

# Intermediate Scale Accidental Axion and ALPs

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Based on:

AD, Machado, Nishi, Ringwald, Vaudrevange, arXiv:1403.5760

## Intermediate Scale for Axions and Axion-Like Particles

There are good motivations from particle physics, astrophysics, and cosmology for considering models that lead to effective Lagrangians having light pseudoscalars fields,  $a_s$ ,

$$\mathcal{L}_a = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}\partial_\mu a\partial^\mu a - \frac{1}{2}m_a^2 a^2 - \frac{g_{a\gamma}}{4}aF_{\mu\nu}\tilde{F}^{\mu\nu} + \frac{g_{ae}}{2}\partial_\mu a\bar{e}\gamma^\mu\gamma_5 e$$

- This is a common outcome of theories which have a global  $U(1)$  symmetry, broken at the energy scale  $f_a$ , by a SM singlet field VEV

$$\sigma(x) = \frac{1}{\sqrt{2}}[v_a + \rho(x)]e^{ia(x)/f_a}, \quad v_a \sim f_a \equiv \text{decay constant}$$

For a chiral anomalous  $U(1)$  the couplings are suppressed by  $f_a$  as

$$g_{a\gamma} = \frac{\alpha}{2\pi} \frac{C_{a\gamma}}{f_a}, \quad g_{ae} = \frac{C_{ae}}{f_a}, \quad C_{a\gamma}, C_{ae} \sim \mathcal{O}(1) \text{ (from model)}$$

- $U(1)$  exact:  $\mathcal{L}_a$  has the shift sym.  $a(x) \rightarrow a(x) + cte$ , and  $m_a = 0$ , with  $a(x)$  being a Nambu-Goldstone Boson (NGB)
- $U(1)$  explicitly broken:  $m_a \neq 0$  and  $a(x)$  is a pseudo-NGB.
- **Axion-Like Particles (ALPs)** denote particles interacting as in  $\mathcal{L}_a$ .

## Intermediate Scale for Axions and Axion-Like Particles

Axion,  $a = A$ , is a prominent example of a pseudo NGB in Standard Model UV completions *designed* with a global  $U(1)_{PQ}$  anomalous chiral sym. spontaneously broken at the scale  $f_A$ , for solving the strong CP problem.  
 $\rightarrow \bar{\theta}$  as a dynamical parameter [Peccei,Quinn 77; Weinberg 78; Wilczek 78]

$$\mathcal{L} \supset -\frac{\alpha_s}{8\pi} \bar{\theta} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu} \rightarrow -\frac{\alpha_s}{8\pi} \frac{A}{f_A} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

with a nonperturbative potential whose minimum leads to CP conservation

$$V(A) \Rightarrow \langle \frac{A}{f_A} \rangle = \bar{\theta} = 0, \quad (\text{PQ mechanism})$$

Limits from neutron e.d.m imply  $|\bar{\theta}| \lesssim 10^{-10}$  [Baker et al. 06].

- Viable UV completions with axions are the ones having a singlet  $\sigma(x)$  with a large VEV [Kim 79; Shifman et al. 78; Dine et al. 80; Zhitnitski 80]
- Nonperturbative QCD effects explicitly break  $U(1)_{PQ}$  leading to a mass that is related to the axion-photon coupling

$$m_A \approx \frac{m_\pi f_\pi}{f_A} \approx 6 \times 10^{-6} \text{eV} \times \left( \frac{10^9 \text{GeV}}{f_A} \right); \quad g_{A\gamma} = \frac{\alpha}{2\pi} \frac{C_{A\gamma}}{f_A} \sim 10^{-12} \text{GeV}^{-1} \left( \frac{10^9 \text{GeV}}{f_A} \right)$$

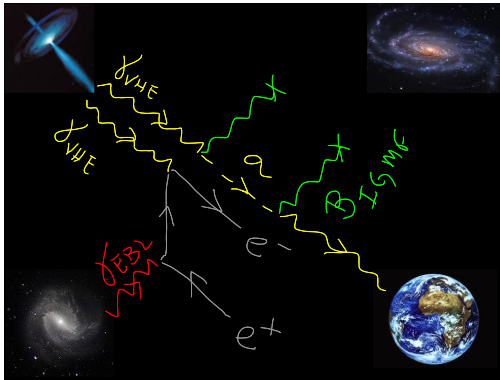
- Interesting **intermediate energy scale** range axions/ALPs is

$$10^9 \text{GeV} \lesssim f_A, f_a \lesssim 10^{13} \text{GeV} \Rightarrow 10^{-7} \text{eV} \lesssim m_A \lesssim 1 \text{meV} \quad (\text{SN 1987A, } f_A > 4 \times 10^8 \text{GeV})$$

# Motivations for Axion plus ALPs: $\gamma$ -ray transparency of the Universe

Anomalous transparency of the Universe for very energetic  $\gamma$ -ray [Mirizzi et al; De Angelis et al 07], indicated by AGN spectra.

- AGNs should show an energy and redshift-dependent exponential attenuation  $e^{-\tau(E,z)}$ , due  $e^+e^-$  pair production off the EBL [Fermi-LAT 12; H.E.S.S. 12]. Transparency for large optical depth,  $\tau > 2$ , [Aharonian et al 07; Aliu et al 08; Essey, Kusenko; Horns, Meyer 12].
- Explanation:  $\gamma$ -rays  $\leftrightarrow a$  oscillations in the magnetic fields around AGNs, and in the intergalactic medium [De Angelis et al; Simet et al 08; Sanches-Conde et al 09].



- ALP with:  $|g_{a\gamma}| \gtrsim 10^{-12} \text{ GeV}^{-1}$ ;  $m_a \lesssim 10^{-7} \text{ eV}$  [Horns, Meyer, Raue 13].

# Motivations for ALPs: X-ray line signal at 3.55 keV and Soft X-ray excess from Coma cluster

Observation of a X-ray line signal at **3.55 keV** in the stacked spectrum of a number of galaxy clusters [Bulbul et al 14], and in Andromeda galaxy and Perseus cluster [Boyarsky et al 14].

- A possible interpretation for the new line is an ALPs decaying into photons  $a \rightarrow \gamma + \gamma$  [Higaki et al. 14; Jaeckel et al 14].

$$m_a \simeq 7.1 \text{ keV}$$

$$10^{-18} \text{ GeV}^{-1} \sqrt{\frac{1}{x_a}} \lesssim |g_{a\gamma}| \lesssim 10^{-12} \text{ GeV}^{-1} \sqrt{\frac{10^{-10}}{x_a}}, \quad x_a \equiv \frac{\rho_a}{\rho_{DM}}$$

**Soft X-ray excess from Coma cluster observed** [Lieu et al 96].

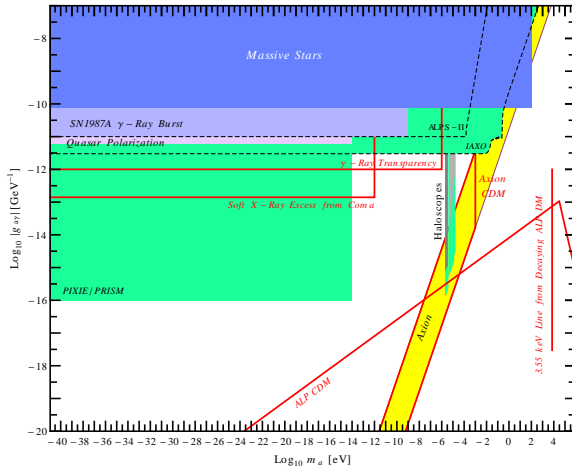
- May be explained by the conversion of a cosmic ALP background radiation into photons in the cluster magnetic field explained if [Conlon, Marsh 13; Angus et al 13]

$$|g_{a\gamma}| \gtrsim 10^{-13} \text{ GeV}^{-1} \sqrt{0.5 / \Delta N_{eff}}; \quad m_a \lesssim 10^{-12} \text{ eV}$$

$\Delta N_{eff} \sim 0.5$  is the extra neutrinos species.

# Summary of motivations for developing models with axion plus ALPs

Limits/projected explorations, and favorable regions on  $g_{a\gamma} \times m_a$  suggesting that more than one ALP with intermediate scales may exist.



[AD, Machado, Nishi, Ringwald, Vaudrevange, 1403.5760]

Projected sensitivities of: ALPS-II; helioscope IAXO; helioscope ADMX and ADMX-HF; and PIXIE/PRISM cosmic background observatories.



## UV completions leading to intermediate scale axion/ALPs

Based on the previous phenomena we consider SM extensions with anomalous chiral  $U(1)_{PQ_i}$  PQ symmetries broken by  $\langle \sigma_i(x) \rangle$

$$SM \otimes U(1)_{PQ_1} \otimes U(1)_{PQ_2} \otimes \dots, \quad \sigma_i(x) = \frac{1}{\sqrt{2}} [v_i + \rho_i(x)] e^{ia'_i(x)/f_{a'_i}}$$

At low energies ( $\ll v_i \sim f_{a'_i}$ ) the effective field theory have pseudo-NGBs interacting with gluons, photons, and matter fields,

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu a'_i \partial^\mu a'_i - \sum_{ij}^{n_{ax}} m_{ij} a'_i a'_j - \frac{\alpha_s}{8\pi} \left( \sum_i^{n_{ax}} C_{ig} \frac{a'_i}{f_{a'_i}} \right) G_{\mu\nu}^b \tilde{G}^{b,\mu\nu} - \frac{\alpha}{8\pi} \left( \sum_i^{n_{ax}} C_{i\gamma} \frac{a'_i}{f_{a'_i}} \right) F_{\mu\nu} \tilde{F}^{\mu\nu}$$

Taking into account field theory some questions have to be posed:

- 1- It is artificial to impose anomalous symmetries like  $U(1)_{PQ_i}$ . Global symmetries should be *accidental*, arising from an extra principle.
- 2- It is needed to guarantee that corrections do not lead to  $\delta\bar{\theta} > 10^{-10}$ .
- 3- Small masses  $m_{a_s}$  for the ALPs need to be generated and protected from destabilization due to operators breaking  $U(1)_{PQ_i}$ .

## Stabilization of the intermediate scale axion/ALPs

No reason to believe gravitational interactions preserve global symmetries. Focusing on models with two singlets, for  $U(1)_{PQ_i}$  higher dim. operators

$$\mathcal{L} \supset \frac{1}{M_{Pl}^{D-4}} \mathcal{O}_D \sim \frac{1}{M_{Pl}^{D-4}} \sigma_1^n \sigma_2^k, \quad D = n + k > 4$$

modifies the potential, destabilizing the solution of the strong CP problem unless [Ghigna et al.; Holman et al.; Kamionkowski et al. 92; Kallosh et al. 95]

$$D \gtrsim \frac{9}{1 - 0.1 \log\left(\frac{f_A}{10^9 \text{GeV}}\right)} \Rightarrow \bar{\theta} + \delta\theta_g = |\langle A \rangle / f_A| < 10^{-10}$$

Excessively large mass for the axion/ALP may be induced, spoiling their role in interesting phenomena

$$m_{12}^{(n,k)} \sim \frac{1}{M_{Pl}^{(D-4)/2}} v_1^{(n-1)/2} v_2^{(k-1)/2}$$

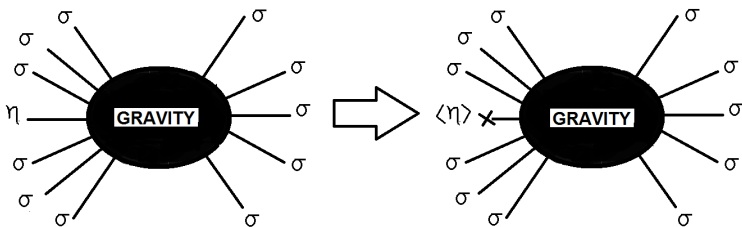
- If only sufficiently high order operators contribute, strong CP problem sol. maintained and interesting ALP masses induced.
- Discrete  $Z_N$  symmetries are useful for these purposes.

# Stabilization of the Intermediate Scale Axion/ALPs: $Z_N$ Symmetries

Discrete symmetries such as  $Z_N$  may be remnants of broken local sym.

$$\mathcal{U}(1) \rightarrow Z_N \equiv e^{i2\pi k/N}, \quad k = 0, 1, \dots, N-1$$

Such “discrete gauge symmetries” might be respected by gravitational interactions. Local symmetries can masquerade as  $Z_N$  to an observer having only low energy probes [Krauss, Wilczek 89; Coleman et al. 92].



$$O_{N+1} \equiv \frac{\eta(x) \sigma(x)^N}{M_{Pl}^{N-3}} \quad \Rightarrow \quad O_N \equiv \frac{\langle \eta \rangle}{M_{Pl}^{N-3}} \sigma(x)^N$$

Being  $O_{N+1}$  invariant under  $\mathcal{U}(1)$ ,  $O_N$ , and all other interactions below the scale  $\langle \eta \rangle$ , will be invariant by  $Z_N$ .

We consider UV completions of the SM which are models having a set of discrete  $Z_N$  symmetries

$$\text{SM} \otimes Z_N \otimes Z_M \otimes Z_P$$

- The main characteristics are:
  - 1  $U(1)_{PQ_i}$  sym. are quasi exact and accidental at low energies.
  - 2 All dangerous nonrenormalizable operators which explicitly violate the  $U(1)_{PQ_i}$  and destabilize the axion/ALP are forbidden by the  $Z_N$  factors.
  - 3 The allowed operators suppressed by  $M_{Pl}$  generate the appropriate masses for the ALPs.

# $Z_{13} \otimes Z_5 \otimes Z'_5$ model with two pseudo Nambu-Goldstone bosons.

A (DFSZ  $\times$  KSVZ)-like model with accidental  $U(1)_{PQ_1} \otimes U(1)_{PQ_2}$

- BSM: 4 Higgs doublets  $H_b$ , a scalar triplet  $T$ , 2 singlets  $\sigma_i$ , 3 right handed neutrinos  $N_{R_i}$ , a vectorial quark  $(Q_L, Q_R)$ .

$\psi_i$	$q_L$	$u_R$	$d_R$	$L$	$N_R$	$l_R$	$H_u$	$H_d$	$H_l$	$H_N$	$\sigma_2$	$T$	$Q_L$	$Q_R$	$\sigma_1$
$Z_{13}$	$\omega_{13}^5$	$\omega_{13}^3$	$\omega_{13}^8$	$\omega_{13}^9$	$\omega_{13}^3$	$\omega_{13}^7$	$\omega_{13}^{11}$	$\omega_{13}^{10}$	$\omega_{13}^2$	$\omega_{13}^7$	$\omega_{13}^{12}$	$\omega_{13}^9$	1	$\omega_{13}^6$	$\omega_{13}^7$
$Z_5$	1	$\omega_5$	$\omega_5^4$	1	$\omega_5$	$\omega_5^4$	$\omega_5$	$\omega_5$	$\omega_5$	$\omega_5$	1	$\omega_5^2$	$\omega_5$	$\omega_5^3$	$\omega_5^3$
$Z'_5$	1	$\omega_5^4$	1	1	$\omega_5^2$	$\omega_5^4$	$\omega_5^4$	1	$\omega_5$	$\omega_5^2$	$\omega_5$	$\omega_5^3$	1	$\omega_5^4$	$\omega_5$

$\psi$	$q_L$	$u_R$	$d_R$	$L$	$N_R$	$l_R$	$H_u$	$H_d$	$H_l$	$H_N$	$\sigma_2$	$T$	$Q_L$	$Q_R$	$\sigma_1$
$K_\psi$	0	0	0	-1/2	-1/2	-1/2	0	0	0	0	0	0	1/2	-1/2	1
$X_\psi$	0	$-X_u$	$-X_d$	$\frac{1}{3}(4X_u + X_d)$	0	$2X_u$	$-X_u$	$X_d$	$-\frac{1}{3}(2X_u - X_d)$	$-\frac{1}{3}(4X_u + X_d)$	1	$-2X_u$	0	0	0

- Yukawa terms  $Z_{13} \otimes Z_5 \otimes Z'_5$  and  $U(1)_{PQ_1} \otimes U(1)_{PQ_2}$  invariants:

$$\begin{aligned} \mathcal{L}_Y = & Y_{ij} \bar{q}_{iL} \widetilde{H}_u u_{jR} + \Gamma_{ij} \bar{q}_{iL} H_d d_{jR} + G_{ij} \bar{L}_i H_l l_{jR} \\ & + F_{ij} \bar{L}_i \widetilde{H}_N N_{jR} + y_{ij} (\overline{N_{iR}})^c \sigma_1 N_{jR} + y_Q \overline{Q}_L \sigma_1 Q_R \end{aligned}$$

- Seesaw mechanism, and two more accidental global  $U(1)$ s in the renormalizable operators, besides the hypercharge  $U(1)_Y$  factor  
 $U(1)_B$ , baryon number conservation,  
 $U(1)_Q$ , exotic quark number conservation

- Lowest dim. effective mass op.:

$$O_{14} \equiv \frac{1}{M_{Pl}^{10}} H_N^\dagger H_d \sigma_1^{*5} \sigma_2^7$$

$\Downarrow$

$$m_a \sim \frac{v_W v_1^{5/2} v_2^{7/2}}{2^6 M_{Pl}^5 f_A} \sim 10^{-33} \text{ eV}, \quad m_A = 0.6 \text{ meV}$$

for  $v_1 \sim f_A \sim 10^{10}$  GeV, and  $v_2 \sim 7.5 \times 10^{10}$  GeV

- In this model the axion and ALP decay constants are related

$$\frac{1}{f_A^2} = \frac{1}{f_a^2} = \left( \frac{C_{1g}}{f_{a'_1}} \right)^2 + \left( \frac{C_{2g}}{f_{a'_2}} \right)^2$$

This usually happens in models without photophilic ALP.

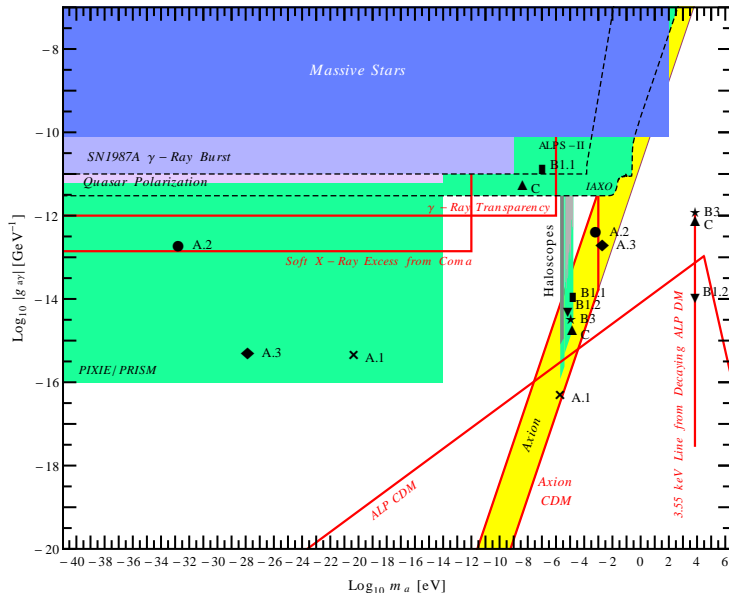
- It can be shown that

$$\left( g_{A\gamma} + \frac{\alpha}{2\pi f_A} \times 1.95 \right)^2 + g_{a\gamma}^2 = \frac{\alpha}{2\pi} \left[ \left( \frac{C_{1\gamma}}{f_{a'_1}} \right)^2 + \left( \frac{C_{2\gamma}}{f_{a'_2}} \right)^2 \right]$$

so that  $g_{a\gamma}$  cannot be hierarchically larger than  $g_{A\gamma}$  in the model.

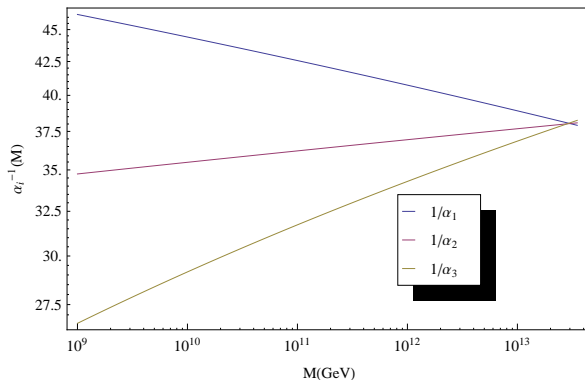
# $Z_{13} \otimes Z_5 \otimes Z'_5$ model with two pseudo Nambu-Goldstone bosons.

## Benchmarks for this model



## $Z_{13} \otimes Z_5 \otimes Z'_5$ model with two pseudo Nambu-Goldstone bosons.

- The field content is such that the model has gauge coupling unification at  $M_U \sim 10^{13}$  GeV, near to intermediate scale.



- Proton is stabilized by the same discrete symmetries which protects the axion/ALP.
- Free from domain wall problem.
- Embedding on SU (5) GUT group is possible [AD, Franco, Pleitez 07].



## $Z_{11} \otimes Z_9 \otimes Z_7$ model with axion and two photophilic ALPs.

Photophilic model: only one ALP field coupling to  $\frac{\alpha_s}{8\pi} G\tilde{G}$

$\Rightarrow f_A$  and  $f_{a_i}$  ( $g_{a_i\gamma}$  and  $g_{A\gamma}$ ) **are not connected**

$Z_{11} \otimes Z_9 \otimes Z_7$  model has the scales  $f_A, f_{a_1}$  and  $f_{a_2}$

- BSM: 3 scalar singlets  $\sigma_i$ ; 1 vectorial quark ( $Q_L, Q_R$ );  
2 noncolored vectorial charged fermions ( $E_{bL}, E_{bR}$ );  
3 right-handed neutrinos  $N_{iR}$ .

	$q_L$	$u_R$	$d_R$	$L$	$l_R$	$N_R$	$H$	$Q_L$	$Q_R$	$\sigma_1$	$\sigma_2$	$E_{lL}$	$E_{lR}$	$\sigma_3$	$E_{2L}$	$E_{2R}$
$Z_7$	1	$\omega_7^3$	$\omega_7^4$	1	$\omega_7^4$	$\omega_7^3$	$\omega_7^3$	$\omega_7^5$	$\omega_7^3$	$\omega_7$	1	$\omega_7^5$	$\omega_7^4$	$\omega_7^1$	$\omega_7^5$	$\omega_7^3$
$Z_9$	1	$\omega_9^5$	$\omega_9^4$	$\omega_9^6$	$\omega_9$	$\omega_9^2$	$\omega_9^5$	1	$\omega_9^8$	$\omega_9^5$	$\omega_9$	$\omega_9^6$	1	1	$\omega_9^1$	$\omega_9^5$
$Z_{11}$	1	$\omega_{11}^3$	$\omega_{11}^8$	$\omega_{11}^2$	$\omega_{11}^{10}$	$\omega_{11}^5$	$\omega_{11}^3$	$\omega_{11}^9$	$\omega_{11}^7$	$\omega_{11}$	1	1	$\omega_{11}^{10}$	1	$\omega_{11}^{10}$	$\omega_{11}^9$

- Three accidental  $U(1)_{PQ_1} \otimes U(1)_{PQ_2} \otimes U(1)_{PQ_3}$ .

	$q_L$	$u_R$	$d_R$	$L$	$l_R$	$N_R$	$H$	$Q_L$	$Q_R$	$\sigma_1$	$\sigma_2$	$E_{lL}$	$E_{lR}$	$\sigma_3$	$E_{2L}$	$E_{2R}$
$K_\psi$	0	0	0	-1/2	-1/2	-1/2	0	1	-1	1	0	1	0	0	0	-1
$X_\psi$	0	0	0	0	0	0	0	0	0	0	1	0	-1	0	0	0
$Z_\psi$	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0

## $Z_{11} \otimes Z_9 \otimes Z_7$ model with axion and two photophilic ALPs.

- BSM Yukawa Lagrangian invariant under  $Z_{11} \otimes Z_9 \otimes Z_7$  and  $U(1)_{PQ_1} \otimes U(1)_{PQ_2} \otimes U(1)_{PQ_3}$

$$\begin{aligned}\mathcal{L}_Y^{BSM} = & F_{ij} \bar{L}_i \widetilde{H}_N N_{jR} + y_{ij} \overline{(N_{iR})^c} \sigma_1 N_{jR} + y_i \frac{\sigma_1}{M_{Pl}} \bar{q}_{iL} H Q_R \\ & + y_Q \frac{\sigma_1^2}{M_{Pl}} \bar{Q}_L Q_R + k_i \frac{\sigma_2}{M_{Pl}} \bar{L}_i H E_R + k_{ij;bc} \frac{\sigma_i \sigma_j}{M_{Pl}} \bar{E}_{bL} E_{cR}\end{aligned}$$

- Lowest dimension operators violating  $U(1)_{PQ_2}$ , and  $U(1)_{PQ_3}$

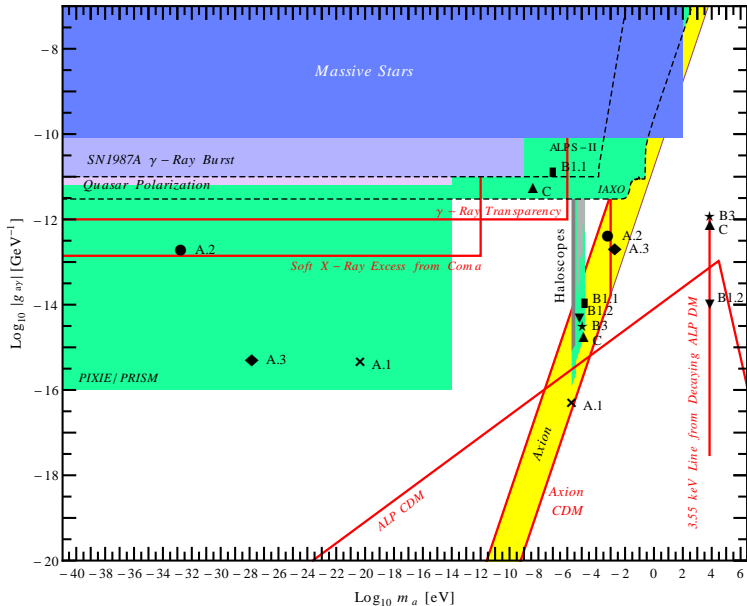
$$\mathcal{L} \supset \frac{g}{M_{Pl}^5} (\sigma_2)^9 \implies m_{a_2} \simeq 10^{-7} \text{eV} |g|^{1/2} \left( \frac{v_2}{10^9 \text{GeV}} \right)^{7/2}$$

$$\mathcal{L} \supset \frac{g'}{M_{Pl}^3} (\sigma_3)^7 \implies m_{a_3} \simeq 7.1 \text{keV} |g'|^{1/2} \left( \frac{v_3}{1.8 \times 10^9 \text{GeV}} \right)^{5/2}$$

- For  $v_1 = 9 \times 10^{11} \text{ GeV}$ ,  $v_2 = 4 \times 10^9 \text{ GeV}$ ,  $v_3 = 3 \times 10^9 \text{ GeV}$

$f_A$ [GeV]	$m_A$ [eV]	$m_{a_2}$ [eV]	$m_{a_3}$ [eV]	$ g_{A\gamma} $ [GeV] $^{-1}$	$ g_{a_2\gamma} $ [GeV] $^{-1}$	$ g_{a_3\gamma} $ [GeV] $^{-1}$	$ g_{Ae} $	$ g_{a_2e} $	$ g_{a_3e} $
$4.5 \times 10^{11}$	$1.3 \times 10^{-5}$	$4.0 \times 10^{-9}$	$7.1 \times 10^3$	$1.9 \times 10^{-15}$	$5.8 \times 10^{-12}$	$7.7 \times 10^{-13}$	0	0	0

# $Z_{11} \otimes Z_9 \otimes Z_7$ model with axion and two photophilic ALPs.



[AD, Machado, Nishi, Ringwald, Vaudrevange, 1403.5760]

- Discrete symmetries can protect the solution of the strong CP problem and axion/ALP masses.
- Plenitude of hidden complex scalar fields in certain compactifications in string theory [Witten 84; Cicoli, Goodsell, Ringwald 12], with a multitude of discrete symmetries exact at the perturbative level.
- Models with intermediate scales in the range  $10^9 \text{ GeV} \lesssim f_A, f_a \lesssim 10^{13} \text{ GeV}$ , can have couplings  $g_{A\gamma}, g_{a\gamma}$  and masses  $m_A, m_a$  in which the axion is a dark matter candidate, also with ALPs explaining:
  - Anomalous transparency of the Universe for VHE photons.
  - Soft X-ray excesses from coma cluster.
  - 3.55 keV line from Andromeda and galaxy clusters.
- Upcoming experiments with Haloscopes, Light-shinning-through-a-wall experiments, Helioscopes can probe models with intermediate scales for axion/ALP.