





From cold to hot nuclear matter

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The ,holy grail' of heavy-ion physics:



The goal: to study the properties of strongly interacting matter under extreme conditions from a microscopic point of view Realization: dynamical many-body transport models



Semi-classical BUU equation

Boltzmann-Uehling-Uhlenbeck equation (non-relativistic formulation) - propagation of particles in the self-generated Hartree-Fock mean-field potential U(r,t) with an on-shell collision term:

$$\frac{\partial}{\partial t}f(\vec{r},\vec{p},t) + \frac{\vec{p}}{m}\vec{\nabla}_{\vec{r}} f(\vec{r},\vec{p},t) - \vec{\nabla}_{\vec{r}}U(\vec{r},t)\vec{\nabla}_{\vec{p}}f(\vec{r},\vec{p},t) = \left(\frac{\partial f}{\partial t}\right)_{coll}$$

collision term: elastic and inelastic reactions

 $f(\vec{r}, \vec{p}, t)$ is the single particle phase-space distribution function - probability to find the particle at position *r* with momentum *p* at time *t*

□ self-generated Hartree-Fock mean-field potential:

$$U(\vec{r},t) = \frac{1}{(2\pi\hbar)^3} \sum_{\beta_{occ}} \int d^3r' \, d^3p \, V(\vec{r}-\vec{r}',t) \, f(\vec{r}',\vec{p},t) + (Fock \ term)$$

□ Collision term for 1+2→3+4 (let's consider fermions) :

$$I_{coll} = \frac{4}{(2\pi)^3} \int d^3 p_2 \ d^3 p_3 \ \int d\Omega \ |v_{12}| \delta^3 (\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4) \cdot \frac{d\sigma}{d\Omega} (1 + 2 \to 3 + 4) \cdot P$$

Probability including Pauli blocking of fermions: $P = f_3 f_4 (1 - f_1)(1 - f_2) - \frac{f_1 f_2 (1 - f_3)(1 - f_4)}{\text{Gain term: 3+4} + 1 + 2}$ Loss term: 1+2-3+4





Theoretical description of 'in-medium effects'

In-medium effects = changes of particle properties in the hot and dense baryonic medium; example – vector mesons, strange mesons

Many-body theory:

Strong interaction → large width = short life-time

→ broad spectral function → quantum object

How to describe the dynamics of broad strongly interacting quantum states in transport theory?

semi-classical BUU

first order gradient expansion of quantum Kadanoff-Baym equations

generalized transport equations



Dynamical description of strongly interacting systems

Semi-classical on-shell BUU: applies for small collisional width, i.e. for a weakly interacting systems of particles

How to describe strongly interacting systems?!

Quantum field theory ->

Kadanoff-Baym dynamics for resummed single-particle Green functions S[<]

$$\hat{S}_{0x}^{-1} S_{xy}^{<} = \Sigma_{xz}^{ret} \odot S_{zy}^{<} + \Sigma_{xz}^{<} \odot S_{zy}^{adv}$$

Green functions S[<] / self-energies Σ :

Integration over the intermediate spacetime

 $iS_{xy}^{<} = \eta \langle \{ \Phi^{+}(y) \Phi(x) \} \rangle$ $iS_{xy}^{>} = \langle \{ \Phi(y) \Phi^{+}(x) \} \rangle$ $iS_{xy}^{c} = \langle T^{c} \{ \Phi(x) \Phi^{+}(y) \} \rangle - causal$ $iS_{yy}^{a} = \langle T^{a} \{ \Phi(x) \Phi^{+}(y) \} \rangle$ -anticausal



Leo Kadanoff







 $\hat{S}_{\theta x}^{-1} \equiv -(\partial_x^{\mu} \partial_{\mu}^{x} + M_{\theta}^{2})$





From Kadanoff-Baym equations to generalized transport equations

After the first order gradient expansion of the Wigner transformed Kadanoff-Baym equations and separation into the real and imaginary parts one gets:

Backflow term incorporates the off-shell behavior in the particle propagation ! vanishes in the quasiparticle limit $A_{XP} \rightarrow \delta(p^2-M^2)$

□ GTE: Propagation of the Green's function $iS^{<}_{XP}=A_{XP}N_{XP}$, which carries information not only on the number of particles (N_{XP}), but also on their properties, interactions and correlations (via A_{XP})

Spectral function:
$$A_{XP} = \frac{\Gamma_{XP}}{(P^2 - M_0^2 - Re\Sigma_{XP}^{ret})^2 + \Gamma_{XP}^2/4}$$

 $\Gamma_{XP} = -Im\Sigma_{XP}^{ret} = 2p_0\Gamma$ – ,width' of spectral function = reaction rate of particle (at space-time position X) 4-dimentional generalizaton of the Poisson-bracket:

 $\diamond \{ F_1 \} \{ F_2 \} := \frac{1}{2} \left(\frac{\partial F_1}{\partial X_{\mu}} \frac{\partial F_2}{\partial P^{\mu}} - \frac{\partial F_1}{\partial P_{\mu}} \frac{\partial F_2}{\partial X^{\mu}} \right)$

Life time $\tau = \frac{hc}{\Gamma}$

W. Cassing , S. Juchem, NPA 665 (2000) 377; 672 (2000) 417; 677 (2000) 445

From SIS to LHC: from hadrons to partons



The goal: to study of the phase transition from hadronic to partonic matter and properties of the Quark-Gluon-Plasma from microscopic origin

need a consistent non-equilibrium transport model

with explicit parton-parton interactions (i.e. between quarks and gluons)
explicit phase transition from hadronic to partonic degrees of freedom
IQCD EoS for partonic phase (,crossover' at μ_q=0)

□ Transport theory: off-shell Kadanoff-Baym equations for the Green-functions S[<]_h(x,p) in phase-space representation for the partonic and hadronic phase



Parton-Hadron-String-Dynamics (PHSD)

QGP phase described by Dynamical QuasiParticle Model (DQPM) W. Cassing, E. Bratkovskaya, PRC 78 (2008) 034919; NPA831 (2009) 215; W. Cassing, EPJ ST 168 (2009) 3

> A. Peshier, W. Cassing, PRL 94 (2005) 172301; Cassing, NPA 791 (2007) 365: NPA 793 (2007)

Dynamical QuasiParticle Model (DQPM) - Basic ideas:

DQPM describes **QCD** properties in terms of ,resummed' single-particle **Green's functions** – in the sense of a two-particle irreducible (2PI) approach:

Gluon propagator: $\Delta^{-1} = \mathbf{P}^2 - \mathbf{\Pi}$

gluon self-energy: $\Pi = M_g^2 - i2\Gamma_g \omega$

Quark propagator: $S_{q}^{-1} = P^2 - \Sigma_{q}$ quark self-energy: $\Sigma_{q} = M_{q}^2 - i2\Gamma_{q}\omega$

the resummed properties are specified by complex self-energies which depend on temperature:

- -- the real part of self-energies (Σ_q , Π) describes a dynamically generated mass $(M_a, M_a);$
- -- the imaginary part describes the interaction width of partons (Γ_{a}, Γ_{a})

• space-like part of energy-momentum tensor $T_{\mu\nu}$ defines the potential energy density and the mean-field potential (1PI) for quarks and gluons (U_q , U_q)

2PI framework guaranties a consistent description of the system in- and out-off equilibrium on the basis of Kadanoff-Baym equations with proper states in equilibrium

> A. Peshier, W. Cassing, PRL 94 (2005) 172301; Cassing, NPA 791 (2007) 365: NPA 793 (2007)

The Dynamical QuasiParticle Model (DQPM)



The Dynamical QuasiParticle Model (DQPM)



Peshier, Cassing, PRL 94 (2005) 172301; Cassing, NPA 791 (2007) 365: NPA 793 (2007)



Parton Hadron String Dynamics

I. From hadrons to QGP:

- Initial A+A collisions:
 - string formation in primary NN collisions
 - strings decay to pre-hadrons (B baryons, m mesons)
- Formation of QGP stage by dissolution of pre-hadrons into massive colored quarks + mean-field energy based on the Dynamical Quasi-Particle Model (DQPM) which defines quark spectral functions, masses M_q(ε) and widths Γ_q(ε) + mean-field potential U_q at given ε local energy density (related by lQCD EoS to T temperature in the local cell)
- II. Partonic phase QGP:
- quarks and gluons (= ,dynamical quasiparticles') with off-shell spectral functions (width, mass) defined by the DQPM
- in self-generated mean-field potential for quarks and gluons U_q , U_g
- EoS of partonic phase: ,crossover' from lattice QCD (fitted by DQPM)
- (quasi-) elastic and inelastic parton-parton interactions: using the effective cross sections from the DQPM
- III. <u>Hadronization:</u> based on DQPM
- massive, off-shell (anti-)quarks with broad spectral functions hadronize to off-shell mesons and baryons or color neutral excited states -,strings' (strings act as ,doorway states' for hadrons)
- IV. <u>Hadronic phase</u>: hadron-string interactions off-shell HSD



QGP phase: $\varepsilon > \varepsilon_{critical}$







W. Cassing, E. Bratkovskaya, PRC 78 (2008) 034919; NPA831 (2009) 215; EPJ ST 168 (2009) 3; NPA856 (2011) 162.

,Bulk' properties in Au+Au





Time evolution of energy density

PHSD: 1 event Au+Au, 200 GeV, b = 2 fm



 $\Delta V: \Delta x = \Delta y = 1 \text{ fm}, \Delta z = 1/\gamma \text{ fm}$

R. Marty et al, 2014



Time evolution of the partonic energy fraction vs energy



□ Strong increase of partonic phase with energy from AGS to RHIC

SPS: Pb+Pb, 160 A GeV: only about 40% of the converted energy goes to partons; the rest is contained in the large hadronic corona and leading partons
RHIC: Au+Au, 21.3 A TeV: up to 90% - QGP

W. Cassing & E. Bratkovskaya, NPA 831 (2009) 215 V. Konchakovski et al., Phys. Rev. C 85 (2012) 011902





Central Pb + Pb at SPS energies

Central Au+Au at RHIC



PHSD gives harder m_T spectra and works better than HSD (wo QGP) at high energies – RHIC, SPS (and top FAIR, NICA)
however, at low SPS (and low FAIR, NICA) energies the effect of the partonic phase decreases due to the decrease of the partonic fraction

W. Cassing & E. Bratkovskaya, NPA 831 (2009) 215 E. Bratkovskaya, W. Cassing, V. Konchakovski, O. Linnyk, NPA856 (2011) 162



Low p_T spectra of pions and kaons



Charged particle multiplicity vs centrality



p_T spectra of charged hadrons and pions central Pb+Pb at s^{1/2}=2.76 TeV



➔ PHSD reproduces ALICE data on Pb+Pb

V. Konchakovski, W. Cassing, V. Toneev, arXiv:1411.5534



□ Great surprize → evidence for the creation of ,QGP' in p+Pb collisions !

Centrality dependence of η_{lab} spectra of charged hadrons p+Pb at s^{1/2}=5.02 TeV





Mean p_T of charged hadrons at midrapidity vs N_{ch}



□ Mean p_T spectra of p+p and p+Pb are identical at low N_{ch} since N_{coll}~1-2 similar to pp

□ The origin for the ,hierarchy' of mean p_T at larger N_{ch}: N_{coll} >>1 → <u>summation of multiple (soft) collisions</u>



□ PHSD gives harder m_T spectra than HSD (without QGP) at high energies – LHC, RHIC, SPS

□ at RHIC and LHC the QGP dominates the early stage dynamics

□ at low SPS (and low FAIR, NICA) energies the effect of the partonic phase decreases

□ ,cold' nuclear matter at LHC → creation of QGP in p+Pb



Collective flow, anisotropy coefficients (v₁, v_{2, ...}) in A+A



Anisotropy coefficients

Non central Au+Au collisions :

□ interaction between constituents leads to a pressure gradient \rightarrow spatial asymmetry is converted to an asymmetry in momentum space \rightarrow collective flow

$$\frac{dN}{d\varphi} \propto \left(1 + 2\sum_{n=1}^{+\infty} v_n \cos\left[n(\varphi - \psi_n)\right]\right)$$
$$v_n = \left\langle\cos n\left(\varphi - \psi_n\right)\right\rangle, \quad n = 1, 2, 3..,$$



v_1 : directed flow v_2 : elliptic flow v_3 : triangular flow

 $v_1 = \left\langle \frac{p_x}{p_T} \right\rangle, \quad v_2 = \left\langle \frac{p_x^2 - p_y^2}{p_x^2 + p_y^2} \right\rangle$



[n-plane

 $v_2 = 7\%, v_1 = 0$

 $v_2 = -7\%$, $v_1 = 0$

 $v_2 = 7\%, v_1 = -7\%$

Х

Y

v₂ > 0 indicates in-plane emission of particles
v₂ < 0 corresponds to a squeeze-out perpendicular
to the reaction plane (out-of-plane emission)

Directed flow signals of the Quark–Gluon Plasma?

From H. Stöcker, Nucl. Phys. A 750, 121 (2005)



- Early ideal hydro calculation predicted the "softest point", at E_{lab} = 8 AGeV
- A linear extrapolation of the data (arrow) suggests a collapse of flow at E_{lab} = 30 AGeV



Recent STAR measurements of v₁ of identified hadrons



PHSD: snapshot of the reaction plane at 11 A GeV





Color scale: baryon number density Black levels: QGP- parton density 0.6 and 0.01 fm⁻³ Red arrows: local velocity of baryon matter

 Directed flow v₁ is formed at an early stage of the nuclear interaction

 Baryons are reaching positive and mesons – negative value of v₁

V. Konchakovski, W. Cassing, Yu. Ivanov, V. Ton@ev, PRC(2014), arXiv:1404.2765



Excitation function of v₁ slopes at midrapidity

PHST



Excitation function of v₁ slopes





•The slope of v₁(y) at midrapidity:

$$F = \frac{d\upsilon_1}{dy}|_{y=0}$$

Models:

I. Transport models: HSD, PHSD, UrQMD

II. 'Hydro' models:

 3D-Fluid Dynamic approach (3FD)

1FD-hydro with chiral cross-over (χ) and Bag
Model (BM) EoS

 Hybrid-UrQMD with BM and χ-EoS (shaded arria)

smooth crossover?!

STAR Collaboration, PRL 112, 162301 (2014)

PHSD/HSD and 3D-fluid hydro: V. Konchakovski, W. Cassing, Yu. Ivanov, V. Toneev, PRC90 (2014) 14903 Hybrid/UrQMD/Hydro: J. Steinheimer, J. Auvinen, H. Petersen, M. Bleicher, H. Stöcker, PRC 89 (2014) 054913

Excitation function of v₁ slopes of K,Kbar



v₁ slopes of K, Kbar at midrapidity – sensitive to the dynamics!

PHSD/HSD: W. Cassing, V. P. Konchakovski, A. Palmese, V. D. Toneev, and E. L. Bratkovskaya, arXiv:1408.4313



- The PHSD reproduces the general trends in the v₁(y) excitation functions in the energy range √s =7.7-200 GeV. We don't see any "wiggle-like" structures as expected by early hydro calculations but see a softening of the EoS in the BES range.
- The PHSD results differ from those of HSD where no explicit partonic degrees of freedom are incorporated. A comparison of both microscopic models has provided detailed information on the effect of parton dynamics on the directed flow (especially for pions).
- Inclusion of antiproton annihilation into several mesons as well as the inverse multi-meson fusion processes in HSD/PHSD help to reproduce antiproton directed flow at lower energies.
- 3-Fluid Dynamic approach (3FD) gives reasonable results for proton and pion slopes of v₁ but fails at 7.7 GeV for antiprotons
- Crossover transition agrees better with the experimental data than a pure hadronic EoS
- □ Sizeable effect of momentum dependent mean-fields on directed flows

Collective flow: v₂ excitation functions





Au + Au collisions at $s^{1/2} = 200 \text{ GeV}$

Time evolution of v_n for b = 8 fm

Flow velocity for b = 2 fm (x=0,y,z), t=0.5 fm/c



Flow coefficients reach their asymptotic values by the time of 6–8 fm/c after the beginning of the collision

V. Konchakovski, E. Bratkovskaya, W. Cassing, V. Toneev, V. Voronyuk, Phys. Rev. C 85 (2012) 011902

Elliptic flow v₂ vs. collision energy for Au+Au





• v_2 in PHSD is larger than in HSD due to the repulsive scalar meanfield potential $U_s(\rho)$ for partons

v₂ grows with bombarding energy due to the increase of the parton fraction

V. Konchakovski, E. Bratkovskaya, W. Cassing, V. Toneev, V. Voronyuk, Phys. Rev. C 85 (2012) 011902



Elliptic flow scaling at RHIC



The mass splitting at low p_T is approximately reproduced in PHSD as well as the meson-baryon splitting for $p_T > 2$ GeV/c

The scaling of v₂ with the number of constituent quarks n_q is roughly in line with the data

E. Bratkovskaya, W. Cassing, V. Konchakovski, O. Linnyk, NPA856 (2011) 162



Transverse momentum dependence of triangular flow at RHIC



HSD (without QGP) shows a flat p_T distribution

- PHSD shows an increase of V₃ with p_T
- \rightarrow v₃: needs partonic degrees of freedom !

V. Konchakovski, E. Bratkovskaya, W. Cassing, V. Toneev, V. Voronyuk, Phys. Rev. C 85 (2012) 044922

V_n (n=2,3,4,5) of charged particles from PHSD at LHC



v₂ increases with decreasing centrality

215

v_n (n=3,4,5) show weak centrality dependence

V. Konchakovski, W. Cassing, V. Toneev, arXiv:1411.5534



- **Anisotropy coefficients** v_n (n=2,3,4,5,...) as a signal of the QGP:
- quark number scaling of v₂ at ultrarelativistic energies signal of deconfinement
- growing of v₂ with energy partonic interactions generate a larger pressure than the hadronic interactions
- v_n, n=3,4,5.. sensitive to QGP



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