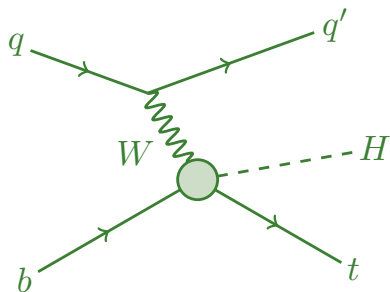


# Searches for associated $tH$ production with the CMS experiment



Andrey Popov<sup>1,2</sup>

On behalf of the CMS collaboration

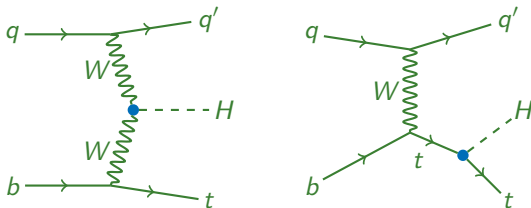
<sup>1</sup>CP3 UCL, Louvain-la-Neuve, BE

<sup>2</sup>also at SINP MSU, Moscow, RU

II CMS single-top workshop,  
Naples, Italy,  
4–5 Dec 2014

## Associated $tH$ production

- Two dominant diagrams for  $tHq$  production in SM:

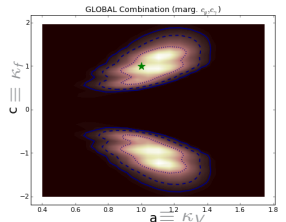
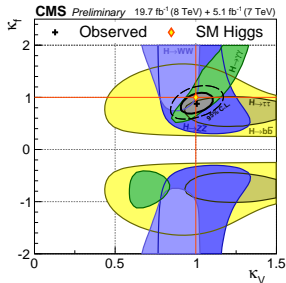


- Destructive interference in SM  $\Rightarrow$  cross section  $18.3 \text{ fb}^\dagger$
- With an inverted sign of Yukawa coupling  $y_t = -1$  the interference is constructive,  $\sigma = 234 \text{ fb}^\dagger$  ( $\times 13$  enhancement)

<sup>†</sup>Farina et al., JHEP 05 (2013) 022

# Negative top-quark Yukawa coupling

- Couplings measurements by ATLAS and CMS favour  $y_t \approx +1$ 
  - Sensitivity to the sign comes from  $H \rightarrow \gamma\gamma$  only
  - Results assume that no new physics affect  $Hgg$  and  $H\gamma\gamma$  loop-induced couplings
- If BSM contributions to  $Hgg$  and  $H\gamma\gamma$  are considered,  $y_t \approx -1$  is well allowed
  - Bottom fig.: Combined constraints from ATLAS, CMS, and Tevatron marginalised over possible BSM contributions in the loops
- Study of  $tHq$  production allows to solve the twofold ambiguity



## Searches for $tHq$ production with negative $y_t$

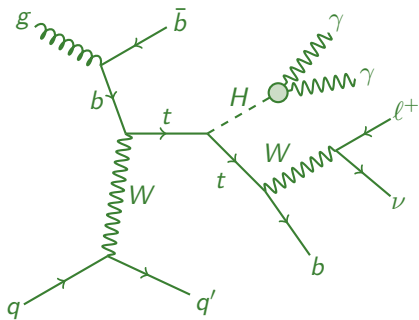
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- CMS performed searches for  $tHq$  production with negative  $y_t$ 
  - $H \rightarrow \gamma\gamma$  and  $H \rightarrow b\bar{b}$  decay channels
  - Focused on the  $y_t = -1$  case as couplings affect kinematics
  - Whole 8 TeV dataset utilised ( $\sim 20 \text{ fb}^{-1}$ )
- $H \rightarrow \gamma\gamma$  channel (CMS PAS HIG-14-001)
  - Small branching ratio but high purity
  - An additional enhancement of  $\mathcal{B}(H \rightarrow \gamma\gamma)$  by a factor of 2.4
  - Cut-and-count analysis
- $H \rightarrow b\bar{b}$  channel (CMS PAS HIG-14-015)
  - Largest branching ratio but overwhelming  $t\bar{t}$  background
  - Complex MVA-based analysis

## Search in the $H \rightarrow \gamma\gamma$ channel

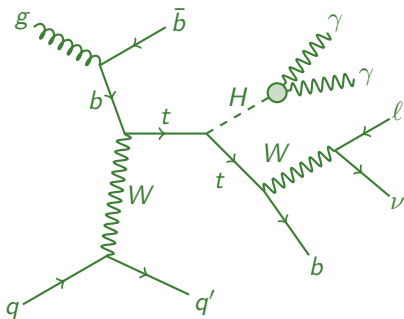
# Event preselection

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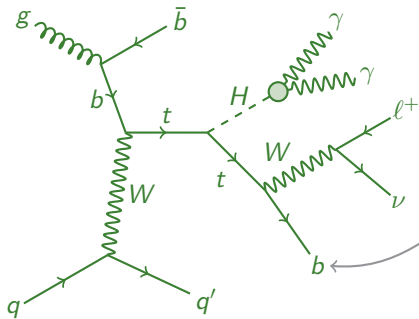
## Event preselection



- Two photons
  - $p_T(\gamma_1) > 50 \cdot m_{\gamma\gamma}/120$
  - $p_T(\gamma_2) > 25 \text{ GeV}$
  - Eff. on signal events is 98%
- Exactly one isolated  $\mu$  or  $e$ 
  - $p_T > 10 \text{ GeV}$

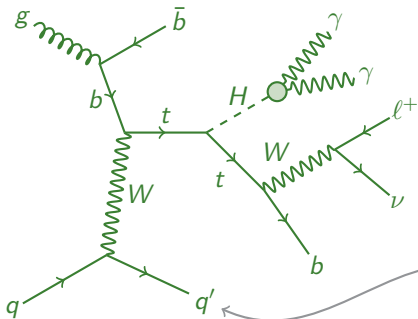


## Event preselection



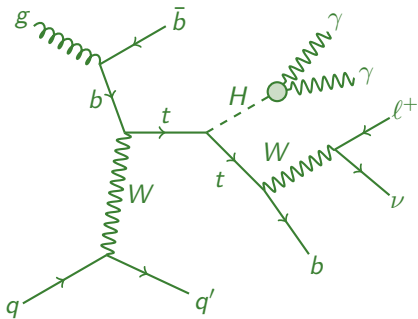
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  - $p_T > 20 \text{ GeV}$

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- A  $b$ -quark jet
  - $p_T > 20 \text{ GeV}$
- A forward recoil jet
  - $p_T > 20 \text{ GeV}, |\eta| > 1$

## Event preselection



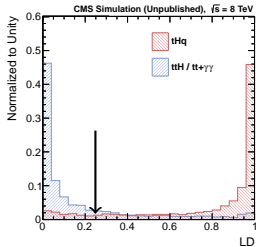
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    - $p_T > 10 \text{ GeV}$
  - A  $b$ -quark jet
    - $p_T > 20 \text{ GeV}$
  - A forward recoil jet
    - $p_T > 20 \text{ GeV}, |\eta| > 1$
- Consider mass window  $122 < m_{\gamma\gamma} < 128 \text{ GeV}$  as the signal region

# Resonant backgrounds

- Backgrounds with a Higgs boson contribute to the  $m_{\gamma\gamma}$  peak
  - $t\bar{t}H$  (dominant),  $VH$ ,  $H + \text{jets}$
- To suppress  $t\bar{t}H$ , a likelihood product discriminator (LD) is constructed
  - Variables: # jets,  $m_T(t)$ ,  $\eta(q')$ ,  $\Delta\eta(\ell, q')$ , lepton charge
- A cut on value of LD is added to event selection
- Expected yields in the  $m_{\gamma\gamma}$  window after the full selection:

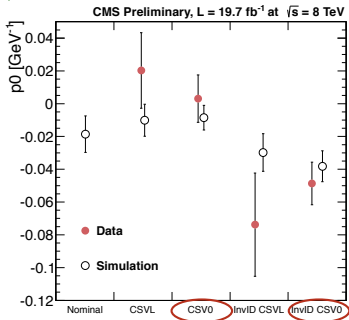
Process	Yield
$tHq, y_t = -1$	0.67
$t\bar{t}H$	$0.03 + 0.05^\dagger$
$VH$	$0.01 + 0.01^\dagger$
other $H$	0

<sup>†</sup>Increase due to  $y_t = -1$ , included into signal



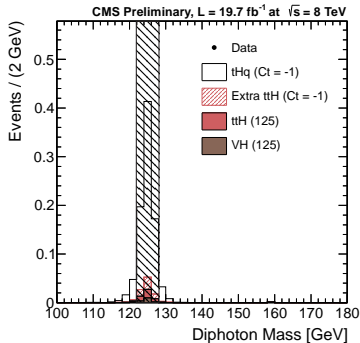
# Non-resonant backgrounds

- Remaining backgrounds are smooth in  $m_{\gamma\gamma}$ 
    - $\gamma\gamma + \text{jets}$ ,  $\gamma + \text{jets}$ ,  $t\gamma\gamma$ ,  $t\bar{t}\gamma\gamma$ , ...
  - Their spectrum in data is fitted with an exponential function
    - Utilise sidebands
- $m_{\gamma\gamma} \in (100, 122) \cup (128, 180)$  GeV
- Use four control regions with
    - loosened (“CSVL”) or removed (“CSV0”) requirement on  $b$ -tagging
    - nominal or inverted photon ID
  - Difference between two high-stat control regions used as systematics



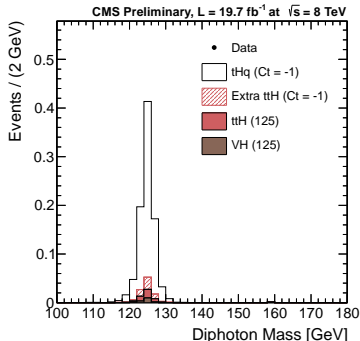
# Results

- Zero events observed in  $m_{\gamma\gamma}$  sidebands
  - Translates to an estimate of contribution of non-resonant backgrounds under the peak



# Results

- Zero events observed in  $m_{\gamma\gamma}$  sidebands
  - Translates to an estimate of contribution of non-resonant backgrounds under the peak
- Zero events found in the mass window as well
- Observed 95%  $CL_s$  upper limit on  $tHq$  is 4.1 times the expected cross section
  - Absolute value:  $\sigma_{tHq}^{y_t=-1} \times \mathcal{B}_{H \rightarrow \gamma\gamma}^{y_t=-1} < 5.2 \text{ fb}$
  - Coincides with the expected limit

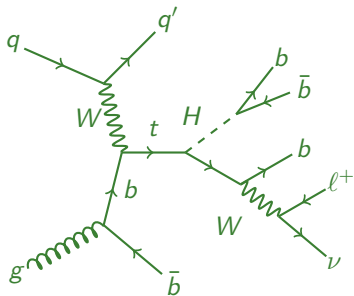


Search in the  $H \rightarrow b\bar{b}$  channel

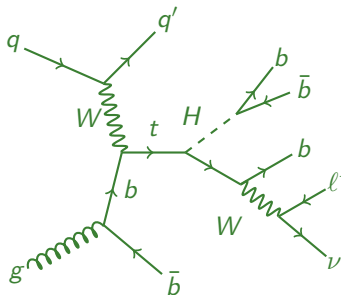


## Event selection

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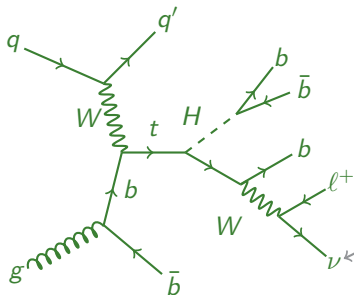


## Event selection



- Exactly one isolated  $\mu$  or  $e$ 
  - $p_T > 26$  (30) GeV for  $\mu$  ( $e$ )
  - Used to trigger events

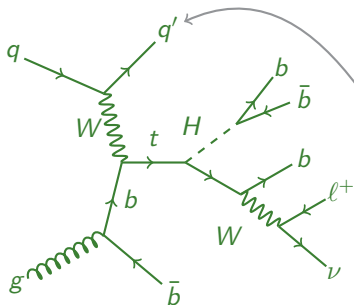
## Event selection



- Exactly one isolated  $\mu$  or  $e$ 
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  - Used to trigger events
- Moderate  $\cancel{E}_T$ 
  - $\cancel{E}_T > 35$  (45) for  $\mu$  ( $e$ )

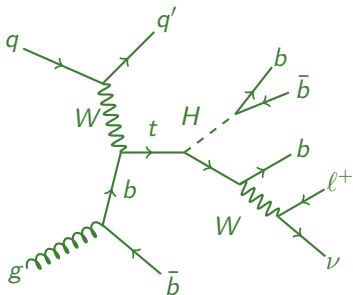


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- Three or four  $b$ -quark jets
  - $p_T > 20$  GeV
- A non- $b$ -quark recoil jet
  - $p_T > 20$  GeV if  $|\eta| < 2.4$
  - $p_T > 40$  GeV if  $|\eta| > 2.4$

## Event selection



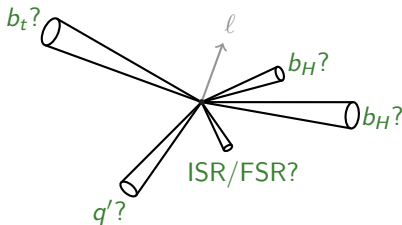
Region	S/B ratio	
3 <i>b</i> -jets	13/1900	0.7%
4 <i>b</i> -jets	1.4/66	2.1%

- Exactly one isolated  $\mu$  or  $e$ 
  - $p_T > 26$  (30) GeV for  $\mu$  ( $e$ )
  - Used to trigger events
- Moderate  $\cancel{E}_T$ 
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  - $p_T > 20$  GeV if  $|\eta| < 2.4$
  - $p_T > 40$  GeV if  $|\eta| > 2.4$
- Dominant background is  $t\bar{t}$

## Jet assignment with MVA

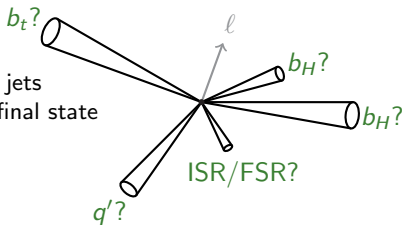
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- The small  $S/B$  ratio makes a multivariate analysis essential
- But construction of input variables from a multijet final state is delicate
  - E. g. which jets stem from  $H$  decay?



## Jet assignment with MVA

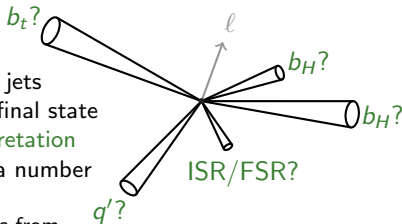
- The small  $S/B$  ratio makes a **multivariate analysis** essential
- But construction of input variables from a **multijet final state** is delicate
  - E. g. which jets stem from  $H$  decay?
- **Hypothesise origin** of RECO jets
  - Consider all possible ways to assign four jets to the four quarks in the  $tHq \rightarrow \ell\nu 3bq$  final state





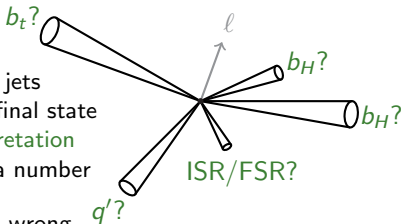
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  - Consider all possible ways to assign four jets to the four quarks in the  $tHq \rightarrow \ell\nu 3bq$  final state
  - Each particular way represents an **interpretation** of the event and can be described with a number of observables
    - Reconstructed  $m_H$ ,  $m_t$ ,  $\Delta R$  between jets from  $H \rightarrow b\bar{b}$ ,  $b$ -tagging discriminator of the  $b$  from  $t \rightarrow b\ell\nu$ , etc.



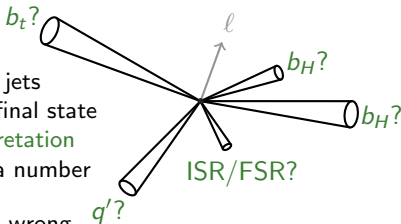
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  - Each particular way represents an **interpretation** of the event and can be described with a number of observables
  - **Train an MVA** to distinguish correct and wrong event interpretations



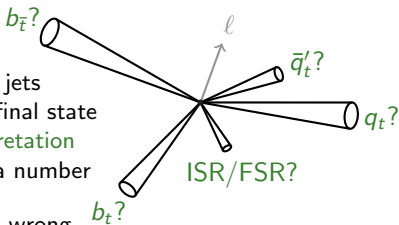
## Jet assignment with MVA

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  - Each particular way represents an **interpretation** of the event and can be described with a number of observables
  - **Train an MVA** to distinguish correct and wrong event interpretations
  - In an unknown event, consider all possible interpretations, evaluate the MVA for each one, and accept the interpretation with the **largest MVA response**

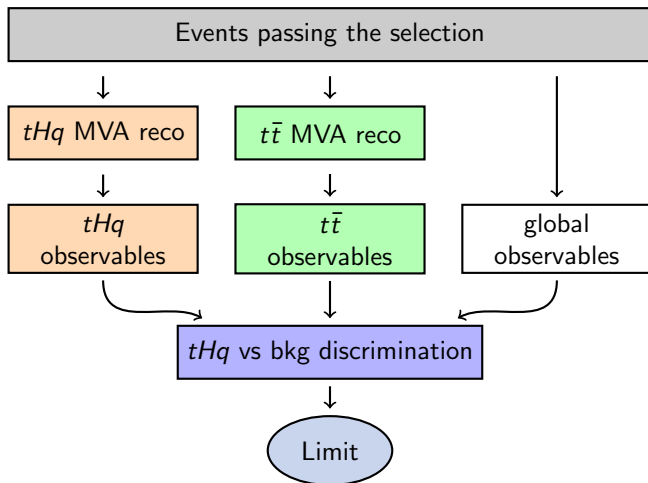


## Jet assignment with MVA

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  - Each particular way represents an **interpretation** of the event and can be described with a number of observables
  - **Train an MVA** to distinguish correct and wrong event interpretations
  - In an unknown event, consider all possible interpretations, evaluate the MVA for each one, and accept the interpretation with the **largest MVA response**
- A similar procedure is used for **semileptonic  $t\bar{t}$** 
  - This is by far the dominant background

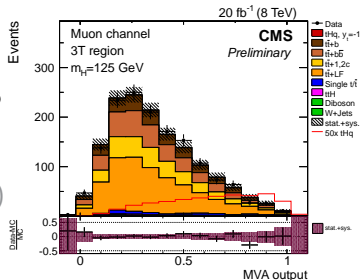
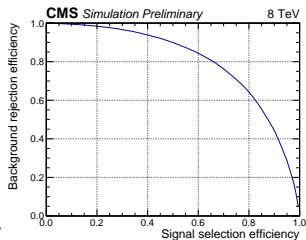


## Discrimination between signal and backgrounds



# Discrimination between signal and backgrounds

- The jet assignment is only used to construct input variables for the **final MVA**
  - Trained to distinguish  $tH$  events from backgrounds
- Examples of **input variables**
  - Defined under  $tH$  hypothesis:  $p_T(H)$ ,  $\eta(q')$
  - Defined under  $t\bar{t}$  hypothesis: mass of  $t \rightarrow \text{had}$ , number of  $b$ -tagged jets among its decay products
  - Independent of jet assignment:  $Q(\ell)$
- Response of the final MVA in data is **fitted** to put an upper limit
- Observed a 95%  $CL_s$  **upper limit** of 7.6 times the expected cross section (exp. limit  $5.1^{+2.1}_{-1.7}$ )
  - Absolute value:  $\sigma_{tHq}^{y_t=-1} \times \mathcal{B}_{H \rightarrow b\bar{b}} < 1.0 \text{ pb}$



## Summary

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- Associated  $tH$  production allows to probe for negative  $y_t$ 
  - A possibility still supported by experimental data if one considers BSM contributions to  $Hgg$  and  $H\gamma\gamma$  loops
- Searches for  $tHq$  with  $y_t = -1$  have been performed in the  $H \rightarrow \gamma\gamma$  and  $H \rightarrow b\bar{b}$  decay channels
  - Upper limits of 4.1 and  $7.6 \times \sigma_{tHq}^{y_t=-1}$  are observed for  $H \rightarrow \gamma\gamma$  and  $H \rightarrow b\bar{b}$
- New results from 8 TeV data are coming, including a combination of the searches
  - Stay tuned
- Looking forward LHC Run II
  - Fourfold increase in signal cross section is expected

Additional slides



## Cross sections

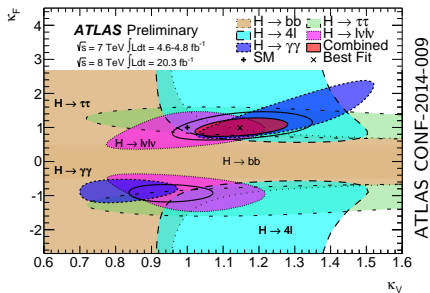
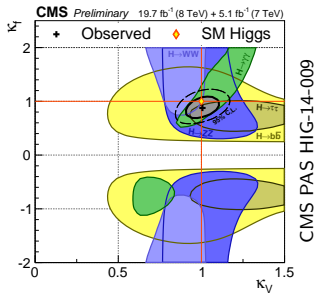
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- Cross section is challengingly small
  - The main background is  $t\bar{t}$ ; its cross section is provided for comparison

Cross section	8 TeV	14 TeV
$tHq, y_t = +1$ (SM)	$18.3 \pm 0.4$ fb	$88.2^{+1.7}_{-0.0}$ fb
$tHq, y_t = -1$	$233.8^{+4.6}_{-0.0}$ fb	$980^{+30}_{-0}$ fb
$t\bar{t}$	$245^{+9}_{-10}$ pb	$950^{+40}_{-30}$ pb

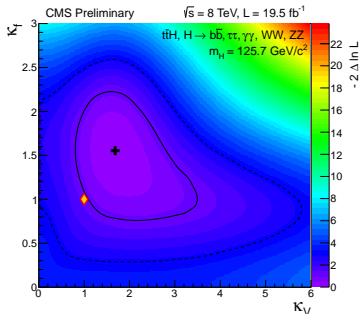
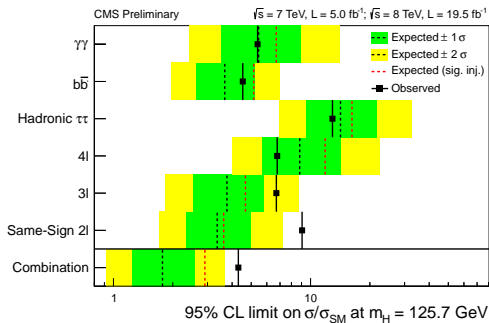
$tHq$  cross sections are cited according to M. Farina et al., JHEP 1305 (2013) 022 [arXiv:1211.3736]. Cross sections for  $t\bar{t}$  are calculated in M. Czakon, P. Fiedler, Phys. Rev. Lett. 110 (2013) 252004 [arXiv:1303.6254]. Uncertainties are combined following R. Barlow, arXiv:physics/0306138

# Constraints on Higgs boson couplings from LHC



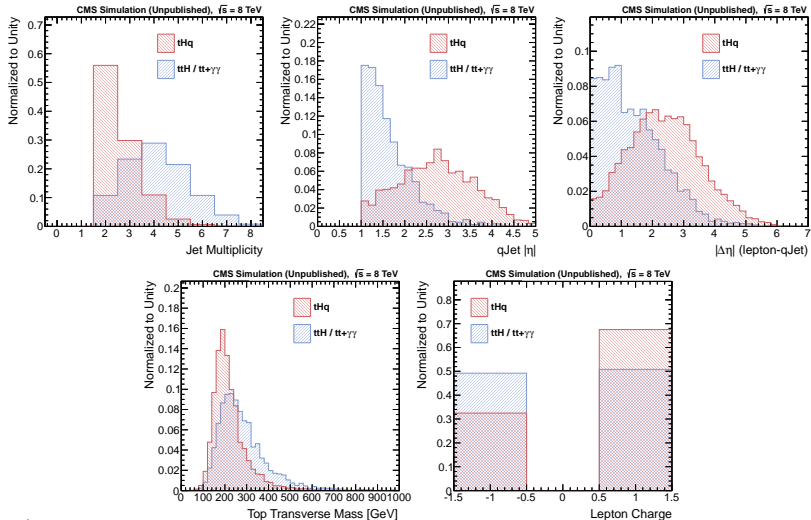
# Direct measurements of $y_t$ at LHC

- A number of *searches for  $t\bar{t}H$*  production performed by CMS and ATLAS
- So far, no significant excess over the background prediction has been observed, thus only *upper limits* on the cross section are set



CMS  $t\bar{t}H$  combination based on HIG-12-025, HIG-13-015, HIG-13-019, HIG-13-020

# $H \rightarrow \gamma\gamma$ : Input variables for the LD



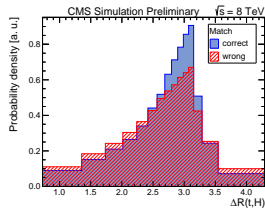
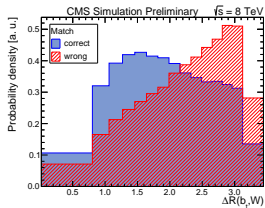
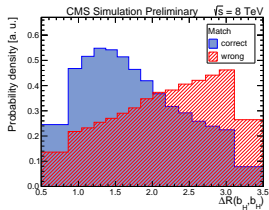
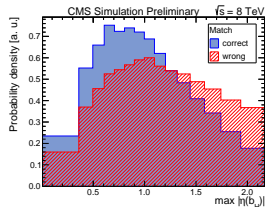
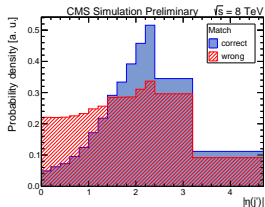
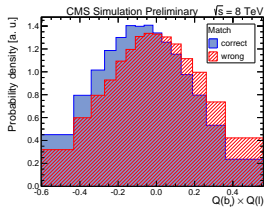
## $H \rightarrow \gamma\gamma$ : Systematic uncertainties

	$tHq$	$t\bar{t}H$	$VH$	Continuous BG
Luminosity	$\pm 2.6\%$	$\pm 2.6\%$	$\pm 2.6\%$	—
PDF	+3.1/-2.5 %	$\pm 8\%$	$\pm 11\%$	—
QCD scale	+4.8/-4.3 %	+11/-14 %	$\pm 2.3\%$	—
Signal model	$\pm 5.5\%$	—	—	—
Photon energy resolution	+4/-2 %	+4/-2 %	+4/-2 %	—
Photon energy scale	+1/-4 %	+1/-4 %	+1/-4 %	—
Photon ID efficiency	$\pm 2\%$	$\pm 2\%$	$\pm 2\%$	—
Vertex efficiency	$\pm 0.1\%$	$\pm 0.1\%$	$\pm 0.1\%$	—
Trigger	< 0.1%	< 0.1%	< 0.1%	—
JEC	$\pm 1.5\%$	+3/-5 %	$\pm 8\%$	—
JER	$\pm 0.5\%$	$\pm 3\%$	+8/-0 %	—
$b$ -tagging	$\pm 2\%$	$\pm 1.5\%$	$\pm 0.1\%$	—
PU ID	$\pm 2\%$	$\pm 0.5\%$	$\pm 2\%$	—
Lepton reconstruction	$\pm 1\%$	$\pm 1\%$	$\pm 1\%$	—
BG shape	—	—	—	33%

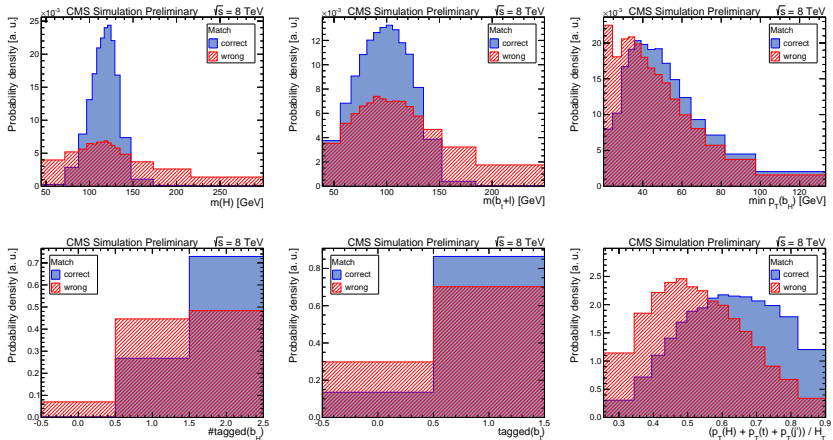
# $H \rightarrow b\bar{b}$ : Expected event yields

		Process	Muon channel	Electron channel
3t region		$t\bar{t}$	1058±5	718±4
		Single top	39±3	27±3
		Electroweak	17 <sup>+7</sup> <sub>-5</sub>	11±7
		$t\bar{t}H$	12.87±0.17	9.35±0.15
		Total background	1128±9	767±10
		$tHq, y_t = -1$	7.54±0.03	5.15±0.02
		$S/B$ ratio	0.7%	0.7%
		Process	Muon channel	Electron channel
4t region		$t\bar{t}$	29.1±0.8	19.8±0.7
		Single top	1.1 <sup>+0.8</sup> <sub>-0.6</sub>	1.2±1.0
		Electroweak	4 <sup>+6</sup> <sub>-4</sub>	5 <sup>+6</sup> <sub>-4</sub>
		$t\bar{t}H$	1.72±0.06	1.43±0.05
		Total background	37 <sup>+6</sup> <sub>-4</sub>	29 <sup>+7</sup> <sub>-4</sub>
		$tHq, y_t = -1$	0.835±0.010	0.580±0.009
		$S/B$ ratio	2.3%	2.0%

# $H \rightarrow b\bar{b}$ : Input variables for $tHq$ jet assignment

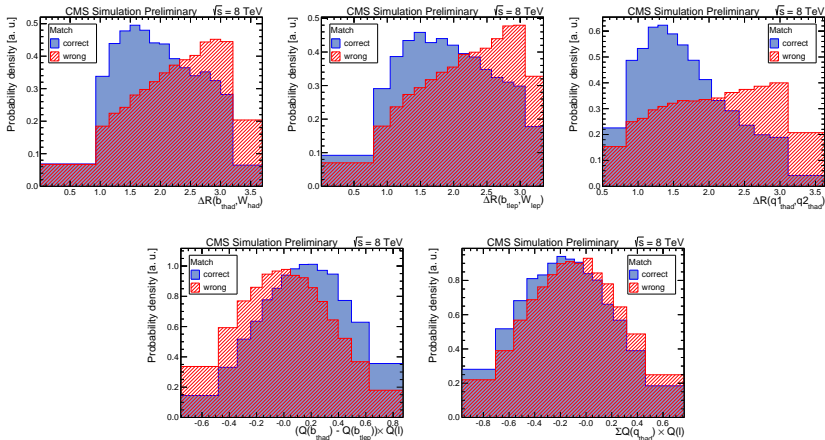


# $H \rightarrow b\bar{b}$ : Input variables for $tHq$ jet assignment

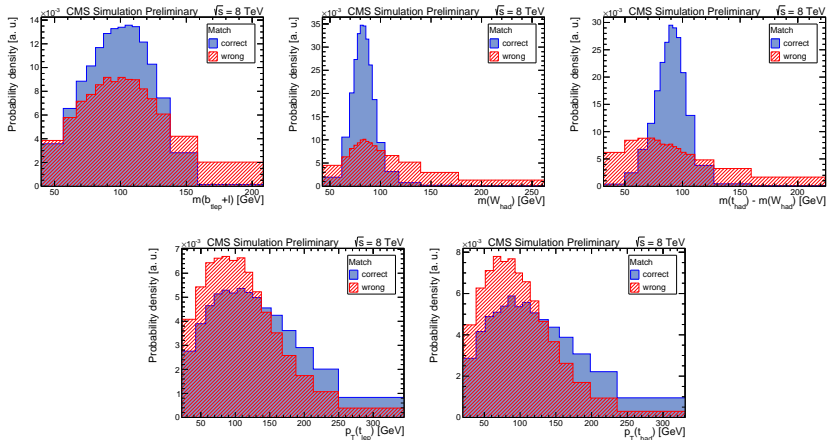




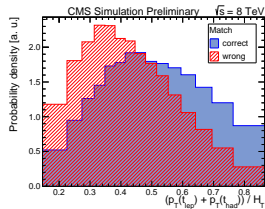
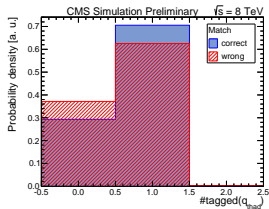
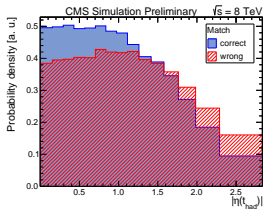
# $H \rightarrow b\bar{b}$ : Input variables for $t\bar{t}$ jet assignment



# $H \rightarrow b\bar{b}$ : Input variables for $t\bar{t}$ jet assignment



# $H \rightarrow b\bar{b}$ : Input variables for $t\bar{t}$ jet assignment



## $H \rightarrow b\bar{b}$ : Efficiency of jet identification

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- Three definitions of identification efficiency are considered:
  - “A”: Calculated with all events that pass the selection
  - “B”: Use only events in which the parton in question does have an MC-truth match
  - “C”: Use events that have a correct interpretation (as defined by the procedure, see the AN)
- A perfect jet assignment would have a 100% efficiency in definition C
  - At the same, time the efficiency in definition A incorporates effects of jet acceptance (especially,  $p_T$  cut) as well as jet splitting, merging, and other artefacts and thus might be significantly lower
- Definitions A and C can also be used for groups of more than one jet
  - A group is identified correctly if all jets in it are identified

## $H \rightarrow b\bar{b}$ : Efficiency of jet identification, $tHq$ hyp.

- Mean number of jets in a  $tHq$  event is 5.4
- Mean number of considered event interpretations is 60
- Efficiency of identification of jets and groups of jets:

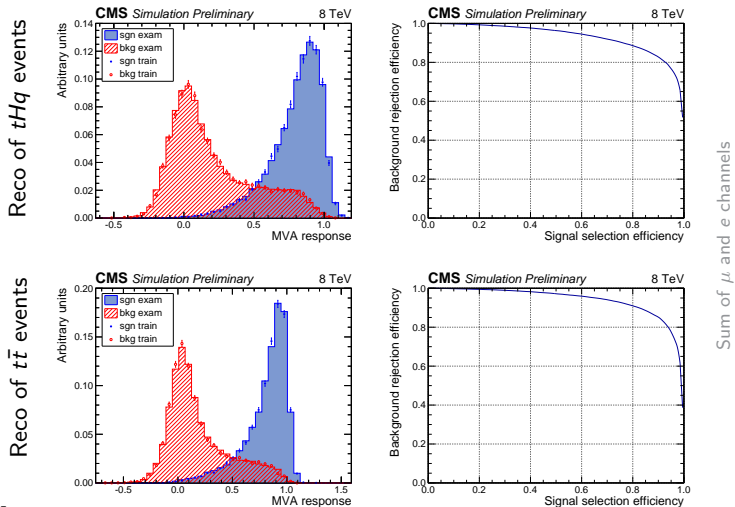
Object(s)	Efficiency		
	A	B	C
$b$ from $t \rightarrow b\ell\nu$	56.7%	61.3%	65.9%
at least one $b$ from $H \rightarrow b\bar{b}$	85.6%	—	92.3%
both $b$ from $H \rightarrow b\bar{b}$	50.8%	—	64.5%
recoil quark	51.8%	78.3%	78.5%
all four quarks	21.8%	—	44.2%

## $H \rightarrow b\bar{b}$ : Efficiency of jet identification, $t\bar{t}$ hypothesis

- Mean number of jets in a semileptonic  $t\bar{t}$  event is 5.7
- Mean number of considered event interpretations is 35
- Efficiency of identification of jets and groups of jets:

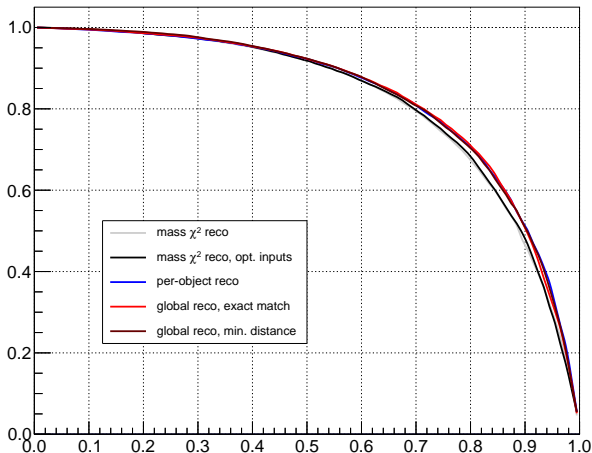
Object(s)	Efficiency		
	A	B	C
$b$ from $t \rightarrow b\ell\nu$	63.5%	69.5%	66.0%
$b$ from $t \rightarrow \text{had}$	58.3%	63.5%	68.3%
at least one $q$ from $W \rightarrow q\bar{q}'$	63.0%	—	90.0%
both $q$ from $W \rightarrow q\bar{q}'$	10.9%	—	56.6%
all quarks from $t \rightarrow \text{had}$	8.6%	—	47.1%
all four quarks	6.1%	—	37.0%

# $H \rightarrow b\bar{b}$ : Responses and ROCs for jet assignment



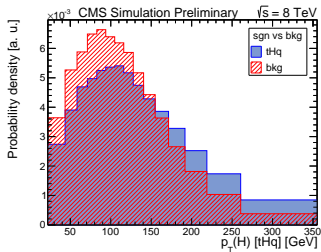
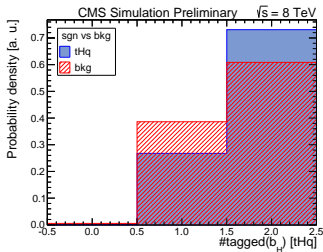
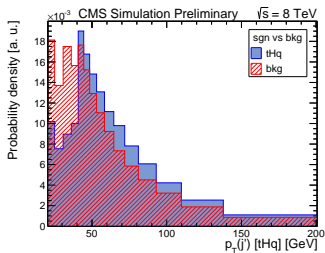
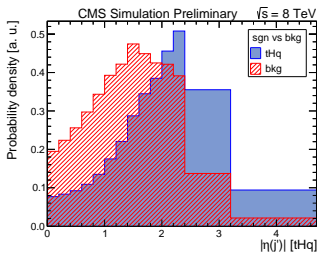
# $H \rightarrow b\bar{b}$ : Jet assignment and perf. of class. MVA

ROC for  $tq$  vs  $t\bar{t}$  with different reconstruction



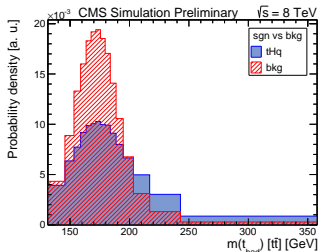
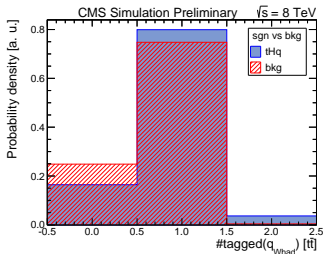
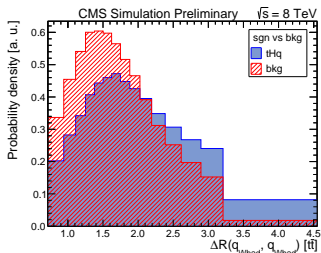
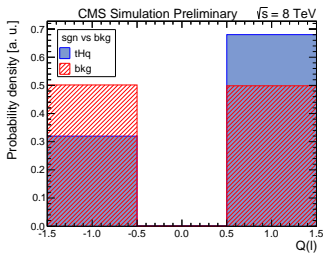


# $H \rightarrow b\bar{b}$ : Input variables for $tHq$ vs bkg classification



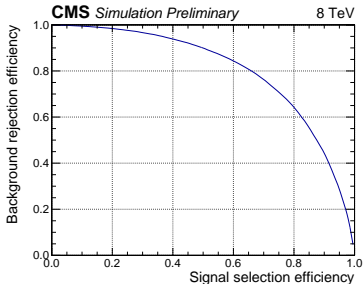
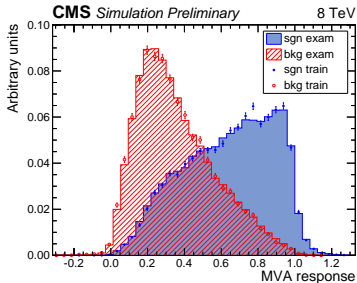
Sum of  $\mu$  and e channels

# $H \rightarrow b\bar{b}$ : Input variables for $tHq$ vs bkg classification



Sum of  $\mu$  and e channels

# $H \rightarrow b\bar{b}$ : Response and ROC of classification MVA



## $H \rightarrow b\bar{b}$ : Systematics

Source	Type	impact as exclusive source on final limit [%]	improvement of final limit after removal [%]
JES	shape	17	3
JER	shape	< 1	< 1
BTag light flavor	shape	13	< 1
BTag heavy flavor	shape	17	< 1
Pile up	normalization	< 1	< 1
Unclustered energy	shape	3	1
Lepton efficiency	normalization	5	< 1
Luminosity	normalization	10	< 1
Cross section (PDF)	normalization	8	< 1
Cross section (Scale)	normalization	9	< 1
MC Bin-by-Bin unc.	shape	< 1	< 1
$Q^2$ scale ( $tHq + t\bar{t}$ )	shape	20	4
Matching	shape	2	2
Top $p_T$ reweighting	shape	19	2
$t\bar{t}$ HF rates ( $b$ )	normalization	13	< 1
$t\bar{t}$ HF rates ( $b\bar{b}$ )	normalization	15	< 1
$t\bar{t}$ HF rates ( $c / c\bar{c}$ )	normalization	13	1

# $H \rightarrow b\bar{b}$ : Post-fit distributions in MVA response

