

Saturation effects on Heavy Quark production in pA collisions

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① Generalities on factorization

What is factorization?

Causality

DGLAP

BFKL

Limitations

② Gluon saturation at small x

What is a hadron?

Gluon saturation

DGLAP and saturation?

Dense-dilute limit

③ Heavy quark production

Kinematics

QQbar cross-section

Large N_c limit

Violations of Kt-factorization

General trends

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- QCD is the fundamental theory of strong interactions among quarks and gluons
- Experiments involve hadrons in their initial and final states, not quarks and gluons
- Hadrons cannot be described perturbatively in QCD
- Scattering amplitudes with time-like on-shell momenta cannot be computed on the lattice
 - ▷ How can we compare theory and experiments?
 - ▷ **Factorization** : separation of short distances (perturbative) and long distance (non perturbative)

Quantum mechanical expression of a transition amplitude (exact)

$$\begin{array}{l} \text{transition probability} \\ \text{from hadrons to } X \end{array} \equiv \left| \sum \text{Amplitudes} \right. \\ \left. h_1 h_2 \rightarrow X \right|^2.$$

Approximation made in the parton model

$$\begin{array}{l} \text{transition probability} \\ \text{from hadrons to } X \end{array} \approx \sum_{\substack{\text{partons} \\ \{q, g\}}} \text{probability to find} \\ \{q, g\} \text{ in } \{h_1, h_2\} \otimes \left| \sum \text{Amplitudes} \right. \\ \left. \{q, g\} \rightarrow X \right|^2$$

- Some quantum interferences are neglected. Is this legitimate?
- When we compute loop corrections, where should they go?
- Is this *factorization* possible to all orders?

- Some loop corrections in $\mathcal{O}_{\text{partons}}$ are enhanced by large logarithms, e.g.

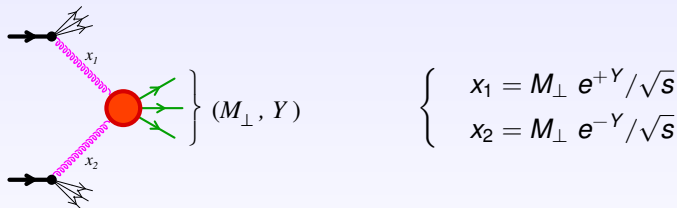
$$\alpha_s \ln \left(\frac{M^2}{m_H^2} \right) \quad , \quad \alpha_s \ln \left(\frac{s}{M^2} \right) \sim \alpha_s \ln \left(\frac{1}{x} \right)$$

Note : the log that occurs depends on the details of the kinematics

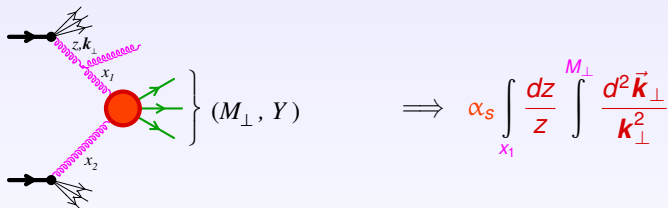
- Bjorken limit: $s, M^2 \rightarrow +\infty$ with s/M^2 fixed
- Regge limit: $s \rightarrow +\infty, M^2$ fixed
- These logs upset a naive application of perturbation theory when $\alpha_s \ln(\cdot) \sim 1$ \triangleright they must be resummed
- This resummation can be performed analytically
 - the result of the resummation is universal
 - all the leading logs can be absorbed in F
 - \triangleright the factorization formula remains true
 - \triangleright this summation dictates how F evolves with M^2 or x

What is factorization?

- These logarithms tell us that the relevant parton distributions depend on the resolution scales (in time and in transverse momentum) associated to a given process
- Calculation of some process at LO :



- These logarithms tell us that the relevant parton distributions depend on the resolution scales (in time and in transverse momentum) associated to a given process
- Radiation of an extra gluon :



- Practical consequence : pQCD predicts not only the partonic matrix element but also the evolution $\partial_M F$ (or $\partial_x F$)
 - ▷ the only required non-perturbative input is $F(x, M_0)$ or $F(x_0, M)$

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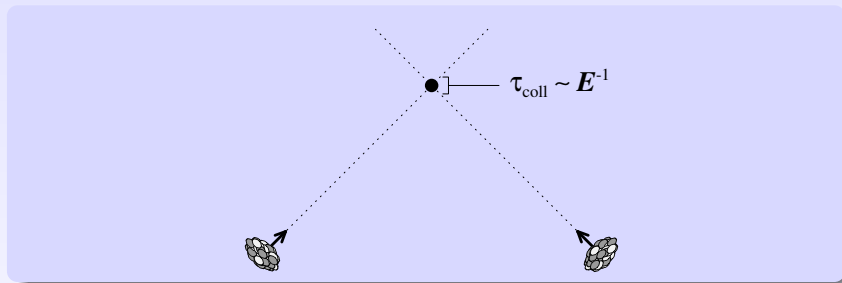
DGLAP

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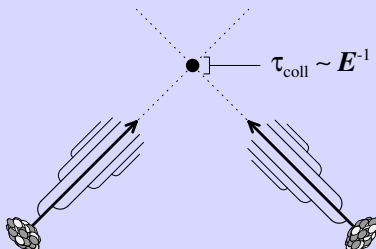
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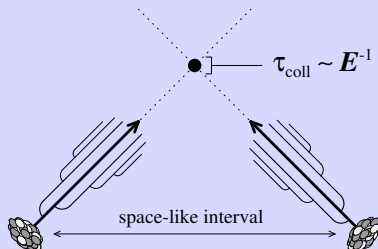
③ Heavy quark production



- The duration of the collision is very short: $\tau_{\text{coll}} \sim E^{-1}$



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- The logarithms we need to resum arise from the radiation of soft gluons, which takes a long time
 - ▷ it must happen (long) before the collision



- The duration of the collision is very short: $\tau_{\text{coll}} \sim E^{-1}$
- The logarithms we need to resum arise from the radiation of soft gluons, which takes a long time
 - ▷ it must happen (long) before the collision
- The projectiles are not in causal contact before the impact
 - ▷ the logarithms are intrinsic properties of the projectiles, independent of the measured observable

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- Logs of $M_{\perp} \implies$ **DGLAP**. Important when :
 - $M_{\perp} \gg \Lambda_{\text{QCD}}$, while x_1, x_2 are rather large
- Cross-sections read :

$$\frac{d\sigma}{dY d^2\vec{P}_{\perp}} \propto F(x_1, M_{\perp}^2) F(x_2, M_{\perp}^2) |\mathcal{M}|^2$$

with $x_{1,2} = M_{\perp} \exp(\pm Y)/\sqrt{s}$

- Note : there are convolutions in x_1 and x_2 if some particles are integrated out in the final state
- The factorization of logarithms has been proven to all orders for sufficiently inclusive quantities
(see **Collins, Soper, Sterman, 1984–1985**)

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Collins, Ellis (1991), Catani, Ciafaloni, Hautmann (1991)

- Logs of $1/x \Rightarrow$ BFKL. Important when :
 - M_{\perp} remains moderate, while x_1 or x_2 (or both) are small
- The BFKL equation is non-local in transverse momentum
 - ▷ it applies to non-integrated gluon distributions $\varphi(x, \vec{k}_{\perp})$

$$xG(x, Q^2) = \int \frac{d^2 \vec{k}_{\perp}}{(2\pi)^2} \varphi(x, \vec{k}_{\perp})$$

▷ the matrix element must be calculated for off-shell gluons with $\vec{k}_{\perp} \neq \vec{0}$

- In this framework, cross-sections read :

$$\frac{d\sigma}{dY d^2 \vec{P}_{\perp}} \propto \int_{\vec{k}_{1\perp}, \vec{k}_{2\perp}} \delta(\vec{k}_{1\perp} + \vec{k}_{2\perp} - \vec{P}_{\perp}) \varphi_1(x_1, k_{1\perp}) \varphi_2(x_2, k_{2\perp}) \frac{|\mathcal{M}|^2}{k_{1\perp}^2 k_{2\perp}^2}$$

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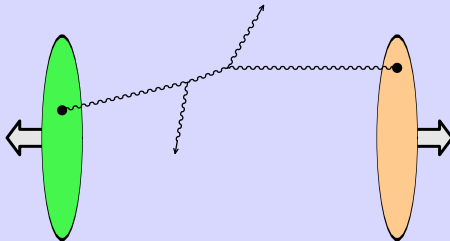
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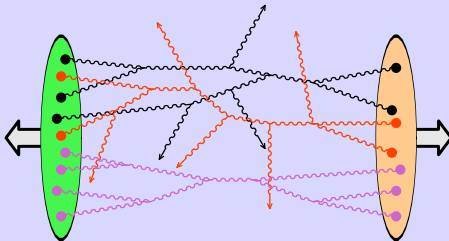
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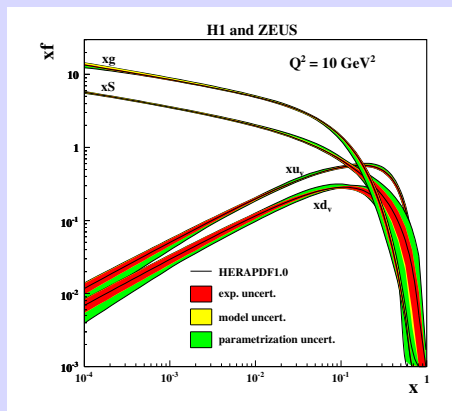


- **Dilute regime** : one parton in each projectile interact (what the standard PDFs are made for)



- **Dilute regime** : one parton in each projectile interact (what the standard PDFs are made for)
- **Dense regime** : **multiparton processes** become crucial
 - ▷ standard forms of factorization break down
 - ▷ new distributions are required

Gluon distribution at small x



- Gluons dominate at any $x \leq 10^{-1}$

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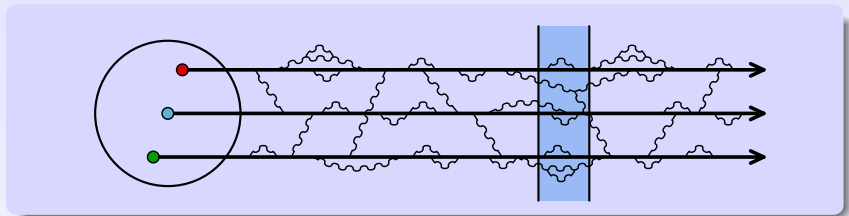
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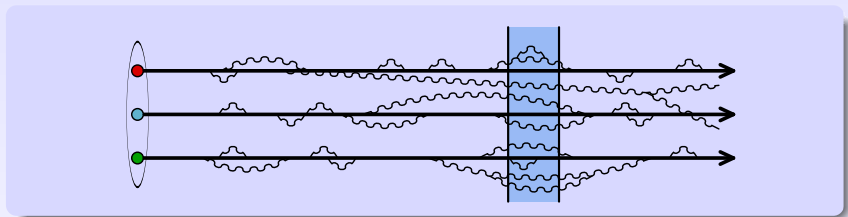
Dense-dilute limit

③ Heavy quark production



At **low energy**:

- **Fluctuations at all space-time scales** smaller than its size
- Only the fluctuations that are longer lived than the external probe participate in the interaction process
- Interactions are very complicated if the constituents of the nucleon have a non trivial dynamics over time-scales comparable to those of the probe



At high energy:

- Dilation of all internal time-scales of the nucleon
- Interactions among constituents now take place over time-scales that are longer than the characteristic time-scale of the probe
 - ▷ the constituents behave as if they were free
- Many fluctuations live long enough to be seen by the probe
 - ▷ the nucleon appears denser at small x
- Pre-existing fluctuations are frozen over the time-scale of the probe, and act as static sources of new partons

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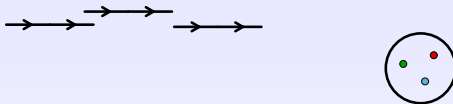
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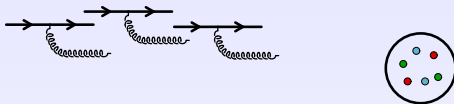
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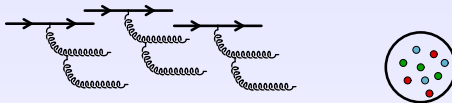
③ Heavy quark production



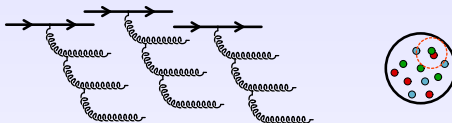
▷ at low energy, only valence quarks are present in the hadron wave function



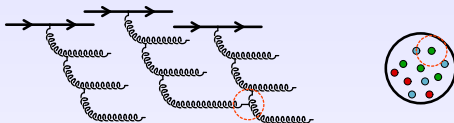
- ▷ when energy increases, new partons are emitted
- ▷ the emission probability is $\alpha_s \int \frac{dx}{x} \sim \alpha_s \ln\left(\frac{1}{x}\right)$, with x the longitudinal momentum fraction of the gluon
- ▷ at small- x (i.e. high energy), these logs need to be resummed



▷ as long as the density of constituents remains small, the evolution is **linear**: the number of partons produced at a given step is proportional to the number of partons at the previous step



▷ eventually, the partons start overlapping in phase-space



- ▷ parton recombination becomes favorable
 - ▷ after this point, the evolution is **non-linear**:
the number of partons created at a given step depends non-linearly on the number of partons present previously
- Balitsky (1996), Kovchegov (1996,2000)
Jalilian-Marian, Kovner, Leonidov, Weigert (1997,1999)
Iancu, Leonidov, McLerran (2001)

- When their occupation number becomes large, gluons can recombine :

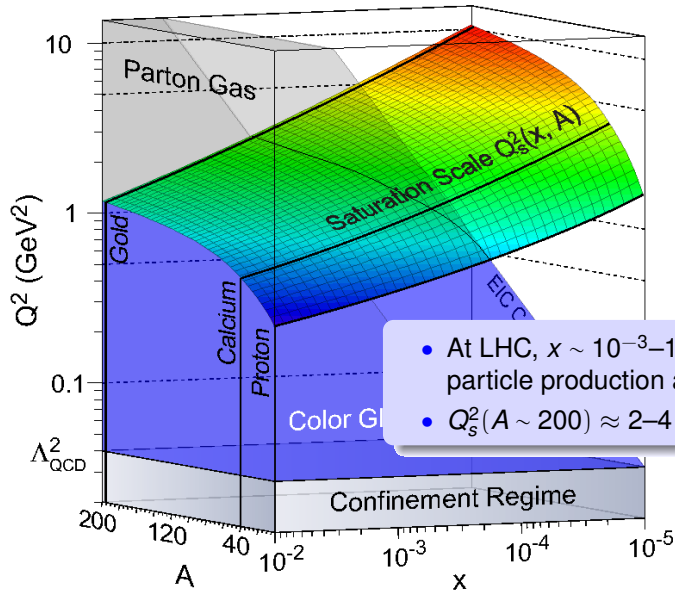
Gluon Saturation

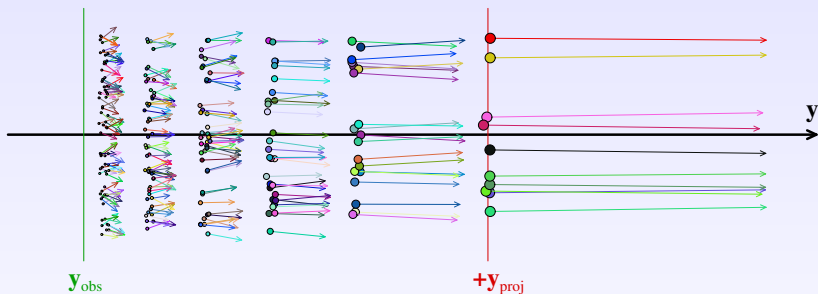
Saturation criterion [Gribov, Levin, Ryskin (1983)]

$$\underbrace{\alpha_s Q^{-2}}_{\sigma_{gg \rightarrow g}} \times \underbrace{A^{-2/3} x G(x, Q^2)}_{\text{surface density}} \geq 1$$

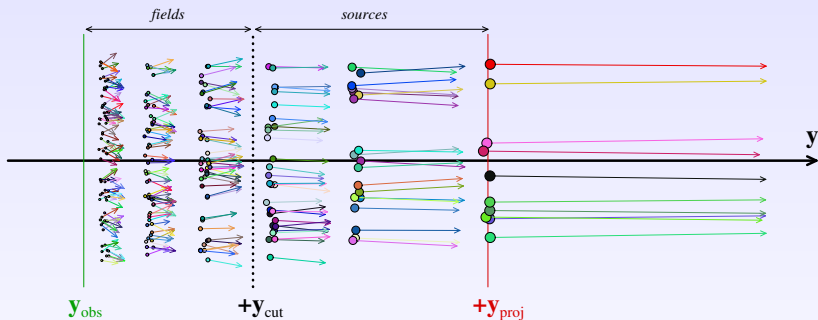
$$Q^2 \leq Q_s^2 \equiv \underbrace{\frac{\alpha_s x G(x, Q_s^2)}{A^{2/3}}}_{(\text{saturation momentum})^2} \sim A^{1/3} x^{-0.3}$$

Saturation domain

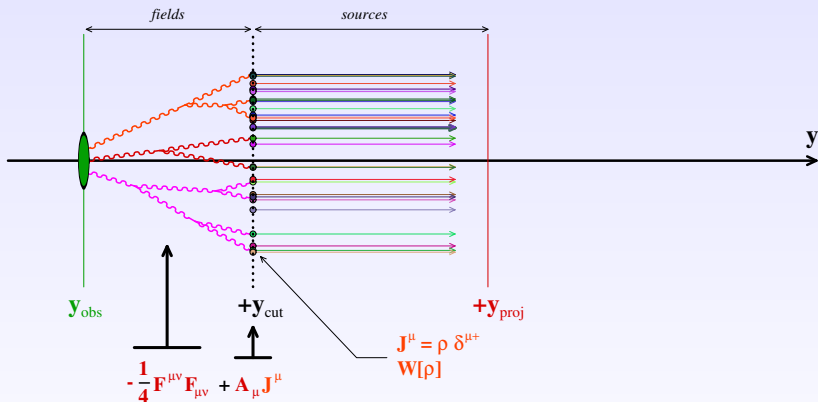




- $p_{\perp}^2 \sim Q_s^2 \sim \Lambda_{\text{QCD}}^2 e^{\lambda(y_{\text{proj}} - y)}$, $p_z \sim Q_s e^{y - y_{\text{obs}}}$
- Fast partons : frozen dynamics, negligible $p_{\perp} \Rightarrow$ **classical sources**
- Slow partons : evolve with time \Rightarrow **gauge fields**

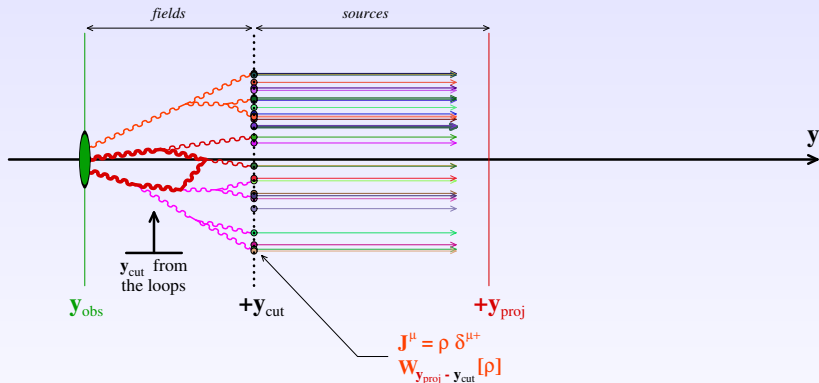


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Cancellation of the cutoff dependence



- The cutoff y_{cut} is arbitrary and should not affect the result
- The probability density $W[\rho]$ changes with the cutoff
- Loop corrections cancel the cutoff dependence from $W[\rho]$

[Jalilian-Marian, Kovner, Leonidov, Weigert (1998)]
[Balitsky (1996)] [Iancu, Leonidov, McLerran (2001)]

B-JIMWLK equation at Leading Log

$$\frac{\partial W_Y[\rho]}{\partial Y} = \frac{1}{2} \int_{\vec{x}_\perp, \vec{y}_\perp} \underbrace{\frac{\delta}{\delta \rho_a(\vec{x}_\perp)} \chi_{ab}(\vec{x}_\perp, \vec{y}_\perp) \frac{\delta}{\delta \rho_b(\vec{y}_\perp)}}_{\mathcal{H} \text{ (JIMWLK Hamiltonian)}} W_Y[\rho]$$

- Mean field approx. (BK equation) : [Kovchegov (1999)]
- Langevin form of B-JIMWLK : [Blaizot, Iancu, Weigert (2003)]
- First numerical solution : [Rummukainen, Weigert (2004)]

[Jalilian-Marian, Kovner, Leonidov, Weigert (1998)]
[Balitsky (1996)] [Iancu, Leonidov, McLerran (2001)]

B-JIMWLK

Recent developments :

Running coupling correction

[Lappi, Mäntysaari (2012)]

B-JIMWLK equation at Next to Leading Log

[Kovner, Lublinsky, Mulian (2013)]

• Me [Caron-Huot (2013)][Balitsky, Chirilli (2013)]

• Langevin form of B-JIMWLK : [Blaizot, Iancu, Weigert (2003)]

• First numerical solution : [Rummukainen, Weigert (2004)]

- Power counting :

$$\frac{2 \text{ scatterings}}{1 \text{ scattering}} \sim \frac{Q_s^2}{M_\perp^2} \quad \text{with} \quad Q_s^2 \sim \alpha_s \frac{xG(x, Q_s^2)}{\pi R^2}$$

- When this ratio becomes ~ 1 , all the rescattering corrections become important
 - ▷ one must resum all $[Q_s/M_\perp]^n$
- These effects are not accounted for in DGLAP or BFKL

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② Gluon saturation at small x

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Gluon saturation

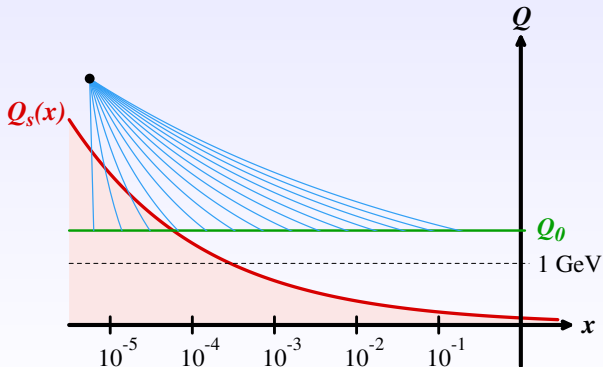
DGLAP and saturation?

Dense-dilute limit

③ Heavy quark production

- **Question 1:** can one define standard PDFs in the saturated regime?
 - ▷ yes, but they are insufficient, because they do not provide any information about multi-parton correlations
- **Question 2:** can one define modified PDFs that would encode these correlations?
 - ▷ for a given process, maybe. But these functions would not be universal

- **Question 3:** my favorite observable sits in the dilute domain: I should be fine with the usual PDFs?
 - ▷ maybe not... PDFs may have been contaminated by an improper evolution from a smaller Q :



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- Factorization in the dense-dense regime:

$$\langle \mathcal{O} \rangle_{\text{LeadingLog}} = \int [D\rho_1 D\rho_2] W_1[\rho_1] W_2[\rho_2] \mathcal{O}[\rho_{1,2}]$$

- When ρ_1 is a weak source (projectile 1 is dilute):

$$\mathcal{O}[\rho_{1,2}] = \int_{\vec{k}_{1\perp}} \rho_1^2(\vec{k}_{1\perp}) \mathcal{O}_2[\vec{k}_{1\perp}, \rho_2] + \mathcal{O}(\rho_1^4)$$

and $\mathcal{O}_2[\vec{k}_{1\perp}, \rho_2]$ has a compact analytical expression

- For the dilute projectile, one needs only the ordinary (non-integrated) gluon distribution:

$$\int [D\rho_1] W_1[\rho_1] \rho_1^2(\vec{k}_{1\perp}) \equiv \varphi_1(\vec{k}_{1\perp})$$

- The expectation value of \mathcal{O} can be rewritten as

$$\langle \mathcal{O} \rangle_{\text{LLog}} = \int_{\vec{k}_{1\perp}} \varphi_1(\vec{k}_{1\perp}) \int [D\rho_2] W_2[\rho_2] \mathcal{O}_2[\vec{k}_{1\perp}, \rho_2]$$

- This can be further simplified by noting that $\mathcal{O}_2[\vec{k}_{1\perp}, \rho_2]$ contains only simple correlators of Wilson lines
 - ▷ one can replace the JIMWLK equation by the much simpler BK equation (mean field approximation)

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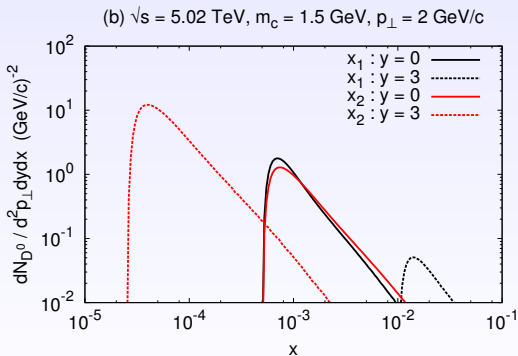
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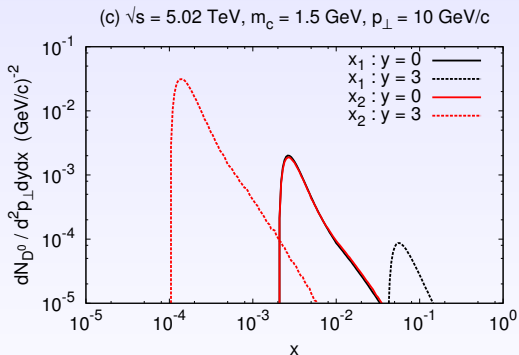
Violations of Kt-factorization

General trends

- x coverage for $c\bar{c}$ production at the LHC : $p_{\perp} = 2 \text{ GeV}$



- x coverage for $c\bar{c}$ production at the LHC : $p_{\perp} = 10 \text{ GeV}$



▷ very small values of x reached in one of the projectiles when produced forward

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Blaizot, FG, Venugopalan (2004)

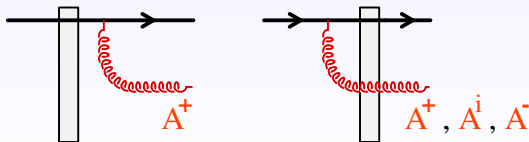
- ρ_p is a weak source, ρ_A is a strong source
 \Rightarrow we want the pair production amplitude to first order in ρ_p and to all orders in ρ_A
- Finding the color field : Yang-Mills equations :

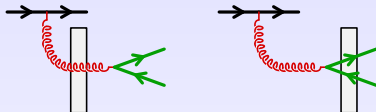
$$[D_\mu, F^{\mu\nu}] = J^\nu, \quad [D_\nu, J^\nu] = 0$$

$$J^\nu|_{\text{lowest order}} = \delta^{\nu+} \delta(x^-) \rho_p(\mathbf{x}_\perp) + \delta^{\nu-} \delta(x^+) \rho_A(\mathbf{x}_\perp)$$

$$\partial_\mu A^\mu = 0 \quad (\text{Lorenz gauge})$$

- (Very sketchy) diagrammatic interpretation:





- Total amplitude:

$$\mathcal{M}_F = g^2 \int_{\vec{k}_{1\perp}, \vec{k}_\perp} \frac{\rho_{p,a}(\vec{k}_{1\perp})}{k_{1\perp}^2} \int_{\vec{x}_\perp, \vec{y}_\perp} e^{i\vec{k}_\perp \cdot \vec{x}_\perp} e^{i(\vec{p}_\perp + \vec{q}_\perp - \vec{k}_\perp - \vec{k}_{1\perp}) \cdot \vec{y}_\perp} \\ \times \bar{u}(\vec{q}) \left\{ [t^b U_{ba}(\vec{x}_\perp)] L + [\tilde{U}(\vec{x}_\perp) t^a \tilde{U}^\dagger(\vec{y}_\perp)] T_{q\bar{q}}(\vec{k}_\perp) \right\} v(\vec{p})$$

with L Lipatov's effective vertex, and

$$T_{q\bar{q}}(\vec{k}_\perp) \equiv \frac{\gamma^+(\not{q} - \not{k} + m) \gamma^-(\not{q} - \not{k} - \not{k}_1 + m) \gamma^+}{2p^+ [(\vec{q}_\perp - \vec{k}_\perp)^2 + m^2] + 2q^+ [(\vec{q}_\perp - \vec{k}_\perp - \vec{k}_{1\perp})^2 + m^2]}$$

- Notes: \tilde{U} = Wilson line for a quark U_{ba} = Wilson line for a gluon

Pair production cross-section:

$$\frac{d\sigma_{q\bar{q}}}{d^2\vec{p}_\perp d^2\vec{q}_\perp dy_p dy_q} = \frac{\alpha_s^2 N}{8\pi^4 d_A} \int_{\vec{k}_{1\perp}, \vec{k}_{2\perp}} \frac{\delta(\vec{p}_\perp + \vec{q}_\perp - \vec{k}_{1\perp} - \vec{k}_{2\perp})}{k_{1\perp}^2 k_{2\perp}^2}$$

$$\times \left\{ \int_{\vec{k}_\perp, \vec{k}'_\perp} \text{tr} \left[(\not{q} + m) T_{q\bar{q}}(\vec{k}_\perp) (\not{p} - m) T_{q\bar{q}}^*(\vec{k}'_\perp) \right] \phi_A^{(4)}(\vec{k}_{2\perp} | \vec{k}_\perp, \vec{k}'_\perp) \right.$$

$$+ \int_{\vec{k}_\perp} \text{tr} \left[(\not{q} + m) T_{q\bar{q}}(\vec{k}_\perp) (\not{p} - m) \mathcal{L}^* + \text{h.c.} \right] \phi_A^{(3)}(\vec{k}_{2\perp} | \vec{k}_\perp)$$

$$\left. + \text{tr} \left[(\not{q} + m) \mathcal{L} (\not{p} - m) \mathcal{L}^* \right] \phi_A^{(2)}(\vec{k}_{2\perp}) \right\} \varphi_1(\vec{k}_{1\perp})$$

▷ standard factorization broken for the nucleus: one needs **three different “distributions”** in order to describe the target

- Target “gluon distributions”:

$$\Phi_A^{(2)}(\vec{k}_{2\perp}) \propto \int_{\vec{x}_\perp, \vec{y}_\perp} e^{i\vec{k}_{2\perp} \cdot (\vec{x}_\perp - \vec{y}_\perp)} \text{tr} \langle U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) \rangle$$

$$\Phi_A^{(3)}(\vec{k}_{2\perp} | \vec{k}_\perp) \propto \int_{\vec{x}_\perp, \vec{y}_\perp, \vec{z}_\perp} e^{i[\vec{k}_\perp \cdot \vec{x}_\perp + (\vec{k}_{2\perp} - \vec{k}_\perp) \cdot \vec{y}_\perp - \vec{k}_{2\perp} \cdot \vec{z}_\perp]} \times \text{tr} \langle \tilde{U}(\vec{x}_\perp) t^a \tilde{U}^\dagger(\vec{y}_\perp) t^b U_{ba}(\vec{z}_\perp) \rangle$$

$$\Phi_A^{(4)}(\vec{k}_{2\perp} | \vec{k}_\perp, \vec{k}'_\perp) \propto \int_{\vec{x}_\perp, \vec{y}_\perp, \vec{x}'_\perp, \vec{y}'_\perp} e^{i[\vec{k}_\perp \cdot \vec{x}_\perp - \vec{k}'_\perp \cdot \vec{x}'_\perp + (\vec{k}_{2\perp} - \vec{k}_\perp) \cdot \vec{y}_\perp - (\vec{k}_{2\perp} - \vec{k}'_\perp) \cdot \vec{y}'_\perp]} \times \text{tr} \langle \tilde{U}(\vec{x}_\perp) t^a \tilde{U}^\dagger(\vec{y}_\perp) \tilde{U}(\vec{y}'_\perp) t^a \tilde{U}(\vec{x}'_\perp) \rangle$$

① Generalities on factorization

② Gluon saturation at small x

③ Heavy quark production

Kinematics

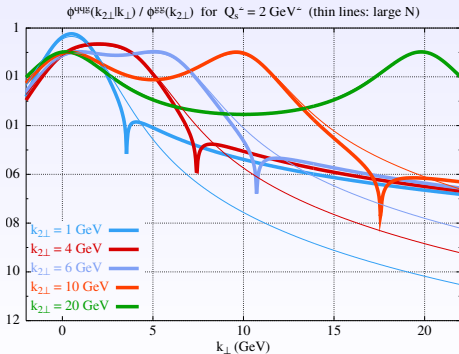
QQbar cross-section

Large N_c limit

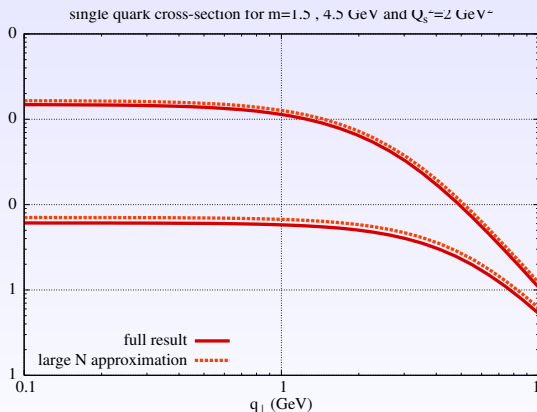
Violations of Kt-factorization

General trends

- Large N approximation :
 - The evaluation of the exact 3-point function is extremely time consuming
 - The 3-point function becomes a product of two 2-point functions in the large N limit \triangleright much faster numerical evaluation



- Quark cross-section : exact vs. large N



▷ From now on, use the large N approximation in order to speed up the computations

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General trends

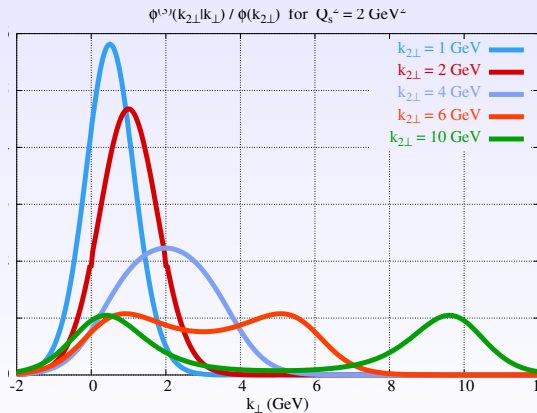
Fujii, FG, Venugopalan (2005)

- The quark cross-section factorizes if the the 3-point and 2-point functions are related by:

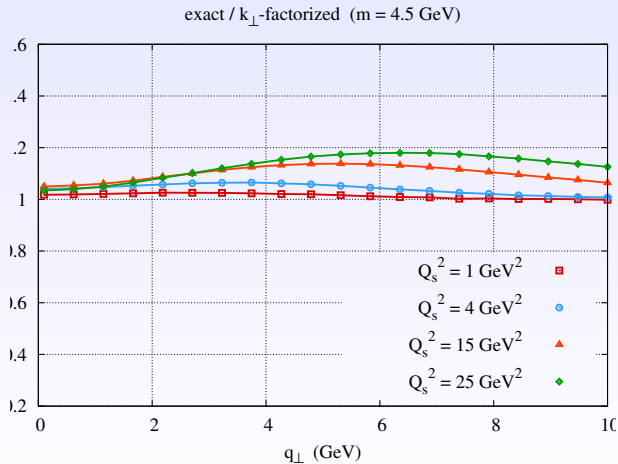
$$\phi_A^{(3)}(\vec{k}_{2\perp}|\vec{k}_\perp) = (2\pi)^2 \frac{1}{2} \left[\delta(\vec{k}_\perp) + \delta(\vec{k}_\perp - \vec{k}_{2\perp}) \right] \phi_A^{(2)}(\vec{k}_{2\perp})$$

- This relation would be satisfied if the $Q\bar{Q}$ pair interacts with the target in such a way that all the momentum exchanged goes to the quark **or** to the antiquark
- The ratio $\phi_A^{(3)}(\vec{k}_{2\perp}|\vec{k}_\perp)/\phi_A^{(2)}(\vec{k}_{2\perp})$ should be close to the sum of two delta functions for factorization to be approximately valid

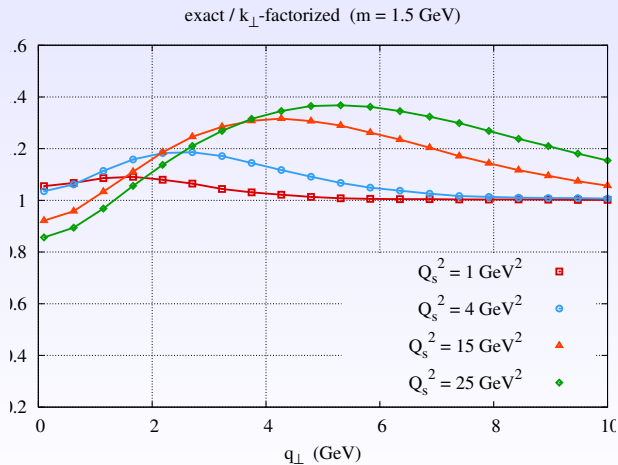
- 3-point function/2-point function (in the MV model):



- Single b -quark cross-section :



- Single c-quark cross-section :



① Generalities on factorization

② Gluon saturation at small x

③ Heavy quark production

Kinematics

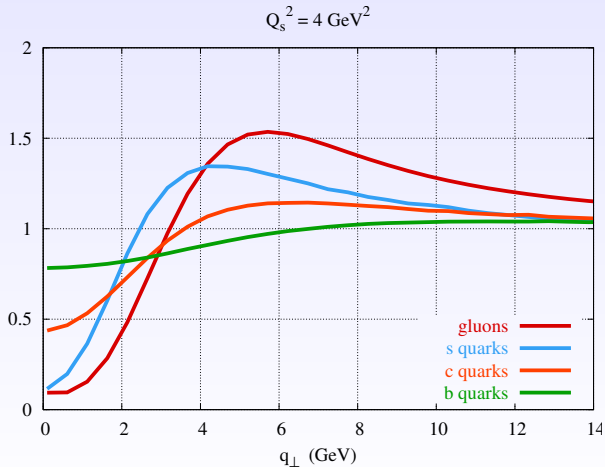
QQbar cross-section

Large N_c limit

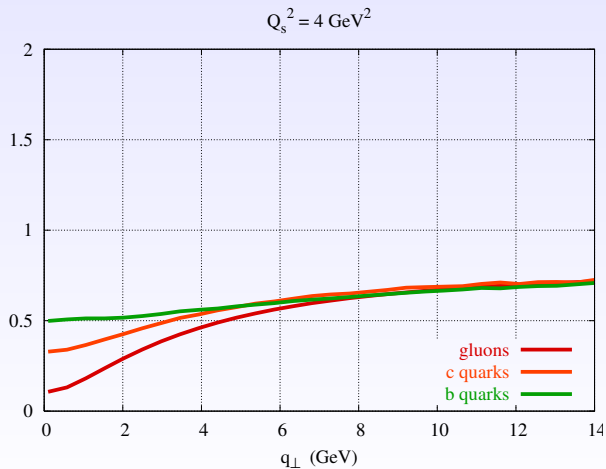
Violations of Kt-factorization

General trends

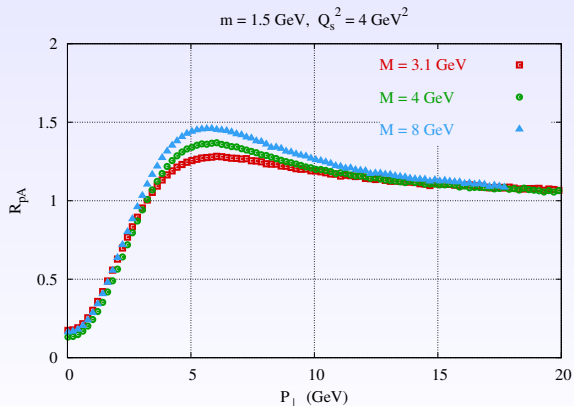
- MV model ($Q_s^2 = 4 \text{ GeV}^2$)



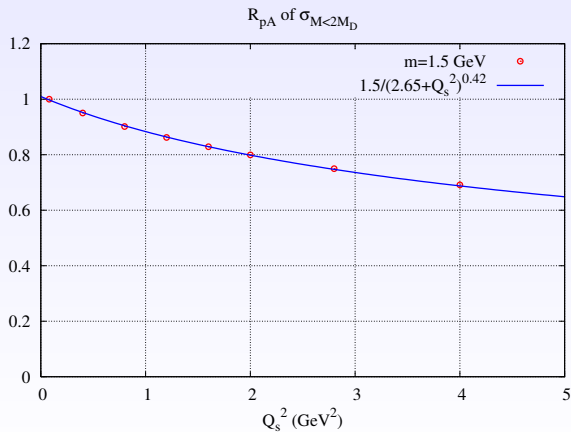
- Non-local gaussian model that mimics BK evolution



- MV model ($m = 1.5 \text{ GeV}$, $Q_s^2 = 4 \text{ GeV}^2$)

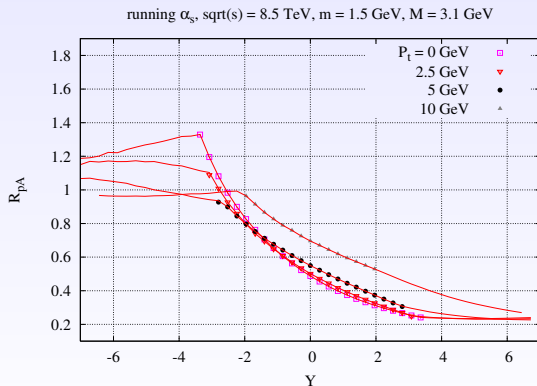


$$\frac{dN_{J/\psi}}{dY d^2\vec{P}_\perp} = F_{J/\psi} \int_{4m_Q^2}^{4m_D^2} dM^2 \frac{dN_{Q\bar{Q}}}{dM^2 dY d^2\vec{P}_\perp}$$



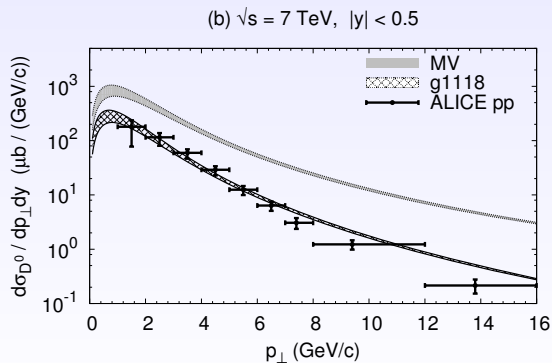
- No rapidity dependence in the MV model
- The Y dependence comes in via the JIMWLK evolution, or in an approximated way via the Balitsky-Kovchegov equation
- Take the MV model with $Q_s^2 = 2 \text{ GeV}^2$ as the initial condition at $x_0 = 0.01$
- Compute $\langle U(0) U^\dagger(\vec{x}_\perp) \rangle_Y$ by solving the BK equation
- For the 3- and 4-point functions, use the fact that in the large N_c limit they factorize into products of 2-point functions

- R_{pA} for pairs at the LHC : $\sqrt{s_{NN}} = 8.5$ TeV



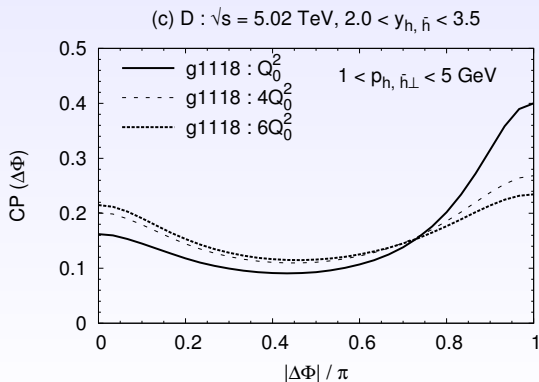
Fujii, Watanabe (2013)

- D_0 meson yield in pp collisions @ LHC :



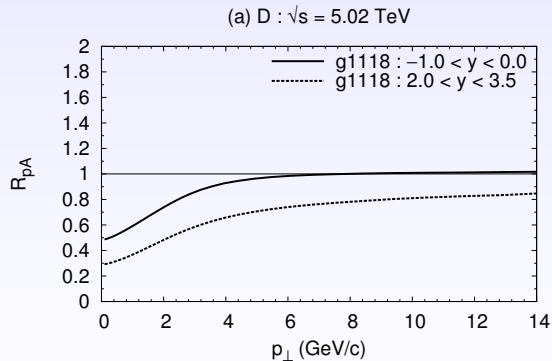
Fujii, Watanabe (2013)

- $D_0 - \overline{D}_0$ azimuthal correlation in pA :



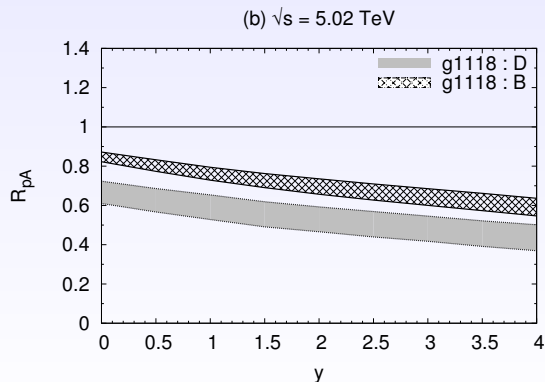
Fujii, Watanabe (2013)

- p_{\perp} -dependence of R_{pA} :



Fujii, Watanabe (2013)

- y -dependence of R_{pA} :



- Gluon saturation enhanced in nuclei; reached earlier than in nucleons
- Saturation breaks the standard forms of factorization (DGLAP, kt-factorization)
 - ▷ apparent non universality of parton distributions
- In the saturated non-linear regime, there exist generalized universal distributions $W[\rho]$ that describe the dense projectiles both in DIS, pA and AA collisions
- In pA collisions, forward production (in the proton direction) may be treated by an hybrid factorization scheme where the CGC description is applied only to the nucleus
- Competition between multiple scatterings (Cronin effect) and suppression due to shadowing. Invariant mass increased by rescatterings; reduces the J/ψ yield.
- BUT : hadronization not treated in a very satisfactory way...