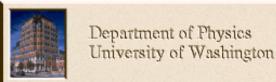
Jets & Jet Substructure

US ATLAS Physics Workshop Seattle, August 4, 2014 Steve Ellis, UW



Overview: (this is a Workshop!)

- The following is a (completely) biased set of observations/lessons about jets and jet substructure: involving some history and some recent results (thanks especially to the BOOST2013 Working Group Report due "SOON")
- It is meant to remind us of some relevant facts and point to rewarding future applications at the LHC
- It is neither a comprehensive history nor a thorough summary of recent contributions (my apologizes to work not mentioned)
- Jet Substructure Tools:

Groomers – remove "UN-associated" hadrons from jet Taggers – ID the "primary source" of jet, Q vs G, W, Z, H, top





Outline

- Quick review of jet and jet substructure language
- The enemy QCD jets
- Grooming (pruning) at ATLAS (a cautionary tale)
- Qjets and Volatility
- Grooming and Tagging versus R and pT
- Closing comments

<u>Recombination Algorithms</u> – focus on undoing the shower

Merge partons, particles or towers pairwise based on "closeness" defined by minimum value of k_{τ} , *i.*e. make list of metric values (rapidity y and azimuth ϕ , p_{τ} transverse to beam)

Pair
$$ij: k_{T,(ij)} \equiv \operatorname{Min}\left[\left(p_{T,i}\right)^{\alpha}, \left(p_{T,j}\right)^{\alpha}\right] \frac{\sqrt{\left(y_{i} - y_{j}\right)^{2} + \left(\phi_{i} - \phi_{j}\right)^{2}}}{R} \equiv \operatorname{Min}\left[\left(p_{T,i}\right)^{\alpha}, \left(p_{T,j}\right)^{\alpha}\right] \frac{\Delta R_{ij}}{R},$$

Single $i: k_{T,i} = \left(p_{T,i}\right)^{\alpha}$

If $k_{T(ij)}$ is the minimum, merge pair (add 4-vectors), replace pair with sum in list and redo list;

If k_{τ_i} is the minimum $\rightarrow i$ is a jet! (no more merging for *i*, it is isolated by *R*),

1 angular size parameter R (NLO, equals Cone for D = R, R_{sep} = 1), plus

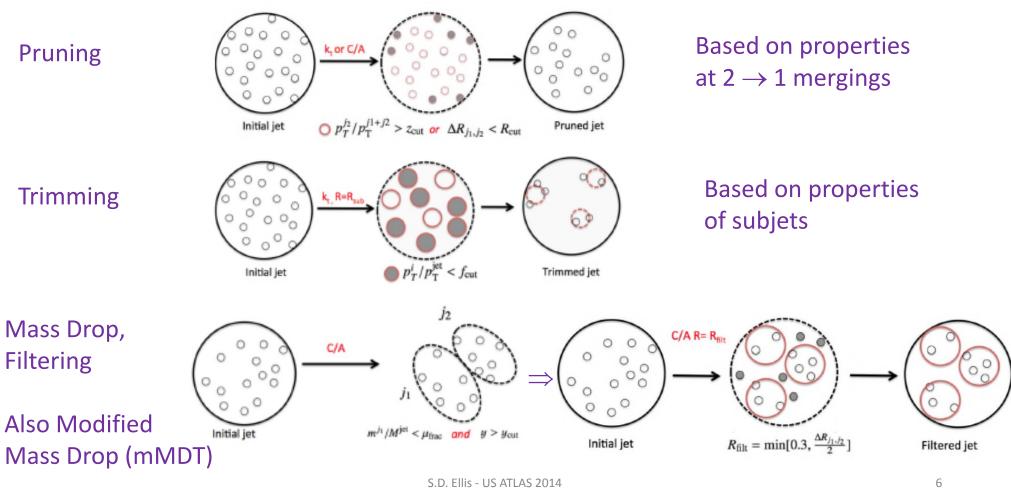
- α = 1, ordinary k_p recombine soft stuff first
- $\alpha = 0$, Cambridge/Aachen (CA), controlled by angles only
- $\alpha = -1$, Anti- k_{π} just recombine stuff around hard guys cone-like (with seeds)

Sample Kinematic Variables -

- Mass $m_{jet}^2 = p_{jet}^{\mu} p_{jet,\mu}$
- Groomed Mass
- N-subjettiness test for N-subjet structure, $\tau_{N,N-1}^{(\beta)} \ll 1$ if jet contains N subjets
- Energy Correlation Function ratios, $C_N^{(\beta)} \ll 1$ if jet contains N subjets

Similar to N-subjettiness but different detailed properties !

Sample Groomers – (figures from ATLAS 1306.4945)



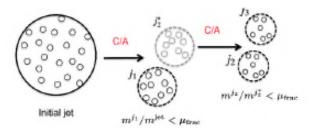
Sample Taggers -

- Simplest Groom and cut on mass
- More elaborate
 HEP TopTagger

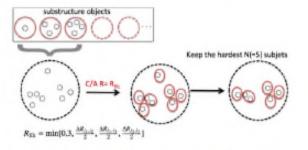
look for specific number of subjets



(a) Every object encountered in the declustering process is considered a 'substructure object' if it is of sufficiently low mass or has no clustering history.

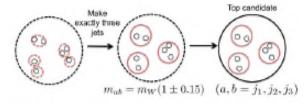


(b) The mass-drop criterion is applied iteratively, following the highest subjet-mass line through the clustering history, resulting in N_i substructure objects.



(c) For every triplet-wise combination of the substructure objects found in (b), recluster the constituents into subjets and select the N_{subjet} leading- p_{T} subjets, with $3 \leq N_{\text{subjet}} \leq N_i$ (here, $N_{\text{subjet}} = 5$).

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(d) Recluster the constituents of the N_{subjet} subjets into exactly three subjets to make the top candidate for this triplet-wise combination of substructure objects.

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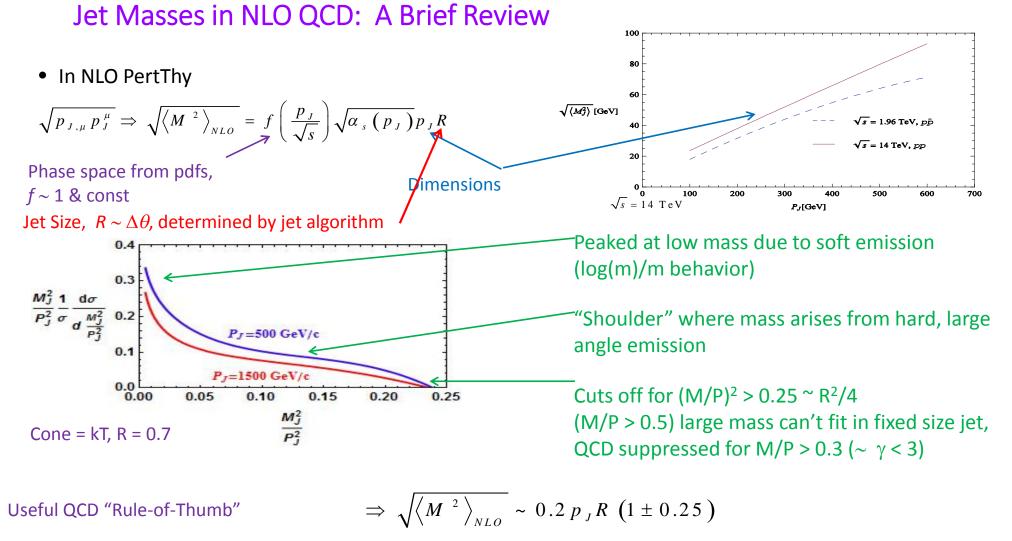
Comments on QCD Jets – know your enemy!

Let's define language and general properties useful in order to describe and think about QCD jets and various substructure results. Recall History

Jets – Around for 45 Years and initially jets \equiv single partons But partons radiate \Rightarrow

Jet Substructure – only the last 5 years (started at BOOST 2009, where jet masses first really discussed), and we have learned a lot!

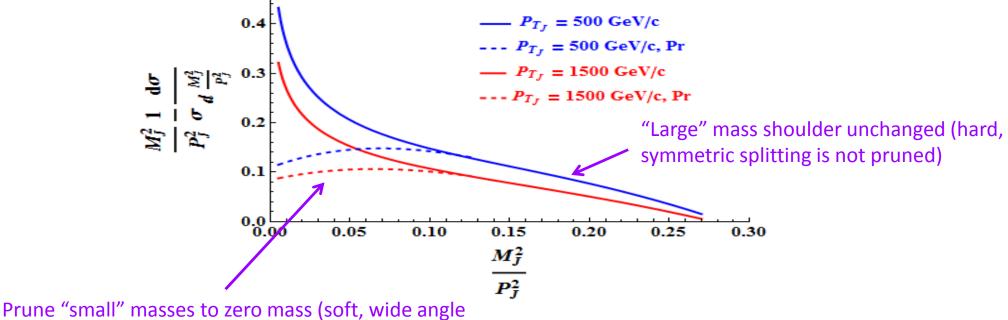
But we have (apparently) also forgotten some things!



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Groomed (Pruned) Fixed Order Result (dashed): Pruning removes, soft, wide angle constituents

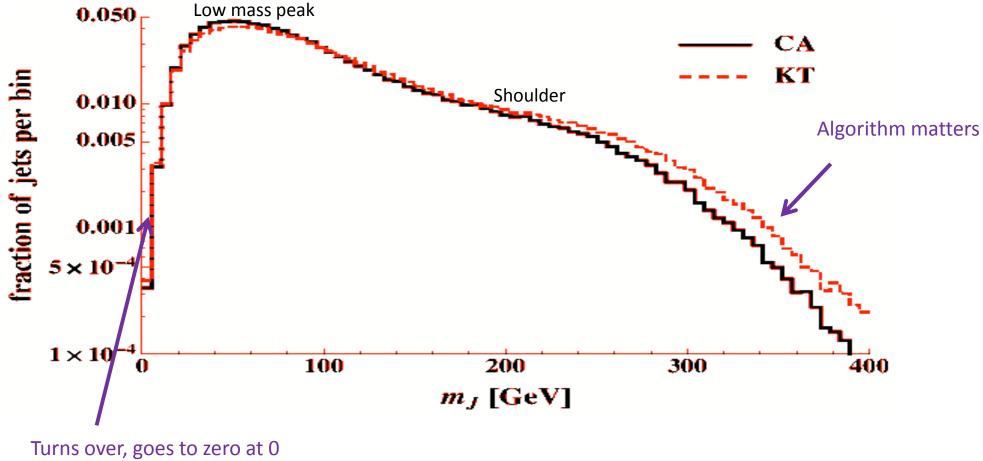


emission is pruned), only one parton remains in jet

Next add shower to all orders (radiation from colored partons)

- Probability of no extra emissions and zero mass goes to zero (Sudakov ~ exp[-($\alpha_s/2\pi$)C_{A/F} ln²(m²/pT²)]).
- Low mass peak moves away from origin.
- Shoulder region only slightly changed.
- Low mass peak order \sim 1, shoulder order α_{s} (factor \sim 1/10) use log on y-axis to resolve both.

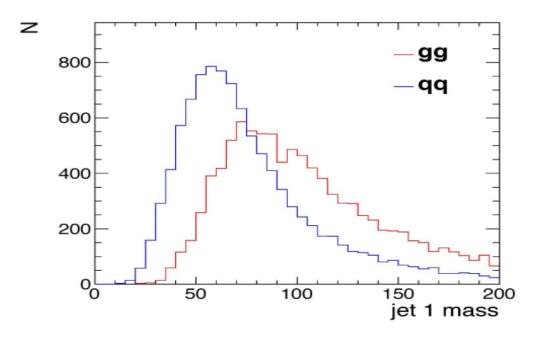
Jet Mass in PYTHIA (showered & matched set) R = 1, 500 GeV/c < pT < 700 GeV/c



BOOST 2013 (Nhan Tran) results : QQ & GG samples at 8 TeV

• AkT8

• $pT \sim 500$ to 600 GeV



 $Q \rightarrow G$ change described primarily by charge $C_F (= 4/3) \rightarrow C_A (= 3)$:

 \Rightarrow (perturbative) shoulder is higher for gluons, more "hard" radiation,

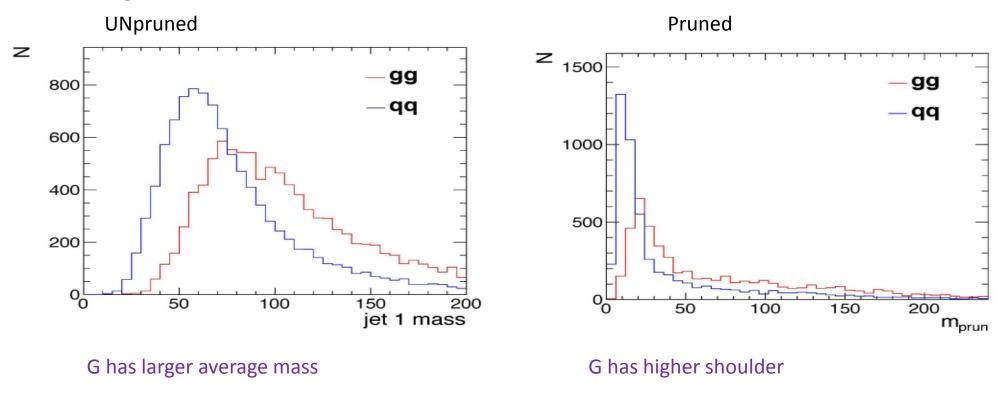
⇒ more Sudakov suppression at small masses pushes peak further from origin and to smaller value for gluons,

⇒ a larger fraction of gluon jets (than quark jets) are in the shoulder (small pruning) region of jet mass distribution!

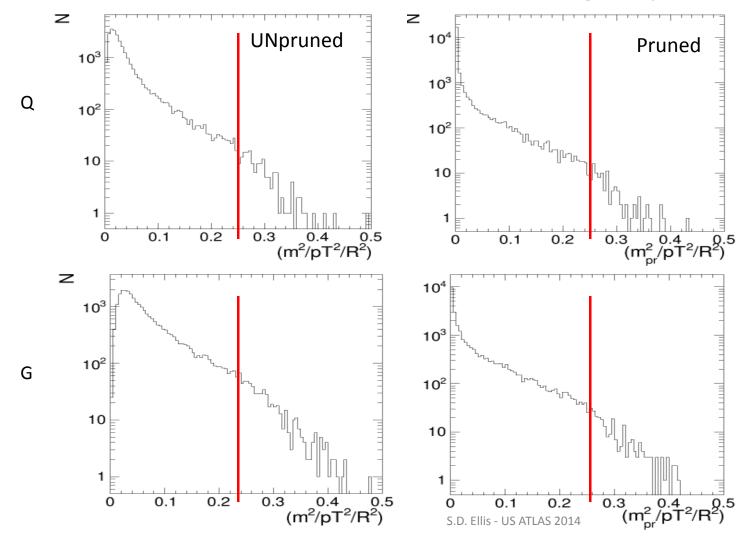


Leading Jet mass: Before & after grooming (pruning)

Pruning pushes low mass peak to lower mass and leaves height of shoulder largely unchanged



Jet mass distributions - Linear on x-axis, Log on y-axis (focus on large values)



Gluon jets are a bit more massive, i.e., a broader distribution;

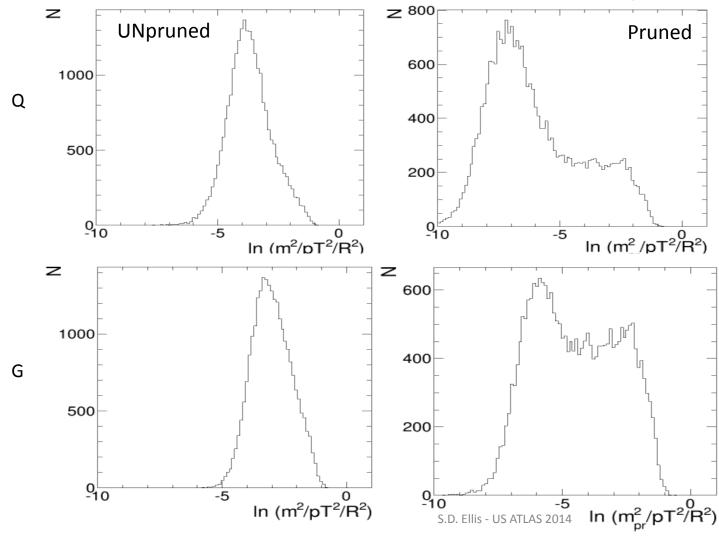
Shoulder clear (> 0.1) before and after pruning, falls off above 0.25;

Low mass peak very narrow after pruning;

Shoulder little changed by pruning;

Shoulder higher for gluons, \sim by CA/CF = 9/4;

Jet Mass distributions - Ln on x-axis, Linear on y-axis (focus on small values)



Gluon jets are a bit more massive;

Pruning moves peak to lower mass;

Shoulder very clear after pruning;

Shoulder higher for gluons, \sim by CA/CF = 9/4;

m/pT/R is good variable for comparing distributions, removes the common kinematics;

Pruning at ATLAS – Comments/Explanations (a cautionary tale)

A dramatic contrast (to my thoroughly biased eye) between the CMS and ATLAS jet grooming/tagging analyses as reported at BOOST 2013 and the Boosted Boson Workshop (CERN, 3/25/14) –

- \Rightarrow CMS analyses finds jet pruning is **very** effective
- \Rightarrow ATLAS finds pruning is **not** very effective!

8888 at UW!!!!!

The following comments attempt to explain this difference between the two collaborations.

Pruning Refs: 0912.0033, 0903.5081

A Brief Review of Pruning

- Like other groomers, given a jet (identified by some generic jet algorithm like AkT, kT or C/A) pruning attempts to remove from the jets those constituents that are unlikely to be ``associated'' with the jet or at least carry no significant/useful information.
- In particular, we want the mass of the resulting pruned jet to be small if we start with an every-day QCD jet, and near the particle mass if we start with a jet containing the decay products of a heavy particle.
- Pruning will can remove much of the uncorrelated contributions from UE and PU that make significant contributions to the jet mass.

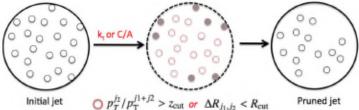
Basic Idea of Pruning -

- Prune (remove) those constituents of the original jet that are: soft large angle
- These soft, large angle constituents are (statistically) less likely to be correlated with the energetic constituents in the jet and yet can still make measureable contributions to the mass
- Soft, small angle constituents can also be uncorrelated, but make a small contribution to the mass
- Most configurations that arise from actual heavy particle decay will not tend to be pruned (not all, but most).

Pruning in Action -

- Given the list of constituents in a jet, remerge using the kT or C/A algorithm
- At each potential merging step, $j+k \rightarrow l$, check for soft $p_k/p_l < z_{cut} (p_k < p_j)$

large angle - $\Delta R_{jk} > R_{cut}*(2m_{jet}/p_{jet})$, where $2m_{jet}/p_{jet}$ is angular scale set by jet itself



- If **both** cuts are satisfied, prune (remove) constituent k and proceed
- Larger z_{cut} and smaller R_{cut} values correspond to more aggressive pruning
- The level of pruning tends to be determined by the **LESS** aggressive of the two parameters (since we must satisfy **both** cuts)

Default Parameters

• The original studies (0912.0033) suggested

 $m R_{cut}$ = 0.5 (kT & C/A) $\Rightarrow \Delta
m R > m_{jet}/p_{jet}$

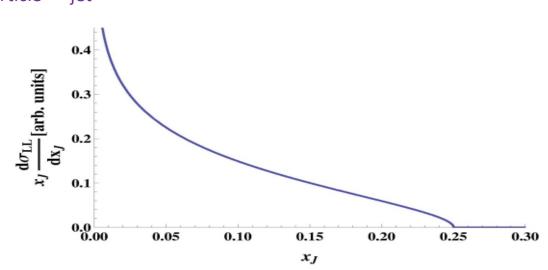
 $z_{cut} = 0.1 (C/A)$

- z_{cut} = 0.15 (kT, since nearby soft constituents are merged early and are no longer as soft)
- Also, to ensure that decay products of "signal" particle "fit" in jet (size R) and are rarely pruned,

require m_{particle}/p_{jet}/R be less than 0.5

Naïve NLO 2-body analysis

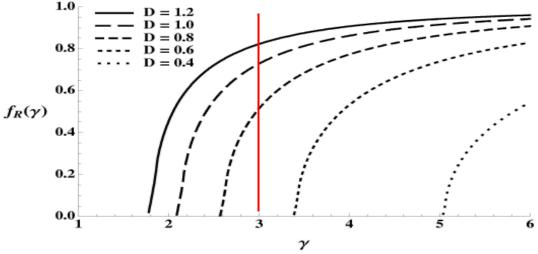
- Distribution vanishes above $x_J = (m_{particle}/p_{jet}/R)^2 = 0.25$ (from 0912.0033)
- With more complete showering the distribution goes past 0.25 but the "shoulder" is rapidly falling there



• Recall earlier QCD jet distributions from Nhan

Also (more forgotten history?)

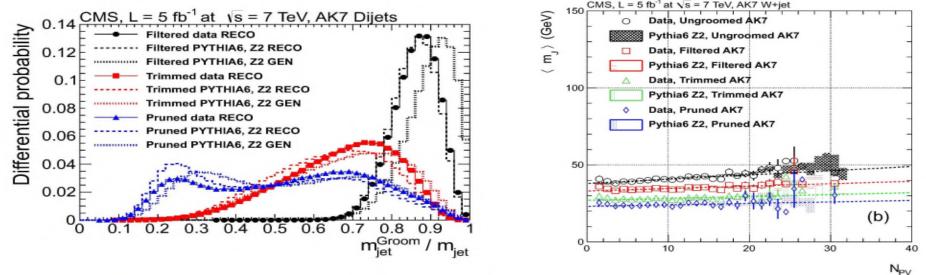
- Recall Figure 11 from 0912.0033, which shows the fraction of two-body decays that "fit" in a jet of size R (=D).
- Consider a W with pT of order 250 to 300 GeV/c, and thus a γ of about 3.
- About 50% of the W's don't fit for R = 0.8, while about 15% still don't fit for R = 1.2.



- The W's that don't fit will populate the low mass bump.
- The situation is substantially improved for larger pTs (> 450 GeV, γ > 5).

Pruning at CMS, e.g., 1303.4811

- Prune with C/A using parameters $z_{cut} = 0.1$ (default) $R_{cut} = 0.25$, $\Delta R > 0.5 m_{jet}/p_{jet}$ (aggressive)
- Conclude that Pruning is most aggressive groomer studied -



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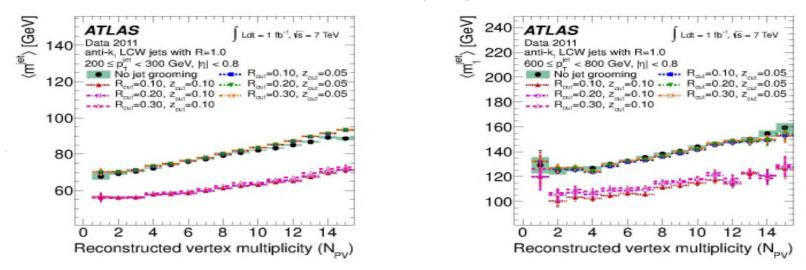
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Compare - Pruning at ATLAS – 1306.4945

• Prune with kT using parameters

z_{cut} = 0.1, 0.05 (less aggressive than default = 0.15)

 $R_{cut} = 0.1, 0.2, 0.3, \Delta R > R_{cut} (2m_{jet}/p_{jet})$ (more aggressive than default)



 Conclude pruning is NOT very effective groomer – AS EXPECTED due to parameter choices

Latest ATLAS results – <u>ATL-PHYS-PUB-2014-004</u> (3/26/14)

 Study 5 combinations of algorithm + groomer, : AK10 + trim, C/A12 + BDRS, C/A12 + BDRS-A, C/A8 + C/A prune (0.1,0.5 = default), C/A8 + kT prune (0.1,0.5 less aggressive than default as above)

in 3 pT bins using 7 kinematic variables for W tagger

• First do ROC study of algorithm + groomer: define groomed jet mass window to keep 68% of signal (W) and check QCD fake rate (MC data, check that found jets match truth jets almost all of time)

ATL-PHYS-PUB-2014-004

• Results (Table 1) - ε_{QCD} = fake rate, $\mathcal{P}^{+\mathcal{U}}_{-\mathcal{L}}$ = mass window

Jet collection	$200 < p_{\rm T}^{turth} < 350 { m GeV}$		$350 < p_{\rm T}^{truth}$	^a < 500 GeV	$500 < p_{\rm T}^{truth} < 1000 \text{ GeV}$		
	\mathcal{P}_{-L}^{+U} [GeV]	ϵ_{QCD}	\mathcal{P}_{-L}^{+U} [GeV]	ϵ_{QCD}	\mathcal{P}_{-L}^{+U} [GeV]	ϵ_{QCD}	
Trimmed	82^{+10}_{-18}	$13.6\pm0.1\%$	80+8	$9.9\pm0.2\%$	82^{+10}_{-10}	$8.4\pm0.5\%$	
BDRS	78^{+14}_{-16}	$14.8\pm0.1\%$	80^{+6}_{-18}	$7.8\pm0.2\%$	76^{+6}_{-14}	$6.7\pm0.5\%$	
BDRS-A	78^{+12}_{-16}	$23.2\pm0.1\%$	82^{+8}_{-14}	$15.9\pm0.3\%$	80^{+10}_{-14}	$10.0\pm0.6\%$	
C/A-pruned	78^{+22}_{-40}	$28.9\pm0.1\%$	78^{+8}_{-12}	$8.0\pm0.2\%$	78^{+6}_{-14}	$6.5\pm0.5\%$	
k _t -pruned	84^{+22}_{-42}	$40.7\pm0.2\%$	82^{+16}_{-14}	$16.9\pm0.3\%$	82^{+18}_{-14}	$16.4\pm0.7\%$	

- kT-pruned performs less well (larger ε_{QCD}) than C/A pruned, as expected due to (poor) parameter choice
- In largest 2 pT bins C/A pruning is (effectively) tied for most aggressive groomer, smallest $\epsilon_{\rm QCD}$ (like CMS)

What happens in the lowest pT bin?

Recall that	Jet collection	$200 < p_{\rm T}^{turth} < 350 \text{ GeV}$		$\left 350 < p_{\rm T}^{truth} < 500 \text{ GeV} \right $		$\left 500 < p_{\rm T}^{truth} < 1000 \text{ GeV} \right $	
$\mathcal{P}^{+\mathcal{U}}_{-\mathcal{L}}$ = mass window		\mathcal{P}_{-L}^{+U} [GeV]	ϵ_{QCD}	\mathcal{P}_{-L}^{+U} [GeV]	ϵ_{QCD}	\mathcal{P}_{-L}^{+U} [GeV]	ϵ_{QCD}
-2	Trimmed	82^{+10}_{-18}	$13.6\pm0.1\%$	80+8	$9.9\pm0.2\%$	82^{+10}_{-10}	$8.4\pm0.5\%$
	BDRS	78^{+14}_{-16}	$14.8\pm0.1\%$	80^{+6}_{-18}	$7.8\pm0.2\%$	76 ⁺⁶ ₋₁₄	$6.7\pm0.5\%$
	BDRS-A	78^{+12}_{-16}	$23.2\pm0.1\%$	82^{+8}_{-14}	$15.9\pm0.3\%$	80^{+10}_{-14}	$10.0\pm0.6\%$
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	k _t -pruned	84^{+22}_{-42}	$40.7\pm0.2\%$	82^{+16}_{-14}	$16.9\pm0.3\%$	82^{+18}_{-14}	$16.4\pm0.7\%$

Pruned mass window

for lowest pT bin is **TWICE** the size of the other mass windows, essentially **DOUBLES** the fake rate!

Why is this large mass window needed?

Because these low pT (low boost) W's are difficult to fit in a small R (0.8) jet, i.e., we are on the **low** efficiency edge of the shoulder

- should plot the distribution versus $(m_{particle}/p_{jet}/R)^2$ to check!

Comments:

- Pruning is observed to be performant at ATLAS when **appropriate** parameter values are chosen, i.e., consistent with CMS
- Analysis of lowest pT bin needs to be clarified (boost too small for small R?)
- ATLAS study has kinematic variable correlation information, but currently difficult to interpret due to multiple "knobs" being turned at once (e.g., vary algorithm AND R values)
- ATLAS folks should talk to your Theory friends more often!!

Qjets & Volatility (Γ) (works for ATLAS, ATLAS-CONF-2013-87)

- Qjet idea is that there is no "correct" algorithm for pruning (or grooming in general);
- So prune several times with a "random" set of algorithms;
- Generates a mass DISTRIBUTION for each jet;
- The width of this distribution is the volatility Γ ;
- A jet containing a real decay will exhibit small volatility, while QCD jets exhibit larger volatility;
- Now compare Q & G jets (more BOOST 2013 Working Group plots) -

ASIDE: A new paper on Qjets statistics is coming soon. Original = 1201.1914. See related "Telescoping", 1407.2892

Qjets details (from ATLAS-CONF-2013-87)

- 1. Start with a jet found by any jet algorithm and collect the constituents into a list.
- Compute a set of weights ω_{ij}, which reflect how likely a pair of four-vectors is to be merged, for all pairs of four-vectors. Here, the weights are chosen to be defined as:

$$\omega_{ij}^{(\alpha)} = \exp\left\{-\alpha \frac{d_{ij} - d^{\min}}{d^{\min}}\right\}$$
(3)

where α is the *rigidity* which controls the sensitivity of the pair selection to the random number generation, $d_{ij} \equiv \Delta R_{ij}^2 = \Delta y_{ij}^2 + \Delta \phi_{ij}^2$ the distance measure for the (i, j) pair and d^{\min} the minimum of the distance between all pairs. Then the probability $\Omega_{ij} = \omega_{ij}/N$ is defined, where $N = \sum \omega_{ij}$.

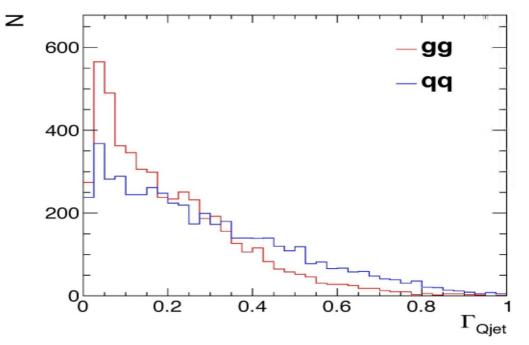
- Instead of finding the single minimum d_{ij} as in Equation 1, generate a random number, using Equation 3 as a probability density function, and choose a pair of four-vectors as above according to the probabilities Ω_{ij}.
- Consider this pair for merging, and veto (as in normal pruning) if they fail the cuts in Equation 2.
- Continue until all pairs are merged: the result is one Q-jet. The algorithm can be repeated multiple times to generate a distribution of Q-jets for every jet.

1)
$$d_{ij} = \min\left(p_{\mathrm{Ti}}^{\beta}, p_{\mathrm{Tj}}^{\beta}\right) \frac{\Delta R_{ij}^{2}}{R^{2}}$$
2)
$$z_{ij} = \frac{\min(p_{\mathrm{T},i}, p_{\mathrm{T},j})}{|p_{\mathrm{T},i}^{2} + p_{\mathrm{T},j}^{2}|} < z_{\mathrm{cut}} \text{ and } \Delta R_{ij} > d_{\mathrm{cut}}.$$

$$d_{iB} = p_{\mathrm{Ti}}^{2}$$
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Gluons are LESS volatile! Why??



1) Shoulder region is higher and peak is lower for gluons, so gluon jets have larger fraction in shoulder;

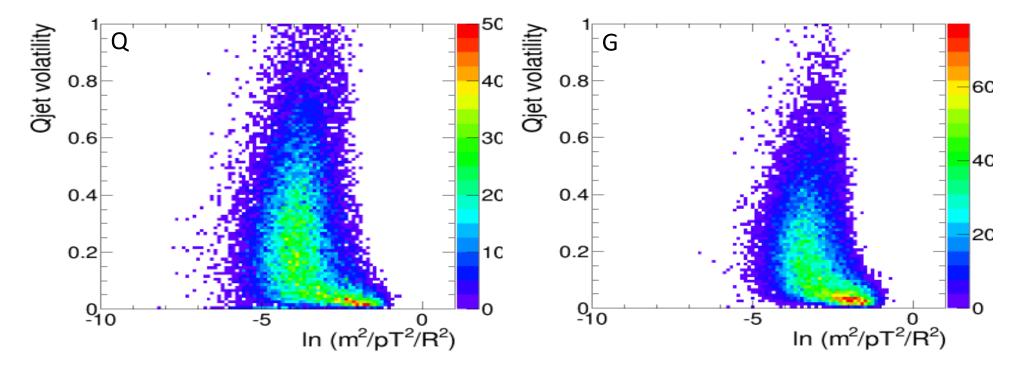
2) Shoulder region jets have mass from energetic, wide angle emission;

3) Shoulder region jets exhibit smaller volatility;

4) Gluons jets are LESS volatile.

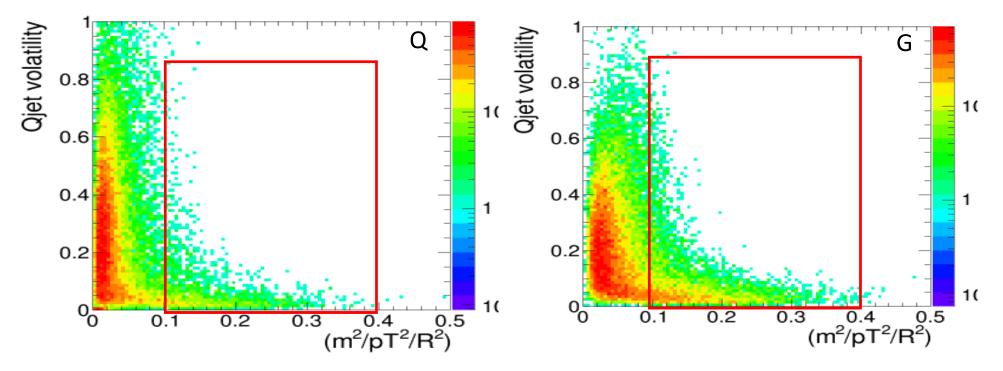
Look at this correlation in detail with 2-D scatter plots

UNpruned Γ_{Qiet} versus Ln m/pT/R (focus on small values)



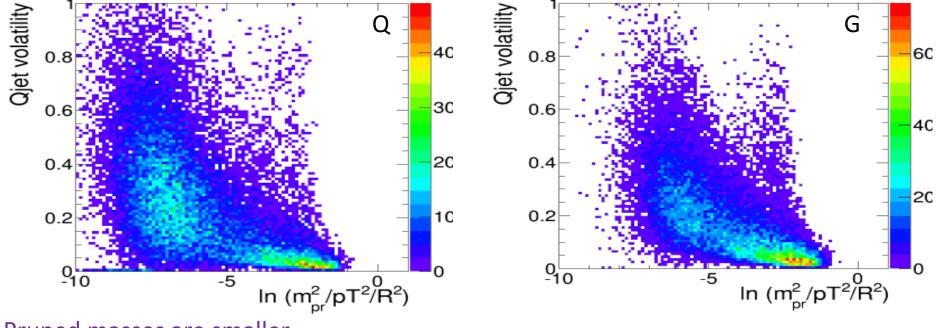
In both cases larger volatility is associated with smaller m/pT, where mass is generated by many soft emissions \rightarrow different pruning yields different masses

UNpruned Γ_{Qiet} versus (m/pT/R)² (focus on larger values)



In both cases larger volatility is associated with smaller m/pT, and smaller volatility with the shoulder region _____, the small but hard-to-beat BKG.

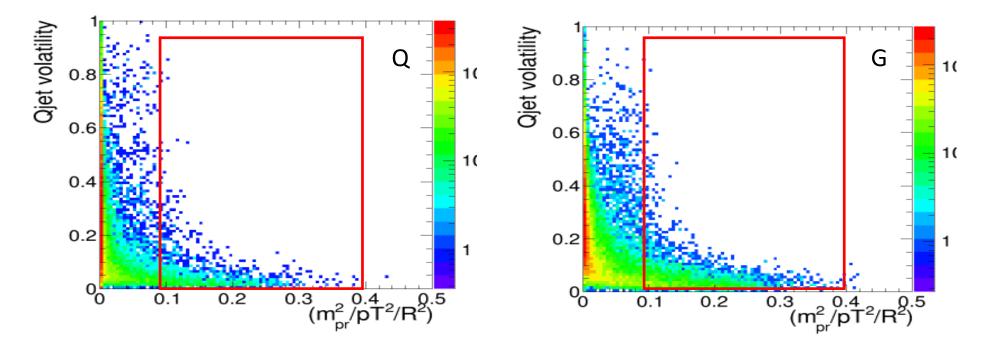
Pruned Γ_{Qjet} versus Ln m_{pr}/pT/R (focus on smaller values)



Pruned masses are smaller.

In both cases larger volatility is associated with smaller m/pT, i.e., with low mass peak; lower volatility in shoulder.

Pruned Γ_{Qjet} versus (m_{pr}/pT/R)² (focus on larger values)



In both cases larger volatility is associated with smaller m/pT, i.e., with low mass peak; lower volatility in shoulder _____.

Comments:

• Gluons exhibit smaller volatility due to

larger fraction of jets in shoulder, smaller volatility in shoulder;

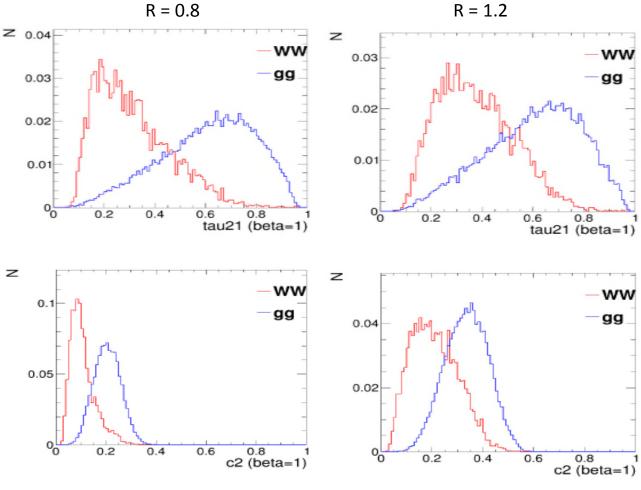
Suggestions:

- Recommend using linear m/pT/R axis (although shoulder is probably most obvious in pruned log case).
- Recommend using log y-axis for jet counting distributions to see both low mass peak and shoulder.

Comparing W and Gluon jets

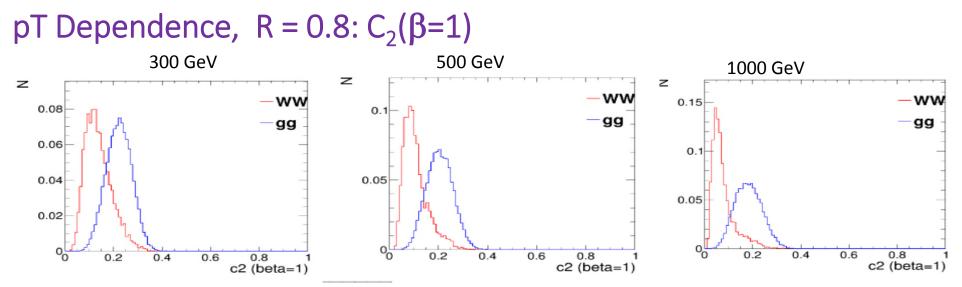
More from the BOOST 2013 Working Group

R dependence: pT = 500 GeV (AktTR jets)



Only a slight shift to larger values, and similar for both samples. Slight degradation of separation, since W peaks broadens a bit more.

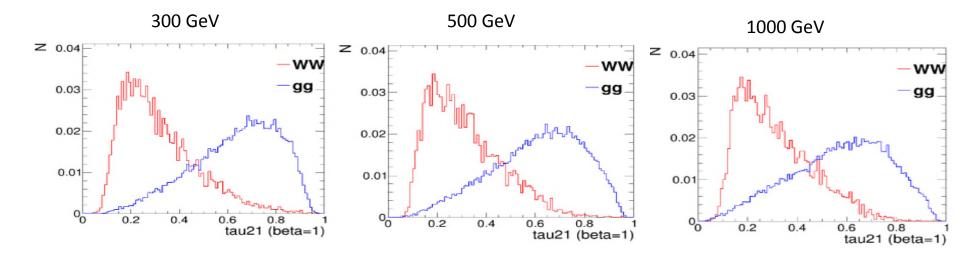
Much narrower distributions than for tau21 and at smaller R values. Shift with R is approximately linear as expected (Eq. 2.27 in 1305.0007). Broadening of peaks leads to slight degradation of separation.



The C₂(β =1) gluon distribution shifts slightly to smaller values, and broadens slightly. Overall the C₂(β =1) change with pT is quite small.

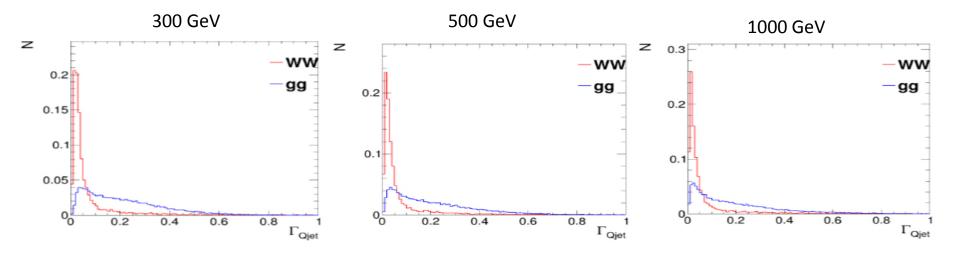
The C₂(β =1) W distribution also shifts to slightly smaller values, but becomes substantially narrower, with an increase of about a factor of 2 in the peak value. This narrowing is presumably due to the fact that the angular size is driven by m_W/pT, which decreases as pT increases, and that C₂(β =1) is linearly sensitive to this angle (compared to τ_{21}).

pT Dependence, R = 0.8: τ_{21}



In contrast to the C₂(β =1) case, for τ_{21} there is very little variation of the distributions with pT. As already noted a large part of the difference is the extra angular dependence in C₂(β =1) compared to τ_{21} (see Eq. 2.27 in 1305.0007). In τ_{21} essentially all of the kinematic and non-scaling dependence on pT cancels out.

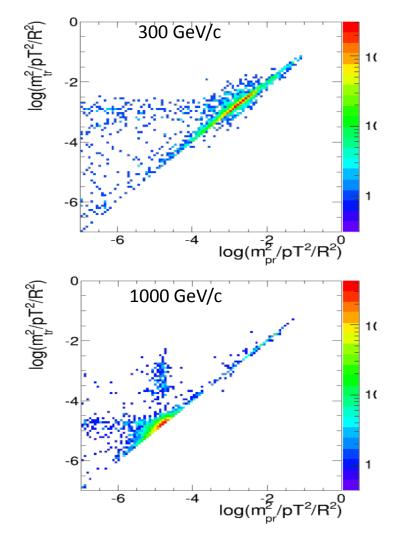
pT Dependence, R = 0.8: Γ_{Qjet}

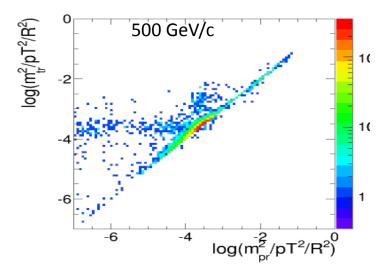


Here the impact of increasing pT is to lower the volatility for both QCD (g) and W jets. This is most dramatic for the gluon jets, which exhibit a slowly dropping large Γ_{Qjet} tail while the peak at small Γ_{Qjet} values (< 0.1) clearly increases. Overall the Γ_{Qjet} distributions for the two samples become more similar.

 $\Rightarrow The (single variable) ROC curves for \Gamma_{Qjet} exhibit a small degradation with increasing pT. S.D. Ellis - US ATLAS 2014 42$

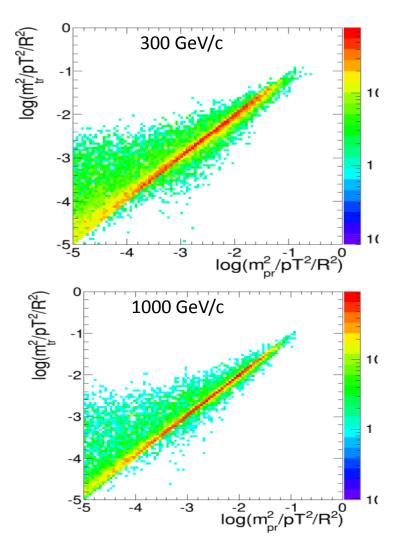
Prune vs Trim vs pT for Ws (Correlations)

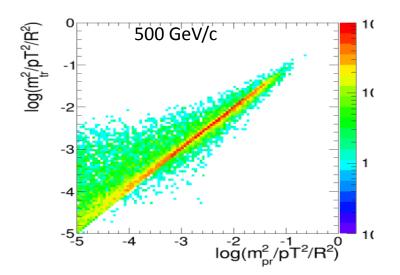




m_{pr} ≤ m_{tr} to good approximation; uncorrelated ridges where one groomer gives W, but other does not, but for opposite reasons – pruning over-grooms while trimming under-grooms

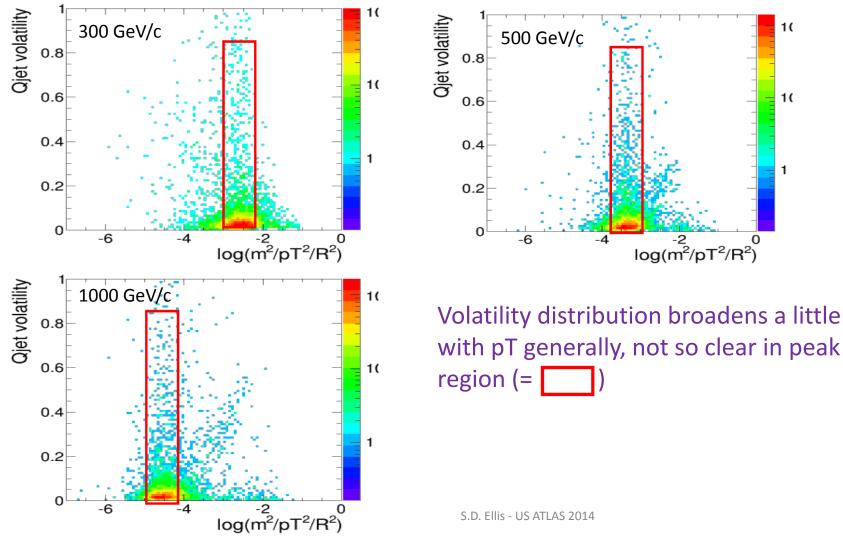
Prune vs Trim vs pT for QCD



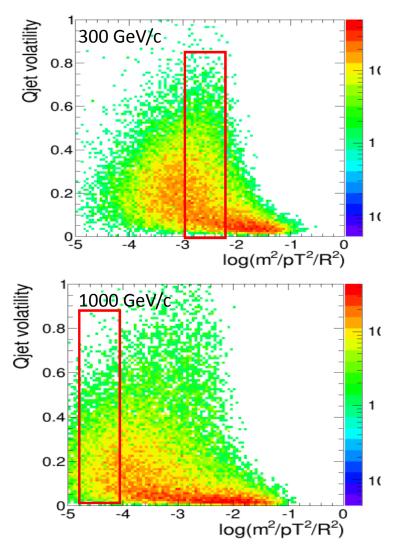


Still largely m_{pr} ≤ m_{tr} to good approximation; – pruning over-grooms while trimming under-grooms;

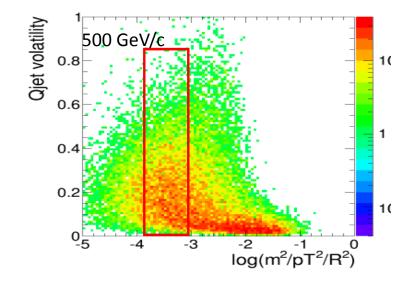
little change with pT



W volatility vs pT, unpruned mass



QCD volatility vs pT, unpruned mass



Generally QCD Volatility distribution sharpens with pT as observed in the volatility alone plots – presumably due to growing contribution of 1 "hard" emission with low volatility;

Combined pair actually improves with pT since QCD volatility distribution becomes flatter in the W mass bin

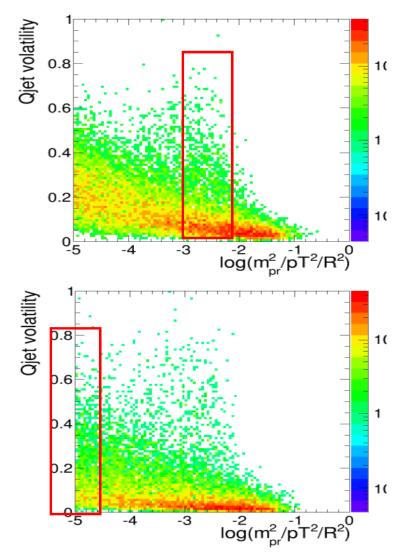
Qjet volatility 1(**Ojet volatility** 0.8 0.8 1(0.6 0.6 0.4 0.4 0.2 0.2 0 $\log(m_{pr}^{-2}/pT^{2}/R^{2})$ $\log(m_{pr}^{-2}/pT^{2}/R^{2})$ -4 -4 -6 Qjet volatility 1(0.8 **Distribution becomes more** 0.6 peaked at W mass 1(0.4 0.2 1 0 $\log(m_{pr}^{2}/pT^{2}/R^{2})$ -6 -4 S.D. Ellis - US ATLAS 2014

W volatility vs pT, pruned mass

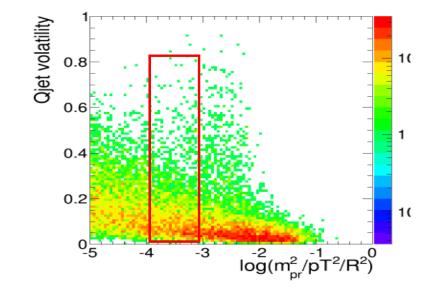
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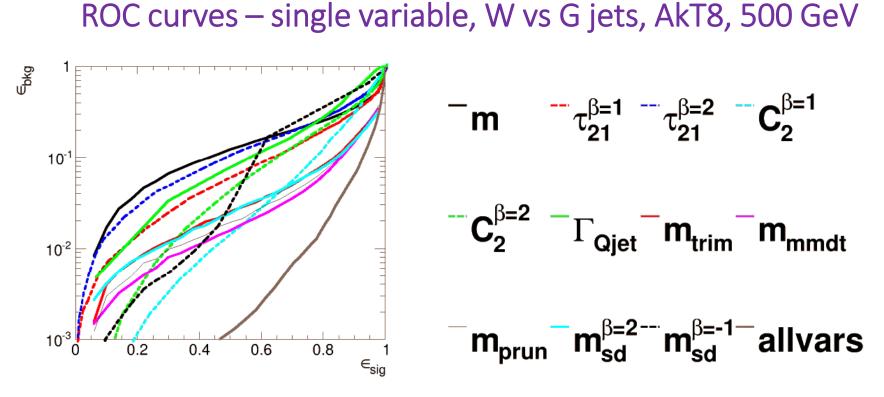
1



QCD volatility vs pT, pruned mass

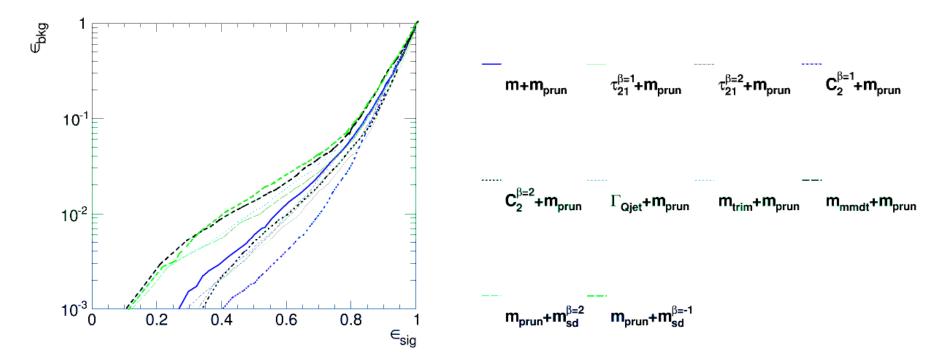


Correlated case should see larger improvement for volatility plus m_{pr} , as volatility distribution seems to be flatter in m_{pr} bin compared to m bin



"Similar" curves for all All better than just ungroomed mass Improved by combining variables

Combine variables, e.g., m_{prun} +X



Discrimination improves on using two variables, especially a groomed mass plus a shape

Comments:

- Broad features of performance of groomers and taggers relatively insensitive to pT and R
- Details of performance of groomers and taggers depend on R and PT and on the correlations between the variables
- The BOOST 2013 report will provide many details and (hopefully) explanations on Q vs G, Q vs G and top vs QCD

Final Comments:

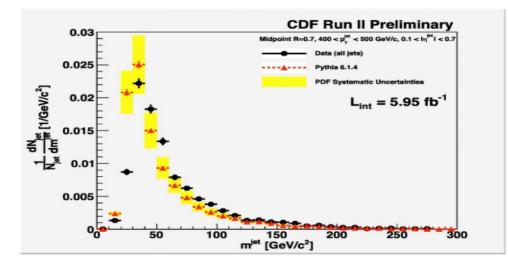
- Run II will see extensive (and successful) use of Jet Substructure tools! Both for searches and for tagging (and for the combination). Especially by using several variables in combination.
- To make the most the most of these tools theory and experiment must work **together**.

ASIDE

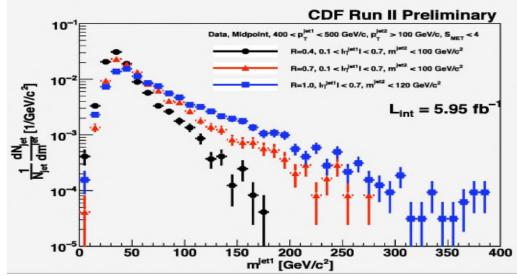
 Have ATLAS and CMS published jet results using the SAME algorithm yet?

Extras

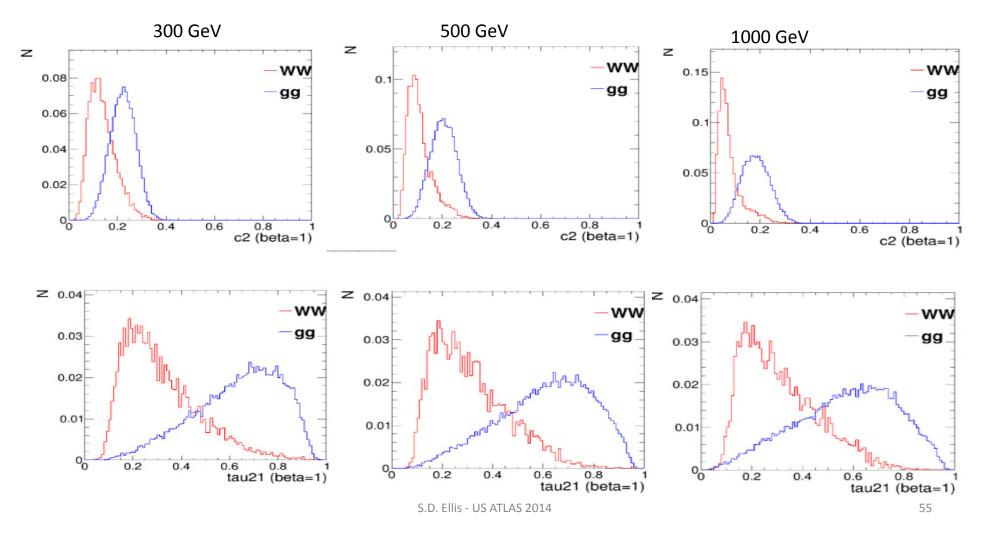
Jet Mass – CDF Data (CDF/PUB/JET/PUBLIC/10199 7/19/10)

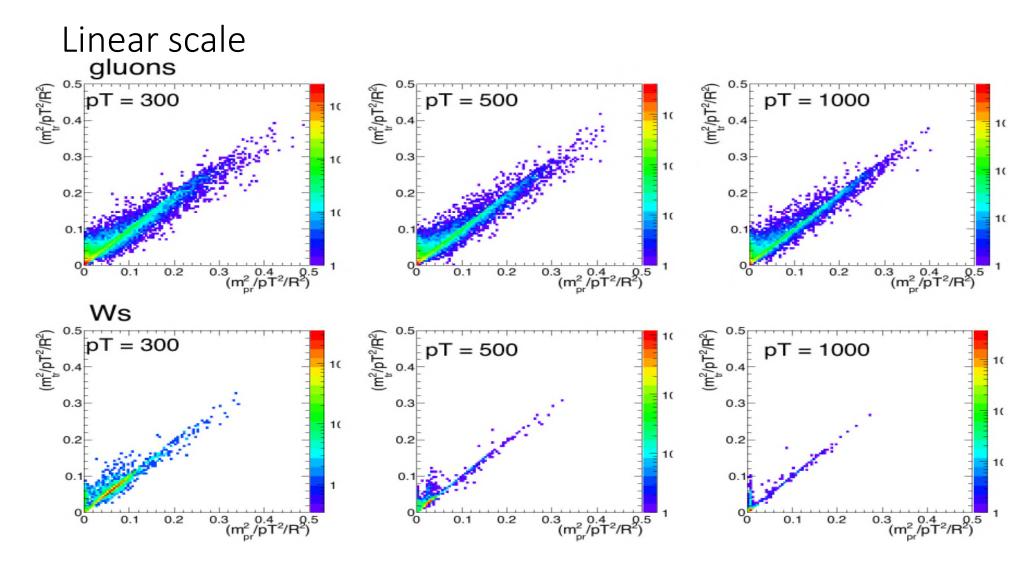


Large mass tail grows, as expected, with jet size parameter in the algorithm -You find what you look for! At least qualitatively the expected shape – masses slightly larger than MC – need the true hard emissions (as in matched sets)



pT Dependence, R = 0.8





S.D. Ellis - US ATLAS 2014

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