

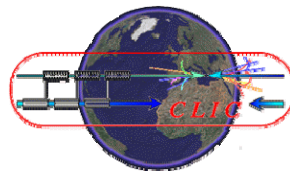
Intra-beam Scattering Studies for the CLIC damping Rings Status and Plan

Michel Martini, CERN

CLIC Workshop

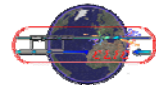
CERN, October 14-17, 2008

Injectors and Damping rings Working Group



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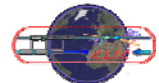
Plan for CLIC Damping Ring IBS studies

➤ The aim

- Estimate the equilibrium beam emittance in the CLIC damping rings for intra-beam scattering (IBS) and radiation damping dominated emittances

➤ The issue

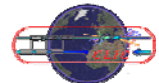
- From the material collected in the IBS mini-workshop at Cockcroft Institute (Aug 07) classical and novel approaches for IBS and related computer codes have been reviewed
- Research of schemes to compute the equilibrium phase space distribution in lepton storage rings in the case of strong IBS is a challenge for CLIC performance
 - » Conventional Gaussian-beam models, Fokker-Planck approach for arbitrary distributions, molecular dynamics method for particle-particle interaction ...
 - » No ready to use solution clearly exists to quantify the effect of IBS for non-Gaussian beams in the presence of radiation damping
 - » Development efforts on IBS in progress in theory and numerical tools



Plan for CLIC Damping Ring IBS studies

➤ CLIC damping ring and IBS considerations (in brief)

- Beam parameters: energy 2.424 GeV, bunch population 4.1×10^9 , max. extracted hor/ver & longitudinal normalized emittances 550/5 nm & 5000 eVm
- Presently IBS growth times calculations are based on the modified Piwinski formalism
- Numerical/analytical approach for effect of strong IBS yielding non-Gaussian tails with radiation damping not available so far (codes handling non-Gaussian beams exist but do not include the damping effect of wigglers)



Plan for CLIC Damping Ring IBS studies

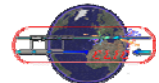
➤ Toward a solution: explore other ways to solve the IBS problem

1. *Theory & numerical tools*: P. Zenkevich et al.

- Use existing codes, e.g. MOCAC “MONte CARlo Code” for simulations
 - » “Kinetic effect in multiple intra-beam scattering”,
P. Zenkevich, O. Boine-Frankenheim, A. Bolshakov

2. *Theory*: C. Benedetti et al.

- Investigate for a stochastic-diffusion approach of IBS beyond the conventional models
- “Time series analysis of Coulomb collisions in a beam dynamic simulation”,
C. Benedetti, G. Turchetti, A. Vivoli
 - » IBS theory can be based on the Landau collision integral yielding collision effects in a mean field framework as a stochastic process. Data obtained from integration of the equation of motion for a 2D-model of transverse beam dynamics are analyzed, and a suitable stochastic process is added to the mean field equations to describe the dynamics
- “Collisional effects in high intensity beams”, C. Benedetti, COULOMB’05
- “Models of anomalous diffusion based on Continuous Time Random Walk”,
A. Vivoli, PhD thesis, 2006



Kinetic analysis of IBS (1) P. Zenkevich et al.

➤ FPE in coordinate-momentum space

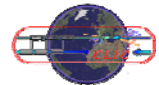
- The evolution of the beam distribution (**coordinate-momentum** space) induced by IBS (multiple small angle Coulomb scattering) is based on the solution of a FPE.
- Introducing the beam distribution $\Phi(\mathbf{r}, \mathbf{p}, t)$, the **friction** and **diffusion** terms $F(\mathbf{r}, \mathbf{p}, t)$ and $D(\mathbf{r}, \mathbf{p}, t)$ (F and D are averaged over the field particles, denoted by $\bar{}$) and the Coulomb logarithm L_C , the FPE in 6D phase-space can be written as

$$\vec{r} = \begin{pmatrix} z - z_s \\ x \\ y \end{pmatrix} \quad \vec{p} = \begin{pmatrix} \gamma^{-1} \frac{\Delta p}{p} \\ x' = p_x/p \\ y' = p_y/p \end{pmatrix} \quad \frac{\partial \Phi}{\partial t} = - \sum_m \frac{\partial}{\partial p_m} (F_m \Phi) + \frac{1}{2} \sum_{m,m'} \frac{\partial^2}{\partial p_m \partial p_{m'}} (D_{m,m'} \Phi)$$

- The **friction** force due to IBS and the **diffusion** coefficients can be cast into the form

$$\vec{F} = - \frac{2\pi c r_0^2}{\beta^3 \gamma^5} \int L_C(\vec{p}, \vec{p}') \frac{\vec{p} - \vec{p}'}{|\vec{p} - \vec{p}'|^3} \Phi(\vec{p}, \vec{p}', t) d^3 \vec{p}'$$

$$\bar{D}_{m,m'} = \frac{\pi c r_0^2}{\beta^2 \gamma^5} \int L_C(\vec{p}, \vec{p}') \frac{\delta_{m,m'} |\vec{p} - \vec{p}'|^2 - (p_m - p'_m)(p_{m'} - p'_{m'})}{|\vec{p} - \vec{p}'|^3} \Phi(\vec{p}, \vec{p}', t) d^3 \vec{p}'$$



Kinetic analysis of IBS (1) P. Zenkevich et al.

➤ FPE in invariant space

- The 6 variables in the FPE in coordinate-momentum space can be reduced to 3 by reformulation in the space of invariants: energy (for the longitudinal motion) and Courant-Snyder invariants (for the transverse motion)
- Using the **action-angle** variables J_m, Ψ_m , components of the invariant and phase vectors, yielding the Courant-Snyder invariant :

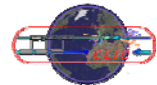
$$J_m = \gamma_m \tilde{r}_m^2 + 2\alpha_m \tilde{r}_m \tilde{p}_m + \beta_m \tilde{p}_m^2$$

- Particle coordinate-momentum are expressed via the action-angle variables

$$\tilde{\vec{r}} = \begin{pmatrix} z - z_s \\ x - D_x \Delta p / p \\ y \end{pmatrix} \quad \tilde{\vec{p}} = \begin{pmatrix} \frac{1}{\gamma} \frac{\Delta p}{p} \\ x' - D'_x \Delta p / p \\ y' \end{pmatrix} \quad \begin{aligned} \tilde{r}_m &= \sqrt{\beta_m J_m} \cos \psi_m \\ \tilde{p}_m &= -\frac{\alpha_m}{\beta_m} \tilde{r}_m - \sqrt{\frac{J_m}{\beta_m}} \sin \psi_m \end{aligned}$$

- $\alpha_{2,3}, \beta_{2,3}, \gamma_{2,3}$ are the Twiss parameters, $\alpha_1=0, \beta_1=1; \gamma_1=0$ for coasting beams and $\gamma_1=Q_s^2/\gamma^2 [(\gamma^2 - \gamma_t^2)R]^2$ for bunched beams
- For uniform phase distributions over $[0, 2\pi]$ the FPE can be written as

$$\frac{\partial \Phi}{\partial t} = -\sum_m \frac{\partial}{\partial J_m} (\tilde{F}_m \Phi) + \frac{1}{2} \sum_{m,m'} \frac{\partial^2}{\partial J_m \partial J_{m'}} (\tilde{D}_{m,m'} \Phi)$$



Kinetic analysis of IBS (1) P. Zenkevich et al.

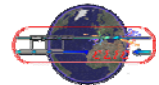
➤ Solution of the FPE

- The beam distribution Φ and the coefficients in the FPE depend on the 3 invariants J_m and t . The FPE coefficients can be expressed as follows, with **friction** and **diffusion** kernels K_m^F and $K_{m,m}^D$

$$\tilde{F}_m(\vec{J}, t) = -\frac{2\pi cr_0^2}{\beta^3 \gamma^5} \int \tilde{K}_m^F(\vec{J}, \vec{J}') \Phi(\vec{J}, t) d^3 \vec{J}'$$

$$\tilde{D}_{m,m'}(\vec{J}, t) = \frac{\pi cr_0^2}{\beta^3 \gamma^5} \int \tilde{K}_{m,m'}^D(\vec{J}, \vec{J}') \Phi(\vec{J}, t) d^3 \vec{J}'$$

- Classical grid based methods for the numerical solution of the FPE are too difficult to put into practice
- A convenient method to solve the FPE is to use the “Binary collisions” map model (**BCM**)
- An approximate model (**AM**) of the FPE was derived to reduce the macro-particle number presuming that most of the IBS interactions happen in the beam core
 - » **AM** supposes (i) Gaussian beams, (ii) constant components of the **diffusion** coefficients and **friction** kernel, (iii) constant Coulomb log
 - » The **AM** of the FPE is solved by means of the Langevin equation
 - » The **AM** usually needs only $\sim 10^2$ to 10^3 macro-particles, instead of more than 10^4 macro-particles for the **BCM** model



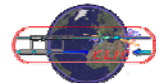
Kinetic analysis of IBS (1) P. Zenkevich et al.

➤ Solution of the FPE with BCM multi-particle algorithm

- **BCM** is implemented in the **MOCAC** code (MOnTe-CARlo Code) for IBS simulations
 - » For two colliding macro-particles the “collision angle” $\Psi^{i,j}$ is computed and the momentum change of each interacting particle is derived (ρ_0 is the particle density)

$$\sin\left(\frac{\Psi^{i,j}}{2}\right) = \sqrt{\frac{2A\rho_0 L_C^{i,j} \Delta t}{N|\vec{p}^i - \vec{p}^j|^{3/2}}}$$

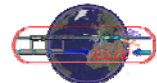
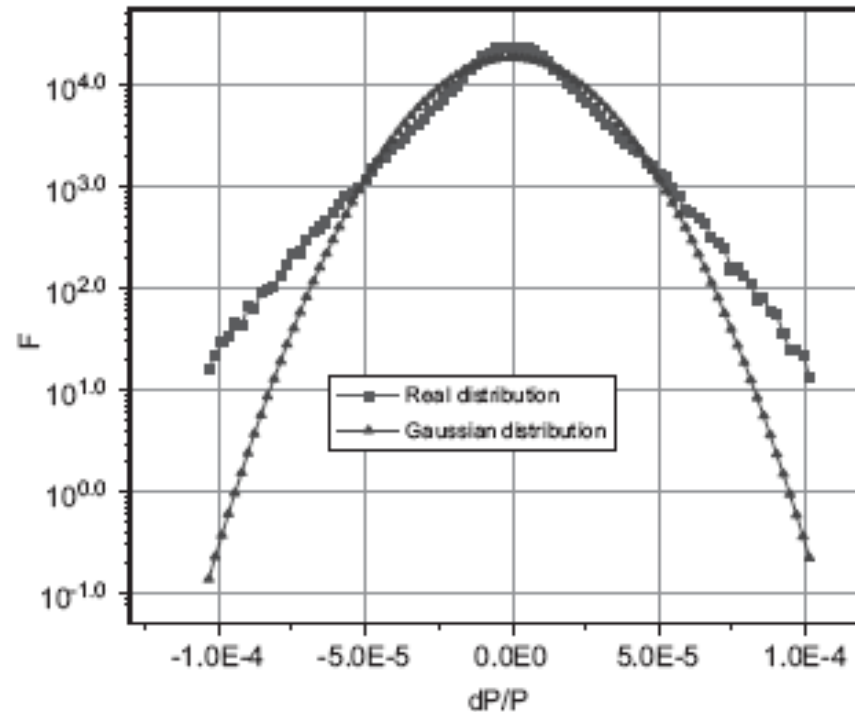
- The beam volume is divided into cells on a grid. The algorithm over time Δt is :
 - » Form an initial macro-particle set with random phases and compute the particle momenta and coordinates (the beam is characterized by a set of macro-particles with given invariants)
 - » Allocate particles in cells and link a particle to each particle in the cell
 - » Compute the collision map in each cell for each particle
 - » Derive the new invariant and check the boundary conditions
 - » Collect the final macro-particle set
- Besides IBS **MOCAC** includes further processes: electron cooling, target interactions ... but **radiation damping is missing**



Kinetic analysis of IBS (1) P. Zenkevich et al.

➤ Results of numerical IBS modeling for HESR ring (GSI)

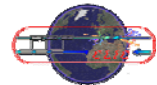
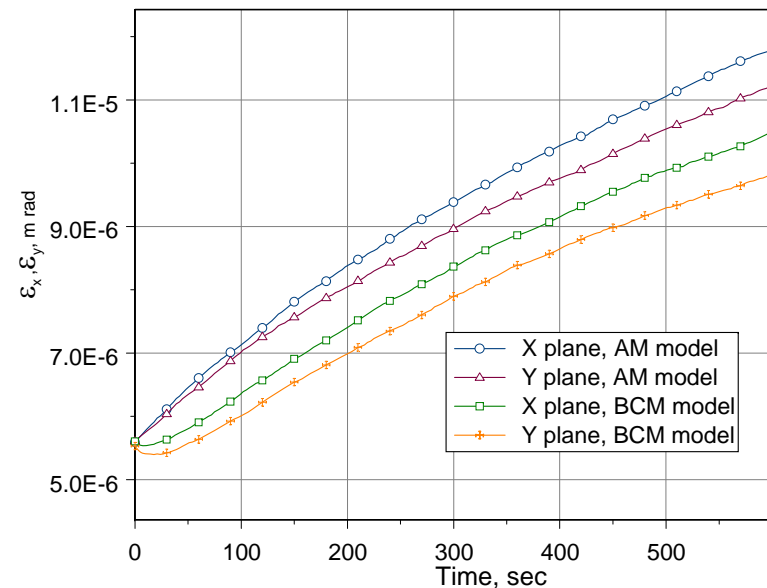
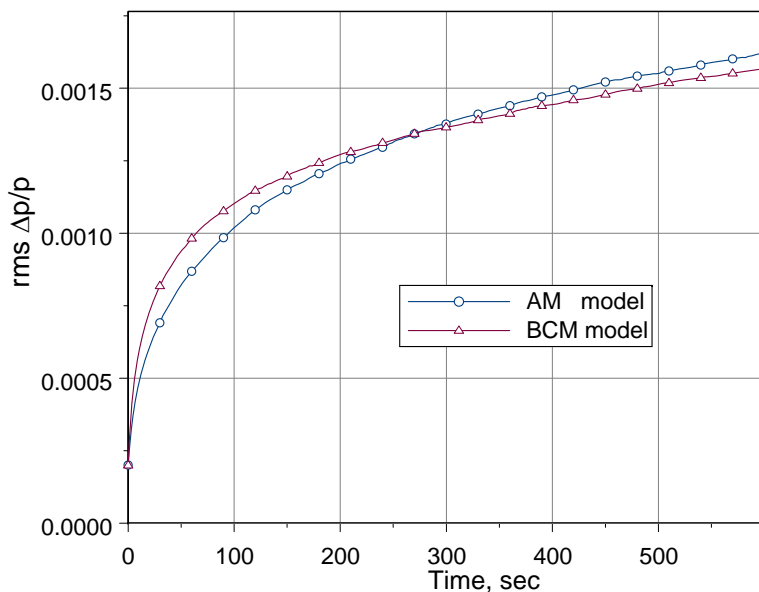
- Study of the formation of non-Gaussian beam tails
- Beam momentum distribution computed using MOCAC in the presence of IBS, e^- cooling and beam target interaction dependence on equilibrium r.m.s. momentum spread. The tails appear to be mostly due to IBS



Kinetic analysis of IBS (1) P. Zenkevich et al.

➤ Results of numerical IBS modelling for TWAC storage ring (ITEP)

- Time-evolution of the r.m.s. momentum spread and beam emittances for Al_{27}^{+13} coasting ion beams at 620 MeV/u (10^{12} ions)
- Simulations parameters : 20000 macro-particles, 0.3 s time-step, 38 azimuthal points, 100 transverse cell (BCM model)
- Approximate model (AM) results are very close to those obtained using the “binary collision” map (BCM)
- AM results also match the results of the Bjorken-Mtingwa model



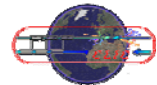
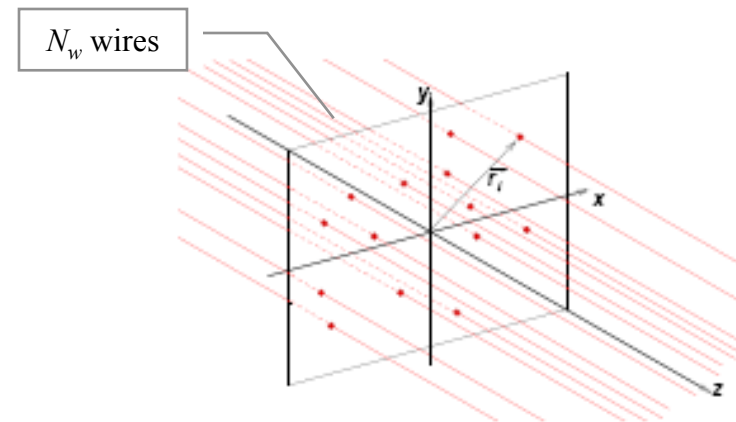
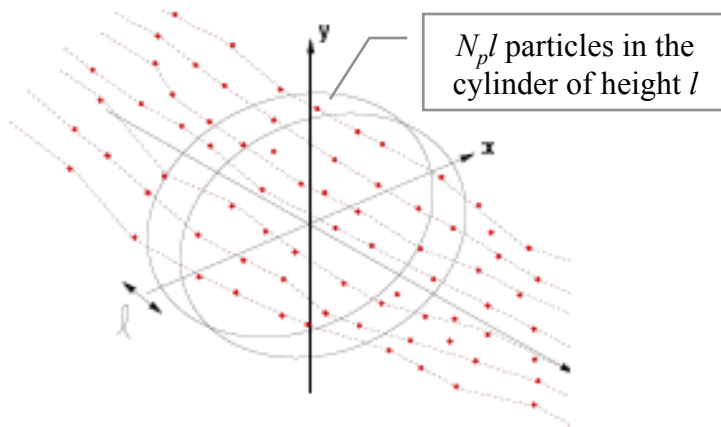
Kinetic analysis of IBS (2) C. Benedetti et al.

➤ Coulomb oscillators (2D model)

- Coulomb interaction effects (**space charge**) significant for intense protons or ions beams at intermediate energies: **non-relativistic** energies are assumed
- **Very long bunches** are supposed to rotate in storage rings: **coasting beams** are assumed (2D model)
- Consider a coasting beam with N_p charged particles per unit length, R_B is the mean beam radius, the mean particle density is $\rho_B \sim N_p (\pi R_B)^{-1}$.
- Define $l \sim \rho_B^{-1/3}$ and associate a charged “wire” to each particle in a cylinder of radius R_B and height l , the number of wires N_w is

$$N_w = \pi^{1/3} (N_p R)^{2/3} \equiv N_p l$$

- $N_w \sim 10^6$ for $N_p \sim 10^{11}$ particles per unit length and $R_B \sim 10$ mm. Only $\sim 10^4$ “wires” can be simulated in practice, so **scaling laws** are needed to make the right extrapolations



Kinetic analysis of IBS (2) C. Benedetti et al.

➤ Equation of motion

- The (non-relativistic) Hamiltonian describing the transverse dynamics of the oscillators system is, using the logarithmic potential

$$H = \sum_{i=1}^N \frac{\vec{p}_i^2 + \omega_0^2 \vec{r}_i^2}{2} + \frac{\xi}{N_w} \sum_{1 \leq i < j \leq N_w} \log |\vec{r}_i - \vec{r}_j|$$

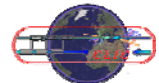
- \vec{r}_i, \vec{p}_i are the position and momentum of the i^{th} “wire” (refer to as particles), ω_0 is the phase advance per meter, ξ the perveance, N_w the number of particles
- Changing N_w changes the collisionality level (**scaling laws**)

➤ Landau's equation

- The collisions (IBS) can be introduced in a **mean field** framework and modeled as a random process as long as they are instantaneous, frequent and soft.
- In the mean field framework the evolution of a **collisionless** single particle (“wire”) phase space distribution $\Phi(\vec{r}, \vec{p})$ (assumed to be continue) is defined by the Vlasov-Poisson equations

$$\frac{\partial \Phi}{\partial s} + [\Phi, H] = 0$$

$$\Delta U(\vec{r}) = -4\pi \int \Phi(\vec{r}, \vec{p}) d\vec{p} \quad \text{where } U = - \iint \log |\vec{r} - \vec{r}'| \Phi(\vec{r}', \vec{p}) d^2 \vec{r}' d^2 \vec{p}$$



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- The (test) particle momentum change is

$$\Delta \mathbf{p} = -(\partial H / \partial \mathbf{r}) \Delta s + \Delta_c \mathbf{p} \quad (\text{with } \Delta s \sim v_0 \Delta t),$$

- The first term is due to the **mean field**, the second to **collisions** (IBS) and is assumed to be a Wiener (i.e. Gaussian) stochastic process
- Hence, the evolution of the single (test) particle (“wire”) phase space distribution $\Phi(\mathbf{r}, \mathbf{p})$ is the solution of the Vlasov-Poisson-Fokker-Planck-Landau equation (VPFPL)

$$\frac{\partial \Phi}{\partial s} + [\Phi, H] = -\sum_{m=1}^2 \frac{\partial}{\partial p_m} (F_m \Phi) + \frac{1}{2} \sum_{m,n=1}^2 \frac{\partial^2}{\partial p_m \partial p_n} (D_{m,n} \Phi)$$

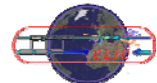
- $F(\mathbf{r}, \mathbf{p})$ and $D(\mathbf{r}, \mathbf{p})$ are the **friction** (or drift) and **diffusion** coefficients (averaged over the field particles)

$$F_m = \left\langle \frac{\Delta_c p_m}{\Delta s} \right\rangle \quad D_{m,n} = \left\langle \frac{\Delta_c p_m \Delta_c p_n}{\Delta s} \right\rangle$$

- The **friction** term can be rewritten as

$$\vec{F} = -\frac{N_w}{2} \int d\vec{p}' \Phi(\vec{r}, \vec{p}') (\sigma^{(0)} - \sigma^{(1)}) |\vec{p} - \vec{p}'| (\vec{p} - \vec{p}') \quad \text{with } \sigma^{(k)} = \int \frac{d\sigma}{d\theta} \cos^k \theta d\theta$$

- $d\sigma/d\theta$ is the cross section for a 2D binary collision between particles (“wires”) and θ is the scattering angle

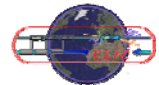


Kinetic analysis of IBS (2) C. Benedetti et al.

➤ Simulations

- A. Direct numerical integration of the Hamilton's equations of a 2D system of particles describing the transverse dynamics of the beam has been done
- B. Numerical simulations via the mean field equations with the addition of a Wiener process in order to model Coulomb collisions has been done
- C. The data of both simulations have been compared, analysing the found differences as a time series of a stochastic process describing the Coulomb collisions between the particles
- D. Replacement of the Wiener process in the mean field equations by a non-Gaussian stochastic process to model the Coulomb collisions has been investigated (A. Vivoli)

Further studies are needed to find a full theory of the stochastic process describing Coulomb collisions in more general cases



Appendix: workable non-Gaussian distributions for IBS ?

➤ Beam phase-space distributions

- Gaussian model (Bjorken-Mtingwa, Piwinski)
 - » Gaussian phase-space distribution $p(x, x', y, y', \delta, s)$ expressed in terms of transverse and longitudinal phase-space coordinates writes (with $\delta = \Delta p/p$, N particle number)

$$p(x, x', y, y', \delta, s) = N \frac{\exp(-S^{(H)}(x, x') - S^{(V)}(y, y') - S^{(L)}(\delta, s))}{\int \exp(-S^{(H)}(x, x') - S^{(V)}(y, y') - S^{(L)}(\delta, s)) dx dx' dy dy' d\delta ds}$$

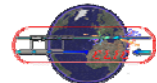
$$S^{(H)}(x, x') = \frac{I}{2\varepsilon_x} (\gamma_x x^2 + 2\alpha_x x x' + \beta_x x'^2)$$

$$S^{(V)}(y, y') = \frac{I}{2\varepsilon_y} (\gamma_y y^2 + 2\alpha_y y y' + \beta_y y'^2)$$

$$\varepsilon_x = \frac{\sigma_x^2}{\beta_x} \quad \varepsilon_y = \frac{\sigma_y^2}{\beta_y} \quad \sigma_\delta = \frac{\sigma_p}{p_0}$$

$$S^{(L)}(\delta, s) = \frac{\delta^2}{\sigma_\delta^2} + \frac{(s - s_\theta)^2}{2\sigma_s^2} \text{ (bunched beam)}$$

- Non-Gaussian model
 - » In the presence of non-Gaussian tail how would it be possible to substitute non-Gaussian to Gaussian distributions into the “classical” Gaussian model? (e.g. **L-stable distributions, quasi-polynomials distributions ...**)



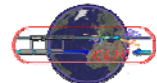
Appendix: workable non-Gaussian distributions for IBS ?

➤ L-stable distributions

- Characteristic function $\Pi(t)$ (no analytical $p(x)$ in general)
 - » L-stable laws $S(\alpha, \beta, \gamma, \mu)$ have parameters:
 - index tail $0 < \alpha \leq 2$,
 - skewness $-1 \leq \beta \leq 1$,
 - scale $\gamma > 0$ (determines the width)
 - location μ (determines the peak)
 - » $S(2, 0, \gamma, \mu)$ is a Gaussian law (with $\gamma = \sigma^2/2$, σ^2 is the variance)
 - » $S(1, 0, \gamma, \mu)$ is a Cauchy law
 - » For $\alpha < 2$ the variance is infinite; for $\alpha > 1$ the mean exists and is equal to μ

$$\log \Pi(t) = \begin{cases} i\mu t - \gamma |t|^\alpha \left(1 + i\beta(t/|t|) \tan(\pi\alpha/2)\right) & \alpha \neq 1 \\ i\mu t - \gamma |t|^\alpha \left(1 + 2i\beta(t/|t|) \log(t)/\pi\right) & \alpha = 1 \end{cases} \quad p(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-itx} \Pi(t) dt$$

- Tail behavior
 - » For $\alpha < 2$ the tails converge toward a Pareto law, i.e.
 - » $p(x) \sim x^{-1-\alpha}$ as $x \rightarrow \infty$, where $p(x)$ is the probability density function
 - » $\text{Prob}(X > x) = 1 - F(x) \sim x^{-\alpha}$ as $x \rightarrow \infty$, $F(x)$ being cumulative probability function of $p(x)$



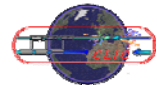
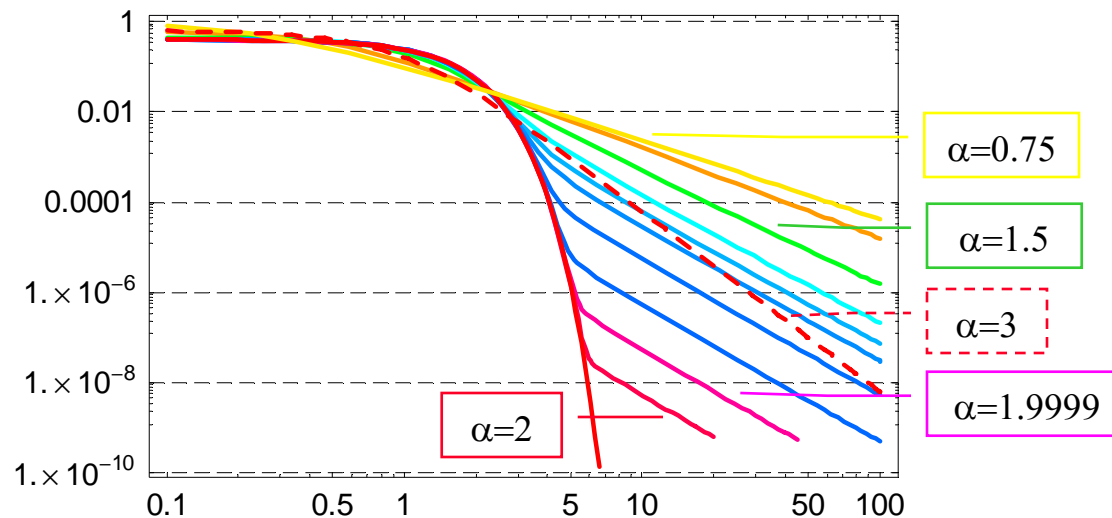
Appendix: workable non-Gaussian distributions for IBS ?

– Plots

- » Log-log plot of symmetric ($\beta=0, \mu=0$) L-stable distribution functions $p(x)$ for $\alpha=0.75, 1, 1.5, 1.8, 1.9, 1.95, 1.99, 1.999, 1.9999$ and 2 , with $\gamma=1/2$
- » Pareto power tails are clearly visible for $\alpha < 2$. The Gaussian ($\alpha=2$) tail decays as a parabola in the log-log plot
- » Laws converging asymptotically toward Pareto laws with $\alpha > 2$ have fat tailed character but are not L-stable, e.g. $p_\sigma(x)$ with variance σ^2

$$p_\sigma(x) = \frac{2\sigma^3}{\pi(x^2 + \sigma^2)^2}$$

- » $p_\sigma(x)$ falls off as $p_\sigma(x) \sim x^{-4}$ as $x \rightarrow \infty$ yielding a tail index $\alpha=3$ (red dotted line).



Appendix: workable non-Gaussian distributions for IBS ?

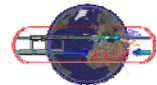
➤ Quasi-polynomials distributions (E. Métral, A. Verdier)

– Distribution with heavier tail than the Gaussian

- » Let $p(J_x, J_y)$ a bivariate distribution of “invariant-space” variables J_x, J_y extending up to 6σ (e.g. for truncated beam distributions due to collimation)
- » Suitably choosing the parameters a, b, n, p (with $n > 15, p < 15$, and $b = 18\sigma^2$) of $p(J_x, J_y)$ yields projected distributions $p_x(x)$ with fatter tails than a Gaussian (when approaching the cutoff point 6σ)
- » Tails of quasi-polynomials laws do not converge toward a Pareto law due to the truncated nature of the distributions

$$p(J_x, J_y) = a \left(1 - \frac{J_x + J_y}{b} \right)^n + d \left(1 - \frac{J_x + J_y}{b} \right)^p$$

$$p_x(x) = \frac{1}{9\pi(n-p)\sqrt{2b}} \left\{ \begin{array}{l} \frac{(n+2)(n+3)(15-p)(2^{n+1}(n+1)!)^2}{(2n+3)!} \left(1 - \frac{x^2}{2b} \right)^{n+\frac{3}{2}} \\ + \frac{(p+2)(p+3)(n-15)(2^{p+1}(p+1)!)^2}{(2p+3)!} \left(1 - \frac{x^2}{2b} \right)^{p+\frac{3}{2}} \end{array} \right.$$



Appendix: workable non-Gaussian distributions for IBS ?

– Plots

- » Left: Quasi-polynomials law tails $p(x)$ near 6σ for $n=16$ and $p=1, 2, 3, 4, 5, 6$, with $\sigma=1$. Close to the cutoff point 6σ the tails become slimmer than the Gaussian tail
- » Right: Semi-logarithm plot of the above distribution functions $p(x)$

