



# Quantum Universe

V. Mukhanov

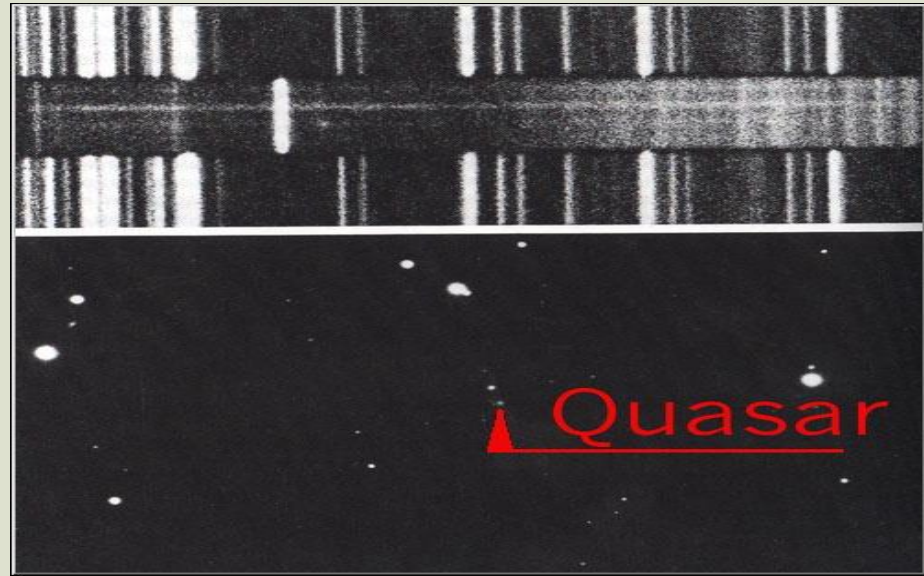
ASC, LMU, München

The efforts to understand the universe is one of the very few things that lifts human life a little above the level of farce...

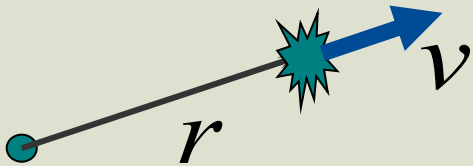
S. Weinberg, 1977

Before 1990

# ● The Universe expands



# ● Hubble law



$$v = Hr$$

$$t \approx \frac{r}{v} = \frac{1}{H} \approx 13,7 \text{ bil. years}$$

There is baryonic matter:

about 25% of  $^4\text{He}$ , D...heavy elements

Dark Matter???? baryonic origin???

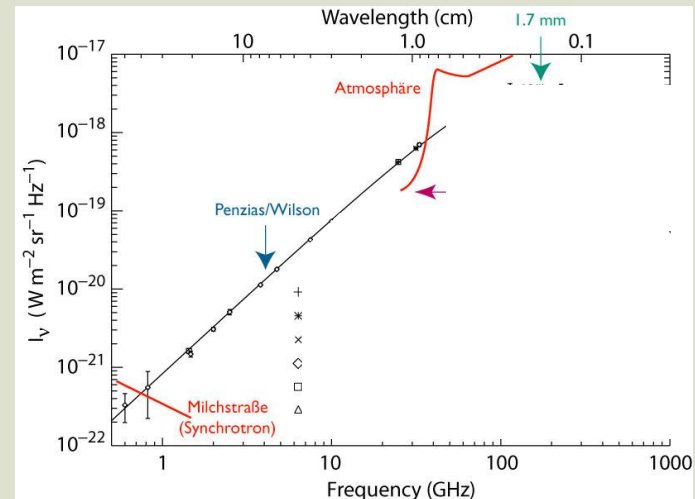
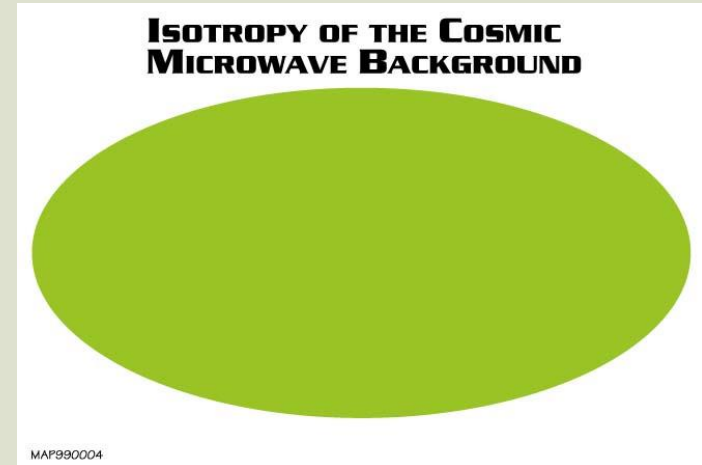
Large Scale Structure: clusters of galaxies!

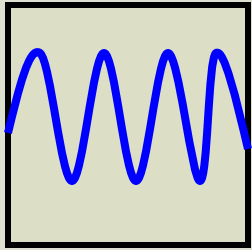
Filaments, Voids????????????????????????????????

- There exists background radiation with the temperature  $T \gg 3K$

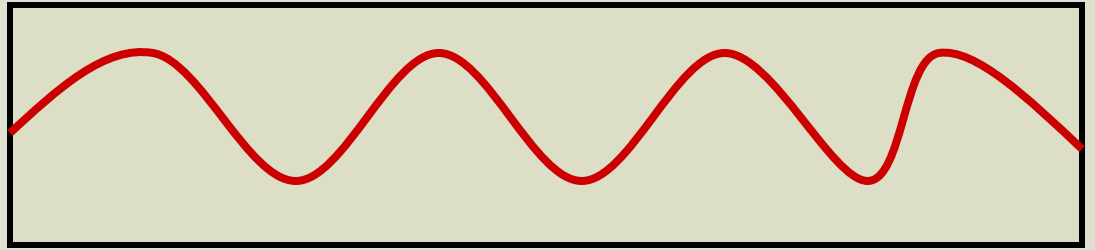


Penzias, Wilson 1965





$a$



$$\lambda \propto a$$

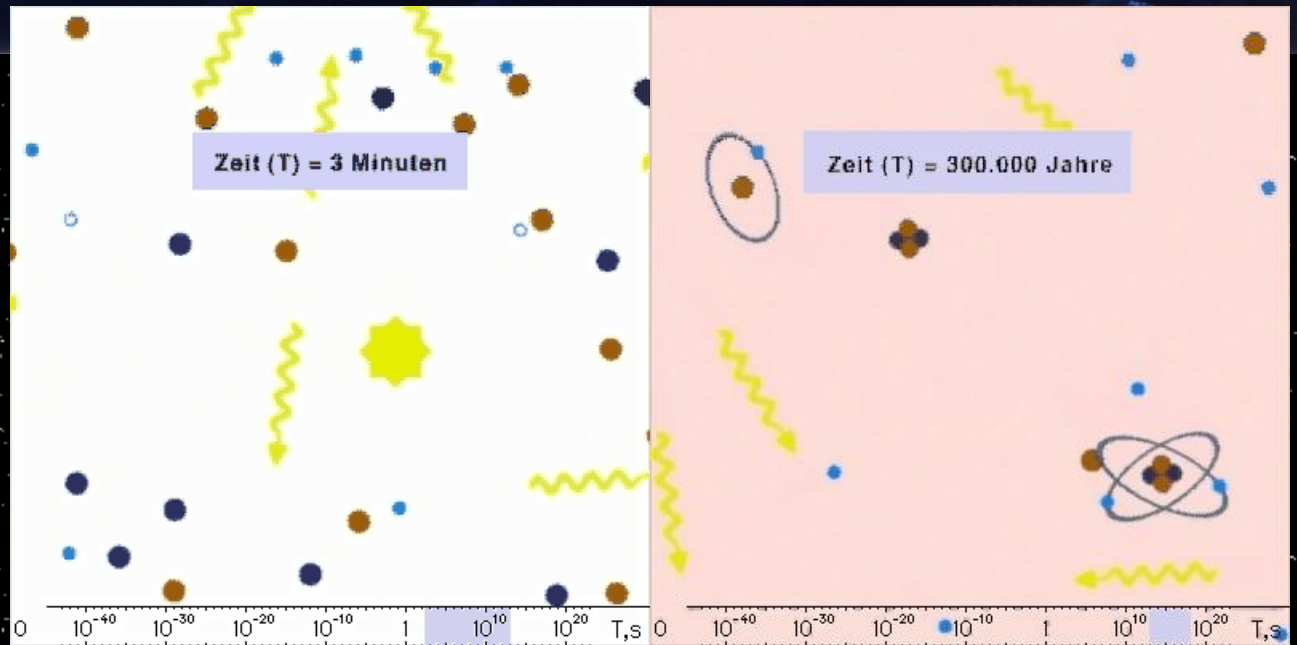
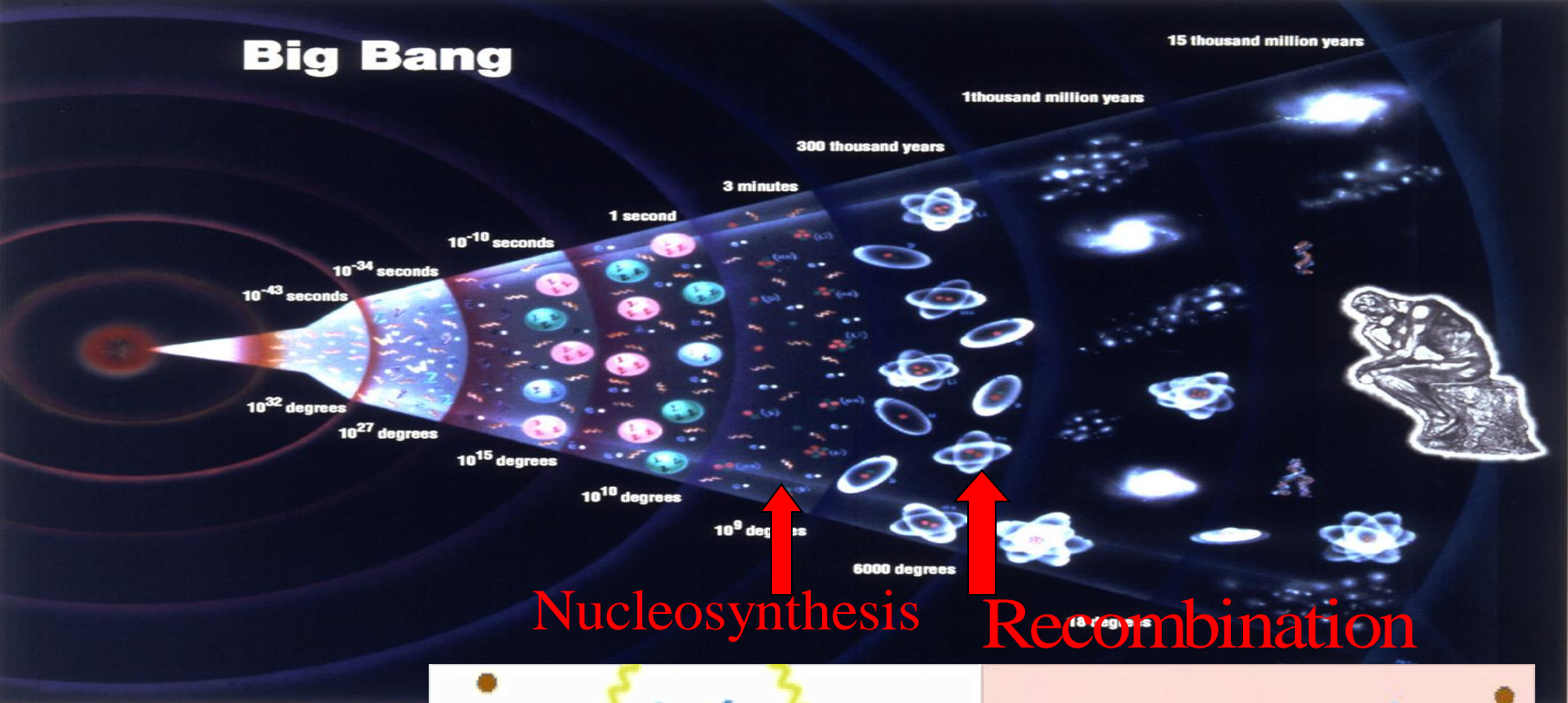


$$T \propto \frac{1}{a}$$

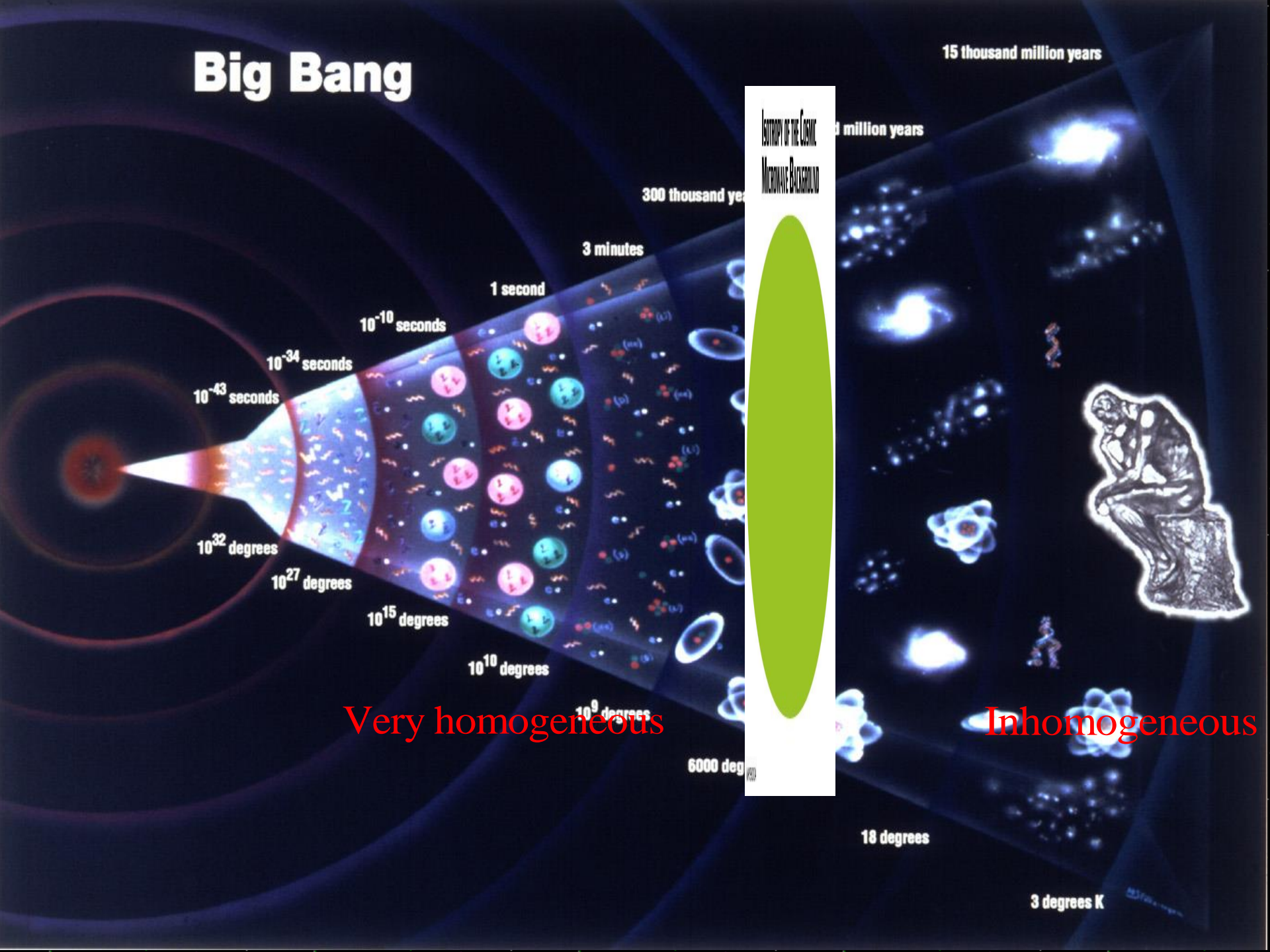
When the Universe was 1000 times smaller  
its temperature was about  $2725^{\circ}K$

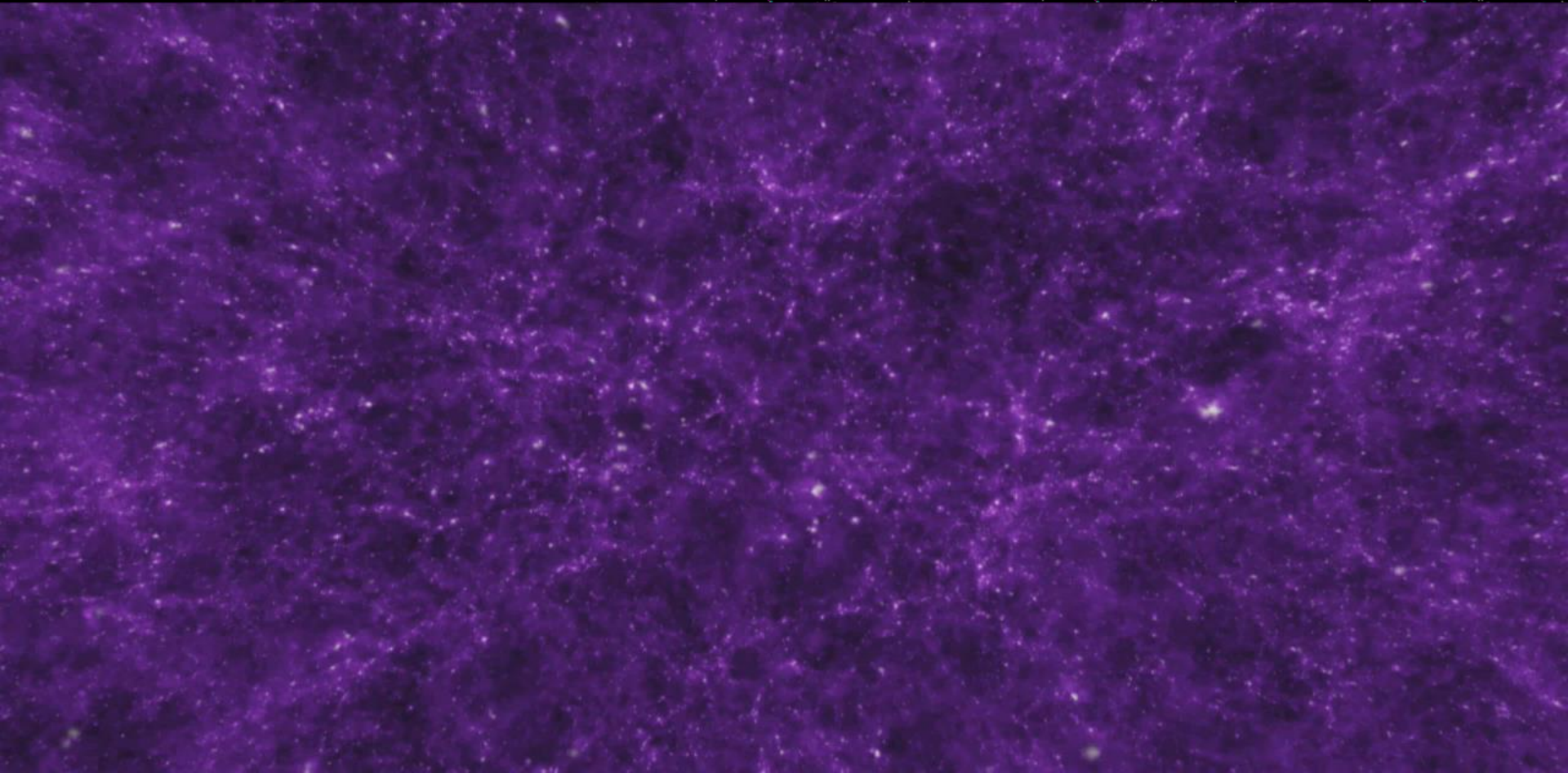
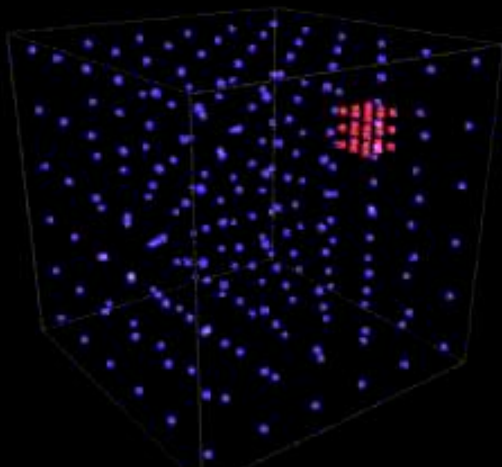


# Big Bang

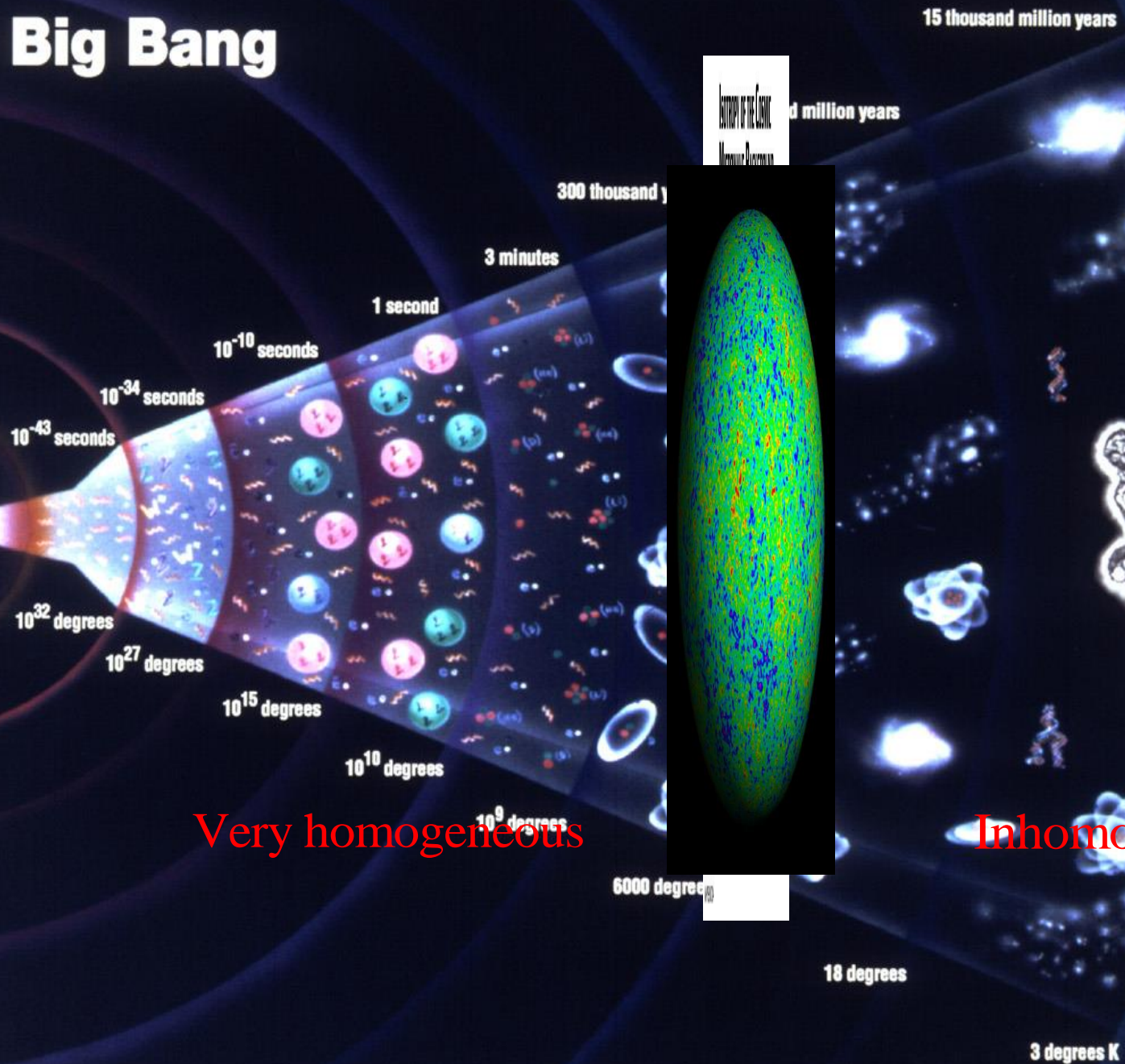


# Big Bang





# Big Bang



Very homogeneous

Inhomogeneous

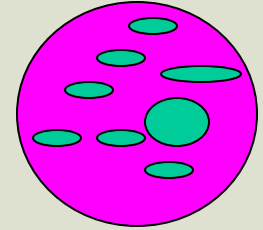




$$\rightarrow \Delta p \Delta x \geq h$$

↓

There always exist **unavoidable**  
Quantum Fluctuations



Quantum fluctuations in the density distribution are large ( $10^{-5}$ )  
only in extremely small scales ( $\approx 10^{-33}$  cm),  
but very small ( $\approx 10^{-58}$ ) on galactic scales ( $\approx 10^{25}$  cm)

Can we transfer the large fluctuations from extremely  
small scales to large scales???

Chibisov, G. V. & Mukhanov, V. F., 1980. *Lebedev Phys. Inst. Preprint No. 162.*

*Mon. Not. R. astr. Soc.* (1982) **200**, 535–550

## **Galaxy formation and phonons**

**G. V. Chibisov and V. F. Mukhanov** *Theoretical Department of  
P. N. Lebedev Physical Institute, USSR Academy of Sciences, Leninsky Prospect,  
53, Moscow 117934, USSR*

Received 1981 November 25; in original form 1981 August 3

## 4 Phonons

The quantization procedure for density inhomogeneities in terms of a real field is well known. For this we must replace the field variable  $\phi$  by the appropriate operator in the quadratic Lagrange function. This is found in the Appendix from the Einstein–Fock action (see formula (A.21)) to be

$$\begin{aligned} \hat{L} = & \int (\hat{\mathcal{L}}_a + \hat{\mathcal{L}}_{ph}) \sqrt{\gamma} d^3 \bar{x} = -\frac{1}{t^2} \int (a'^2 - \kappa a^2 + t^2 \epsilon_0 a^4) \sqrt{\gamma} d^3 \bar{x} + \frac{1}{2} \\ & \times \int \left( \hat{\phi}'^2 - \bar{c}_{\bar{s}}^2 \gamma^{\alpha\beta} \frac{\partial \hat{\phi}}{\partial \bar{x}^\alpha} \frac{\partial \hat{\phi}}{\partial \bar{x}^\beta} + \frac{\underline{z}''}{\underline{z}} \hat{\phi}^2 \right) \sqrt{\gamma} d^3 \bar{x}. \end{aligned} \quad (4.1)$$

$$\phi'' - \bar{c}_{\bar{s}}^2 \Delta \phi - \frac{\underline{z}''}{\underline{z}} \phi = 0, \quad \underline{z} = \frac{a}{\alpha} \left( \frac{2\beta}{3\bar{c}_{\bar{s}}^2} \right)^{1/2} = \frac{a}{\bar{c}_{\bar{s}}} \left( \frac{\bar{w}}{\epsilon - \kappa/t^2 a^2} \right)^{1/2}. \quad (3.5)$$



## 6.2 MODEL WITH A QUASI-VACUUM STAGE

The case when  $\bar{p} + \epsilon \ll \epsilon$  is realized for the vacuum equation of state  $\bar{p}_v = -\epsilon_v$  (see, e.g.,

Thus the calculations of this section clearly demonstrate the possibility in principle of obtaining the conditions for galaxy formation by means of the initial vacuum fluctuations.

$a(t \sim t)/t$ . Thus the role of the vacuum polarization stage for galaxy formation is to connect the galactic scales causally with the Planck scale where the quantum fluctuations are dominant.

## Quantum fluctuations and a nonsingular Universe

V.F. Mukhanov and G.V. Chibisov

P. N. Lebedev Physics Institute, Academy of sciences of the USSR

(Submitted 26 February 1981; 15 April 1981)

Pis'ma Zh. Eksp. Theor. Fiz. 33, No.10, 549-553 (20 May 1981)

Adopting a perturbation of the curvature scalar as a physical variable, we find the corresponding action in the form [6]

$$\delta S_b = \frac{1}{2} \int d^4x \left[ \dot{\phi}^2 - \nabla^\alpha \phi \nabla_\alpha \phi + \left( \frac{a''}{a} + M^2 a^2 \right) \phi^2 \right], \quad (5)$$

where  $\phi = 1/\sqrt{18(4H^2 - M^2)} a \delta R / M \ell$ , and  $\ell = (8\pi G/3)^{1/2} = 4.37 \times 10^{-33}$  cm is the Planck length.

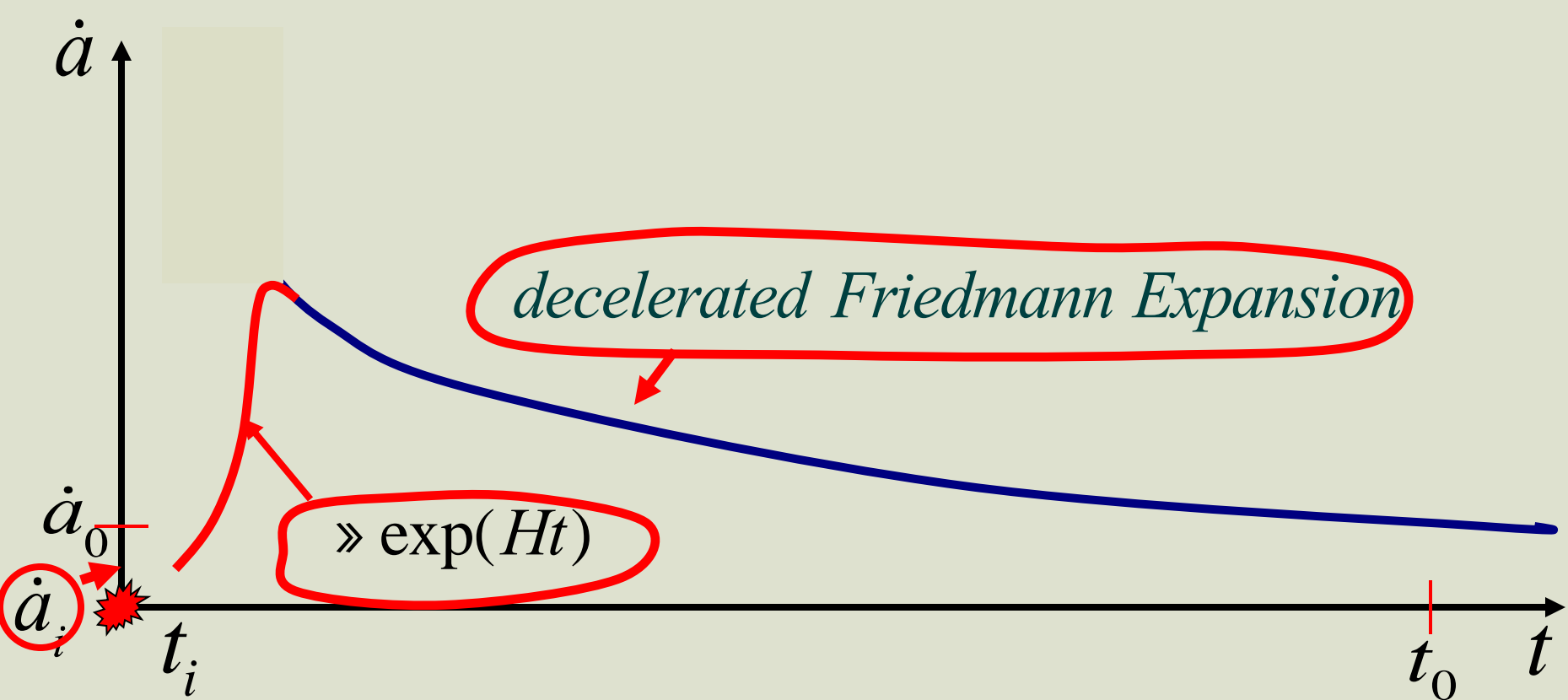
A finite duration of the de Sitter stage does not by itself rule out the possibility that this stage may exist as an intermediate stage in the evolution of the universe. An interesting question arises here: Might not perturbations of the metric, which would be sufficient for the formation of galaxies and galactic clusters, arise in this stage? To answer this question, we need to calculate the correlation function for the fluctuations of the metric after the universe goes from the de Sitter stage to the hydrodynamic stage. By analogy with (6) we find

$$\langle 0 | \hat{h}(\mathbf{x}) \hat{h}(\mathbf{x} + \mathbf{r}) | 0 \rangle = \frac{1}{2\pi^2} \int Q^2(k) \frac{\sin kr}{kr} \frac{dk}{k}, \quad (8)$$

where  $h = h_\alpha^\alpha$  and where, for the most interesting region,  $H > k > H \exp(-3H^2/M^2)$  ( $M^2 \ll H^2$ ),

$$Q(k) \approx 3\ell M \left( 1 + \frac{1}{2} \ln \frac{H}{k} \right). \quad (9)$$

The fluctuation spectrum is thus nearly flat. The quantity  $Q(k)$  is the measure of the amplitude of perturbations with scale dimensions  $1/k$  at the time the universe begins the ordinary Friedmann expansion. With  $\ell M \sim 10^{-3} - 10^{-5}$  and  $M/H \leq 0.1$ —these values are consistent with modern theories of elementary particles—the amplitude of the perturbations of the metric on the



Inflation —  
— theology =

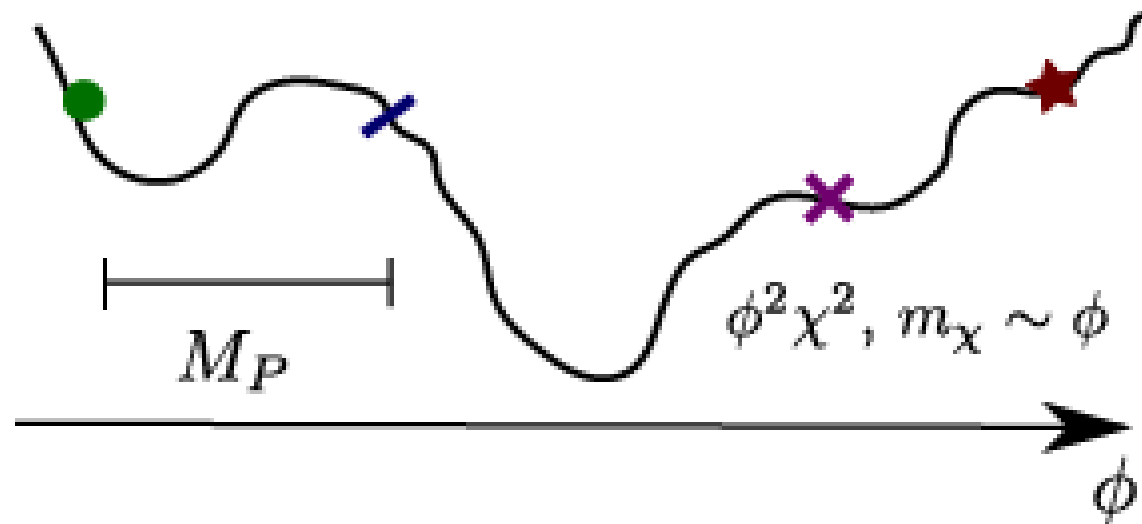
$$\approx e^{Ht}$$

during at least

$$t \sim 70 H^{-1}$$

$$V(\varphi) \Rightarrow p \approx -\epsilon$$

$$\begin{aligned}
 V(\tau, \theta) = & \frac{12W_0^2\xi}{(4V_m - \xi)(2V_m + \xi)^2} + \frac{D_1 + 12e^{-2a_2\tau} \xi A_2^2}{(4V_m - \xi)(2V_m + \xi)^2} + \frac{D_2 + \frac{16(a_2 A_2)^2}{3\alpha\lambda_2} \sqrt{\tau} e^{-2a_2\tau}}{(2V_m + \xi)} \quad (25) \\
 & + \frac{D_3 + 32e^{-2a_2\tau} a_2 A_2^2 \tau (1 + a_2\tau)}{(4V_m - \xi)(2V_m + \xi)} + \frac{D_4 + 8W_0 A_2 e^{-a_2\tau} \cos(a_2\theta)}{(4V_m - \xi)(2V_m + \xi)} \left( \frac{3\xi}{(2V_m + \xi)} + 4a_2\tau \right) + \frac{\beta}{V_m^2}.
 \end{aligned}$$



• What is relevant

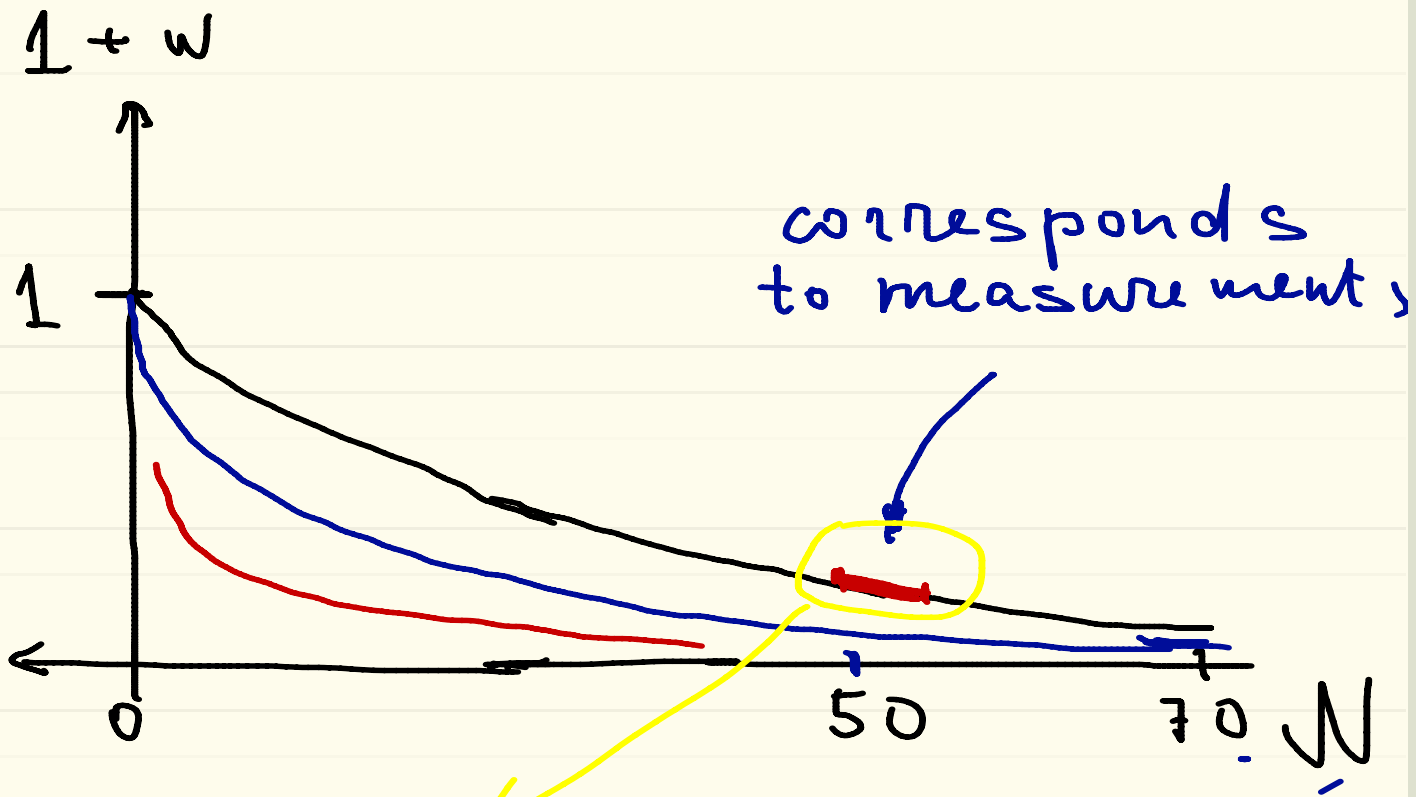
—  $\epsilon$  - energy density

—  $p$  - pressure

$$\frac{p + \epsilon}{\epsilon} \ll 1$$

during last 70  
e-folds

$$a = a_f e^{-N}$$



$$\frac{P + \Sigma}{\Sigma} = \frac{B}{(N+1)^q}$$



# PREDICTIONS

1) flat Universe

Perturbations are :

2) adiabatic (MC, 81)

3) gaussian:  $F = F_g + f_{NL} F_g^2$ , where  $f_{NL} = O(1)$  (MC, 81)

4) spectrum:  $F \propto \ln(k/k_g) \propto k^{1-n_s}$  with  $n_s = 0.96$  (MC, 81)

5) Gravitational waves (Starobinsky, 79)



## 5) Gravitational waves (Starobinsky, 79)

*L.P.* 9/6/2003:

We are writing a proposal to get money to do our small angular scale CMB experiment. If I say that simple models of inflation require  $n_s=0.95\pm 0.03$  (95% cl) is it correct?

I'm especially interested in the error. **Specifically, if  $n_s=0.99$  would you throw in the towel on inflation?**

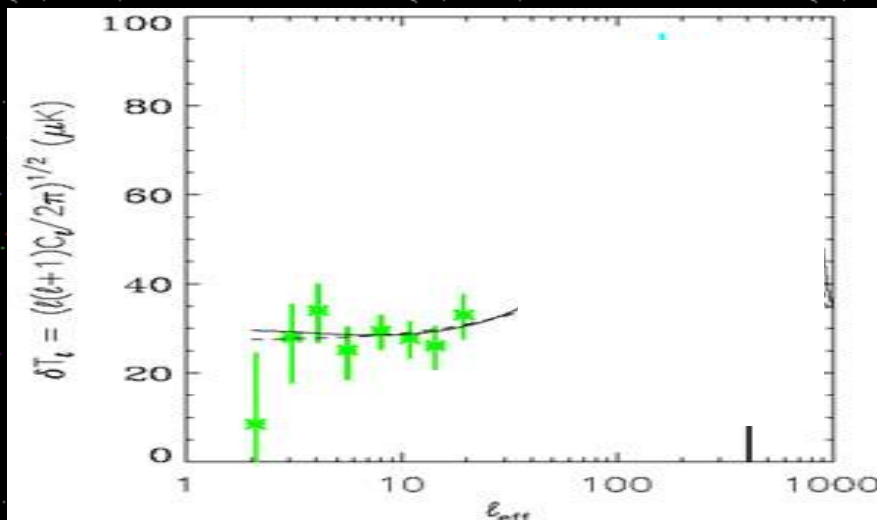
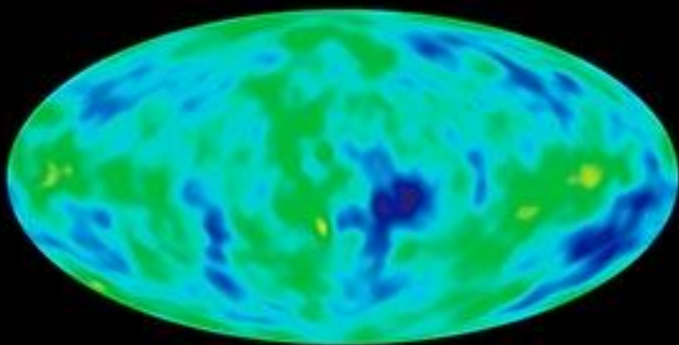
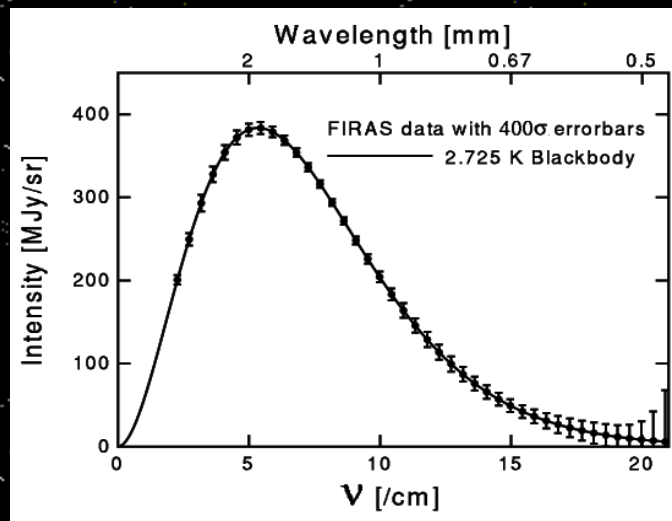
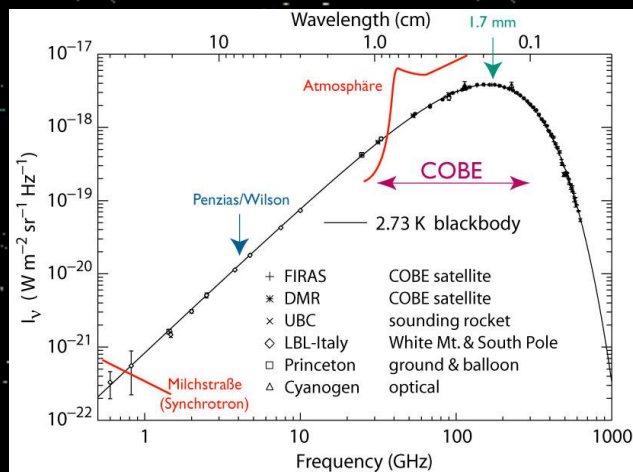
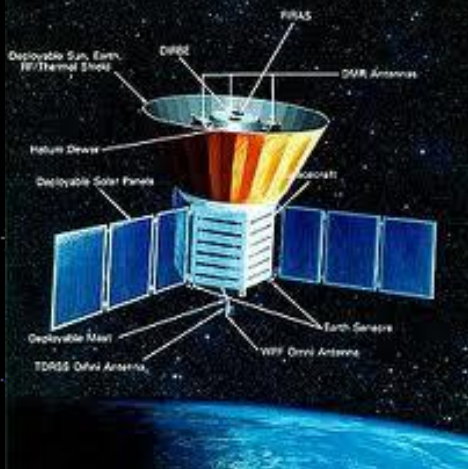
*V.M.* 9/8/2003

The "robust" estimate for spectral index for inflation is  $0.92 < n_s < 0.97$ .

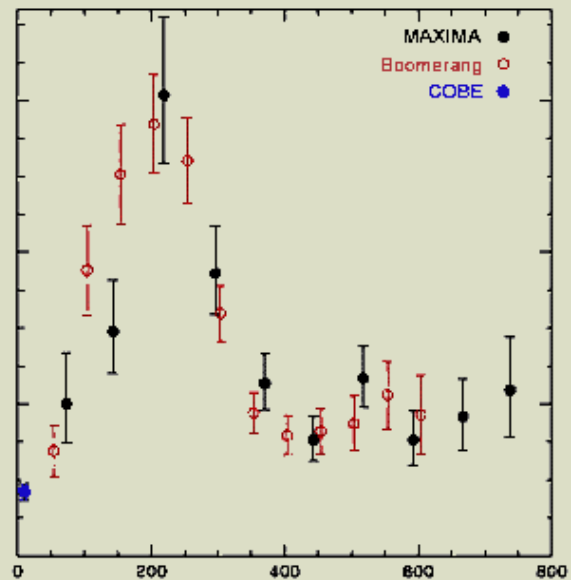
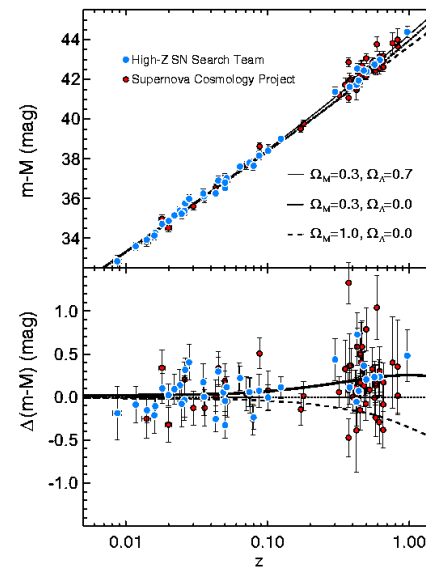
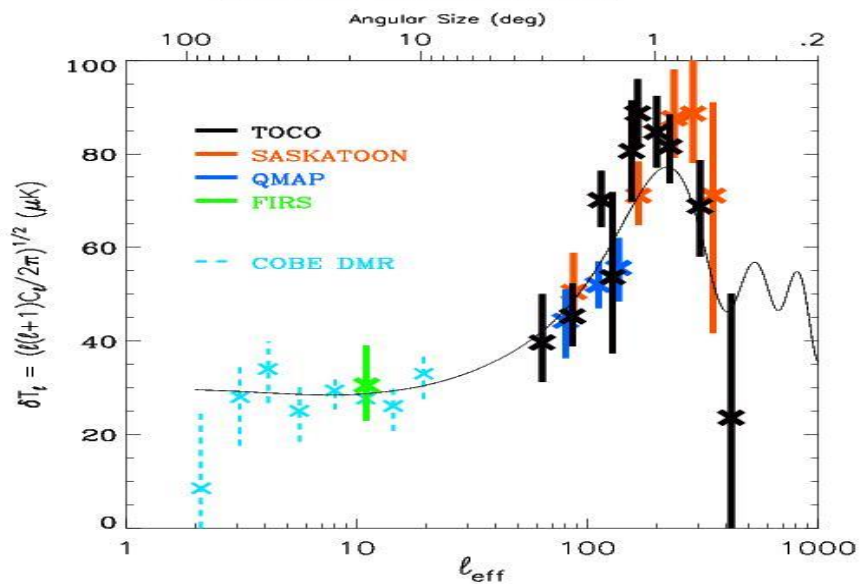
The upper bound is more robust than lower. The physical reason for the deviation of spectrum from the flat one is the necessity to finish inflation....  
**If you find  $n_s=0.99 \pm 0.01$  (3 sigma) I would throw in the towel of inflation.**

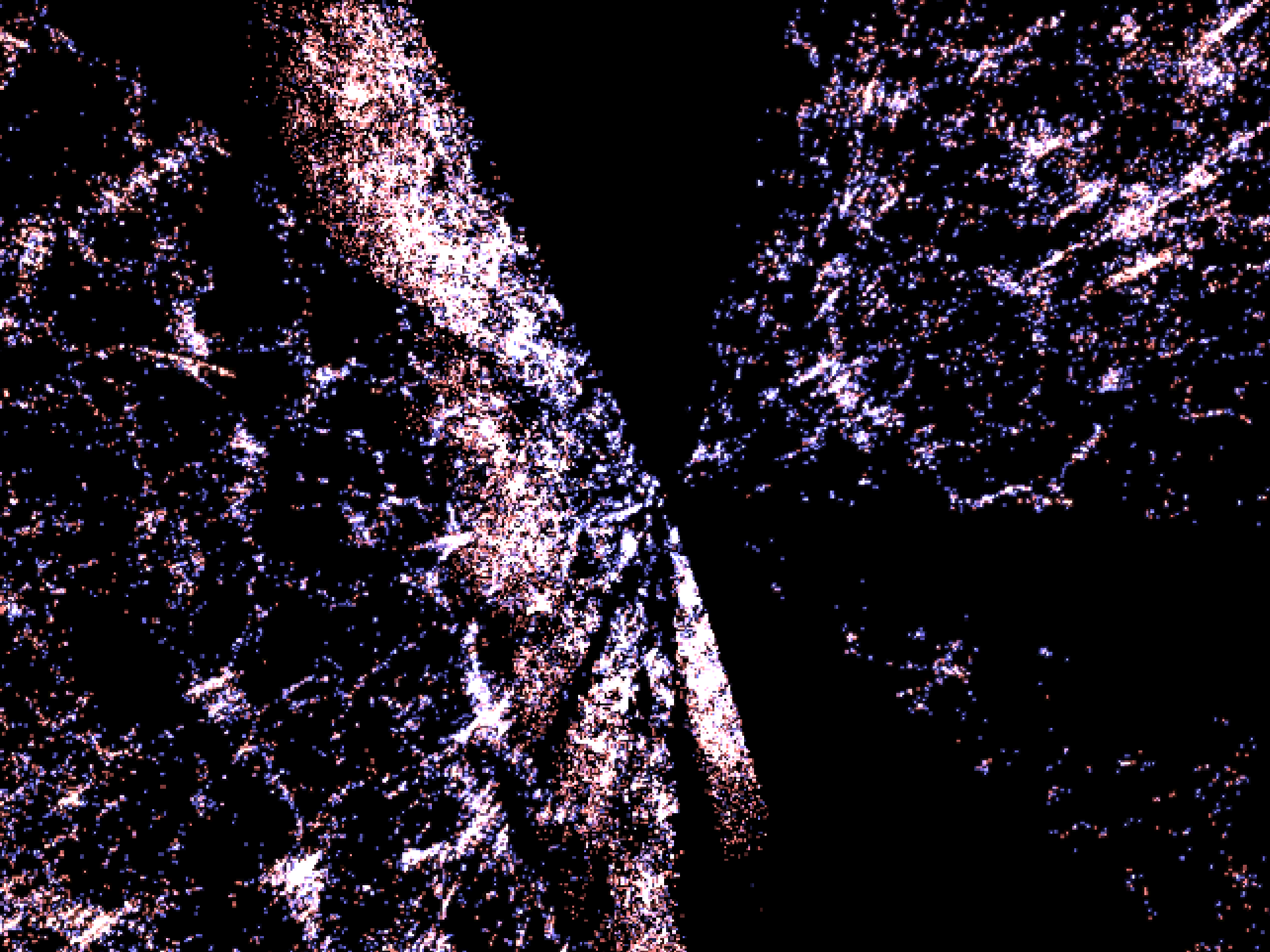
*After 90 - present*

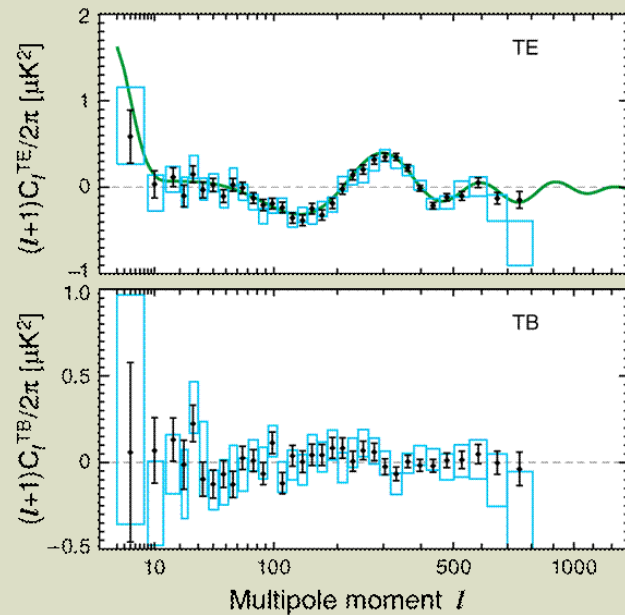
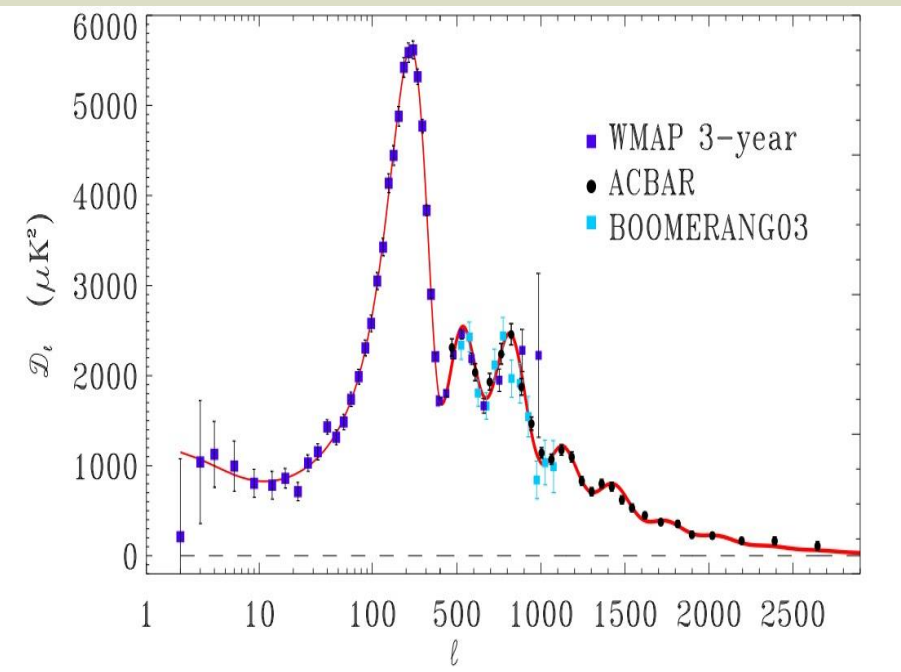
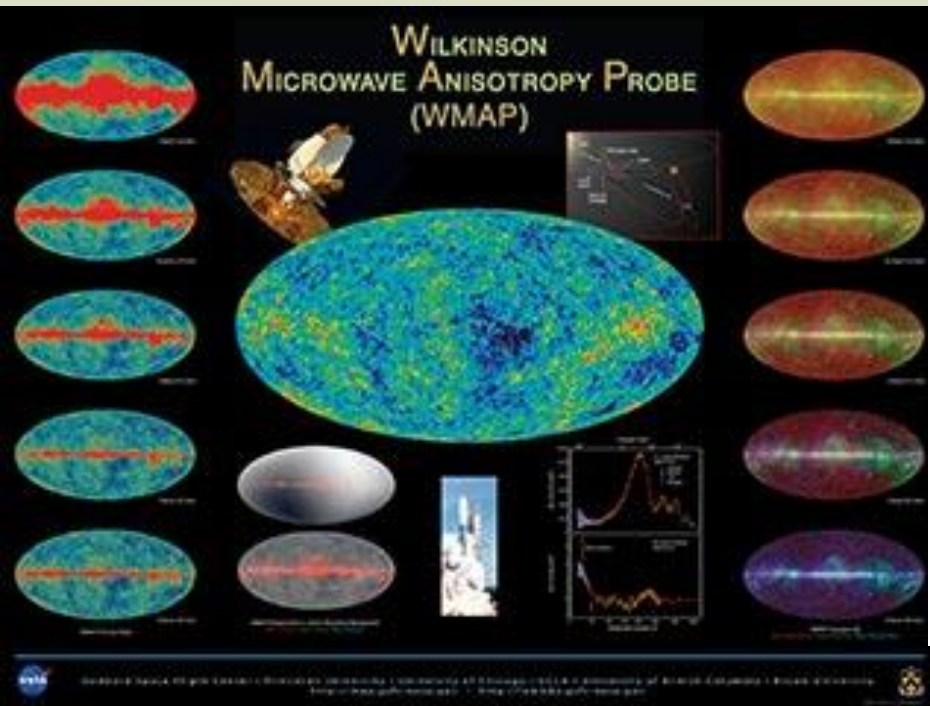
# COBE 1992



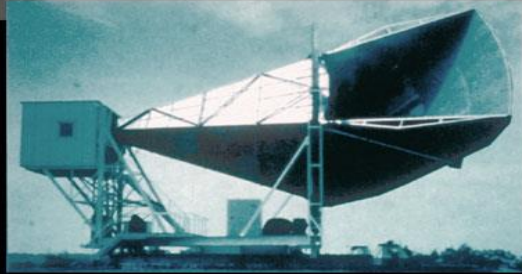
Local Experiments as of 1999  
(calibration error not included)



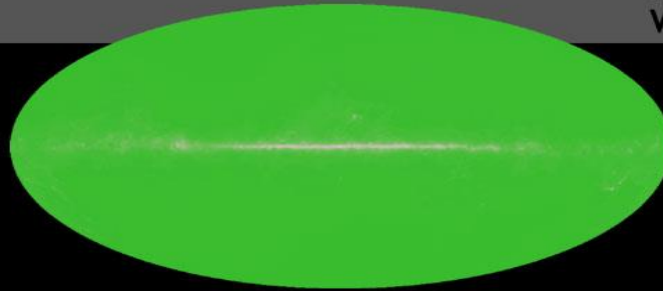




1965



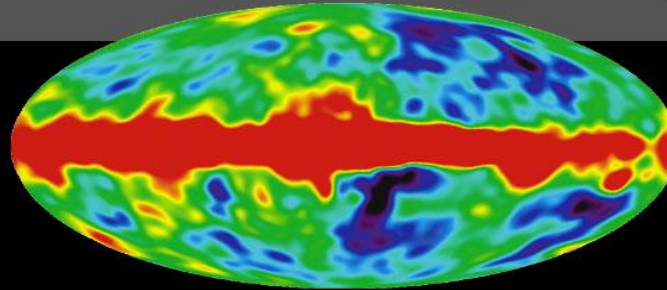
Penzias and Wilson



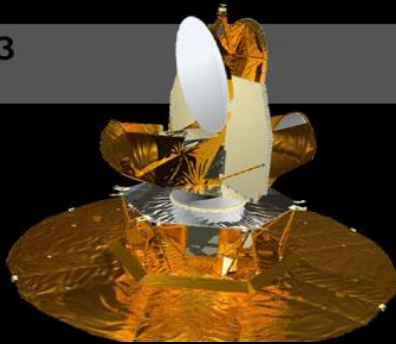
1992



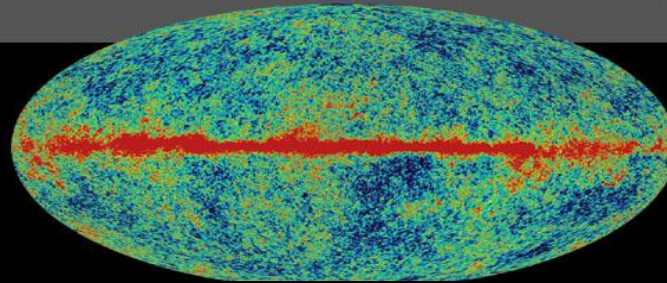
COBE



2003



WMAP



2009

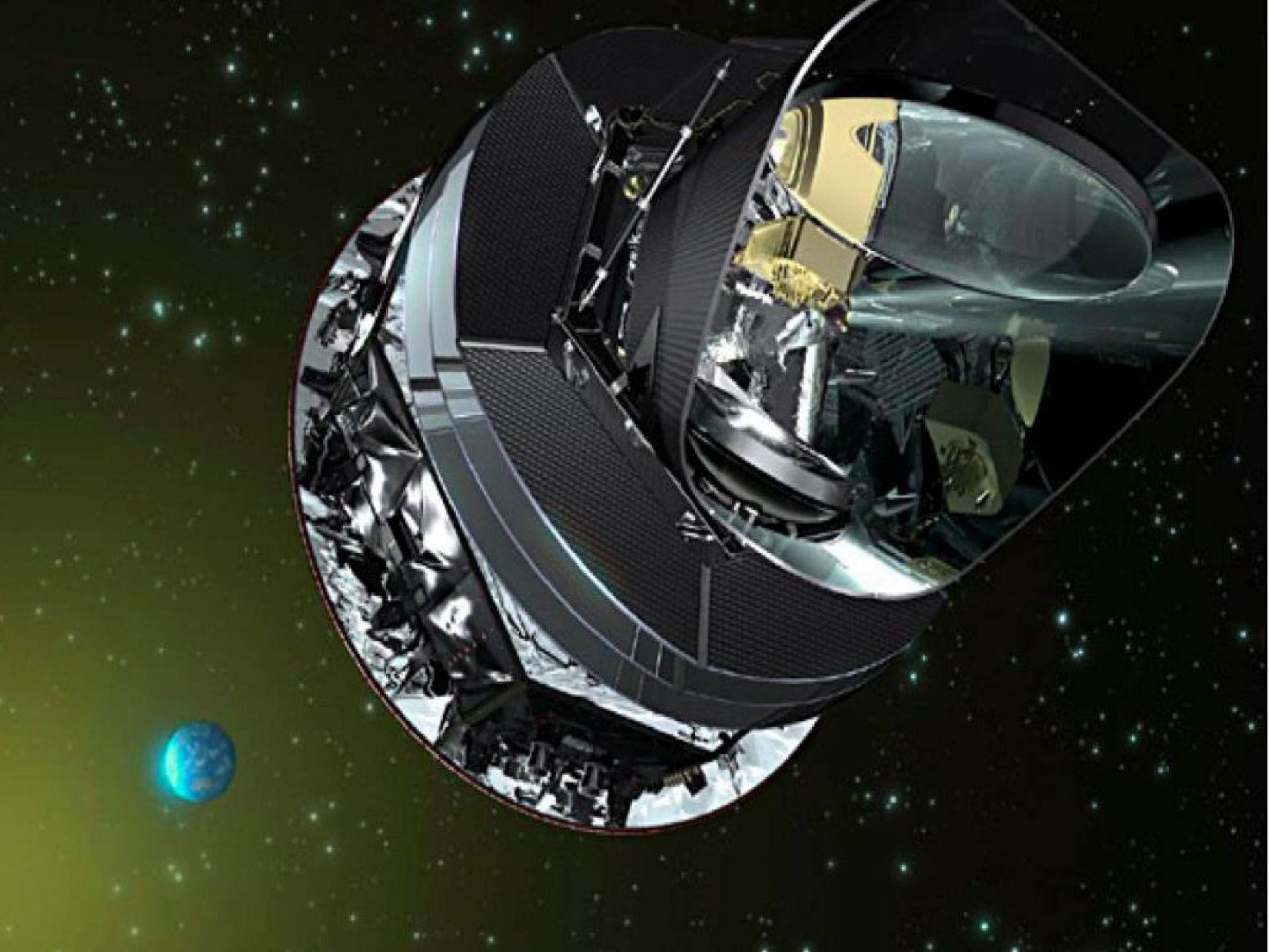


Planck

???

End 2012





the Planck Collaboration, including individuals from more than 100 scientific institutes in Europe, the USA and Canada



planck



DTU Space  
National Space Institute

Science & Technology  
Facilities Council



National Research Council of Italy

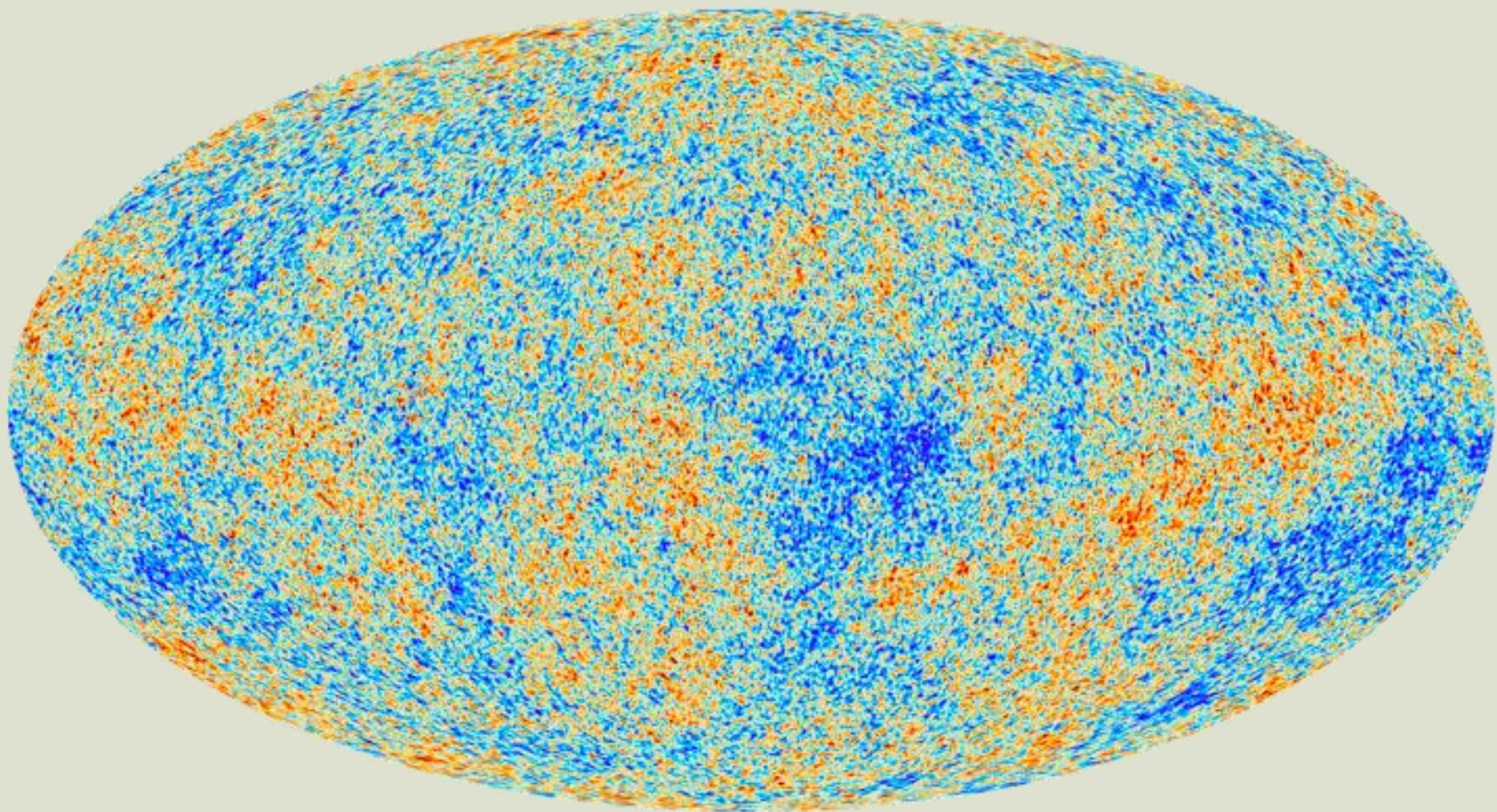


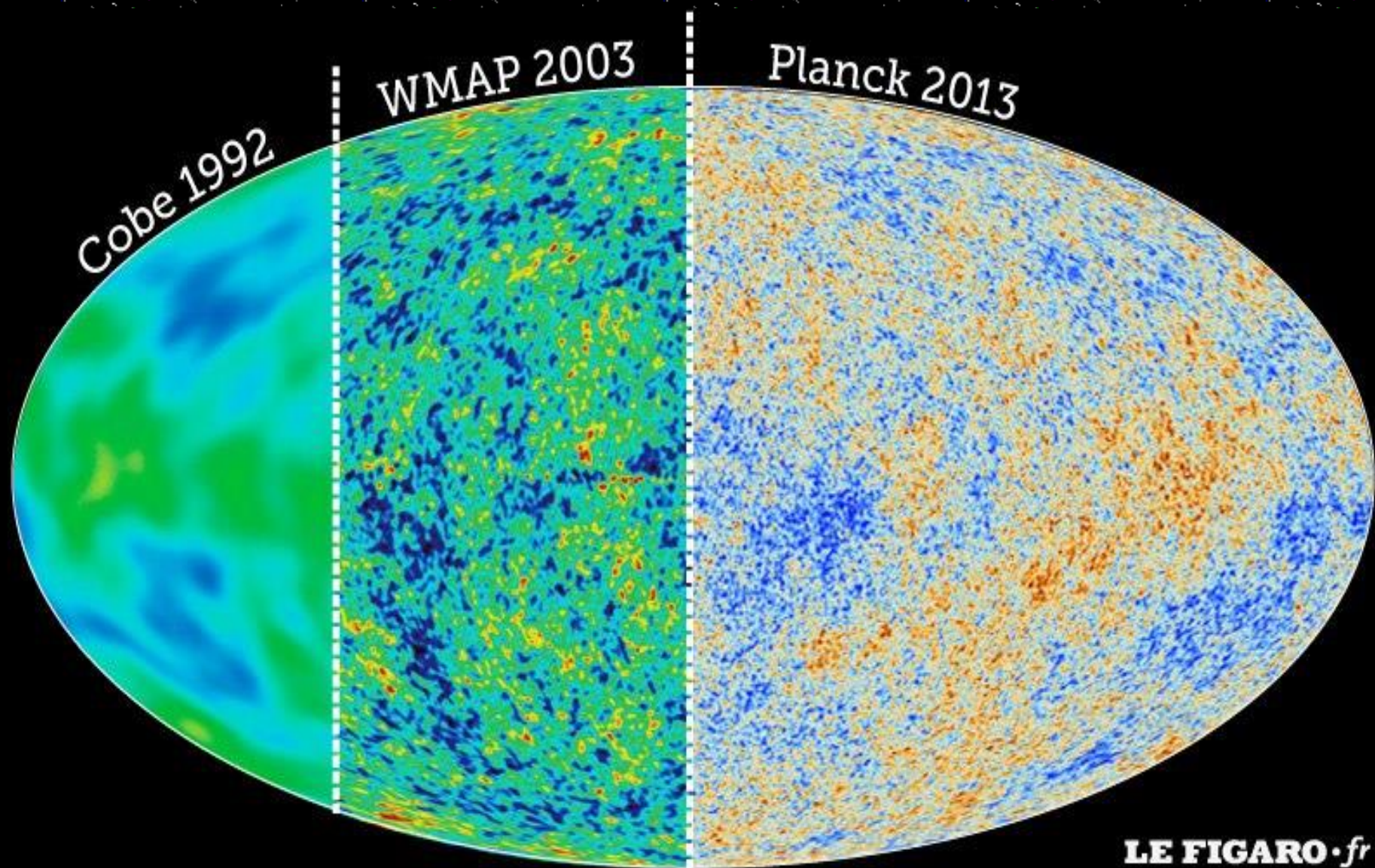
DLR  
Deutsches Zentrum für Luft- und Raumfahrt e.V.

UK SPACE  
AGENCY



Planck is a project of the European Space Agency, with instruments provided by two scientific Consortia funded by ESA member states (in particular the lead countries: France and Italy) with contributions from NASA (USA), and telescope reflectors provided in a collaboration between ESA and a scientific Consortium led and funded by Denmark.





Cobe 1992

WMAP 2003

Planck 2013

Ground-based:

ABS, ACBAR, ACT, AMI, APEX, APEX-SZ, ATCA  
BICEP, BICEP2, BIMA, CAPMAP, CAT, CBI, Clover,  
COSMOSOMAS, DAS1, FOCUS, GUBBINS, Keck Array,  
MAT, OCRA, OVRO, POLARBEAR, QUaD, QUBIC, QUIET,  
RGWBT, Sakaatoon, SPT, TOCO, SZA, Tenerife, VSA

# PREDICTIONS

1) flat Universe

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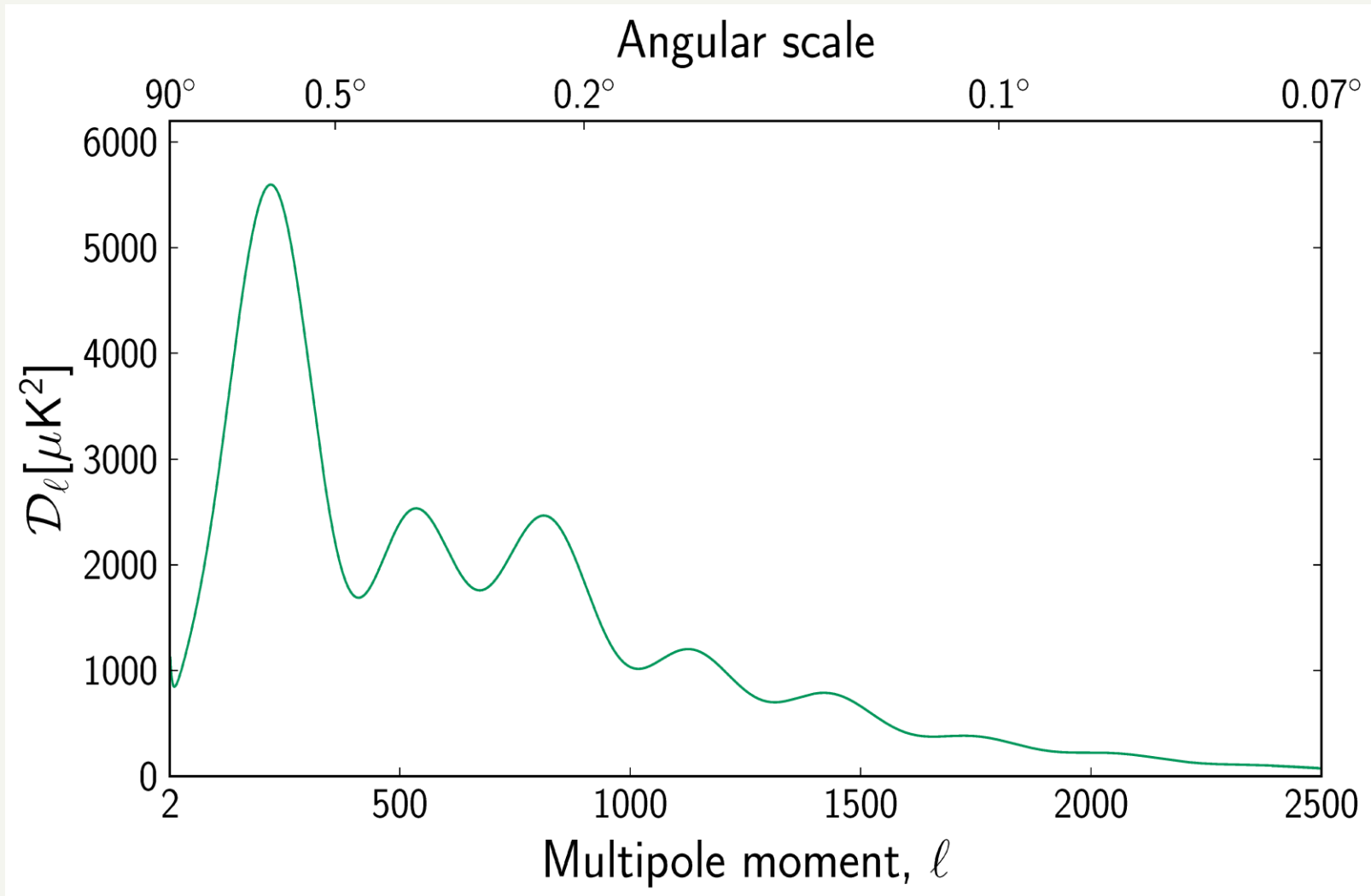
2) adiabatic (MC, 81)

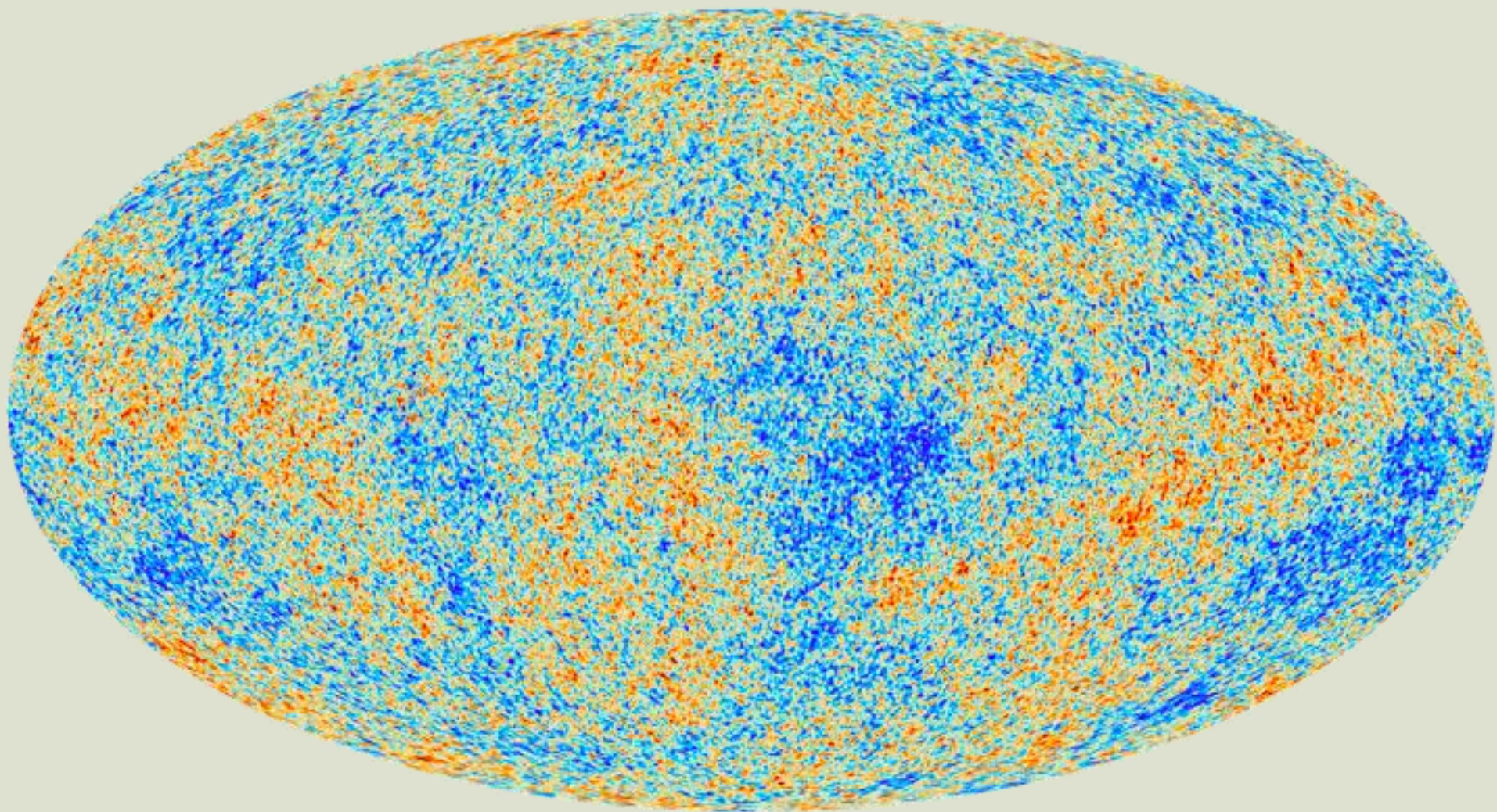
3) gaussian:  $F = F_g + f_{NL} F_g^2$ , where  $f_{NL} = O(1)$  (MC, 81)

4) spectrum:  $F \propto \ln(k/k_g) \propto k^{1-n_s}$  with  $n_s = 0.96$  (MC, 81)

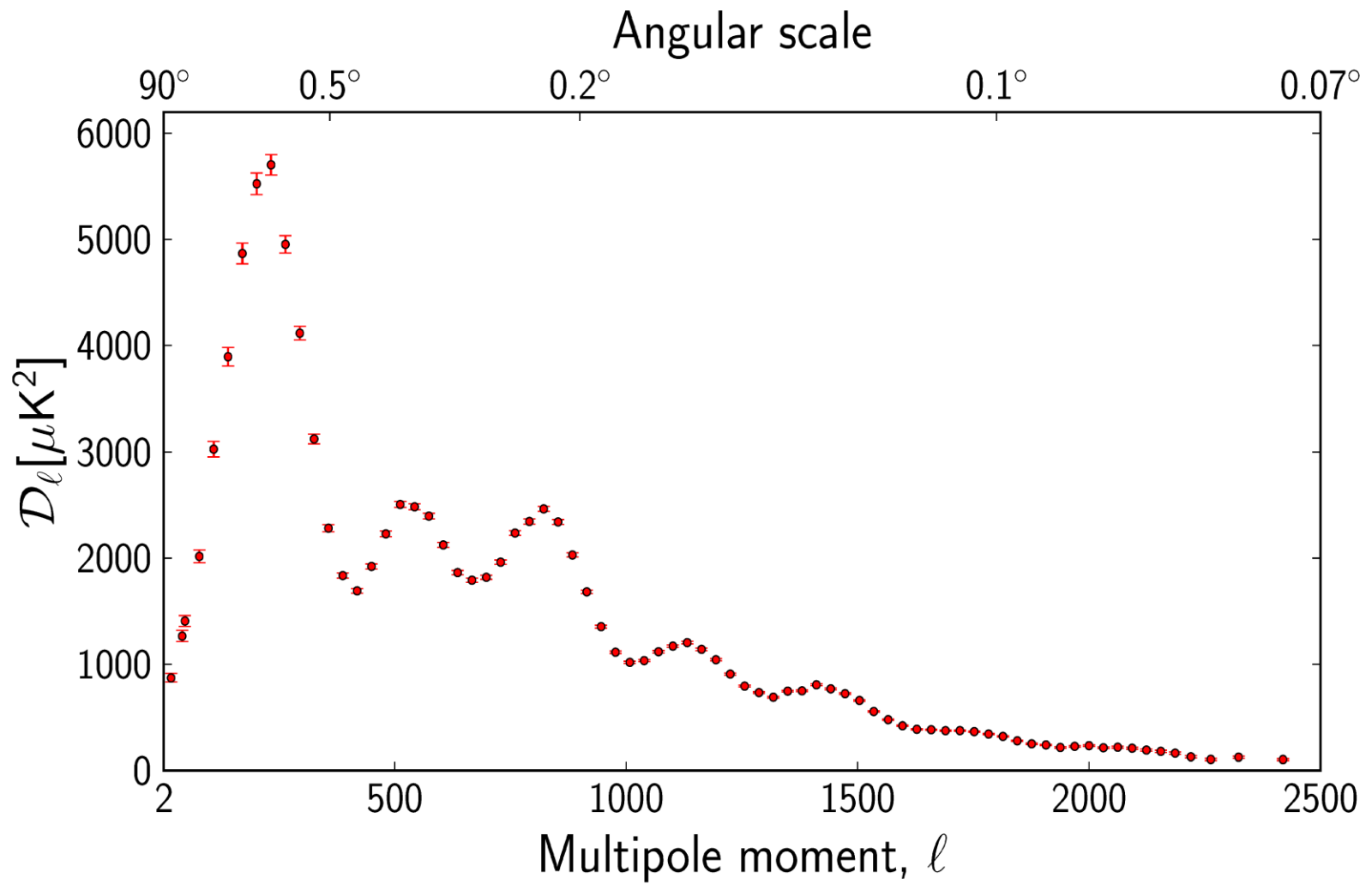
5) Gravitational waves (Starobinsky, 79)

with  $W_{tot} = 1$  (prediction) and  $H_0$ ,  $W_L$ ,  $W_{bar}$  from supernova, deuterium et.cet. we get

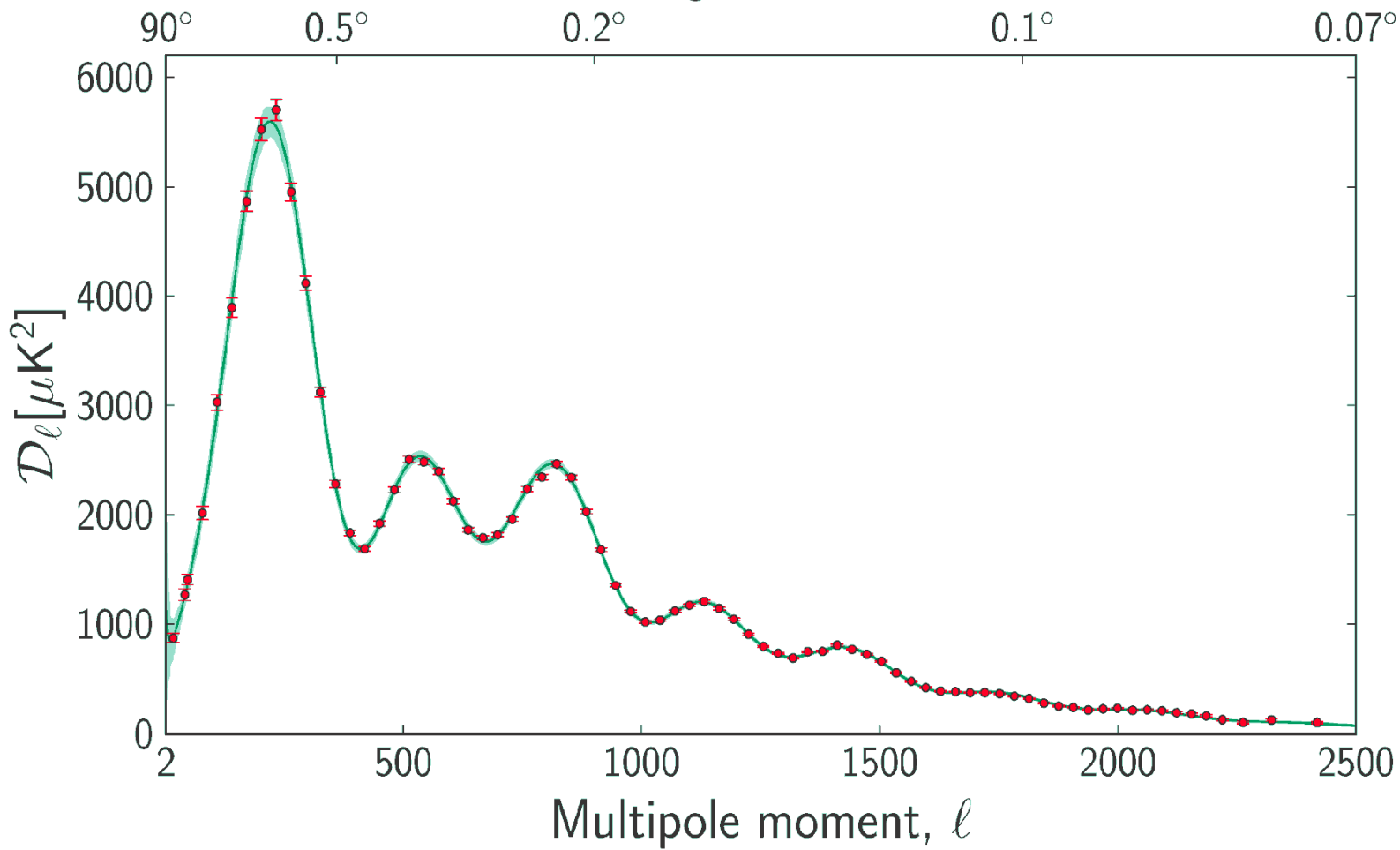


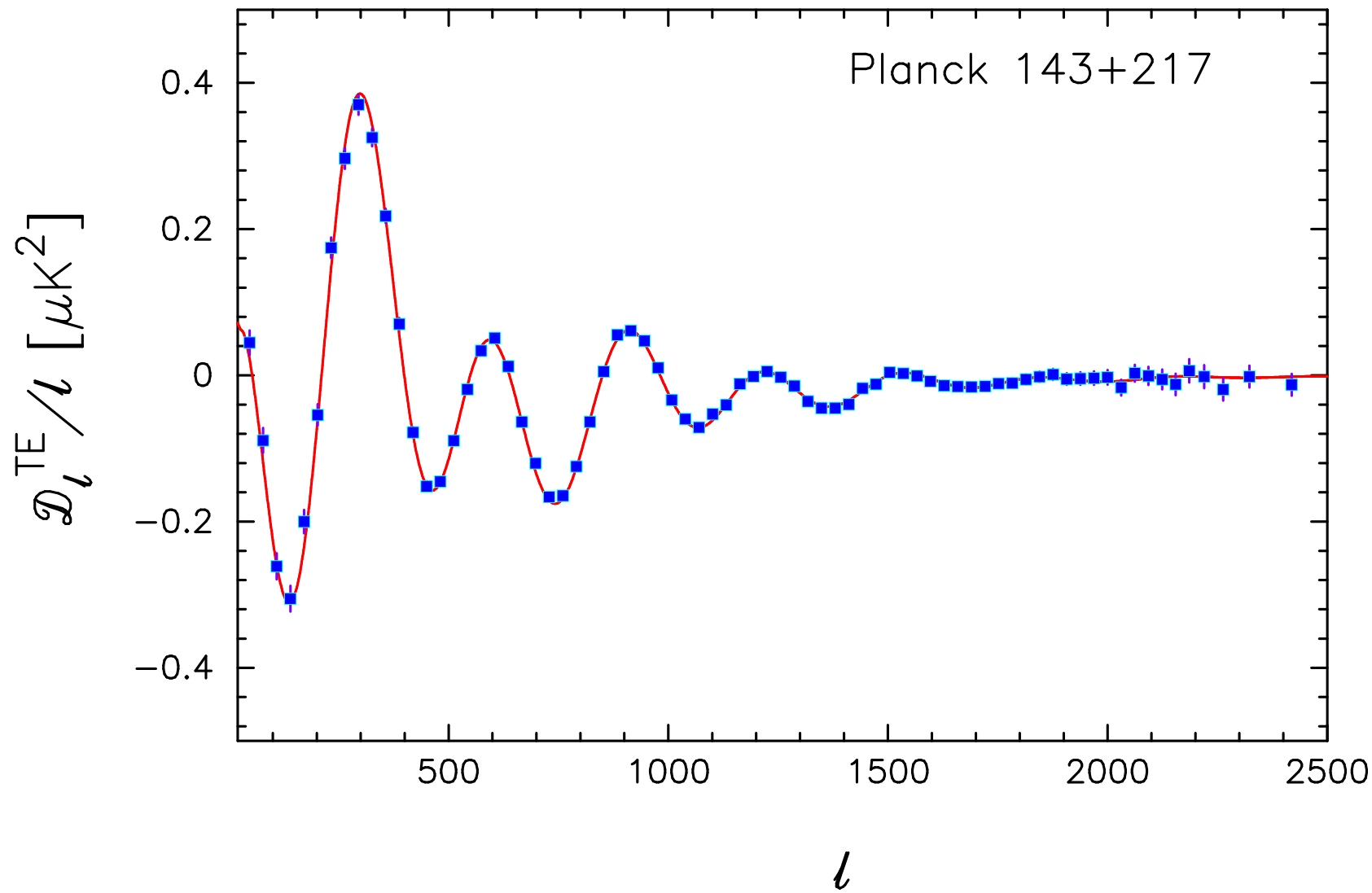






# Angular scale





-  $W_{tot} = 1 \pm 0.0066$

- adiabatic pert.!!!, less than 1% from cosmic strings, entropy et.cet.

- gaussian:  $f_{NL} = 2.5 \pm 5.8$

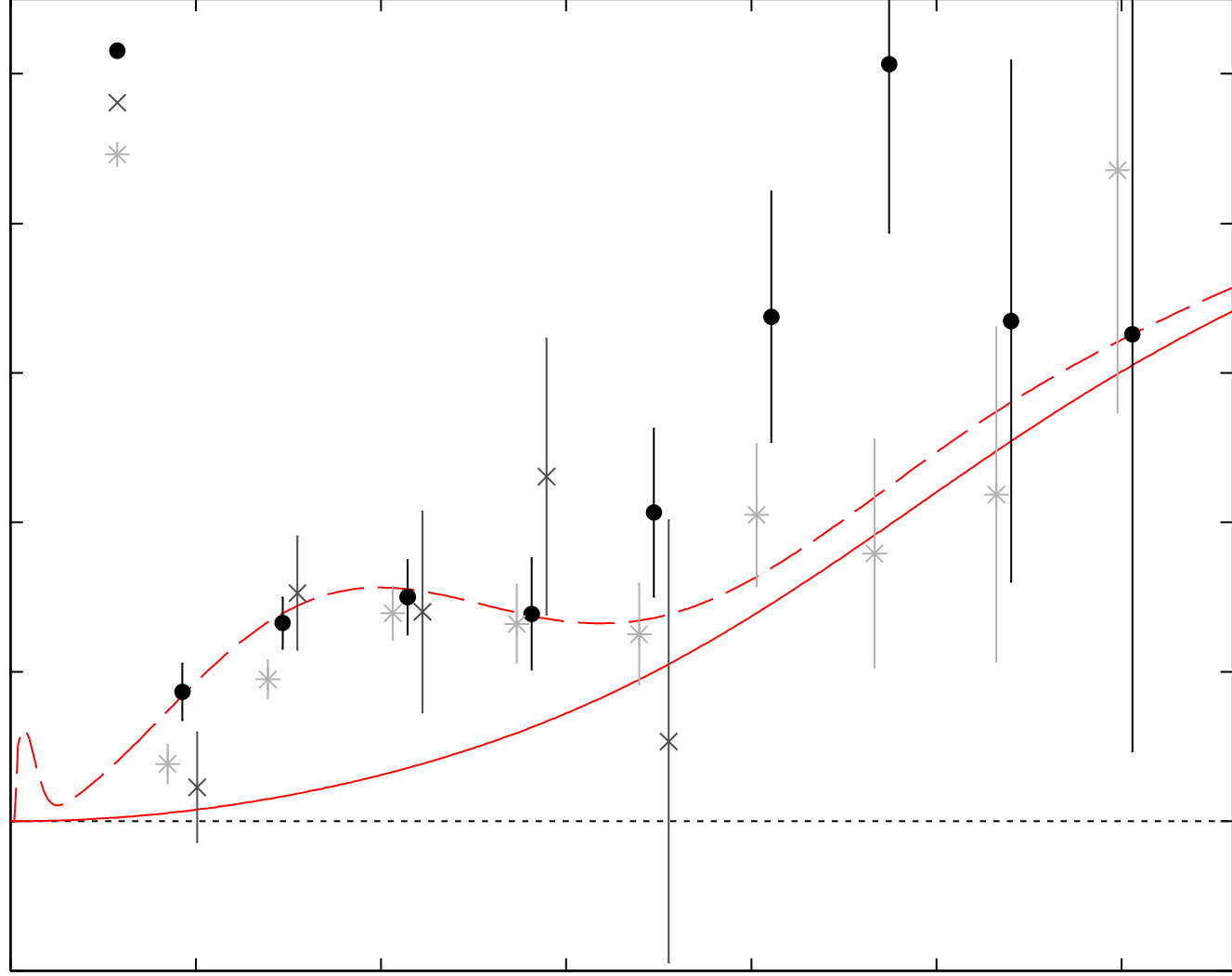
-  $n_s = 0.9585 \pm 0.0070$

## *CONCLUSIONS*

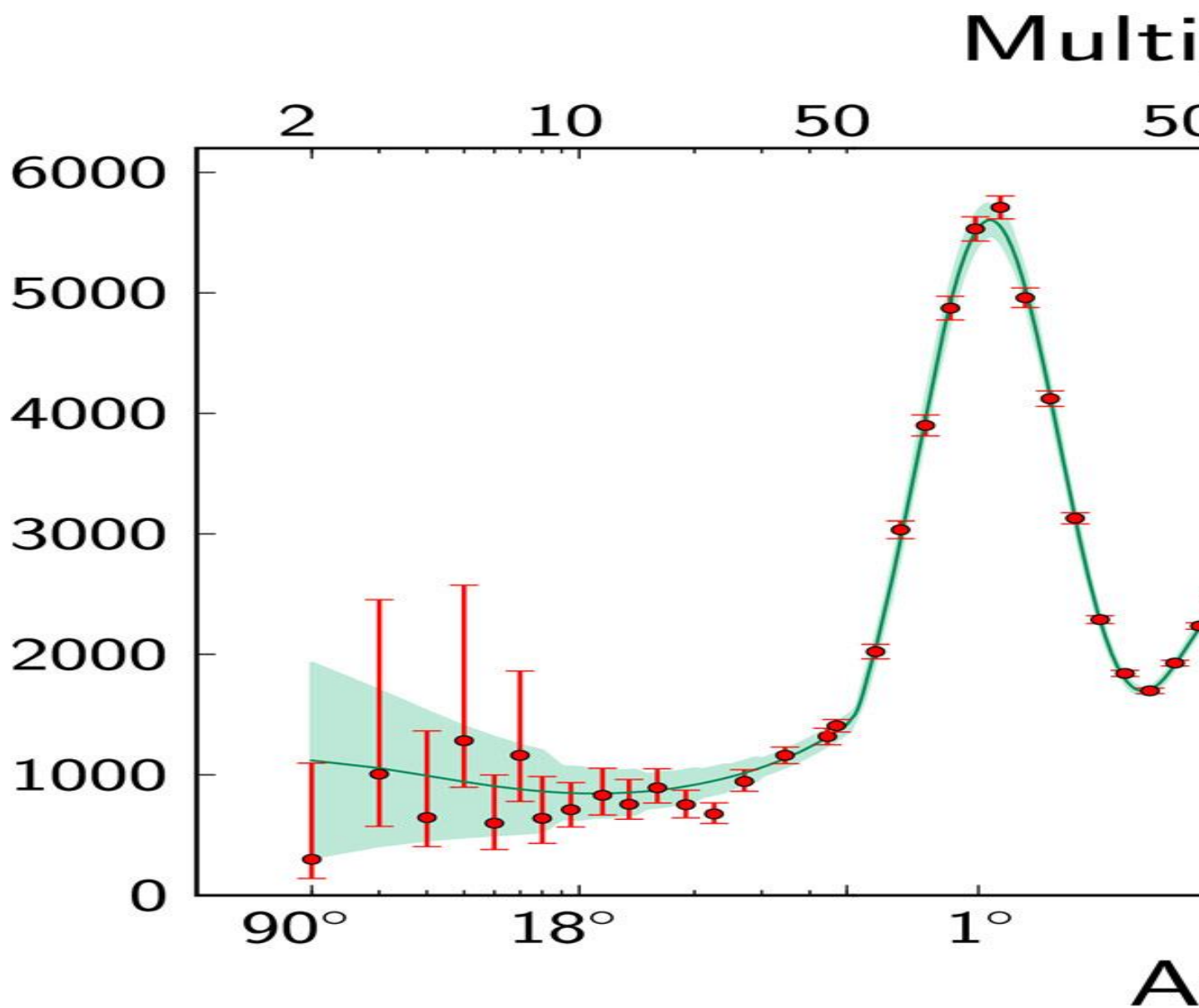
- General Relativity is valid up to the scales  $10^{-27}$  cm
- We all originated from quantum fluctuations

BICEP disaster

$\pi \propto$



Temperature fluctuations [ $\mu\text{K}^2$ ]





- Theory is right
- Planck is right
- BICEP2 is right

$T+P \quad \checkmark \quad T+B \quad \checkmark$

$P+B \quad \checkmark$

but

~~$T+P+B$~~

Therefore  $P+B \Rightarrow$  catastrophe for theory



29. April 2014 10:54 Entstehung des Universums

# Risse in der Urknall-Theorie



Forschungsstation am Südpol: Hier meinen Physiker Signale aus den ersten Sekundenbruchteilen nach dem Urknall gemessen zu haben. Viele Kollegen sind noch nicht überzeugt. (Foto: REUTERS)

**Signale aus der Geburtsstunde des Universums: Mitte März jubelte ein Forscherteam über eine bahnbrechende Messung von Gravitationswellen. Möglicherweise haben die Physiker sich zu früh gefreut.**

Von *Marlene Weiß*

Diskutieren Wer meint, die Welt erklären zu können, indem er am kleinen  $n$  schraubt, bekommt es mit Viatcheslav Mukhanov zu tun.  
Versenden "Vollkommener Unsinn", schimpft der an der Uni München  
Drucken aktive russische Physiker, "die Zeitschriften sind voll davon, aber es bleibt trotzdem Unsinn!"



Feedback

Auch wer sonst nichts von seinem Vortrag kürzlich am Max-Planck-Institut für Astrophysik in Garching bei München verstanden hat, eines dürfte jedem Zuhörer klar geworden sein: Das kleine  $n$  in den Formeln über den Beginn des Universums, auch "spektraler Index" genannt, sollte man in Ruhe lassen, wenn man sich nicht mit Mukhanov anlegen möchte.

Das sind schlechte Nachrichten für all die Fachleute, die Mitte März jubelten, als es hieß, man habe mit einem Teleskop am Südpol Signale aus den ersten Sekundenbruchteilen nach dem Urknall gemessen: Vielleicht war der Jubel verfrüht, das Ergebnis widerspricht anderen Messungen.

Spuren von Gravitationswellen, die vor 13,82 Milliarden Jahren entstanden sein

ANZEIGE  
**HEIMAT SOUND**  
Popmusik aus Bayern und dem Alpenraum  
amazon.de

*Who thinks he can explain the world by screwing the small  $n$ , it gets to do with Viatcheslav Mukhanov.*

*"Perfect nonsense," complains the active at the University of Munich Russian physicist, "the magazines are full of it, but still it remains nonsense!"*

*This is bad news for all the professionals who cheered in mid - March, when it was announced that they had signals from the first split seconds measured with a telescope at the South Pole after the Big Bang :*

*Perhaps the jubilation was premature, the result contradicts other measurements.*

BS: Well, if it turns out that the relic gravitational waves at the level of a few percent is not there, and we have to wait for PRISM....

would this mean that the theory of inflation will remain unconfirmed for years?

AD: Yes remain unconfirmed in the sense that alternative models remain relevant. However, in the theory of inflation there is an element that has no real alternatives. It mechanism for the generation of perturbations calculated Mukhanov and Chibisov, - strengthening the vacuum quantum fluctuations scalar field. Another mechanism nobody offered and all alternative models use it.

