

QNP 2015

Valparaiso, Chile: March 2-6, 2015.



TRANSVERSE MOMENTUM DISTRIBUTIONS
Hrayr Matevosyan



CoEPP
ARC Centre of Excellence for
Particle Physics at the Terascale

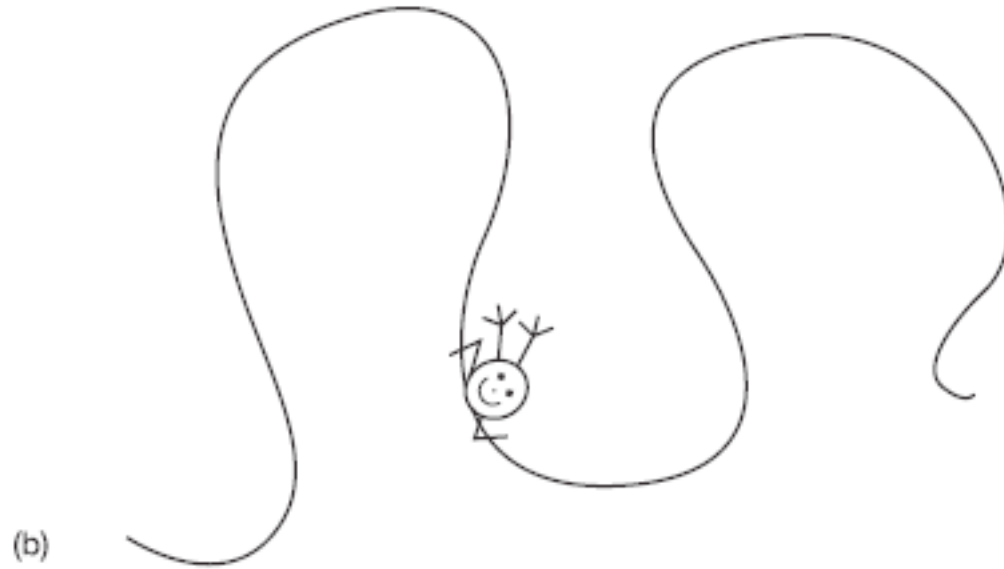
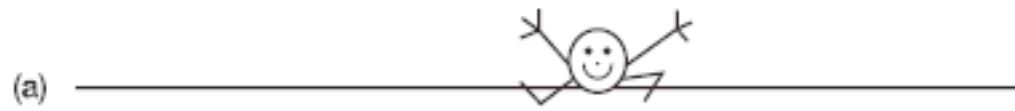


THE UNIVERSITY
of ADELAIDE

OUTLOOK

- ◆ *What are **TMD PDFs**?*
- ◆ *How to Access Them Experimentally?*
- ◆ *Empirical Extractions.*
- ◆ *Sivers Effect in **Two Hadron SIDIS** and **Modified Full Event Generators**.*
- ◆ *Conclusions.*

Covers only a small fraction of topics! Apologies for not mentioning a particular work, e.g. [Talk by A. Szabelski](#)



COLLINEAR PDFs

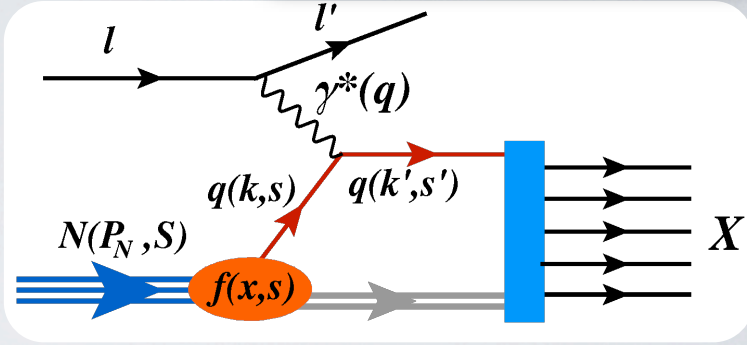
NUCLEON PARTON DISTRIBUTION FUNCTIONS

Talk by E. Nocera

- *Unpolarized* quark in *Unpolarized* nucleon.

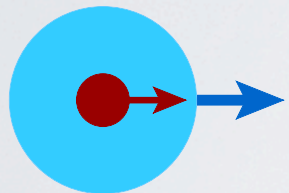


$$f_1^q(x, Q^2)$$

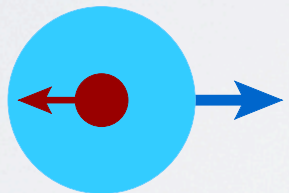


- **The momentum and the spin of the partons are correlated with the polarization of the nucleon!**

- *Longitudinally* polarized quark in *Longitudinally* polarized nucleon.

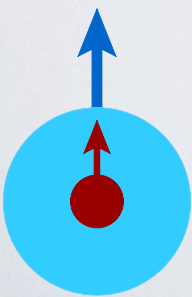


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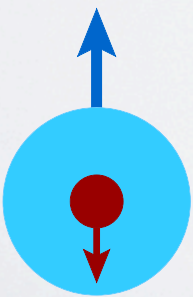


$$g_{1L}^q(x, Q^2)$$

- *Transversely* polarized quark in *Transversely* polarized nucleon.

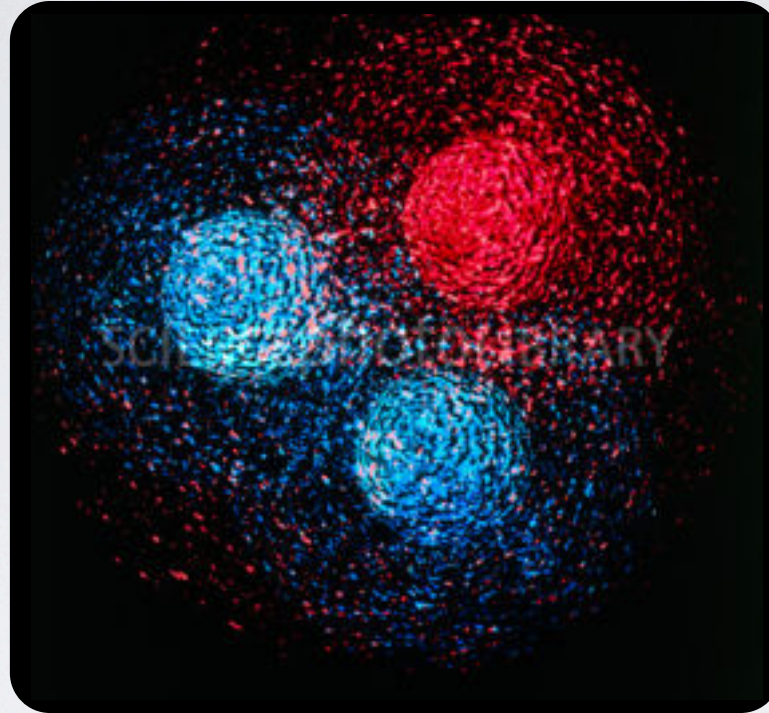


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$$h_{1T}^q(x, Q^2)$$

Chiral-odd: Suppressed in Inclusive DIS



3-D PICTURE OF NUCLEON:

TRANSVERSE MOMENTUM DEPENDENCE

❖ **TMDs: Momentum Space** ❖ **GPDs: Impact Parameter**

❖ **The transverse momentum (TM) of the parton can couple with both its own spin and the spin of the nucleon!**

❖ **Leading Order TMD PDFs**

N/q	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}^\perp	$h_1 h_{1T}^\perp$

❖ **TMDs: Momentum Space** ❖ **GPDs: Impact Parameter**

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◆ Survive after TM integration!

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❖ **Leading Order TMD PDFs**

◆ Survive after TM integration!

N/q	U	L	T	
U	f_1		h_1^\perp	Boer-Mulders
L		g_{1L}	h_{1L}^\perp	Worm Gear
T	f_{1T}^\perp	g_{1T}^\perp	$h_1 h_{1T}^\perp$	Pretzelosity

Sivers Worm Gear

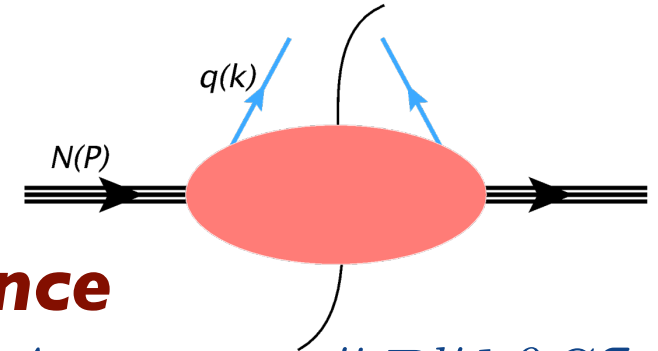
Mulders, Tangerman, NPB 461 197-237 (1996). Bacchetta et al., JHEP 0702, 093 (2007).

- **Quark-Quark Correlation Function**

$$\Phi_{ij}(P, S; k) = \frac{1}{(2\pi)^4} \int d^4x e^{ik \cdot x} \langle P, S | \bar{\psi}_j(0) \mathcal{L}[0, x; path] \psi_i(x) | P, S \rangle$$

- **Gauge Link**

$$\mathcal{L}[0, x; path] = \mathcal{P} \exp \left(-ig \int_0^x ds^\mu A_\mu(s) \right)$$



- **Hermiticity of Fields, P and C invariance**

$$\Phi(P, S; k) = A_1 + A_2 \not{P} + A_3 \not{k} + \dots + A_{12} \epsilon_{\mu\nu\rho\sigma} \gamma^\mu P^\nu k^\rho S^\sigma$$

- **Traces of the correlator with a Dirac operators**

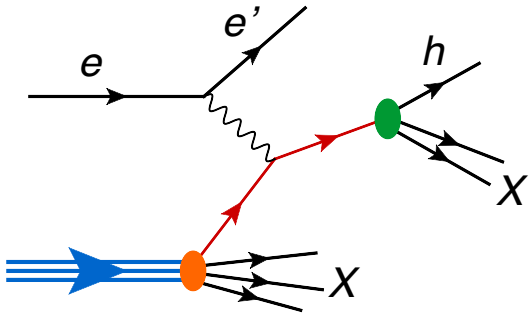
$$\Phi^{[\Gamma]}(x, \vec{k}_T) \equiv \frac{1}{2} \int dk^- \text{Tr}[\Phi \Gamma] |_{k^+ = xP^+}$$

- **Leading-order in M/P^+ (twist two) expansion**

$$\Phi^{[\gamma^+]} = f_1 - \frac{\epsilon_T^{\rho\sigma} k_{T\rho} S_{T\sigma}}{M} f_{1T}^\perp \quad \Phi^{[\gamma^+ \gamma^5]} = S_L g_{1L} - \frac{\vec{k}_T \cdot \vec{S}_T}{M} g_{1T}$$

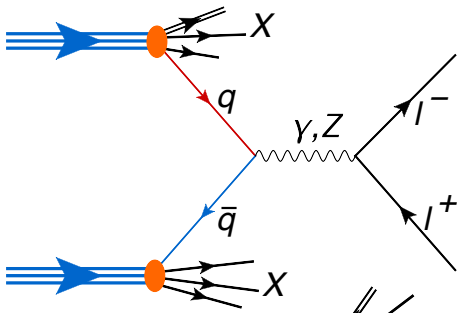
$$\Phi^{[i\sigma^{\alpha+} \gamma^5]} = S_T^\alpha h_1 + S_L \frac{k_T^\alpha}{M} h_{1L}^\perp - \frac{(k_T^\alpha k_T^\rho - \frac{1}{2} k_\perp^2 g_T^{\alpha\rho}) S_T^\rho}{M^2} h_{1T}^\perp - \frac{\epsilon_T^{\alpha\rho} k_{T\rho}}{M} h_{1T}^\perp$$

FACTORIZATION AND UNIVERSALITY



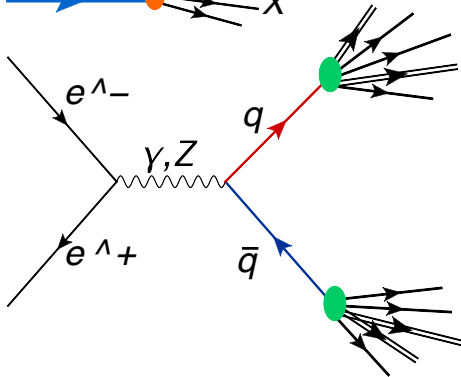
- SEMI INCLUSIVE DIS (SIDIS)

$$\sigma^{eP \rightarrow ehX} = \sum_q f_q^P \otimes \sigma^{eq \rightarrow eq} \otimes D_q^h$$



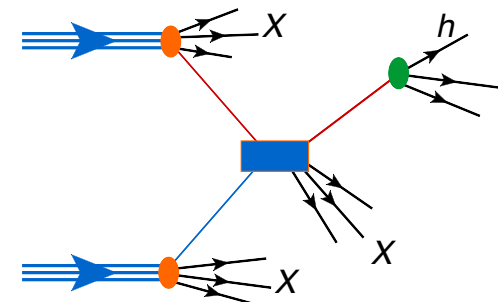
- DRELL-YAN (DY)

$$\sigma^{PP \rightarrow l^+ l^- X} = \sum_{q, q'} f_q^P \otimes f_{\bar{q}}^P \otimes \sigma^{q\bar{q} \rightarrow l^+ l^-}$$



- $e^+ e^-$

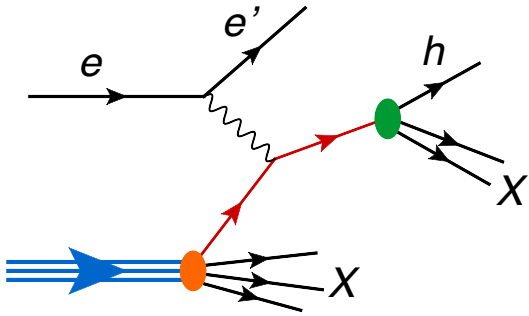
$$\sigma^{e^+ e^- \rightarrow hX} = \sum_q \sigma^{e^+ e^- \rightarrow q\bar{q}} \otimes (D_q^h + D_{\bar{q}}^h)$$



- Hadron Production

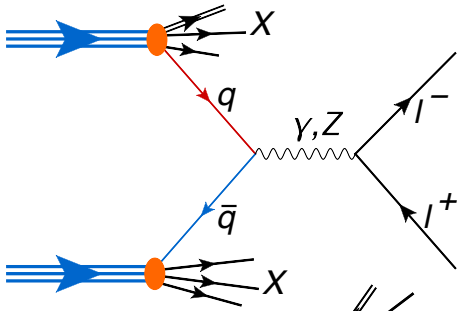
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FACTORIZATION AND UNIVERSALITY



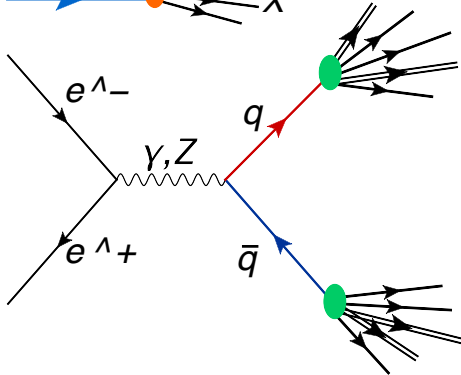
- SEMI INCLUSIVE DEEP INELASTIC SCATTERING (SIDIS)

$$\sigma^{eP \rightarrow ehX} = \sum_q \sigma^{eq \rightarrow eq} \otimes D_q^h$$



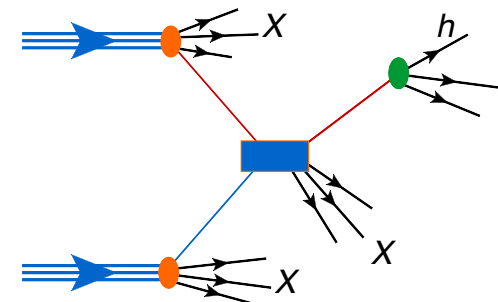
- DRELL-YAN (DY)

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- $e^+ e^-$

$$\sigma^{e^+ e^- \rightarrow hX} = \sum_q \sigma^{e^+ e^- \rightarrow q\bar{q}} \otimes (D_q^h + D_{\bar{q}}^h)$$



- Hadron Production

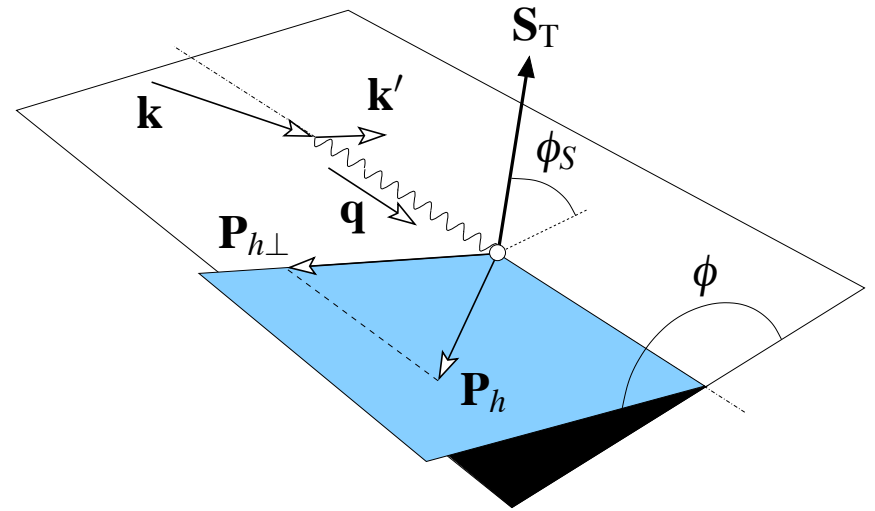
$$\sigma^{PP \rightarrow hX} = \sum_{q, q'} f_q^P \otimes f_{q'}^P \otimes \sigma^{qq' \rightarrow qq'} \otimes D_q^h$$

TMD Factorization Proven

TMDs from SIDIS $e N \rightarrow e h X$

A. Bacchetta et al., JHEP08 023 (2008).

- For polarized SIDIS cross-section there are **18 terms** in leading twist expansion:



$$\frac{d\sigma}{dx dy dz d\phi_S d\phi_h dP_{h\perp}^2} \sim F_{UU,T} + \varepsilon F_{UU,L} + \dots$$

Sivers Effect
Collins Effect

$$+ |\mathbf{S}_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \dots \right]$$

► Access the structure functions via *specific* modulations.

► LO Matching to *convolutions* of PDFs and FFs: $P_T^2 \ll Q^2$

$$F_{UU,T} \sim \mathcal{C}[f_1 D_1]$$

$$F_{UT,T}^{\sin(\phi_h - \phi_S)} \sim \mathcal{C}[f_{1T}^{\perp q} D_1]$$

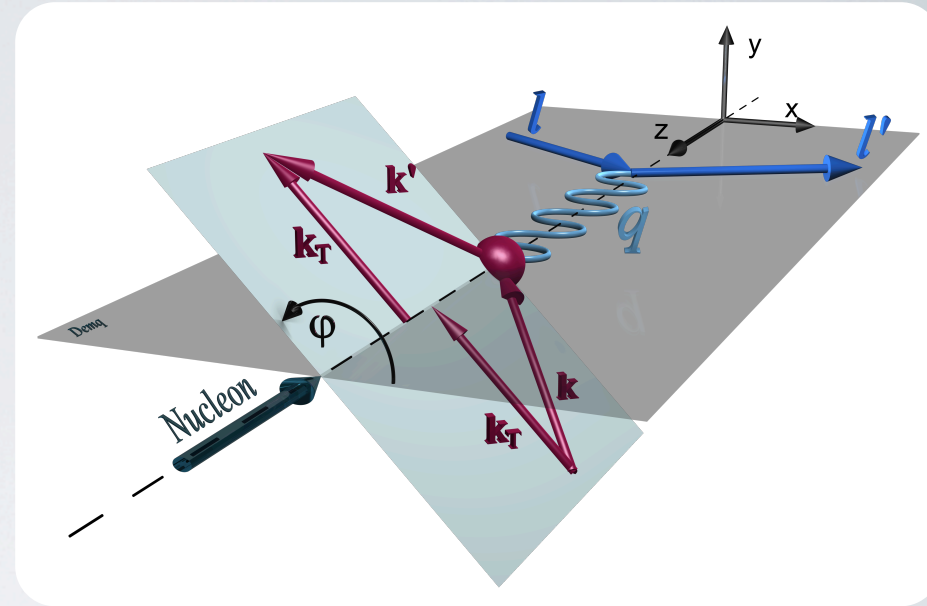
$$F_{UU}^{\cos(2\phi_h)} \sim \mathcal{C}[\mathcal{G}(\vec{k}_T, \vec{P}_T) h_1^{\perp} H_1^{\perp}]$$

$$F_{UT}^{\sin(\phi_h + \phi_S)} \sim \mathcal{C}[h_1 H_1^{\perp}]$$

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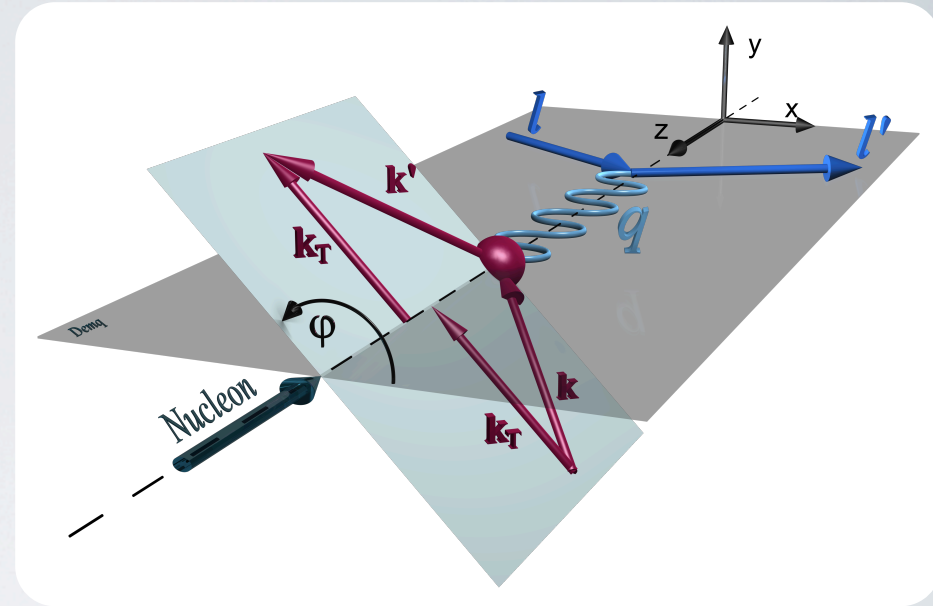
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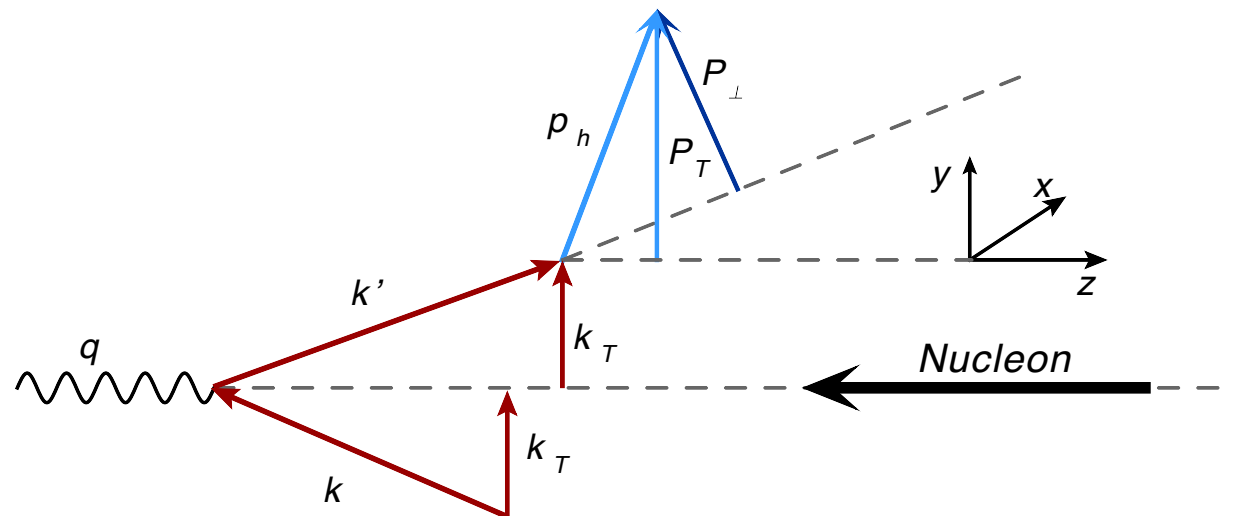


$$\mathcal{C}[f \ g \ \dots] \equiv \sum_q e_q^2 \int d^2 \vec{k}_T \ d^2 \vec{P}_\perp \ f \ g \ \dots \ \delta^2(\vec{P}_T - \vec{P}_\perp - z \vec{k}_T)$$

$$\int d^2 \mathbf{k}_\perp f(x, k_\perp^2) = f(x)$$

$$\int d^2 \mathbf{P}_\perp D(z, P_\perp^2) = D(z)$$

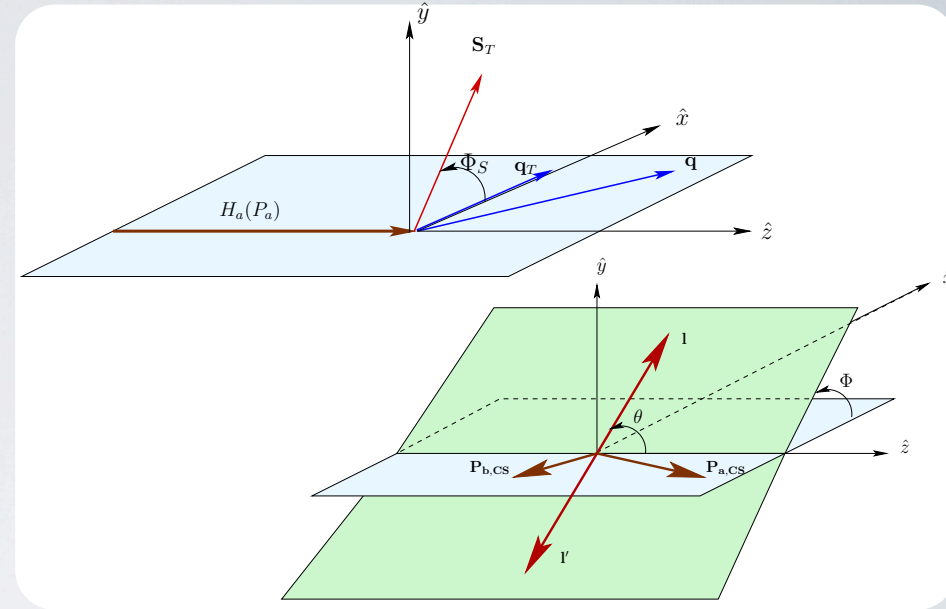
$$\vec{P}_T = \vec{P}_\perp + z \vec{k}_T$$



TMDs from DRELL-YAN

S. Arnold et al., PRD 79, 034005 (2009).

$$H_a + H_B \rightarrow \gamma^*(q) + X \rightarrow l^+ + l^- + X$$



◆ For polarized DY there are **48 terms** in the leading twist expansion.

$$\frac{d\sigma}{d^4q d\Omega} \sim (1 + \cos^2 \theta) F_{UU}^1 + (1 - \cos^2 \theta) F_{UU}^2 + \sin 2\theta F_{UU}^{\cos \phi} \cos \phi + \sin^2 \theta F_{UU}^{\cos 2\phi} \cos 2\phi$$

$$+ |\vec{S}_{aT}| \left[\sin \phi_a \left((1 + \cos^2 \theta) F_{TU}^1 + (1 - \cos^2 \theta) F_{TU}^2 + \sin 2\theta F_{TU}^{\cos \phi} \cos \phi + \sin^2 \theta F_{TU}^{\cos 2\phi} \cos 2\phi \right) + \dots \right] + \dots$$

► LO Matching to *convolutions* of PDFs of both hadrons $q_T \ll Q$

$$F_{UU}^1 = \mathcal{C}[f_1 \bar{f}_1]$$

$$F_{UT}^1 = \mathcal{C} \left[\frac{\vec{q} \cdot \vec{k}_{bT}}{|\vec{q}| M_b} f_1 \bar{f}_{1T}^\perp \right]$$

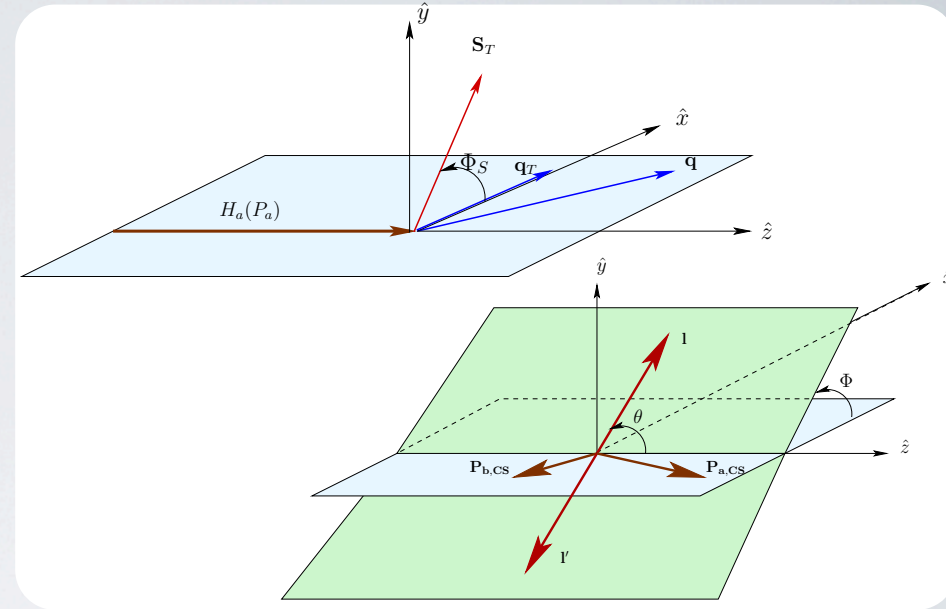
$$F_{UU}^{\cos 2\phi} = \mathcal{C} \left[\frac{2(\vec{q} \cdot \vec{k}_{aT})(\vec{q} \cdot \vec{k}_{aT})/q^2 - \vec{k}_{aT} \cdot \vec{k}_{bT}}{M_a M_b} h_1^\perp \bar{h}_1^\perp \right]$$

$$F_{UT}^{\sin(2\phi - \phi_b)} = -\mathcal{C} \left[\frac{\vec{q} \cdot \vec{k}_{aT}}{|\vec{q}| M_b} h_1^\perp \bar{h}_1 \right]$$

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$$+ |\vec{S}_{aT}| \left[\sin \phi_a \left((1 + \cos^2 \theta) F_{TU}^1 + (1 - \cos^2 \theta) F_{TU}^2 + \sin 2\theta F_{TU}^{\cos \phi} \cos \phi + \sin^2 \theta F_{TU}^{\cos 2\phi} \cos 2\phi \right) + \dots \right] + \dots$$

▶ LO Matching to **convolutions** of PDFs of both hadrons $q_T \ll Q$

$$\mathcal{C}[f \bar{g} \dots] \equiv \frac{1}{N_C} \sum_q e_q^2 \int d^2 \vec{k}_{aT} d^2 \vec{k}_{bT} [f^q g^{\bar{q}} + f^{\bar{q}} g^q] \dots \delta^2(\vec{q}_T - \vec{k}_{aT} - \vec{k}_{bT})$$



EVOLUTION *of* ~~BATMAN~~ TMDs



TMD EVOLUTION

J. Collin, Foundations of Perturbative QCD (2011)

Aybat and Rogers, PRD83 114042 (2011)

◆ CSS Formalism

$$F_{UU,T}(x, z, P_T^2, Q^2) = x \sum_i \mathcal{H}_{UU,T}^i(Q^2; \mu^2) \int d^2 \vec{k}_T d^2 \vec{P}_\perp f_1^i(x, k_T^2; \mu^2) D_1^{i \rightarrow h}(z, P_\perp^2; \mu^2) \delta^2(\vec{P}_T - z \vec{k}_T \vec{P}_\perp) + Y_{UU,T}(Q^2, P_T^2) + \mathcal{O}(M^2/Q^2)$$

◆ Fourier Transform of TMD

$$f_1^i(x, k_T^2; \mu^2) = \int d^2 \vec{b}_T e^{i \vec{B}_T \cdot \vec{k}_\perp} \tilde{f}_1^i(x, b_T; \mu^2)$$

◆ TMD Evolution Equation Solution

$$\tilde{f}_1^i(x, b_T; \mu^2) = \sum_j \left(\tilde{C}_{i/j} \otimes f_1^j \right) (x, b_*; \mu_b) e^{\tilde{S}(b_*; \mu_b, \mu)} e^{g_K(b_T) \ln \frac{\mu}{\mu_0}} \hat{f}_{NP}^i(x, b_T)$$

Collinear PDF

Perturbative

Non-Perturbative

$$b_* \equiv \frac{b_T}{\sqrt{1 + b_T^2/b_{max}^2}} \quad \mu_b \equiv b_0/b_*$$

◆ Various Prescriptions/Models for NP.

◆ Evolution of polarized TMD PDFs/FFs.

Brock et al., PRD 67 (2013), 073016

Sun, Yuan, PRD88 (2013), 114012

Echevarria et al.: PRD89 (2014), 074013

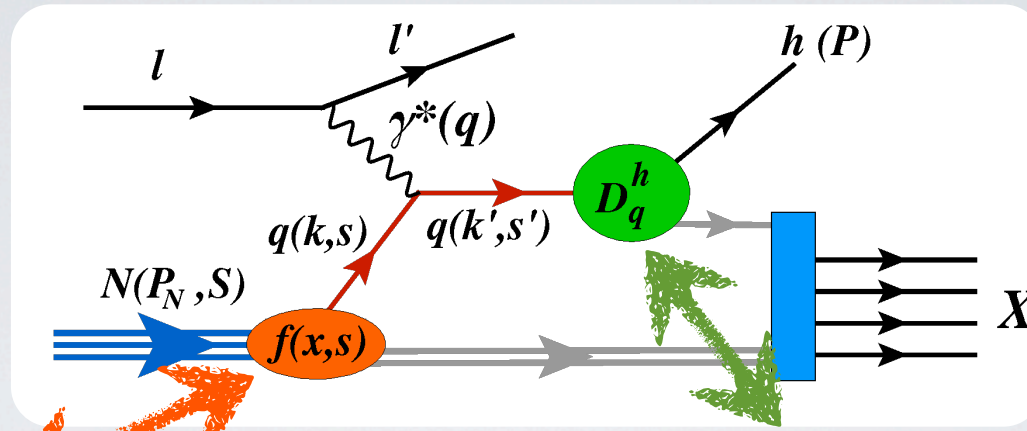
Mantry, Petriello, PRD84 (2011), 014030

...



EXTRACTION FROM
EXPERIMENTAL DATA

EXTRACTING TMDs FROM SIDIS



• TMD PDFs

N/q	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}^\perp	$h_1 h_{1T}^\perp$

• TMD FFs (unpolarized)

q/h	U
U	D_1
L	
T	H_1^\perp

$$\mathcal{C}[f \ g \ \dots] \equiv \sum_q e_q^2 \int d^2 \vec{k}_T \ d^2 \vec{P}_\perp \ f \ g \ \dots \ \delta^2(\vec{P}_T - \vec{P}_\perp - z \vec{k}_T)$$

- ▶ Need: TM dependent FFs to extract TMDs from SIDIS.
- ▶ Many types of final hadrons and different targets.
- ▶ *FFs are poorly determined, even in the collinear case.*

Unfavored FFs NOT well known!

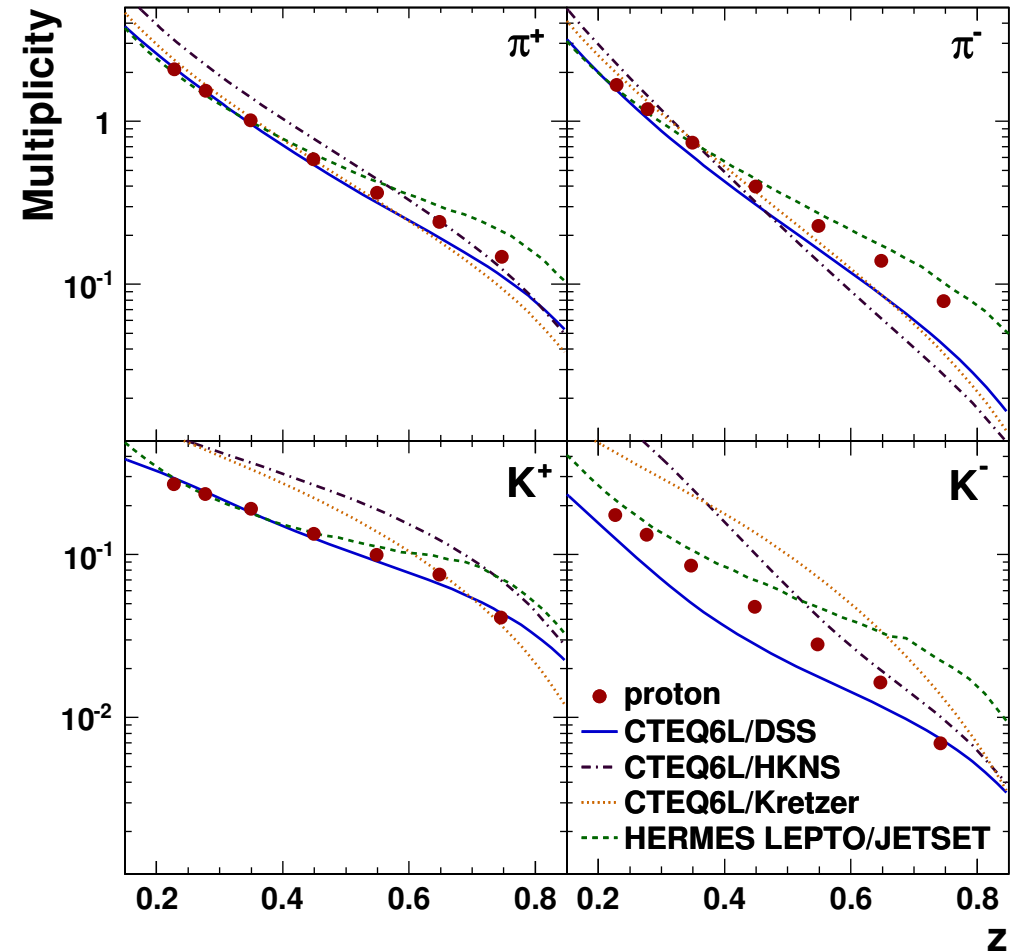
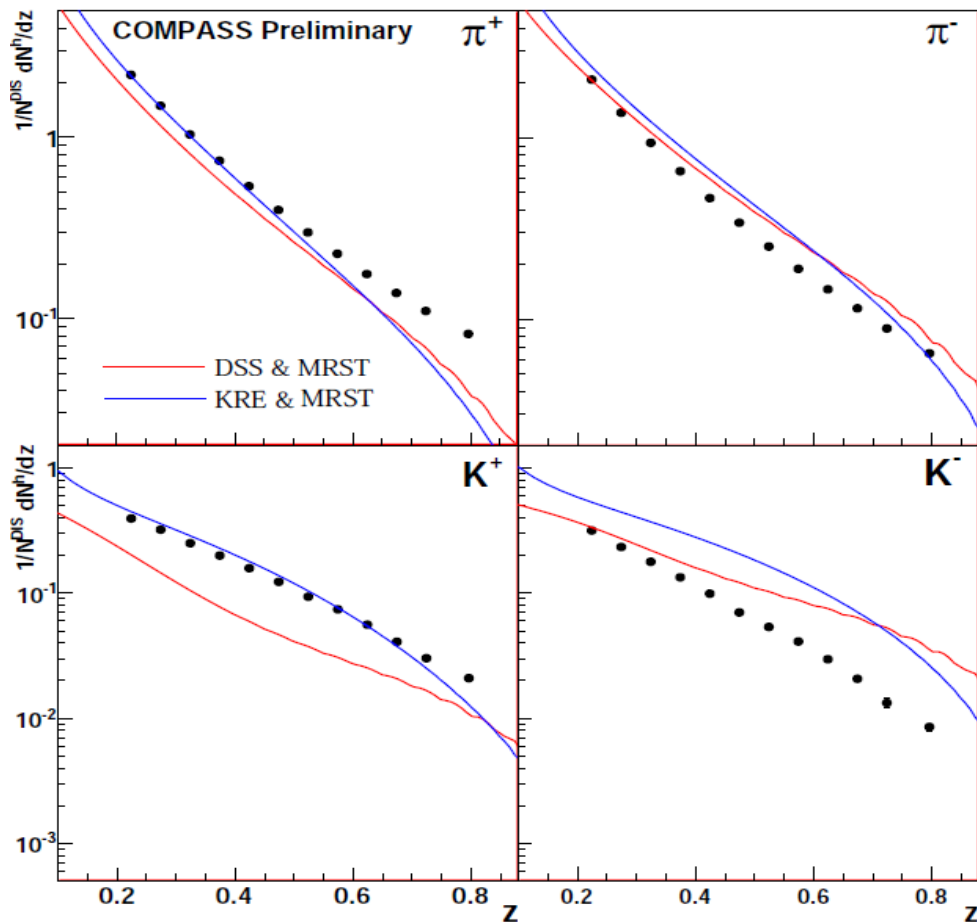
Hadron Multiplicities

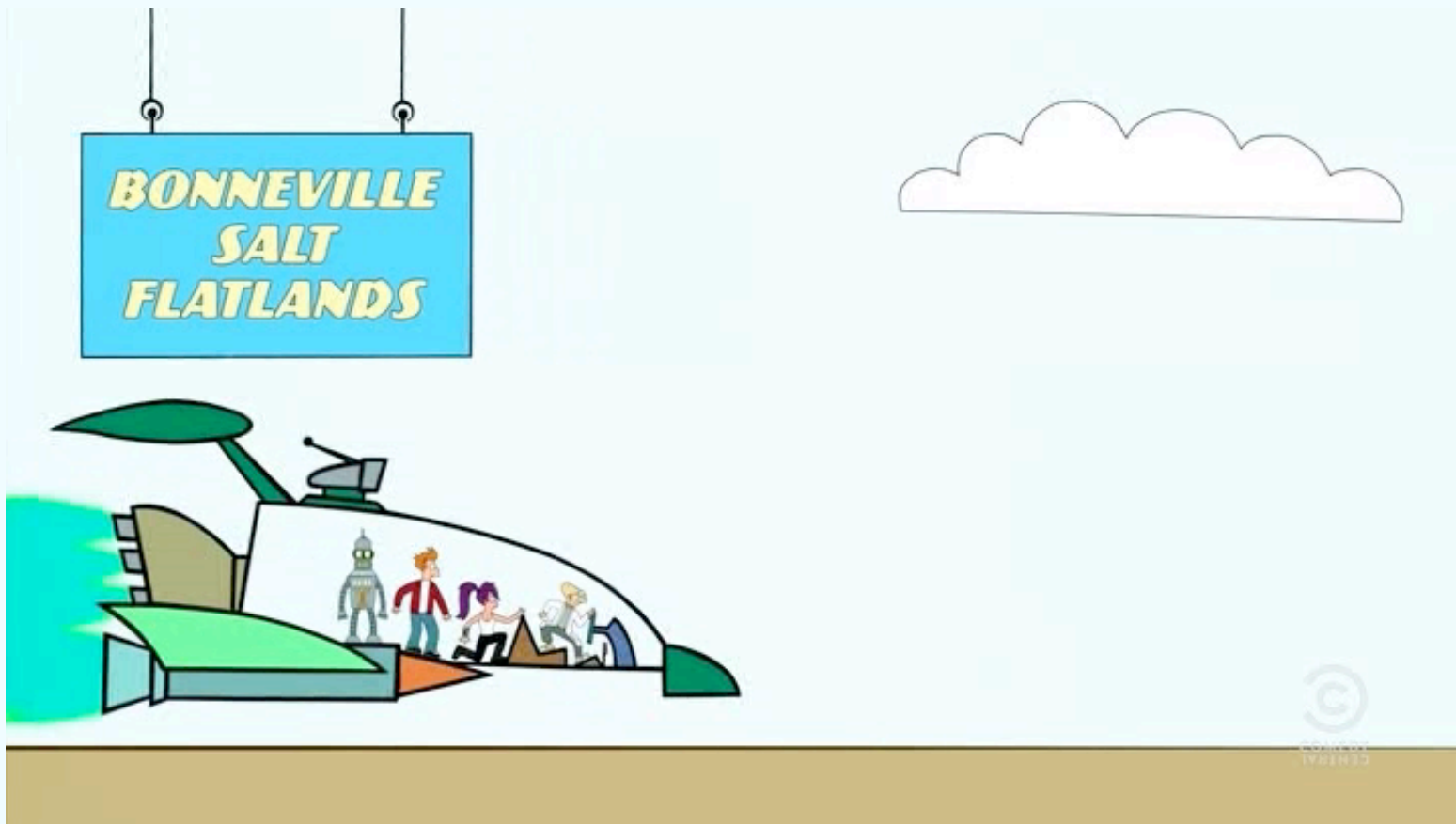
► Preliminary from COMPASS

Talk by C.Franco at CIPANP 2012.

► Also results from HERMES

Phys. Rev. D 87, 074029 (2013)





Unpolarized TMDs

UNPOLARIZED MULTIPLICITIES IN SIDIS



HERMES

PRD 87, 074029 (2013)



COMPASS

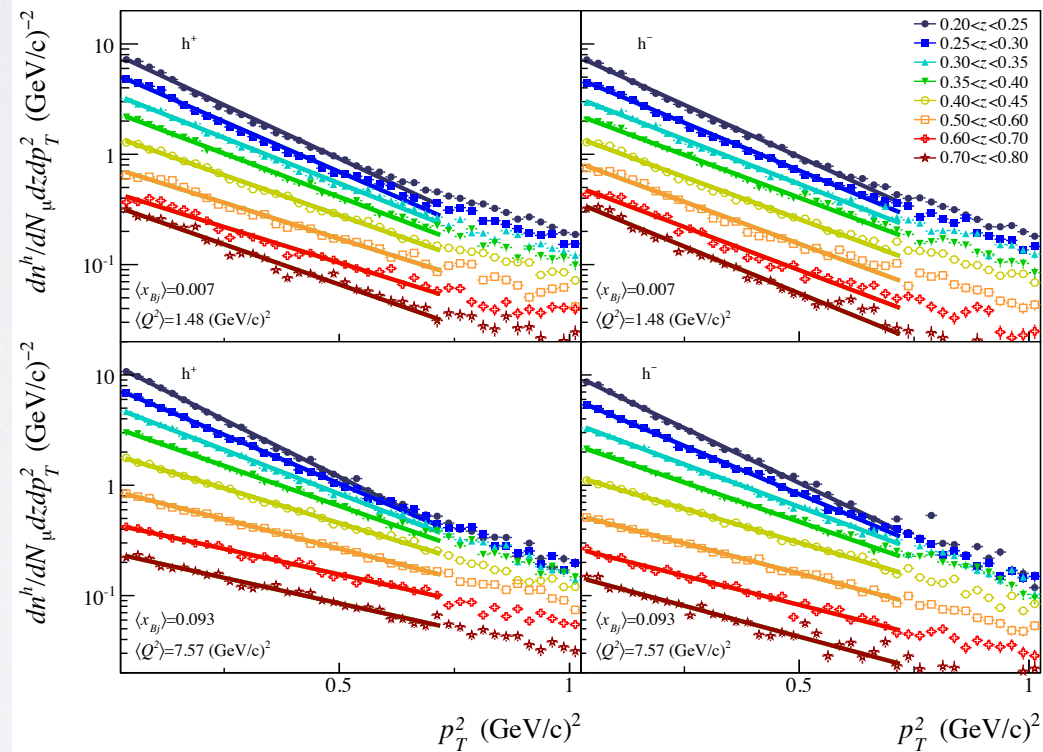
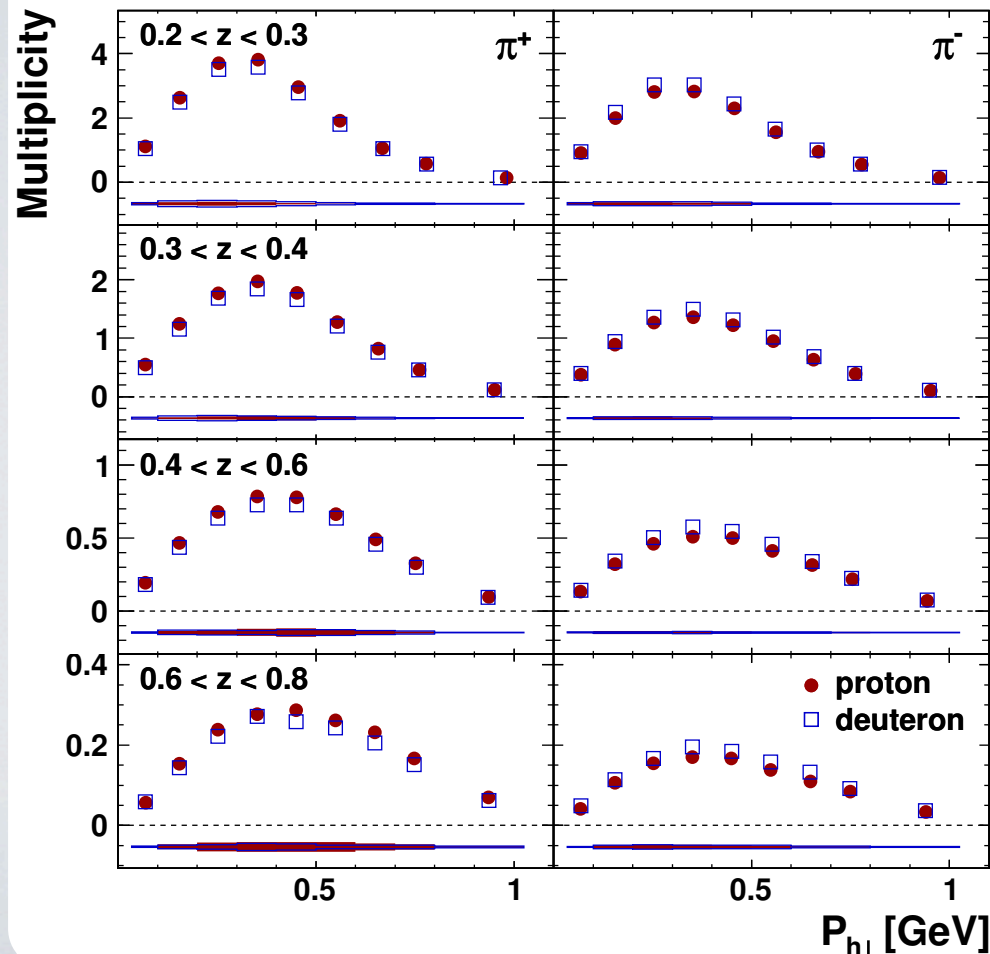
Eur.Phys.J. C73 (2013) 2531

Err. C75 (2015) 94

❖ **P, D target:** π^\pm and K^\pm

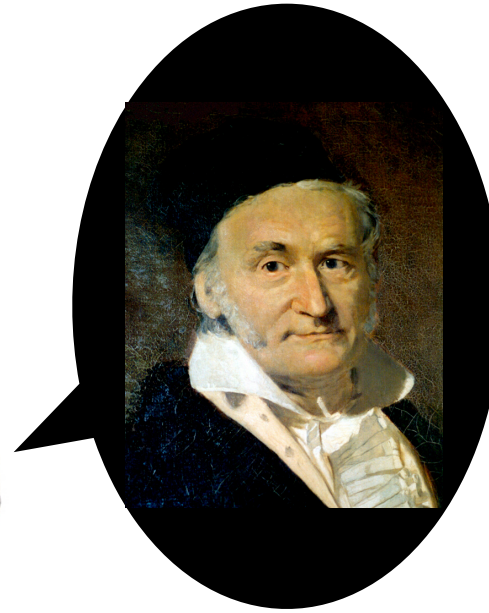
❖ **D(^6Li D) target:** h^\pm

Multidimensional: Q^2, x, z, P_T^2



GAUSSIAN ANSATZ

Modeling TM dependence...



GAUSSIAN ANSATZ

► Need to calculate convolution PDFs and FFs:

$$F_{UU} = \sum_q e_q^2 f_1^q(x, k_T^2, Q^2) \otimes d\sigma^{lq \rightarrow lq} \otimes D_q^h(z, P_\perp^2, Q^2)$$

► Using **Gaussian** Ansatz For TM dependences of PDFs and FFs:

$$f_1^q(x, k_T^2) = f_1^q(x) \frac{e^{-k_T^2 / \langle k_{T,q}^2 \rangle}}{\pi \langle k_{T,q}^2 \rangle} \quad D_q^h(z, P_\perp^2) = D(z)_q^h \frac{e^{-P_\perp^2 / \langle P_\perp^{2,q \rightarrow h} \rangle}}{\pi \langle P_\perp^{2,q \rightarrow h} \rangle}$$

► Only involved collinear PDFs and FFs.

$$F_{UU} = \sum_q e_q^2 f_1^q(x, Q^2) D_q^h(z, Q^2) \frac{e^{-P_T^2 / \langle P_T^2 \rangle}}{\pi \langle P_T^2 \rangle}$$

$$\langle P_T^2 \rangle(z) = \langle P_\perp^2 \rangle + z^2 \langle k_T^2 \rangle$$

$$\langle k_T^2 \rangle \equiv \frac{\int d^2 \mathbf{k}_T k_T^2 f(x, k_T^2)}{\int d^2 \mathbf{k}_T f(x, k_T^2)}$$

$$\langle P_\perp^2 \rangle \equiv \frac{\int d^2 \mathbf{P}_\perp P_\perp^2 D(z, P_\perp^2)}{\int d^2 \mathbf{P}_\perp D(z, P_\perp^2)}$$

EMPIRICAL EXTRACTIONS OF AVERAGE TM

M. Anselmino et. al.: JHEP 1404 (2014) 005.

- ▶ Fit of both *HERMES* and *COMPASS* data.
- ▶ Use **Gaussian** for TM dependence of PDFs and FFs.
- ▶ *CTEQ6L* collinear PDFs and *DSS* collinear FFs.
- ▶ Only DGLAP evolution of collinear PDFs and FFs.
- ▶ Constant $\langle k_T^2 \rangle$ and $\langle P_\perp^2 \rangle$.

$$\langle P_T^2 \rangle(z) = \langle P_\perp^2 \rangle + z^2 \langle k_T^2 \rangle$$

EMPIRICAL EXTRACTIONS OF AVERAGE TM

M. Anselmino et. al.: JHEP 1404 (2014) 005.

HERMES

Cuts	χ_{dof}^2	n. points	$[\chi_{\text{point}}^2]^{\pi^+}$	$[\chi_{\text{point}}^2]^{\pi^-}$	Parameters
$Q^2 > 1.69 \text{ GeV}^2$ $0.2 < P_T < 0.9 \text{ GeV}$ $z < 0.6$	1.69	497	1.93	1.45	$\langle k_{\perp}^2 \rangle = 0.57 \pm 0.08 \text{ GeV}^2$ $\langle p_{\perp}^2 \rangle = 0.12 \pm 0.01 \text{ GeV}^2$
$Q^2 > 1.69 \text{ GeV}^2$ $0.2 < P_T < 0.9 \text{ GeV}$ $z < 0.7$	2.62	576	2.56	2.68	$\langle k_{\perp}^2 \rangle = 0.46 \pm 0.09 \text{ GeV}^2$ $\langle p_{\perp}^2 \rangle = 0.13 \pm 0.01 \text{ GeV}^2$

COMPASS

$Q^2 > 1.69 \text{ GeV}^2$ $0.2 < P_T < 0.9 \text{ GeV}$ $z < 0.6$ $N_y = A + B y$	3.42	5385	3.25	3.60	$\langle k_{\perp}^2 \rangle = 0.60 \pm 0.14 \text{ GeV}^2$ $\langle p_{\perp}^2 \rangle = 0.20 \pm 0.02 \text{ GeV}^2$ $A = 1.06 \pm 0.06$ $B = -0.43 \pm 0.14$
$Q^2 > 1.69 \text{ GeV}^2$ $0.2 < P_T < 0.9 \text{ GeV}$ $z < 0.7$ $N_y = A + B y$	3.79	6284	3.63	3.96	$\langle k_{\perp}^2 \rangle = 0.52 \pm 0.14 \text{ GeV}^2$ $\langle p_{\perp}^2 \rangle = 0.21 \pm 0.02 \text{ GeV}^2$ $A = 1.06 \pm 0.07$ $B = -0.46 \pm 0.15$

EMPIRICAL EXTRACTIONS OF AVERAGE TM

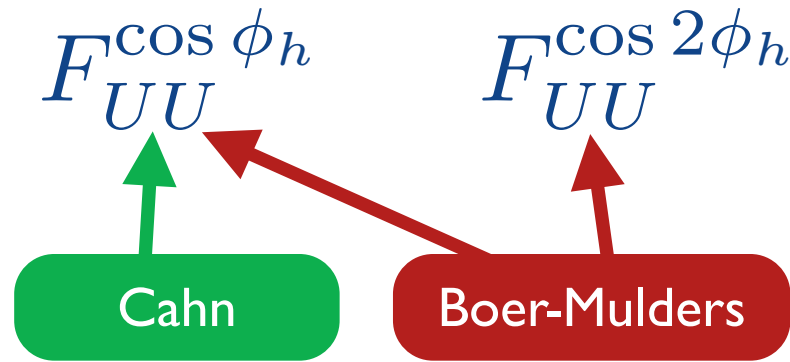
M. Anselmino et. al.: JHEP 1404 (2014) 005.

- ▶ Unable to access flavor dependence in TMD.
- ▶ Slightly better fit to COMPASS when allowing favored and disfavored TM to be different.
- ▶ Need more high precision data with different targets and many detected hadrons to extract flavor dep. Need a wide range of Q^2 for testing TMD evolution.
- ▶ Extracted average TMs of PDF and FF highly *correlated!*

EMPIRICAL EXTRACTIONS OF AVERAGE TM

V. Barone et. al.: arXiv:1502.04214 (2015).

- ▶ Fit azimuthal asymmetries in *unpolarized* SIDIS from COMPASS and HERMES.



$$F_{UU}^{\cos \phi_h} |_{Cahn} \sim \sum_q e_q^2 x f_1^q(x) D_1^{q \rightarrow h}(z) \frac{z \langle k_T^2 \rangle}{\langle P_T^2 \rangle} \frac{e^{-P_T^2 / \langle P_T^2 \rangle}}{\pi \langle P_T^2 \rangle}$$

- ▶ Combined fit with multiplicities yields

$$\langle k_T^2 \rangle \simeq 0.03 - 0.04 \text{ GeV}^2$$

- ▶ Possible higher-twist effects, etc, *but* demonstrates the lack of constraints on quark TM from multiplicities alone.

EMPIRICAL EXTRACTIONS OF AVERAGE TM II

Signori et al.: JHEP 1311, 194 (2013)

Use **Gaussian Ansatz** and allow TM dependencies

1) **Dynamic.** 2) **Quark and Hadron type.**

$$\langle k_T^2 \rangle(x)$$

$$\langle k_T^2 \rangle^{u_v} \neq \langle k_T^2 \rangle^{d_v} \neq \langle k_T^2 \rangle^{sea}$$

$$\langle P_{\perp}^2 \rangle(z)$$

$$\langle P_{\perp}^2 \rangle^{u \rightarrow \pi^+} \neq \langle P_{\perp}^2 \rangle^{u \rightarrow K^+} \neq \langle P_{\perp}^2 \rangle^{s \rightarrow K^-} \neq \langle P_{\perp}^2 \rangle^{unf}$$

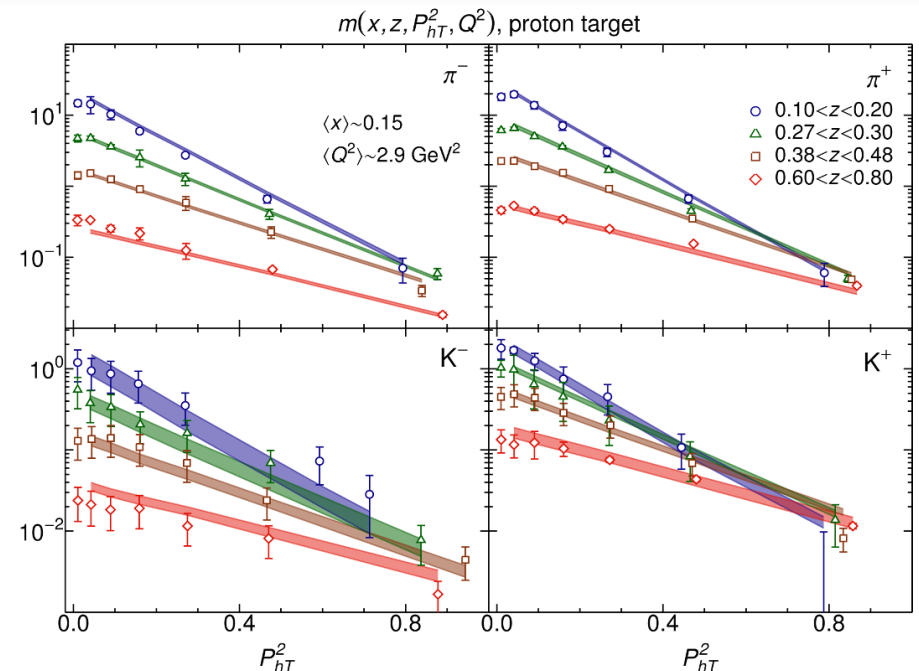
$$\langle P_T^2 \rangle^{q \rightarrow h}(x, z) = \langle P_{\perp}^2 \rangle^{q \rightarrow h}(z) + z^2 \langle k_T^2 \rangle^q(x)$$

◆ Use HERMES multiplicity data for P and D target.

◆ MSTW08 LO for PDF.

◆ DSS LO for FF.

◆ 200 **replicas** of data by Gaussian smearing are fitted.

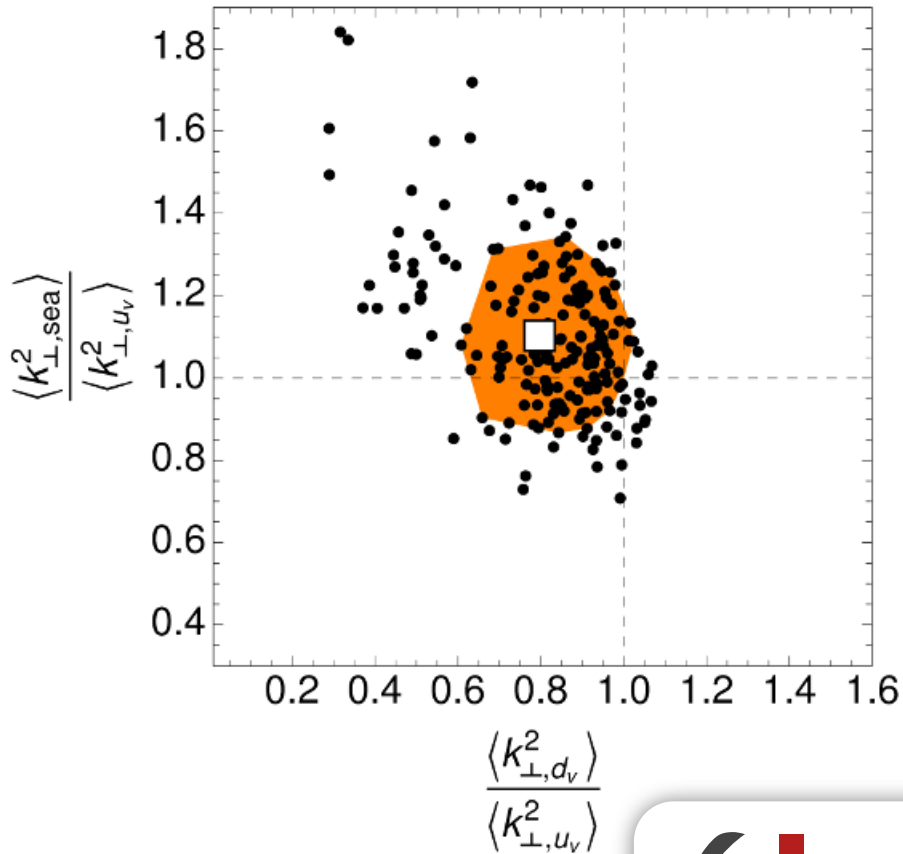


EMPIRICAL EXTRACTIONS OF AVERAGE TM II

Signori et al.: JHEP 1311, 194 (2013)

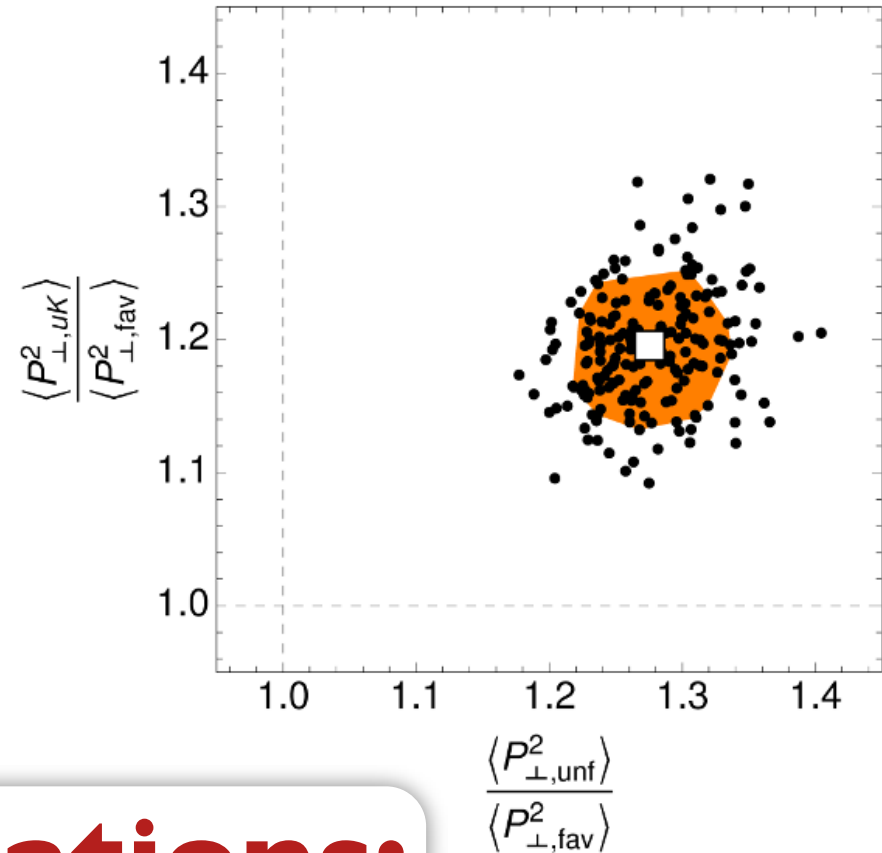
◆ **TMD:** Flavor dep.

$$0.04 \lesssim x \lesssim 0.4$$



◆ **FF:** Quark and Hadron type dep.

$$0.1 < z < 0.8$$



✓ **Indications:**

$$\langle k_T^2 \rangle^{d_v} < \langle k_T^2 \rangle^{u_v} < \langle k_T^2 \rangle^{sea}$$

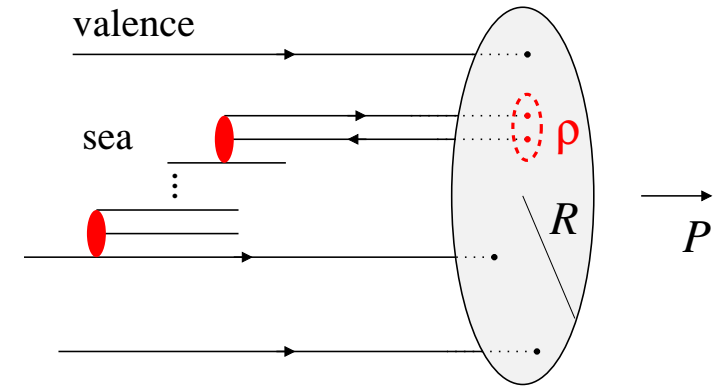
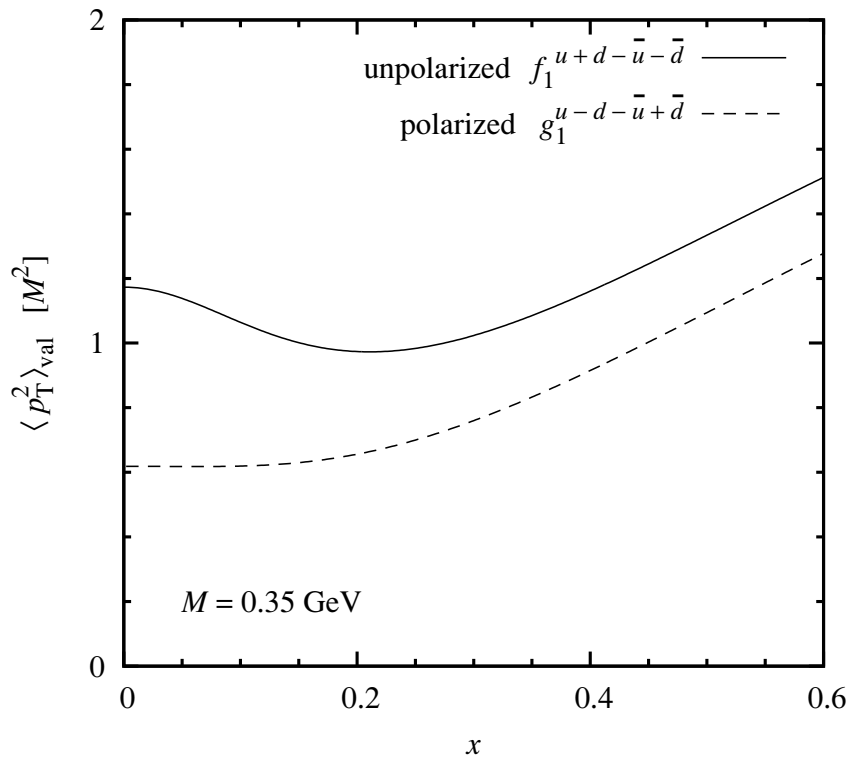
$$\langle P_{\perp}^2 \rangle^{u \rightarrow \pi^+} < \langle P_{\perp}^2 \rangle^{u \rightarrow K^+} \sim \langle P_{\perp}^2 \rangle^{unf}$$

CHIRAL QUARK SOLITON MODEL

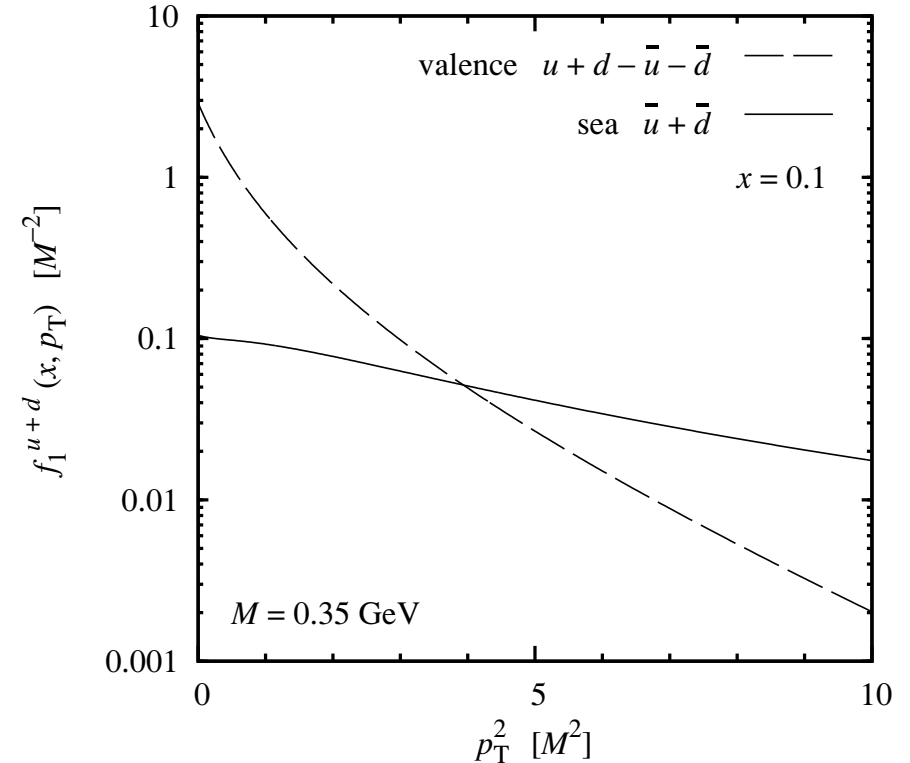
Schweitzer et al.: JHEP 1301, 163 (2013)

◆ Nucleon as constituent **quarks** and **antiquarks** moving in self-consistent chiral field.

◆ Sizable x dependence of average TM for valence quarks.



◆ Very different TM dep. of **valence** vs **sea**.

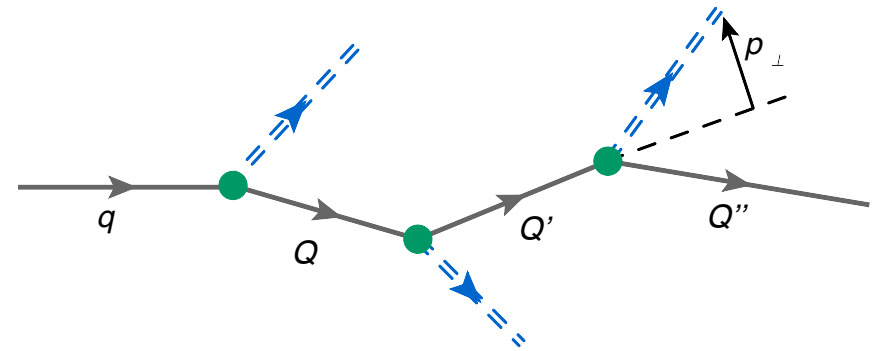
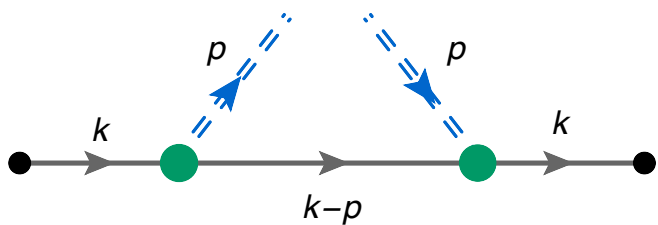


NJL-JET MODEL

H.M., Bentz, Cloet, Thomas, PRD.85:014021, 2012

NJL - Jet

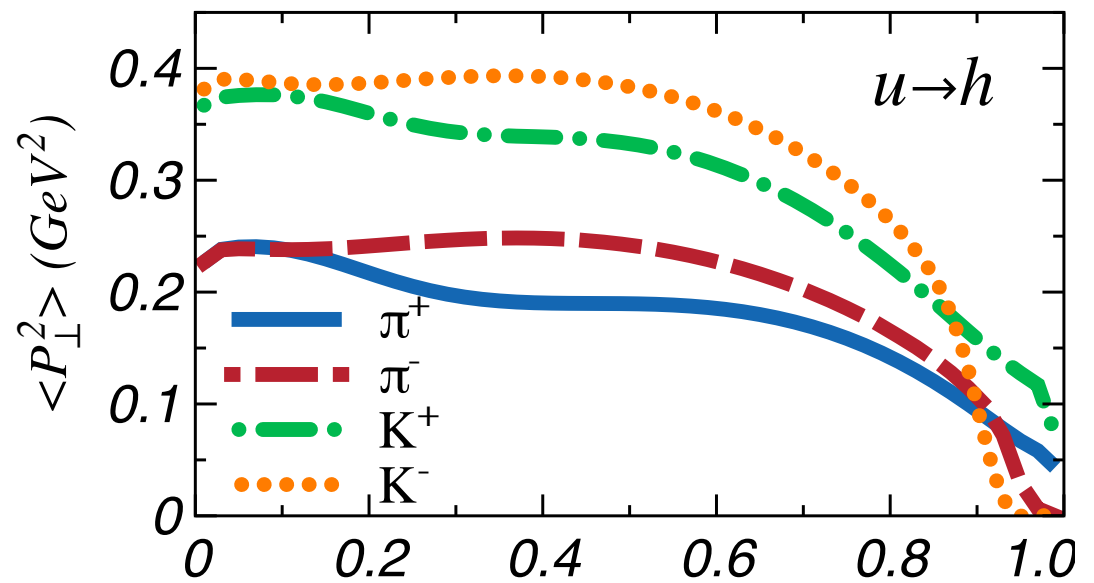
- ◆ *Multi-hadron emission framework with effective quark model input (NJL).*
- ◆ *Monte-Carlo framework allows flexibility in including the **transverse momentum**, spin effects, two-hadron correlations, etc.*



TMD FFs

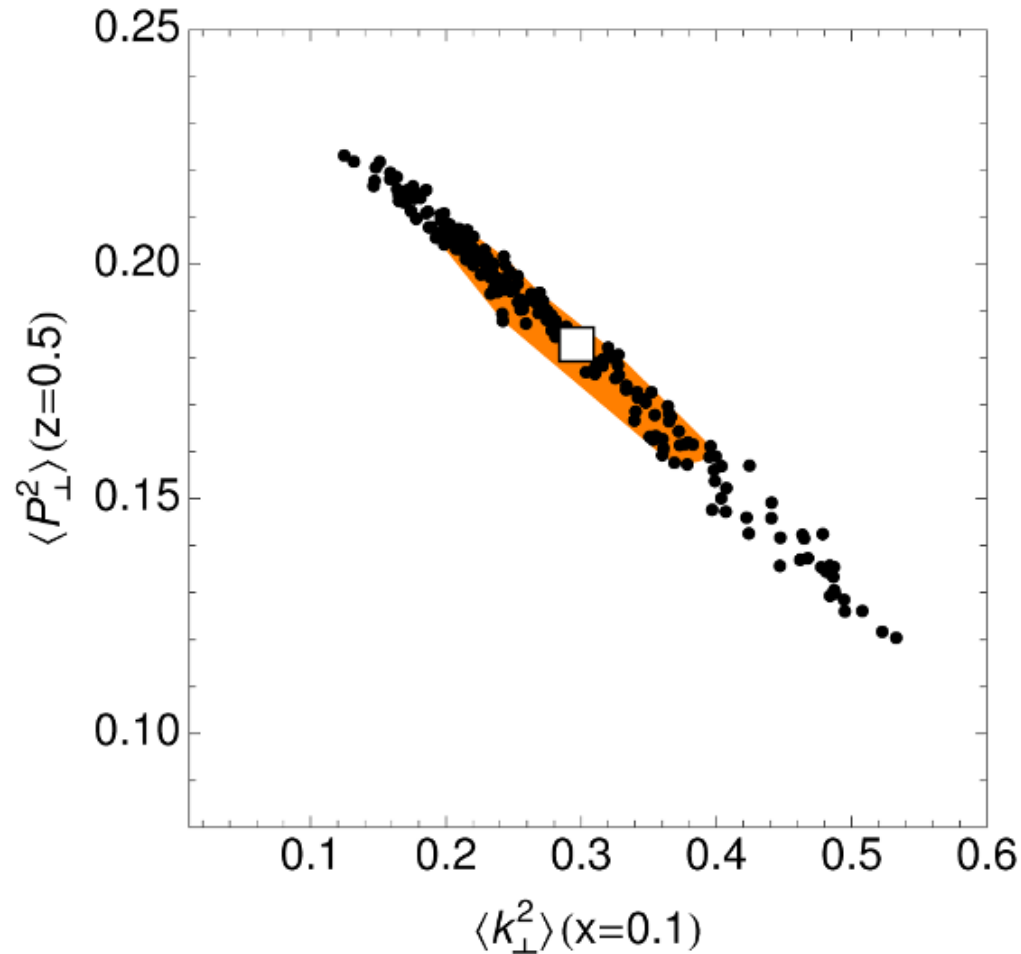
$$\langle P_{\perp}^2 \rangle_{unf} > \langle P_{\perp}^2 \rangle_{fav}$$

$$\langle P_{\perp}^2 \rangle_K > \langle P_{\perp}^2 \rangle_{\pi}$$

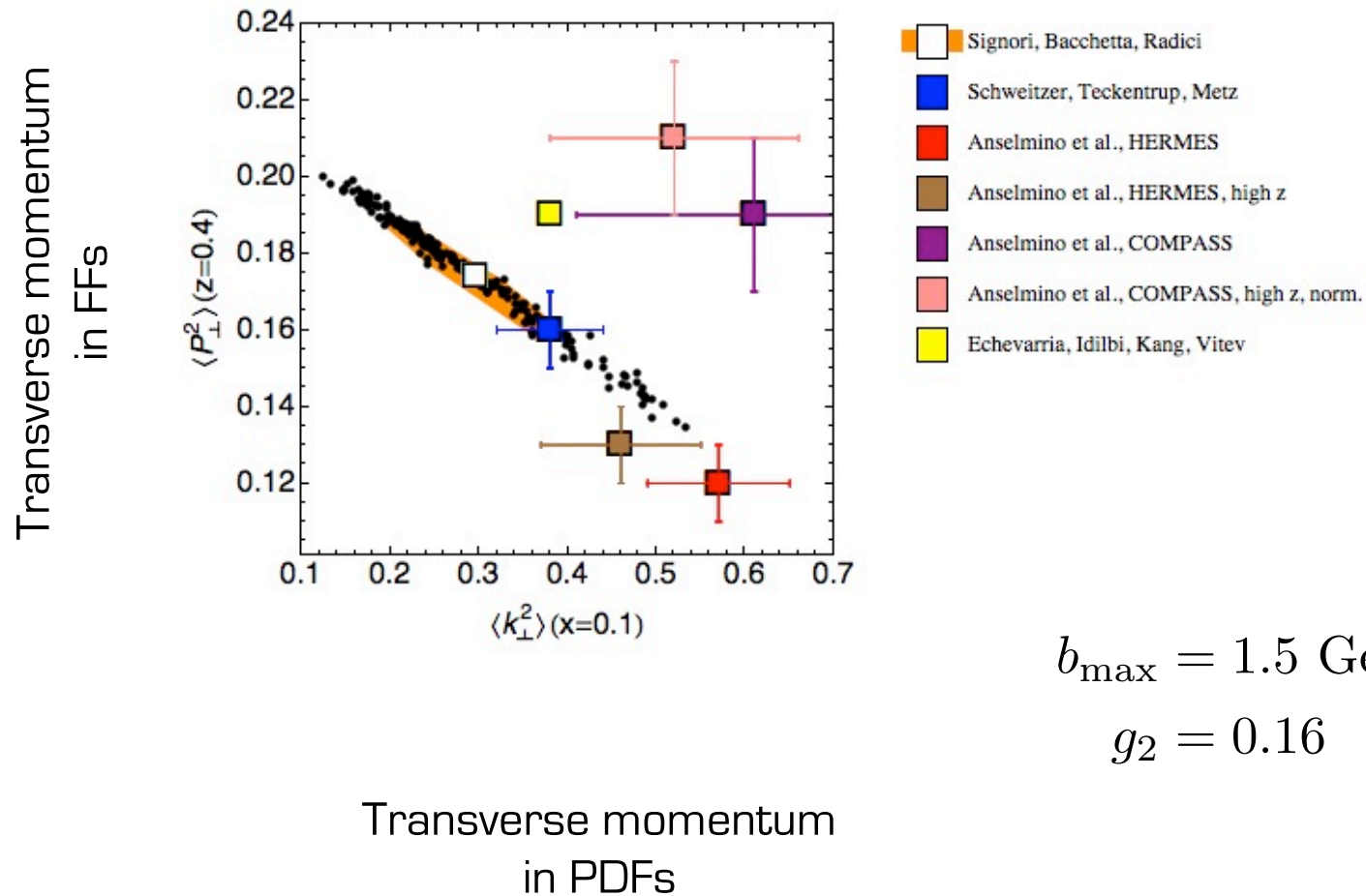


◆ *Correlations* of TM extractions for PDF and FF

$$z = 0.5 \quad x = 0.1$$

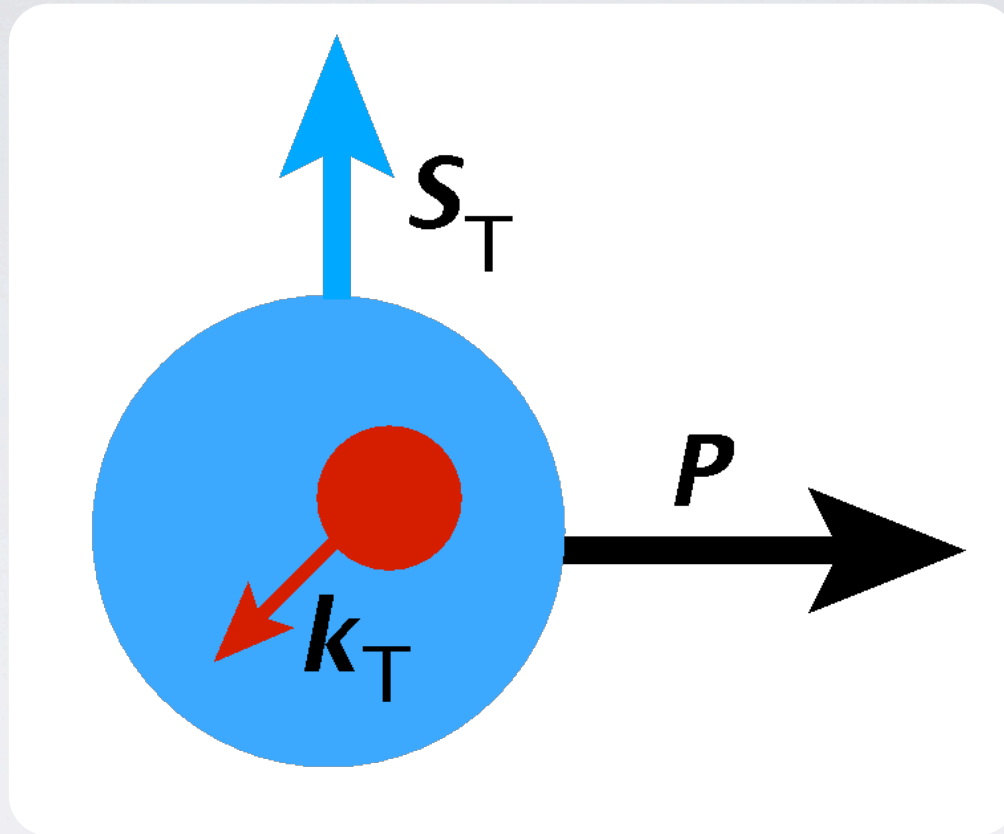


◆ *Correlations* of TM extractions for PDF and FF



$$b_{\max} = 1.5 \text{ GeV}^{-1}$$

$$g_2 = 0.16$$

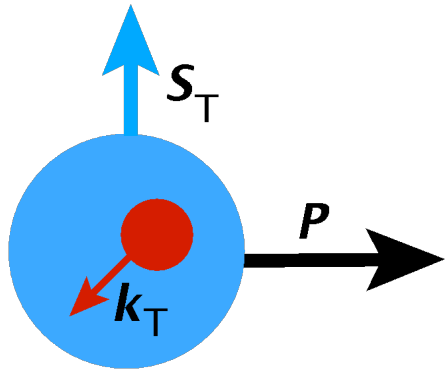


SIVERS PDF

N/q	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}^\perp	$h_1 h_{1T}^\perp$

D. Sivers, Phys.Rev. D41 (1990).

- ◆ Correlation of k_T and S_T
- ◆ Proposed by Dennis Sivers in 1990 to explain the single spin asymmetry in $pp^\uparrow \rightarrow \pi + X$.



$$S_T k_T \sin(\varphi_k - \varphi_S)$$

$$f_{\uparrow}^q(x, \vec{k}_T) = f_1^q(x, k_T) + \frac{[\vec{S} \times \vec{k}_T]_3}{M} f_{1T}^{\perp q}(x, k_T)$$

- ◆ Naively *T-odd*, gauge-link should be included in the definition.

- ◆ Accessible in Polarized SIDIS, Drell-Yan.

$$f_{1T}^{\perp SIDIS} = -f_{1T}^{\perp DY}$$

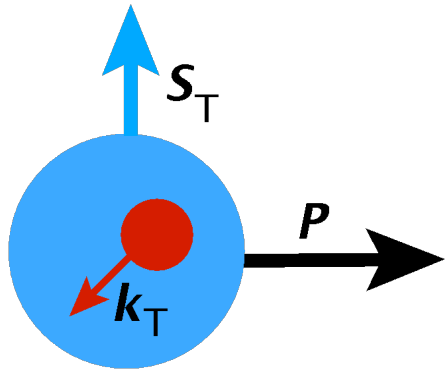
SIVERS PDF

N/q	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}^\perp	$h_1 h_{1T}^\perp$

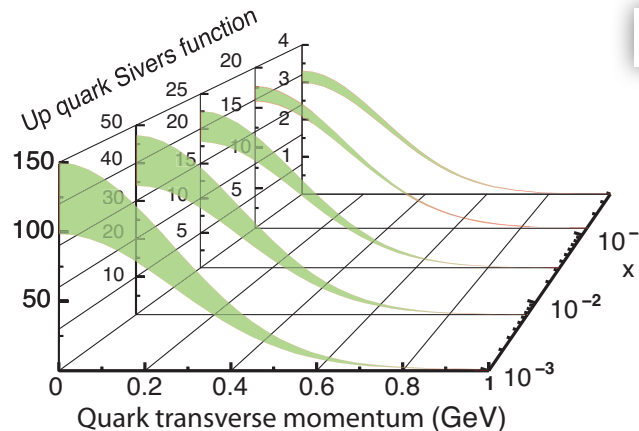
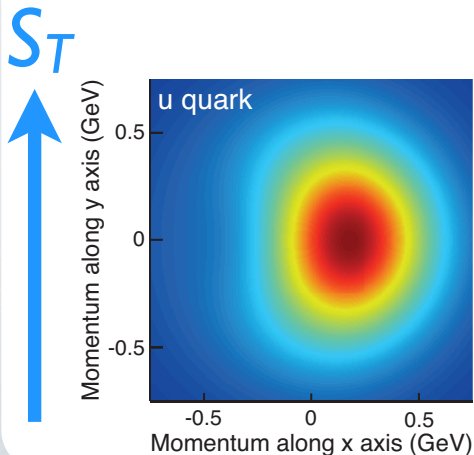
D. Sivers, Phys.Rev. D41 (1990).

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EIC White Paper, arXiv:1212.1701 (2012).

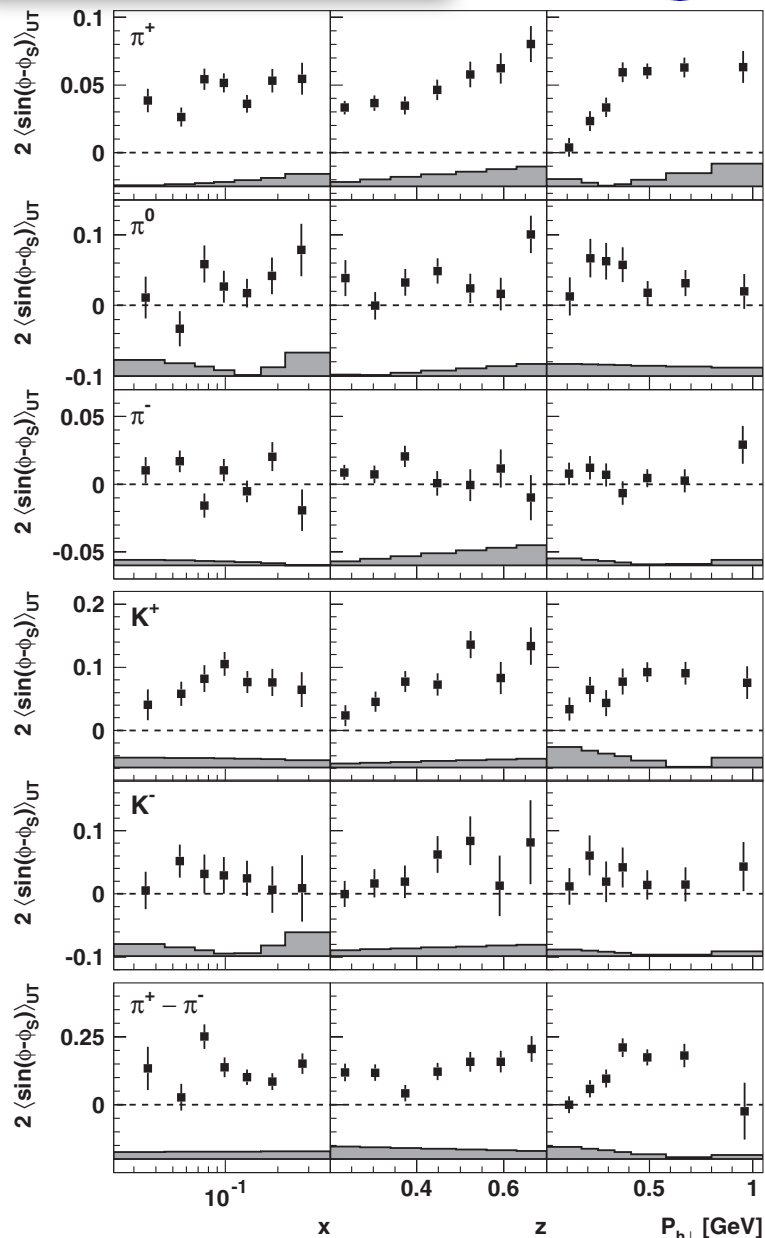
- ◆ Preliminary extractions from experimental data and projections for EIC.

SIVERS SSA MEASUREMENTS IN SIDIS

HERMES: P



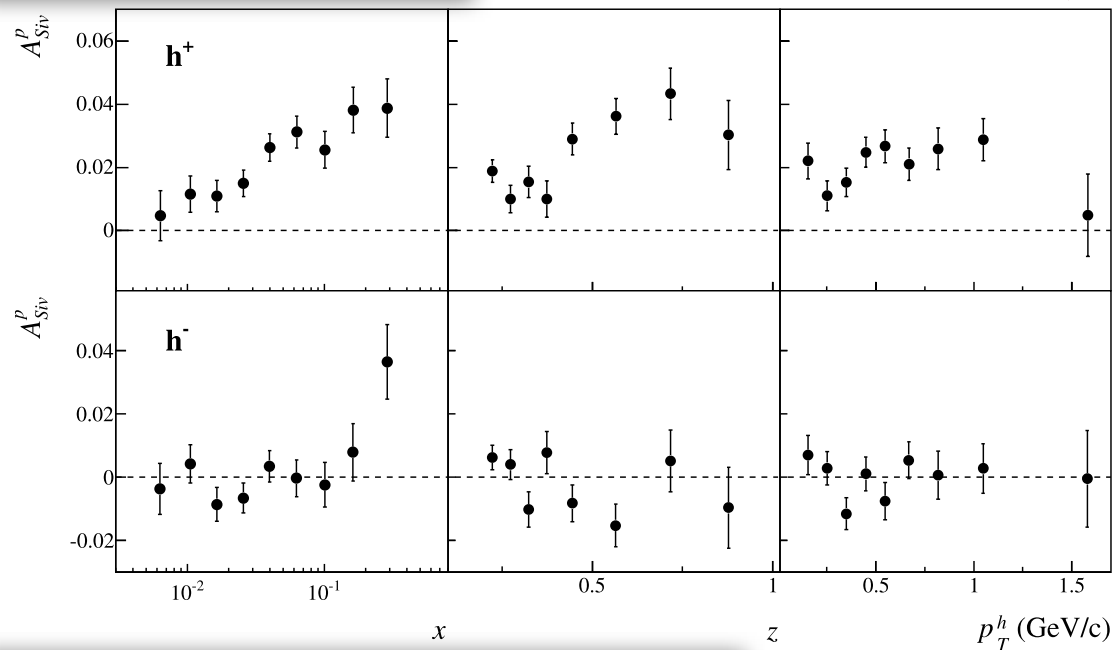
PRL 103, 152002 (2009).



COMPASS: P



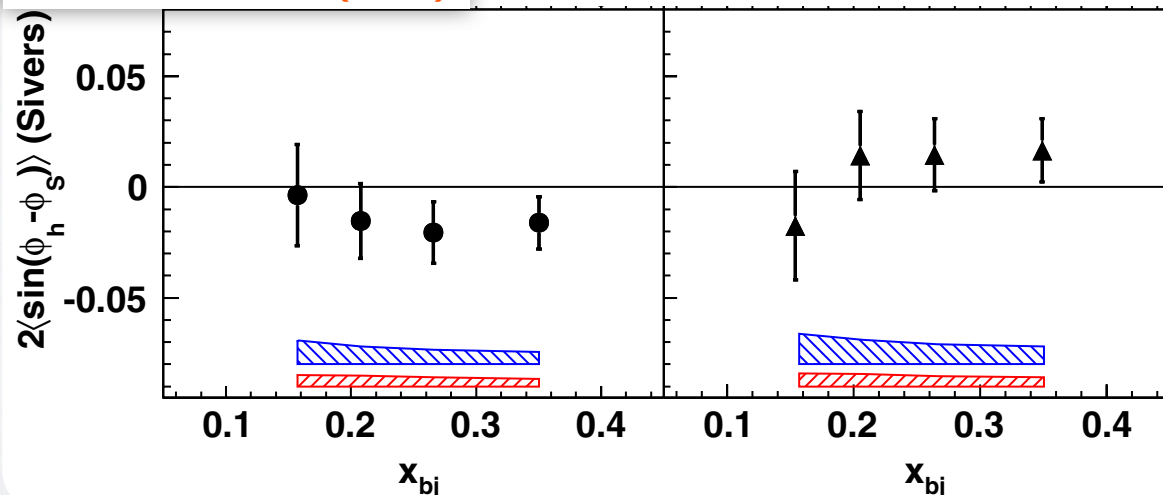
PLB 717, 383-389 (2012).



HALL-A: ^3He (access N)



PRL 107, 072003 (2011).



EMPIRICAL EXTRACTIONS OF SIVERS PDF

M. Anselmino et. al.: PRD 72, 094007 (2005). PRD 86, 014028 (2012).

- Sivers SSAs from SIDIS
- Use **LO** expression for factorized cross-section.
- Parametrize PDFs and FFs.
- Use Gaussian TMD dependence.
- Also **TMD evolution** in 2012.

$$A_{Siv}^h \equiv 2 \frac{\int d\varphi_S d\varphi_h (\sigma_{\uparrow}^h - \sigma_{\downarrow}^h) \sin(\varphi_h - \varphi_S)}{\int d\varphi_S d\varphi_h (\sigma_{\uparrow}^h + \sigma_{\downarrow}^h)}$$

$$A_{Siv}^h \sim \mathcal{C}[k_T f_{1T}^{\perp q} D_1] / \mathcal{C}[f_1^q D_1^{h/q}]$$

$$\Delta^N f_{q/p\uparrow}(x, k_T) = \mathcal{N}_q(x) h(k_T) f_1^q(x, k_T)$$

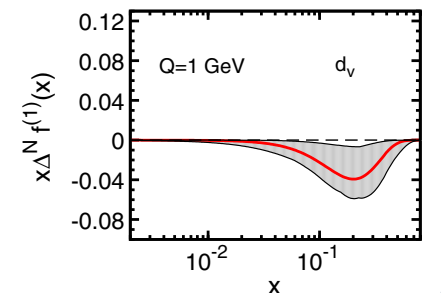
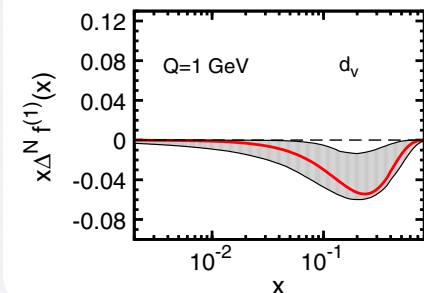
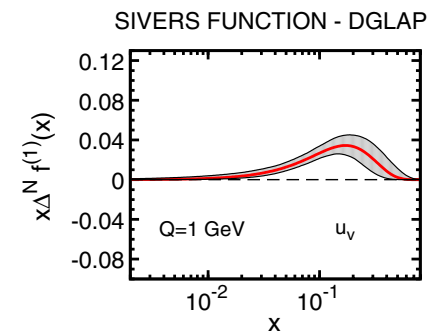
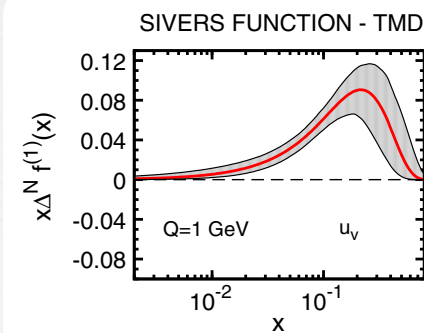
$$\Delta^N f_{q/p\uparrow} \equiv -\frac{2k_T}{M} f_{1T}^{\perp q}$$

$$f_1^q(x, k_T) = f_q(x) \frac{1}{\pi\mu^2} e^{-k_T^2/\mu^2}$$

• **Fits to HERMES and COMPASS:**

• **Current Data can only afford:**

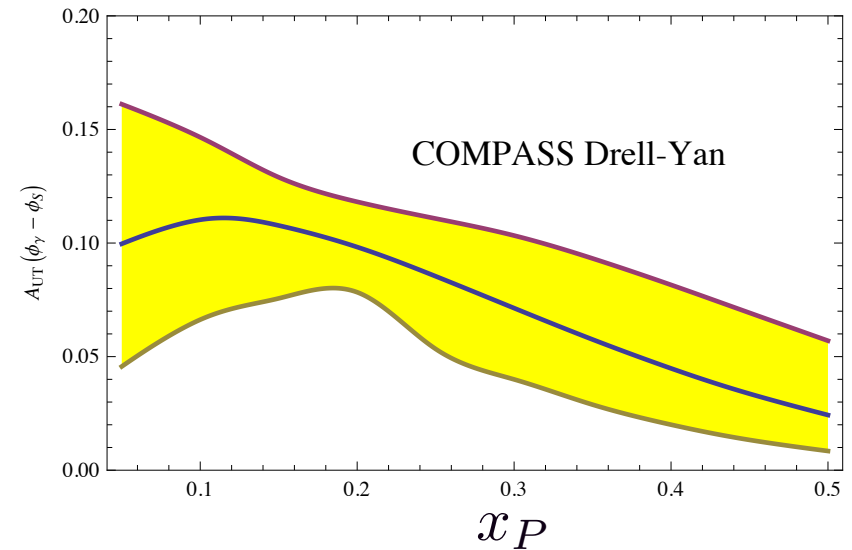
- **Large** uncertainties, esp. for sea.
- Approximations: TM and flavor dependence of FF, etc.



EXTRACTIONS WITH TMD EVOLUTION

Sun, Yuan, PRD88 (2013), 114012

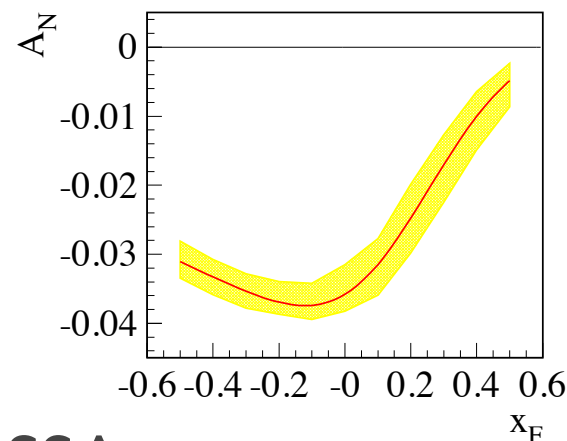
- ◆ Sun-Yuan prescription for TMD evolution.
- ◆ Gaussian TM dependence of NP TMD dependence at initial scale.
- ◆ Fit HERMES & COMPASS multiplicities and Sivers SSAs.
- ◆ Predict Sivers SSA and W production in COMPASS DY and PP.



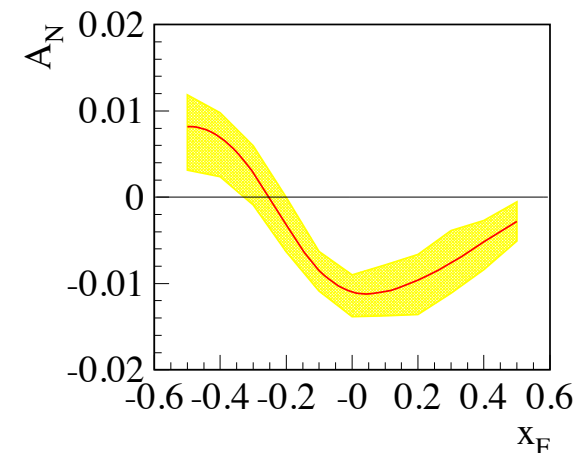
Echevarria et al.: PRD.89 074013, (2014)

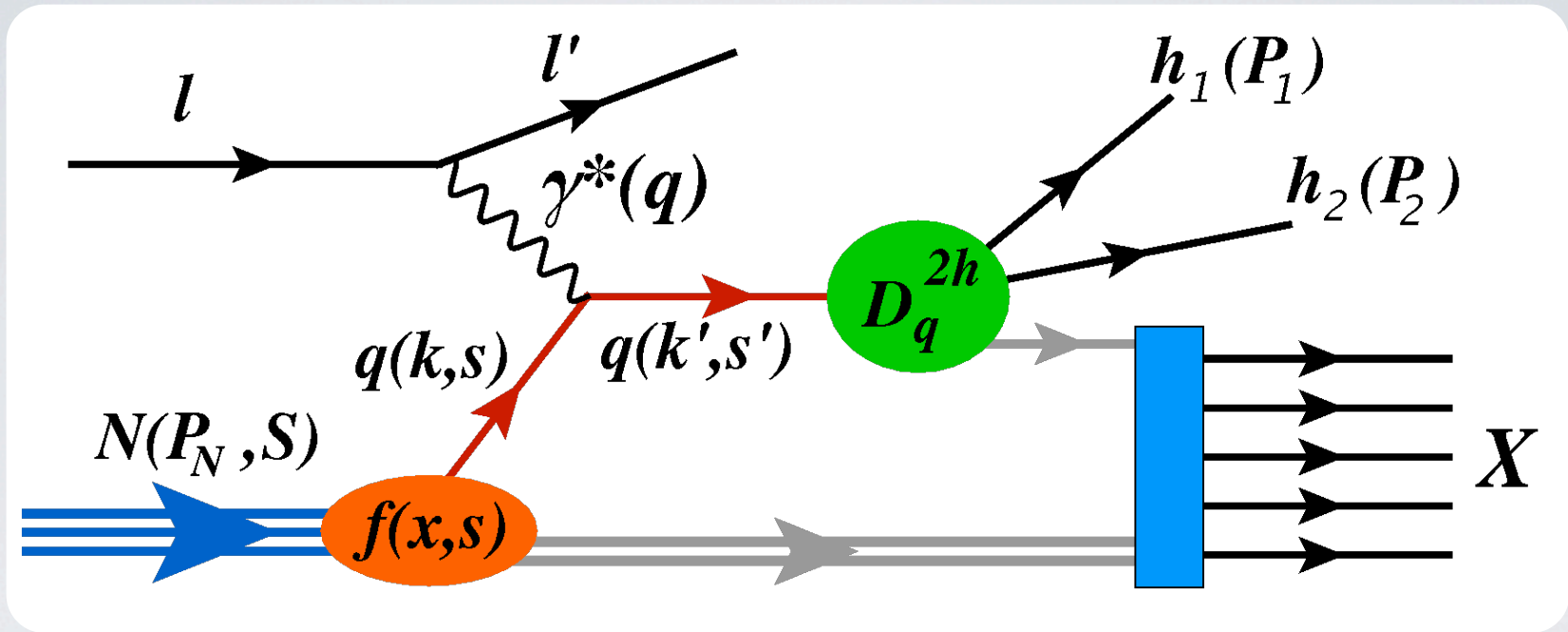
- ◆ Find non-perturbative Sudakov factor that describes W, Z production in $P\bar{P}$ at Fermilab +HERMES & COMPAS.
- ◆ Use it to fit Sivers SSA at HERMES, COMPASS, JLAB.
- ◆ Predict Sivers Effect for DY SSA.

COMPASS



RHIC



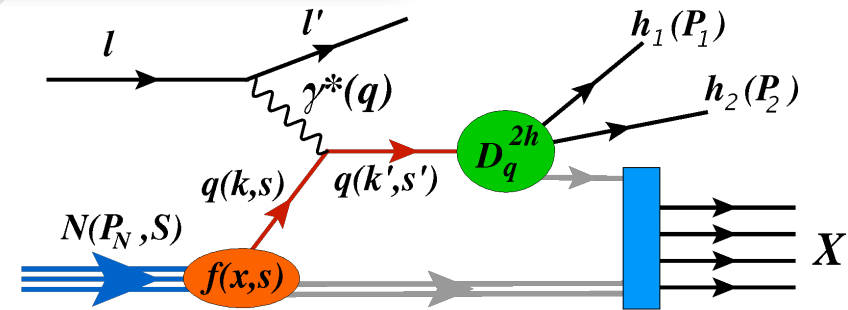


Sivers Effect in Two Hadron SIDIS

TWO-HADRON SIDIS

Kotzinian, H.M., Thomas: PRL.113, 062003 ; PRD.90, 074006 ; 1407.6572 (2014);

- Correlations of quark's TM transferred to **two hadrons**.



$$\frac{d\sigma^{h_1 h_2}}{dz_1 dz_2 d^2 P_{1T} d^2 P_{2T}} = C(x, Q^2) (\sigma_U + \sigma_S)$$

$$\sigma_U = \sum_q e_q^2 \int d^2 \mathbf{k}_T f_1^q D_{1q}^{h_1 h_2} \quad \sigma_S = \sum_q e_q^2 \int d^2 \mathbf{k}_T \frac{[\mathbf{S}_T \times \mathbf{k}_T]_3}{M} f_{1T}^{\perp q} D_{1q}^{h_1, h_2}$$

- Unpolarized fully unintegrated dihadron Fragmentation Function

◆ **Single hadron** FF.

$$D_{1q}^h(z, P_{\perp})$$

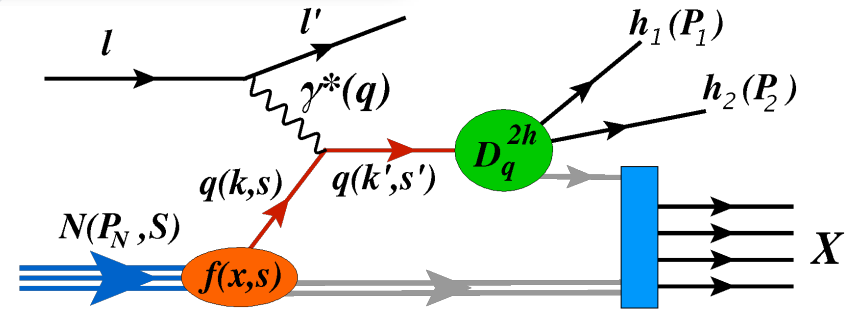
◆ **Dihadron** FF.

$$D_{1q}^{h_1, h_2}(z_1, z_2, P_{1\perp}, P_{2\perp}, P_{1\perp} \cdot P_{2\perp})$$

TWO-HADRON SIDIS

Kotzinian, H.M., Thomas: PRL.113, 062003 ; PRD.90, 074006 ; 1407.6572 (2014);

- Correlations of quark's TM transferred to **two hadrons**.



$$\frac{d\sigma^{h_1 h_2}}{dz_1 dz_2 d^2 P_{1T} d^2 P_{2T}} = C(x, Q^2) (\sigma_U + \sigma_S)$$

$$\sigma_U = \sum_q e_q^2 \int d^2 \mathbf{k}_T f_1^q D_{1q}^{h_1 h_2} \quad \sigma_S = \sum_q e_q^2 \int d^2 \mathbf{k}_T \frac{[\mathbf{S}_T \times \mathbf{k}_T]_3}{M} f_{1T}^{\perp q} D_{1q}^{h_1, h_2}$$

- Unpolarized fully unintegrated dihadron Fragmentation Function

◆ **Single hadron** FF.

$$D_{1q}^h(z, P_{\perp})$$

◆ **Dihadron** FF.

$$D_{1q}^{h_1, h_2}(z_1, z_2, P_{1\perp}, P_{2\perp}, \mathbf{P}_{1\perp} \cdot \mathbf{P}_{2\perp})$$

two-hadron correlations

TWO-HADRON SIDIS

- ▶ Cross Section in terms of **Total and Relative Momenta**

$$P_h = P_1 + P_2 \quad R = \frac{1}{2}(P_1 - P_2)$$

- ▶ The Sivers term:

$$\sigma_S = S_T \left(\sigma_T \frac{P_{hT}}{M} \sin(\varphi_T - \varphi_S) + \sigma_R \frac{R_T}{M} \sin(\varphi_R - \varphi_S) \right)$$

$$\int d\varphi_R \sigma_S = S_T \left(\sigma_{T,0} \frac{P_{hT}}{M} + \sigma_{R,1} \frac{R}{2M} \right) \sin(\varphi_T - \varphi_S)$$

$$\int d\varphi_T \sigma_S = S_T \left(\sigma_{T,1} \frac{P_{hT}}{2M} + \sigma_{R,0} \frac{R}{M} \right) \sin(\varphi_R - \varphi_S)$$

- ✦ **Non-vanishing σ_R is new!** Contradiction with earlier results **Bianconi: PRD62, 034008 (2000) ? No: Kotzinian: EPJConf. 85 02026 (2015)**

$$R^P \equiv R - (R \cdot \hat{P}_h) \hat{P}_h \quad R^P \simeq \xi_2 P_1 - \xi_1 P_2$$

$$R_T^P \simeq \xi_2 P_{1\perp} - \xi_1 P_{2\perp} \quad \xi_i \equiv z_i / (z_1 + z_2)$$

No k_T dependence at LO! No contradiction, different R!



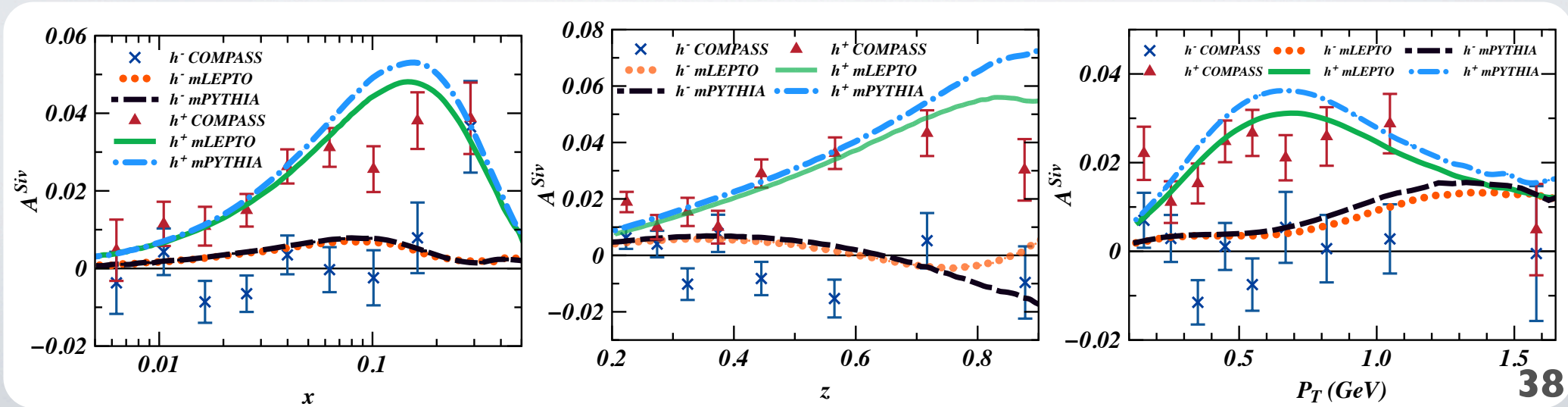
mPYTHIA 6.4

Sivers Effect in PYTHIA and
Simulations for CLAS12 and EIC

EVENT GENERATORS + SIVERS EFFECT

Kotzinian, H.M., Thomas: PRL.113, 062003 ; PRD.90, 074006 ; 1407.6572 (2014);

- Two-hadron Sivers SSA *need* dihadron FF: *yet unknown*.
- Event generators allow to study *exp. kinematics effects*.
- Sivers effect modulates quark's azimuthal angle: *relatively easy* to include in MC generators.
- Use Sivers PDF extraction from *Torino group*.
- **mLEPTO** used for COMPASS. Earlier studies + Cahn effect, also for CLAS.
- **mLEPTO** and **mPYTHIA** agree pretty well.



LO APPROXIMATION FOR SSA

- Fits for *Sivers PDF* from HERMES and COMPASS data utilize *LO DIS-only* expressions for *SSAs*.

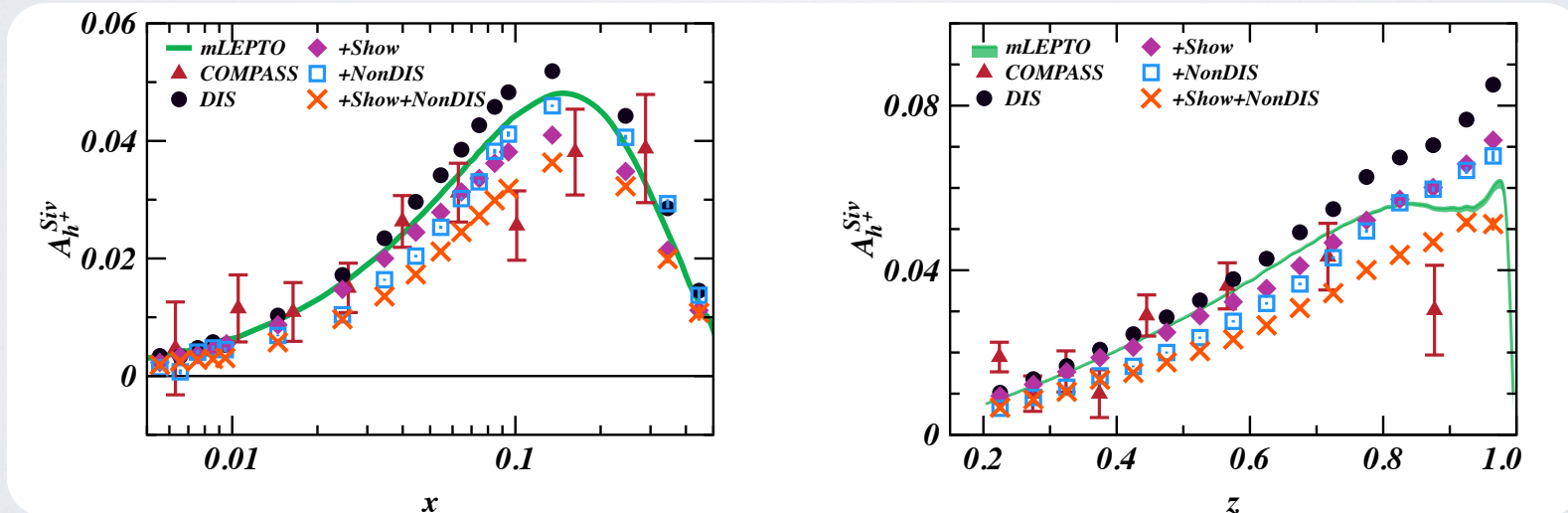
M. Anselmino et. al.: PRD 86, 014028 (2012).

$$A_{UT}^{\sin(\phi_h - \phi_S)} = \frac{\sum_q \int d\phi_S d\phi_h d^2\mathbf{k}_\perp \Delta^N \hat{f}_{q/p}(x, k_\perp, Q) \sin(\varphi - \phi_S) \frac{d\hat{\sigma}^{\ell q \rightarrow \ell q}}{dQ^2} \hat{D}_q^h(z, p_\perp, Q) \sin(\phi_h - \phi_S)}{\sum_q \int d\phi_S d\phi_h d^2\mathbf{k}_\perp \hat{f}_{q/p}(x, k_\perp, Q) \frac{d\hat{\sigma}^{\ell q \rightarrow \ell q}}{dQ^2} \hat{D}_q^h(z, p_\perp, Q)}$$

- Is this justified at COMPASS energies?**

H.M et al., arXiv:1502.02669 (2015).

- Test using *mPYTHIA*: turn on non-DIS effects (VMD, GVMD, “direct”) and parton showering (QCD+QED).



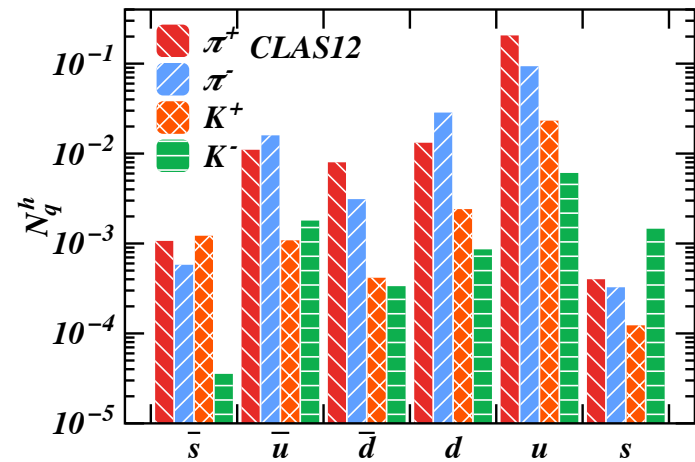
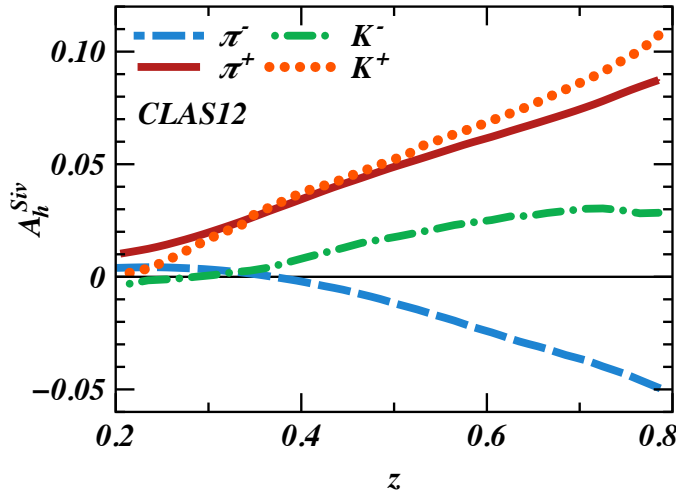
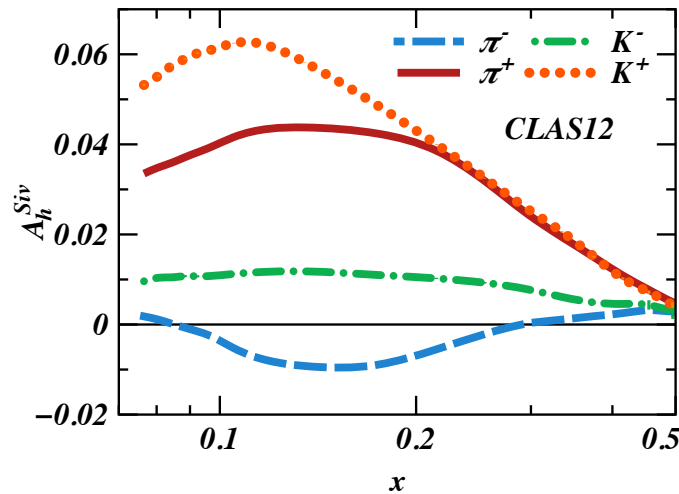
- Significant** effects, but still agrees with data!
- Current Sivers PDF extractions *may* be underestimated.

Sivers SSAs at CLAS12

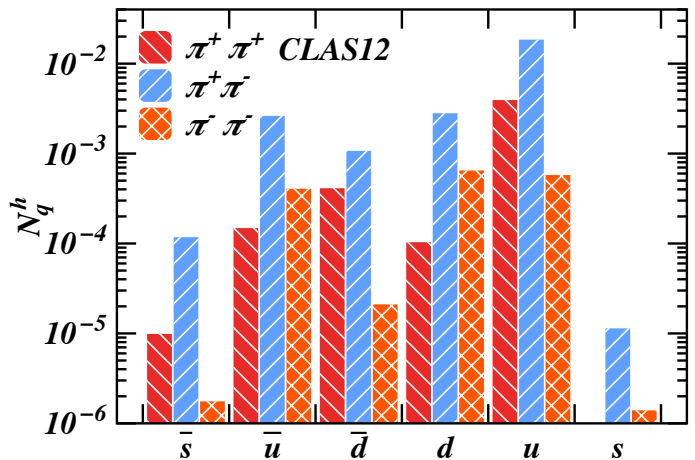
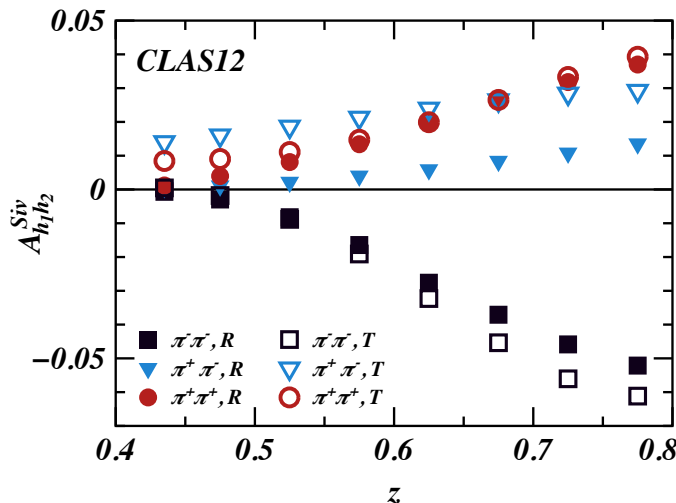
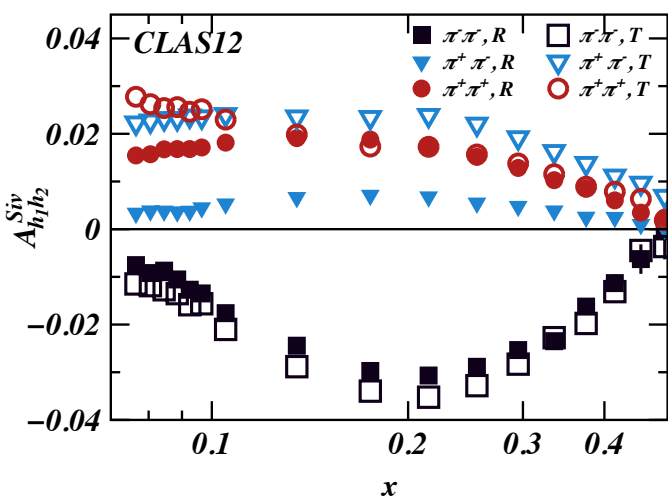
H.M et al., arXiv:1502.02669 (2015).

❖ Exploring the large x region.

◆ Single hadron SSAs.



◆ Dihadron SSAs for pion pairs: identical pairs via z-ordering $z_1 \geq z_2$

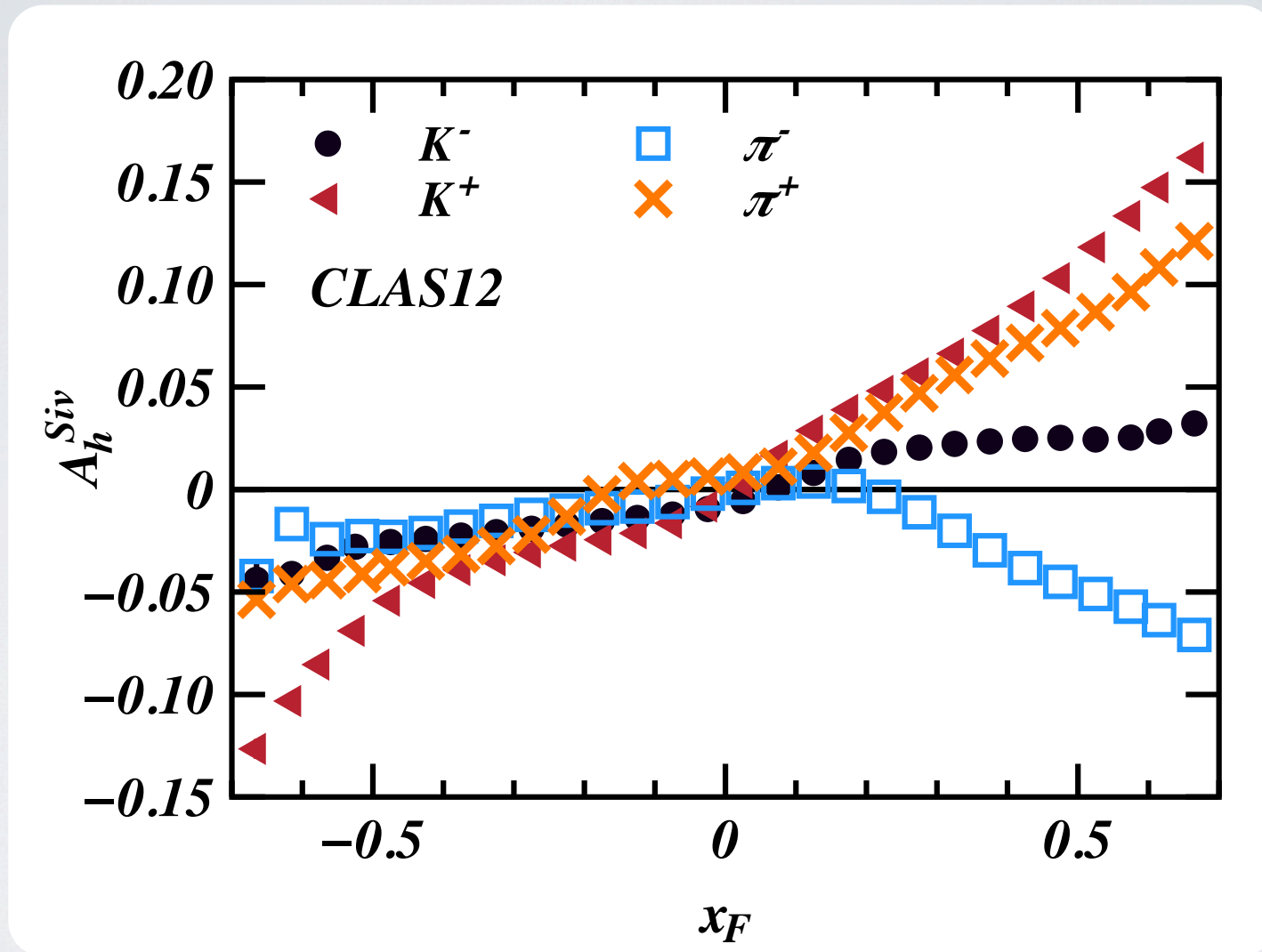


◆ Both Single and Dihadron SSAs are comparable in size!

Sivers SSAs at CLAS12

H.M et al., arXiv:1502.02669 (2015).

► Explore Target Fragmentation Regions $x_F < 0$.

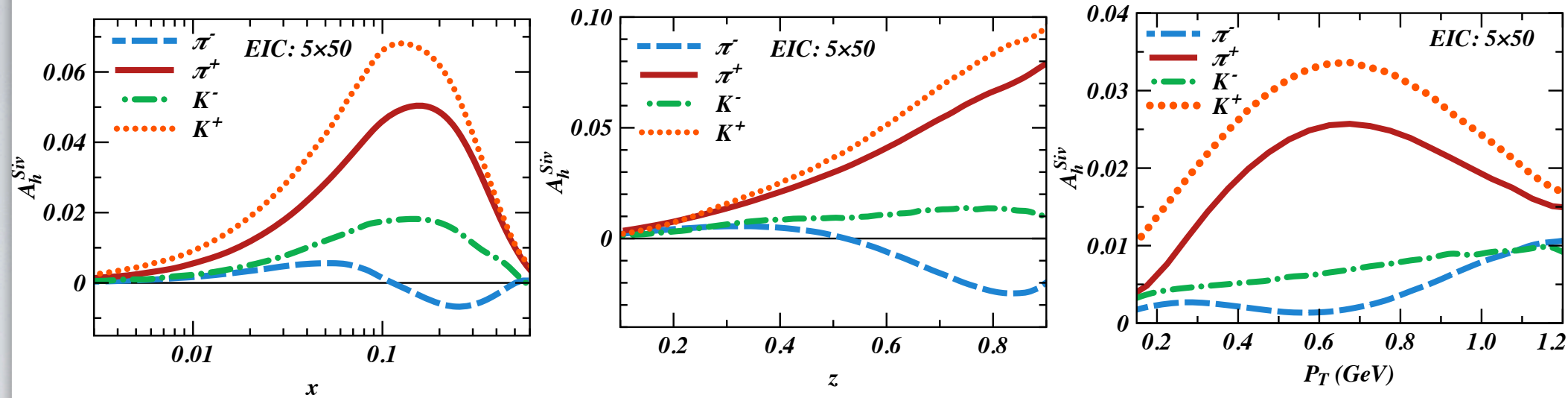


► Sivers SSA changes sign in some channels, fragmentation of nucleon **remnant (recoil TM)**!

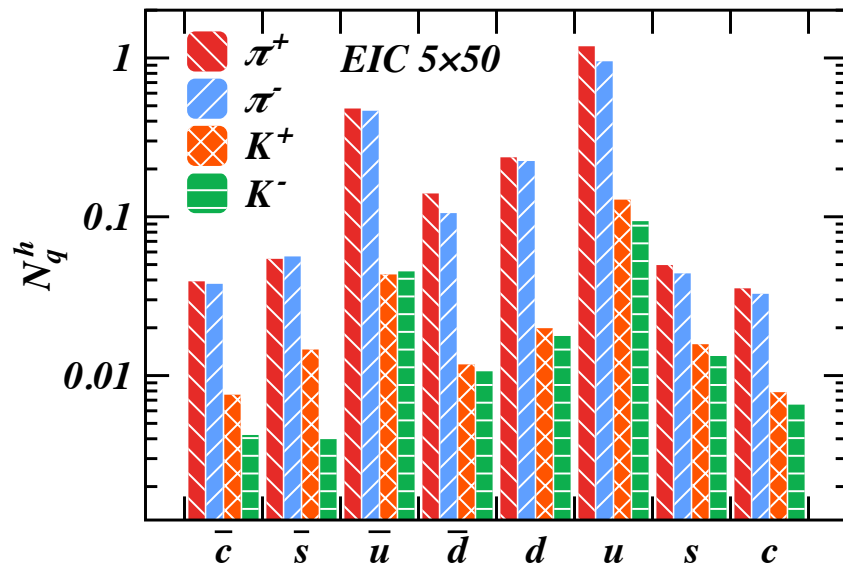
mPYTHIA RESULTS FOR EIC: ONE H

H.M et al., arXiv:1502.02669 (2015).

◆ SSAs for charged pions and kaons from **proton** target - low x region.



◆ **Average** number of hadrons by struck quark flavor.

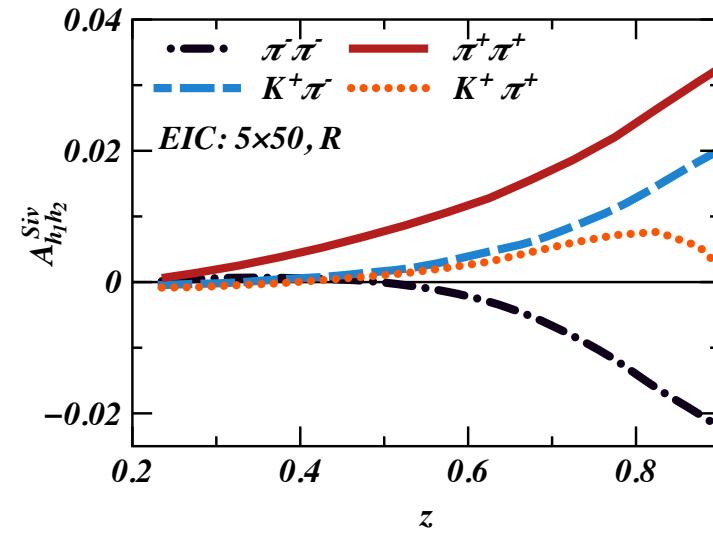
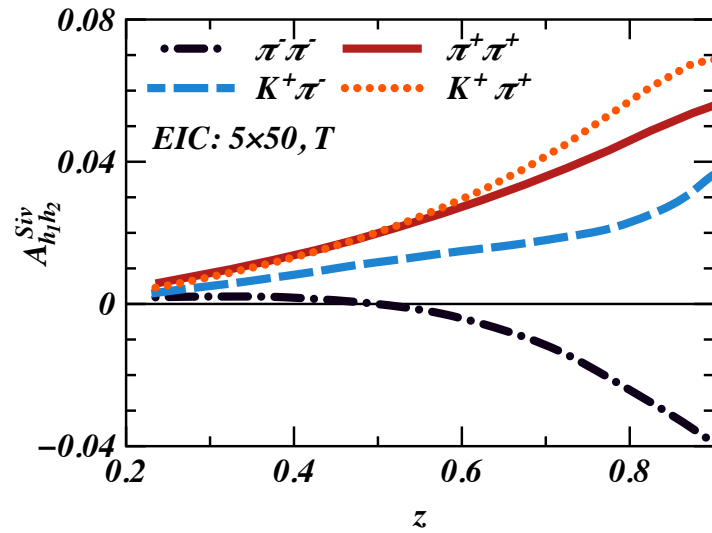
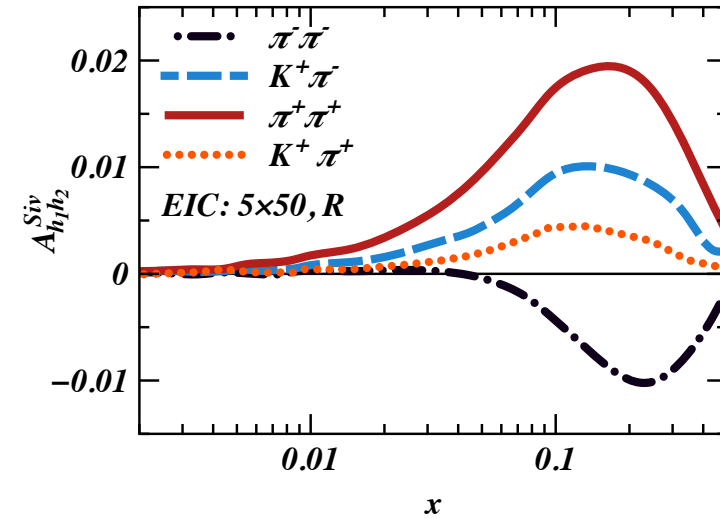
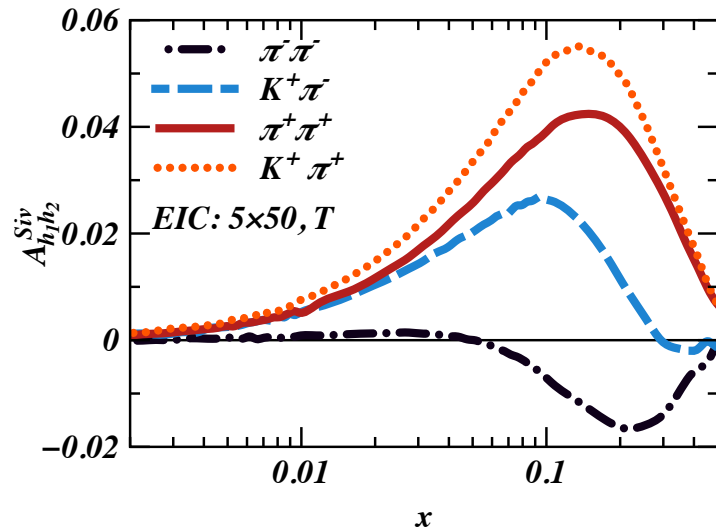


◆ π^+ multiplicities **larger** than K^+ , but kaon **SSAs** are larger. Up quark dominates the multiplicities.

Dihadron Sivers SSAs for EIC

H.M et al., arXiv:1502.02669 (2015).

◆ Identical pairs via z-ordering: $z_1 \geq z_2$ (so $\sigma_R \neq 0$)



- Dihadron SSAs are *comparable* to single hadron ones!
(the one- and two-hadron FFs should mostly cancel in the ratios)

CONCLUSIONS

- ❖ **TMDs** describe the spin and momentum correlations of partons inside of the nucleon.
- ❖ They are essentially **non-perturbative** objects that should be extracted from experiments such as **SIDIS** and **Drell-Yan**.
- ❖ A lot of effort in various areas: Theory (Factorization, Universality, Evolution), Experiment (Unfolded multiplicity data, SSAs), Phenomenology (Models, Empirical Extractions), Lattice QCD (TM widths, Sivers and Boer-Mulders PDFs).
- ❖ Current empirical extractions **suffer from both** sizable experimental errors, large uncertainties in knowledge of FFs, and lack of detailed understanding of some theoretical aspects (NP input in evolution, etc).

CONCLUSIONS II

- ❖ Precise data from future experiments: **SIDIS (JLab 12GeV, EIC), DY(COMPASS II), e^+e^- (BELLE II)** crucial for reliable extraction of both TMD PDFs and FFs in a global fit.
- ❖ Using new methods, such as ***Two-Hadron SIDIS*** will provide an additional information for mapping the TM and flavor dependences of TMDs.
- ❖ Development of full Event Generators that ***incorporate TMD physics*** (spin-orbit correlations, polarized parton fragmentation, evolution?, etc) will provide an important tool to both phenomenology and experiment for a detailed understanding of the experimental results and a reliable extraction of TMDs.

Thanks!

Photo by Jun Zhang

BACKUP SLIDES

- **Sivers Single Spin Asymmetry:**

$$\langle \sin(\phi - \phi_S) \rangle_{UT}^h \equiv \frac{\int d\phi_h d\phi_S \sin(\phi_h - \phi_S) [d\sigma(\phi_h, \phi_S) - d\sigma(\phi_h, \phi_S + \pi)]}{\int d\phi_h d\phi_S [d\sigma(\phi_h, \phi_S) + d\sigma(\phi_h, \phi_S + \pi)]}$$

$$A_{Siv}^P \equiv 2 \langle \sin(\phi - \phi_S) \rangle_{UT}^h$$

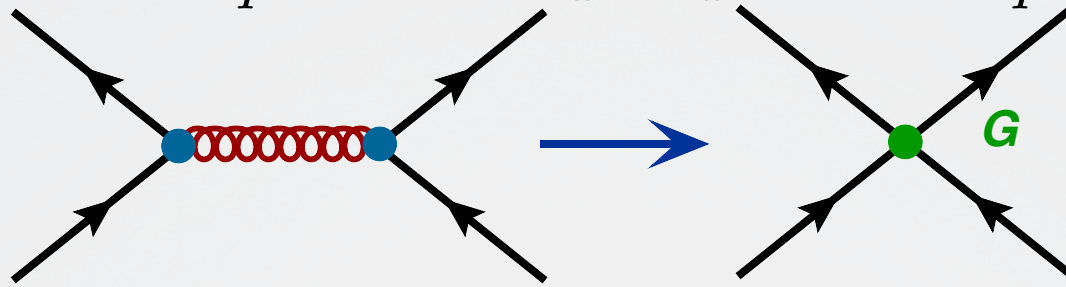
$$\langle \sin(\phi - \phi_S) \rangle_{UT}^h \sim \frac{\mathcal{C}[f_{1T}^{\perp, q} D_1^{h/q}]}{\mathcal{C}[f_1^q D_1^{h/q}]}$$

NAMBU--JONA-LASINIO MODEL

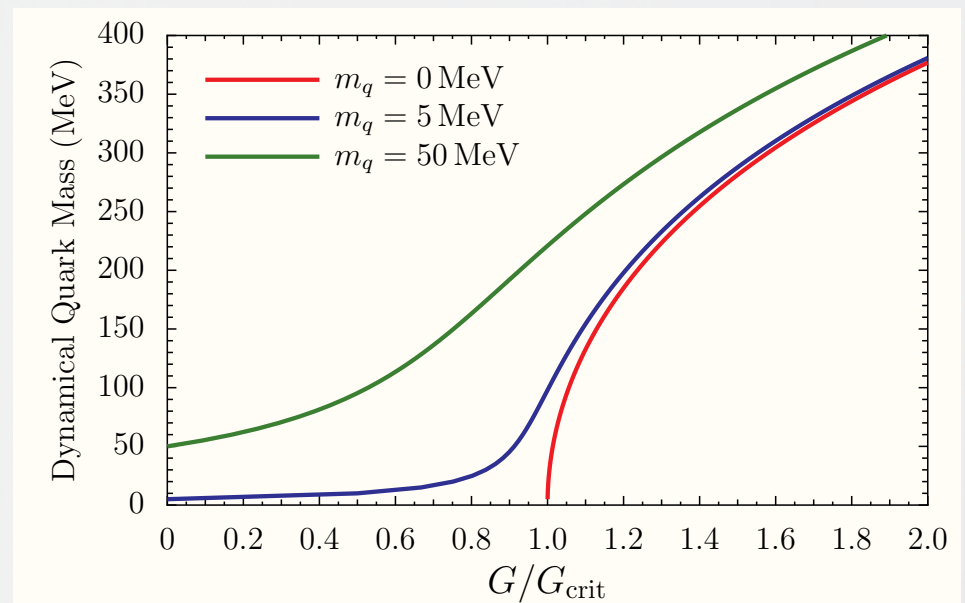
Effective Quark model of QCD

- Effective Quark Lagrangian

$$\mathcal{L}_{NJL} = \bar{\psi}_q (i\cancel{\partial} - m_q) \psi_q + G (\bar{\psi}_q \Gamma \psi_q)^2$$



- Low energy chiral effective theory of QCD.
- Covariant, has the same flavor symmetries as QCD.
- Dynamically Generated Quark Mass from GAP Eqn.



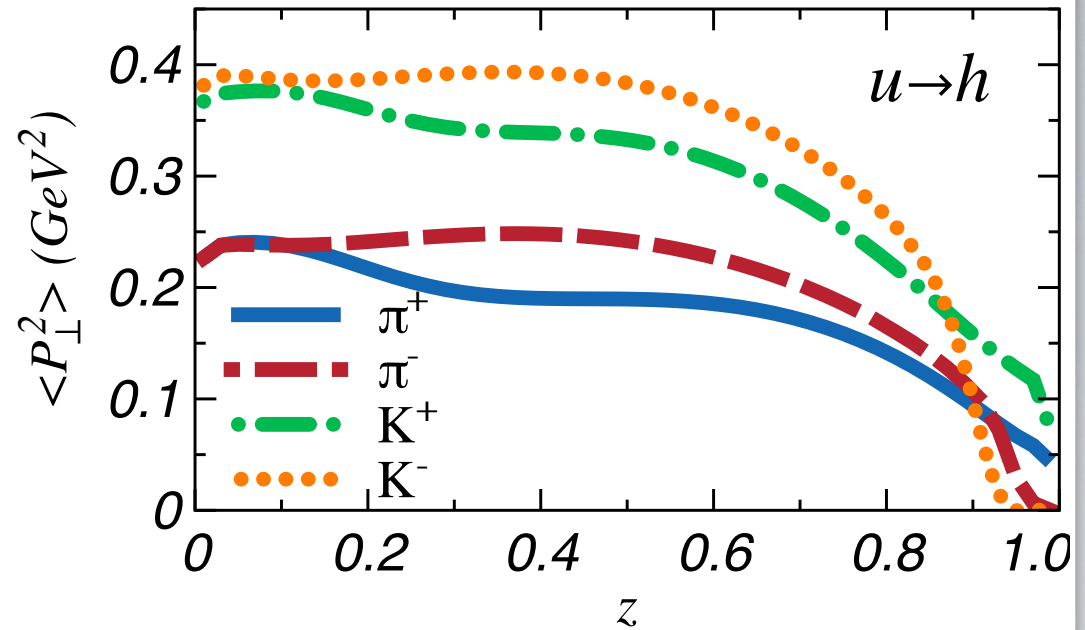
AVERAGE TRANSVERSE MOMENTA VS z

FRAGMENTATION

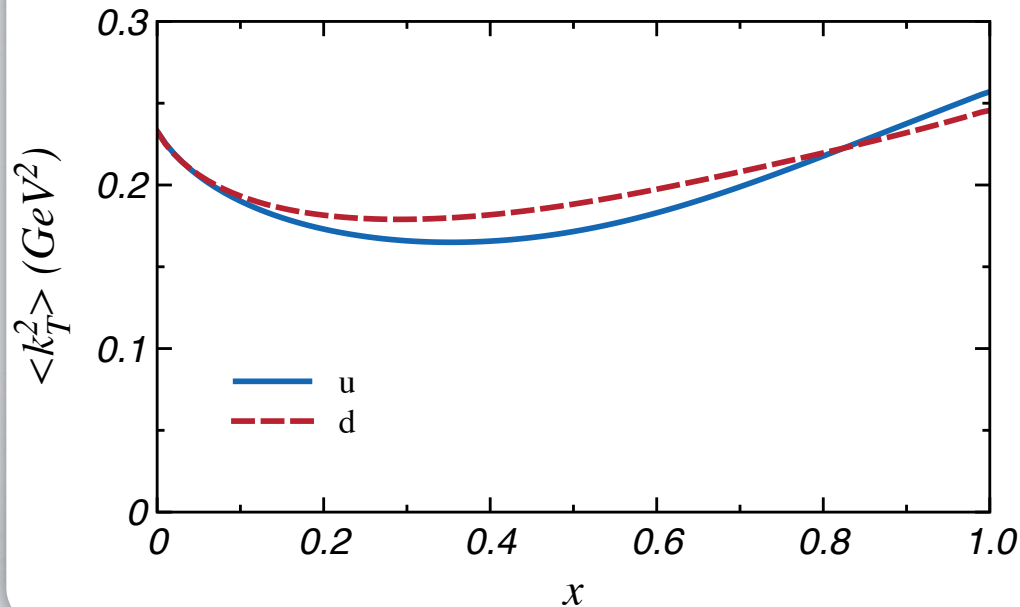
$$\langle P_{\perp}^2 \rangle_{unf} > \langle P_{\perp}^2 \rangle_f$$

◆ Indications from HERMES data:

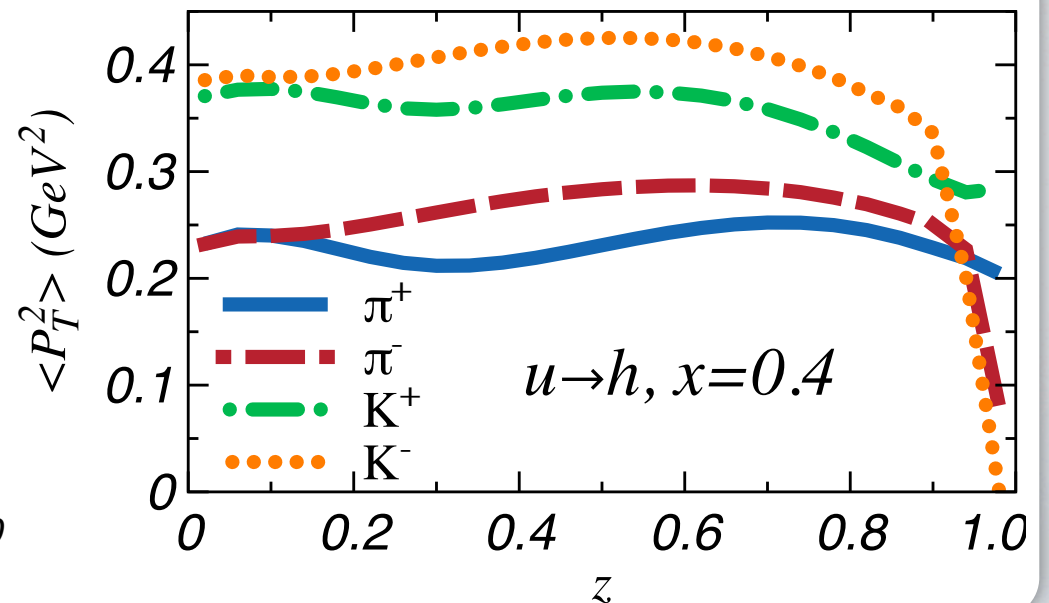
A. Signori, et al: JHEP 1311, 194 (2013)



PDF



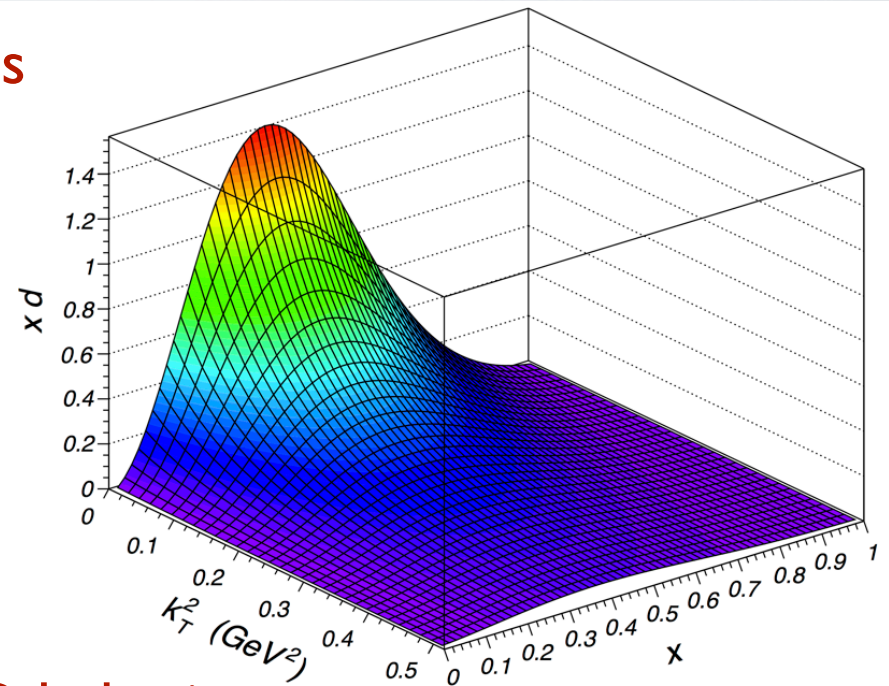
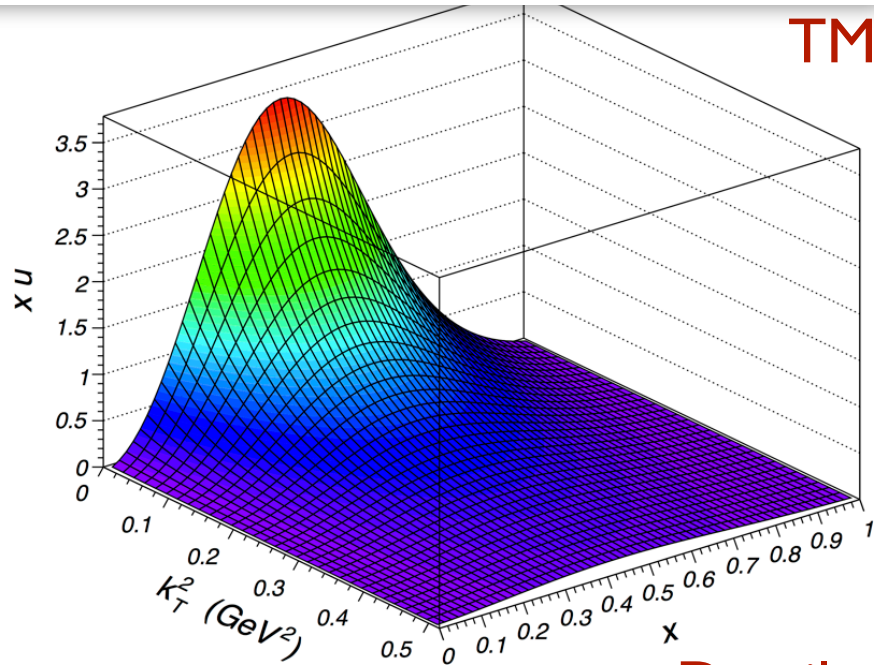
SIDIS



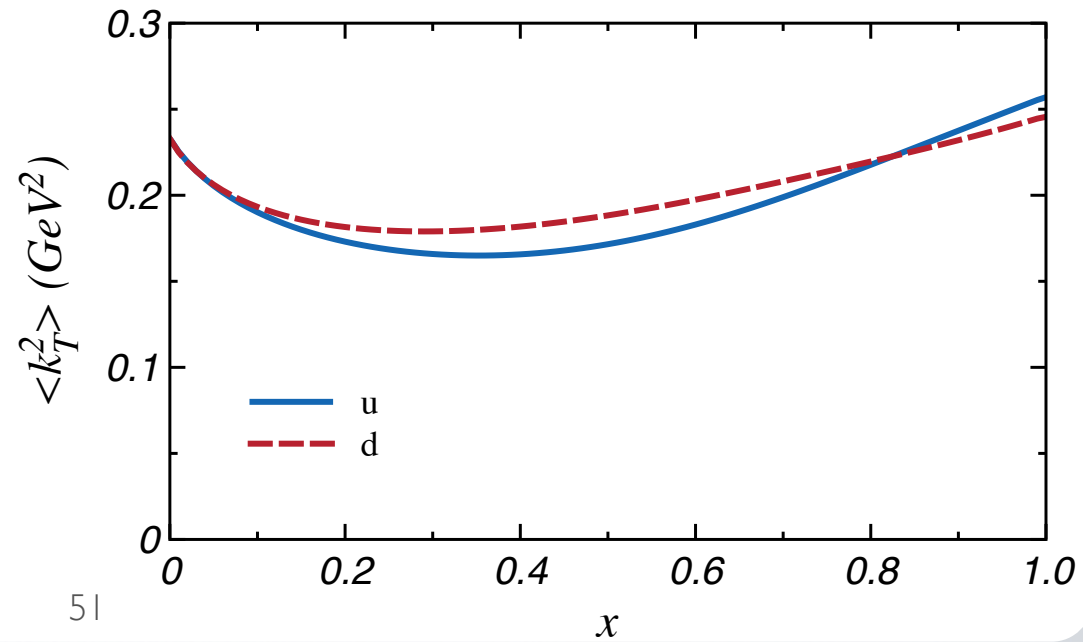
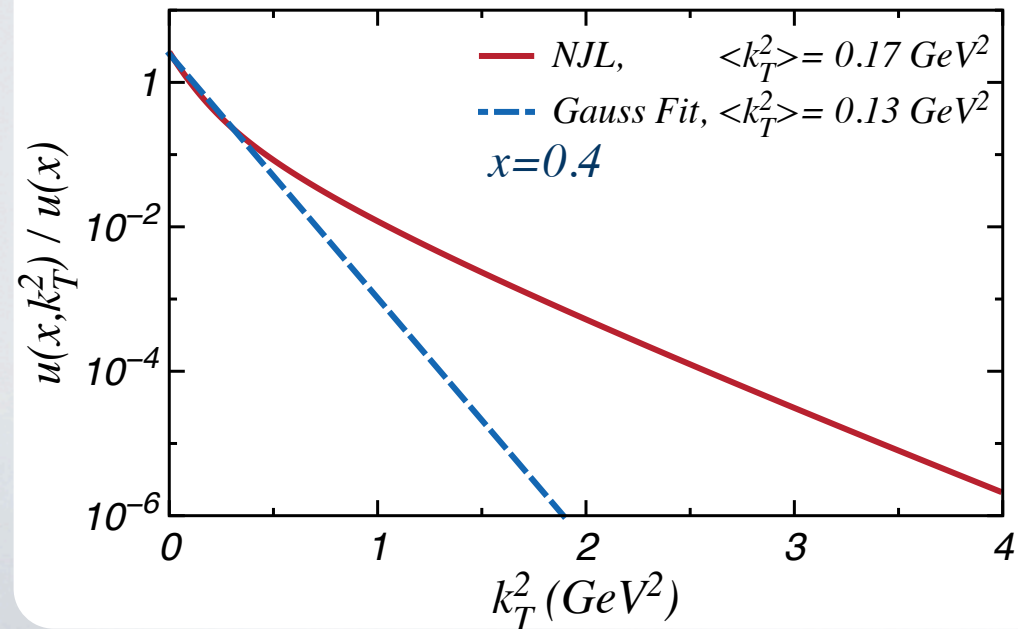
NJL: NUCLEON PDFS - TMD RESULTS

H.M., Bentz, Cloet, Thomas, PRD.85:014021, 2012

TMD PDFs



Details of TMD behavior



Link to GPDs and Quark \vec{J}

Bacchetta, Radici: PRL 107, 212001 (2011).

- Model assumption: Moment of *Sivers PDF* relates to GPD E via “lensing” function.

$$f_{1T}^{\perp(0)q}(x; Q_L^2) = -L(x)E^q(x, 0, 0; Q_L^2)$$

- Lensing Function from spectator model calculation.
- Fit Sivers Function parametrization to experimental data.
- Use magnetic moment constraints for GPD E. GPD H from PDF.

$$\kappa^p = \int_0^1 \frac{dx}{3} (2E^{u_v}(x, 0, 0) - E^{d_v}(x, 0, 0) - E^{s_v}(x, 0, 0))$$

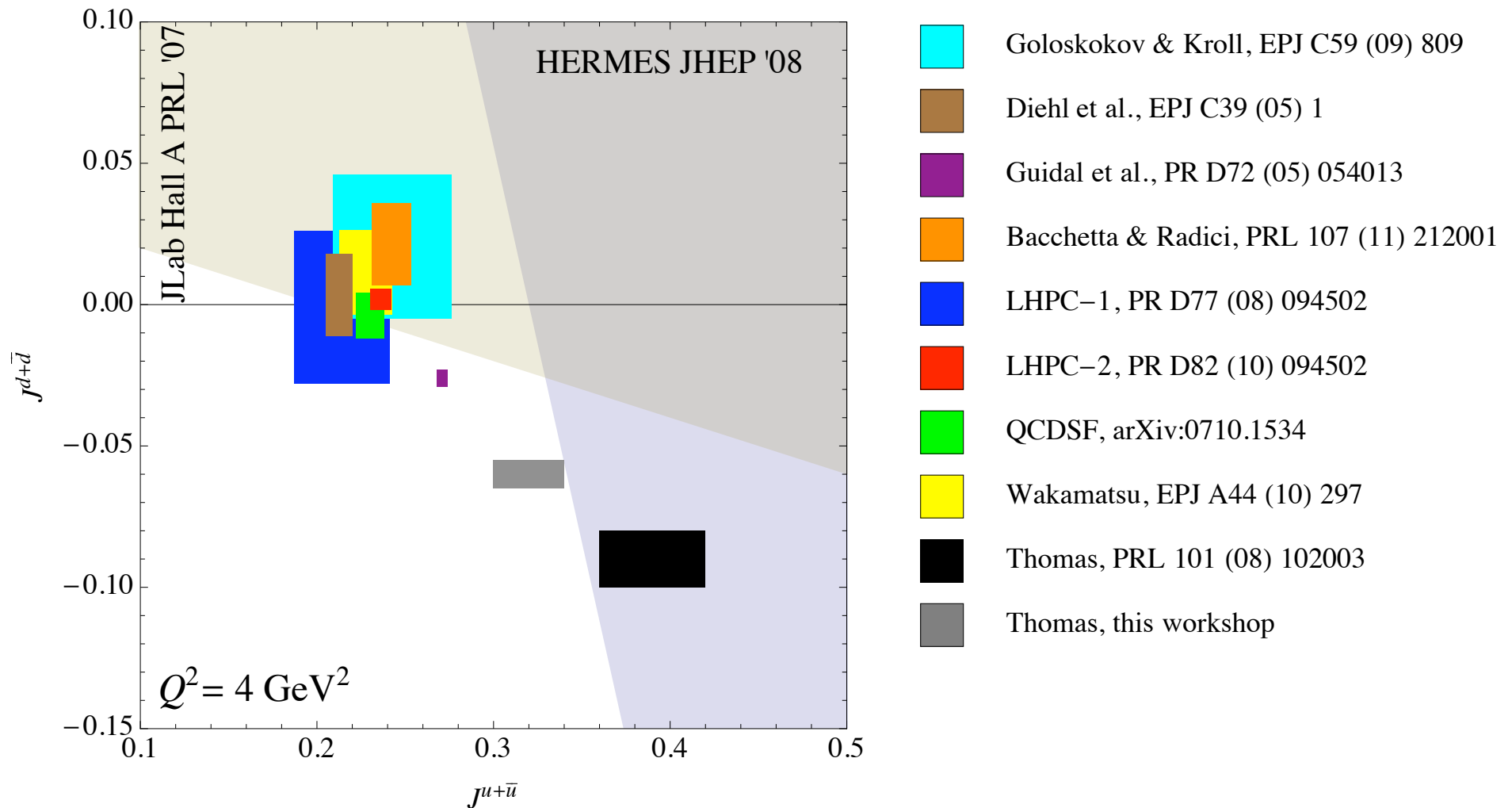
$$\kappa^n = \int_0^1 \frac{dx}{3} (2E^{d_v}(x, 0, 0) - E^{u_v}(x, 0, 0) - E^{s_v}(x, 0, 0))$$

$$J^q = \frac{1}{2} \int_0^1 dx x (H^q(x, 0, 0) + E^q(x, 0, 0))$$

Link to GPDs and Quark \vec{J}

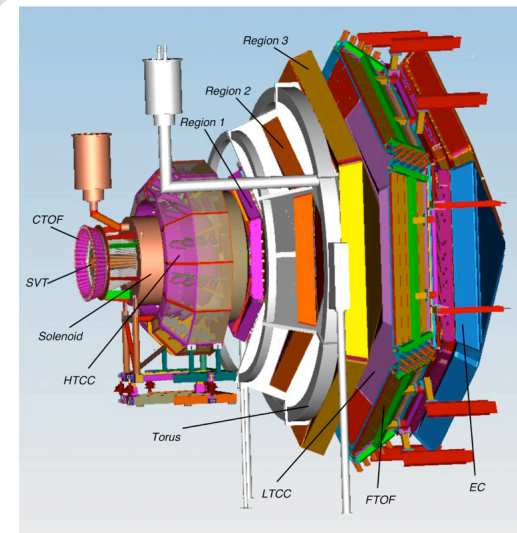
Bacchetta, Radici: PRL 107, 212001 (2011).

- Model assumption: Moment of *Sivers PDF* relates to



$$J^1 = \frac{1}{2} \int_0^1 dx x (H^1(x, 0, 0) + E^1(x, 0, 0))$$

CLAS12 @ JLAB 12GeV



- Upcoming SIDIS experiment, 1H and 2H
- 11 GeV electron off polarized proton target.
- Access to large x region of nucleon structure.
- We use mPYTHIA for SIDIS predictions.

- Include the kinematical cuts on $x, Q^2, W, \theta_{e'}, \theta_h, M_{Mis}, z, \dots$

$$0.075 \leq x \leq 0.532$$

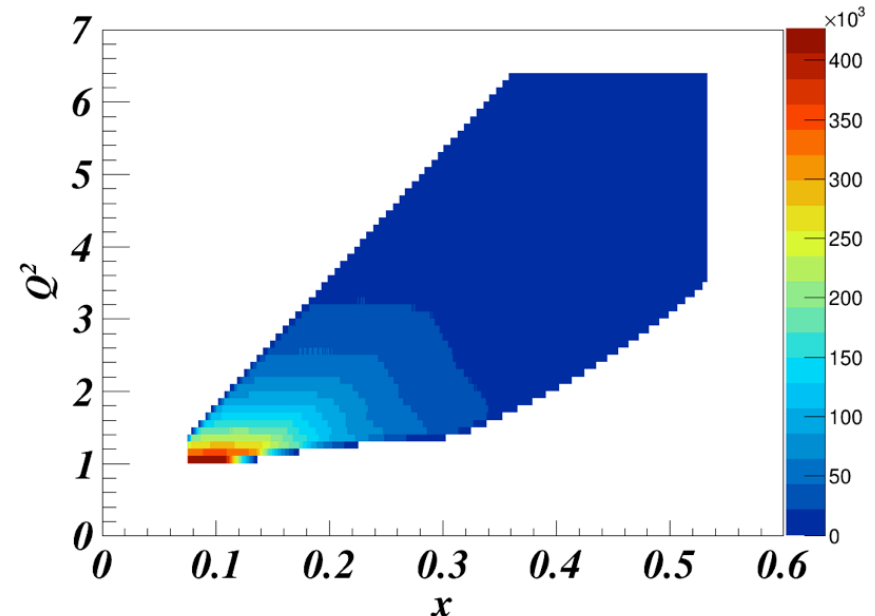
$$1 \text{ GeV} \leq Q^2 \leq 6.3 \text{ GeV}$$

$$W \geq 2 \text{ GeV}$$

$$M_{Mis}(ep)-(e'hX) \geq 1.5 \text{ GeV}$$

$$M_{Mis}(ep)-(e'h_1h_2X) \geq 1.5 \text{ GeV}$$

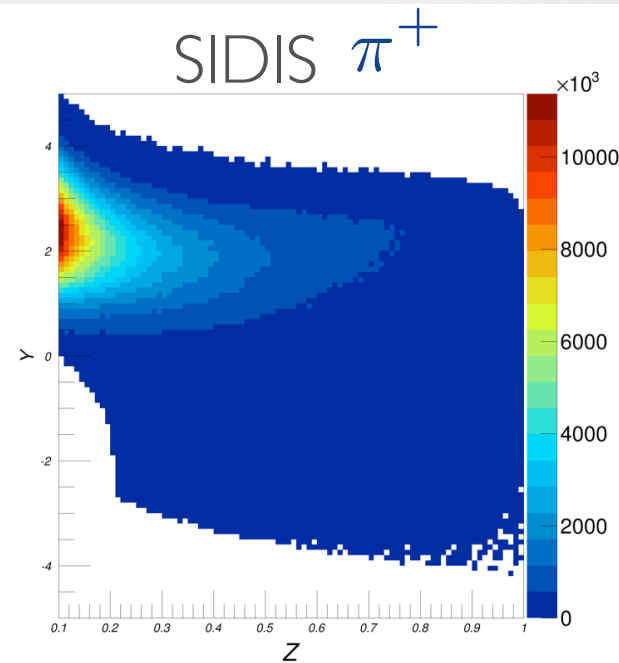
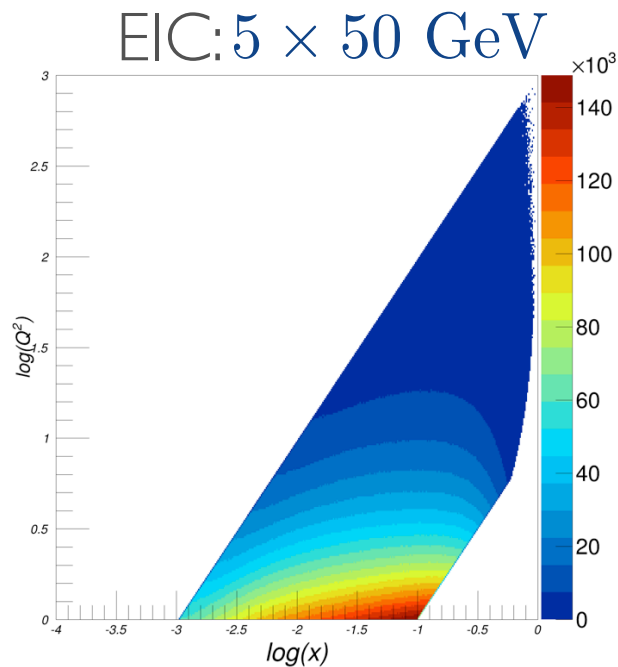
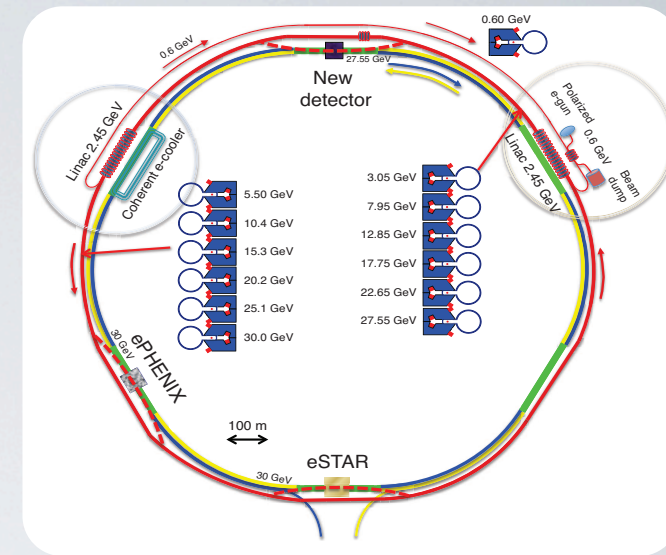
DIS kinematics



EIC: eRHIC

White Paper -- Accardi et. al. : 1212.1701(2012).

- EIC using RHIC + electron ring.
- Various proposed beam momenta: $l_e \times P_N$
- We use mPYTHIA for SIDIS predictions.

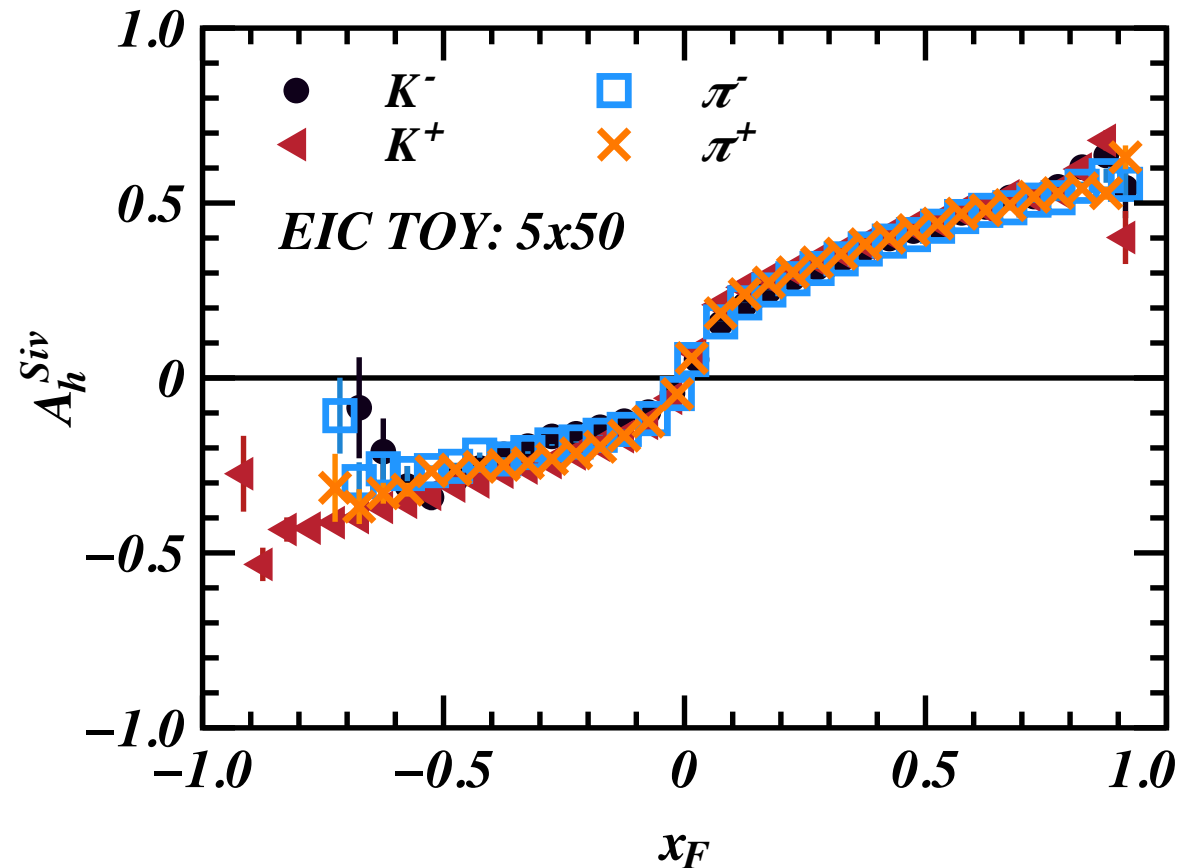


TOY MODEL : TARGET FRAGMENTATION

- What can we learn about Sivers PDF at EIC?
- Use a TOY model for Sivers PDF to explore.

$$f_{\uparrow}^{q, Toy}(x, \mathbf{k}_T) = f_1^q(x, k_T)[1 + 0.9 \sin(\varphi_q - \varphi_S)]$$

- **Explore Target Fragmentation Regions** $x_F < 0$.



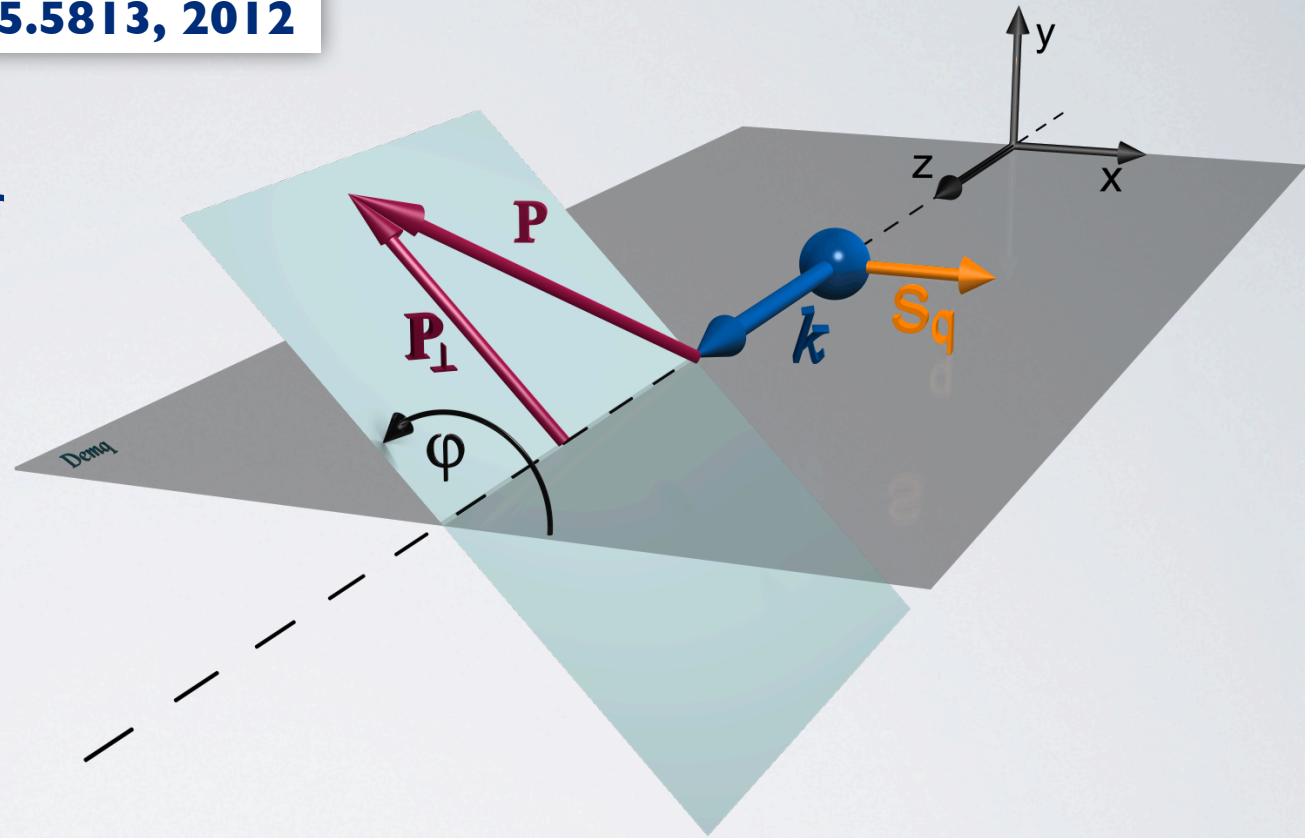
- Sivers SSA changes sign, fragmentation of nucleon remnant! 55

COLLINS FRAGMENTATION FUNCTION

H.M., Thomas, Bentz, arXiv:1205.5813, 2012

- **Collins Effect:**

Azimuthal Modulation of Transversely Polarized Quark's Fragmentation Function.

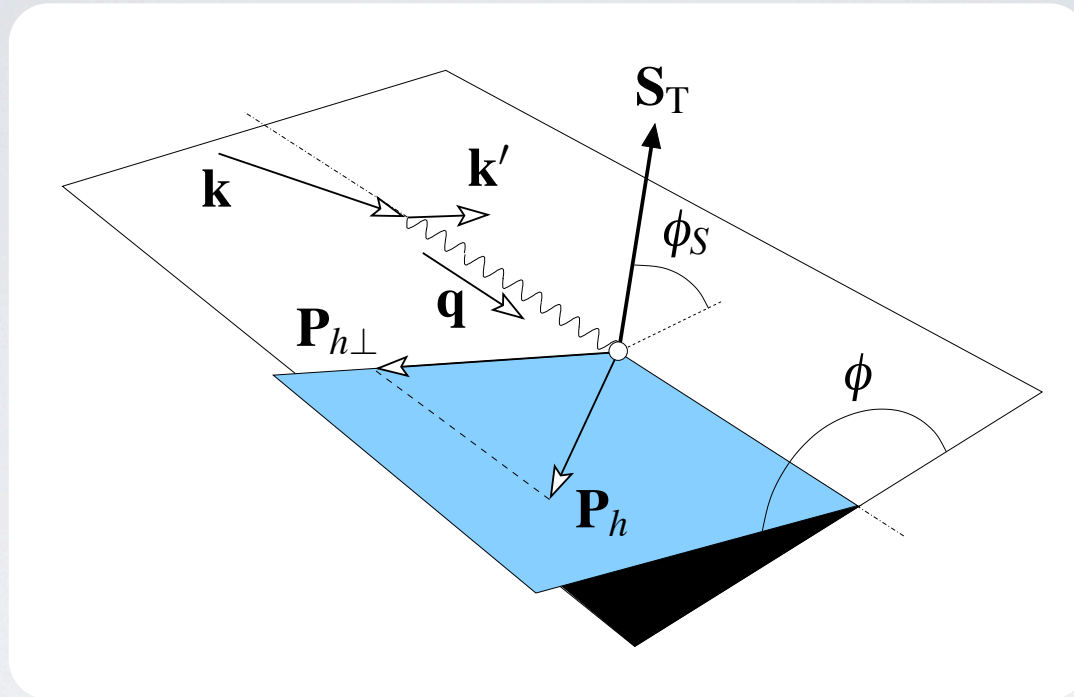


Unpolarized

$$D_{h/q^\uparrow}(z, P_\perp^2, \varphi) = D_1^{h/q}(z, P_\perp^2) - H_1^{\perp h/q}(z, P_\perp^2) \frac{P_\perp S_q}{zm_h} \sin(\varphi)$$

Collins

- **Chiral-ODD:** Needs to be coupled with another chiral-odd quantity to be observed.



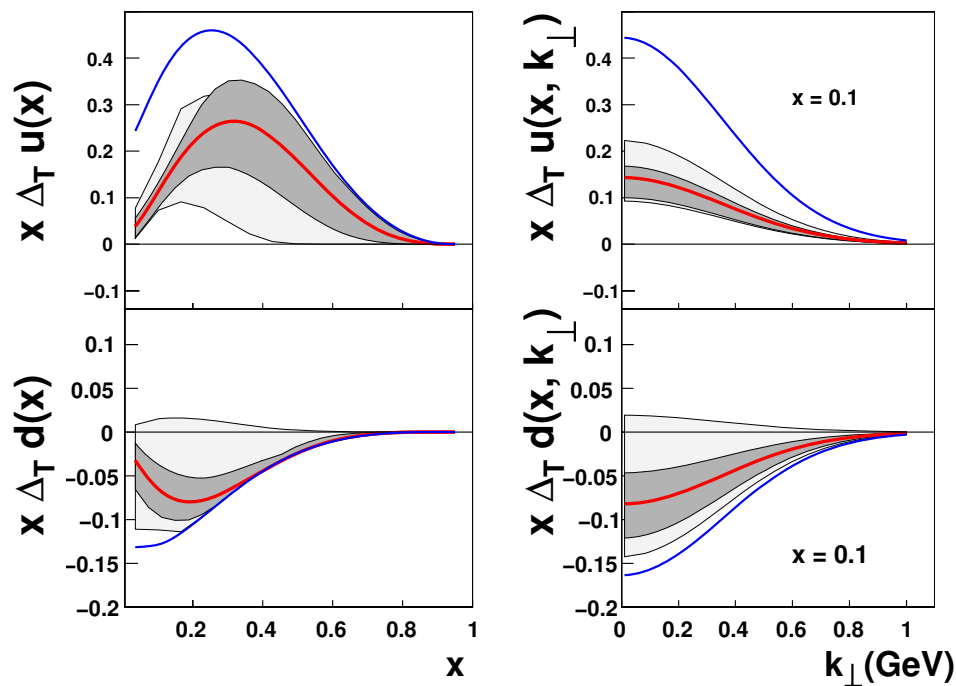
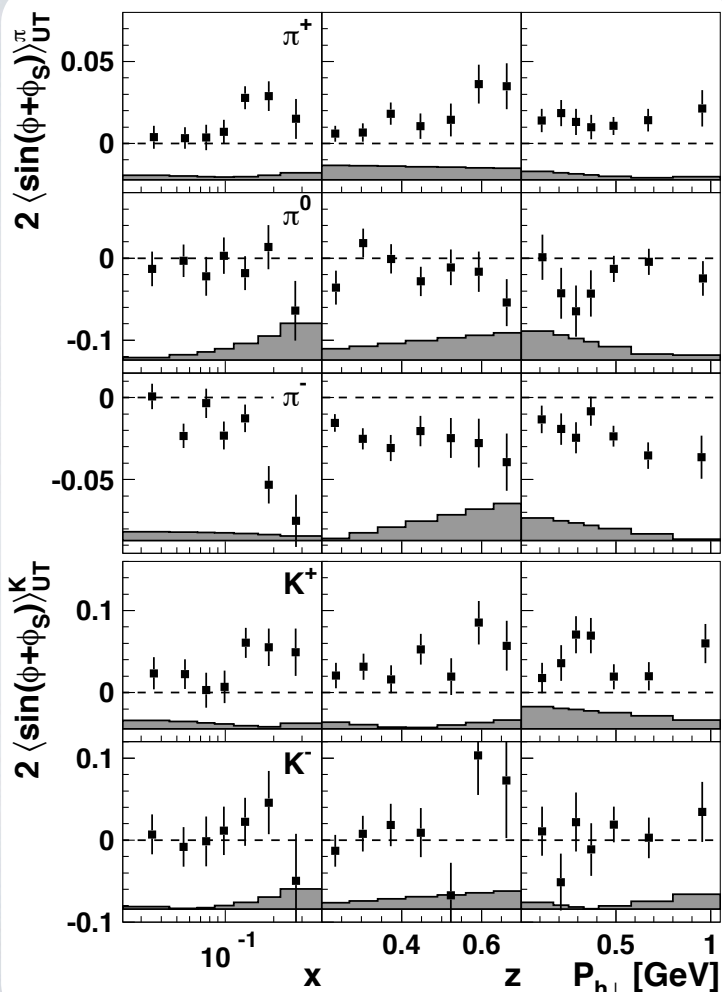
***TRANSVERSITY I:
ONE HADRON SIDIS AND
COLLINS FRAGMENTATION FUNCTION***

EMPIRICAL EXTRACTIONS OF TRANSVERSITY

- **SIDIS at HERMES**
PLB693 (2010) 11-16.

$$\langle \sin(\phi + \phi_S) \rangle_{UT}^h \sim \frac{C[h_1^q H_{1q}^{\perp h/q}]}{C[f_1^q D_1^{h/q}]}$$

- Opposite sign for the charged **pions**.
- Large positive signal for K^+ .
- Consistent with **0** for π^0 and K^- .
- **Fits to HERMES, COMPASS and BELLE: NPB (Proc. Suppl.) 191 (2009) 98-107.**



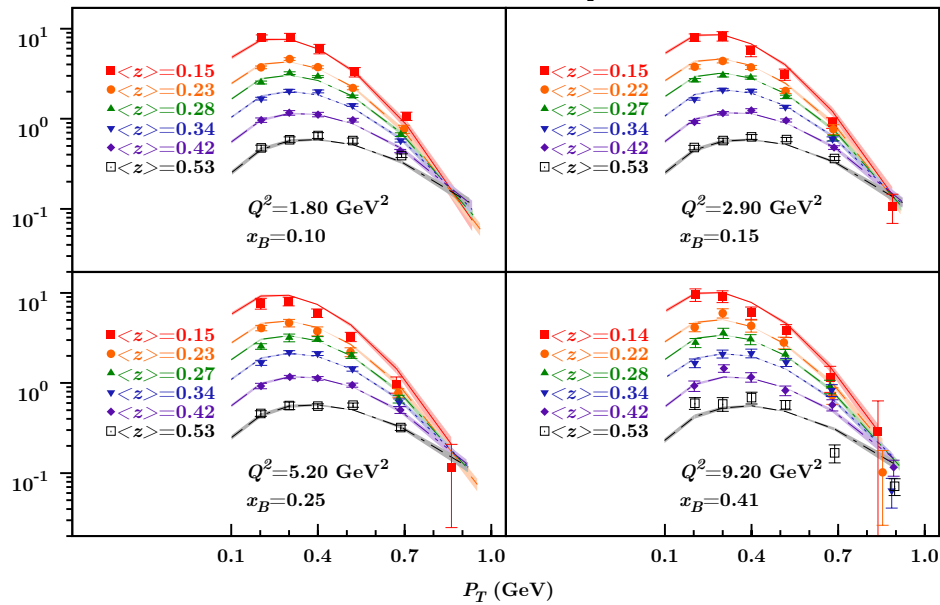
- **Large Uncertainties!**
- **Simplistic Approximations !**

EMPIRICAL EXTRACTIONS OF AVERAGE TM

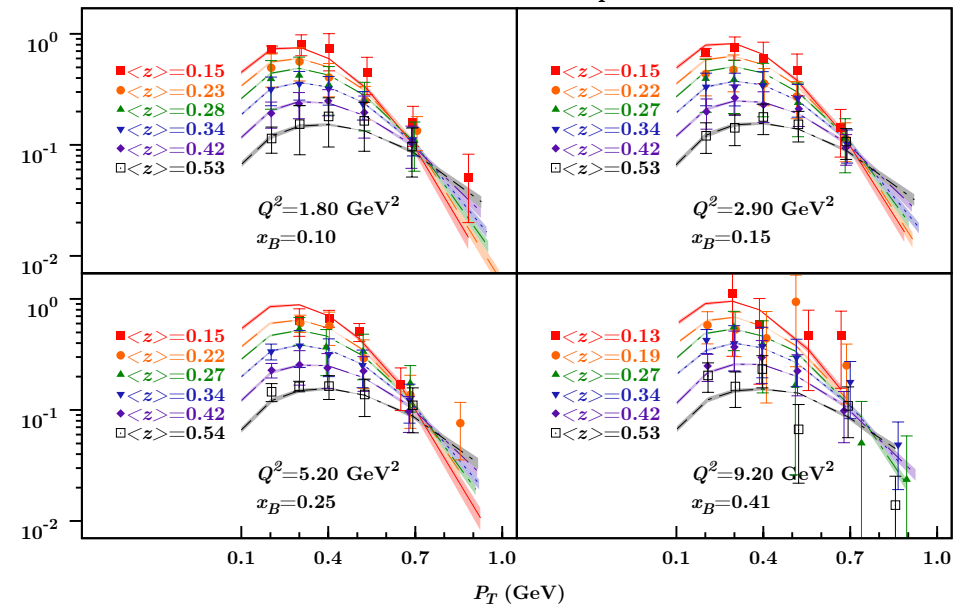
M. Anselmino et. al.: JHEP 1404 (2014) 005.

✓ HERMES fit.

HERMES $M_p^{\pi^+}$



HERMES $M_p^{K^+}$



EMPIRICAL EXTRACTIONS OF AVERAGE TM

M. Anselmino et. al.: JHEP 1404 (2014) 005.

✓ COMPASS fit.

