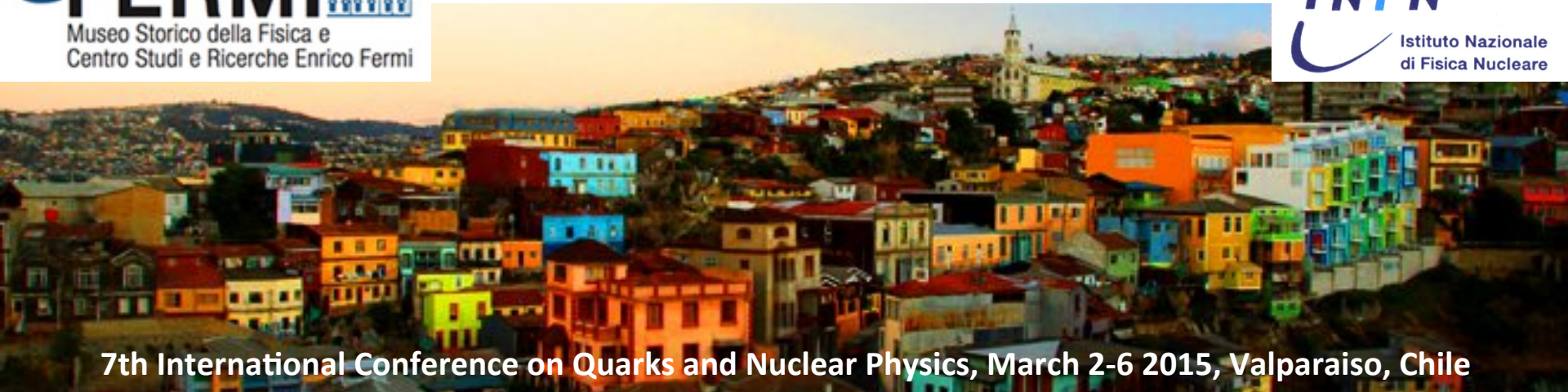


ALICE results on open heavy-flavour production in pp, p-Pb and Pb-Pb collisions at the LHC

Andrea Alici

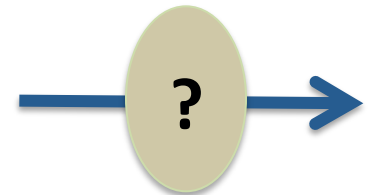
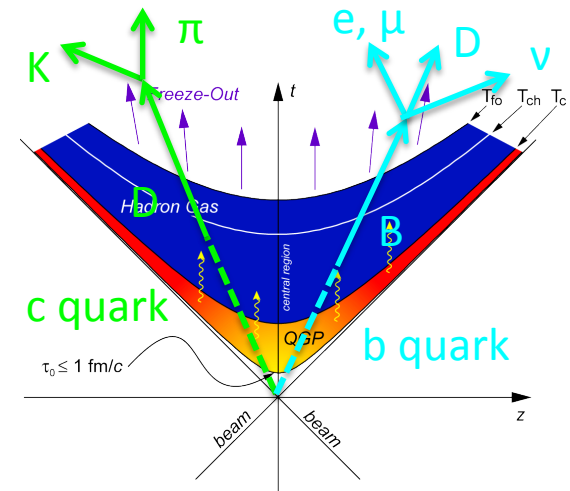
on behalf of the *ALICE Collaboration*

*Museo Storico della Fisica e Centro Studi e Ricerche Enrico Fermi, Rome
INFN, Bologna*



Heavy flavour in heavy-ion collisions

- Because of their large masses, charm ($m_c \approx 1.5$ GeV) and beauty ($m_b \approx 5$ GeV) are produced at the early stages of the collisions
 - hard scattering with large $Q^2 \rightarrow$ **production cross section calculable with perturbative QCD**
- $m_{c,b} \gg \Lambda_{\text{QCD}} \rightarrow$ heavy quarks are genuine hard probes **even at low p_T** (in contrast to jets)
- $\tau_{\text{prod}} \approx 1/(2m_Q) \approx 0.1$ fm/c $\ll \tau_{\text{QGP}} \approx 5 - 10$ fm/c at LHC
- they interact with the QCD high-density medium, but those interactions don't change their flavour
 - destruction or creation in the medium is difficult**
 - transported through the whole evolution of the system**



they behave as **penetrating probes** (“direct” info from the medium)

Main questions:

- do heavy quarks lose energy in the QGP and if so, how?
- do heavy quarks participate in the medium collective motion?

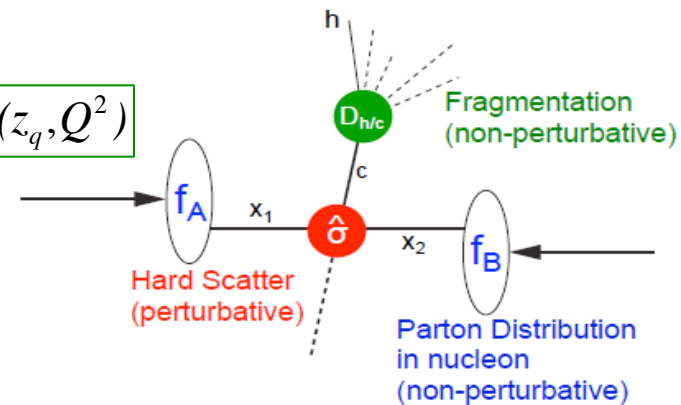
Heavy flavour in pp and p-Pb collisions

pp collisions

- precision test of pQCD-based predictions for production cross sections at the highest collision energies.

$$\sigma_{hh \rightarrow Hx} = \boxed{PDF(x_a, Q^2) PDF(x_b, Q^2)} \otimes \boxed{\sigma_{ab \rightarrow q\bar{q}}} \otimes \boxed{D_{q \rightarrow H}(z_q, Q^2)}$$

- study the role of multi-parton interactions (MPI) in heavy-flavour production.
- reference for p-Pb and Pb-Pb measurements.



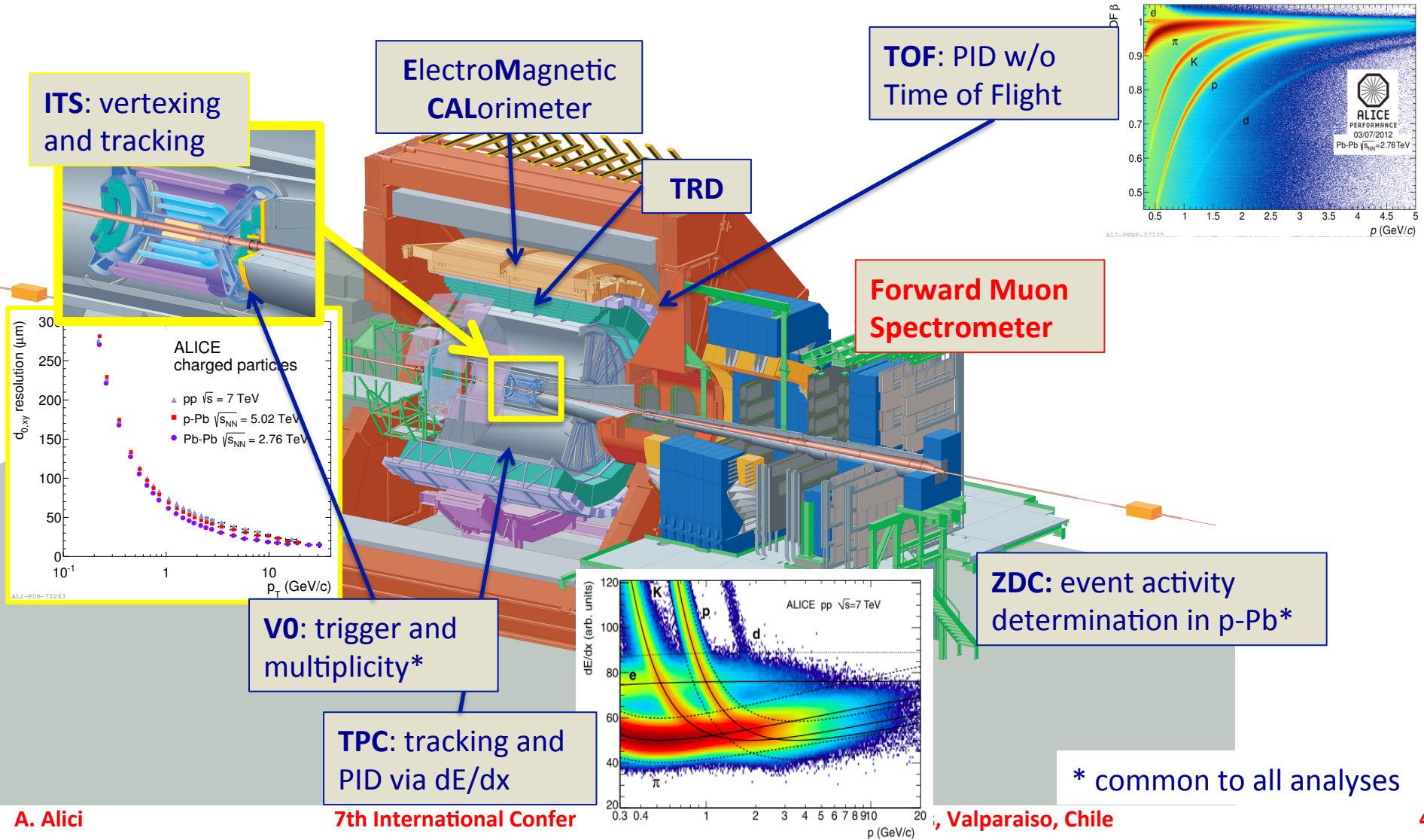
p-Pb collisions

- address initial-state (or cold-nuclear-matter) effects, such as
 - shadowing and gluon saturation (CGC)
 - energy loss in cold nuclear matter
 - k_T -broadening
- reference for Pb-Pb measurements.

K.J. Eskola et al, JHEP 0904 (2009) 65
H. Fuji, K. Watanabe, NPA 915 (2013) 1
I. Vitev et al, PRC 75 (2007) 064906
X.N. Wang, PRC 61 (2000) 064910

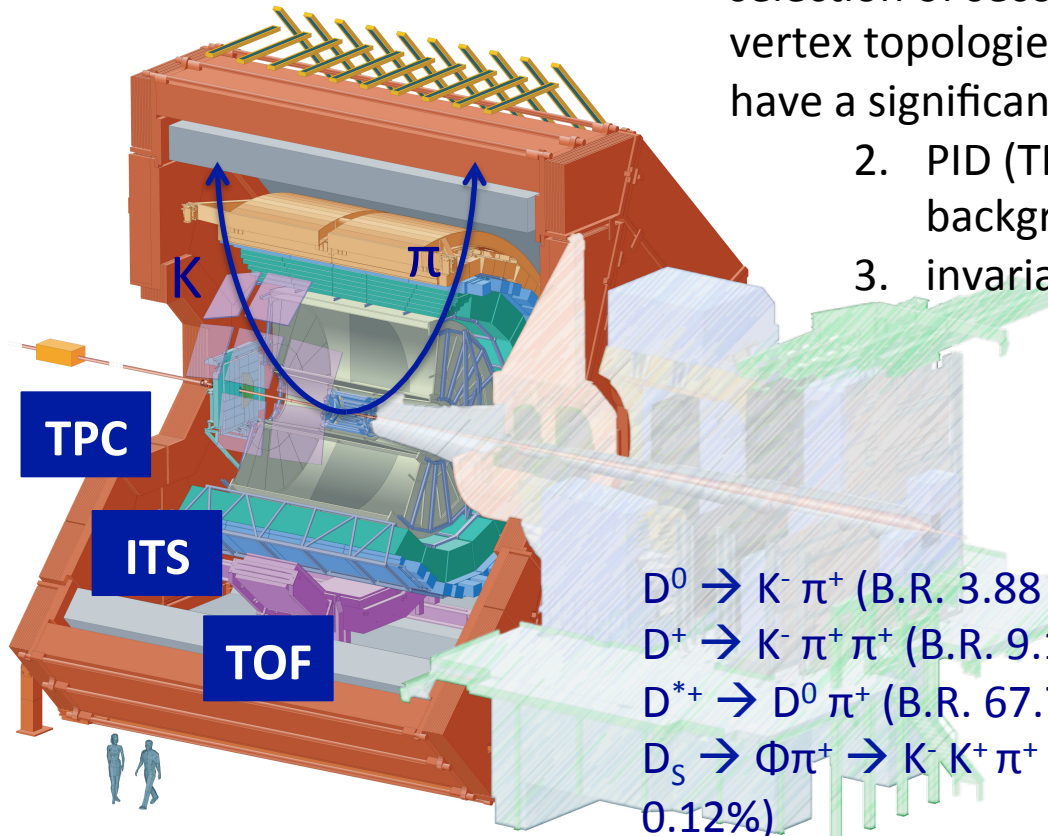
The ALICE experiment

High precision tracking, good vertexing capabilities and excellent particle identification



HF in the central barrel – D mesons

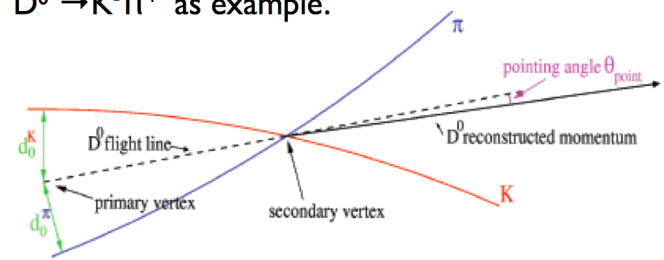
$|y| < 0.5$



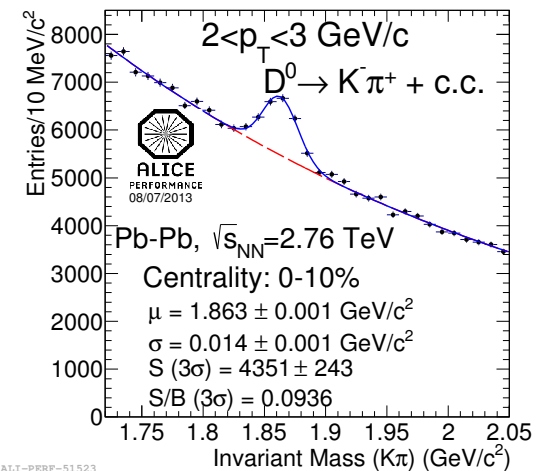
Analysis strategy:

1. reconstruction and selection of secondary vertex topologies that have a significant separation from the primary vertex;
2. PID (TPC and TOF) to reduce combinatorial background, especially at low p_T ;
3. invariant mass analysis to extract the signal.

$D^0 \rightarrow K^- \pi^+$ as example.



- $D^0 \rightarrow K^- \pi^+$ (B.R. $3.88 \pm 0.05\%$)
- $D^+ \rightarrow K^- \pi^+ \pi^+$ (B.R. $9.13 \pm 0.19\%$)
- $D^{*+} \rightarrow D^0 \pi^+$ (B.R. $67.7 \pm 0.5\%$)
- $D_s \rightarrow \Phi \pi^+ \rightarrow K^- K^+ \pi^+$ (B.R. $2.28 \pm 0.12\%$)



ALICE-PERF-51523

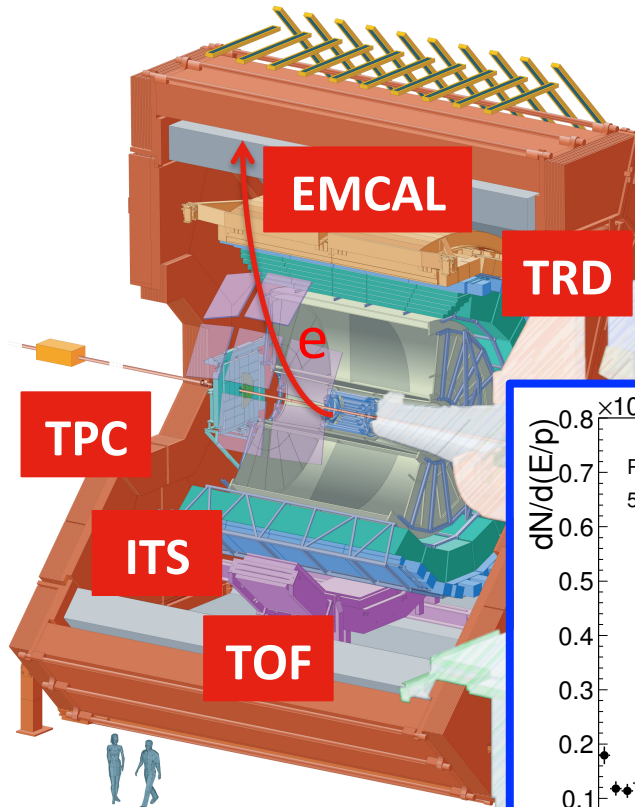
HF in the central barrel – electrons

$B \rightarrow e + X$ (B.R. $\sim 11\%$)

$D \rightarrow e + X$ (B.R. $\sim 10\%$)

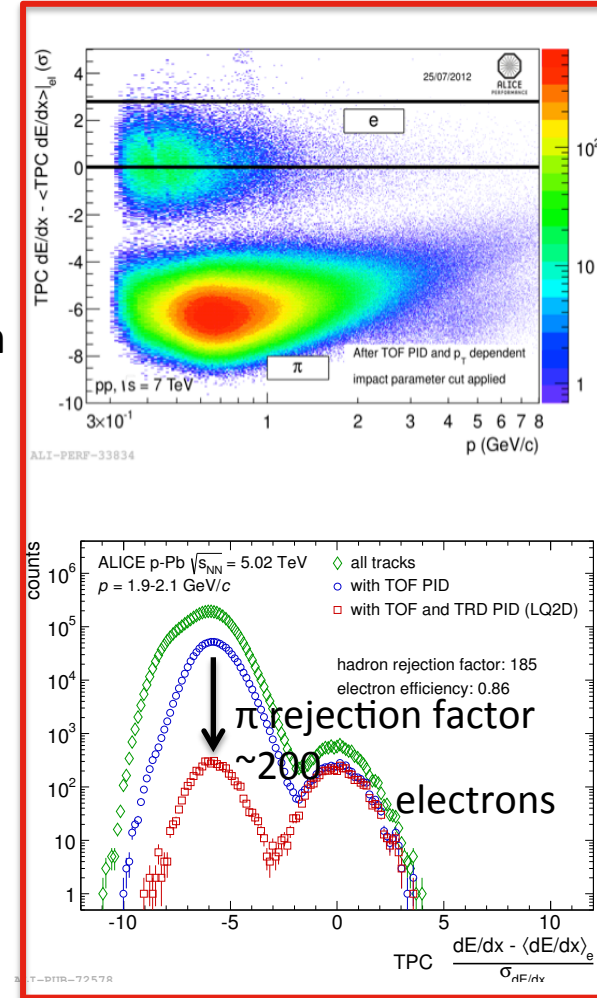
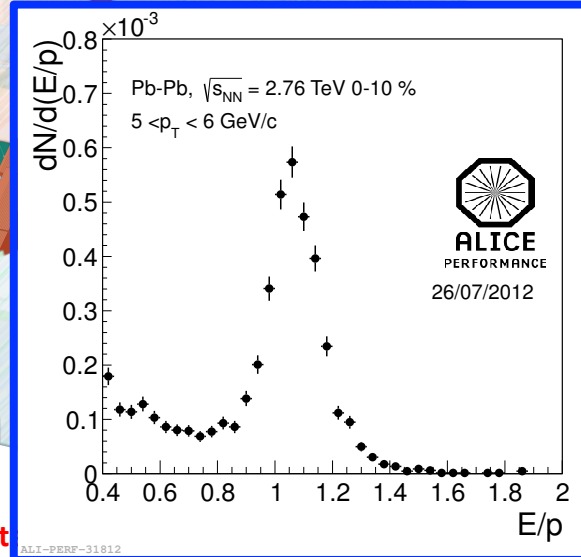
large S/B from other electron sources, especially at high p_T

$|y| < 0.5$



Analyses based on electron identification via two approaches:

- TPC, TOF and TRD
- TPC and EMCAL



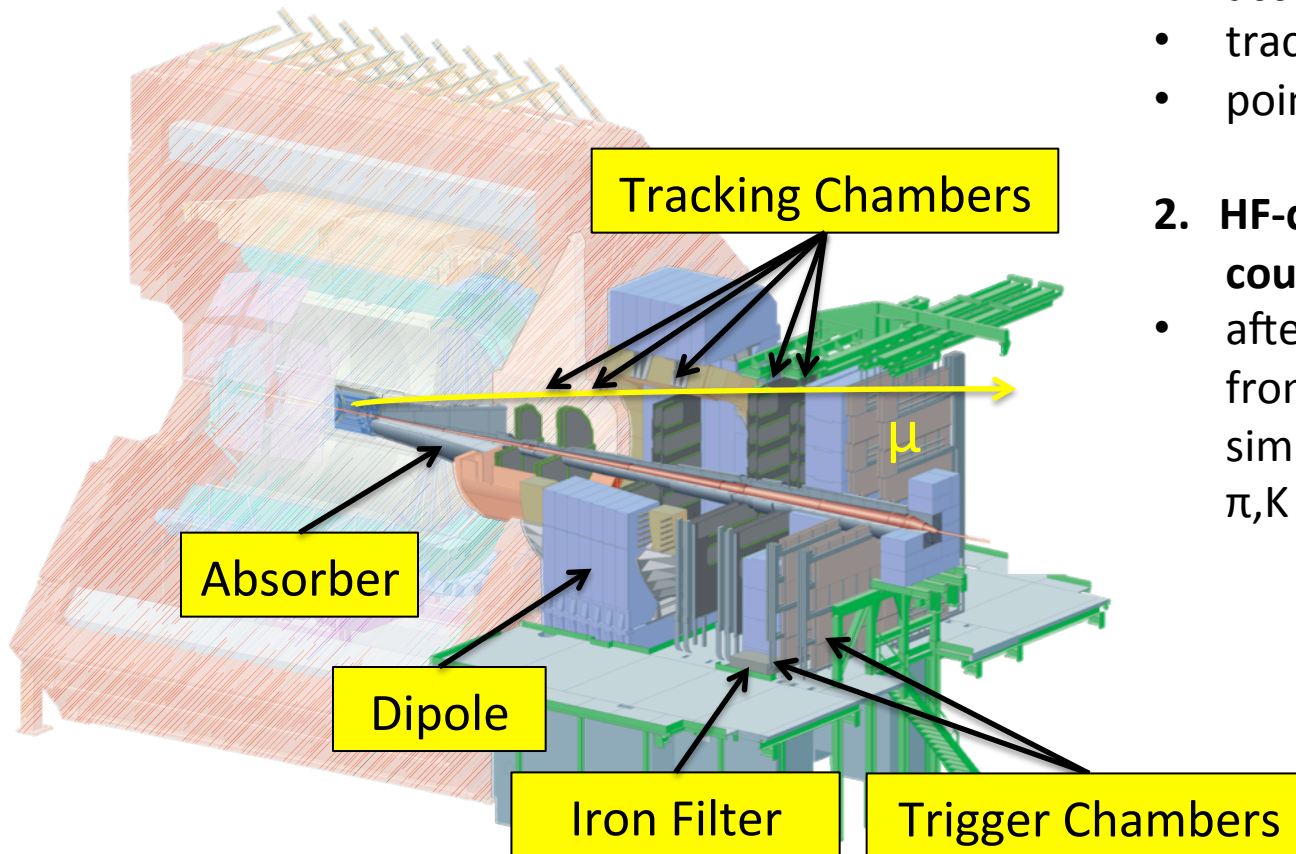
inclusive electron yield determination, background from other electron sources calculated using a cocktail approach and subtracted.

HF in the forward region – muons

$-4 < \eta < -2.5$

$B \rightarrow \mu + X$ (B.R. $\sim 11\%$)

$D \rightarrow \mu + X$ (B.R. $\sim 10\%$)



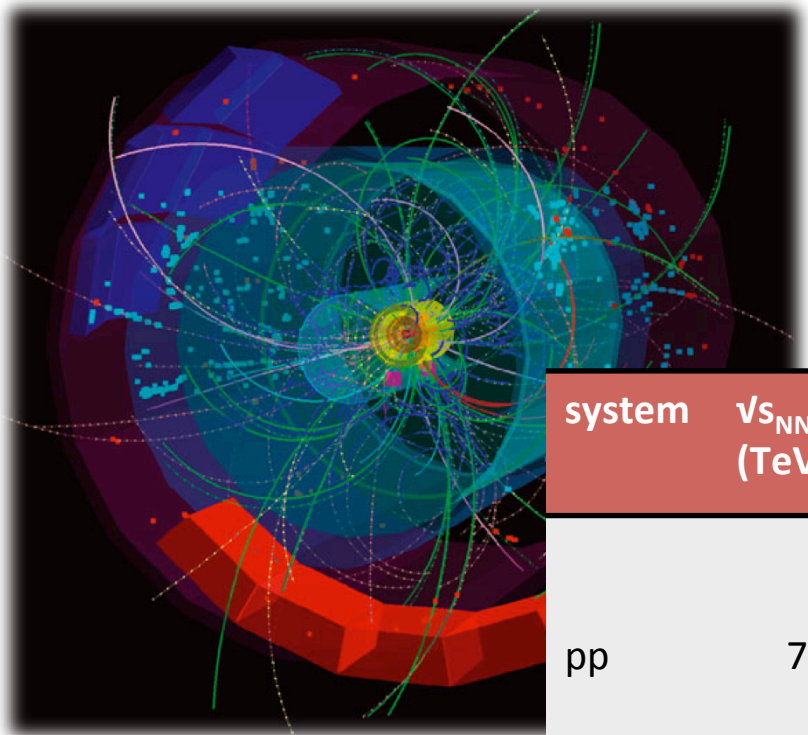
Analysis strategy:

1. muon track selection

- acceptance/geometrical cuts
- tracks matched with trigger
- pointing to the IP

2. HF-decay muon signal counting

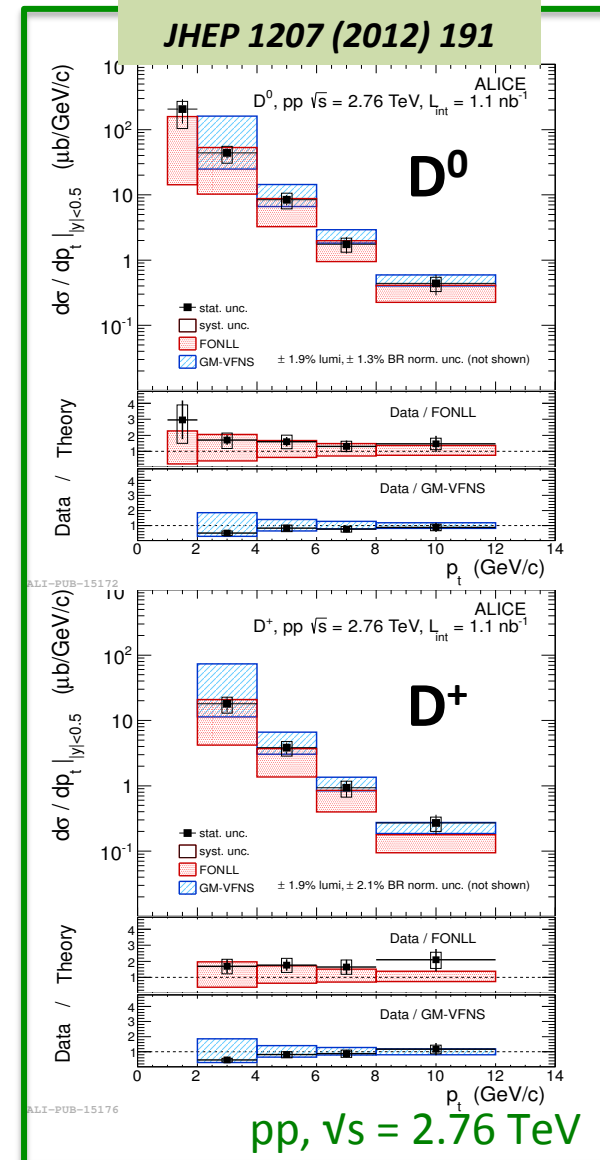
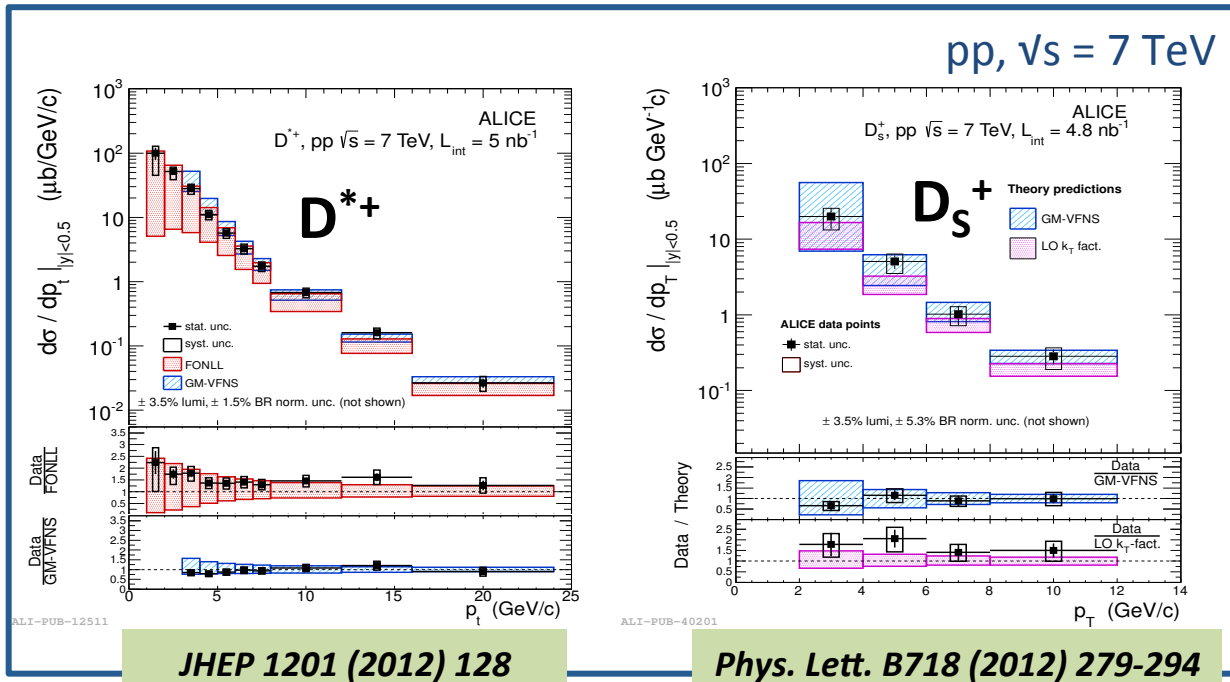
- after subtraction of muons from primary π, K decays via simulations with data tuned π, K abundances



pp collisions

system	$\sqrt{s_{NN}}$ (TeV)	year	Luminosity	Analyses
pp	7	2010	2.6 nb ⁻¹	HF-decay electrons
			2.2 nb ⁻¹	Beauty-decay electrons
			16.5 nb ⁻¹	HF-decay muons
			5 nb ⁻¹	D mesons
pp	2.76	2011	0.5 (11.9) nb ⁻¹	HF-decay electron and beauty-decay electrons Min. Bias (EmCAL)
			19 nb ⁻¹	HF-decay muons
			1.1 nb ⁻¹	D mesons

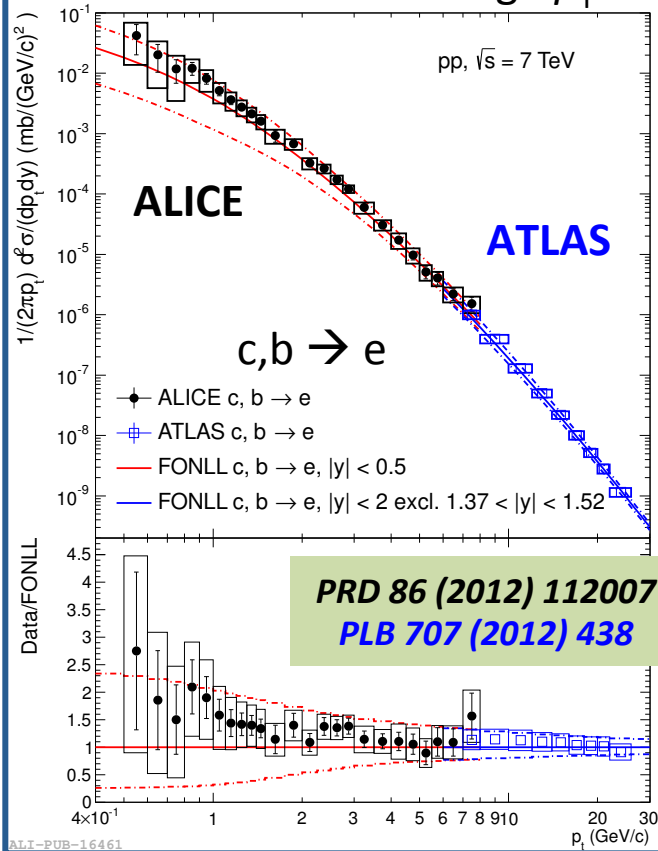
D-meson production cross sections



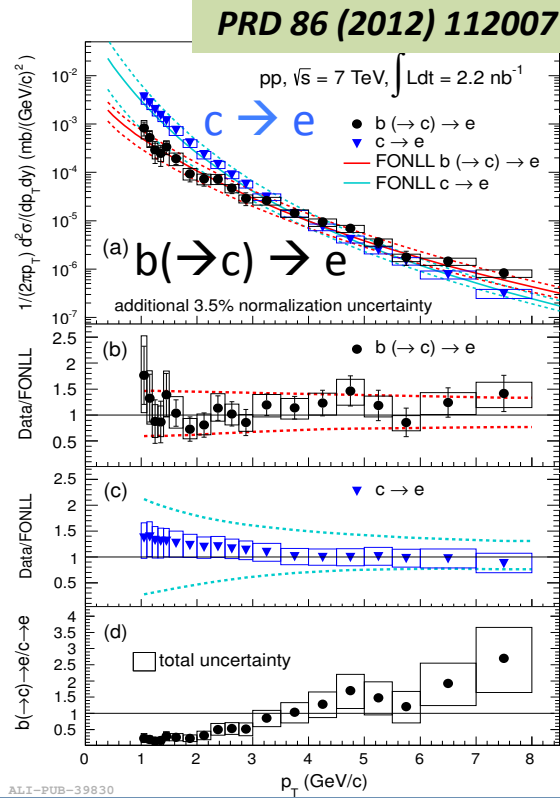
- The p_T -differential production cross sections are reproduced within uncertainties by theoretical predictions based on pQCD
 - FONLL: JHEP 1210 (2012) 37
 - GM-VFNS: EPJ C72 (2012) 2082
 - LO k_T factorization: Phys. Rev. D87 (2013) 094022

Heavy-flavour decay leptons

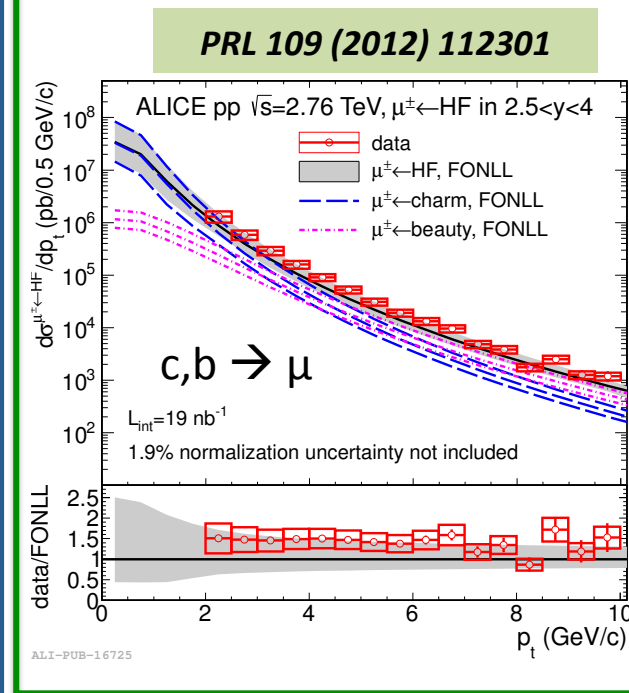
complementary with ATLAS measurements at high p_T



pp, $\sqrt{s} = 7$ TeV

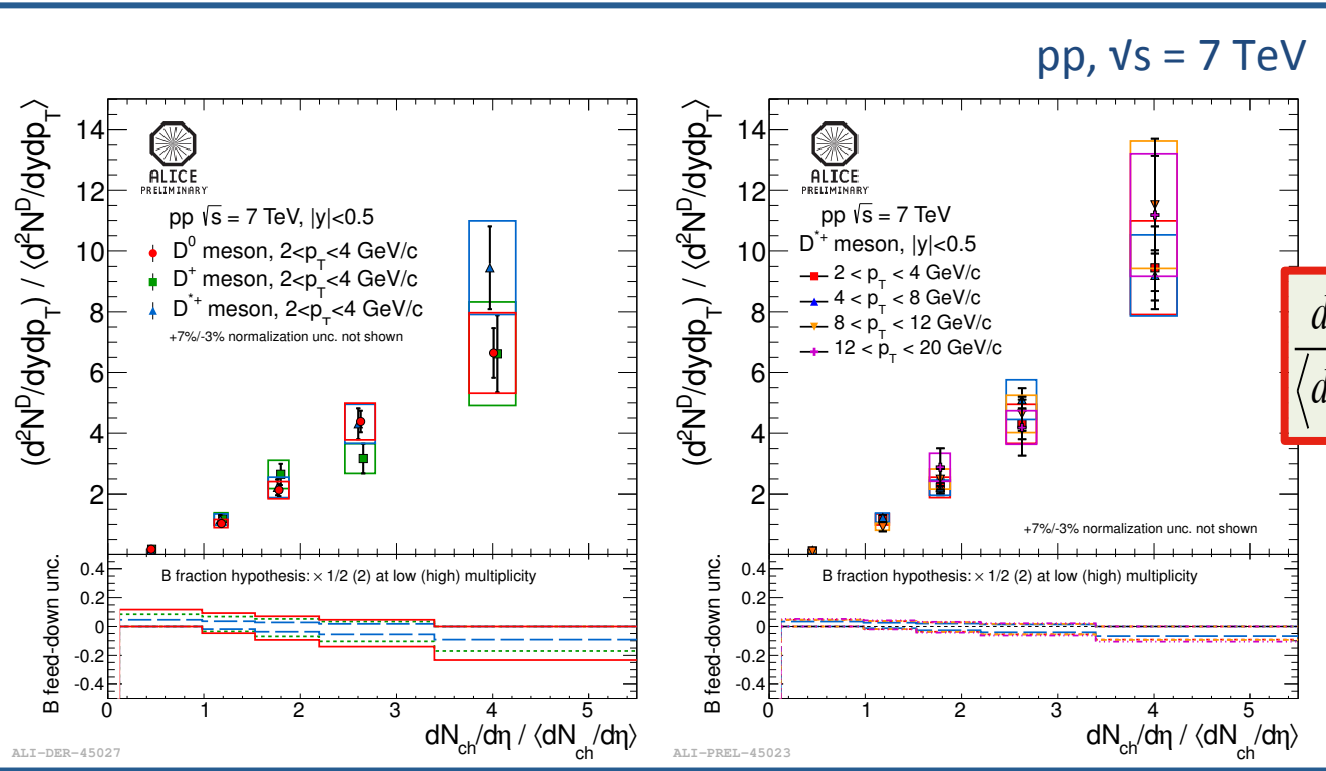


pp, $\sqrt{s} = 2.76$ TeV



- HF-decay lepton p_T -differential production cross sections are reproduced within uncertainties by theoretical predictions based on pQCD (from $p_T = 0.5$ to 25 GeV/c)

Multiplicity dependence



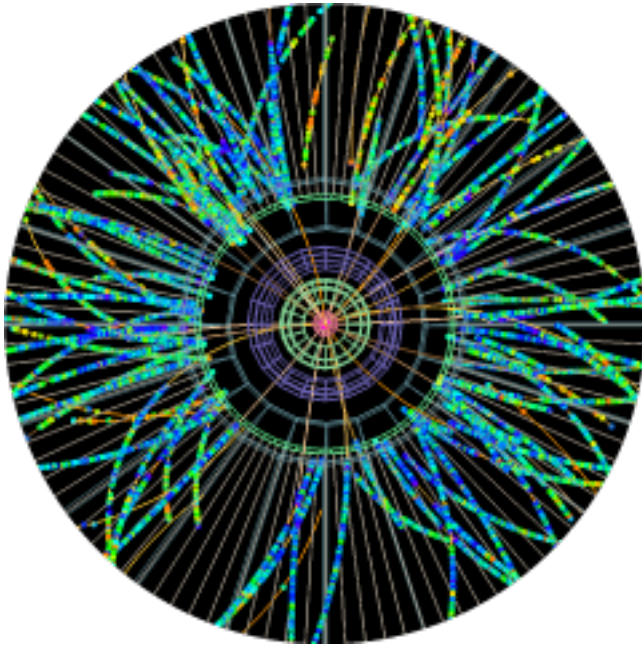
pp, $\sqrt{s} = 7$ TeV

Self-normalized D-meson yields vs. charged-particle multiplicity in pp collisions at $\sqrt{s} = 7$ TeV.

$$\frac{d^2N / dydp_T}{\langle d^2N / dydp_T \rangle} = \frac{Y^{mult} / (\epsilon^{mult} \times N_{event}^{mult})}{Y^{tot} / (\epsilon^{tot} \times N_{event}^{tot} / \epsilon^{trigger})}$$

- Yields of all D-meson species increase with multiplicity w/o a significant p_T dependence
 - Indication of Multi-Parton Interaction on a hard scale?

Similar trend observed also in p-Pb and Pb-Pb collisions.



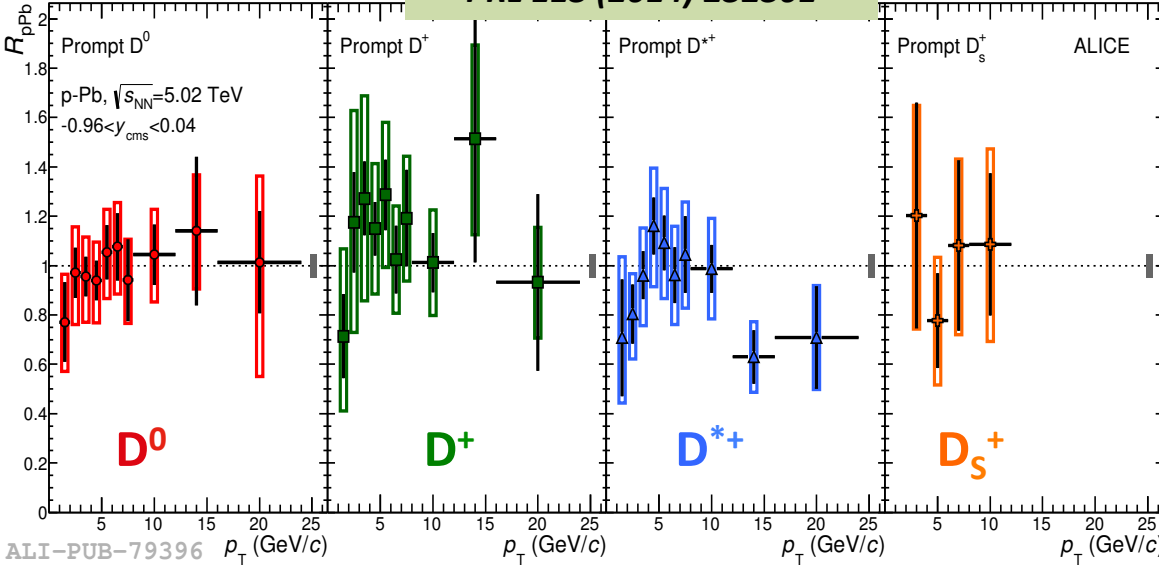
p-Pb collisions

b-bp collisions

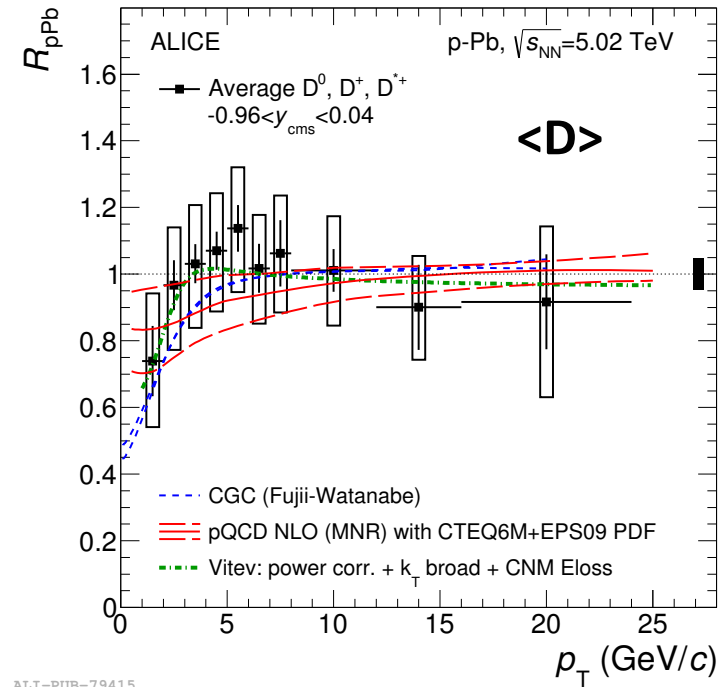
system	$\sqrt{s_{NN}}$ (TeV)	year	Luminosity (Min. Bias)	Analyses
p-Pb	5.02	2013	$48.6 \mu\text{b}^{-1}$	D mesons, HF-decay electrons and muons, beauty-decay electrons, HF-decay electrons – charged-hadron correlation

D meson: p_T -differential R_{pPb}

PRL 113 (2014) 232301



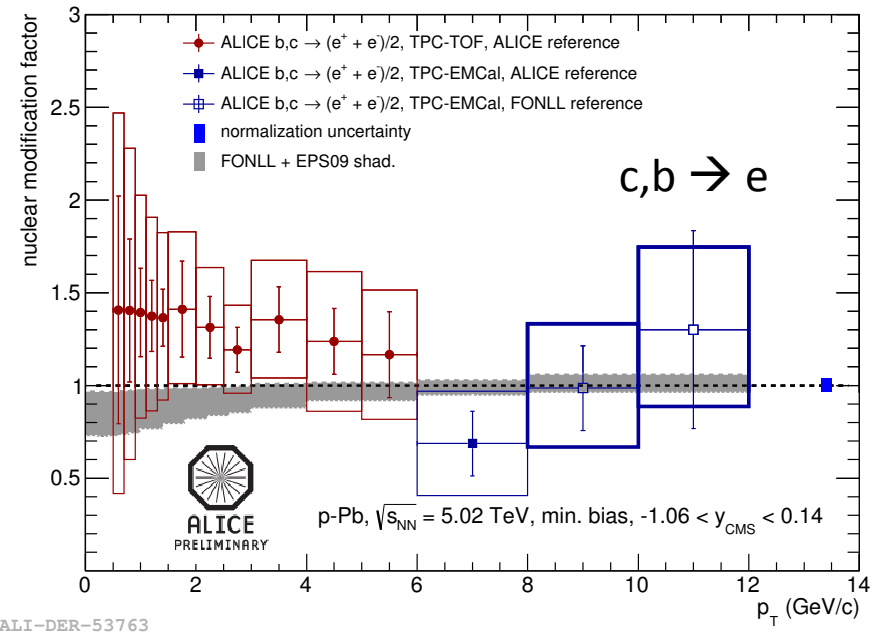
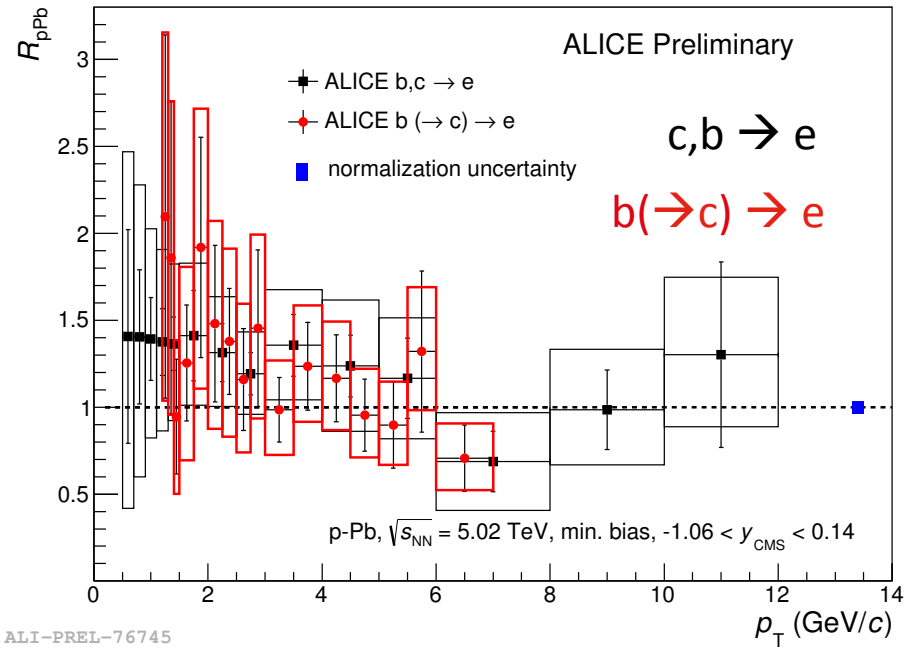
$$R_{pPb}(p_T) = \frac{1}{A} \frac{d\sigma_{pPb} / dp_T}{d\sigma_{pp} / dp_T}$$



- R_{pPb} consistent with unity for all D-meson species
- Good agreement with theoretical calculations:
 - CGC calculations (*NPA 915 (2013)*).
 - MNR pQCD calculations with EPS09 nuclear PDF (*JHEP 04 (2009) 065*)
 - model including energy loss in cold nuclear matter, nuclear shadowing and k_T broadening (*PRC 75 (2007) 064906*)
- Small cold nuclear matter effects for $p_T > 2$ GeV/c

ALI-PUB-79415

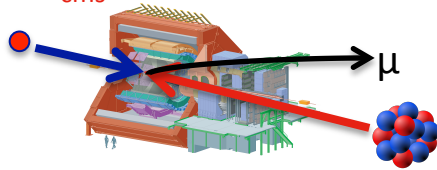
HF-decay electron R_{pPb}



- R_{pPb} consistent with unity for heavy-flavour decay electrons at mid-rapidity
- FONLL pQCD calculations with EPS09 shadowing parameterization in agreement with the data (*JHEP 06 (2001) 0103*, *JHEP 04 (2009) 065*)
- **Small cold nuclear matter effects for $p_T > 2 \text{ GeV}/c$**

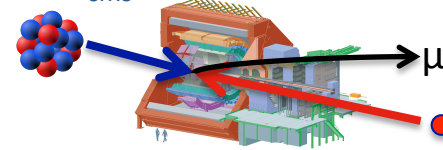
HF-decay muon R_{pPb}

$$2.5 < y_{\text{cms}} < 3.54$$

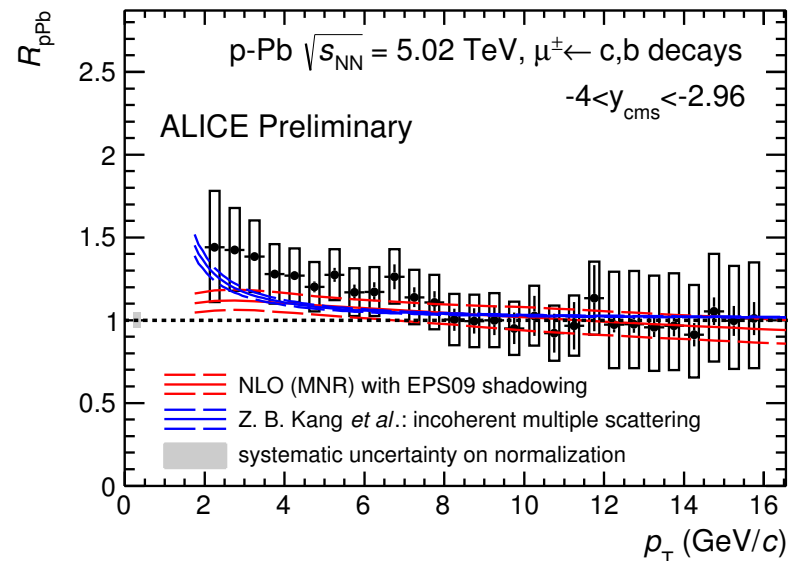
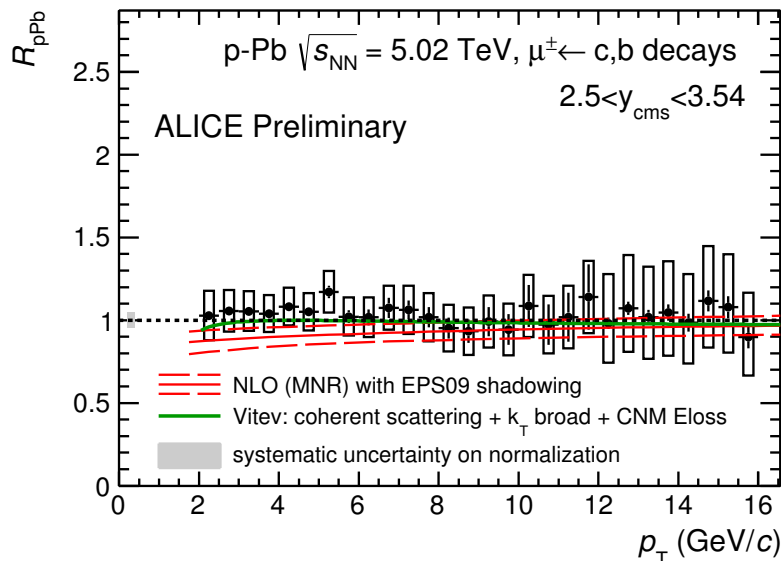


Forward
(probing low x
in Pb nucleus)

$$-4 < y_{\text{cms}} < -2.96$$



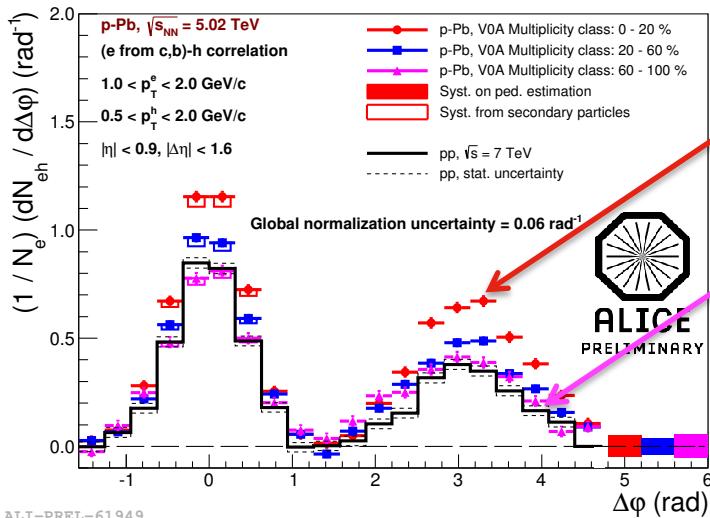
Backward
(probing high x
in Pb nucleus)



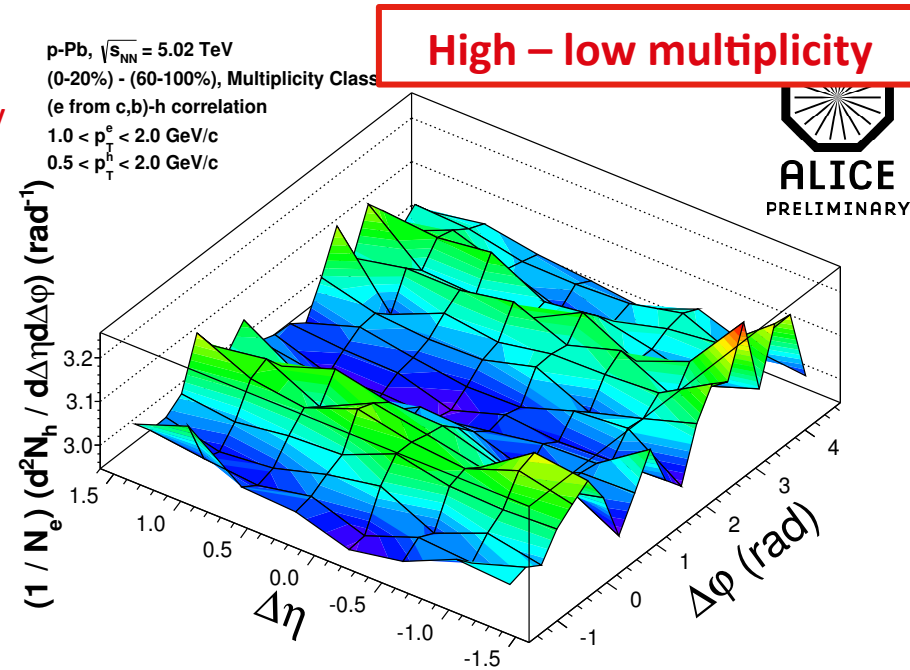
- R_{pPb} consistent with unity at **forward rapidity**
- R_{pPb} slightly larger than unity at **backward rapidity** (in the range $2 < p_T < 4$ GeV/c)
- Data are well described by pQCD models including cold nuclear matter effects (*NPB 373 (1992) 295, JHEP 04467 (2009) 065, PRC 75 (2007) 064906, arXiv:1409.2494*)
- **Small cold nuclear matter effects for $p_T > 4$ GeV/c**

HF-decay electron – hadron correlations

- study of the angular correlation between HF-decay electrons (*trigger particle*) and charged hadrons (*associated particle*) in 3 multiplicity classes



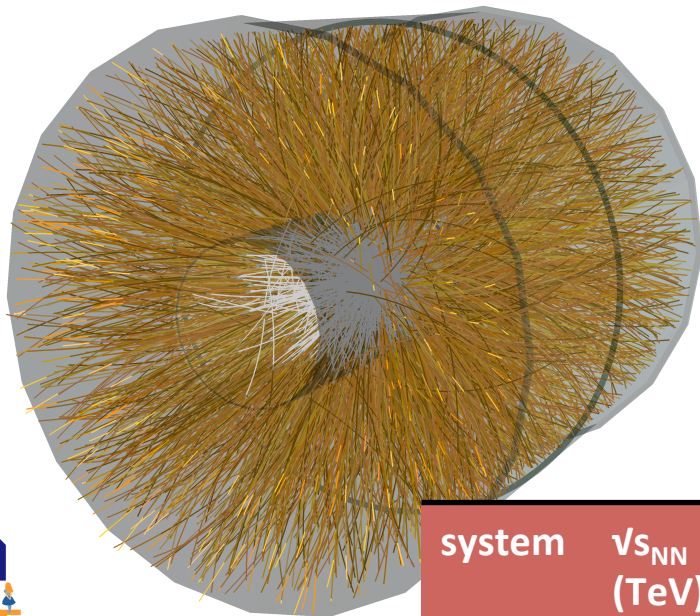
0-20%
 high multiplicity
 60-100%
 low multiplicity



- Low- p_T trigger particle ($1 < p_T^e < 2 \text{ GeV}/c$): enhancement in the near and away-side peaks for the highest multiplicity events (0-20%).
- double-ridge structure emerges** (similar to what was observed in h-h correlations in the light quark sector).
- Same mechanism (CGC/hydro) for light and heavy flavour?

[Phys. Lett. B719 \(2013\) 29-41](#)
[Phys. Lett. B726 \(2013\) 164-177](#)

[Phys. Rev. C88 \(2013\) 014903](#)



Pb-Pb collisions

bp-bp collisions

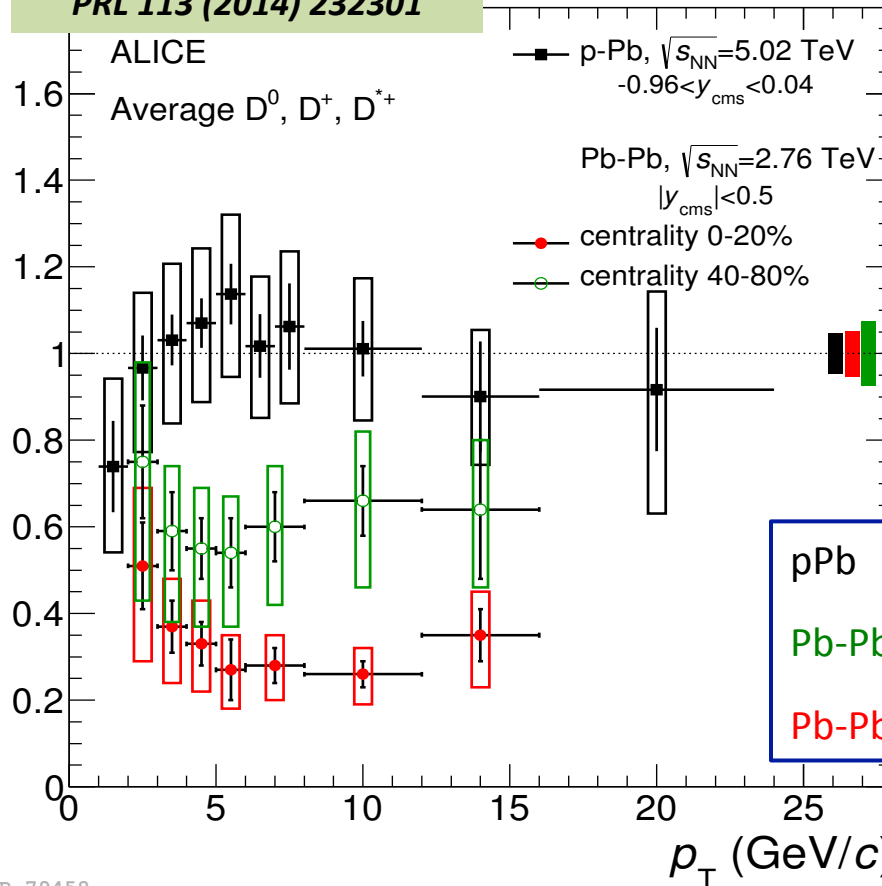


system	$\sqrt{s_{NN}}$ (TeV)	year	Luminosity	Analyses
			$2 \mu\text{b}^{-1}$	HF-decay electrons
Pb-Pb	2.76	2010	$2.7 \mu\text{b}^{-1}$	HF-decay muons
			$2.12 \mu\text{b}^{-1}$	D mesons
Pb-Pb	2.76	2011	$28 (37) \mu\text{b}^{-1}$	central trigger (EmCAL)
			$6 (34) \mu\text{b}^{-1}$	semi-peripheral trigger (EmCAL)

D-meson R_{AA} and R_{pPb} vs. p_T

PRL 113 (2014) 232301

Nuclear modification factor

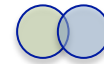


$$R_{AA}(p_T) = \frac{\text{Yield}_{AA}(p_T)}{\langle N_{\text{COLL}} \rangle_{AA} \text{Yield}_{pp}(p_T)}$$

pPb

Pb-Pb 40-80%

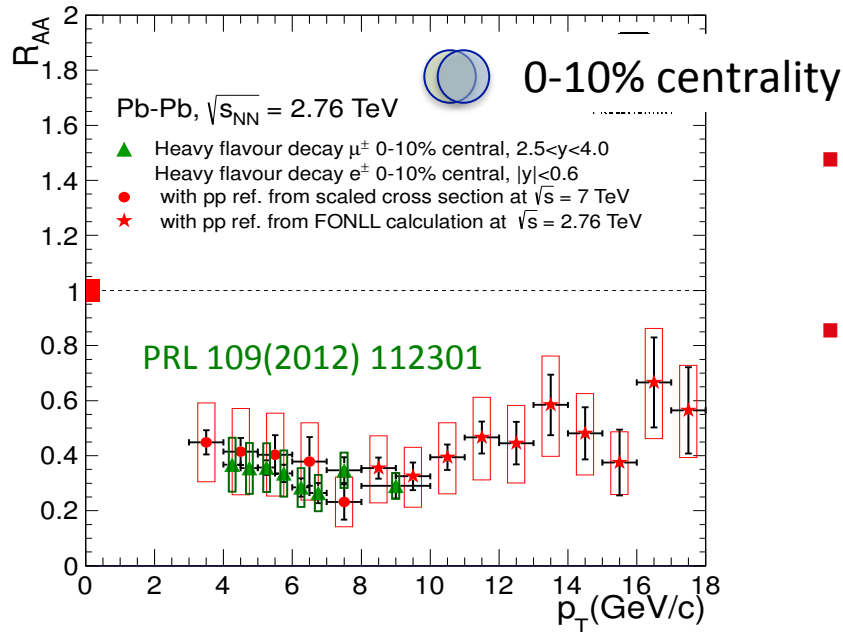
Pb-Pb 0-20%



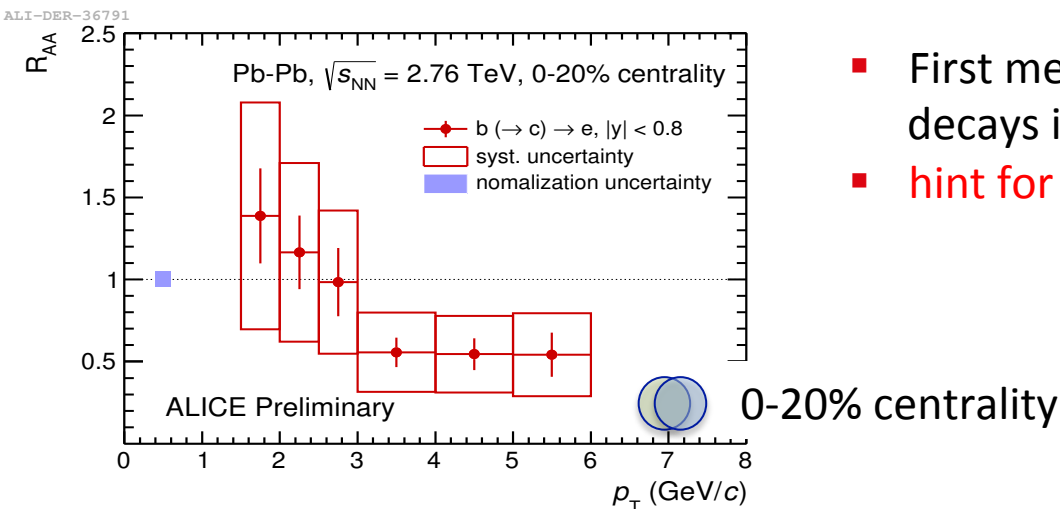
- Strong suppression observed (factor 3-5) for $p_T > 5$ GeV/c in central collisions
- Suppression increasing with centrality
- No suppression in p-Pb collisions, the suppression observed in Pb-Pb collisions is a final-state effect due to hot and dense QCD matter.

ALI-PUB-79458

HF-decay lepton R_{AA} vs. p_T



- Strong suppression of electrons and muons from heavy-flavour decays in the most central Pb-Pb collisions
- R_{AA} values similar at central and forward rapidity
 - electrons: $|\eta| < 0.6$
 - muons: $2.5 < y < 4$



- First measurement of electrons from beauty decays in Pb-Pb collisions
- hint for suppression for $p_T > 3$ GeV/c

Hierarchy of parton energy loss

- Radiative parton energy loss is **colour-charge sensitive** (e.g. *BDMPS* approach, *Nucl. Phys. B483 (1997) 291*):

$$\langle \Delta E \rangle \propto \alpha_s C_R \hat{q} L^2$$

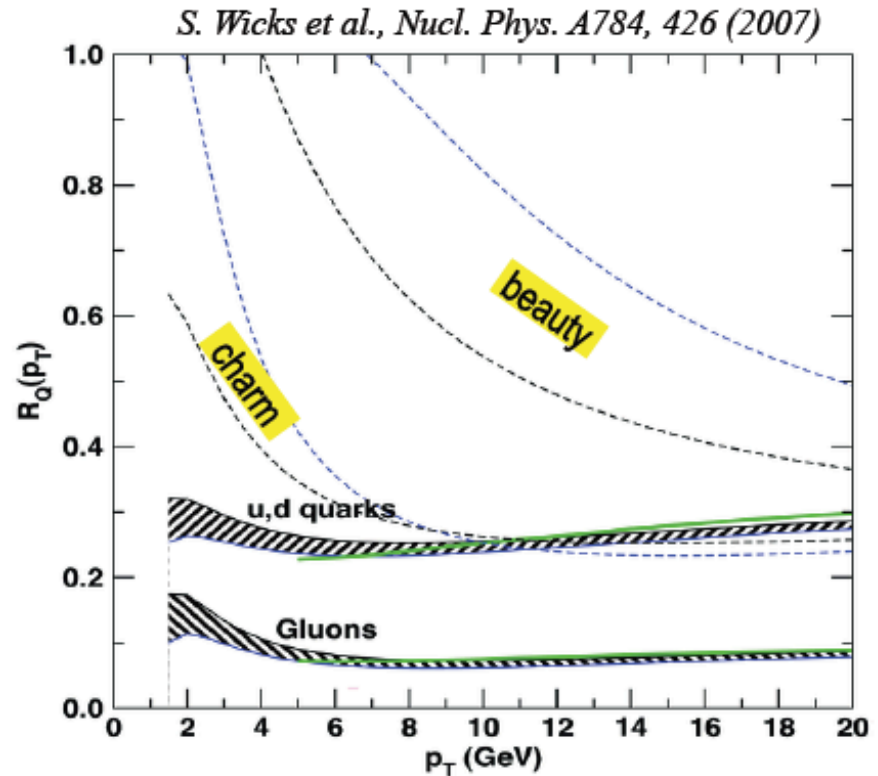
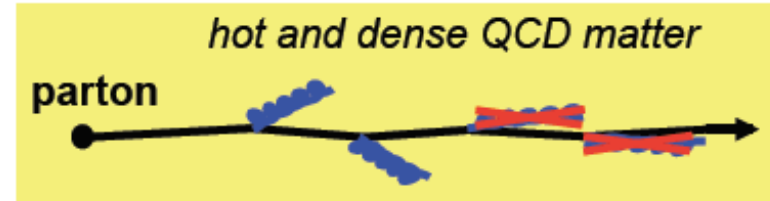
where C_R (Casimir coupling factor) is 4/3 for quarks and 3 for gluons.

- **Dead-cone effect** (*JPLB 519 (2001) 199*): gluon radiation suppressed at angles $\theta < m_Q/E_Q$.

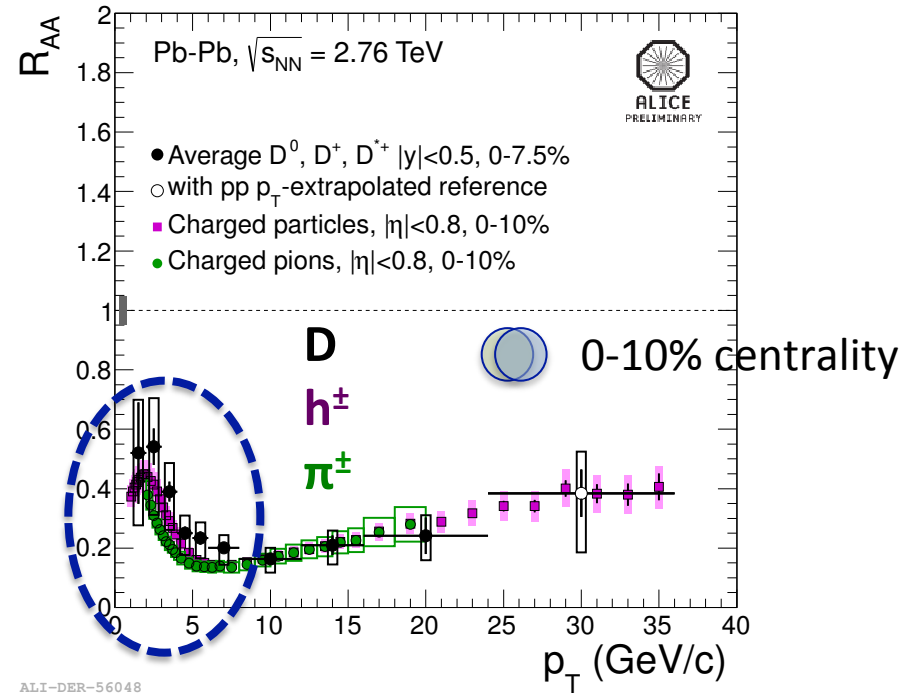
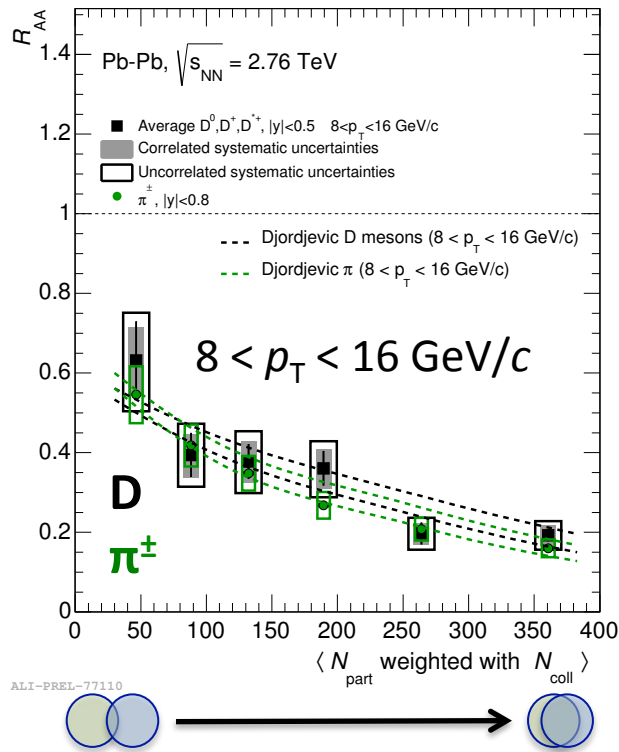
$$\Delta E_g > \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$$



$$R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B) ?$$



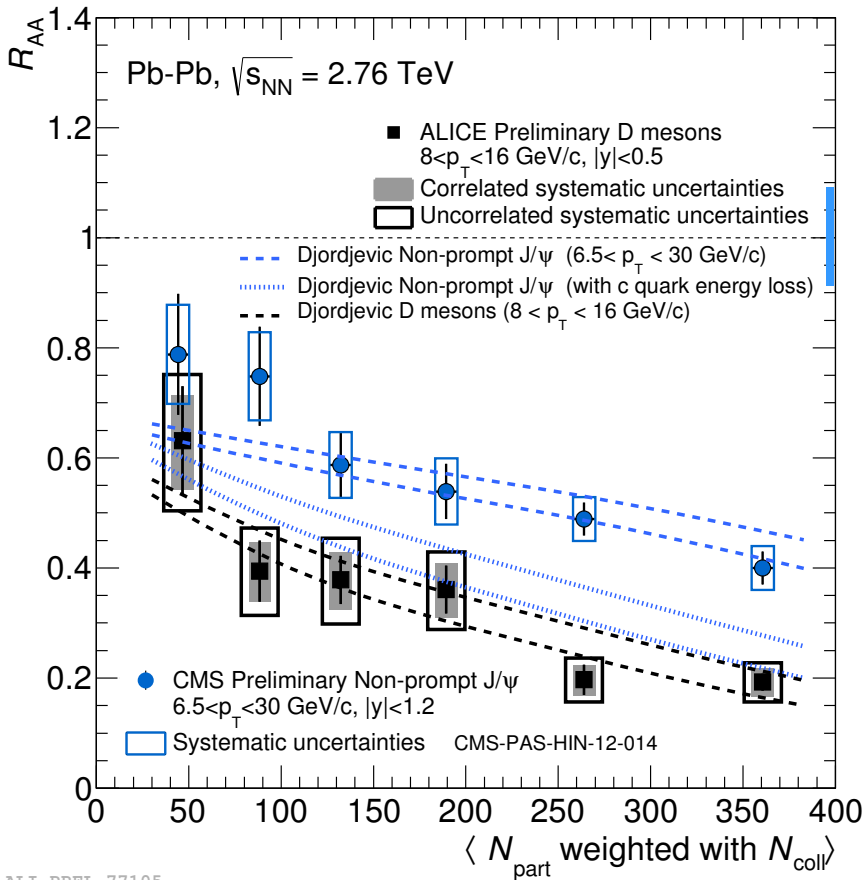
R_{AA} mass hierarchy (charm vs. light quarks)



- R_{AA} for D mesons and π are compatible within uncertainties, measurements at low p_T not yet conclusive
- In agreement with models considering:
 - mass and colour-charge dependent energy loss
 - different p_T spectra of charm, light quarks and gluons
 - different fragmentation functions
 - soft production mechanism for low- p_T π

Djordjevic, PRL 112 (2014) 042302
Wicks et al, NPA 872 (2011) 265

R_{AA} mass hierarchy (charm vs. beauty)



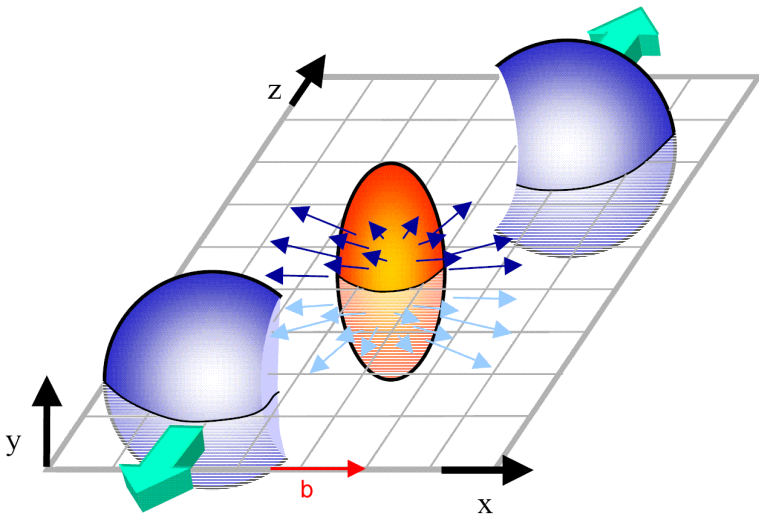
- ALICE prompt D-meson R_{AA} compared with non-prompt J/ψ from CMS in a similar kinematic range ($\langle p_T \rangle \sim 10$ GeV/c, slightly different rapidity)
- Indication of $R_{AA}(B) > R_{AA}(D)$ in central Pb-Pb collisions
- The different suppression and the centrality dependence are described by pQCD models including quark-mass dependent energy loss (e.g. BAMPS, WHDG, TAMU, MC@shQ+EPOS2, Vitev et al).



M. Djordjevic et al (*Phys. Lett. B* 737(2014) 298)

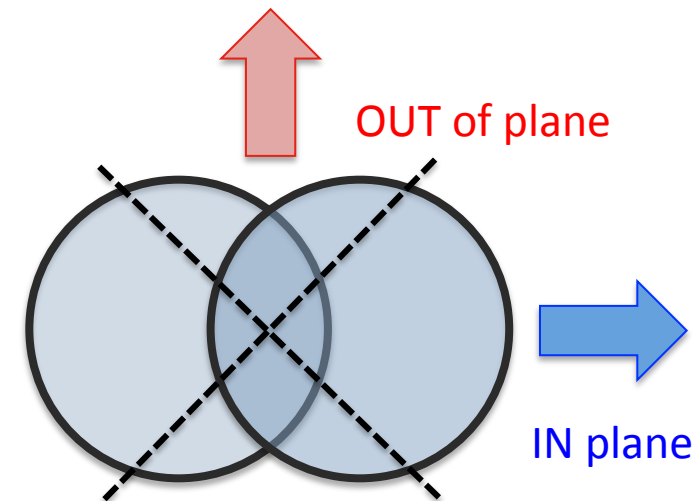
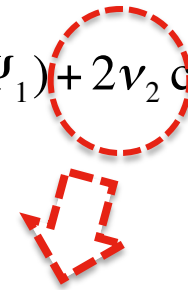
pQCD in-medium energy loss model based on mass dependent energy loss in agreement with data

Azimuthal anisotropy



- Initial spatial anisotropy \rightarrow anisotropy of particle emission in momentum space
- quantified via a Fourier expansion in azimuthal angle with respect to the reaction/symmetry plane

$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_1) + 2v_2 \cos(\varphi - \Psi_2) + \dots)$$



- low p_T** : sensitive to collective hydrodynamical expansion of the medium
- high p_T** : sensitive to path-length dependent in-medium parton energy loss

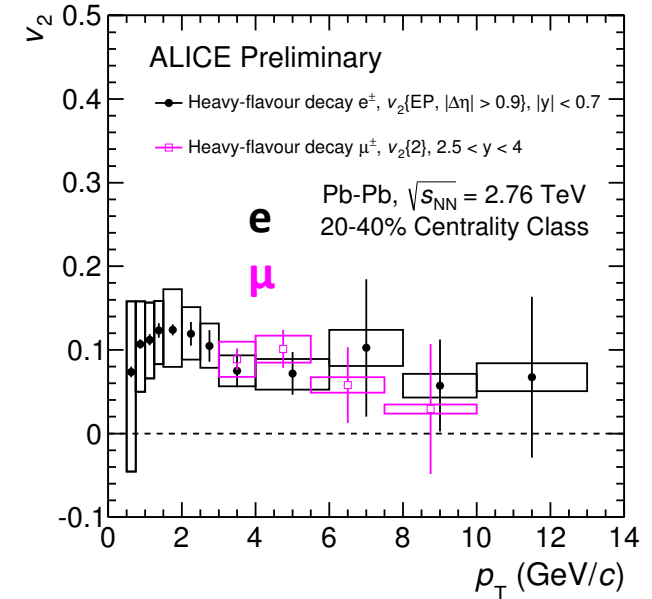
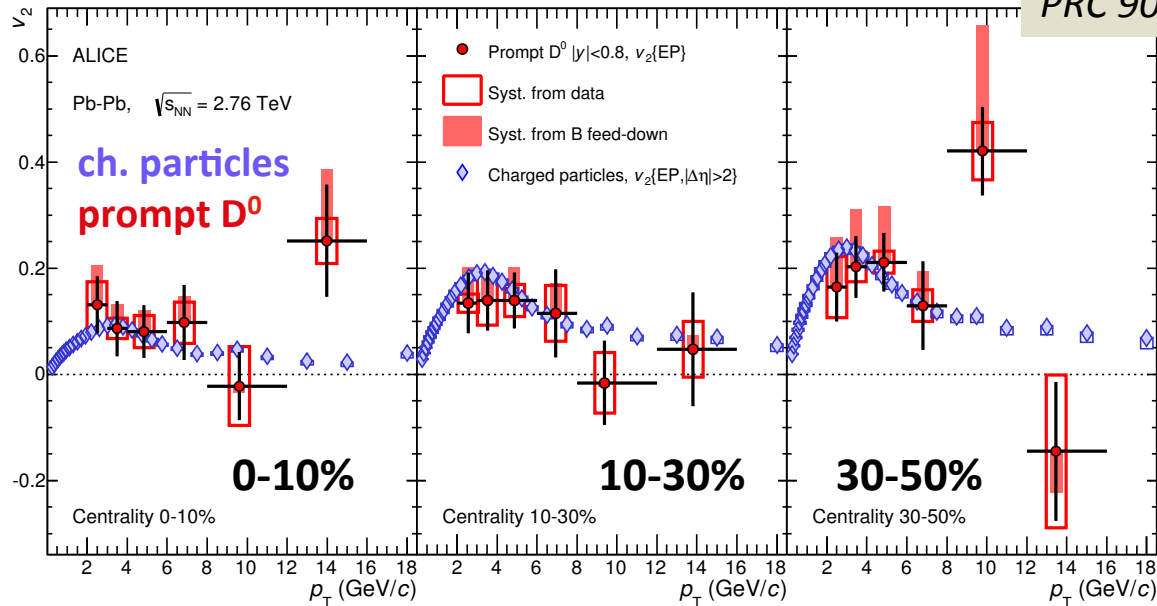
$$v_2 = \frac{1}{R_2} \frac{\pi}{4} \frac{N_{IN} - N_{OUT}}{N_{IN} + N_{OUT}}$$

R_2 : event plane resolution

Elliptic flow

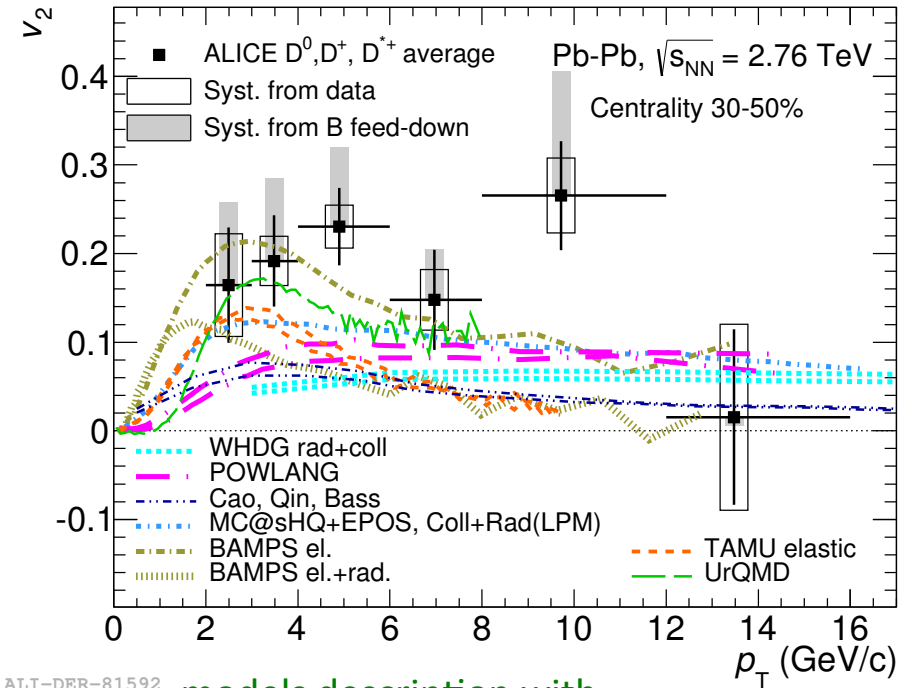
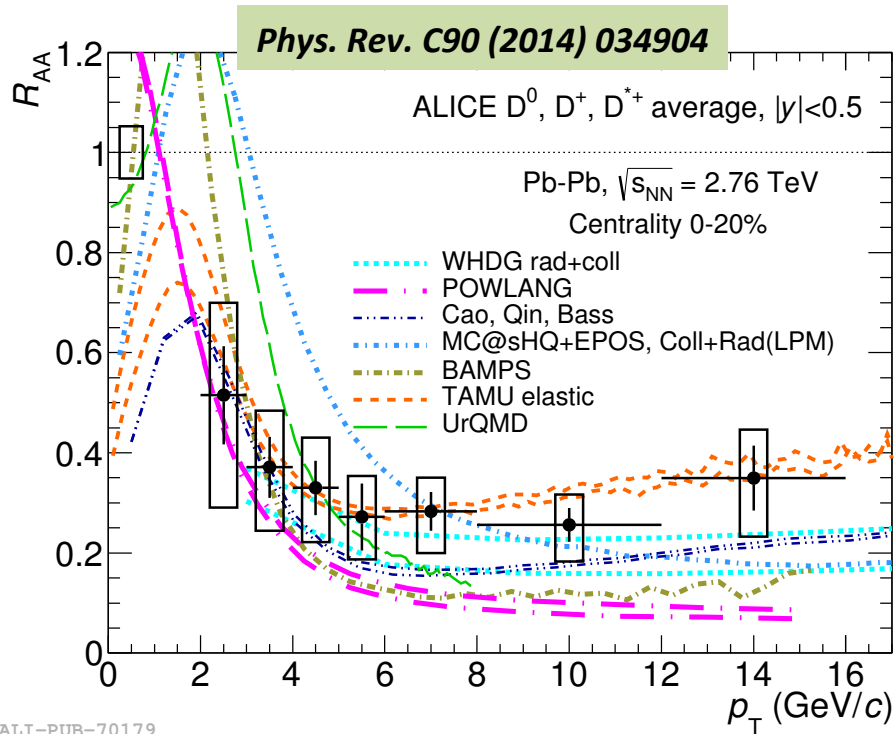
PRL 111 (2013) 102301

PRC 90 (2014) 034904



- Positive D-meson v_2 observed: 5.7σ effect for $2 < p_T < 6$ GeV/c in 30-50% centrality
- Positive v_2 and similar centrality dependence as observed for D mesons also for HF-decay leptons. Positive v_2 suggests that low- p_T charm quarks participate in the collective expansion of the system.
- hint for an increase of v_2 from central to semi-central collisions.
- D-meson v_2 similar to charged-particle v_2 .
- more statistics needed to quantify v_2 at high p_T

D-meson R_{AA} and v_2 vs. models



models description with
references in extra sides

- Simultaneous description of open charm R_{AA} and v_2 is challenging.
- With current precision, measurements can start constraining models.

Conclusions

■ pp collisions

- Open heavy-flavour production is well described by pQCD calculations.
- The increase of D-meson yields with charged-particle multiplicity can be interpreted in terms of MPIs.

■ p-Pb collisions

- Indication of small cold nuclear matter effect ($R_{pPb} \sim 1$ for $p_T > 2$ GeV/c at mid and forward rapidity and for $p_T > 4$ GeV/c at backward rapidity).
- Data described within uncertainties by different models including initial-state effects.
- Double-ridge structure appears in the study of HF-decay electron – hadron correlation (similar to what was observed in h – h correlation).

■ Pb-Pb collisions

- Heavy-flavour production is suppressed at high p_T in the most central Pb-Pb collisions with respect to the binary scaled pp collisions.
- The suppression is due to final-state effects due to parton energy loss in the medium and consistent with expected mass ordering.
- $v_2 > 0$ suggests that charm quarks participate in the system collective motion.
- Simultaneous measurements of v_2 and R_{AA} are a tool to constrain models.