

Supersymmetry 2015
Lake Tahoe

Advances in F-theory Constructions of Particle Physics

Mirjam Cvetič



Outline:

- I. Motivation: F-theory & Particle Physics
- II. Key Ingredients of F-theory Compactification
(non-Abelian gauge symmetry, matter, Yukawas)
- III. Particle Physics Model Building
(highlight concrete example of MSSM)
- IV. Further Developments:
Abelian & Discrete Symmetries in F-theory

Emphasize geometric perspective

Apologies: UPenn-centric

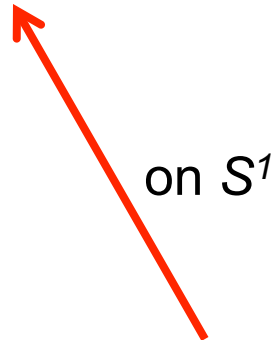
F-theory?

F-theory	=	Type II String
<ul style="list-style-type: none">• Coupling g_s part of geometry (12dim)• Torus fibered Calabi-Yau manifold		<ul style="list-style-type: none">• back-reacted D-branes• regions with large g_s on non-CY space

g_s –string coupling

F-theory?

M-theory (11dim SG)



F-theory

- Coupling g_s part of geometry (12dim)

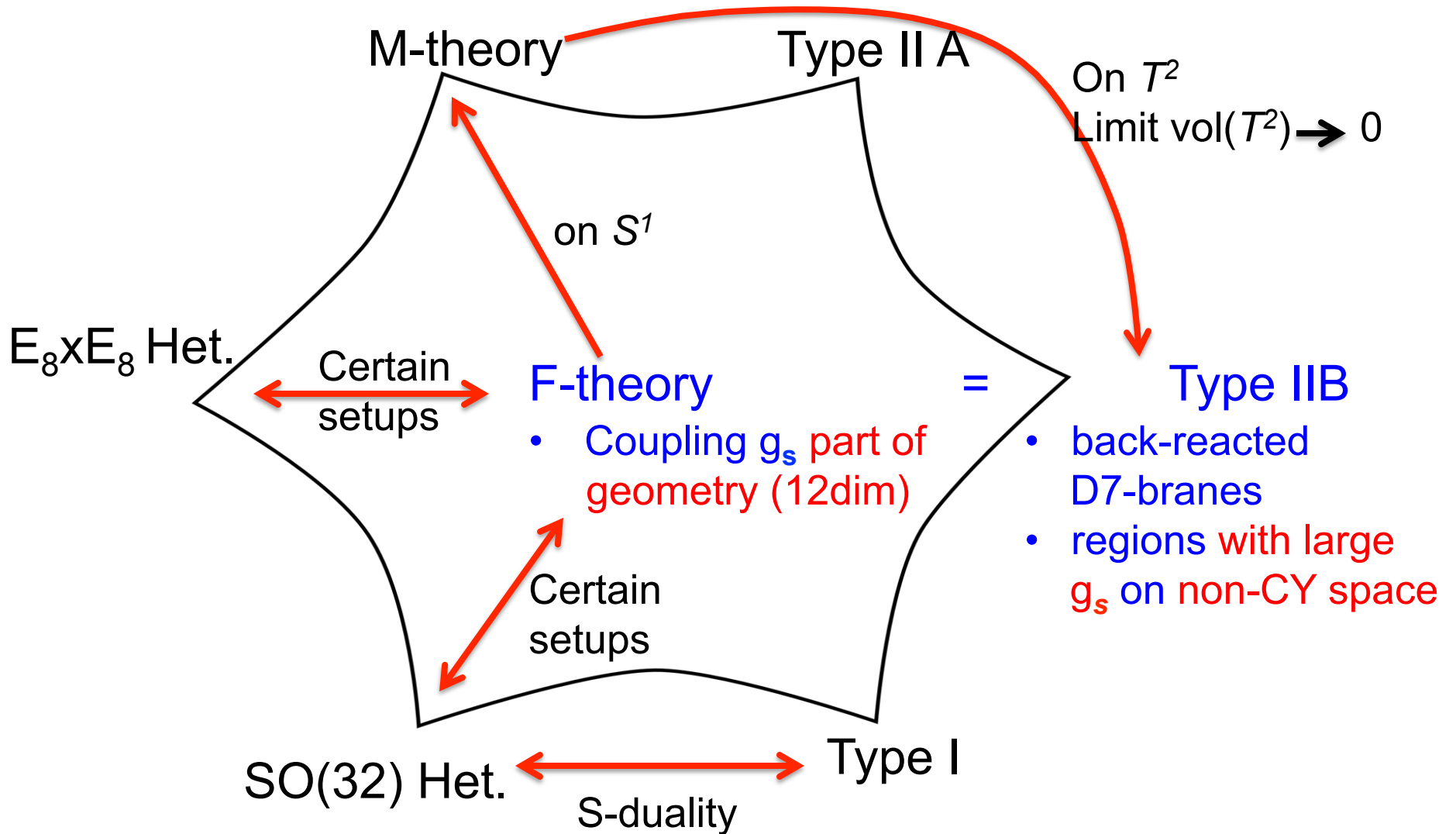
=

Type IIB

- back-reacted D7-branes
- regions with large g_s on non-CY space

g_s –string coupling

F-theory?



F-theory & Particle Physics

MOTIVATION

F-Theory Motivation

A broad domain of non-perturbative string theory landscape with new promising particle physics & ~~cosmology~~

(will not address moduli stabilization,

though promising, c.f., talks by Quevedo, Blumehagen, Polchinski in Type IIB)

- **SU(5) GUT couplings** that are absent in perturbative string theory w/ D-branes, e.g., $10 \ 10 \ 5$
- appearance of **exceptional gauge symmetries** (E_6)

▪

[Donagi, Wijnholt'08]

▪

[Beasley, Heckman, Vafa'08]....

Conceptual: geometric description at large string coupling

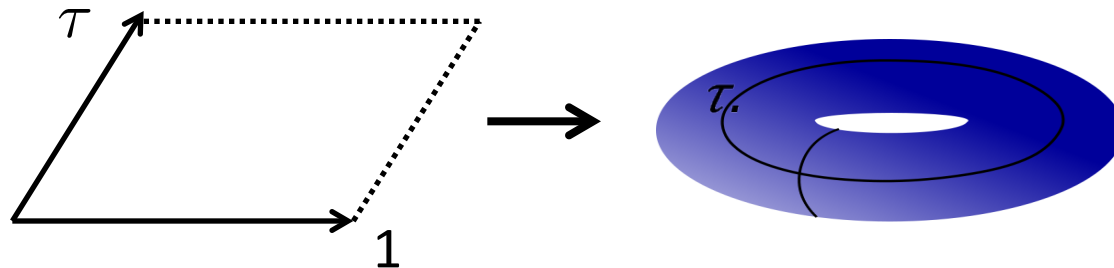
- **Determine discrete data:**
gauge symmetry, matter reps. & multiplicities, Yukawa couplings

Type IIB perspective

F-THEORY BASIC INGREDIENTS

F-theory: basic ingredients

- F-theory is a geometric **formulation** of string theory w/D-branes, where one adds **a geometric object: torus** w/ $SL(2,Z)$ symmetry



- τ - torus complex structure: $\tau \equiv C_0 + ig_s^{-1}$ - string coupling (**axion-dilaton**)
- Torus – fibred over a compactified (base) space B

i.e., torus coordinates depend on the base B

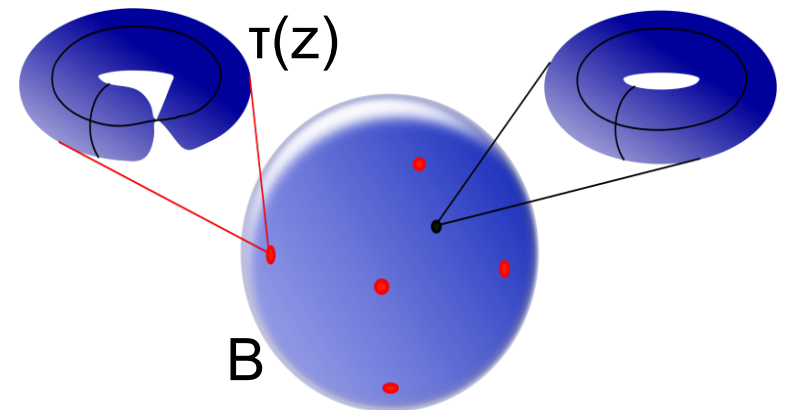
Torus= elliptic curve

Weierstrass form:

$$y^2 = x^3 + fxz^4 + gz^6$$

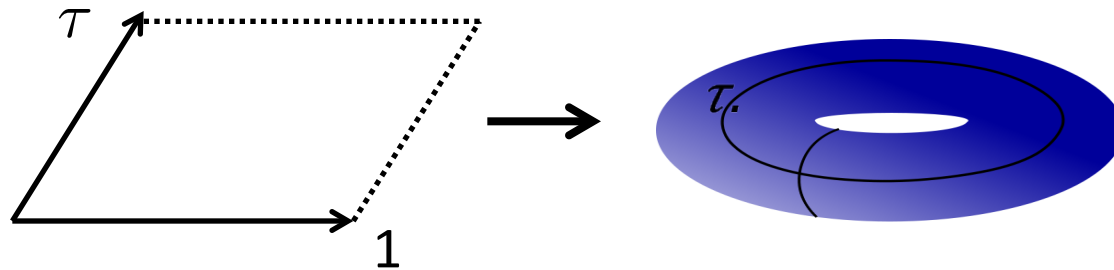
f, g- function fields on B

[z:x:y] coords on $\mathbf{P}^2(1,2,3)$



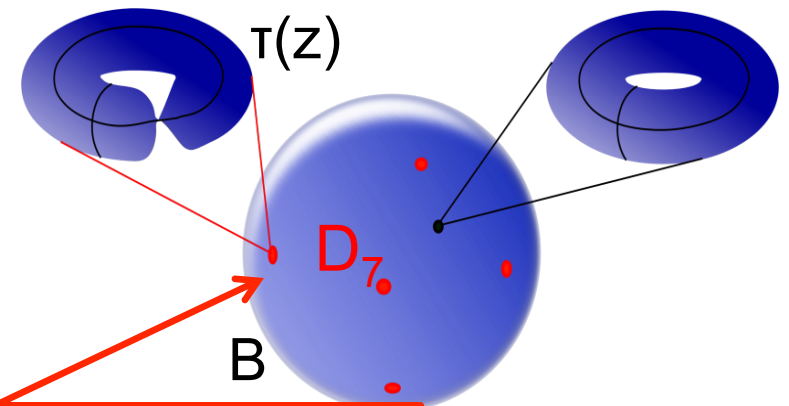
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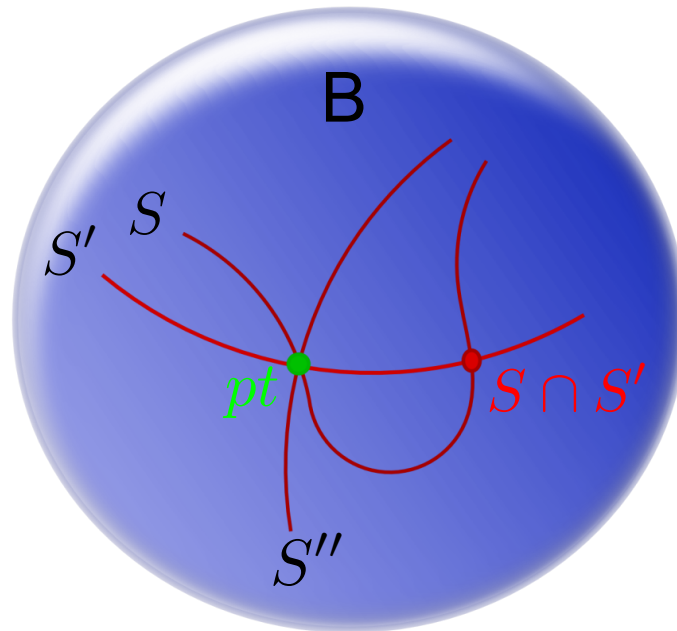
i.e. torus coordinates depend on the base B



At brane location in B torus degenerates w/ $g_s \rightarrow \infty$ singular:
String Theory in non-perturbative regime

F-theory: basic ingredients

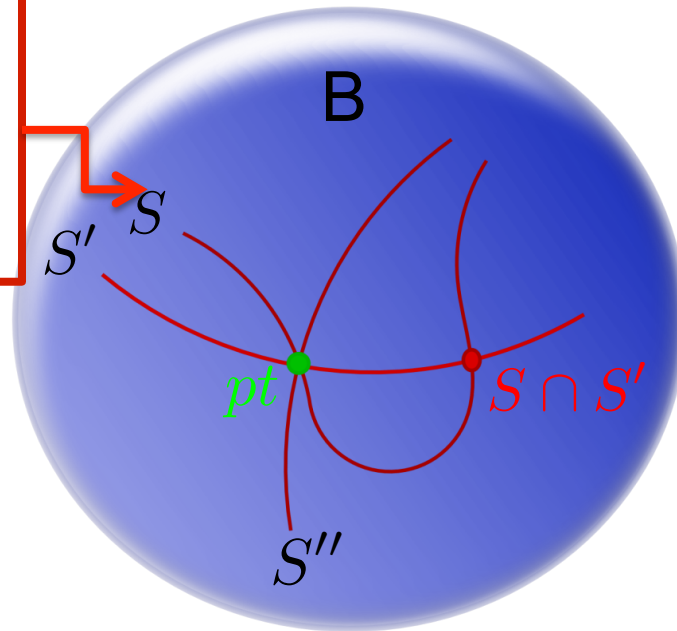
- Total space of torus-fibration: singular elliptic Calabi-Yau manifold X
D=4, N=1 vacua: fourfold X_4 [all dimensions complex]
- Singularities encode complicated set-up of intersecting D-branes:



F-theory: basic ingredients

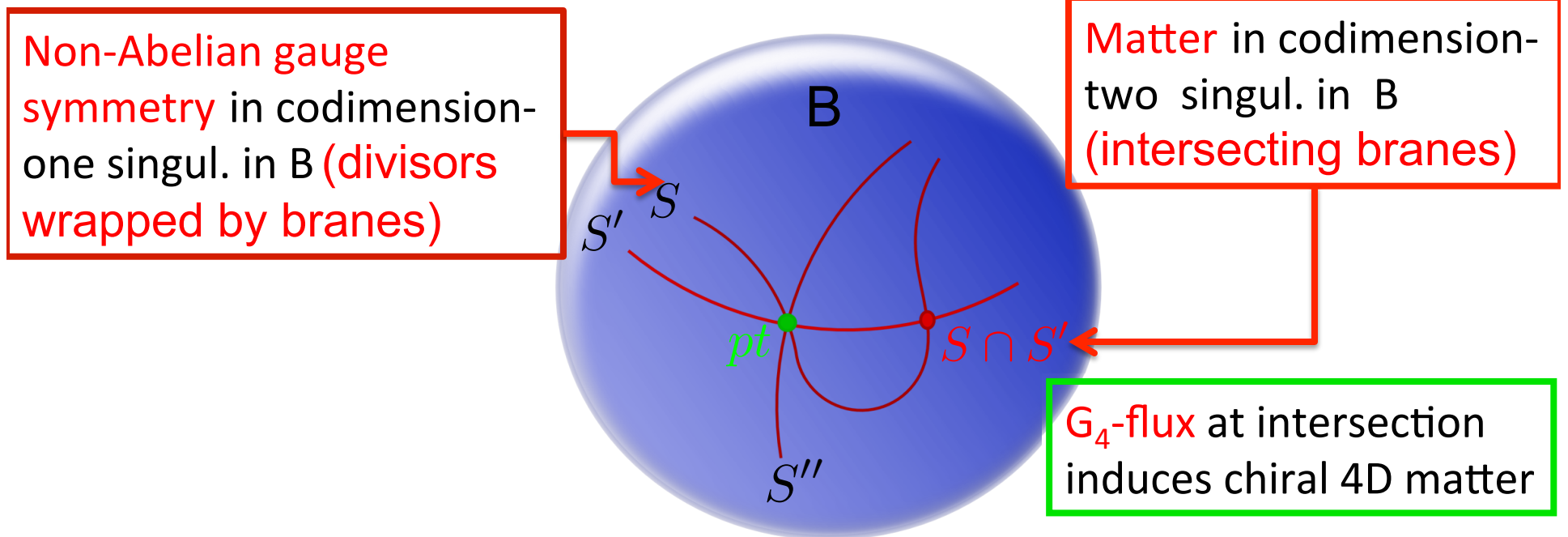
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Non-Abelian gauge symmetry in codimension-one singul. in B (divisors wrapped by branes)



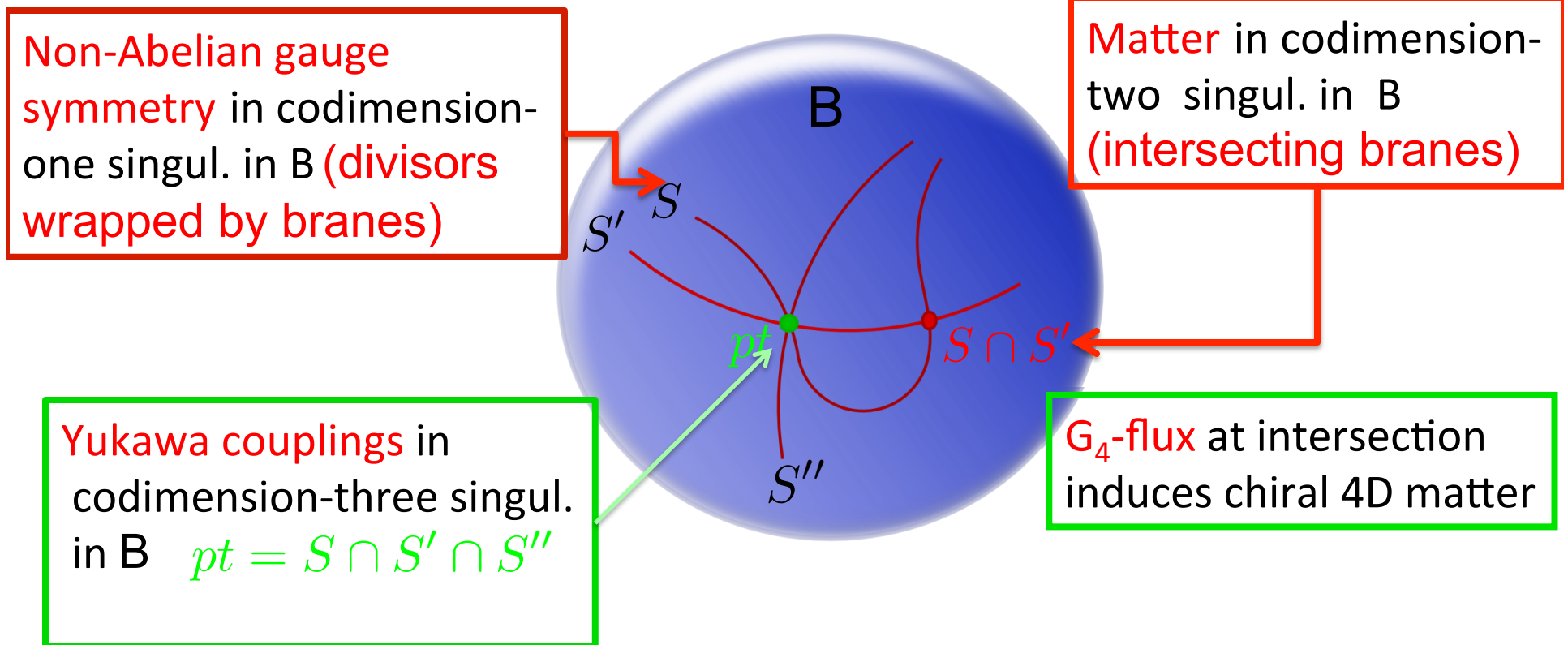
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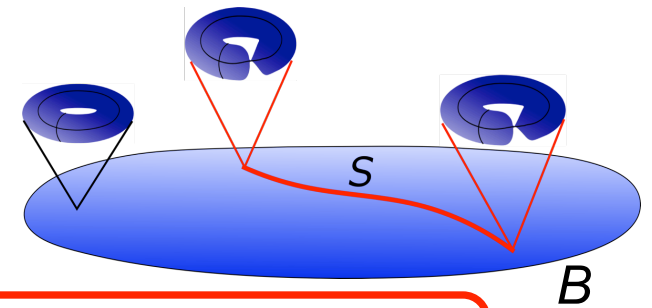
Highlights: Non-Abelian Gauge Symmetry

[Kodaira; Tate; Vafa; Morrison, Vafa;...]

1. Weierstrass form for elliptic fibration of X

$$y^2 = x^3 + fxz^4 + gz^6$$

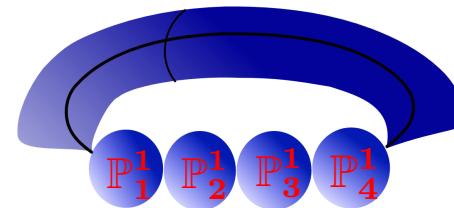
2. Severity of singularity along divisor S in B :



$[ord_S(f), ord_S(g), ord_S(\Delta)] \leftrightarrow$ Singularity type of fibration of X

3. Resolution: singularity type \leftrightarrow structure of a tree of \mathbb{P}^1 's over S

I_n -singularity \leftrightarrow $SU(n)$ Dynkin diagram



Deformation: [Grassi, Halverson, Shaneson]

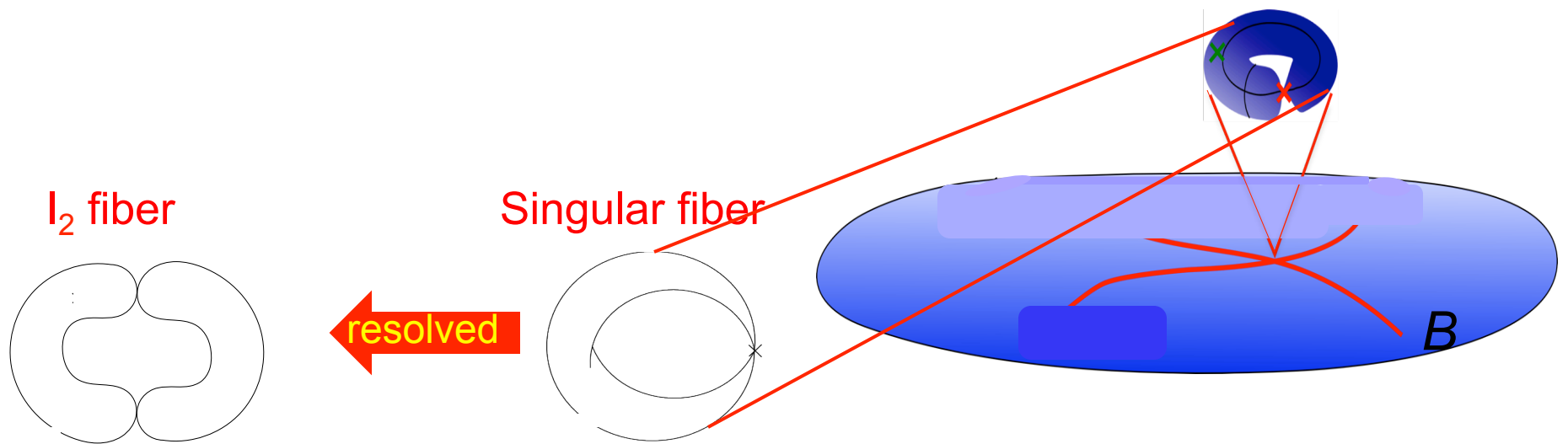
– Cartan generators for A^i gauge bosons: in M-theory via Kaluza-Klein (KK) reduction of C_3 potential along (1,1)-forms $\omega_i \leftrightarrow \mathbb{P}_i^1$ on X

$$C_3 \supset A^i \omega_i$$

– Non-Abelian generators: light M2-brane excitations on \mathbb{P}^1 's [Witten]

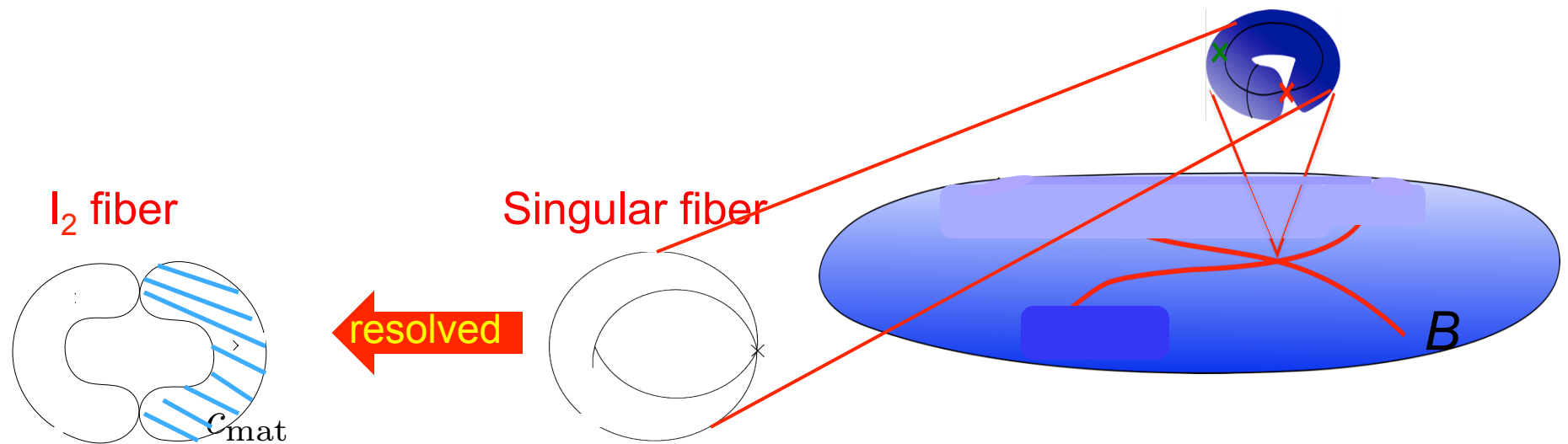
Highlights: Matter

Singularity at codimension-two in B :



Highlights: Matter

Singularity at codimension-two in B :



w/isolated (M2-matter) curve wrapping $\mathbb{P}^1 \rightarrow$ charged matter
(determine via intersection theory)

Initial focus: F-theory with SU(5) Grand Unification

[Donagi, Wijnholt'08][Beasley, Heckman, Vafa'08]...

Model Constructions:

[Donagi, Wijnholt'09-10]...[Marsano, Schäfer-Nameki, Saulina'09-11]...

Review: [Heckman]

[Blumehagen, Grimm, Jurke, Weigand'09][M.C., Garcia-Etxebarria, Halverson'10]...

[Marsano, Schäfer-Nameki'11-12]...[Clemens, Marsano, Pantev, Raby, Tseng '12]...

a bit more later

Recent progress on other Particle Physics Models:

Standard Model building blocks (via tops of dP_2) [Lin, Weigand'14]

First Global 3-family Standard, Pati-Salam, Trinification Models

[M.C., Klevers, Peña, Oehlmann, Reuter 1503.02068]



highlights

I. Particle Physics & F-theory

concrete examples

Construction of Torus Fibrations

i. Torus = elliptic curve \mathcal{C}

Examples of constructions via toric techniques:

\mathcal{C}_{F_i} as a Calabi-Yau hypersurface in the two-dimensional toric variety \mathbb{P}_{F_i} (generalized projective spaces, associated with 16 reflexive polytopes F_i):

[Klevers, Peña, Piragua, Oehlmann, Reuter'14]

$$\mathcal{C}_{F_i} = \{p_{F_i} = 0\} \text{ in } \mathbb{P}_{F_i}$$

ii. Elliptically fibered Calabi-Yau space: X_{F_i}

Impose Calabi-Yau condition:

coordinates in \mathbb{P}_{F_i} and coeffs. of \mathcal{C}_{F_i} lifted to sections on (specific functions of) B

$$\begin{array}{ccc} \mathcal{C}_{F_i} \subset \mathbb{P}_{F_i} & \longrightarrow & X_{F_i} \\ & & \downarrow \\ & & B \end{array}$$

Model Building Strategy:

i. Construction of X_{F_i} w/ Particle Physics

gauge symmetry (codim-1), matter reps. (codim-2) & Yukawas (codim-3)

F_{11} - Standard Model

$SU(3) \times SU(2) \times U(1)$

focus

Representation	$(\mathbf{3}, \mathbf{2})_{1/6}$	$(\bar{\mathbf{3}}, \mathbf{1})_{-2/3}$	$(\bar{\mathbf{3}}, \mathbf{1})_{1/3}$	$(\mathbf{1}, \mathbf{2})_{-1/2}$	$(\mathbf{1}, \mathbf{1})_{-1}$
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$$p_{F_{11}} = s_1 e_1^2 e_2^2 e_3 e_4^4 u^3 + s_2 e_1 e_2^2 e_3^2 e_4^2 u^2 v + s_3 e_2^2 e_3^2 u v^2 + s_5 e_1^2 e_2 e_4^3 u^2 w + s_6 e_1 e_2 e_3 e_4 u v w + s_9 e_1 v w^2$$

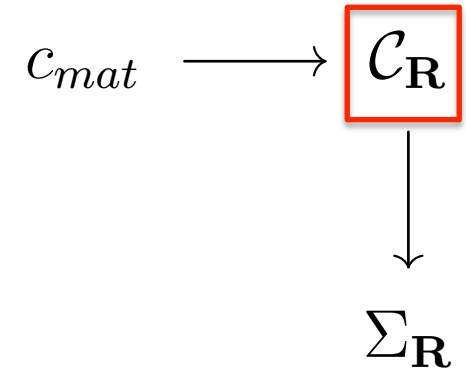
[hypersurface constraint in dP_4 ($\mathbb{P}^2[u:v:w]$ with four blow-ups $[e_1:e_2:e_3:e_4]$)

F_{13} - Pati-Salam Model

F_{16} - Trinification Model

ii. Chiral index for D=4 matter:

$$\chi(\mathbf{R}) = \int_{\mathcal{C}_{\mathbf{R}}} G_4$$



- a) construct G_4 flux by computing $H_V^{(2,2)}(\hat{X})$
- b) determine matter surface $\mathcal{C}_{\mathbf{R}}$ (via resultant techniques)

iii. Global consistency – D3 tadpole cancellation:

$$\frac{\chi(X)}{24} = n_{D3} + \frac{1}{2} \int_X G_4 \wedge G_4$$

- a) satisfied for integer and positive n_{D3}
- b) check, all anomalies are cancelled

Standard Model:

Base $B = \mathbb{P}^3$ Divisors in the base: $\mathcal{S}_7 = n_7 H_{\mathbb{P}^3}$
 $\mathcal{S}_9 = n_9 H_{\mathbb{P}^3}$

Solutions $(\#(\text{families}); n_{D_3})$ for allowed (n_7, n_9) :

$n_7 \setminus n_9$	1	2	3	4	5	6	7
7	—	(27; 16)	—	—			
6	—	(12; 81)	(21; 42)	—	—		
5	—	—	(12; 57)	(30; 8)	—	(3; 46)	
4	(42; 4)	—	(30; 32)	—	—	—	—
3	—	(21; 72)	—	—	—	(15; 30)	
2	(45; 16)	(24; 79)	(21; 66)	(24; 44)	(3; 64)		
1	—	—	—	—			
0	—	—	(12; 112)				
-1	(36; 91)	(33; 74)					
-2	—						

Pati-Salam Model

Solutions (#(families); n_{D3}) for allowed (n_7, n_9) :

$n_7 \setminus n_9$	1	2	3	4	5	6	7
10	(13; 204)						
9	—	(11; 140)					
8	(33; 94)	(10; 119)	(9; 90)				
7	—	(9; 100)	(6; 77)	(14; 48)			
6	(15; 108)	(8; 86)	(21; 52)	(12; 46)	(5; 44)		
5	(6; 106)	(35; 44)	—	(30; 16)	—	(3; 44)	
4	(7; 102)	(6; 75)	(15; 50)	(8; 42)	(15; 30)	(6; 41)	(7; 42)
3	(6; 106)	(35; 44)	—	(30; 16)	—	(3; 44)	
2	(15; 108)	(8; 86)	(21; 52)	(12; 46)	(5; 44)		
1	—	(9; 100)	(6; 77)	(14; 48)			
0	(33; 94)	(10; 119)	(9; 90)				
-1	—	(11; 140)					
-2	(13; 204)						

Trinification Model

Solutions (#(families); n_{D3}) for allowed (n_7, n_9) :

$n_7 \backslash n_9$	1	2	3	4	5	6	7	8	9	10
10	(5; 120)									
9	(3; 94)	(3; 94)								
8	(4; 72)	(8; 69)	(4; 72)							
7	(14; 48)	(7; 54)	(7; 54)	(14; 48)						
6	(5; 50)	(8; 44)	(3; 44)	(8; 44)	(5; 50)					
5	(5; 50)	(5; 42)	(10; 36)	(10; 36)	(5; 42)	(5; 50)				
4	(14; 48)	(8; 44)	(10; 36)	(16; 30)	(10; 36)	(8; 44)	(14; 48)			
3	(4; 72)	(7; 54)	(3; 44)	(10; 36)	(10; 36)	(3; 44)	(7; 54)	(4; 72)		
2	(3; 94)	(8; 69)	(7; 54)	(8; 44)	(5; 42)	(8; 44)	(7; 54)	(8; 69)	(3; 94)	
1	(5; 120)	(3; 94)	(4; 72)	(14; 48)	(5; 50)	(5; 50)	(14; 48)	(4; 72)	(3; 94)	(5; 120)

Yukawa Couplings (codimension-3 singularities)

Generically there for all gauge invariant couplings
(for MSSM example \rightarrow μ -problem; R-parity violating terms)

Magnitudes?

Technology not developed, yet

- possibly tuned by adjusting complex structure moduli
- construction of MSSM w/ **discrete symmetry**

[work in progress, M.C., Klevers, Reuters]

Techniques in **local models** [Marchesano et al.]

Non-perturbative (D3-instanton) effects

[Martucci, Weigand]

Detailed Phenomenology \rightarrow Long shot

II. $U(1)$ -Symmetries in F-Theory

Abelian Symmetries in F-theory

Physics: important ingredient of the Standard Model and beyond



Multiple U(1)'s desirable (F-theory applications [Antoniadis,Leontaris,King,..])

Formal developments: new CY elliptic fibrations with rational sections

While non-Abelian symmetries extensively studied ('96...)

[Kodaira; Tate; Morrison, Vafa; Bershadsky, Intriligator, Kachru, Morrison, Sadov, Vafa; Candelas, Font,...]

:

Abelian sector rather unexplored

A lot of recent progress '12-'15: [Grimm, Weigand; ... Morrison, Park; M.C., Grimm, Klevers; ... Borchmann, Mayrhofer, Palti, Weigand; M.C., Klevers, Piragua; MC, Grassi, Klevers, Piragua; ... Braun, Grimm, Keitel; ... M.C., Klevers, Piragua, Song; ... Morrison, Taylor; ... M.C., Klevers, Piragua, Taylor]

U(1)'s-Abelian Symmetry

U(1) gauge bosons A^m should also arise via **KK-reduction** $C_3 \supset A^m \omega_m$
S
(1,1)-forms on X
dual to codimension-one divisors
only I_1 -fibers

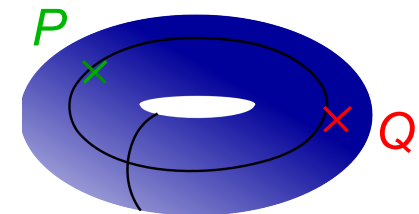
U(1)'s-Abelian Symmetry & Rational Torus Points

U(1) gauge bosons A^m should also arise via KK-reduction $C_3 \supset A^m \omega_m$



(1,1)-forms on X
[Morrison, Vafa]

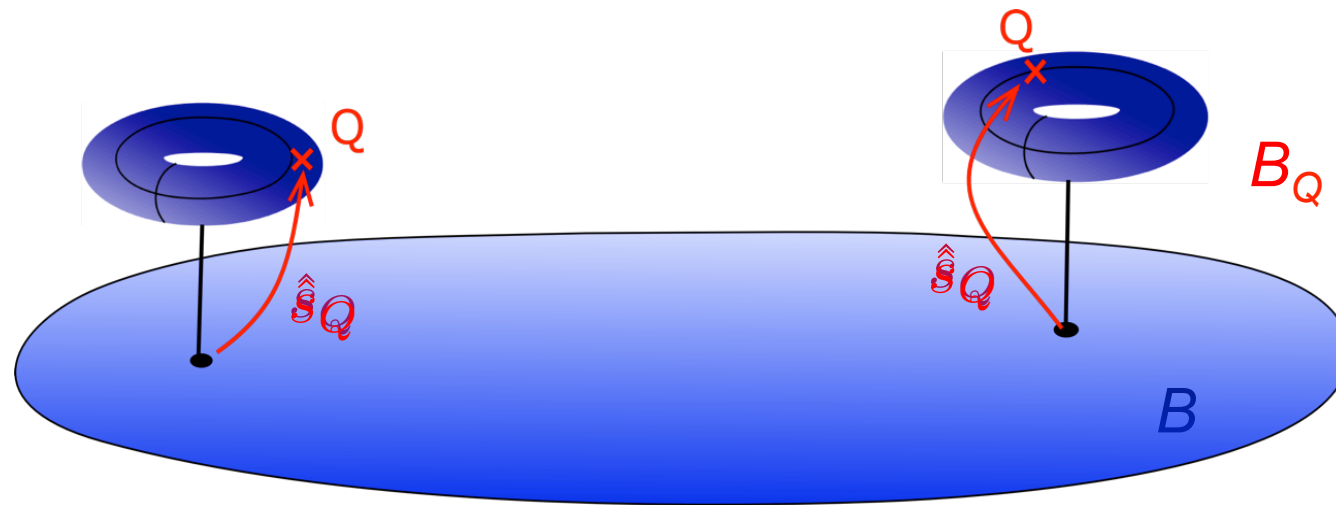
Torus=elliptic curve has a marked "zero" point P.
For a special shape there can be additional
marked "rational" points Q.
Rational points form a group under addition on torus.



➔ Mordell-Weil group of rational points

U(1)'s-Abelian Symmetry & Mordell-Weil Group

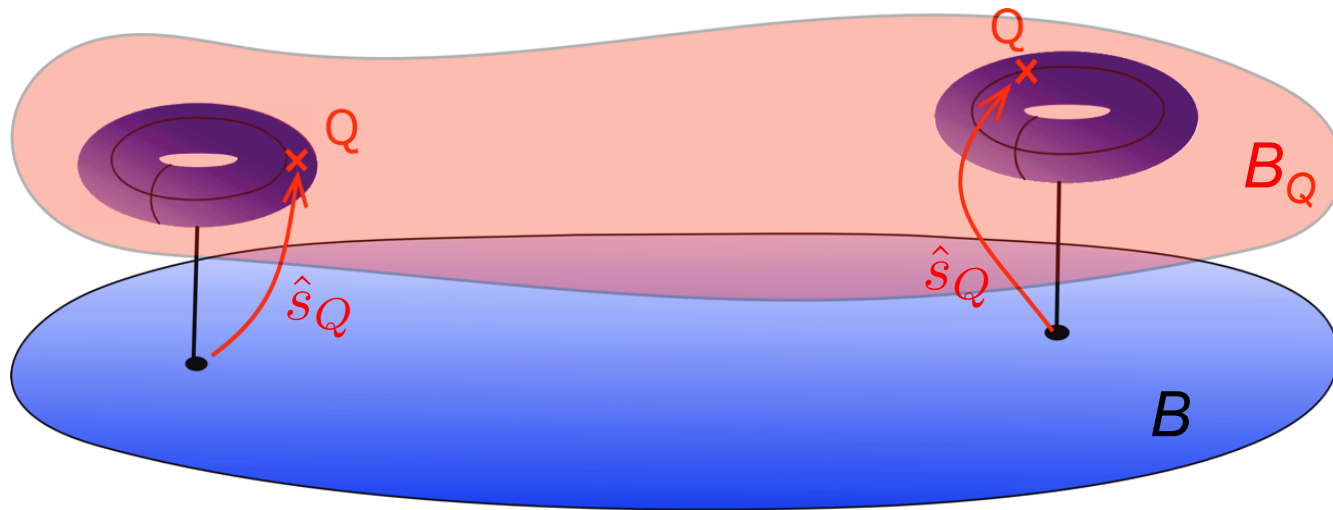
Point Q induces a rational section $\hat{s}_Q : B \rightarrow X$ of torus fibration



➔ \hat{s}_Q gives rise to a second copy of B in X :
new divisor B_Q in X

U(1)'s-Abelian Symmetry & Mordell-Weil Group

Point Q induces a rational section $\hat{s}_Q : B \rightarrow X$ of torus fibration



➔ \hat{s}_Q gives rise to a second copy of B in X :

new divisor B_Q in X

➔ (1,1)-form ω_m constructed from divisor B_Q (Shioda map)

indeed (1,1) - form ω_m \longleftrightarrow rational section

Explicit Examples with n -rational sections – $U(1)^n$

Torus=elliptic curve

$n=0$: with P - generic CY in $\mathbb{P}^2(1, 2, 3)$ (Tate form)

$n=1$: with P, Q - generic CY in $Bl_1\mathbb{P}^2(1, 1, 2)$ [Morrison, Park'12]

$n=2$: with P, Q, R - specific example: generic CY in dP_2
[Borchmann, Mayerhofer, Palti, Weigand'13;
M.C., Klevers, Piragua 1303.6970, 1307.6425;
M.C., Grassi, Klevers, Piragua 1306.0236]

- generalization: nongeneric cubic in $\mathbb{P}^2[u : v : w]$

[M.C., Klevers, Piragua, Taylor 1507.05954]

$n=3$: with P, Q, R, S - CICY in $Bl_3\mathbb{P}^3$ [M.C., Klevers, Piragua, Song 1310.0463]

$n=4$ determinantal variety in \mathbb{P}^4 ...

higher n , not clear...

$U(1)^2$: Concrete Example

[M.C., Klevers, Piragua]

[Borchmann, Mayrhofer, Palti, Weigand]

➔ representation as hypersurface in dP_2

$$p = u(s_1 u^2 e_1^2 e_2^2 + s_2 u v e_1 e_2^2 + s_3 v^2 e_2^2 + s_5 u w e_1^2 e_2 + s_6 v w e_1 e_2 + s_8 w^2 e_1^2) + s_7 v^2 w e_2 + s_9 v w^2 e_1$$

$[u:v:w:e_1:e_2]$ –homogeneous coordinates of dP_2

u v w e_1 e_2

$$P : E_2 \cap p = [-s_9 : s_8 : 1 : 1 : 0],$$

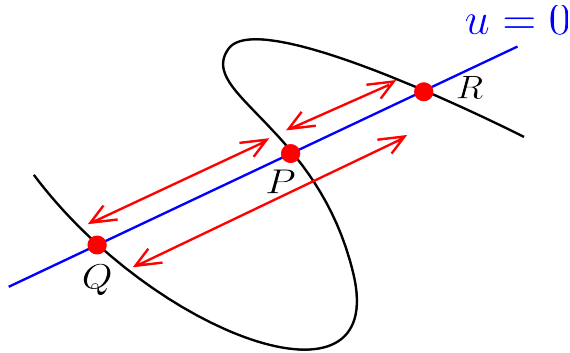
$$Q : E_1 \cap p = [-s_7 : 1 : s_3 : 0 : 1],$$

$$R : D_u \cap p = [0 : 1 : 1 : -s_7 : s_9].$$

Sections represented by intersections of different divisors in dP_2 with p

U(1)²: Further Developments

General U(1)² construction: [M.C., Klevers, Piragua, Taylor 1507.05954]



$$uf_2(u, v, w) + \prod_{i=1}^3 (a_i v + b_i w) = 0$$

$f_2(u, v, w)$ degree two polynomial in $\mathbb{P}^2[u : v : w]$

Study of non-Abelian enhancement (unHiggsing) to $SU(3) \times SU(2)^2$ by merging rational points $P, Q, R \rightarrow$ generalizations

Study of $SU(5)$ w/ $U(1)$'s

[...M.C., Grassi, Klevers, Piragua'13...]

via tops [Borchman, Mayrhofer, Weigand'13; Braun, Grimm, Keitel'13;...]

Systematic analysis

[Kuntzler, Schäfer-Nameki; Sacco, Lawrie; Lawrie, Schäfer-Nameki, Wong'14]

Study of $SU(5) \times U(1) \times U(1)$ for Frogatt-Nielson flavor textures


[Krippendorff, Schäfer-Nameki, Wong 1507.0596]

III. Discrete Symmetries in F-Theory

Why Discrete Symmetries in F-theory?

Physics: important ingredient of beyond the Standard Model physics

c.f., Craig's talk

 forbid terms for fast proton decay and other R-parity violating terms, e.g., R-parity (Z_2), baryon triality (Z_3) and proton hexality (Z_6); family textures (F-theory implications [Leontaris, King,...])

Geometry: new Calabi-Yau geometries with genus-one fibrations

These geometries do not admit a section, but a multi-section

Earlier work: [Witten; deBoer, Dijkgraaf, Hori, Keurentjes, Morgan, Morrison, Sethi;...]

Recent extensive efforts'14-'15: [Braun, Morrison; Morrison, Taylor;

Klevers, Mayorga-Pena, Oehlmann, Piragua, Reuter; Anderson, Garcia-Etxebarria, Grimm; Braun, Grimm, Keitel; Mayrhofer, Palti, Till, Weigand;

M.C., Donagi, Klevers, Piragua, Poretschkin; Grimm, Pugh, Regalado]

Abelian & Discrete Gauge Symmetry in F-theory

F-theory compactification with n sections (Abelian $U(1)^{(n-1)}$)

[Morrison, Taylor;
Anderson, García-Etxebarria, Grimm, Keitel;
Braun, Grimm, Keitel]

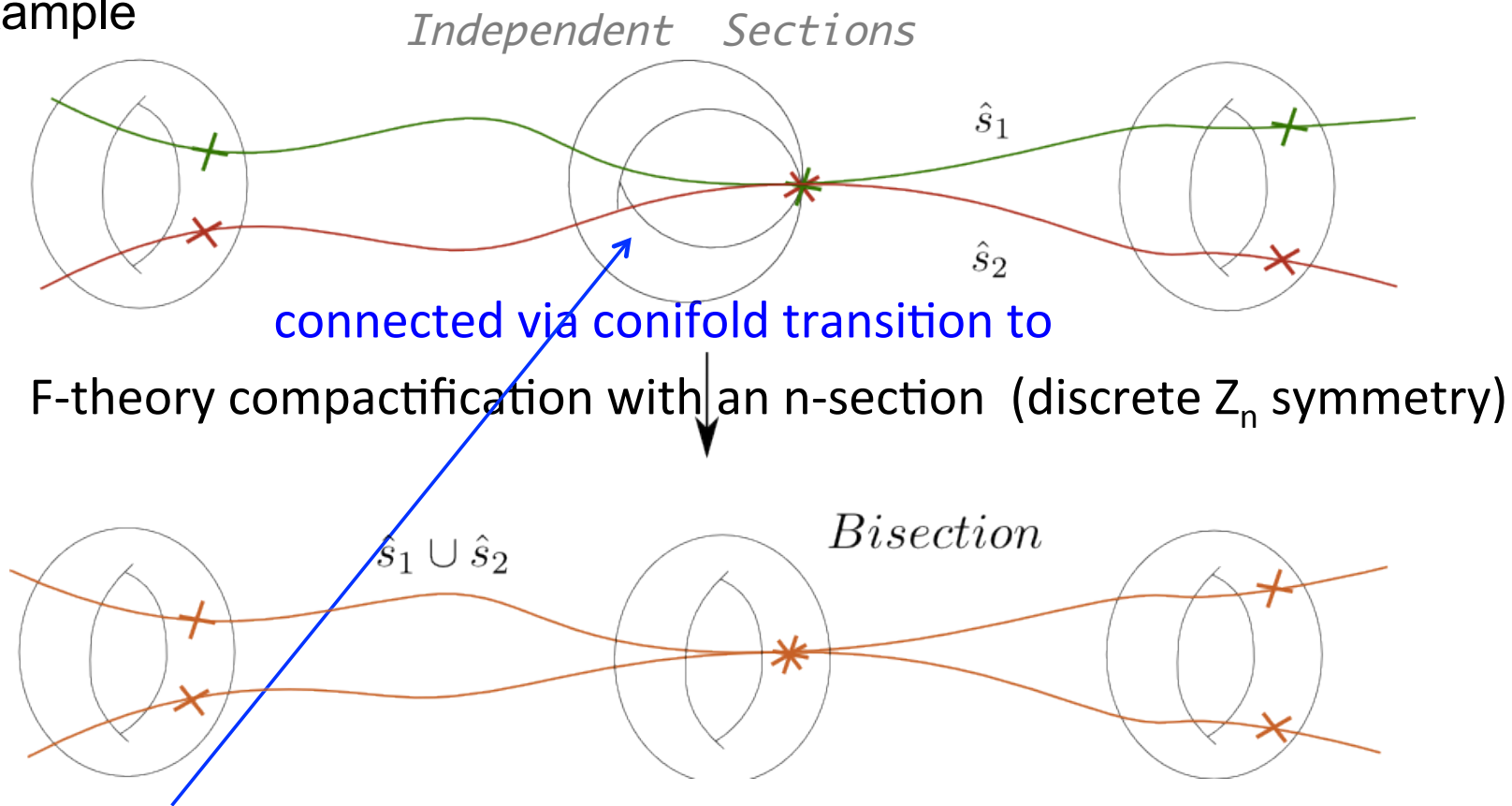
connected via conifold transition

F-theory compactification with an n -section (discrete Z_n symmetry)

Abelian & Discrete Gauge Symmetry in F-theory

F-theory compactification with n sections (Abelian $U(1)^{(n-1)}$)

$n=2$ example

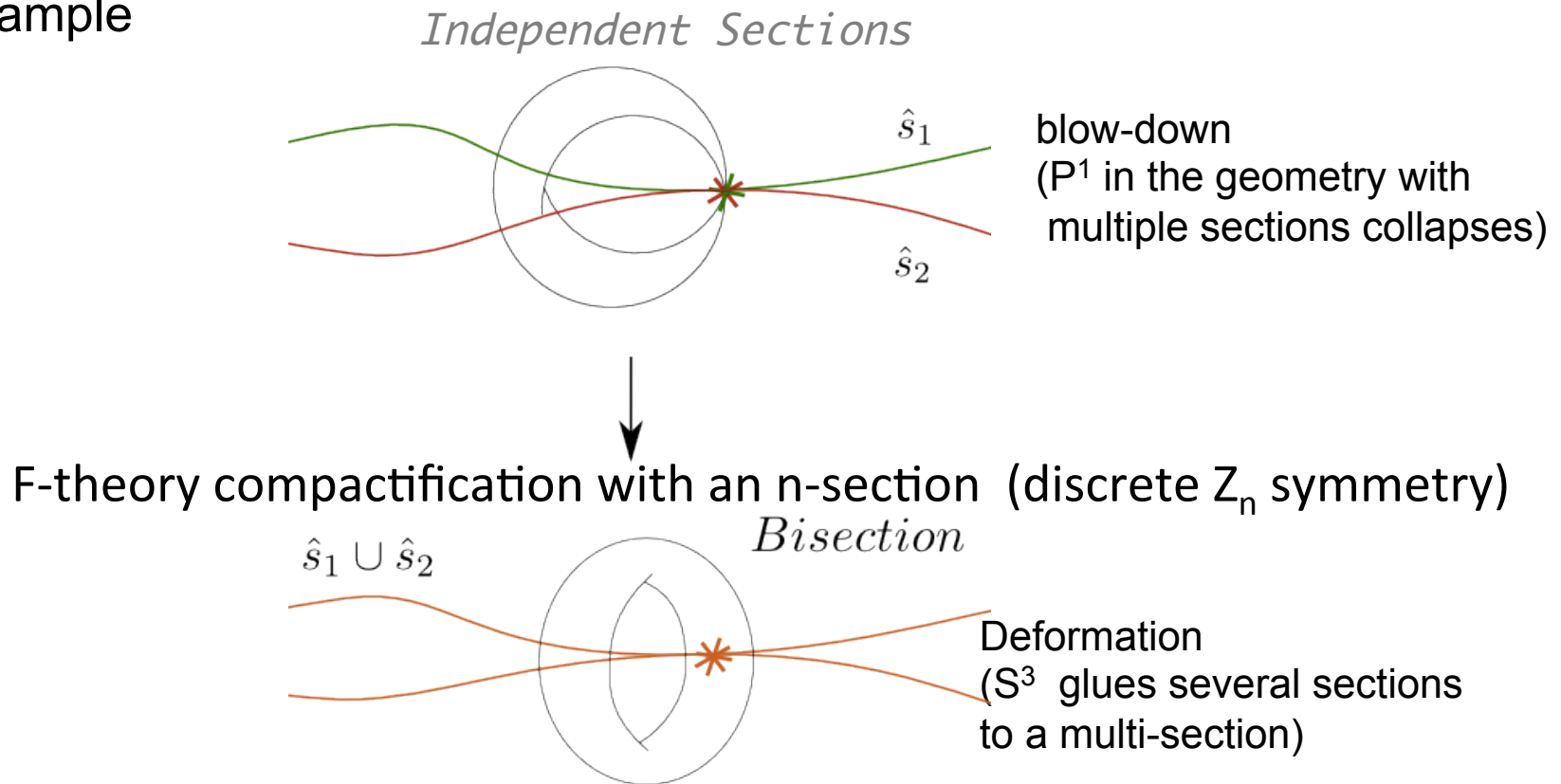


Torus fibration degenerates at
co-dimension two loci \rightarrow matter

Abelian & Discrete Gauge Symmetry in F-theory

F-theory compactification with n sections (Abelian $U(1)^{(n-1)}$)

$n=2$ example



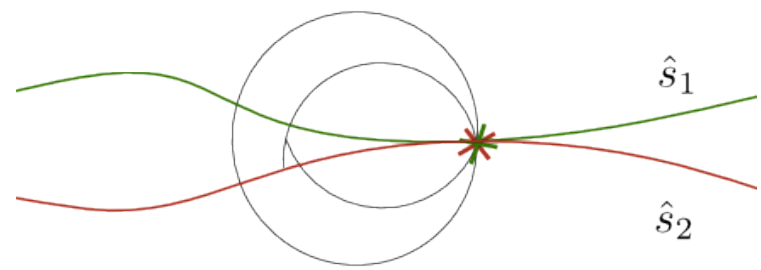
Conifold transition - Geometry

Abelian & Discrete Gauge Symmetry in F-theory

F-theory compactification with n sections (Abelian $U(1)^{(n-1)}$)

$n=2$ example

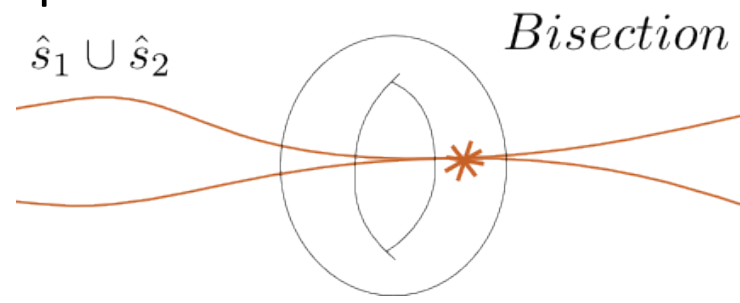
Independent Sections



blow-down
(P^1 in the geometry with multiple sections collapses)

appearance of massless field
 ϕ with charge 2

F-theory compactification with an n -section (discrete Z_n symmetry)



Bisection

Deformation
(S^3 glues several sections to a multi-section)

massless field acquires VEV

Conifold transition - Effective theory $U(1) \xrightarrow{\langle \phi \rangle \neq 0} Z_2$

Abelian & Discrete Gauge Symmetry in F-theory

F-theory compactification with n sections (Abelian $U(1)^{(n-1)}$)



blow-down

Geometry

connected via conifold transition to



deformation

Effective field theory

F-theory compactification with an n -section (discrete Z_n symmetry)

Since Abelian symmetries better understood (c.f., recent works) most efforts focus on the geometry and spectrum of $U(1)^{(n-1)}$, to deduce, primarily via effective field theory, implications for Z_n .

Z_2 [Anderson, Garcia-Etxebarria, Grimm; Braun, Grimm, Keitel; Mayrhofer, Palti, Till, Weigand]

Z_3 [M.C., Donagi, Klevers, Piragua, Poretschkin] 1502.06953

Geometries with n -section \longleftrightarrow Tate-Shafarevich Group Z_n
No time

Summary and Outlook

- Highlights of F-theory Compactification

Geometric perspective - discrete data:

gauge symmetry; matter reps and multiplicity; Yukawa couplings

- Construction of Particle Physics Models

SU(5) GUT's & first examples of three family

Standard, Pati-Salam and Trinification models (tip of the iceberg)

- Conceptual developments:

Abelian & Discrete Symmetries (related to MW & TS groups, respectively)

highlight $U(1)^2$ & applications

Issues: continuous data such as coupling magnitudes, ...
moduli stabilization, ... supersymmetry breaking, ...

Further study