

# New developments in nuclear DFT

Jacek Dobaczewski

University of York, University of Jyväskylä, University of Warsaw

Reflections on the atomic nucleus

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Jacek Dobaczewski

UNIVERSITY of York



# Outline

1. Introduction: the nuclear EDF
2. What EDF can do for us?
3. Precision frontier
4. Effective theory for low-energy nuclear structure
5. *Ab initio* derivation of model EDFs
6. Conclusions





# How the nuclear EDF is built?

$$E[\rho(\vec{r}_1, \vec{r}_2)] = \iint d\vec{r}_1 d\vec{r}_2 \mathcal{H}(\rho(\vec{r}_1, \vec{r}_2))$$



Energy Density  
Functional (EDF)



Energy Density

$$\mathcal{H}(\rho(\vec{r}_1, \vec{r}_2)) = V(\vec{r}_1 - \vec{r}_2) [\rho(\vec{r}_1)\rho(\vec{r}_2) - \rho(\vec{r}_1, \vec{r}_2)\rho(\vec{r}_2, \vec{r}_1)]$$



EDF generator



Direct



Exchange



# Standard EDF generators

- Gogny\*

$$V(\vec{r}_1\vec{r}_2; \vec{r}'_1\vec{r}'_2) = \delta(\vec{r}_1 - \vec{r}'_1)\delta(\vec{r}_2 - \vec{r}'_2)V(\vec{r}_1 - \vec{r}_2),$$

where,

$$V(\vec{r}_1 - \vec{r}_2) = \sum_{i=1,2} e^{-(\vec{r}_1 - \vec{r}_2)^2 / \mu_i^2} \times (W_i + B_i P_\sigma - H_i P_\tau - M_i P_\sigma P_\tau) \\ + t_3(1 + P_\sigma)\delta(\vec{r}_1 - \vec{r}_2)\rho^{1/3} \left[ \frac{1}{2}(\vec{r}_1 + \vec{r}_2) \right].$$

$P_\sigma = \frac{1}{2}(1 + \vec{\sigma}_1 \cdot \vec{\sigma}_2)$  and  $P_\tau = \frac{1}{2}(1 + \vec{\tau}_1 \cdot \vec{\tau}_2)$  are, respectively, the spin and isospin exchange operators of particles 1 and 2,  $\rho(\vec{r})$  is the total density of the system at point  $\vec{r}$ , and  $\mu_i = 0.7$  and  $1.2$  fm,  $W_i$ ,  $B_i$ ,  $H_i$ ,  $M_i$ , and  $t_3$  are parameters.

- Skyrme\*

$$V(\vec{r}_1\vec{r}_2; \vec{r}'_1\vec{r}'_2) = \left\{ t_0(1 + x_0 P^\sigma) + \frac{1}{6}t_3(1 + x_3 P^\sigma)\rho^\alpha \left( \frac{1}{2}(\vec{r}_1 + \vec{r}_2) \right) \right. \\ \left. + \frac{1}{2}t_1(1 + x_1 P^\sigma)[\vec{k}'^{*2} + \vec{k}^2] + t_2(1 + x_2 P^\sigma)\vec{k}'^* \cdot \vec{k} \right\} \delta(\vec{r}_1 - \vec{r}'_1)\delta(\vec{r}_2 - \vec{r}'_2)\delta(\vec{r}_1 - \vec{r}_2),$$

where the relative-momentum operators read  $\vec{k} = \frac{1}{2i}(\vec{\nabla}_1 - \vec{\nabla}_2)$ ,  $\vec{k}' = \frac{1}{2i}(\vec{\nabla}'_1 - \vec{\nabla}'_2)$ .

\*We omit the spin-orbit and tensor terms for simplicity.

# What EDF can do for us?

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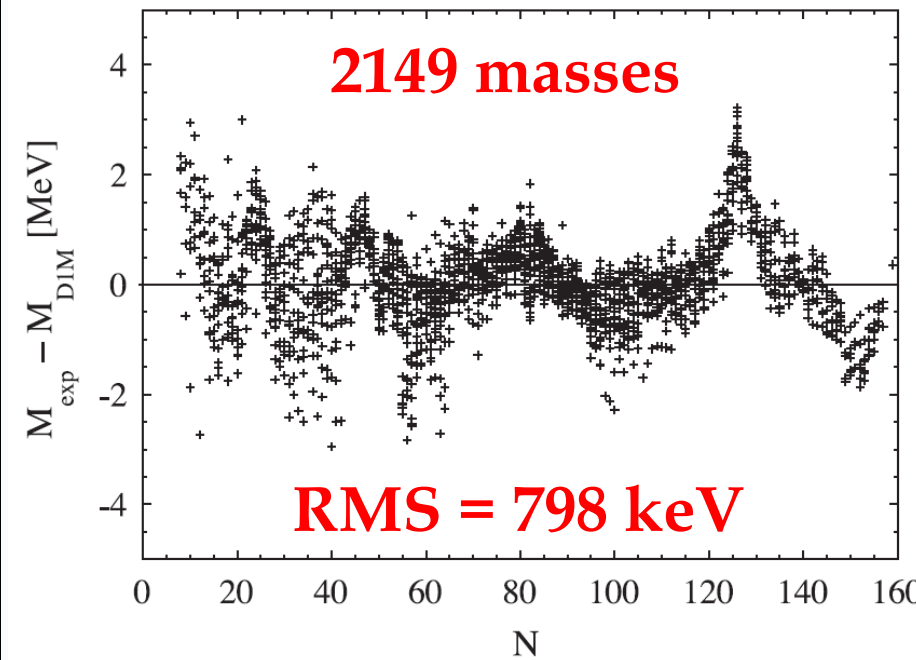
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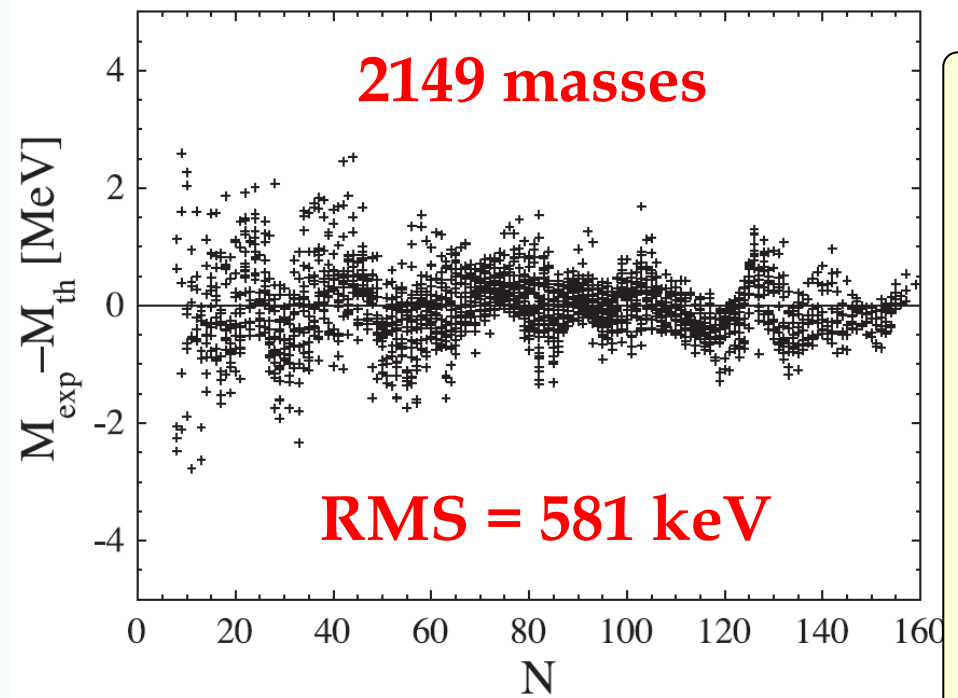


# Nuclear binding energies (masses)



S. Goriely *et al.*, Phys. Rev. Lett. 102, 242501 (2009)

The first Gogny HFB mass model. An explicit and self-consistent account of all the quadrupole correlation energies are included within the 5D collective Hamiltonian approach.



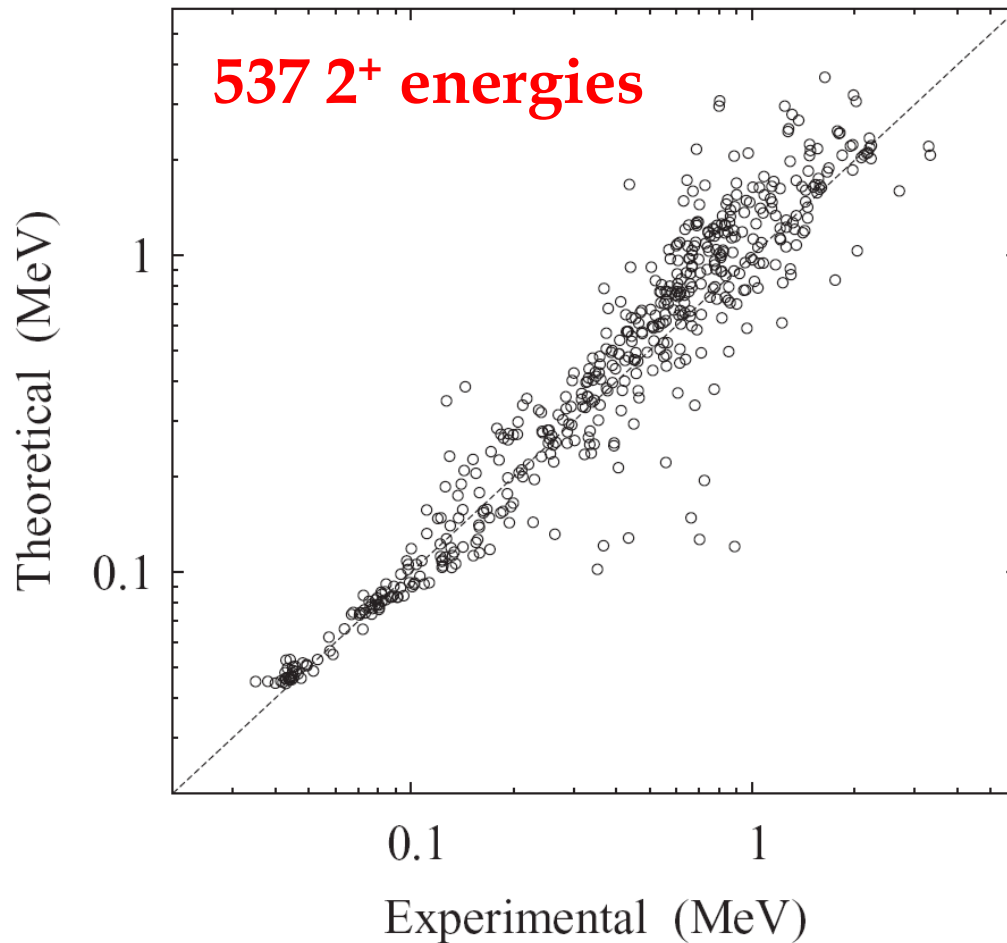
S. Goriely *et al.*, Phys. Rev. Lett. 102, 152503 (2009)

The new Skyrme HFB nuclear-mass model, in which the contact-pairing force is constructed from microscopic pairing gaps of symmetric nuclear matter and neutron matter.



# First $2^+$ excitations of even-even nuclei

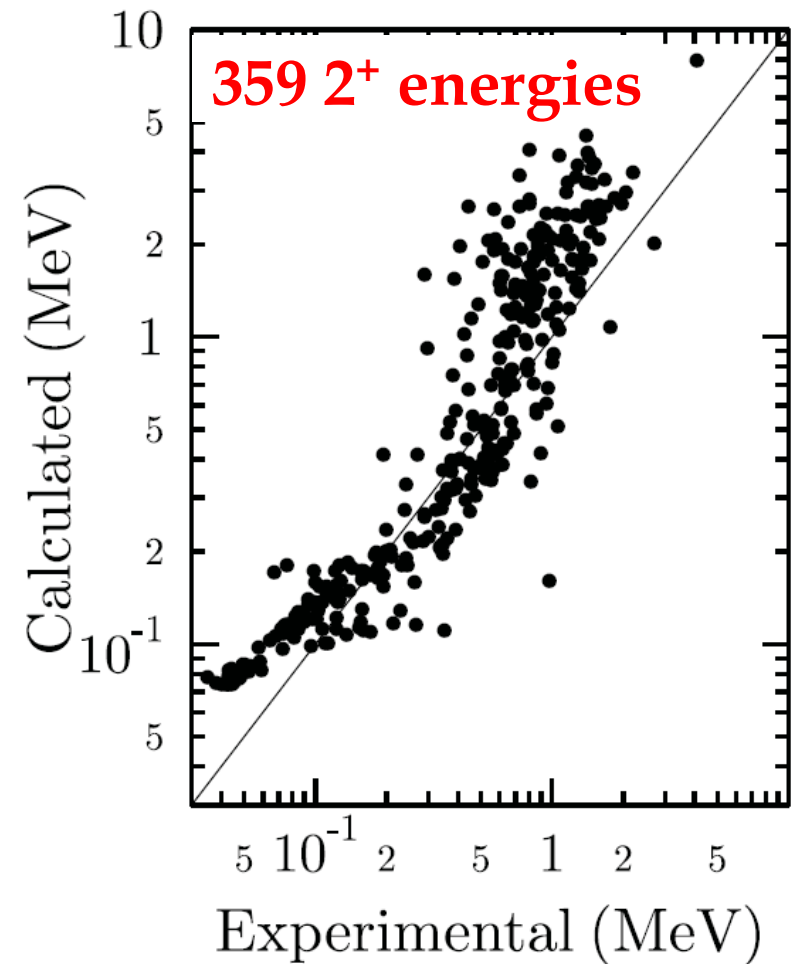
537  $2^+$  energies



J.-P. Delaroche *et al.*, Phys. Rev. C81, 014303 (2010)

Gogny HFB calculations plus the 5D collective Hamiltonian approach.

359  $2^+$  energies



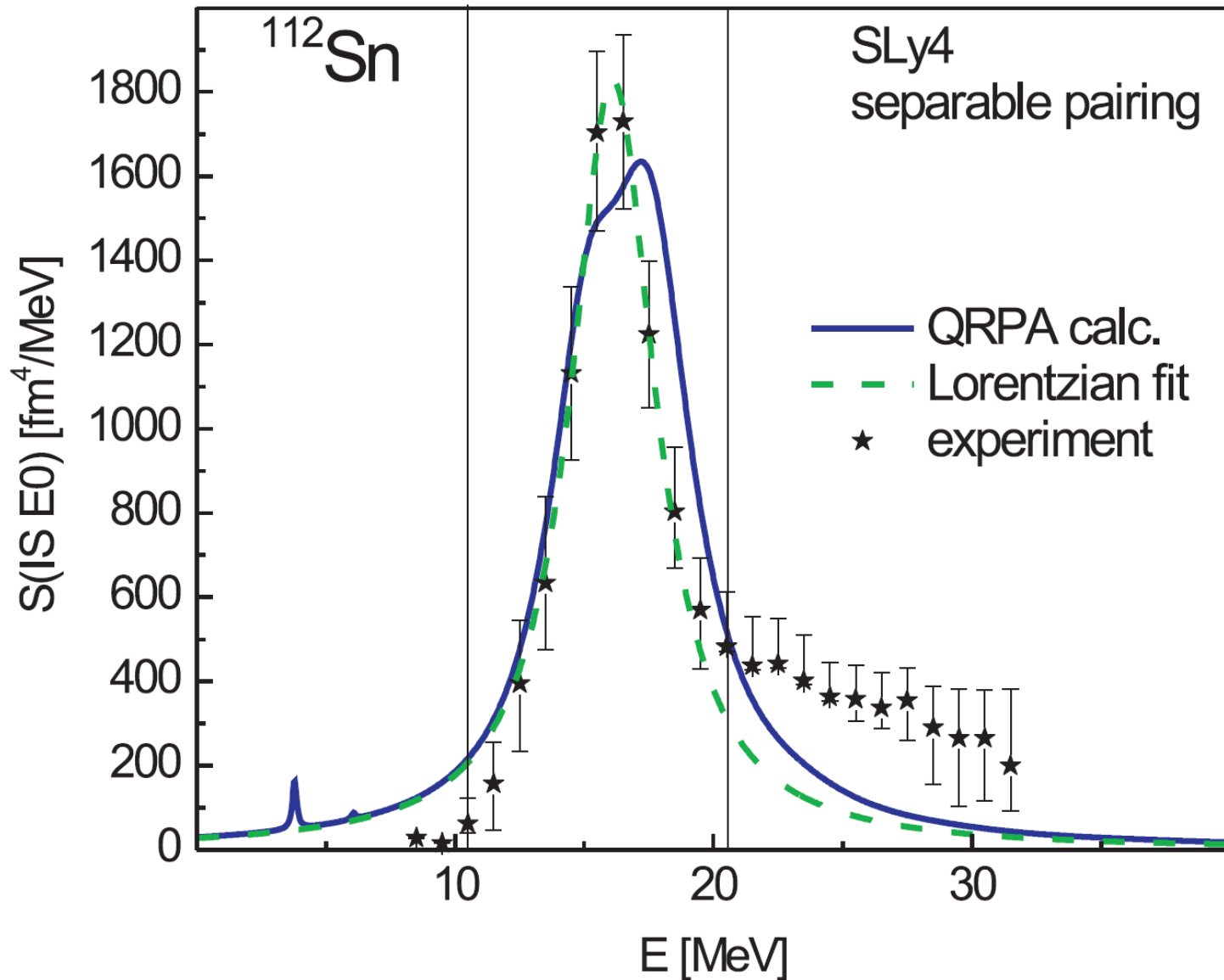
B. Sabbey *et al.*, Phys. Rev. C75, 044305 (2007)

Skyrme HF+BCS calculations plus the particle-number and angular-momentum projection and shape mixing.



# Giant monopole resonances

P. Veselý, *et al.*, C 86, 024303 (2012)



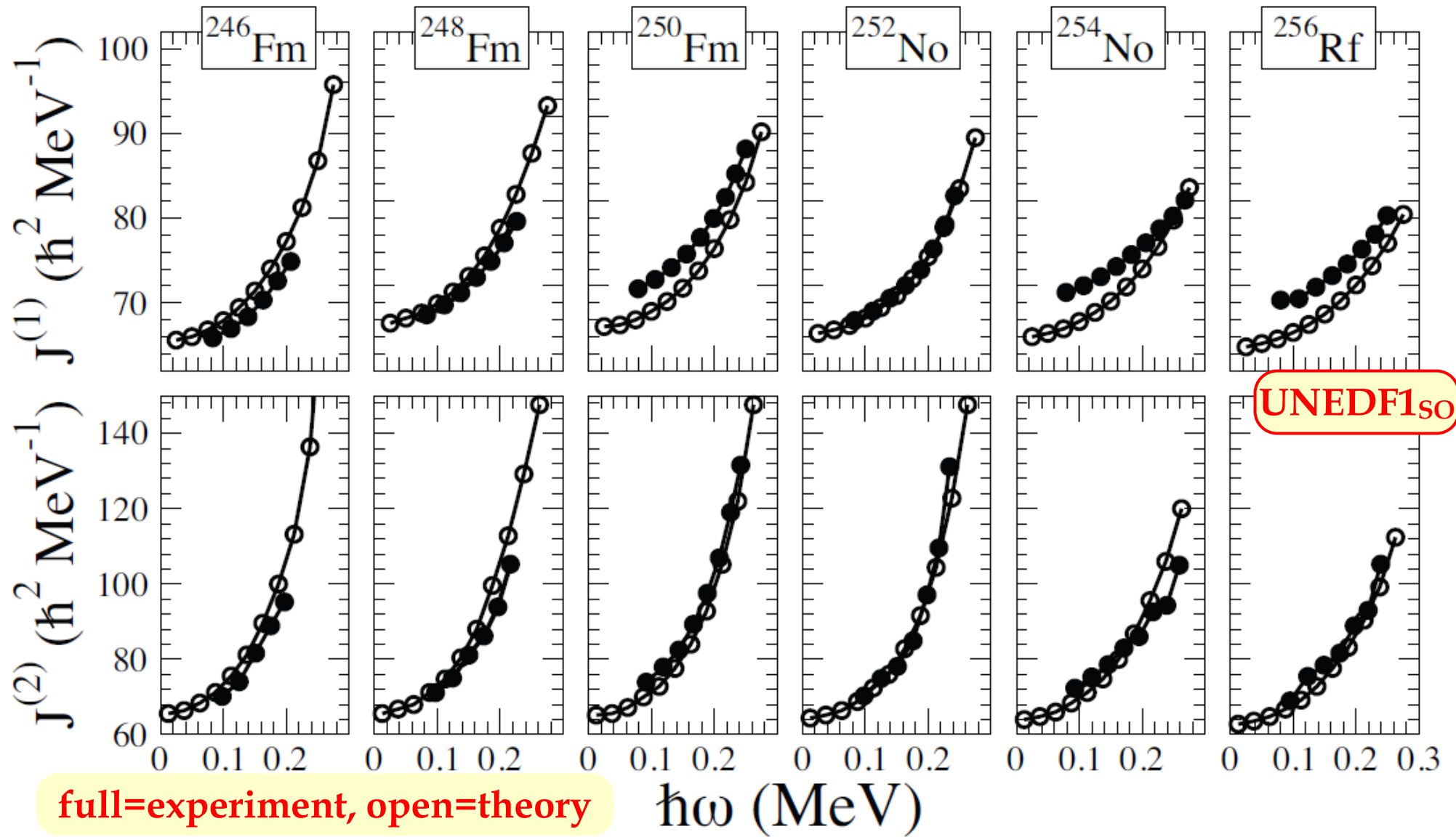
Experimental data from:  
T. Li, U. Garg, Y. Liu, *et al.*, *Phys. Rev. Lett.* 99, 162503 (2007);  
*Phys. Rev. C* 81, 034309 (2010)





# Spectroscopy in the nobelium region

Y. Shi, J.D., P.T. Greenlees, Phys. Rev. C89, 034309 (2014)

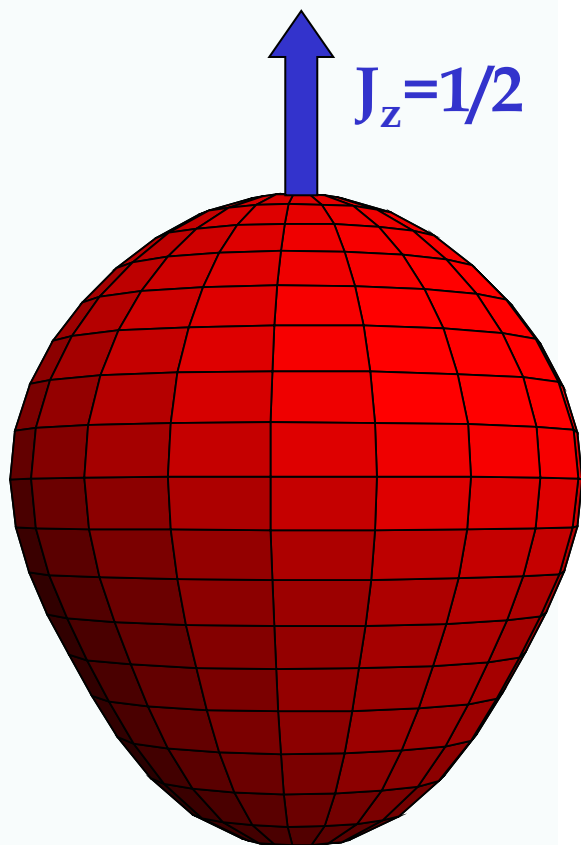


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UNIVERSITY of York



Skyrme-Hartree-Fock  
 J. Dobaczewski, J. Engel,  
 Phys. Rev. Lett. 94, 232502 (2005)



$\beta_{10} = 0.023$   
 $\beta_{20} = 0.161$   
 $\beta_{30} = -0.128$   
 $\beta_{40} = 0.091$

Experiment  
 R.G. Helmer *et al.*, Nucl. Phys. A474 (1987) 77

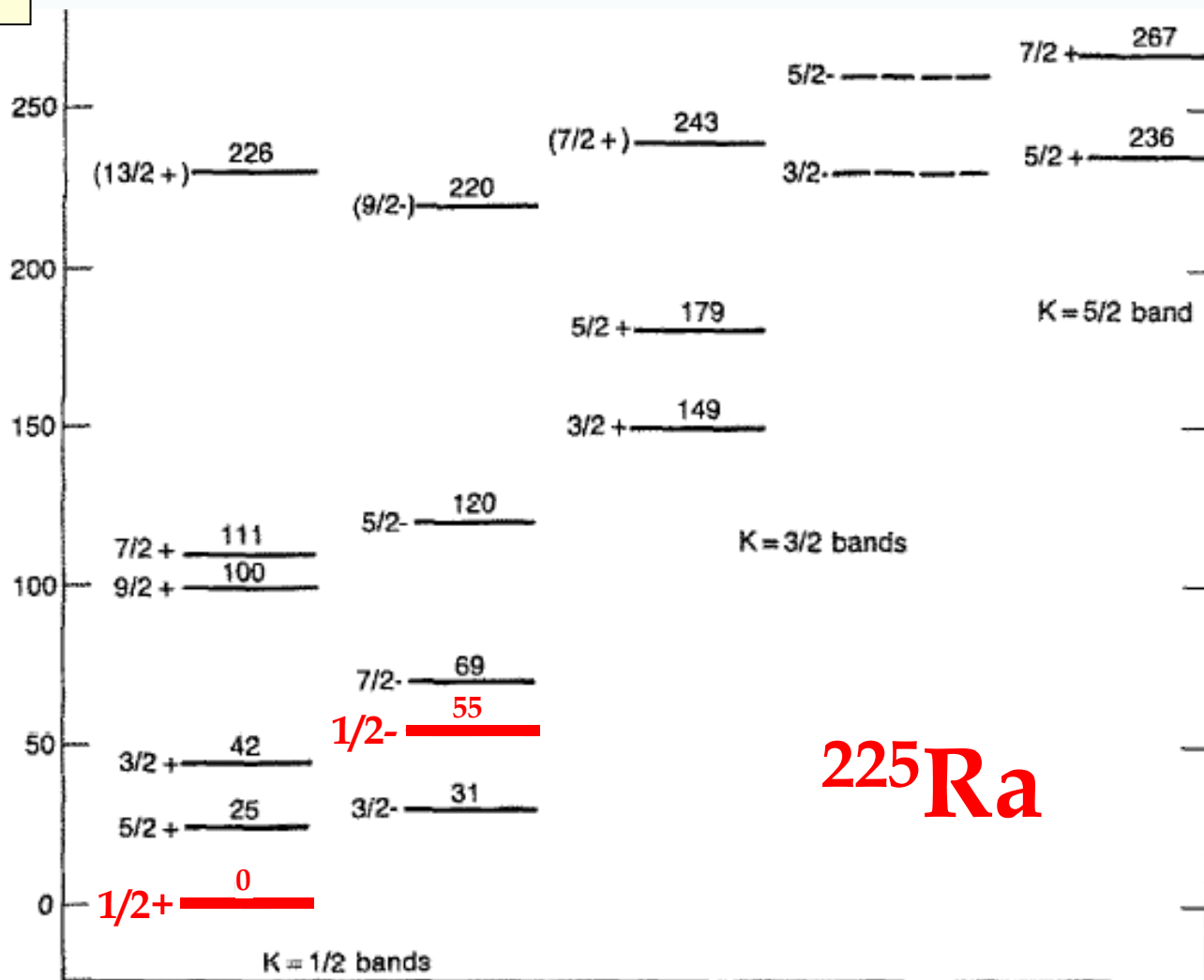
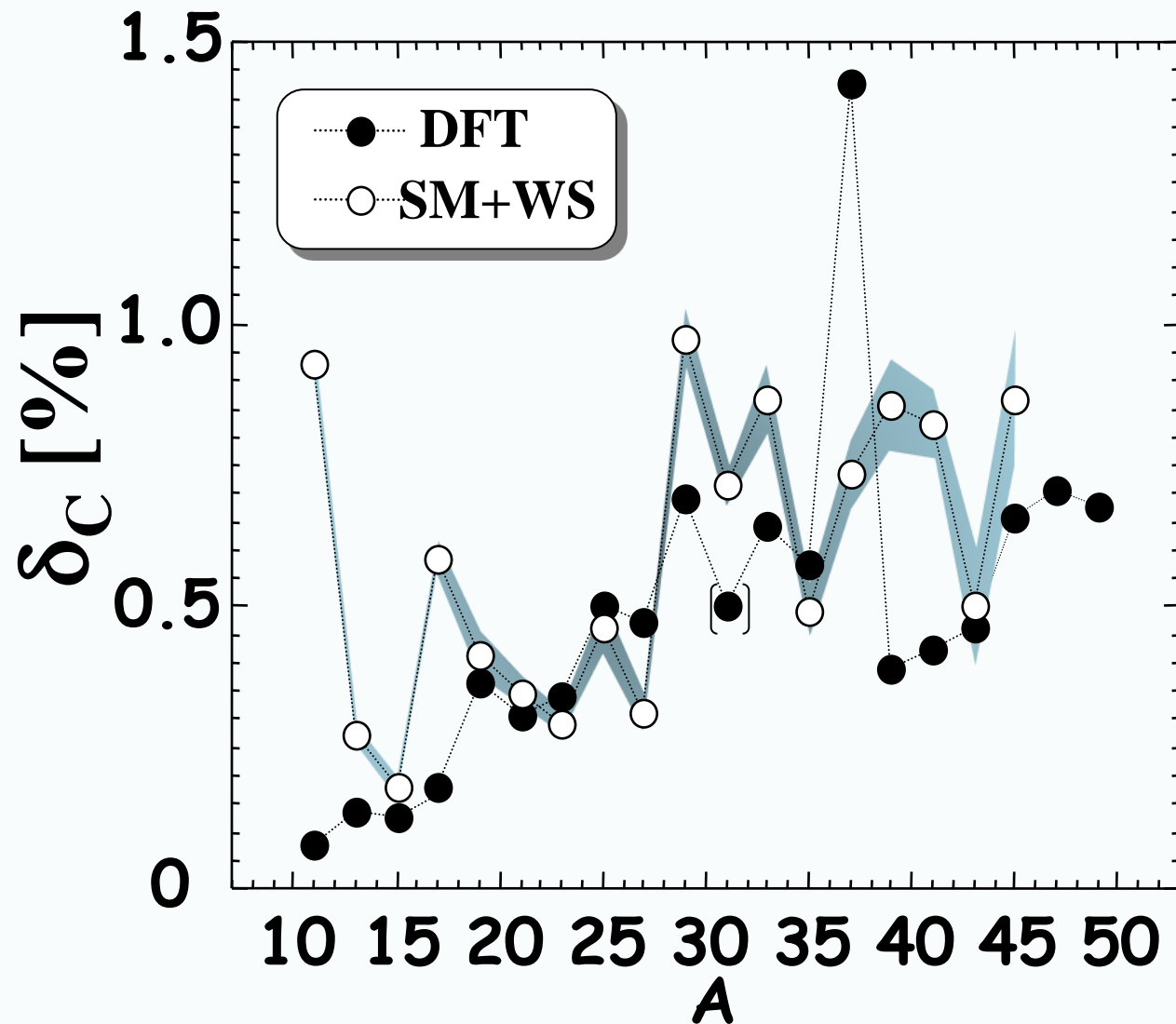


Fig. 5. Proposed grouping of the low-lying states of  $^{225}\text{Ra}$  into rotational bands. The two members of the  $K^\pi = \frac{1}{2}^-$  band have been reported in a study of the  $^{225}\text{Fr}$  decay<sup>20</sup>); they are not observed in the present study.

# ISB corrections to the Fermi transitions in T=1/2 mirrors



DFT results from:  
W. Satuła, J. Dobaczewski, W.  
Nazarewicz, and M. Rafalski,  
*Phys. Rev. C* **86**, 054314(2012).

SM+WS results from:  
N. Severijns, M. Tandecki,  
T. Phalet, and I. S. Towner,  
*Phys. Rev. C* **78**, 055501 (2008).



# Precision frontier

Jacek Dobaczewski

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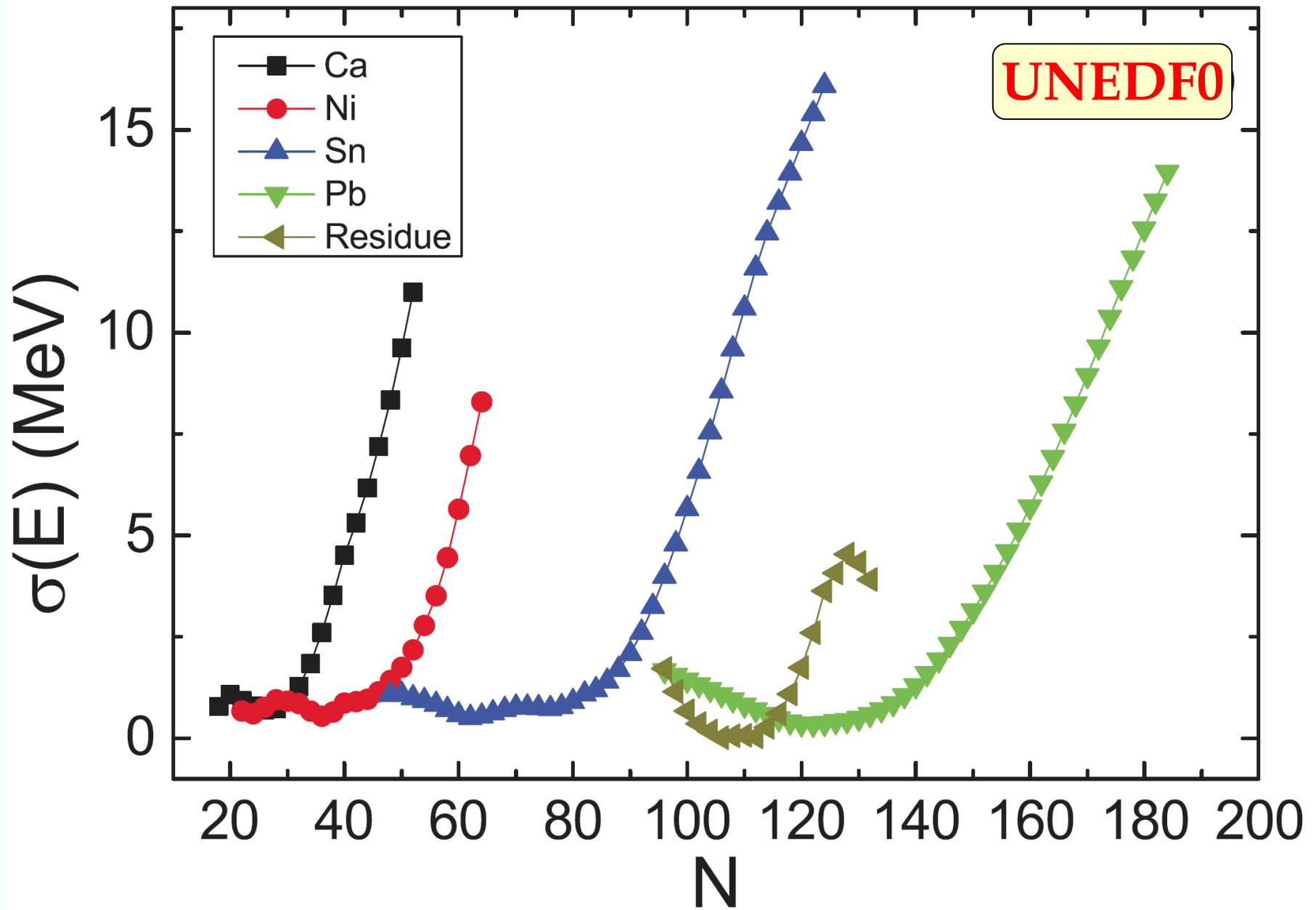


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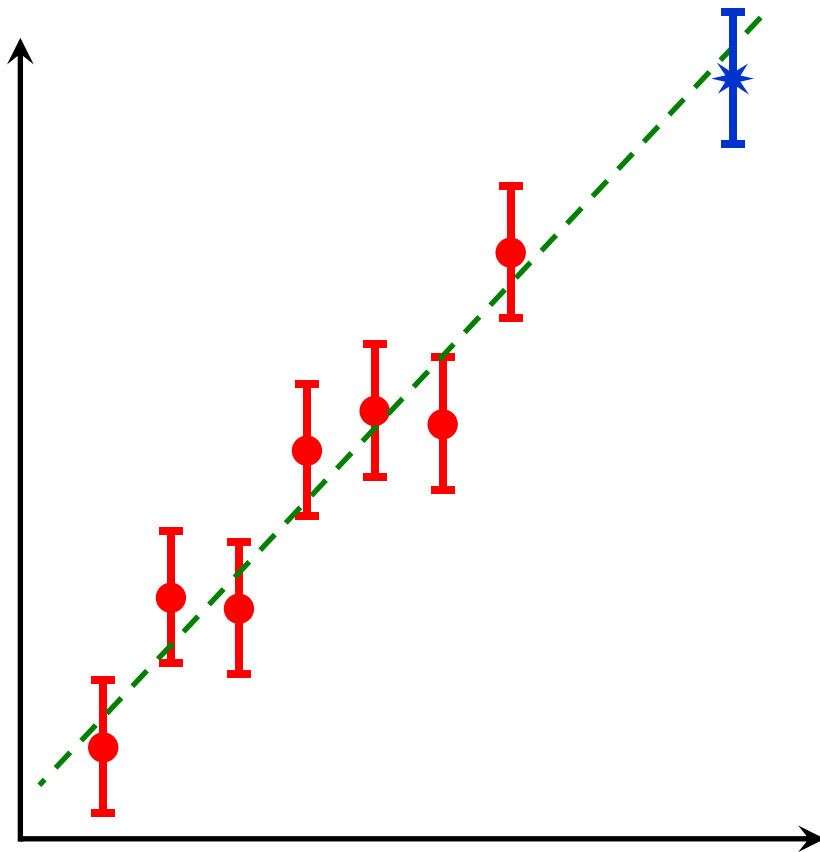
# Propagation of uncertainties



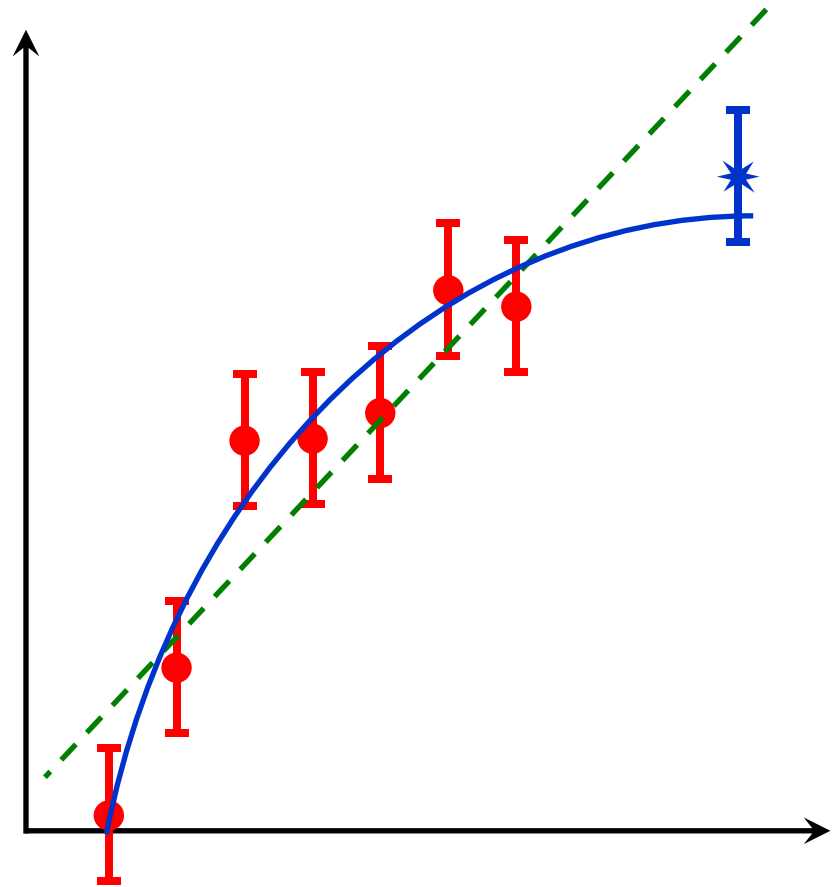
Y. Gao, et al., Phys. Rev. C 87, 034324 (2013)



# Exact model



# Inaccurate model



# Exact model

J. Tovanen, et al., Phys. Rev. C 78, 034306 (2008)

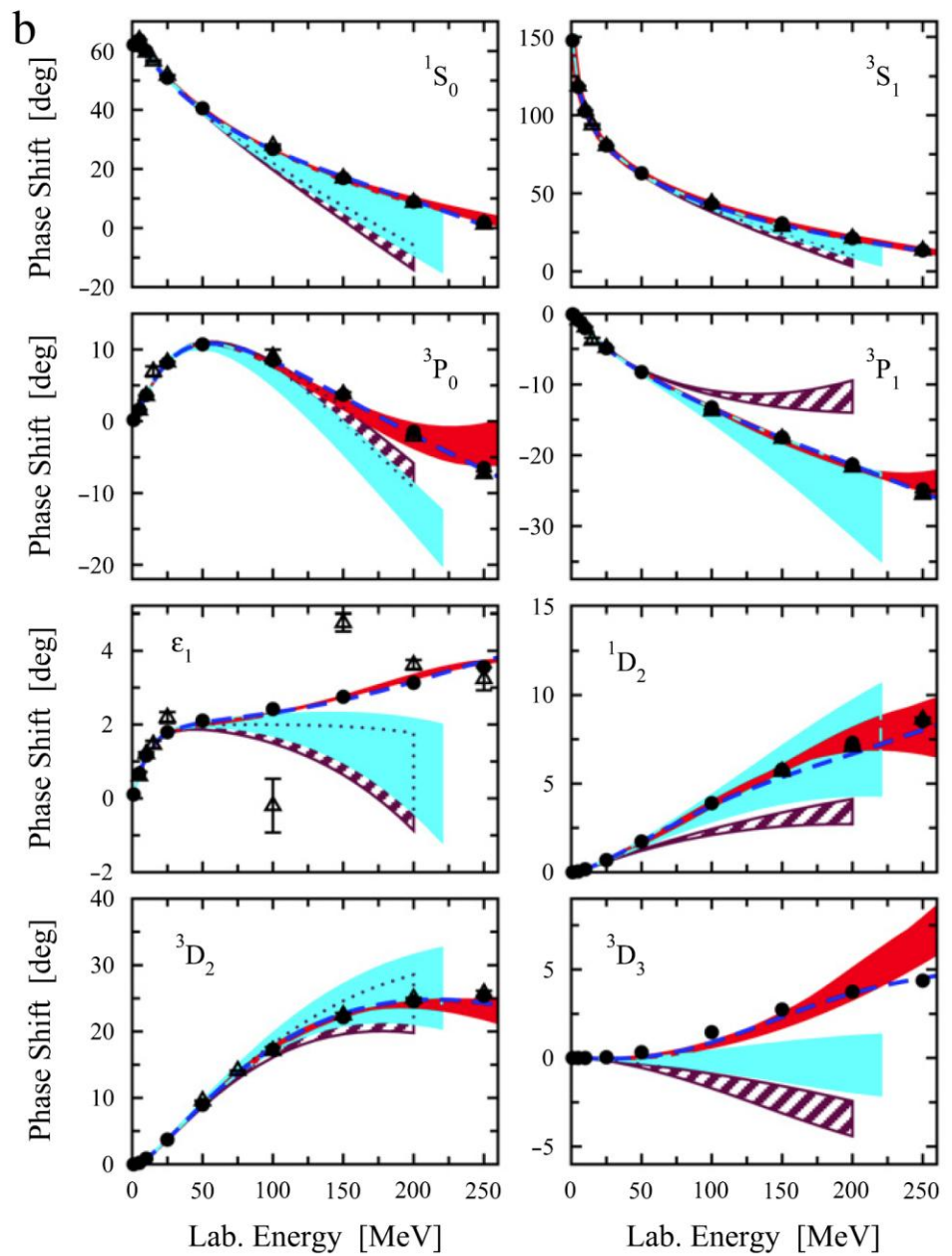
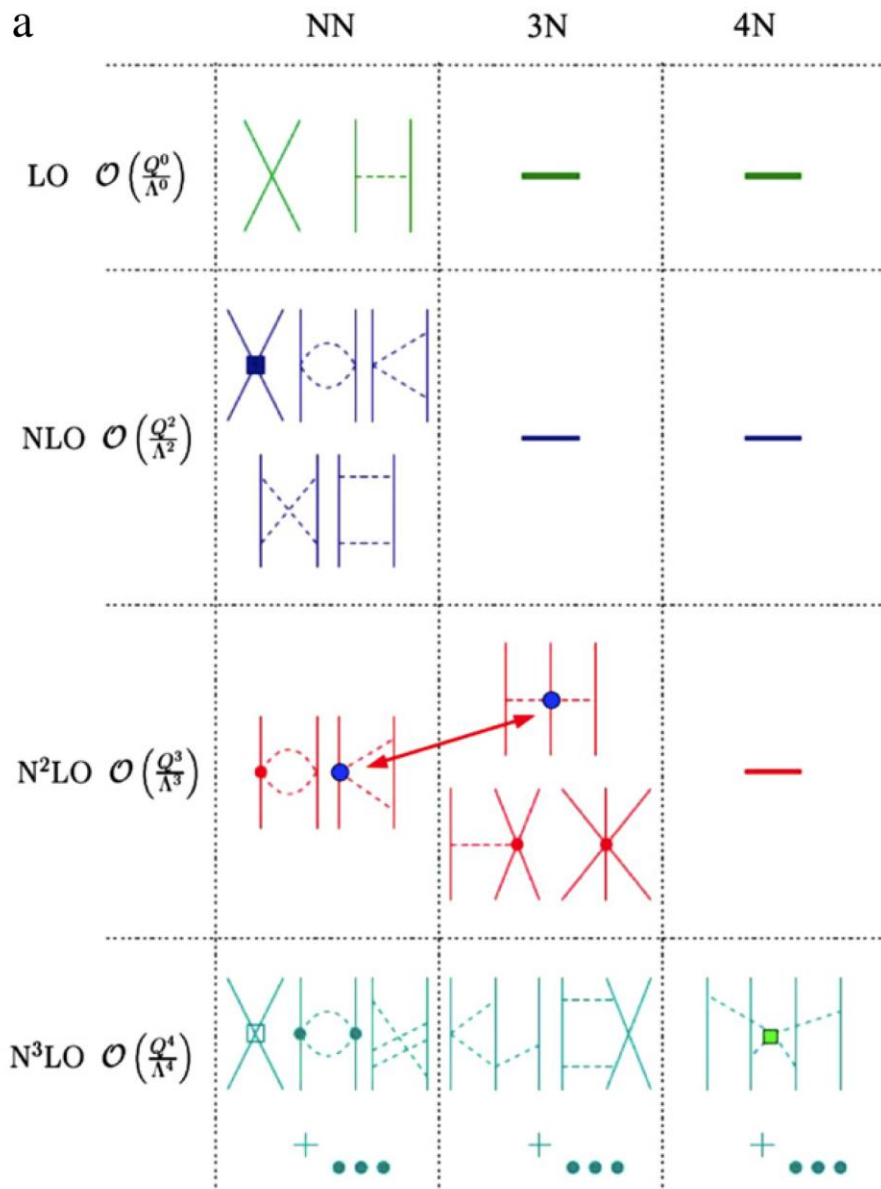


# Effective theory for low-energy nuclear structure

Jacek Dobaczewski

UNIVERSITY *of* York





**Fig. 4.** (a) Chiral EFT for nuclear forces. (b) Improvement in neutron–proton phase shifts shown by shaded bands from cutoff variation at NLO (dashed), N<sup>2</sup>LO (light), and N<sup>3</sup>LO (dark) compared to extractions from experiment (points) [31]. The dashed line is from the N<sup>3</sup>LO potential of Ref. [20].



# Zero-range vs. regularized finite-range pseudopotentials and functionals

Zero range:

B.G. Carlsson *et al.*, Phys. Rev. C 78, 044326 (2008)  
F. Raimondi *et al.*, Phys. Rev. C 83, 054311 (2011)

$$\hat{V}_{\tilde{n}\tilde{L},v_{12}S}^{\tilde{n}'\tilde{L}'} = \frac{1}{2}i^{v_{12}} \left( \left[ [K'_{\tilde{n}'\tilde{L}'} K_{\tilde{n}\tilde{L}}]_S \hat{S}_{v_{12}S} \right]_0 + (-1)^{v_{12}+S} \left[ [K'_{\tilde{n}\tilde{L}} K_{\tilde{n}'\tilde{L}'}]_S \hat{S}_{v_{12}S} \right]_0 \right) \times (1 - \hat{P}^M \hat{P}^\sigma \hat{P}^\tau) \delta(\vec{r}'_1 - \vec{r}_1) \delta(\vec{r}'_2 - \vec{r}_2) \delta(\vec{r}_1 - \vec{r}_2).$$

Finite range:

F. Raimondi *et al.*, J. Phys. G 41, 055112 (2014)

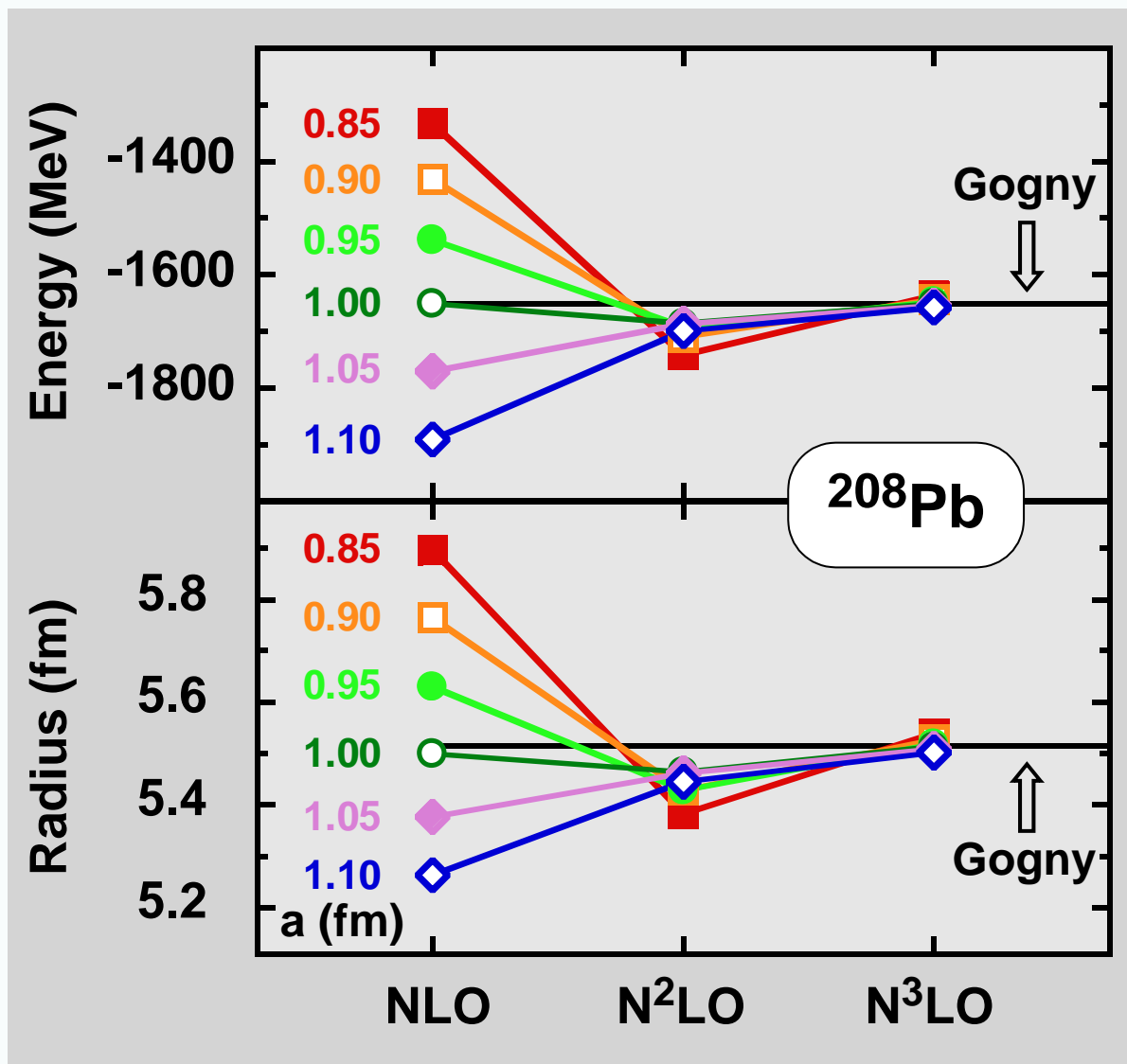
$$\hat{V}_{\tilde{n}\tilde{L},v_{12}S}^{\tilde{n}'\tilde{L}',\tilde{t}} = \frac{1}{2}i^{v_{12}} \left( \left[ [K'_{\tilde{n}'\tilde{L}'} K_{\tilde{n}\tilde{L}}]_S \hat{S}_{v_{12}S} \right]_0 + (-1)^{v_{12}+S} \left[ [K'_{\tilde{n}\tilde{L}} K_{\tilde{n}'\tilde{L}'}]_S \hat{S}_{v_{12}S} \right]_0 \right) \times (\hat{P}^\tau)^{\tilde{t}} (1 - \hat{P}^M \hat{P}^\sigma \hat{P}^\tau) \delta(\vec{r}'_1 - \vec{r}_1) \delta(\vec{r}'_2 - \vec{r}_2) g_a(\vec{r}_1 - \vec{r}_2).$$

Numbers of terms of the finite-range pseudopotential at different orders up to N<sup>3</sup>LO. In the second, third, and fourth column, numbers of central ( $\tilde{S} = 0$ ), SO ( $\tilde{S} = 1$ ), and tensor ( $\tilde{S} = 2$ ) terms, respectively, are displayed.

Order	$\tilde{S} = 0$	$\tilde{S} = 1$	$\tilde{S} = 2$	Total
0	4	0	0	4
2	8	2	4	14
4	16	4	10	30
6	24	8	20	52
N <sup>3</sup> LO	52	14	34	100

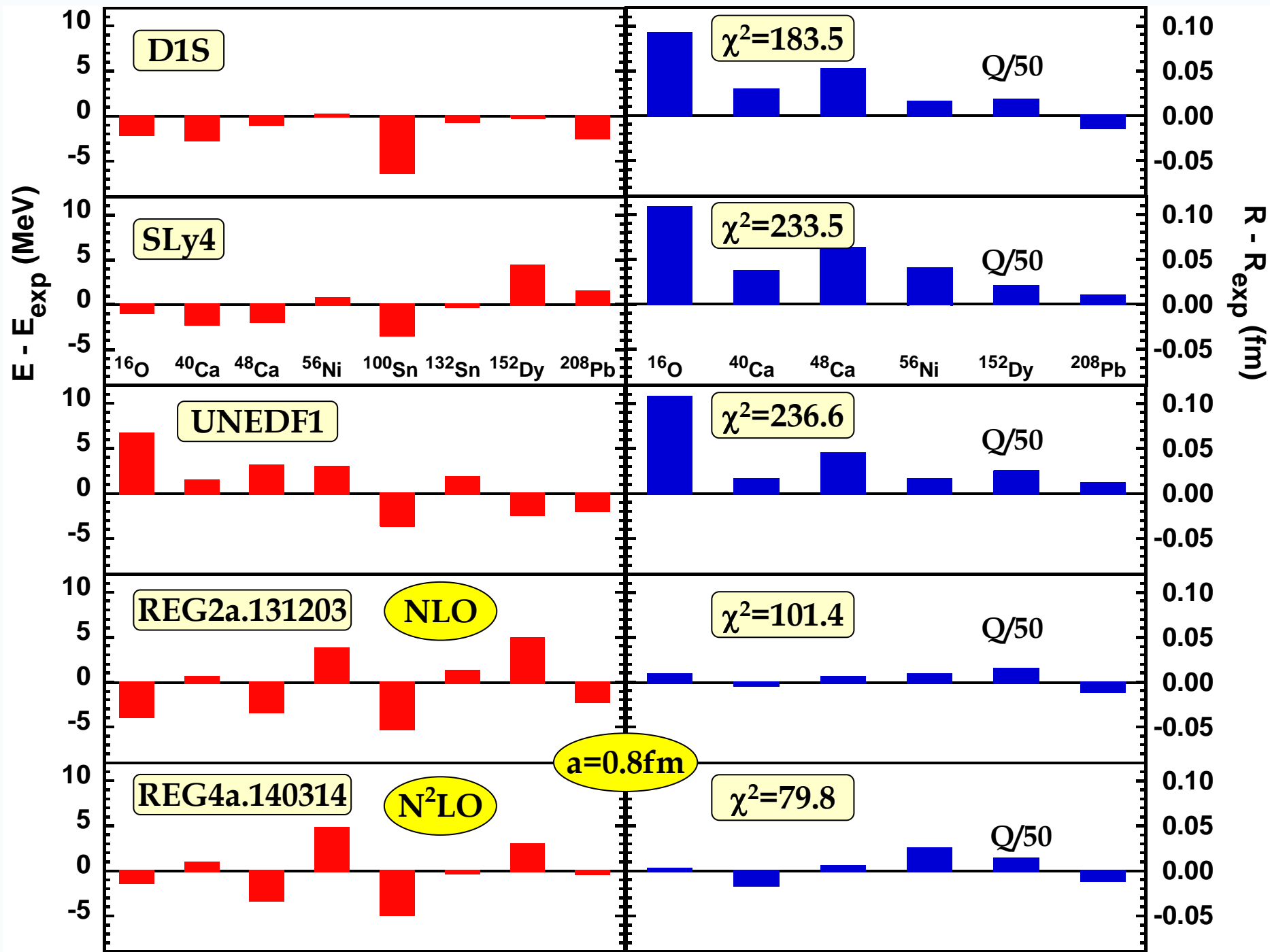


# Local regularized pseudopotentials vs. Gogny



J.D, K. Bennaceur, F. Raimondi, J. Phys. G. 39, 125103 (2012)





Jacek Dobaczewski



# *Ab initio* derivation of model EDFs

Jacek Dobaczewski

UNIVERSITY of York





# Ab initio derivation of model EDFs

The goal is to provide an *ab initio* derivation within a certain class of model EDFs  $\tilde{E}[\rho]$ :

$$\tilde{E}[\rho] = \sum_{i=1}^m C^i V_i[\rho],$$

where  $C^i$  are coupling constants and  $V_i[\rho]$  are the EDF generators.

Instead of probing the system with all possible one-body potentials it is enough to probe it within the finite set of the EDF generators  $-\hat{V}_j$ , that is, to solve the constrained variational equation,

$$\delta E' = \delta \langle \Psi | \hat{H} - \sum_{j=1}^m \lambda^j \hat{V}_j | \Psi \rangle = 0,$$

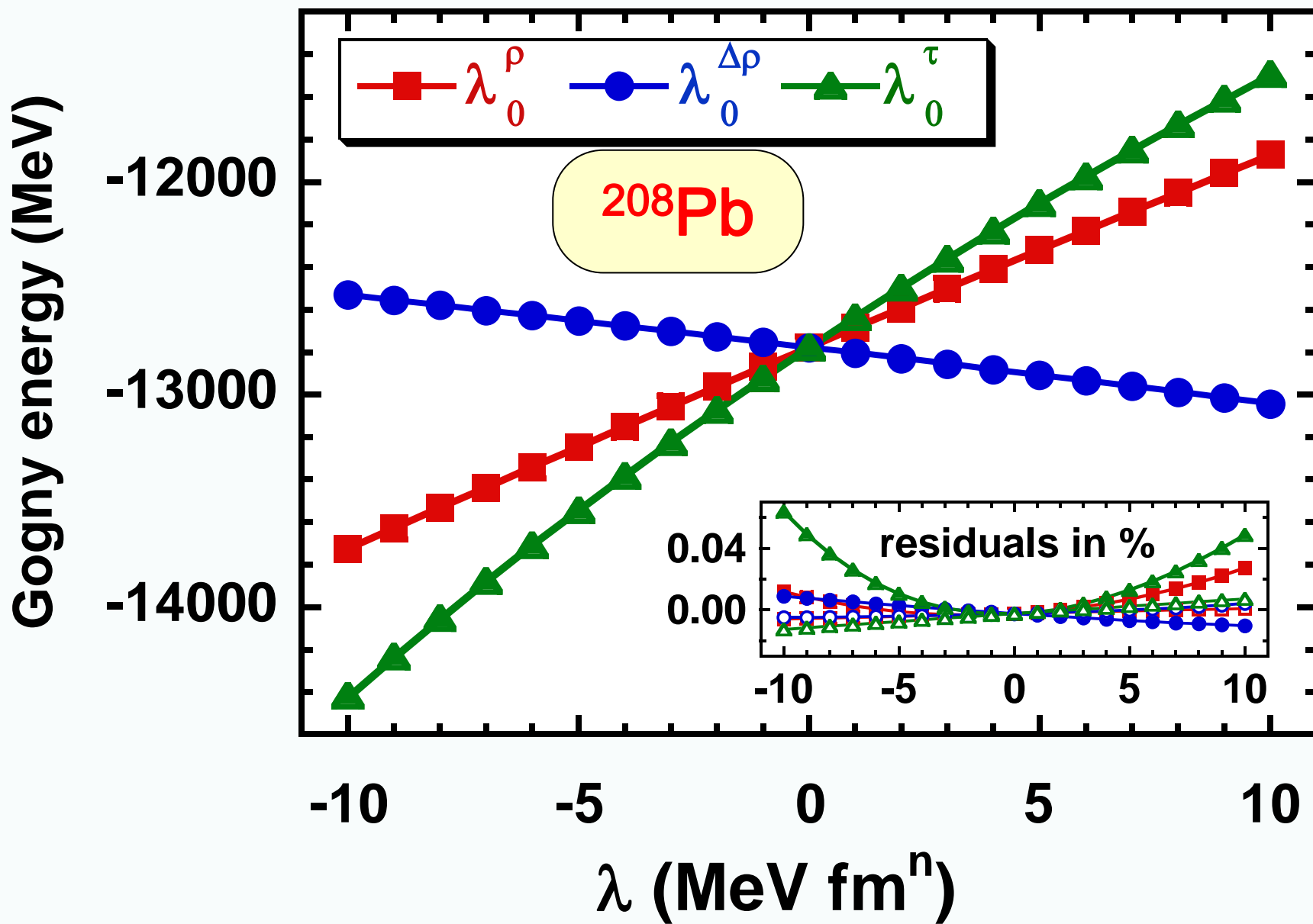
for a suitable set of values of a finite number of Lagrange multipliers  $\lambda^i$ , which is perfectly manageable a task.

Solution of this equation gives us the exact ground-state energies  $E(\lambda^j)$  and one-body non-local densities  $\rho_{\lambda^j}(r_1, r_2)$ , both as functions (not functionals!) of the Lagrange multipliers  $\lambda^j$ . Then we adjust the EDF coupling constants  $C^i$  so as to have,

$$E(\lambda^j) = \sum_{i=1}^m C^i V_i[\rho_{\lambda^j}].$$



# Ab initio derivation of model EDFs



J.D., arXiv:1507.00697

# Ab initio derivation of model EDFs

**S1Se**

		$t = 0$	$t = 1$
$C_t^\rho$	(MeV fm <sup>3</sup> )	-605.41(16)	509(3)
$C_t^{\Delta\rho}$	(MeV fm <sup>5</sup> )	-74.82(12)	41(2)
$C_t^\tau$	(MeV fm <sup>5</sup> )	79.73(16)	-98(2)

Table 1: Gogny-force D1S ground-state energies  $E_G$  (b) compared to energies  $E$  (c) calculated using the Skyrme EDF S1Se.

	$E_G$	$E$	$\delta E$	$\delta E/ E $	$\delta E/\Delta E$
(a)	(b)	(c)	(d)	(e)	(f)
<sup>16</sup> O	-129.626	-128.83(6)	0.79	0.61%	13
<sup>40</sup> Ca	-344.663	-344.34(6)	0.32	0.09%	5
<sup>48</sup> Ca	-416.829	-419.36(7)	-2.53	-0.61%	-37
<sup>56</sup> Ni	-483.820	-485.83(7)	-2.01	-0.42%	-29
<sup>78</sup> Ni	-640.598	-642.99(13)	-2.39	-0.37%	-18
<sup>100</sup> Sn	-830.896	-832.60(10)	-1.70	-0.20%	-18
<sup>132</sup> Sn	-1103.246	-1107.17(15)	-3.93	-0.36%	-26
<sup>208</sup> Pb	-1638.330	-1641.26(16)	-2.93	-0.18%	-18
rms	n.a.	n.a.	2.34	0.40%	22

J.D., arXiv:1507.00697



# Ab initio derivation of model EDFs

**S1Se**

		$t = 0$	$t = 1$
$C_t^\rho$	(MeV fm <sup>3</sup> )	-605.41(16)	509(3)
$C_t^{\Delta\rho}$	(MeV fm <sup>5</sup> )	-74.82(12)	41(2)
$C_t^\tau$	(MeV fm <sup>5</sup> )	79.73(16)	-98(2)

Table 2: Gogny-force D1S ground-state radii  $R_G$  (b) compared to radii  $R$  (c) calculated using the Skyrme EDF S1Se.

(a)	$R_G$ (b)	$R$ (c)	$\delta R$ (d)	$\delta R/R$ (e)	$\delta R/\Delta R$ (f)
<sup>16</sup> O	2.6689	2.6350(7)	-0.0339	-1.27%	-48
<sup>40</sup> Ca	3.4117	3.3860(8)	-0.0257	-0.75%	-31
<sup>48</sup> Ca	3.4423	3.4347(10)	-0.0076	-0.22%	- 8
<sup>56</sup> Ni	3.6773	3.6781(11)	0.0008	0.02%	1
<sup>78</sup> Ni	3.9070	3.9222(10)	0.0151	0.39%	16
<sup>100</sup> Sn	4.4070	4.4118(12)	0.0048	0.11%	4
<sup>132</sup> Sn	4.6530	4.6694(11)	0.0164	0.35%	15
<sup>208</sup> Pb	5.4365	5.4535(12)	0.0170	0.31%	14
rms	n.a.	n.a.	0.0183	0.57%	22

J.D., arXiv:1507.00697





# Conclusions

1. Nuclear DFT provides us with one of the most spectacularly successful approaches in nuclear physics. Based on a dozen-odd parameters, nuclear DFT fairly well describes thousands of experimental data
2. Currently available nuclear functionals have reached their limits of applicability. To gain progress, extensions/modifications thereof are mandatory.



Thank you

Jacek Dobaczewski

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