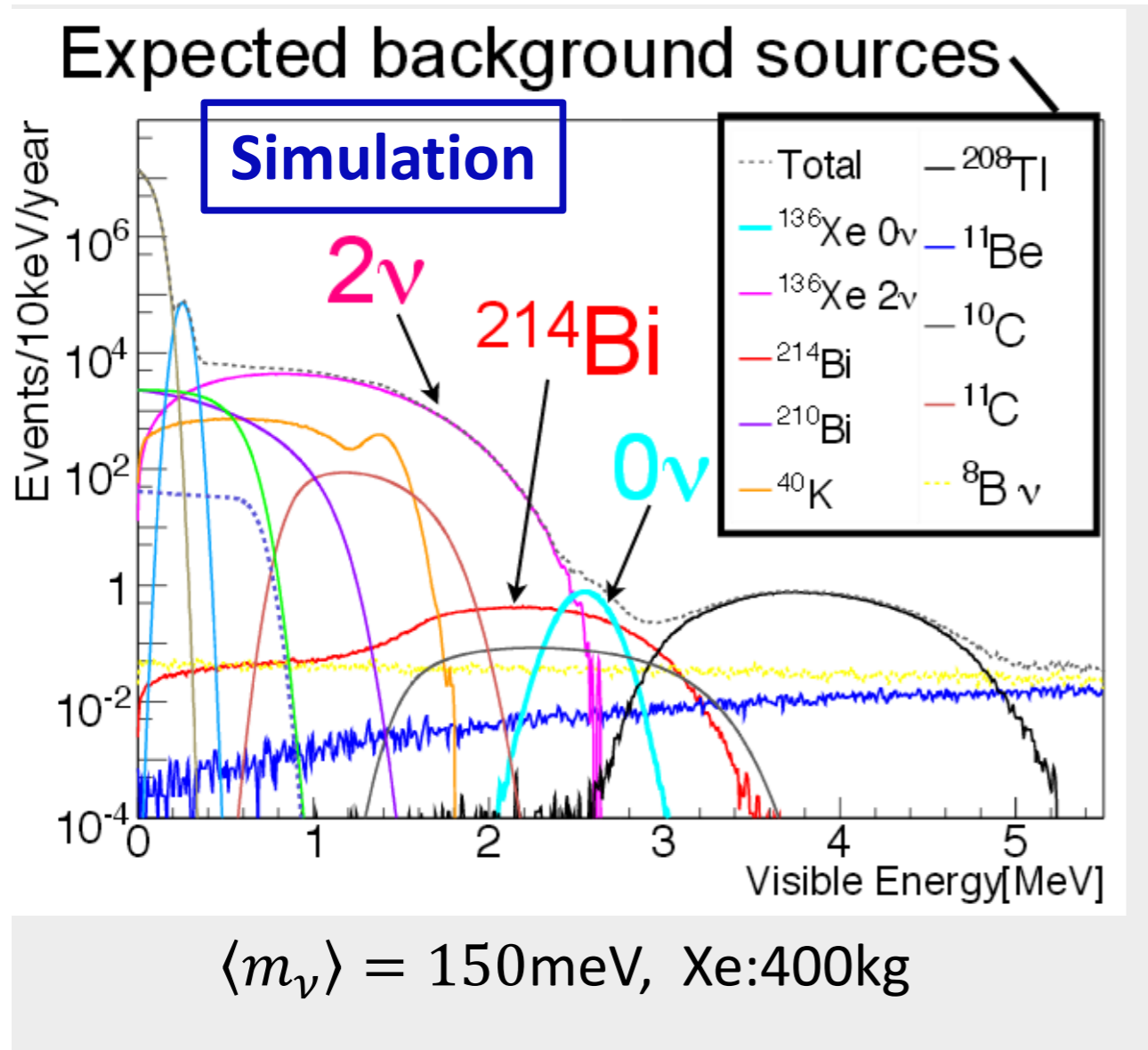
25  $\mu\text{m}$  Nylon 6 balloon



# Mini-Balloon Inside KamLAND



# Signal and background



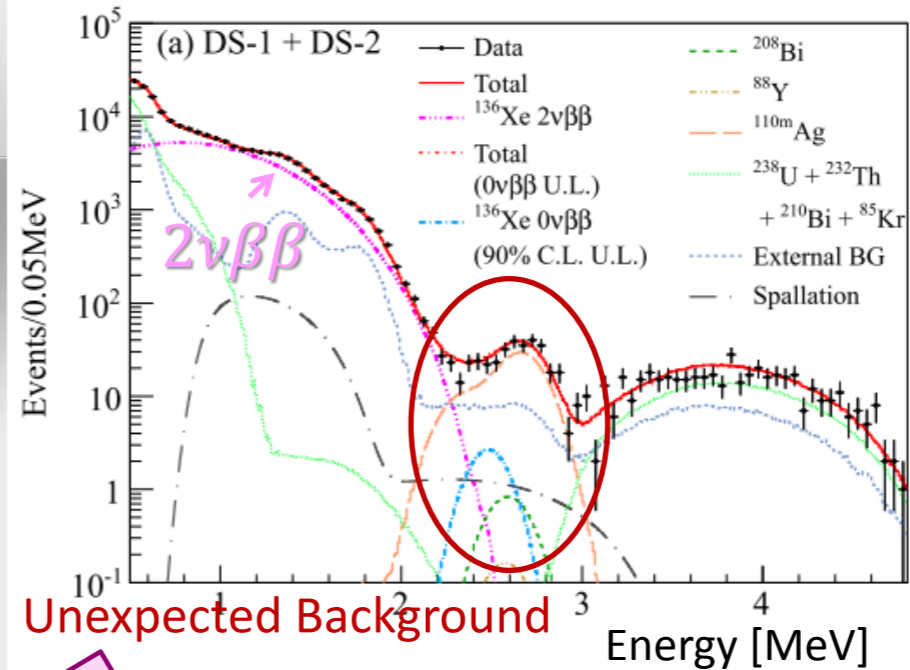
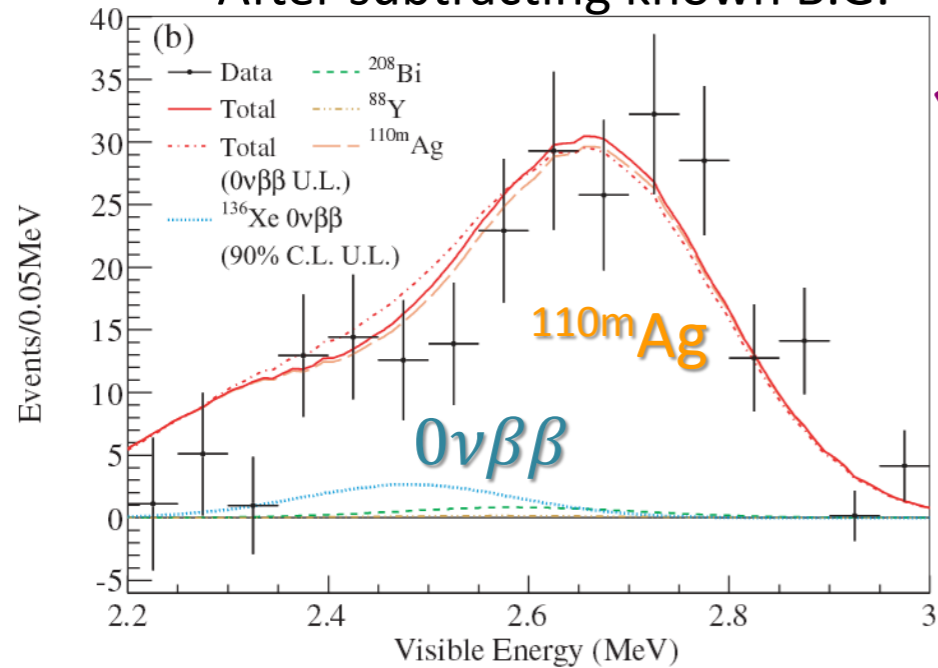
- Understanding the background model is crucial, since the experiment is pure counting (no peaks to fit).
- But it is difficult to calibrate well the background model with data, precisely due to the absence of narrow peaks to calibrate.
- Notice that  $2\nu$  mode, Bi-214 and Tl-208 background fully overlap with signal area.
- Background is proportional to  $M_{\text{LS}}$  and area ballon but the signal is proportional to  $M_{\text{Xe}}$ . Thus increasing the load increases signal “for free”

# Recent results

## Event Selection

1. Fiducial Cut
2. 2ms veto after muon
3. Remove Bi-Po events
4. Anti-nu CC reaction cut
5. Vertex-time-charge test to cut noise

After subtracting known B.G.



$2\nu\beta\beta$

$$T_{1/2}^{2\nu} = 2.30 \times 10^{21} \text{ year} \pm 0.02(\text{stat.}) \pm 0.14(\text{sys.})$$

$0\nu\beta\beta$

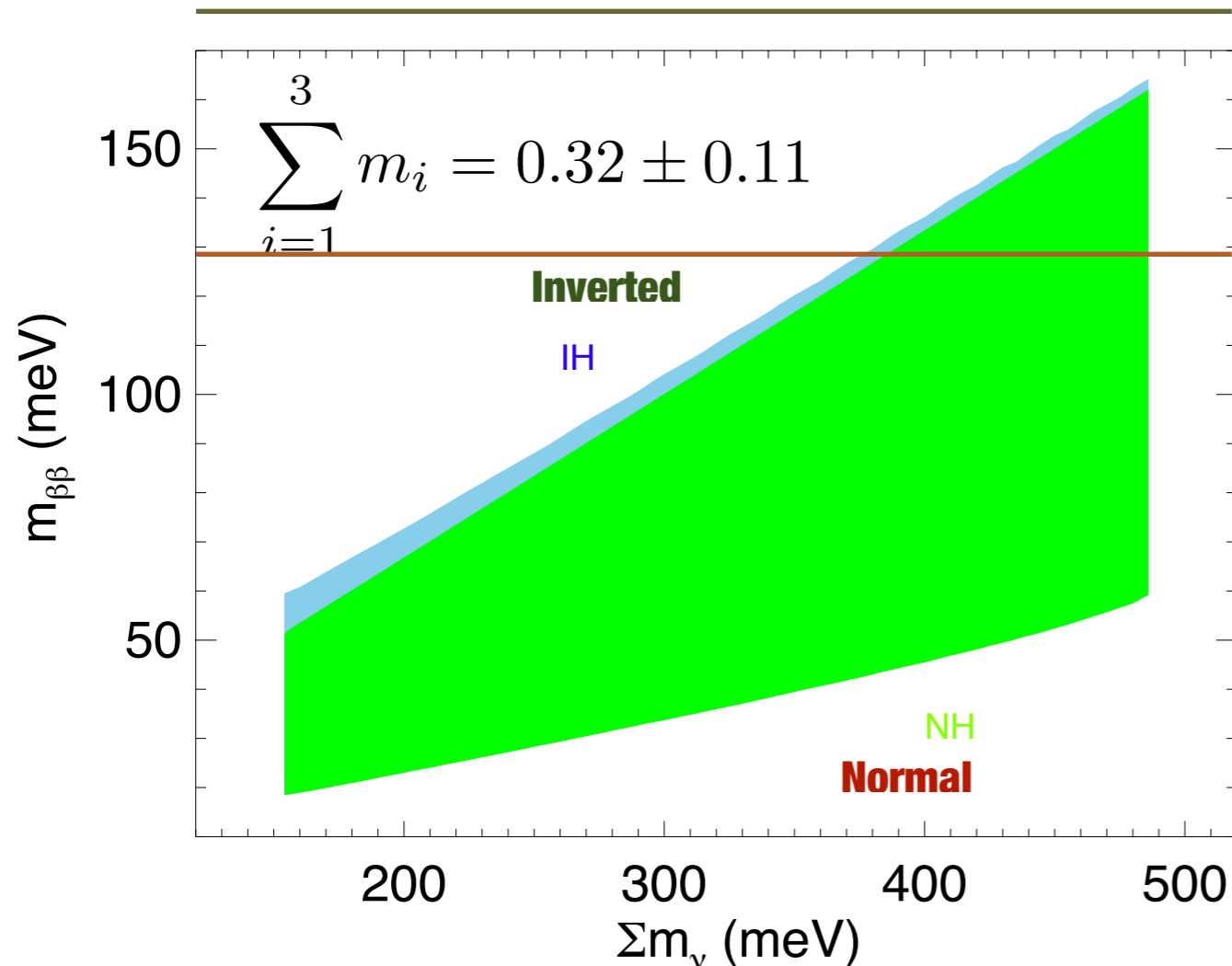
$$T_{1/2}^{0\nu} > 1.9 \times 10^{25} \text{ yr } 90\% \text{ C.L.}$$

Background

Half-life & energy spectrum fitting

➔ Identified as  $^{110m}\text{Ag}$

# Reproducing KamLAND-Zen results



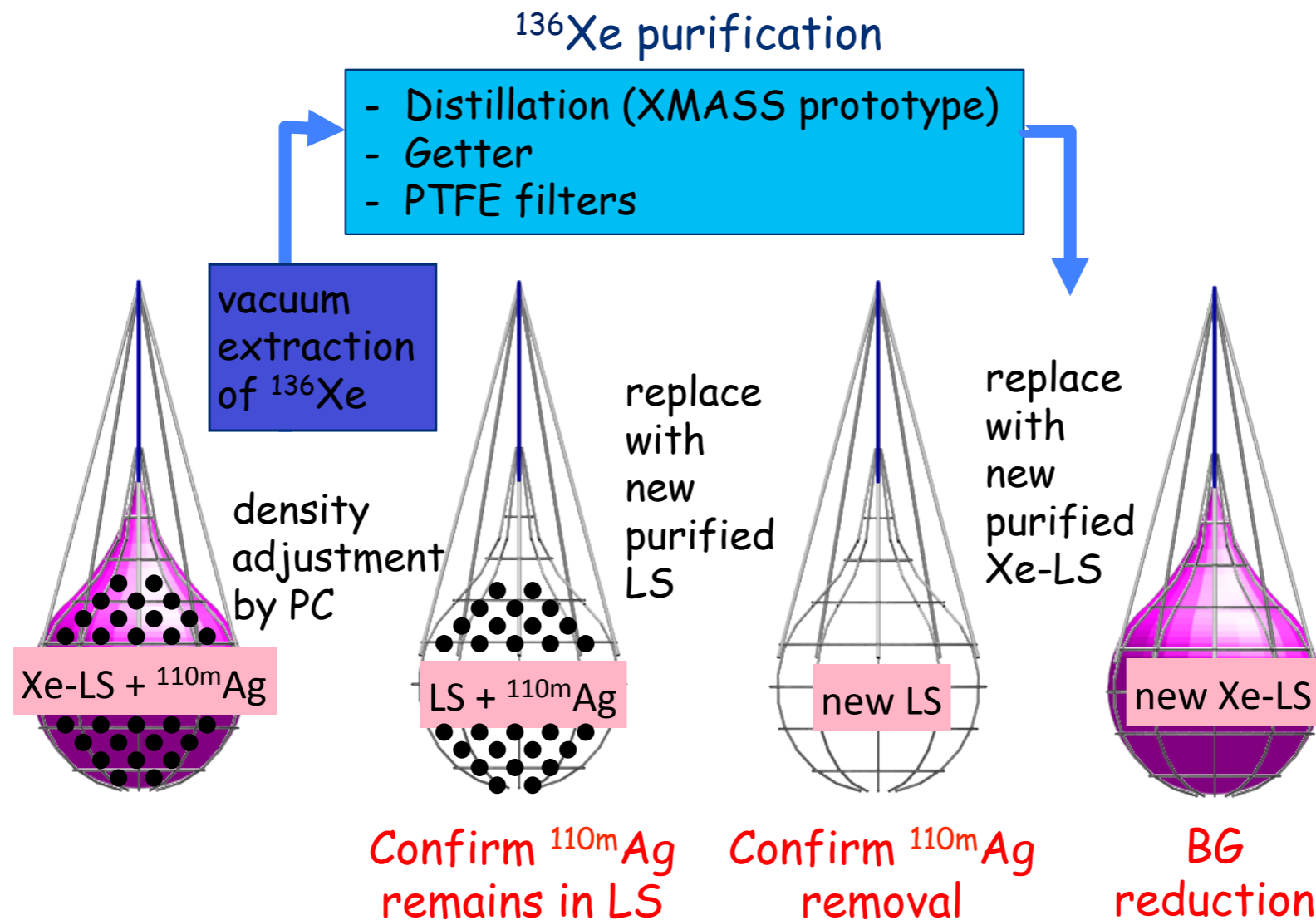
- **Run example KZEN.py in pybbsens software**
- **Input:**
  - efficiency = 0.55
  - $\Delta E = 10\%$  at  $Q_{\beta\beta}$
  - $B = 6 \times 10^{-4}$  ckky
  - Exposure = 89.5 kg x y
- **Statistical approach**
  - 90 % CL using Feldman & Cousins.
  - Result
- **$T^{0\nu} = 2.0 \times 10^{25}$  y**

- Mass 170 kg in fiducial volume
- **$m_{\beta\beta} = 127-355$  meV**

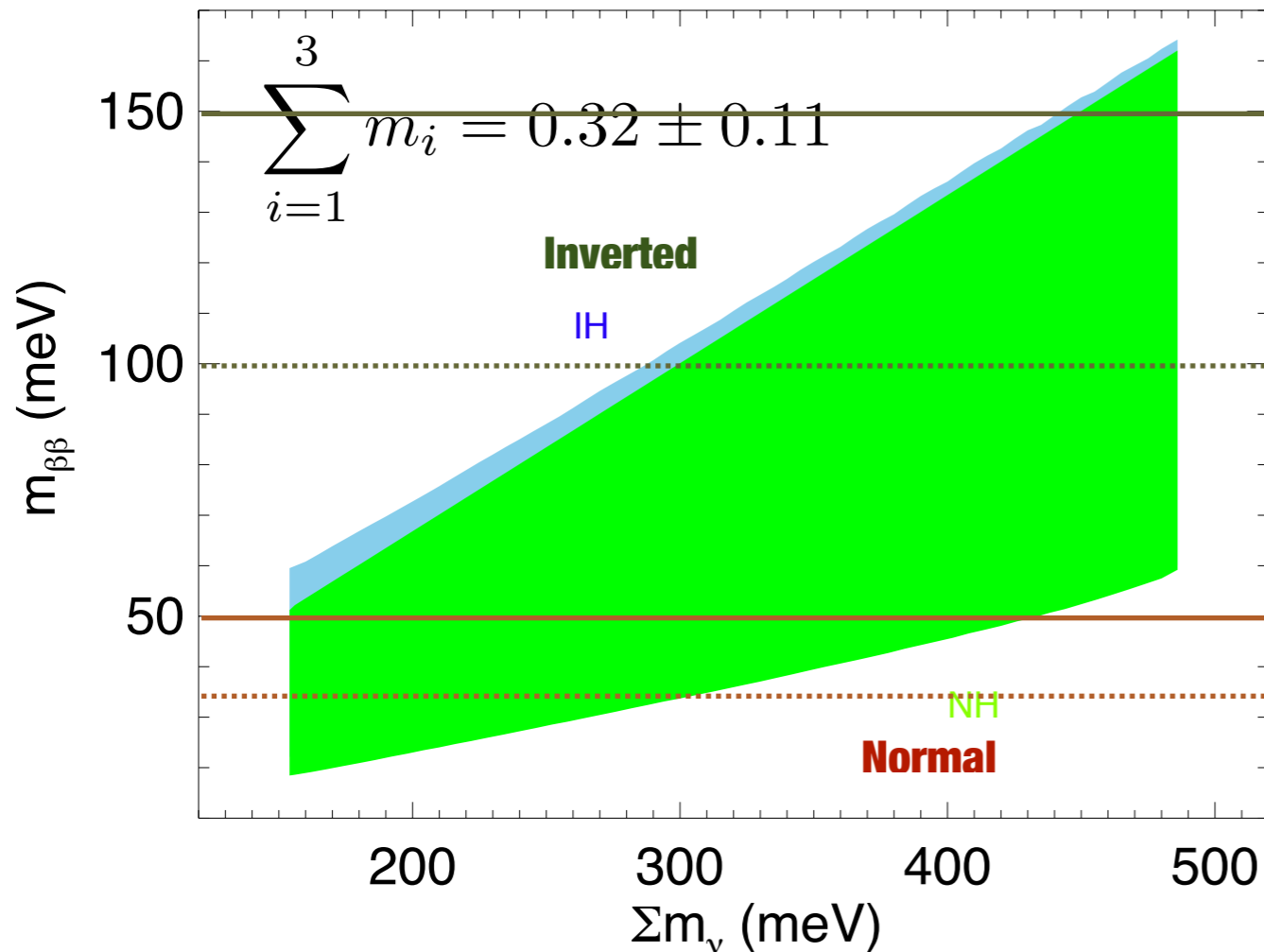


# Current KZ run: background distillation

- Run began Nov. 2013
- $^{110\text{m}}\text{Ag}$  reduced by  $> 10\text{x}$



# Second phase: the 600 kg run

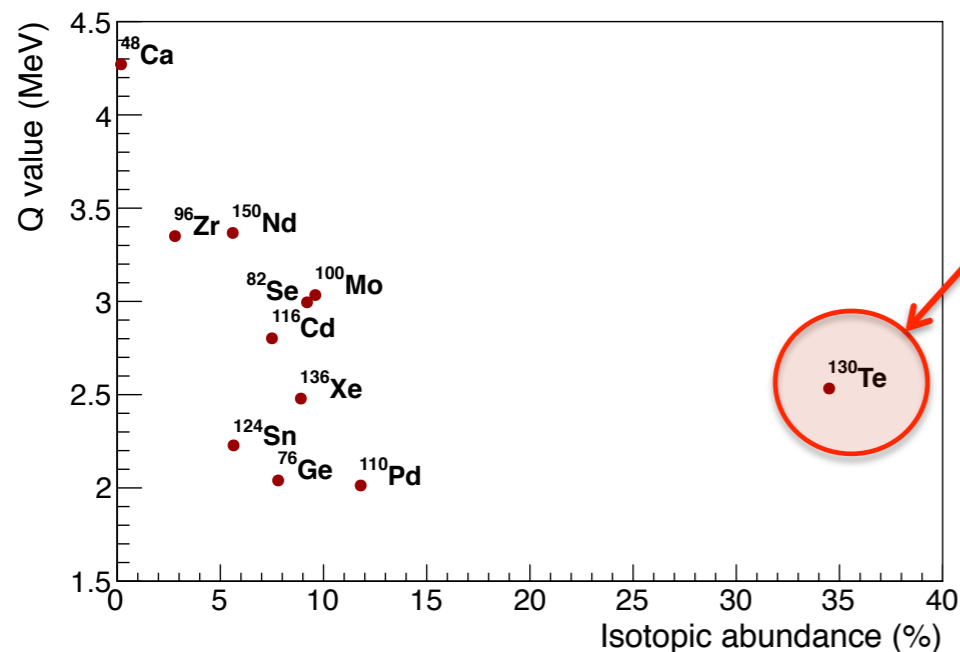


- 440 kg x 5 yr ~2200 kg x yr
- $B=6 \times 10^{-4}$  ckky  $\Rightarrow$ 
  - $T^{0\nu}=1 \times 10^{26} \Rightarrow m_{\beta\beta} = 53-149$   
meV
- $B=10^{-4}$  ckky  $\Rightarrow$ 
  - $T^{0\nu}=2.7 \times 10^{26} \Rightarrow m_{\beta\beta} = 35-98$   
meV

- The second phase of KamLAND-ZEN appears to be capable of covering a very large fraction of the cosmo-region. The crucial issue is to understand the background index, which is very low and very difficult to measure.

# The SNO+ approach

- **SNO+ DBD concept:** deploy element containing DBD isotope in LAB liquid scintillator
- **SNO+ isotope:** deploy 0.3% loading ( $\sim 2.3$  tonne) of **Te**
  - 800 kg of  $^{130}\text{Te}$  (160 kg in 3.5m fiducial volume)
  - Intention to increase loading once technique is demonstrated

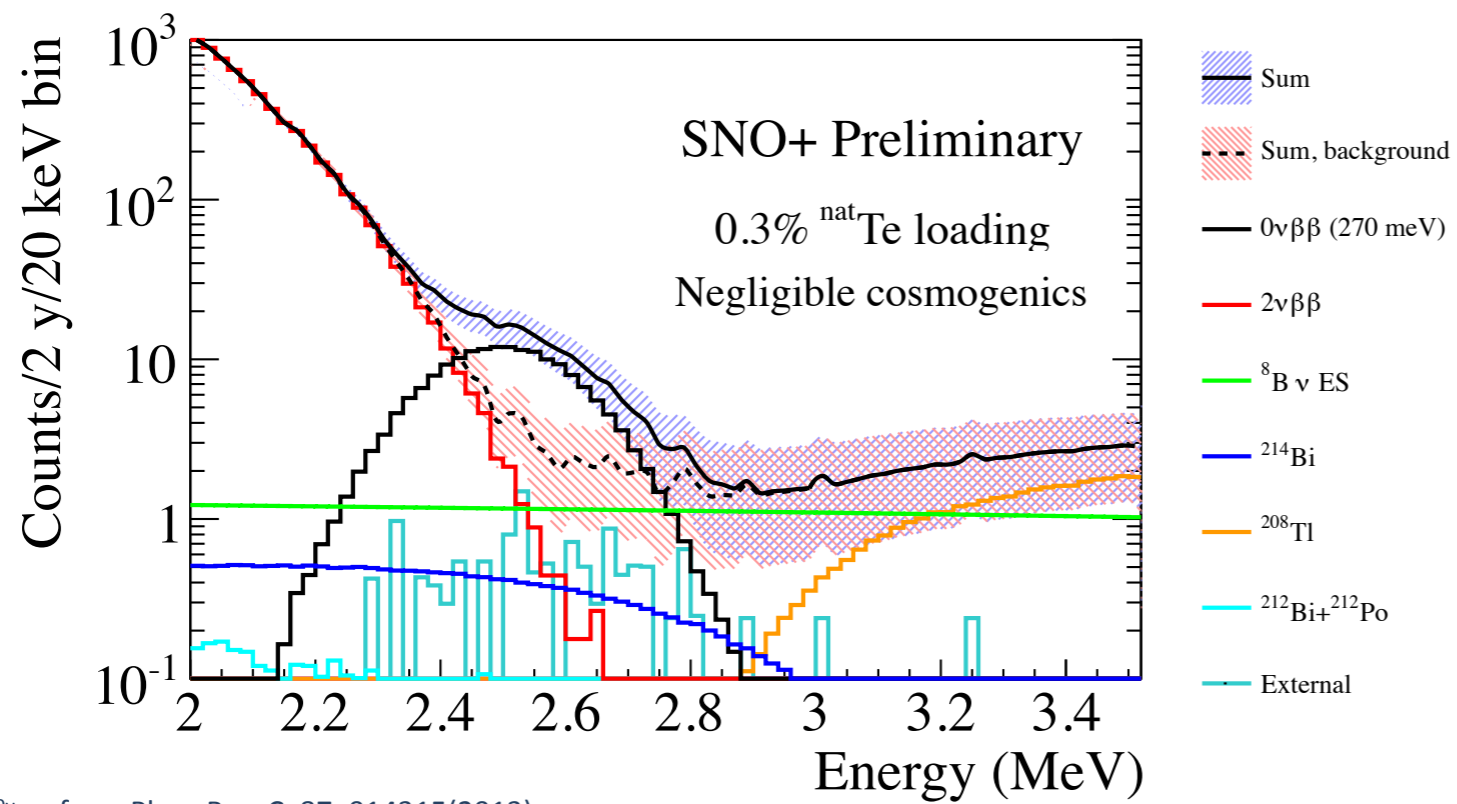


## $^{130}\text{Te}$ :

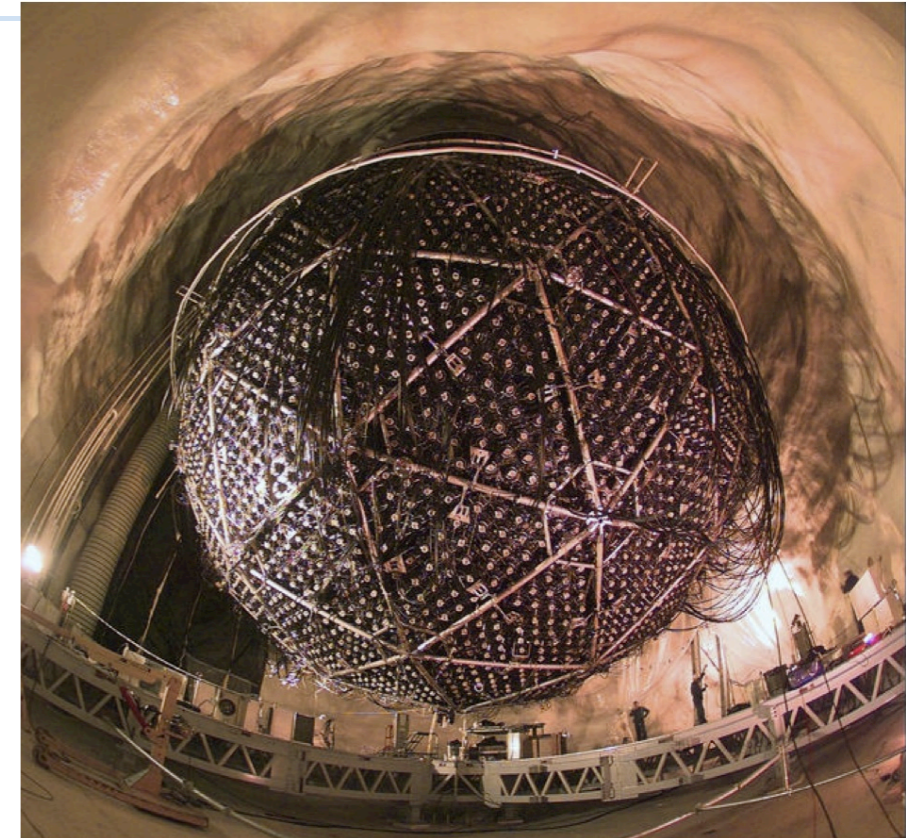
- Highest natural abundance
- $2\nu\beta\beta$  background 100 times lower than for  $^{150}\text{Nd}$
- Large  $0\nu\beta\beta$  matrix element
- Proven ability to load in LAB LS

**No need to enrich the isotope! However, total isotope mass (today) less than that of KZ**

# SNO+



M<sup>0 $\nu$ ,g<sub>A</sub></sup> from Phys. Rev. C, 87, 014315(2013)



**Start run foreseen for 2014-2015**