

Perturbative QCD, CSS/TMD resummation and non-perturbative aspects in SIDIS processes

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Outline

✓ **Resummation in SIDIS**

- *The resummed W-term*
- *The regular Y-term*

✓ **Matching prescriptions**

- *Non-perturbative contributions to the Sudakov factor*
- *Dependence of the total cross section on the b_{\max} parameter*
- *Y-term matching*
- ✓ *Matching with the inclusion of non-perturbative contributions*

✓ **Practical implementations for 3 kinematical configurations**

- *high energy and large Q^2*
- *HERA-like*
- *COMPASS-like*

✓ **Conclusions and outlook**

Resummation of large logarithms

- ✓ Calculating a cross section which describes a hadronic process over the whole q_T range is a highly non-trivial task
- ✓ It requires a proper treatment of the non-perturbative regime and the resummation of large logarithms, in the limit $q_T \ll Q$, arising from emission of soft and collinear gluons
- ✓ **Collins - Soper - Sterman (CSS) resummation** (\Rightarrow **TMD formalism**)

Nucl. Phys. B250, 199 (1985)

Phys. Rev. D83, 114042 (2011)

$$\frac{1}{\sigma_0} \frac{d\sigma}{dQ^2 dy dq_T^2} = X_{div}(q_T) + Y_{reg}(q_T)$$

Divergent part for $q_T \rightarrow 0$
contains terms proportional
to (Q^2/q_T^2) and $(Q^2/q_T^2)\ln(Q^2/q_T^2)$
MUST BE RESUMMED

Regular part for $q_T \rightarrow 0$
contains terms less singular than
 (Q^2/q_T^2) , i.e. terms that are at most
logarithmically divergent as $q_T \rightarrow 0$

CSS in SIDIS

$$\frac{d\sigma^{total}}{dx dy dz dq_T^2} = \pi\sigma_0^{DIS} \int \frac{d^2\mathbf{b}_T e^{i\mathbf{q}_T \cdot \mathbf{b}_T}}{(2\pi)^2} W^{SIDIS}(x, z, b_T, Q) + Y^{SIDIS}(x, z, q_T, Q)$$

Resummed part

Regular part

$$W^{SIDIS}(x, z, b_T, Q) = \exp[S_{pert}(b_T, Q)] \sum_j e_j^2 \sum_{i,k} C_{ji}^{in} \otimes f_i(x, \mu_b^2) C_{kj}^{out} \otimes D_k(z, \mu_b^2),$$

PDFs convoluted with Wilson Coefficients

$$C \otimes f(x) \equiv \int_x^1 \frac{d\hat{x}}{\hat{x}} C\left(\frac{x}{\hat{x}}\right) f(\hat{x})$$

$$C(x, \alpha_s(\mu_b)) = \sum_{n=0}^{\infty} \left(\frac{\alpha_s(\mu_b)}{\pi} \right)^n C^{(n)}(x)$$

CSS in SIDIS

$$\frac{d\sigma^{total}}{dx dy dz dq_T^2} = \pi\sigma_0^{DIS} \int \frac{d^2\mathbf{b}_T e^{i\mathbf{q}_T \cdot \mathbf{b}_T}}{(2\pi)^2} W^{SIDIS}(x, z, b_T, Q) + Y^{SIDIS}(x, z, q_T, Q)$$

Resummed part

Regular part

$$W^{SIDIS}(x, z, b_T, Q) = \exp[S_{pert}(b_T, Q)] \sum_j e_j^2 \sum_{i,k} C_{ji}^{in} \otimes f_i(x, \mu_b^2) C_{kj}^{out} \otimes D_k(z, \mu_b^2),$$

Sudakov factor

$$S_{pert}(b_T, Q) = - \int_{\mu_b^2}^{Q^2} \frac{d\mu^2}{\mu^2} \left[A(\alpha_s(\mu)) \ln \left(\frac{Q^2}{\mu^2} \right) + B(\alpha_s(\mu)) \right]$$

$$A(\alpha_s(\mu)) = \sum_{n=1}^{\infty} \left(\frac{\alpha_s}{\pi} \right)^n A^{(n)}$$

$$B(\alpha_s(\mu)) = \sum_{n=1}^{\infty} \left(\frac{\alpha_s}{\pi} \right)^n B^{(n)}$$

Leading Log (LL) : $A^{(1)}$;

Next to LL (NLL) : $A^{(2)}, B^{(1)}, C^{(1)}$;

Next to NLL (NNLL) : $A^{(3)}, B^{(2)}, C^{(2)}$;

Fixed order α_s (FXO) : $A^{(1)}, B^{(1)}, C^{(1)}$;

CSS in SIDIS

$$\frac{d\sigma^{total}}{dx dy dz dq_T^2} = \pi\sigma_0^{DIS} \int \frac{d^2\mathbf{b}_T e^{i\mathbf{q}_T \cdot \mathbf{b}_T}}{(2\pi)^2} W^{SIDIS}(x, z, b_T, Q) + Y^{SIDIS}(x, z, q_T, Q)$$

$$W^{SIDIS}(x, z, b_T, Q) = \exp[S_{pert}(b_T, Q)] \sum_j e_j^2 \sum_{i,k} C_{ji}^{in} \otimes f_i(x, \mu_b^2) C_{kj}^{out} \otimes D_k(z, \mu_b^2),$$

- The resummed cross section, W , does not describe the whole q_T range.
It sums all known logarithmic terms dominating the low q_T region, but does not take into account the full fixed order (NLO) corrections, which are important at large q_T values.

- Because of the oscillatory nature of the Fourier integrand, W may become **negative** (i.e. unphysical) at large q_T

Warning: here NLO means first order in α_s of the collinear QCD cross section

- For a consistent description over the whole q_T range we need to **MATCH** the resummed cross section with the NLO (fixed order) cross section

The Y factor and the asymptotic part

$$\frac{d\sigma}{dx dz dQ^2 d^2 q_T} = \sigma_0^{SIDIS} \left\{ \int \frac{d^2 \mathbf{b}_T e^{i \mathbf{q}_T \cdot \mathbf{b}_T}}{(2\pi)^2} \sum_j e_j^2 W_j^{SIDIS}(x, z, b_T, Q) + Y^{SIDIS} \right\}$$

$$\frac{d\sigma^{NLO}}{dx dy dz dq_T^2} = \frac{d\sigma^{ASY}}{dx dy dz dq_T^2} + Y$$

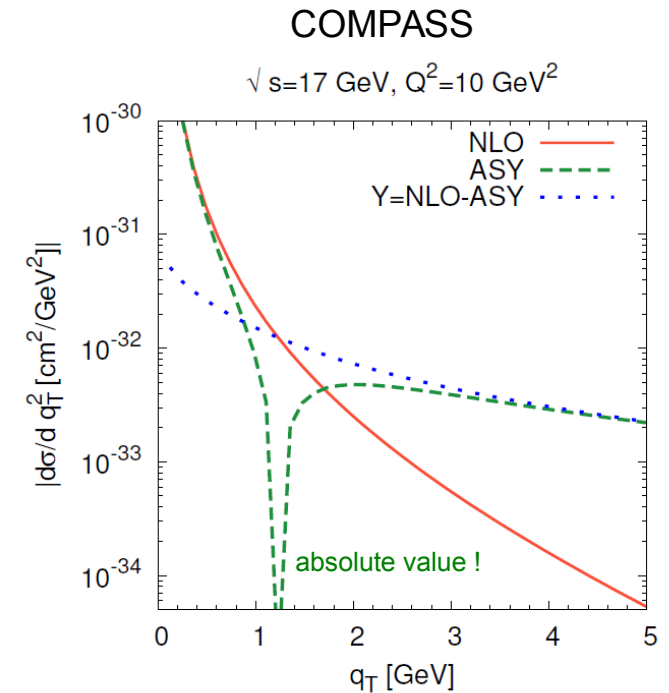
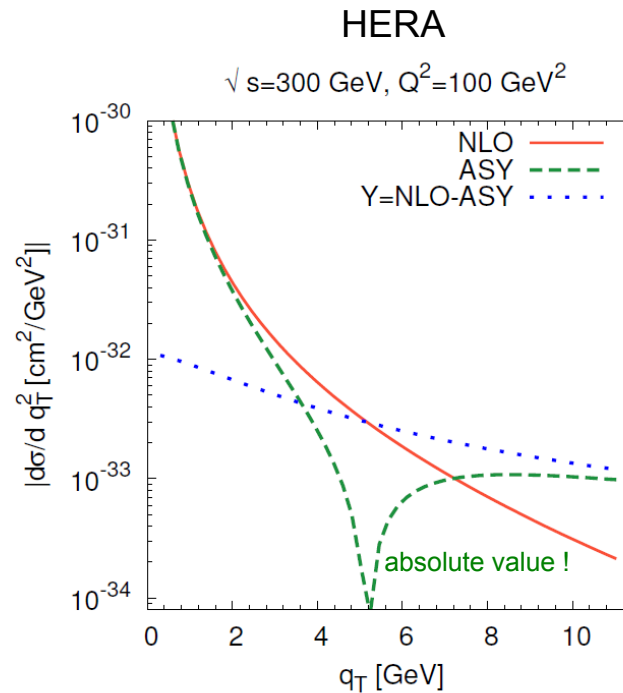
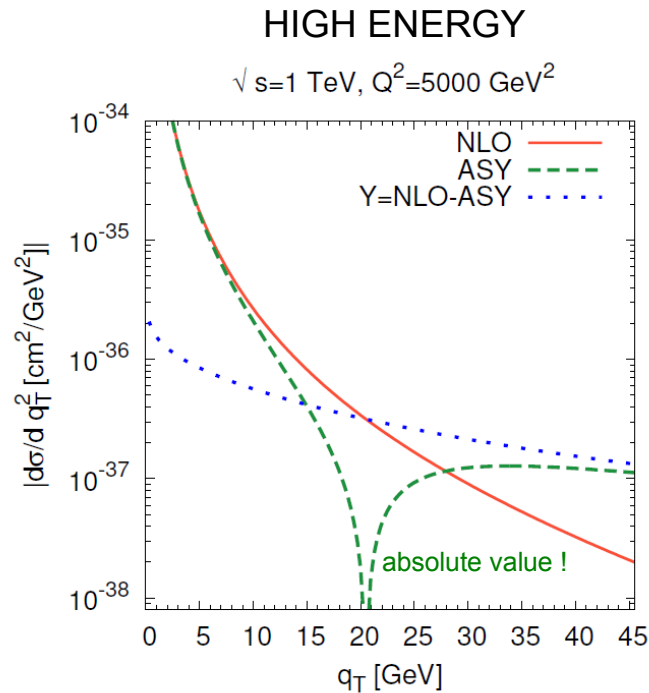
Warning: here NLO means first order in α_s of the collinear QCD cross section

$$Y = NLO - ASY$$

$$\begin{aligned} & \frac{d^5 \sigma^{\text{asympt}}}{dQ^2 dx_{bj} dz_f dq_T^2 d\phi} \\ &= \frac{\alpha_{em}^2 \alpha_s}{8\pi x_{bj}^2 S_{ep}^2 Q^2} \mathcal{A}_1 \frac{2Q^2}{q_T^2} \sum_{q, \bar{q}} e_q^2 \left[2f_q(x_{bj}, \mu) D_q(z_f, \mu) \left(C_F \ln\left(\frac{Q^2}{q_T^2}\right) - \frac{3}{2} C_F \right) \right. \\ & \quad + \{f_q(x_{bj}, \mu) \otimes P_{qq}^{\text{in},(0)} + f_g(x_{bj}, \mu) \otimes P_{qg}^{\text{in},(0)}\} D_q(z_f, \mu) \\ & \quad \left. + f_q(x_{bj}, \mu) \{P_{qq}^{\text{out},(0)} \otimes D_q(z_f, \mu) + P_{gq}^{\text{out},(0)} \otimes D_g(z_f, \mu)\} \right], \end{aligned}$$

$$ASY = Q^2/q_T^2 [A \ln(Q^2/q_T^2) + B]$$

The Y factor and the asymptotic part



As ASY becomes negative (i.e. unphysical) at large q_T ,
 $Y = \text{NLO} - \text{ASY}$ can become much larger than NLO

WARNING: the Y -term does not tend to zero at small q_T ,
where ASY and NLO seem to be very close, as Y still
contains terms $\sim \log(1/q_T)$, which become large at small q_T .

Matching with the Y-factor

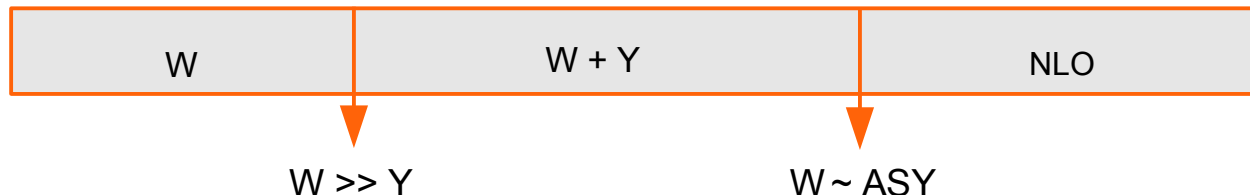
$$\frac{d\sigma}{dxdzdQ^2d^2q_T} = \sigma_0^{SIDIS} \left\{ \int \frac{d^2b_T e^{i\mathbf{q}_T \cdot \mathbf{b}_T}}{(2\pi)^2} \sum_j e_j^2 W_j^{SIDIS}(x, z, b_T, Q) + Y^{SIDIS} \right\}$$

$Y = \text{NLO} - \text{ASY}$

At small q_T , if $W \gg Y$ then
 $\mathbf{W} + \mathbf{Y} \rightarrow \mathbf{W}$

At $q_T \sim Q$, if $W \rightarrow \text{ASY}$ then
 $\mathbf{W} + \mathbf{Y} \rightarrow \text{ASY} + \text{NLO} - \text{ASY} = \mathbf{NLO}$

**This prescription provides a smooth matching only
 when $W \rightarrow \text{ASY}$ over a sufficiently large q_T region**



Does a kinematical range in which $W \sim ASY$ exist ?

- ✓ Before we can answer this question we should worry about the non-perturbative contributions to the Sudakov factor

Non perturbative contributions

$$\frac{d\sigma^{total}}{dx dy dz dq_T^2} = \pi\sigma_0^{DIS} \int_0^\infty \frac{db_T b_T}{(2\pi)} J_0(q_T b_T) W^{SIDIS}(x, z, b_*, Q) \exp[S_{NP}(x, z, b_T, Q)] + Y(x, z, q_T, Q),$$

- ✓ W, the perturbative part of the Sudakov factor, is a function of b^*

$$b_* = \frac{b_T}{\sqrt{1 + b_T^2/b_{max}^2}} \quad \mu_b = C_1/b_*$$

- ✓ S_{NP} , the non-perturbative part of the Sudakov factor, accounts for the **non-perturbative** behavior at large b_T (i.e. small q_T)

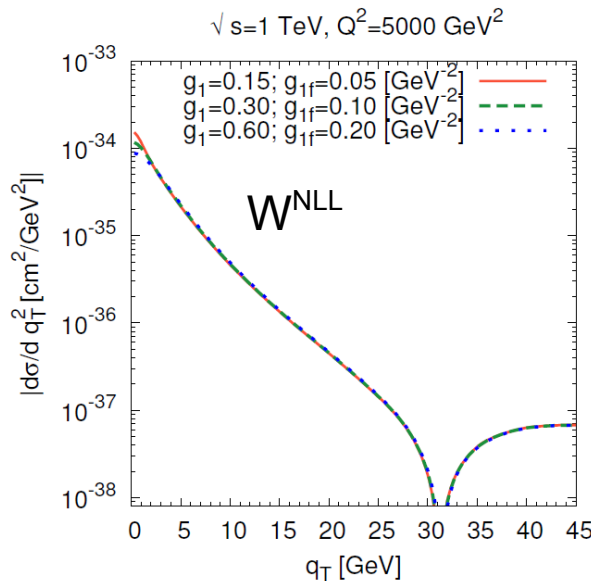
- ✓ Just for illustration, let's consider a simple (Gaussian) model for S_{NP}

$$S_{NP} = \left(-\frac{g_1}{2} - \frac{g_1 f}{2z^2} - g_2 \ln \left(\frac{Q}{Q_0} \right) \right) b_T^2$$

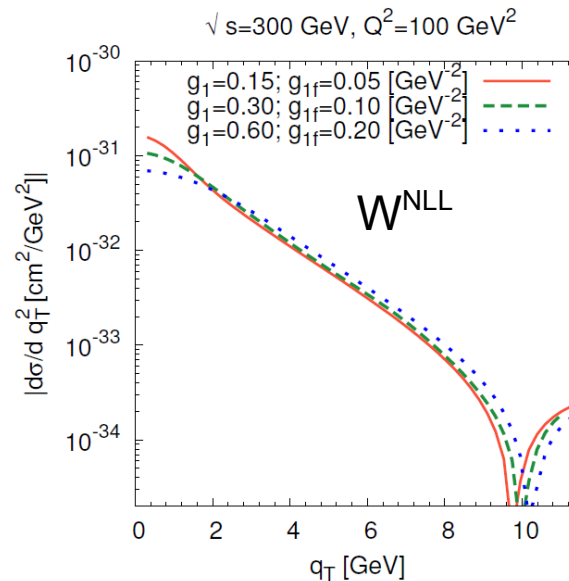
Non perturbative contributions

$$W^{NLL} = \pi \sigma_0^{DIS} \int_0^\infty \frac{db_T b_T}{(2\pi)} J_0(q_T b_T) W^{SIDIS}(x, z, b_*, Q) \exp[S_{NP}(x, z, b_T, Q)]$$

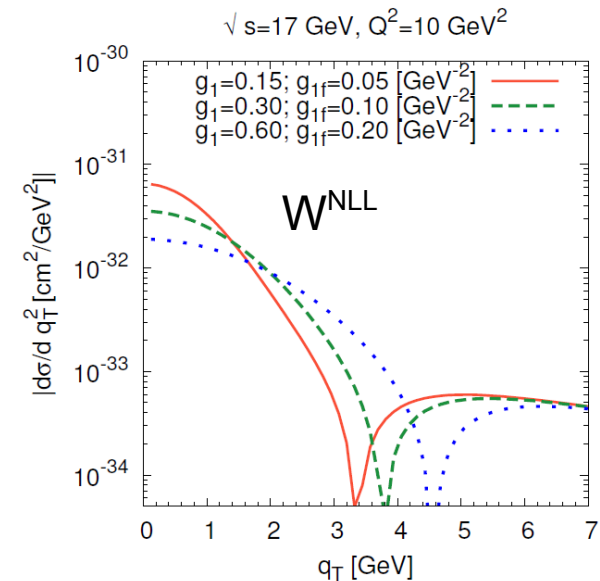
- ✓ S_{NP} takes into account the **non-perturbative** behavior at large b_T (\rightarrow small q_T)



- ✓ The dependence on the parameters of S_{NP} is limited to the small q_T region
- ✓ The three curves change sign at the same q_T

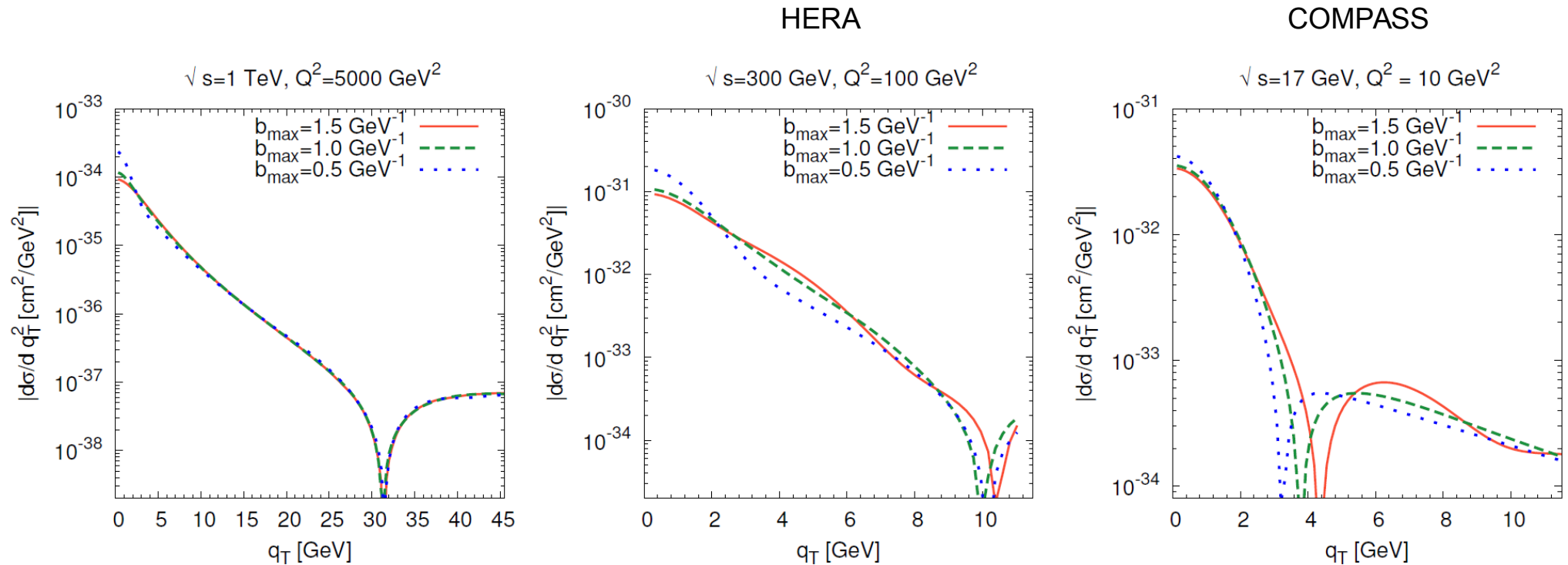


- ✓ The dependence on the parameters of S_{NP} stretches to the whole q_T region
- ✓ The three curves change sign at slightly different values of q_T



- ✓ S_{NP} induces a **VERY STRONG** dependence on the parameters of the non-perturbative model
- ✓ The three curves change sign at **very different** values of q_T

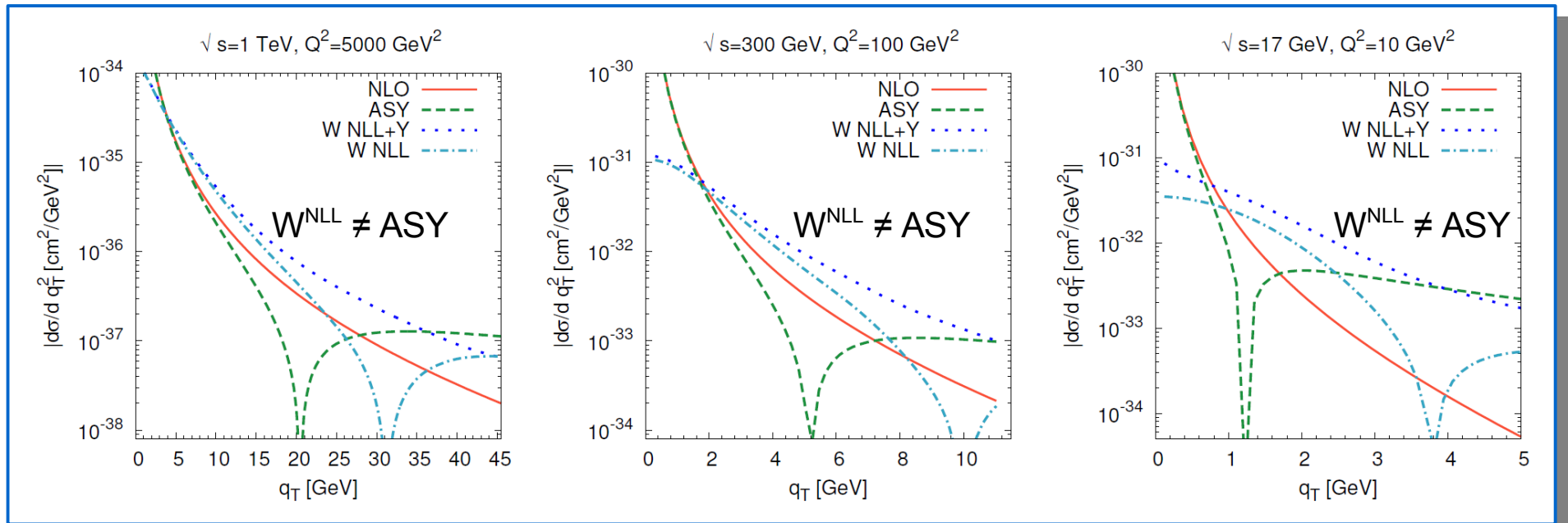
Dipendence on the b_{\max} parameter



- ✓ The dependence on b_{\max} is limited to the small q_T region
- ✓ The three curves change sign at the same q_T

- ✓ **VERY STRONG** dependence on b_{\max}
- ✓ The three curves change sign at **very different** values of q_T

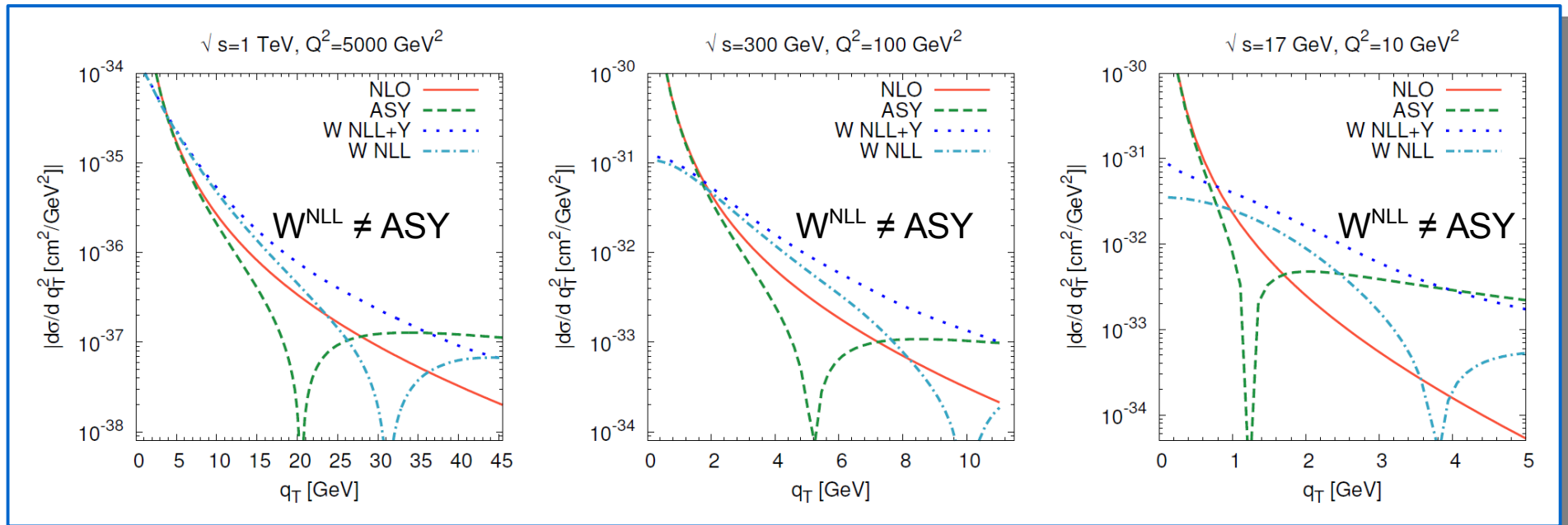
Interplay between perturbative and non-perturbative contributions



At $q_T \sim Q$, if $W^{\mathrm{NLL}} \rightarrow \mathrm{ASY}$ then $W^{\mathrm{NLL}} + Y \rightarrow \mathrm{NLO}$
 At small q_T , if $Y \ll W^{\mathrm{NLL}}$ then $\mathrm{ASY} \rightarrow W^{\mathrm{NLL}+Y} \rightarrow W^{\mathrm{NLL}}$

- ✓ Notice that ASY and W become negative at different values of q_T
- ✓ Y can become large compared to W^{NLL} and $Y \ll W^{\mathrm{NLL}}$ at small q_T
- ✓ The q_T values at which ASY and W become negative depend strongly on the considered kinematics

Interplay between perturbative and non-perturbative contributions



At $q_T \sim Q$, if $W^{\mathrm{NLL}} \rightarrow \mathrm{ASY}$ then $W^{\mathrm{NLL}} + Y \rightarrow \mathrm{NLO}$
 At small q_T , if $Y \ll W^{\mathrm{NLL}}$ then $\mathrm{ASY} \rightarrow W^{\mathrm{NLL}} + Y \rightarrow W^{\mathrm{NLL}}$

IS ANY MATCHING POSSIBLE ???

Fixed order cross section

The fact that $W^{\text{NLL}} \neq \text{ASY}$ is (partly) due to non-perturbative contributions
Therefore, instead of setting $d\sigma = W^{\text{NLL}} + Y$, let's try a different matching prescription which takes into account the non-perturbative content of the Sudakov factor

$$d\sigma = W^{\text{NLL}} - W^{\text{FXO}} + \text{NLO}$$

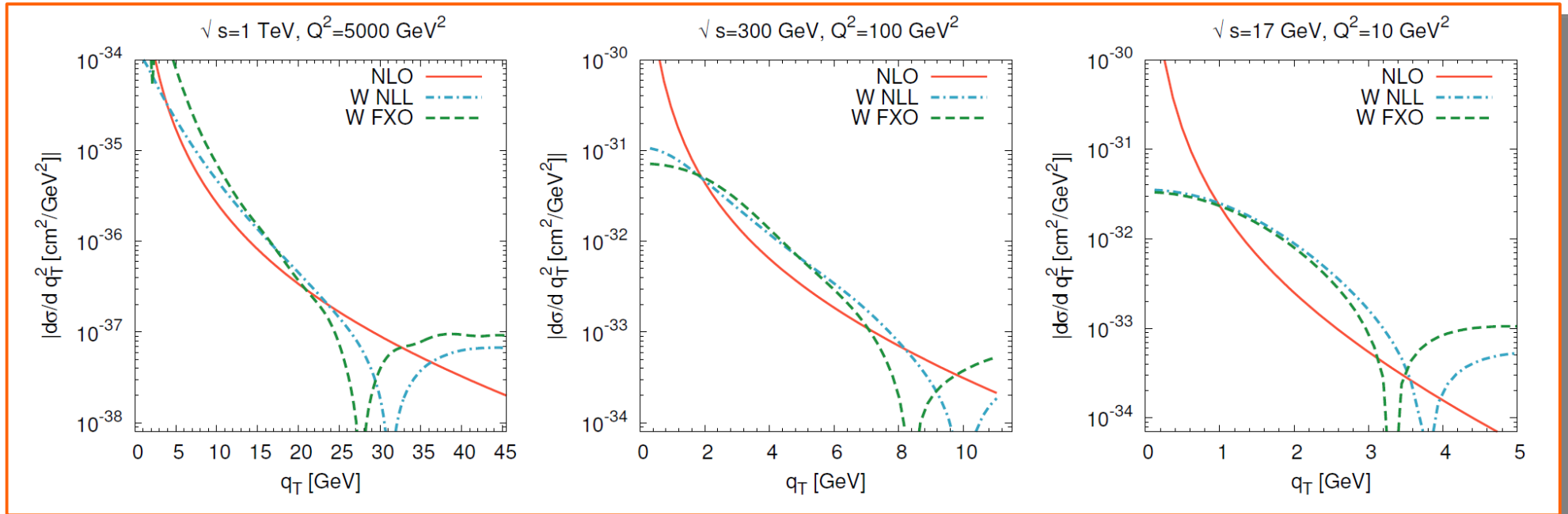
- ✓ W^{FXO} is the NLL resummed cross section approximated at first order in α_s , with a first order expansion of the Sudakov exponential, $\exp [S_{\text{pert}}(b_*)] \rightarrow 1 + S_{\text{pert}}(b_*)$

■ In principle, in the absence of non-perturbative content and in the limit $b_T \rightarrow 0$ (and $q_T \rightarrow \infty$) then one can show that $W^{\text{FXO}} \rightarrow \text{ASY}$, so that when this happens this matching prescription reduces to the Y-term procedure

- ✓ In general W^{FXO} contains the same non-perturbative content as that we give to W^{NLL}

Therefore, with this prescription we might be able to find kinematical regions in which $W^{\text{FXO}} \sim W^{\text{NLL}}$

Matching with the inclusion of non-perturbative contributions



At 1 TeV and at HERA there are regions in which W^{FXO} and W^{NLL} are crossing (although not at $q_T \sim Q$!)

W^{NLL} does not tend to W^{FXO} asymptotically

No continuous and smooth matching can be performed.

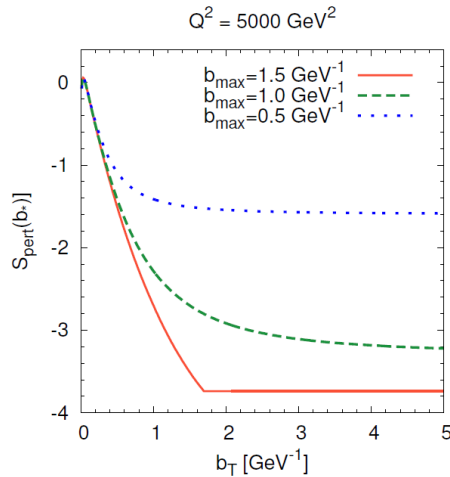
At Compass the non-perturbative regime dominates the whole cross section

W^{NLL} and W^{FXO} never cross

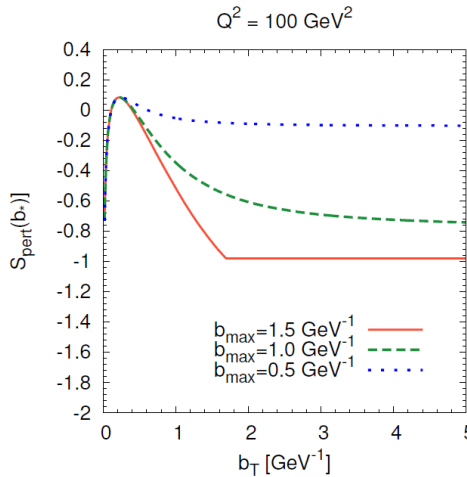
NO MATCHING whatsoever

***Why does the
matching fail ?***

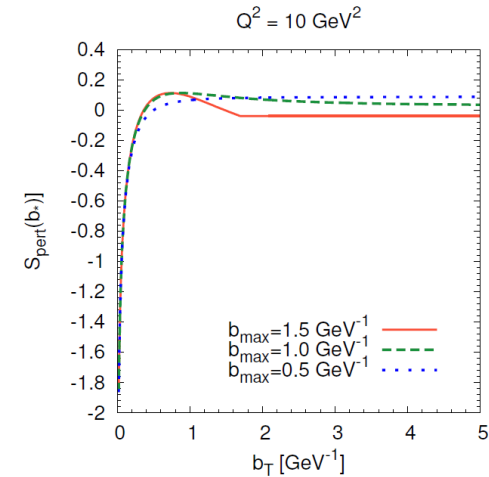
b_T behaviour of the perturbative Sudakov factor



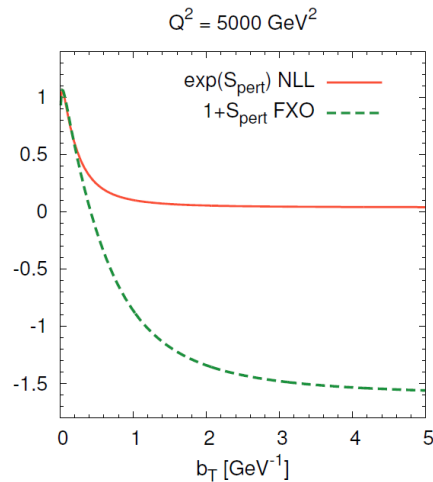
The Sudakov factor is small only over a very limited range of small b_T



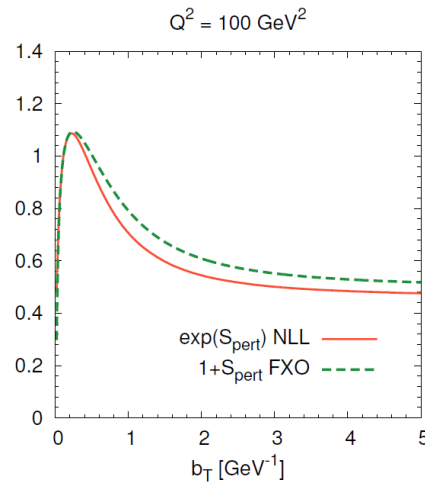
The Sudakov factor is small only over a very limited range of intermediate b_T



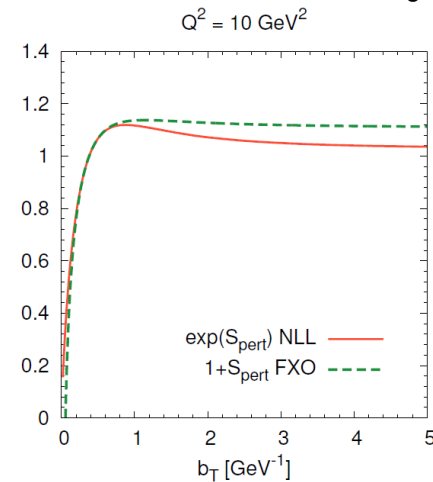
S is very large at small b_T , small at intermediate b_T values and it gets frozen before it can become large again



At 1 TeV the perturbative fixed order expansion of the Sudakov exponential breaks down

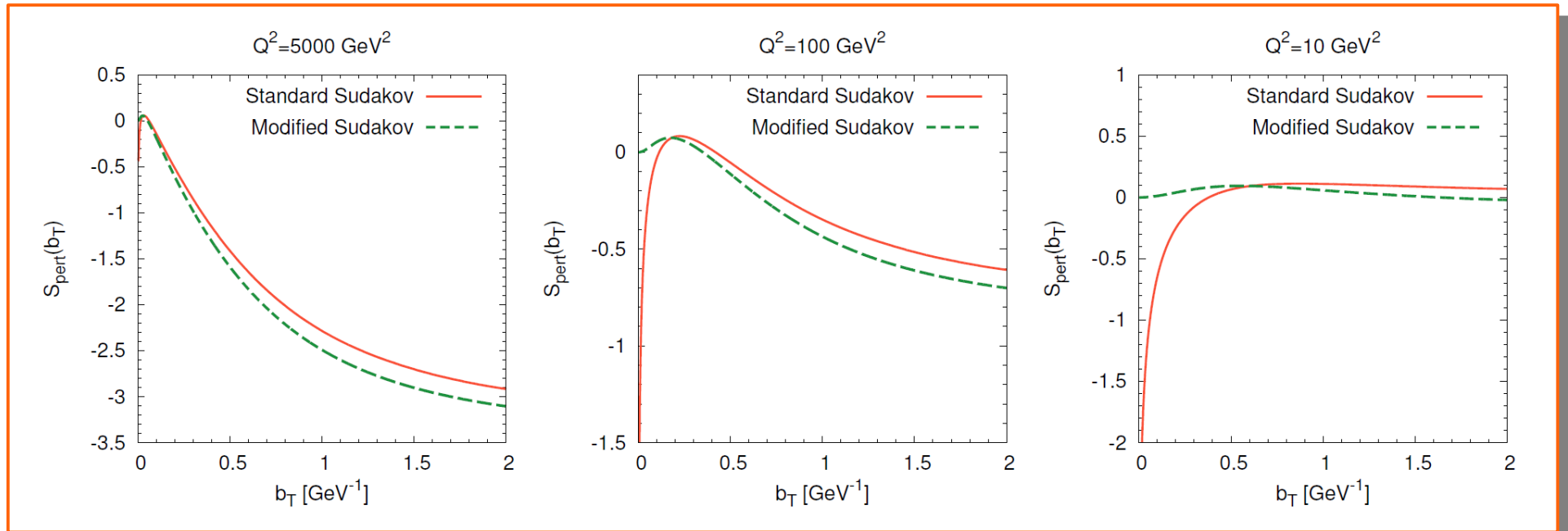


$$\exp[S_{pert}^{NLL}] \rightarrow \exp[S_{pert}^{FXO}] \rightarrow 1 + S_{pert}^{FXO}$$



At COMPASS both the Sudakov and its perturbative expansion are unphysically enhanced (> 1)

b_T behaviour of the *modified* perturbative Sudakov factor



The unphysical behaviour of the perturbative Sudakov factor at $b_T \rightarrow 0$ can be corrected by replacing

$$\log(Q^2/\mu_b^2) \rightarrow \log(1 + Q^2/\mu_b^2)$$

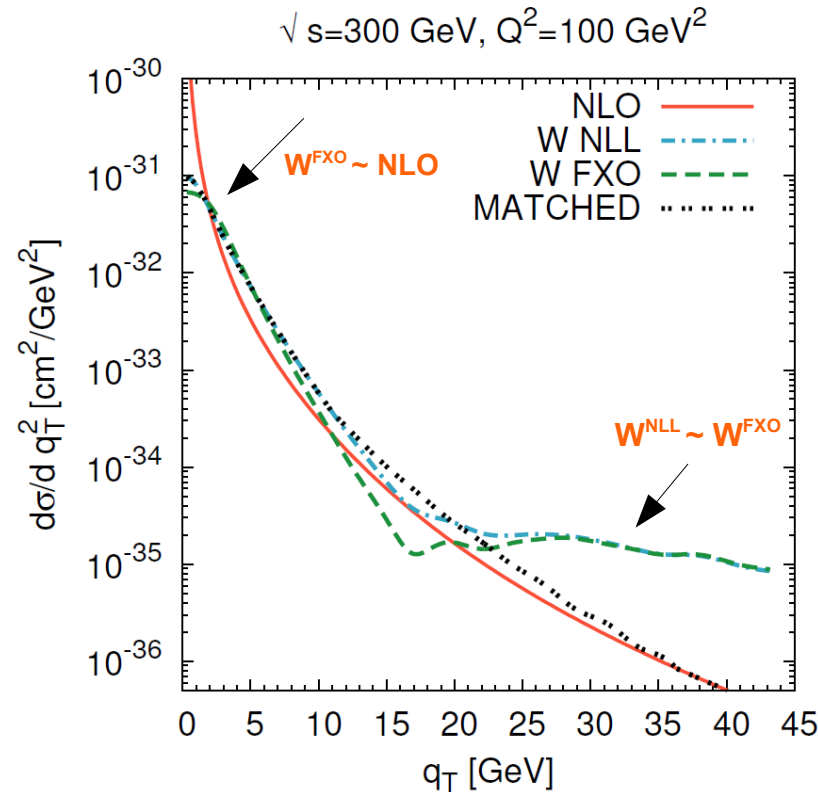
***Can we finally obtain a
successful matching ?***

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successful matching ?***

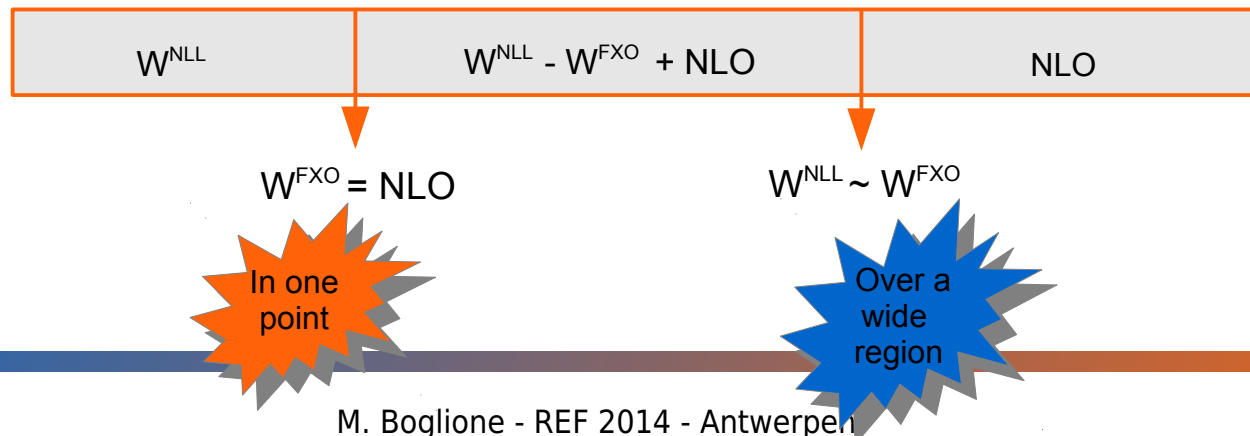
In general the answer is NO

HERA

A case when this matching works ... *roughly*

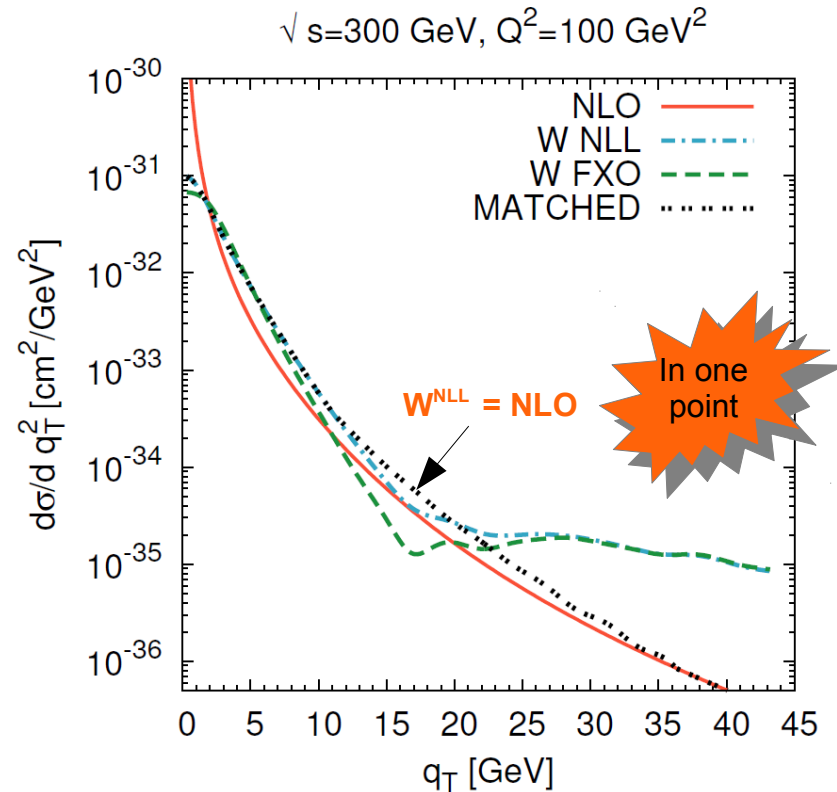


Here W^{NLL} and W^{FXO} are roughly the same over a range wide enough to allow for a safe matching



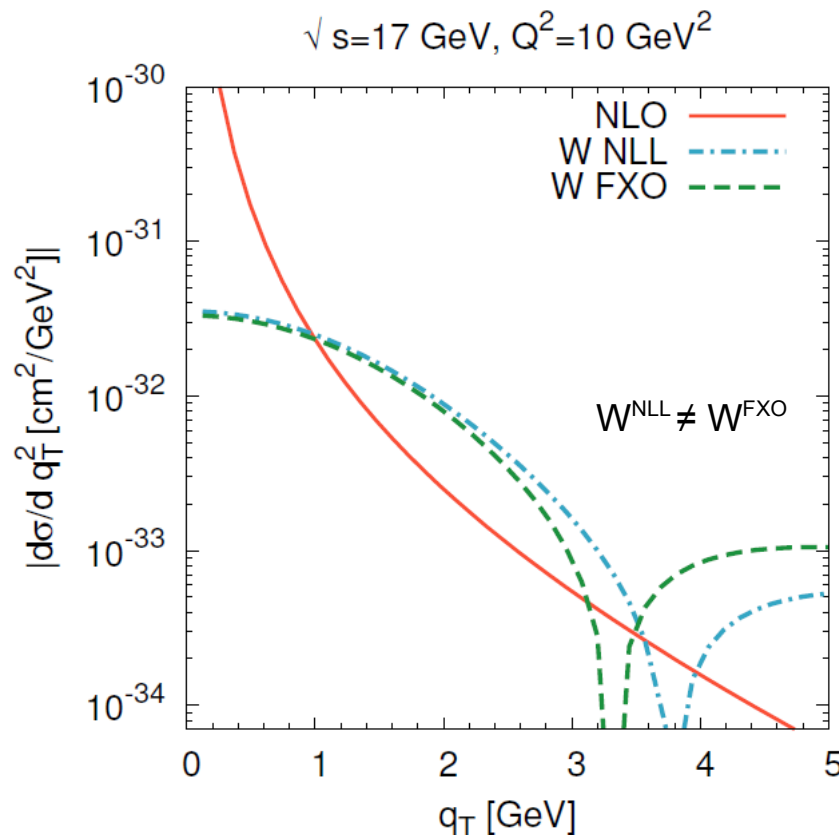
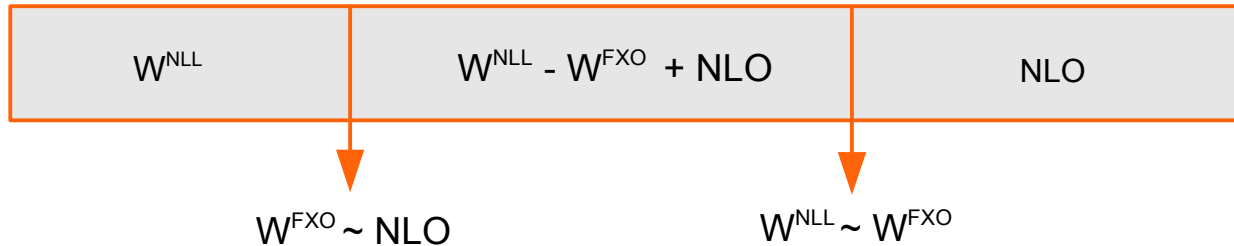
HERA

A case when this matching works ... *roughly*



Notice that one could join W^{NLL} (blue line) directly to NLO (red line) at $q_T \sim 15 - 16 \text{ GeV}$

COMPASS ... a case when the matching does not work



At $q_T \sim Q$ the curves are far from each other, they have different curvatures and they never cross

✗ No way to realize a smooth matching, without jumps and cusps.

Results

- ✓ *We studied the matching between the region where fixed order perturbative QCD can successfully be applied and the regions where soft gluon resummation is necessary.*
- ✓ *We found that the commonly used matching prescription through the Y-factor fails in the kinematical configurations considered.*
- ✓ *The non-perturbative component of the resummed cross section plays a crucial role even at high energies.*
- ✓ *The perturbative expansion of the resummed cross section in the matching region is not as reliable as it is usually believed and its treatment requires special attention.*

Conclusions

- ✓ Resummation in the impact parameter b_T space is a very powerful tool. However, it's successful implementation is affected by a number of practical difficulties (the kinematics of the process, the parameters used to model the non-perturbative content of the SIDIS cross section, etc ...).
- ✓ Performing phenomenological studies in the b_T space is rather difficult, as we loose the direct connection of our inputs to the exact outcome in the conjugate q_T space.

It becomes hard to define the boundaries of the three regions of interest:

$$q_T \sim \lambda_{QCD} \ll Q, \quad \lambda_{QCD} \ll q_T \ll Q, \quad q_T \sim Q, \quad q_T > Q.$$

- ✓ Matching prescriptions have to be applied to achieve a reliable description of the SIDIS process over the full q_T range, going smoothly from one region to the following.

Back up

Non perturbative contributions

$$\frac{d\sigma}{dx dz dQ^2 d^2 q_T} = \sigma_0^{SIDIS} \left\{ \int \frac{d^2 \mathbf{b}_T e^{i \mathbf{q}_T \cdot \mathbf{b}_T}}{(2\pi)^2} \sum_j e_j^2 W_j^{SIDIS}(x, z, b_T, Q) + Y^{SIDIS} \right\}$$

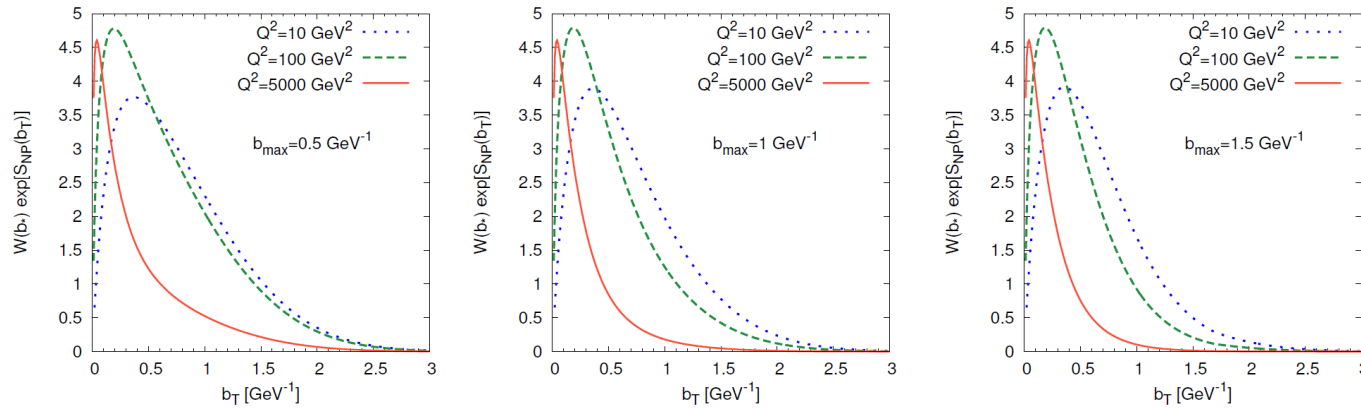
- The CSS formalism relies on a Fourier integral which runs from 0 to ∞
No prediction can be made without an ansatz prescription for the non-perturbative region, where b_T is large (and q_T is small \mathcal{J}).
- The Sudakov factor diverges at large b_T

$$S_j(b_T, Q) = \int_{C_1^2/b_T^2}^{Q^2} \frac{d\kappa^2}{\kappa^2} \left[A_j(\alpha_s(\kappa)) \ln \left(\frac{Q^2}{\kappa^2} \right) + B_j(\alpha_s(\kappa)) \right]$$

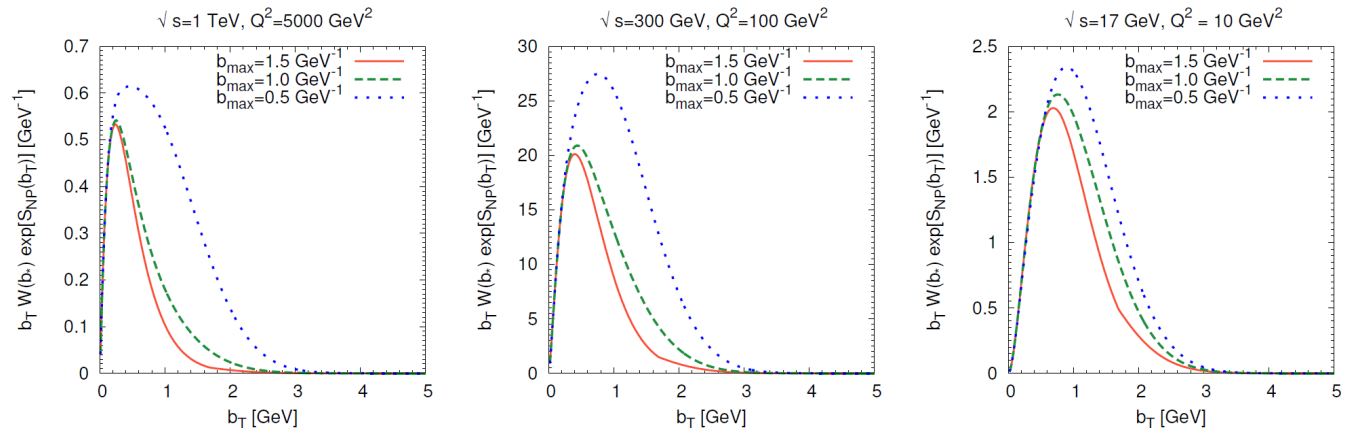
- In the CSS scheme a prescription is used to avoid entering the non-perturbative region, such that

$$b_* = \frac{b_T}{\sqrt{1 + b_T^2/b_{max}^2}} \quad \mu_b = C_1/b_*$$

b_T behaviour of the integrand



These b_T distributions become increasingly peaked and narrow as Q^2 grows, reflecting the dominance of smaller and smaller b_T contributions at growing energies and Q^2



At each fixed kinematical configuration, the peak moves towards larger values as b_{\max} decreases, reflecting the fact that the distributions obtained from large b_{\max} die faster in b_T , as non-perturbative contributions set in at larger values of b_T