

PU mitigation at CMS

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On behalf of the CMS collaboration – JetMET group

Vienna JetMET Workshop – August 2014

PU at the LHC and CMS



PU is one of the hardest challenges for LHC Run II (~40 additional PU events expected)

PU additional activity in the event :

- overlaid over the products of the hard scattering process
- additional PU jets

Handles against PU :

- Detector signal reconstruction improvements
- Exploit all the possible discriminating variables in reconstructed events

A lot of new ideas and techniques thanks to Run I data, still room for improvement.

In this talk :

- Focus on jets
- Several methods (old and new) tested and compared
- Performances for Run II (dedicated simulation)
- Crosschecks on Run I data

Outline



Performances of jets reconstruction

- Charged Hadron Subtraction (CHS)
- PU JetID [[JME-13-005](#)]
- Grooming techniques [[JME-14-001](#)]
 - Trimming
 - Pruning
 - Soft Drop/Modified Mass Drop
- Other advanced techniques [[JME-14-001](#)]
 - Constituents subtraction
 - Cleansing
 - PUPPI

Charged Hadron Subtraction

Identify all (charged) particles in the event not coming from the PV (only in tracker acceptance, $|\eta| < 2.5$)

Remove them from further clustering in physics objects

Standard for RunI analyses

Tested on γ +jet 8TeV events simulation (PYTHIA6) and 2012 data ($\langle \text{PU} \rangle \sim 20$)

MC definition :

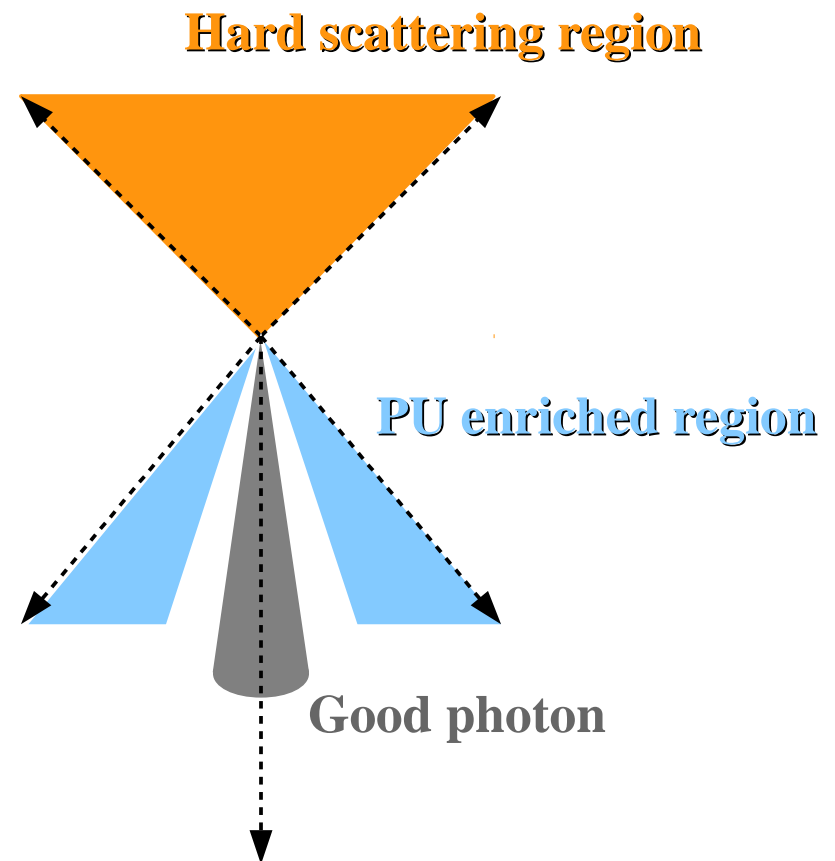
Jet is «**good**» (not PU) if **matched** to a generated jet ($p_T > 10$, $\Delta R < 0.25$)

→ Unmatched jets PU enriched

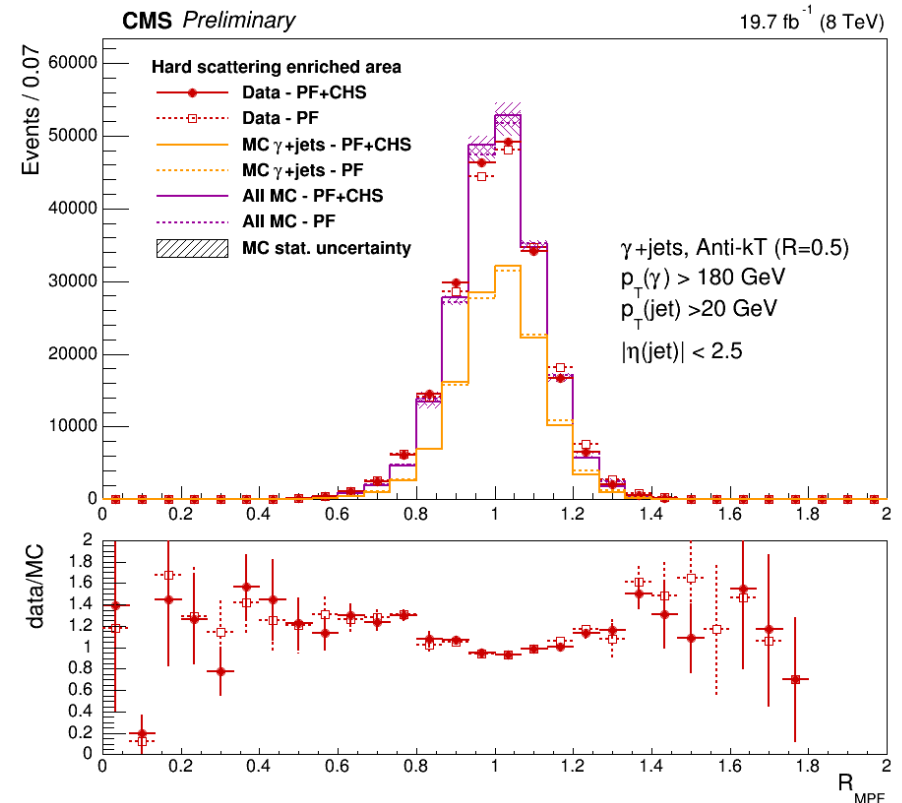
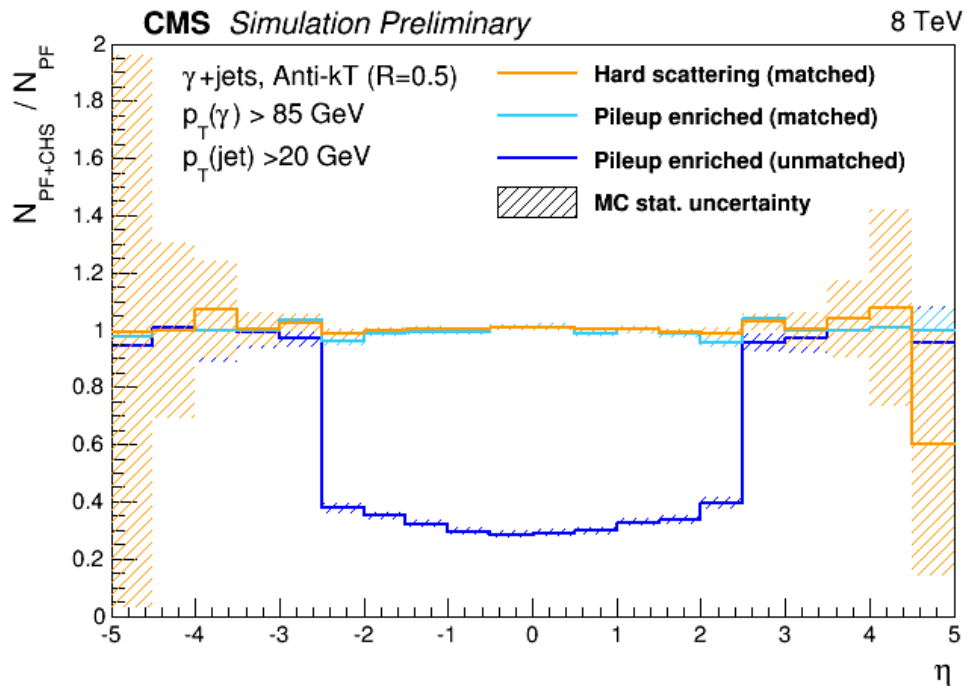
Event selection :

Hard scattering region ($|\Delta\phi(\text{jet}, \gamma)| > 3$)

PU enriched region ($|\Delta\phi(\text{jet}, \gamma)| < 1$)

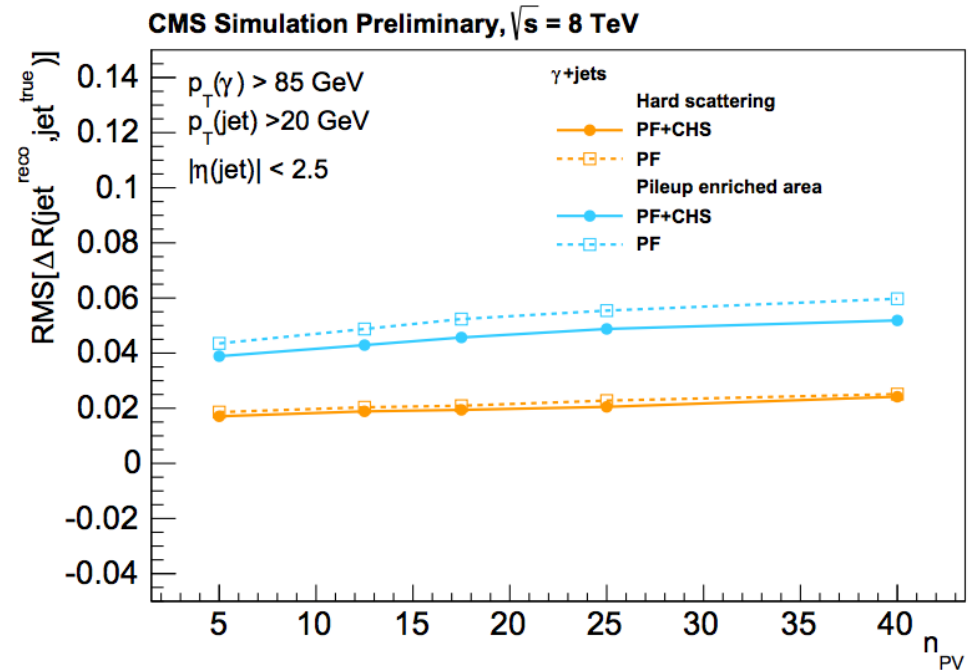
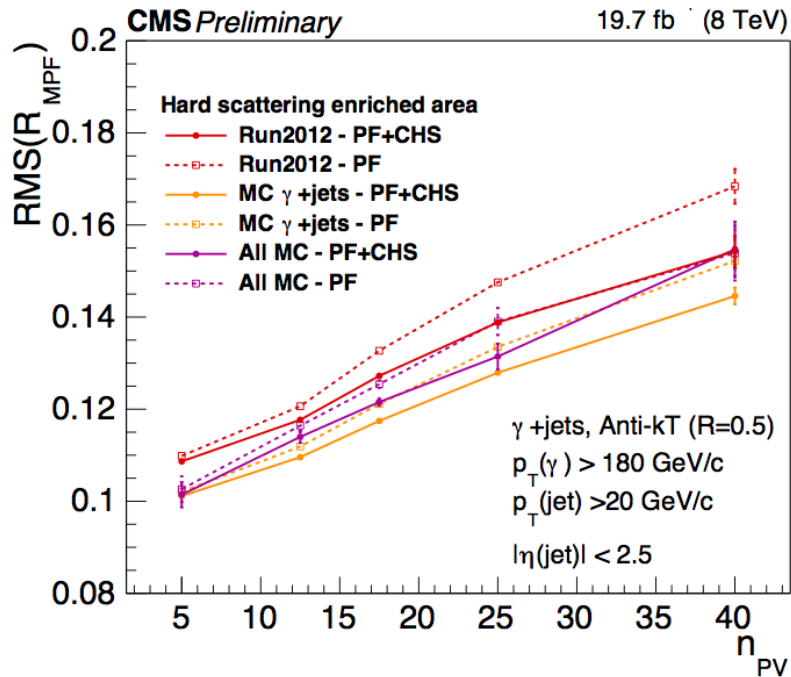


Charged Hadron Subtraction



- x3 PU rate reduction ($|\eta| < 2.5$)
- Almost no effect on hard scattering high p_T jets
- Reduces the rates of purely PU jets at low p_T

Charged Hadron Subtraction



- Reduces effect of PU on real jets, improving p_T and angular resolution
- Caveat : PU enriched area different p_T spectrum
- Small bias effect at the tracker edge affect mostly low p_T jets

PU JetID



PU JetID tags the jets entirely coming from PU :

- charged constituents not pointing to the PV – highly discriminant, but only available in the tracker acceptance
- constituents more diffuse – extend the discrimination power to the whole detector

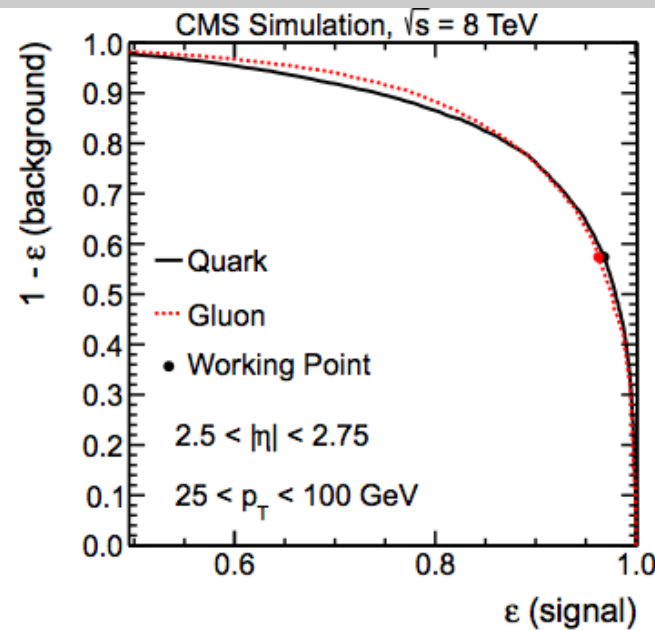
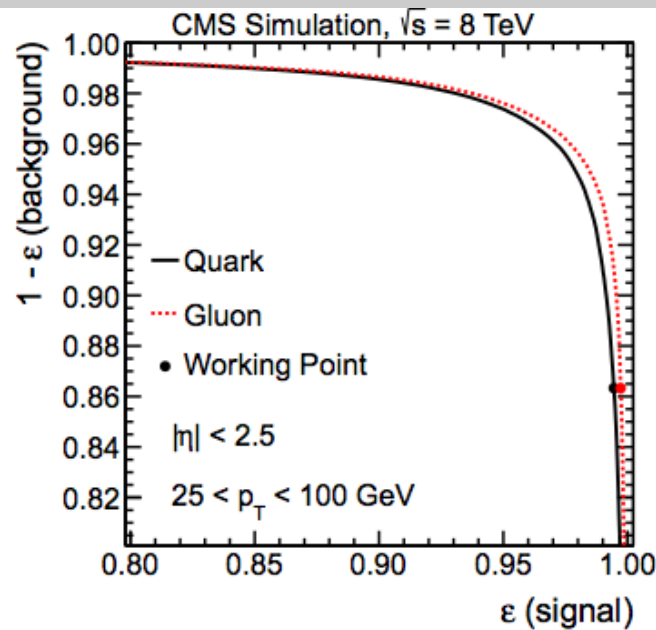
12 variables combined in a BDT :

- 4 vertexing related
- 8 shape related

Jet is **good** (not PU) if **matched** to a generator level jet ($p_T > 8$ GeV , $\Delta R < 0.25$)

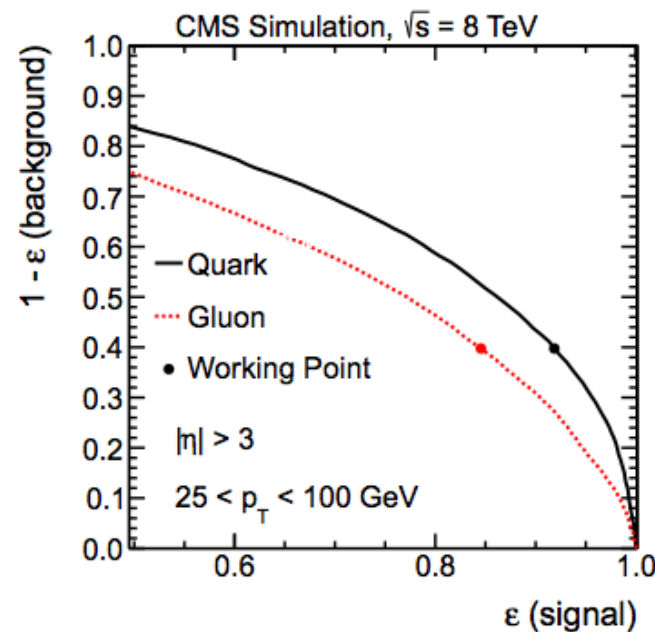
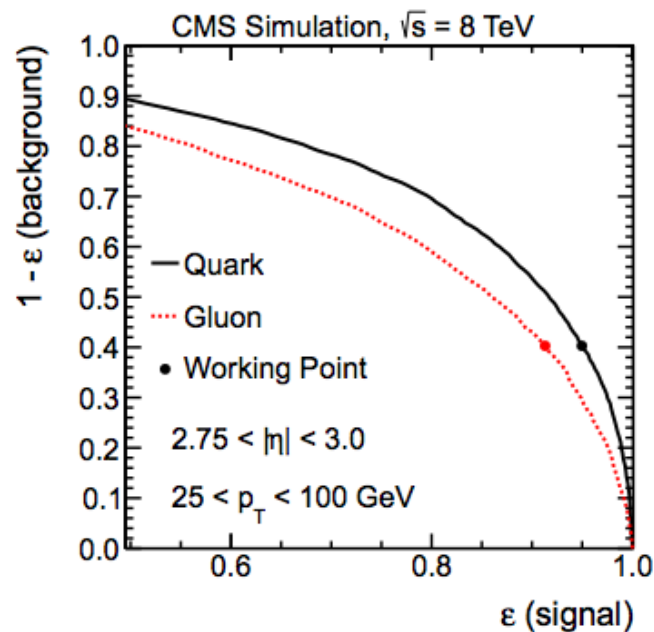
Performances tested on $Z \rightarrow \mu\mu$ events

PUJetID : performances



Central region:
 signal eff $\sim 99\%$
 bkg rej 90-95% ($30 < p_T < 50$)
 85% ($20 < p_T < 30$)

Endcap
 Signal eff 95%
 Bkg rej 70%(60%)



Fwd :
 Sig eff 90%(80%)
 Bkg rej 60%(40%)

Gluons :
 • Higher multiplicities
 • Wider, more uniform energy spread

Grooming



Systematic removal of jet constituents

Typically used to distinguish fat heavy jets from qcd ones

Reduces PU dependence of jet mass

Studied on fat jets ($R=0.8$)

In this study :

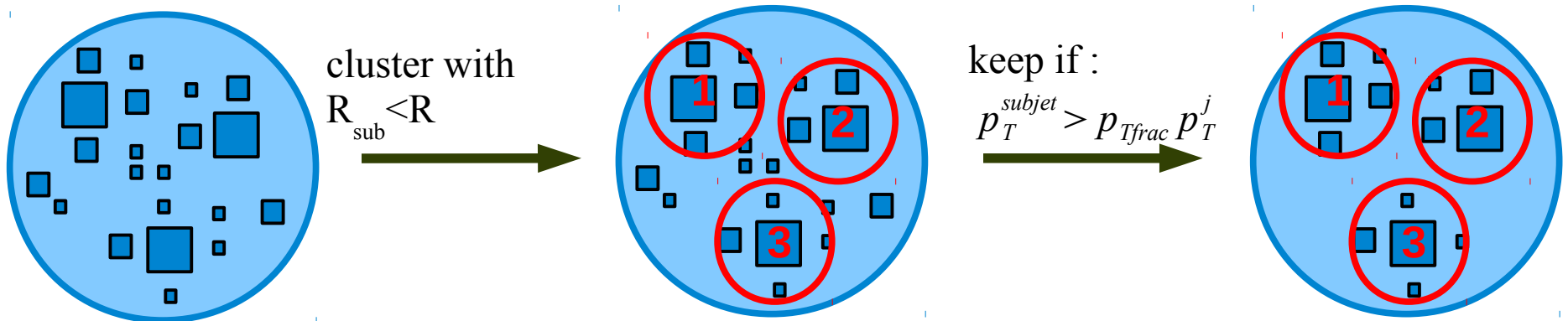
- Trimming
- Pruning
- Soft drop/Modified mass drop tagger

Evaluate the performances on simulation (13 TeV, $\langle \text{PU} \rangle = 40, 50\text{ns}$)

- Multijet (background) and RS graviton \rightarrow WW (signal) (PYTHIA8)
- Criteria for comparison :
 - \rightarrow Stability wrt PU
 - \rightarrow Jet mass reconstruction response and resolution

Check the data/simulation agreement on 8 TeV collisions

Trimming



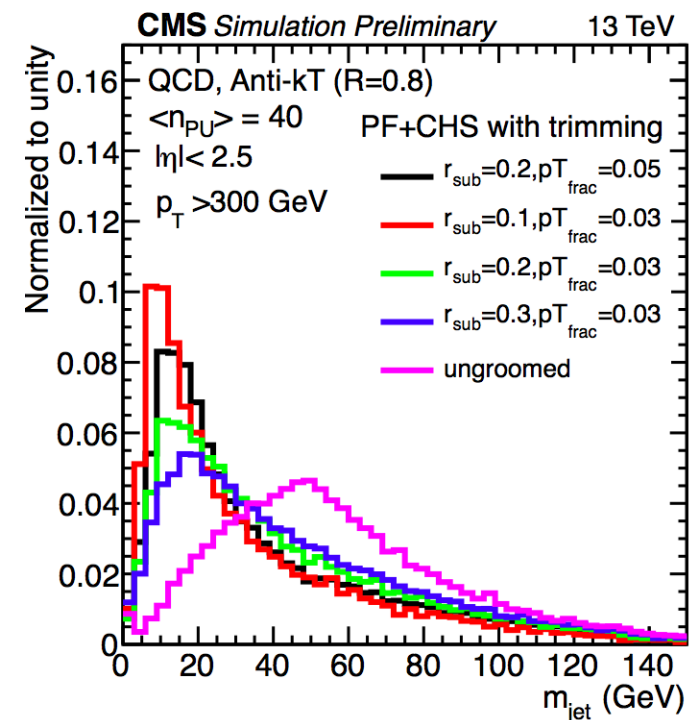
Keeps subjects over a dynamic pT threshold

- Reclusters constituents with anti-kT into subjects (R_{sub})
- Keep the constituents if :

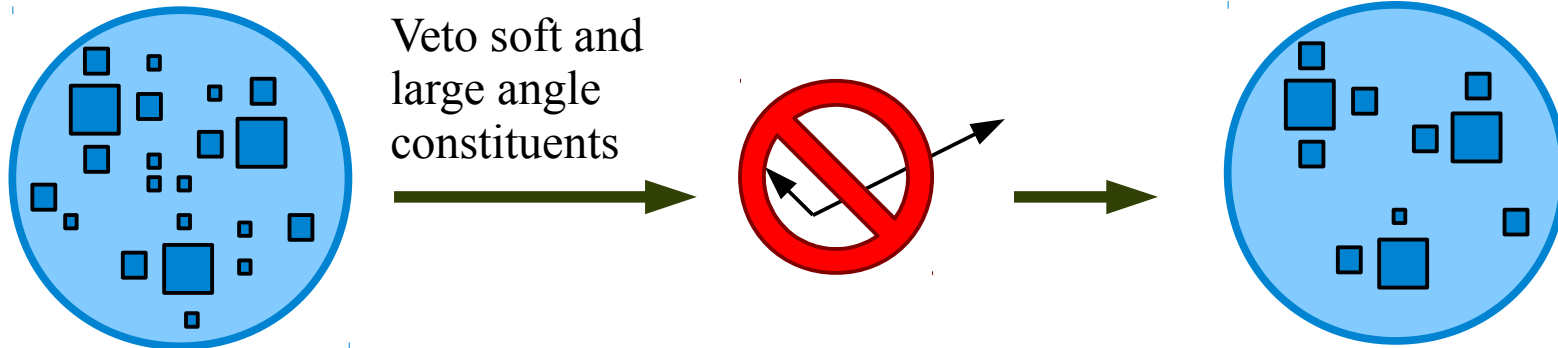
$$p_T^{\text{subject}} > p_{T\text{frac}}^j p_T^j$$

Parameters :

$R_{\text{sub}}, f_{\text{cut}}$



Pruning

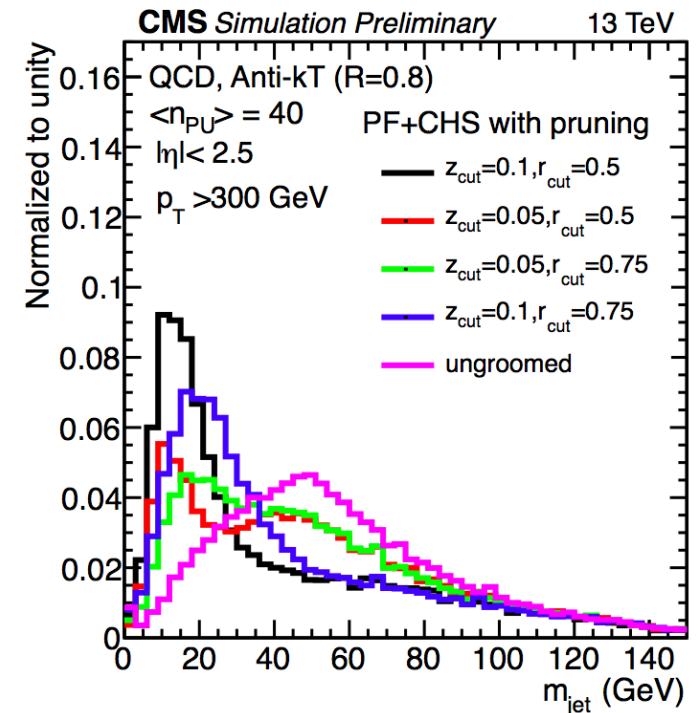


- Reclusters the constituents with CA
- At each step, the softer of the two particles i and j is removed if :

$$\left\{ \begin{array}{l} z_{ij} = \frac{\min\{p_T^i, p_T^j\}}{p_T^i + p_T^j} < z_{cut} \\ \Delta R_{ij} > D_{cut} = \frac{2 r_{cut} m^{jet}}{p_T^{jet}} \end{array} \right.$$

Parameters :

z_{cut}, r_{cut}



Soft drop/MMDT

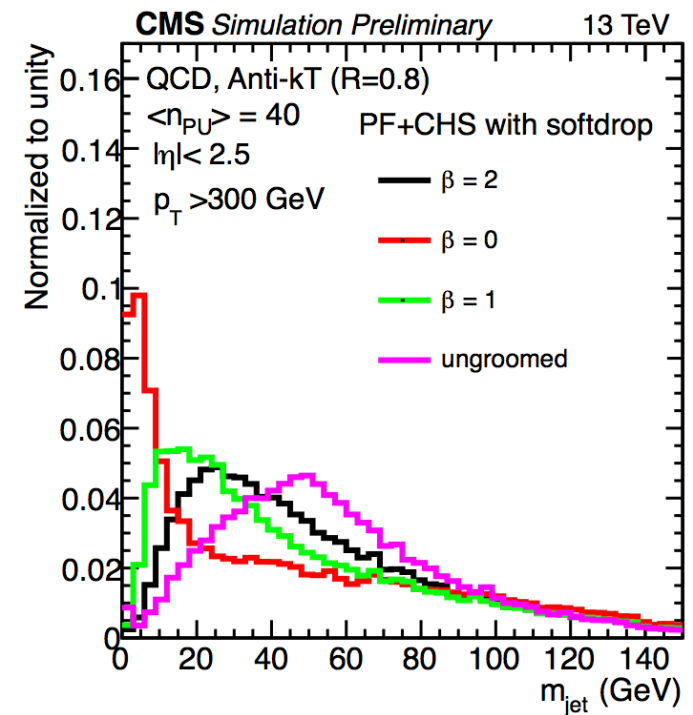
- Jet is clustered with CA algorithm with distance R
- It is then declustered and, at each step, subjets j1 and j2 are defined.
- If the **condition** :

$$\frac{\min\{p_T^{j1}, p_T^{j2}\}}{p_T^{j1} + p_T^{j2}} > z_{cut} \left(\frac{\Delta R_{12}}{R} \right)^\beta$$

- is **met** : the declustering of j1 and j2 continues
- is **NOT met** : only the leading pT subjet is kept for further declustering

Parameters :

z_{cut}, β

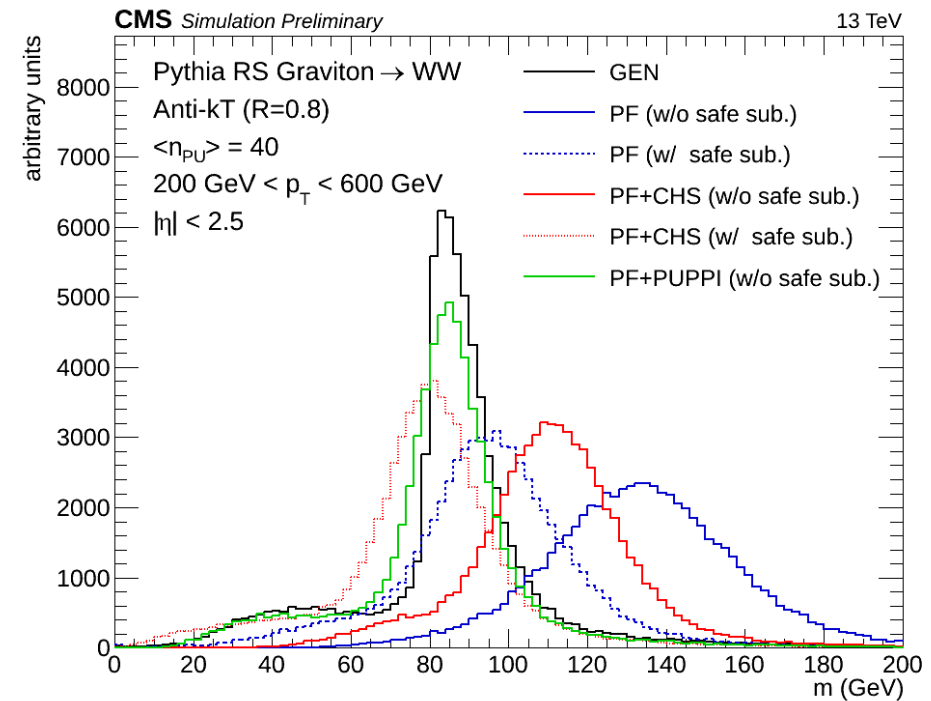


Grooming : samples and selection



Several grooming algorithms considered, with different parameters (more or less aggressive) :

grooming algorithm	parameter(s)
Pruning	$z_{\text{cut}} = 0.1, r_{\text{cut}} = 0.5$ $z_{\text{cut}} = 0.05, r_{\text{cut}} = 0.5$ $z_{\text{cut}} = 0.1, r_{\text{cut}} = 0.75$ $z_{\text{cut}} = 0.05, r_{\text{cut}} = 0.75$
Trimming	$r_{\text{sub}} = 0.2, p_{\text{T frac}} = 0.05$ $r_{\text{sub}} = 0.2, p_{\text{T frac}} = 0.03$ $r_{\text{sub}} = 0.1, p_{\text{T frac}} = 0.03$ $r_{\text{sub}} = 0.3, p_{\text{T frac}} = 0.03$
Soft drop/MMDT	$z_{\text{cut}} = 0.1, \beta = 0$ $z_{\text{cut}} = 0.1, \beta = 1$ $z_{\text{cut}} = 0.1, \beta = 2$



Performances evaluated on simulation

- Multijet (background) and RS graviton \rightarrow WW (signal)
- Dijet topology, leading jet $p_{\text{T}} > 300 \text{ GeV}$, $|\eta| < 2.5$
- Using PF jets with and without CHS

All groomed jets are corrected for PU using a 4-vector safe subtraction

$$p_{\text{sub}}^{\mu} = p^{\mu} - \rho A^{\mu} - \rho_m A_m^{\mu}$$

Grooming : samples and selection



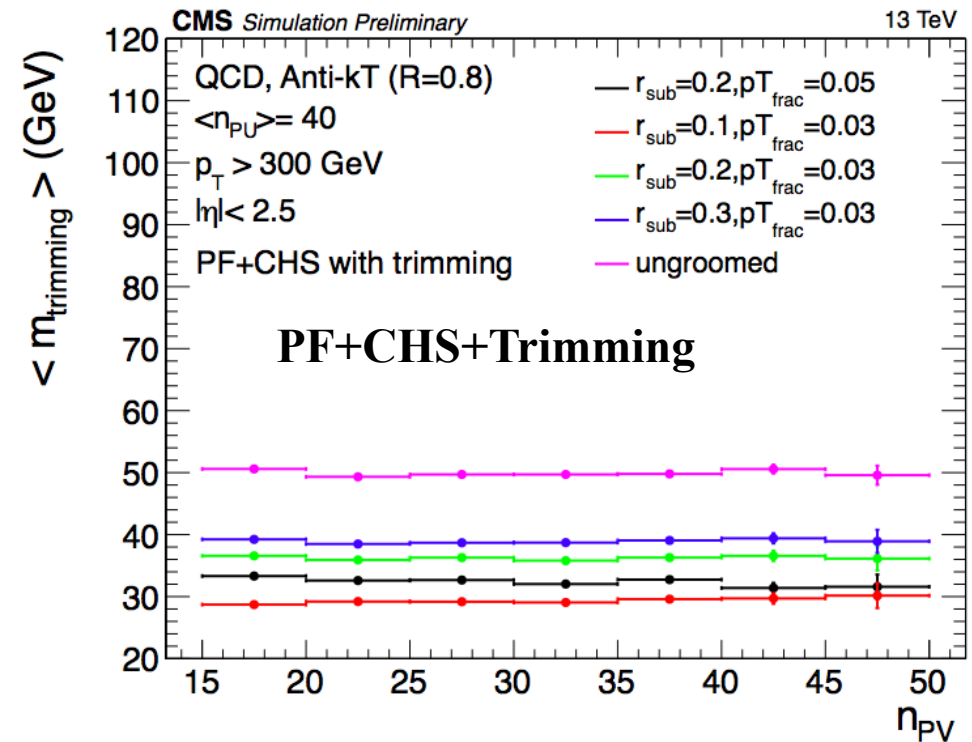
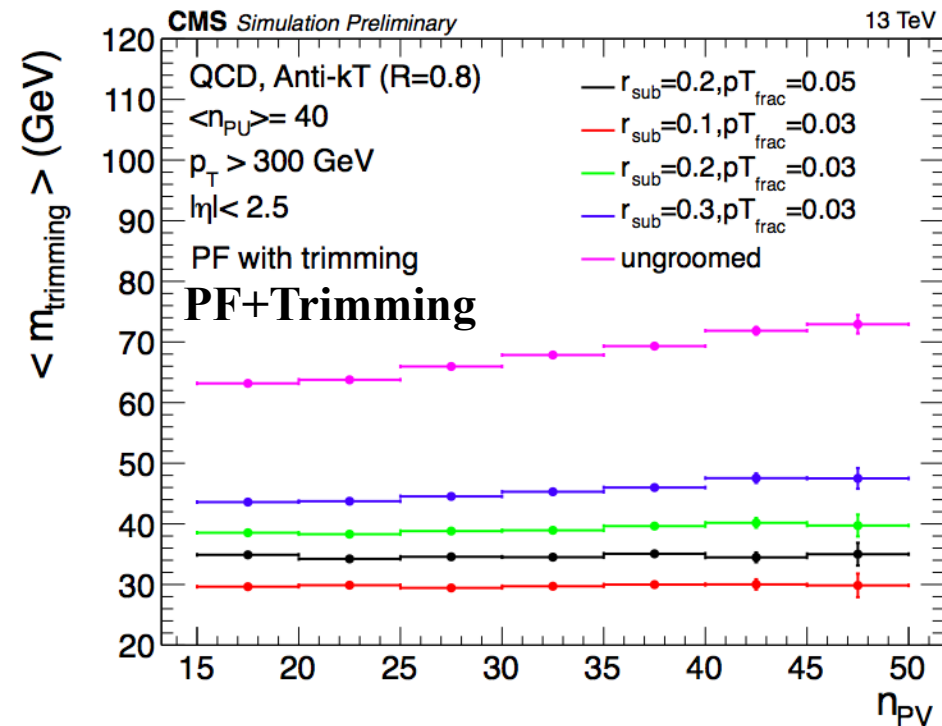
For the different algorithms, we will look at mainly two variables :

- Average reconstructed jet mass for **QCD jets** as a function of nPV, to monitor the stability VS PU
- W peak mass resolution for **W jets** from RS graviton → WW sample :

$$m_{\text{RECO}} - m_{\text{GEN}}$$

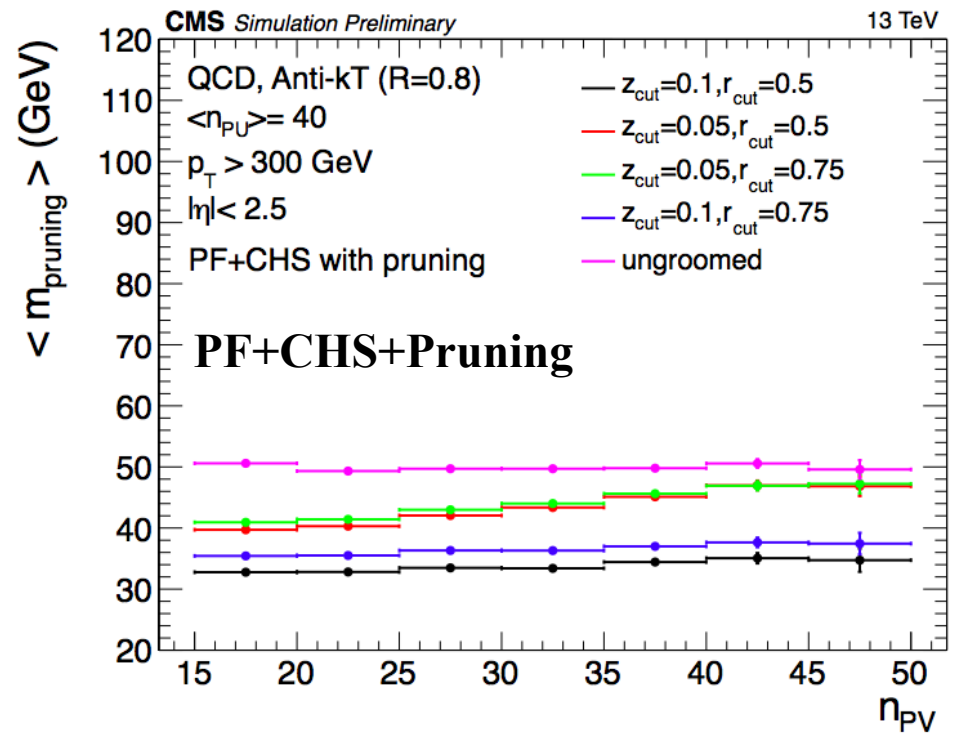
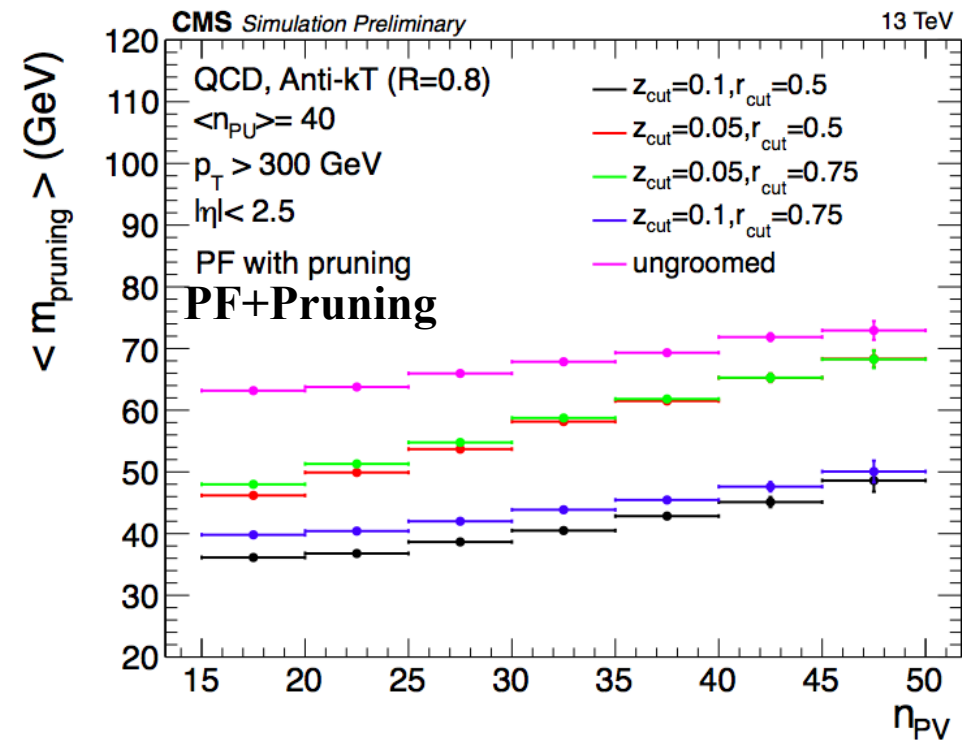
Both **RMS** (sensitive to the whole distribution, including tails) and **σ from a Gaussian fit** (sensitive to the bulk of the distribution).
Also monitored VS nPV.

Trimming: stability VS PU



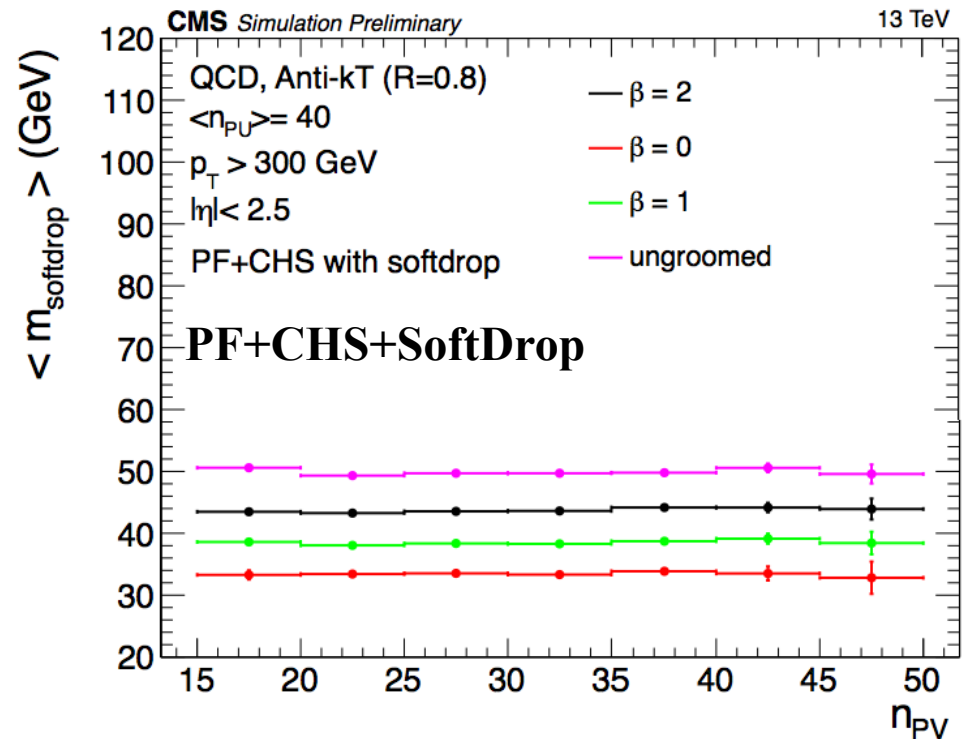
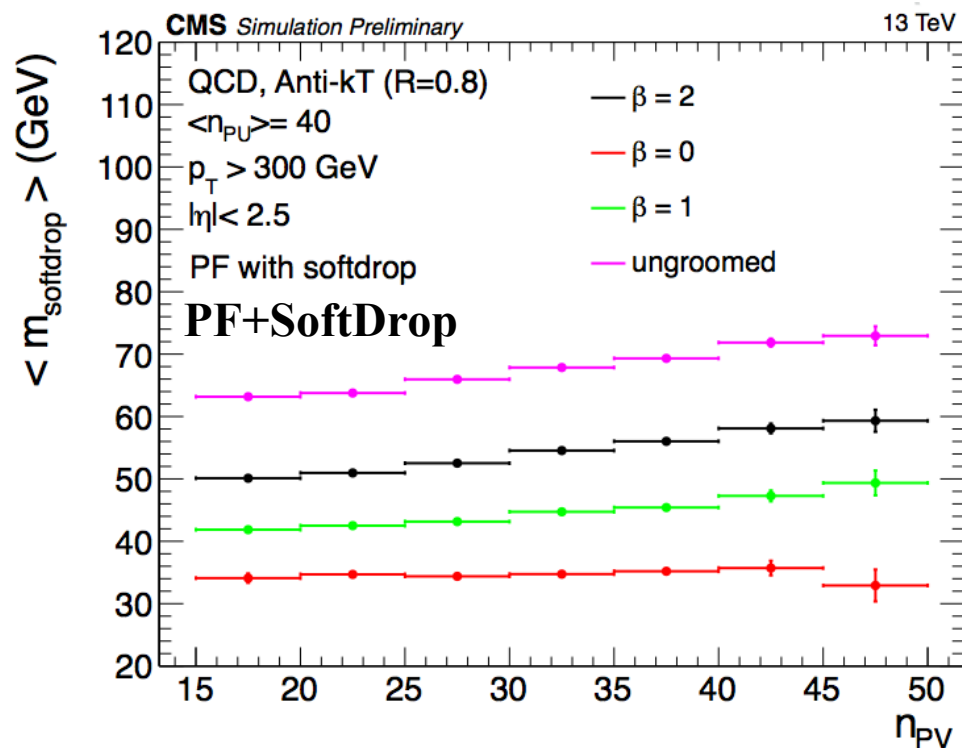
Sensible improvement wrt ungroomed
 Average mass is quite stable wrt to PU
 Not a big difference with and without CHS (slight improvement)

Pruning: stability VS PU



Residual dependence on PU for the average mass
 Visible improvement in stability with the use of CHS

Soft Drop: stability VS PU



Average mass is quite stable wrt to PU

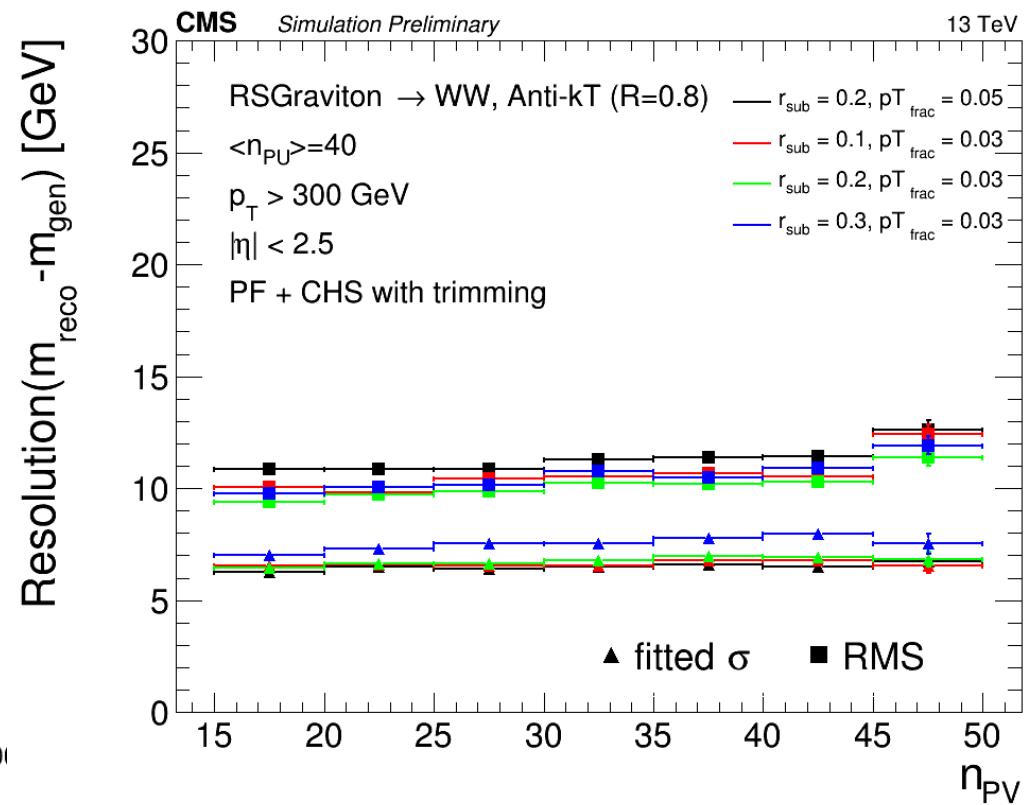
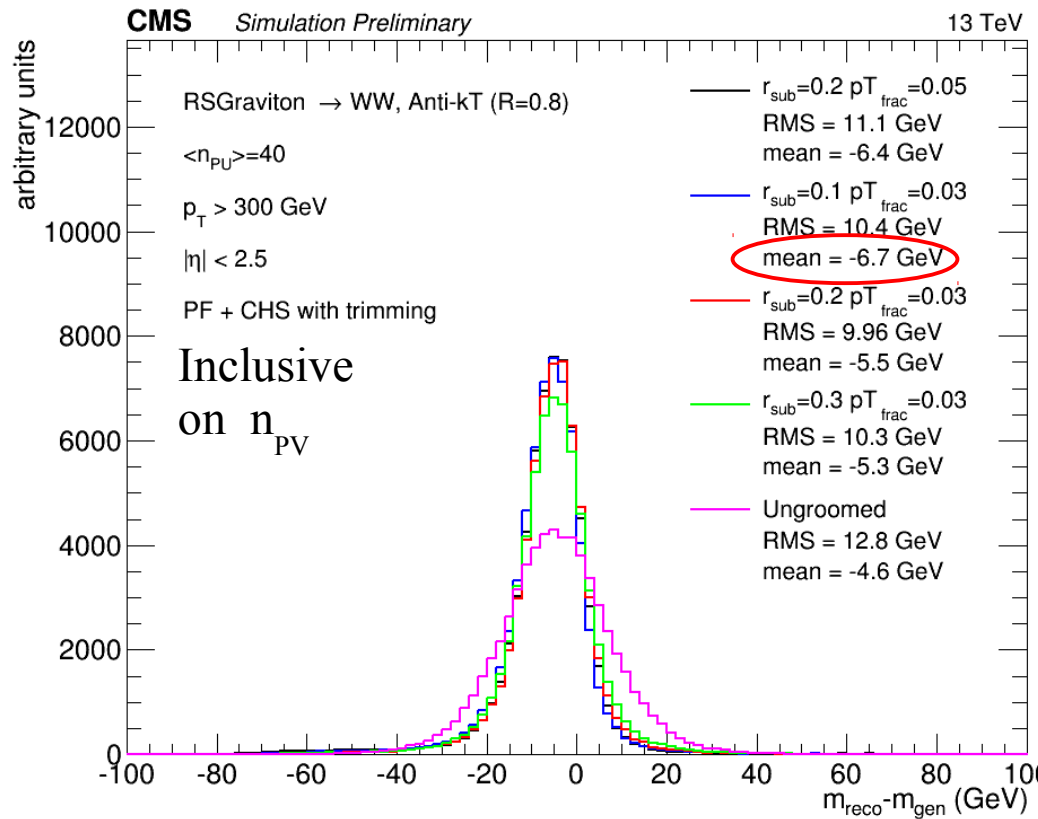
Not a huge difference with and without CHS, still an improvement is clearly visible

Trimming : mass resolution

W jet mass resolution ($m_{\text{RECO}} - m_{\text{GEN}}$) on a RS graviton \rightarrow WW simulated sample.

Gaussian fit σ : bulk of the distribution

RMS : tails



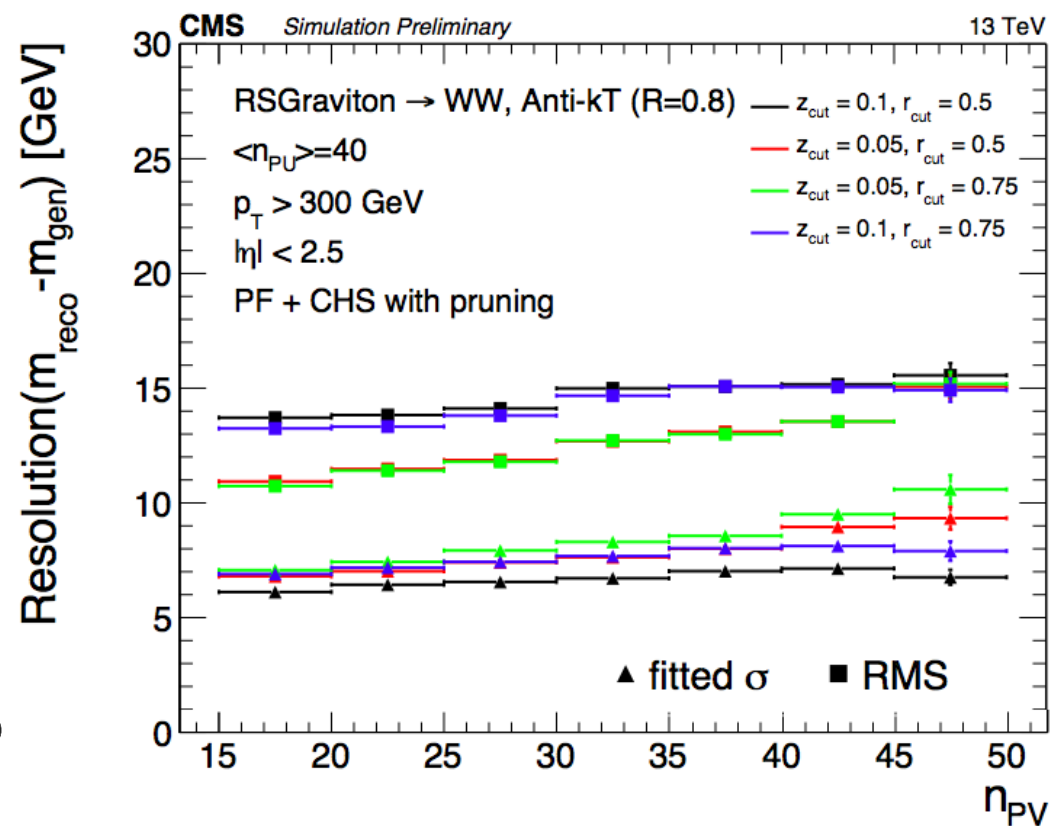
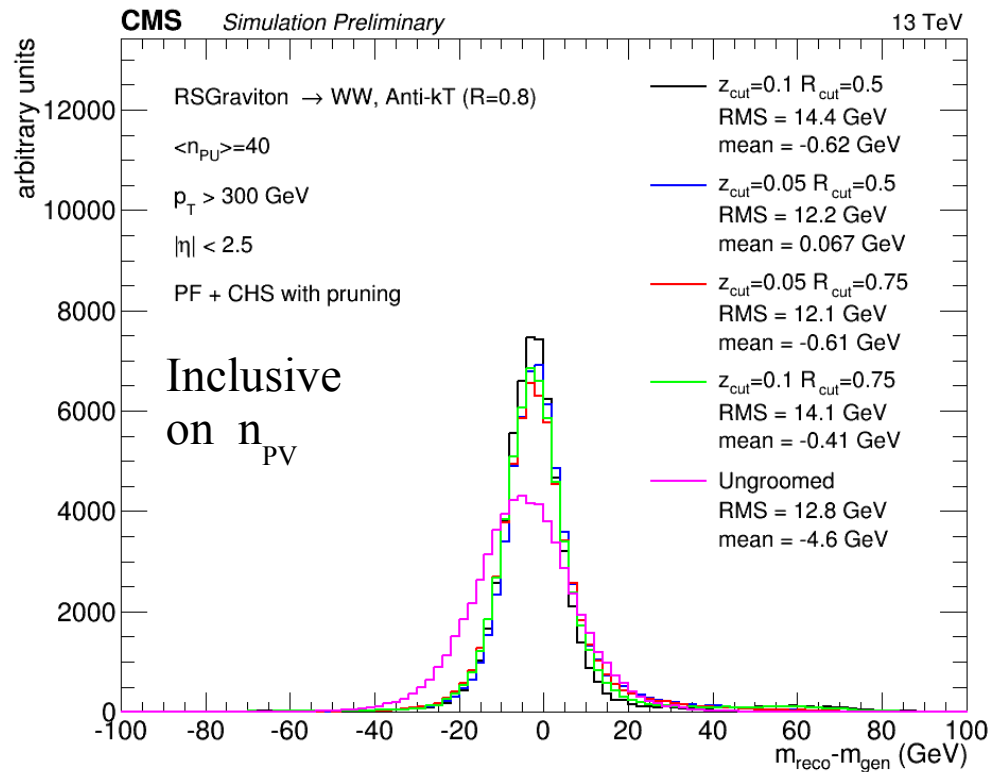
Good mass resolution (6-8 GeV in the bulk), quite stable vs PU
 Residual offset in the mass mean

Pruning: mass resolution

W jet mass resolution ($m_{\text{RECO}} - m_{\text{GEN}}$) on a RS graviton \rightarrow WW simulated sample.

Gaussian fit σ : bulk of the distribution

RMS : tails



Mass resolution (6-11 GeV in the bulk) shows a dependence on PU

Visible presence of tails

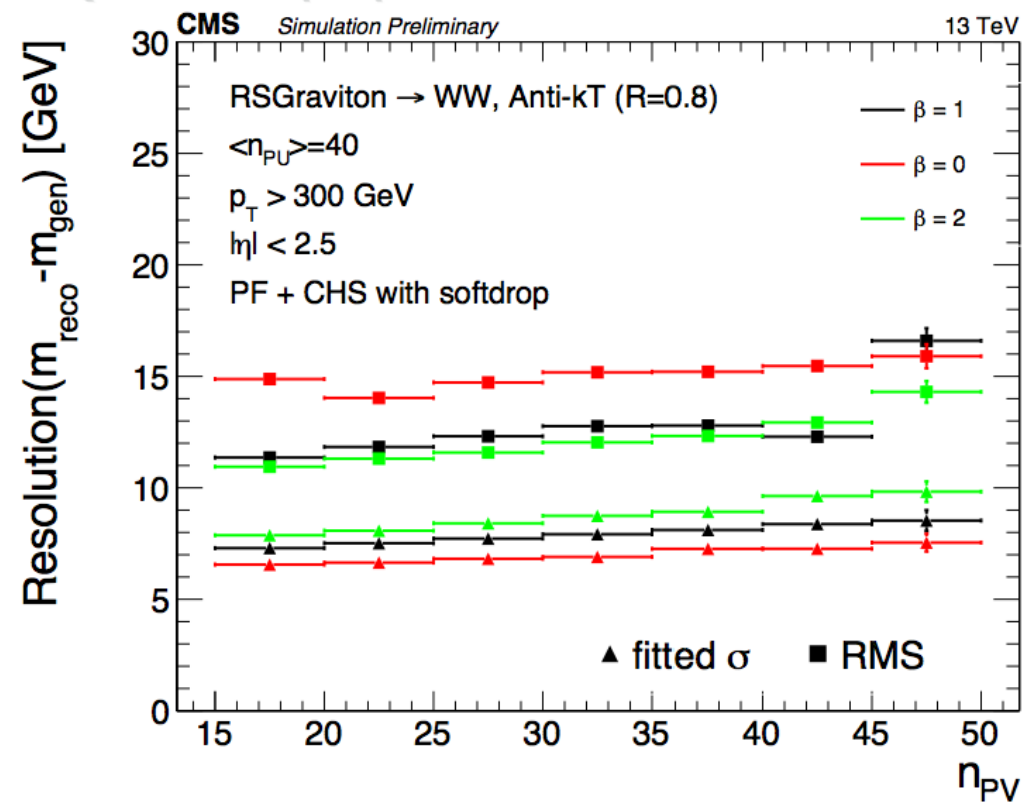
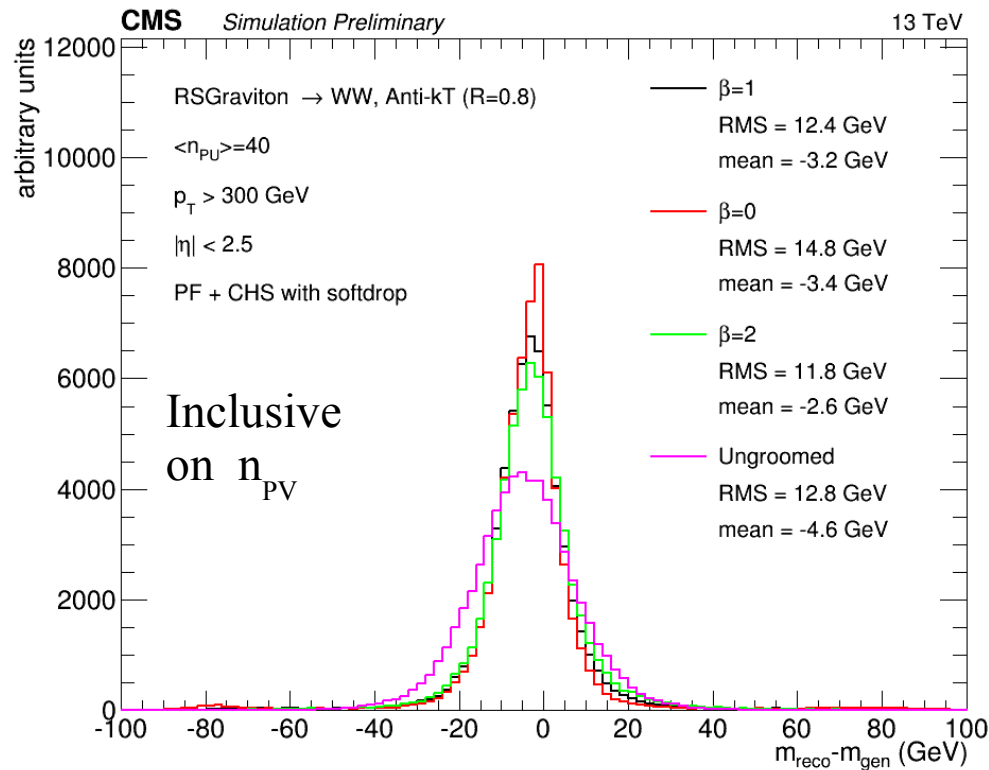
Caveat : 4-vector safe subtraction performed on the final pruned jet

Soft drop: mass resolution

W jet mass resolution ($m_{\text{RECO}} - m_{\text{GEN}}$) on a RS graviton \rightarrow WW simulated sample.

Gaussian fit σ : bulk of the distribution

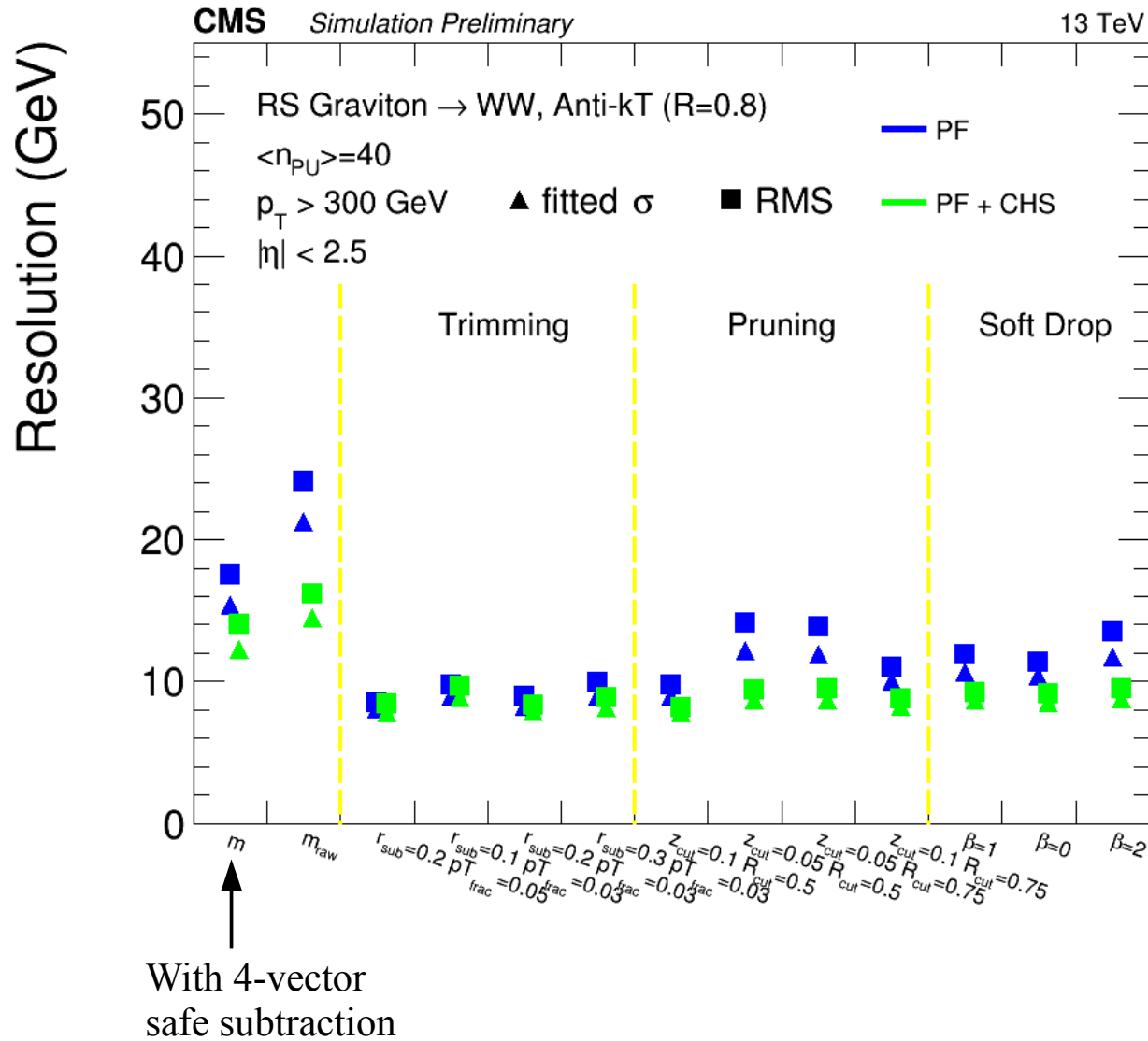
RMS : tails



Good mass resolution (7-10 GeV in the bulk), residual dependence on PU

Residual presence of tails

Grooming – jet mass resolution



- W jet mass resolution comparison
- Value of σ from fit in \pm RMS range
- RMS truncated in $\pm 3\sigma$ range
- PF+CHS+**Grooming**
 - **improves resolution** wrt to PF (6-11 GeV in the bulk, depending on the algo and parameters)
 - **improves stability VS PU**

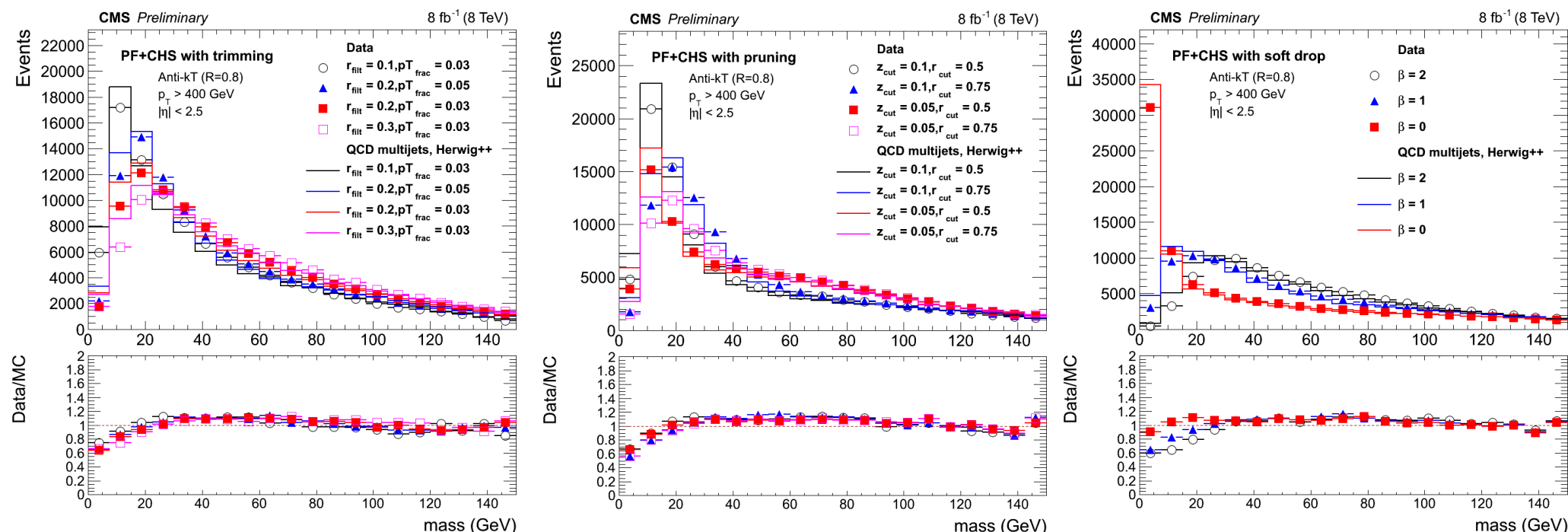
Grooming – data/MC comparisons



Use of 8 TeV data (8fb⁻¹ from late RunI, <PU>~22) to evaluate how well our simulation describes the data for groomed jets

Basic dijet selection to target a region interesting for resonance searches

→ at least one jet with $p_T > 400$ GeV, $m_{jj} > 900$ GeV, $|\Delta\eta_{jj}| < 1.2$



Overall reasonable agreement

Largest disagreement (up to ~40%) low jet mass region - non-perturbative effects hard to simulate

Other PU mitigation techniques

We explore additional PU mitigation techniques :

- Constituents subtraction [[arXiv:1403.3108](#)]
- Cleansing [[arXiv:1309.4777](#)]
- PUPPI [[arXiv:1407.6013](#)]

First explorative look, algorithms can still be tuned/optimised !

Evaluate the performances on simulation (13 TeV, $\langle \text{PU} \rangle = 40$, 50ns)

- Use anti-kT jets, $R=0.8$, 4-vector corrected
- Leading jet (p_T in [200, 600]) in Multijet and RS graviton \rightarrow WW
- Using PF jets with and without CHS
- Look at mass, N-subjettiness

In addition, only for PUPPI

- Look at groomed mass
- Check the data/simulation agreement on 8 TeV collisions

Constituents subtraction - Jet cleansing

Constituents subtraction [[arXiv:1403.3108](https://arxiv.org/abs/1403.3108)] :

Natural extension of area based subtraction to jet constituents (PF particles).

Applied on top of PF+CHS

Jet Cleansing [[arXiv:1309.4777](https://arxiv.org/abs/1309.4777)]:

Uses vertex information to determine the charged PU contribution, then uses jet composition to evaluate the neutral PU contribution

Decompose jet into subjets (here $R_{\text{subjet}} = 0.2$)

For each subjets inputs to the cleansing method are p_T^{tot} , $p_T^{C,PU}$, $p_T^{C,LV}$

$$p_T^{\text{tot}} = \frac{p_T^{C,PU}}{\gamma_0} + \frac{p_T^{C,LV}}{\gamma_1}$$

Different schemes for γ_0 and γ_1 , here we use the linear cleansing (γ_0 is constant and can be determined from MinBias data, γ_1 depends on γ_0)

Pileup Per Particle Identification

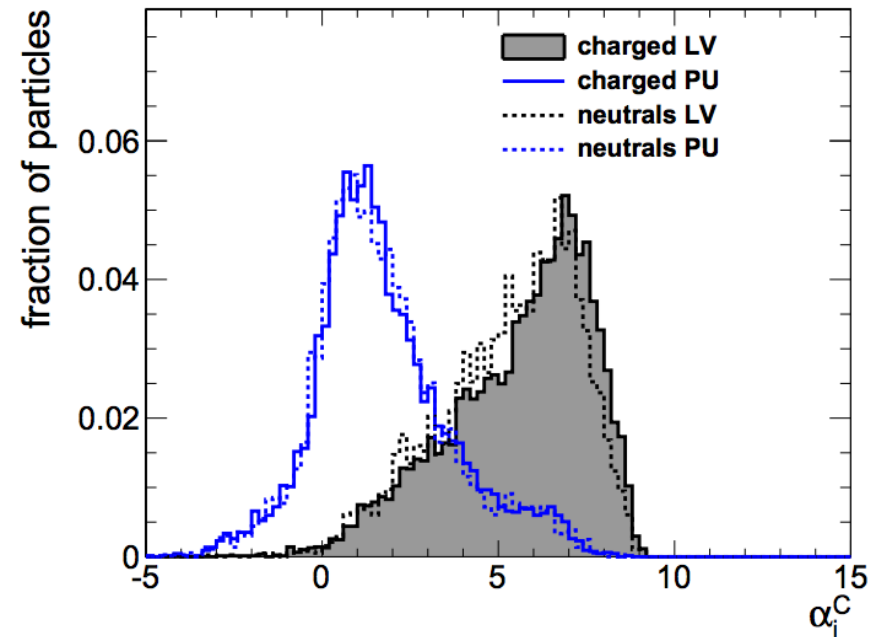
Operates on the inputs to jet clustering (here PF candidate particles) [[arXiv:1407.6013](https://arxiv.org/abs/1407.6013)]

- A discriminating variable α is defined :

$$\alpha_i = \log \sum_{j \in Ch, PV} \left(\frac{p_{T,j}}{\Delta R_{ij}} \right)^2 \Theta(R_0 - \Delta R_{ij}) \quad \text{for } |\eta| < 2.5$$

$$\begin{cases} \alpha_i = \log \sum \frac{p_{T,j}}{\Delta R_{ij}} \Theta(R_0 - \Delta R_{ij}) \\ \alpha_i = \log \sum p_{T,j} \Theta(R_0 - \Delta R_{ij}) \end{cases} \quad \text{for } |\eta| \geq 2.5$$

- The distribution for charged PU particles is used as template for the distribution for all PU particles

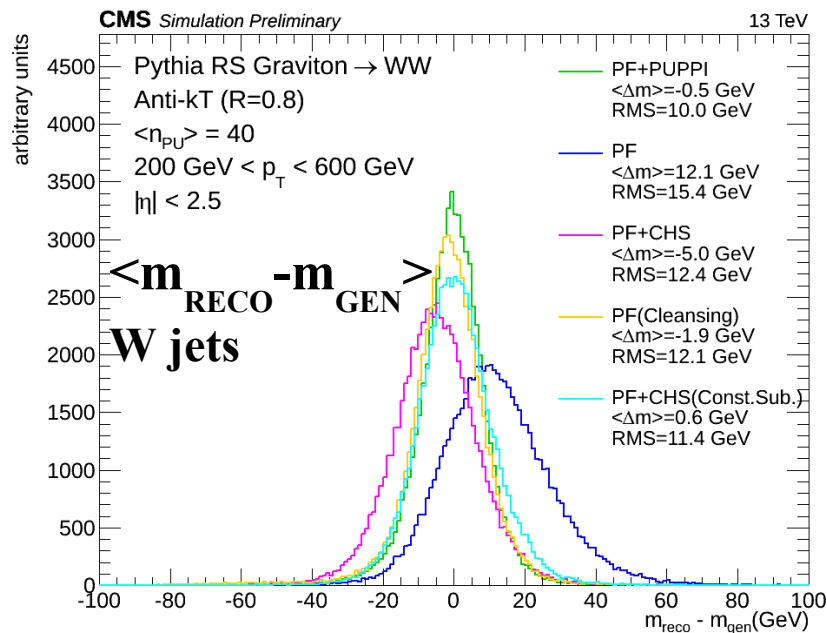
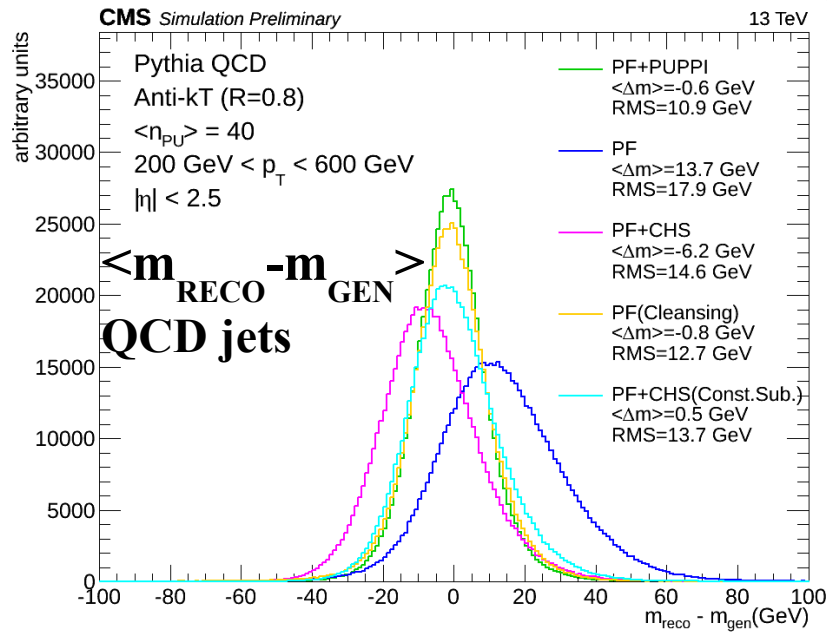


- For each neutral particle, a χ^2 variable is constructed (for $|\eta| \geq 2.5$, sum the two χ^2)

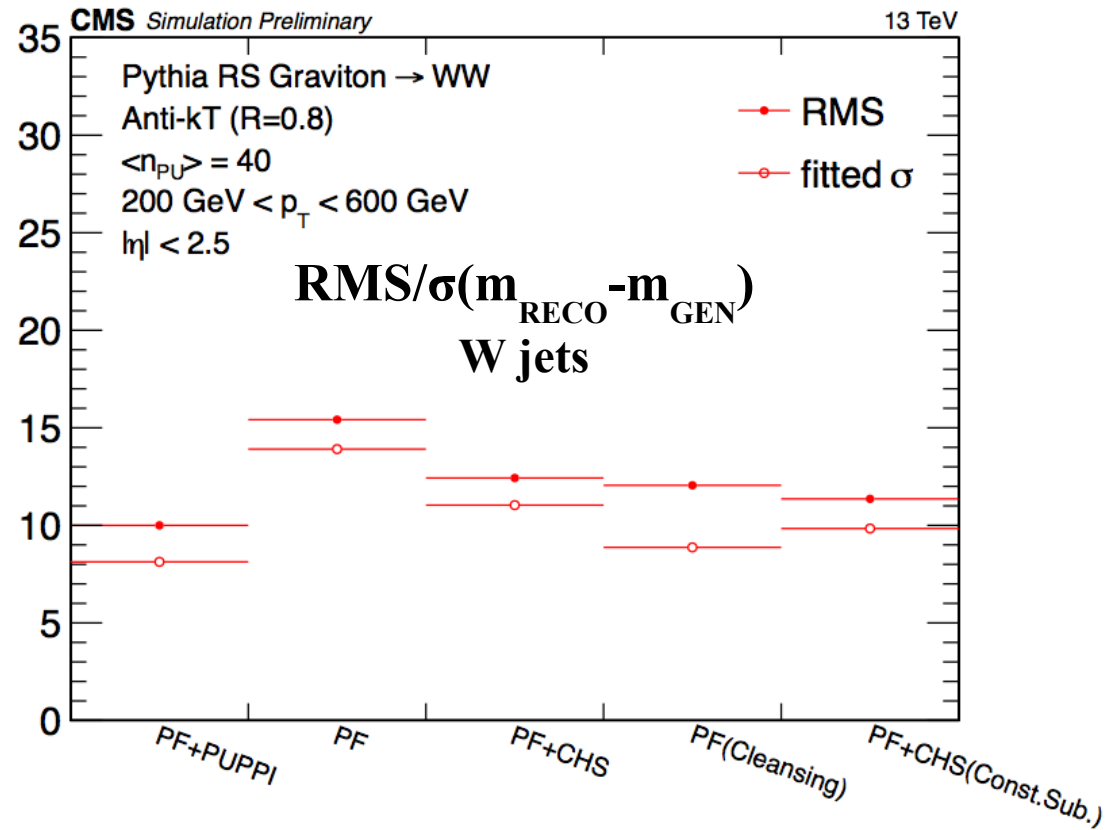
$$\chi_i^2 = \frac{(\alpha_i - \alpha_{PU}^-)^2}{RMS_{PU}^2}$$

- The probability of the particle to come from the Leading Vertex is calculated
- The particle 4-momentum is reweighted by this probability

Performances of mass reconstruction



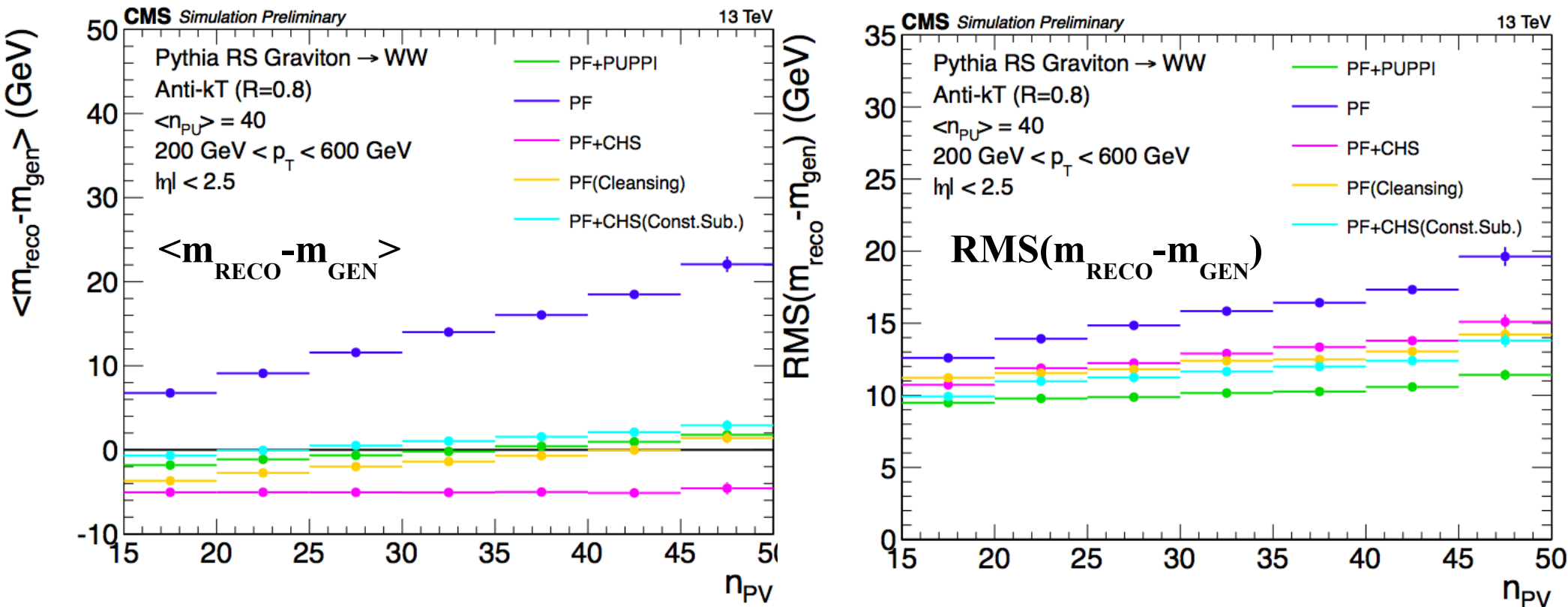
mass resolution (GeV)



- Constituents subtraction improves the offset wrt PF+CHS
- Cleansing has good bulk resolution, but some residual tails
- Best resolution with PUPPI

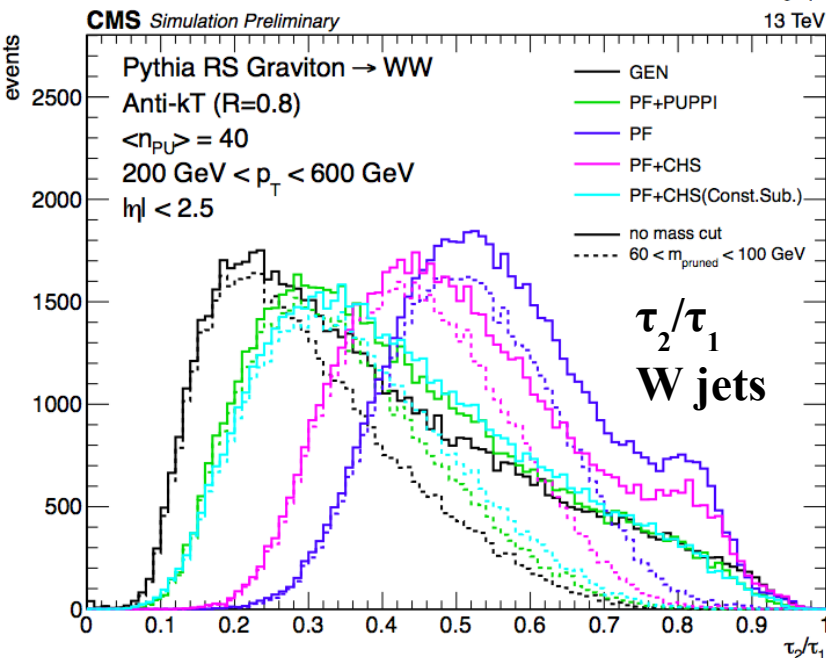
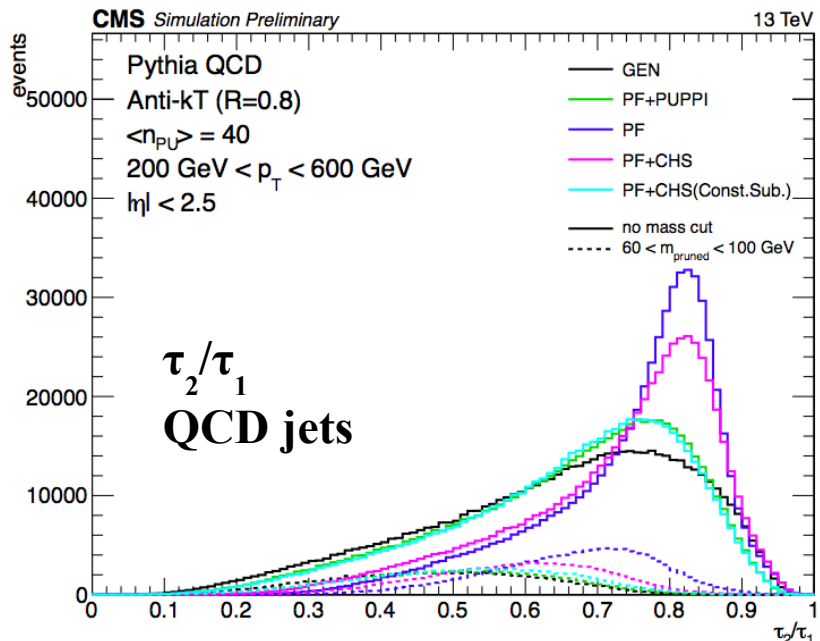
Mass stability VS PU

Jet mass response and resolution (W jets) stability VS n_{PV}

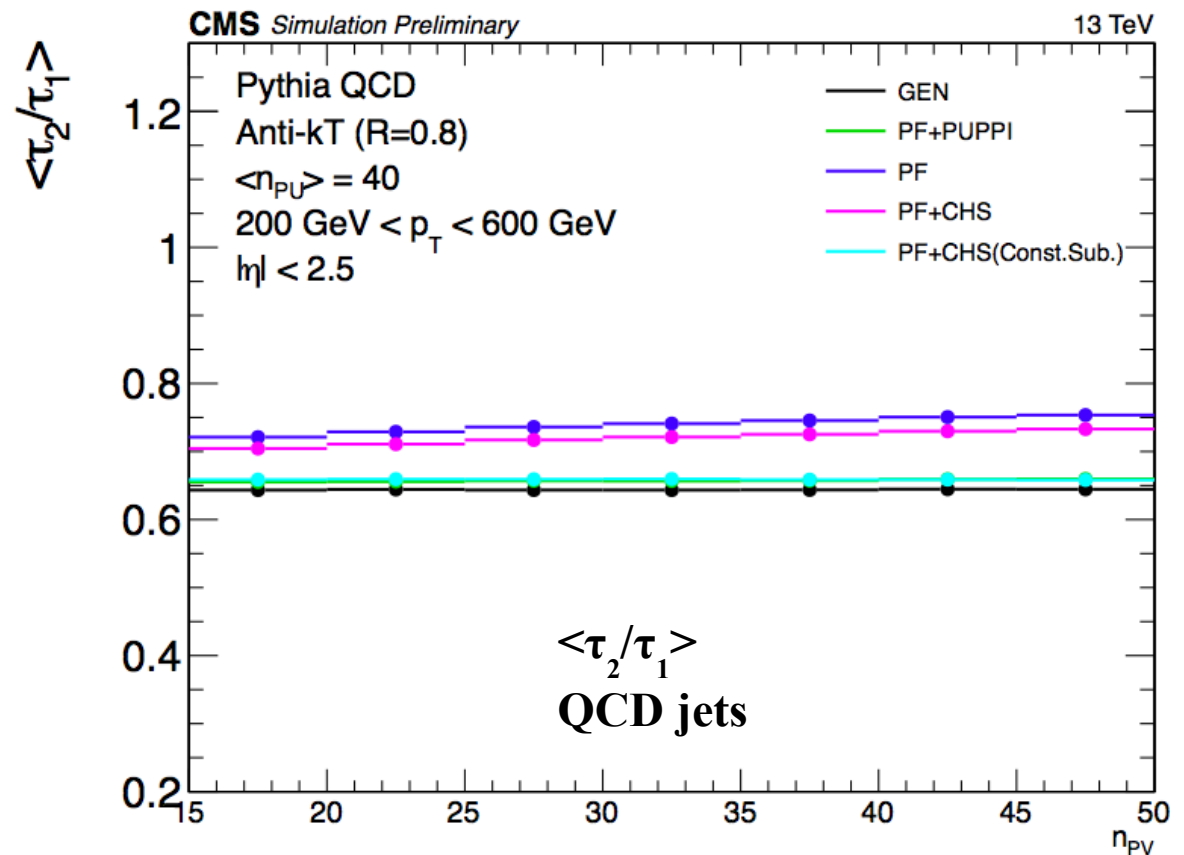


- PF+CHS stable mass response, but largest offset
- Constituents subtraction : better response and resolution, still residual dependence on PU
- Cleansing : dependence on PU similar to constituents subtraction, but slightly worse in offset and resolution
- PUPPI (tuned to get \sim unity response): best resolution and reduced PU dependence

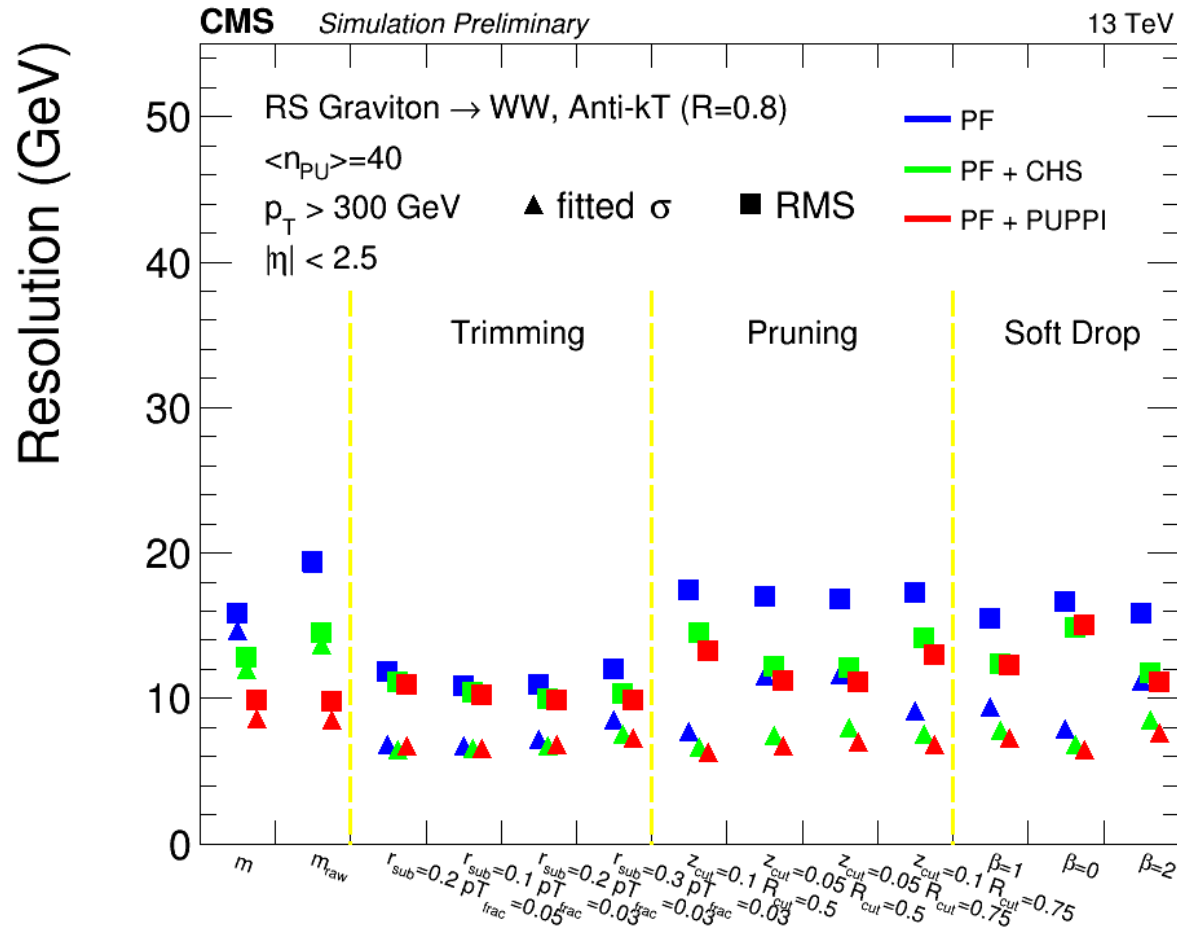
Performances of N-subjettiness



- Best performances for PUPPI and constituents subtraction :
- Effective for reconstructing jet shape variables (not necessarily increasing the signal-background discrimination)
 - Good stability VS PU



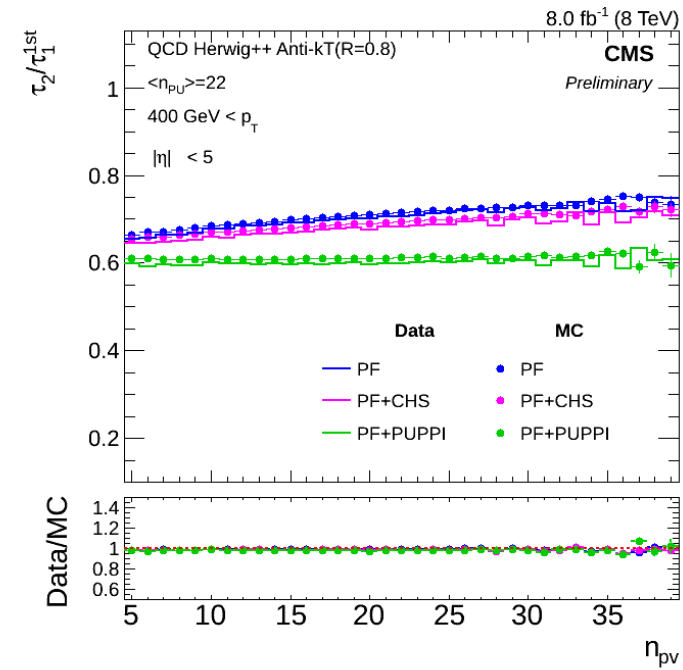
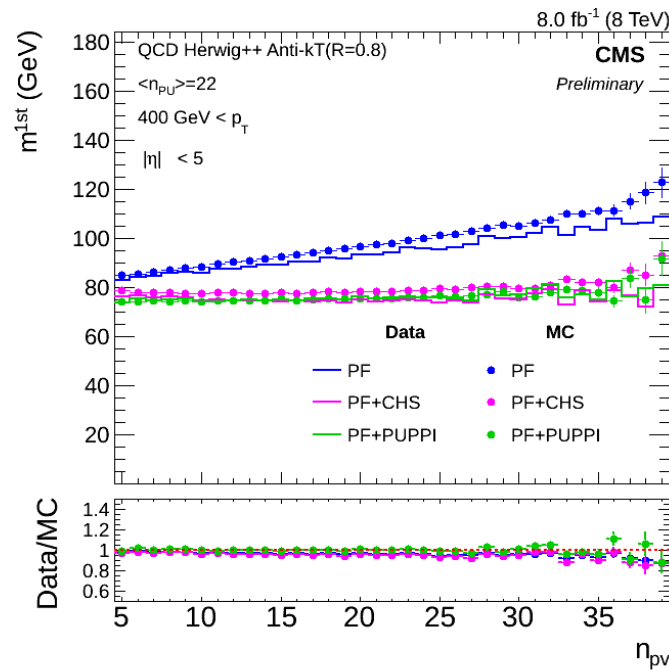
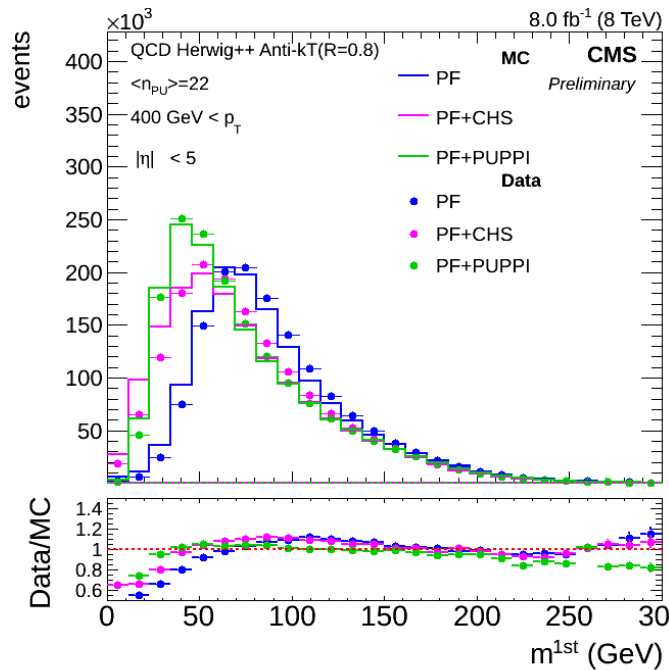
PUPPI + grooming



- PUPPI with and without 4-vector safe subtraction very similar : proof of PUPPI PU removal
- PUPPI : visible improvement for groomings that are per-particle
- PUPPI+grooming can introduce larger tails wrt to PUPPI alone (still best resolution)

PUPPI data-MC comparisons

Use of 8 TeV data (8fb⁻¹ from late RunI, $\langle \text{PU} \rangle \sim 22$) and multijet simulation (Herwig++)
 Basic dijet selection to target a region interesting for resonance searches
 → at least one jet with $p_T > 400$ GeV, $m_{jj} > 900$ GeV, $|\Delta\eta_{jj}| < 1.2$



Overall reasonable agreement

Conclusions



- PU is one of the hardest challenges for the upcoming LHC Run II
- Exploit the physics content, combine all topological and kinematic properties of the different processes :
 - Several methods have been developed and tested thanks to RunI data
 - Important improvements in performances

A new effort is ongoing for Run II

- Many new ideas on the market, some of them still to be tested (e.g. soft killer)
 - Several of them tested with CMS simulation (and crosschecked on 8 TeV data)
 - Use charged particles vertexing combined with shape information
 - Going smaller : subjets, grooming techniques
 - Going even smaller : look directly at the jet constituents
- All is tunable, best algorithm depends on the analysis case
- Many promising perspectives