#### Gluon saturation and Factorization Issues at small-x

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# **High Energy Strong Interactions:**



A School for Young Asian Scientists [http://conf.ccnu.edu.cn/~quadrangle2014/]







## Outline

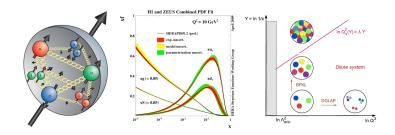
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- Gluon distributions
- $k_t$  Factorization at One-loop Order
- Phenomenological Application
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## Deep into small-x region



- Partons in the low-x region is dominated by gluons. See HERA data.
- Gluon splitting functions  $(g \to gg \text{ and } q \to qg)$  have 1/x singularities  $\to$  Gluon density rises at low x. (Small-x gluon radiation is favored.)
- BFKL equation  $\Rightarrow$  Resummation of the  $\alpha_s \ln \frac{1}{x}$ .
- When too many gluons squeezed in a confined hadron, gluons start to overlap and recombine ⇒ Non-linear dynamics ⇒ BK (JIMWLK) equation
- Use  $Q_s(x)$  to separate the saturated dense regime from the dilute regime.
- Core ingredients: Multiple interactions + Small-x (high energy) evolution



### Collinear Factorization vs $k_{\perp}$ Factorization

#### Collinear Factorization



 $k_{\perp}$  Factorization (Spin physics (TMD) and saturation physics)



- The incoming partons carry no  $k_{\perp}$  in the Collinear Factorization.
- In general, there is intrinsic  $k_{\perp}$ . It can be negligible for partons in protons.
- When gluon density is large  $(\frac{1}{\alpha_s})$ , the resummation of multiple interactions becomes important.
- In collinear factorization, PDFs are universal.



## $k_t$ factorization

 $k_t$  factorization for gluon productions [Kaharzeev, Levin, Nardi, 03] surprisingly works.

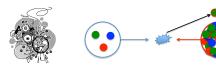


- Extension to quark pair productions. [Fujii, Gelis, Venugopalan, 06; Fujii, Watanabe, 13]
- Factorization and NLO correction? Only proved for DY and Higgs!
- Important requirements: Hard scattering and color neutral final states.
- Violations of  $k_t$  factorizations. Quantitative study [Fujii, Gelis, Venugopalan, Lappi  $\cdots$ ].
- Dijet processes[Collins, Qiu, 08],[Rogers, Mulders; 10].
- $k_t$  factorization  $\simeq$  TMD factorization ? Yes, but more complicated.



### Dilute-Dense factorizations

#### Dilute-Dense factorizations [Dumitru, Jalilian-Marian, 02; Hayashigaki, 06]



- projectile:  $x_1 \sim \frac{p_\perp}{\sqrt{s}}e^{+y} \sim 1$  valence target:  $x_2 \sim \frac{p_{\perp}}{\sqrt{s}}e^{-y} \ll 1$  gluon
- R. Feynman: Scattering protons on protons is like banging two fine Swiss watches to find out how they are built. Same analogy applies to AA collisions.
- The search for parton saturation is much easier in dilute-dense scatterings.
- Protons and virtual photons are dilute probes of the dense target hadrons.
- For dijet productions in forward pA collisions, effective  $k_t$  factorization:

$$\frac{d\sigma^{pA\to ggX}}{d^2P_\perp d^2q_\perp dy_1 dy_2} = x_p g(x_p, \mu) x_A g(x_A, q_\perp) \frac{1}{\pi} \frac{\mathrm{d}\hat{\sigma}}{\mathrm{d}\hat{t}}.$$



### Factorization and NLO Calculation

 Factorization is about separation of short distant physics (perturbatively calculable hard factor) from large distant physics (Non perturbative).

$$\sigma \sim x f(x) \otimes \mathcal{H} \otimes D_h(z) \otimes \mathcal{F}(k_{\perp})$$

- NLO (1-loop) calculation always contains various kinds of divergences.
  - Some divergences can be absorbed into the corresponding evolution equations.
  - The rest of divergences should be cancelled.
- Hard factor

$$\mathcal{H} = \mathcal{H}_{\mathrm{LO}}^{(0)} + rac{lpha_{s}}{2\pi}\mathcal{H}_{\mathrm{NLO}}^{(1)} + \cdots$$

should always be finite and free of divergence of any kind.

• NLO vs NLL Naive  $\alpha_s$  expansion sometimes is not sufficient!

	LO	NLO	NNLO	
LL	1	$\alpha_s L$	$(\alpha_s L)^2$	
NLL		$\alpha_s$	$\alpha_s (\alpha_s L)$	
			• • •	

Evolution → Resummation of large logs.
 LO evolution resums LL; NLO ⇒ NLL.



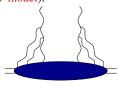
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### A Tale of Two Gluon Distributions

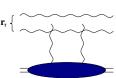
In small-x physics, two gluon distributions are widely used: [Kharzeev, Kovchegov, Tuchin; 03] I. Weizsäcker Williams gluon distribution ([KM, 98'] and MV model):

$$xG^{(1)}(x,k_{\perp})$$
  $\Leftarrow$ 



II. Color Dipole gluon distributions:

$$xG^{(2)}(x,k_{\perp})$$
  $\Leftarrow$ 



#### Remarks:

- The WW gluon distribution simply counts the number of gluons.
- The Color Dipole gluon distribution often appears in calculations.
- Does this mean that gluon distributions are non-universal? Answer: Yes and No!



## Two Different Gauge Invariant Operator Definitions

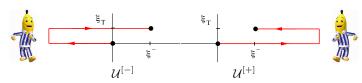
#### [F. Dominguez, BX and F. Yuan, Phys.Rev.Lett. 11]

I. Weizsäcker Williams gluon distribution: Gauge Invariant definitions

$$xG^{(1)} = 2 \int \frac{d\xi^{-}d\xi_{\perp}}{(2\pi)^{3}P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \operatorname{Tr}\langle P|F^{+i}(\xi^{-}, \xi_{\perp})\mathcal{U}^{[+]\dagger}F^{+i}(0)\mathcal{U}^{[+]}|P\rangle.$$

II. Color Dipole gluon distributions: Gauge Invariant definitions

$$xG^{(2)} = 2 \int \frac{d\xi^{-}d\xi_{\perp}}{(2\pi)^{3}P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \operatorname{Tr} \langle P|F^{+i}(\xi^{-}, \xi_{\perp})\mathcal{U}^{[-]\dagger}F^{+i}(0)\mathcal{U}^{[+]}|P\rangle.$$



- The WW gluon distribution is the conventional gluon distributions.
- The dipole gluon distribution has no such interpretation.



### A Tale of Twin Gluon Distributions

I. Weizsäcker Williams gluon distribution (never been measured)

$$xG^{(1)}(x,k_{\perp})$$
  $\Leftarrow$ 



II. Color Dipole gluon distribution:

$$xG^{(2)}(x,k_{\perp})$$



- Quadrupole ⇒ Weizsäcker Williams gluon distribution;
- Dipole ⇒ Color Dipole gluon distribution;



### A Tale of Twin Gluon Distributions

In terms of operators, we find these two gluon distributions can be defined as follows:

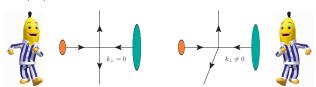
[F. Dominguez, C. Marquet, BX and F. Yuan, 11]

I. Weizsäcker Williams gluon distribution:

$$xG^{(1)} = 2 \int \frac{d\xi^{-}d\xi_{\perp}}{(2\pi)^{3}P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \operatorname{Tr} \langle P|F^{+i}(\xi^{-}, \xi_{\perp})\mathcal{U}^{[+]\dagger}F^{+i}(0)\mathcal{U}^{[+]}|P\rangle.$$

II. Color Dipole gluon distributions:

$$xG^{(2)} = 2 \int \frac{d\xi^{-}d\xi_{\perp}}{(2\pi)^{3}P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \operatorname{Tr} \langle P|F^{+i}(\xi^{-}, \xi_{\perp})\mathcal{U}^{[-]\dagger}F^{+i}(0)\mathcal{U}^{[+]}|P\rangle.$$



#### Questions:

- Can we distinguish these two gluon distributions?
- How to measure  $xG^{(1)}$  directly? DIS dijet.
- How to measure  $xG^{(2)}$  directly? Direct  $\gamma$ +Jet in pA collisions.
- What happens in gluon+jet production in pA collisions? Need both gluon distribution

## Dijet processes in pA collisions in the large $N_c$ limit

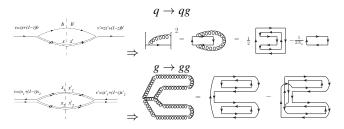
#### Need both gluon distributions in the effective factorization



#### The Fierz identity:



### Graphical representation of dijet processes





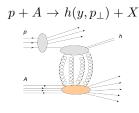
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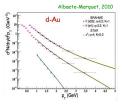


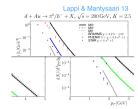
## Forward hadron production in pA collisions

[Dumitru, Jalilian-Marian, 02] Inclusive forward hadron production in pA collisions

$$\frac{d\sigma_{\text{LO}}^{pA\to hX}}{d^2p_{\perp}dy_h} = \int_{\tau}^{1} \frac{dz}{z^2} \left[ \sum_{f} x_p q_f(x_p, \boldsymbol{\mu}) \mathcal{F}(k_{\perp}) D_{h/q}(z, \boldsymbol{\mu}) + x_p g(x_p, \boldsymbol{\mu}) \tilde{\mathcal{F}}(k_{\perp}) D_{h/g}(z, \boldsymbol{\mu}) \right].$$

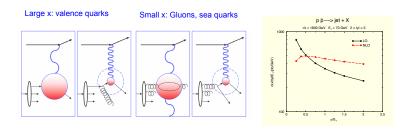






- $\mathcal{F}(k_{\perp})$  is related to the dipole gluon distribution.
- Caveats: arbitrary choice of the renormalization scale  $\mu$  and K factor.
- NLO correction? [Dumitru, Hayashigaki, Jalilian-Marian, 06; Altinoluk, Kovner 11] [Chirilli, Xiao and Yuan, 12]

## Why do we need NLO calculations?

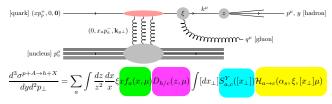


- Due to quantum evolution, xf(x) and D(z) change with scale. This introduces large theoretical uncertainties. Choice of the scale at LO requires information at NLO.
- LO cross section is a monotonic function of  $\mu$ , thus it is order of magnitude estimate.
- NLO calculation significantly reduces the scale dependence. More reliable.
- $K = \frac{\sigma_{\text{LO}} + \sigma_{\text{NLO}}}{\sigma_{\text{LO}}}$  is not a good approximation.
- NLO is vital in establishing the QCD factorization in saturation physics.



## Factorization for single inclusive hadron productions

Factorization for the  $p + A \rightarrow H + X$  process [Chirilli, BX and Yuan, Phys. Rev. Lett. 108, 122301 (2012)]

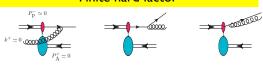


## Collinear divergence: pdfs

Collinear divergence: fragmentation functs

Rapidity divergence: BK evolution

#### Finite hard factor





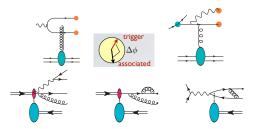
Rapidity Divergence

Collinear Divergence (P)

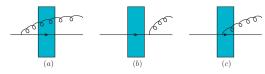
Collinear Divergence (F)

## One-loop factorizations for other processes

- One-loop Calculation for Dijet processes, Higgs, Heavy-Quarkonium ⇒ Demonstration of factorization and connection to TMD.
- Sudakov double logarithms in small-*x* physics. [Mueller, BX and Yuan, Phys. Rev. Lett. 13].



• Extension to jet quenching (energy loss) problem for large size medium?





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## Numerical implementation of the NLO result

#### Single inclusive hadron production up to NLO

$$\mathrm{d}\sigma = \int x f_a(x) \otimes D_a(z) \otimes \mathcal{F}_a^{\mathrm{xg}}(k_\perp) \otimes \mathcal{H}^{(0)} \\ + \frac{\alpha_s}{2\pi} \int x f_a(x) \otimes D_b(z) \otimes \mathcal{F}_{(N)ab}^{\mathrm{xg}} \otimes \mathcal{H}_{ab}^{(1)}.$$
[quark]  $(xp_p^+,0,0)$   $p^\mu$ ,  $y$  [luadron] [quark]  $p^\mu$  [gluon]

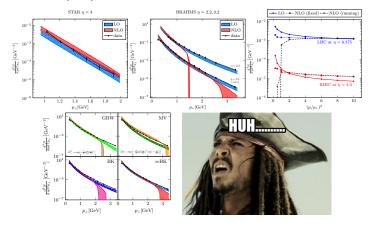
Consistent implementation should include all the NLO  $\alpha_s$  corrections.

- NLO parton distributions. (MSTW or CTEQ)
- NLO fragmentation function. (DSS or others.)
- Use NLO hard factors. Partially by [Albacete, Dumitru, Fujii, Nara, 12]
- Use the one-loop approximation for the running coupling
- rcBK evolution equation for the dipole gluon distribution [Balitsky, Chirilli, 08; Kovchegov, Weigert, 07]. Full NLO BK evolution not available.
- Saturation physics at One Loop Order (SOLO). [Stasto, Xiao, Zaslavsky, 13]



## Numerical implementation of the NLO result

#### [Stasto, Xiao, Zaslavsky, Phys. Rev. Lett.14]

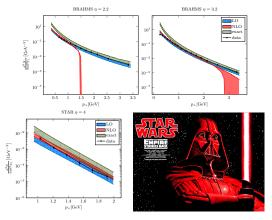


- Agree with data for  $p_{\perp} < Q_s(y)$ , and reduced scale dependence, no K factor.
- The abrupt drop of the NLO correction when  $p_{\perp} > Q_s$  was really puzzling.
- For more forward rapidity, the agreement gets better and better.



## The Old Empire Strikes Back

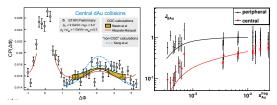
[Stasto, Xiao, Yuan, Zaslavsky, 14]



- Adopt exact kinematics and match with collinear factorization at high  $p_{\perp}$ . [G. Beuf]
- Systematic matching between the small-x and collinear factorization at high  $p_{\perp}$ .
- Saturation effects is elusive.
- The increment of the matching point implies the increase of  $Q_s$ .

### Dihadron correlations in dAu collisions

$$C(\Delta\phi) = rac{\int_{|p_{1\perp}|,|p_{2\perp}|} rac{d\sigma^{pA
ightarrow h_1h_2}}{dy_1dy_2d^2p_{1\perp}d^2p_{2\perp}}}{\int_{|p_{1\perp}|} rac{d\sigma^{pA
ightarrow h_1h_2}}{dy_1d^2p_{1\perp}}} \quad J_{dA} = rac{1}{\langle N_{
m coll}
angle} rac{\sigma_{dA}^{
m pair}/\sigma_{dA}}{\sigma_{pp}^{
m pair}/\sigma_{pp}}$$



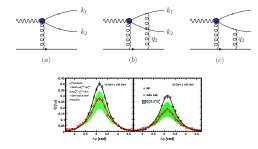
Comparing to STAR and PHENIX data

- Physics predicted by [C. Marquet, 09].
- Further calculated in [Marquet, Albacete, 10; Stasto, BX, Yuan, 11]
- Physical picture: de-correlation of dijets due to dense gluonic matter.



## Dijet production in DIS

#### [L. Zheng, E. Aschenauer, J. H. Lee and BX, 14]



### TMD factorization approach:

$$\frac{d\sigma^{\gamma_T^*A\to q\bar{q}+X}}{d\mathcal{P}.\mathcal{S}.} = \delta(x_{\gamma^*}-1)x_gG^{(1)}(x_g,q_\perp)H_{\gamma_T^*g\to q\bar{q}},$$

### Remarks:

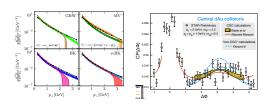
- For away side correlation  $|k_{1\perp}| \simeq |k_{2\perp}| \gg q_{\perp} = k_{1\perp} + k_{2\perp}$ .
- Unique golden measurement for the Weizsäcker Williams gluon distributions.
- EIC and LHeC will provide us perfect machines to study the strong gluon fields in nuclei. Important part in EIC and LHeC physics design. [arXiv:1212.1701; J.Phys. G39 (2012) 075001.]



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### Conclusion



- Effective  $k_t$  factorization for single and dihadron productions in pA collisions in the small-x saturation formalism at one-loop order.
- Towards the quantitative test of saturation physics beyond LL.
- Dijet (dihadron) correlation in pA collisions.
- Gluon saturation could be the next interesting discovery at the LHC and future EIC.

