

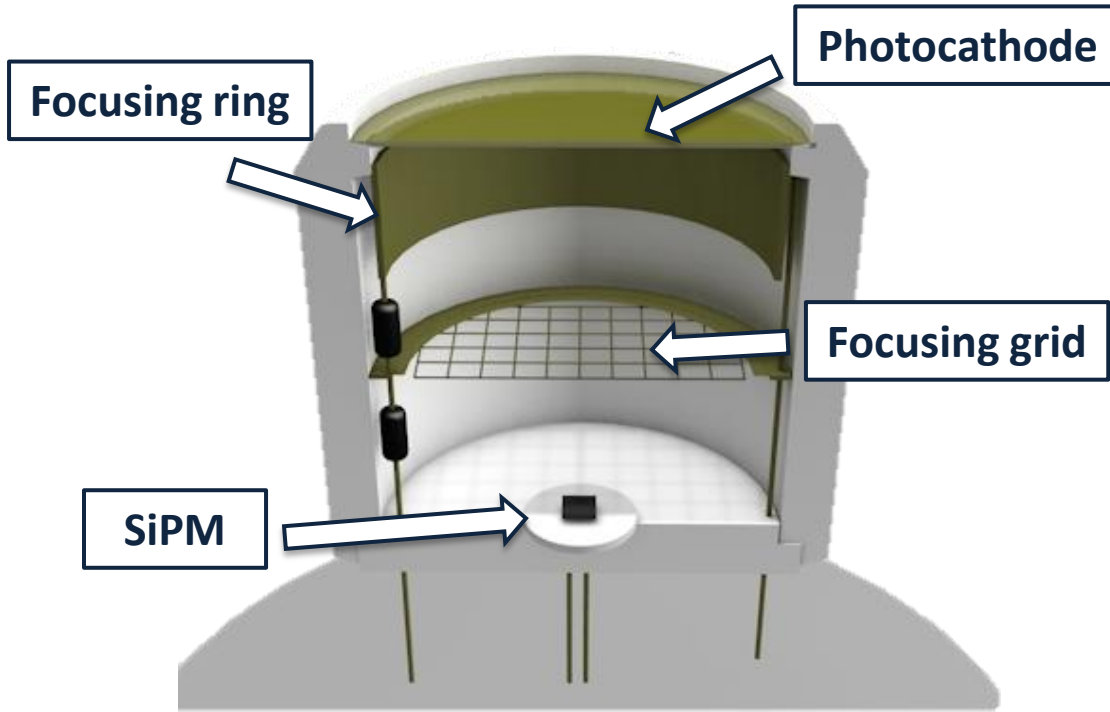
Vacuum Silicon PhotoMultiplier Tube: prototypes and engineering

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Vacuum Silicon PhotoMultiplier Tube

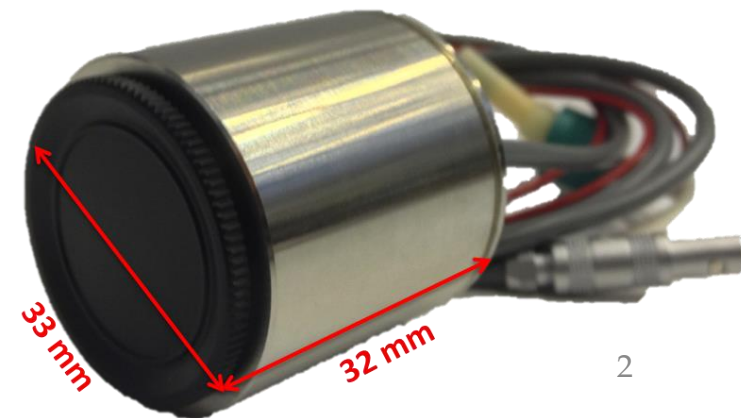
VSiPMT



Two prototypes by Hamamatsu Photonics

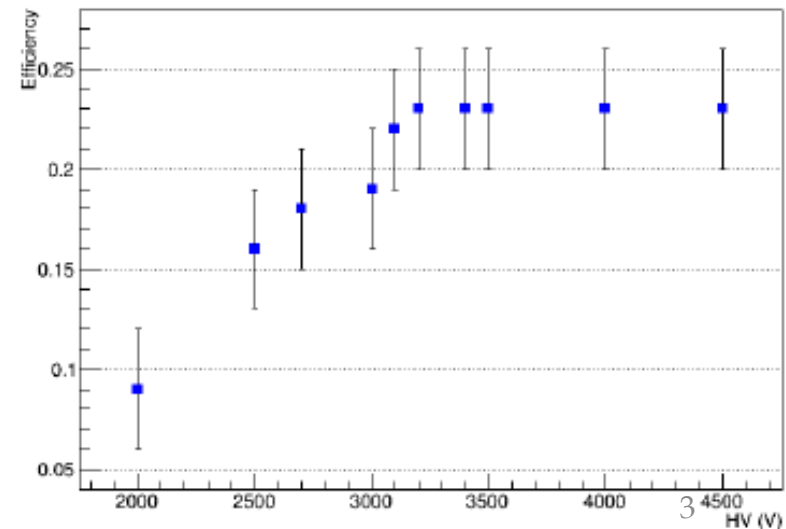
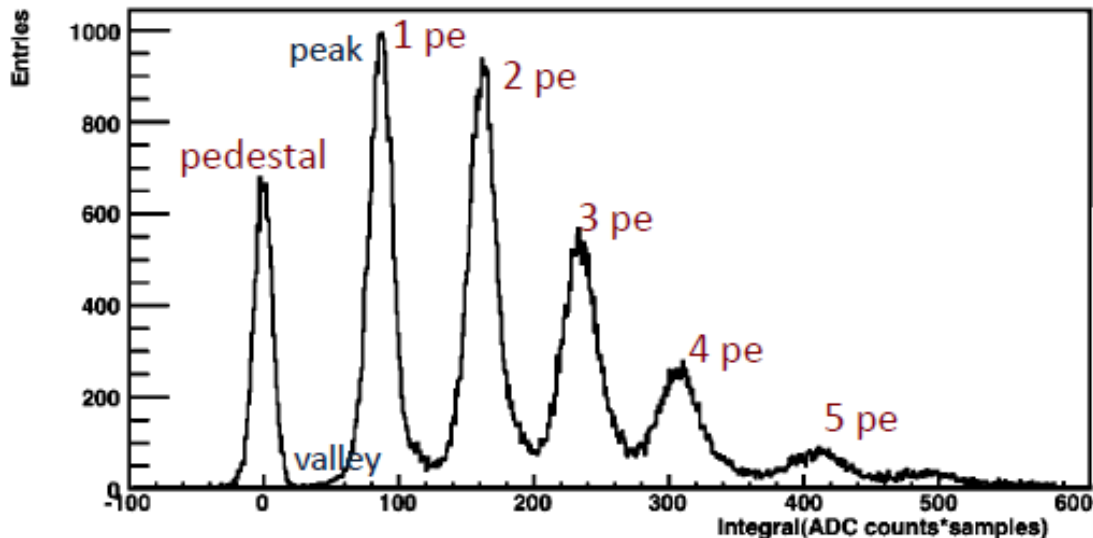
- 7x7 mm² Borosilicate glass entrance window
- 3 mm Ø GaAsP photocathode
- p⁺nv⁺ configuration
- special non-windowed MPPC series

Prototype	ZJ5025	ZJ4991
SiPM Area (mm ²)	1×1	1×1
Cell size (μm)	50	100
Total number of cells	400	100
Fill Factor	61%	78%

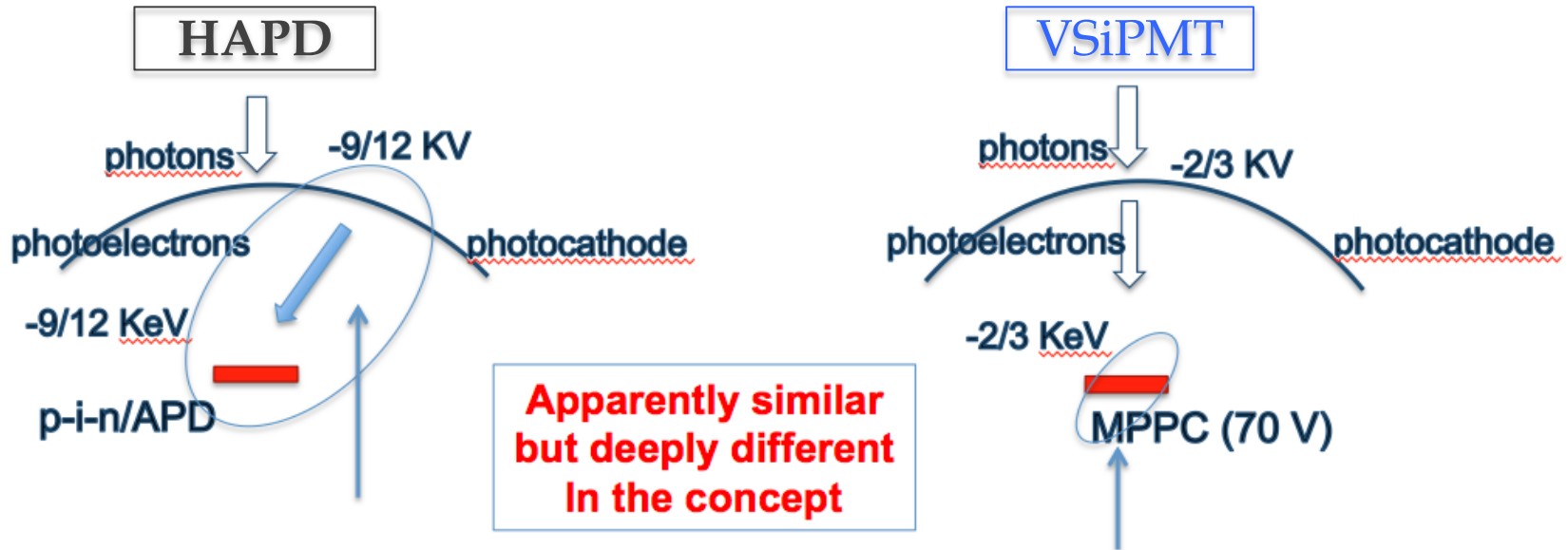


VSiPMT features

- Excellent **photon counting** capabilities
- Photon Detection Efficiency: $\approx 23\%$ @ 407nm
- High gain: $10^5 \div 10^6$, HV-stable
- Good timing performances: **TTS < 0.5ns**
- Low power consumption: **5mW** (amplifier stage)
- SPE resolution **17.8%**
- Peak-to-valley ratio ≈ 65



VSiPMT vs HAPD



Need of HV to obtain a high gain

High gain obtained with low voltage in the SiPM

Drawbacks of the APD solution

- $G = E_{pho} / E_{e,h} \approx 10^4 - 10^5$
- too low Gain. HV gain required
- G depending on HV
- Need a strong HV critical stabilization.
- Difficult and expensive insulation

Advantages in the VSiPMT solution

- $G > 10^6$: a factor 10 higher.
- Low HV, **no need for bombardment gain** only energy for photoelectron transfer
- Low voltage Gain: **easy to stabilize**
- Normal insulation

VSiPMT vs PMT

	PMT	VSiPMT	comparison
Efficiency	Photocathode x 1 st dynode	Photocathode x Fill factor MPPC (→1)	≈ comparable (slightly worse)
Gain	10 ⁶ - 10 ⁷	≈ 10 ⁶	≈ equivalent
Timing	nsec	fractions of nsec (no spread dynodes)	+ VSiPMT
Power Consumption	Divider Dissipation	No dissipation: just amp. G=10-20 (<5mW)	+VSiPMT
Stability H.V.	H.V. stabilization for stable gain	No H.V. stability (plateau)	+VSiPMT
Dark counts	≈ kHz @ 0.5pe	≈100 kHz/mm ² @0.5pe	+PMT
Photon counting	difficult	excellent	+VSiPMT
Linearity	depending on gain	depending on focusing	≈+PMT
Peak-to-valley	≈ 3 (typ.)	> 60	+VSiPMT
Afterpulse(@0.5pe)	≈ 10%	Next gen. MPPC <0.3%	+VSiPMT
SPE resolution	≈ 30% (typ.)	≈ 17.8%	+VSiPMT

Feasibility study of a 3'' VSiPMT

VSiPMT prototypes characterization provided the unequivocal proof of feasibility of the device.

New prototypes are currently under study

Constraints

- Physics applications require significantly bigger sensitive areas;
- Keep power consumption and TTS as low as possible;
- Linearity must be improved.

Engineering and design phase

- development of two VSiPMT prototypes (1 inch and 3 inches photocathode area, respectively);
- focusing system for linearity and TTS optimization;
- Silicon layers structure for efficiency maximization.

3-inch VSiPMT: Photocathode

In use: GaAsP transmission mode photocathode

Performances

- wide band gap, covering all the visible wavelengths
- high quantum efficiency (up to ~50%)

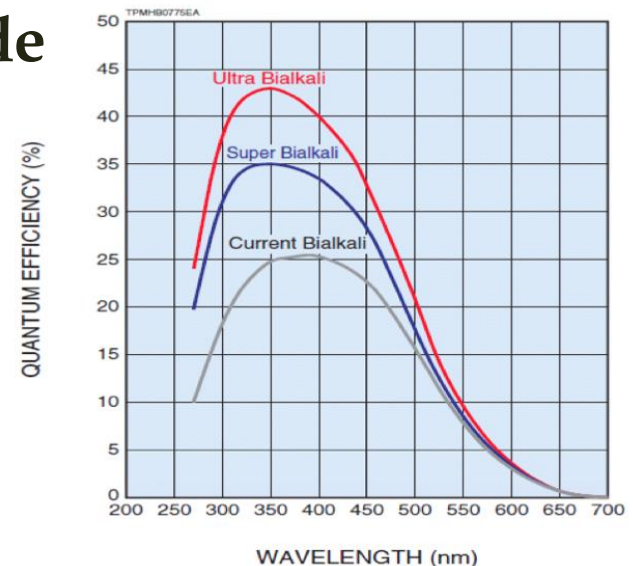
Drawback

realizable only by **epitaxial growth** in flat shape → high manufacture complexity and costs

Feasible solution: **Ultra Bialkali transmission mode photocathode**

Performances

- spectral response ranging between 300 nm and 600 nm
- low noise
- realized by **evaporation**: large surfaces and curve shapes, lower costs

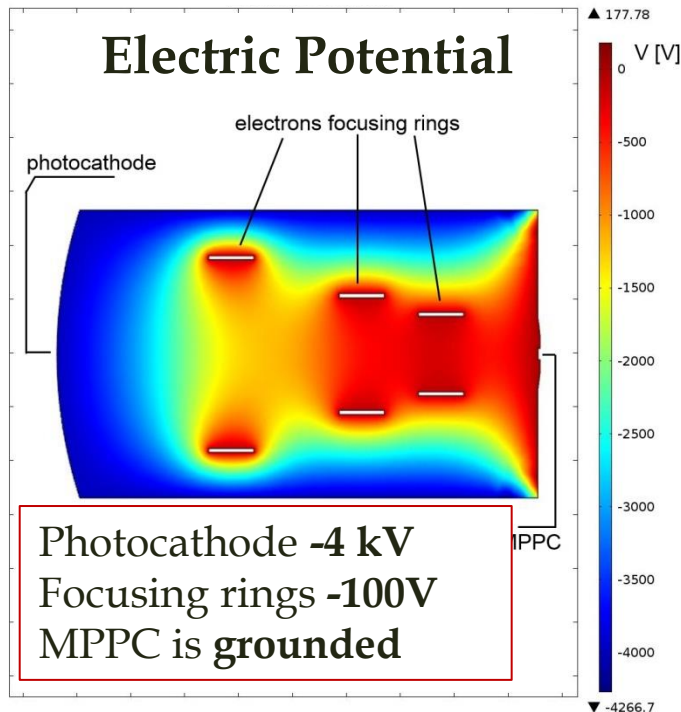


3-inch VSiPMT: Focusing

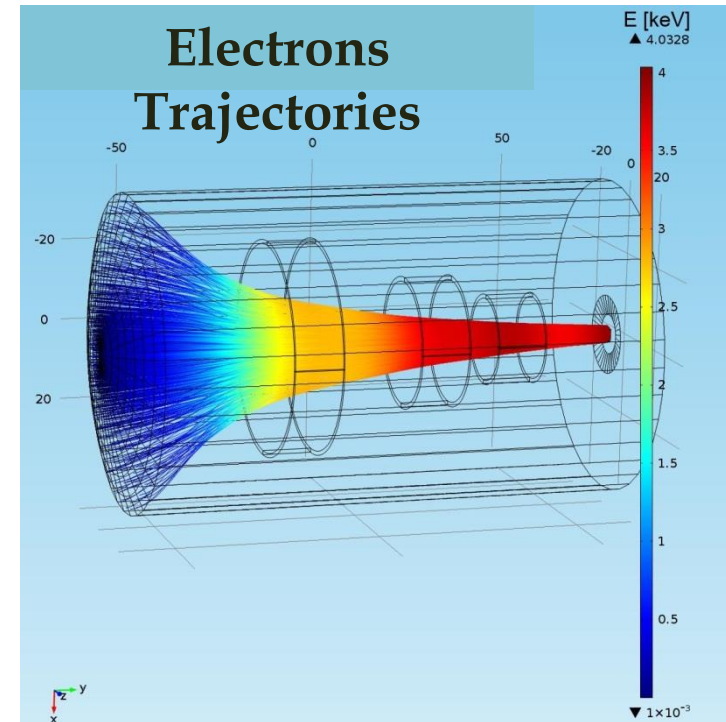
A too **strong focusing** means that not all pixels are involved → **drastical reduction of the linearity**

A too **weak focusing** means that a fraction of the pe misses the target → **decrease of the overall PDE**

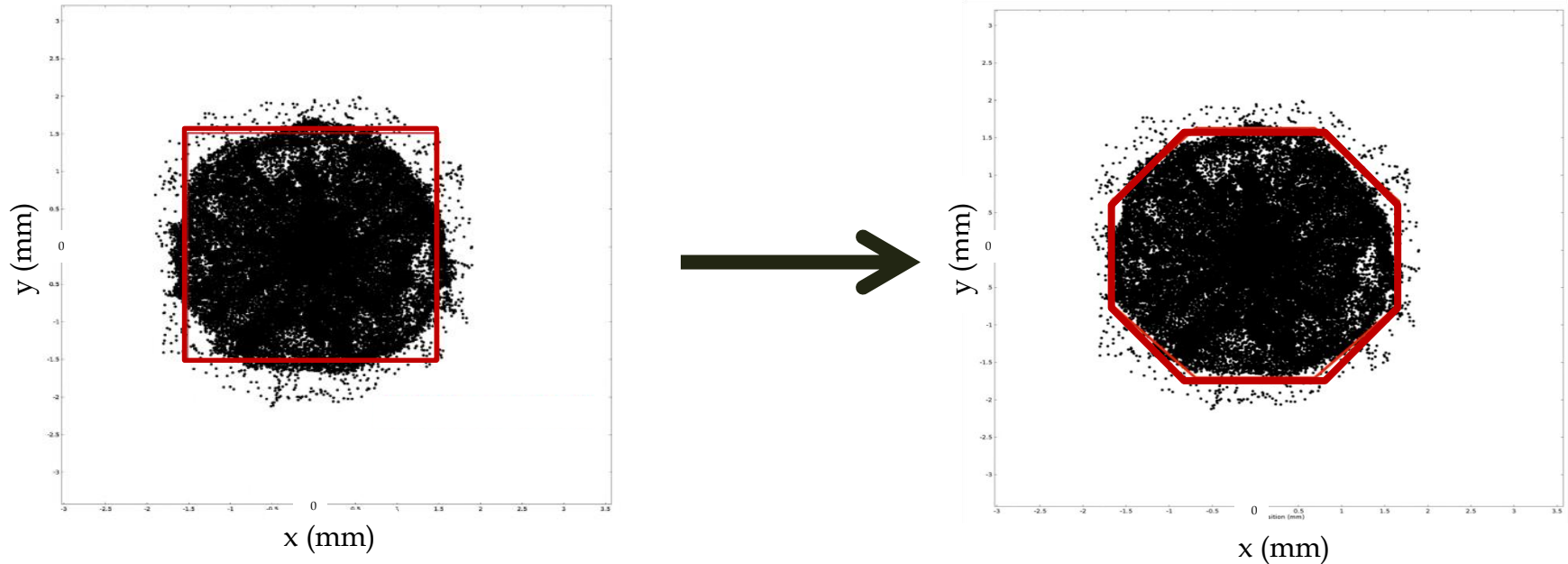
Proposed Solution



Three focusing rings:
- **first focusing ring** for time alignment of all electrons paths
- **second and third focusing rings:** fine tuning of the electron beam focusing



3-inch VSiPMT: SiPM shape



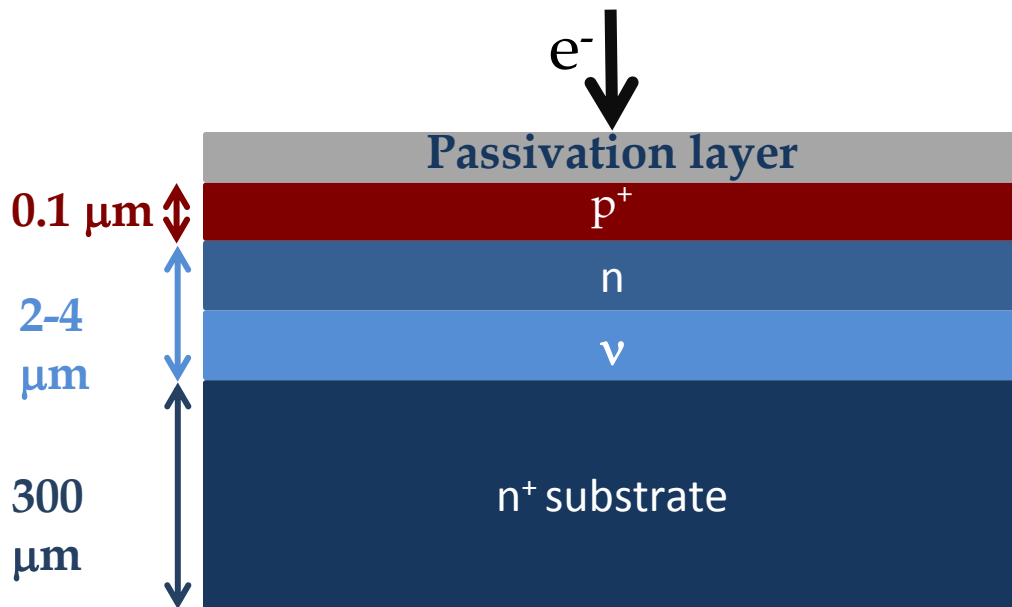
To adapt the sensitive area of the electron multiplier to the shape and to the size of the pe spot and reduce dark counts:

- **Octagonal-shaped**
- **Standard square with “blind” corners**

3-inch VSiPMT: SiPM structure

SiPM currently in use: blue/UV-enhanced

- depletion region close to surface
- p-on-n structure for e^- detection optimization



Passivation layer: ~ 100 -150 nm of SiO_2

- excellent transmission of light
- protection from environmental factors

reduction of the photocathode

HV

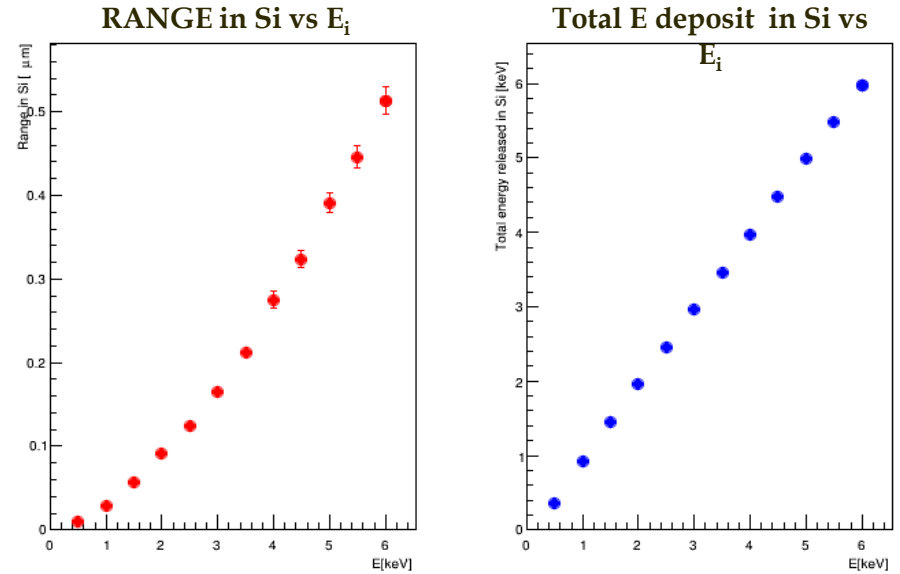


investigation of alternative solutions based on a **thinner** SiO_2 passivation layer

3-inch VSiPMT: SiPM structure

Geant4-based simulation

Geant4-MicroElec extension for low energy processes in Silicon included in *Geant4 10.0 Release*



4 nm passivation layer

4 nm SiO₂ passivation layer:
the energy threshold for e⁻ drops to **2 keV** → reduction factor of 2 with respect to the first generation prototypes

Passivation layer	Energy threshold (E _{th})	Total energy deposit in Si @ E _{th}
150 nm	4 keV	~1.8 keV
100 nm	3.5 keV	~2.2 keV
50 nm	3 keV	~2.6 keV
15 nm	2.5 keV	~2.3 keV
4 nm	>2 keV	~2 keV

Application of the VSiPMT to Water Cherenkov detectors

- Much easier-to-stabilize gain
- Highly reduced power consumption



Advantages in detector construction and maintenance

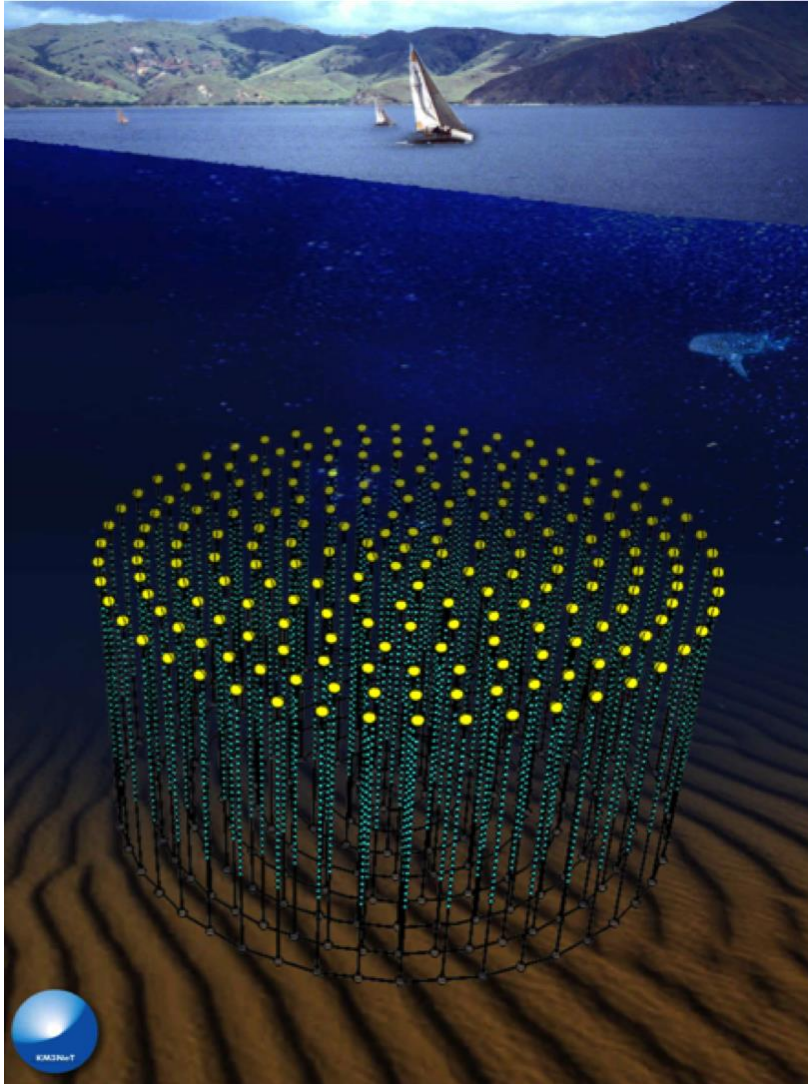
- Lower TTS (factor > 3)
- Improved Peak-to-valley ratio (factor > 20)
- Excellent photon counting capabilities



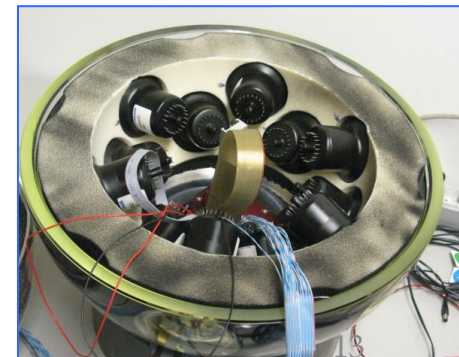
Improvements in reconstruction quality

An attractive solution for Water Cherenkov experiments

Km3NeT
experiment



- Multi-site 3-D array of optical detectors
- km³ volume
- deep sea infrastructure
- Digital Optical Modules
- 31 PMTs each



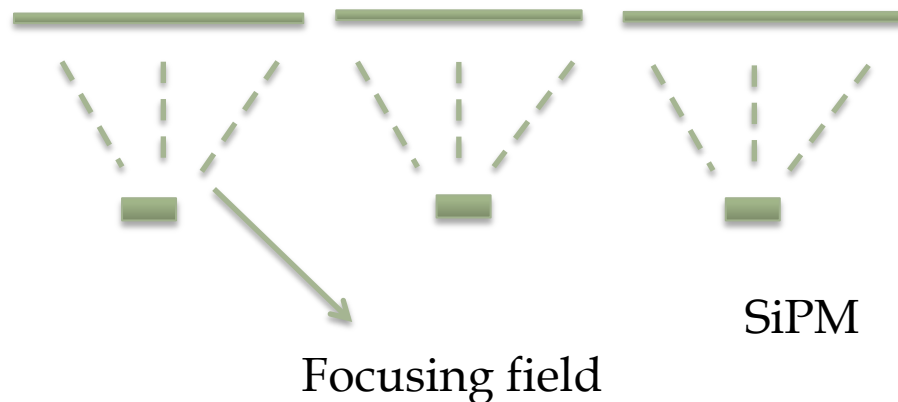
...and for applications in HK

DOM structure as in Km3Net



Use 3'' VSiPMT
High granularity for
background rejection and
improvement of
reconstruction quality

Flat photocathode surface



Next step:

WC detector simulation based on WCSim

- semi-DOM with 3'' PMT
- semi-DOM with VSiPMT
- flat photocathode surface VSiPMT

Conclusions and Perspectives

VSiPMT is an innovative design for a modern hybrid photodetector based on the combination of a Silicon PhotoMultiplier (SiPM) with a Vacuum PMT standard envelope

Unprecedented features:

- Photon counting capability;
 - Low power consumption;
 - Large sensitive surface;
 - Excellent timing performances (low TTS);
 - High stability (not depending on HV).
-
- Engineering and design phase: Naples INFN group studies towards a 3'' VSiPMT prototypes
 - Collaboration with Hamamatsu for new VSiPMT prototypes
 - Simulation studies towards a VSiPMT application in WC detectors in HK

Engineering: photocathode/1

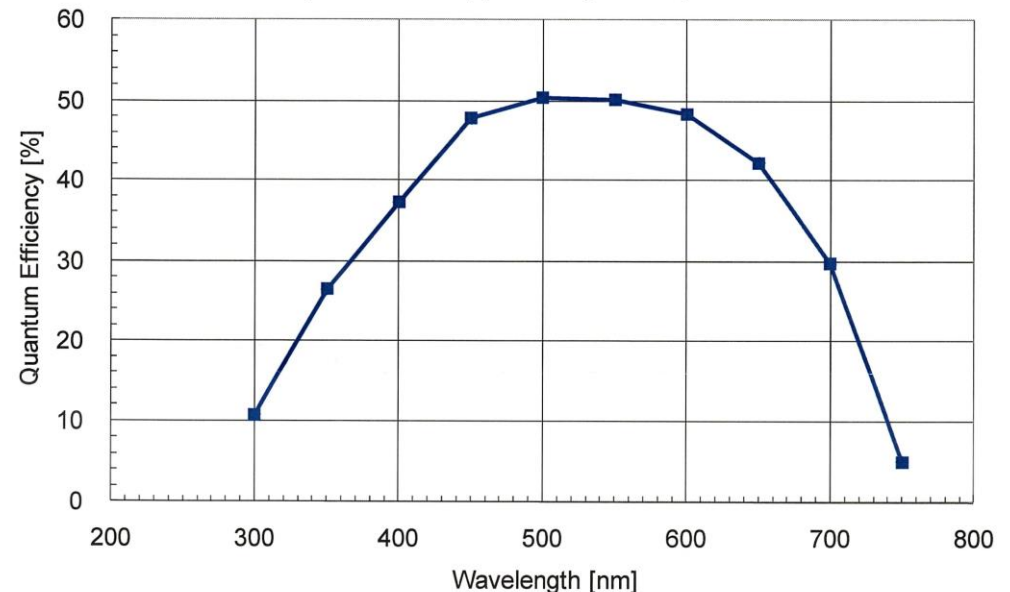
In use: GaAsP
transmission mode
photocathode

Excellent performances

- wide band gap, covering all the visible wavelengths
- high quantum efficiency (up to ~ 50%).

Photocathode Spectral Response

(Photocathode applied voltage: 90V)



Drawback

GaAsP photocathodes can be made only by epitaxial growth

→ realizable only in **flat shape**, with high manufacture complexity and **costs**.

Only for small size devices

Engineering: photocathode/2

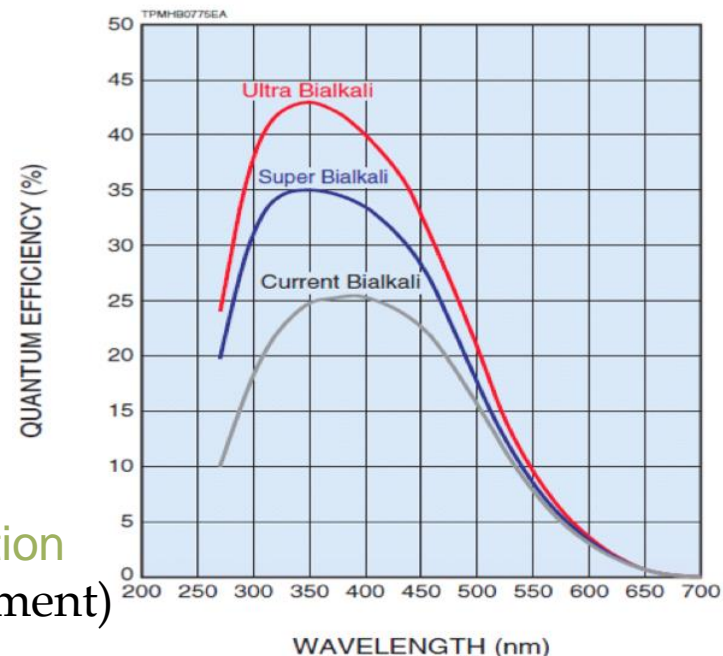
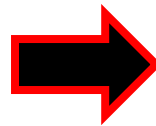
Feasible solution: **bialkali transmission mode photocathode**

Pros

- typical spectral response ranging between 300 nm and 600 nm;
- low noise;
- realized by evaporation. Therefore, **large surfaces** and **curve shapes** are easily obtainable with much lower costs wrt GaAsP (about one order of magnitude).

Warning

- significantly **lower QE** wrt GaAsP
- visible band not completely covered



enhanced bialkali photocathodes generation
(crystallinity of the antimony film improvement)

Engineering: focusing/1

Weak focusing

the photoelectron spot exceeds the size of the G-APD

→ a fraction of the ps misses the target and is systematically lost

→ the overall **PDE** of the device decreases.

Strong focusing

too much squeezed photoelectron beam

→ the photoelectron spot intercepts only a fraction of the active surface of the G-APD

→ the **linearity** of the device is reduced.

OPTIMAL SOLUTION

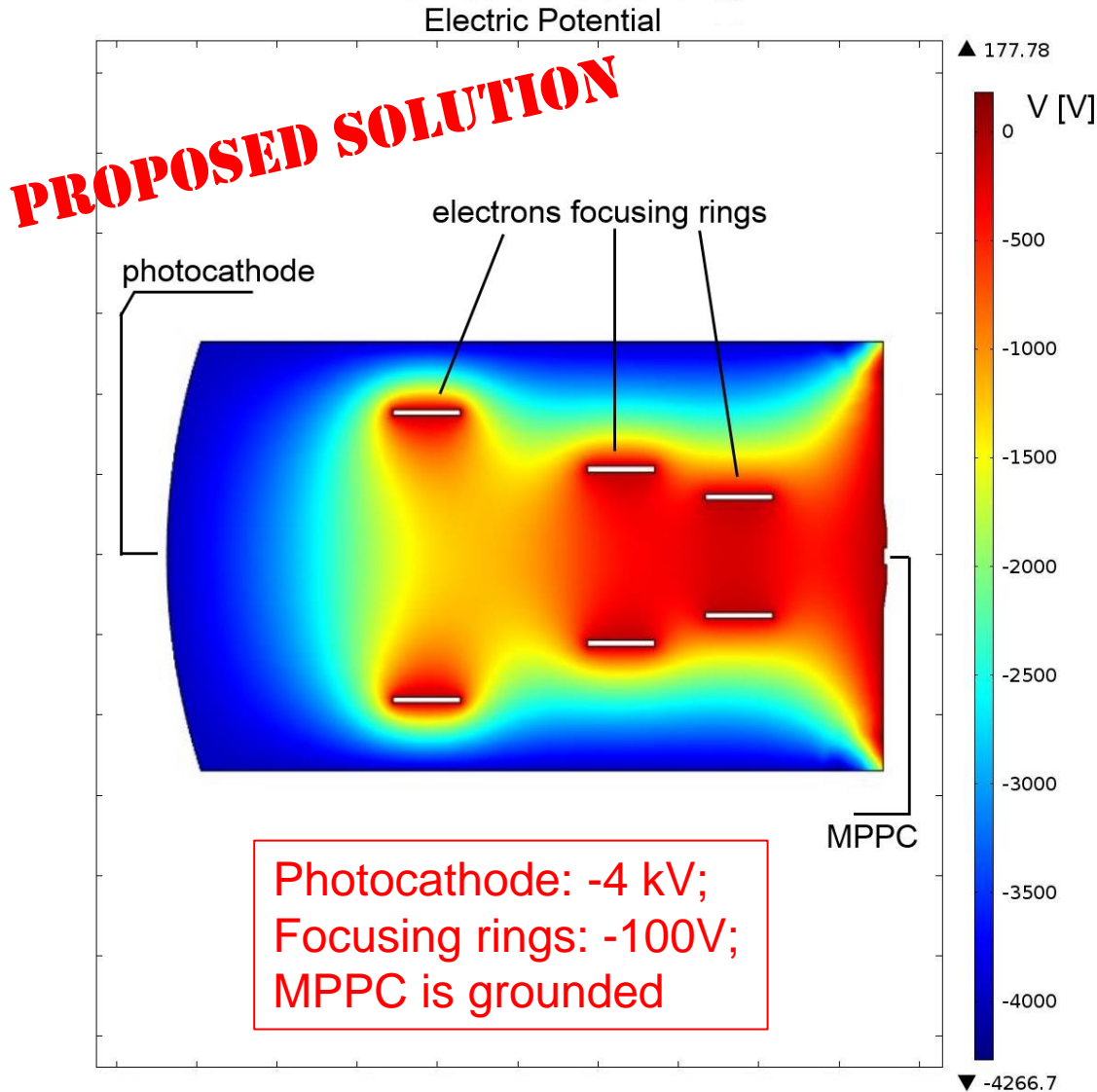
electrostatic focusing system
generating a photoelectron beam
with the same size of the G-APD



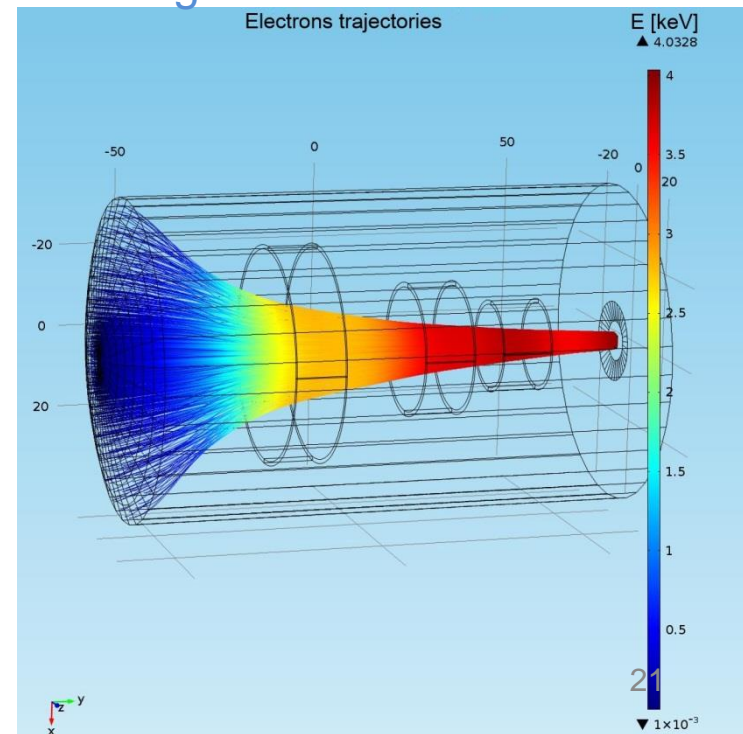
Additional drawback

all the G-APD pixels not involved in the electron multiplication process are still **dark noise** sources.

Engineering: focusing/2



First focusing ring: time alignment of all possible electrons paths;
Second and third focusing rings: fine tuning of the electron beam focusing



Engineering: SiPM

New generation of Hamamatsu MPPCs:

- sensibly lower **afterpulse** rates ($\approx 10\% \rightarrow < 0.3\%$);
- lower noise: much reduced **dark counts** (about one order of magnitude);
- higher gain \rightarrow no amplification required (persp.), still lower **power consumption**;
- higher fill factor \rightarrow higher dynamic range keeping a good PDE, improved **linearity**.

Shape optimization for dark noise reduction

- **Octagonal-shaped** G-APD: to adapt the sensitive area of the electron multiplier to the shape and to the size of the pe spot;
- standard square G-APD with **“blind” corners**: all the pixels that are not involved in the electron multiplication process are turned off.

