

Signal Processing for Large area tracking system based on Silicon strips

&

Real time Track Triggering: Technological challenges

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Purpose of the lesson

- 1) Keep audience awake for ~ 2h
- 2) Introduce the basics of F.E. signal processing for strip detectors
- 3) Point to some good old friends audience might encounter
- 4) Introduce novel approaches in detector design

Outline of the lesson

- Silicon strip tracking detectors
- Front-end signal processing
- Examples of detector design
- Real-time tracking

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More "didactic"

More "informing"

Outline of the lesson



Silicon strip tracking detectors

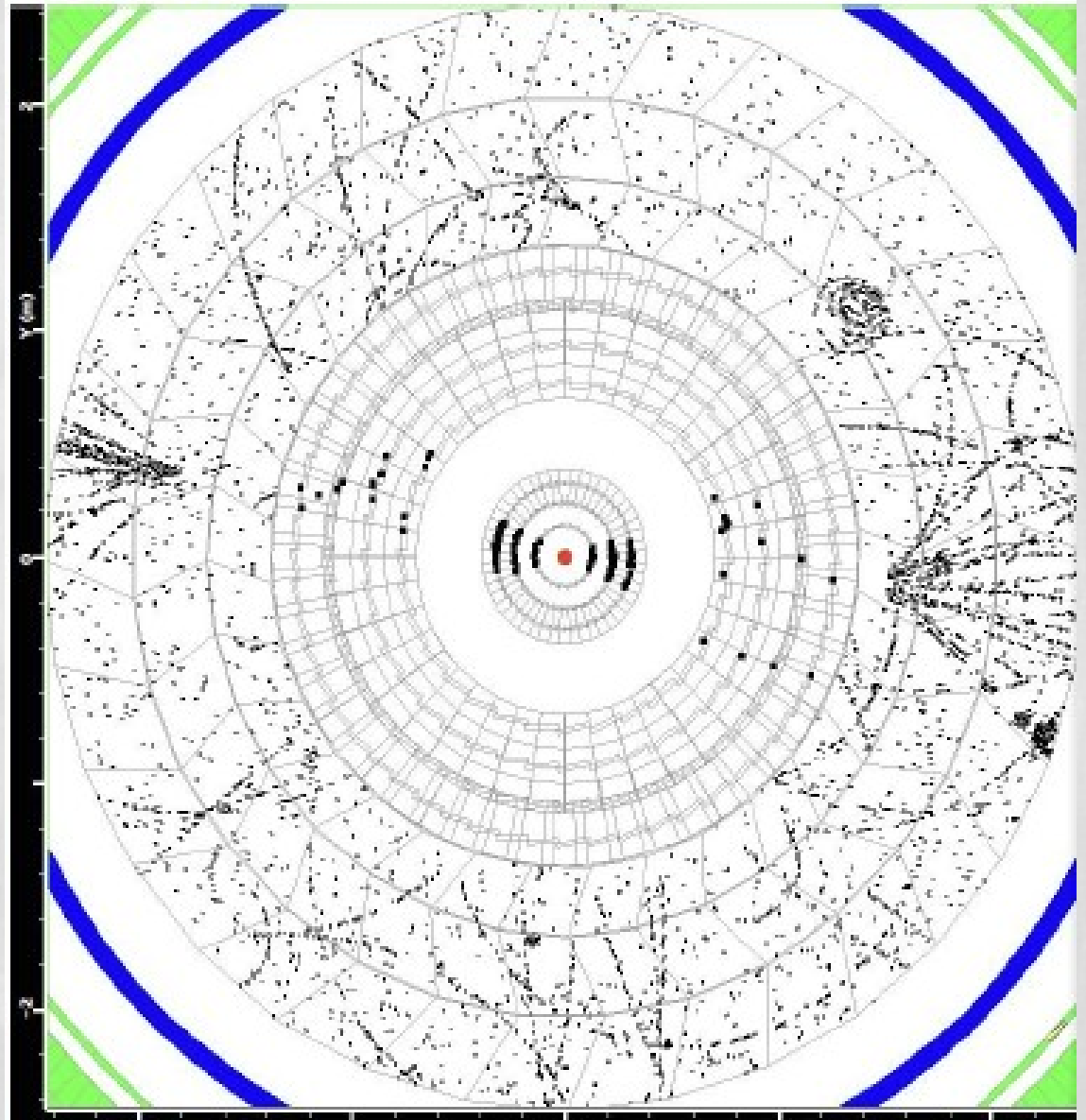
Front-end signal processing

Examples of detector design

Real-time tracking

Tracking detectors

- Goal: measure momentum & energy of each product of collision – identify each particle
- Trackers: used in HEP experiments to reconstruct “track” of **charged** particles
- Set of single measurement points: (x,y,z) or rather (r,ϕ,z)
- (Typically) solenoid magnetic field
 - ... plus: “do not disturb!”



Does it ring a bell?

$$q\vec{v} \times \vec{B}$$

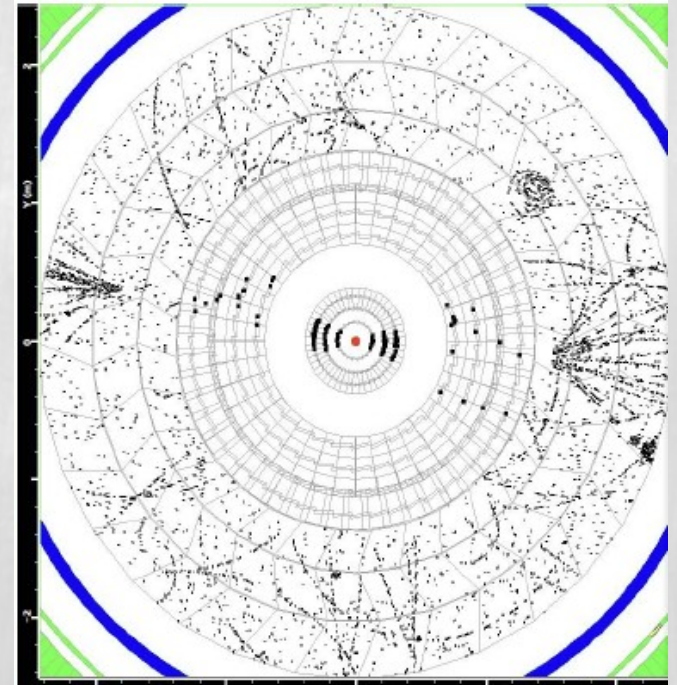
Tracking detectors

Curvature radius proportional to p_T

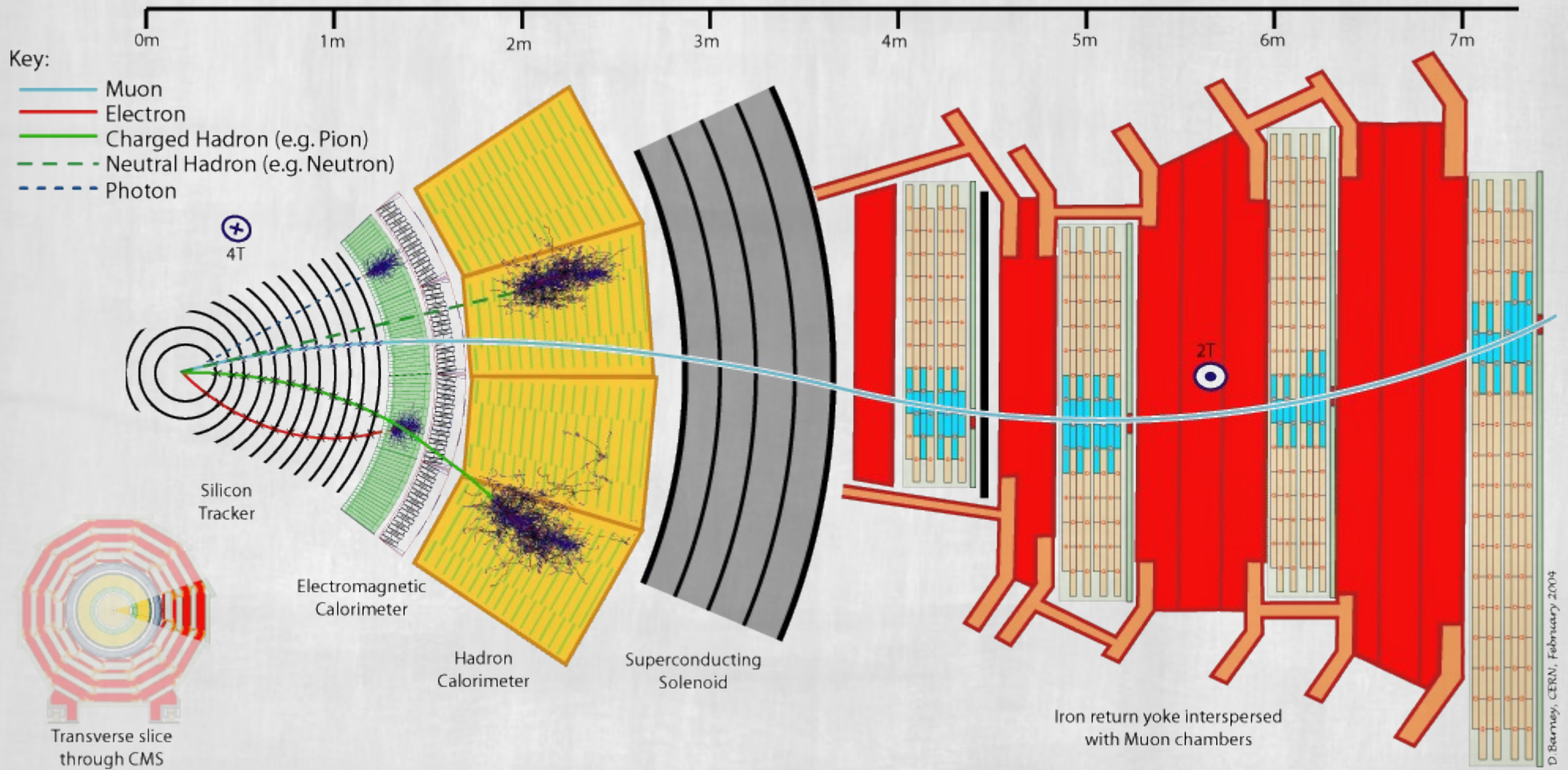
$$p_T = 0.3 z B R \text{ GeV}/(T \times m)$$

Track $\Rightarrow p_T, \theta \Rightarrow p_z$

Additional information on energy E from other detectors (calorimeters, muon detectors) to identify the particle



A slice of CMS



Tracking: why silicon

- Advantages w.r.t. competitors
 - Large signal: 24'000 pairs/300 μm
 - Fast signal: O(10 ns)
 - High rate: no “recovery time” like gas detectors
 - Resolution: down to few μm (feature size + S/N)
 - Light (low Z), but...

$$X_0 = 9.36 \text{ cm}$$

$$300 \mu\text{m} = 0.32\% X_0$$

- Disadvantages

- ... heavy-ish
- Front-end + services needed
- Will come back on this
 - Typical 1% X_0 per layer
- At colliders: material in active volume

Periodic Table of the Elements

Legend:

- Element Categories:** Alkali metals, Alkaline earth metals, Transition metals, Lanthanoids, Actinoids, Post-transition metals, Noble gases, Halogens, Properties unknown, Nonmetals.
- Natural Occurrence:** (Na) Primordial, (Rd) From decay, (RF) Synthetic.
- States at STP:** (S) Solid, (L) Liquid, (G) Gaseous.

Highlighted Element: Silicon (Si), Atomic Number 14, Group 14, Period 3.

Additional Information:

- * Numbers given in parentheses, e.g. 78.96(2), indicate the standard uncertainty, i.e., 78.96(2) means 78.96 ± 0.002.
- Elements with masses given in brackets, e.g. 209(1), do not have stable nuclides. The value given indicates the mass of the longest-lived isotope of the given element or characteristic isotopic composition.

Lanthanoids: La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu.

Actinoids: Ac, Th, Pa, U, Np, Pu, Am, Cm, Bk, Cf, Es, Fm, Md, No, Lr.

Tracking: why silicon

- Advantages w.r.t. competitors

- Large signal: 24'000 pairs/300 μm

- Fast signal: C

- High rate: no

- Resolution: c

- Light (low Z),

$$X_0 = 9.36 \text{ cm}$$

$$300 \mu\text{m} = 0.3$$

- Disadvantage

- ... heavy-ish

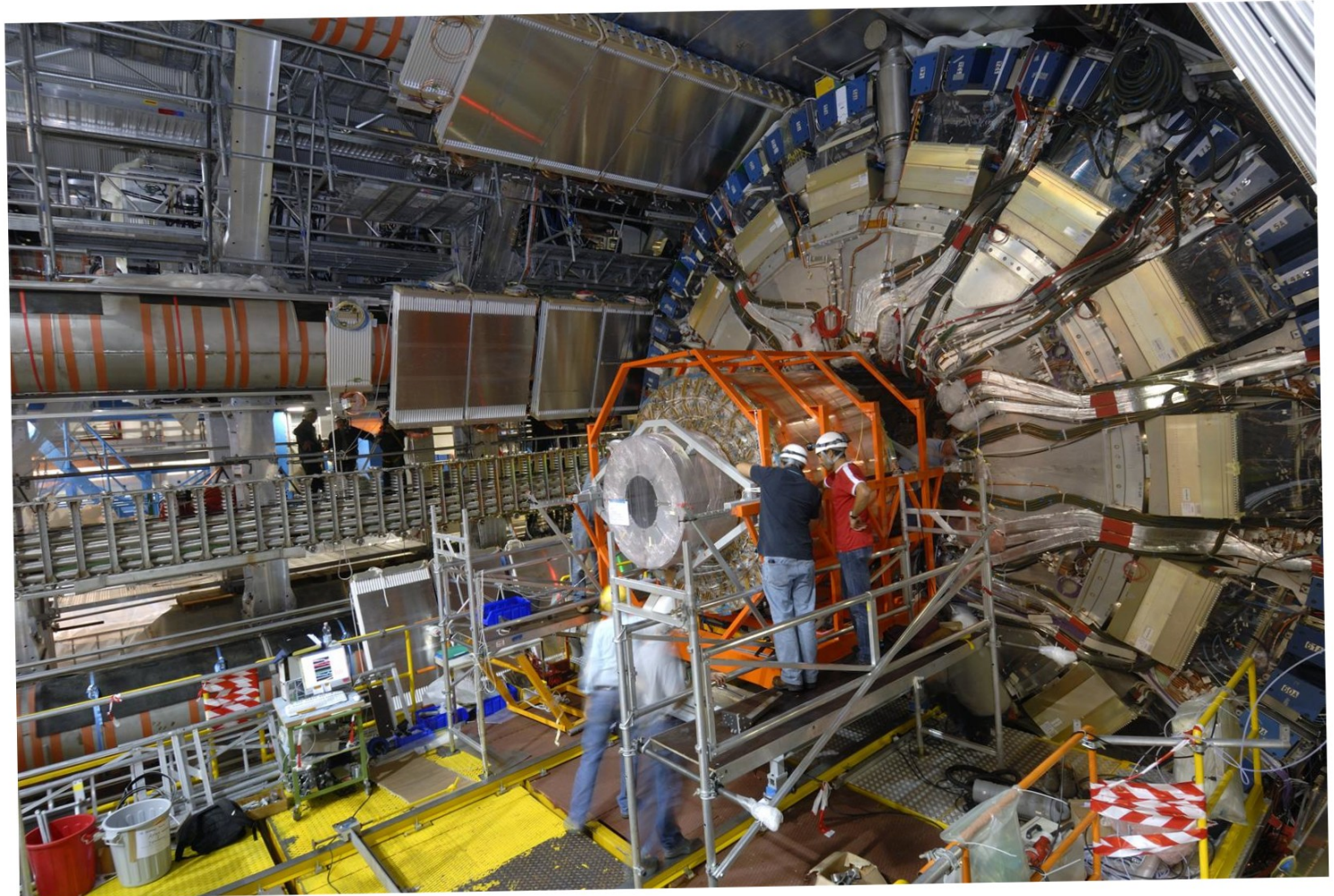
- Front-end +

- Will come ba

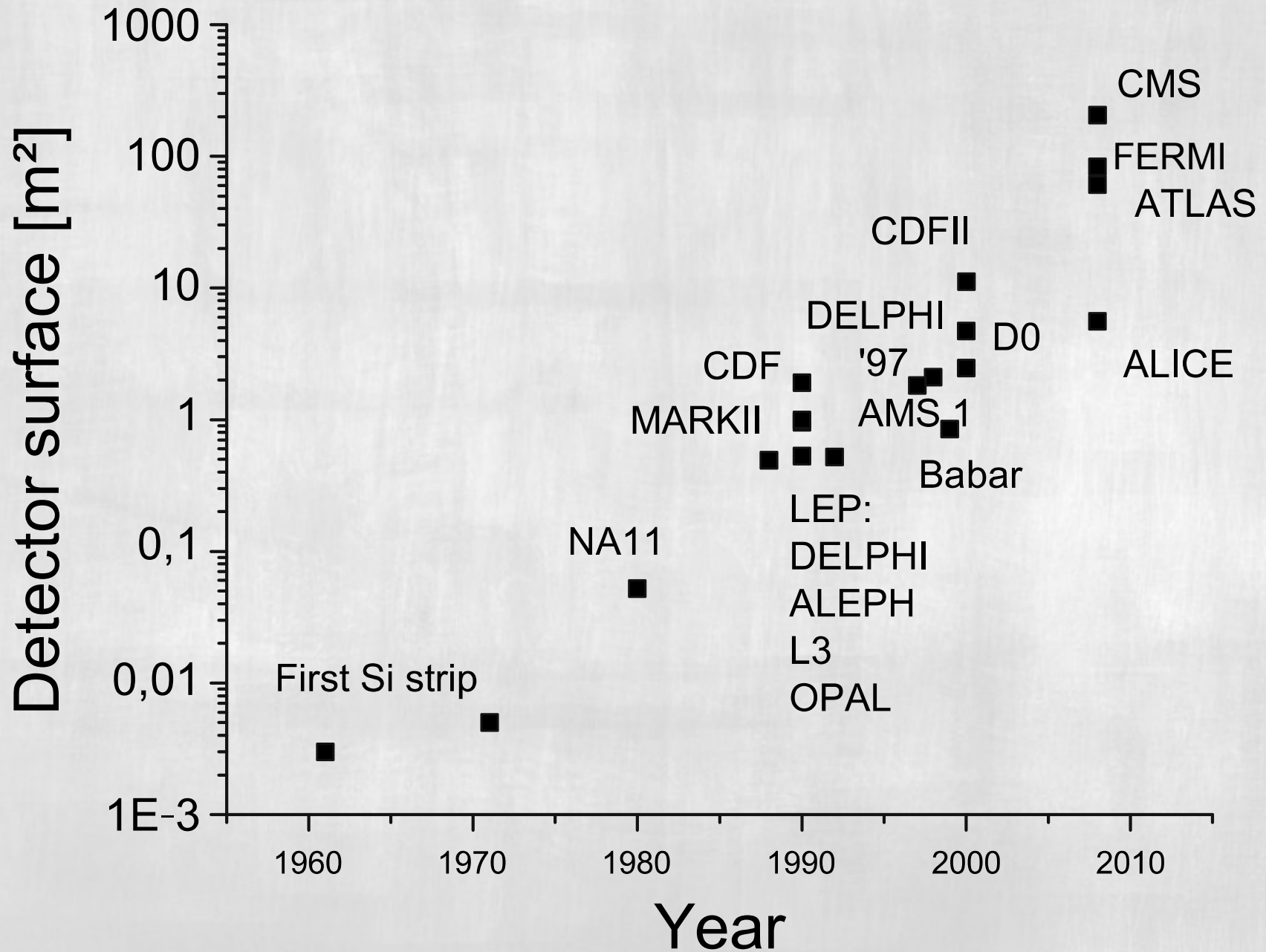
- Typical 1% X

- At colliders: n

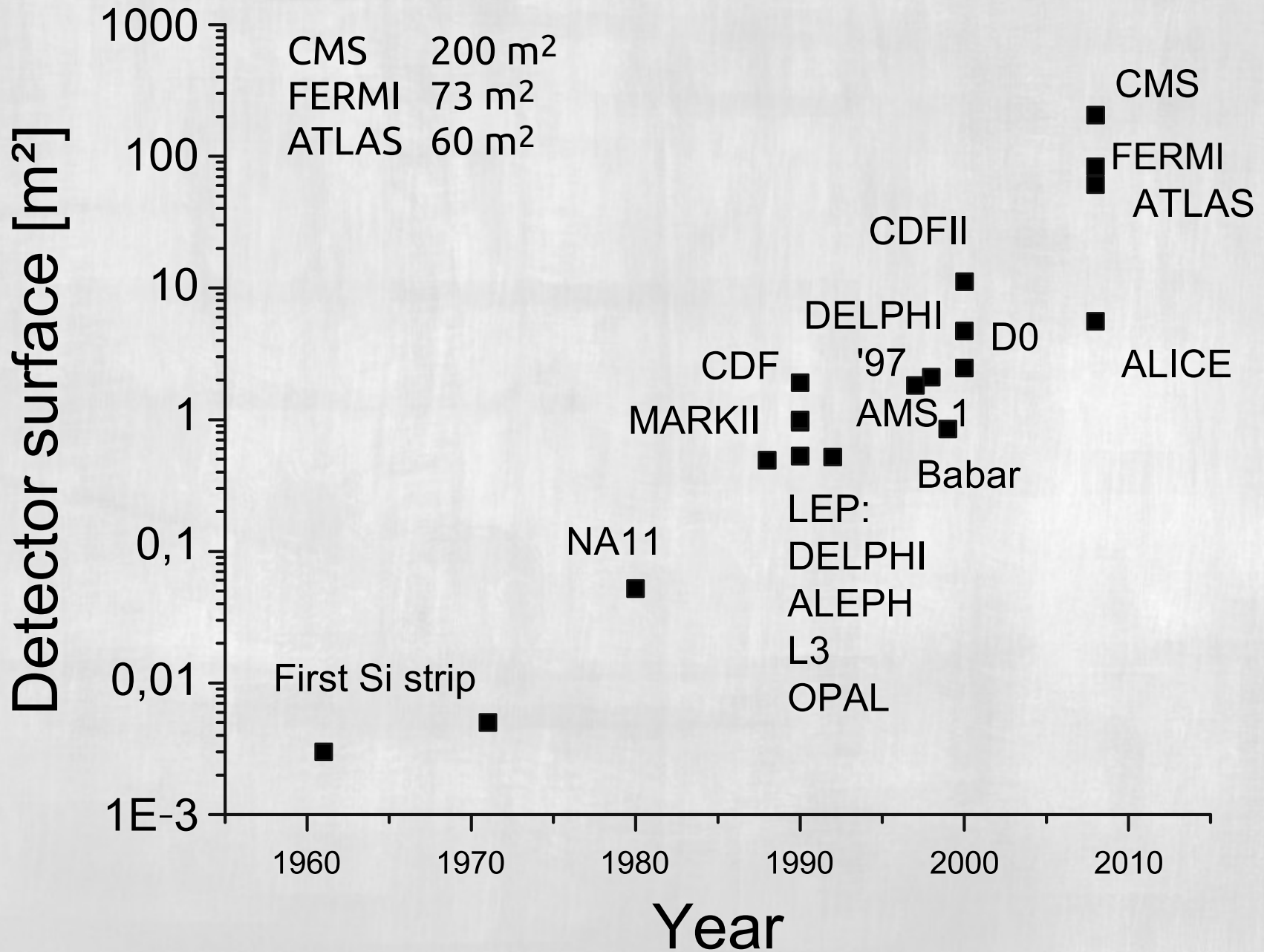
- volume



Evolution of silicon detectors

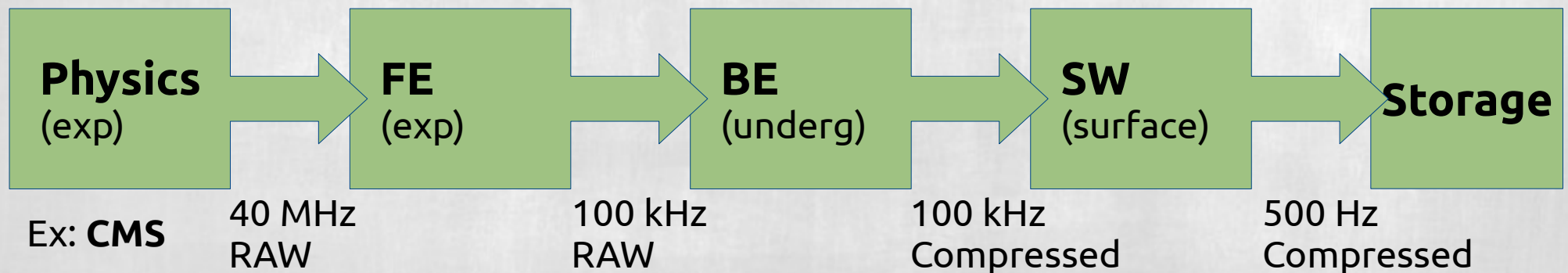


Evolution of silicon detectors



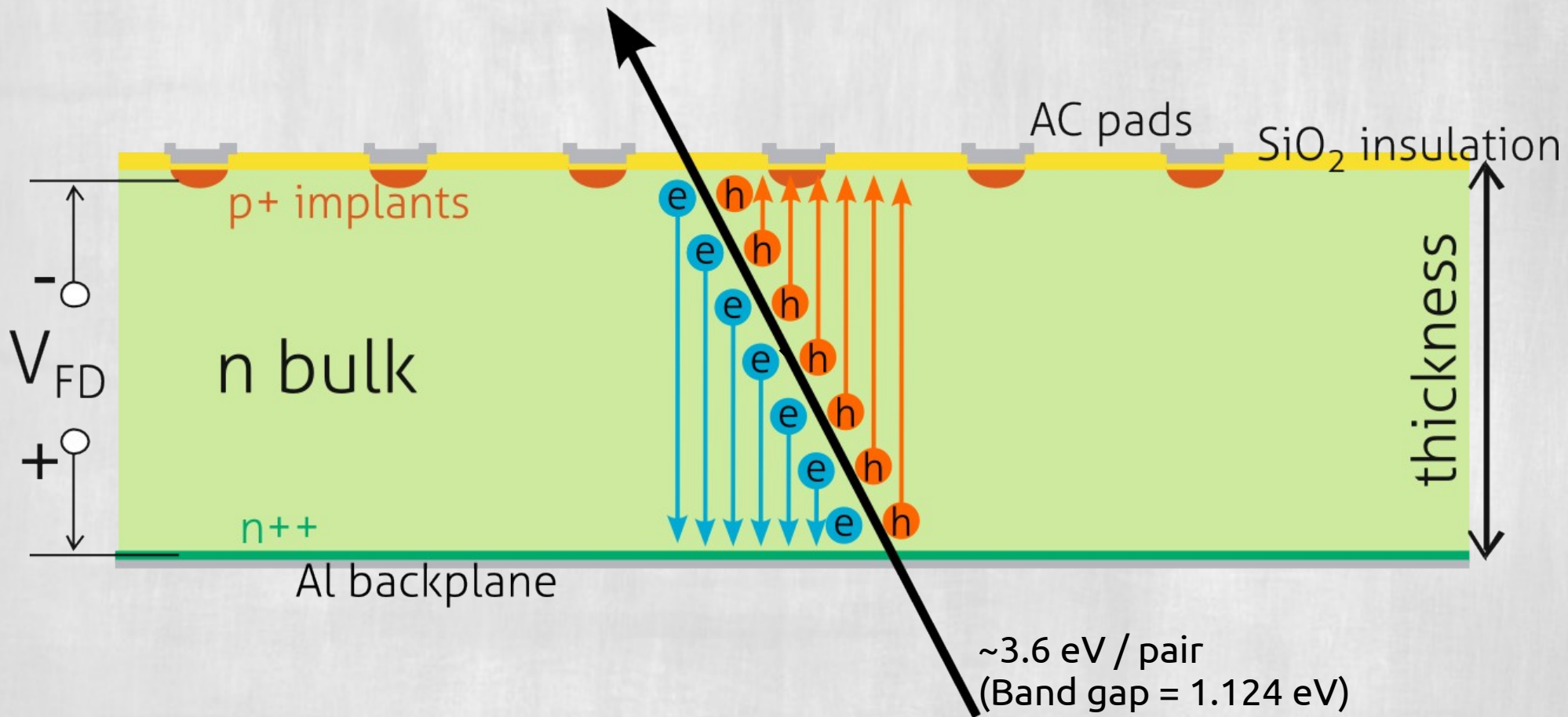
Silicon strips in collider experiments

- Fine-grained granularity (many channels)
- Large collision frequency
 - TeV: → 1.7 MHz
 - LHC: → 40 MHz
- Cannot possibly store all data → selection of interesting events is mandatory
- Reducing data in F-E: more power to F-E processing
- Reducing data in B-E: more power to data links
- Front-end constraint: material/power!



Silicon as a detector

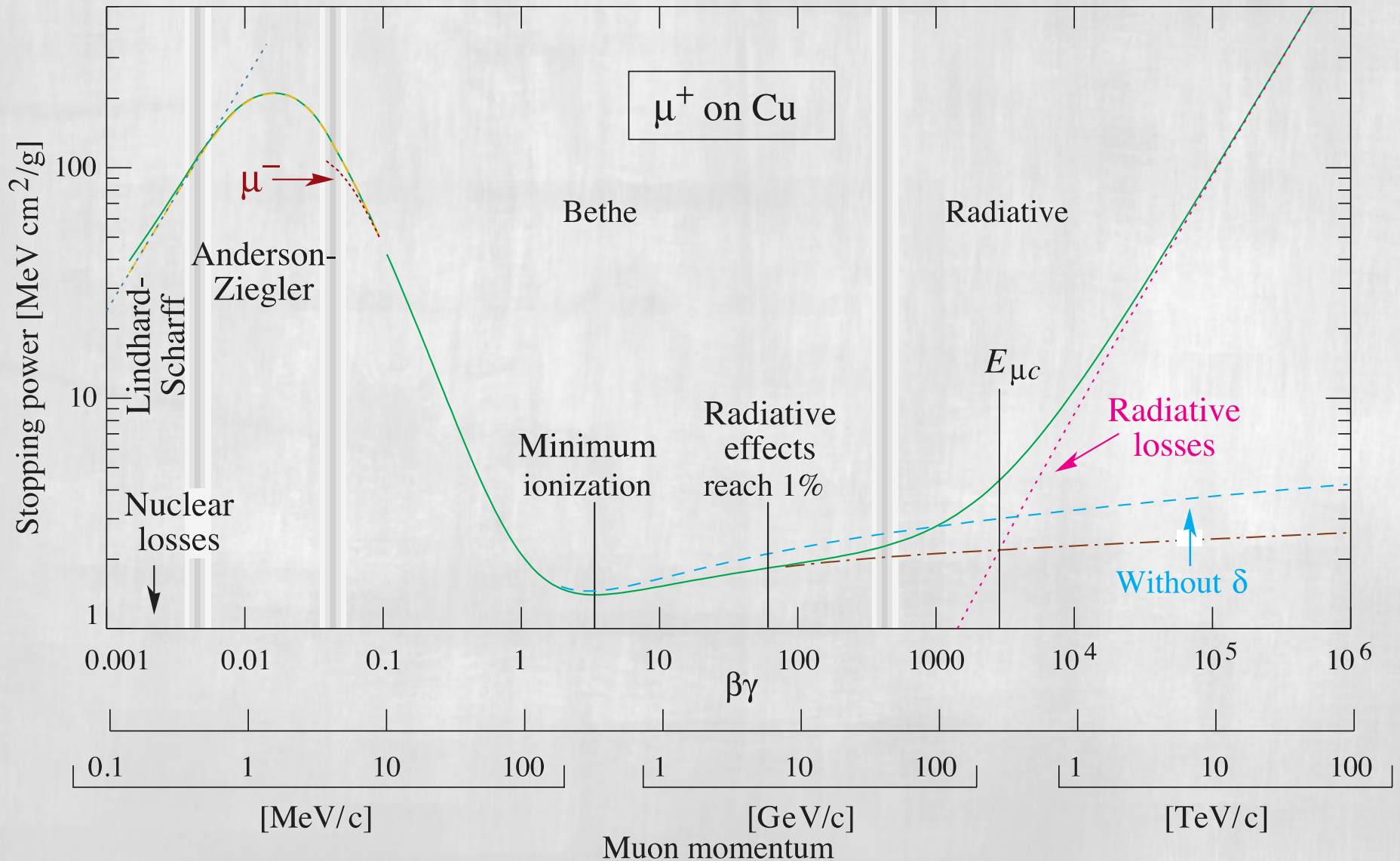
Working principle: array of p-n diodes (reverse biased)



Silicon as a detector

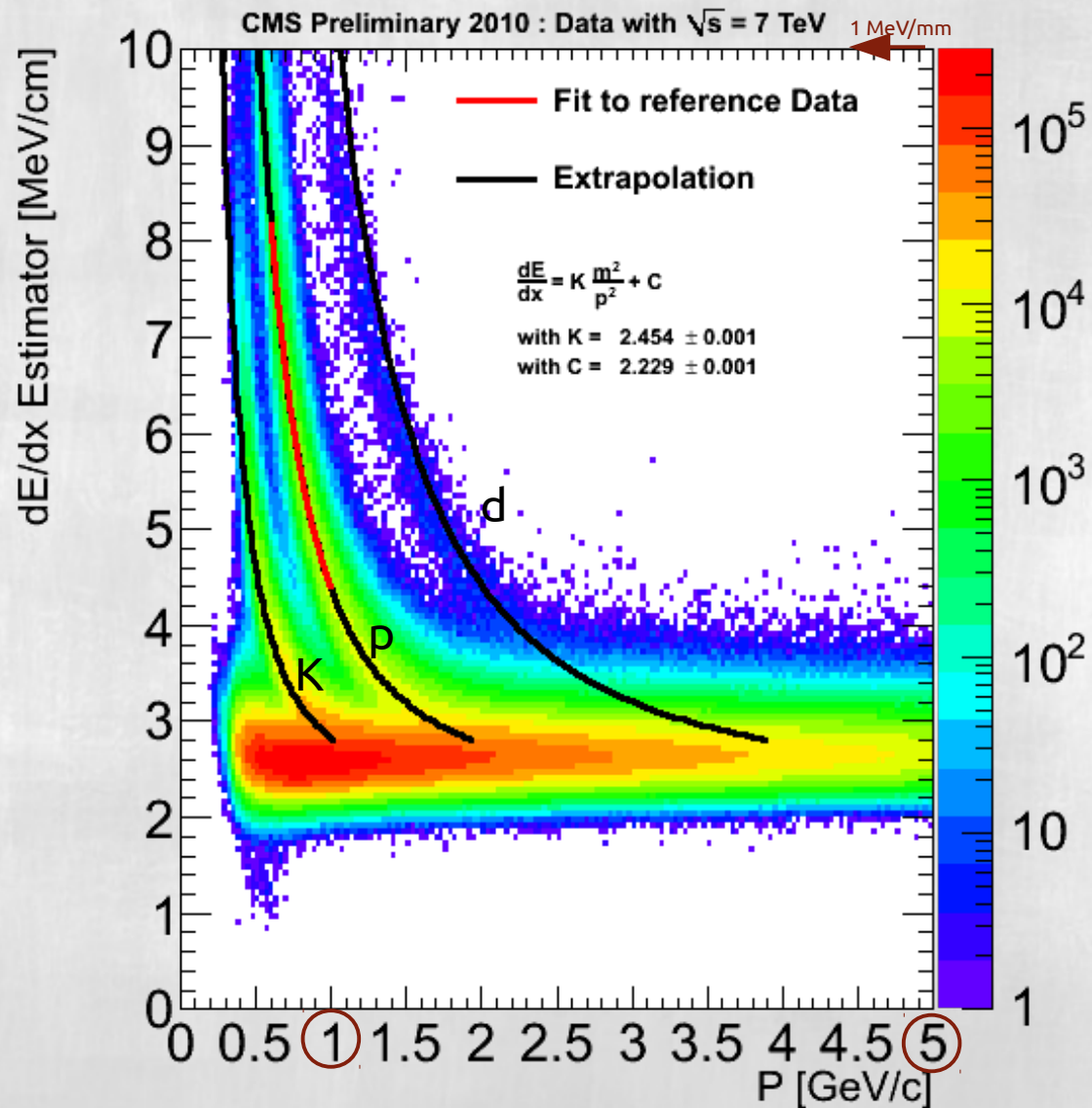
Energy loss

<http://pdg.lbl.gov/2013/figures/figures.html>



Particle identification

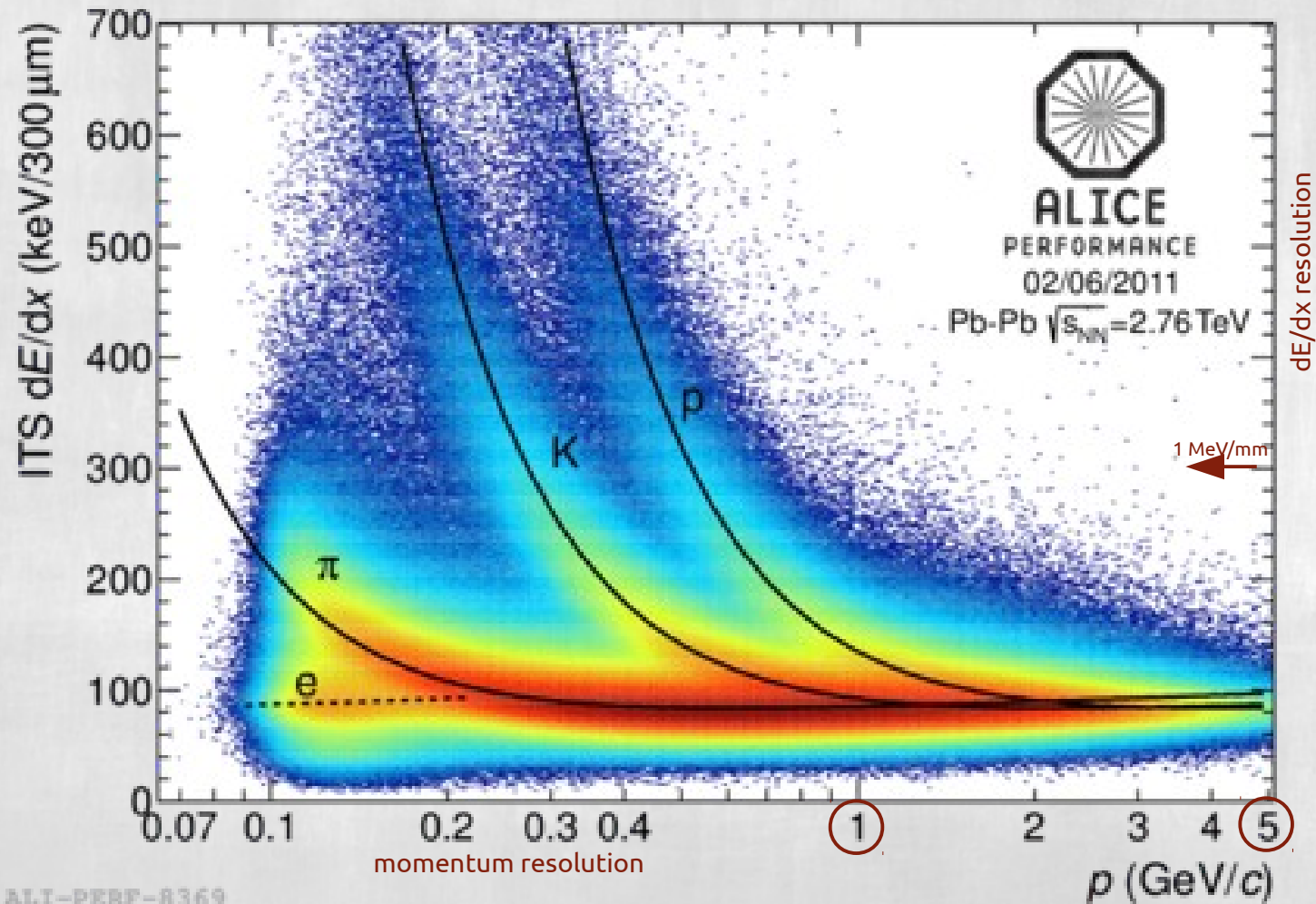
... by reading the signal amplitude



Particle identification

... by reading the signal amplitude ("go ask Alice...")

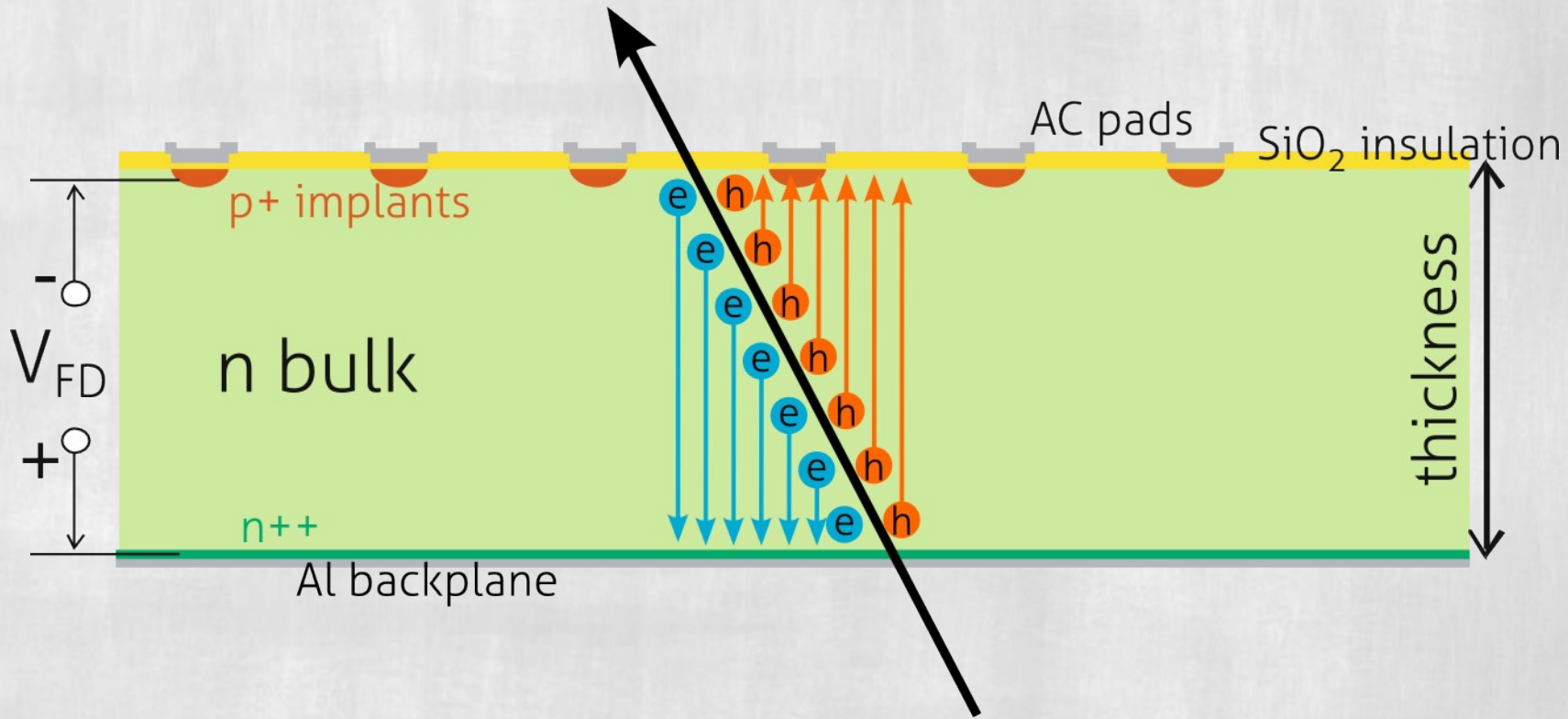
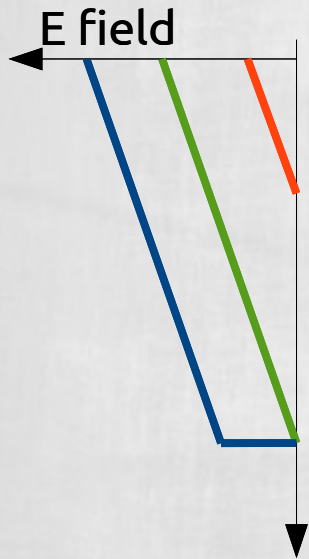
Silicon Drift Detector +
Silicon μ Strip Detector



ALI-PERF-8369

Sensor characteristics: depletion

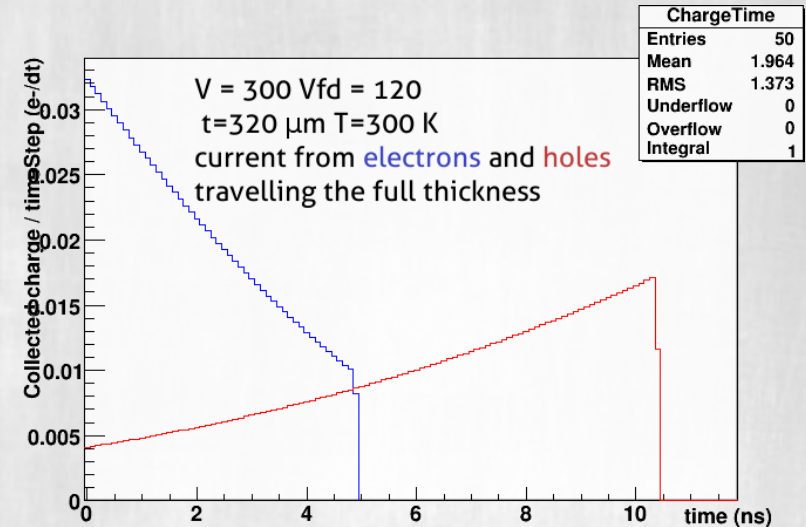
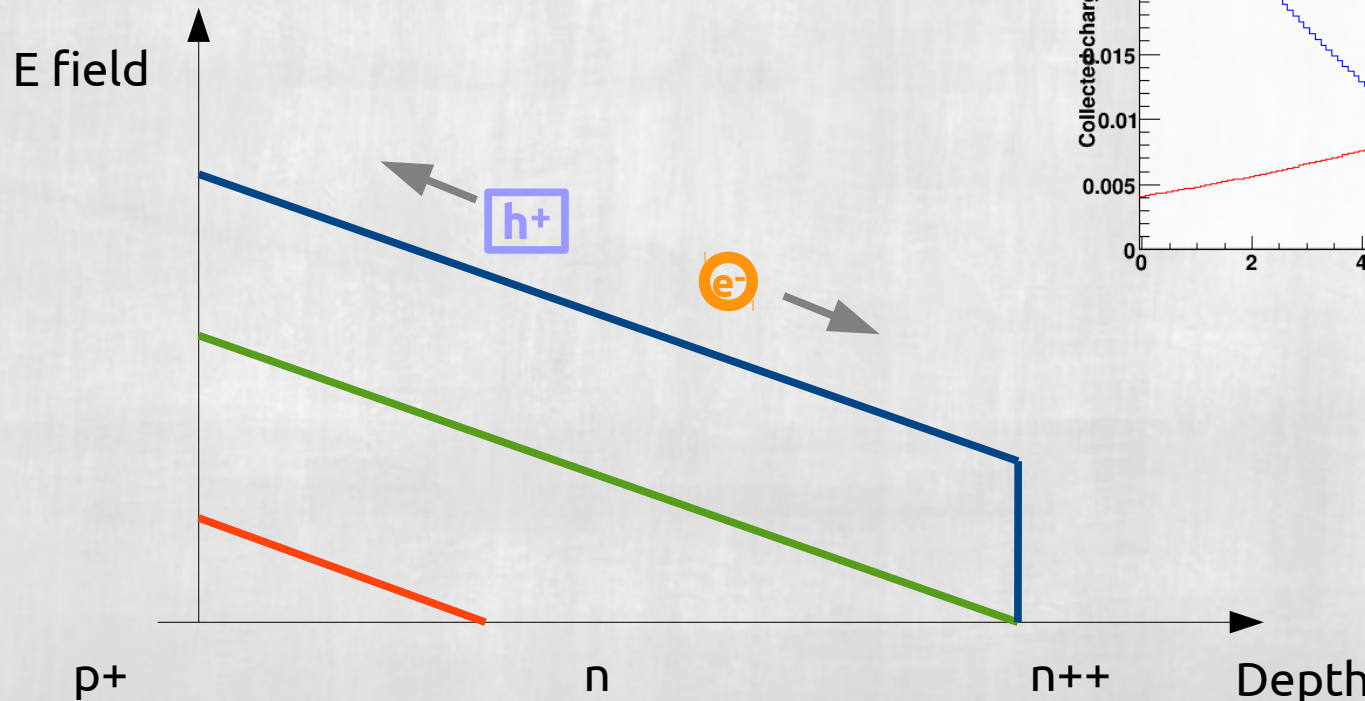
Under-depleted
Depleted
Over-depleted



Sensor characteristics: depletion

Shockley–Ramo theorem [1,2]: $i = q \frac{\vec{E} \cdot \vec{v}}{V_0}$

Or, alternatively: $Q = \frac{q}{V_0} \int \vec{E} \cdot d\vec{s}$



Under-depleted
Depleted
Over-depleted

[1] Shockley, W. (1938). "Currents to Conductors Induced by a Moving Point Charge". Journal of Applied Physics 9 (10): 635

[2] Ramo, S. (1939). "Currents Induced by Electron Motion". Proceedings of the IRE 27 (9): 584–585

Sensor characteristics: mobility

Linear approximation $\vec{v} = \mu \vec{E}$

with μ = mobility of charge carrier

Why can we use this approximation?

Sensor characteristics: mobility

Linear approximation $\vec{v} = \mu \vec{E}$

with μ = mobility of charge carrier

charge carrier scattering on lattice defects:
“viscous” approximation

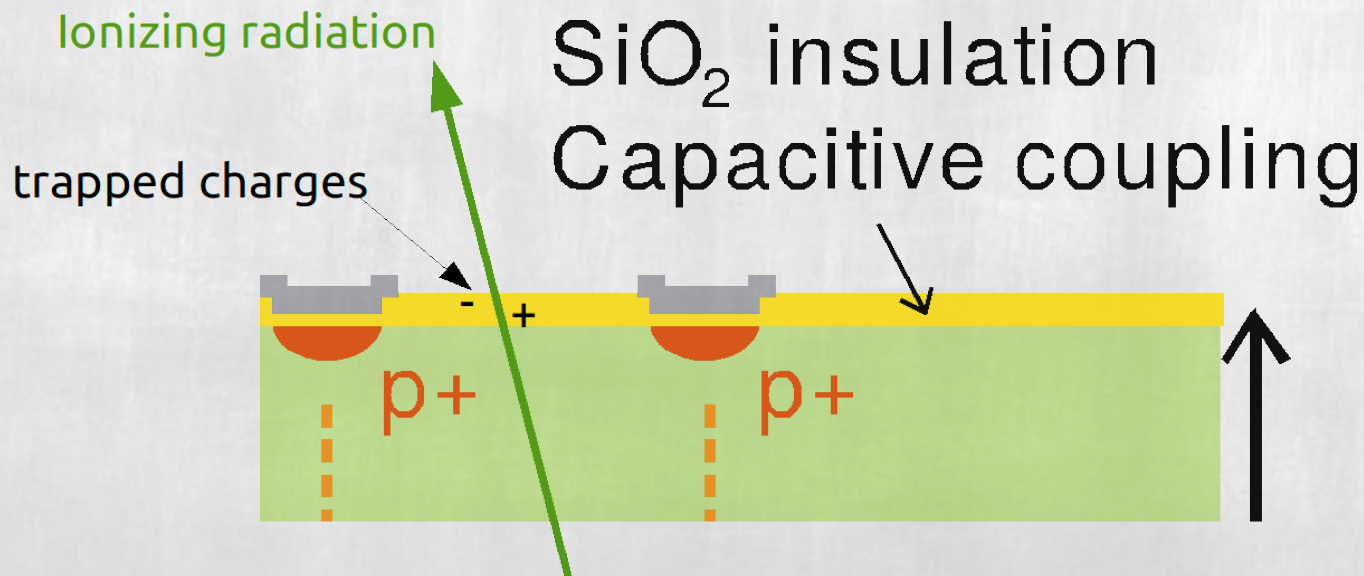
Mobility of charge carriers:

$$\mu_e = 1350 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$$

$$\mu_h = 450 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$$

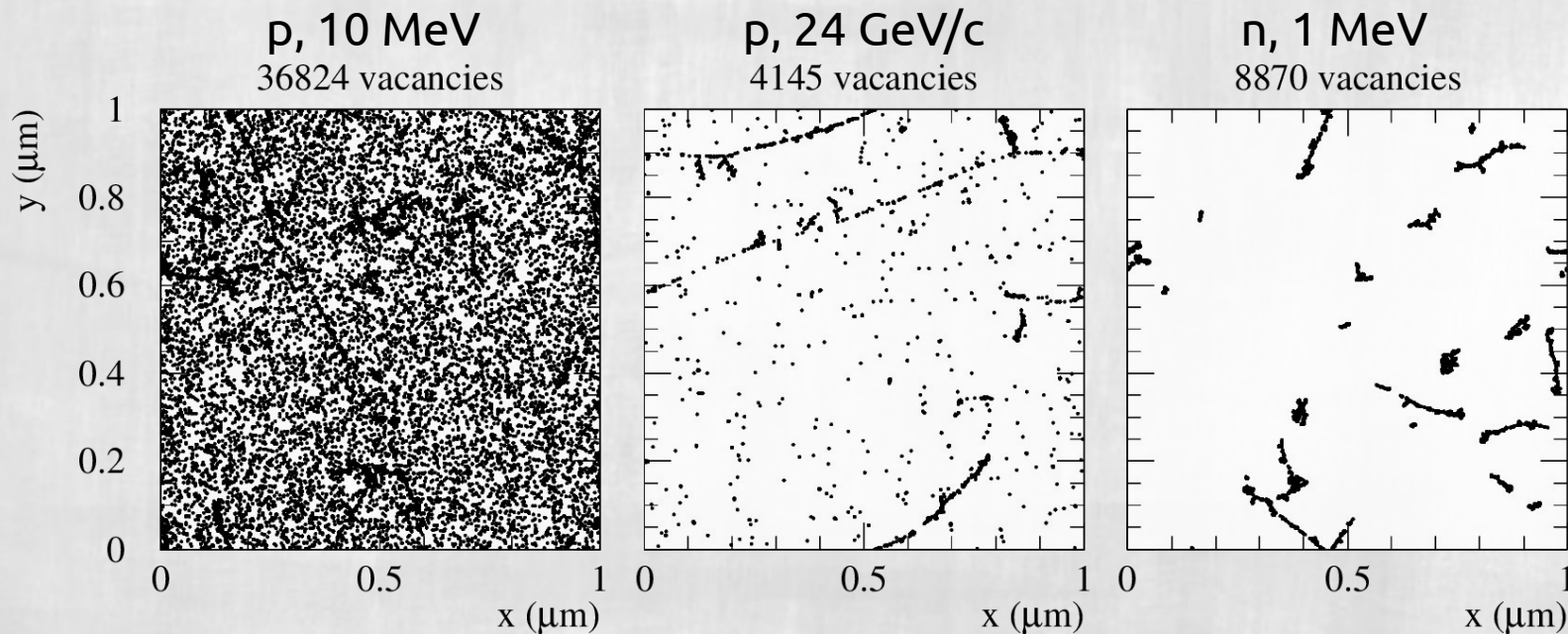
Radiation damage

- Surface damage (charge accumulation)
 - Ionizing radiation, measured in rad, Gy
 - Typical LHC dose (60 cm → 20cm) 1 MGy → 10 MGy
 - Mostly relevant for Front-End electronics



Radiation damage

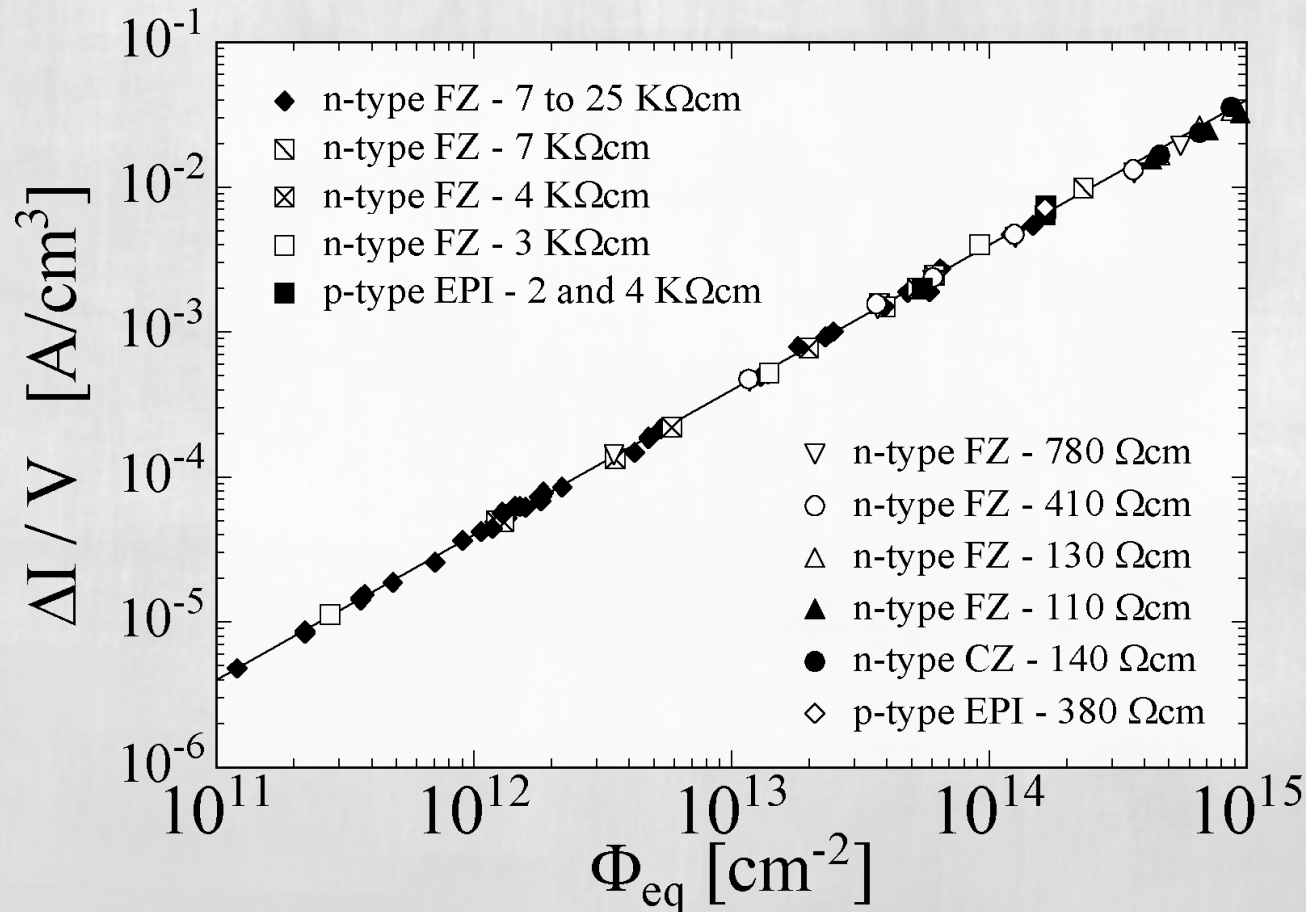
- Bulk damage (current+trapping)
 - Non Ionizing Energy Loss (Hamburg model)
 - More relevant for sensors
 - Measured in 1MeV-n-eq (according to scaling of current)



Non-ionizing interaction between incident radiation and silicon lattice creates defects: additional levels inside the band-gap

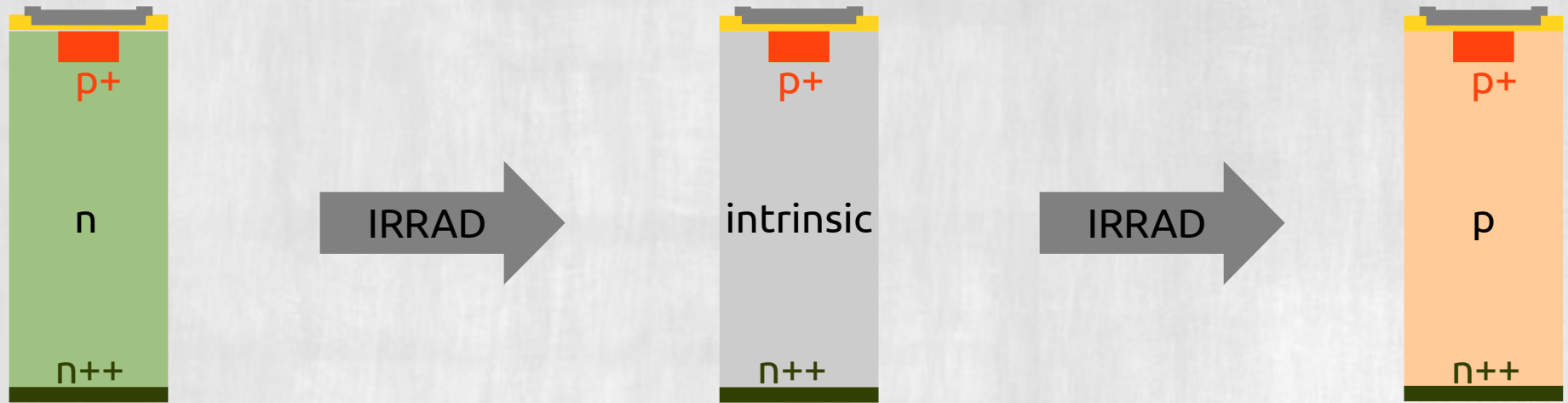
Radiation damage

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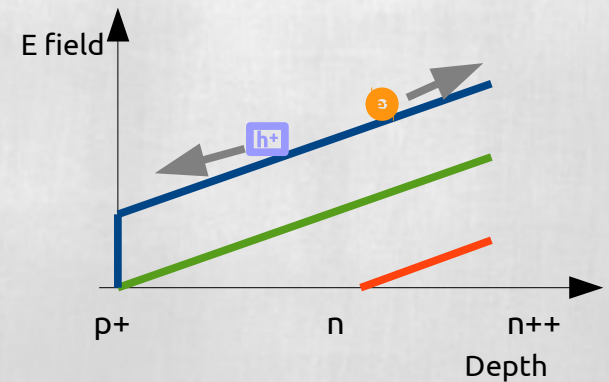
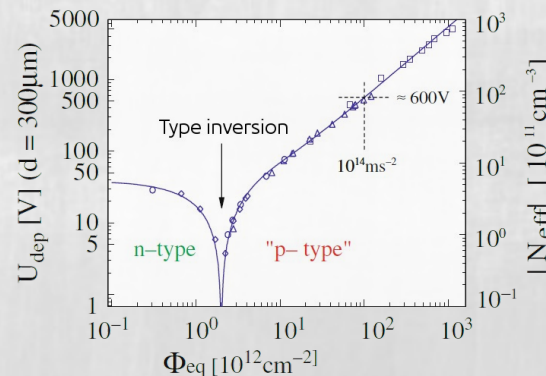
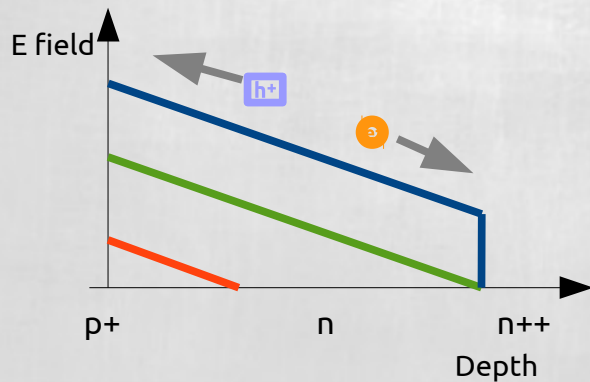
Radiation damage for p-on-n

Defects introduced by radiation act as acceptors



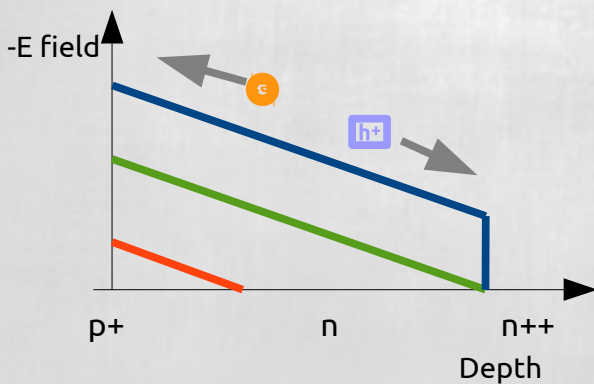
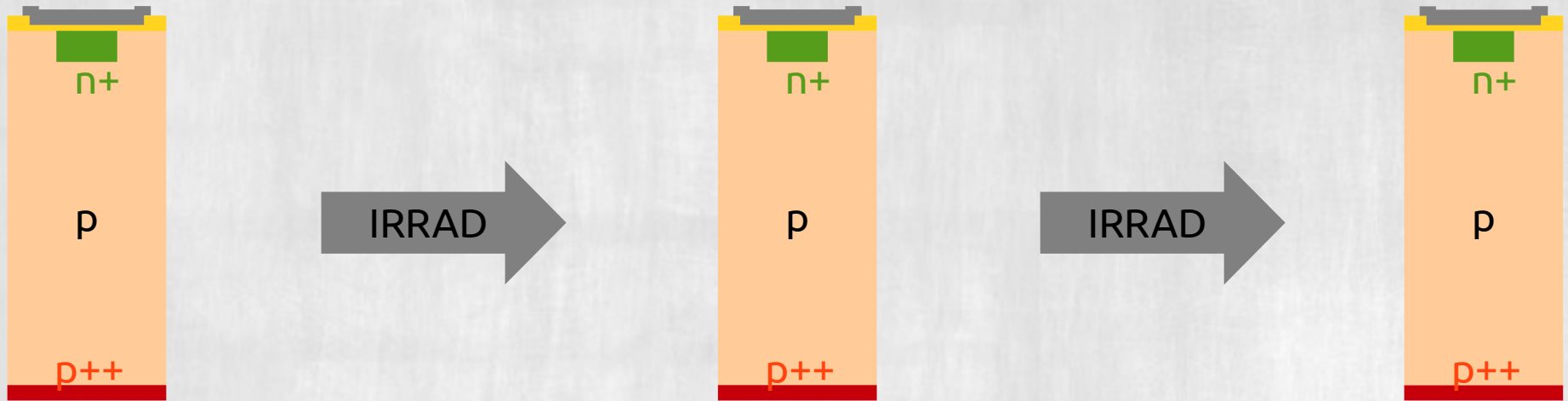
After irradiation:

- same signal polarity
- no signal if not fully depleted



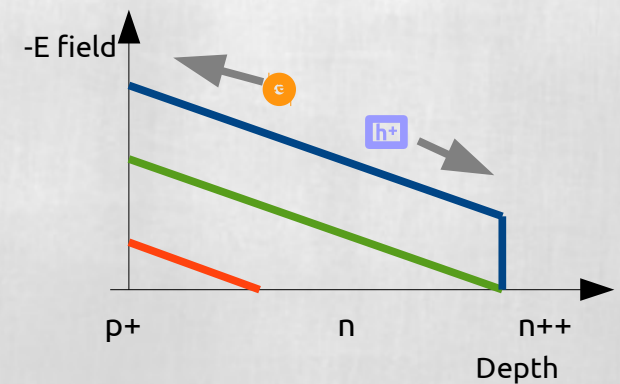
Radiation damage for n-on-p

No type inversion



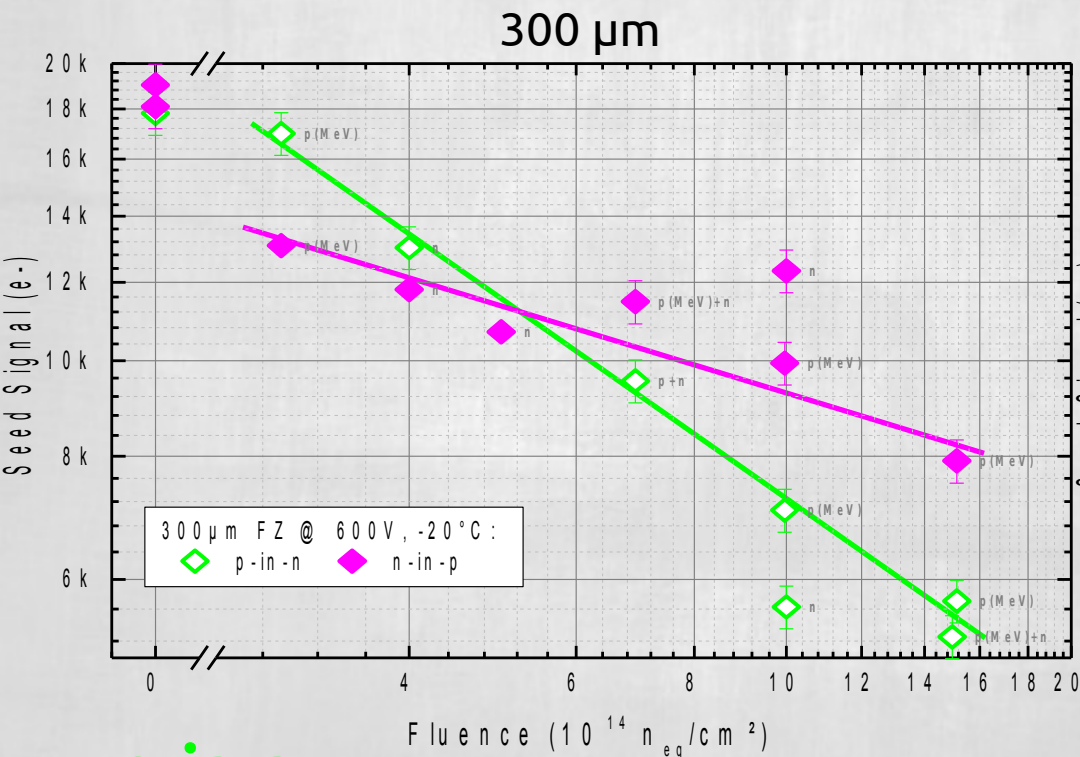
After irradiation:

- always collect signal even if not fully depleted

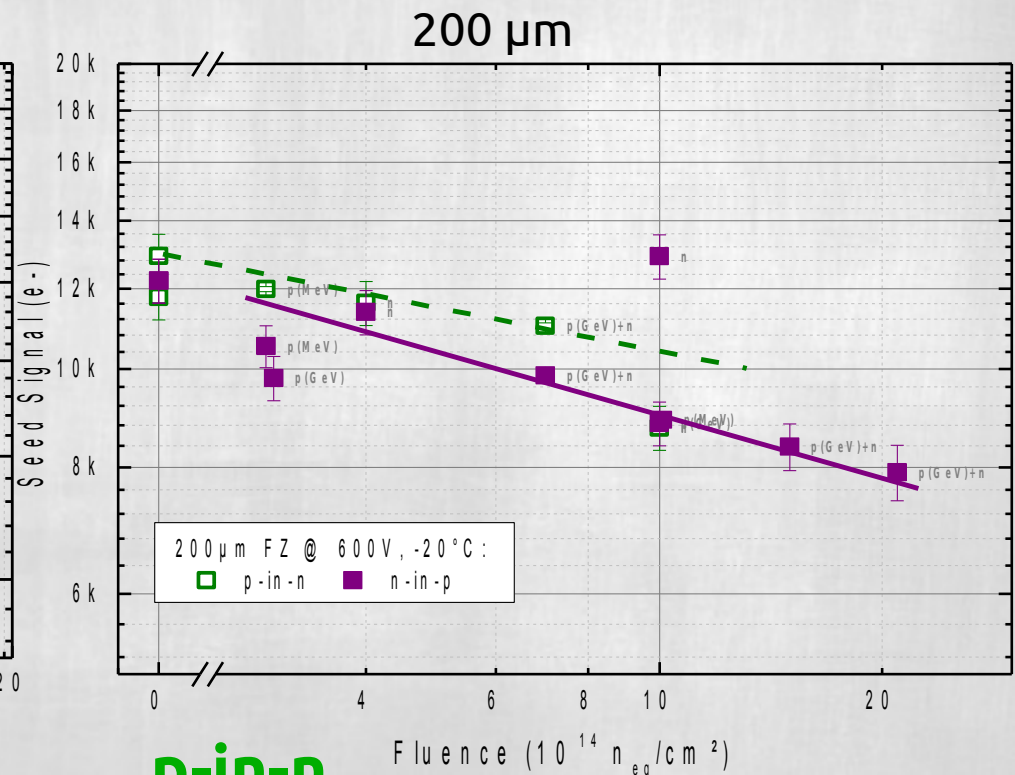


Signal degradation with irradiation

- Full depletion voltage increase \Rightarrow operating in partial depletion
- Bulk defects created \Rightarrow charge carriers trapping



p-in-n
n-in-p



p-in-n
n-in-p

Signal polarity

- Note:
 - The front-end electronics input polarity must match the one of sensor's signal
 - To make the electronics compatible with both sensor polarities, an additional *inverter* stage is needed (which draws a little extra power and adds a little extra noise)

Outline of the lesson

~~Silicon strip tracking detectors~~



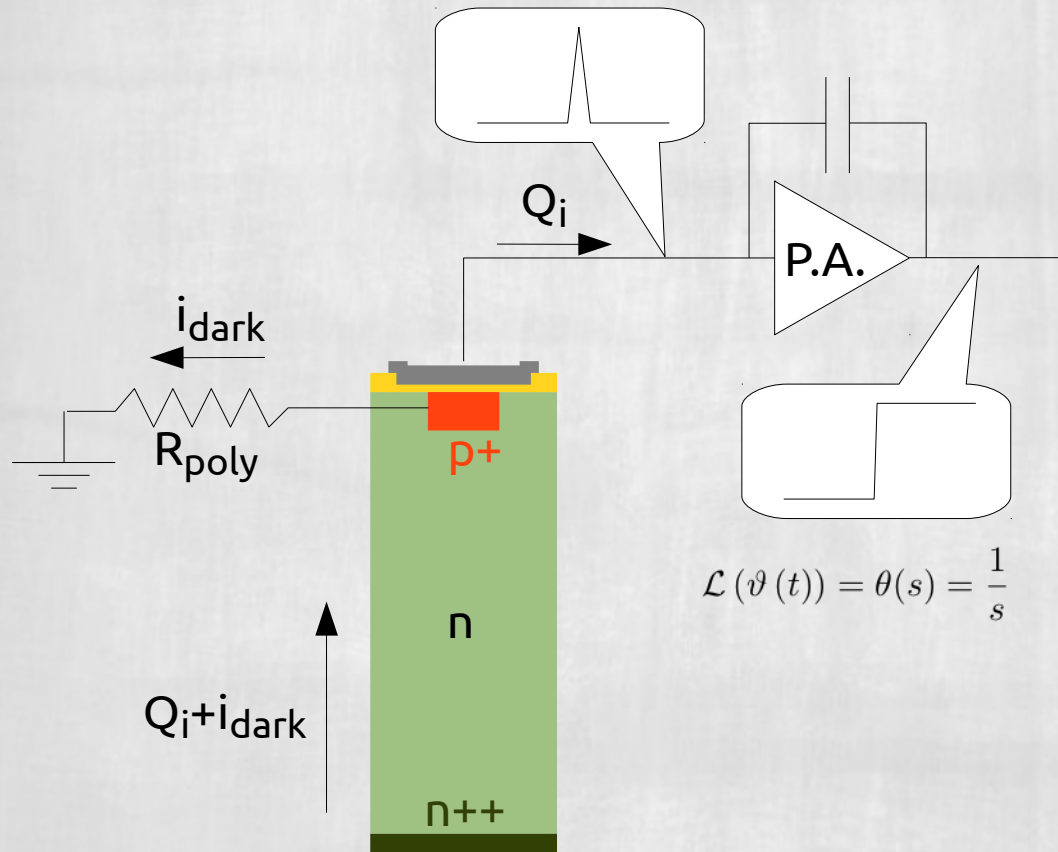
Front-end signal processing

Examples of detector design

Real-time tracking

Front-end typical (analogue)

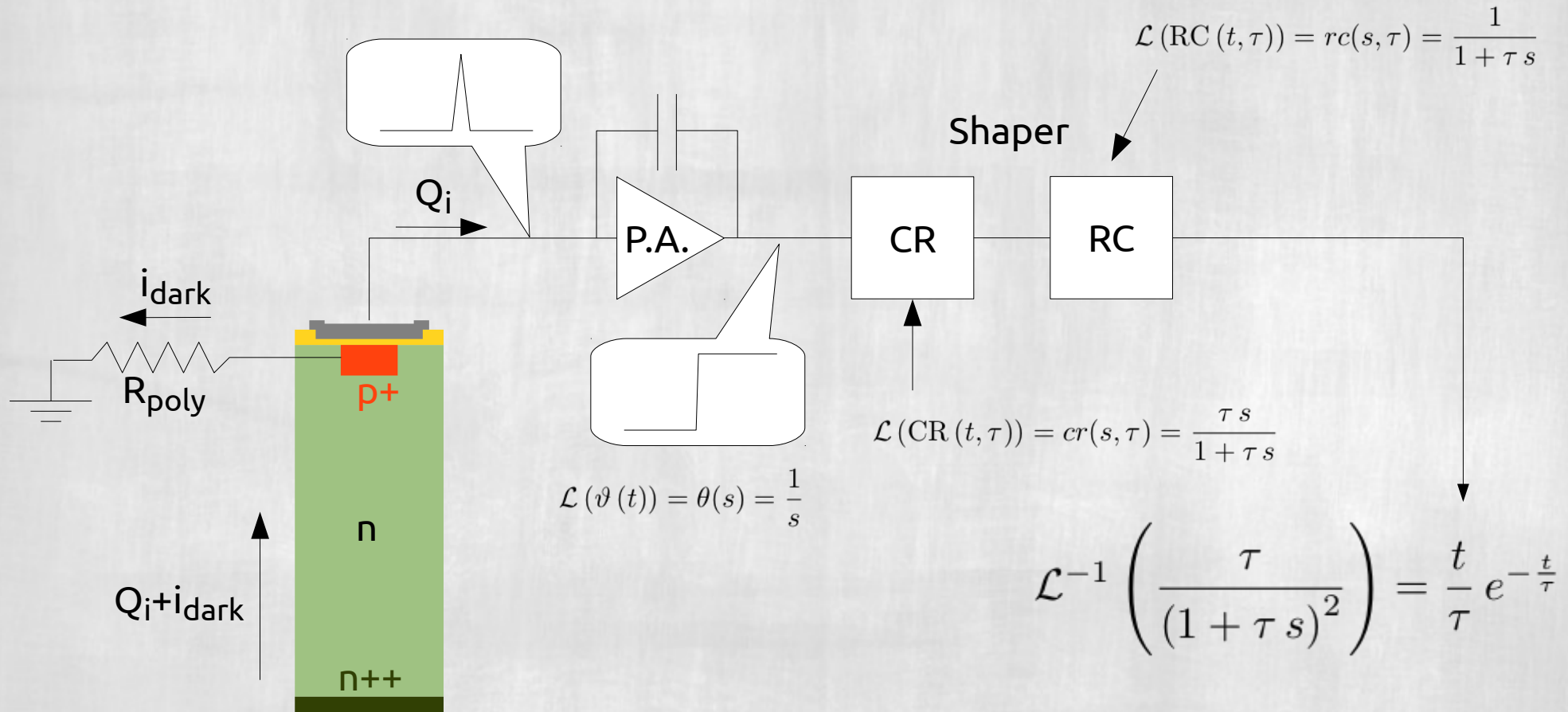
Small signal (ke-): amplification needed



Front-end typical (analogue)

Small signal (ke-): amplification needed

Well-defined sampling time: shaping (amplitude proportional to input charge)

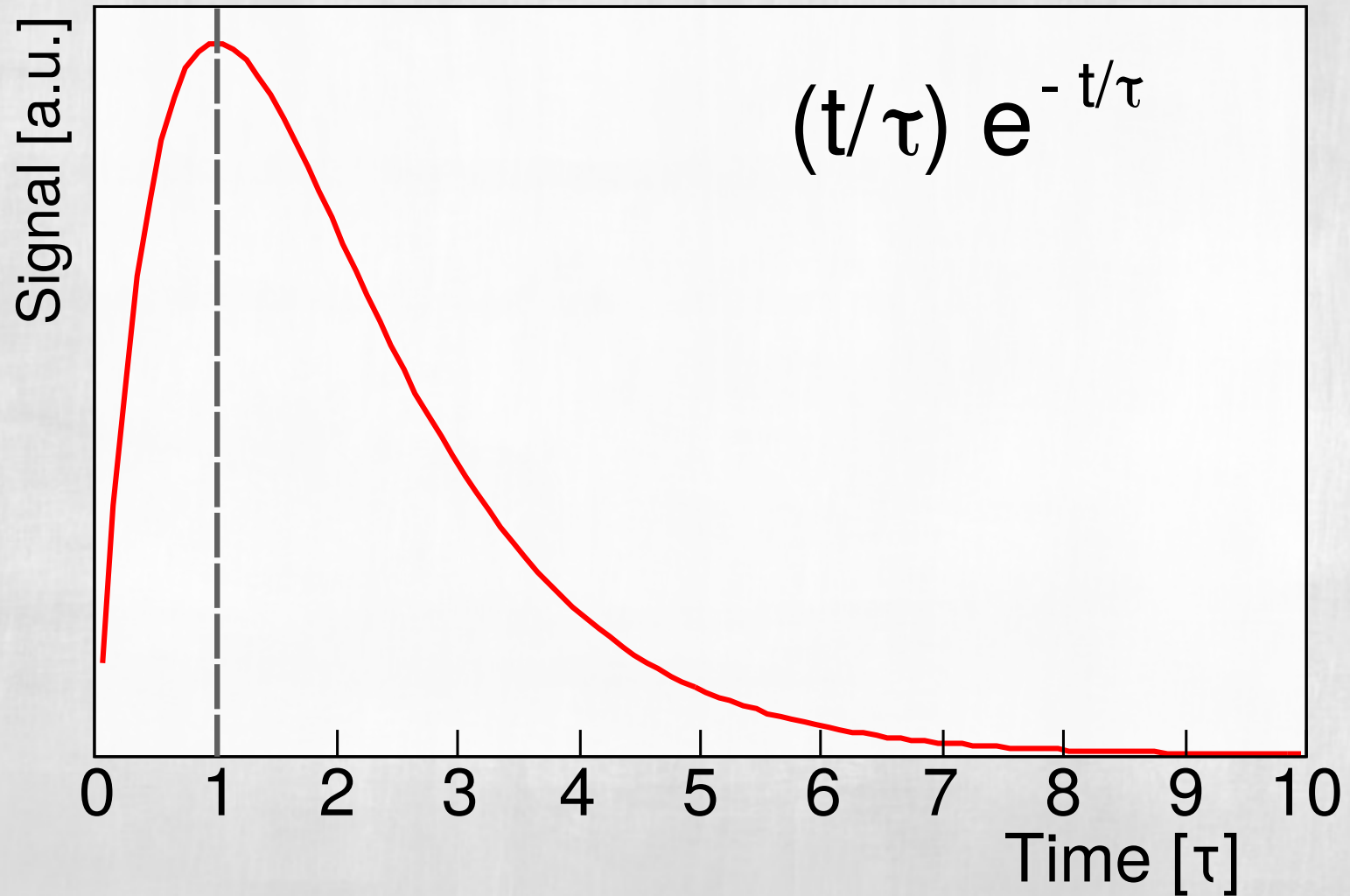


τ Shaper \gg T signal (not always true...)

τ Shaper \approx BX (for colliders)

Front-end typical (analogue)

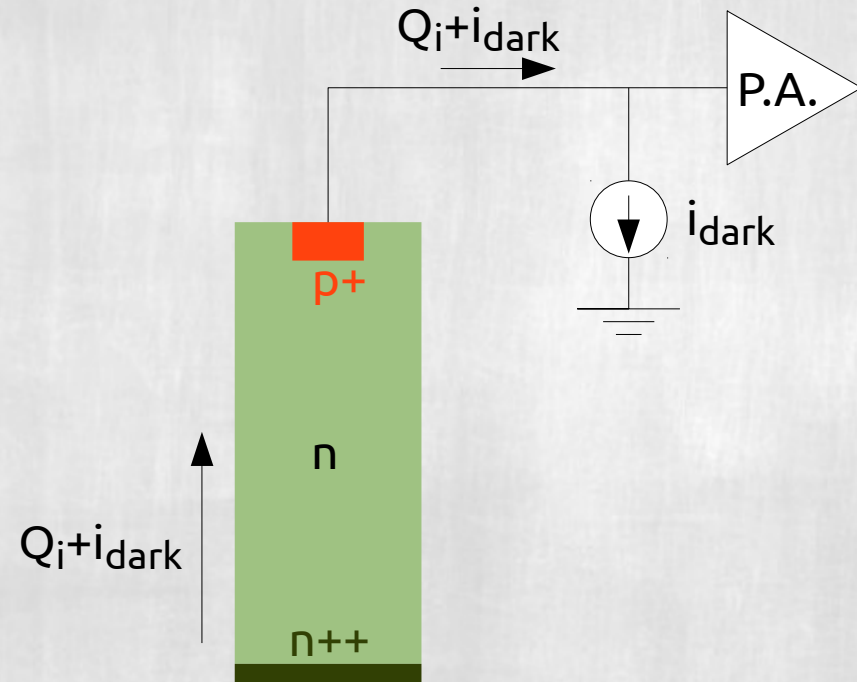
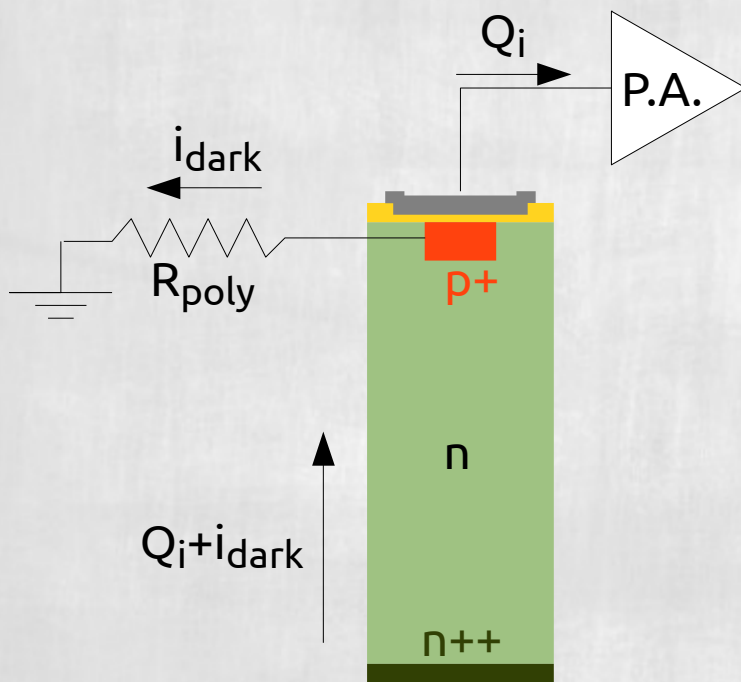
Time response of shaper to a preamp $\theta(t)$ signal



Front-end typical (analogue)

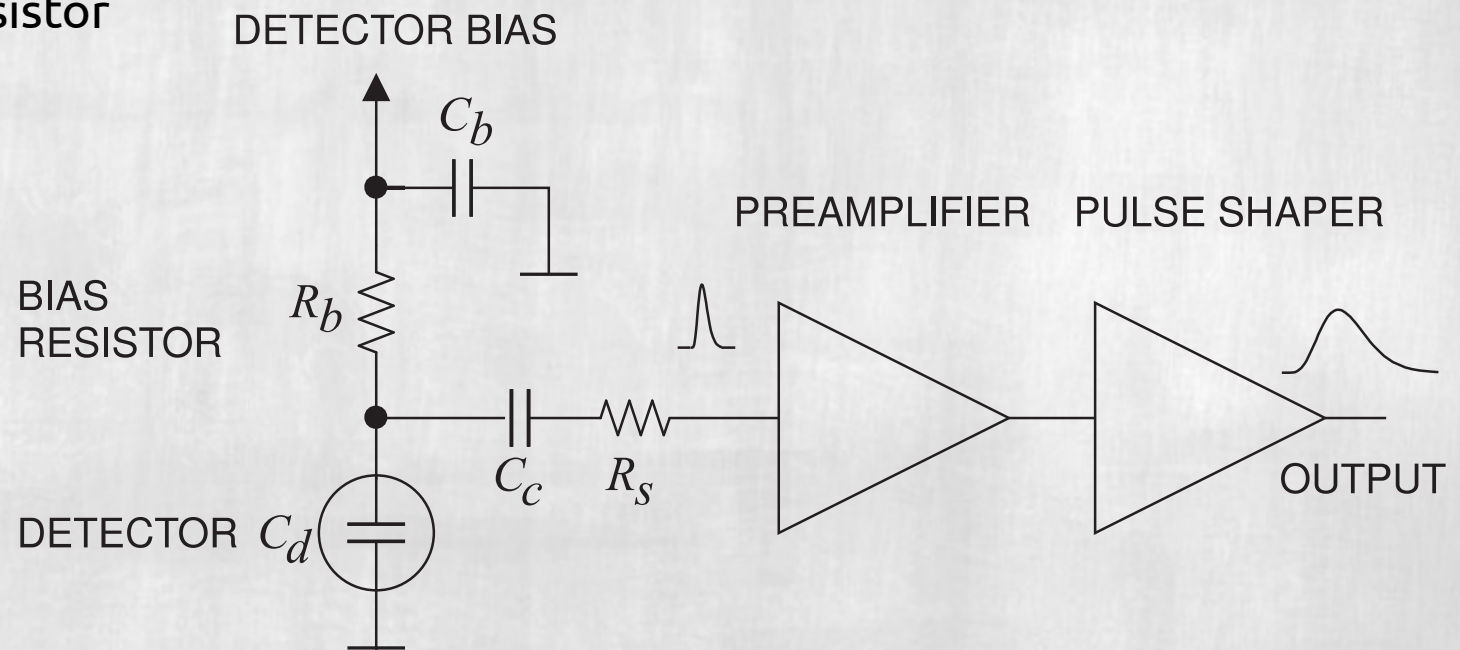
AC-coupled: requires additional sensor production steps

DC-coupled: additional signal processing to compensate dark current

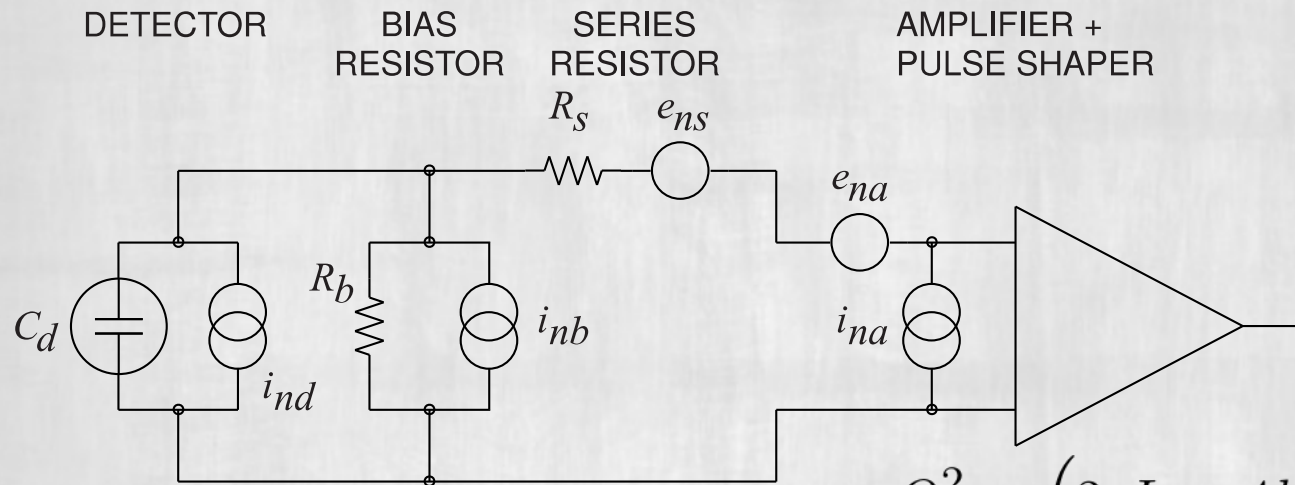


Front-end noise

- Noise typically measured as ENC (*equivalent noise charge*)
- Most important noise contributions:
 - Leakage current
 - Detector capacitance
 - Detector parallel resistor
 - Detector series resistor



Front-end noise



$$Q_n^2 = \left(2eI_d + 4kT/R_b + i_{na}^2 \right) F_i T_S + (4kTR_s + e_{na}^2) F_v C_d^2 / T_S + F_{vf} A_f C_d^2$$

Q_n noise [input signal equivalent]

$T_S = \tau$ = shaping time can be optimized

to minimise noise

I_d detector leakage current

T temperature

C_d input capacitance (i.e. strip)

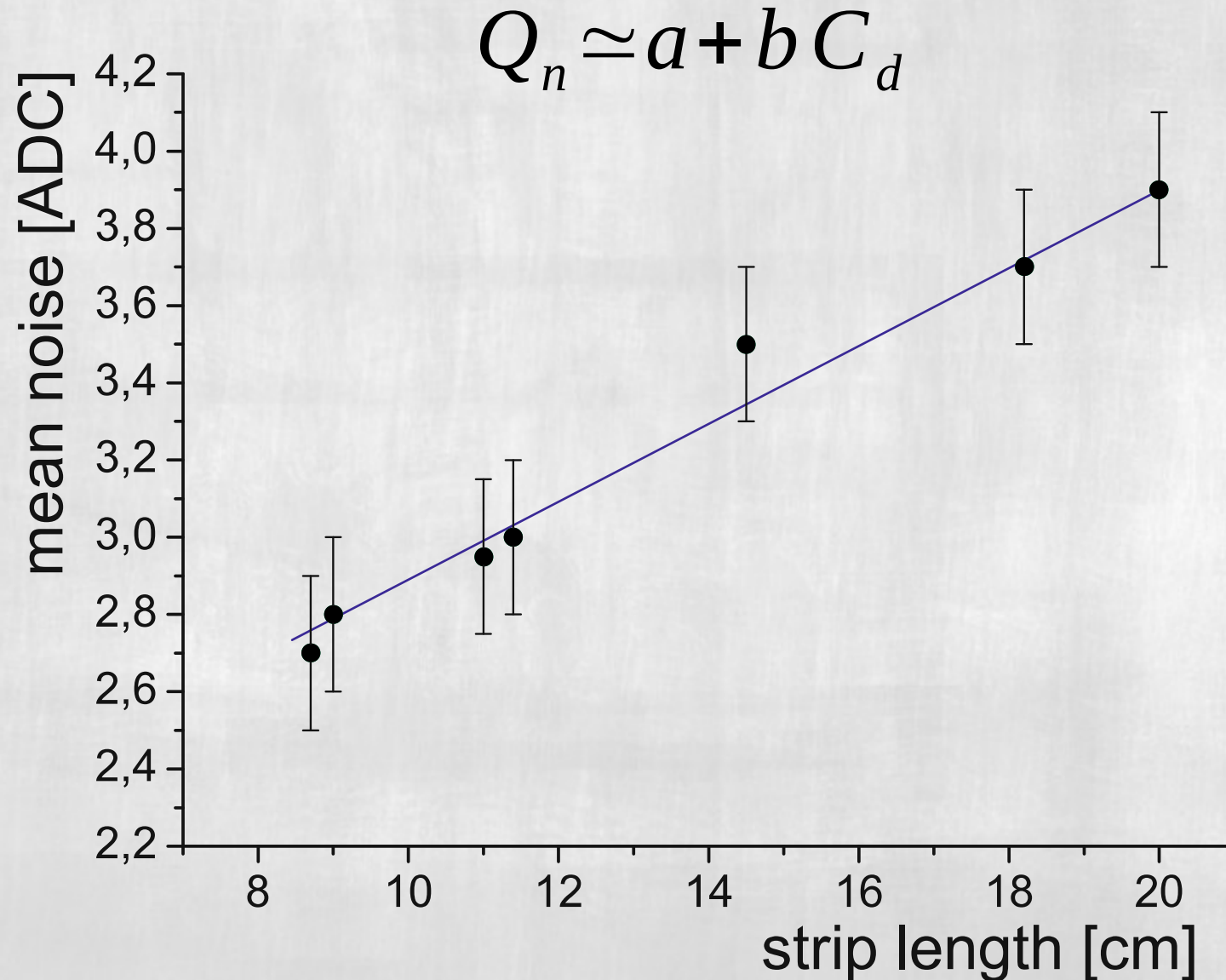


Once noise sources and T_S fixed

$$Q_n^2 = k_0^2 + (k_1 C_d)^2 + (k_2 I_d)^2$$

$$Q_n \simeq a + b C_d$$

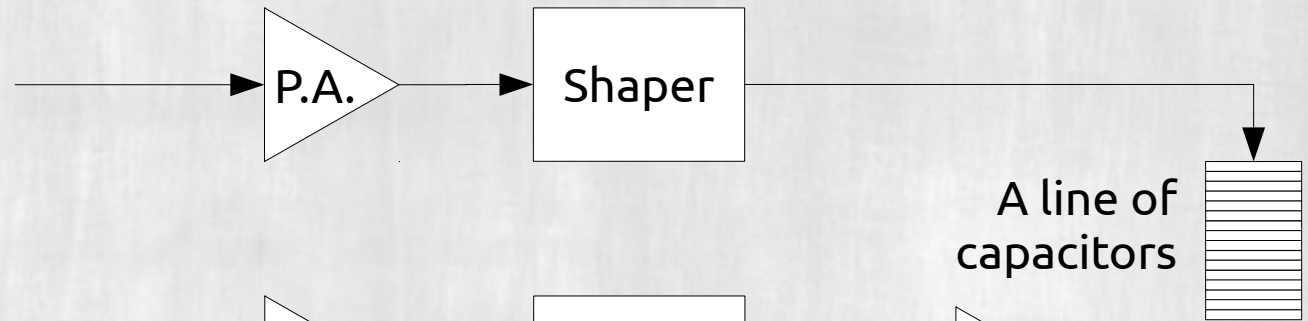
Front-end noise (CMS example)



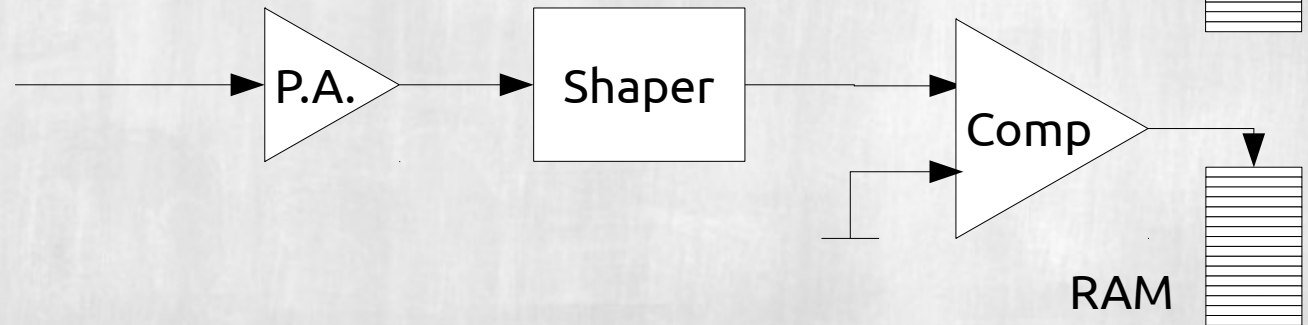
Front-end signal output

- Not all data can be shipped:
- Wait for external trigger: pipeline needed

Analogue
e.g. APV

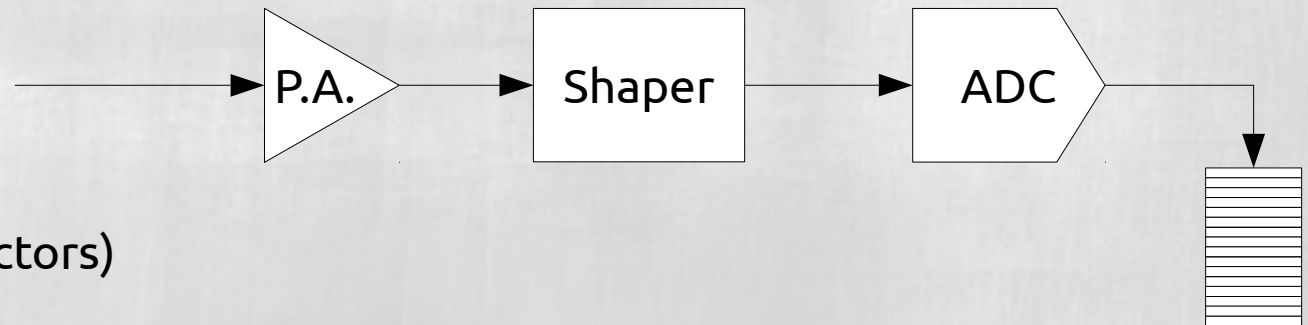


Binary (1 bit)
e.g. CBC



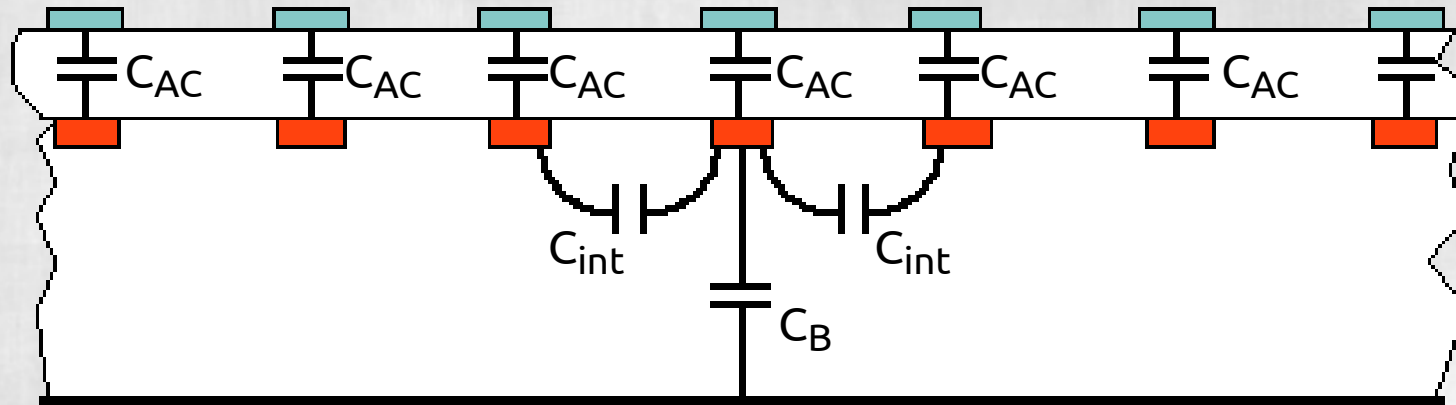
... but also possible ...

Digital (n bits)
e.g. APV



(Currently used in pixel detectors)

Capacitances

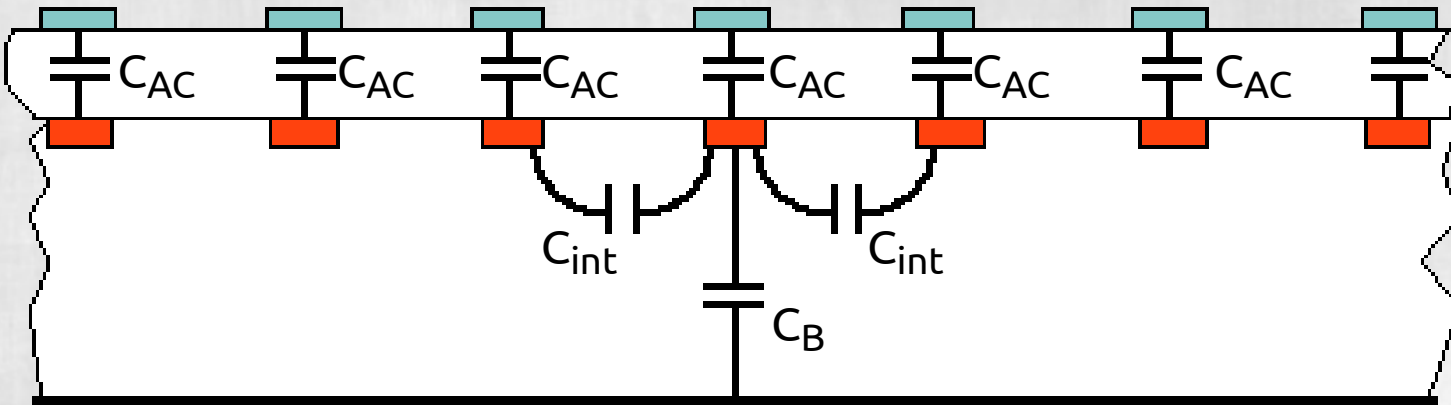


Main capacitances in strip sensors

- C_{AC} : coupling capacitance
- C_{int} : inter-strip capacitance (also 2nd neighbours)
Grows with shrinking pitch
- C_B : back-plane capacitance
Grows with thinning sensors

Note: C_{AC} in series with others...

Front-end typical (analogue)



Side note: noise anti-correlation between adjacent strips...

...why?

Check your answer here...:

Correlated Noise In Silicon Strip Detector Readout.

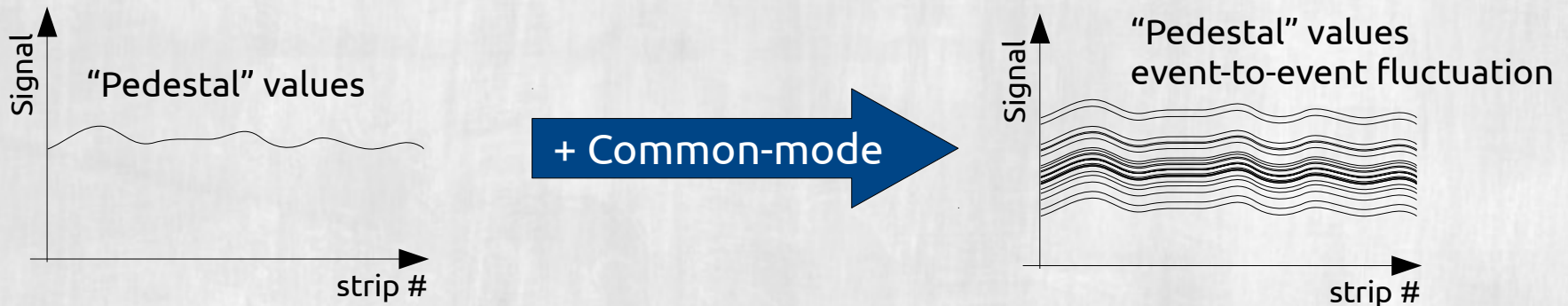
G. Lutz MPI-PAE-EXP-EL-173, Feb 1987

Published in NIM A309: 545-551,1991

Front-end typical (analogue)

More sizeable effect positive strip-to-strip signal correlation, a.k.a. Common-Mode-Noise, due to

- RF signal picked-up by the front-ends through the strips
- Voltage fluctuations from HV bias line appropriately not filtered
- Voltage fluctuations from power supplies appropriately not filtered
- Internal fluctuation of Vref (e.g. due to the activity of the front-end logic)
- ...



Effect seen in analogue data

- Signal baseline fluctuation
(remedy available: subtract signal median) WHY median? :-)

Effect seen in digital data

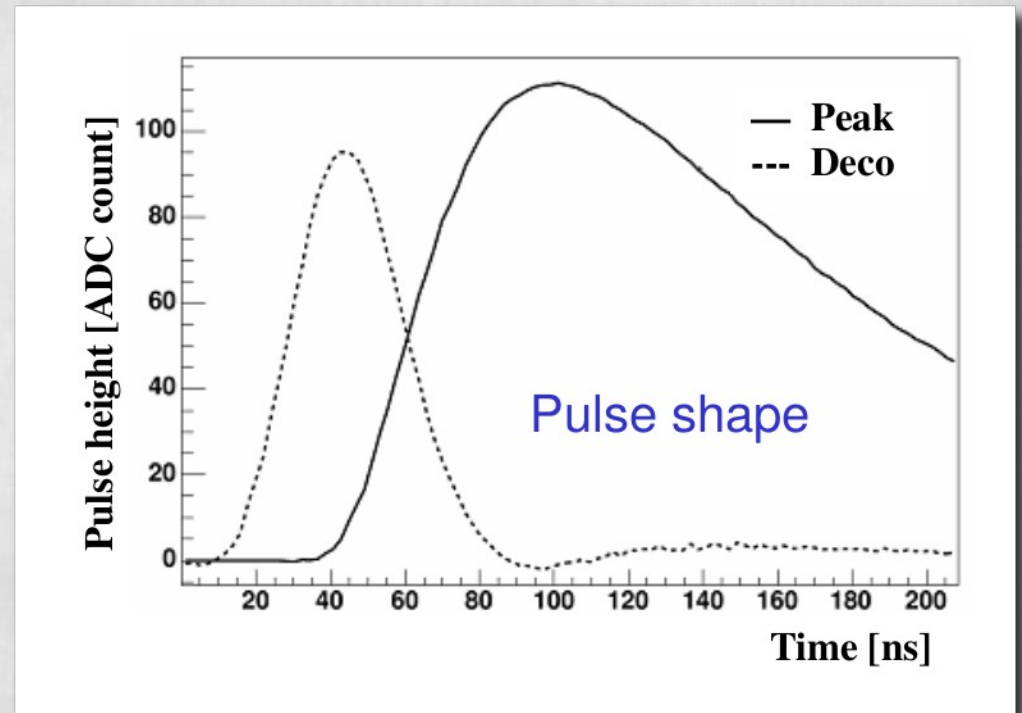
- Correlation between hit probability of different strips
- Broad distribution in hit counting (extreme: some events all fire, some other none)

L. Feld et al, *Measurement of common mode noise in binary read-out systems*, NIM A487 (2002) 557-564

Front-end signal output

If $\tau > T_{BX}$, then need to identify/remove pile-up

- To separate hits from previous BX analogue signal can be treated to have an effective faster shaping time
- Input (**peak** mode) signal must be correctly shaped as $(CR)(RC) \Rightarrow (t/\tau)\exp[-t/\tau]$
- Appropriate linear combination of three samples \Rightarrow **deconvolution** mode
- Currently used in CMS's front-ends (APV)



Outline of the lesson

~~Silicon strip tracking detectors~~

~~Front-end signal processing~~



Examples of detector design

Real-time tracking

Current silicon strip front-end chips

ATLAS

ABCD chip

250 nm technology

AC coupled

128 channels

Binary zero suppressed

Positive signals (p-type strips)

25 ns peaking time

Token ring readout

ENC < 1500 e⁻

CMS

APV25 chip

250 nm technology

AC coupled

128 channels

Analogue raw

Both input polarities

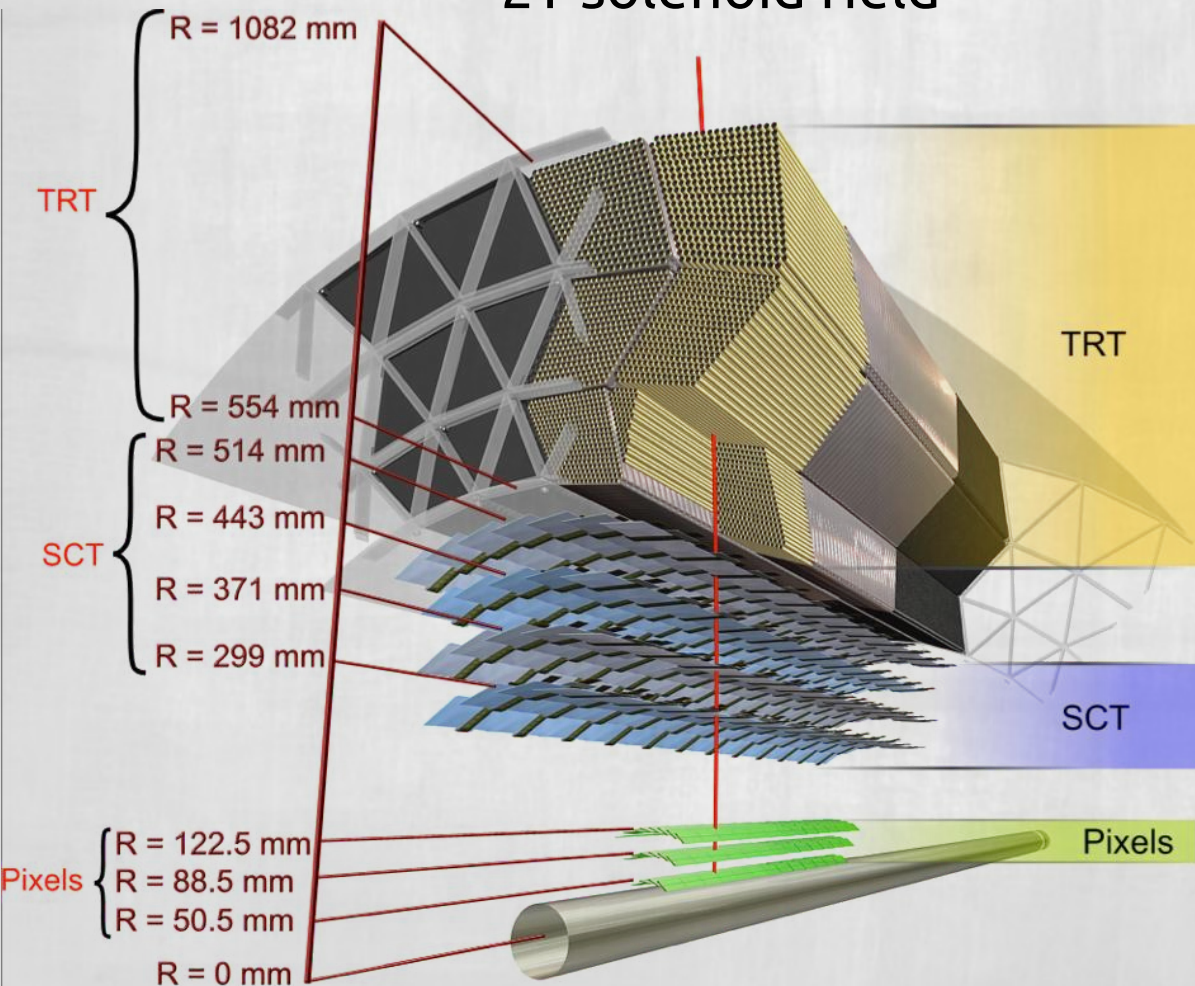
50 ns peaking time [+ deco]

2-chip time MUX

$246 e^- + C_D \times 36 e^- / pF < 1000 e^-$

Current ATLAS tracker

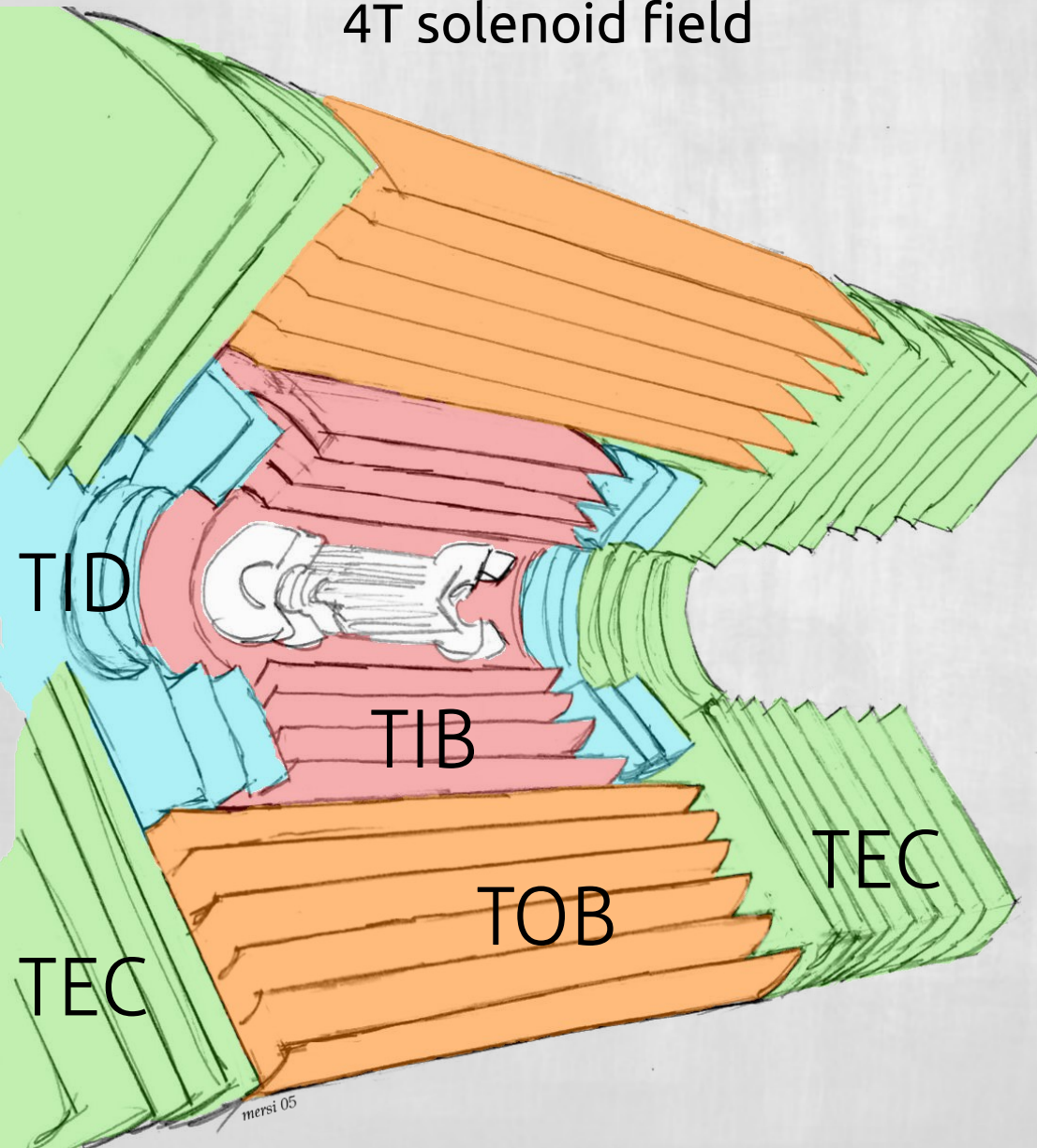
2T solenoid field



	Pixel	SCT	TRT
barrel layers	3	4	72
end-cap layers	2*3	2*9	2*160
# hits / track	3	8	~30
element size [μm]	50x400	80	4 mm
resolution [μm]	10x115	17x580	130
channels	$8 \cdot 10^7$	$6.3 \cdot 10^6$	$3.5 \cdot 10^5$

Current CMS tracker

4T solenoid field

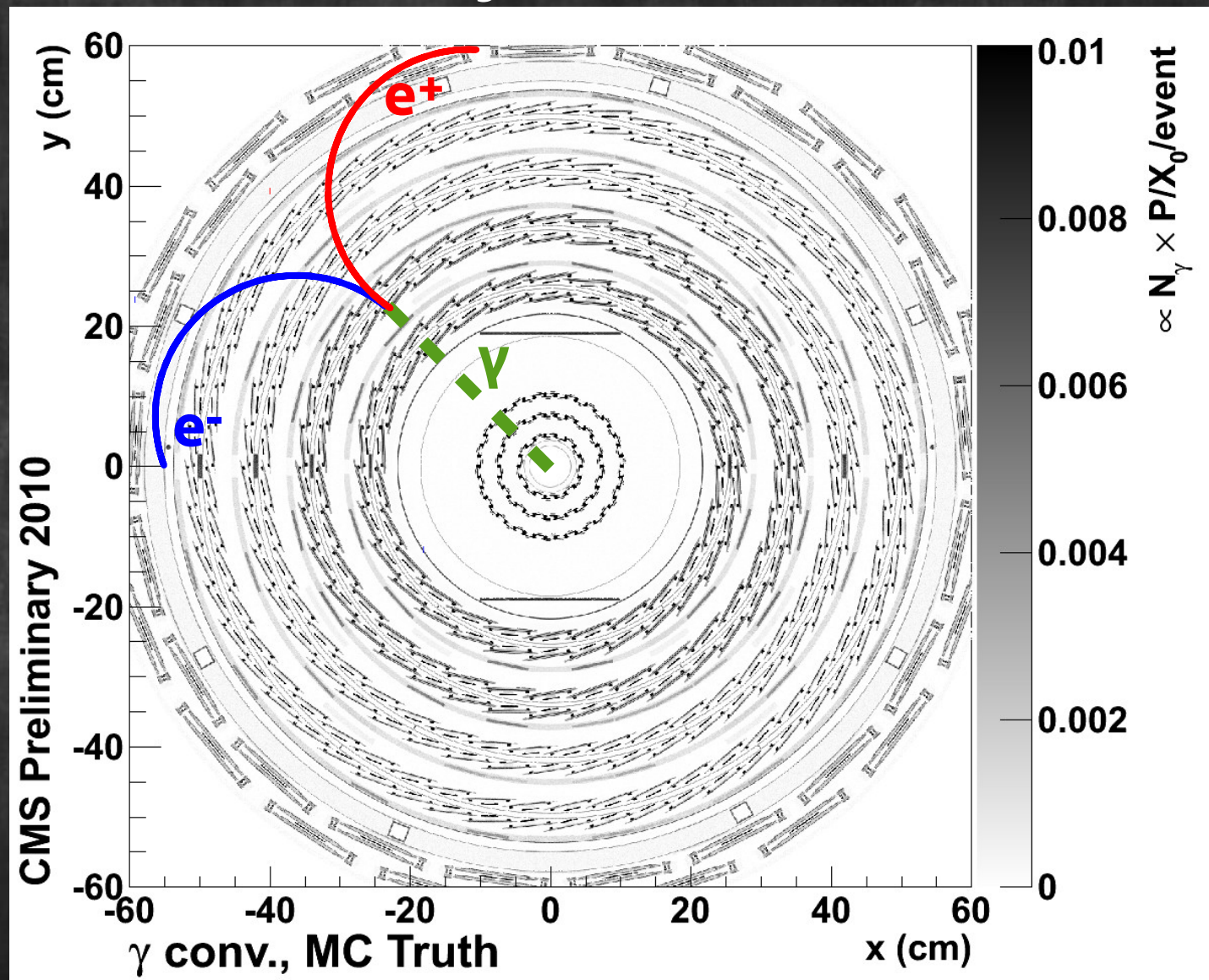


Volume	23 m ³
Active area	200 m ²
Modules	15'148
Front-end chips	72'784
Read-out channels	9'316'352
Bonds	24'000'000
Optical channels	36'392

**Intermezzo
on material budget**

Material photon tomography

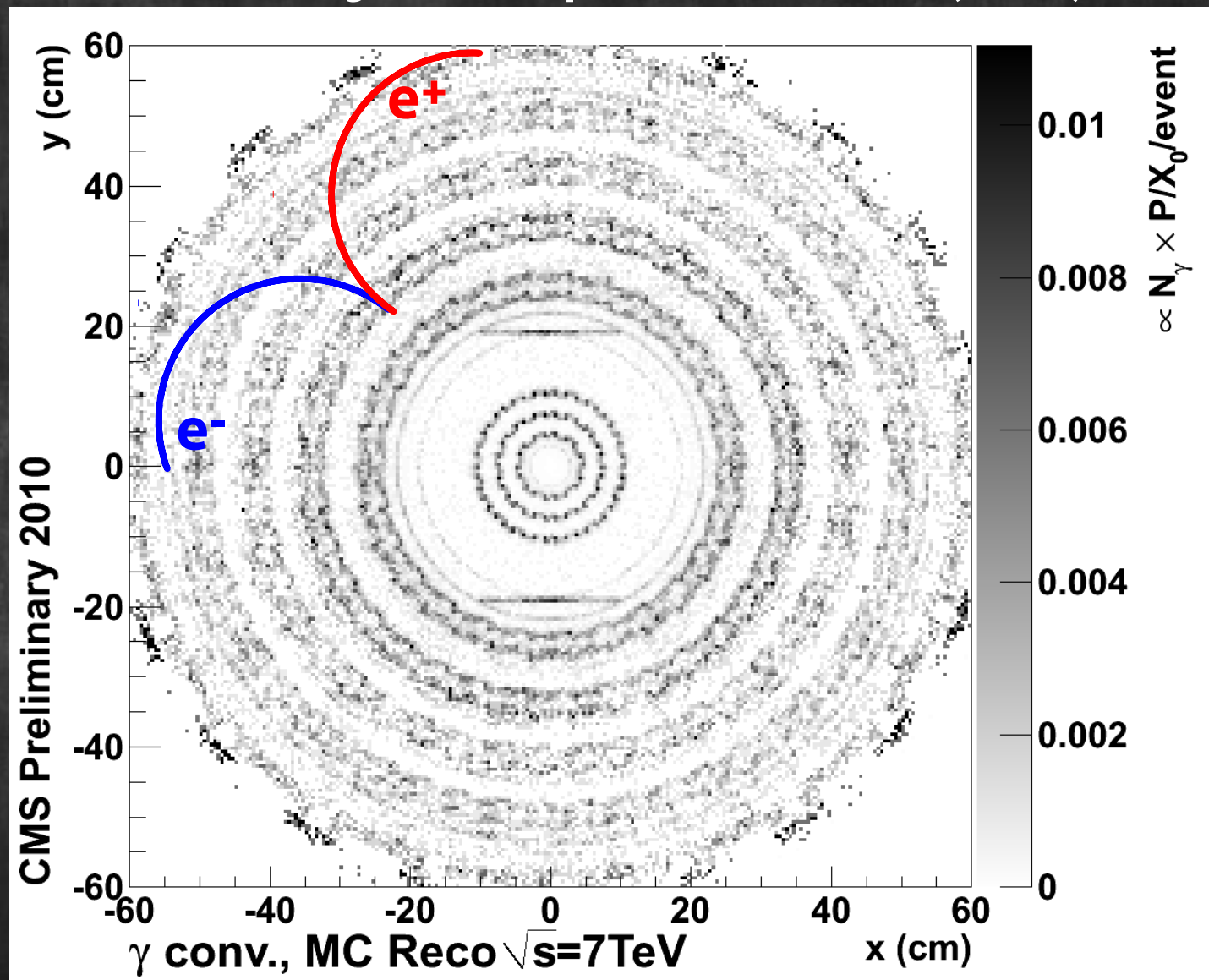
What you know



Gamma conversion
(pair production)

Material photon tomography

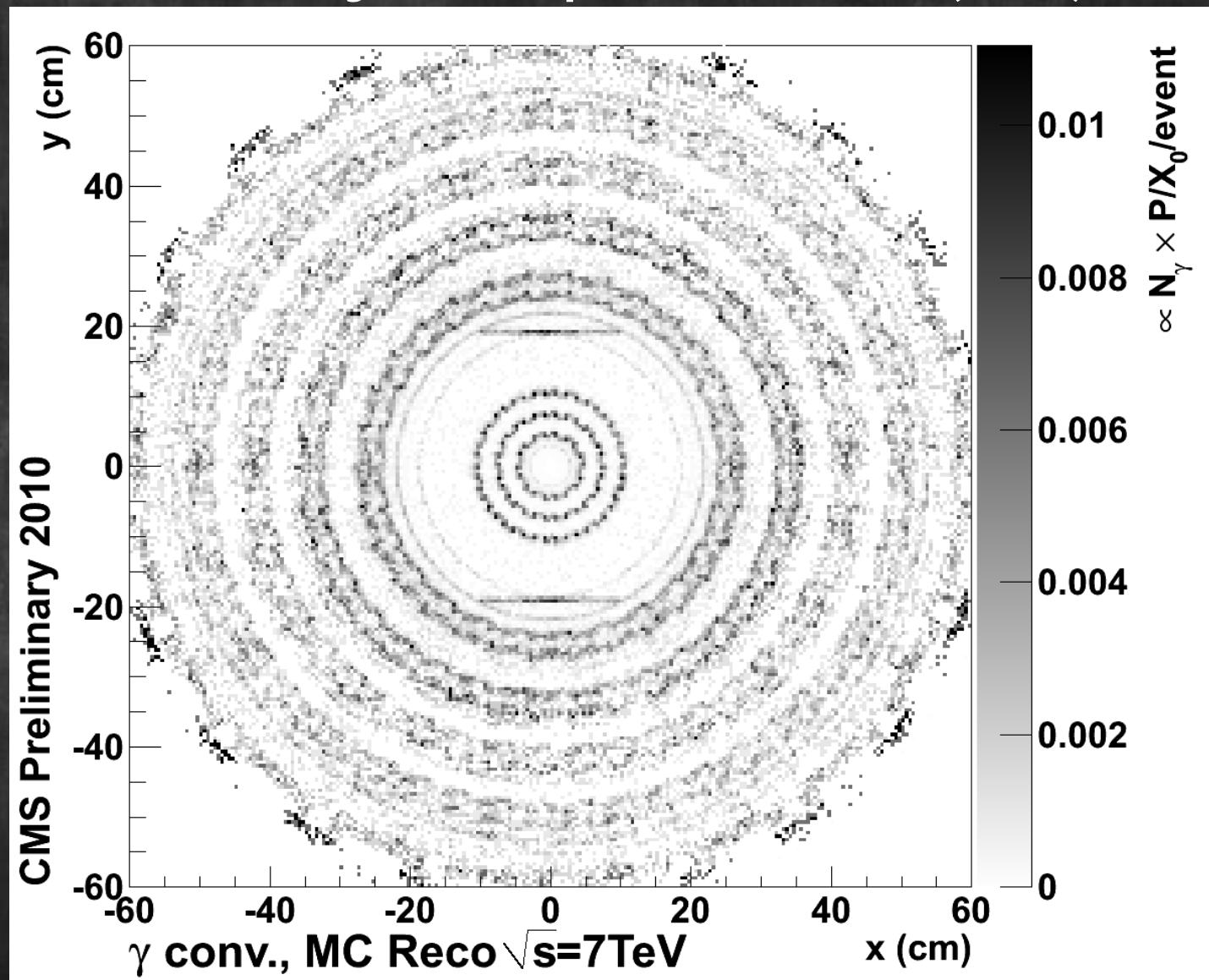
What you expect to see (MC)



Using γ conversion
to reconstruct
material position

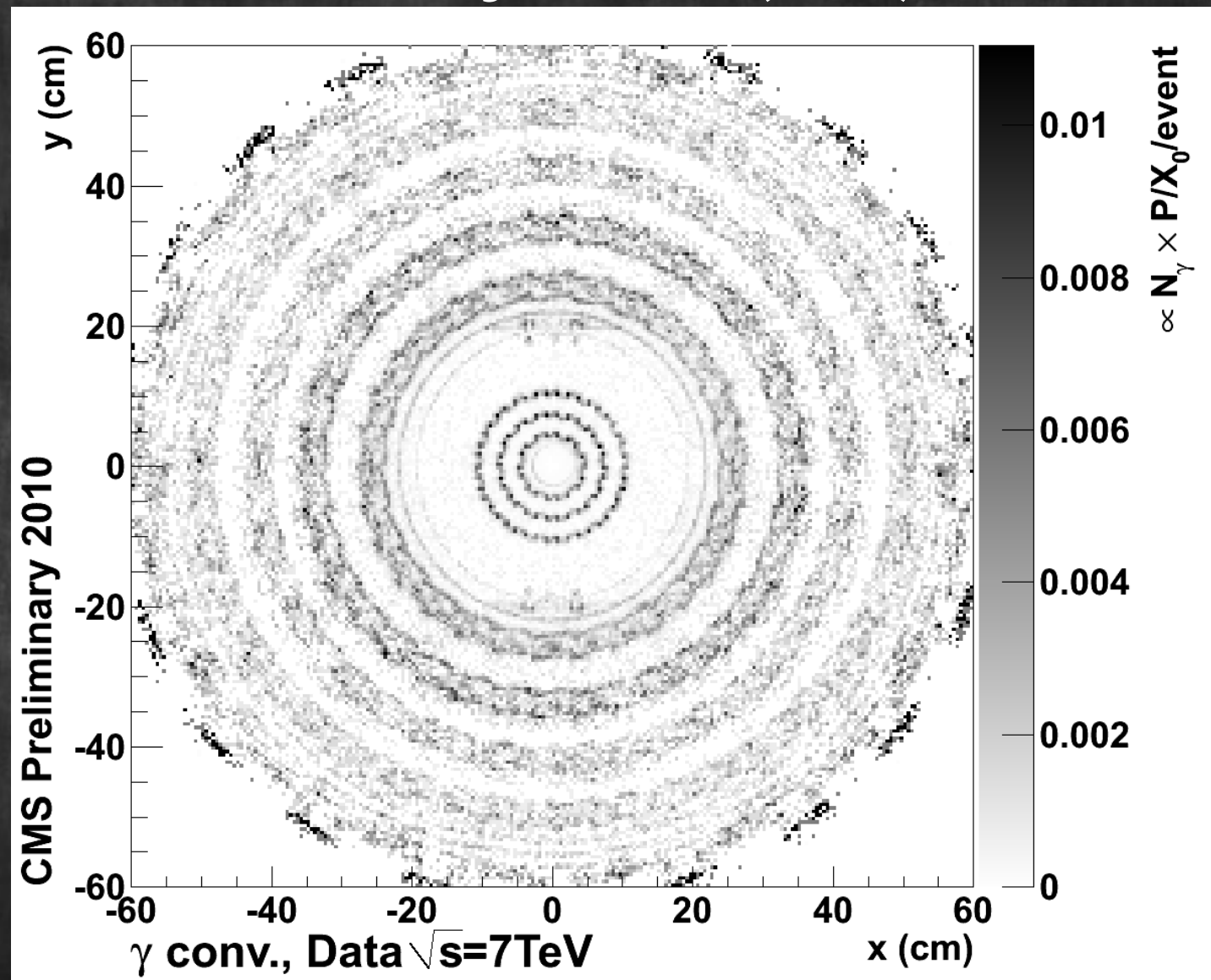
Material photon tomography

What you expect to see (MC)

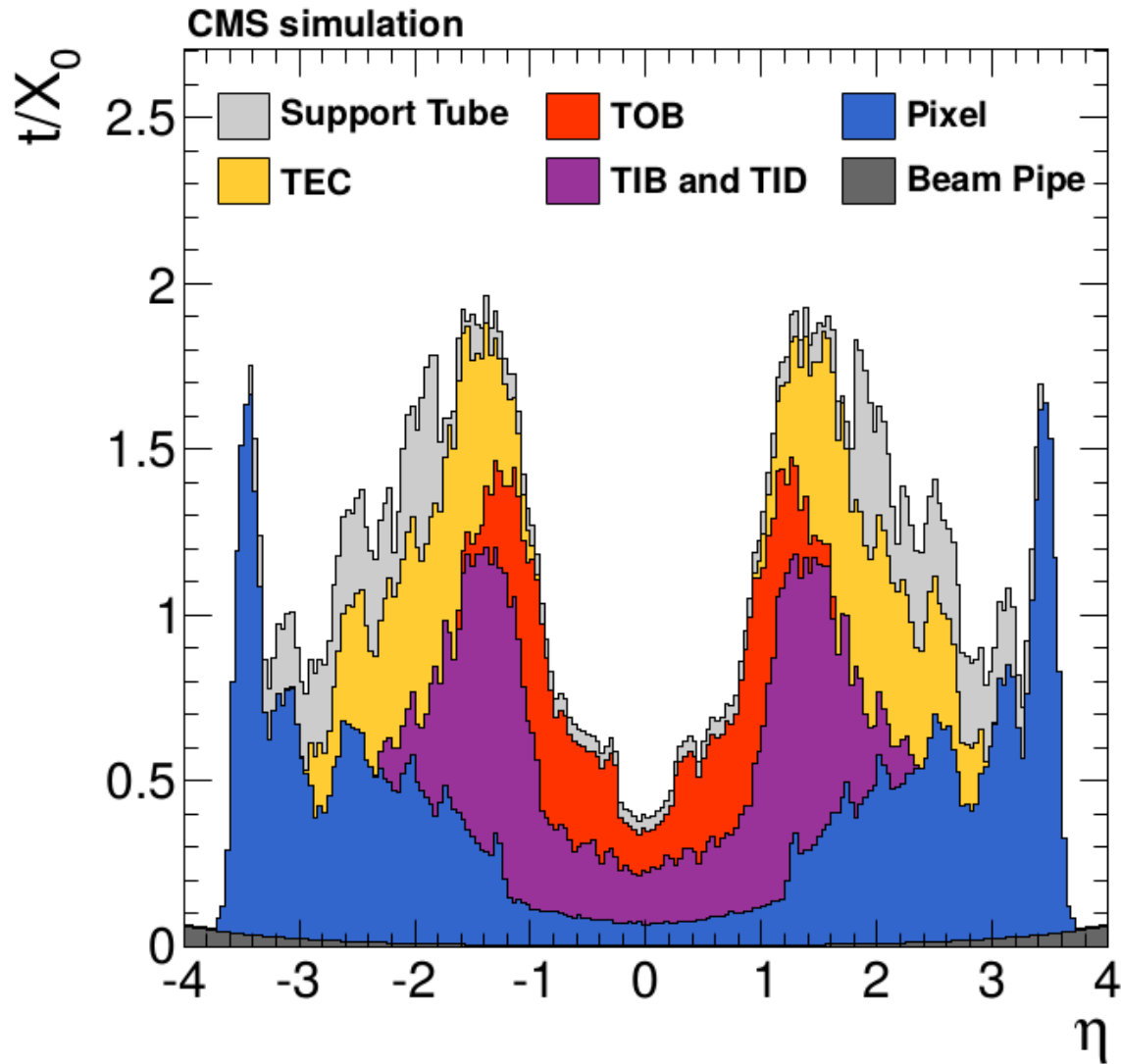


Material photon tomography

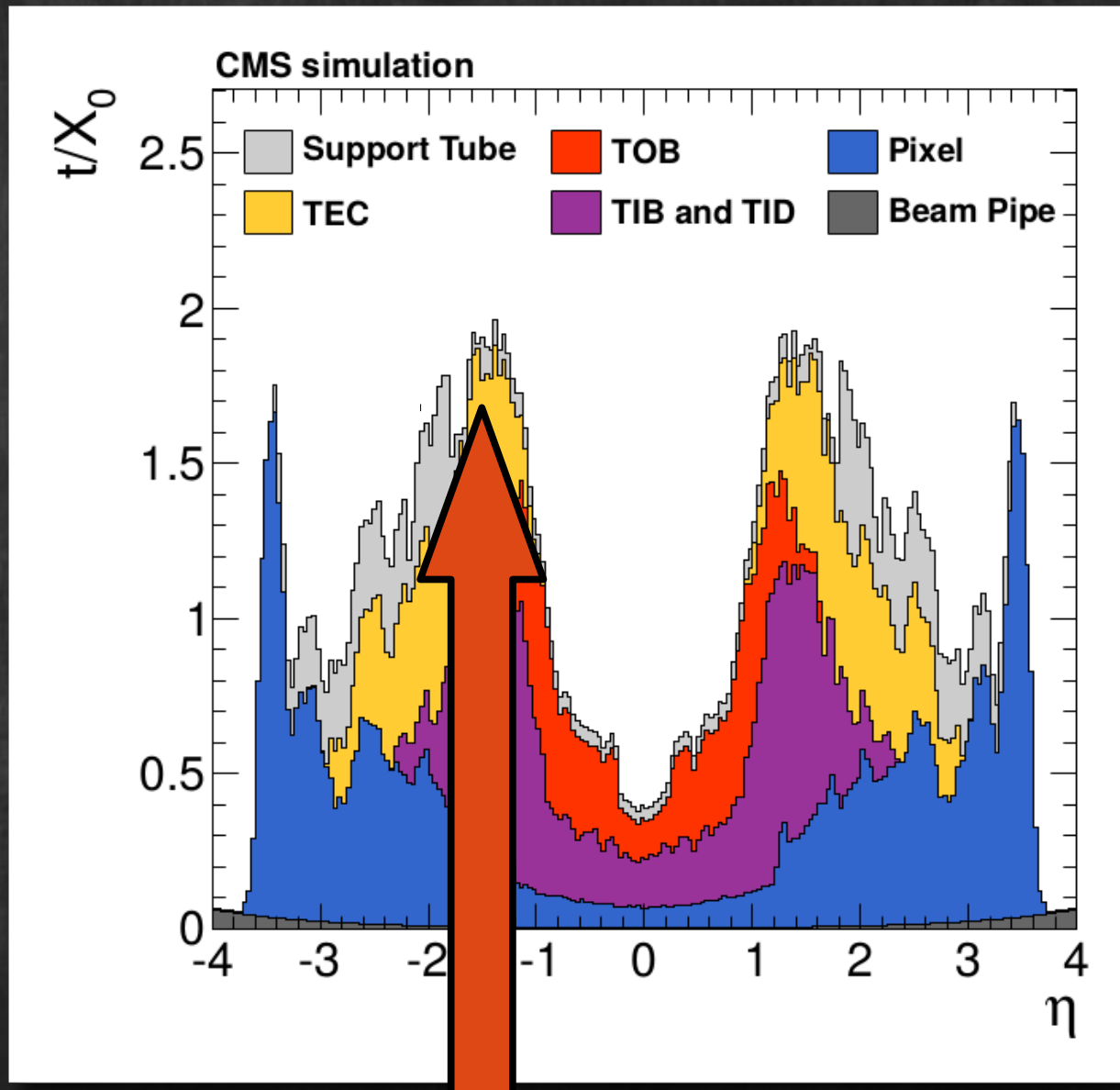
What you see (real)



Material matters...



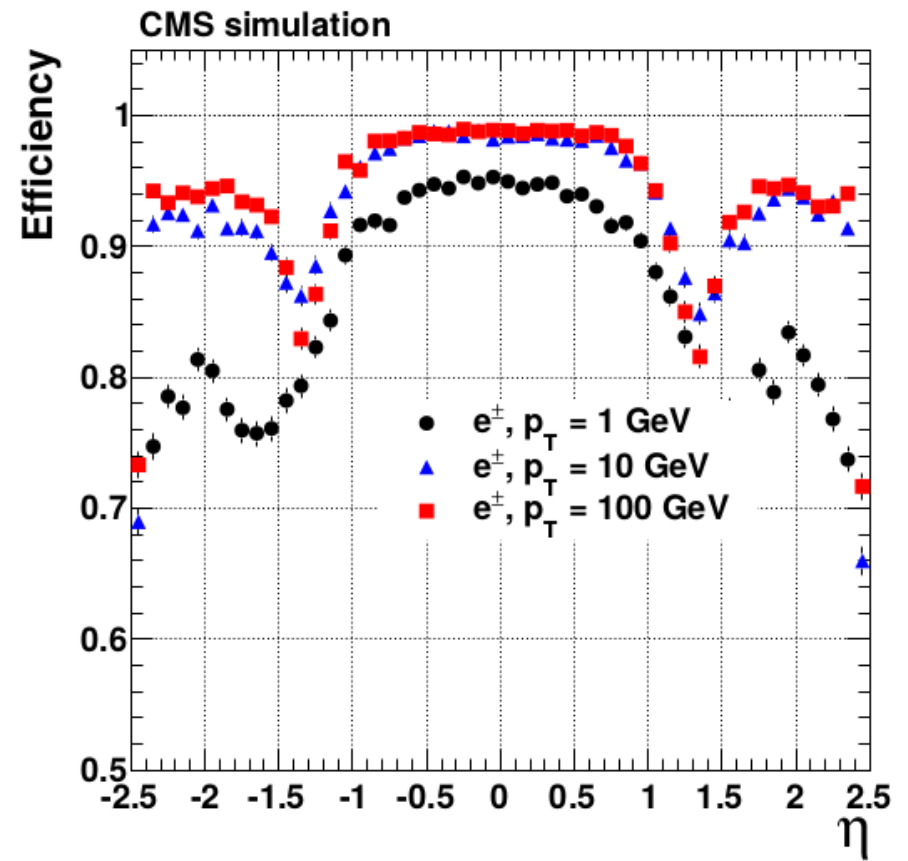
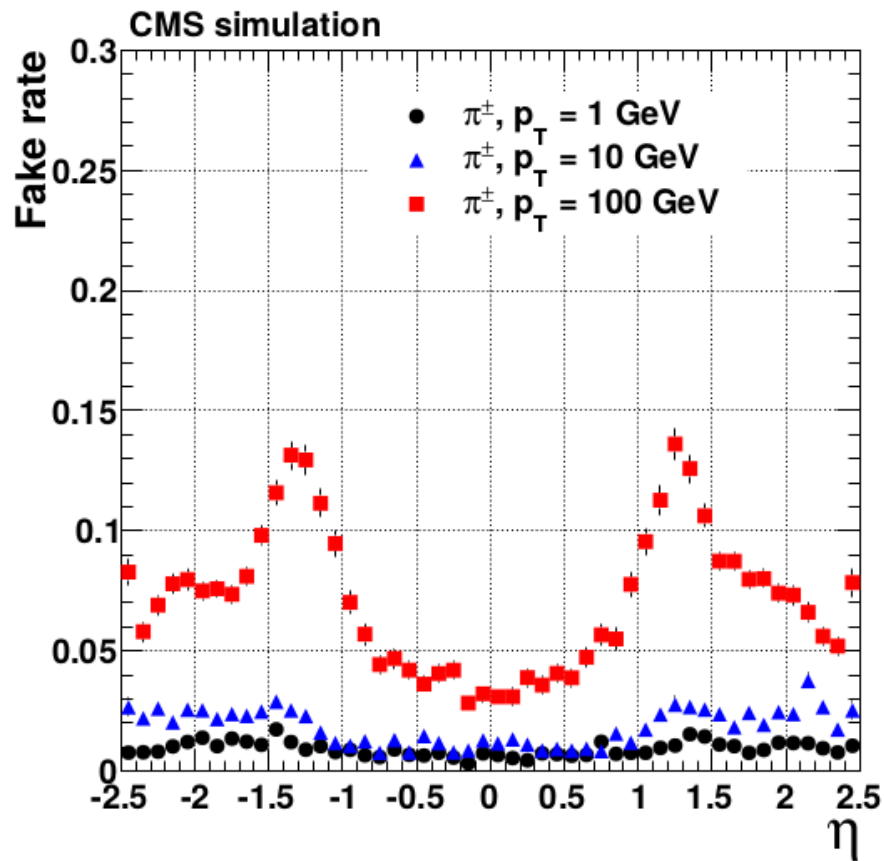
Material matters...



Sizeable material amount in region $1 < |\eta| < 2$

Material matters...

n and e tracking degraded in that region



Implications for future trackers

- (Fast signal + more FE processing) = power
Power → cooling → material
Power → cables → material
- Low(er) power
 - In the front-end moving from current 250 nm → 65 nm for ex.
- Novel cooling techniques: bi-phase CO₂ cooling
- Novel distribution schemes
 - DC/DC
 - Serial powering

**End of intermezzo
on material budget**

Luminosity in LHC

LHC nominal luminosity

$$\mathcal{L} = 1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

BX rate = 40 MHz

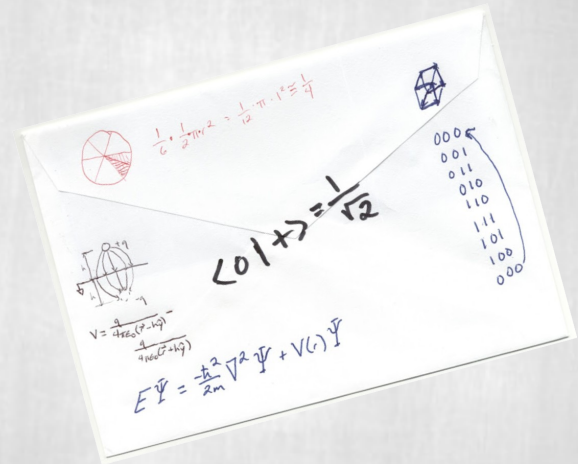
$$\begin{aligned} 10^{30} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ Hz}/\mu\text{b} \\ 10^{30} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ kHz}/\text{mb} \\ 10^{30} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ MHz}/\text{b} \\ 10^{33} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ MHz}/\text{mb} \end{aligned}$$

$$\mathcal{L} = 10 \text{ MHz}/\text{mb}$$

$$\sigma_{pp} \approx 80 \text{ mb}$$

$$10 \frac{\text{MHz}}{\text{mb}} \times 80 \text{ mb} \times \frac{1}{40 \text{ MHz}} = ?$$

event rate BX rate



Luminosity and pile-up in LHC

LHC nominal luminosity

$$\mathcal{L} = 1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

BX rate = 40 MHz

$$10^{30} \text{ cm}^{-2} \text{ s}^{-1} = 1 \text{ Hz}/\mu\text{b}$$

$$10^{30} \text{ cm}^{-2} \text{ s}^{-1} = 1 \text{ kHz}/\text{mb}$$

$$10^{30} \text{ cm}^{-2} \text{ s}^{-1} = 1 \text{ MHz}/\text{b}$$

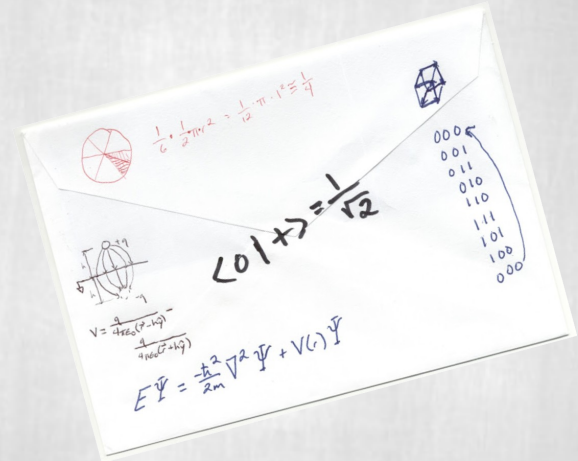
$$10^{33} \text{ cm}^{-2} \text{ s}^{-1} = 1 \text{ MHz}/\text{mb}$$

$$\mathcal{L} = 10 \text{ MHz}/\text{mb}$$

$$\sigma_{pp} \approx 80 \text{ mb}$$

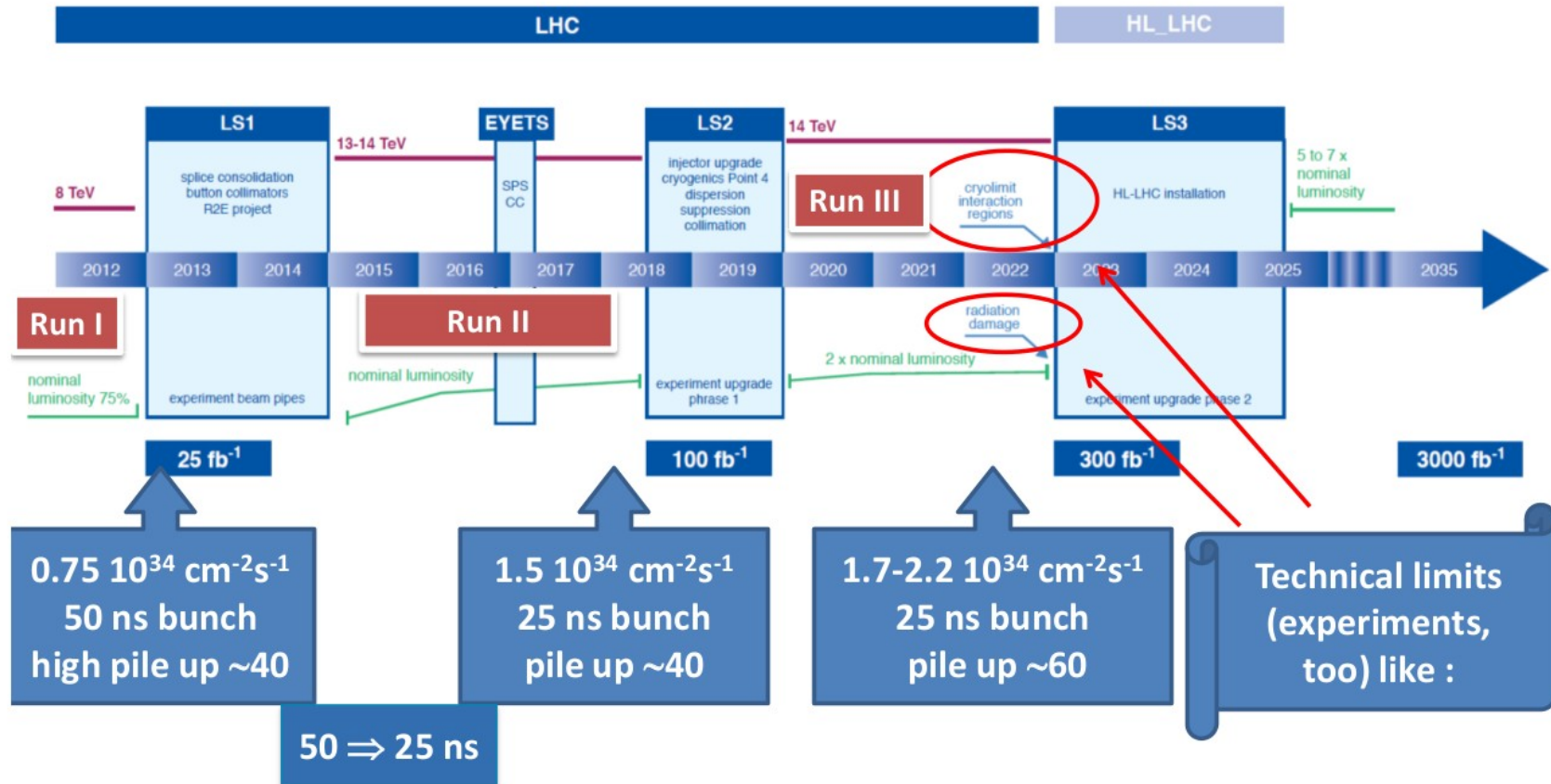
$$10 \frac{\text{MHz}}{\text{mb}} \times 80 \text{ mb} \times \frac{1}{40 \text{ MHz}} \simeq 20$$

Average number of pile-up events: 20



LHC Upgrades plan

New LHC / HL-LHC Plan



Luminosity and pile-up in HL-LHC

HL-LHC peak luminosity

$$\mathcal{L} = 7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

BX rate (eff) = 40 MHz

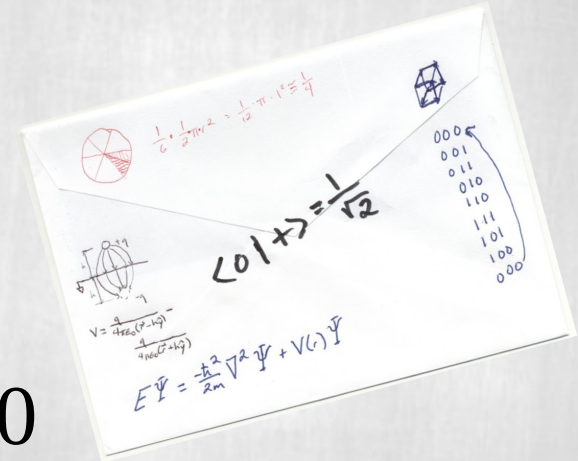
$$\begin{aligned} 10^{30} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ Hz}/\mu\text{b} \\ 10^{30} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ kHz}/\text{mb} \\ 10^{30} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ MHz}/\text{b} \\ 10^{33} \text{ cm}^{-2} \text{ s}^{-1} &= 1 \text{ MHz}/\text{mb} \end{aligned}$$

$$\mathcal{L} = 70 \text{ MHz}/\text{mb}$$

$$\sigma_{pp} \approx 80 \text{ mb}$$

$$70 \frac{\text{MHz}}{\text{mb}} \times 80 \text{ mb} \times \frac{1}{40 \text{ MHz}} \simeq 140$$

Average number of pile-up events: 140

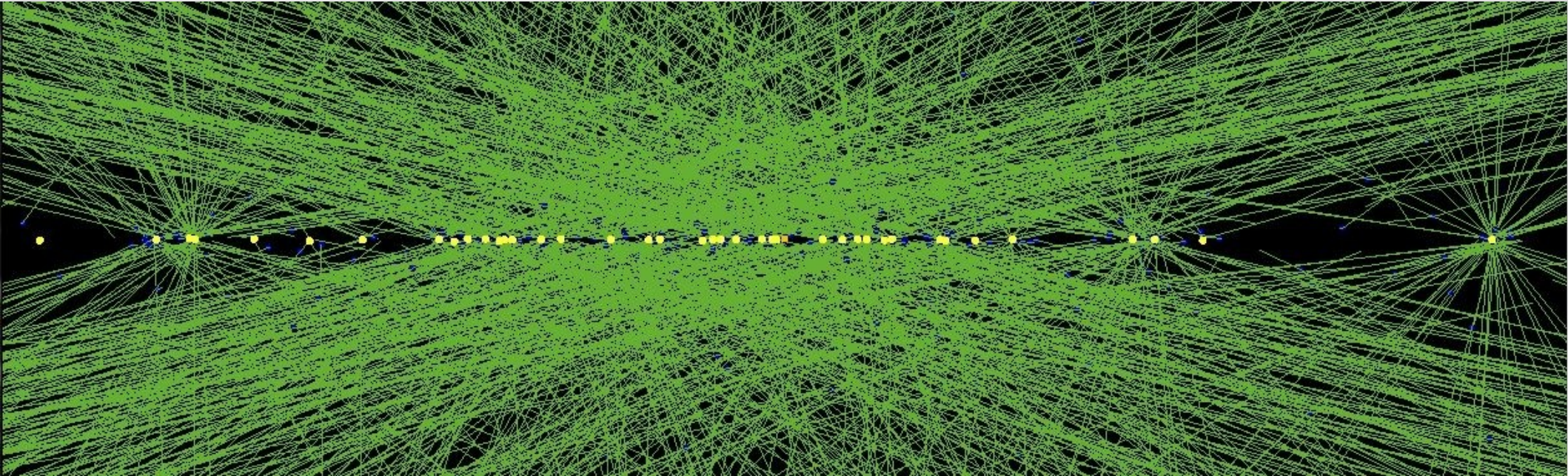


Latest projections (for reference)

Lucio Rossi@LMC184 9July2014

Parameter	Nominal LHC (design report)	HL-LHC 25ns (standard)	HL-LHC 25 ns (BCMS)	HL-LHC 50ns
Beam energy in collision [TeV]	7	7	7	7
N_b	1.15E+11	2.2E+11	2.2E11	3.5E+11
n_b	2808	2748¹	2604	1404
Number of collisions at IP1 and IP5	2808	2736	2592	1404
N_{tot}	3.2E+14	6.0E+14	5.7E+14	4.9E+14
beam current [A]	0.58	1.09	1.03	0.89
x-ing angle [μ rad]	285	590	590	590
beam separation [σ]	9.4	12.5	12.5	11.4
β^* [m]	0.55	0.15	0.15	0.15
ϵ_n [μ m]	3.75	2.50	2.50	3
ϵ_L [eVs]	2.50	2.50	2.50	2.50
r.m.s. energy spread	1.13E-04	1.13E-04	1.13E-04	1.13E-04
r.m.s. bunch length [m]	7.55E-02	7.55E-02	7.55E-02	7.55E-02
IBS horizontal [h]	80 -> 106	18.5	18.5	17.2
IBS longitudinal [h]	61 -> 60	20.4	20.4	16.1
Piwinski angle	0.65	3.14	3.14	2.87
Geometric loss factor R0 without crab-cavity	0.836	0.305	0.305	0.331
Geometric loss factor R1 with crab-cavity	(0.981)	0.829	0.829	0.838
beam-beam / IP without Crab Cavity	3.1E-03	3.3E-03	3.3E-03	4.7E-03
beam-beam / IP with Crab cavity	3.8E-03	1.1E-02	1.1E-02	1.4E-02
Peak Luminosity without crab-cavity [$\text{cm}^{-2} \text{s}^{-1}$]	1.00E+34	7.18E+34	6.80E+34	8.44E+34
Virtual Luminosity with crab-cavity: $L_{peak} * R1/R0$ [$\text{cm}^{-2} \text{s}^{-1}$]	(1.18E+34)	19.54E+34	18.52E+34	21.38E+34
Events / crossing without levelling w/o crab-cavity	27	198	198	454
Levelled Luminosity [$\text{cm}^{-2} \text{s}^{-1}$]	-	5.00E+34	5.00E+34	2.50E+34
Events / crossing (with levelling and crab-cavities for HL-LHC)	27	138	146	135
Peak line density of pile up event [evt/mm] (max over stable beam)	0.21	1.25	1.31	1.20
Levelling time [h] (assuming no emittance growth)	-	8.3	7.6	18.0

140 pile-up



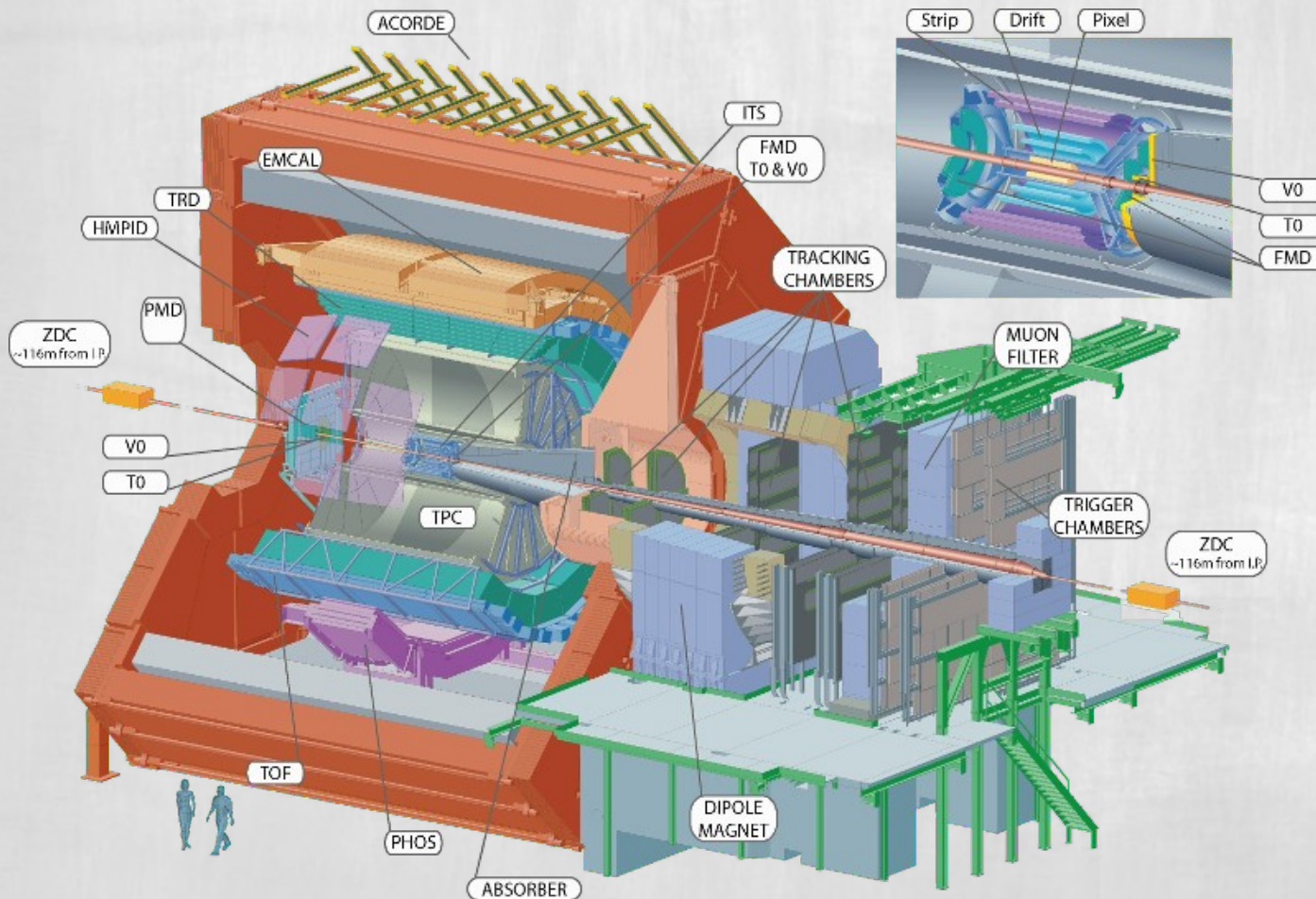
ALICE and LHCb upgrades

Since I will not talk about them, I briefly mention why:

ALICE and LHCb upgrades

Silicon inner detector

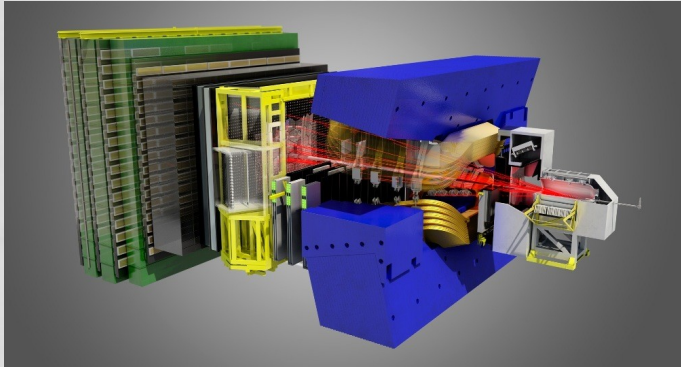
Large TPC outside (great instrument for material budget, if rate ok!)



upgrade:

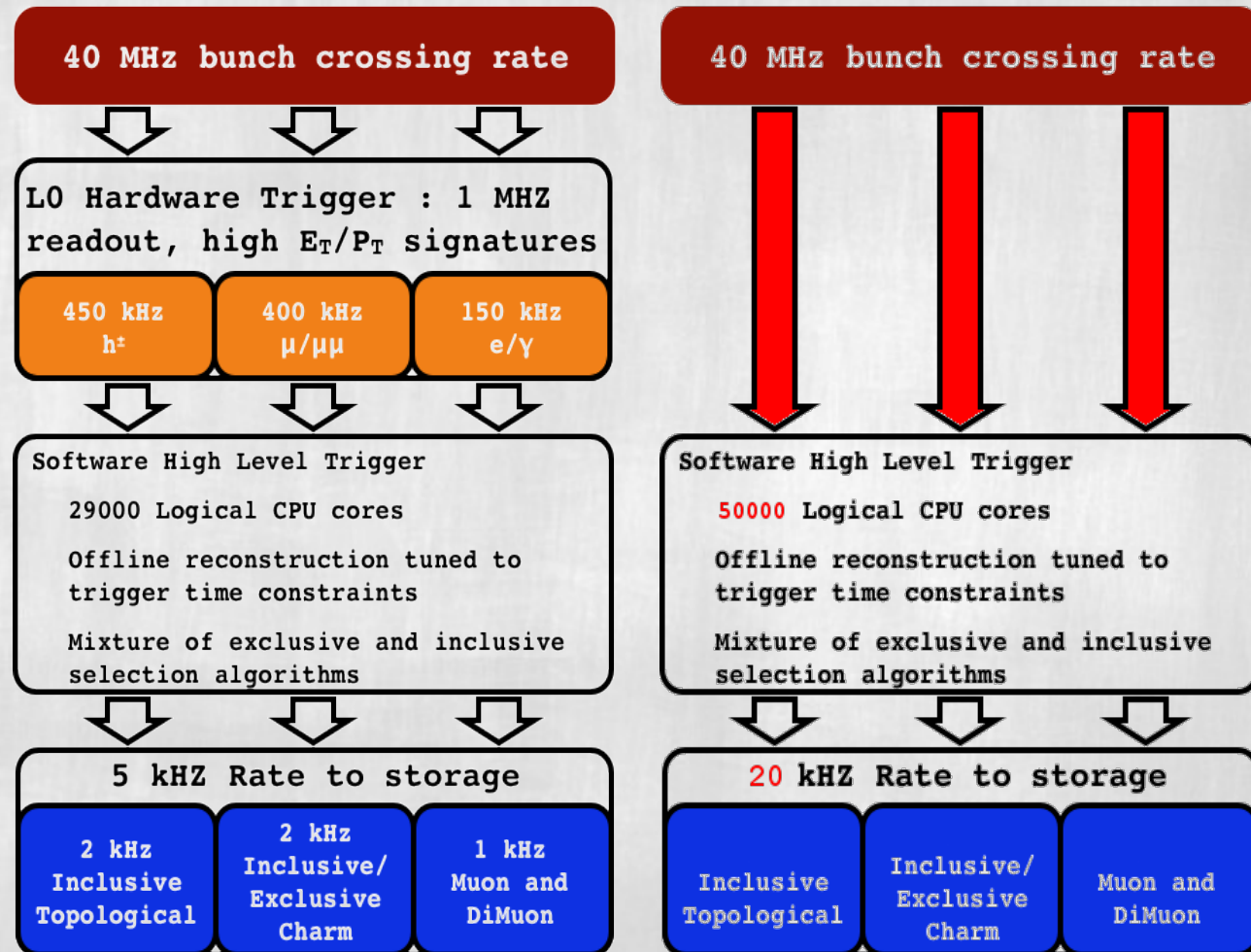
- Unbiased trigger (due to high background)
 - 50kHz in Pb-Pb, up to 1MHz in pp
- Less extreme environment w.r.t. ATLAS/CMS
 - Radiation level:
 - 700 kRad
 - 10^{13} 1MeV-neq cm^{-2} for the full integrated luminosity

ALICE and LHCb upgrades

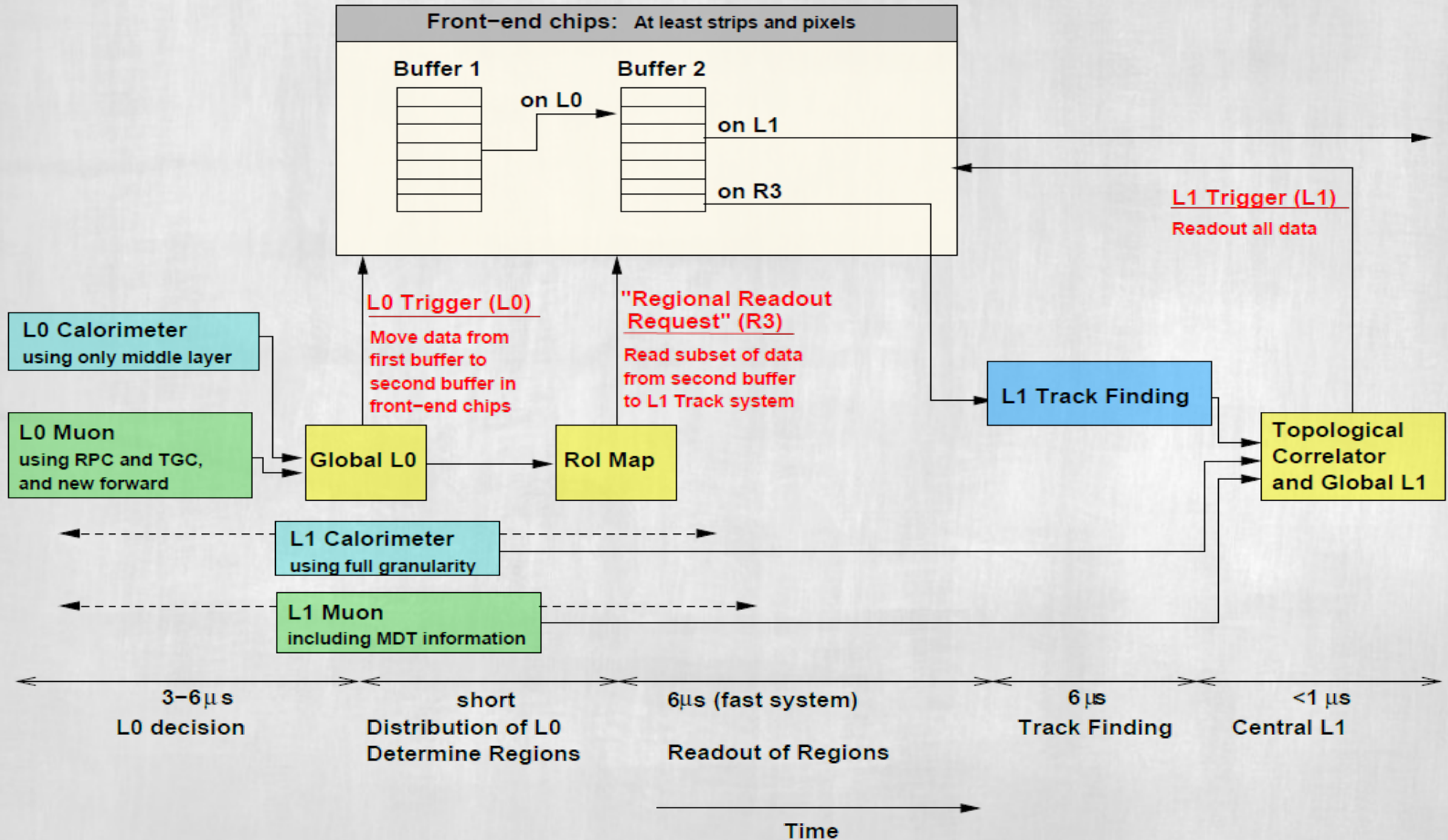


- Unbiased trigger
 - Great flexibility in sw-only trigger
- Large-bandwidth connectivity possible:
 - Open geometry (similar to fixed-target experiment)

upgrade



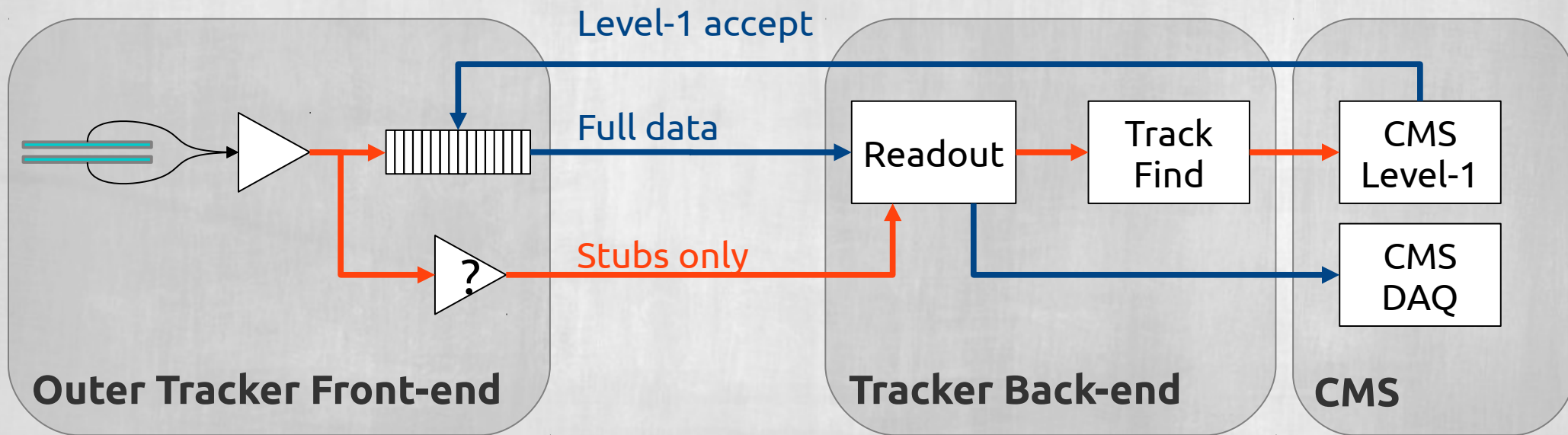
Upgrades DAQ schemes: Atlas



Upgrades DAQ schemes: CMS

Level-1 “stubs” are processed in the back-end

Form Level-1 tracks, p_T above ~ 2 GeV, contributing to CMS Level-1 trigger



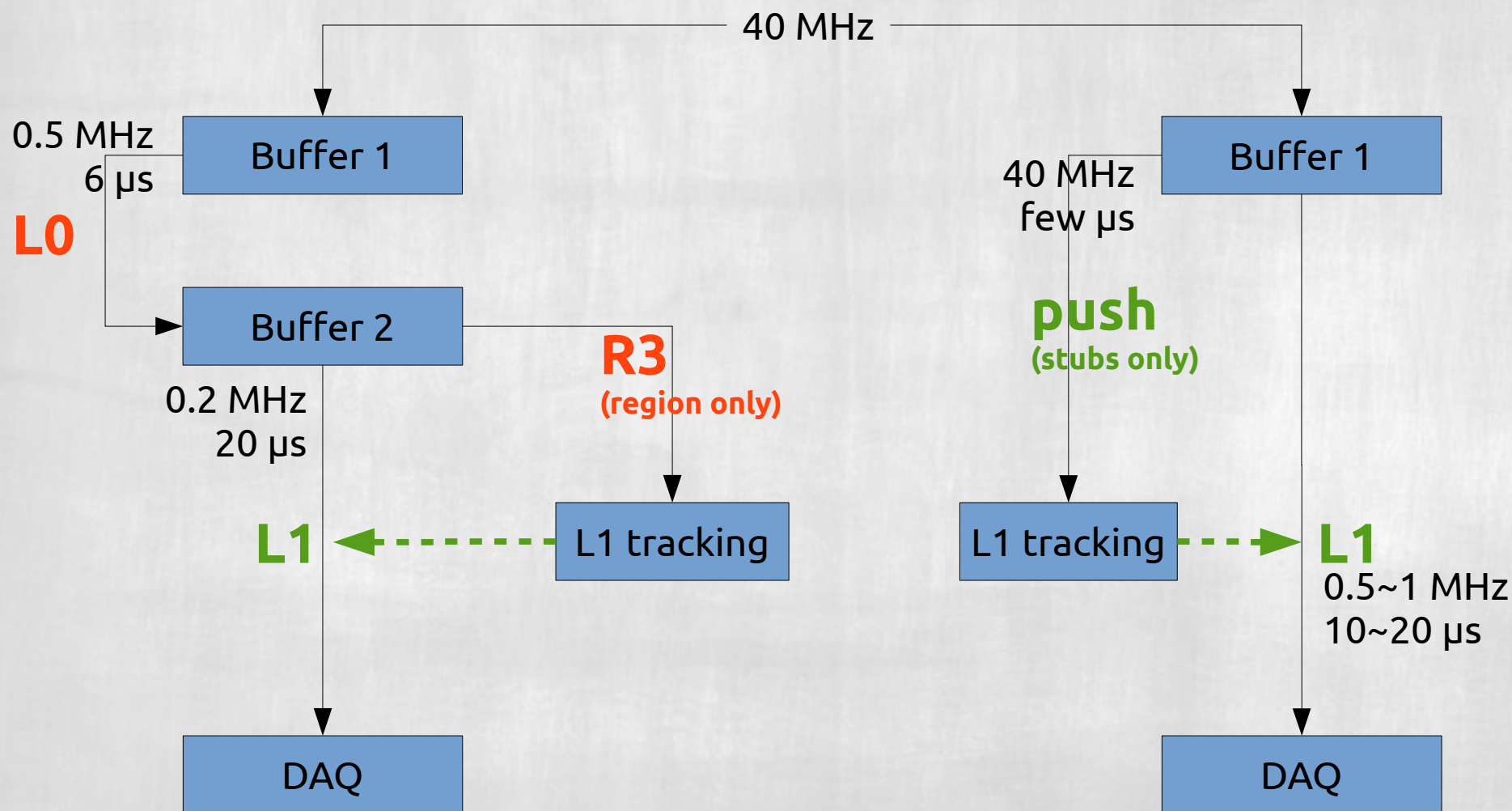
@ 40 MHz – Bunch crossing

@ 500 kHz (1 MHz) – CMS Level-1 trigger

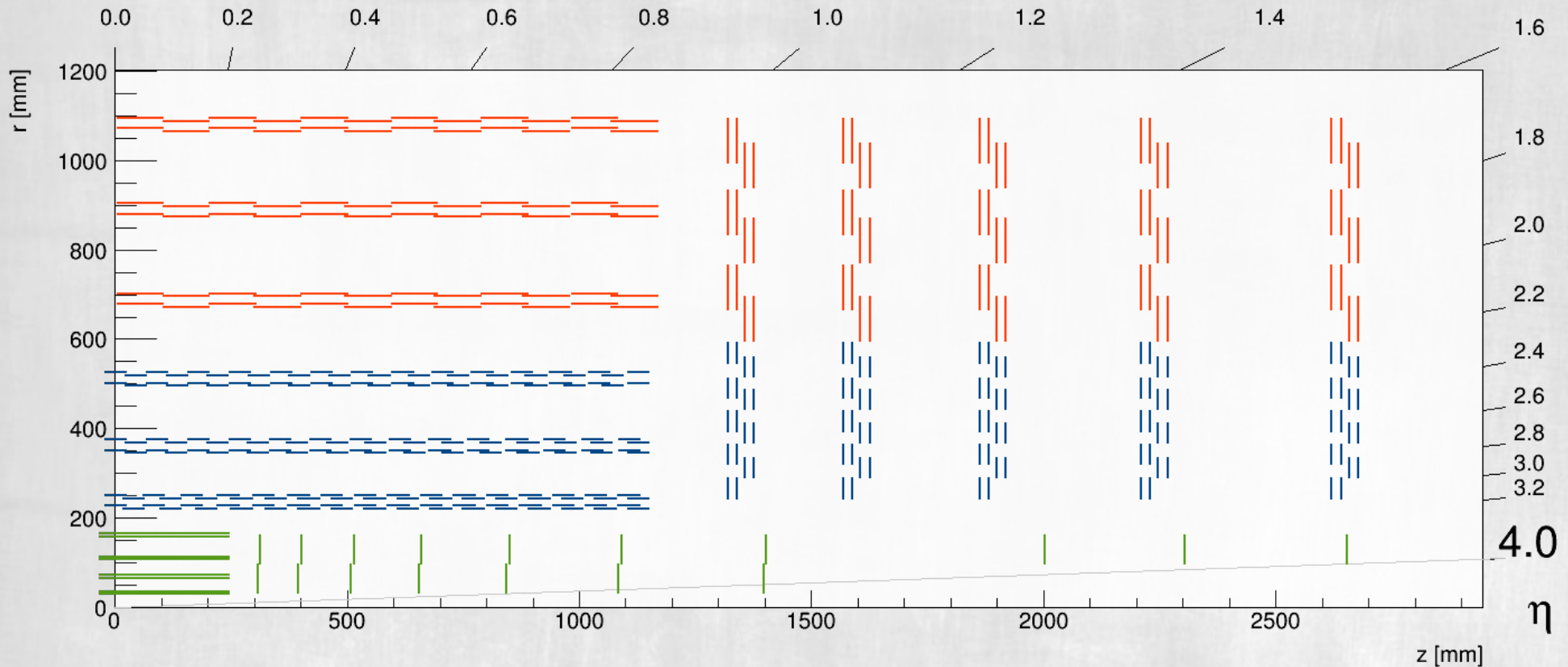
Level-which?

ATLAS

CMS



Baseline CMS layout



Lower density
2S modules outside
(~8400 modules)

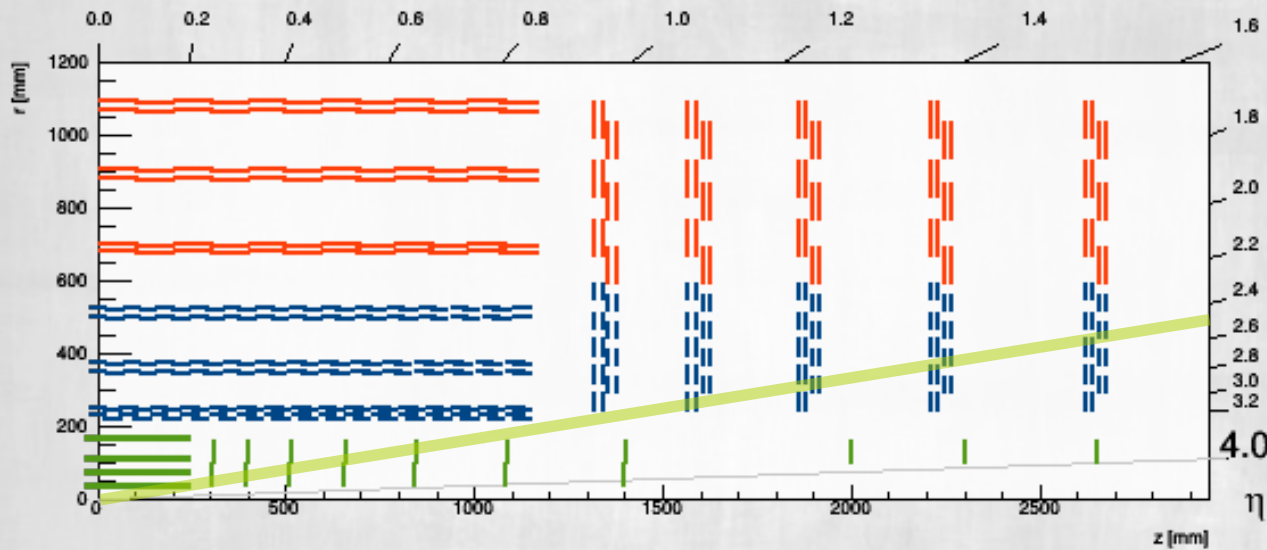
PS modules middle
z info in trigger
 θ info in trigger
(~7100 modules)

Pixel modules inside
accurate impact parameter
resolution & forward
coverage

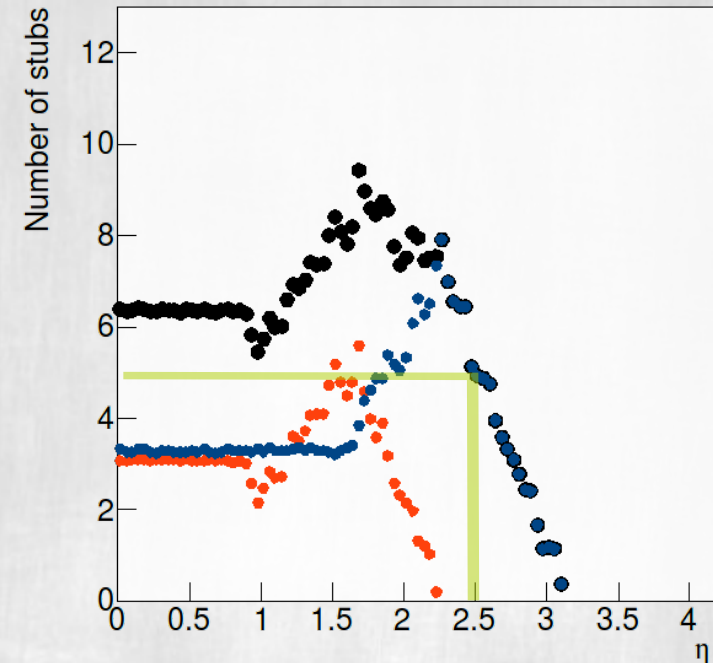
More detailed model

No detailed model

Baseline CMS layout

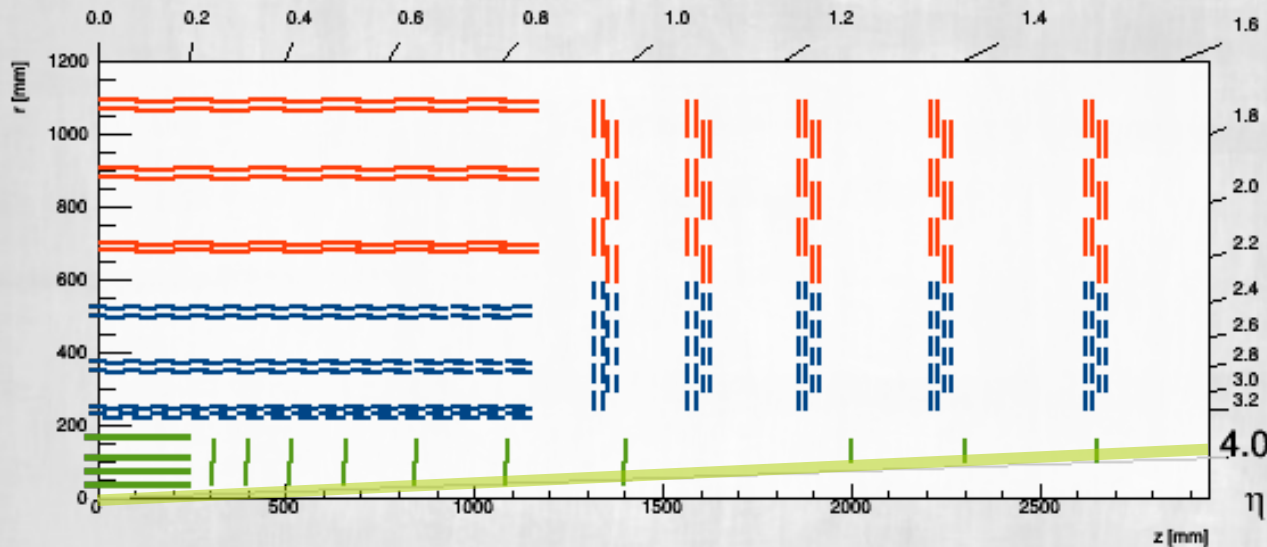


5 trigger stubs
(10 points) $\rightarrow \eta=2.5$

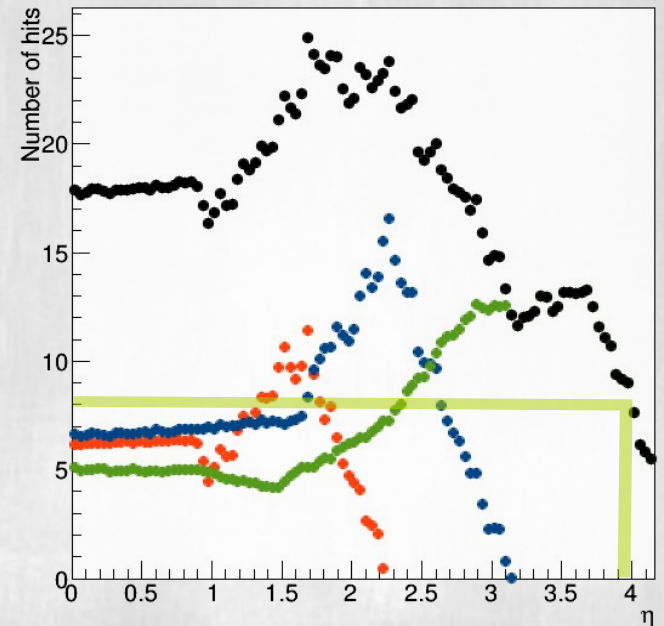


- **x4 granularity** in all strips
- +3 layers of **MacroPixel sensors**
 - Unambiguous **3D coordinates** helps track finding in high pile-up
- Up to **10 points** available for track-trigger up to $\eta=2.5$
 - Comparable to current tracker's coverage, **but at L1**

Baseline CMS layout

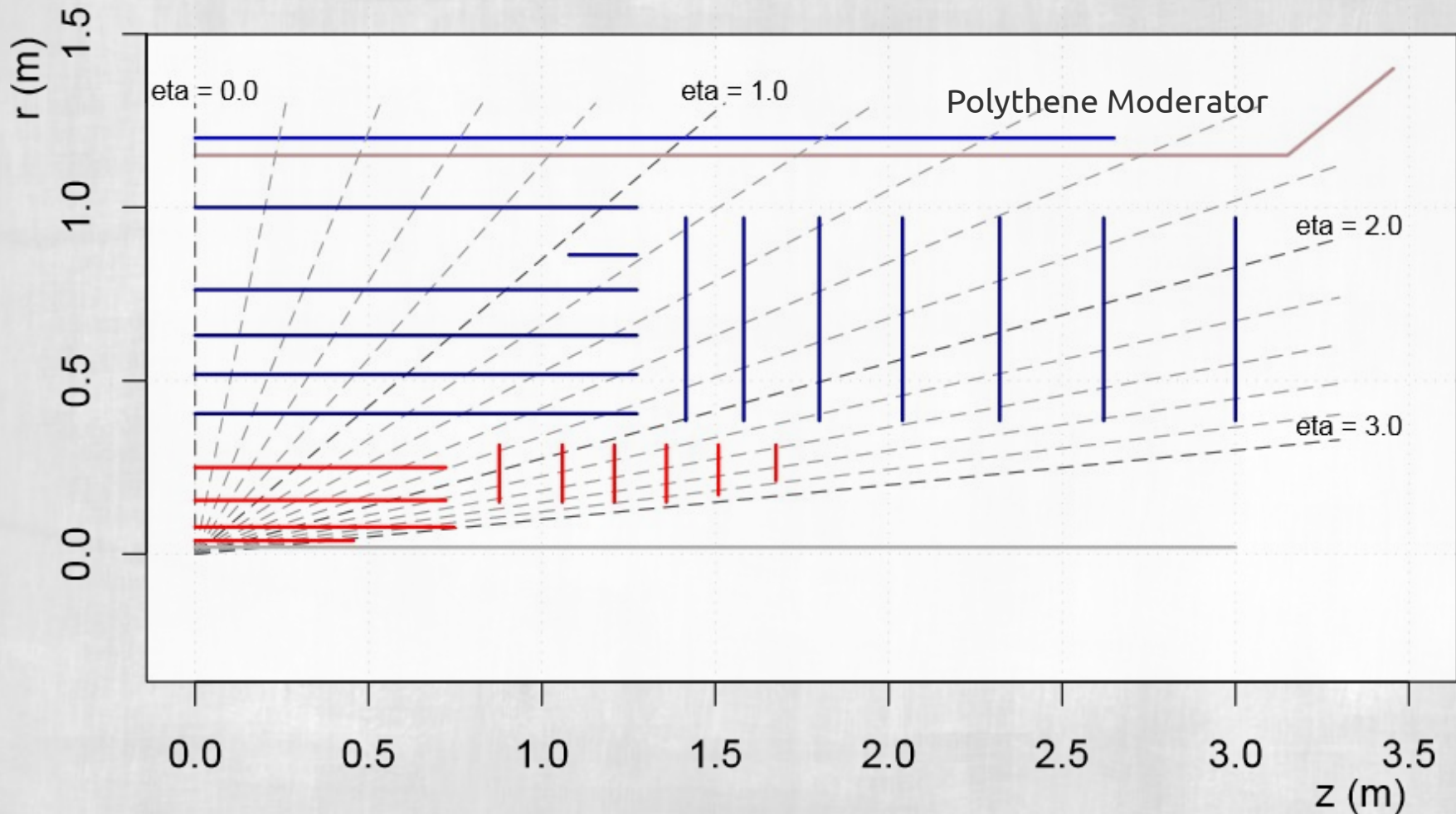


Hit coverage $\rightarrow \eta \approx 4$



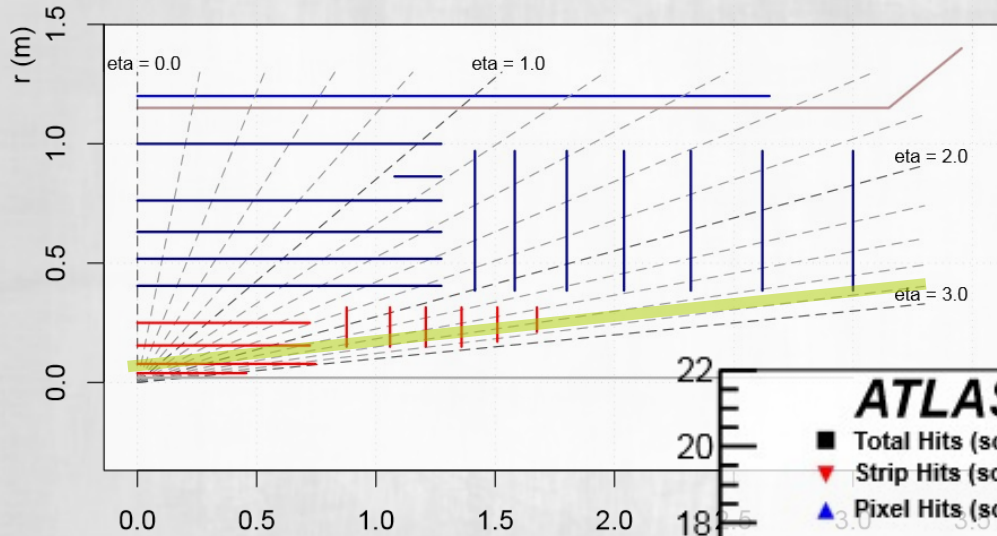
- **×4 granularity** in all strips
- +3 layers of **MacroPixel sensors**
 - Unambiguous **3D coordinates** helps track finding in high pile-up
- Up to **10 points** available for track-trigger up to $\eta=2.5$
 - Comparable to current tracker's coverage, **but at L1**
- Hit coverage up to **$\eta \approx 4$** in full readout (after L1 Accept)

Baseline ATLAS layout

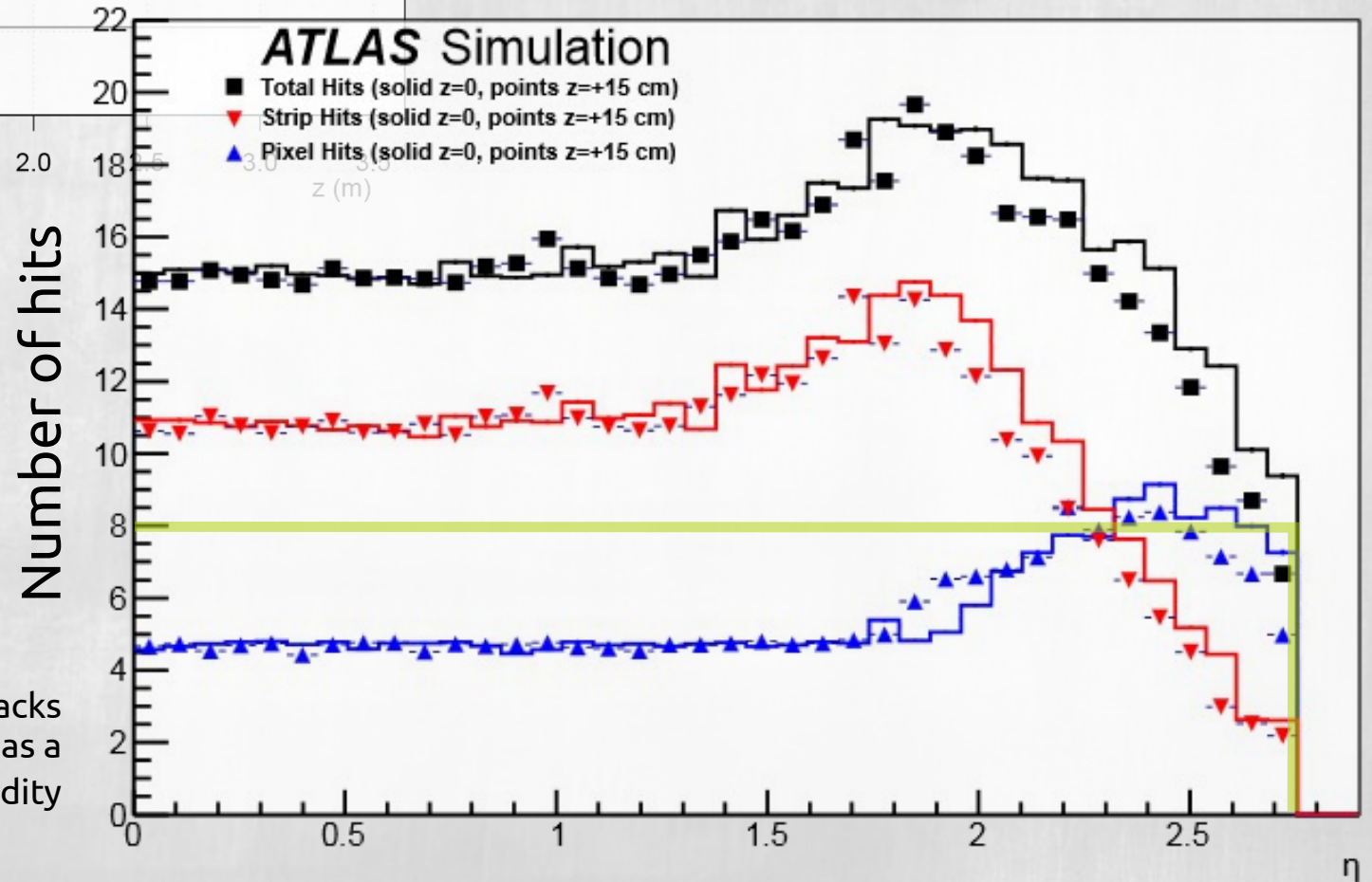


- 5 barrel + 7 end-cap 3 layers of **strip sensors**
 - All with stereo 3D coordinates
- 4 barrel + 6 end-cap layers of **pixels sensors**

Baseline ATLAS layout



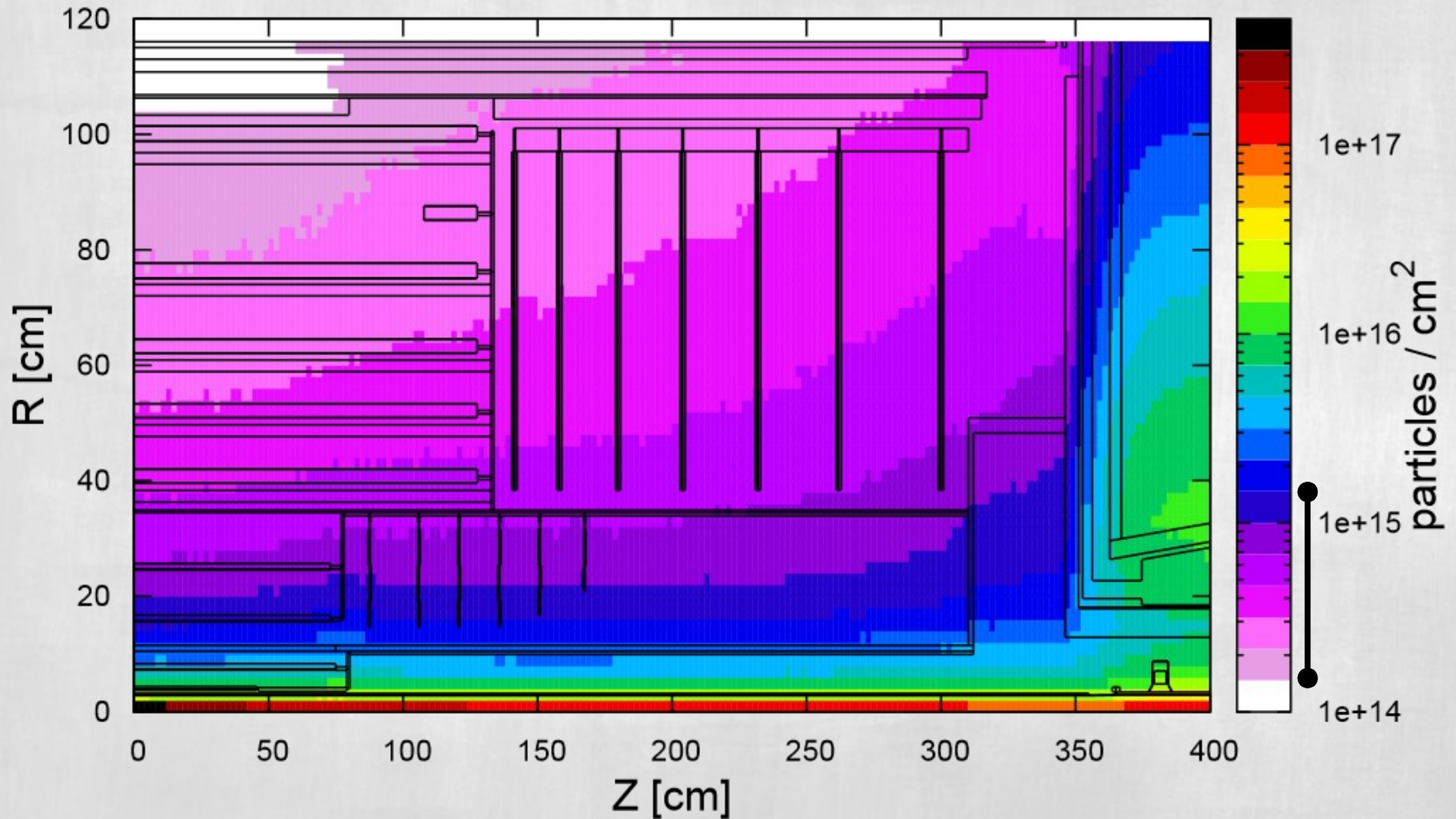
Coverage in $\eta \rightarrow 2.8$ in regional readout L1 tracking **and** L2 full readout



Number of hits on μ tracks with $p_T > 5$ GeV/c as a function of pseudo-rapidity

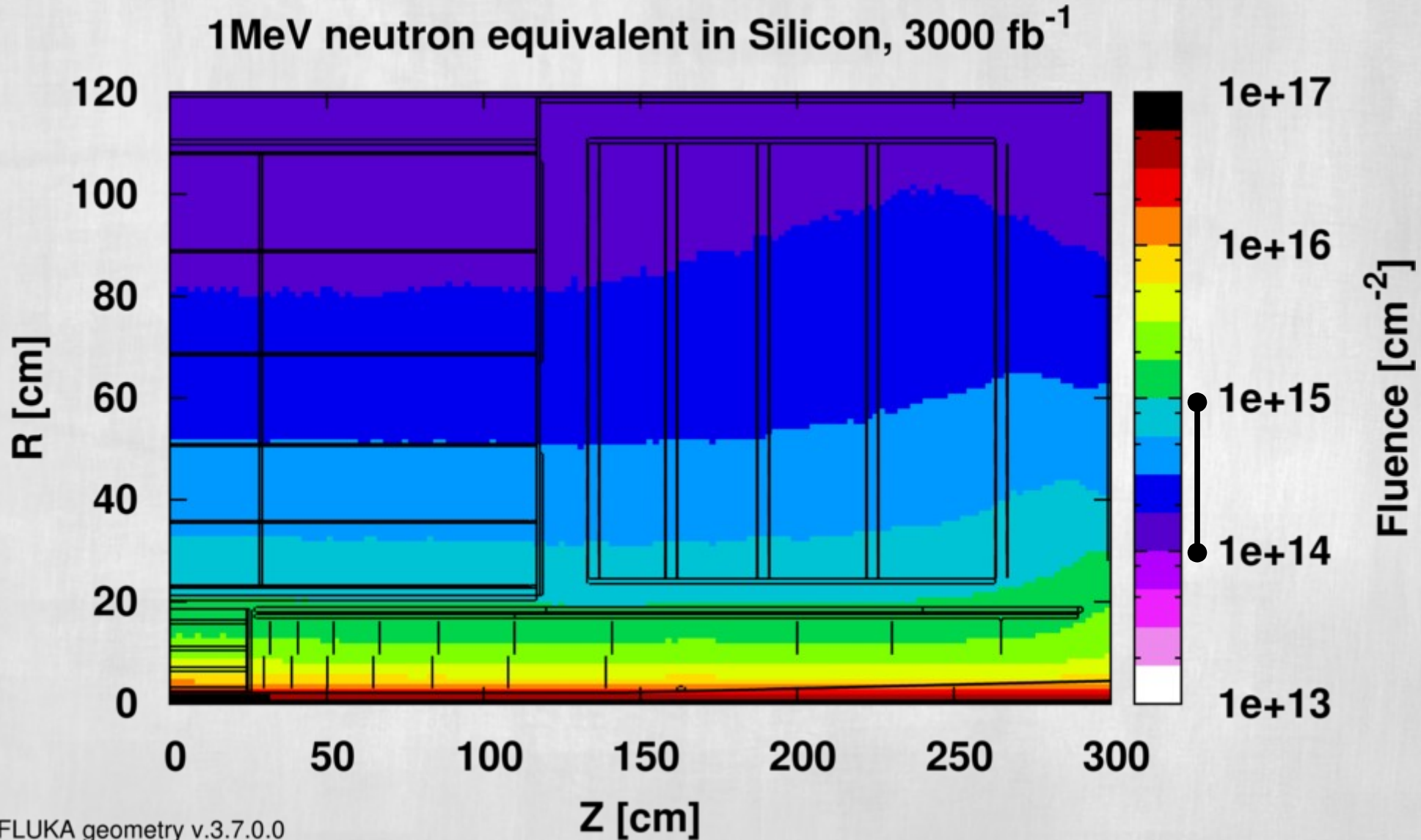
ATLAS integrated dose

1MeV neutron equivalent in Silicon, 3000 fb⁻¹



Simulation with FLUKA

CMS integrated dose



CMS FLUKA geometry v.3.7.0.0
Simulation with FLUKA

SiStrip upgrades overview

Current

Upgrade

CMS

206 m² Silicon
9.3 M Strips
0 MacroPixels
~ 100 kHz readout rate

216 m² Silicon
47.8 M Strips
217 M MacroPixels
40 MHz L1 readout rate*
0.5→1 MHz readout rate

Atlas

61 m² Silicon
6.3 M Strips
0 MacroPixels
~ 100 kHz readout rate

193 m² Silicon
74 M Strips
0 MacroPixels
0.5 MHz L1 readout rate**
0.2 MHz readout rate

* only high-pt hits read-out

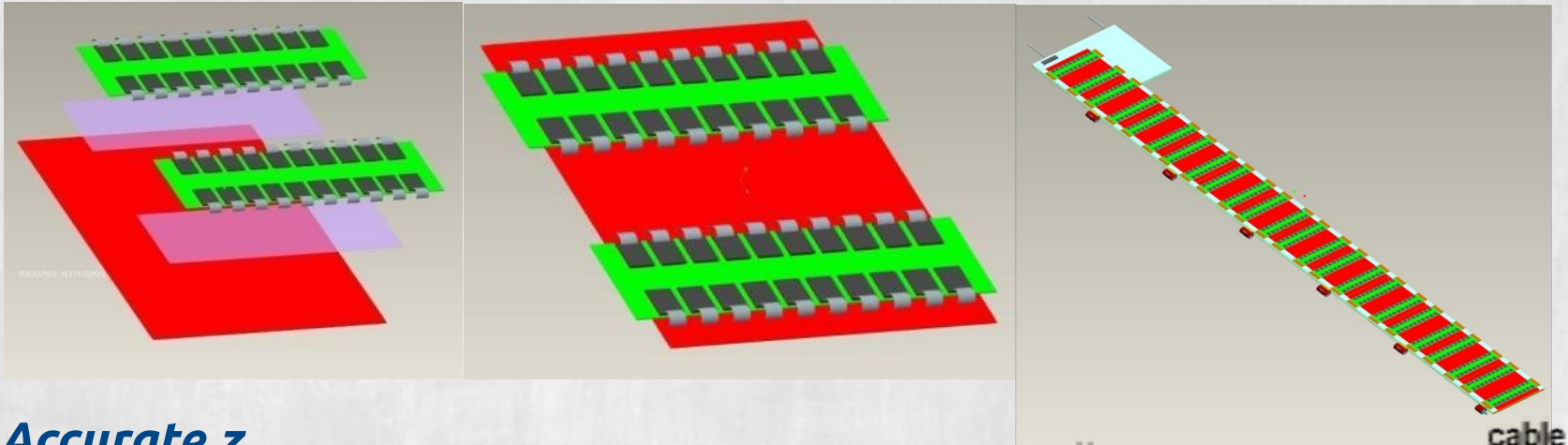
** L0 pre-fetch

Strips Front-end ATLAS & CMS

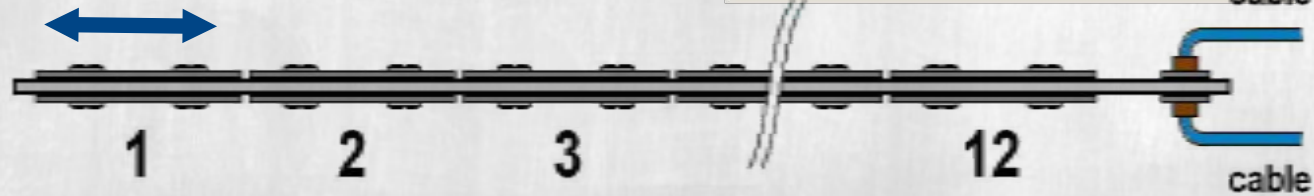
Parameter	ATLAS (ABC130)	CMS (CBC)
NIEL assumed [1MeV-neq cm ⁻²]	2×10 ¹⁵	1.5×10 ¹⁵
# Strips (rφ)	1280	1016
Signal coupling	AC	AC
Strip pitch [μm]	74.5	90
Stereo angle	Yes	No
Strip length [mm]	23.88, 47.75	23.13, 50.25
Signal connection	Wire bond	Wire bond + C4 bump bond
Chip feature size [nm]	130	130
ADC resolution	1 bit	1 bit
Zero suppression for L1 data	Yes	No (outsourced)

Apparently very similar, but...

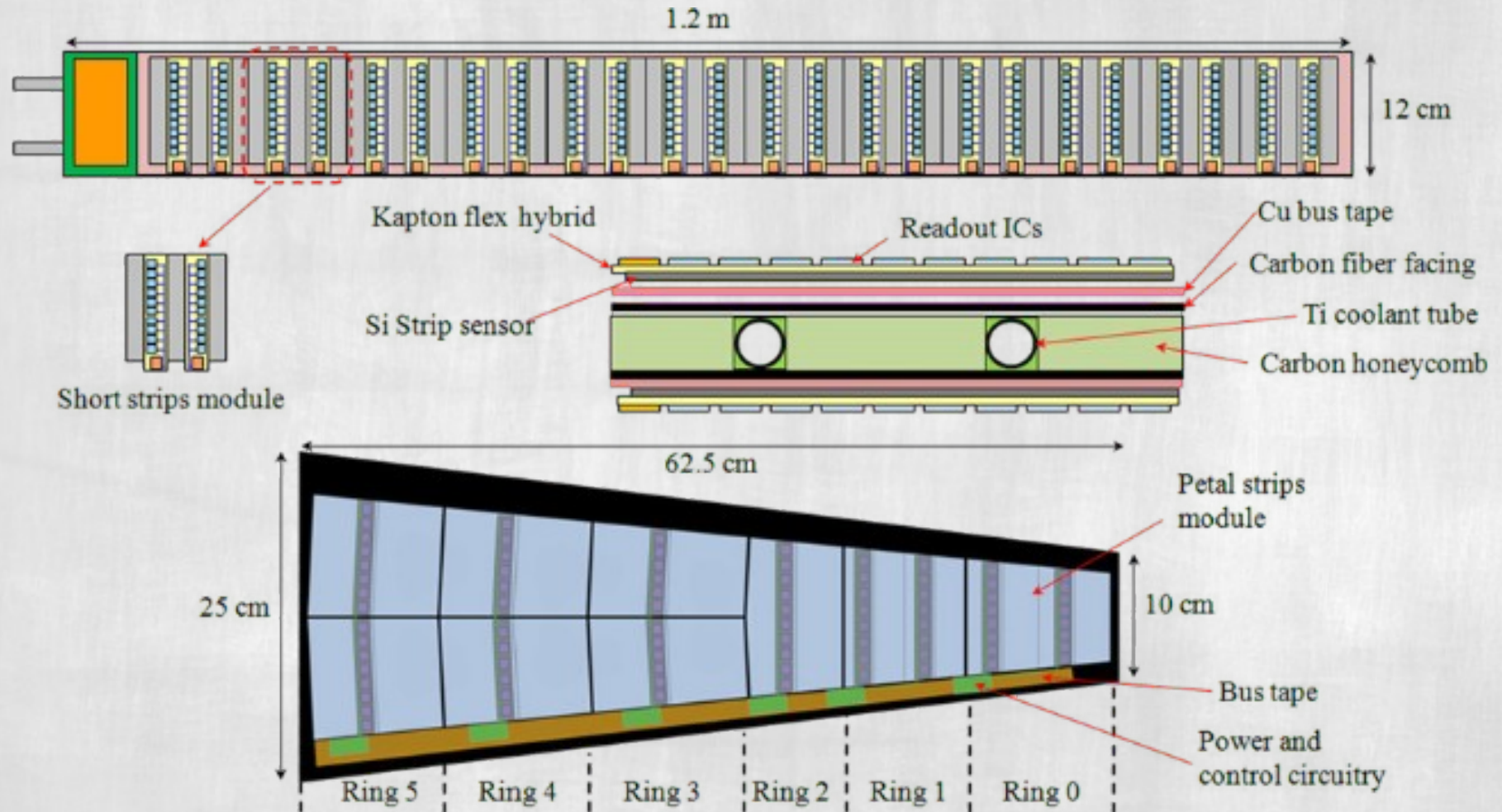
Strips Front-end ATLAS & CMS



*Accurate z
information
through stereo
angle*

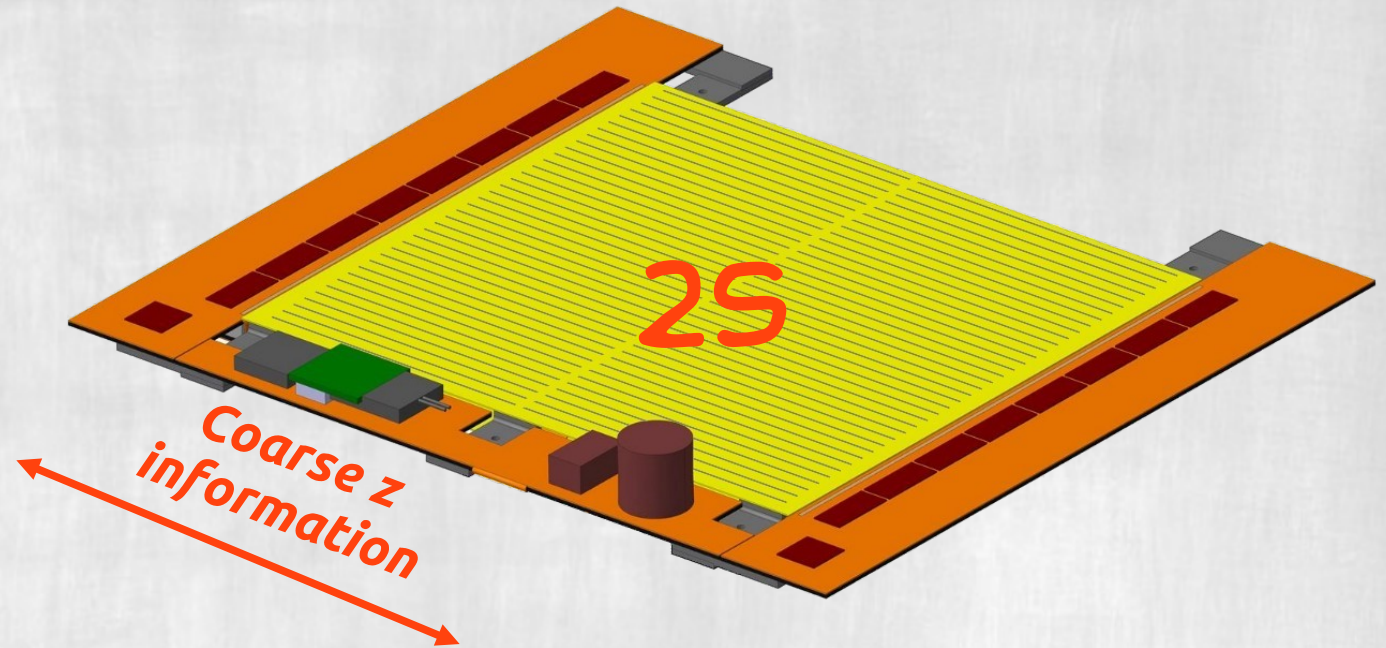


Strips Front-end ATLAS & CMS

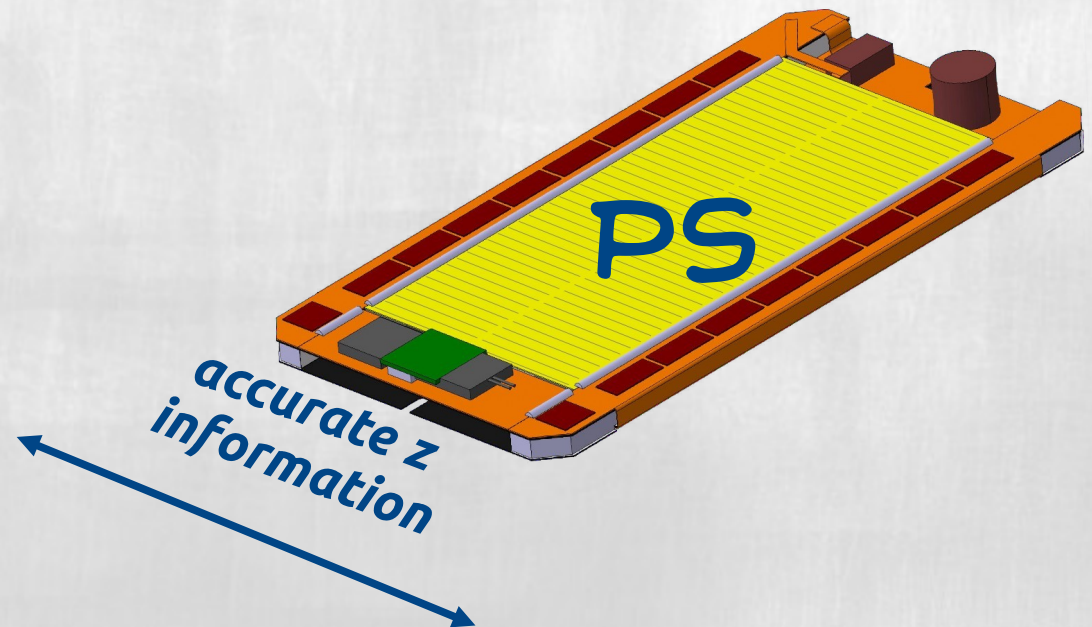


Strips Front-end ATLAS & CMS

2 Strip sensors
Strips: 5 cm × 90 μm
Strips: 5 cm × 90 μm
P = 2.7 W
~ 92 cm² active area
For r > 40 cm



Pixel + Strip sensors
Strips: 2.5 cm × 100 μm
MacroPixels: 1.5 mm × 100 μm
P = 5.0 W
~ 44 cm² active area
For r > 20 cm



CMS Outer tracker front-ends

Need to ship hits off detector

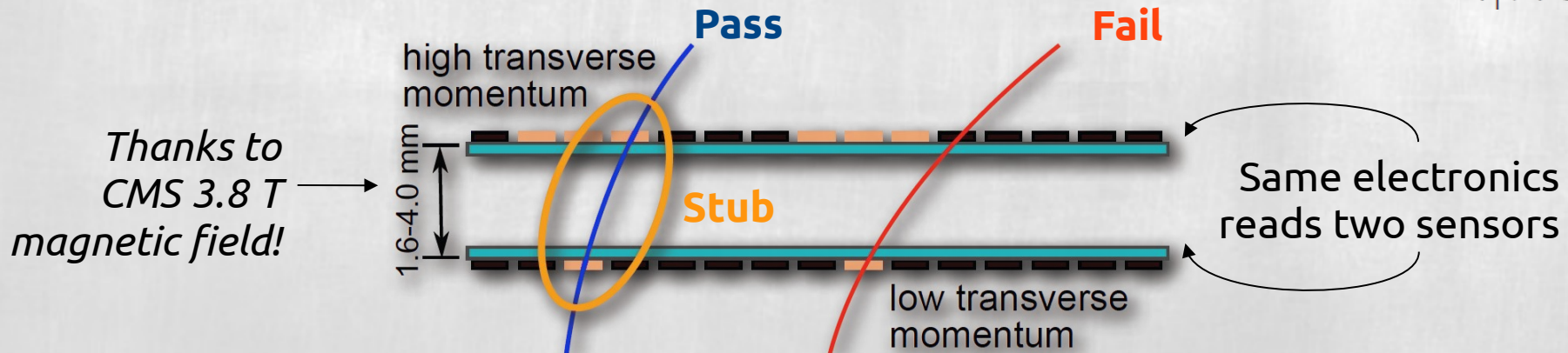
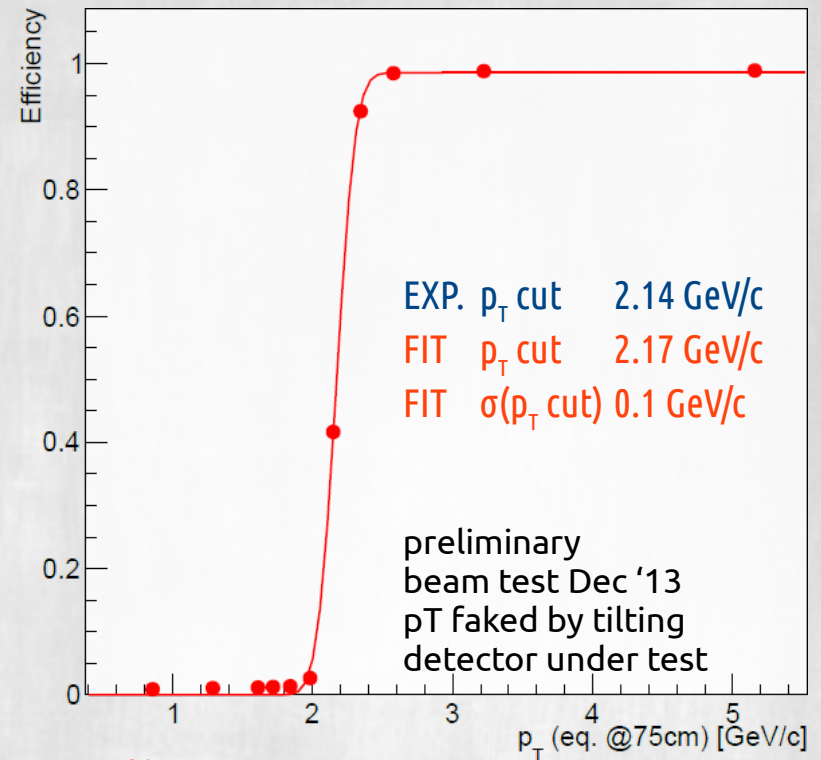
Ship all hits @ 40 MHz? No

- Bandwidth needed: off by 1 order of magnitude (order of 10 Gbps per module)
- Track reconstruction ~ impossible

Solution: ship only high- p_T hits (stubs)

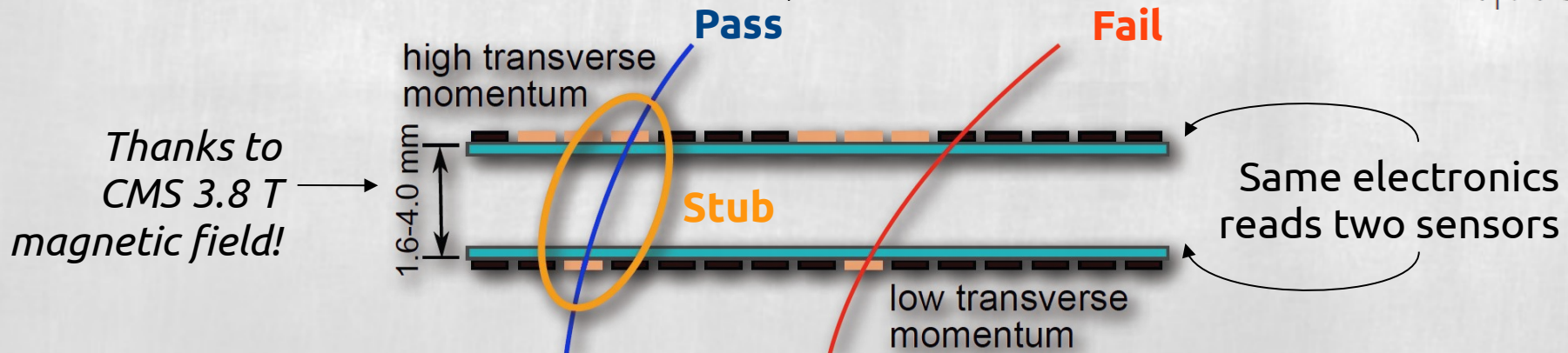
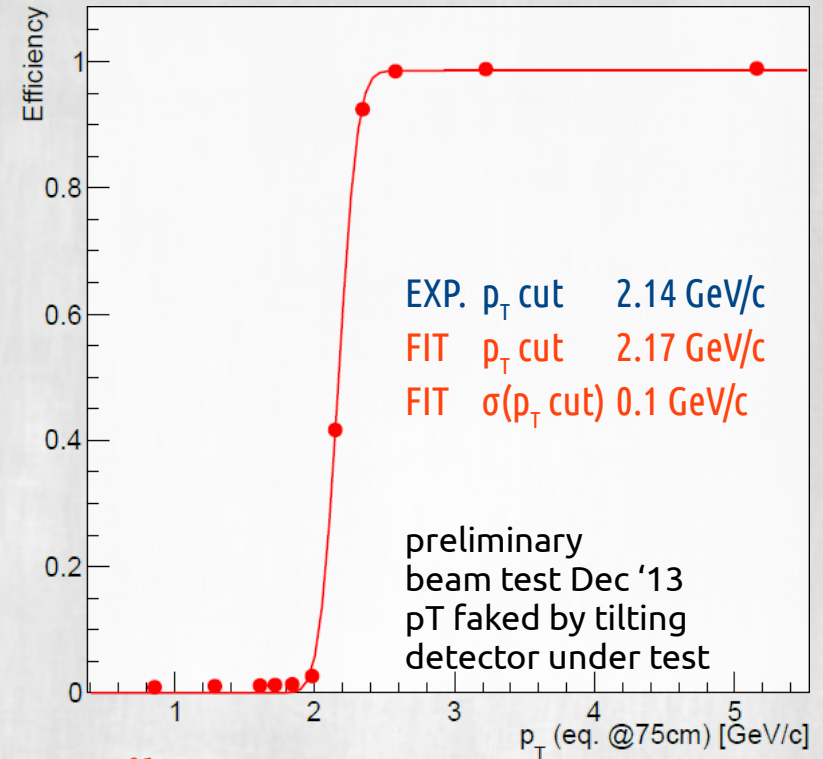
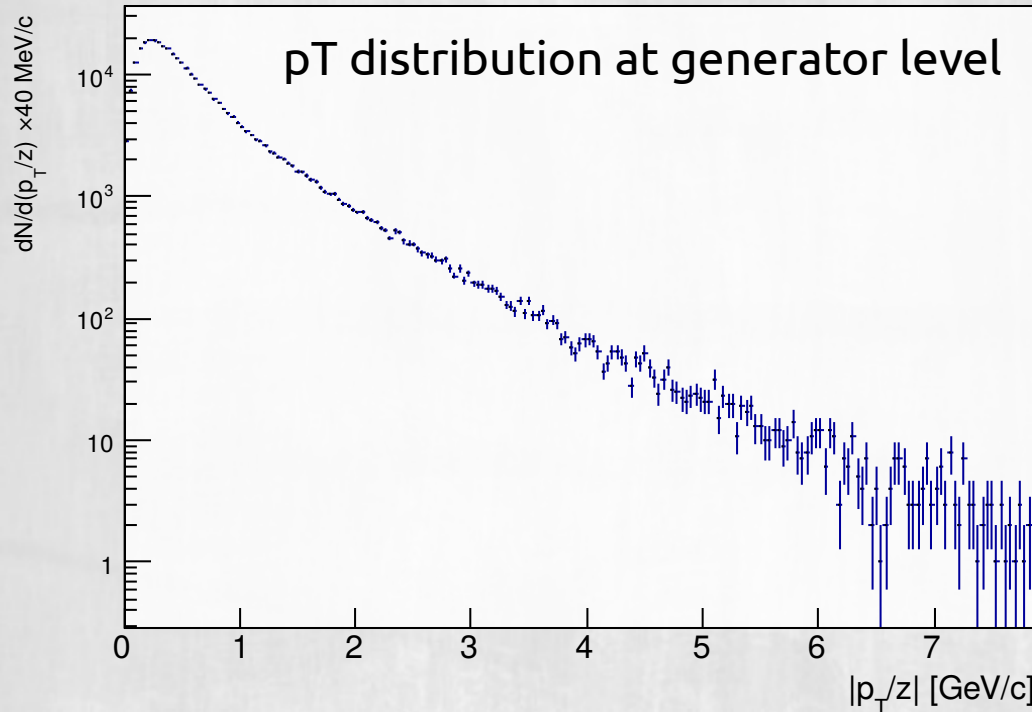
- Threshold of ~ 2 GeV
- Data reduction of one order of magnitude or more

Modules with p_T discrimination (“ p_T modules”)

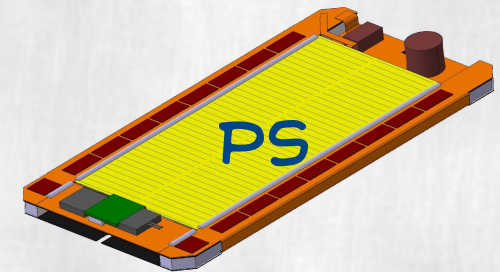
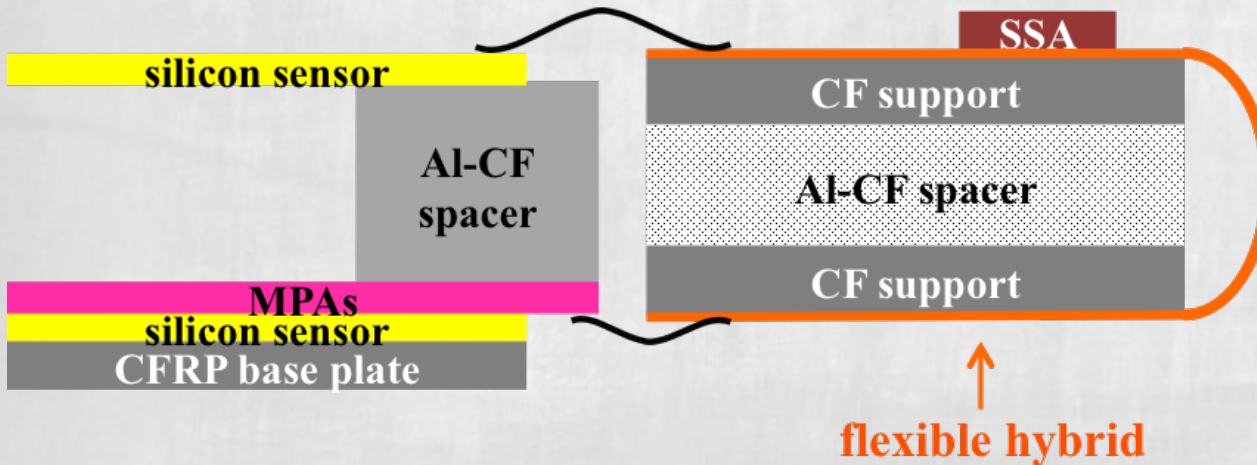
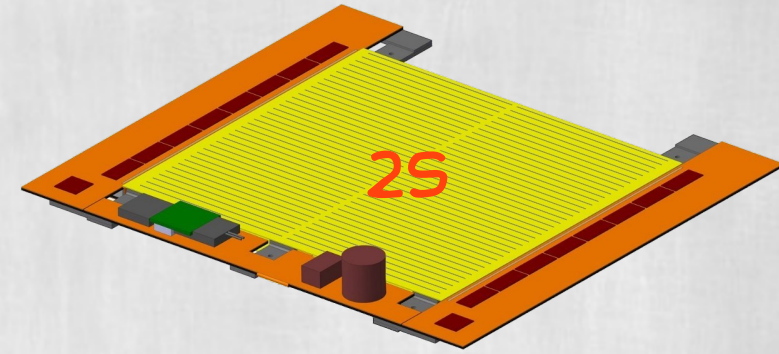
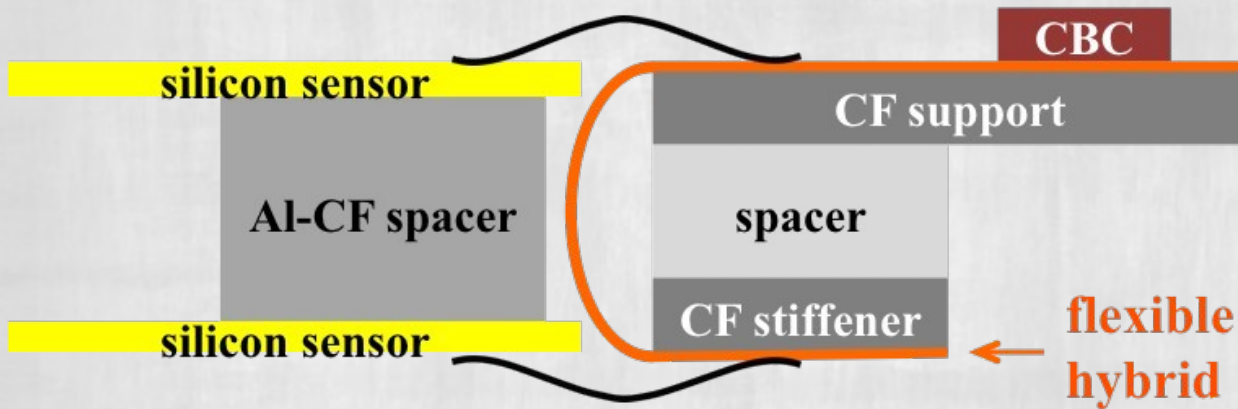


CMS Outer tracker front-ends

Rigidity distribution (12000 Minimum Bias events)



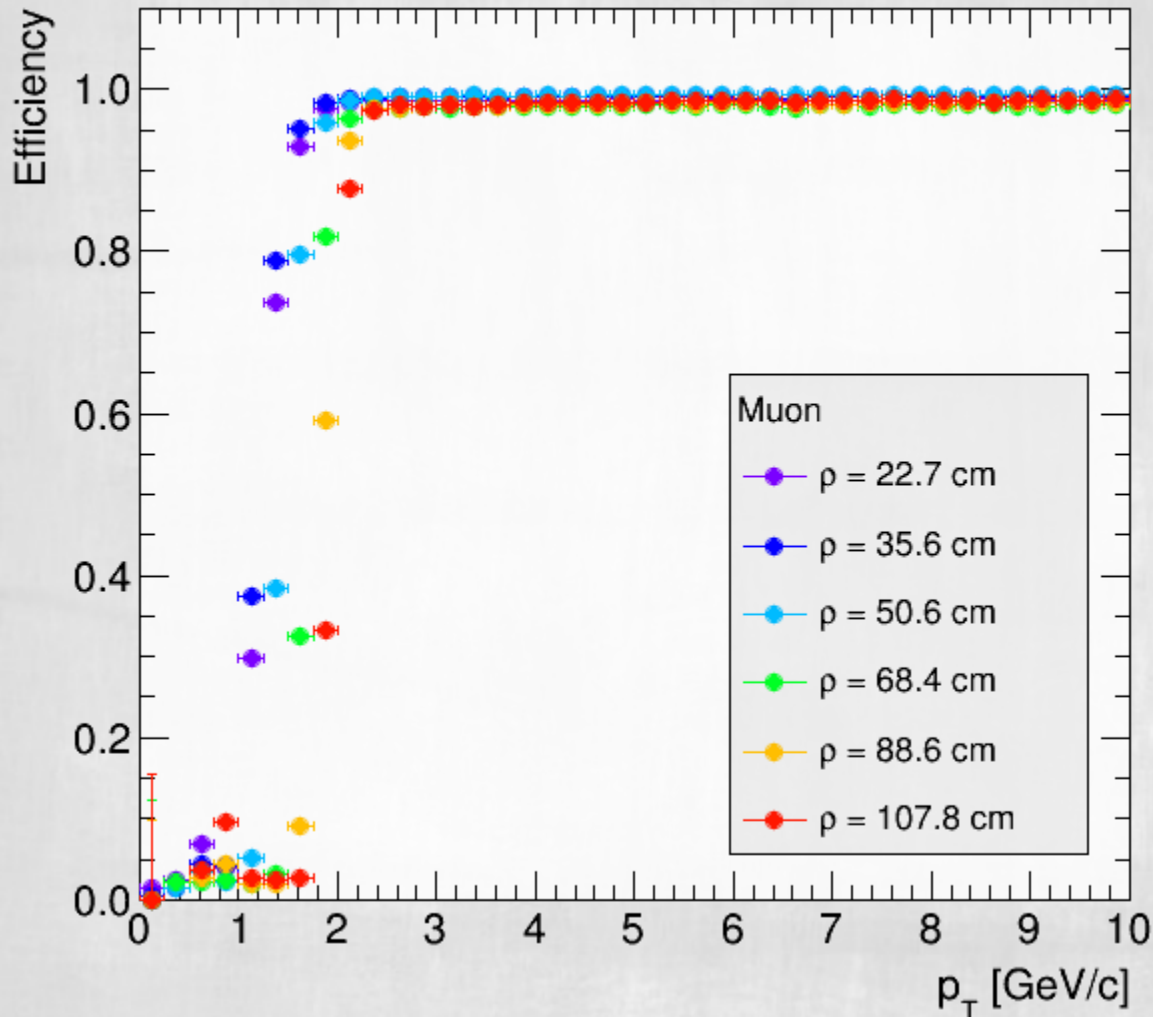
Front-end interconnection



Flex hybrid:

- Technology leap
- Key element for 2-sensor design

Uniform cut

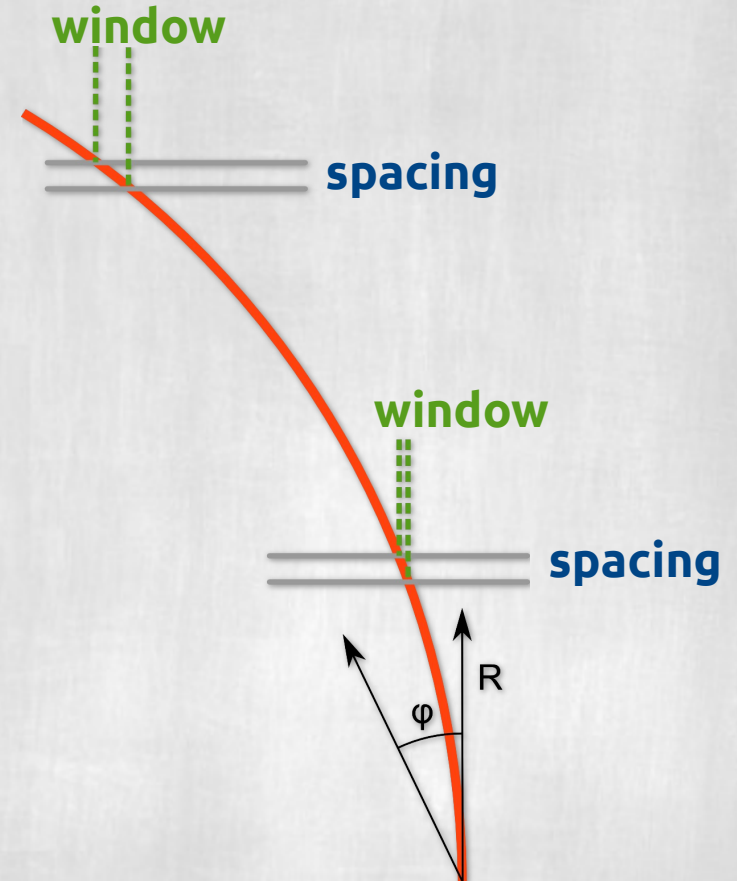


(@construction)

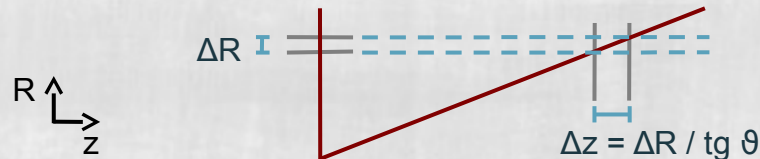
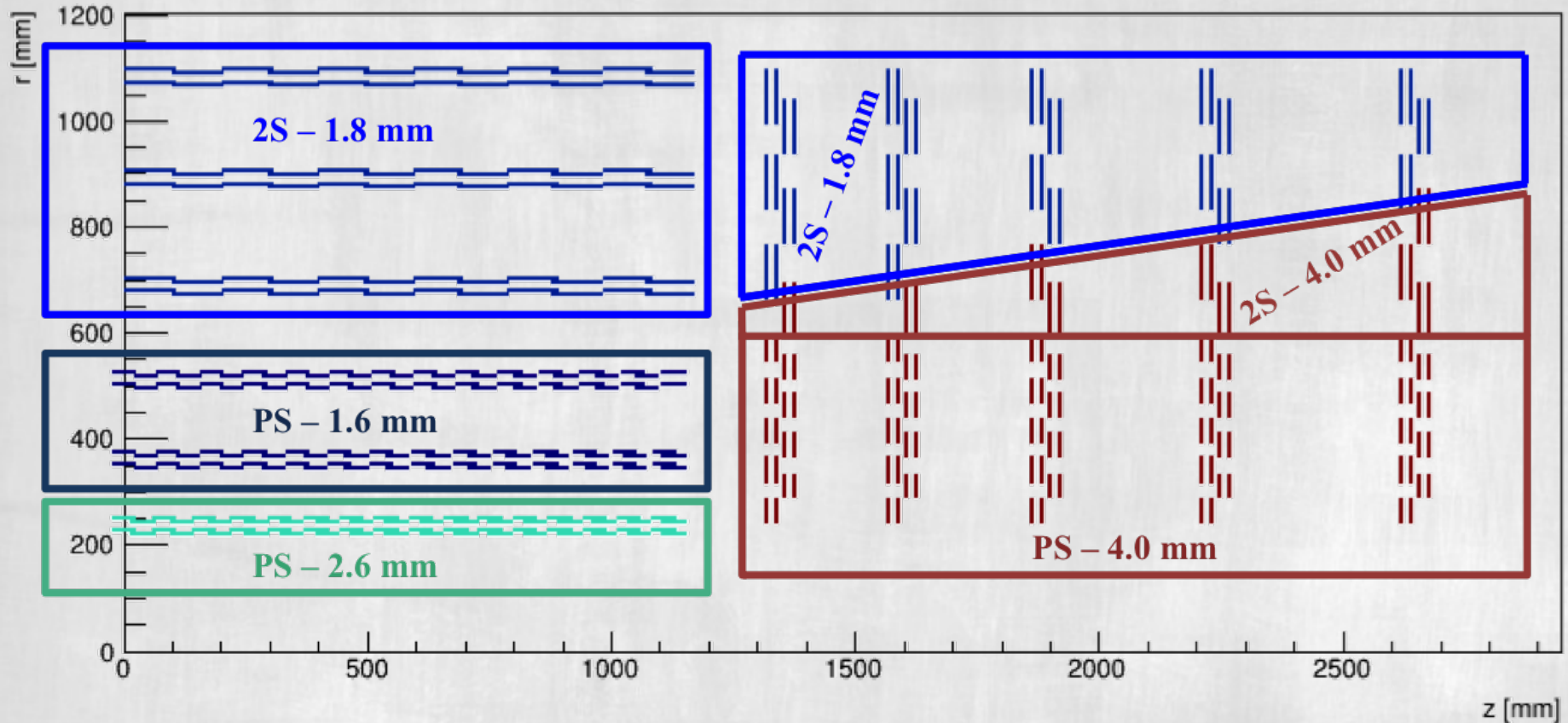
(@front-ends)

Need to tune **sensor spacings** and **hit matching windows** are required to maintain uniform p_T cut around 2 GeV/c

Programmable windows in front-ends!



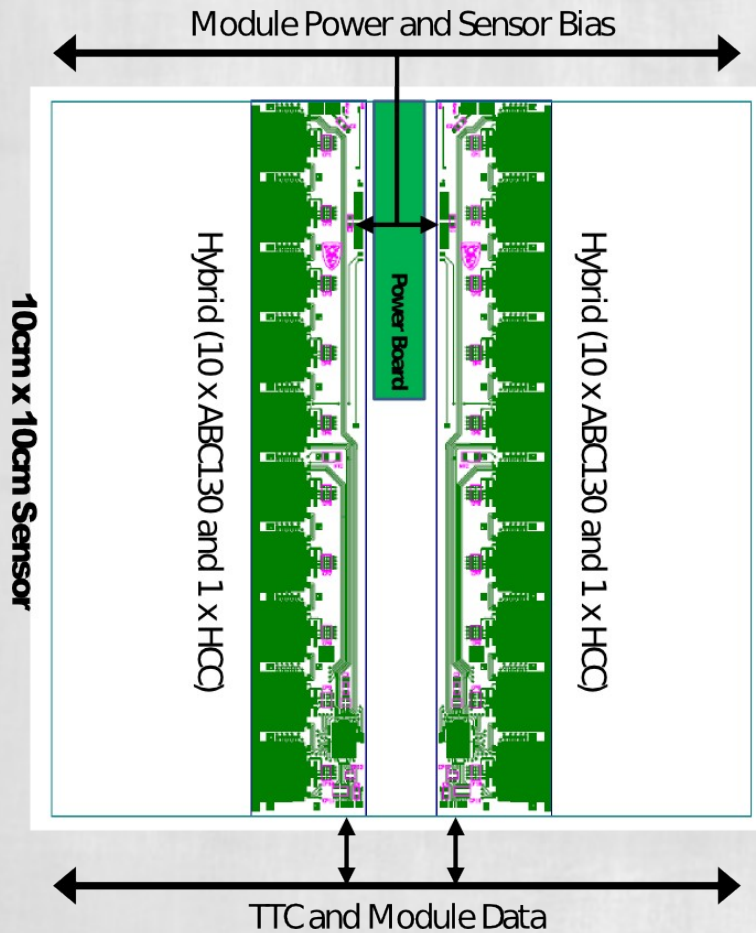
Tuning pT cuts



In the barrel, ΔR is given directly by the sensors spacing
 In the end-cap, it depends on the location of the detector
 End-cap configuration typically requires wider spacing (up to ~ 4 mm)

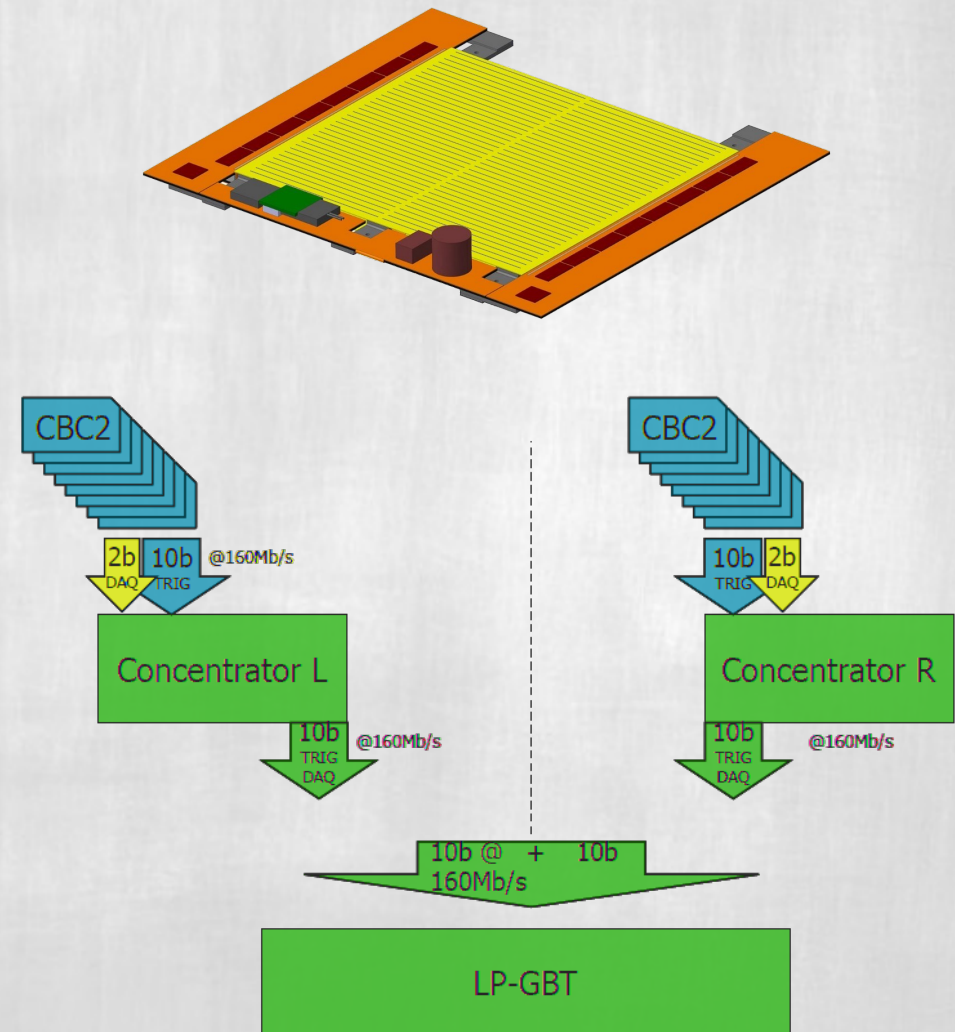
Hybrid traffic: collecting data streams

ATLAS



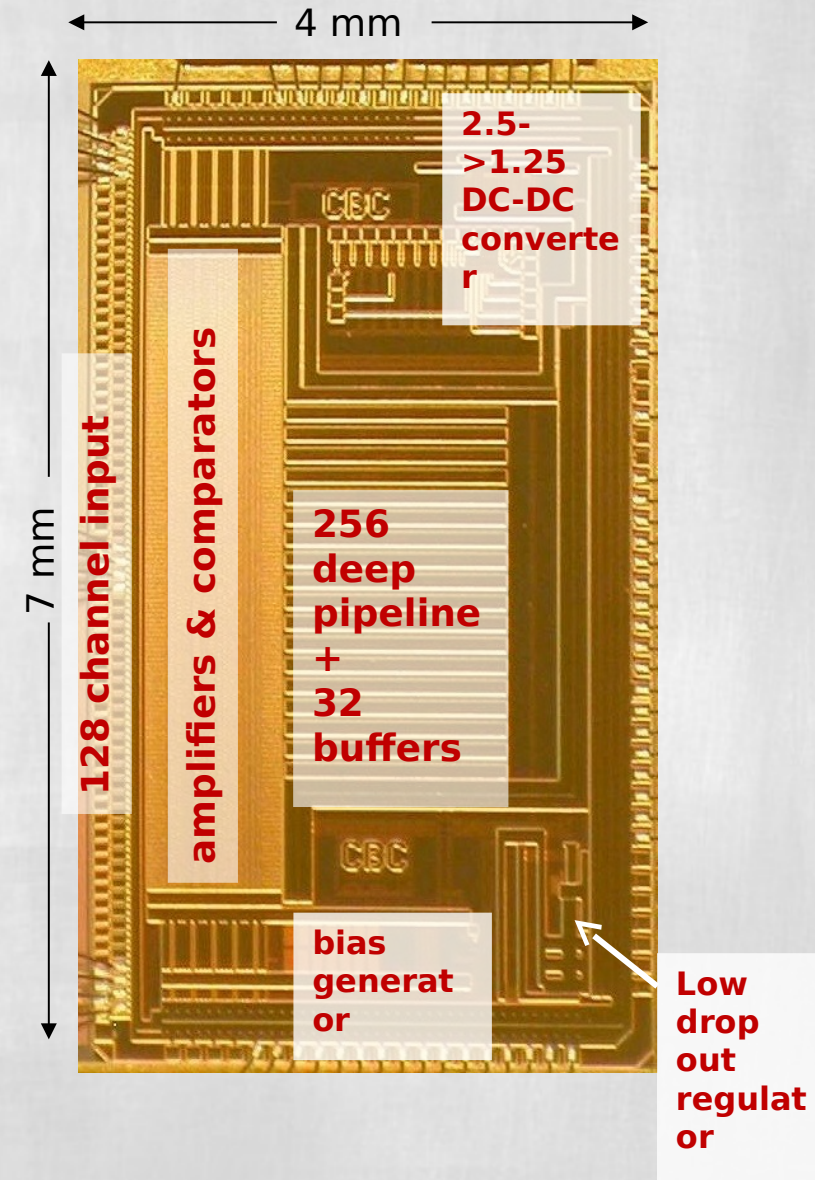
- ABCD130 daisy chain readout
- Data concentrator: HCC (hybrid chip controller)
- Signal arbitration

CMS



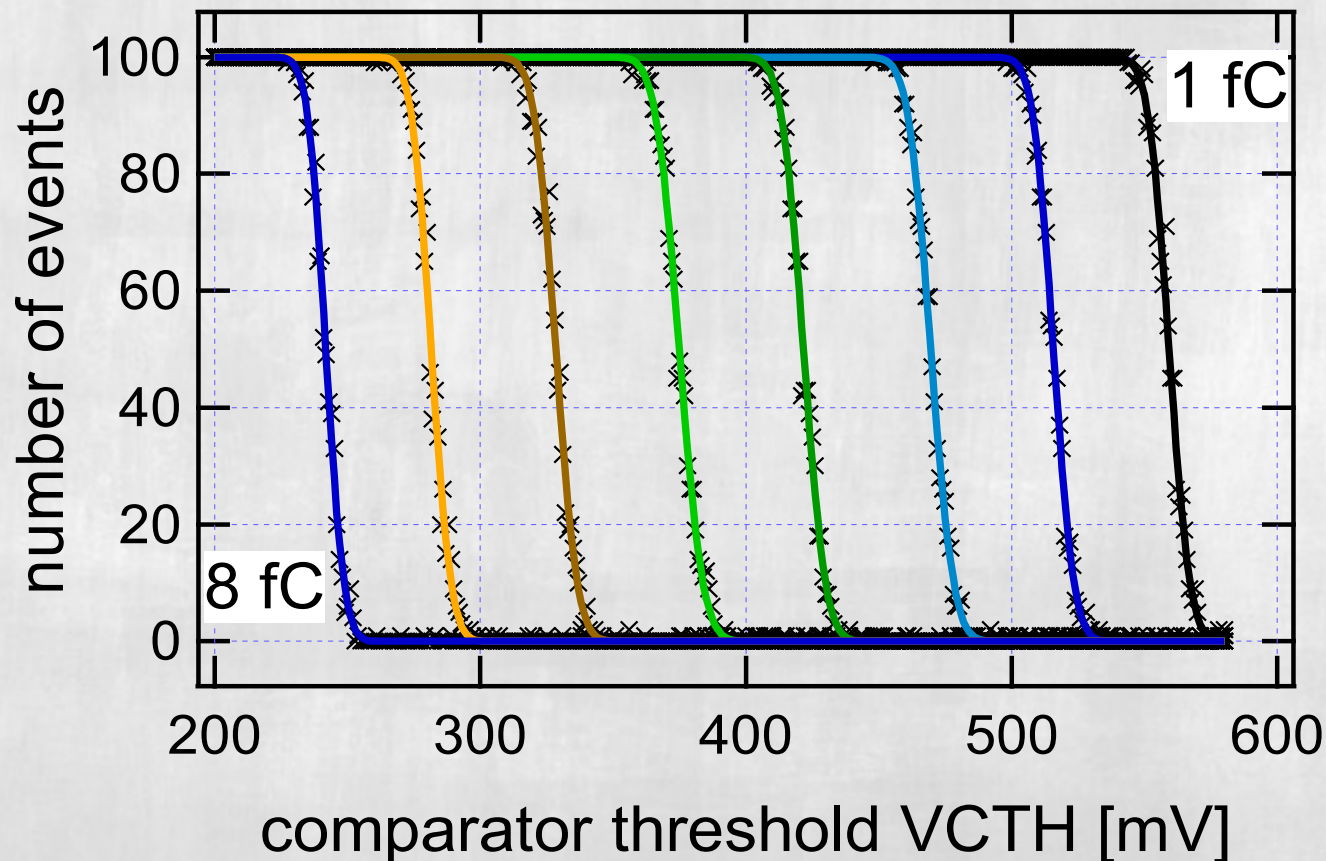
CBC v1 main features

- IBM 130nm CMOS process
- binary, un-sparsified architecture
 - retains chip and system simplicity
 - but no pulse height data
- designed for $\sim 2.5 - 5\text{cm } \mu\text{strips} < \sim 10\text{ pF}$
- 128 channels, 50 mm pitch wire-bond
 - either polarity input signal
- **not contributing** to L1 trigger
- powering test features:
 - 2.5 -> 1.2 DC-DC converter
 - LDO regulator (1.2 -> 1.1) feeds analogue FE
- fast (SLVS) and slow (I2C) control interfaces

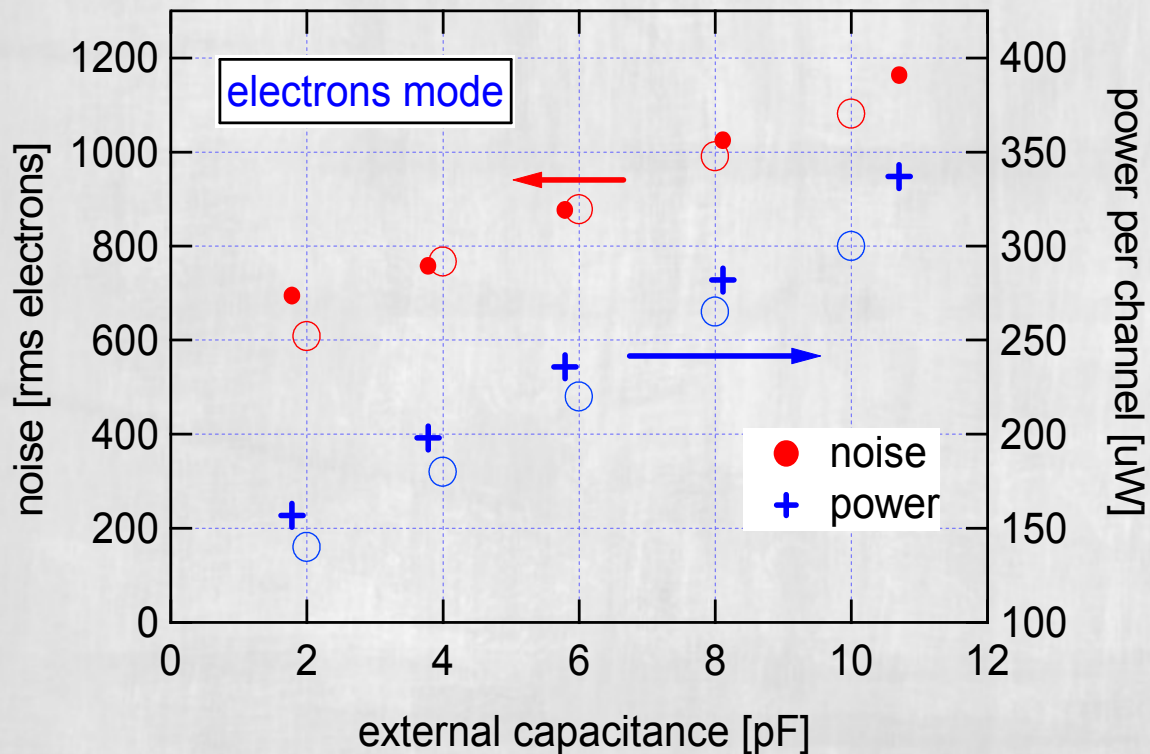


Binary chip qualification

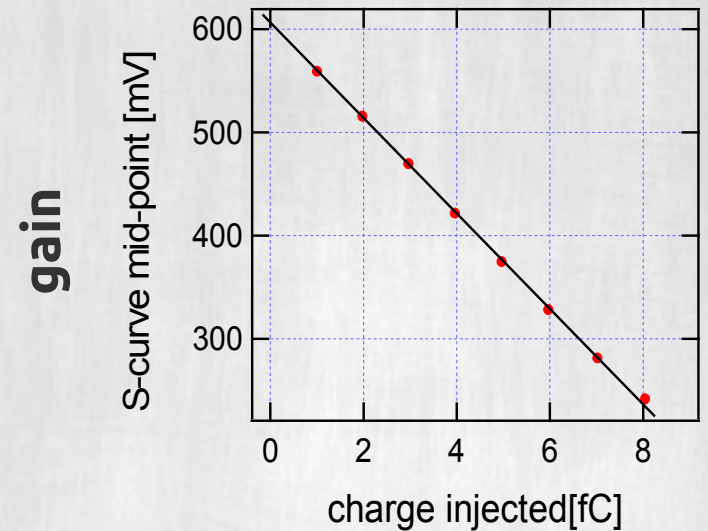
S-curves: scans of threshold with a fixed test pulse
Then: vary test pulse
Can measure: pedestal, noise, gain



CBC v1 Prototype testing

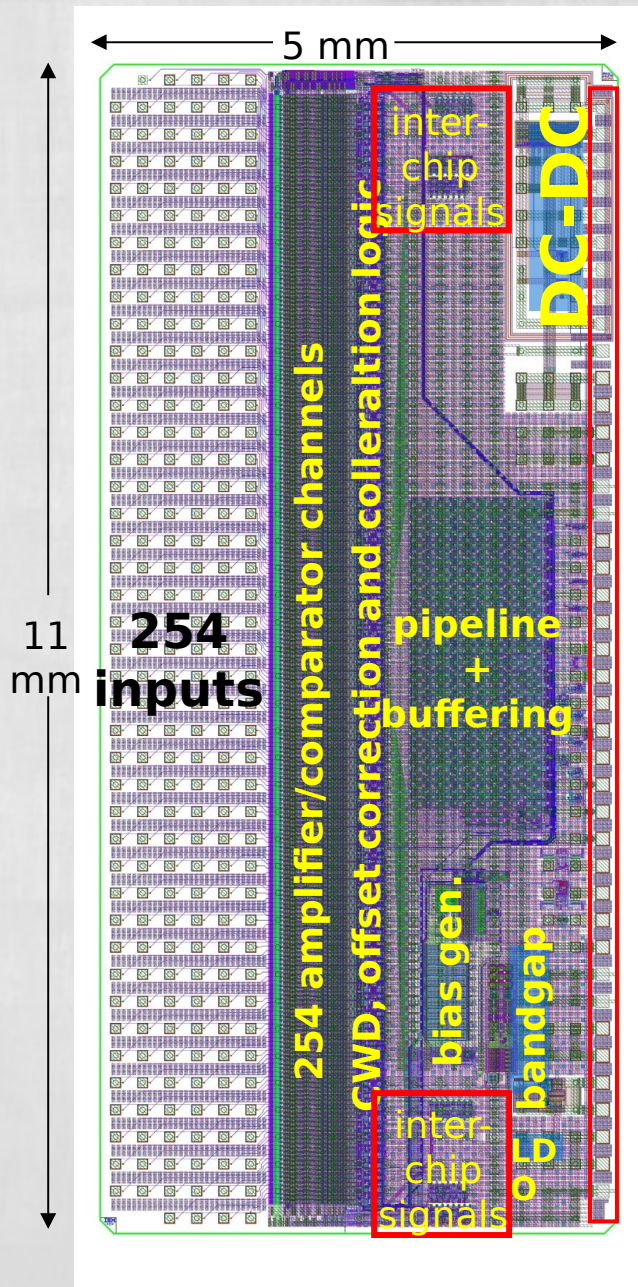


e.g. for 5pF input capacitance:
noise: $\sim 800 e_{\text{RMS}}$
total power: $< 300 \mu\text{W}/\text{channel}$



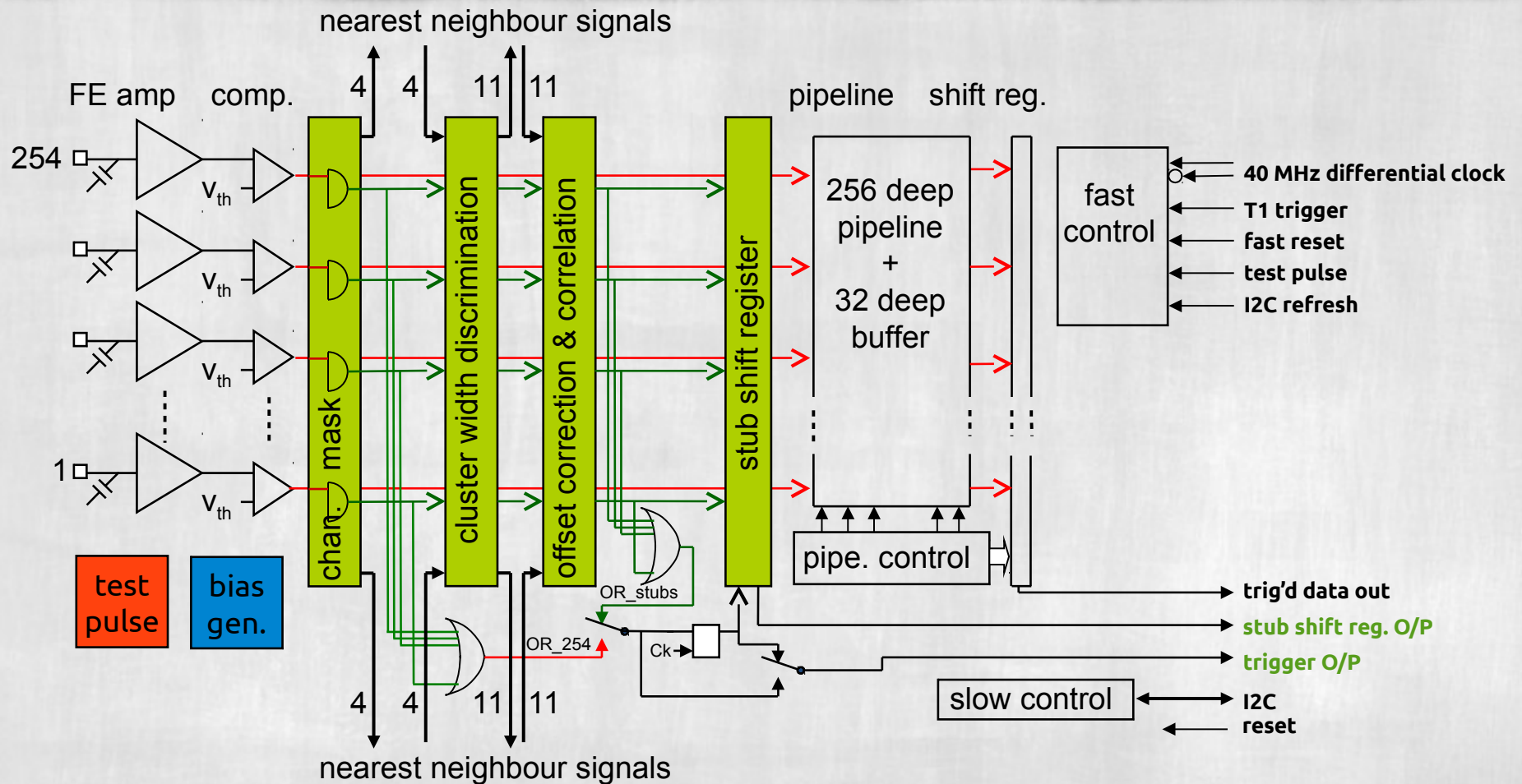
see: "M.Raymond et al 2012 JINST 7 C01033"
"W.Ferguson et al 2012 JINST 7 C08006"

CBC v2 new features



- 250 μ m pitch C4 layout: commercial standard
- 254 channels for 2 sensors (127 + 127 strips)
- Correlation (stub) logic
- Veto on wide clusters
- Improved DC/DC (CERN)
- Received Jan 2013 – fully functional

CBC v2 architecture



Front end, pipeline, L1 triggered readout, biasing from v1

New blocks associated with Pt stub generation

Channel mask: block problem channels (not from L1 pipeline)

Cluster width discrimination: exclude wide clusters > 3

Offset correction and correlation: correct for phi offset across module and correlate between layers

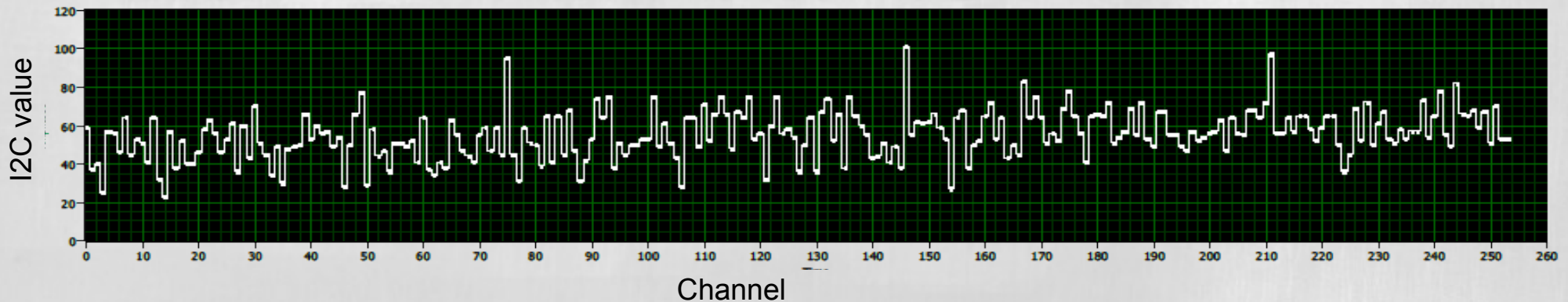
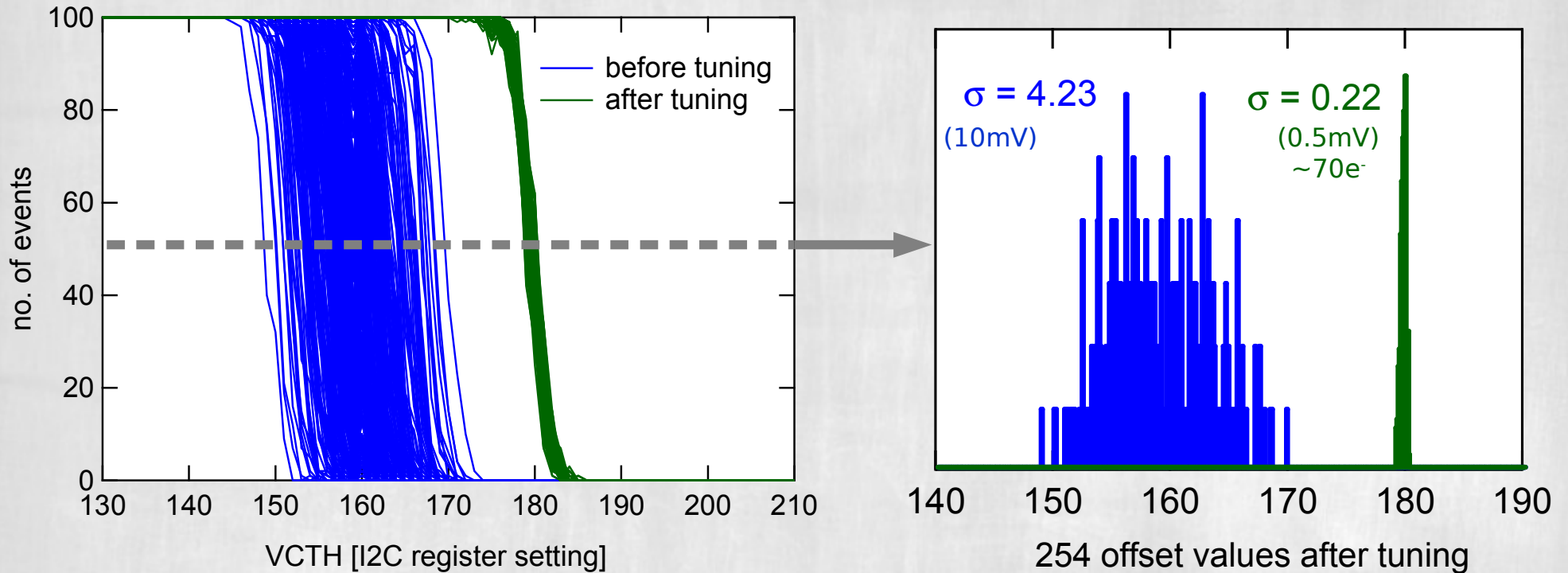
Stub shift register: test feature - shift out result of correlation operation at 40 MHz

Trigger O/P: in normal operation 1 bit per BX indicates presence of high Pt stub

test pulse: charge injection to all channels (8 groups of ~ 32), programmable timing and amplitude

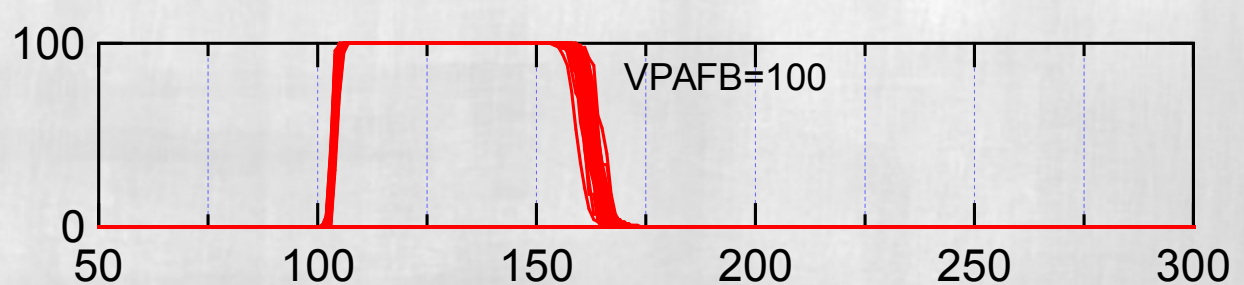
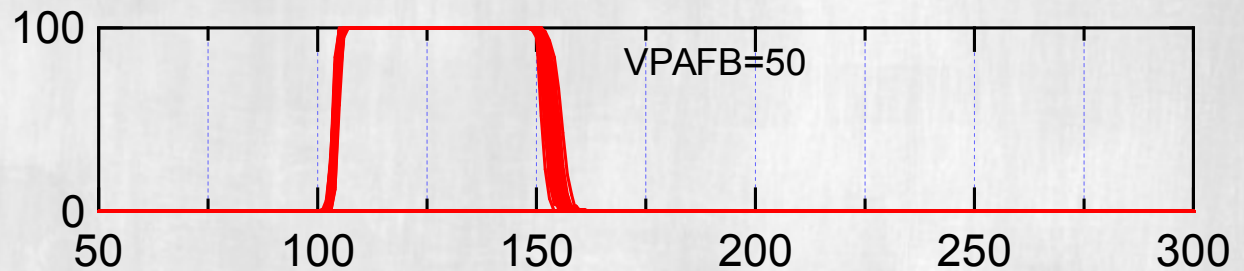
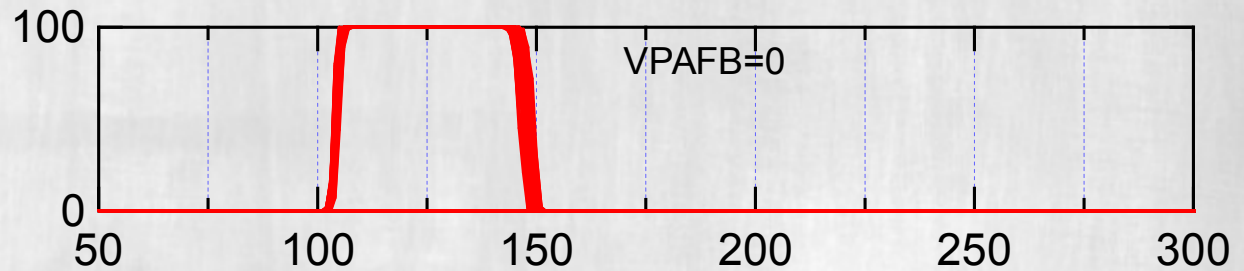
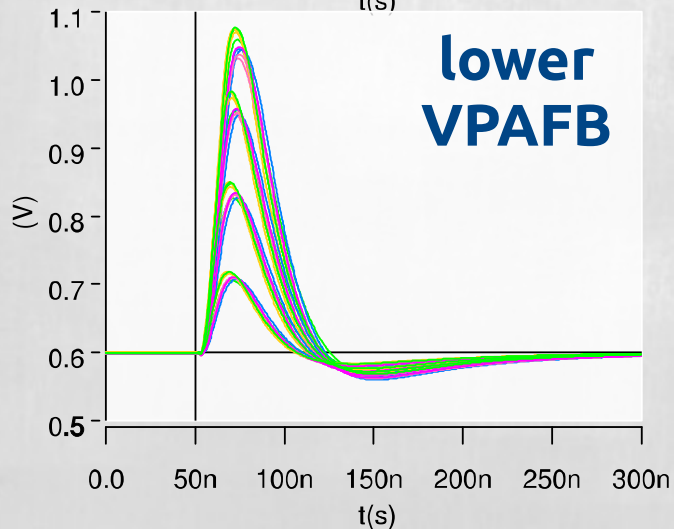
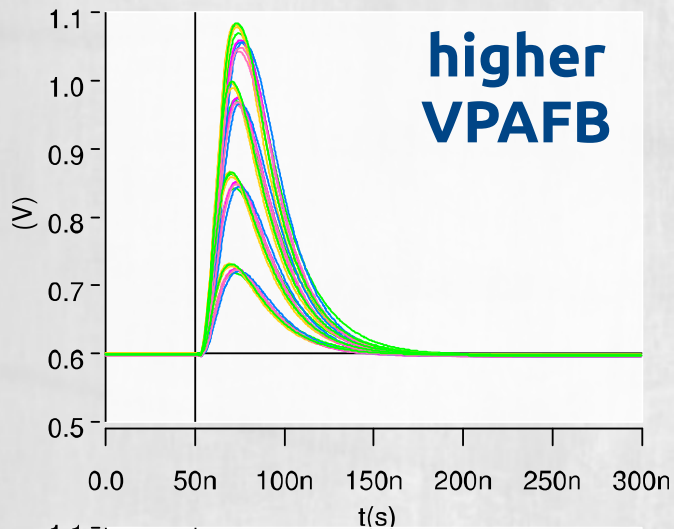
S-curves and tuning

S-curve: measurement of noise and pedestal with on-chip test pulse



Taming large signals: post-amp

Post-amplifier reduces pulse duration dependence to signal amplitude. Can be tuned (VPAFB). Price to pay?

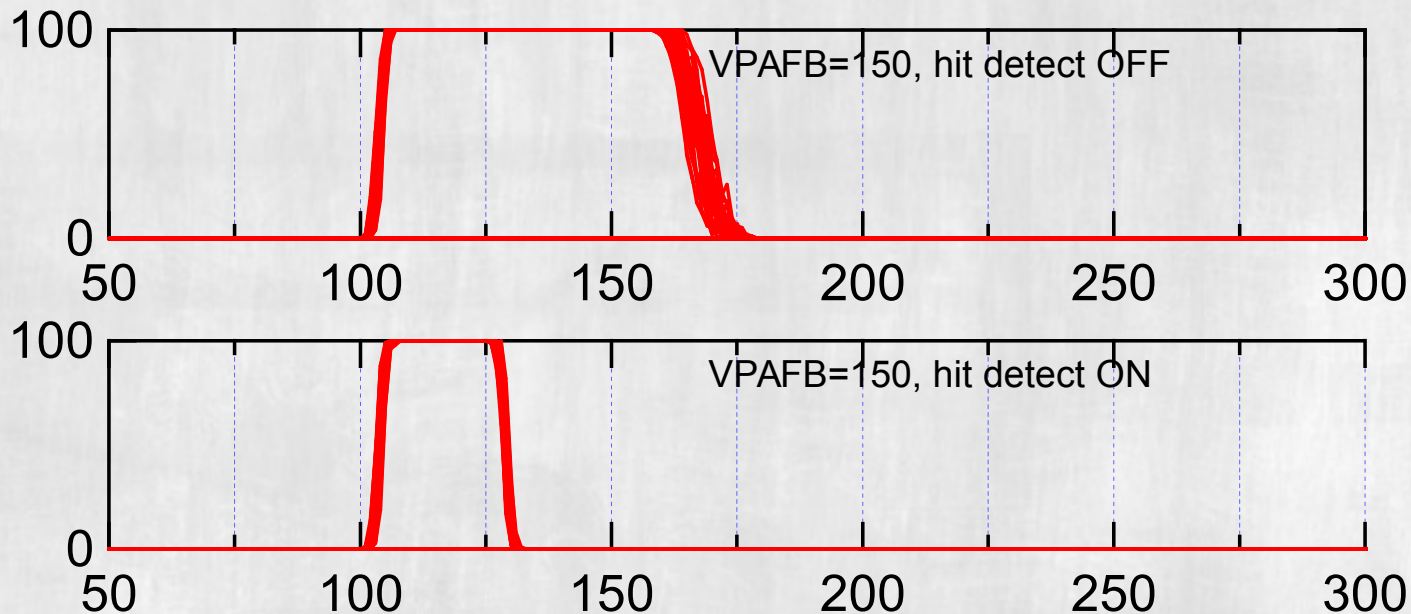


Test pulse charge injection time [ns]

Charge injected ~ 2 fC – Threshold ~ 1 fC

Taming large signals: hit detect

Hit detect circuit: only single hit in pipeline irrespective of how long comparator output stays high



Test pulse charge injection time [ns]

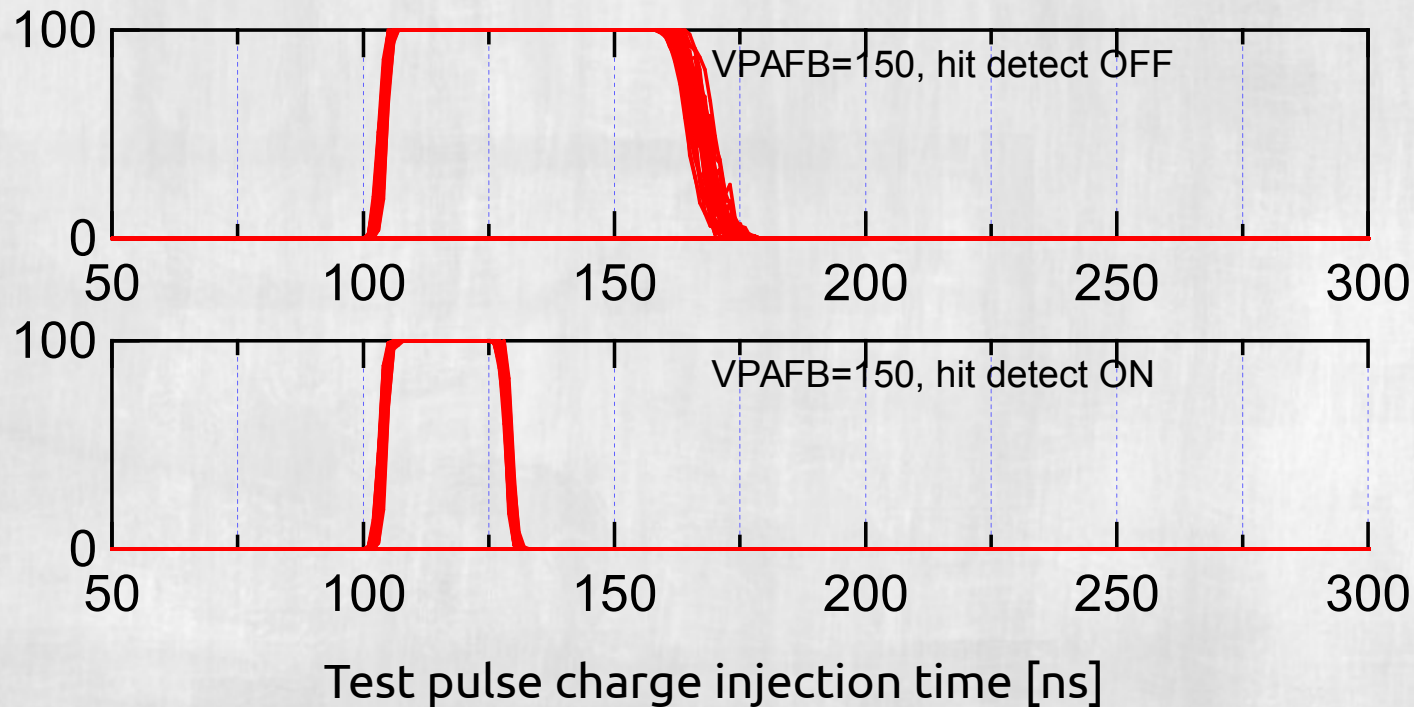
Charge injected ~ 2 fC – Threshold ~ 1 fC

Price to pay: inefficiency

How much?

Taming large signals: hit detect

Hit detect circuit: only single hit in pipeline irrespective of how long comparator output stays high



Charge injected ~ 2 fC – Threshold ~ 1 fC

Price to pay: inefficiency

Small: inefficiency = occupancy²

CBC v2 stub finding logic

- **Cluster Width Discrimination logic (CWD)**

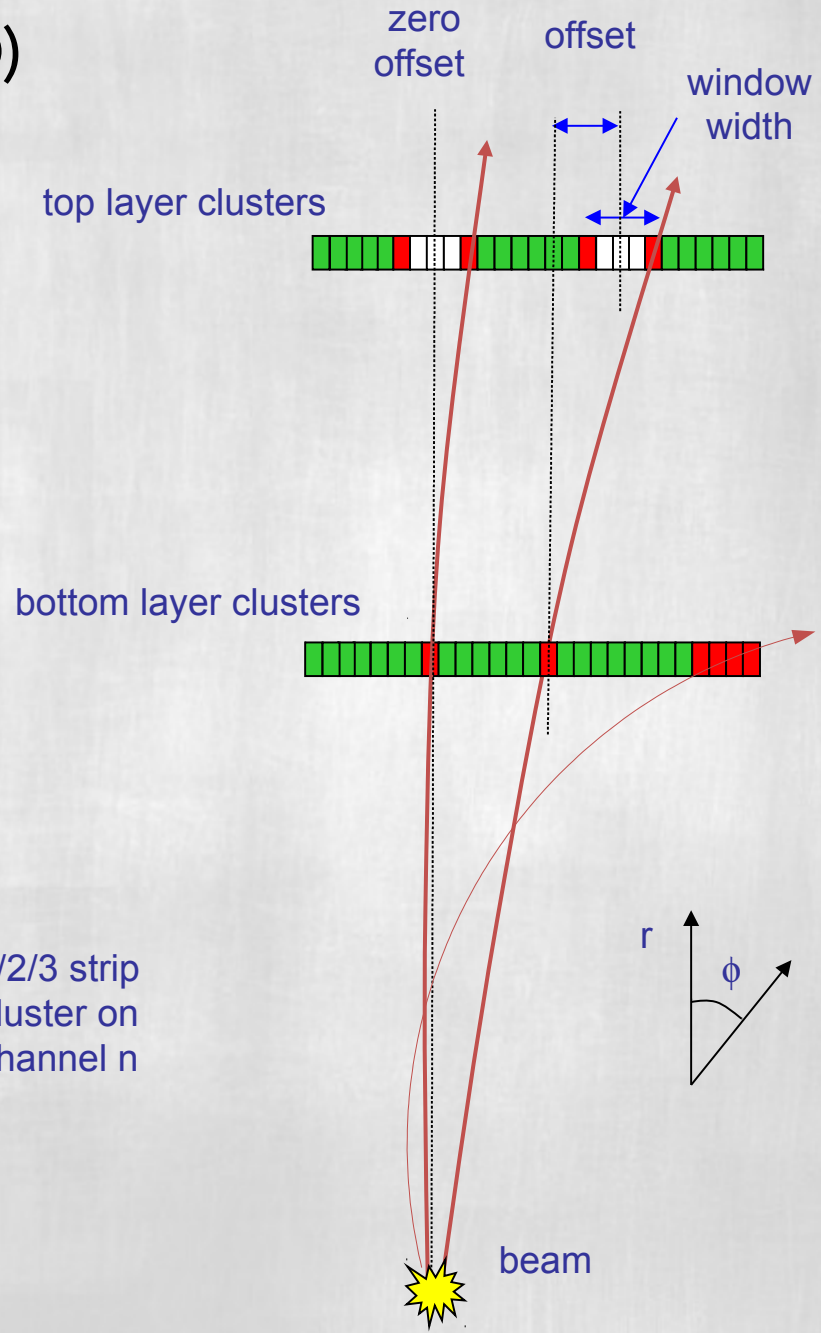
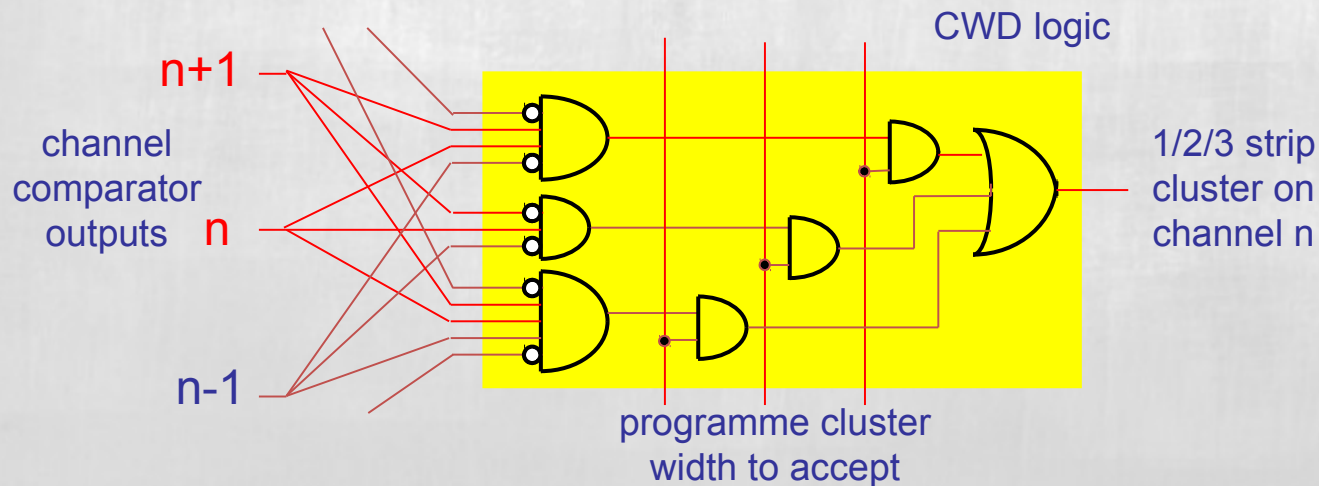
- ...
- ...

- **Offset correction**

- ...
- ...

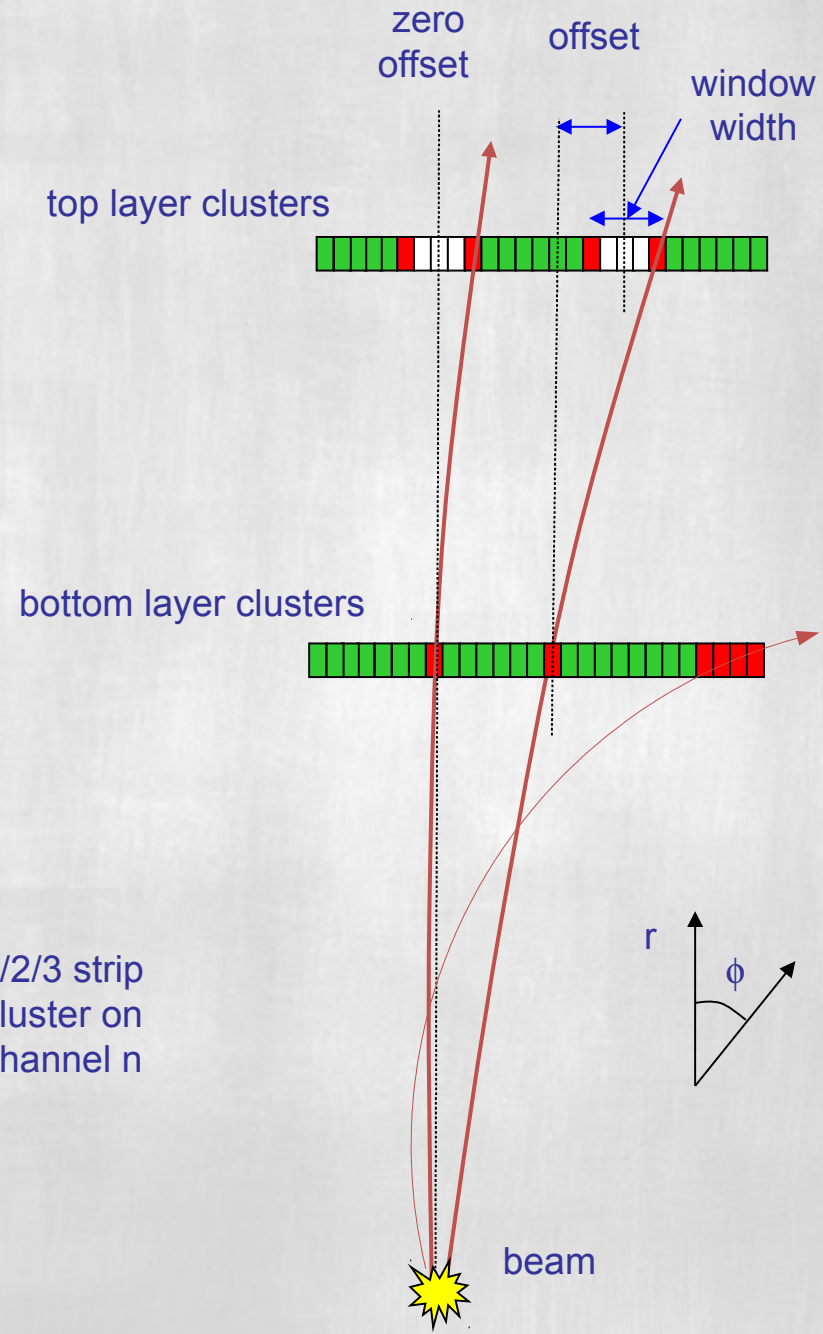
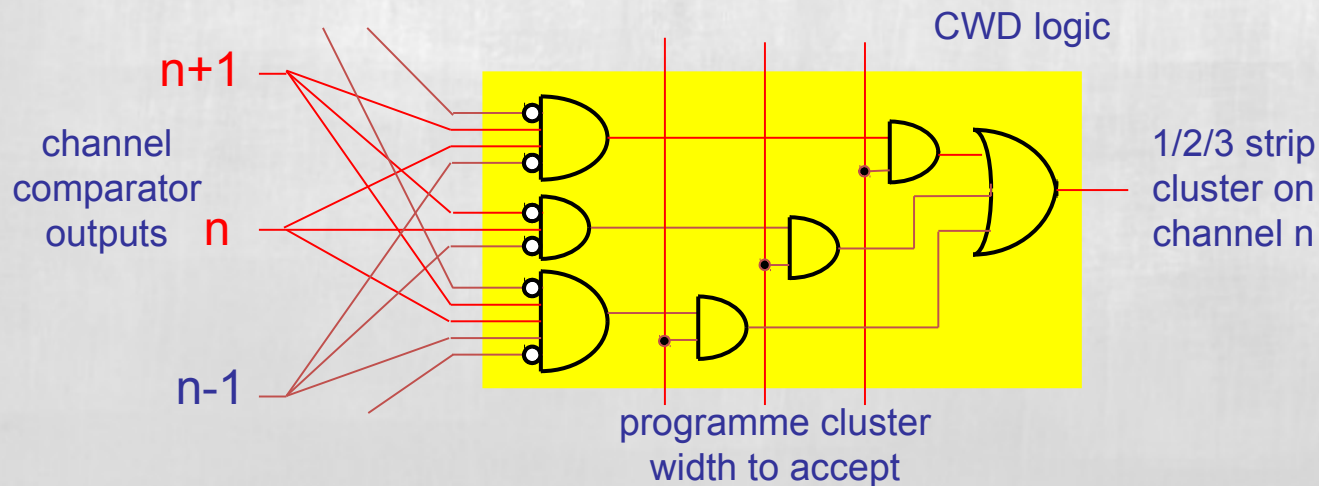
- **Cluster matching**

- ...
- ...

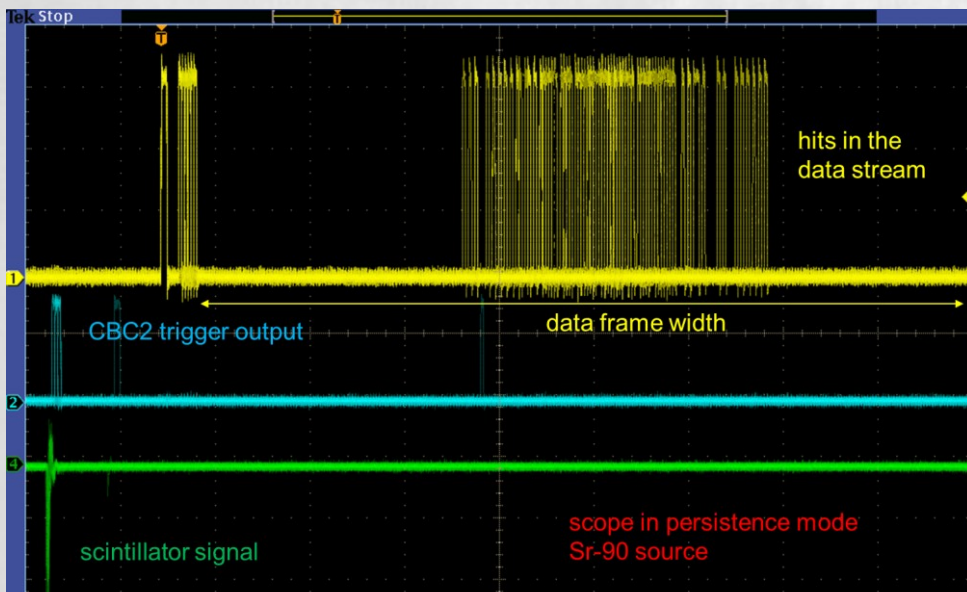
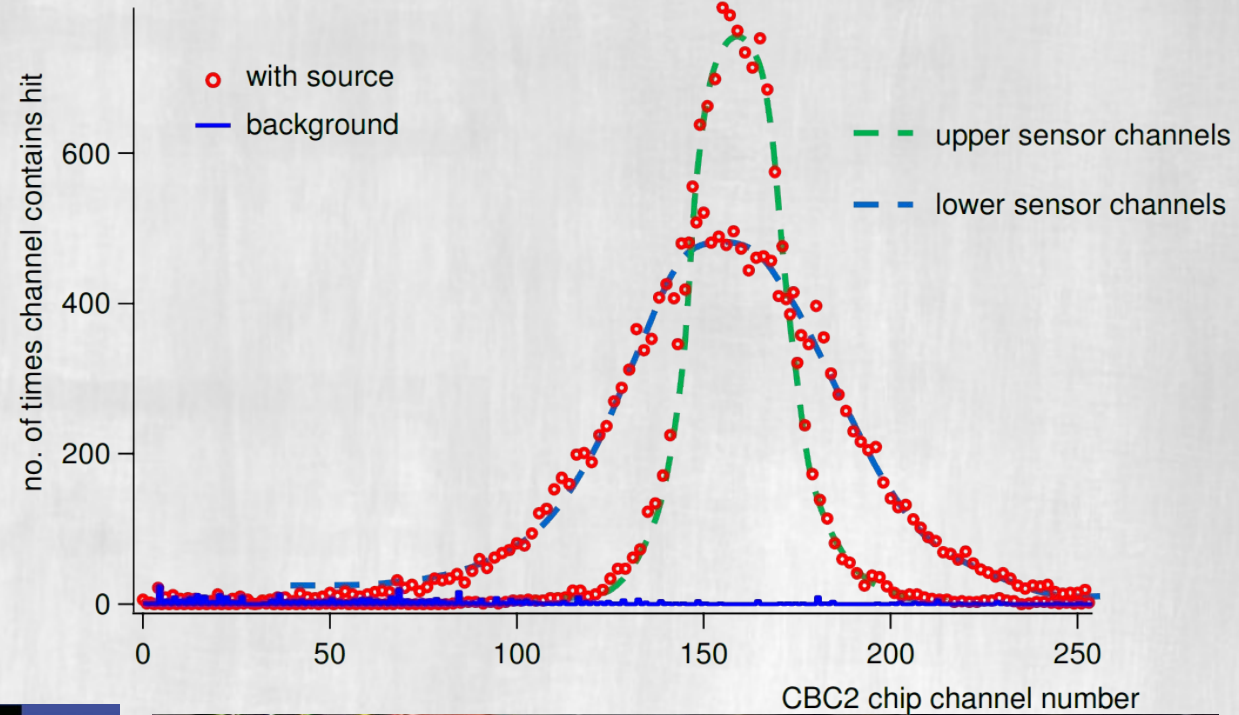
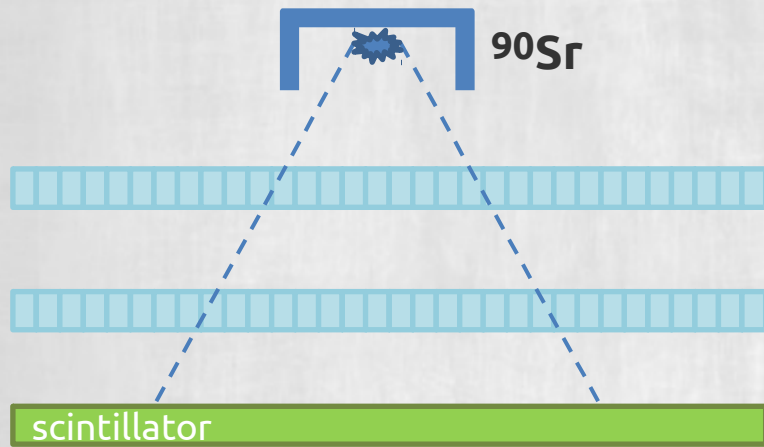


CBC v2 stub finding logic

- **Cluster Width Discrimination logic (CWD)**
 - wide clusters not consistent with high p_T track
 - exclude clusters width > **3 channels**
- **Offset correction**
 - lateral displacement of window across chip
 - programmable up to **± 3 channels**
- **Cluster matching**
 - Window width controls p_T cut
 - programmable up to **± 8 channels**

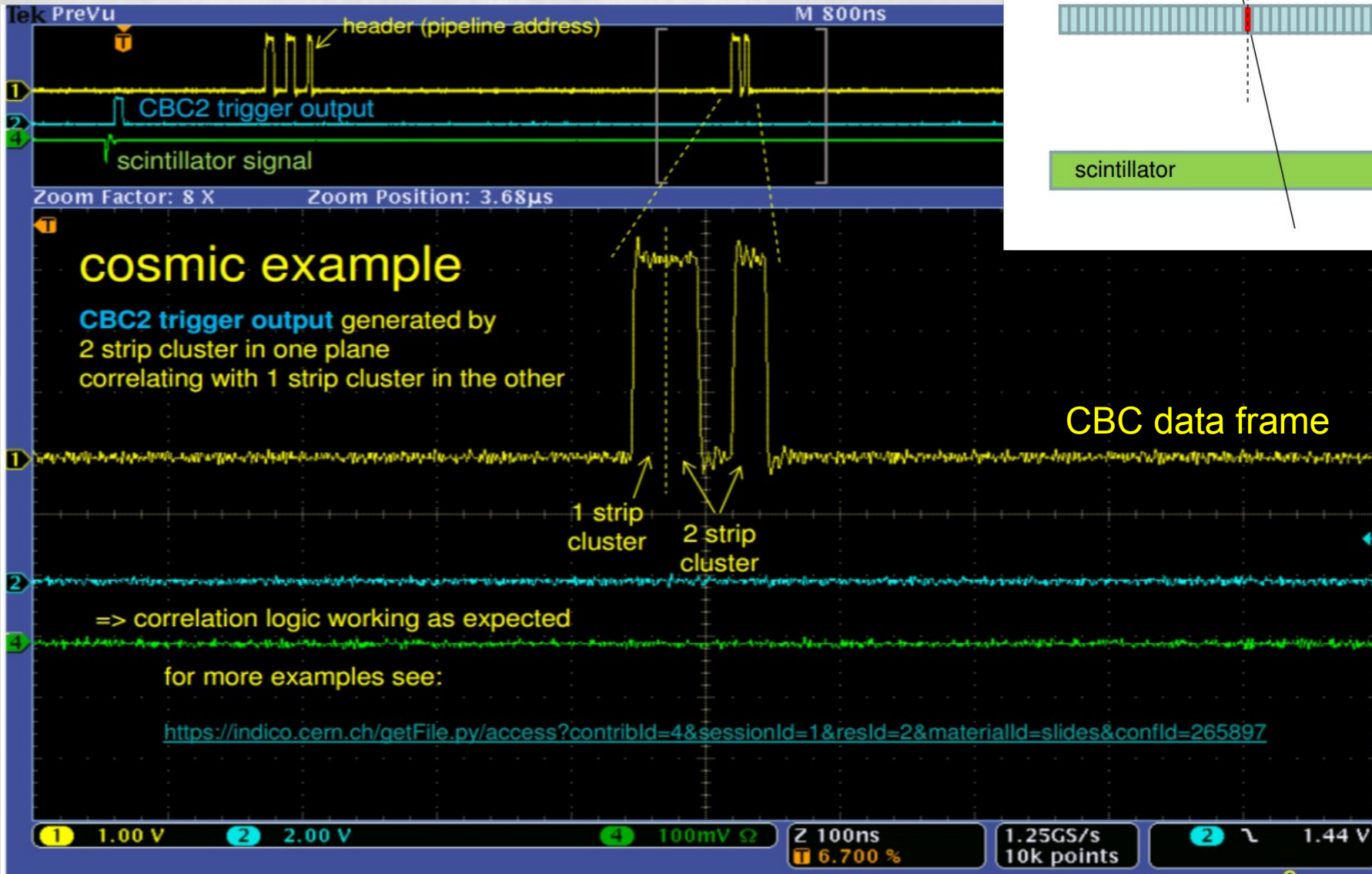
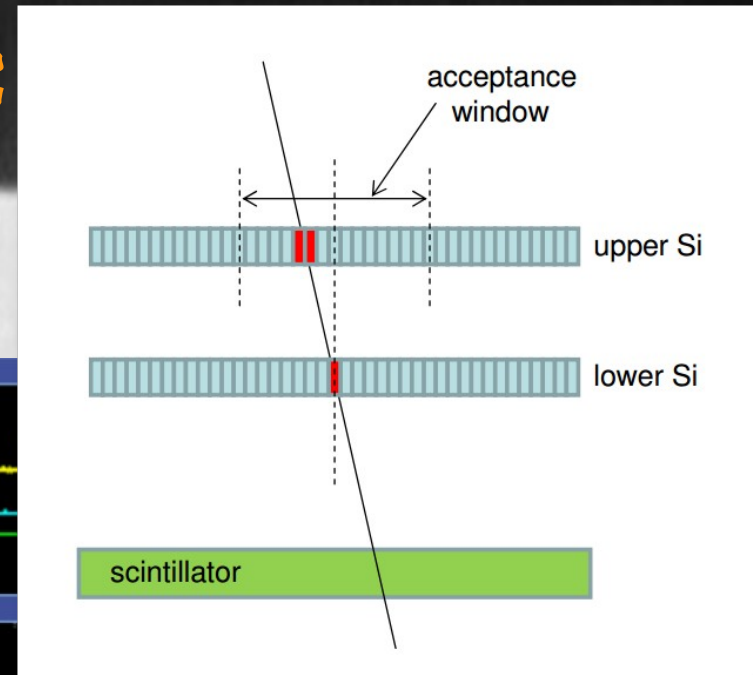


CBC v2 test with source



CBC v2 test with cosmic

...and patience! Rate \ll 1 Hz even with large hit matching windows

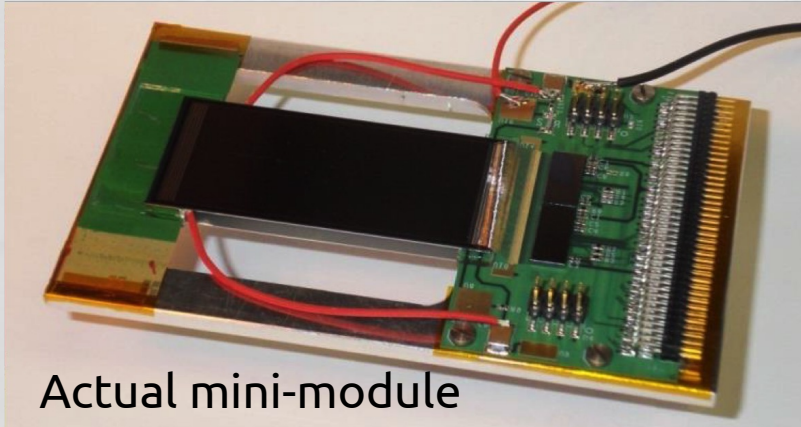


CBC v3 waiting

Some of the specifications are **fixed**, some are **possibly still open**:

- Optimized for **5 cm strips** (possibly longer), **AC coupled, n-on-p**
- Stub data definition
 - **½ strip cluster resolution**
 - **Cluster size cut 3 → 4**
 - **8b address (for ½ strip resolution) of cluster in bottom layer**
 - **5b for stub bend information (rough p_T)**
- Stub data formatting & transmission
 - **13b/stub, up to 3 stubs/BX ⇒ 39 bits**
 - **+1 bit un-sparsified L1 triggered readout data**
- **Designed for final trigger rate & latency**
- Other useful features
 - **Priority encoding of Pt stubs (if desired)**
 - **e.g. slow ADC to monitor bias levels**
 - ...

CMS module prototypes



- 2xCBC functional module:
- 2 chips (instead of 8)
 - Electrical readout (instead of optical)
 - No data concentration
 - Rigid hybrid
 - + Stub-finding logic
 - + Nominal noise and thresholds

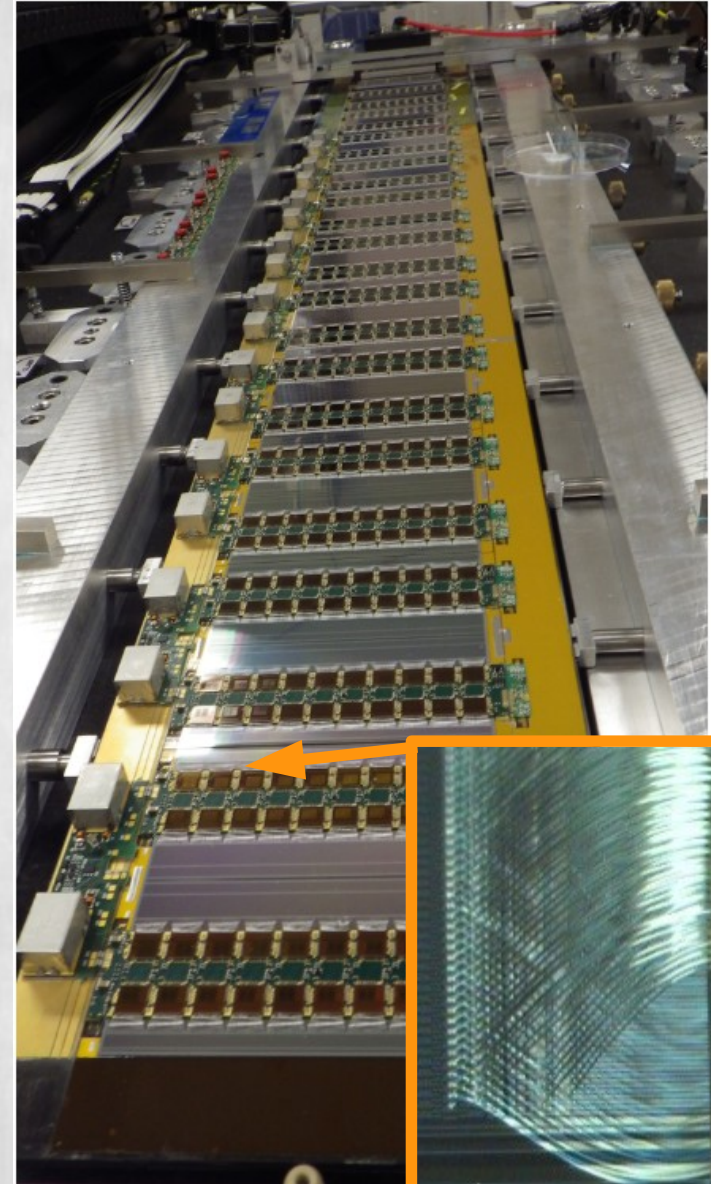
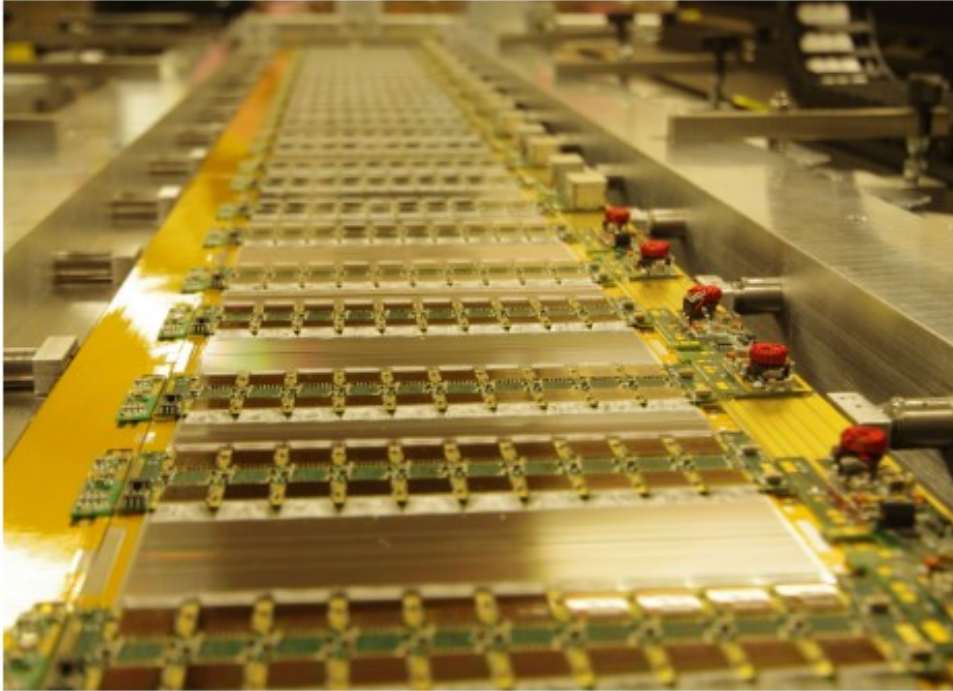


- 8xCBC prototype:
- + 2x8 chips
 - Electrical readout (instead of optical)
 - No data concentration
 - + Flex-hybrid
 - + Stub-finding logic
 - Just produced

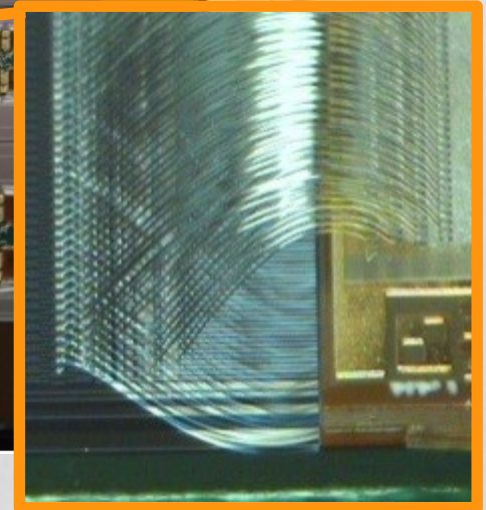
Prototype readout format **defined**

Data concentrator will be produced later fitting both PS and 2S modules

ATLAS module prototypes

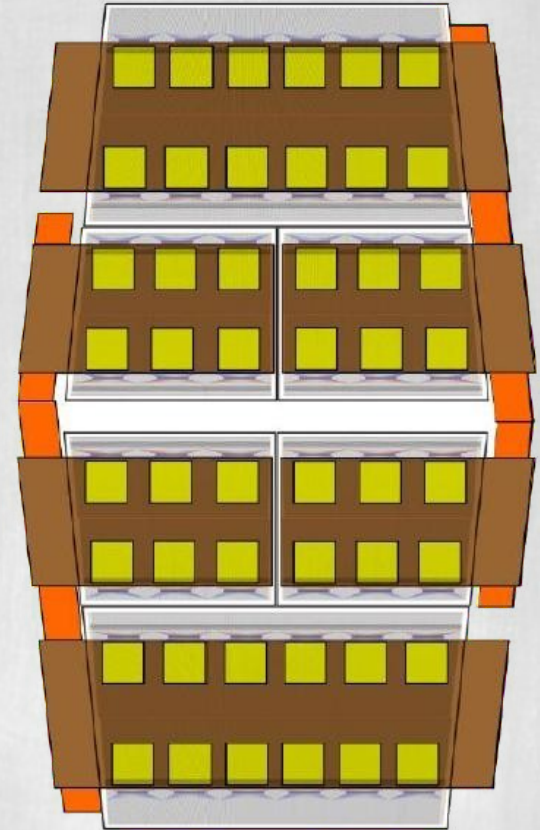
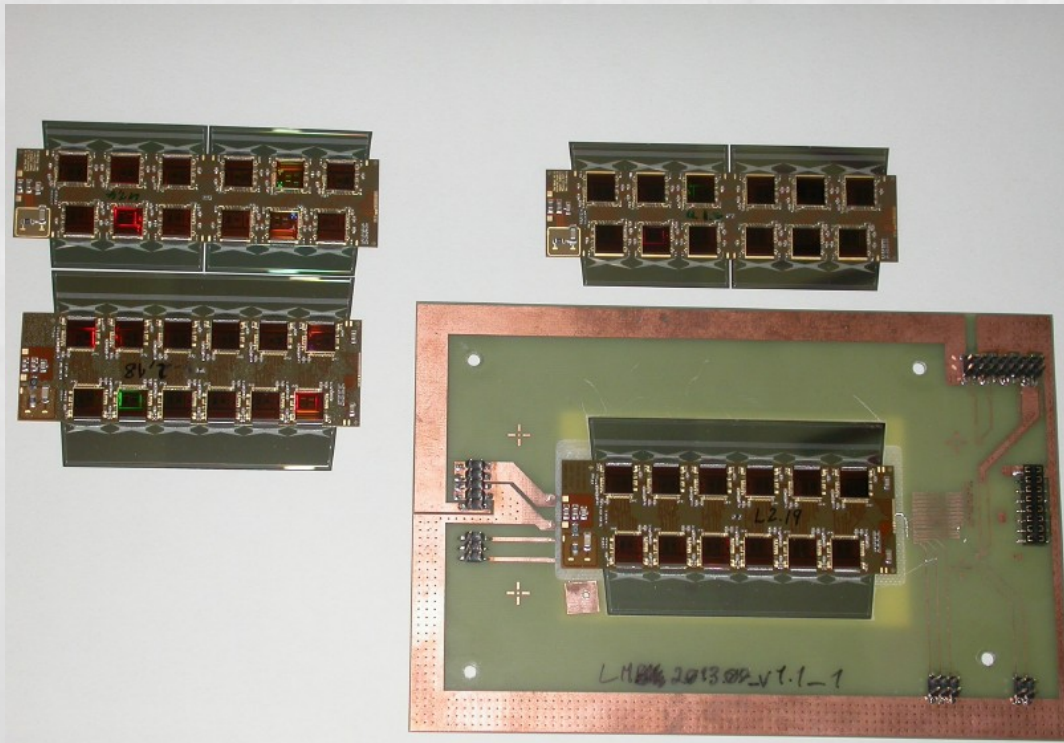


Fully populated
DC-DC powered ABC(250nm) stave
in December 2013
New 130nm currently under
evaluation



ATLAS petalet hybrids

- 1 lower and 2 upper hybrids designed and produced
 - Population with passive components
 - ASIC assembly with flip-chip bonder
- Noise:
 - Upper and lower hybrids have noise of ~ 380 ENC
 - Lower modules have noise of ~ 660 ENC



Outline of the lesson

~~Silicon strip tracking detectors~~

~~Front-end signal processing~~

~~Examples of detector design~~



Real-time tracking

Outline of the lesson

~~Silicon strip tracking detectors~~

~~Front-end signal processing~~

~~Examples of detector design~~



Real-time tracking



Outline of the lesson

~~Silicon strip tracking detectors~~

~~Front-end signal processing~~

~~Examples of detector design~~

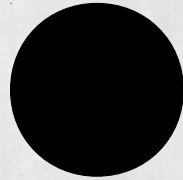
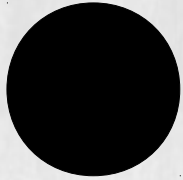


Real-time tracking

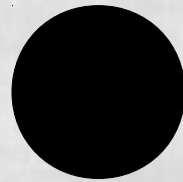
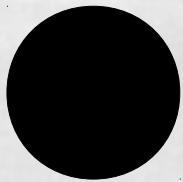
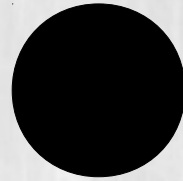


Please count the dots

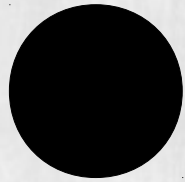
As fast as you can...



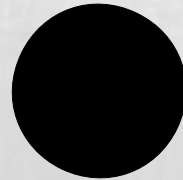
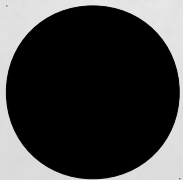
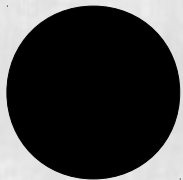
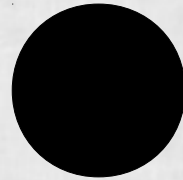
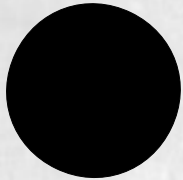
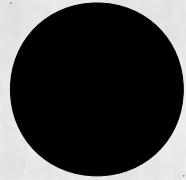
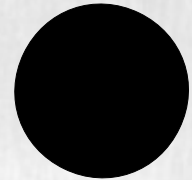
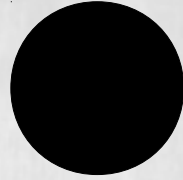
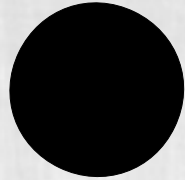
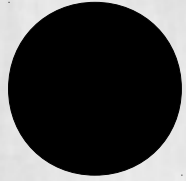
Please count the dots



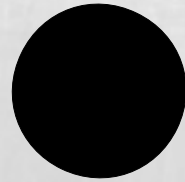
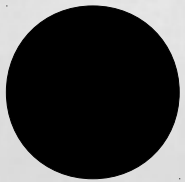
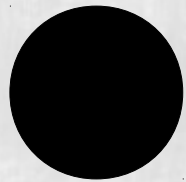
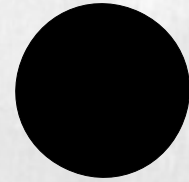
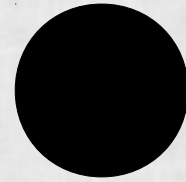
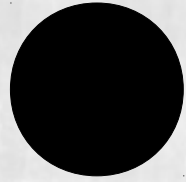
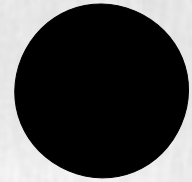
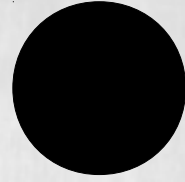
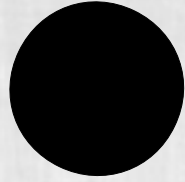
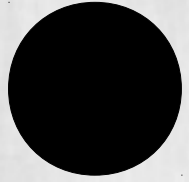
Please count the dots



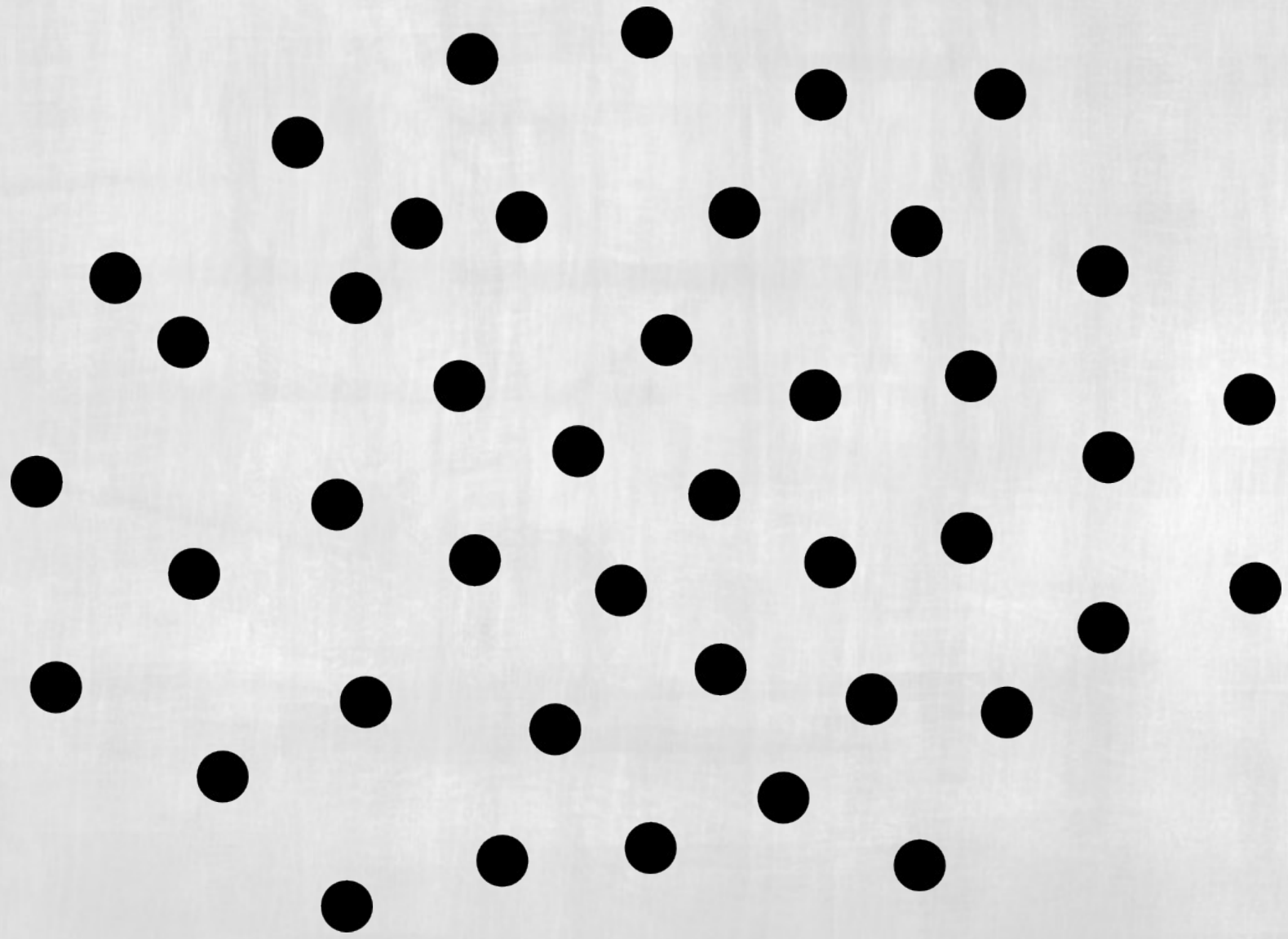
Please count the dots



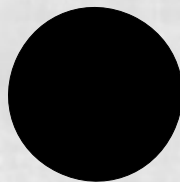
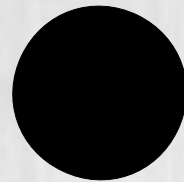
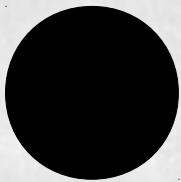
Please count the dots



Please count the dots



Please count the dots



Please count the dots

Bravo!

Please count the dots

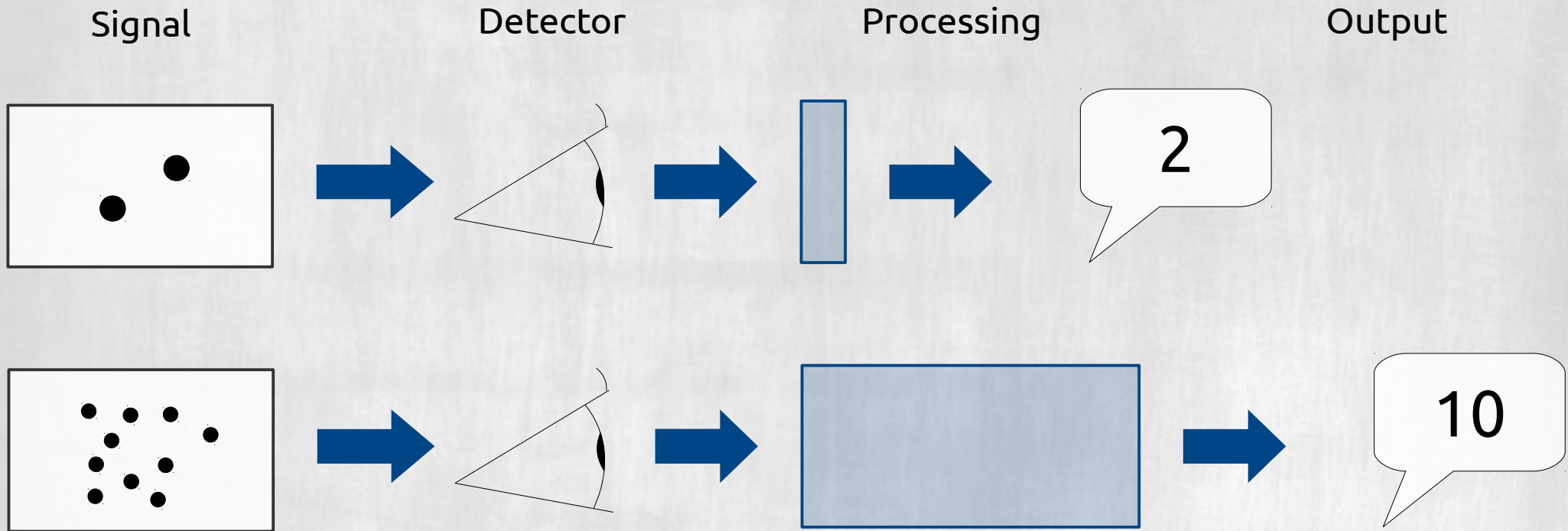
Bravo!

 1 dot

Experimental findings

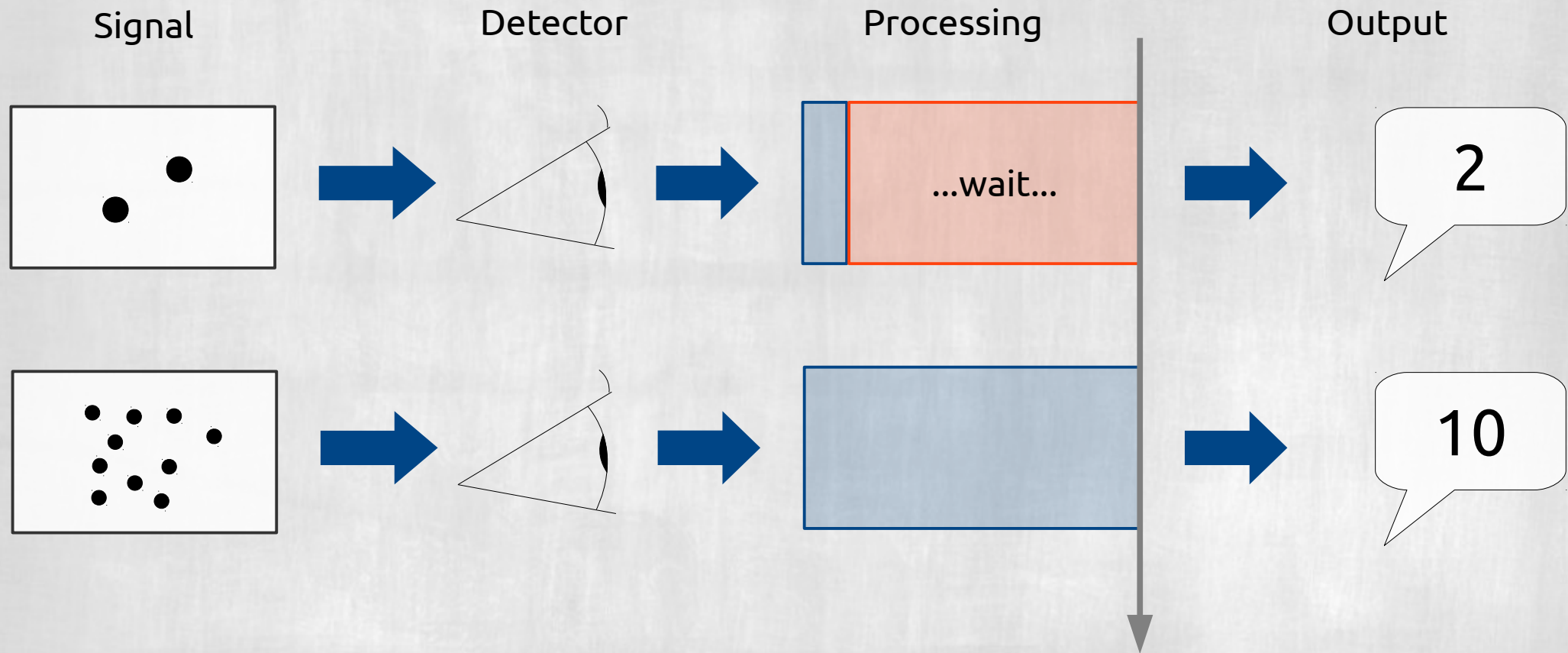
- What did you notice?
- What do you think is the meaning of “real time”?

Variable latency

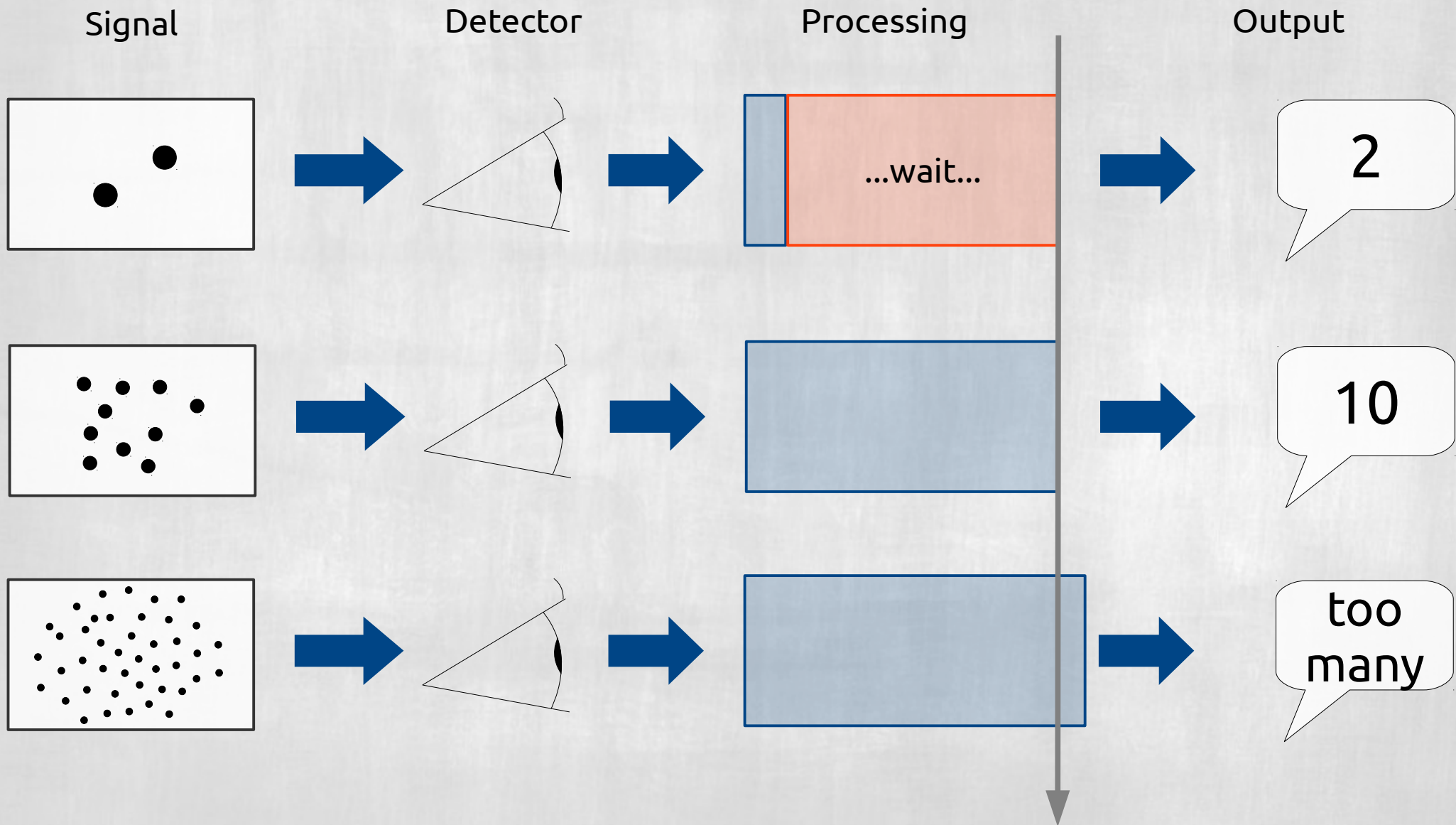


How to fix this?

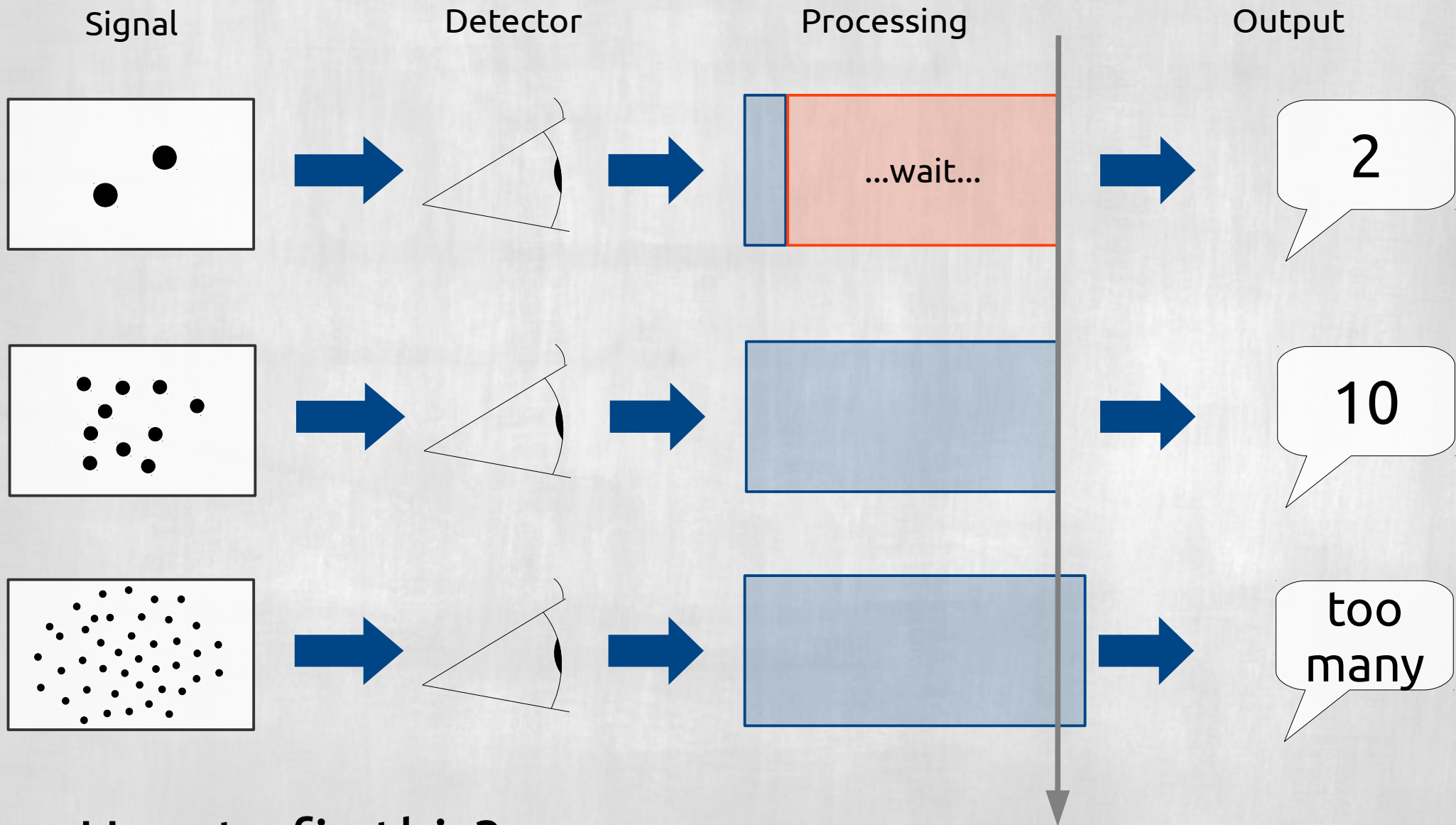
Variable latency



Variable latency

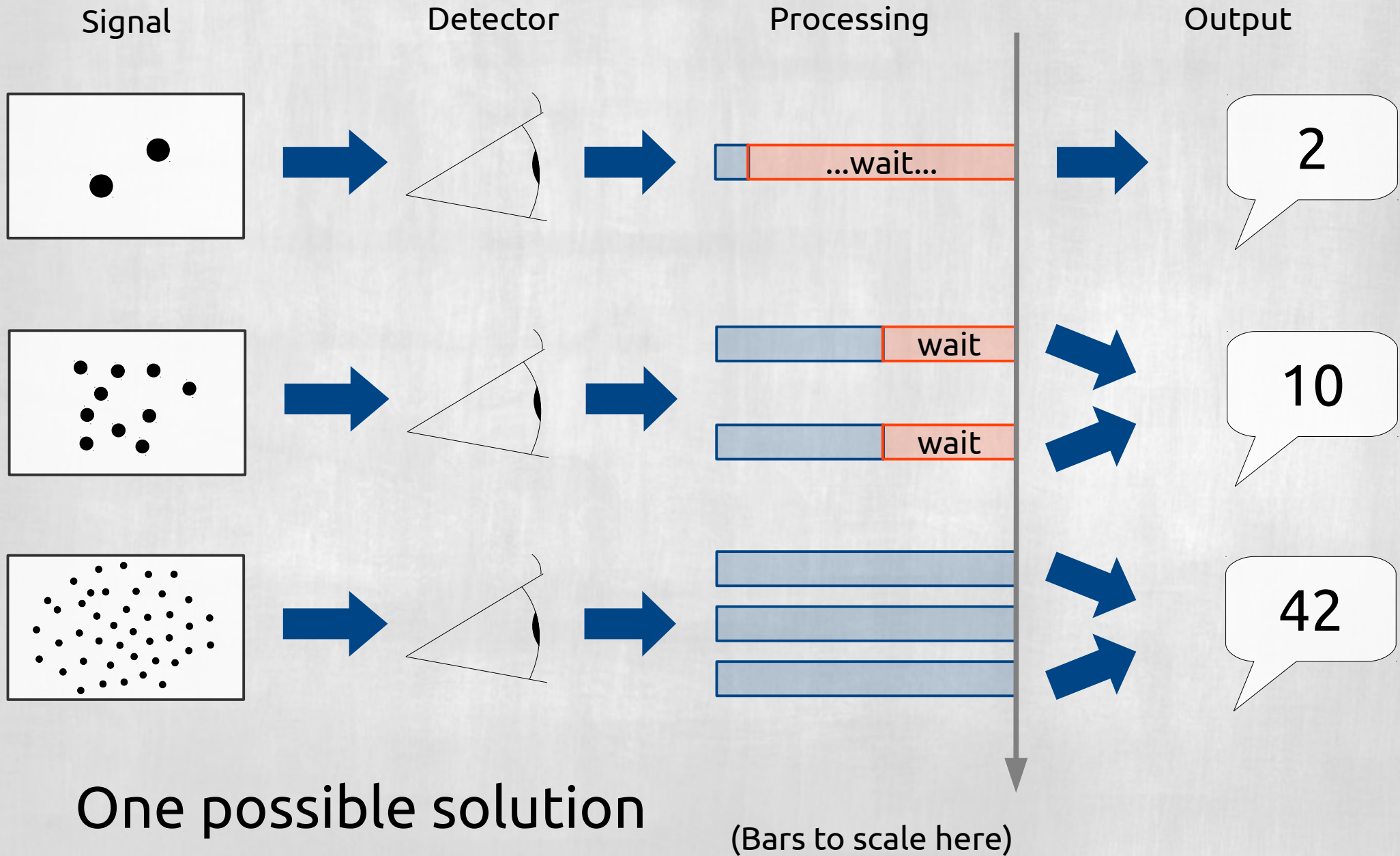


Variable latency



How to fix this?

Variable latency: load balancing

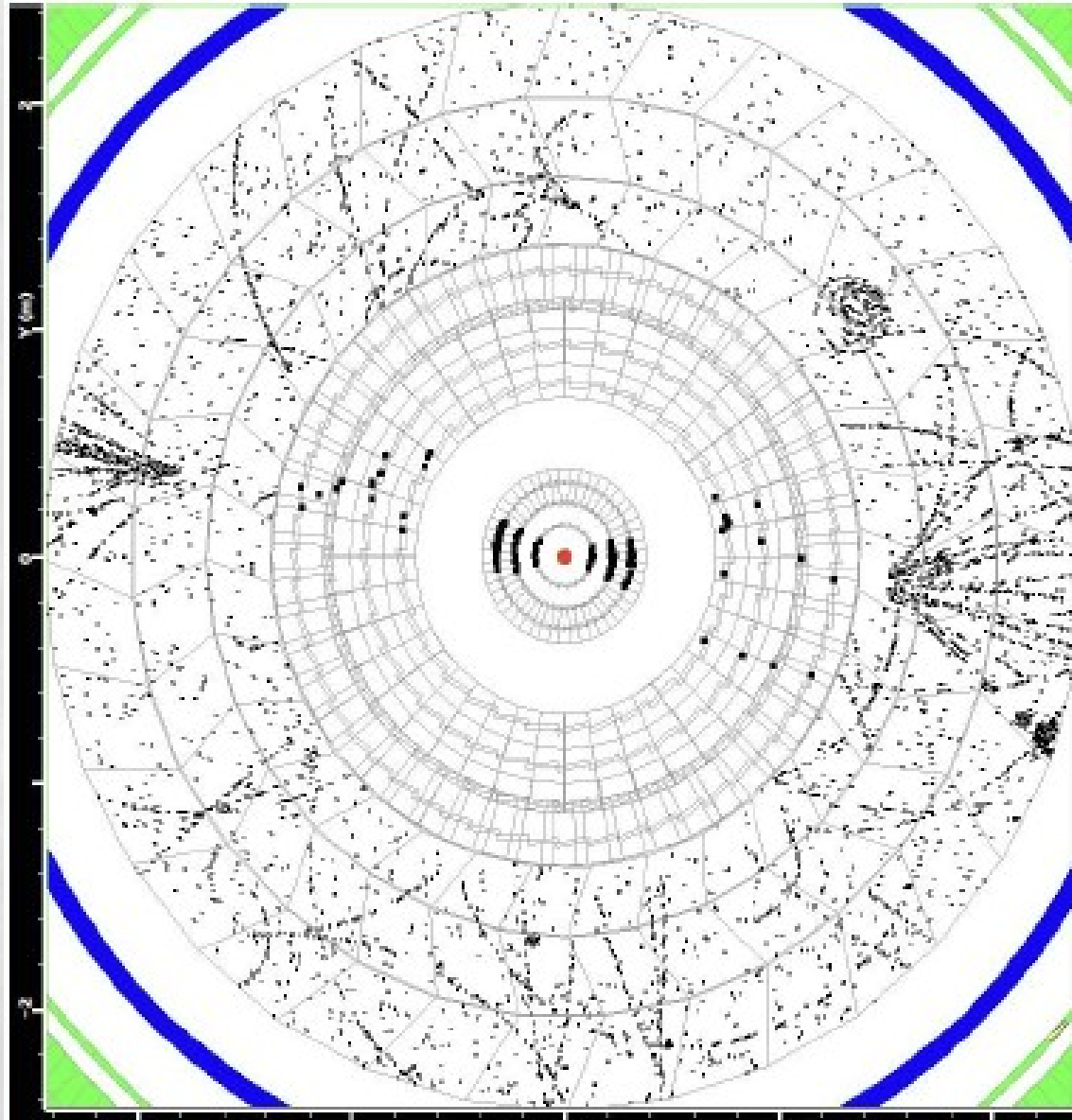


My personal conclusions

Real time is

- Fast enough for your application
- At a predictable latency (better if fixed)
- ...

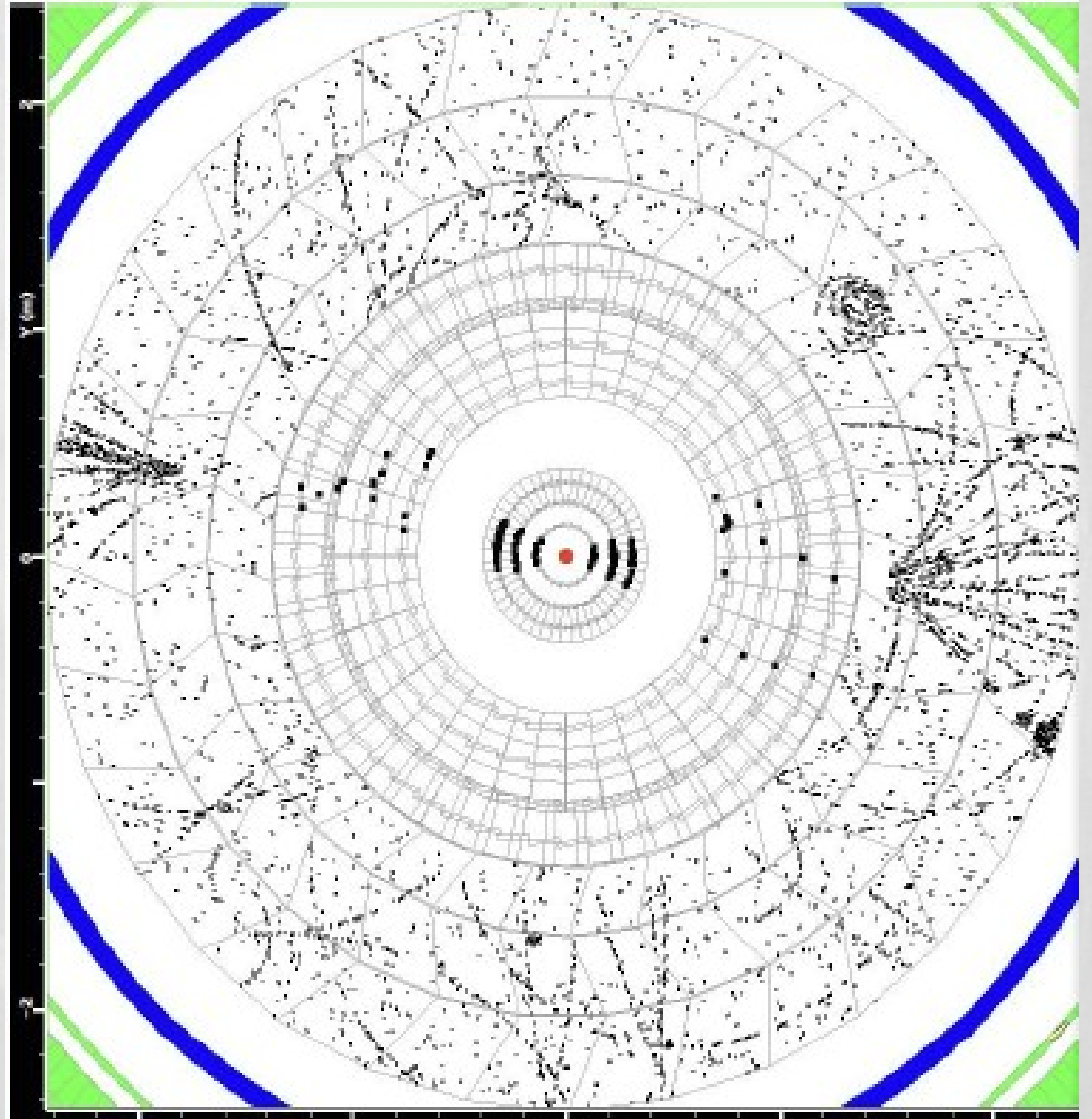
What is tracking?



What is tracking?

Identify all (charged) particles?

Perfect measurement of parameters?



What is tracking?

Track finding:

Inefficiency

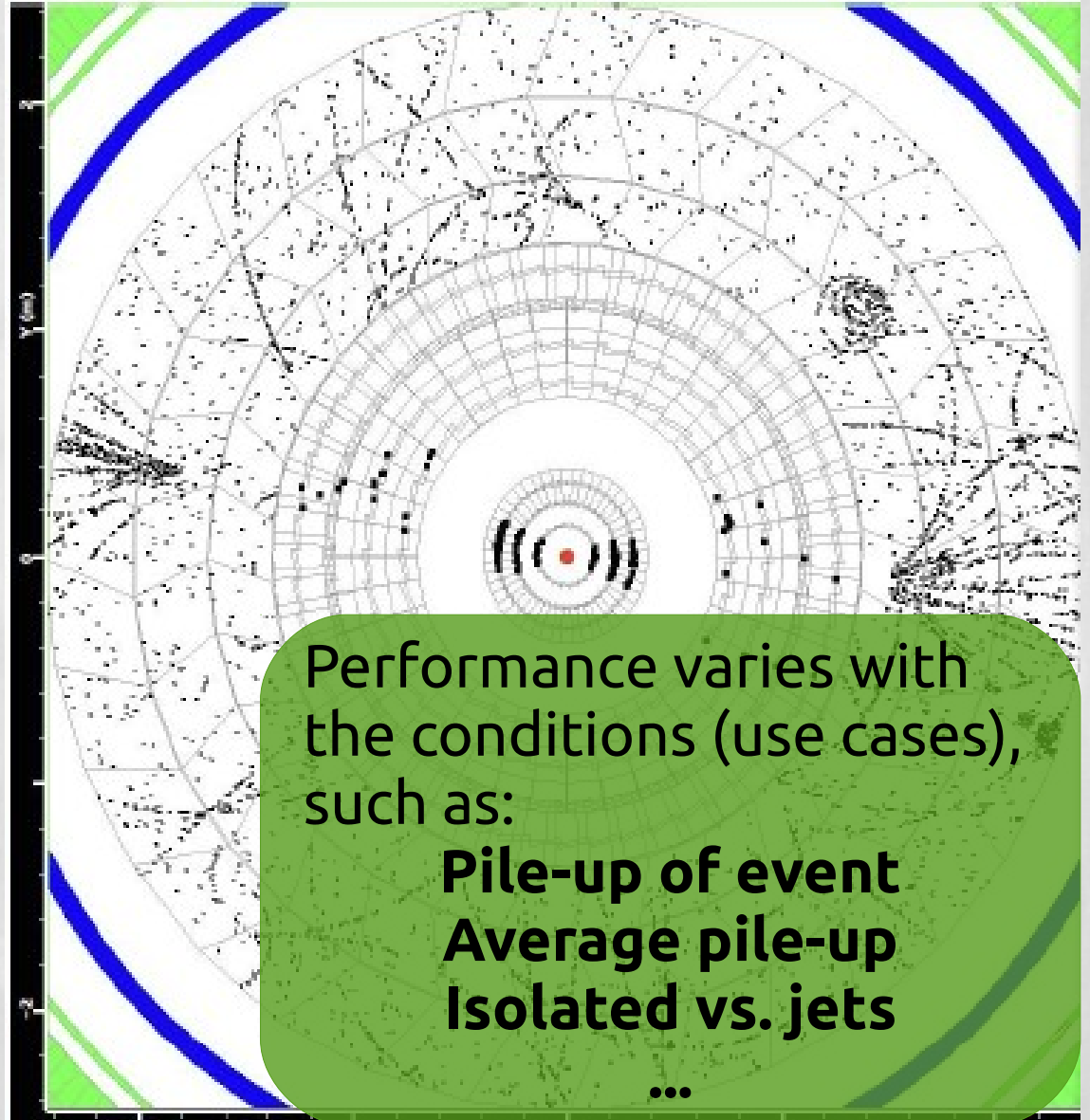


Fakes
(Duplicates) Efficiency

Resolution **needed** on
parameters:

p_T, ϕ, d_0

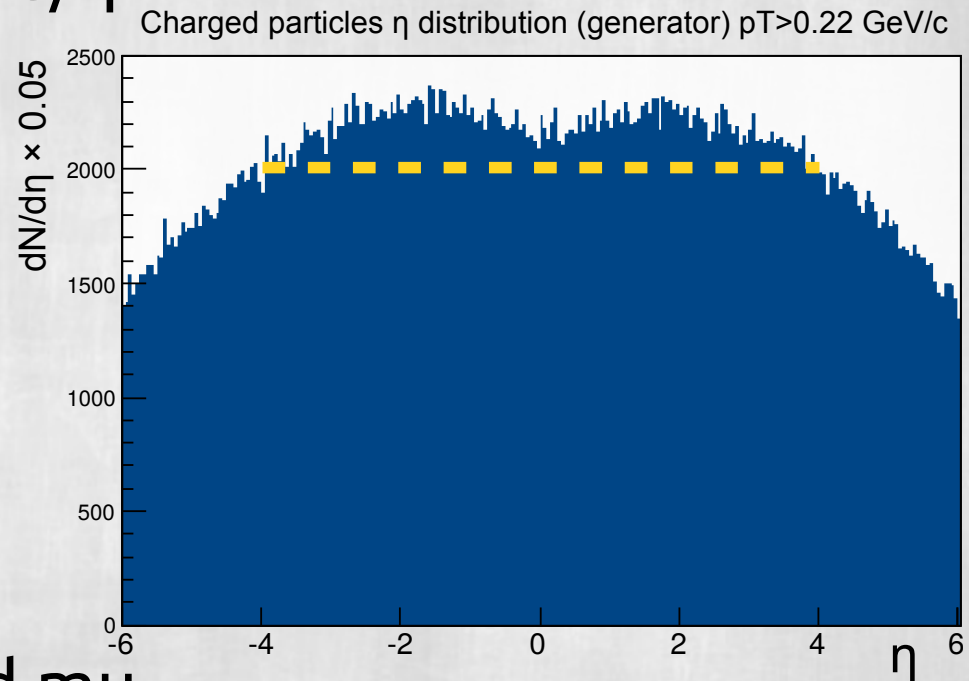
η, z_0



HL-LHC tracker in trigger

Conditions:

- Radiation equivalent to 3000 fb⁻¹ (10× LHC)
- PU = 140 (200) => 1000 tracks/η
(~ uniform in η for |η|<4)

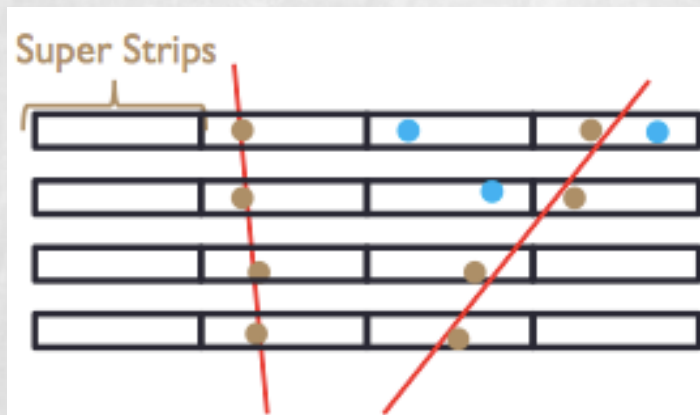


Requirements (minimal?):

- Measure p_T of isolated e and mu
- Identify vertices of PU events
- ID vertex of hard scatter

ATLAS FTK for Run 2

- Hardware-based tracking is (almost) already a reality at LHC
- Fast Tracker (FTK) : Level-1.5 hardware processor for full silicon tracking at L1 output rate (100 kHz)
 - reduce timing required for software tracking
 - selection more closely matched to offline
 - provides primary vertex position and multiplicity



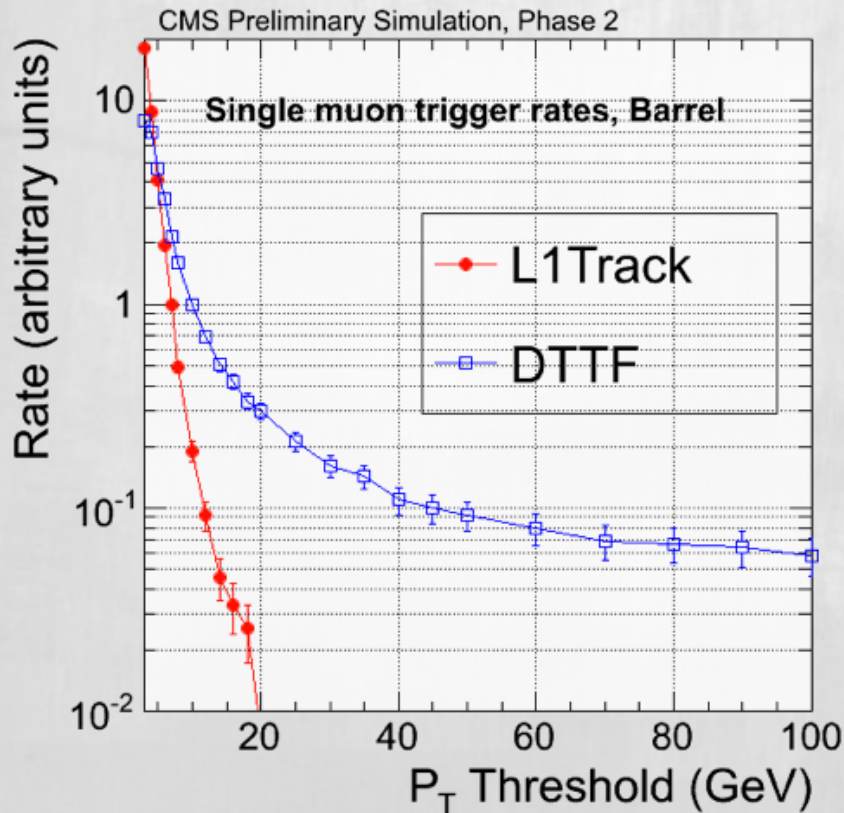
Associative Memory technology:

- massively parallel
- latency < 100 μ s
- processing rate < 100 kHz
- pile-up < 70

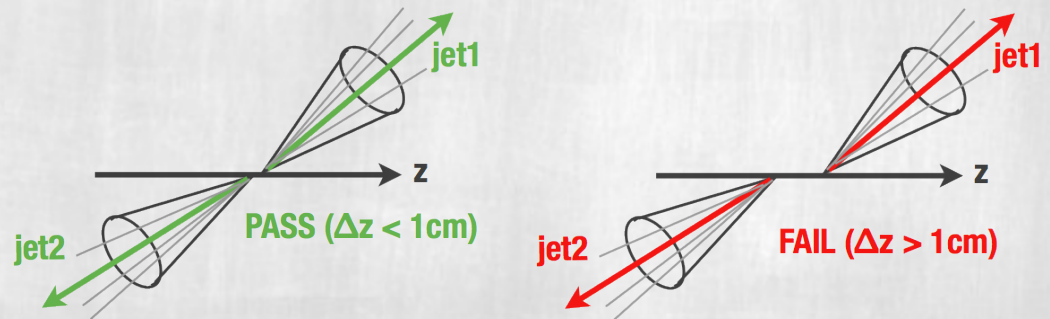
Why a Track Trigger at L1

(Not detailed: see lecture by O. Buchmueller this afternoon)
 Rates grow with luminosity + trigger degradation from pile-up

Huge rate of μ from heavy flavours	\Rightarrow	use better p_T resolution from tracker
Prompt electrons at L1 need to be separated from huge γ	\Rightarrow	tracker tracks
High ET jets from (many) different primary vertices	\Rightarrow	jet-vertex association
Photon isolation in Calorimeters compromised by large pileup	\Rightarrow	use tracks



Jet vertex association needs tracking

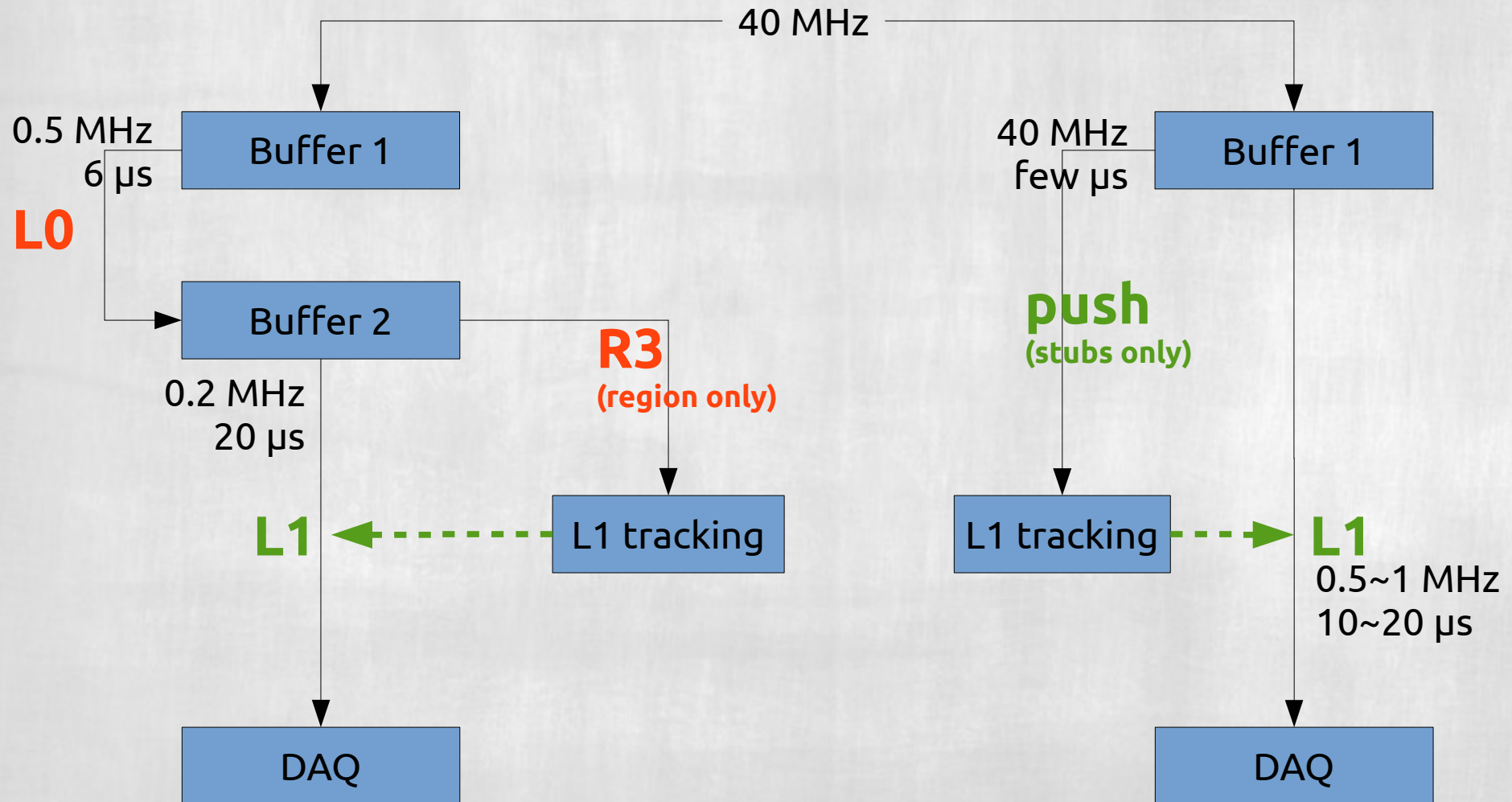


Trigger rates tamed at reasonable thresholds

Level-which?

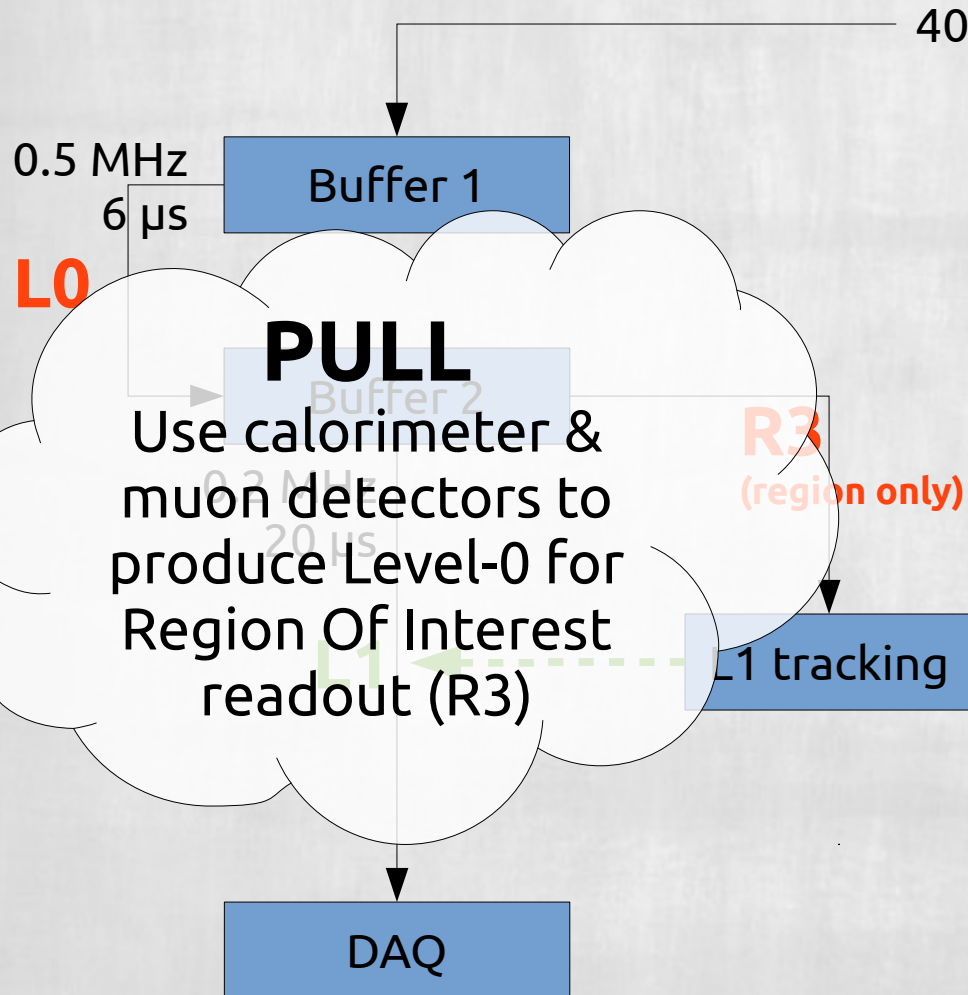
ATLAS

CMS

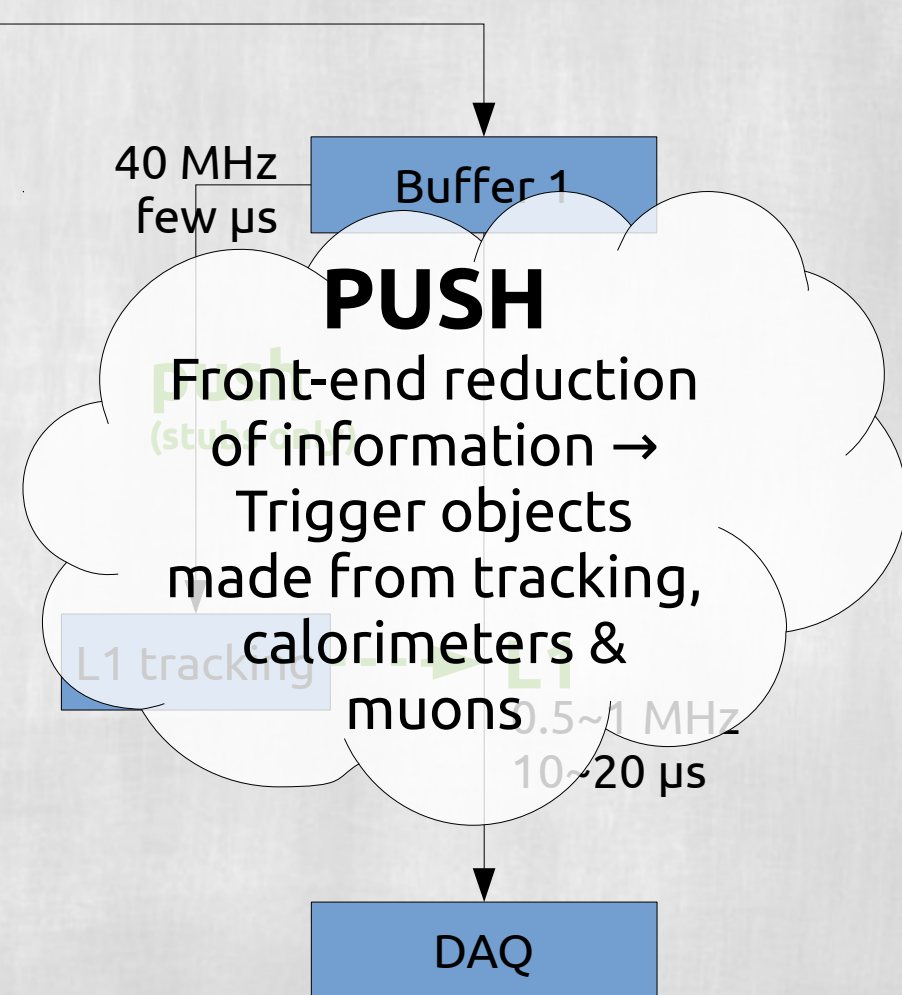


Level-which?

ATLAS



CMS

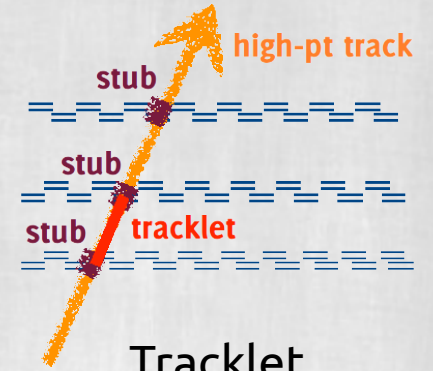
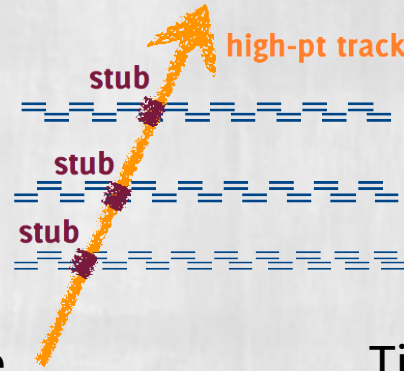


CMS L1-tracking 3 strands of R&D

Extreme challenge: reconstruct $O(100)$ tracks from ~ 15000 stubs at 40 MHz

Track finding

step is performed here (main step)



Associative Memories

Time Multiplexed Trigger

Tracklet algorithm

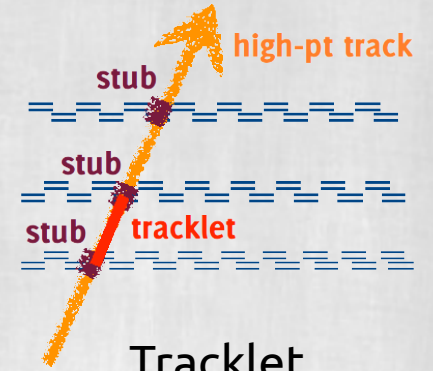
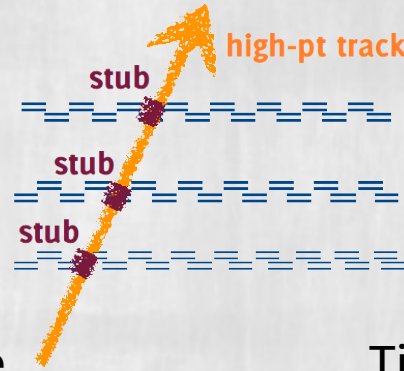
1st pass	Hardware	Dedicated	FPGA	FPGA
	Primitives	Stubs	Stubs	Stubs
	Method	Pattern match (10^8)	Projective binning	Tracklet find
	Generates	Patterns	Track candidates	Tracklets
2nd pass	Hardware	FPGA	FPGA	FPGA
	Primitives	Patterns + stubs	Track candidates + stubs	Tracklets + stubs
	Method(s)	PCA or Hough transform or Retina	Under study	X^2 fit
	Generates	L1-Tracks	L1-Tracks	L1-Tracks
slices	Sectors	$48 = 8 \times 6$ ($\phi \times \eta$)	$5 = 5 \times 1$ ($\phi \times \eta$)	$28 = 28 \times 1$ ($\phi \times \eta$)
	Time MUX	(Load balancing)	24x (BX)	4x (BX)

CMS L1-tracking 3 strands of R&D

Extreme challenge: reconstruct $O(100)$ tracks from ~ 15000 stubs at 40 MHz

Track finding

step is performed here (main step)



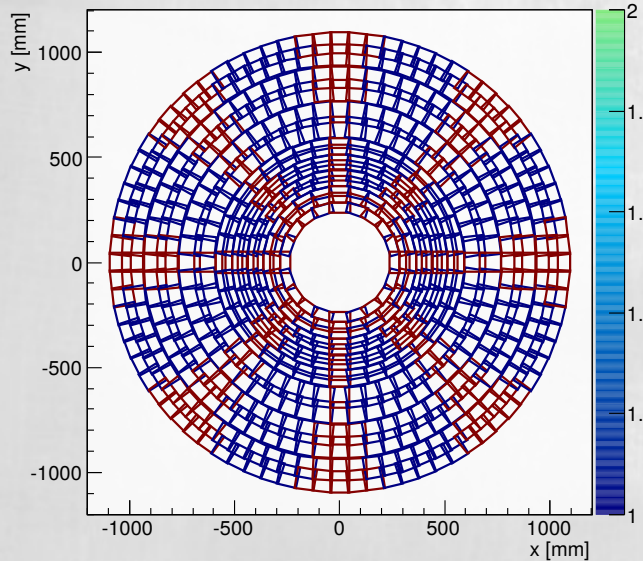
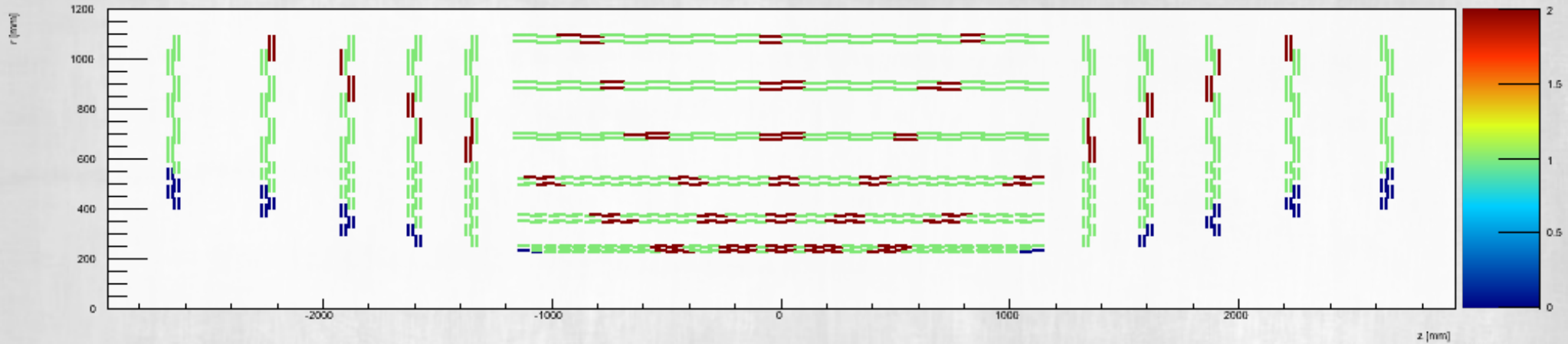
Associative Memories

Time Multiplexed Trigger

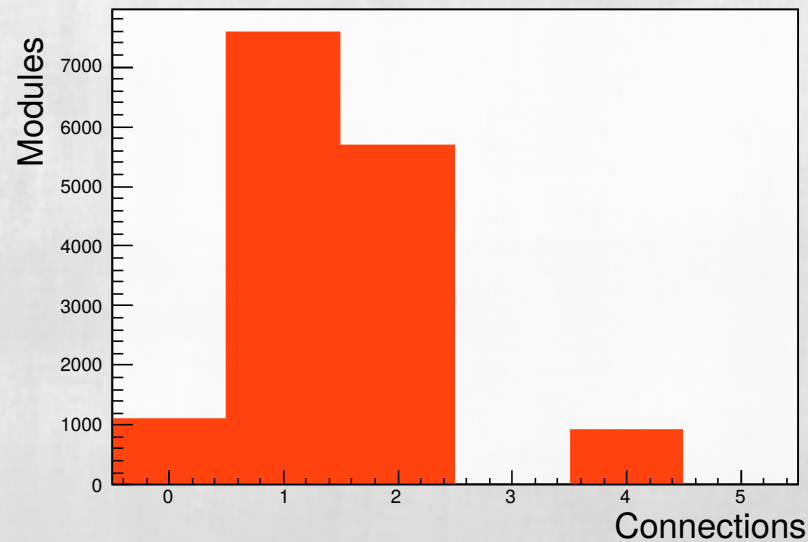
Tracklet algorithm

1st pass	Hardware	Dedicated	FPGA	FPGA
	Primitives	Stubs	Stubs	Stubs
	Method	Pattern match (10^8)	Projective binning	Tracklet find
	Generates	Patterns	Track candidates	Tracklets
2nd pass	Hardware	FPGA	FPGA	FPGA
	Primitives	Patterns + stubs	Track candidates + stubs	Tracklets + stubs
	Method(s)	PCA or Hough transform or Retina	Under study	X^2 fit
	Generates	L1-Tracks	L1-Tracks	L1-Tracks
slices	Sectors	$48 = 8 \times 6$ ($\phi \times \eta$)	$5 = 5 \times 1$ ($\phi \times \eta$)	$28 = 28 \times 1$ ($\phi \times \eta$)
	Time MUX	(Load balancing)	24x (BX)	4x (BX)

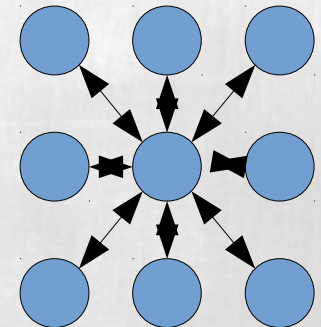
CMS possible sectors scheme



Number of connections to trigger processors

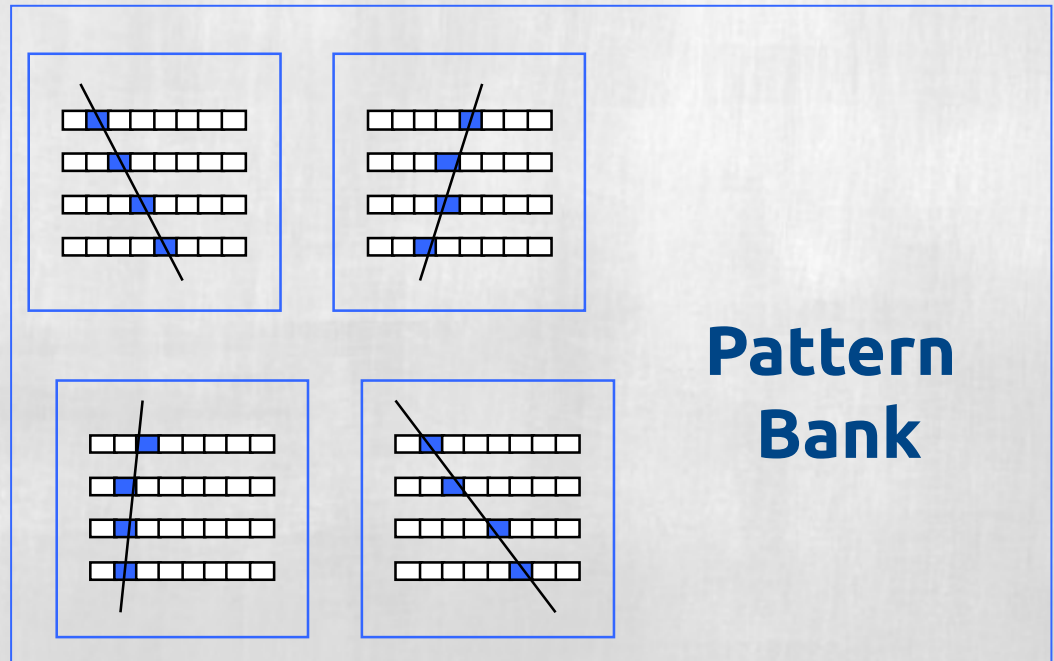
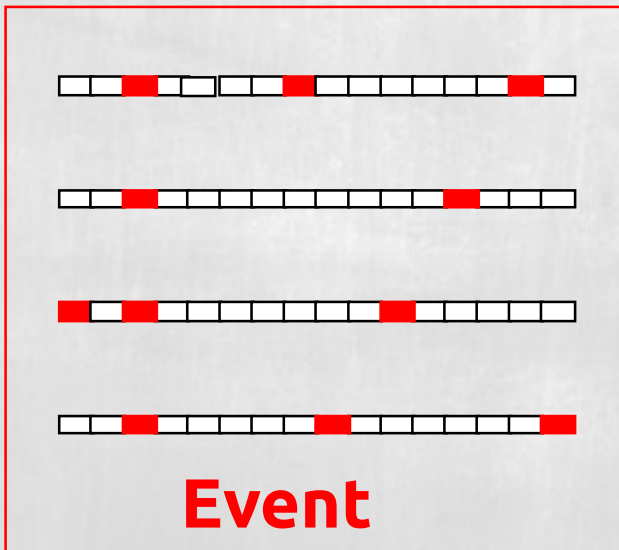
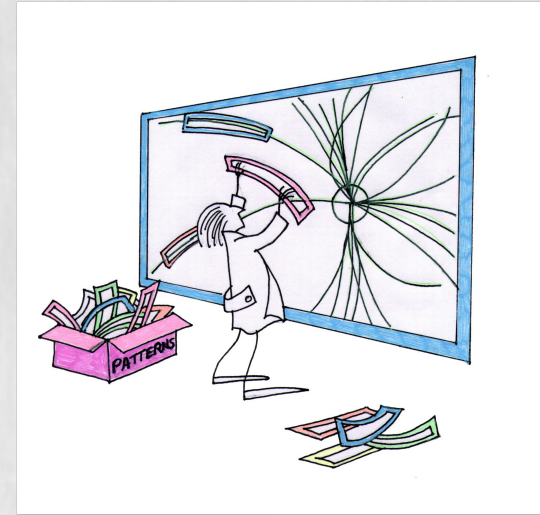


Every trigger tower only needs a fraction of data from its neighbours



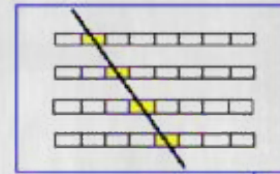
Pattern matching

- Pre-programmed patterns are flexible. Can be tuned on real data and can account for:
 - can account for misalignment
 - changing detector conditions
 - beam movement
 - ...

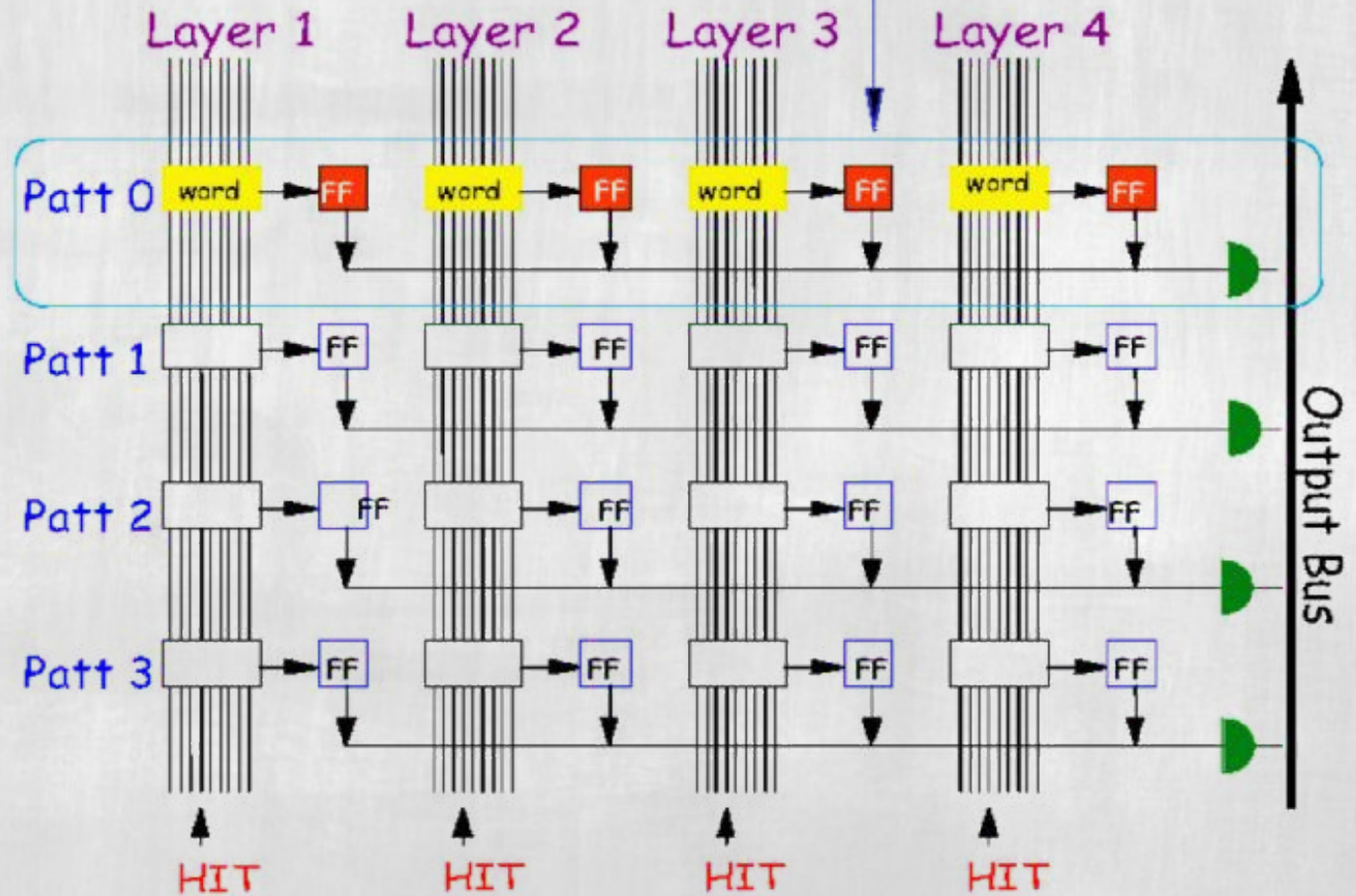


Pattern matching: associative memory

One pattern

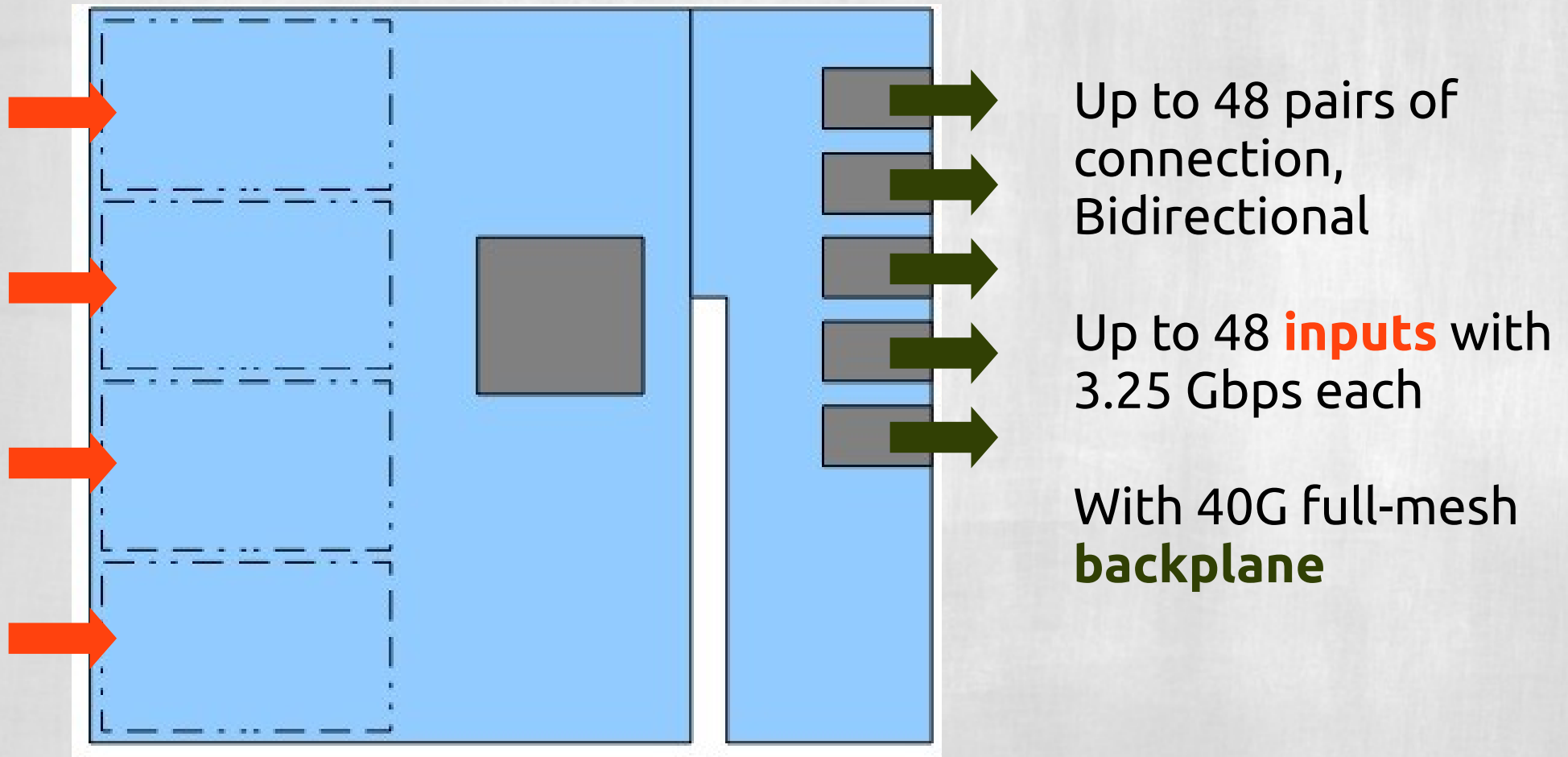


1 register
1 comparator
1 match
/ layer / patt



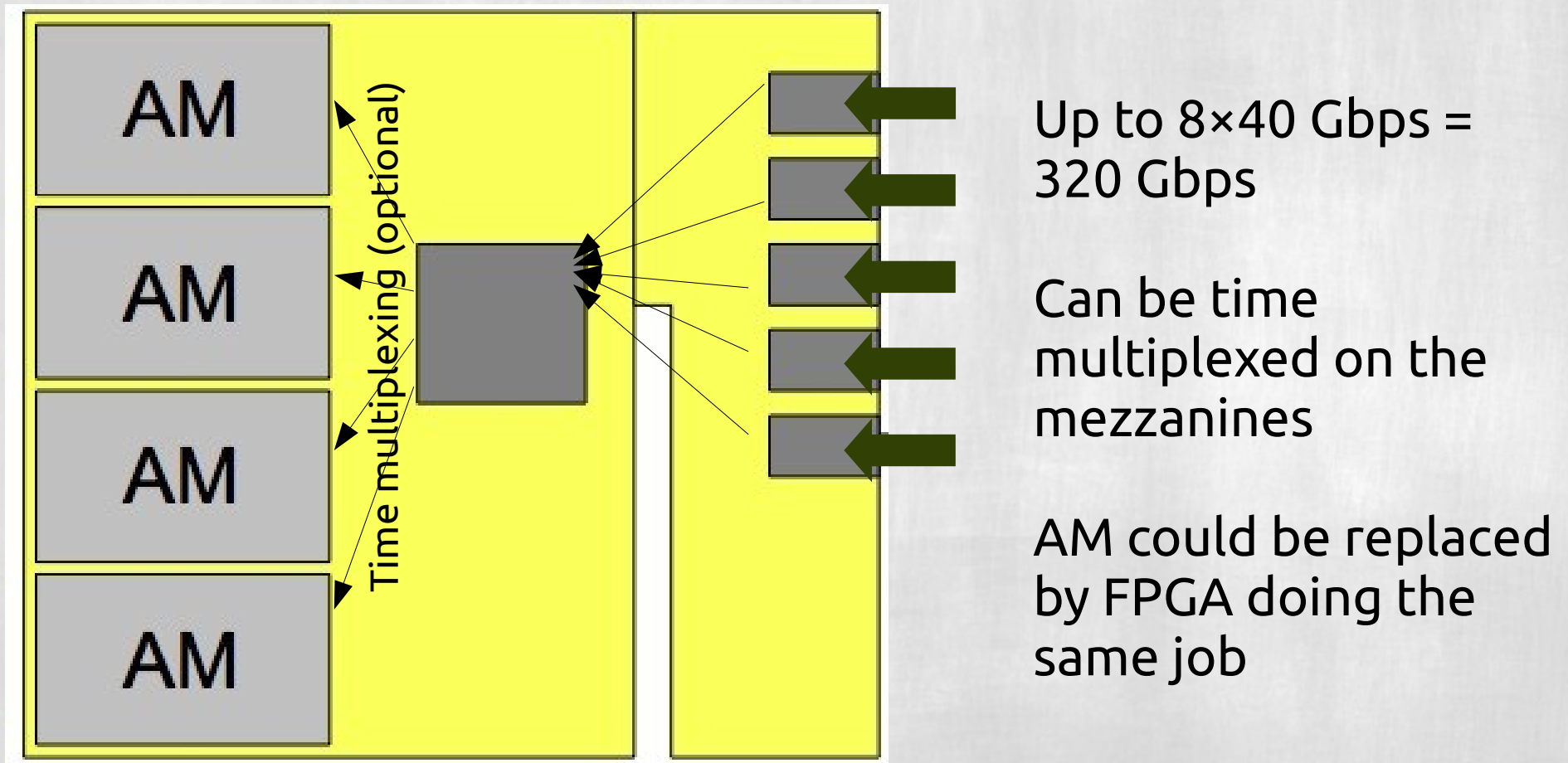
CMS: toward a demonstrator (ATCA)

Input Data Board



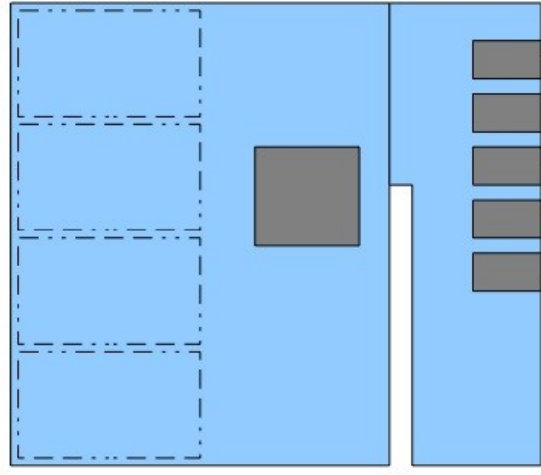
CMS: toward a demonstrator (ATCA)

Pattern Recognition Board

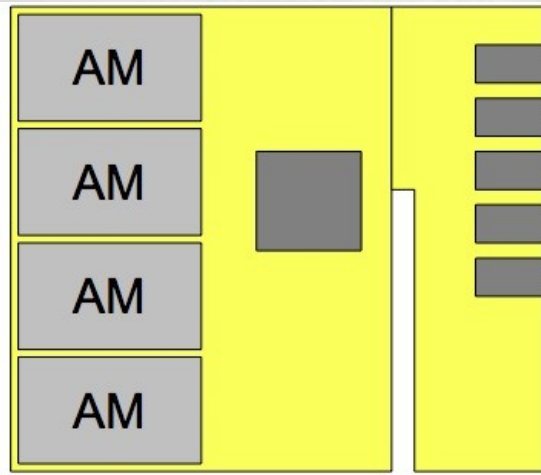


CMS: toward a demonstrator (ATCA)

Input Data Board x 8



Pattern Recognition Board x 4

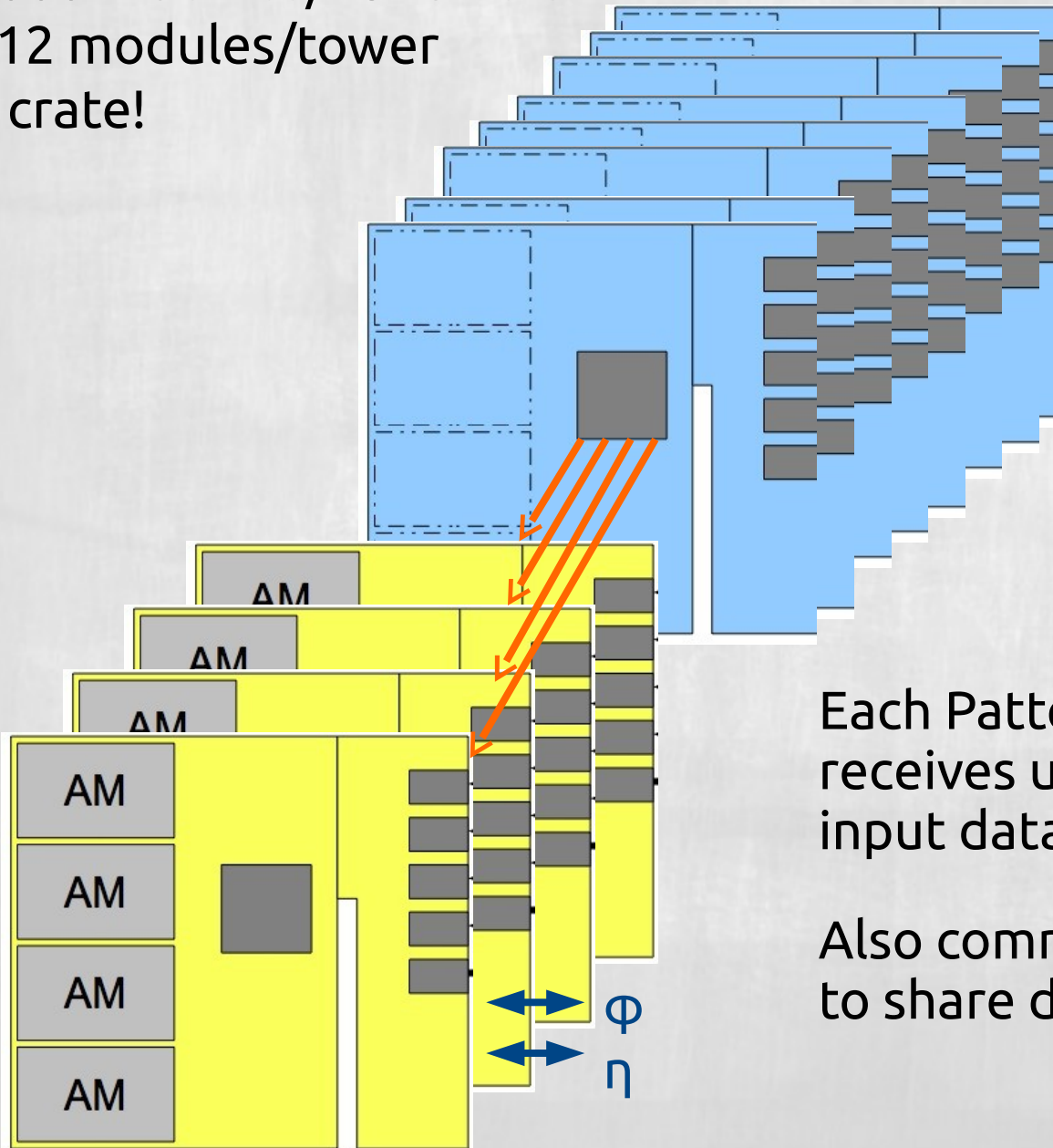


One Trigger Tower = 1 crate



Trigger towers

15,000 modules/48 towers
 ≈ 312 modules/tower
 ≈ 1 crate!



Each board up to 48
modules @ 3.25Gbps
8 boards up to 384
modules
(one trigger tower worth)

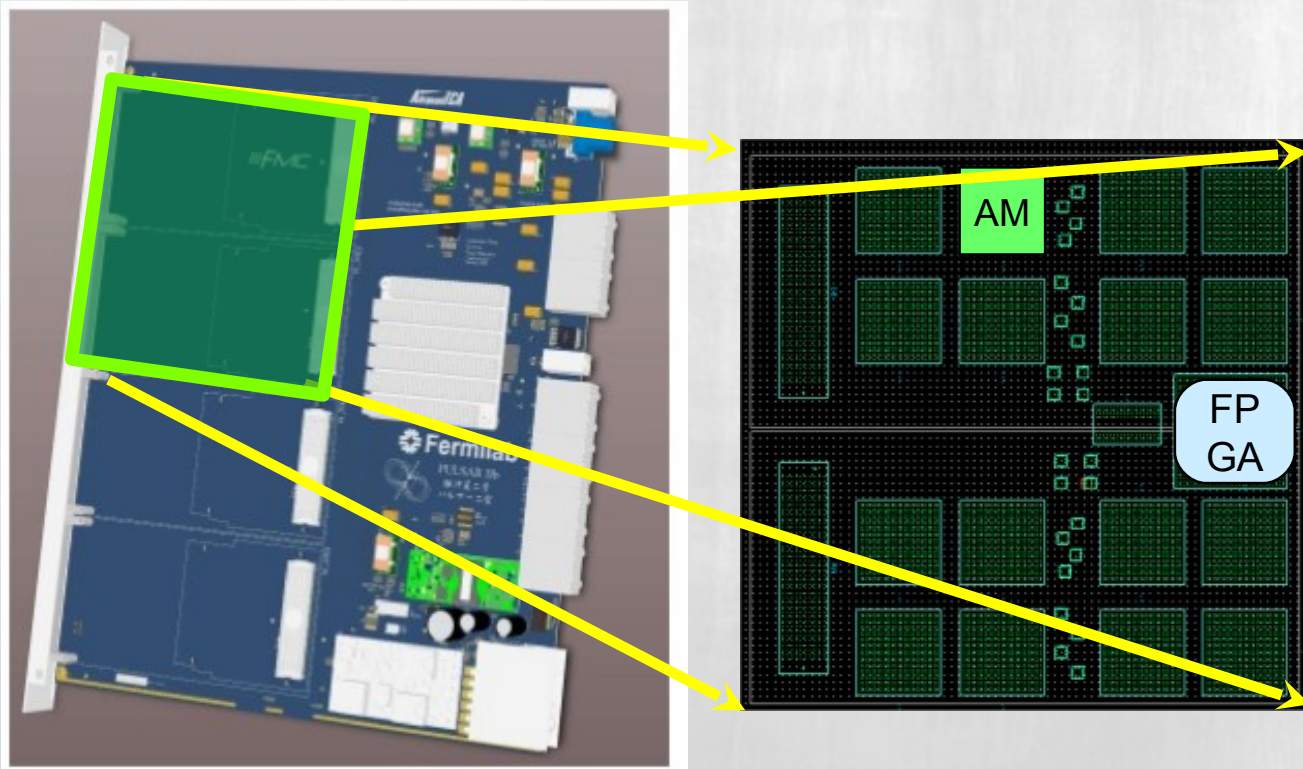
Each Pattern Recognition board
receives up to 8×40 Gbps = 320 Gbps
input data over full Mesh backplane.

Also communicate with other crates
to share data in ϕ, η for each time slice

Pattern recognition & track fit

Associative Memories (pattern recognition) + FPGA (track fit)

- CMS trigger sectors need $\sim 1\text{M}$ patterns: only 8 state-of-the-art AM06-chip (but higher I/O speed (currently 2Gb/s/layer) to reduce time multiplexing)
- ~ 3000 Track fitting engines using Principal Component Analysis
Alternative methods under study (Hough Transform, Retina)

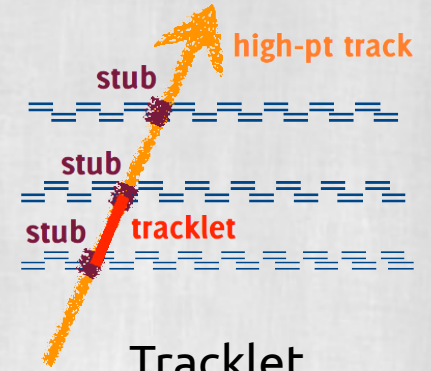
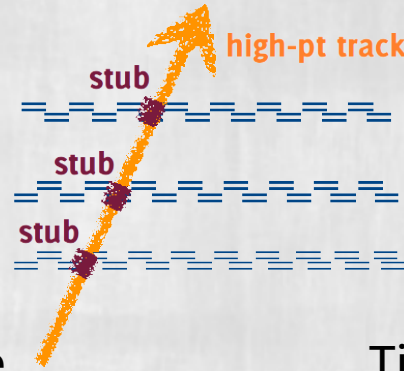


L1-tracking 3 strands of R&D

Extreme challenge: reconstruct $O(100)$ tracks from ~ 15000 stubs at 40 MHz

Track finding

step is performed here
(main step)



Associative
Memories

Time
Multiplexed Trigger

Tracklet
algorithm

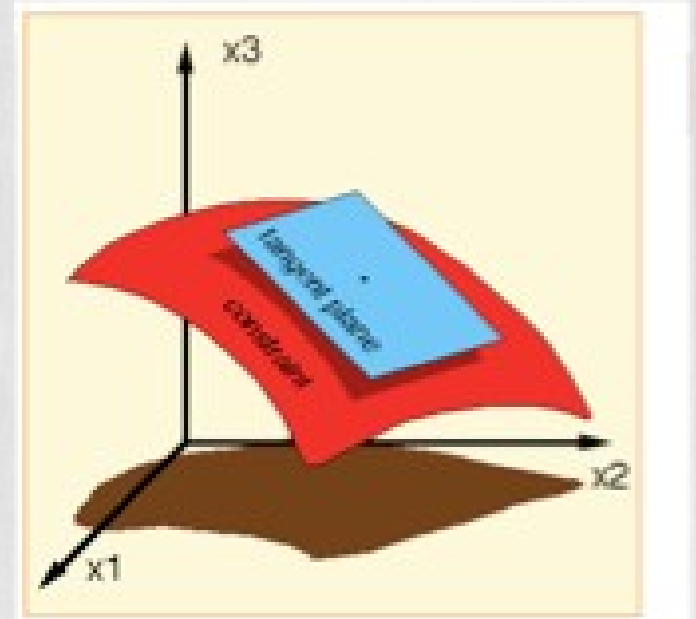
1st pass	Hardware	Dedicated	FPGA	FPGA
	Primitives	Stubs	Stubs	Stubs
	Method	Pattern match (10^8)	Projective binning	Tracklet find
	Generates	Patterns	Track candidates	Tracklets
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	Time MUX	(Load balancing)	24x (BX)	4x (BX)

Principal Component Analysis

Over a narrow region in the detector, equations linear in the local silicon hit coordinates give resolution nearly as good as a time-consuming helical fit.

Uses **pre-computed** parameters (LUT)

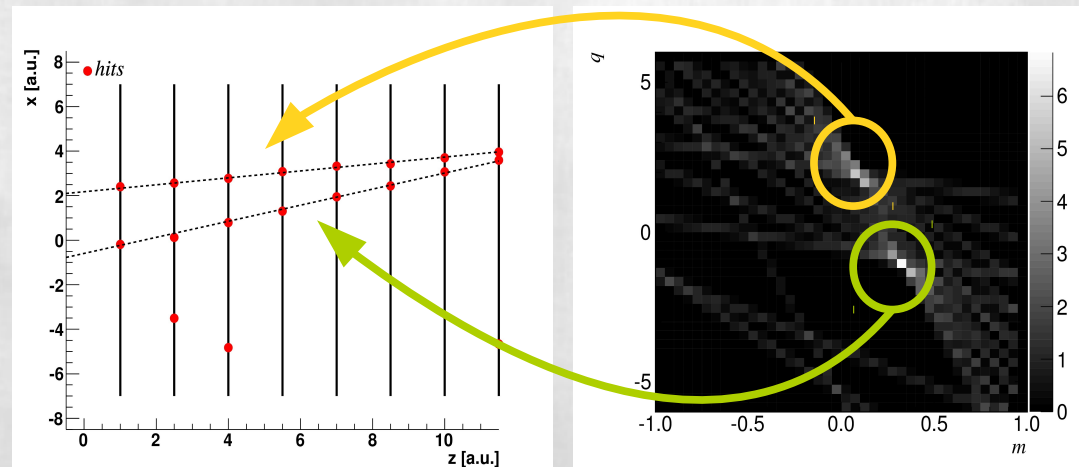
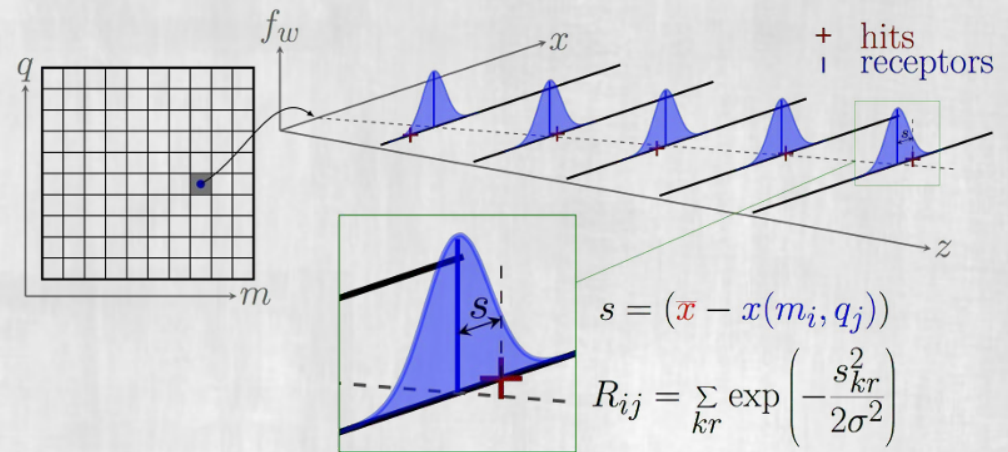
$$p_i = \sum_{j=1}^{14} a_{ij} x_j + b_i$$



- p_i 's are the helix parameters and 2 components.
- x_j 's are the hit coordinates in the silicon layers.
- a_{ij} & b_i are pre-stored constants determined from full simulation or real data tracks.
- The range of the linear fit is a "sector" which consists of a single silicon module in each detector layer.
- This is VERY fast in FPGA DSPs.

Retina algorithm

- Tracks project to a virtual “retina”
- Retina's cell activate proportionally to the distance from the signal
- A simple 2D example to visualize how the retina algorithm works:
 - n parallel single-coordinate layers,
 - no magnetic field, straight line tracks identified by two parameters (m,q).
 - Tracks are peaking structures (over-a-threshold)
- For 5-parameter tracking: 5-dimensional grid

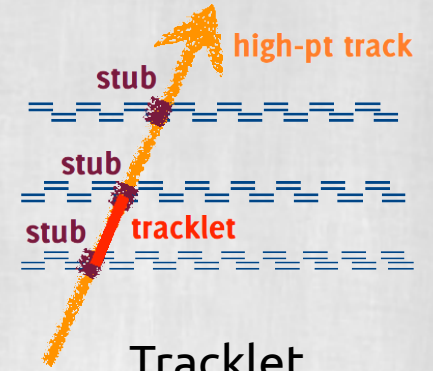
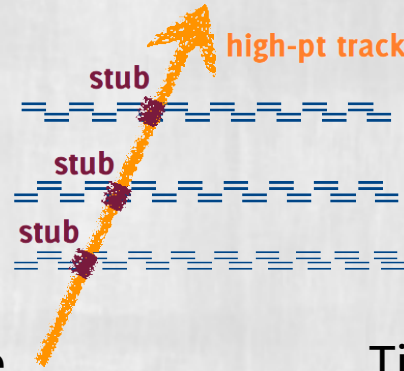


L1-tracking 3 strands of R&D

Extreme challenge: reconstruct $O(100)$ tracks from **~15000 stubs** at **40 MHz**

Track finding

step is performed here
(main step)



Associative
Memories

Time
Multiplexed Trigger

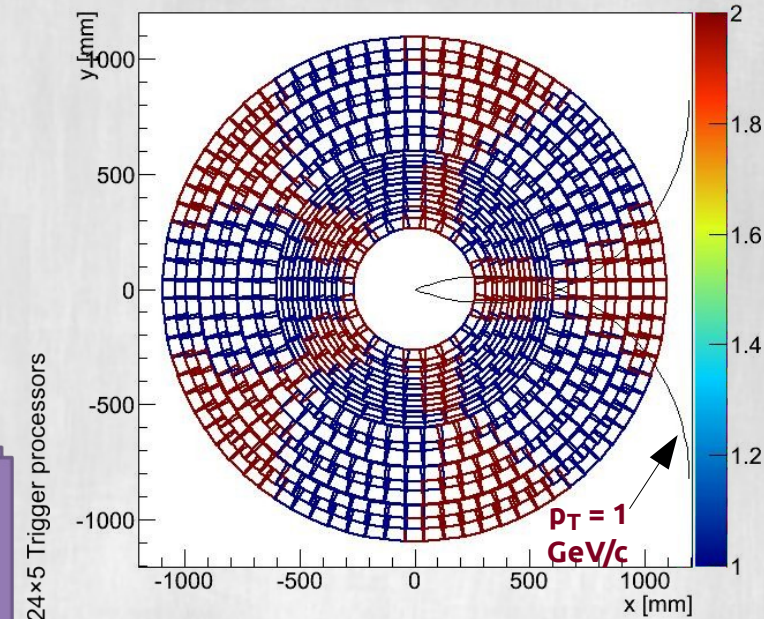
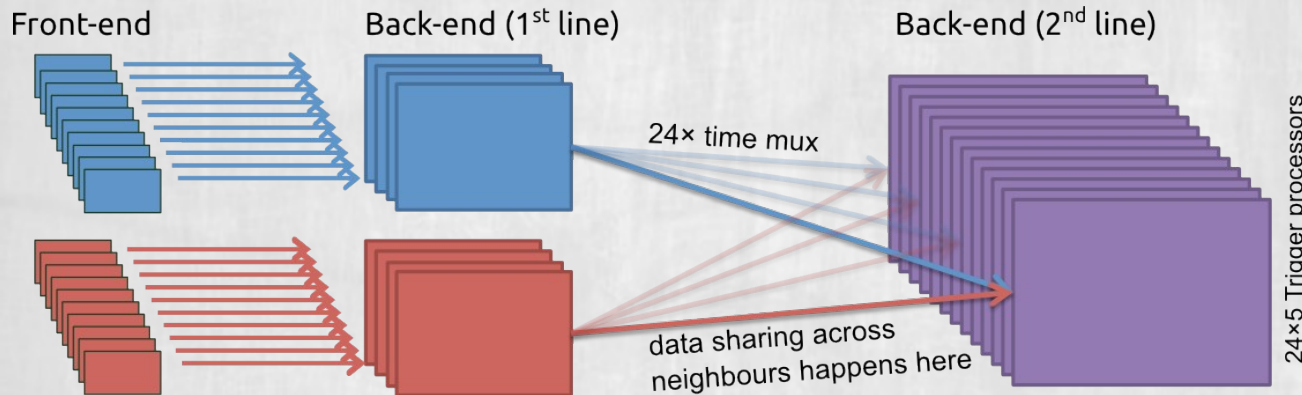
Tracklet
algorithm

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Time-multiplexed trigger

- Geometric sectioning kept to minimum, with constraints:
 - 1 module cannot be shared more than 2 regions
 - boundary for regions at $p_T=1$ GeV/c

slices	Sectors	$5 = 5 \times 1 (\phi \times \eta)$
	Time MUX	$24 \times (\text{BX})$



Benefits:

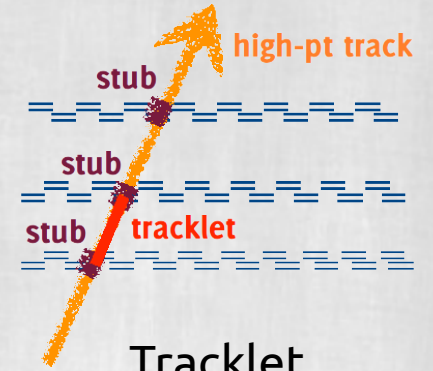
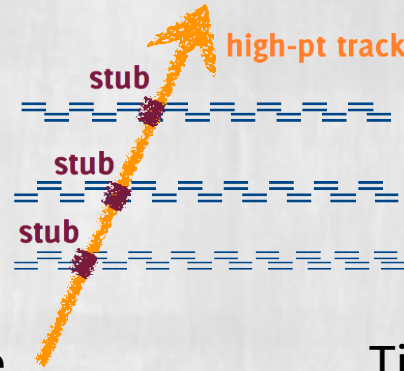
- No boundary sharing is required after duplication/ no removal of duplicates necessary
- Builds on experience from μ TCA-based L1 trigger upgrade (hardware is available)
- Can be implemented in FPGA
- Only a fraction of the system is needed to fully demonstrate it

L1-tracking 3 strands of R&D

Extreme challenge: reconstruct $O(100)$ tracks from **~15000 stubs** at **40 MHz**

Track finding

step is performed here
(main step)



Associative
Memories

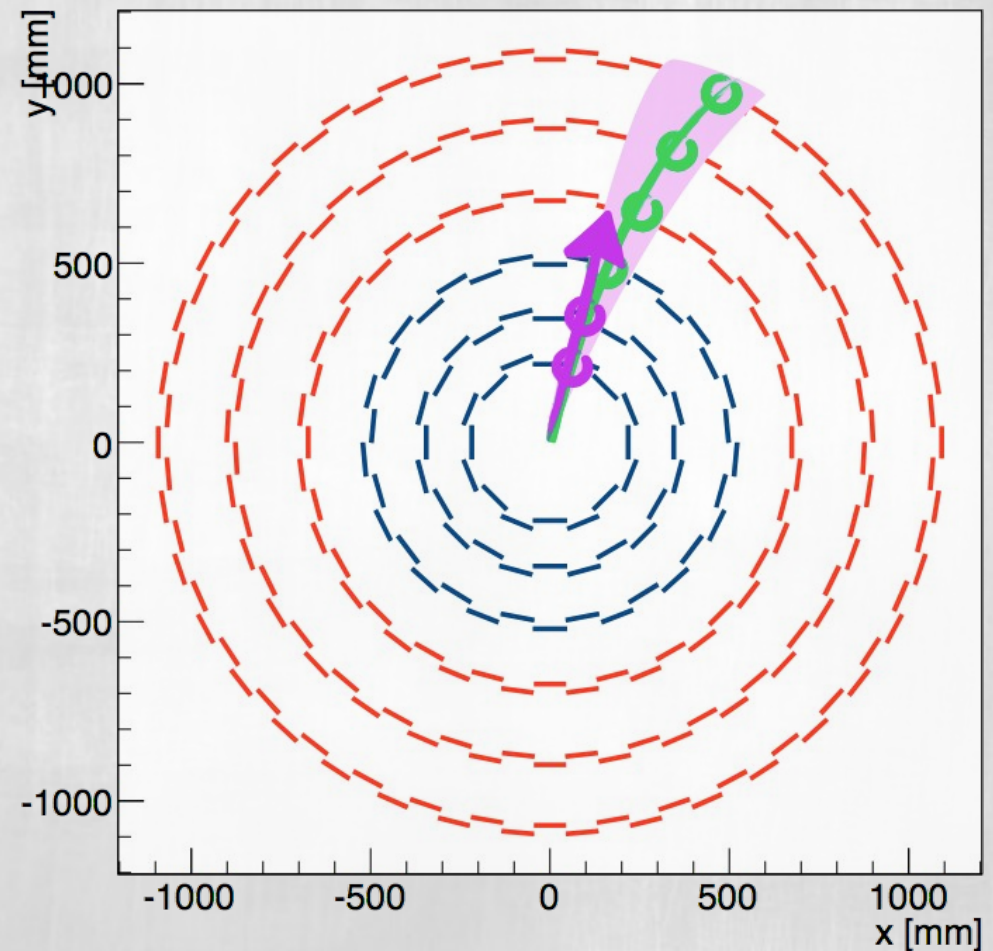
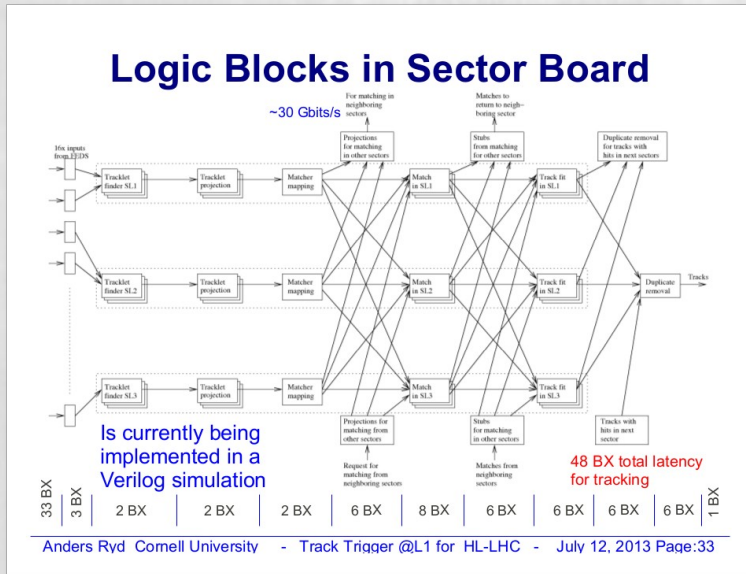
Time
Multiplexed Trigger

Tracklet
algorithm

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Tracklet algorithm

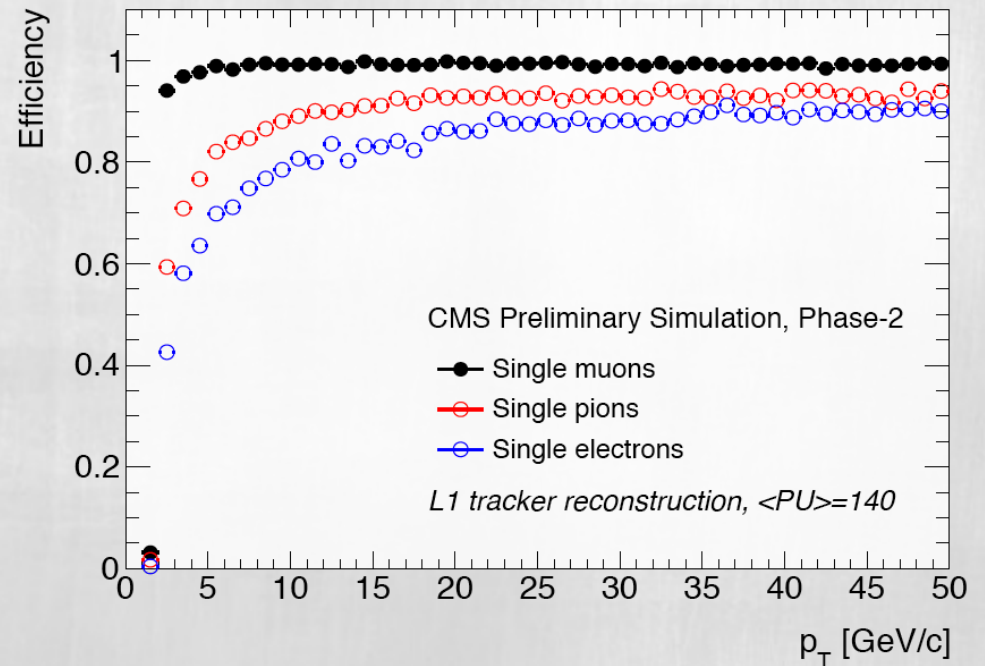
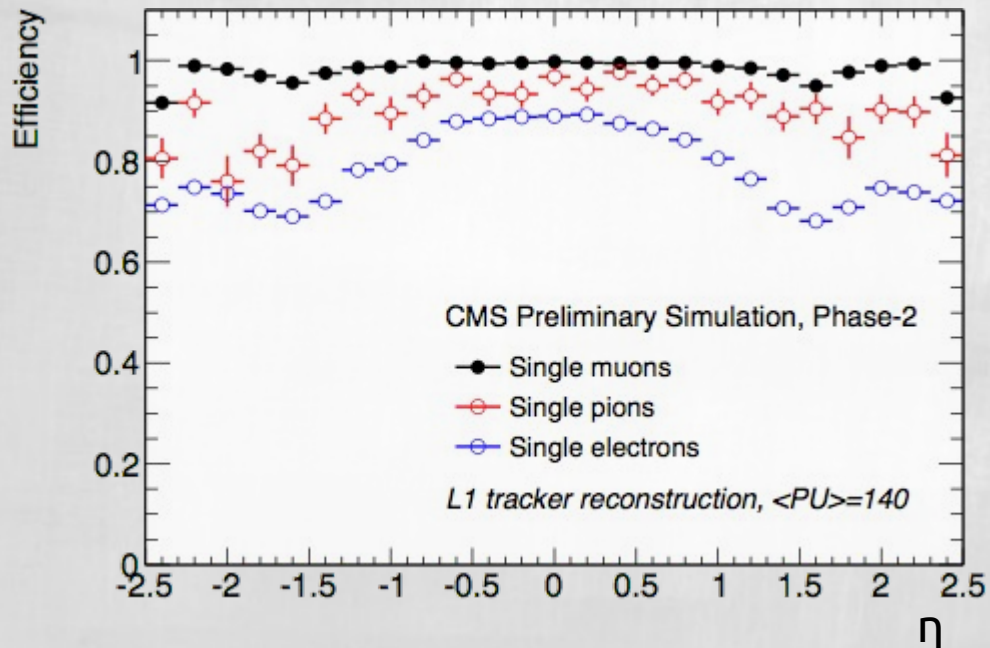
- Find track seeds by correlating stubs in subsequent layers
- “Kalman Filter”-like approach: propagate stubs from one layer to another and concatenate stubs within matching windows
- Linearised Track Fitter
- Ghost removal
- Verilog simulation is under study:



Performance (tracklet algorithm)

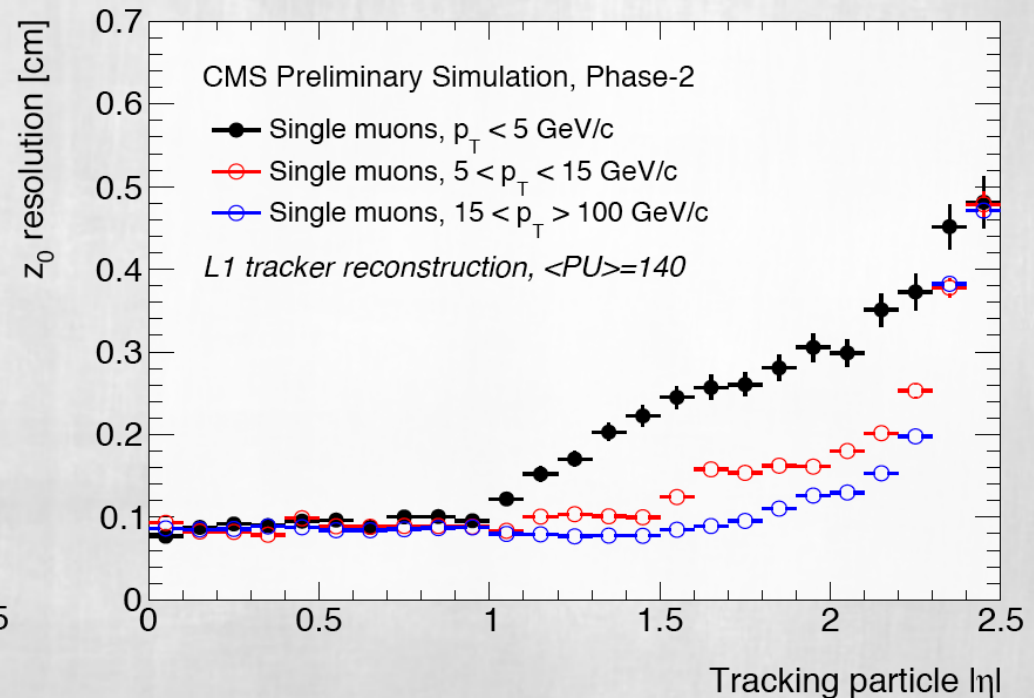
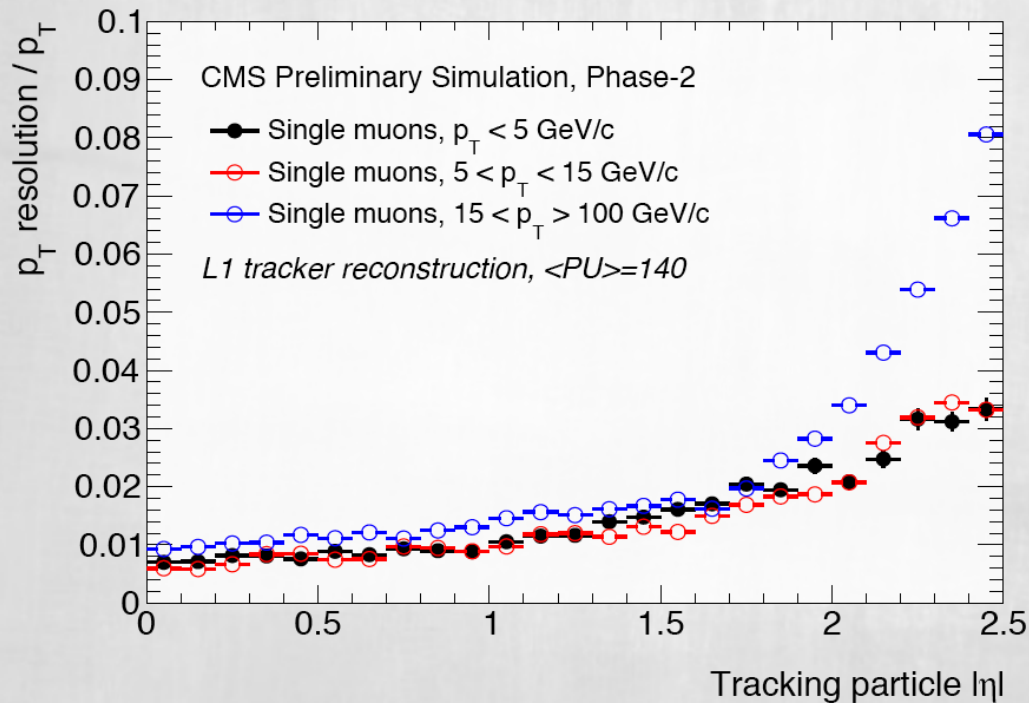
L1 tracking efficiency for single μ , π , e with $\langle PU \rangle = 140$

- **Muons**: sharp turn-on @ 2 GeV/c and high efficiency across η
- **Pions**: somewhat lower efficiency due to higher interaction rate
- **Electrons**: slower turn-on curve, efficiency reduced from Bremsstrahlung



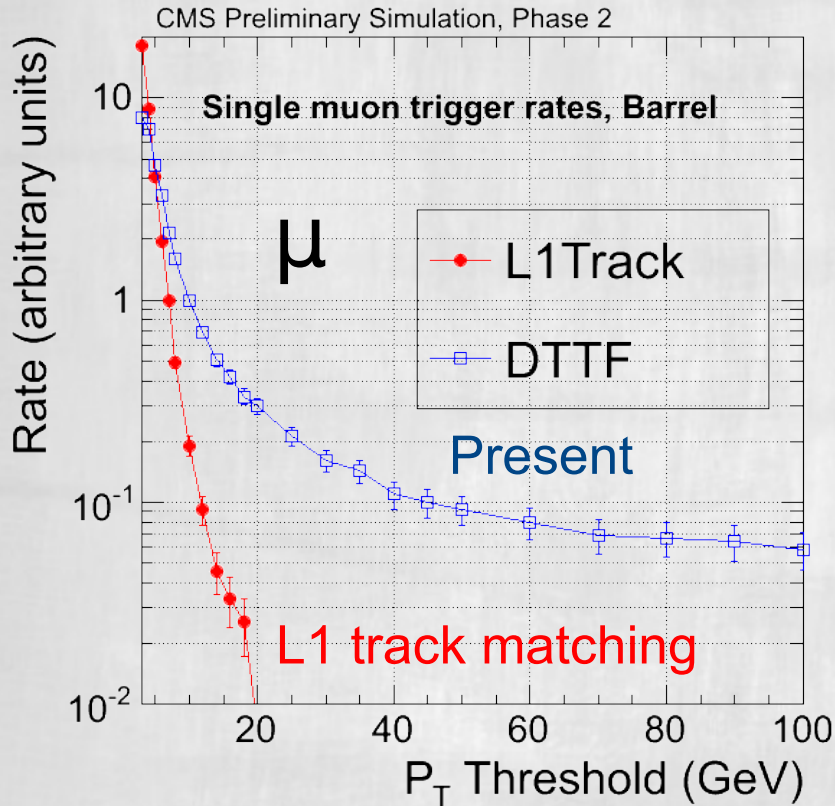
Performance (tracklet algorithm)

- Track finding performance taken from “tracklet” method
 - Estimates with AM track finding qualitatively similar
- N.B. Track finding not (yet) demonstrated in hardware: indication of the performance that could be achievable

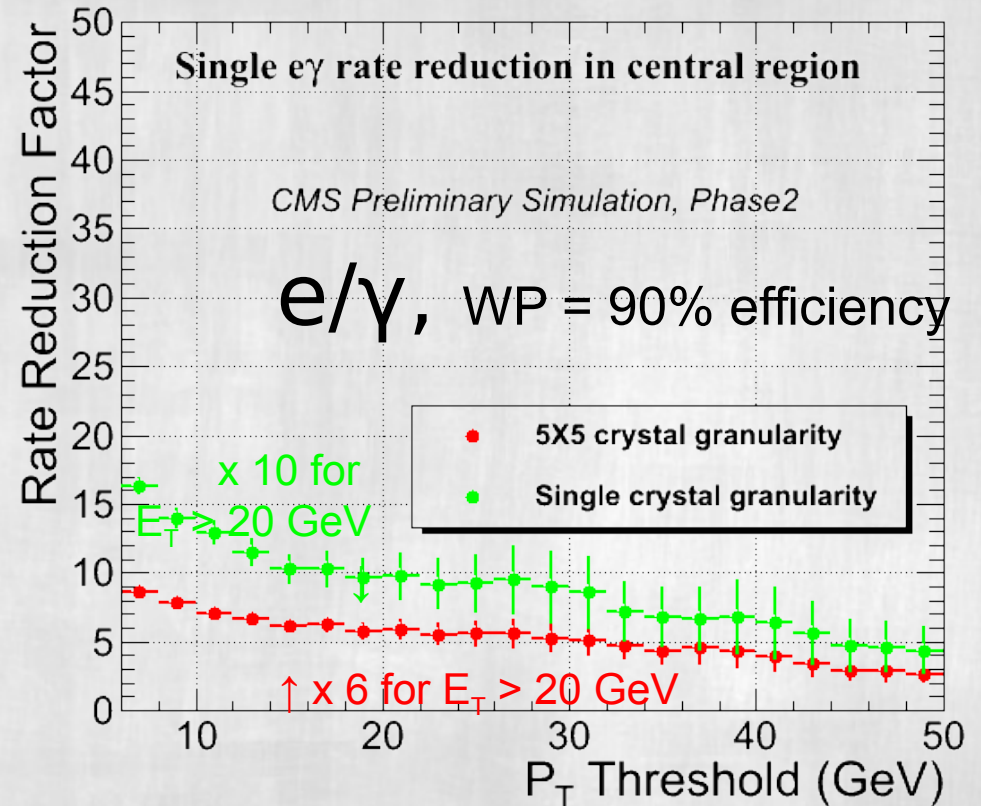


Use of L1-tracks

Just a taste of the advantages:



Matching Drift Tube trigger primitives with L1Tracks: large rate reduction: > 10 at threshold $> \sim 14$ GeV
 Normalized to present trigger at 10 GeV. Removes flattening at high Pt



Rate reduction by matching L1 e/gamma to L1Track stubs for $|\eta| < 1$

Red: with current (5x5 xtal) L1Cal granularity.

Green: using single crystal-level position resolution improves matching

That's all, folks!