



Enabling Grids for E-science

Grant Agreement, Consortium Agreement and Joint Research Units

Anna Cook

EGEE-II / EGEE II Transition Meeting
CERN, Geneva 6-7 May 2008

www.eu-egee.org



EGEE-III INFSO-RI-222667

- Governed by the rules of the 7th Framework Programme (FP7)
- Terminology changes:
 - Contract → **Grant Agreement**
 - Contractor → **Beneficiary**
 - Form C → **Financial Statement**
 - Audit certificate → **Certificate on Financial Statement**
 - Instrument → **Funding Scheme**
- CERN: coordinator of the project:
 - Liaises with European Commission (EC) and Beneficiaries
 - Coordinates Grant Agreement and Consortium Agreement
 - Monitors all reporting (deliverables, milestones) and other obligations towards EC
- CERN, Beneficiaries and Joint Research Unit members form the EGEE-III Consortium



- Defines the agreement between the European Commission and the Consortium to carry out the project.
- It includes:
 - Duration of the project: 24 months from 1 May 2008
 - Maximum community financial contribution: 32,000,000 Euro
 - Reporting periods:
 - P1: Month 1 to 12 (May 2008 to April 2009)
 - P2: Month 13 to end of the project (May 2009 to end of project)
 - Pre-financing (80% of Max Community Financial Contribution) and Guarantee fund (5% of Max CFC) – will be covered in detail by Dita Mocova in the next presentation
 - Special clauses
- Note: The administration of the JRU is not included in the EC budget since JRU are foreseen to exist for multiple projects

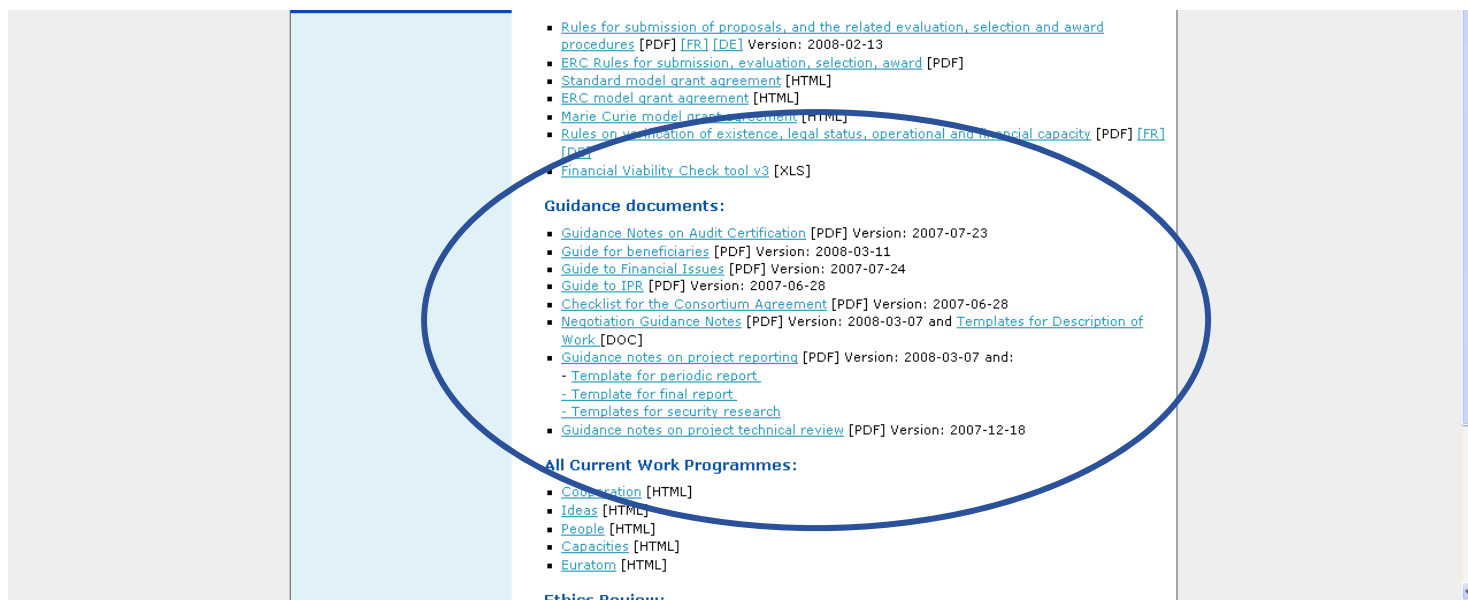
- Signed by the EU and CERN, coordinator on behalf of the Consortium
 - A forms: partners “accede to the contract” within 45 days of “entry into force” of the Grant Agreement – article 1.2 of core GA
 - “Entry into force”: **Article 11 – Entry into force of the *grant agreement***
 - This *grant agreement* shall enter into force after its signature by the *coordinator* and the *Commission*, on the day of the last signature.
 - 3 A forms signed by beneficiary, counter-signed by the coordinator, CERN:
 - One copy sent to EC
 - One copy returned to the Beneficiary
 - One copy kept by coordinator

- Core Grant Agreement
- Annex I (Description of Work)
- Annex II (General conditions)
- *Annex III (specific provisions) for TransNational Access only – N/A*
- Annex IV (Form A)
- Annex V (Form B - accession of new beneficiary)
- Annex VI (Form C – Financial Statement)
- Annex VIII (Forms D and E, re. certificate on financial statement / methodology)

- Special clauses:
 - 2: International Organisations
 - 9: Beneficiaries with costs incurred in relation to the project but no EC contribution
 - 10: Third parties linked to a beneficiary
 - 19: Limitation of indirect costs for integrating activities/infrastructure and preparatory phase
 - 27: Bank account specifically linked to the project



http://cordis.europa.eu/fp7/find-doc_en.html



- Article 1.4 of the core Grant Agreement: The beneficiaries are deemed to have concluded a *consortium agreement*
- Defines the roles, responsibilities, procedures and other legal aspects of the Consortium's operation
- The CA is an agreement between all actors in the project
 - **Coordinator, beneficiaries and JRU members**
 - **Lead beneficiary + its JRU members = a party as a whole**
 - **All manpower and budget allocation are included in the CA**
- The CA is complemented by internal JRU agreements which govern their internal structure

- Articles include:
 - **Definition of the roles and responsibilities of**
 - **Coordinator**
 - **Federations**
 - **boards (PMB, CB, AMB, TMB)**
 - **Parties**
 - **How funding and payments will be made**
 - **Provisions for Access rights (licences and users rights to foreground or background)**
 - **how publications are to be managed**

- **Clauses on:**
 - **Confidentiality**
 - **Liability, Default and termination, Force Majeure**
 - **Hiring/secondment of personnel**
 - **Compliance with applicable laws**
 - **Equipment made available**
 - **No partnership or agency**
 - **Assignment**
 - **Withdrawal/accession**
 - **Survival of articles**
 - **Settlement of disputes**
 - **Governing law, amendments**

- Annexes
 - 1: Grant agreement (description of work)
 - 2: Consortium budget
 - 3: Manpower table, full budget table (beneficiaries AND JRU members) and manpower schemes declaration
 - 4: List of federations
 - 5: Reporting procedures
 - 6: Access rights
 - 7: Escalation procedure for dealing with unresponsive Parties
 - 8: List of affiliates (any legal entity under the direct or indirect control of a beneficiary)

- All Beneficiaries and Joint Research Unit members abide by the terms of this agreement
- Signed by Beneficiaries (mandatory) and JRU members (optional)
- Lead Beneficiaries of JRU are responsible for ensuring the work of the JRU gets done

<u>MONTHLY</u>	<u>QUARTERLY</u>	<u>PERIODICALLY</u>
<p>Individuals submit Timesheets</p>	<p>Institutes submit Internal Financial statements</p>	<p>Institutes submit Financial statements and certificates on financial statements (where applicable)</p>
<p>IMPORTANT THAT DEADLINES ARE MET – MAY DELAY PAYMENTS BY THE EC TO ALL PARTIES</p>		
<p>Updates for PD and PMB</p>	<p>Effort Reports Financial Status</p>	<p>Periodic Management Reports (effort tables and financial data)</p>

Reporting procedures are described in Annex 5 of Consortium Agreement.

- Project Office:
 - **Supports the management of the project**
 - **Oversees the administration of the project, relations between partners, related projects**
 - **EGEE major event organisation support**
 - **Hosts NA2 management staff, for close interaction with project management**
 - **Submits deliverables, project reports to the EU**
- France Couchoux and Marie-Laure Bourgeois Schutz:
 - **Registration team, general admin, EDMS and email lists**
- Kristina Gunne, France Couchoux:
 - **PA to directors, event organisation**
- Anna Cook, Dita Mocova:
 - **Administration**
- Sarah Purcell (to July)/hiring in progress, Anne-Lise Goisset, Danielle Venton (from 1 June)
 - **Dissemination (NA2)**

- AFC
 - **Monitors all administrative and financial actions (Official documents, reporting timelines, amendments, etc.)**
 - **Representatives nominated by federations beneficiaries**
 - **Meet quarterly or as required, face to face at major project events**
- Asia: Jenifer Su, Stella Shen, Vicky Huang
- Benelux: Arjen Van Rijn
- CERN: Anna Cook, Dita Mocova
- Central Europe: Katerina Hoskova
- DECH: Christoph Witzig, Peter kunszt
- Italy: Giorgio Maggi and Alessandra Cassoto
- France: Tania di Gioia (EGEE-III) until a person is hired.
- Northern: Katri Kulju
- South East Europe: Anna Loukakou, Fotis Karayannis
- South West Europe: Mari Carmen Porto
- Russia: Slava Ilyin
- UK/I: Claire Devereux, Robin Middleton
- US: Miron Livny