



From Van der Meer scans to precision cross section determination: the CMS luminosity and W/Z cross section measurements at √s=8 TeV

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# $N = L\sigma$

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#### **Overview**





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 $=$   $\sqrt{a}$  $L = \int \mathcal{L} dt = \int \frac{R_L(t)}{\sigma_{vis}} dt$  $\sigma = \sigma_T(\sqrt{s})A\epsilon_{trig,rec,sel}$ 

Luminosity analysis: convert rates into instantaneous luminosity by means of *constant* calibration factor







extract the signal and estimate acceptance and efficiencies







$$
N = L\sigma
$$
  

$$
L = \int \mathcal{L}dt = \int \frac{R_L(t)}{\sigma_{vis}}dt \qquad \sigma = \sigma_T(\sqrt{s})A\epsilon_{trig,rec,sel}
$$

Wenninger, J., *"Energy Calibration of the LHC Beams at 4 TeV"* CERN-ATS-2013-040

 $P_{4\text{TeV}} = 3988 \pm 5 \text{ (stat)} \pm 26 \text{ (syst)} \text{ GeV/c}$ 





# Luminosity calibration (determination of  $\sigma_{vis}$ )





#### Disclaimer / acknowledgements

Most of the aspects discussed in the following have profited from the work and the collective effort of the "*Bunch Currents Normalization"* and "*LHC Luminosity Calibration and Monitor"*  working groups that gathered experts from the machine and the LHC experiments



## Measuring luminosity

• The (per BX) instantaneous lumi is a complicated function of beam parameters:

$$
L = \frac{vN_1N_2}{2\pi\sqrt{(\sigma_{1,x}^2 + \sigma_{2,x}^2)}\sqrt{\frac{r}{(\sigma_{1,y}^2 + \sigma_{2,y}^2)}}\int \rho_1^{lab}(\vec{r} - \Delta r, t)\rho_2^{lab}(\vec{r}, t)d^3\vec{r}dt
$$
\n
$$
L = \frac{vN_1N_2}{2\pi\sqrt{(\sigma_{1,x}^2 + \sigma_{2,x}^2)}\sqrt{(\sigma_{1,y}^2 + \sigma_{2,y}^2)}}
$$
\nGaussian beams

- Beam measurements during physics runs not accurate enough  $(*10-20\%)$
- Exploit **R=L**orelation:
	- $-\sigma$  from a very accurately predicted physics process that can be very well isolated experimentally (physics candles)
		- Bhabha scattering at LEP
		- $Z \rightarrow \mu\mu$  at LHC (hum, wait a moment..)
	- Perform dedicated experiment to measure L from beam parameters
		- **→ Van der Meer scan**
- Note that in both cases  $\sigma$  is required to be constant!

## Measuring beam parameters

- Goal is to determine luminosity from beam parameters
	- Beam current measured by dedicated beam instrumentation
		- DC Beam Current Transformer: total circulating charges
		- Fast Beam Current Transformer: fraction of charge in each bunch
	- "Affective area" assessed from rate as a function of beam separation
- Van der Meer technique, i.e. determine "effective height" of the beams  $(\Sigma)$ :

$$
R(\Delta) \propto \int \rho_1(x)\rho_2(x-\Delta)dx \implies \Sigma = \frac{\int R(\Delta)d\Delta}{R_0}
$$

With  $F(\Delta)$  implementing the dependency on separation, the luminosity is defined as:

$$
\mathcal{L}(\Delta_x, \Delta_y) = \frac{\nu N_1 N_2}{2\pi \Sigma_x \Sigma_y} F(\Delta_x, \Delta_y) \quad \Longrightarrow \Sigma_x \Sigma_y = \frac{\int F(\Delta_x, 0) d\Delta_x \int F(0, \Delta_y) d\Delta_y}{F(0, 0)}
$$



#### Van der Meer Scan

• Assuming factorizable gaussian for the beam density function (not too bad as approximation)

$$
\rho(x,y) = \rho(x)\rho(y) \propto \exp(-\frac{x^2}{2\sigma_x^2}) \exp(-\frac{x^2}{2\sigma_y^2}) \quad F(\Delta_x, \Delta_y) \propto \exp(-\frac{\Delta_x^2}{2\Sigma_x^2}) \exp(-\frac{\Delta_y^2}{2\Sigma_y^2})
$$

• The resulting "effective area" is then just:

$$
\Sigma_x\Sigma_y=\sqrt{\sigma_{x,1}^2+\sigma_{x,2}^2}\sqrt{\sigma_{y,1}^2+\sigma_{y,2}^2}
$$



## Van der Meer Scans at LHC

- Scan the beams horizontally and vertically
	- $-$  In steps of half beam width,  $\sim$ 25 steps,  $\sim$ 30 sec per step,
- Dedicated beam/machine set up (time consuming!)
	- Reduced number of bunches, reduced bunch intensity
	- Larger  $\beta^*$ , no crossing angle
- Conditions further and further optimized
	- Excellent results from last scan at √s=8TeV, Nov. 2012
		- H. Bartosik, G. Rumolo, CERN-ACC-NOTE-2013-0008
	- Aim at maximize validity of assumptions (factorizability and Gaussian shape)



CMS VdM scan sequence in fill 3316



## Bunch charge



- Scale of current measurement:
	- Initially (2010), main source on uncertainty (~10%).
	- Tremendous improvements, DC BCT scale known at ~0.3%
- Bunch population:
	- O(0.1%) accuracy achieved by Fast BCT and ATLAS BPTX



- Ghosts (charges outside main bunches) and satellites (charges outside main bucket):
	- Whatever contributes to BCT measurements but not to visible rate needs to be subtracted:

$$
N^{j}(t) = N_{FBCT}^{j} \frac{N_{DC} (1 - f_{ghost})}{\sum_{i} N_{FBCT}^{j}} (1 - f_{sat.}^{j})
$$

– Spurious charges measured by experiments (e.g. LHCb SMOG, calorimetry timing) and by Longitudinal Density Monitor

## Corrections to VdM analysis

- Length scale
	- Value of the separation derived from currents in the corrector magnets
	- This is calibrated against central tracking system
	- Compare luminous region information (vertices distribution) versus nominal sep.
- Emittance growth
	- Beams size are known to increase in size during the fill.
	- Effect is sizable during the  $\sim$ 30 minutes
	- Bias almost negligible if emittance grows linearly with time and if measurement are made in between X and Y scans



## Corrections to VdM analysis

- **Orbit drift** 
	- The beams can slowly drift in the transverse plane; effect is typically small but can be harmful in some cases
	- Drift of the orbit estimated by BPM measurements taken between scans and extrapolated to IP
- Beam-beam effects:
	- Dipolar kick (beam-beam deflection):
		- Repulsive force deflects beams affecting nominal separation
		- depends on the separation itself, the beam width and the current
	- Quadrupolar (de)focusing (dynamic  $\beta$ ):
		- Effective beam width modified depending on the separation
		- Peak luminosity (rate) affected





## Gaussian and Factorizable?

- It is convenient to take the assumption that the beam density functions can be factorized
	- E.g. the scan is performed separately along X and Y
- The Van der Meer method works for whatever shape  $F(\Delta_{x}, \Delta_{x})$ , but that shape needs to be known.
	- Best would be to deal with (single) gaussian distribution
- Several observations indicating that is not the case if no adequate preparation of the beam/machine setup
	- Luminous region behavior, scan to scan variation of calibration



## Gaussian and Factorizable?

• If beams/machine(s) are prepared such that densities are good single gaussian (Nov 2012), non-linearity and non-factorizability effects are very much reduced



- The exact functional form is however still unknown  $\rightarrow$  major source of uncertainty
	- A full bias study (based on Monte Carlo) is needed





# Luminosity integration





$$
\sigma_{vis} = \sigma_T(\sqrt{s}) A(..) \epsilon(..)
$$

- Acceptance and efficiencies may depend on many things:
	- Acceptance: detector conditions (alive channels), beam positions, etc.
	- Efficiency: detector setup, pileup, filling scheme, etc.
- A perfect luminometer is a device that measures rates with constant acceptance and efficiency
- Any real implementation requires corrections
	- What to use as a reference?



### CMS Luminometers

- Forward Hadronic calorimeter (HF) equipped with dedicated acquisition system used for online measurements
	- Non perfect linear response vs lumi
- Silicon Pixel detector used offline, providing the most stable luminosity measurement
	- $-$  66M channels, 96.3% always alive, <0.1% occupancy at  $10^{34}$  cm<sup>-2</sup>s<sup>-1</sup>
	- Luminosity from number of pixel clusters, Pixel Clusters Counting (PCC)
		- Dedicated high rate data stream triggering on Zero Bias
	- $-$  Linear response till very high pileup (1% of shared clusters at  $\mu$ =200)





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### PCC: Dependencies

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#### • Acceptance:

- Restrict set of channels to those always alive throughout the running period
- Beam jitter small enough not to affect geometrical acceptance
- **Efficiencies:** 
	- Online and offline threshold such that signal efficiency insensitive to recalibration (needed to compensate effects of radiation dose)
	- Dynamic inefficiencies: filling up of the read-out buffer  $\rightarrow$  busy state for DAQ $\rightarrow$ loss of efficiency. Dependency on trigger rate  $\Leftrightarrow$  luminosity





## PCC: Stability check

- Being the reference in terms of stability, it can only be compared with itself
- The figure of merit is relative contributions of detector components are compared
	- Fractions are stable at the 0.5% level
	- Some collective effects can be hidden (rather unlikely)
- Alternatively compare to  $Z \rightarrow \mu\mu$  rates (study ongoing)





## PCC: Afterglow



- "Out of time response" featured by the cluster counting method:
	- Pulse shape: not relevant for 50 ns scheme, will it be at 25 ns?
	- Mild activation of the surrounding material
- Try to model and estimate the single bunch response assuming an exponential decay
	- Does not depend on the filling scheme
- Small contribution which however sums up to a non negligible component in highly populated filling schemes, ~2% effect







#### Statistical error from rate profile fit, ~0.5%





# W and Z cross section analysis

PRL 112, 191802 (2014)

PHYSICAL REVIEW LETTERS

week ending 16 MAY 2014

Measurement of Inclusive W and Z Boson Production Cross Sections in *pp* Collisions at  $\sqrt{s} = 8 \text{ TeV}$ 

> S. Chatrchyan et al.<sup>\*</sup> (CMS Collaboration) (Received 4 February 2014; published 14 May 2014)

A measurement of total and fiducial inclusive W and Z boson production cross sections in  $pp$  collisions at  $\sqrt{s}$  = 8 TeV is presented. Electron and muon final states are analyzed in a data sample collected with the CMS detector corresponding to an integrated luminosity of  $18.2 \pm 0.5$  pb<sup>-1</sup>. The measured total inclusive cross sections times branching fractions are  $\sigma(p p \to W X) \times B(W \to \ell \nu) = 12.21 \pm 0.03 \text{(stat)} \pm 0.24 \text{(syst)} \pm 0.02$ 0.32(lum) nb and  $\sigma(pp \to ZX) \times B(Z \to e^+e^-) = 1.15 \pm 0.01$  (stat)  $\pm 0.02$  (syst)  $\pm 0.03$  (lum) nb for the dilepton mass in the range of 60—120 GeV. The measured values agree with next-to-next-to-leadingorder QCD cross section calculations. Ratios of cross sections are reported with a precision of 2%. This is the first measurement of inclusive W and Z boson production in proton-proton collisions at  $\sqrt{s} = 8$  TeV.

DOI: 10.1103/PhysRevLett.112.191802

PACS numbers: 13.85.Qk, 14.70.Fm, 14.70.Hp



### Dedicated data taking

- One of the most important measurements in 2010 (7TeV, 35/pb)
- Run at 8 TeV in much harsher conditions (PU x10, *L* x30)
	- E.g. single lepton L1 trigger rate >>100kHz if same thresholds as in 2010
	- Larger uncertainties in modeling MET
- Analysis is systematically limited  $\rightarrow$  can tradeoff dataset size for better conditions
- Low Luminosity run yielding a dataset of  $\sim$ 20/pb:
	- During intensity ramp-up (minimizing loss of integrated luminosity), separate the beams and level pilup at  $\sim$ 5
	- Allow lowering L1 thresholds on single lepton  $p_T$
	- Dedicated high rate HLT configuration (~300Hz for single lepton triggers)
- Basically identical analysis as in 2010 is allowed



#### Dedicated data taking

#### Fill 2505 CMS Pileup Monitor







### Dedicated data taking

#### Quite a useful dataset indeed!

Measurement of the transverse momentum distribution of Z bosons decaying to dimuons in pp collisions at  $\sqrt{s} = 8$  TeV

Measurement of Low  $p_T$  Jet Cross Sections in proton-proton Collisions at  $\sqrt{s} = 8$  TeV

The CMS Collaboration

The CMS Collaboration

Measurement of the W boson differential cross section of transverse momentum in pp collisions at  $\sqrt{s}$  = 8 TeV

The CMS Collaboration

Leading charged particle and leading jet cross sections at small transverse momenta in pp collisions at  $\sqrt{s} = 8$  TeV

The CMS Collaboration

Measurement of pseudorapidity distributions of charged particles in proton-proton collisions at  $\sqrt{s} = 8$  TeV by the CMS and TOTEM experiments

The CMS and TOTEM Collaborations\*



### Selection



Both W and Z analysis rely on single lepton triggers:



#### • Offline selections

- Electrons
	- $p_T > 25$  GeV;  $|\eta|$  < 1.44, 1.57 <  $|\eta|$  < 2.5
	- isolation:  $\sum p_{T}^{-1}$ <0.15 $p_{T}^{ele}$  (sum over Particle Flow candidates in cone  $\Delta$ R=0.3)
- Muons
	- $p_T > 25$  GeV;  $|\eta| < 2.1$
	- isolation:  $\sum p_{T}$ <sup>i</sup><0.12 $p_{T}$ <sup> $\mu$ </sup> (sum over Particle Flow candidates in cone  $\Delta$ R=0.4)
- W selections:
	- No MET cut, use MET to discriminate signal and background
	- MET as computed by Particle Flow reconstruction, modeled by recoil method
- Z selections:
	- $60 < m_{\text{II}} < 120 \text{ GeV}$



## **Backgrounds**

- Mainly affecting W analysis
- QCD:
	- Multi-jet or high Et photon (electron channels)
	- Assessed by fully data driven techniques
- Electroweak:
	- Drell-Yan (one lepton missing).
		- Veto applied  $\rightarrow$  small contribution
	- Leptonic decays of taus ( $W\rightarrow \tau v$ ,  $Z\rightarrow \tau\tau$ )
		- $p_T$  requirement on leptons suppresses it
	- Top and di-bosons (very small)
	- Use Monte Carlo to describe the shape, normalization bound to W cross section according to theoretical predictions



#### Acceptance



- Acceptance is estimated from simulation, corrected for efficiency
- No Monte Carlo generator combines optimal EWK and QCD predictions. Use POWHEG/CT10 for central value
- Other tools are used to estimate systematic uncertainties
	- Non perturbative QCD effects-> Resbos (NNLL)
	- Missing higher order QCD corrections -> scale variation with FEWZ
	- EWK corrections: HORACE
- PDF (dominant effect):
	- Uncertainty from nominal PDF set (CT10)



## **Efficiencies**

- Main source of experimental uncertainties
- Tag&Probe method:
	- Tight id/isolation for the *tag* lepton that gives the Z mass together with a second lepton, the latter being an unbiased *probe* to the efficiency
	- Fit to the mass spectrum in case on non negligible background

$$
\epsilon = \frac{N_{pass}}{N_{pass} + N_{fail}}
$$

- Efficiency is factorized, each estimated w.r.t to previous selection
- $(\eta, p_{\tau})$  dependent scale factors are obtained and used to compensate differences between data and MC
- Systematic uncertainties computed by exploiting different models for signal and background
	- Signal: MC shape\*Gaussian (default), Breit-Wigner\*Cyrstal-Ball
	- Bkgr: Exponential (default), linear, ErrF\*Exp, MC template from W+jets+QCD
	- N.B. statistic of the tag&probe sample affects systematic error



## W Signal Extraction



- Accurate MET model obtained by "recoil method"
	- Remove leptons from Z boson data and estimate recoil components (perpendicular and parallel to the boson pT) vs the boson pT
	- Data and MC are compared, corrections are applied to W simulation
- **EWK bkgr at ~6% level for both** *e* and μ
- QCD background is modeled by Rayleigh function

$$
f_{\text{QCD}}(E_{\text{T}}^{\text{miss}}) = E_{\text{T}}^{\text{miss}} \exp\left(-\frac{E_{\text{T}}^{\text{miss2}}}{2(\sigma_0 + \sigma_1 E_{\text{T}}^{\text{miss}})^2}\right)
$$

- $-$  Electrons (good S-B discrimination):  $\sigma^{}_{0}$  and  $\sigma^{}_{1}$  left free in the fit
- Muons: simultaneous fit of signal and control region (inverse isolation) both constraining the tail parameter ( $\sigma_1$ )
- $-$  Systematics assessed by adding an extra shape parameter ( $\sigma$ <sub>2</sub>), and different definitions of control regions (muon isolation)



#### W Signal Extraction





## Z Signal Extraction



- Tiny background (0.4%), estimated from simulation
- Events yields computed by counting events in the mass window
- Systematics assessed by comparing simultaneous fit approach (cfr. 7 TeV analysis)





### Systematic Uncertainties



- Estimation of uncertainties follows closely what done in the 7 TeV analysis
- Some errors (most importantly luminosity) cancels in the ratios. Lepton efficiencies are however considered as uncorrelated (can be larger than individual measurements)



## Results



- Theoretical predictions from FEWZ and MSTW2008 PDF set
	- $-$  Uncertainties come from  $\alpha_{s}$ , heavy quark masses, and missing high orders
- Results in muon and electron channel compatible (p=0.42) and thus combined assuming lepton universality
	- Correlated uncertainties for luminosity and acceptance, uncorrelated for experimental uncertainties
- Good data-MC agreement (not so good when HF based luminosity was used)





#### Results





#### Results







#### Ratios



- Ratios are particularly interesting from the theoretical point of view as several uncertainties cancel out
- I.e. the cross section of other processes, e.g. V+jets, di-boson or top, can be compared to the W and Z ones
	- Work ongoing in this direction in CMS
- Cross section evolution with √s is also very interesting
	- Luminosity uncertainty doesn't cancel though





## Conclusions



- An accurate measurement of the W and Z total and fiducial inclusive cross section at √s=8 TeV have been performed for the first time.
	- A dedicated dataset of low luminosity/pileup data has been used, allowing a similar analysis as on 2010 data
	- Overall good agreement with theoretical predictions
- Luminosity measurement instrumental for this result
	- A lot of experienced gained during first LHC run especially for what concerns luminosity absolute calibration (Van der Meer scan)
	- Error down to 2.6%, comparable with other experimental uncertainties.
- Improvements to be expected for 13 TeV run





## BACKUP



#### Factorizability

