

Bottomonium production at forward rapidity with ALICE at the LHC

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Outline

- 1 Bottomonia in hadron collisions
- 2 ALICE
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Quarkonia in A-A and p-A collisions

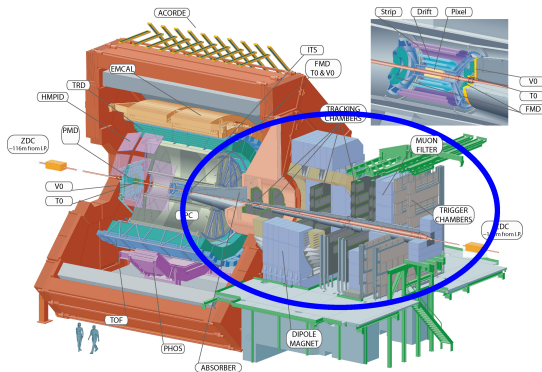
- Quarkonia play an important role in the study of Quark-Gluon Plasma (a deconfined phase of nuclear matter) because:
 - they are created in the early stage of nucleus-nucleus collisions;
 - the production is expected to be modified by the QGP (PLB 178 (1986) 416);
 - having different radii (i.e. different binding energies) a sequential suppression is expected (PRD 64 (2001) 094015).
- Concurrent mechanisms may occur:
 - $c\bar{c}$ recombination is expected to be important for charmonium at LHC energy;
 - cold nuclear matter effects (shadowing and parton energy loss in particular) can modify quarkonium production even in absence of the hot and dense matter.
- The study of p-A collisions is therefore essential to disentangle the hot from the cold matter effects and to understand the results in A-A collisions.

The importance of bottomonium production

- The $Q\bar{Q}$ binding into quarkonium states is a non-perturbative process still not well understood \rightarrow theoretical calculations for bottomonium production are more robust due to the higher mass of the b quark.
- The probability of Υ regeneration by $b\bar{b}$ recombination is much smaller than that for the the J/ψ (~ 100 $c\bar{c}$ pairs per central event w.r.t. a few $b\bar{b}$ pairs at LHC energy).
- The measurement of Υ in p-A collisions allows a study of CNM effects in a slightly different Bjorken- x range, complementing the J/ψ studies.

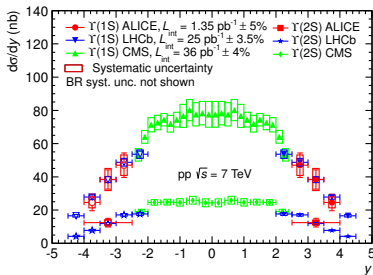
A Large Ion Collider Experiment

- ALICE is the LHC experiment dedicated to the study of ultrarelativistic heavy-ion collisions.
- It has also an interesting pp program.
- At forward rapidity ($2.5 < y < 4$) quarkonium states are reconstructed via the dimuon decay down to transverse momentum (p_T) equal to 0 with the **Muon Spectrometer**.
- V0 and T0 detectors are also used in the analyses for triggering purposes, while the SPD is used for primary vertex reconstruction.

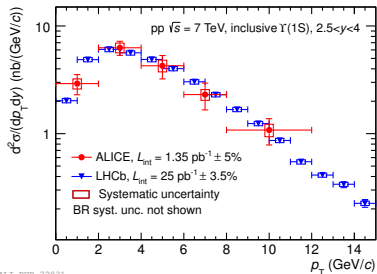


Production cross sections in pp collisions at $\sqrt{s} = 7$ TeV

- The analysis is based on a data sample corresponding to an integrated luminosity of 1.35 pb^{-1} (EPJC 74 (2014) 2974).



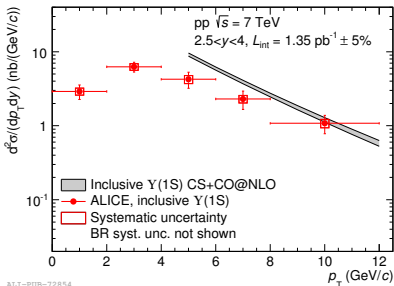
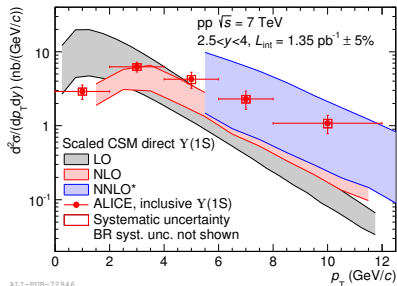
ALI-PUB-72839



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- The p_T and y -differential cross sections compared to the values reported by LHCb (EPJC 72 (2012) 2025) show a good agreement for both resonances. They complement the measurements performed by CMS at midrapidity.

Cross sections in pp collisions: model comparisons

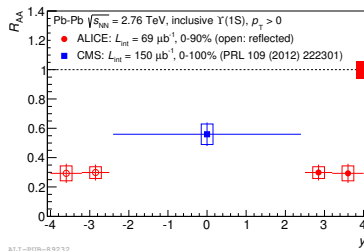
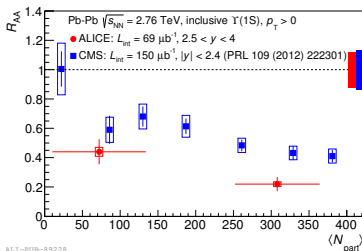


- CSM predictions are scaled by a factor $1/0.6$ to account for the feed-down from $\Upsilon(2S)$, $\Upsilon(3S)$ and χ_b :
 - LO calculation underestimates the data for $p_T > 4$ GeV/c;
 - NLO calculation reproduces the data at low p_T , but it still underestimates the cross section over the full range;
 - a good agreement is achieved at NNLO*, over a limited p_T range and with large uncertainties.
- NRQCD (with feed-down) overestimates the data, but the disagreement becomes smaller at higher p_T .

$\Upsilon(1S)$ production in Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV

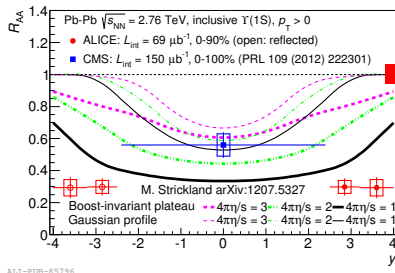
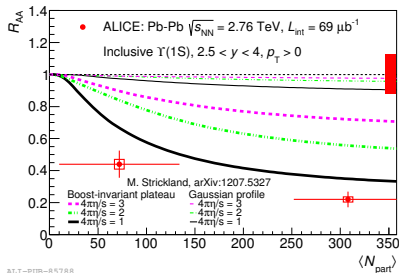
- The analysed data sample corresponds to an integrated luminosity of $69 \mu\text{b}^{-1}$ (PLB 738 (2014) 361-372).
- The in-medium modification is evaluated through the nuclear modification factor:

$$R_{AA} = \frac{Y_{AA}}{\langle T_{AA} \rangle \cdot \sigma_{pp}}$$



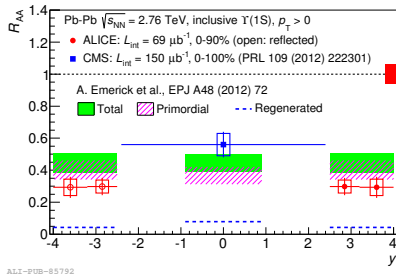
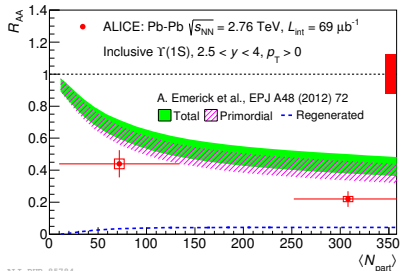
- The suppression increases with the centrality of the collisions.
- The value of the $\Upsilon(1S)$ R_{AA} in $2.5 < y < 4$ is significantly lower than the measurement made by CMS at midrapidity in $|y| < 2.4$ (PRL 109 (2012) 222301).

Comparison with a dynamical model



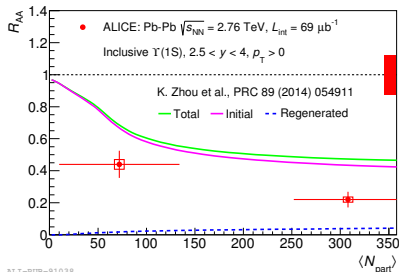
- The QGP is described by means of a dynamical model which includes the suppression of the different bottomonium states, but not CNM effects nor recombination.
- Two initial temperature rapidity profiles: boost-invariant plateau and Gaussian with 3 values of η/s for each of them.
- None of the calculations reproduce the ALICE data. The rapidity trend measured by ALICE and CMS is opposite to what predicted by the model.

Comparison with transport models

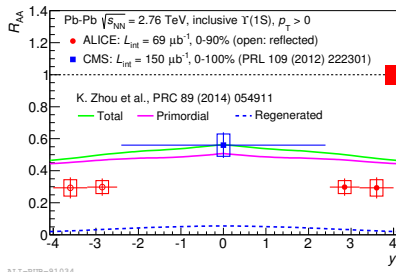


- The model accounts for both suppression and regeneration mechanisms.
- Cold nuclear matter effects are considered by means of an effective absorption cross section between 0 and 2 mb, including shadowing, nuclear absorption and Cronin effect.
- The measured R_{AA} is overestimated by the calculation that, however, reproduces the decreasing trend with $\langle N_{part} \rangle$. The model predicts an R_{AA} almost constant as a function of rapidity, not supported by the data.

Comparison with transport models



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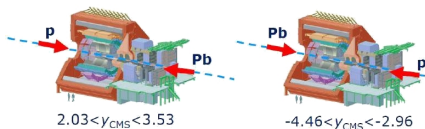


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- The model includes Υ suppression, a small regeneration component and shadowing effects (EKS98 parametrization).
- Also in this case the calculations underestimate the observed suppression and fails to reproduce its rapidity dependence.
- A precise measurement of feed-down and CNM effects is therefore necessary in order to make a more stringent comparison with models.

Υ production in p-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

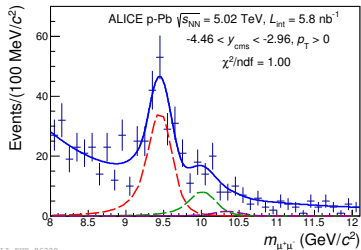
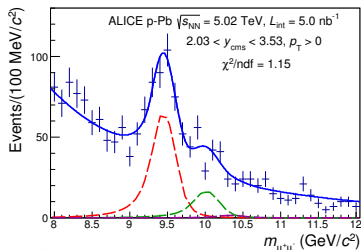
- The data set corresponds to an integrated luminosity of 5.0 nb^{-1} in p-Pb and 5.8 nb^{-1} in Pb-p collisions.



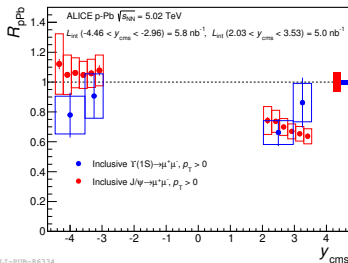
- The CNM effects are quantified

$$R_{\text{pPb}} = \frac{\sigma_{\text{pPb}}}{A_{\text{Pb}} \cdot \sigma_{\text{pp}}}$$

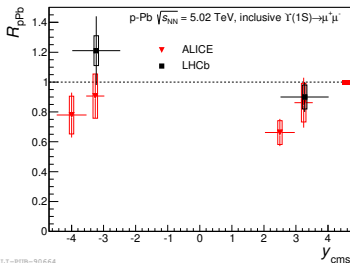
- Results are available on [PLB 740 \(2015\) 105-117](#).



$\Upsilon(1S)$ R_{pPb} measurements



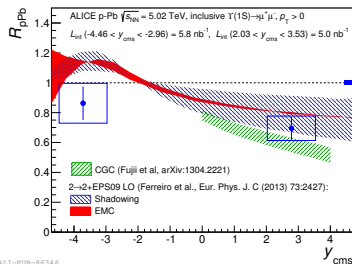
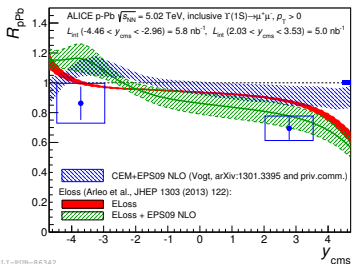
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ALI-Pb-06664

- The $\Upsilon(1S)$ is suppressed at forward rapidity, while at backward rapidity the R_{pPb} is compatible with unity within uncertainties, disfavouring a strong gluon anti-shadowing.
- At positive y_{cms} the $\Upsilon(1S)$ and J/ψ R_{pPb} are rather similar. At negative rapidity, the J/ψ R_{pPb} is systematically above that of $\Upsilon(1S)$, even if they are still consistent within uncertainties.
- The R_{pPb} measured by LHCb (JHEP 07 (2014) 094) is consistent within uncertainties with the ALICE result.

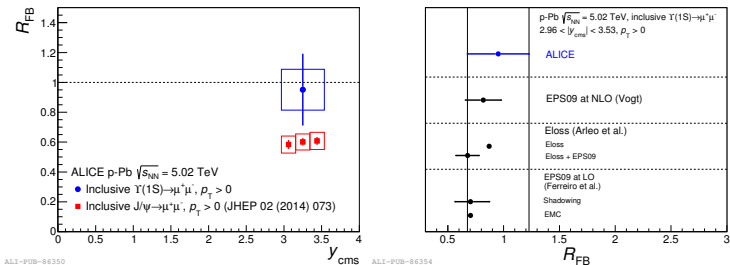
$\Upsilon(1S)$ R_{pPb} compared to theoretical models



- NLO CEM calculation with EPS09 overestimates the measured R_{pPb} .
- The parton energy loss with EPS09 calculation reproduces well the data at forward rapidity, while data at backward rapidity are in a better agreement with parton energy loss only calculation.
- In the framework of a $gg \rightarrow \Upsilon g$ model, the R_{pPb} at backward rapidity disfavors the strong gluon anti-shadowing included in the EPS09 parametrization.
- The calculation based on the CGC slightly underestimates the R_{pPb} , but it is not able to reproduce the J/ψ measurements in the same rapidity range.

Forward-to-backward ratio (R_{FB})

- R_{FB} is the ratio of the nuclear modification factors at forward and at backward rapidities in a range symmetric with respect to $y_{cms} = 0$. It is independent of the pp cross section.



- In the rapidity range $2.96 < |y_{cms}| < 3.53$ the $\Upsilon(1S)$ R_{FB} is compatible with unity and is larger than that of the J/ψ .
- All models describe the data within the uncertainty of the measurement.

$\Upsilon(2S)$ -to- $\Upsilon(1S)$ cross sections ratio

- The $\Upsilon(2S)$ -to- $\Upsilon(1S)$ cross section ratio measured by ALICE in p-Pb collisions is:

$-4.46 < y_{\text{cms}} < -2.96$	$0.26 \pm 0.09 \pm 0.04$
$2.03 < y_{\text{cms}} < 3.53$	$0.27 \pm 0.08 \pm 0.04$

- The same ratio has been measured in pp collisions by ALICE at $\sqrt{s} = 7$ TeV¹ and by LHCb at various energies in the range $2.0 < y < 4.5$ ².

ALICE $\sqrt{s} = 7$ TeV	0.28 ± 0.08
LHCb $\sqrt{s} = 2.76$ TeV	0.24 ± 0.03
LHCb $\sqrt{s} = 7$ TeV	0.25 ± 0.02
LHCb $\sqrt{s} = 8$ TeV	0.23 ± 0.01

- The cross section ratio in p-Pb collisions measured by ALICE at forward rapidity is compatible with that for pp collisions: within uncertainties there is no evidence of a different magnitude of CNM effects between the two states.

¹EPJC 74 (2014) 2974

²EPJC 72 (2012) 2025, EPJC 74 (2014) 2835, JHEP 1306 (2013) 064

Conclusions

pp collisions:

- the p_T and y -differential production cross sections are in good agreement with measurements by LHCb and complement the results at midrapidity from CMS;
- CSM calculations underestimate the data at large p_T . The leading- p_T NNLO helps to reduce the disagreement but with larger uncertainties.

Pb-Pb collisions:

- the observed $\Upsilon(1S)$ suppression is stronger in central than in semiperipheral collisions and shows a pronounced rapidity dependence over the large domain covered by ALICE and CMS;
- all models underestimate the suppression at forward rapidity.

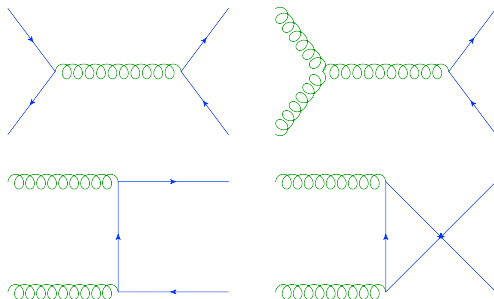
p-Pb collisions:

- the $\Upsilon(1S)$ R_{pPb} is consistent with unity at backward rapidity suggesting a small gluon anti-shadowing;
- models tend to overestimate the measurements and cannot describe the full rapidity dependence.
- the $\Upsilon(2S)$ -to- $\Upsilon(1S)$ ratio shows no evidence of different CNM effects on the two states.

Backup

Quarkonium production mechanisms /1

In hadron collisions the dominant processes involved in the quarkonium production are gluon fusion and gluon fragmentation.



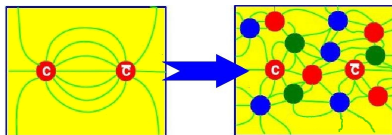
The creation of a pair $q\bar{q}$ pair can be calculated with a perturbative approach. This is not valid for the transition to the quarkonium state.

Quarkonium production mechanisms /2

- Color Singlet Model: the $q\bar{q}$ pair evolving in a quarkonium state is in a colour singlet state and the quantum numbers are conserved after the formation of the meson.
- Color Evaporation Model: the production rate for the quarkonium state is a certain fraction of the cross section for producing $q\bar{q}$ pairs. There are not constraints on the quantum numbers of the final state. The $q\bar{q}$ pair neutralizes its colour by interaction with the collision-induced colour field.
- Non-Relativistic QCD: the production cross section of a quarkonium state is written as a sum of terms taking into account a short distance partonic cross section and a long distance matrix element: $d\sigma(H) = \sum_n d\hat{\sigma}(q\bar{q}_n) \langle 0|O_n^H|0\rangle$.

Quarkonium in heavy-ion collisions

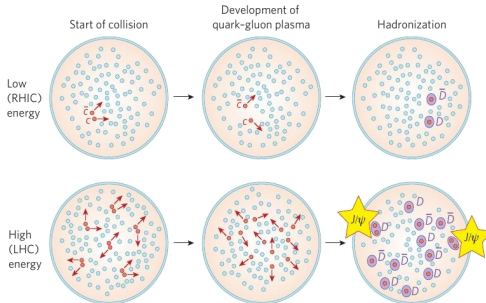
- Quarkonia play an important role in the study of Quark-Gluon Plasma (a deconfined phase of quarks and gluons) because:
 - they are created in the early stage of the collision;
 - the production is expected to be modified by the QGP (PLB, 178 (1986) 416);



- having different radii (i.e. different binding energies) a sequential suppression is expected (PRD, 64 (2001) 094015).
- Concurrent mechanisms:
 - an important $c\bar{c}$ recombination is expected at LHC energy;
 - cold nuclear matter (CNM) effects can modify quarkonium production even in absence of hot and dense matter.

Quarkonium regeneration

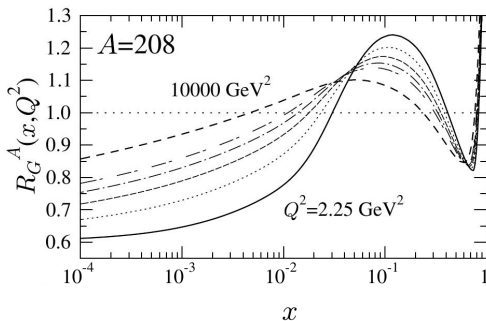
Quarkonium regeneration is due to the **statistical recombination** of the heavy quarks emerging from the medium. It is more and more important when the center-of-mass energy of the collision increases.



Accelerator and center-of-mass energy	SPS 20 GeV	RHIC 200 GeV	LHC 2.76 TeV
$N_{c\bar{c}}/\text{event}$	~ 0.2	~ 10	~ 60
$N_{b\bar{b}}/\text{event}$	–	~ 0.05	~ 6

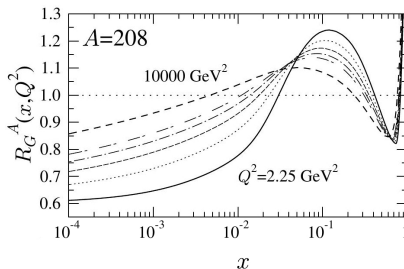
CNM effects: shadowing

PDF of a nucleon in a nucleus \neq PDF of a free nucleon.



Plot shows the ratio between the gluon PDF in a nucleon of a Pb nucleus and in a free proton as a function of the Bjorken- x (from EPS09 model). The quarkonium cross sections (\Rightarrow the yields) depend on PDF. Therefore their modifications influence the comparison of the different collision systems **even in absence of QGP**.

Shadowing and Bjorken region



Shadowing: $x_{Bj} < 0.01$, anti-shadowing: $0.01 < x_{Bj} < 0.3$;

EMC effect: $0.3 < x_{Bj} < 0.7$; Fermi motion: $x_{Bj} > 0.7$.

Under the assumption of the $gg \rightarrow \Upsilon$ production process, the sampled Bjorken- x are:

- $5.5 \cdot 10^{-5} < x_{Bj} < 2.5 \cdot 10^{-4}$ (shadowing region) at forward rapidity;
- $3.6 \cdot 10^{-2} < x_{Bj} < 1.6 \cdot 10^{-1}$ (anti-shadowing region) at backward rapidity.

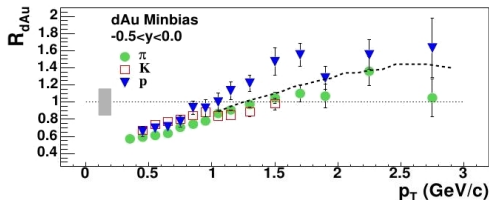
Bjorken- x range

- Assuming a $2 \rightarrow 1$ production process the tested Bjorken- x ranges are
 - Backward: $3.6 \cdot 10^{-2} < x < 1.6 \cdot 10^{-1}$ (Υ) and $1.2 \cdot 10^{-2} < x < 5.3 \cdot 10^{-2}$ (J/ψ)
 - Forward: $5.5 \cdot 10^{-5} < x < 2.5 \cdot 10^{-4}$ (Υ) and $1.8 \cdot 10^{-5} < x < 8.1 \cdot 10^{-5}$ (J/ψ)

$$x_{1,2} = \frac{m_T}{\sqrt{s}} \cdot e^{\pm y}$$

CNM effects: coherent parton energy loss and Cronin effect

- Coherent parton energy loss: a parton moving through the nucleus can scatter elastically and lose energy before the hard scattering. The effect is responsible of an hadrons suppression in pA collisions.
- Cronin effect: a parton can undergo a multiple scattering process in the nucleus before to hadronize. It acquires an extra transverse momentum which modifies the p_T spectrum of the hadrons with respect to pp collisions. This effect is responsible of an enhanced hadron production in pA collisions.



CNM effects: nuclear and comovers absorption

This final state effect is responsible of the break-up of the quarkonium state. It occurs when the $q\bar{q}$ pair is formed and passes through the nucleus and is parametrized using a phenomenological production cross section:

$$\sigma(AB \rightarrow J/\psi) \propto ABe^{-\rho\sigma_{abs}L}$$

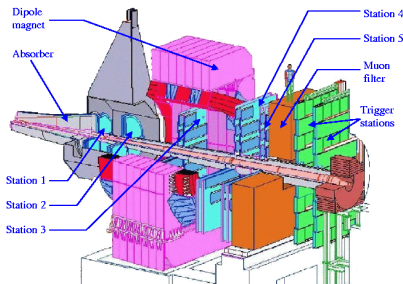
A and B are the mass numbers of the nuclei, ρ is the nuclear density, L is the path length of the pair through the nuclear matter and σ_{abs} is the absorption cross section which decreases increasing the collision energy.

Similarly, in a dense gas system formed by conventional hadrons like pions and kaons, the quarkonium could be suppressed by processes like $J/\psi + \pi \rightarrow D + \bar{D} + X$, called suppression by hadronic comovers.

The ALICE Muon Spectrometer

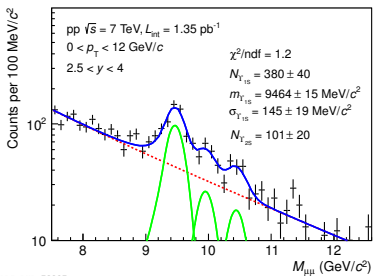
The Muon Spectrometer is composed of:

- front absorber;
- beam shield;
- iron wall;
- dipole (integrated field = $3 \text{ T}\cdot\text{m}$);
- muon tracking chambers (10 planes of MWPC with a spatial resolution in the bending coordinate better than $100 \mu\text{m}$);
- muon trigger chambers (4 planes of RPC with a time resolution of some ns and the possibility to deliver single and dimuon triggers above two p_T cuts).

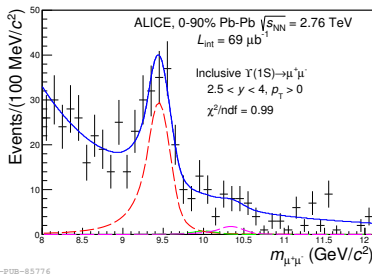


Invariant mass spectra

pp collisions



Pb-Pb collisions



Systematics on $\Upsilon(1S)$ R_{AA}

Source	Value (%)
Cross section in pp	7–25
Normalization (CMUL \rightarrow MB)	2
Signal extraction	5–10
Trigger efficiency	2
Tracking efficiency	7–9
Matching efficiency	1
MC inputs	5

Systematics on Υ cross section in pp collisions

Source	Value (%)
Luminosity	5
Signal extraction	8–13
Trigger efficiency	3
Tracking efficiency	5–10
Matching efficiency	1
MC inputs	0.6–4.5

Dynamical model

- The prediction is based on a complex potential approach in an evolving QGP described by an hydrodynamics formalism. The imaginary part is related to the in medium decay.
- The boost invariant plateau describing the spatial temperature profiles corresponds to a Bjorken picture (transparency in collisions), a Gaussian profile corresponds to a Landau picture (colliding nuclei lose all their energy).
- The plasma shear viscosities $4\pi\eta/s = \{1, 2, 3\}$ corresponds to different initial temperatures $T_0 = \{520, 504, 494\}$ MeV in central collisions, in order to keep $dN_{ch}/dy = 1400$ fixed.
- The suppression of direct $\Upsilon(1S)$ is $\sim 50\%$ of the total R_{AA} .
- Since CNM effects are not included in the model, the predictions should be considered as an upper limit.
- This model describes successfully the elliptic flow of charged particles measured by ALICE in Pb-Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV.

Transport model

- Model relies on a strongly binding scenario \rightarrow the suppression of the $\Upsilon(1S)$ is small, while that of higher states is substantial.
- The suppression and regeneration contributions are balanced by means of a rate equation:
$$\frac{dN_\Upsilon}{dt} = -\Gamma_\Upsilon^{diss} (N_\Upsilon - N_\Upsilon^{eq}).$$
- The spatio-temporal evolution of the medium is described by an expanding fire cylinder whose initial dimension are tuned according to the recent LHC measurements.
- The initial condition for the number of bottomonia at the QGP formation time and the implemented regeneration component requires the knowledge of the beauty quark cross-section.
- The CNM considered (shadowing, Cronin effect and energy loss) are approximated by a suppression factor: $e^{-\rho\sigma_{abs}L}$, from Glauber model and previous measurements.

Nuclear modification factor

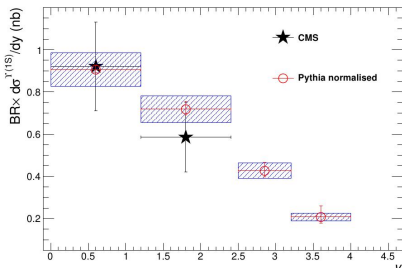
$$\begin{aligned}
 R_{AA} &= \frac{Y_{\Upsilon(1S)}^{AA}}{\underbrace{\langle N_{coll} \rangle}_{\langle T_{AA} \rangle \cdot \sigma_{N-N}} \cdot Y_{\Upsilon(1S)}^{pp}} = \\
 &= \frac{Y_{\Upsilon(1S)}^{AA}}{\langle T_{AA} \rangle \cdot \underbrace{\sigma_{N-N} \cdot Y_{\Upsilon(1S)}^{pp}}_{\sigma_{\Upsilon(1S)}^{pp}}} = \\
 &= \frac{Y_{\Upsilon(1S)}^{AA}}{\langle T_{AA} \rangle \cdot \sigma_{\Upsilon(1S)}^{pp}}
 \end{aligned}$$

Old reference cross section

Up to a few months ago, the reference cross section in pp collisions at 2.76 TeV in the ALICE kinematic domain was estimated as follows:

- interpolation of cross section data at various energy by different experiments (CDF, D0 and CMS) at midrapidity, plus the use of the FONLL predictions based on CEM;
- extrapolation of the previous result at forward rapidity with Pythia in the bins of the analysis.

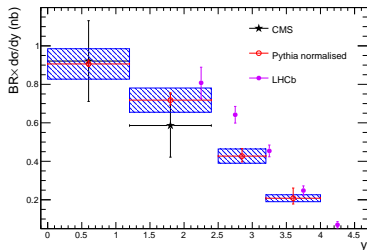
Preliminary results relied on this approach.



Rapidity	σ_{pp} (nb) value \pm extrap. \pm norm.
$2.5 < y < 3.2$	$12.05^{+9\%}_{-7\%} \pm 9\%$
$3.2 < y < 4$	$6.71^{+25\%}_{-14\%} \pm 9\%$

New reference cross section

- Then, LHCb published the Υ cross section measurements in pp collisions at 2.76 TeV at forward rapidity: we used their values to get a cross section in our bin.

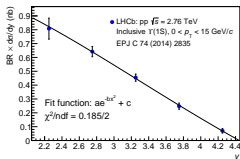
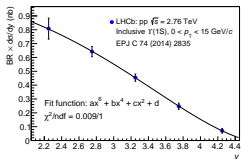
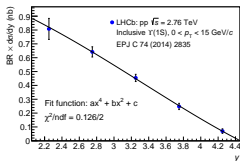
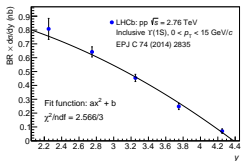


Rapidity	$\sigma_{Y(1S)}^{pp} \pm \text{uncorr} \pm \text{corr}$ (nb)
$2.5 < y < 3.2$	$16.93 \pm 0.73 \pm 0.60$
$3.2 < y < 4$	$10.20 \pm 0.64 \pm 0.36$

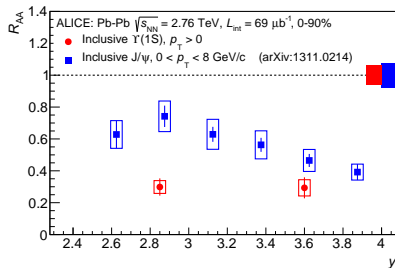
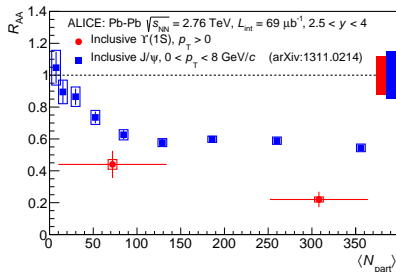
- The results obtained with the old method underestimate the LHCb measurements (difference of 30–35%, not recovered by uncertainties): it reflects into a decrease of the R_{AA} modifying all the conclusions made in the preliminary results.

New reference cross section

- A few months ago LHCb published the Υ cross section measurements in pp collisions at 2.76 TeV at forward rapidity.
- Since their rapidity bins don't correspond to ours, we fitted their differential values to different symmetric functions and then we integrated the results according to our bins.



Comparison with ALICE J/ψ at forward rapidity



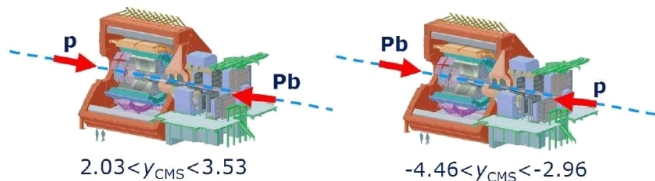
- The R_{AA} is about two times larger for J/ψ than for $\Upsilon(1S)$ in central collisions, while the results are closer for semiperipheral ones.
- $\Upsilon(1S)$ is more suppressed than J/ψ even versus rapidity.
- It is difficult to make conclusions due to the different contribution of regeneration and feed-down from higher mass states for and $\Upsilon(1S)$.

Systematics on $\Upsilon(1S)$ R_{pA}

Source	Backward rapidities	Forward rapidities
Signal extraction: $\Upsilon(1S)$	5%-6%(II)	4%-6%(II)
Signal extraction: $\Upsilon(2S)$	12%(II)	12%(II)
Input MC parameterization: $\Upsilon(1S)$	2%-5%(II)	4%-6%(II)
Input MC parameterization: $\Upsilon(2S)$	5%(II)	5%(II)
Tracking efficiency	6%(II)	4%(II)
Trigger efficiency	2%(II)	2%(II)
Matching efficiency	1%(II)	1%(II)
$\sigma_{pp}^{\Upsilon(1S)}$ (interpolation)	11%-13% (II)	7%-12%(II)
\mathcal{L} (correlated)	1.6%(I)	1.6%(I)
\mathcal{L} (uncorrelated)	2.7%(II)	2.9%(II)

p-Pb and Pb-p collisions: energy and rapidity

- $\sqrt{s_{NN}} = 5.02$ TeV in nucleon-nucleon center of mass
 - p beam energy: 4 TeV
 - Pb beam energy: 1.58 TeV/A
 - $\Rightarrow \Delta y_{NN} = 0.465$ in p beam direction
- p-Pb collisions: $2.03 < y_{CMS} < 3.53 \Rightarrow 3.43 < y_{lab} < 4$
- Pb-p collisions: $-4.46 < y_{CMS} < -2.96 \Rightarrow 2.5 < y_{lab} < 3.07$
- Rapidity overlap region: $2.96 < |y_{CMS}| < 3.53$



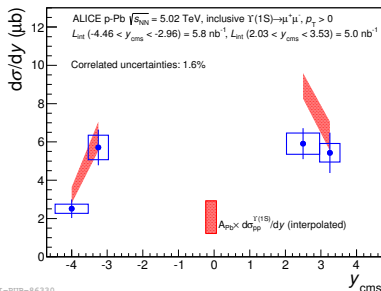
Υ differential cross sections in p-Pb collisions

$$\sigma_{\text{pPb}}^{\Upsilon(1S)}(-4.46 < y_{\text{cms}} < -2.96) = 5.57 \pm 0.72(\text{stat}) \pm 0.57(\text{syst}) \mu\text{b}$$

$$\sigma_{\text{pPb}}^{\Upsilon(1S)}(2.03 < y_{\text{cms}} < 3.53) = 8.45 \pm 0.94(\text{stat}) \pm 0.75(\text{syst}) \mu\text{b}.$$

$$\sigma_{\text{pPb}}^{\Upsilon(2S)}(-4.46 < y_{\text{cms}} < -2.96) = 1.85 \pm 0.61(\text{stat}) \pm 0.33(\text{syst}) \mu\text{b}$$

$$\sigma_{\text{pPb}}^{\Upsilon(2S)}(2.03 < y_{\text{cms}} < 3.53) = 2.97 \pm 0.82(\text{stat}) \pm 0.50(\text{syst}) \mu\text{b}.$$



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- The red bands correspond to the interpolated inclusive $\Upsilon(1S)$ pp cross section multiplied by the Pb mass number (208).
- $\sigma_{\text{pp}}^{\Upsilon(1S)}$ at 5.02 TeV is evaluated interpolating LHCb data at 2.76, 7 and 8 TeV (provided in $2 < y < 4.5$) in our y -bins.
- For each rapidity bin, the cross section as a function of energy was fitted according to different shapes based on LO-CEM, FONLL and on phenomenological functions ([ALICE-PUBLIC-2014-002](#)).
- The final values were averaged together.