

# F-Theory Model Building with Discrete Symmetry

Discrete 2014

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- Brief introduction to F-theory
- Discussion of an  $SU(5)$  GUT model with  $A_4$  discrete symmetry - based on:  
Discrete Family Symmetry from F-Theory GUTs - A.Karozas, S.F.King, G.K.Leontaris, AM.  
Following from work:  
Neutrino mass textures from F-theory - I. Antoniadis and G. K. Leontaris
- Results and Prospects

# Discrete Symmetry in F-theory

F-Theory is a twelve dimensional formulation of Type IIB string theory, with eight dimensions being a Calabi-Yau complex fourfold, which is elliptically fibred over a complex threefold base.

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- Local GUT surface - a D7 Brane
- Points where the GUT surface intersects other D7 Branes give symmetry enhancements, where the maximum enhancement corresponds to a point of  $E_8$

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$$248 \rightarrow (24, 1) + (1, 24) + (10, 5) + (\bar{10}, \bar{5}) + (5, \bar{10}) + (\bar{5}, 10) \quad (1)$$

# F-Theory - Spectral Cover Equation

The 10s of an  $SU(5)$  singularity are described by the Spectral cover equation:

$$\mathcal{C}_5 : b_5 + b_4s + b_3s^2 + b_2s^3 + b_1s^4 + b_0s^5 = b_0 \prod_{i=1}^5 (s + t_i)$$

The roots of the spectral cover equation are identified as the weights of the 5 of  $SU(5)_\perp$ , which in turn specifies the defining equation of the 10 representation of the GUT group:

$$\Sigma_{10} : t_i = 0$$



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Similarly, we have a way to determine our five-curves of the GUT group:

$$\sum_{n=1}^{10} c_n s^{10-n} = b_0 \prod_{i < j} (s - t_i - t_j) = b_3^2 b_4 - b_2 b_3 b_5 + b_0 b_5^2 .$$

This can be expressed in terms of the  $b_k$  coefficients by identification with the  $\mathcal{C}_5$  equation:

# An F-theory $A_4$ model

Motivated by apparent symmetries of the neutrino sector, we might try to use our monodromy group to generate a realistic family symmetry. Historically  $A_4$  has been associated with neutrino mixing, so we have focused on exploiting this case. This gives us a model with:

$$SU(5)_{\text{GUT}} \times A_4 \times U(1).$$

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An  $A_4$  monodromy requires a factorisation to a quartic part and a linear part:

$$\begin{aligned} \mathcal{C}_4 \times \mathcal{C}_1 : & (a_1 + a_2s + a_3s^2 + a_4s^3 + a_5s^4) \\ & \times (a_6 + a_7s) = 0 \end{aligned}$$

where  $a_i$  are necessarily in the same field as the original  $b_j$  - which prevents so-called branch cuts.

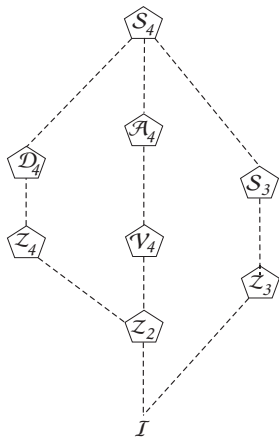
$b_i$	$a_j$ coefficients for 4+1
$b_0$	$a_5 a_7$
$b_1$	$a_5 a_6 + a_4 a_7$
$b_2$	$a_4 a_6 + a_3 a_7$
$b_3$	$a_3 a_6 + a_2 a_7$
$b_4$	$a_2 a_6 + a_1 a_7$
$b_5$	$a_1 a_6$

# An F-theory $A_4$ model - Which discrete symmetry?

The  $\mathcal{C}_4 \times \mathcal{C}_1$  factorisation admits  $S_4$  or any of its discrete subgroups as possible symmetries.

To distinguish between the different cases, we can use Galois theory and examine partially symmetric polynomials of the roots of  $\mathcal{C}_4$ . See work by G.Leontaris and I.Antoniadis - [arXiv:1308.1581 \[hep-th\]](https://arxiv.org/abs/1308.1581)

We can also argue from the reducibility of the representations



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# An F-theory $A_4$ model - Matter curves

Having asserted an  $A_4$  monodromy group, we must now determine what the matter curve content of the model must be. The defining equation of the ten-curves is:

$$b_5 = a_1 a_6 = 0.$$

$b_i$	$a_j$ coefficients for $4+1$
$b_0$	$a_5 a_7$
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Before applying any constraints from Galois theory, the defining equation of the fives is:

$$R = (a_2^2 a_7 + a_2 a_3 a_6 \mp a_0 a_1 a_6^2) (a_3 a_6^2 + (a_2 a_6 + a_1 a_7) a_7),$$

where we have taken  $a_4 = \pm a_0 a_6$  and  $a_5 = \mp a_0 a_7$  to satisfy the tracelessness condition of  $SU(5)$ :  $b_1 = 0$

# An F-theory $A_4$ model - Matter curves

Curve	Equation	Homology	N	M
$10_a$	$a_1$	$\eta - 5c_1 - \chi$	$-N$	$M_{10_a}$
$10_b$	$a_6$	$\chi$	$+N$	$M_{10_b}$
$5_c$	$a_2^2 a_7 + a_2 a_3 a_6 \mp a_0 a_1 a_6^2$	$2\eta - 7c_1 - \chi$	$-N$	$M_{5_c}$
$5_d$	$a_3 a_6^2 + (a_2 a_6 + a_1 a_7) a_7$	$\eta - 3c_1 + \chi$	$+N$	$M_{5_d}$

**Table :** Table of matter curves, their homologies, charges and multiplicities.

We have an  $A_4$  quadruplet and an  $A_4$  singlet for the ten-curves, while for the five-curves we have a sextet and a quadruplet. This summary of our five and ten-curves demonstrates that any attempt to build a model will be difficult.

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# An F-theory model - Irreducible representations

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We may then write down the generators of the group for a quadruplet of  $A_4$ , then use unitary matrices to block diagonalise into an irreducible basis. Doing this gives a singlet and triplet for the related weights:

$$t_s = t_1 + t_2 + t_3 + t_4 \quad (2)$$

$$\{t_a = t_1 + t_2 - t_3 - t_4, t_b = t_1 - t_2 + t_3 - t_4, t_c = t_1 - t_2 - t_3 + t_4\}$$

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So for the 10s of the GUT group we have a triplet and two singlets (one charged under  $t_5$ , one under  $t_s$ ).

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Curve	Rep'n	$N$	$M$	Matter content
$10_1$	$(10, 3)_0$	0	$M_{T1}$	$3 [M_{T1} Q_L + u_L^c (M_{T1} - N_Y) + e_L^c (M_{T1} + N_Y)]$
$10_2$	$(10, 1)_0$	$-N$	$M_{T2}$	$M_{T2} Q_L + u_L^c (M_{T2} - N_Y) + e_L^c (M_{T2} + N_Y)$
$10_3$	$(10, 1)_{t_5}$	$+N$	$M_{T3}$	$M_{T3} Q_L + u_L^c (M_{T3} - N_Y) + e_L^c (M_{T3} + N_Y)$
$5_1$	$(5, 3)_0$	0	$M_{F1}$	$3 [M_{F1} \bar{d}_L^c + (M_{F1} + N_Y) \bar{L}]$
$5_2$	$(5, 3)_0$	$-N$	$M_{F2}$	$3 [M_{F2} \bar{\bar{D}} + (M_{F2} + N_Y) \bar{H}_d]$
$5_3$	$(5, 3)_{t_5}$	$+N$	$M_{F3}$	$3 [M_{F3} D + (M_{F3} + N_Y) H_u]$
$5_4$	$(5, 1)_{t_5}$	0	$M_{F4}$	$M_{F4} \bar{d}_L^c + (M_{F4} + N_Y) \bar{L}$

**Table :** Table summarising matter content for an  $SU(5) \times A_4$  model

# An F-theory $A_4$ model - $N = 0$

Choosing the simplest possible case, we assign  $N = 0$  and select a realistic set of  $M_i$ :

$$M_{T1} = M_{F4} = 0$$

$$M_{T2} = 1$$

$$M_{T3} = 2$$

$$M_{F1} = M_{F2} = -M_{F3} = -1$$

This will give us the necessary generations of quarks and leptons.



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Curve	Rep'n	R-sym	Matter content
$10_1$	$(10, 3)_0$	1	-
$10_2 = T_3$	$(10, 1)_0$	1	$Q_L + u_L^c + e_L^c$
$10_3 = T$	$(10, 1)_{t_5}$	1	$2Q_L + 2u_L^c + 2e_L^c$
$\bar{5}_1 = F$	$(\bar{5}, 3)_0$	1	$3L + 3d_L^c$
$\bar{5}_2 = H_d$	$(\bar{5}, 3)_0$	0	$3\bar{D} + 3H_d$
$5_3 = H_u$	$(5, 3)_{t_5}$	0	$3D + 3H_u$
$5_4$	$(5, 1)_{t_5}$	1	-
$\theta_a$	$(1, 3)_{-t_5}$	0	Higgs Flavons
$\theta_b$	$(1, 1)_{-t_5}$	0	Flavon
$\theta_c$	$(1, 3)_0$	1	$\nu_R$
$\theta_d$	$(1, 3)_0$	0	Flavons

Table : Table of Matter content in  $N = 0$  model

# An F-theory $A_4$ model - Top Quark Couplings

From this model, the Top-type quark couplings are non-renormalisable. This is due to the  $t_5$  charges present on the  $T$  and  $H_u$  curves, which must be canceled by GUT-singlets to form an invariant coupling.

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$$m_{u,c,t} = va \begin{pmatrix} y_3 b^2 + y_4 a^2 & y_3 b^2 + y_4 a^2 & y_2 b \\ y_3 b^2 + y_4 a^2 & y_3 b^2 + y_4 a^2 & y_2 b \\ y_2 b & y_2 b & y_1 \end{pmatrix} \quad (3)$$

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**Due to the so-called Rank Theorem the lightest quark remains massless** It will get a small mass due to non-commutative fluxes and instanton effects.

# An F-theory $A_4$ model - Bottom Quark/Charged Lepton Couplings

The Bottom quark and charged Lepton couplings come from the same operators in  $SU(5)$  GUTs. The mass matrix takes the form:

$$m_{1,2,3} = v \begin{pmatrix} y_7 d_2 b + y_{11} d_3 a & y_7 d_2 b + y_{11} d_3 a & y_3 d_2 \\ y_5 a & y_5 a & y_2 d_1 \\ y_4 b & y_4 b & y_1 \end{pmatrix}. \quad (4)$$

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Note: The Standard Model doublets of  $SU(2)$  are triplets under  $A_4$ . As such we can again argue that the lightest generation gets a mass from some other mechanism.

# An F-theory $A_4$ model - Neutrinos

The Neutrinos are unique in the SM in that they are the only particles that may be Majorana - that is, the neutrino could be its own anti-particle.

The possible couplings allowed in this model are:

	Full coupling
Dirac-type mass	$\theta_c \cdot F \cdot H_u \cdot \theta_a$ $\theta_c \cdot F \cdot H_u \cdot \theta_a \cdot (\theta_d)^n$ $\theta_c \cdot F \cdot H_u \cdot \theta_b$ $\theta_c \cdot F \cdot H_u \cdot \theta_b \cdot (\theta_d)^n$
Right-handed neutrinos	$M\theta_c \cdot \theta_c$ $(\theta_d)^n \cdot \theta_c \cdot \theta_c$



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Right-handed neutrinos	$M\theta_c \cdot \theta_c$ $(\theta_d)^n \cdot \theta_c \cdot \theta_c$

Reminder:  $\langle \theta_a \rangle = (a, 0, 0)^T$ . This preserves the S generator, which is associated with the  $Z_2$  part of  $A_4$ . Likewise, we have  $\langle H_u \rangle = (v, 0, 0)^T$ .

# An F-theory $A_4$ model - Neutrinos

The first four operators correspond to Dirac mass terms, coupling left and right-handed neutrinos. Taking the lowest order couplings for simplicity, the Dirac mass matrix is:

$$M_D = \begin{pmatrix} y_0 va & z_3 vd_2 b & z_2 vd_3 b \\ z_1 vd_2 b & y_1 va & y_9 bv \\ z_4 vd_3 b & y_8 bv & y_1 va \end{pmatrix} \quad (5)$$

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Higher order operators may serve to add small corrections. To the Dirac matrix. Similarly the dominating contribution to the right-handed mass matrix is the diagonal operator:

$$M_R = M \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (6)$$

N.b.  $y_0 = y_1 + y_2 + y_3$

# An F-theory $A_4$ model - Neutrinos

In order to reduce the number of free parameters, we observe that the following definitions will simplify our analysis:

$$Y_1 = \frac{y_1}{y_0} \leq 1$$

$$Y_{2,3} = \frac{y_{8,9} b}{y_0 a}$$

$$Z_1 = \frac{z_1 d_2 b}{y_0 a}$$

$$Z_2 = \frac{z_2 d_3 b}{y_0 a}$$

$$m_0 = \frac{y_0^2 v^2 a^2}{M}$$

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We can then proceed to use the see-saw mechanism. Assuming a Type I see-saw, the effective operator  $M_{eff} = M_D M_R^{-1} M_D^T$ , gives an effective mass matrix:

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$$M_{eff} = m_0 \begin{pmatrix} 1 + Z_1^2 + Z_2^2 & Y_1 Z_1 + Y_3 Z_2 + Z_1 & Y_2 Z_1 + Y_1 Z_2 + Z_2 \\ Y_1 Z_1 + Y_3 Z_2 + Z_1 & Y_1^2 + Y_3^2 + Z_1^2 & Y_1(Y_2 + Y_3) + Z_1 Z_2 \\ Y_2 Z_1 + Y_1 Z_2 + Z_2 & Y_1(Y_2 + Y_3) + Z_1 Z_2 & Y_1^2 + Y_2^2 + Z_2^2 \end{pmatrix}, \quad (7)$$

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We proceed to numerically fit for known neutrino parameters, centering our analysis on the value:

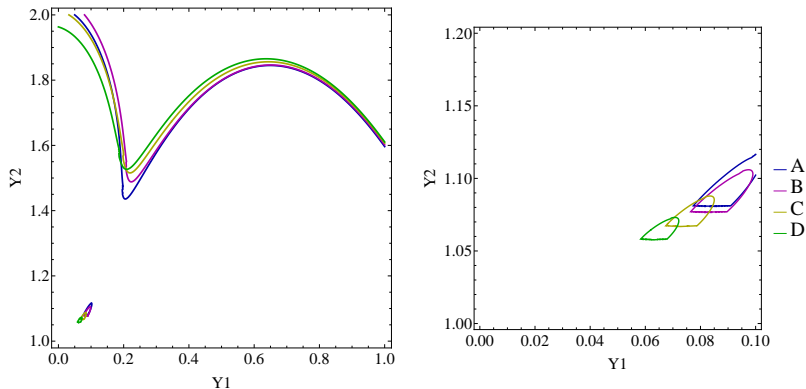
$$R = \left| \frac{m_3^2 - m_2^2}{m_2^2 - m_1^2} \right|,$$

In this way, we are able to make predictions about the absolute neutrino mass scale in our model.

Parameter	Central value	Min $\rightarrow$ Max
$\theta_{12}/^\circ$	33.57	32.82 $\rightarrow$ 34.34
$\theta_{23}/^\circ$	41.9	41.5 $\rightarrow$ 42.4
$\theta_{13}/^\circ$	8.73	8.37 $\rightarrow$ 9.08
$\Delta m_{21}^2/10^{-5}\text{eV}$	7.45	7.29 $\rightarrow$ 7.64
$\Delta m_{31}^2/10^{-3}\text{eV}$	2.417	2.403 $\rightarrow$ 2.431
$R = \frac{\Delta m_{31}^2}{\Delta m_{21}^2}$	32.0	31.1 $\rightarrow$ 33.0

**Table :** Summary of neutrino parameters, using best fit values as found at [nu-fit.org](http://nu-fit.org).

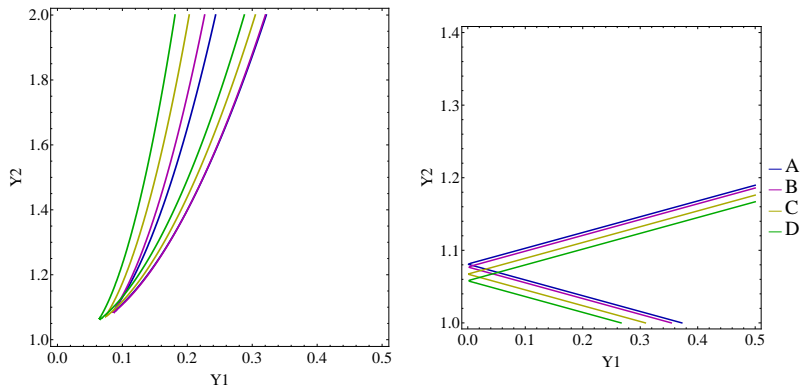
# An F-theory $A_4$ model - Neutrinos



**Figure :** Plots of lines with the best fit value of  $R = 32$  in the parameter space of  $(Y_1, Y_2)$ . Left: The full range of the space examined. Right: A close plot of a small portion of the parameter space taken from the full plot. The curves have  $(Y_3, Z_1, Z_2)$  values set as follows:  $A = (1.08, 0.05, 0.02)$ ,  $B = (1.08, 0.0, 0.08)$ ,  $C = (1.07, 0.002, 0.77)$ , and  $D = (1.06, 0.01, 0.065)$ .



# An F-theory $A_4$ model - Neutrinos



**Figure :** The figures show plots of two large neutrino mixing angles at their current best fit values. Left: Plot of  $\sin^2(\theta_{12}) = 0.306$ , Right: Plot of  $\sin^2(\theta_{23}) = 0.446$ . The curves have  $(Y_3, Z_1, Z_2)$  values set as follows:  $A = (1.08, 0.05, 0.02)$ ,  $B = (1.08, 0.0, 0.08)$ ,  $C = (1.07, 0.002, 0.77)$ , and  $D = (1.06, 0.01, 0.065)$ .

# An F-theory $A_4$ model - Neutrinos

Inputs				
$Y_1$	0.08	0.09	0.09	0.10
$Y_2$	1.09	1.10	1.10	1.11
$Y_3$	1.07	1.08	1.08	1.09
$Z_1$	0.01	0.01	0.00	0.01
$Z_2$	0.07	0.08	0.08	0.08
$m_0$	54.0meV	51.6meV	50.3meV	47.8meV
Outputs				
$\theta_{12}$	33.5	33.2	33.1	32.8
$\theta_{13}$	8.70	8.82	9.05	9.05
$\theta_{23}$	41.9	41.7	41.7	41.5
$m_1$	53.4meV	51.1meV	49.8meV	47.3meV
$m_2$	54.1meV	51.8meV	50.5meV	48.1meV
$m_3$	73.2meV	71.5meV	70.8meV	69.1meV

**Table :** Table of Benchmark values in the Parameter space, where all experimental constraints are satisfied within errors. These point are samples of the space of all possible points, where we assume  $\theta_{23}$  is in the first octant. All inputs are given to two decimal places, while the outputs are given to 3s.f.

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- Model prefers first octant  $\theta_{23}$
- The absolute scale for the neutrino mass is  $\geq 40meV$ , with most values being  $\sim 50meV$
- The sum of neutrino masses is predicted to be  $< 200meV$

# Concluding Remarks

- F-theory provides a natural framework to generate discrete groups
- The  $SU(5) \times A_4$  model discussed is able to match known neutrino parameters, while also predicting an absolute mass scale for neutrinos of about  $m_1 > 45\text{meV}$
- The model insists upon a normal ordered hierarchy, with  $\theta_{23}$  in the first octant
- In our next work we plan to examine  $D_4$  models in F-theory



- 1 Discrete Family Symmetry from F-Theory GUTs - A.Karozas, S.F.King, G.K.Leontaris, *AM. ArXiv:1406.6290*
- 2 Neutrino mass textures from F-theory - I. Antoniadis and G. K. Leontaris, *Eur. Phys. J. C 73 (2013) 2670 [arXiv:1308.1581 [hep-th]]*.
- 3 Aspects of F-Theory GUTs - G.K.Leontaris,

Elliptically fibred spaces are described by the Weierstrass equation:

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6 \quad (8)$$

Since we are assuming an  $SU(5)$  unifying symmetry, the coefficients of the Weierstrass equation are constrained by the Kodaira classification of elliptic fibration to have vanishing orders:

$$a_1 = -b_5, a_2 = b_4z, a_3 = -b_3z^2, a_4 = b_2z^3, a_6 = b_0z^5.$$

The resulting equation can be simplified to the so-called spectral cover equation by means of well chosen homogenous coordinates ( $z \rightarrow U, x \rightarrow V^2, y \rightarrow V^3$ ), which may be written in terms of an affine parameter,  $s = \frac{U}{V}$ :

$$\mathcal{C}_5 : b_5 + b_4s + b_3s^2 + b_2s^3 + b_1s^4 + b_0s^5 \quad (9)$$

Monodromy groups are discrete groups that **relate the roots** of the spectral cover equation. These are required by F-theory in order to allow for a tree level Top quark Yukawa:

$$5_H \times 10_M \times 10_M$$
$$t_j + t_k - 2t_i = 0$$

In order for the charges to cancel, two of the weights must be identified by some monodromy action -  $Z_2$  being the minimal case. This amounts to requiring that two of the roots of the spectral cover equation must not factorise:

$$(a_1 + a_2s + a_3s^2)(a_4 + a_5s)(a_6 + a_7s)(a_8 + a_9s) = 0$$

The monodromy is best understood by closely examining the quadratic part of the factorised spectral cover:

$$(a_1 + a_2s + a_3s^2) = 0$$
$$s_{\pm} = \frac{-a_2 \pm \sqrt{a_2^2 - 4a_1a_3}}{2a_3}$$

we see that since  $\sqrt{a_2^2 - 4a_1a_3} = e^{i\theta/2} \sqrt{|a_2^2 - 4a_1a_3|}$ , under  $\theta \rightarrow \theta + 2\pi$ , the two solutions interchange.

Since we do not know anything about the global geometry, in semi-local F-theory we must choose our monodromy group.

# An F-theory $A_4$ model - Rank Theorem

Rank theorem (*arXiv: 0811.2417*) - In F-theory, the Yukawa couplings of quarks and leptons to the Higgs are given by triple overlap integral:

$$\lambda^{ij} = \int_S \Lambda \Psi^i \Phi^j,$$

over their intersection in the GUT surface -  $S$ . Since this constitutes points of intersections ( $p$ ), it amounts to a sum of the products of the wavefunctions at those points,

$$\lambda^{ij} = \sum_p \Lambda(p) \Psi^i(p) \Phi^j(p).$$

The triple-intersection points of interest are those corresponding to the superpotential terms  $10_M \cdot 10_M \cdot 5_{H_u}$  and  $10_M \cdot \bar{5}_M \cdot \bar{5}_{H_d}$ . Multiple triple-intersection points may exist. However, the minimal case will only have **one intersection** and so only **one Yukawa interaction**. We expect the sub-matrix of Yukawa interactions to have only one non-zero entry and as such to be trivially **rank one**.

# Extra Stuff - Table of Operators

Coupling type	Generations	Full coupling
Top-type	Third generation Third-First/Second generation  First/Second generation	$T_3 \cdot T_3 \cdot H_u \cdot \theta_a$ $T \cdot T_3 \cdot H_u \cdot \theta_a \cdot \theta_b$ $T \cdot T_3 \cdot H_u \cdot (\theta_a)^2$ $T \cdot T \cdot H_u \cdot \theta_a \cdot (\theta_b)^2$ $T \cdot T \cdot H_u \cdot (\theta_a)^2 \cdot \theta_b$ $T \cdot T \cdot H_u \cdot (\theta_a)^3$
Bottom-type/Charged Leptons	Third generation  First/Second generation	$F \cdot H_d \cdot T_3$ $F \cdot H_d \cdot T_3 \cdot \theta_d$ $F \cdot H_d \cdot T \cdot \theta_b$ $F \cdot H_d \cdot T \cdot \theta_a$ $F \cdot H_d \cdot T \cdot \theta_a \cdot \theta_d$ $F \cdot H_d \cdot T \cdot \theta_b \cdot \theta_d$
Neutrinos	Dirac-type mass  Right-handed neutrinos	$\theta_c \cdot F \cdot H_u \cdot \theta_a$ $\theta_c \cdot F \cdot H_u \cdot \theta_a \cdot \theta_d$ $\theta_c \cdot F \cdot H_u \cdot \theta_b$ $\theta_c \cdot F \cdot H_u \cdot \theta_b \cdot \theta_d$ $M\theta_c \cdot \theta_c$ $(\theta_d)^n \cdot \theta_c \cdot \theta_c$

Table : Table of all mass operators for  $N = 0$  model.

The generators for the triplets of  $A_4$  are:

$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad T = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

The bases of the triplets are such that for two triplet  $3_a = (a_1, a_2, a_3)^T$  and  $3_b = (b_1, b_2, b_3)^T$  the product of those triplet  $3_a \times 3_b = 1 + 1' + 1'' + 3_1 + 3_2$ , behaves as:

$$1 = a_1 b_2 + a_2 b_2 + a_3 b_3$$

$$1' = a_1 b_2 + \omega a_2 b_2 + \omega^2 a_3 b_3$$

$$1'' = a_1 b_2 + \omega^2 a_2 b_2 + \omega a_3 b_3$$

$$3_1 = (a_2 b_3, a_3 b_1, a_1 b_2)^T$$

$$3_2 = (a_3 b_2, a_1 b_3, a_2 b_1)^T$$