



University of  
Zurich<sup>UZH</sup>



# Search for Dark Matter with top pair production in single-lepton channel

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Zurich PhD Seminar 2014

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**Deborah Pinna**

# Introduction

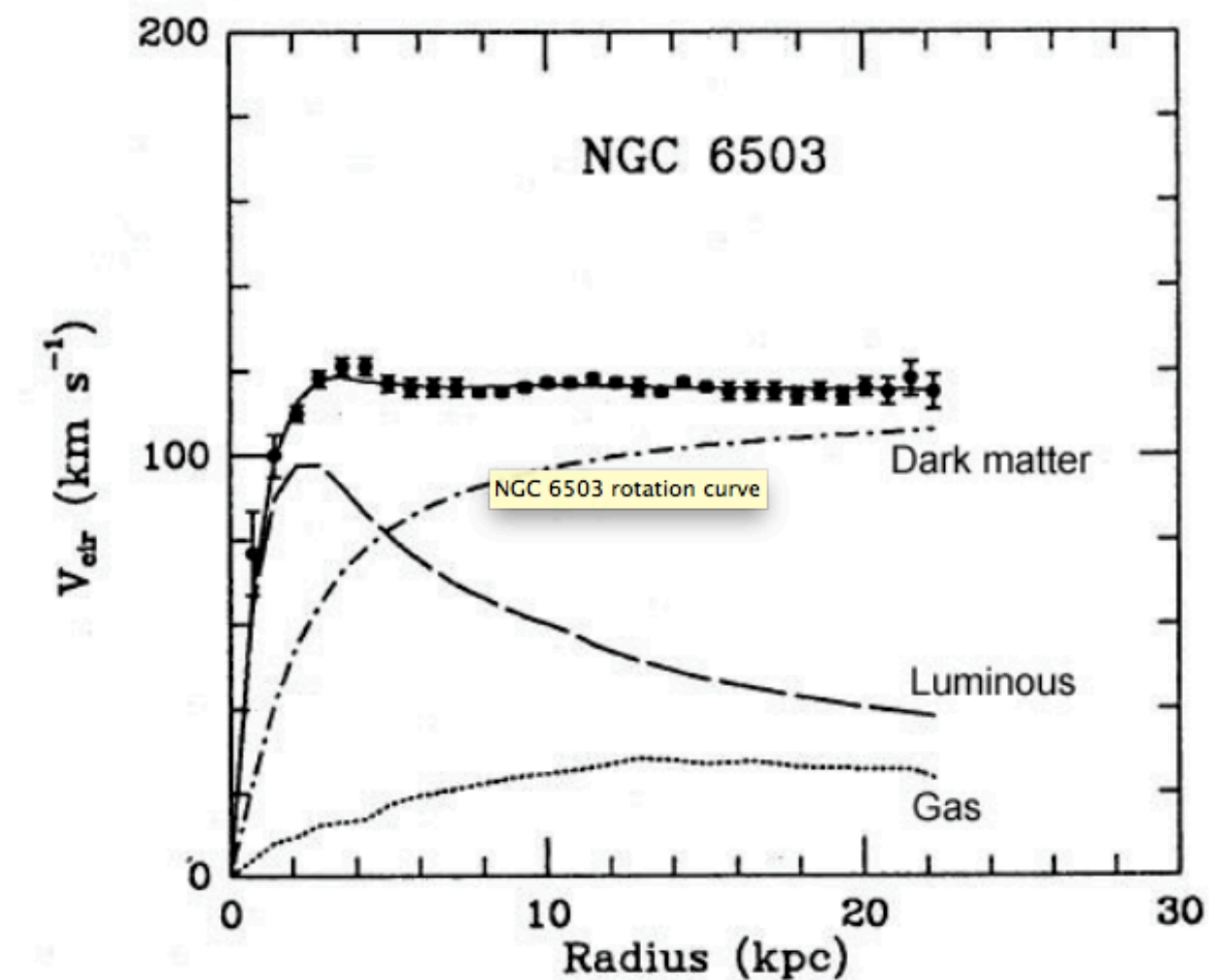
- Evidence at different observable length scales for Dark Matter (DM)

dispersion velocity of galaxies in galactic cluster too large to be explained by luminous matter

rotation curves on singular galaxies constant beyond luminous region

velocity is expected to go like  $r^{-1/2}$

differences explained by non luminous mass (dark matter)



- Studies at different scales provide overwhelming evidence that Universe is composed mainly of non-luminous matter

Dark matter abundance ~25% of the Universe, five times the amount of baryonic matter

- Evidence based on gravitational interactions, no information of what is the nature of Dark Matter

# Introduction

- Dark Matter leading empirical evidence for new physics beyond the Standard Model (SM) in addition to problems like gauge hierarchy and neutrino mass
- Large variety of Dark Matter candidates motivated both by cosmology and particle physics

the most studied is a **Weakly Interacting Massive Particle (WIMP)**

neutral particle, mass in the range 10 GeV - TeV, weak interactions, correct relic density, may be detected in different ways

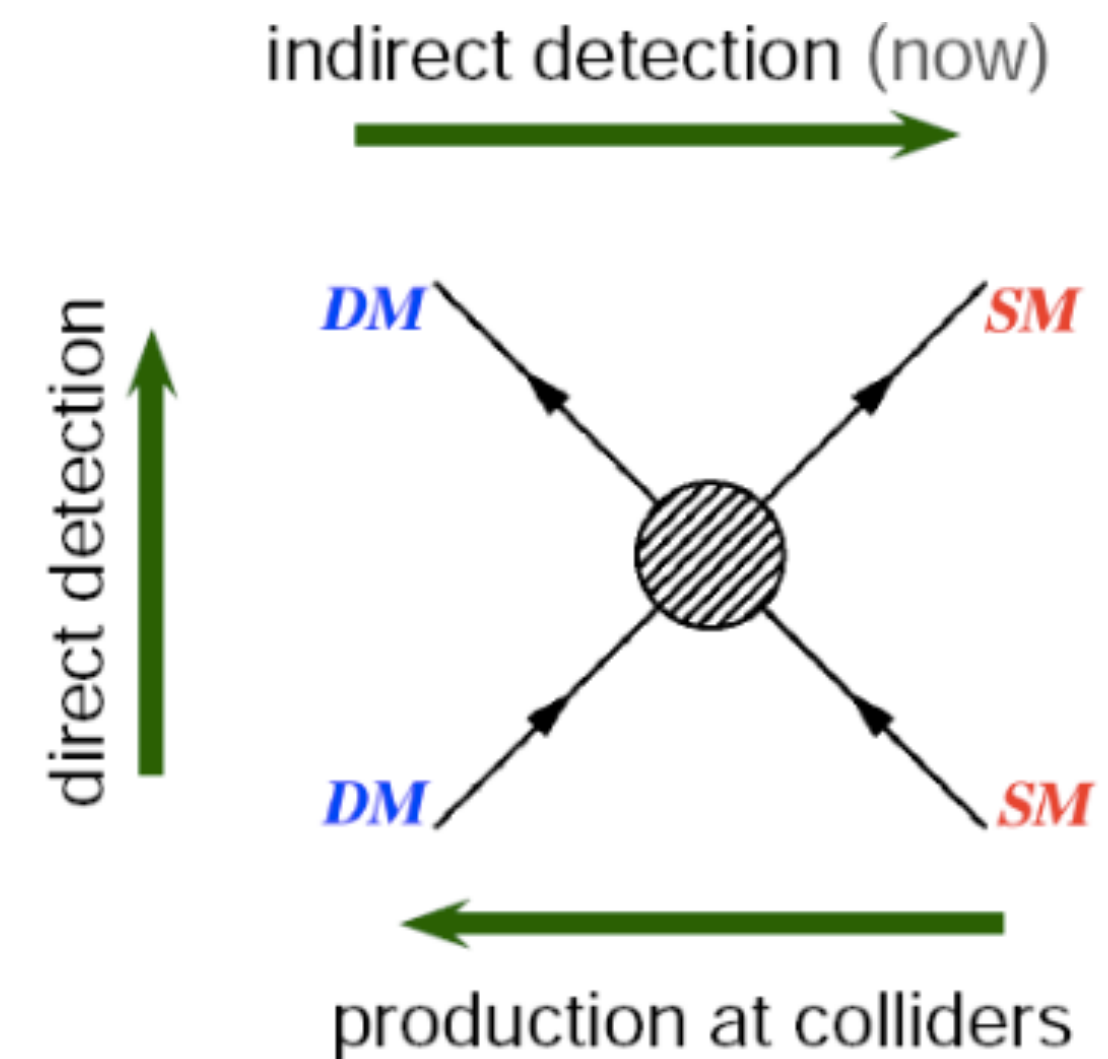
many particle physics theories provide this candidate, important to perform **model-independent DM searches**  
**Effective Field Theory (EFT)**, interaction between DM and SM particles described by effective operators

- Studies at largest and smallest observable length scales

indirect searches: products of DM annihilations or decays

direct searches : scattering DM-heavy nucleons

collider searches: signature of DM production



# Effective Field Theory

- EFT approach valid when the energy scale of considered SM-DM process (momentum transferred  $Q_{tr}$ ) is small compared to the underlying microscopic interaction (cutoff scale  $M_*$ )
- Assuming DM is a Dirac fermion  $\chi$  the effective operators are of form  $\bar{q}\Gamma q\bar{\chi}\Gamma\chi$

Name	Initial state	Type	Operator
D1	$qq$	scalar	$\frac{m_q}{M_*^3}\bar{\chi}\chi\bar{q}q$
D5	$qq$	vector	$\frac{1}{M_*^2}\bar{\chi}\gamma^\mu\chi\bar{q}\gamma_\mu q$
D8	$qq$	axial-vector	$\frac{1}{M_*^2}\bar{\chi}\gamma^\mu\gamma^5\chi\bar{q}\gamma_\mu\gamma^5 q$
D9	$qq$	tensor	$\frac{1}{M_*^2}\bar{\chi}\sigma^{\mu\nu}\chi\bar{q}\sigma_{\mu\nu} q$
D11	$gg$	scalar	$\frac{1}{4M_*^3}\bar{\chi}\chi\alpha_s(G_{\mu\nu}^a)^2$

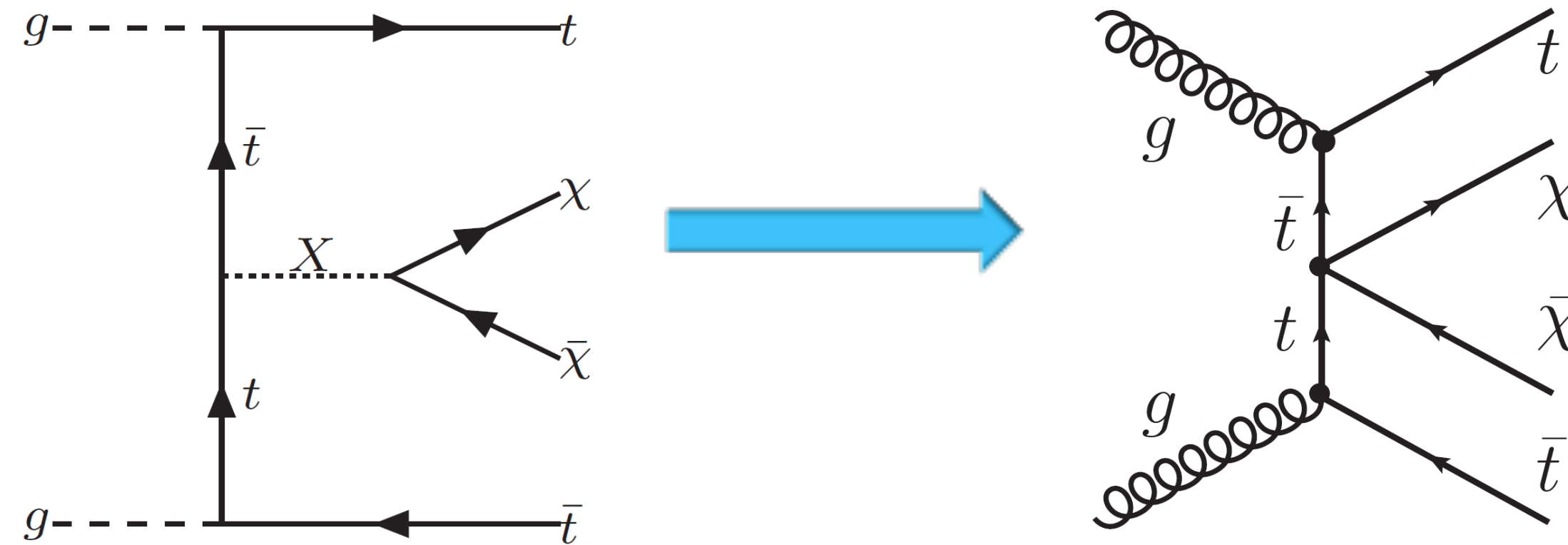
these operators encode the underlying microscopic interaction  
the interaction strength depends on the parameter  $M_*$  (but not the kinematics)

more precise informations comes from knowing details of the UV theory

- **Scalar interaction**  
proportional to quark mass, better constraints from events where DM couples to massive quarks
- **Study of production of DM in association with top quark pair**

# Effective Field Theory

- Supposing a heavy mediator of mass  $M$  in the s-channel coupling to DM and SM with couplings  $g_1$   $g_2$ .  
Considering only the lowest order operators in the EFT approach is connected to the propagator expansion



$$\frac{g_1 g_2}{Q_{tr}^2 - M^2} = -\frac{g_1 g_2}{M^2} \left( 1 + \frac{Q^2}{M^2} + \mathcal{O}\left(\frac{Q_{tr}^4}{M^4}\right) \right) \simeq \boxed{-\frac{g_1 g_2}{M^2}} \text{ for } Q_{tr}^2 \ll M^2$$

the coefficient of the effective operator should match to reproduce the UV theory, i.e. for D1

$$\boxed{M_* = \left( \frac{m_q M^2}{g_1 g_2} \right)^{1/3}}$$

# Effective Field Theory

- In general the EFT field theory is valid when  $Q_{tr} \ll M_*$
- The validity of the truncation of the propagator expansion requires

$$Q_{tr} < M$$

from the assumed UV details (heavy mediator, s-channel)

$$\frac{M_*^3}{m_q} > \frac{M^2}{g_1 g_2}$$

from kinematics

$$Q_{tr} > 2m_\chi$$

assuming most strongly coupled scenario in the perturbative regime

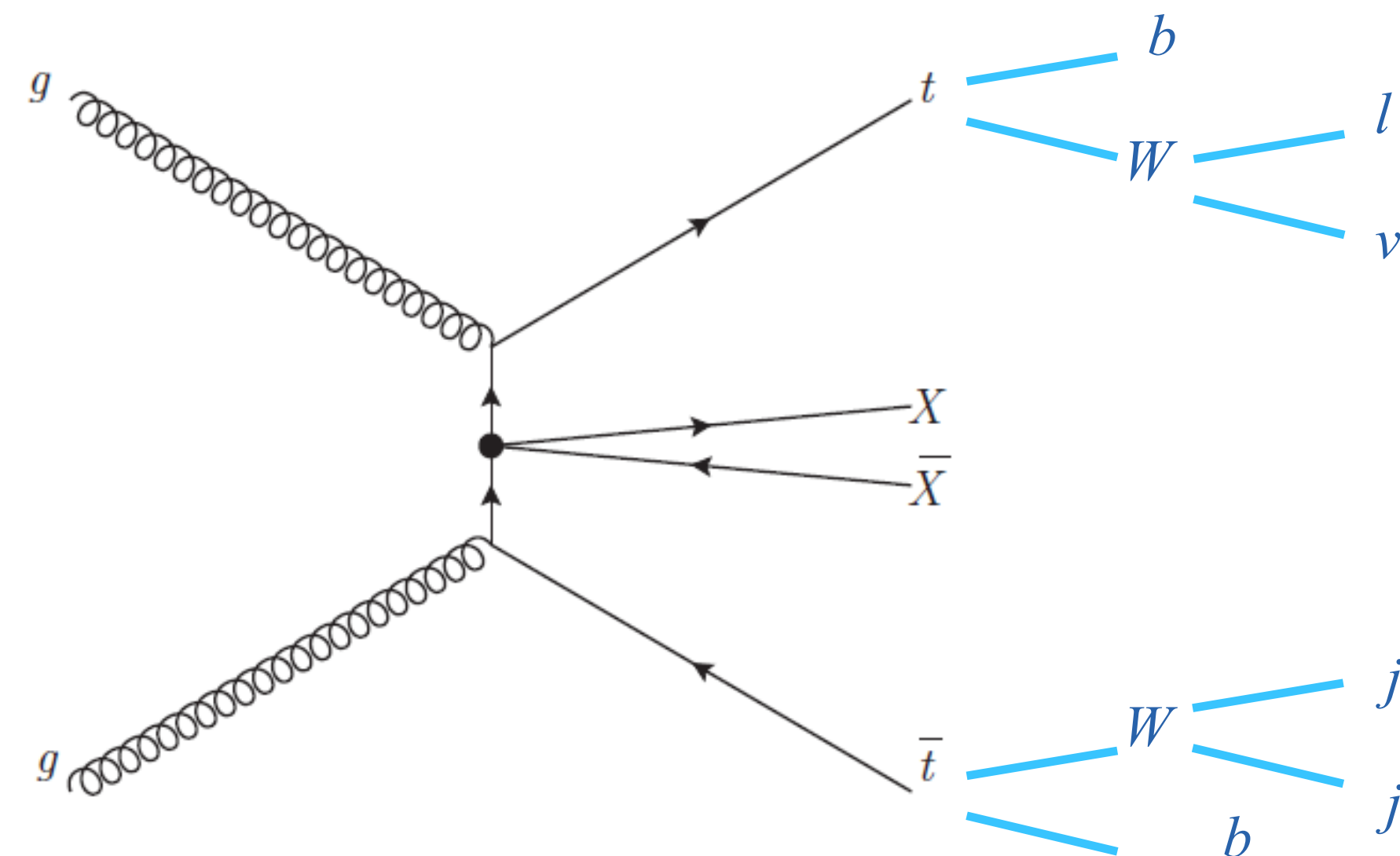
$$\sqrt{g_1 g_2} < 4\pi$$

$$\sqrt{\frac{M_*^3}{m_q}} > \frac{M_\chi}{2\pi}$$

This is a very minimal requirement on  $M_*$  and it depends on the details of the UV completion

# Scalar interaction: DM + top production

- Study of production of DM in association with top quark pair (semi-leptonic channel)



## Signature

large unbalanced momenta in the transverse plane (MET)

one reconstructed lepton (from  $W$  decay)

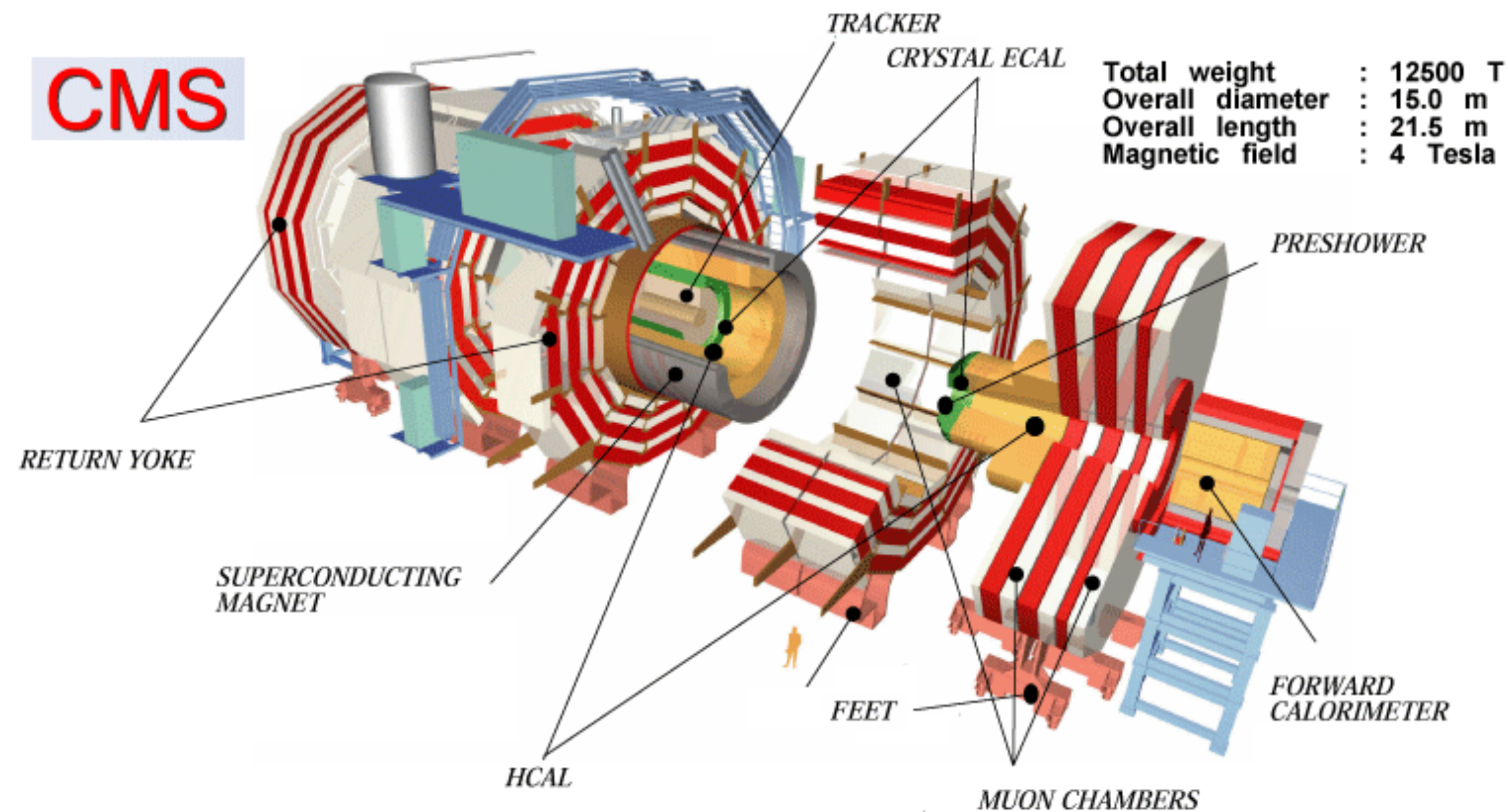
Multiple jets and 2 jets from fragmentation and hadronization of  $b$ -quark from top

- SM processes that can mimic signatures of the process under study are referred as **background processes**

$tt$ +jets,  $W$ +jets,  $Z$ +jets (Drell-Yan), single top,  $WW/WZ/ZZ$  (dibosons)

# Experimental apparatus: CMS detector

- **Compact Muon Solenoid (CMS)** one of four main experiments at LHC  
Is a multi-purpose experiment: aims to study unknown physics beyond the SM, while provides excellent performances for SM studies



Search performed using data collected by CMS experiment during 2012

center of mass energy 8 TeV  
integrated luminosity 19.7 fb<sup>-1</sup>

Di-lepton channel already investigated  
CMS-PAS-B2G-13-044

**Single-lepton channel** presented in this talk  
CMS-PAS-B2G-14-004



# Event selection

- Pre-selection

1 lepton

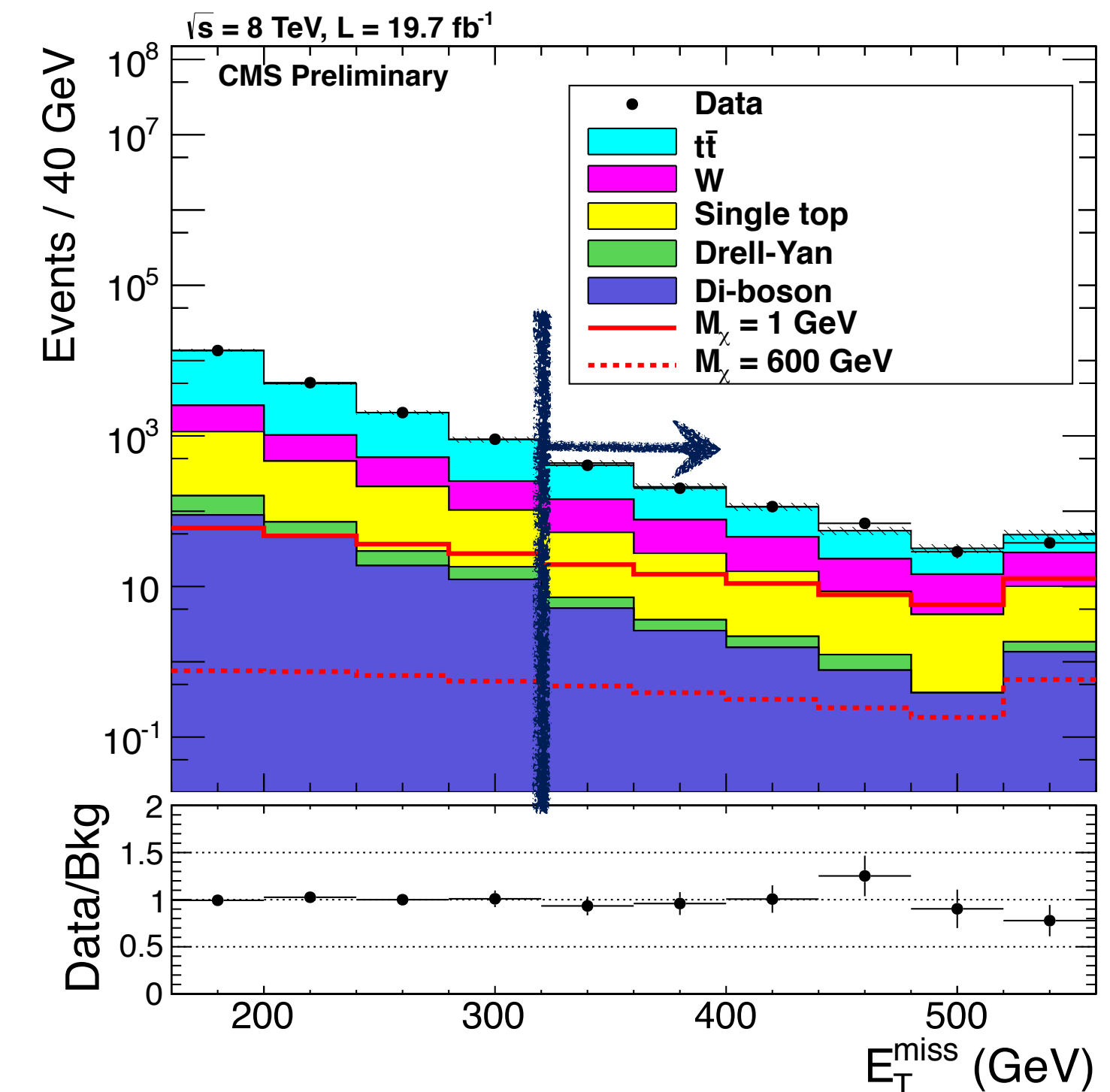
at least 3 jets of which at least one is b-tagged (Combined Secondary Vertex medium working point)

Missing Transverse Energy (MET) > 160 GeV

- Selection: contamination from backgrounds can be reduced using variables discriminating on the kinematics

Variable	Cut
MET	> 320 GeV
$m_T = \sqrt{2p_T^{lep} E_T^{miss} (1 - \cos(\Delta\phi))}$	> 160 GeV
$\min(\Delta\Phi_{j1,MET}, \Delta\Phi_{j2,MET})$	> 1.2
$M_{t2W}$	> 200 GeV

signal has large MET from DM particles which escape detector



# Event selection

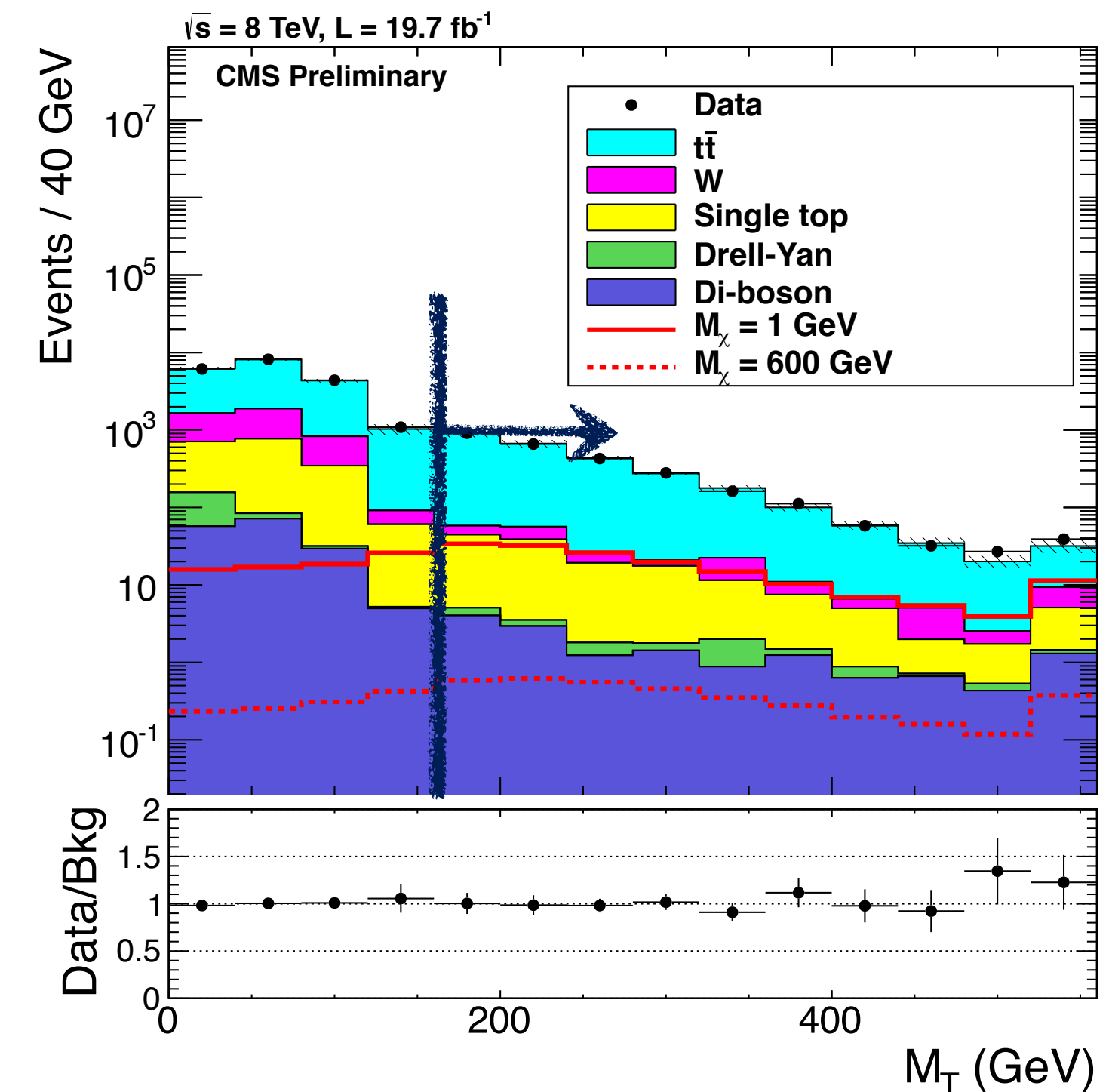
- Pre-selection

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$M_{t2W}$	> 200 GeV

Most W+jets and tt+jets semi-leptonic events  $M_T < M_W$ . Signal events distribution peaks at higher values



# Event selection

- Pre-selection

1 lepton

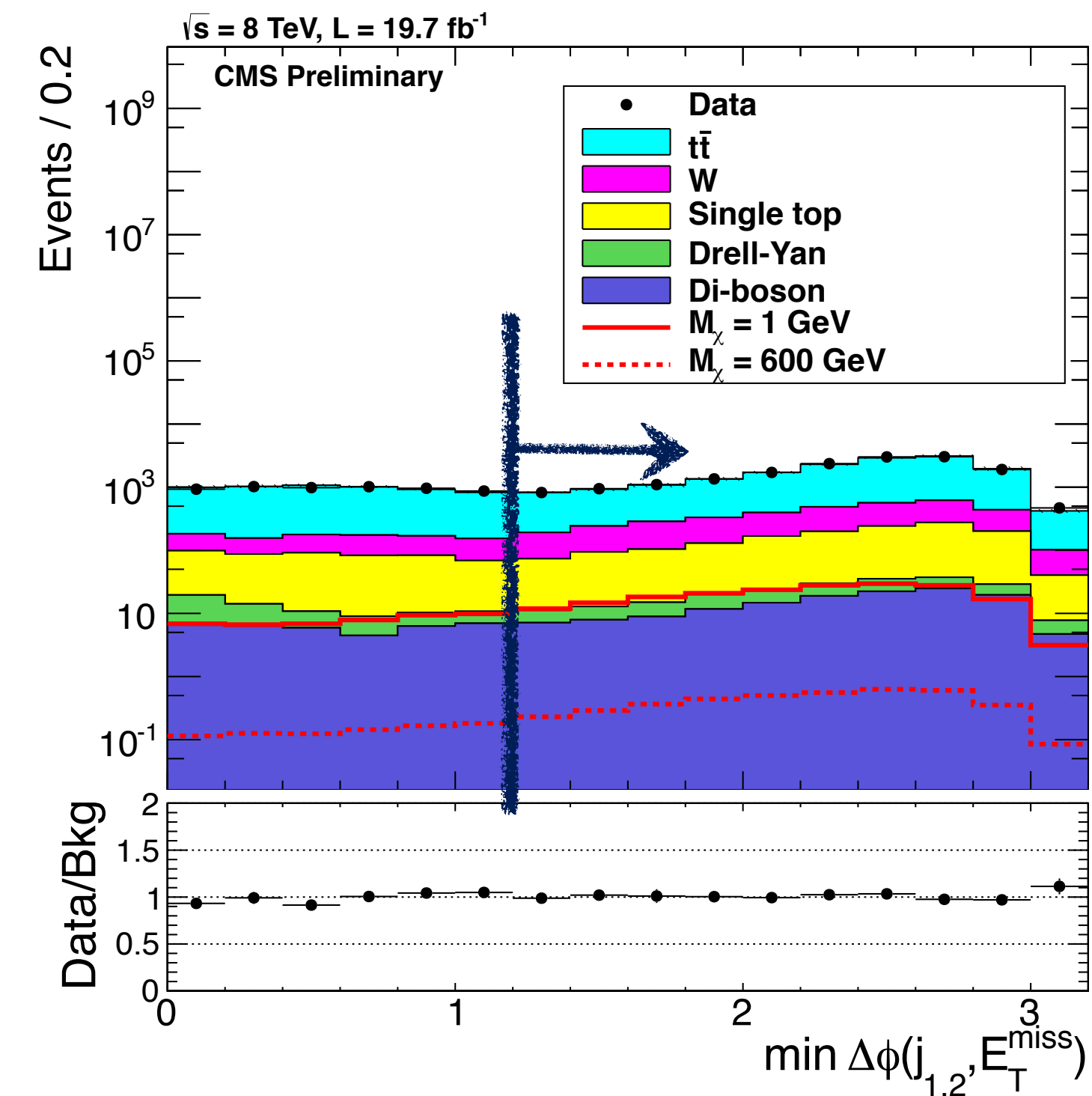
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Missing Transverse Energy (MET) > 160 GeV

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$\min(\Delta\Phi_{j1,MET}, \Delta\Phi_{j2,MET})$	> 1.2
$M_{t2W}$	> 200 GeV

The jets and the MET tends to be more separated in  $\Phi$  in signal events than in tt and in single top events



# Event selection

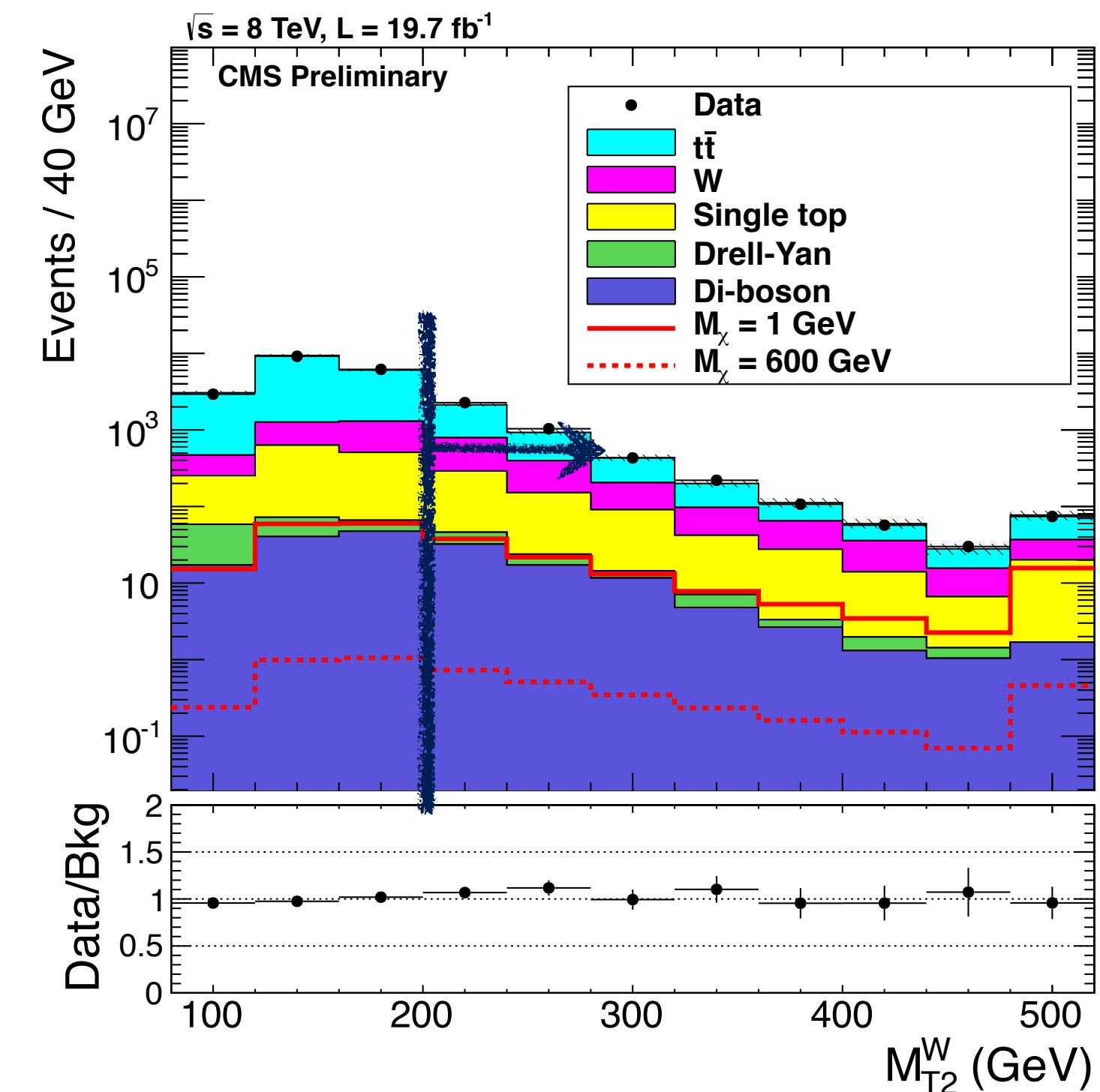
- Pre-selection

1 lepton  
 at least 3 jets of which at least one is b-tagged  
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- Selection: contamination from backgrounds can be reduced using variables discriminating on the kinematics

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$\min(\Delta\Phi_{j1,MET}, \Delta\Phi_{j2,MET})$	> 1.2
$M_{t2W}$	> 200 GeV

For most tt+jets di-leptonic events  $M_{T2W} < M_{top}$ . Signal events distribution shows higher tails



# Background control

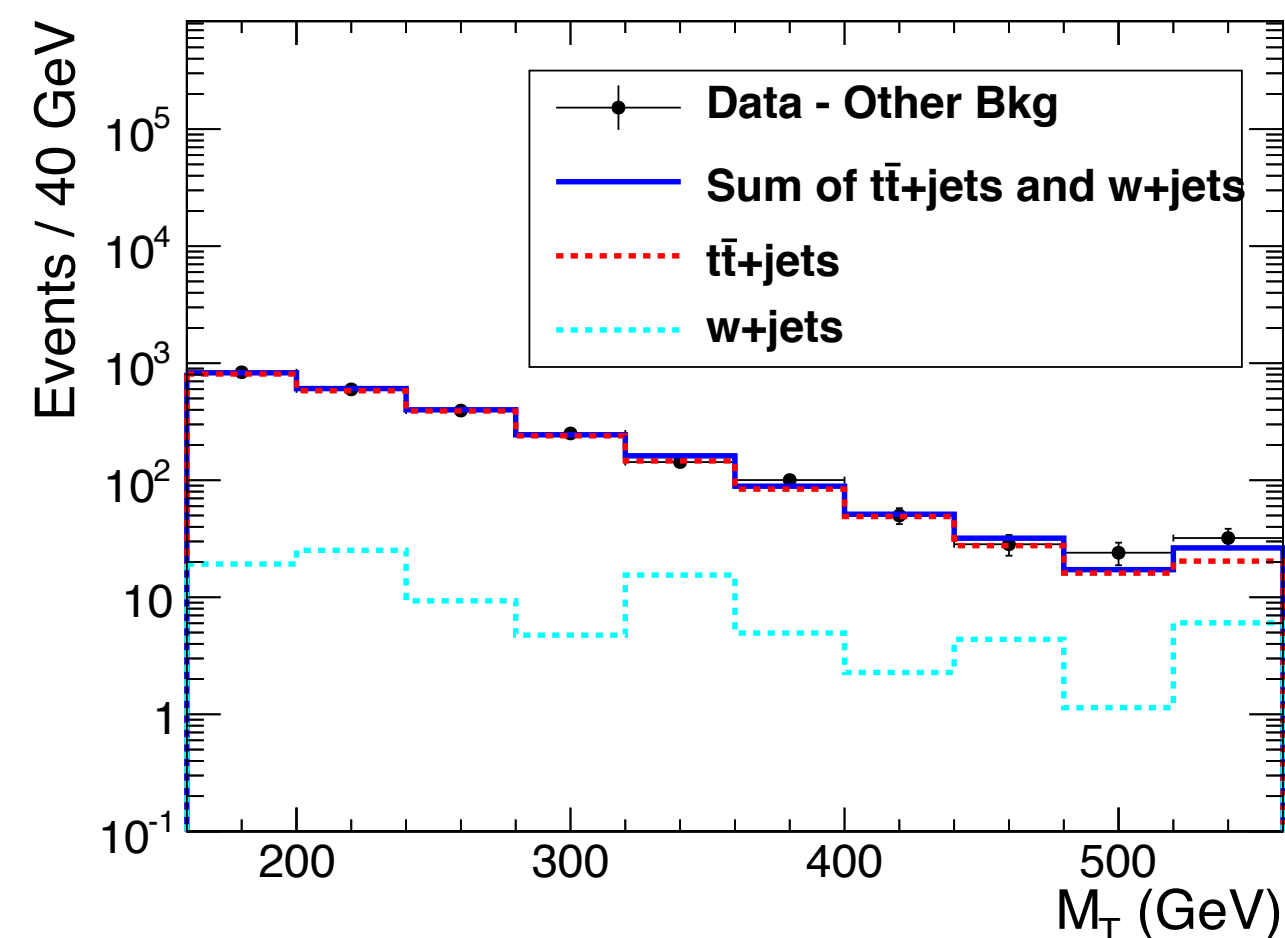
- Background estimation

dominant background from tt+jets and W+jets: from simulation with data-to-simulation scale factors (SF)  
other small background: from simulation

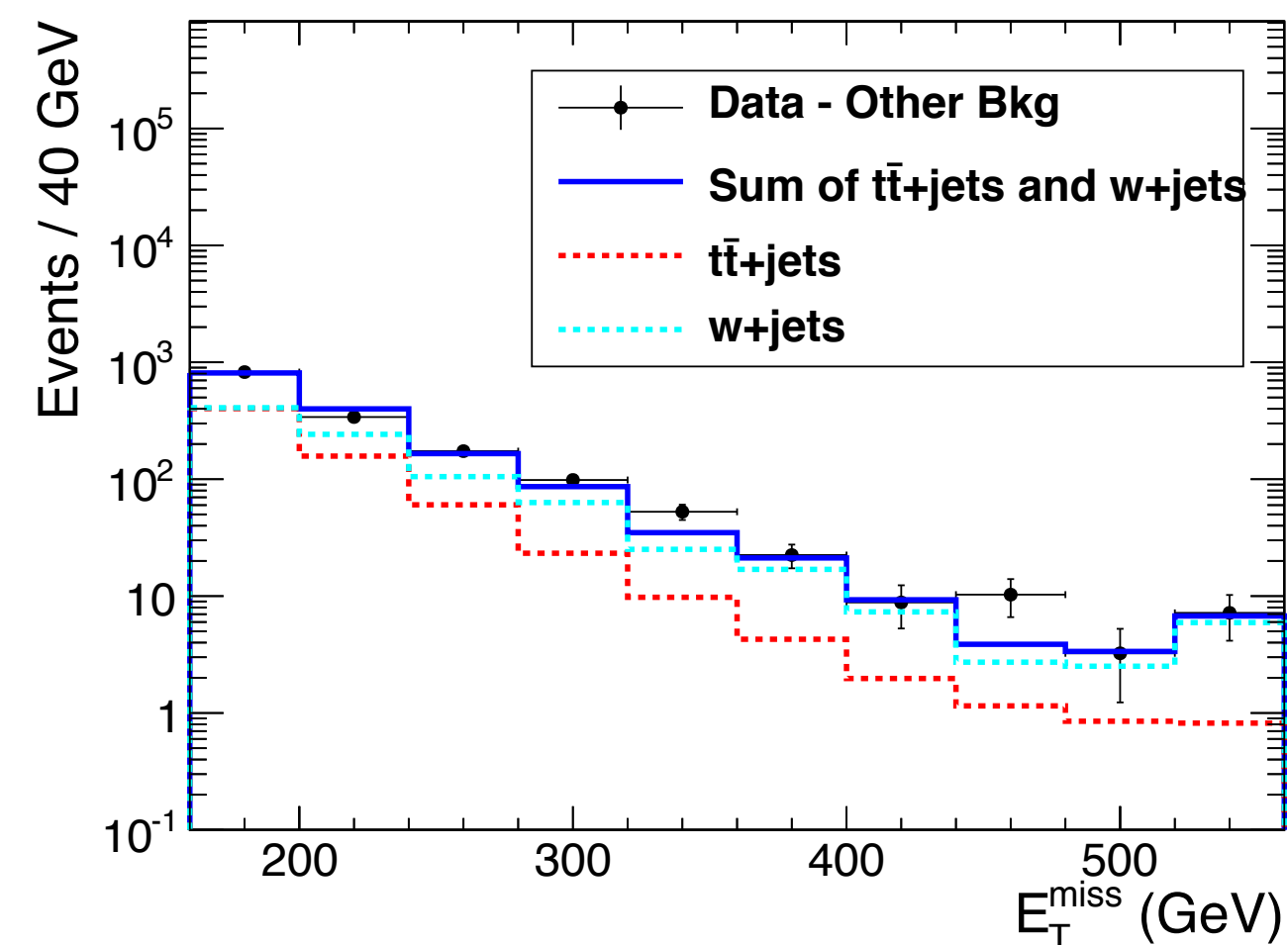
## tt+jets and W+jets SFs

SFs are extracted in control regions (CRs) enriched in background composition and with negligible contribution from signal fitting simultaneously two different simulated templates distributions to data

### CR1 (tt+jets enriched)



### CR2 (W enriched)



CR1: pre-selection +  $M_T > 160$  GeV  
CR2: as CR1 but 0 b-tag

SF(tt+jets)	$1.11 \pm 0.02$ (stat)
SF(W+jets)	$1.26 \pm 0.06$ (stat)

# Background control

- predicted background yields and uncertainties are then propagated from CR to signal region (SR)

$$N(\text{bkg pred. in SR}) = SF(CR) \cdot N(\text{bkg from MC in SR})$$

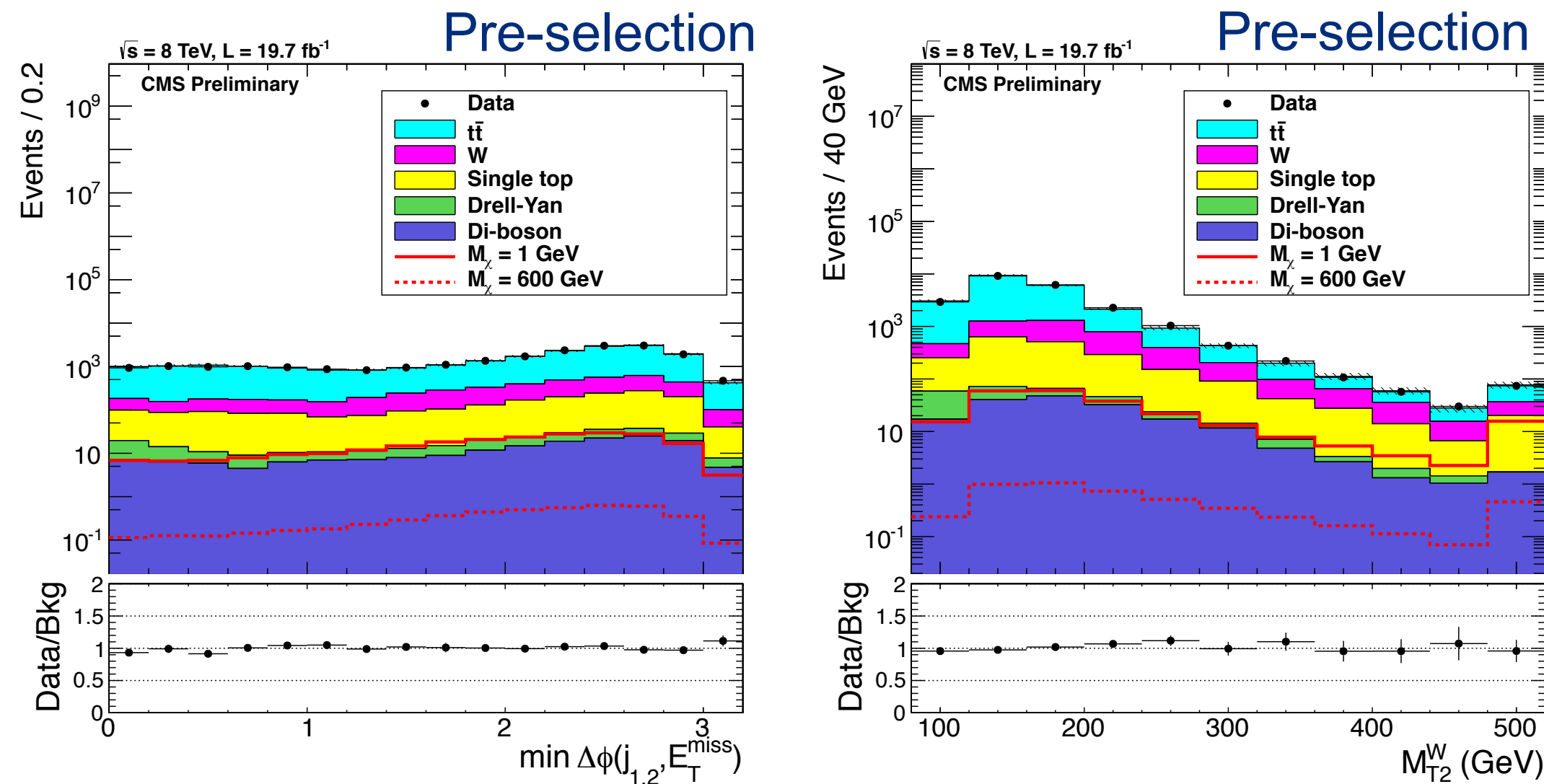
- Systematics

normalization unc. already covered by SFs

shape unc. constrained in CRs and SFs propagated in SR, differences taken as systematic

Main systematics    tt+jets: top  $p_T$  reweighting (14%)  
 W+jets jet energy scale (11%)

- Agreement between data and simulation after SFs is used to check the validity of method



in the distributions a good agreement between data and simulation is observed after SFs are applied

# Results: number of events

- Number of events after full selection

Source	Yield ( $\pm$ stat. $\pm$ syst. unc.)
Data	18
Signal	$38.3 \pm 0.7 \pm 2.1$
Total Bkg	$16.4 \pm 2.2 \pm 2.7$
$t\bar{t}$	$8.2 \pm 0.6 \pm 1.9$
W	$5.2 \pm 1.7 \pm 0.6$
Single top	$2.3 \pm 1.1 \pm 1.1$
Di-boson	$0.5 \pm 0.2 \pm 0.2$
Drell-Yan	$0.3 \pm 0.3 \pm 0.1$

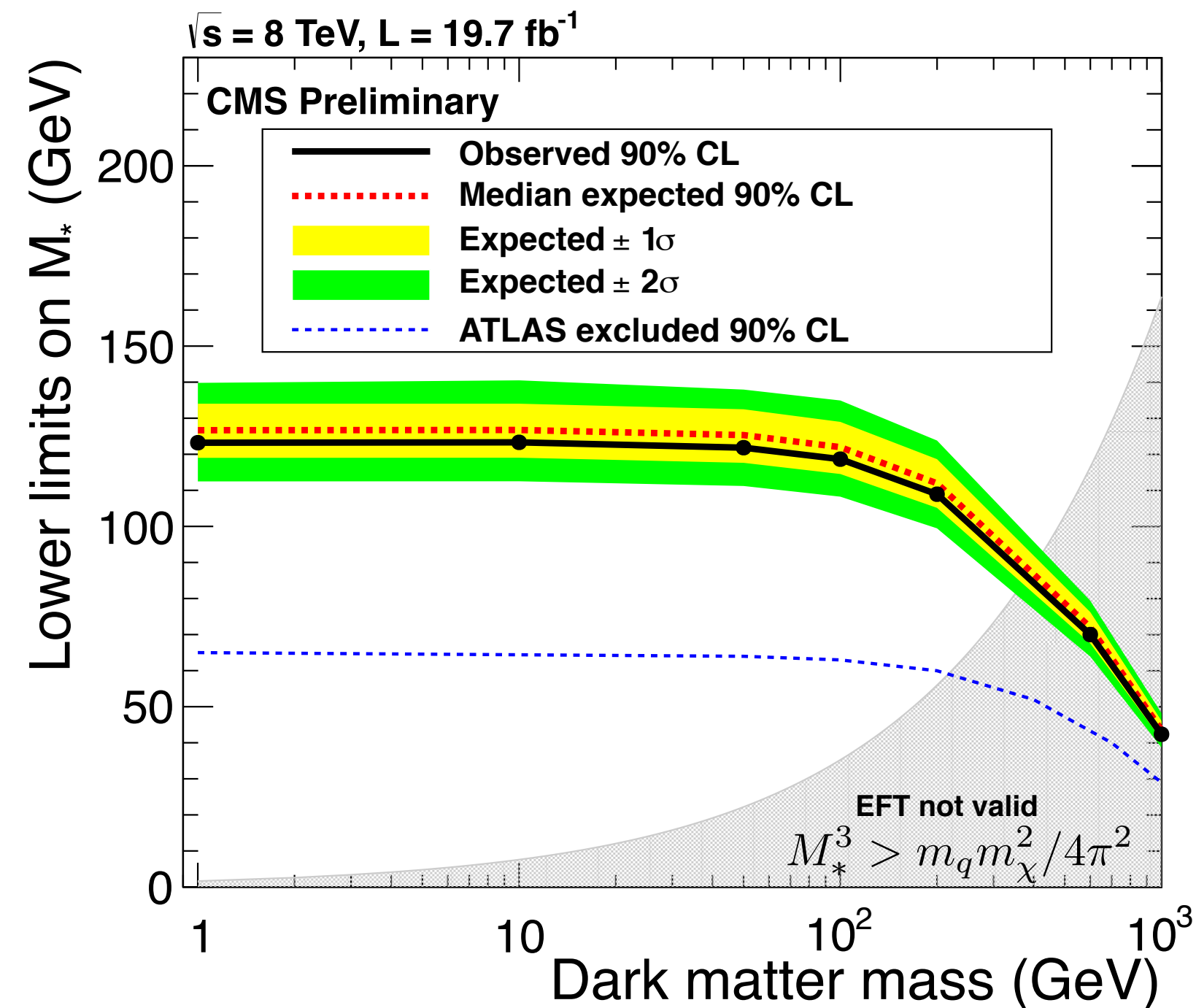
- No excess of events observed in the search region
- Theories on DM particles do not predict their masses

we can set lower limits on the interaction strength parameter  $M^*$  for different mass hypothesis

these translate in upper limits values of production cross section for DM + top as a function of DM mass

# Results: lower limits on $M_*$

- Lower limits on interaction scale  $M_*$



- The dashed line shows the average behavior in absence of a DM particle based on the simulation  
The yellow and green bands indicate the corresponding 68% and 95% certainty of those values
- The solid line shows the observed limit and it is compared with results by ATLAS collaboration from DM + W/Z events (Phys. Rev. Lett. 112 (Jan, 2014) 041802)

- Values below the observed limit are excluded

Assuming a dark matter particle with a mass of 100 GeV  $M_*$  is excluded at 90% CL below 118 GeV

- The grey area represent only minimal requirement on  $M_*$  for the EFT to be valid. There could be other areas on the plane where the EFT breaks down



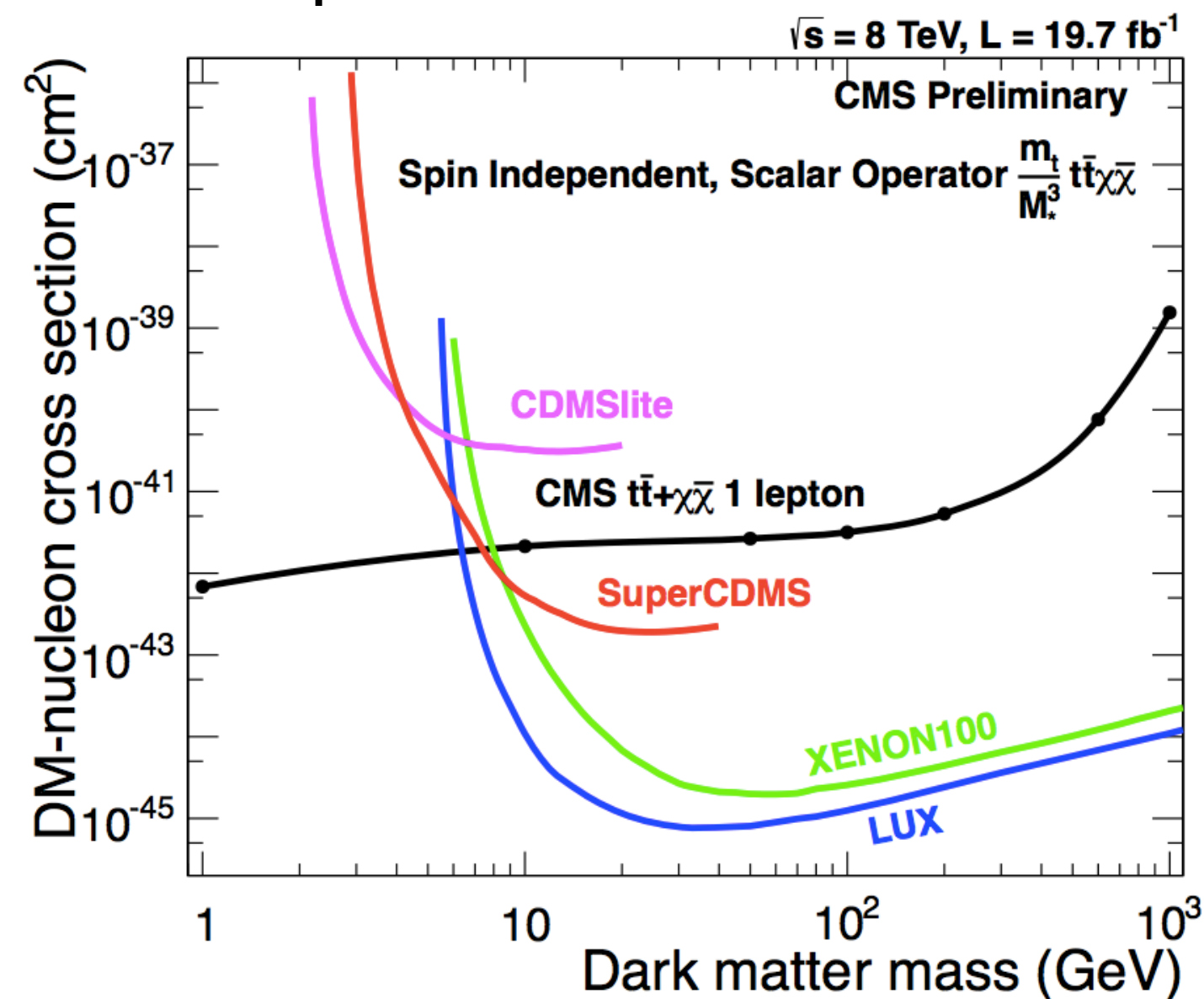
# Results: upper limits on cross section

- Upper limits on DM + top events cross section

$M_\chi$ GeV	Signal efficiency (%) ( $\pm$ stat. $\pm$ syst. unc.)	$\sigma_{\text{exp}}^{\text{lim}}$ (fb)	$\sigma_{\text{obs}}^{\text{lim}}$ (fb)
1	$1.01 \pm 0.02 \pm 0.05$	$47_{-13}^{+21}$	55
10	$1.01 \pm 0.02 \pm 0.05$	$46_{-13}^{+21}$	54
50	$1.20 \pm 0.02 \pm 0.06$	$38_{-11}^{+18}$	45
100	$1.46 \pm 0.02 \pm 0.07$	$32_{-9}^{+15}$	37
200	$1.73 \pm 0.02 \pm 0.08$	$27_{-8}^{+12}$	32
600	$2.40 \pm 0.03 \pm 0.11$	$19_{-6}^{+9}$	23
1000	$2.76 \pm 0.04 \pm 0.13$	$17_{-5}^{+8}$	20

Cross sections higher than 20 to 55 fb are excluded at 90% CL for DM particles with masses ranging from 1 GeV to 1 TeV

- Can be translated in upper limits on DM-nucleon cross section for comparison with results from direct detection experiment



Excluded dark matter-nucleon cross sections higher than  $1 - 2 \times 10^{-42} \text{ cm}^2$  (10-20 fb) for DM masses from 1 to 6 GeV

# Summary

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- Search for DM produced in association with a top quark pair has been presented in the single-lepton channel

better sensitivity for scalar interactions where coupling strength is proportional to quark mass

- No excess of events observed in the search region
- Lower limits on interaction scale  $M^*$  as a function of DM mass

Assuming a dark matter particle with a mass of 100 GeV  $M^*$  is excluded at 90% CL below 118 GeV  
Regions of validity of EFT approach should be taken into account

- Upper limits on cross section as a function of DM mass

Cross sections for DM + top events higher than 20 to 55 fb are excluded at 90% CL for dark matter masses from 1 GeV to 1 TeV

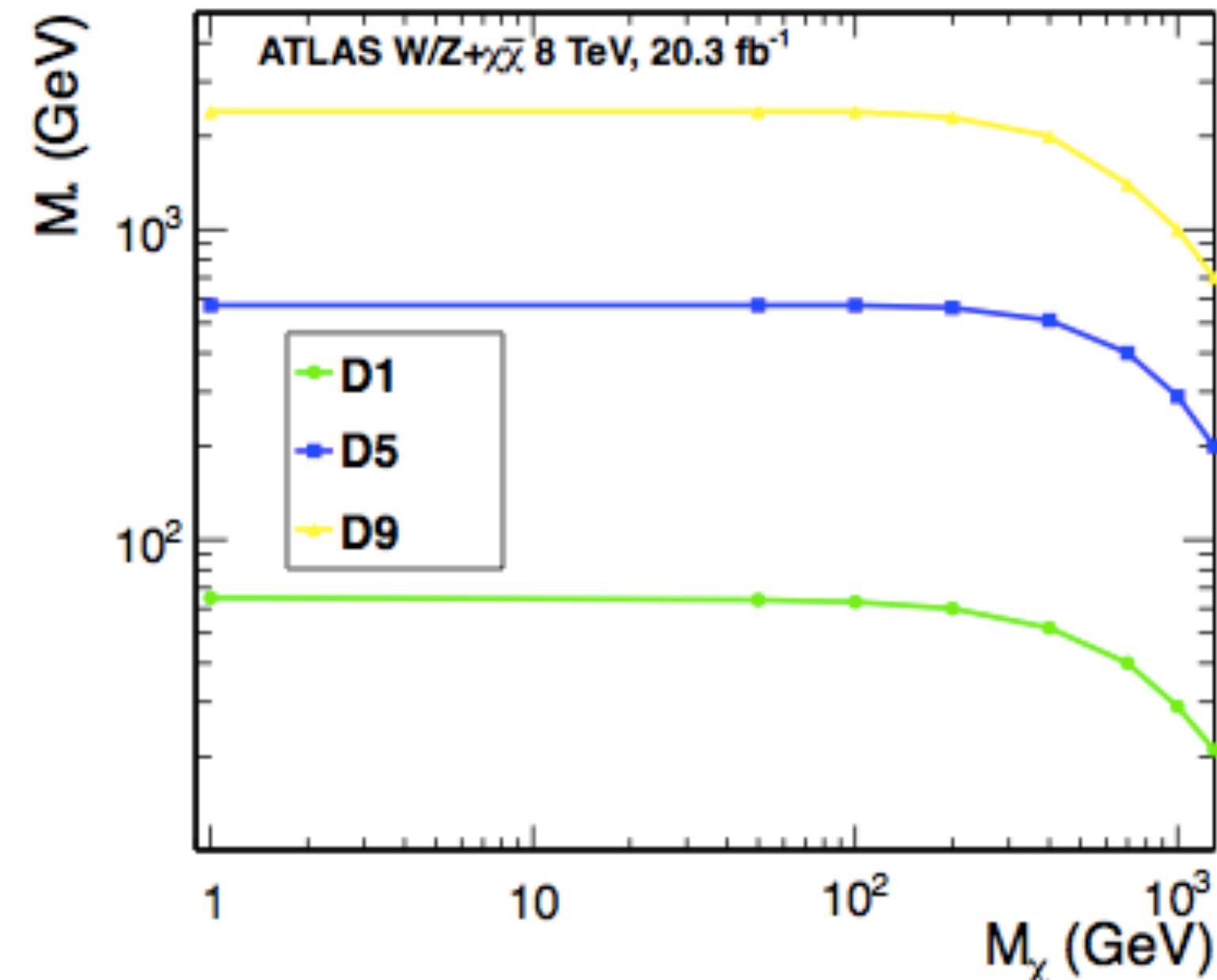
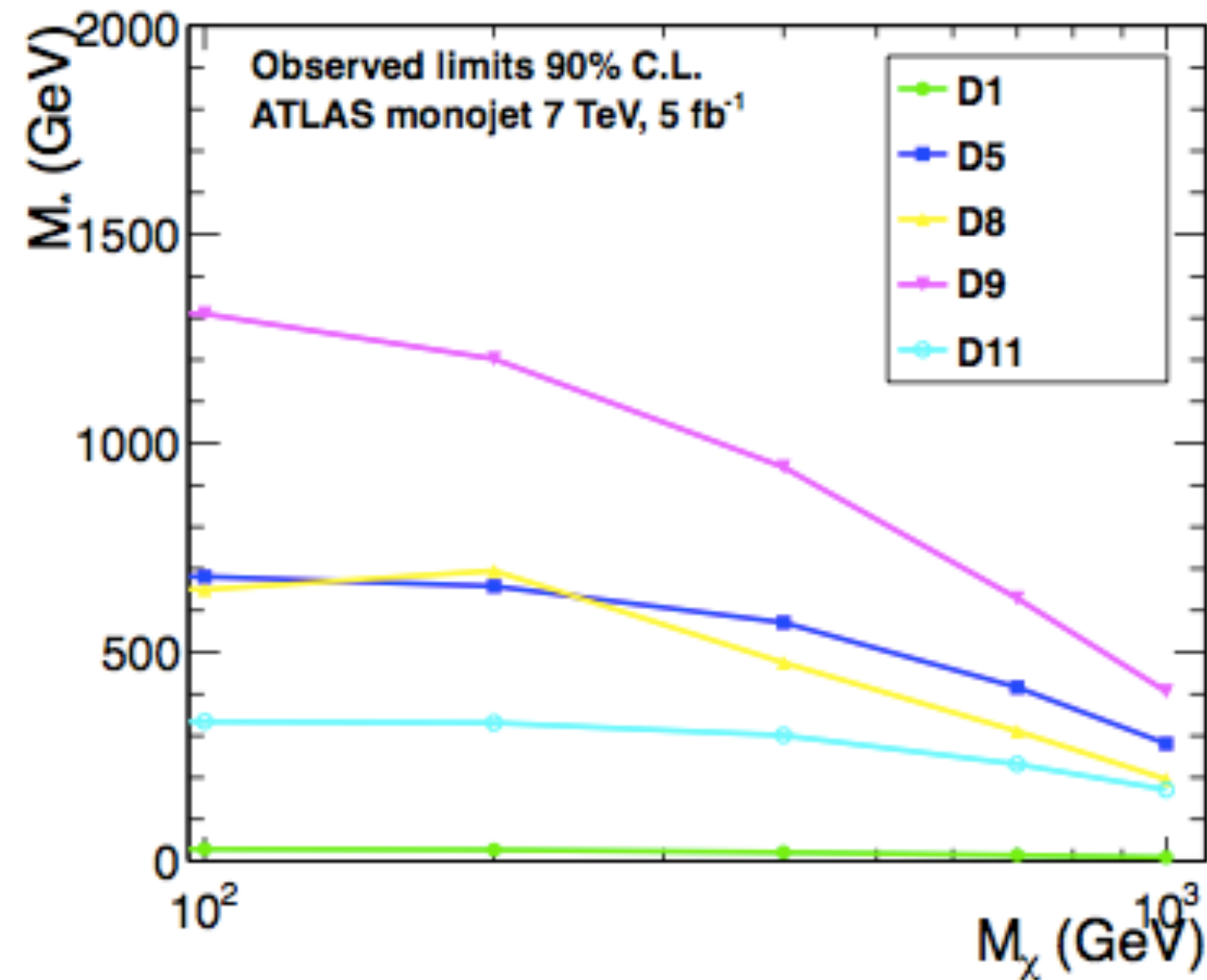
DM-nucleon cross sections higher than  $1 - 2 \times 10^{-42} \text{ cm}^2$  (10-20 fb) for DM masses from 1 to 6 GeV are excluded at 90% CL

# Backup slides

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# Current limits

Previous limits for 5 different operators



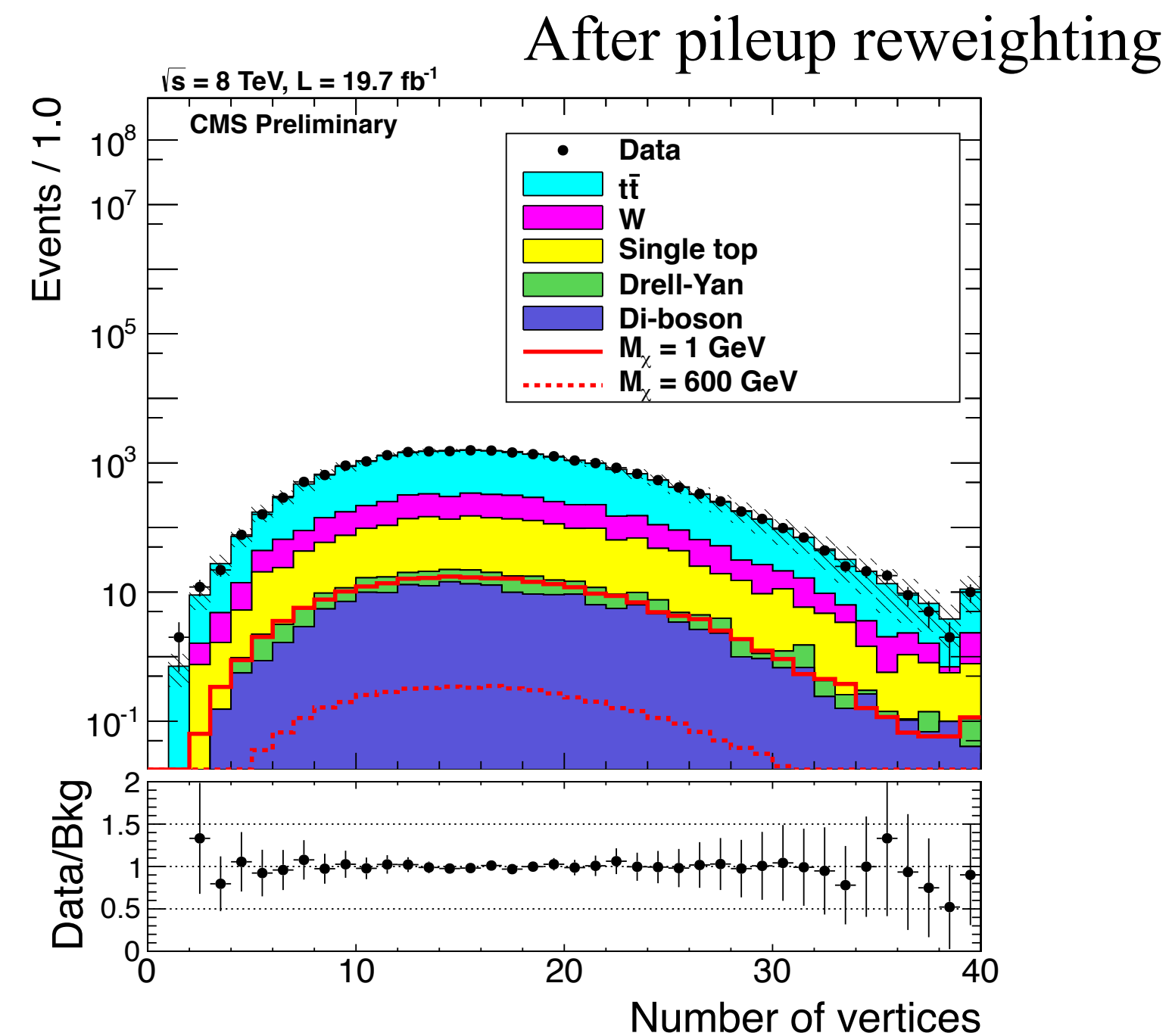
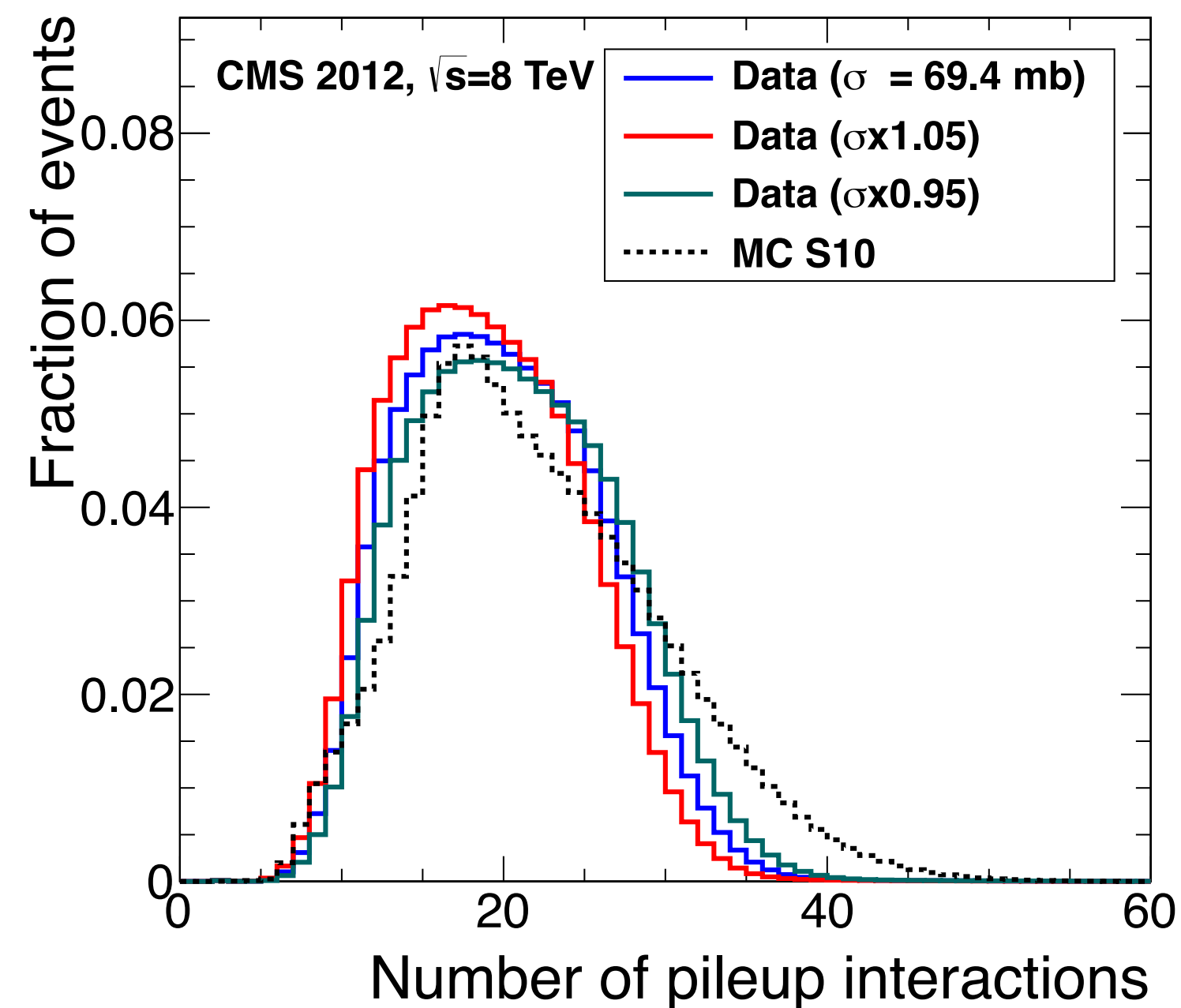
- Weakest limits on D1 operator compared to other types interactions
- Improvement in constraints on scalar interactions using ttbar final states can be achieved

# Pileup reweighting

Pileup distribution different in MC w.r.t data

- MC number pileup reweighted to match data
- Data distribution re-calculated with  $\pm 5\%$  variation on cross section to cover pileup mis-modeling syst. unc.

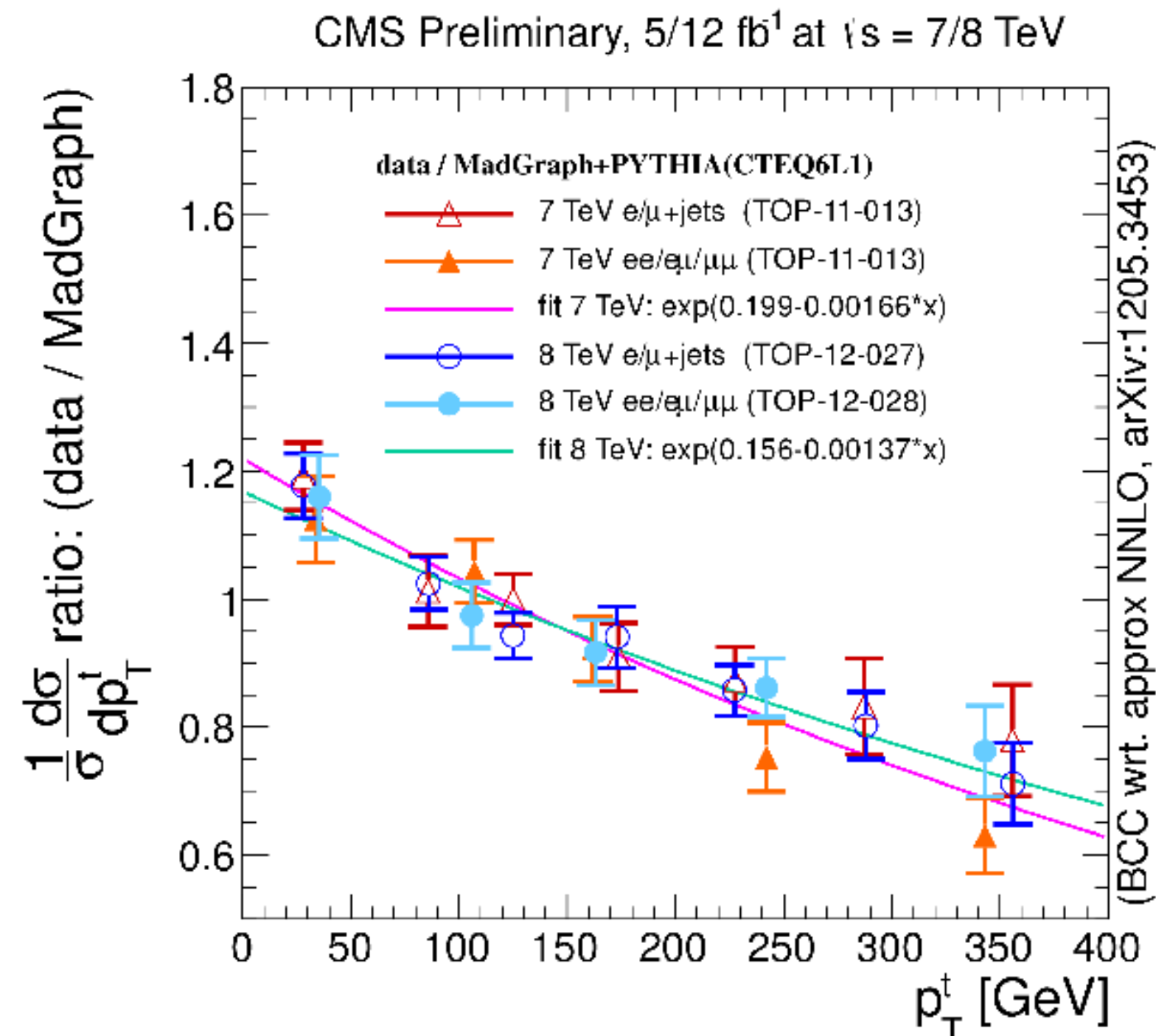
Good agreement data-MC after pileup reweighting



# Top $p_T$ reweighting

$p_T$  distribution of leptons and jets from tops softer in data w.r.t Madgraph simulation

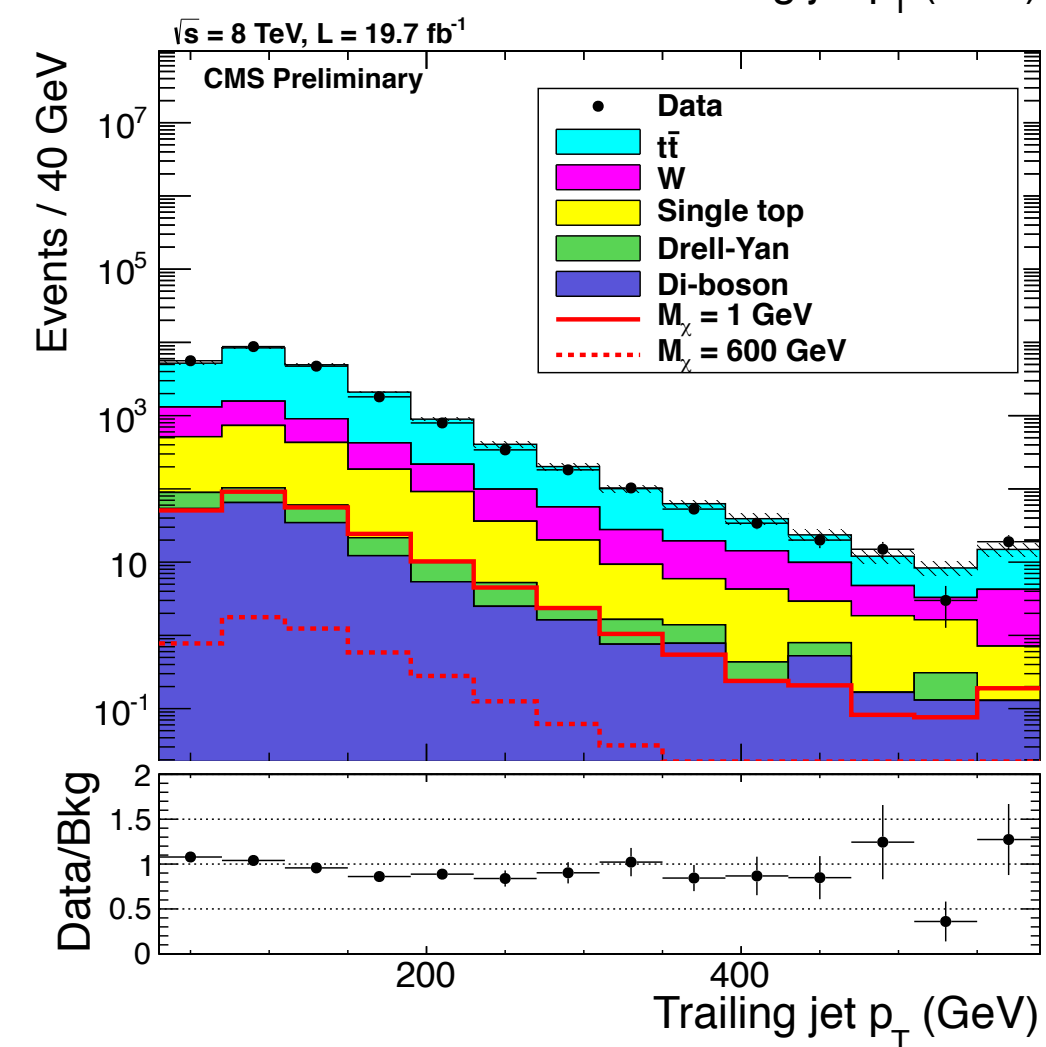
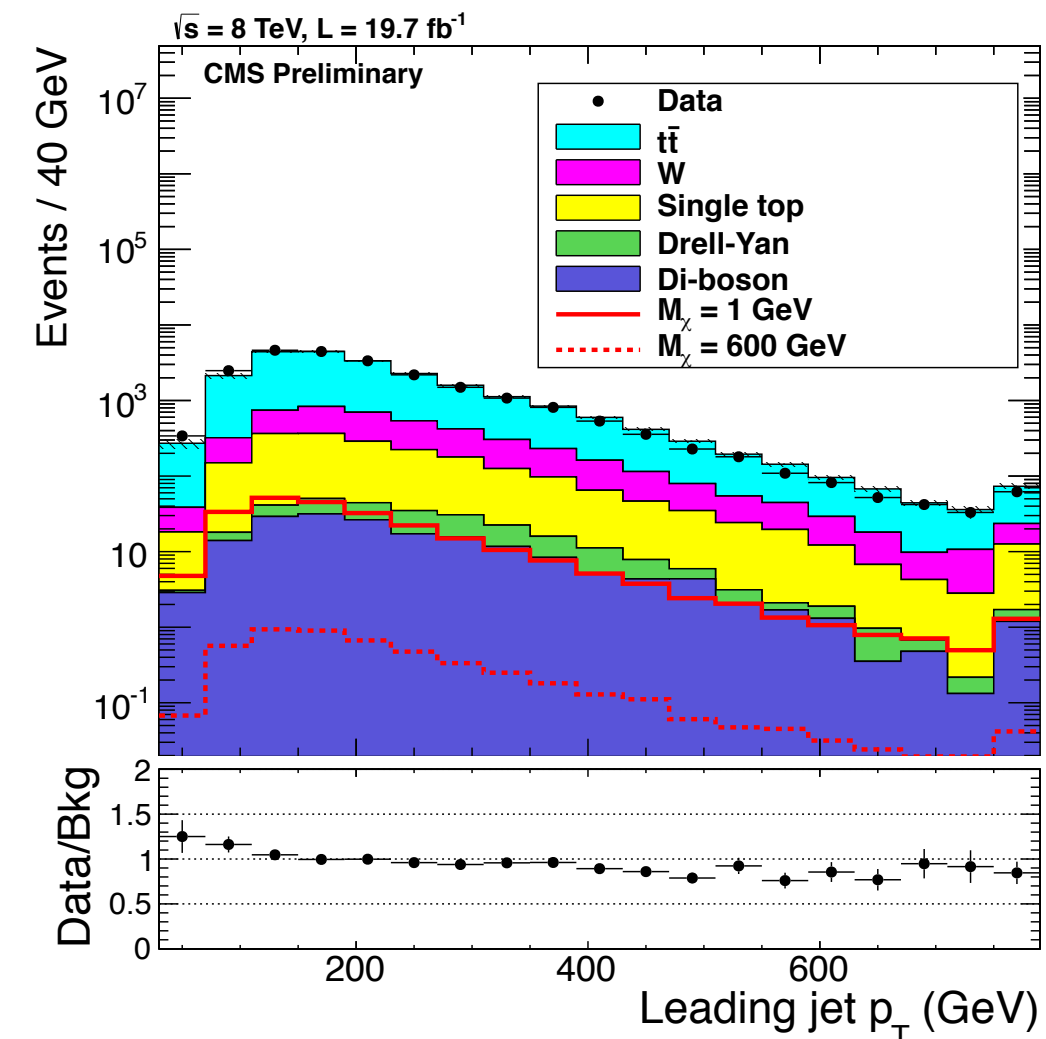
- Top differential cross section measurement provide SFs for correction
- Each event weighted by geometric mean of SFs from 2 tops (assumed flat  $> 400$  GeV)
- Syst. unc.: no SF, SF applied twice



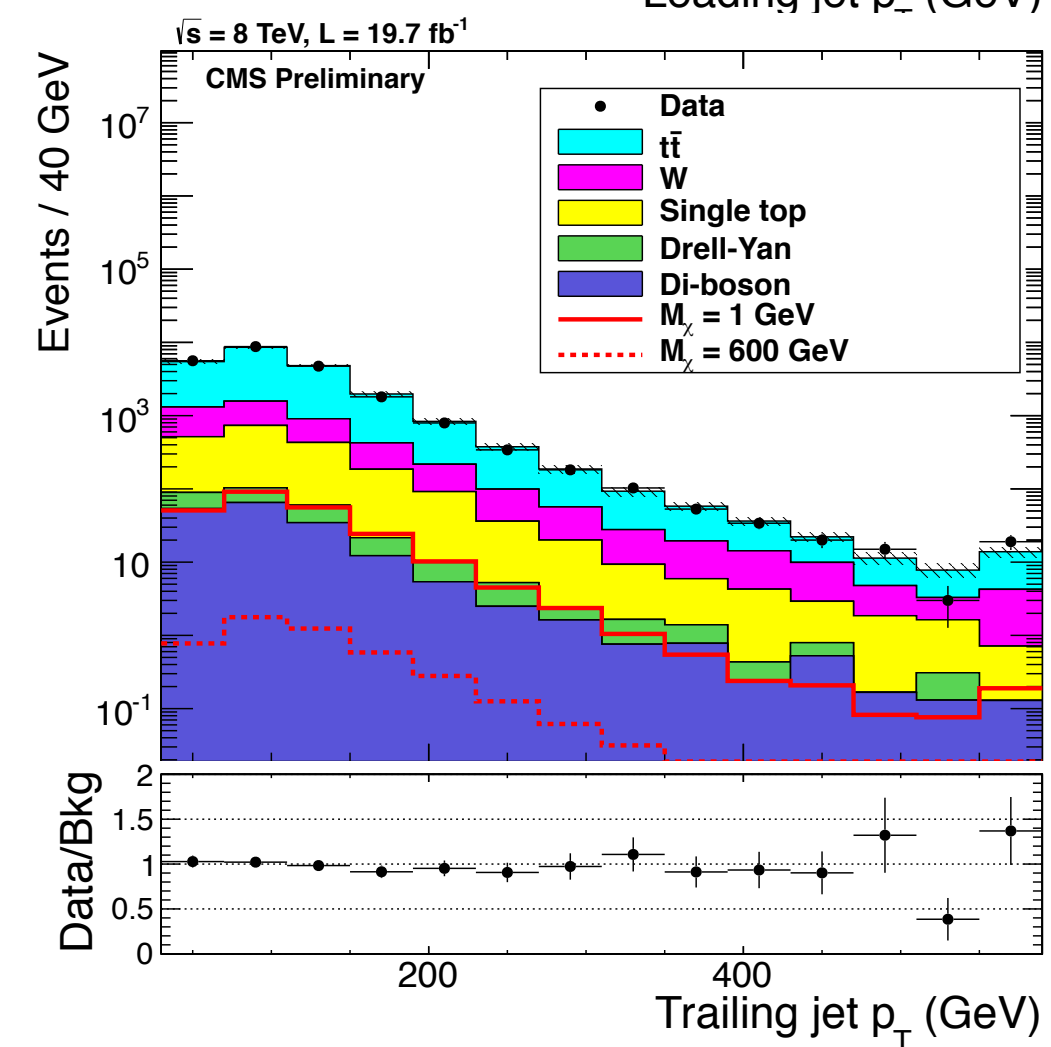
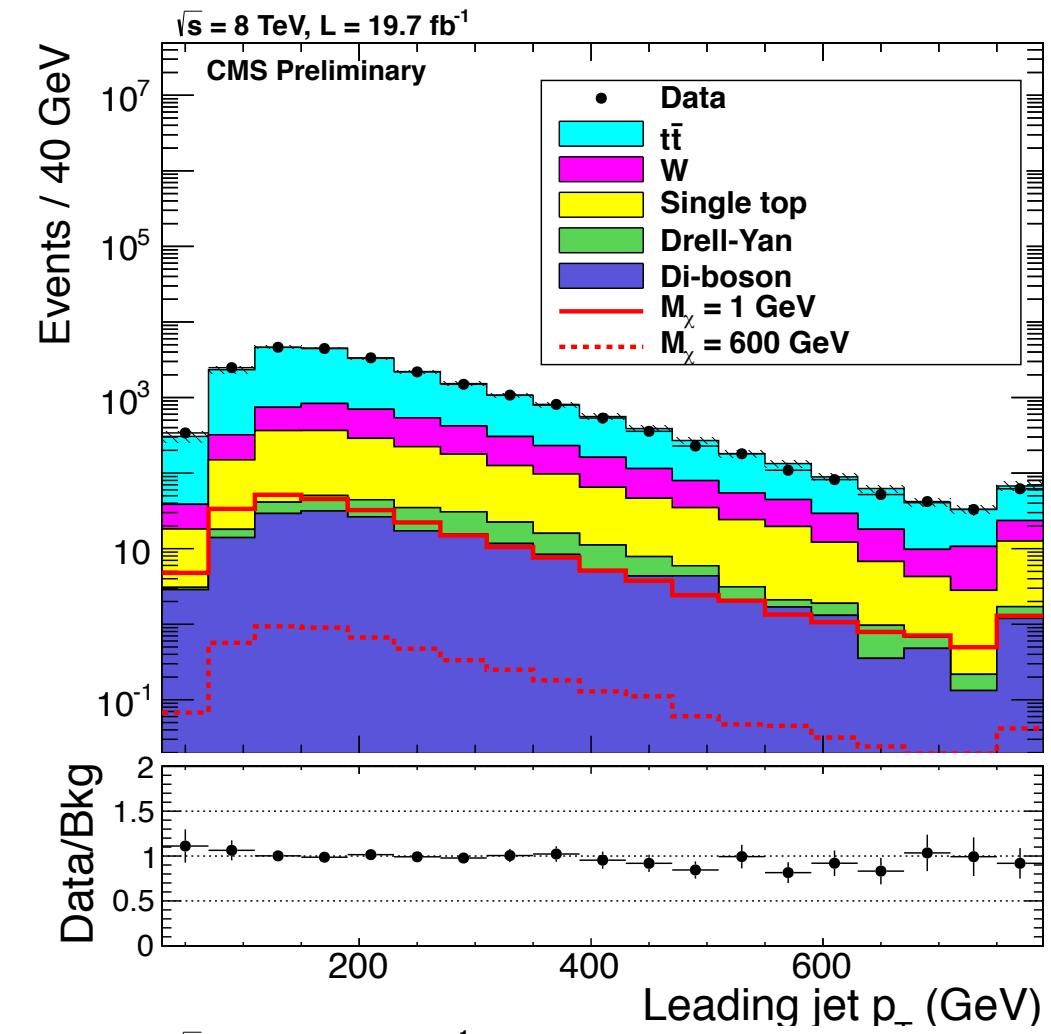
$$SF = e^{0.156 - 0.00137 \times p_T}$$

# Top $p_T$ reweighting data-simulation agreement

Left-hand:  
before  
reweighting

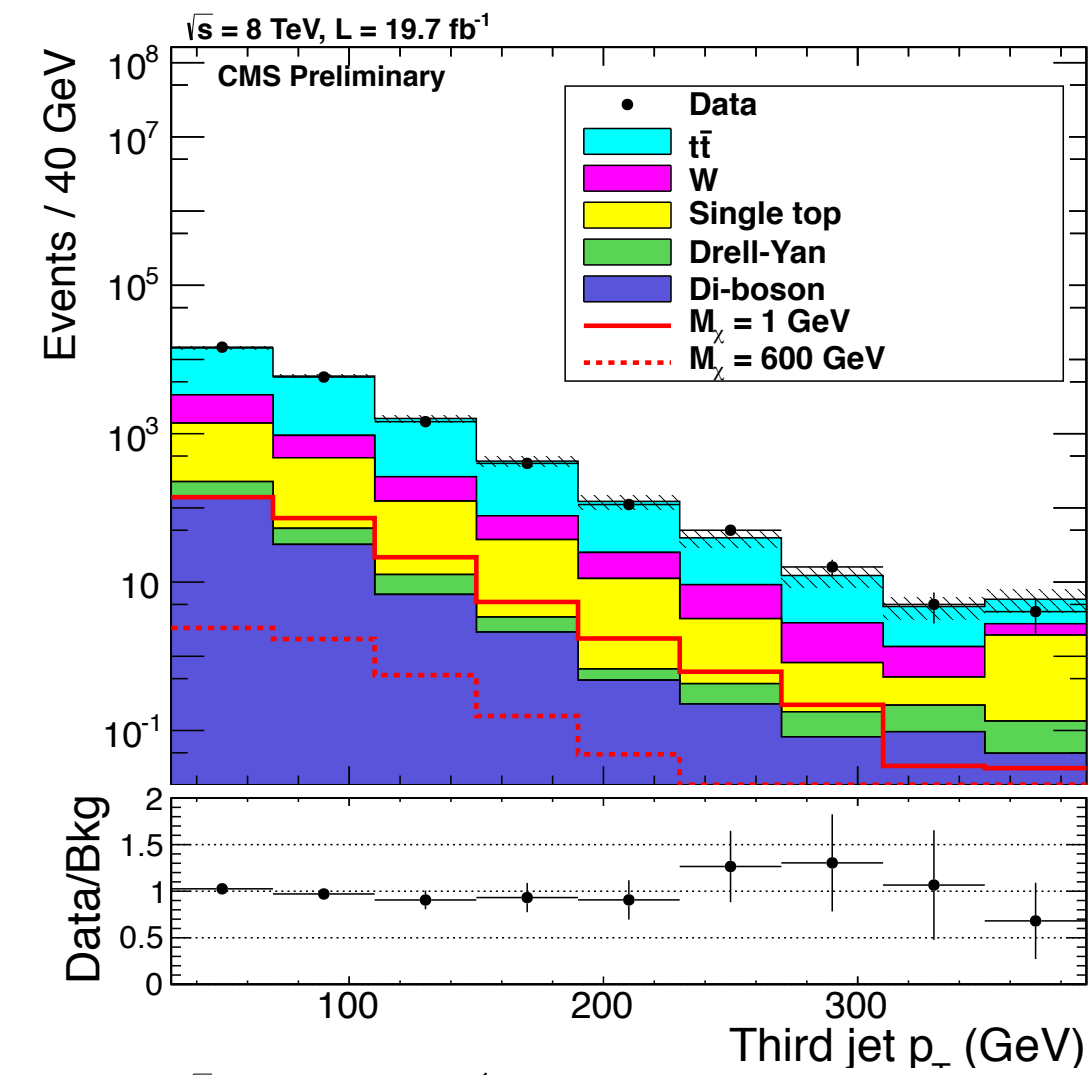
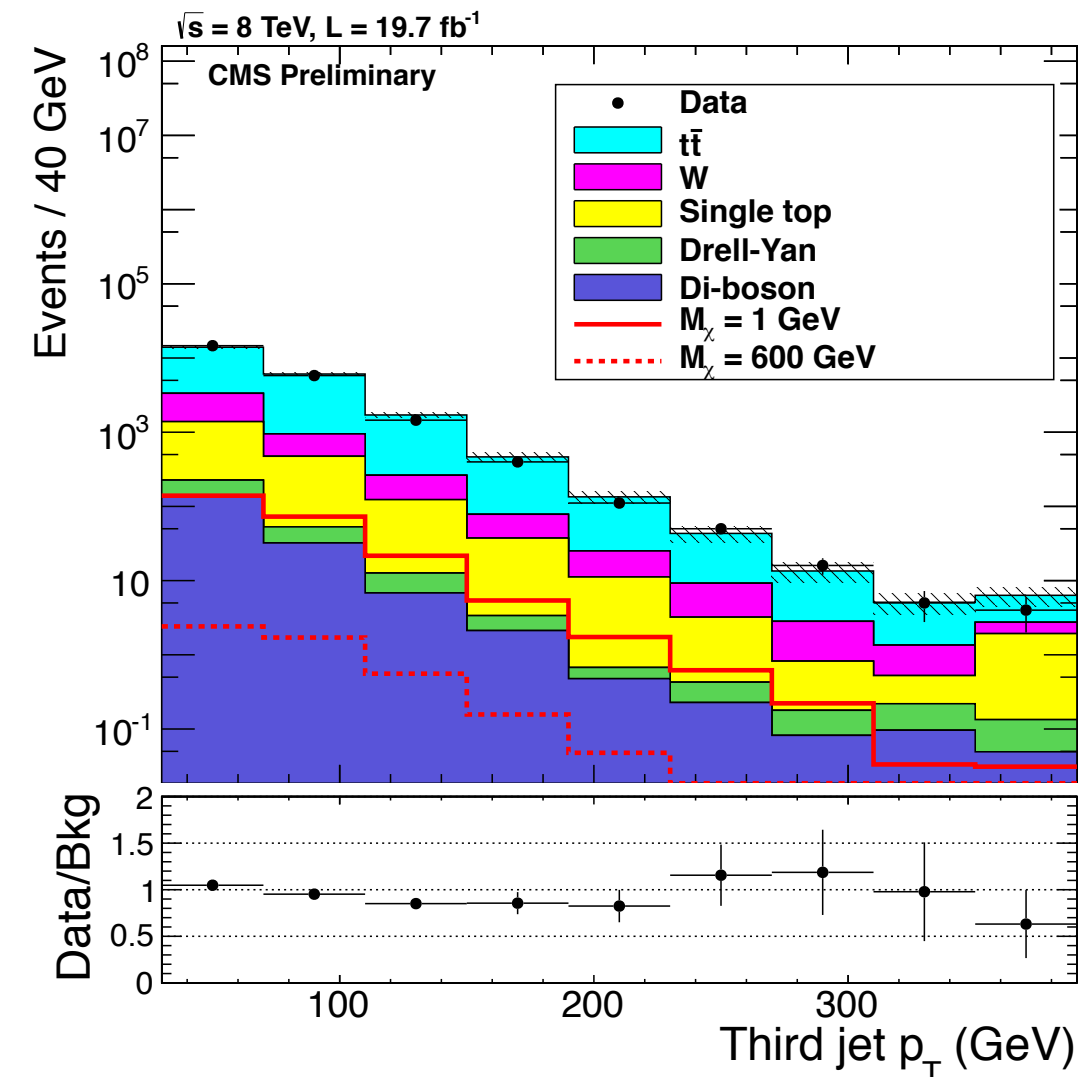


Right-hand:  
After

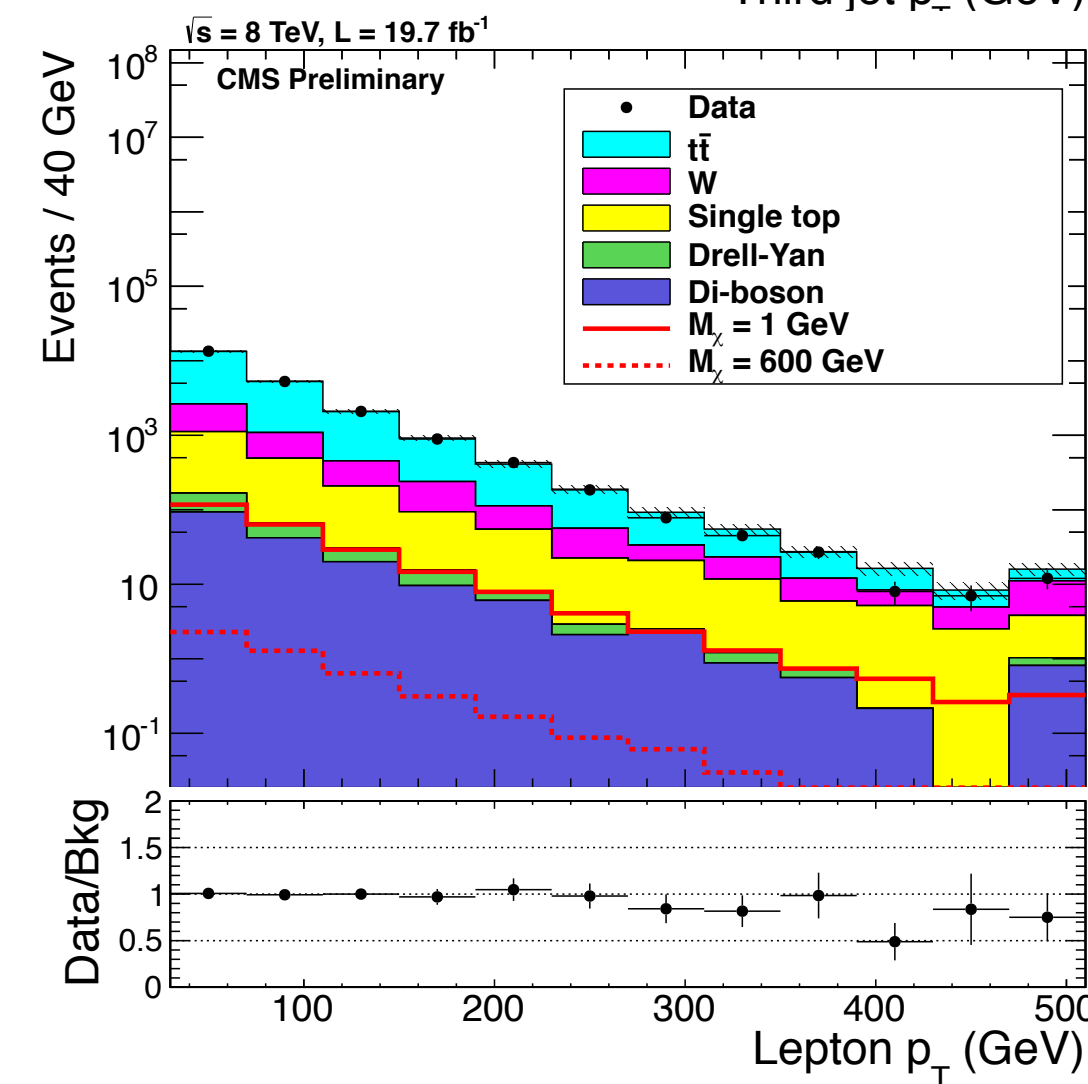
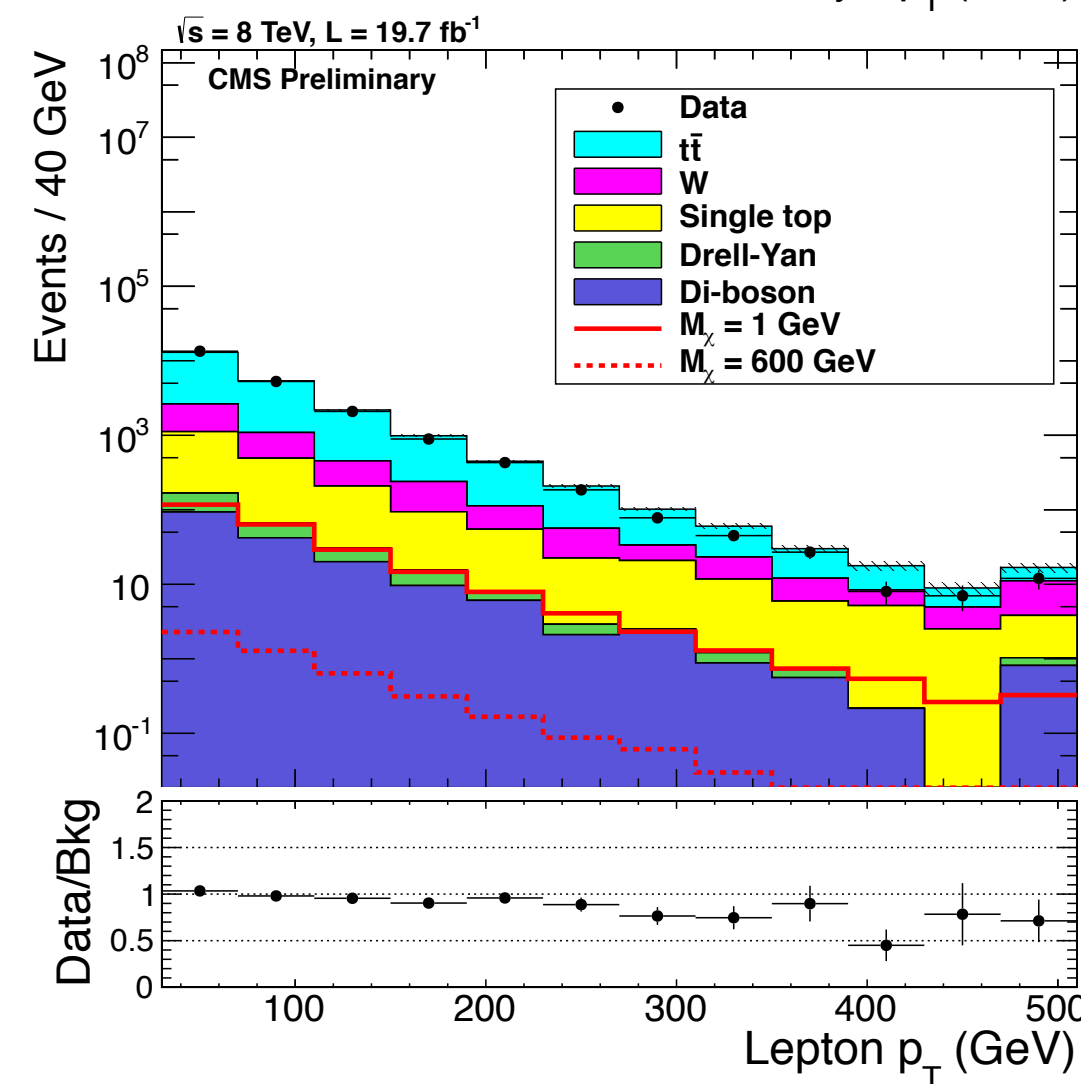


# Top $p_T$ reweighting data-simulation agreement

Left-hand:  
before  
reweighting

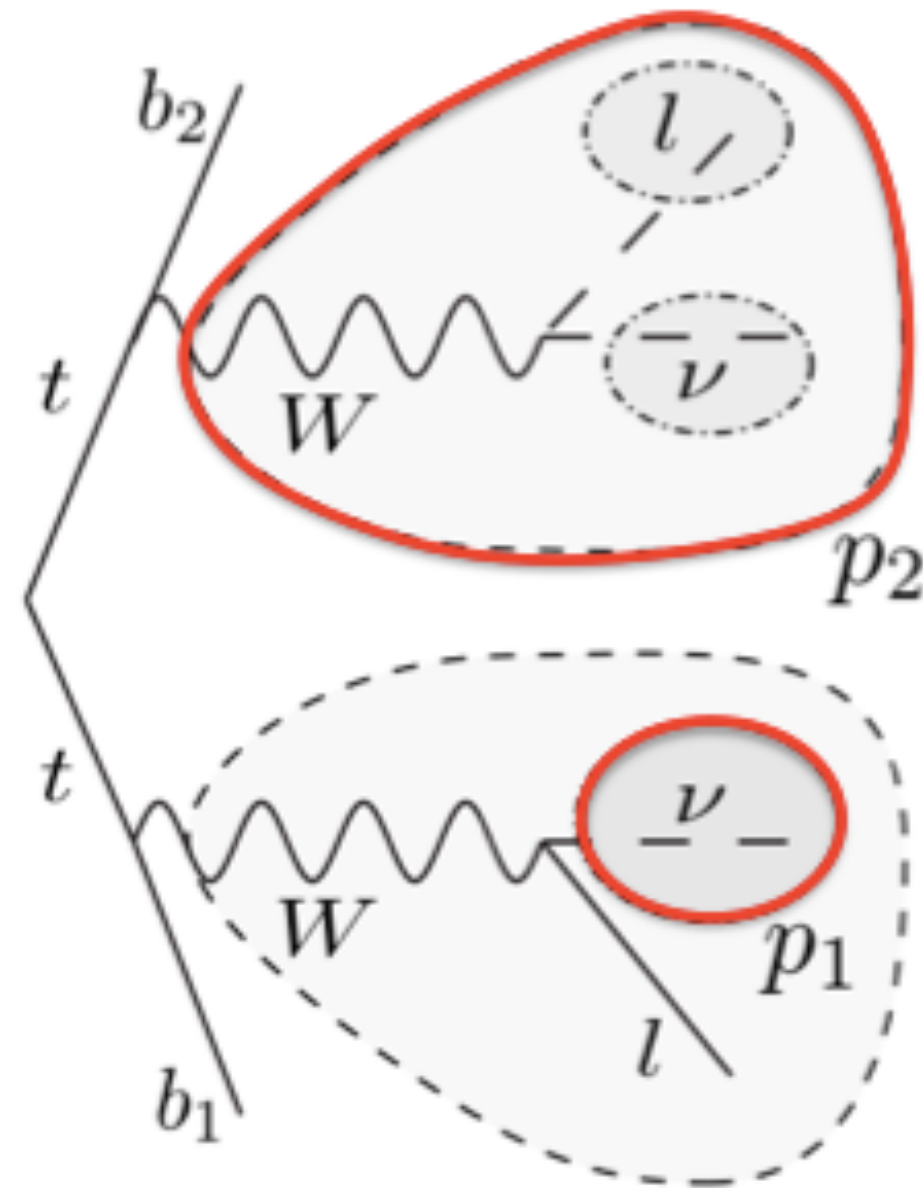


Right-hand:  
After





# $M_{T2W}$ as discriminating variable



Most irreducible background from **tt di-leptonic**

- Large MET can arise from neutrinos and missing lepton
- $M_T$  higher than W mass because of additional missing particles

**Transverse mass  $M_{T2}$  can be used to reject background event**

- minimal mother particle mass compatible with assumed event topology and daughter particle mass

A variable where the intermediate W are considered on shell can be used

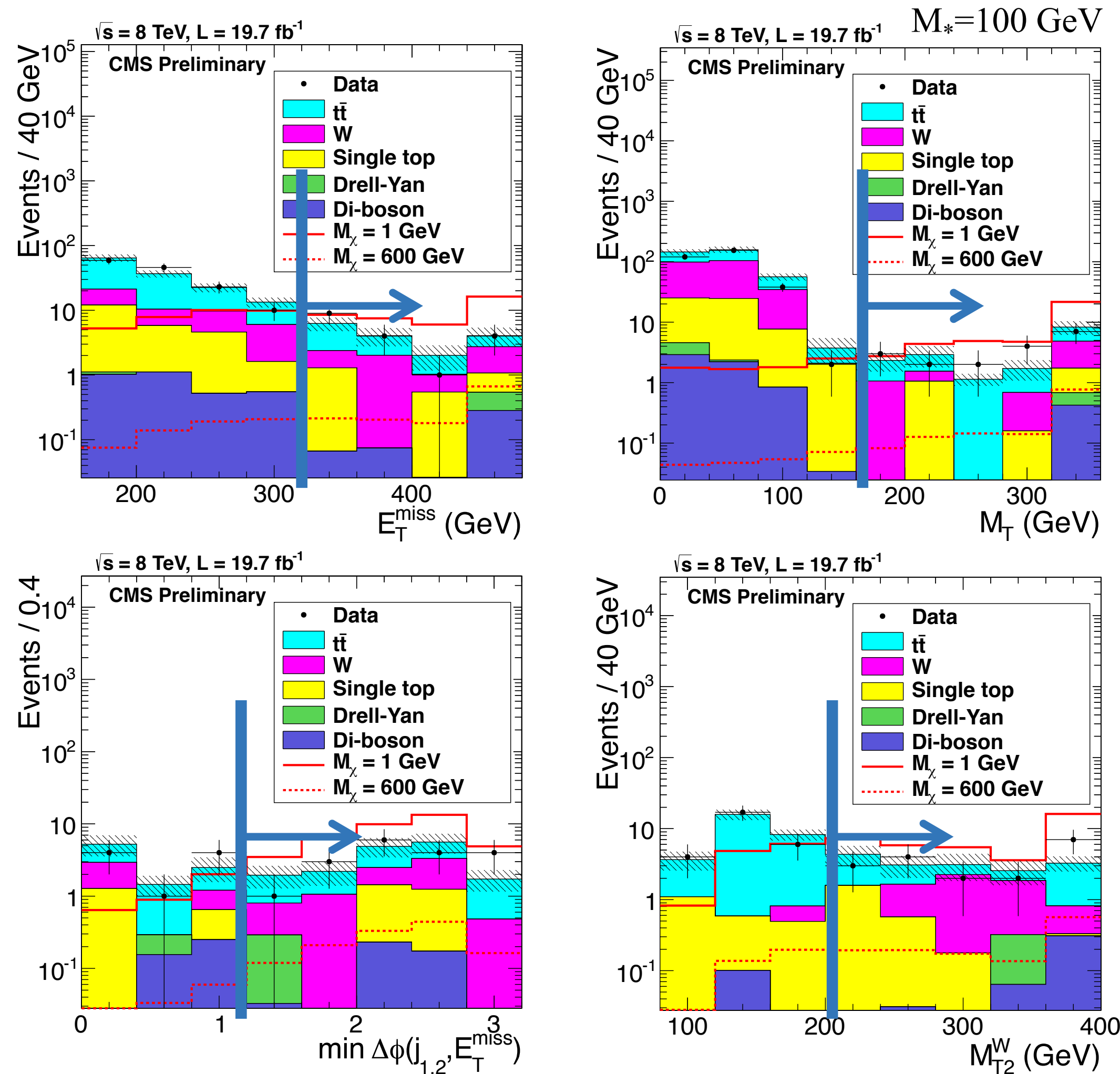
$$M_{T2}^W = \min \left\{ m_y \text{ consistent with: } \left[ \begin{array}{l} \vec{p}_1^T + \vec{p}_2^T = \vec{E}_T^{\text{miss}}, \quad p_1^2 = 0, \quad (p_1 + p_\ell)^2 = p_2^2 = M_W^2, \\ (p_1 + p_\ell + p_{b1})^2 = (p_2 + p_{b2})^2 = m_y^2 \end{array} \right] \right\}$$

it adds other kinematical info w.r.t to other  $M_{T2}$  variables

Bai, Cheng, Gallicchio, Gu  
JHEP 07 (2012) 110

# N-1 plot: discriminating power of variables

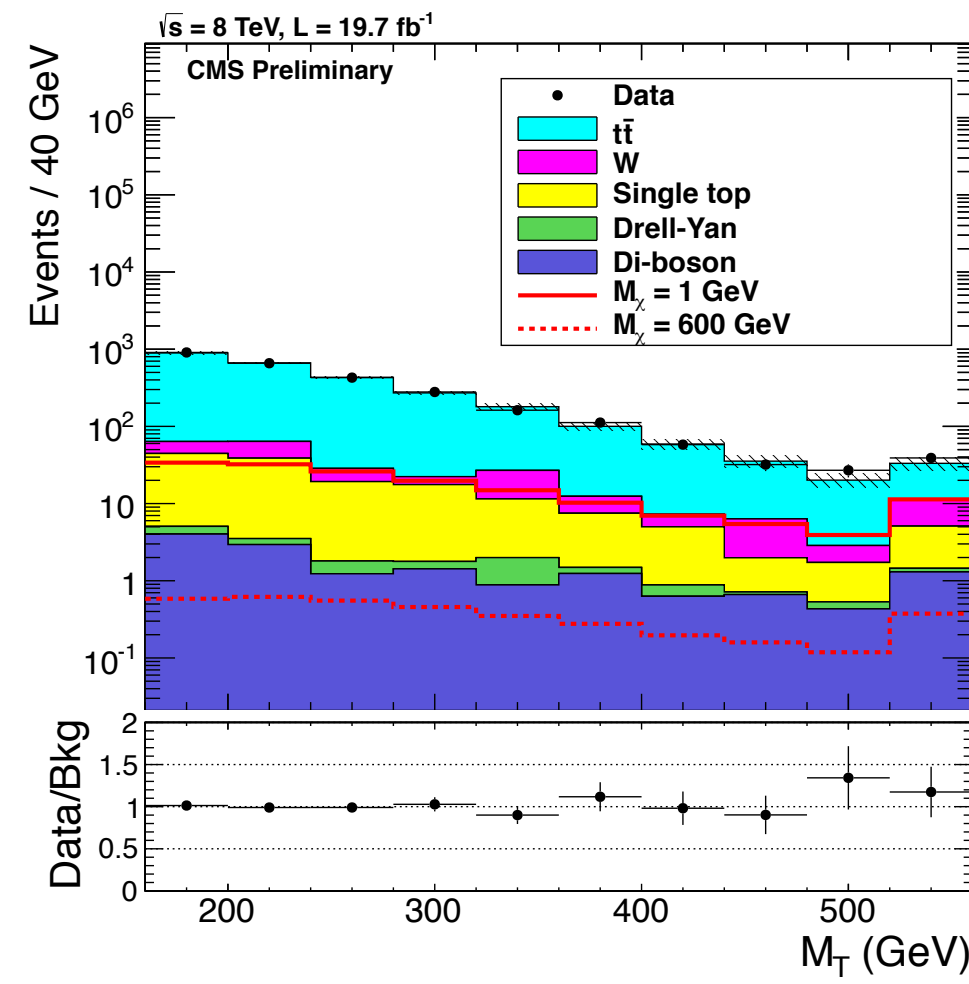
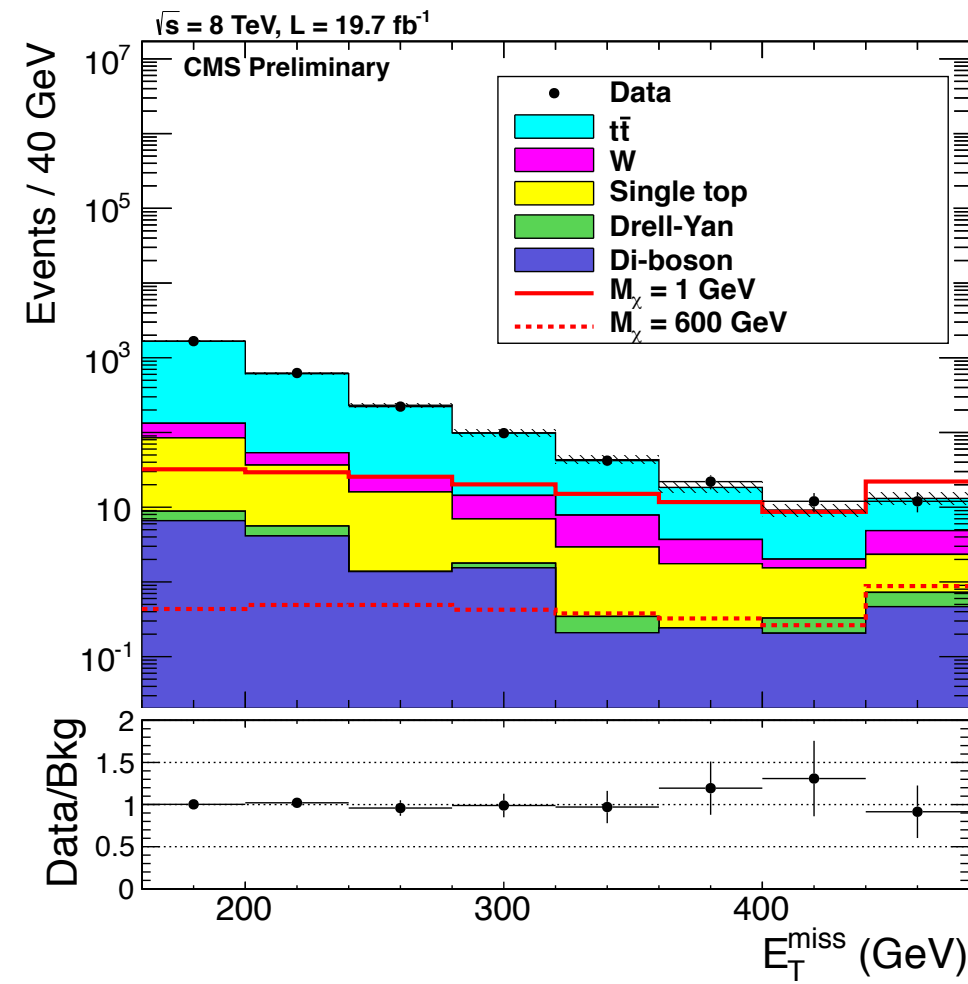
- All the cuts on the discriminating variables are applied, except the one on the variable that it is showed



Distributions show that each variables has strong discriminating power between signal and background

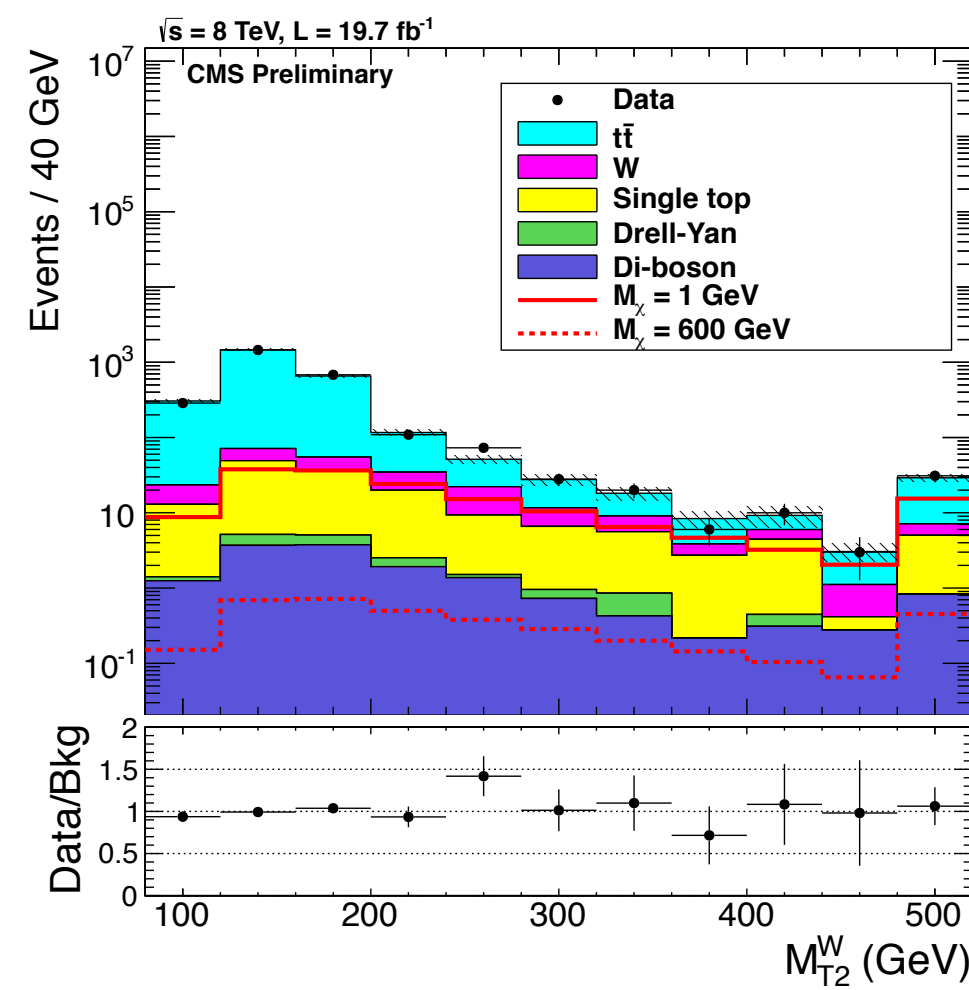
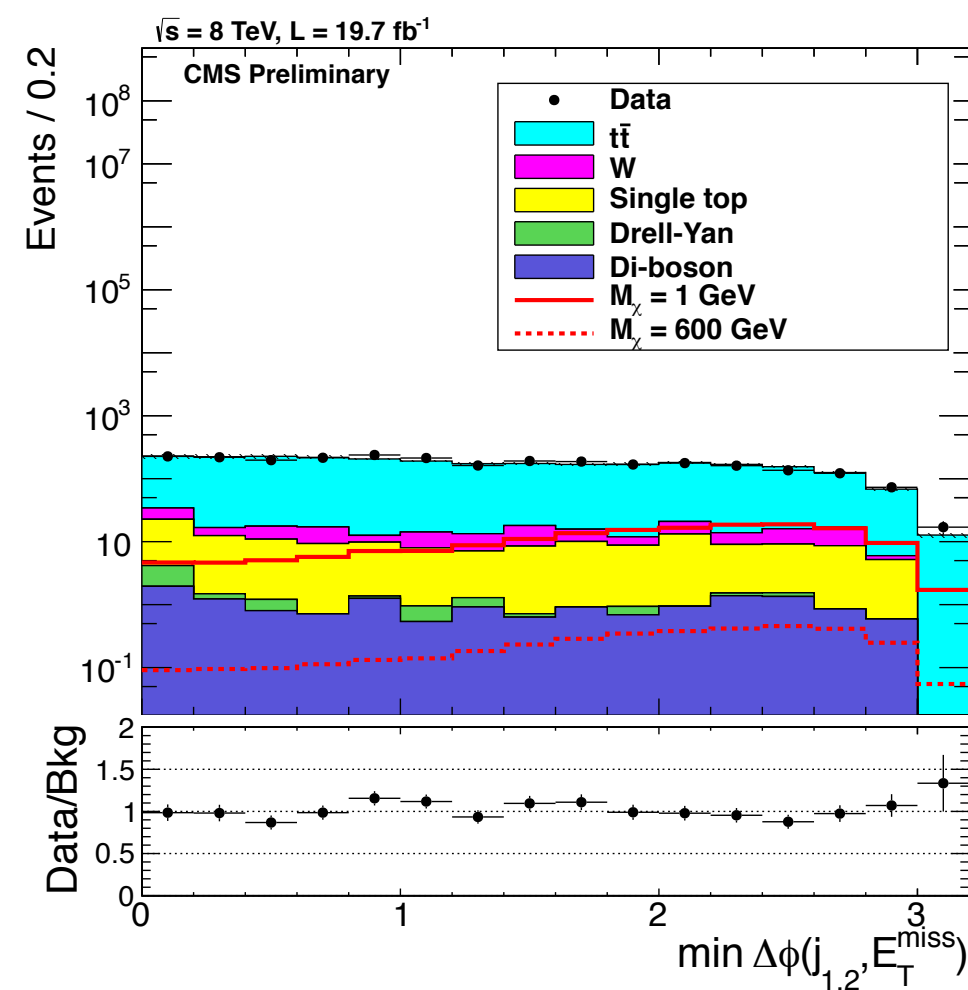
# Data-simulation agreement

Agreement between data and MC samples is used to check the validity of this estimate



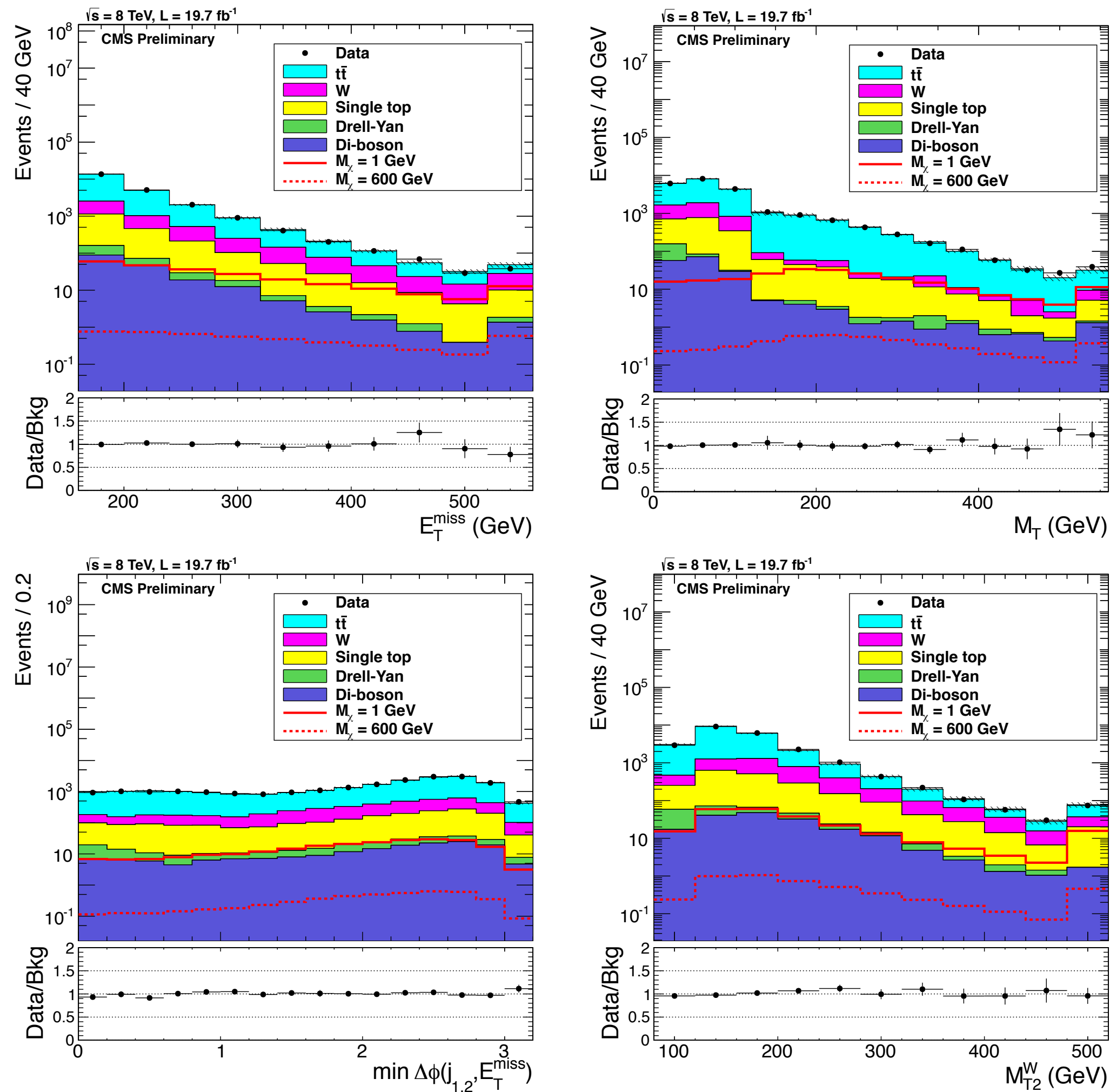
## CR1 (tt enriched)

- In all distributions good agreement between data and background prediction is observed after SFs applied



# Data-simulation agreement

Agreement between data and MC samples is used to check the validity of this estimate



## PRE-SELECTION

- In all distributions good agreement between data and background prediction is observed after SFs applied

# Backup slides

## Background

Normalization uncertainties already covered by SFs for tt+jets and W+jets

- the integrated luminosity
- lepton identification
- trigger efficiency
- and the cross sections

Other systematic uncertainties constrained by refitting MC to data in CRs and propagating results in SR

Source of systematic uncertainties	Relative error on total background (%)
Normalization of other bkg	10
$SF_w(\text{stat})$	1.5
tt+jets jet-parton matching	8.2
tt+jets $Q^2$	6.6
tt+jets top $p_T$ reweighting	3.9
Jet energy scale	4.0
Jet energy resolution	3.0
b-tagging correction factor (heavy flavor)	1.0
b-tagging correction factor (light flavor)	1.8
Pileup model	2.0

## Signal

The total systematic uncertainty for signal efficiency is estimated to be 5-6%.

# Physics objects: b jets

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## b jets

b-tagging algorithm: **Combined Secondary Vertex (CSV)**

- Standard CMS b-tagging algorithm
- Used to identify jets likely to come from b quarks fragmentation-hadronization
- Exploits long lifetime of b hadrons
  - large impact parameter and presence of a secondary vertex as input
- Continuous output: allows selection of optimal working points

## Efficiencies, mis-tag rates

for CSV > 0.90 b quark tag: 50%

c quark tag: 6%

light quark tag: 0.15 %

# Systematics

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## Jet Energy Scale (JES)

the jet energy is determined from energy deposits in the hadronic calorimeter. Applying a scale to this value the energy of the parton generating the jet can be inferred. A systematic uncertainties is associated to this calculation

## Jet Energy Resolution (JES)

the jet energy resolution reflects the ability to distinguish between two different energy values. It is generally defined as the ratio of the full-width-at-half-maximum (FWHM) of the peak divided by its centroid position. Small values of this ratio correspond to narrow peaks and then to a better resolution.

# Backup slides

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