



# CMS Upgrade and Future Plans

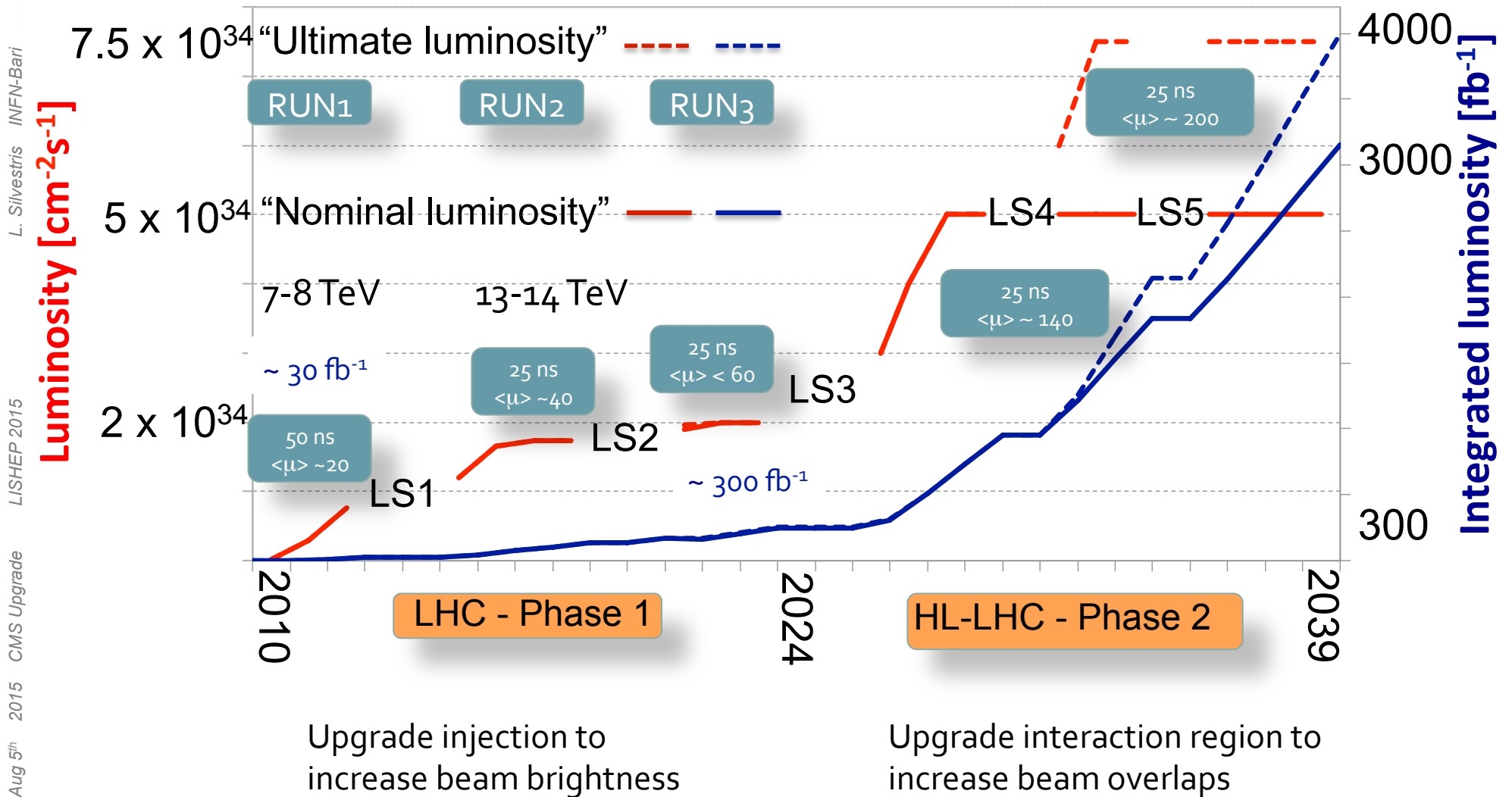
**LISHEP 2015**  
**Manaus Amazonas, August 5<sup>th</sup>, 2015**

**L. Silvestris INFN-Bari**  
**On behalf of the CMS Collaboration**



# The Past- Present-Future of LHC

New schedule proposed for long shutdowns and accelerator perspective for luminosity

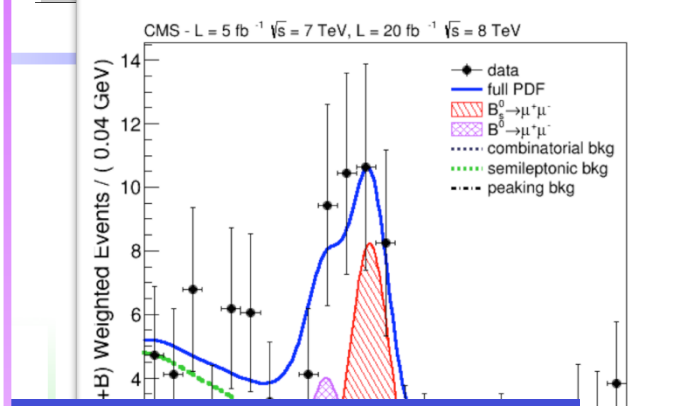
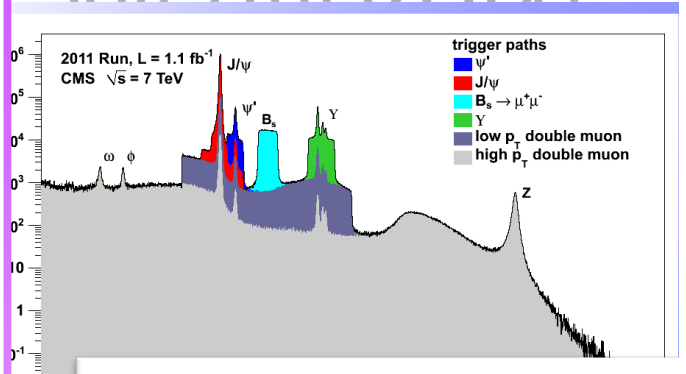
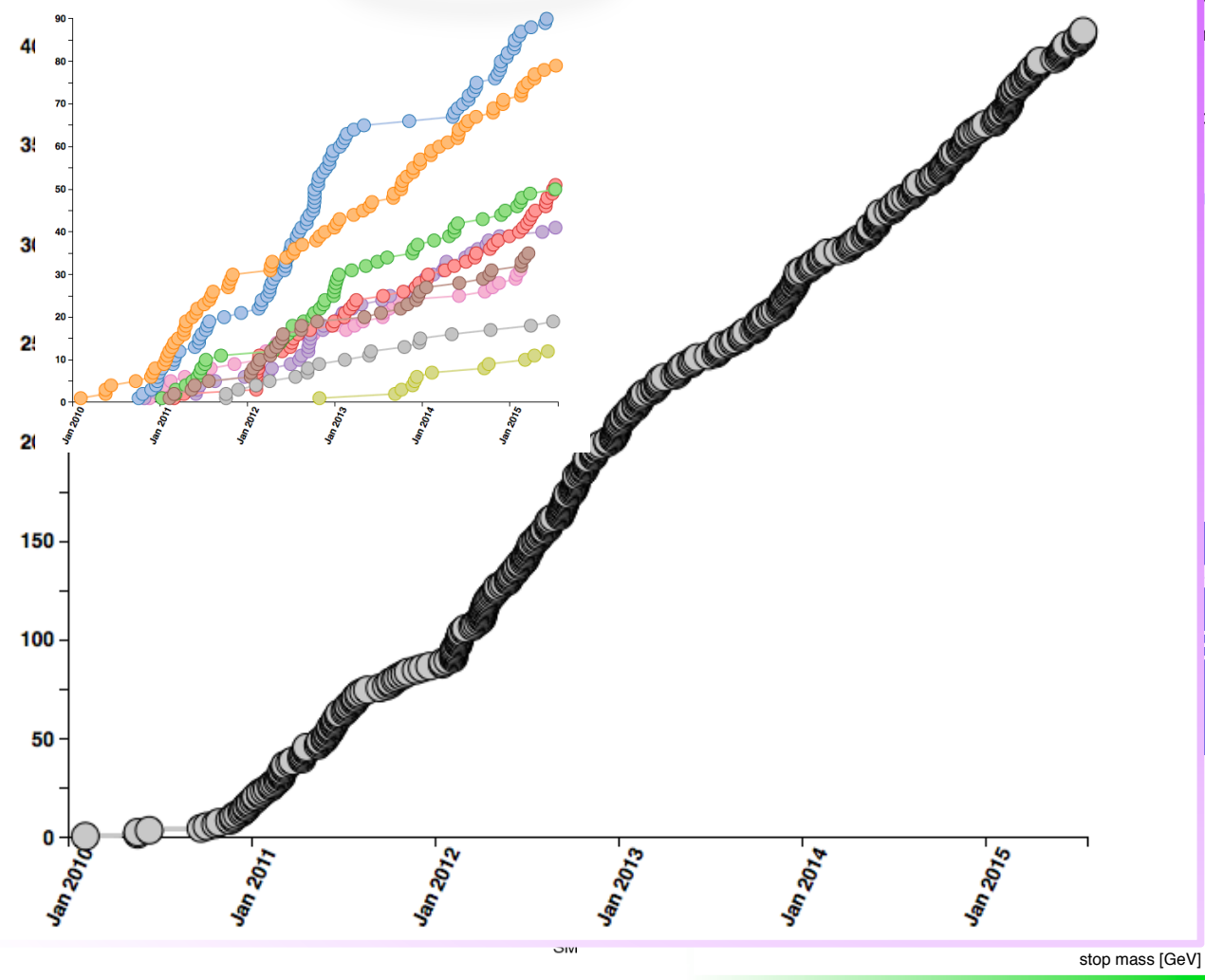




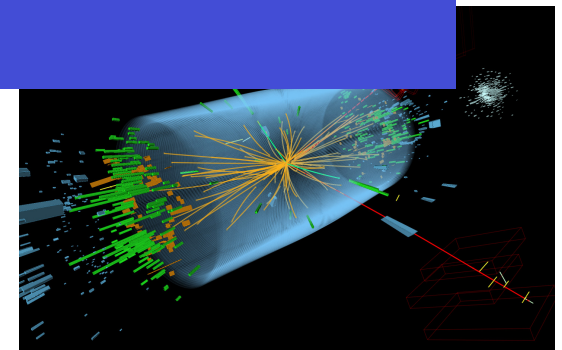
# Lot of Physics Results @ RUN1

- Show all
- Total
- Exotica
- Standard Model
- Supersymmetry
- Higgs
- Top Physics
- Heavy Ion
- B Physics
- Forward Physics
- Beyond 2 Generations

407 papers submitted as of 2015-07-13



precision studies and ...  
st around the corner..

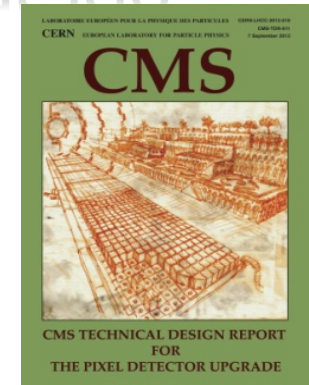


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# CMS Phase I Upgrade

- Goals are to provide strong physics performance for luminosities up to twice the original design ( $2 \times 10^{34}$ ) and manage radiation-damage effects up to  $500 \text{ fb}^{-1}$
- Upgrades planned to three subsystems of CMS
  - **Pixel tracker** : four-layer barrel and 3 forward-disk pixel tracker with new readout chip (ROC) capable of higher hit rate
  - **Hadron calorimeter** : Installation of SiPM devices into barrel/endcap calorimeters and new electronics in the forward calorimeter allowing timing-based background rejection
  - **Trigger** : upgrade to the muon and calorimeter Level-1 trigger systems and global trigger processor to handle higher luminosities without loss of efficiency for key physics channels



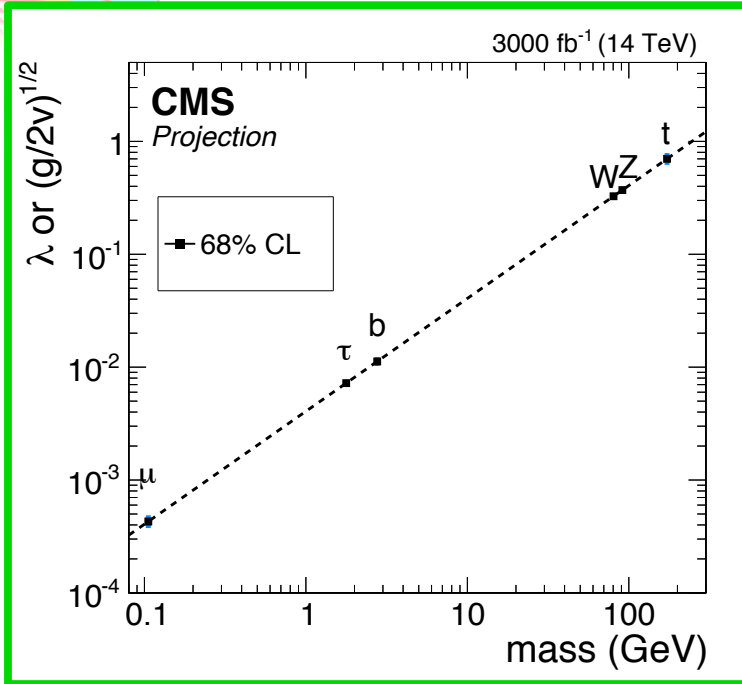


# Exciting Prospects at the HL-LHC

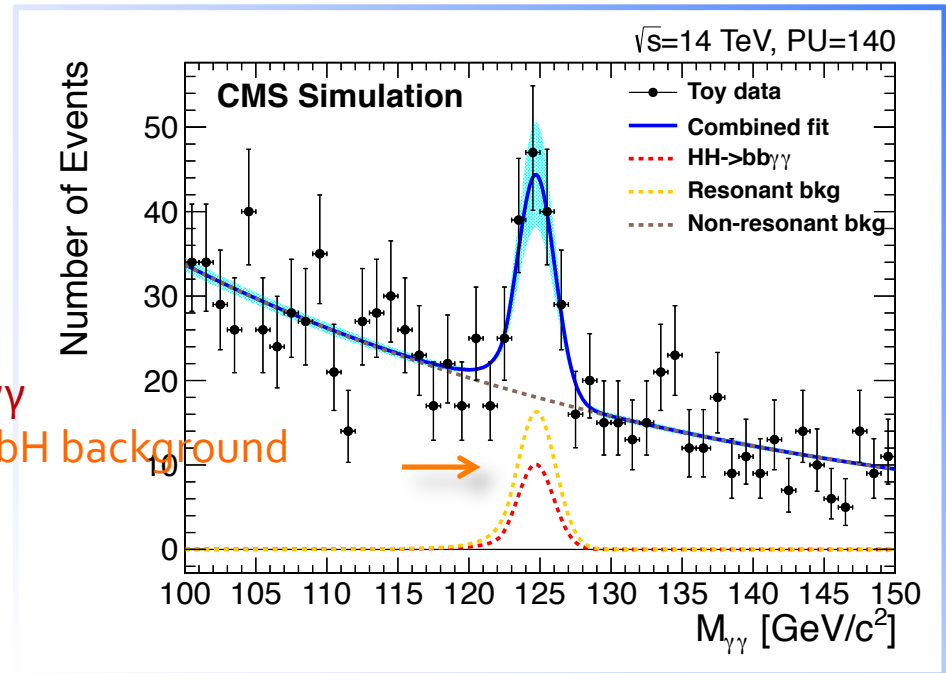
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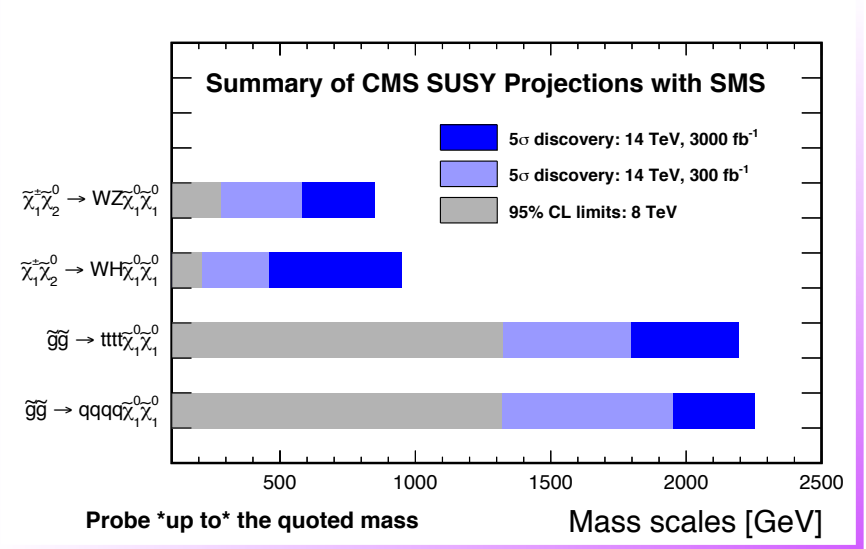
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HH  $\rightarrow$  bb $\gamma\gamma$   
 ZH, ttH, bbH background



- Few % precision on Higgs couplings
- Evidence of di-Higgs production
- Access to small cross section SUSY processes
- Several other SM rare processes and BSM physics predictions





# HL-LHC Physics Drivers

## Requirements:

- Precision measurements of
  - Leptons ( $e, \mu, \tau$ ),  $\gamma$ , Jets,  $b$  ( $c$ ) quarks, MET
- Reconstruction of complex event topologies to identify
  - $W/Z$ , top, VBF, etc.
- Over the full range from low to high  $p_T$ 
  - In a very high rate and high pile-up environment

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<https://cds.cern.ch/record/2020886>

<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFP>





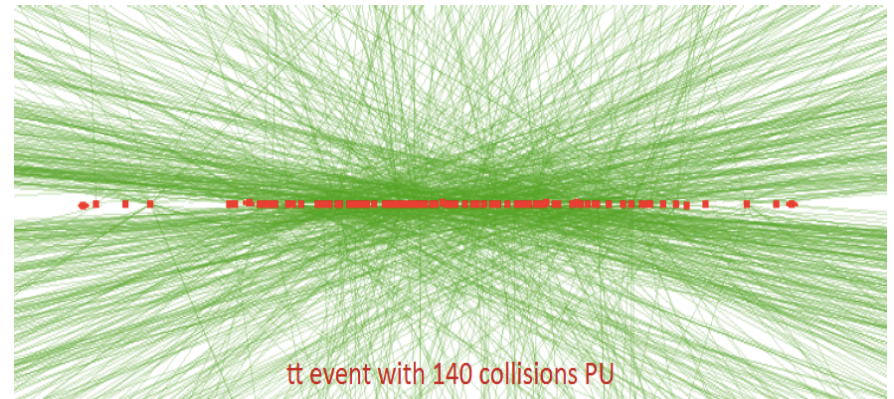
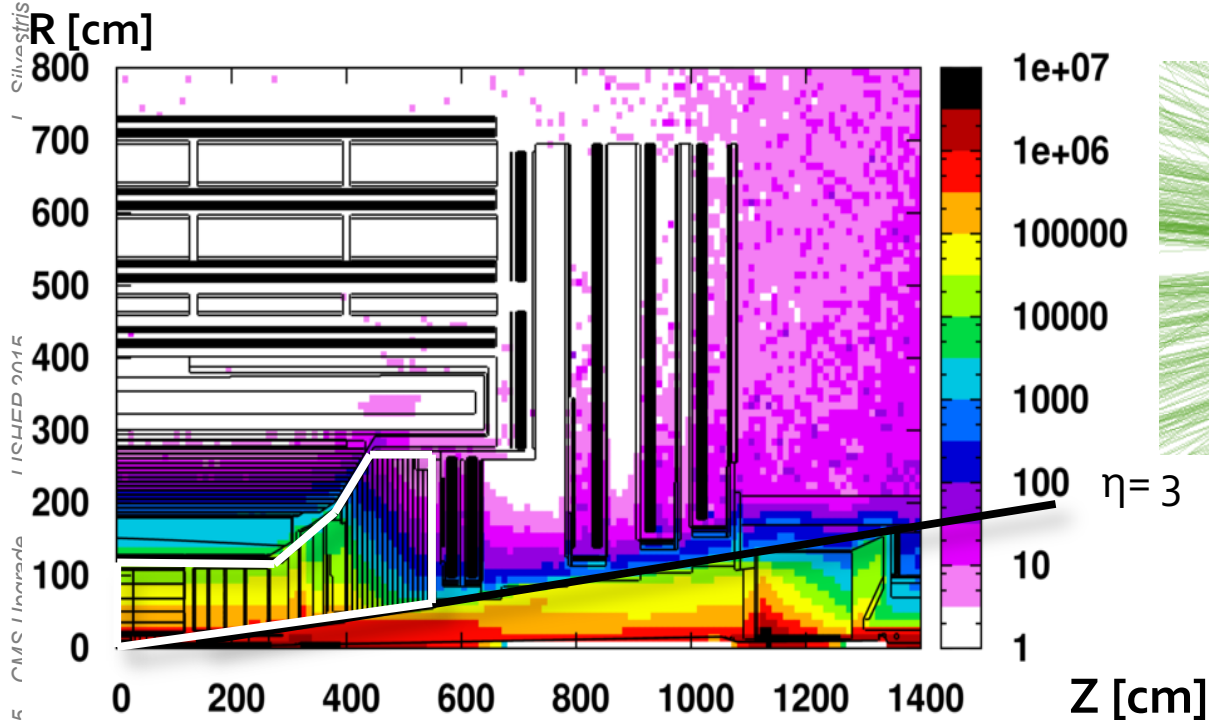
## High radiation level

- 3000 fb<sup>-1</sup> Dose map in [Gy] simulated with MARS and FLUKA
- Aging studies show that Tracker & End cap Calorimeters need replacement

# HL-LHC Challenges

## High Pile-Up

tT events with 140 PU collisions



**HL-LHC presents increased challenges for Triggering, Tracking and Calorimetry, in particular for low to medium P<sub>T</sub> objects**

# Phase 2 Upgrades



## Muon System

- Replace DT & CSC FE/BE electronics
- Complete RPC coverage in region  $1.5 < \eta < 2.4$  (new GEM/RPC technology)
- Muon-tagging  $2.4 < \eta < 3$

## Replace Tracker

- Radiation tolerant - higher granularity - less material - better  $p_T$  resolution
- Extended  $\eta$  region up to  $\eta \sim 3.8$
- Tracks trigger at L1

## Barrel EM calorimeter

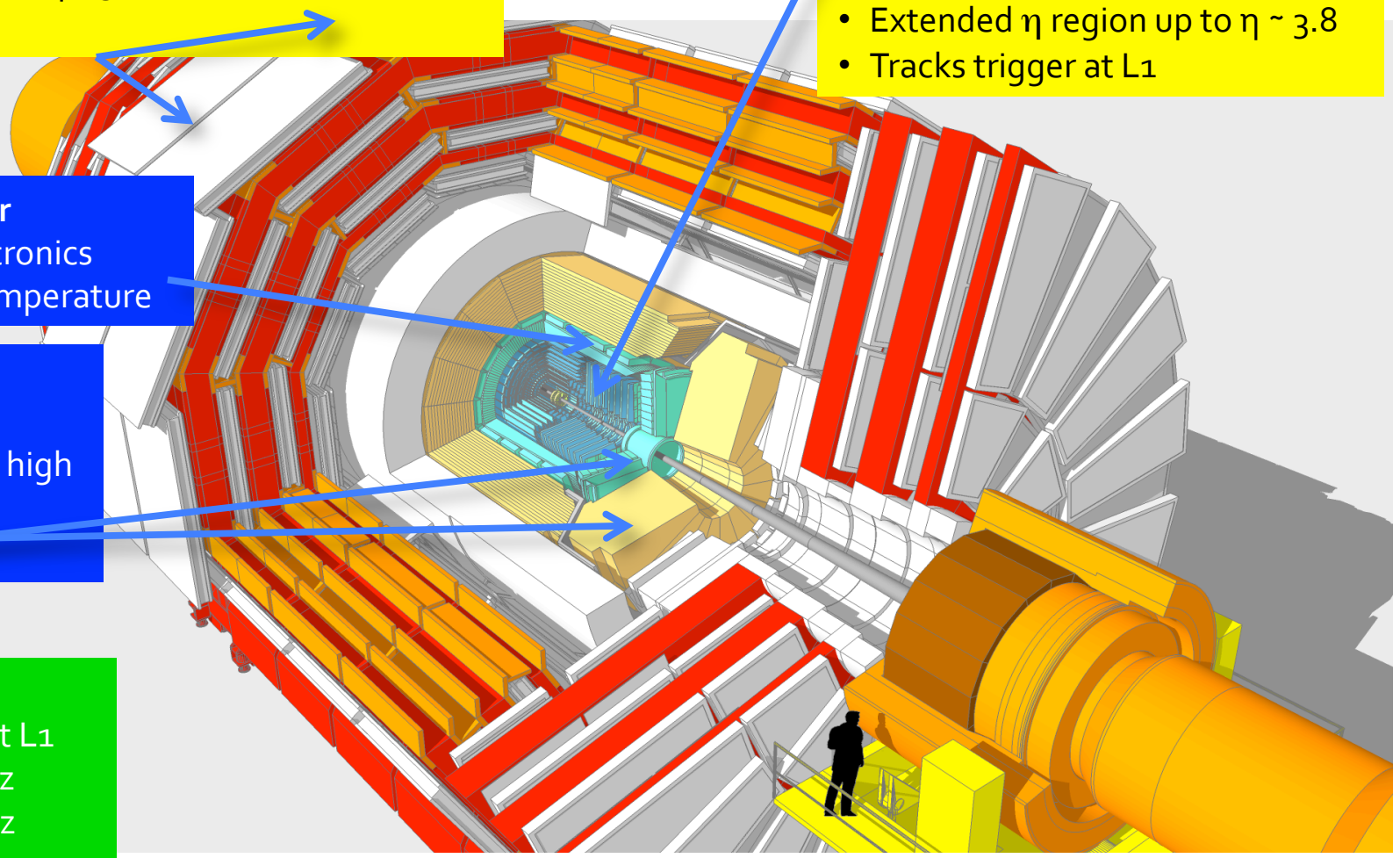
- Replace FE/BE electronics
- Lower operating temperature

## Replace endcap Calorimeters

- Radiation tolerant - high granularity
- 3D capability

## Trigger/HLT/DAQ

- Track information at L1
- L1-Trigger  $\sim 750$  kHz
- HLT output  $\sim 7.5$  kHz



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Upgrade





# Tracking system b-tagging – $\tau$ -ID – $\mu$ -ID



# Phase II Tracker Design

Total Outer Tracker 220 m<sup>2</sup> area - 15500 modules

- 50M strips - 220M macro-pixels
- 90/100 μm pitch (2S/PS modules)
- 2.5/5 cm strips (2S/PS) - 1.5 mm macro-pixels in PS modules
- 200 μm active or physical thickness

Total pixel area ~ 4.0 m<sup>2</sup>

- 50x50 - 25x100 μm<sup>2</sup> pixels
- ≤ 150 μm sensor physical thickness

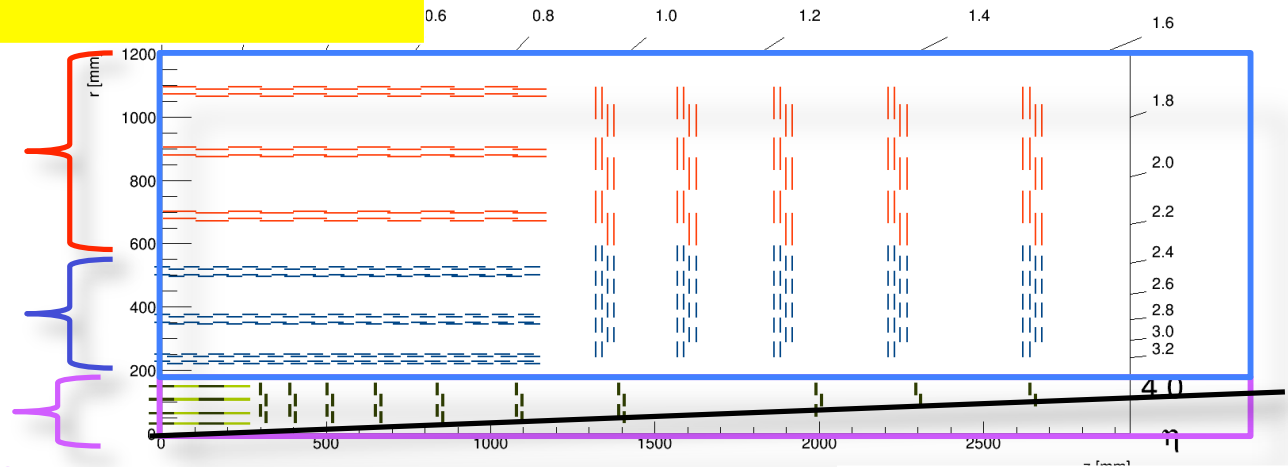
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Strip/Strip modules 2S

Macro Pixel/Strip modules PS  
Z measurement

Inner Tracker,  
new Disks to η=3.8

Pixel modules



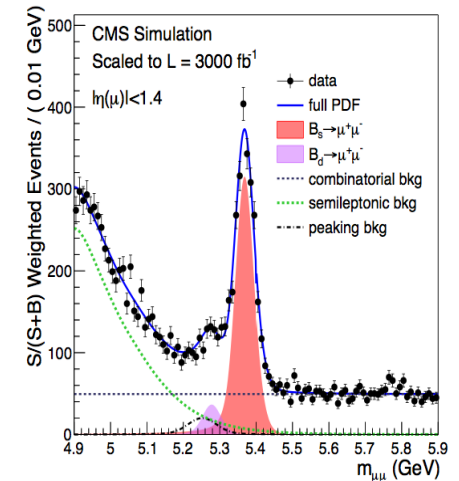
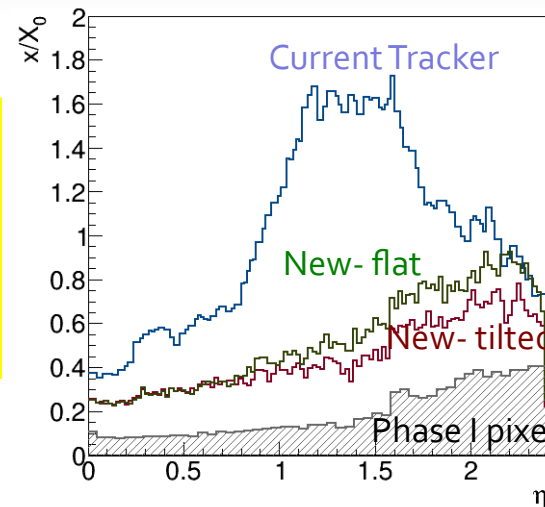
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## Material (lighten up!)

- Tracker weight 1/2 of current
- Improved track p<sub>T</sub> resolution & reduce rate of γ conversion (factor 2 to 3 depending on η)
- ex. HH → bγγ; ttH → γγ; H → μμ - B<sub>s,d</sub> → μμ ..

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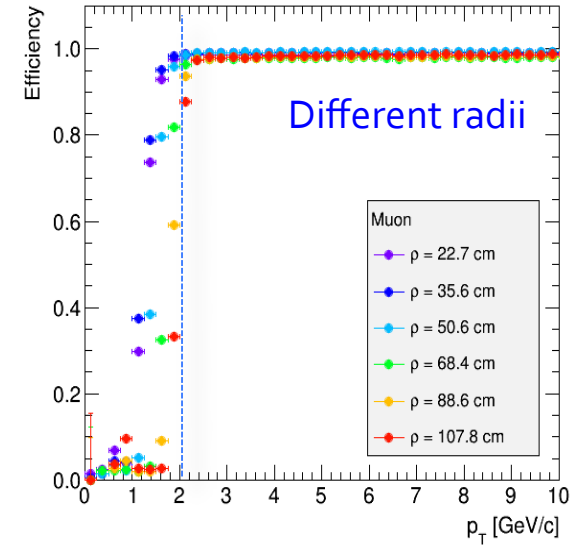
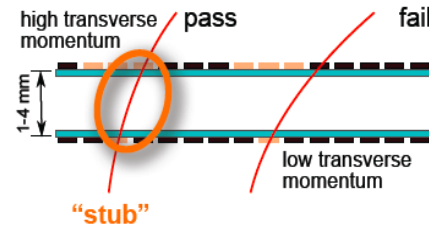


# Track Trigger Performance

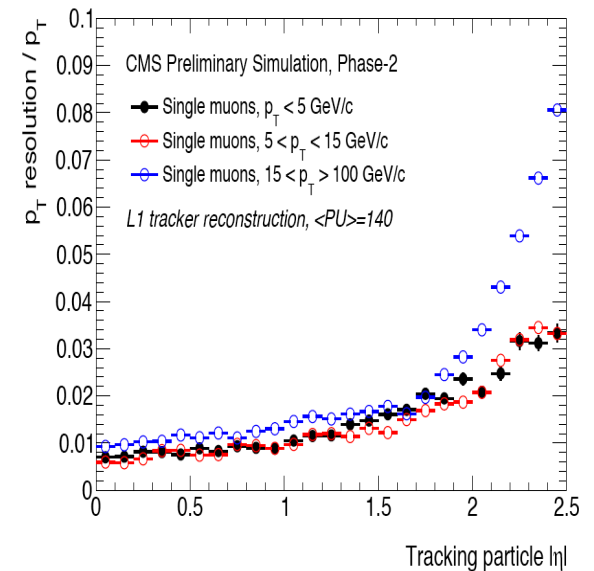
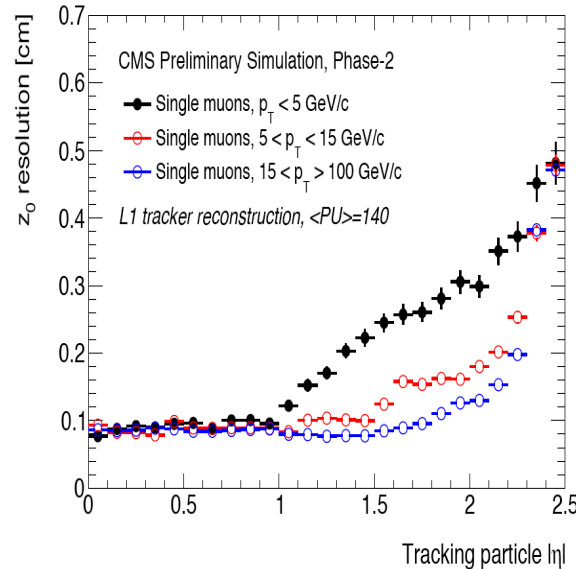
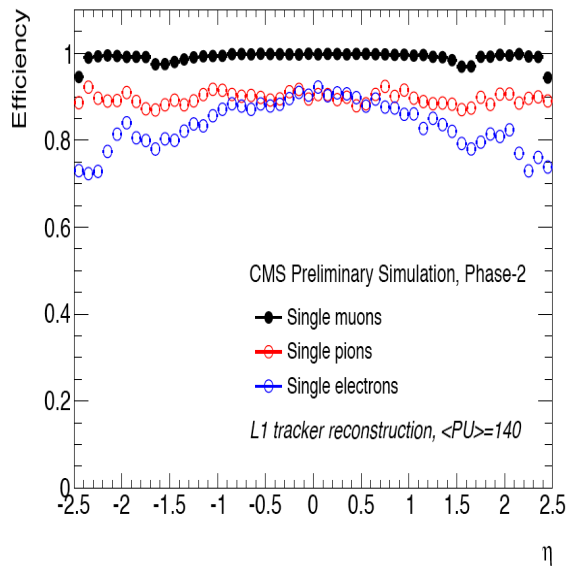
High B-field allows to measure bending of tracks in few mm spacing between two sensors of a module

- Selective readout "stubs" of  $P_T \geq 2$  GeV reduce bandwidth for readout at 40 MHz

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## L1 Track Trigger reconstruction performance





# L1 Trigger performance with Track-Trigger

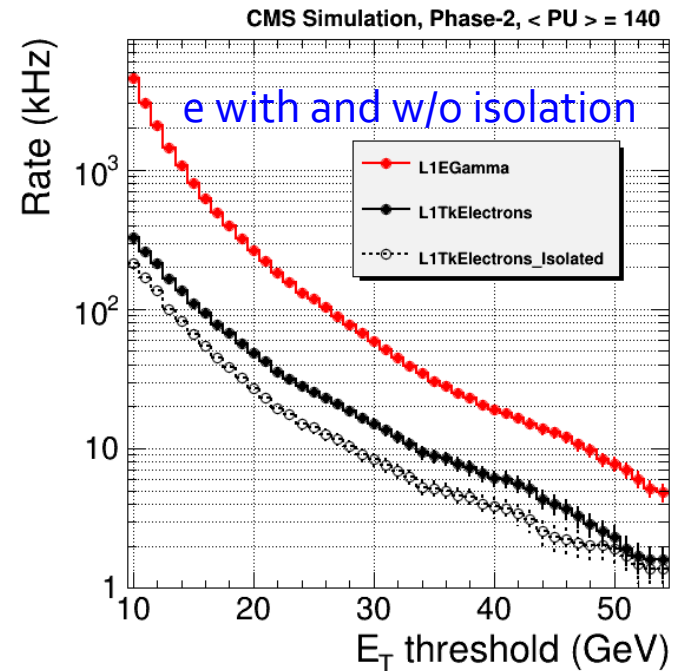
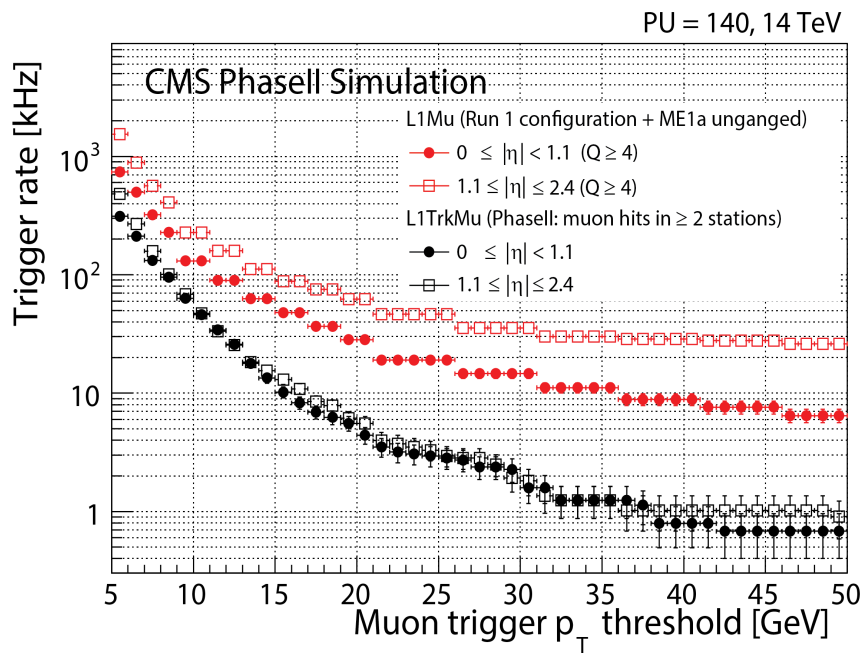
Powerful scheme to control all inclusive trigger rates at first 40 MHz stage

- Single  $\mu$  rate divided by 10
- Single  $e$  rate divided by 5(10) w/o (with) isolation
- $\gamma\gamma$  rate/5 from isolation
- $\tau$  efficiency  $\times 2$  at same rate
- Vertex  $\approx 1$  mm resolution  $\rightarrow$  HT & MET rates divided by 10 to 100

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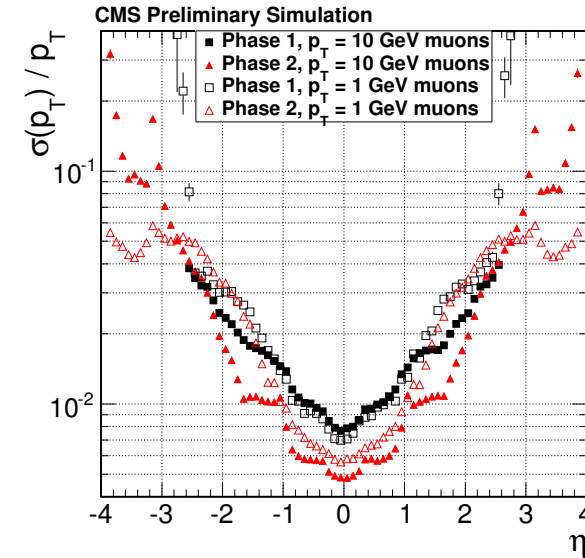
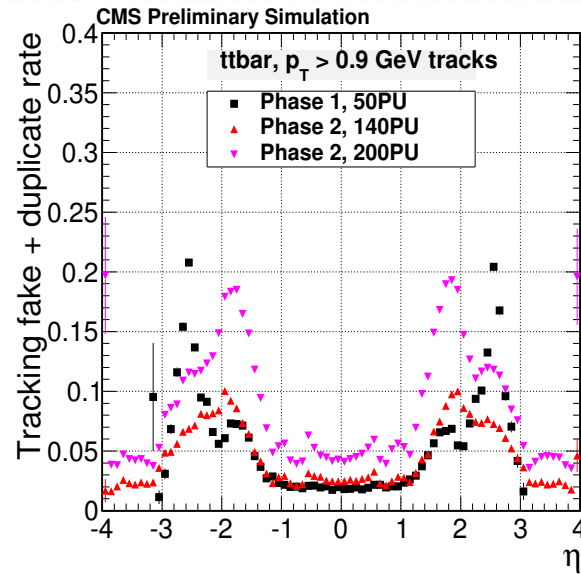
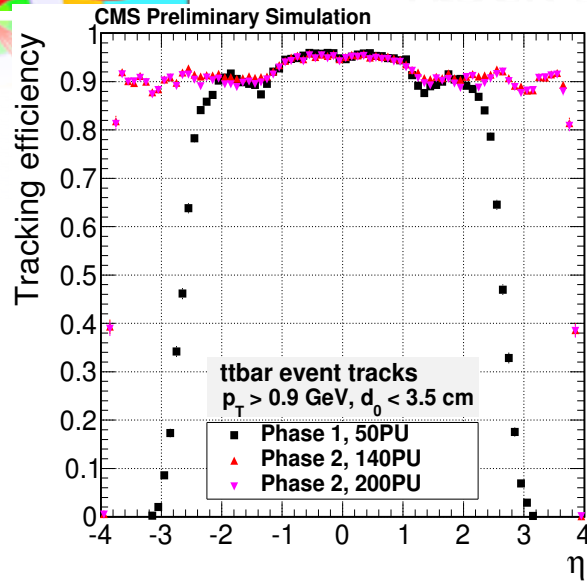
L1-Trigger studies with Phase-I menu thresholds including Track-Trigger:

- Requires  $\approx 500/750$  kHz rate at 140/200 PU (with 1.5 safety margin)



# Track and Vertex Reconstruction Performances

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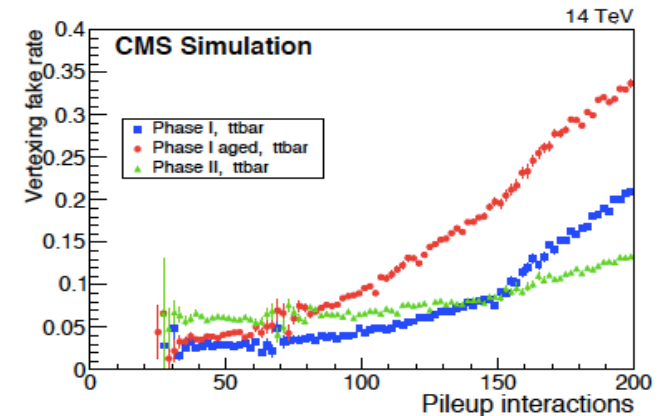
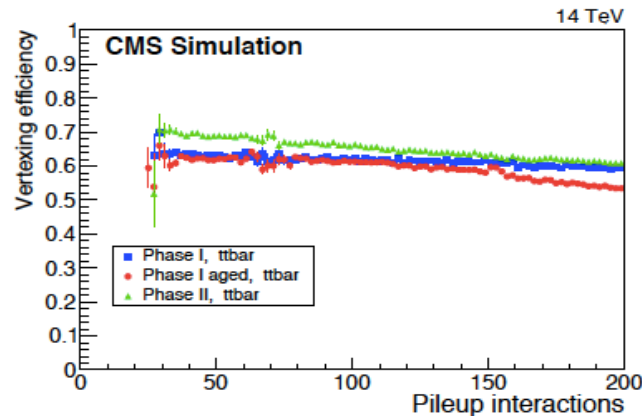
- Track efficiency and fake rates for Phase-II 200 PU similar to Phase-I 50
  - tolerable fake increase at 200 PU
- Momentum resolution substantially improved (lower pitch & material)

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Signal primary vertex efficiency  $\geq 95\%$  with  $20 \mu\text{m}$  resolution at 200 PU

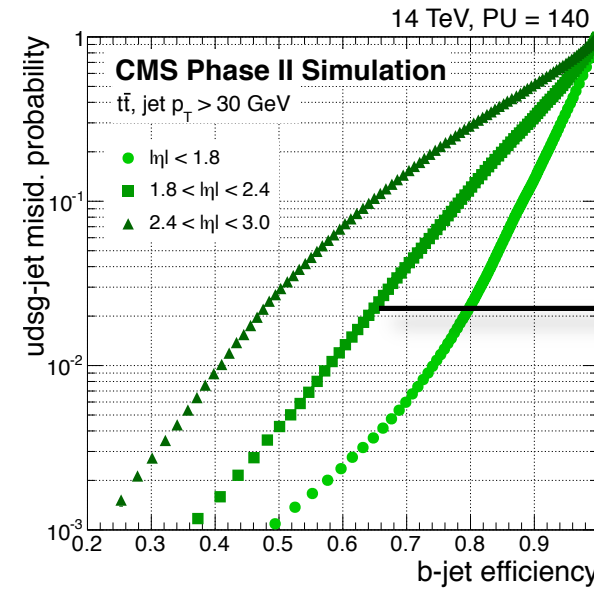
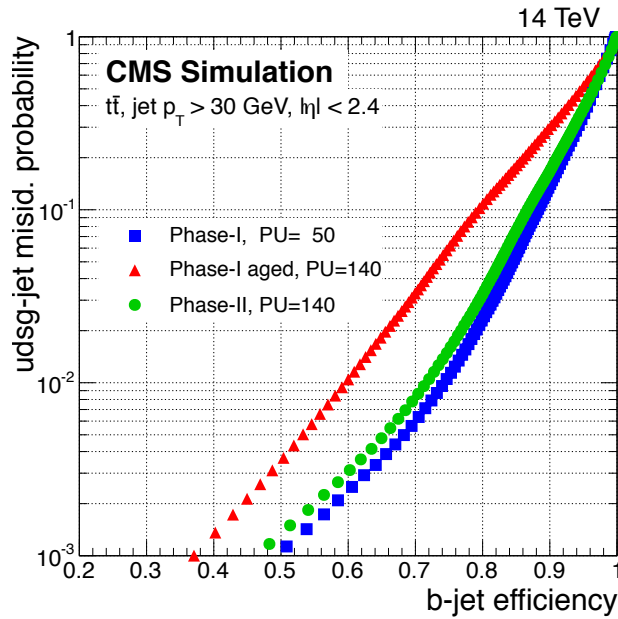
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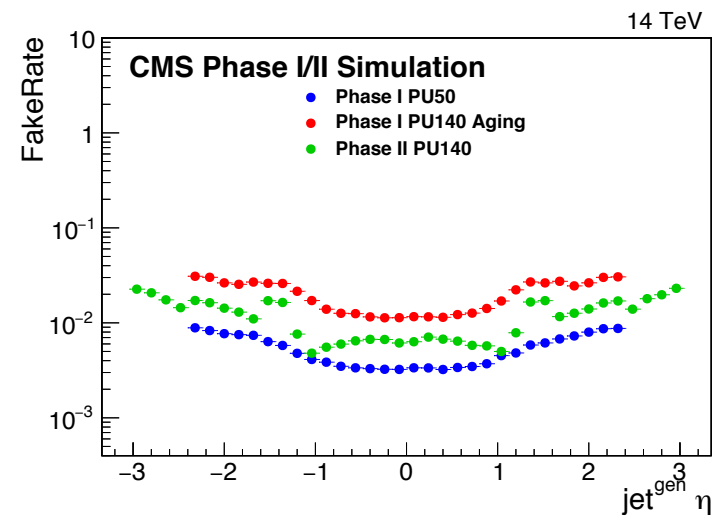
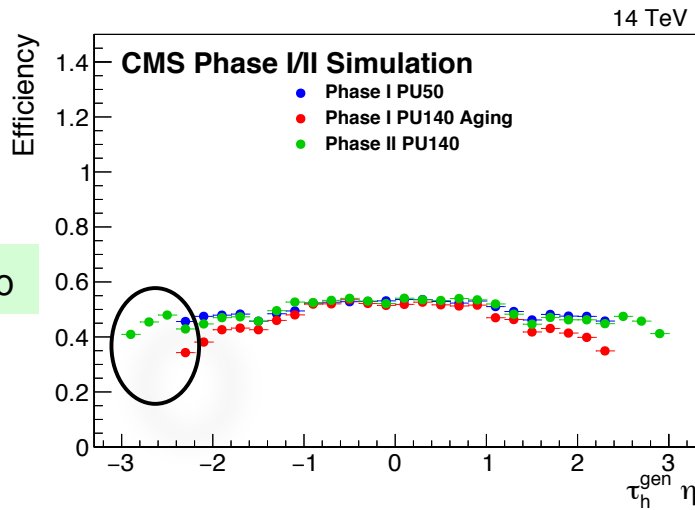
## b-tagging Phase-II recovers Phase-I performance

- Expected further improvements with new pixel design (smaller pitch & material)



## $\tau$ -ID - based on track isolation (robust to PU) same efficiency working point below

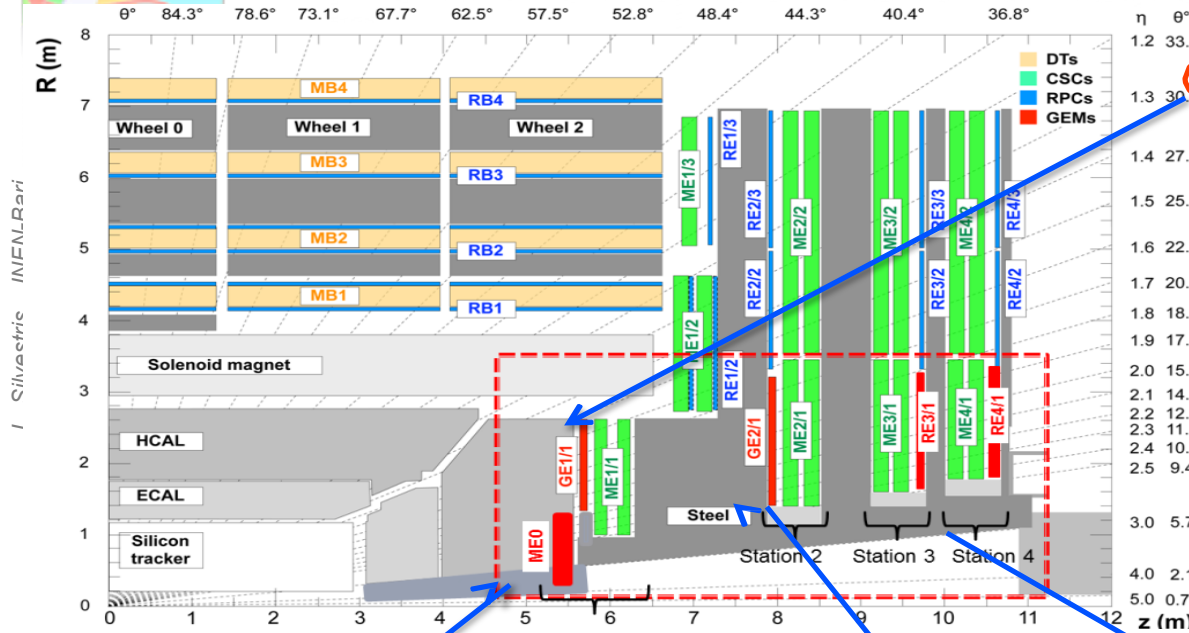
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# Forward muon system enhancement

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**GE1/1**

## Trigger and reconstruction

- $1.55 < |\eta| < 2.18$
- **baseline detector for GEM project**
- 36 staggered super-chambers (SC) per endcap, each super-chamber spans  $10^\circ$
- One super-chamber is made of 2 back-to-back triple-GEM detectors
- Installation: LS2

**MEo**

- **Muon tagger** at highest  $\eta$
- **6 layers of Triple-GEM**
- each chamber spans  $20^\circ$
- Installation: LS3

**GE2/1**

## Trigger and reconstruction

- $1.55 < |\eta| < 2.45$
- 18 staggered SC per endcap, each chamber covers  $20^\circ$ ,
- **$3.5 \times$  GE1/1 area**
- Installation: LS3

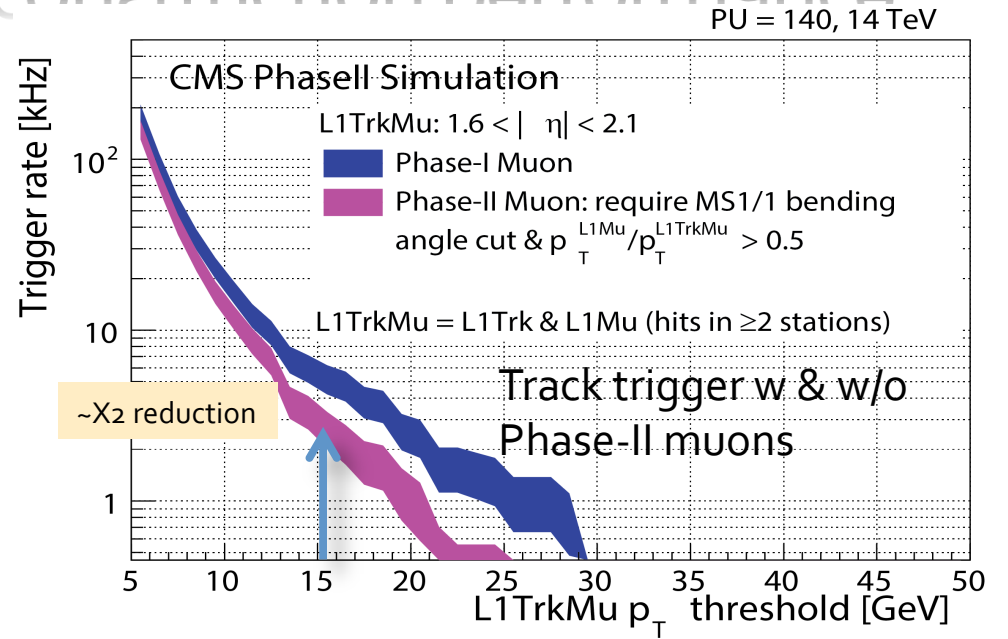
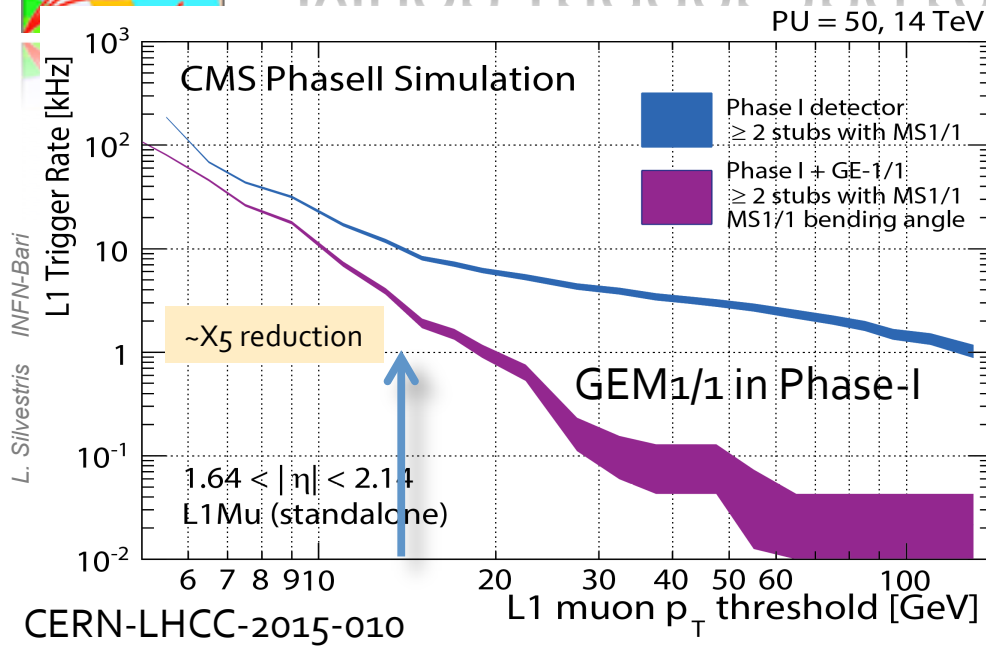
**RE 3/1 – RE4/1**

## Trigger and reconstruction

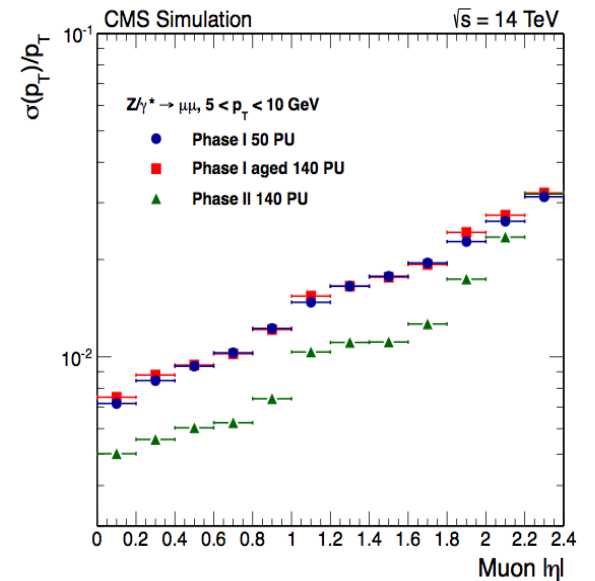
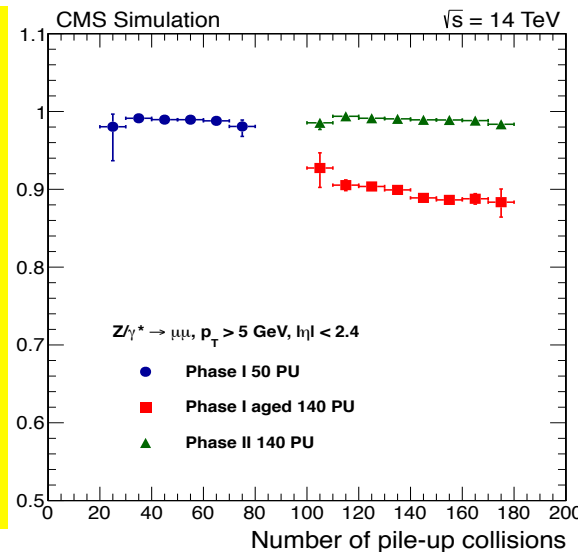
- $1.8 < |\eta| < 2.4$
- **Improved RPC (iRPC), finer pitch**
- 18 chambers per endcap, each chamber spans  $20^\circ$
- Installation: LS3



# Muon Trigger and reconstruction performance



- Good standalone L1-Trigger capability - GEM<sub>1</sub> important already after LS2
- Improved rate reduction combined with Track-Trigger
- Trigger on displace vertices
- Better offline reconstruction resolution - sign assignment



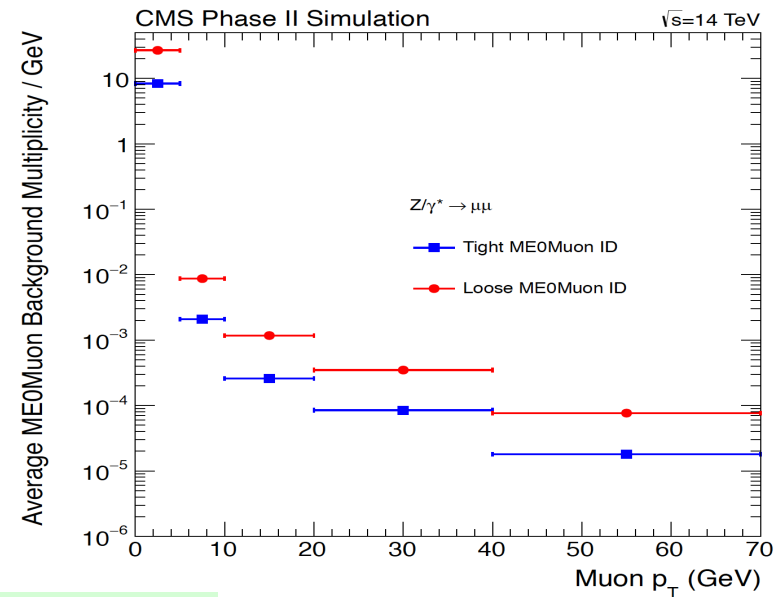
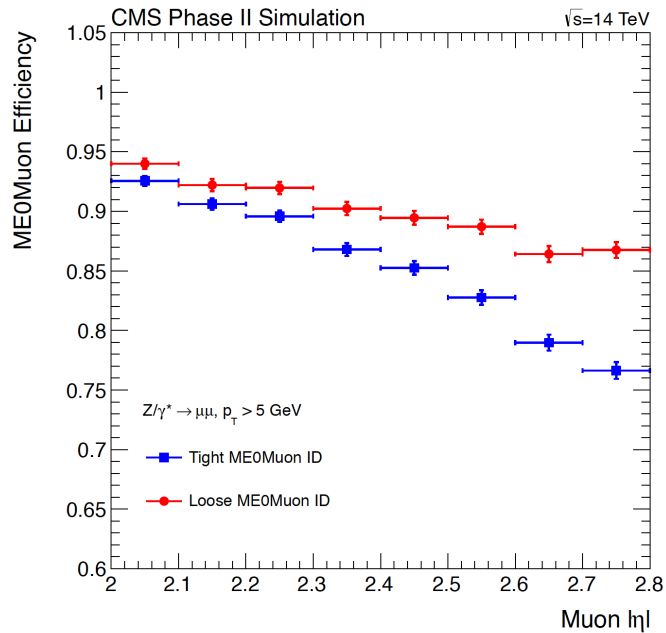
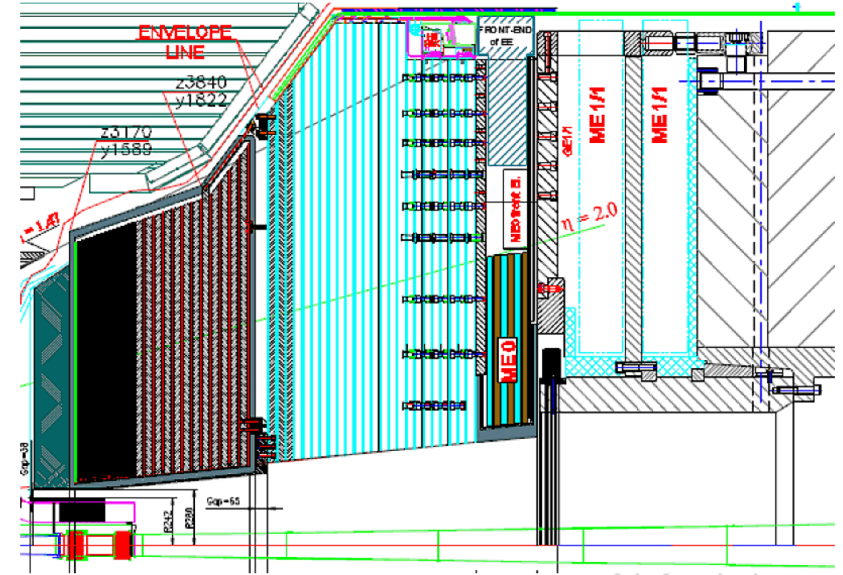




# Muon tagger

- new muon detectors MEo (6-layer GEM detector) cover  $2.0 < |\eta| < 2.8$
- segments matched with tracker tracks
- MEo provides efficient muon identification with reasonable background rates

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# Calorimeters e/ $\gamma$ – Jet/Met



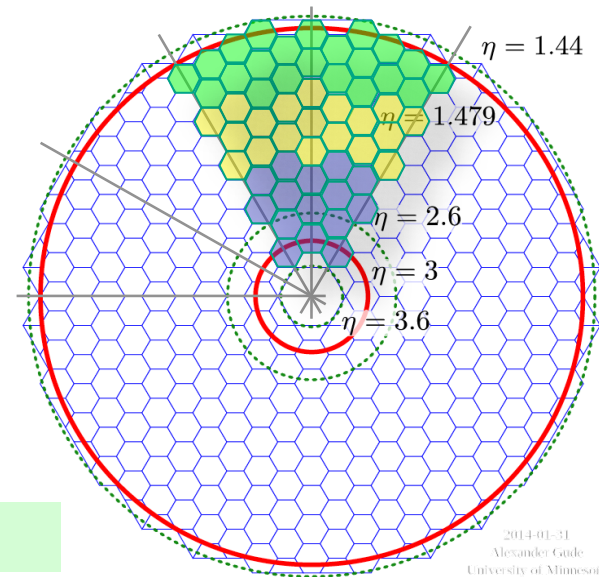
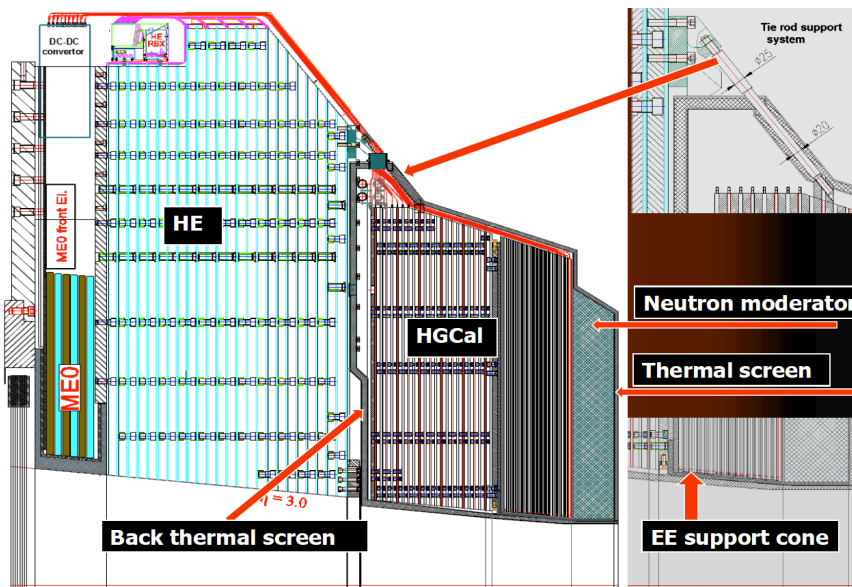
# Phase Endcap Calorimeters

- 3D shower measurement in **High Granularity Calorimeter (HGC)**
  - Electromagnetic EE ( $\Sigma_{\text{depth}} \sim 26 X_0, 1.5\lambda$ ): 28 layers of Silicon-W absorber
  - Front Hadronic FH ( $\Sigma_{\text{depth}} \sim 3.5 \lambda$ ): 12 layers of Silicon/Brass
- **Back Hadronic Calorimeter (BH)** ( $\Sigma_{\text{depth}} \sim 5 \lambda$ ): 12 layers of Scintillator/Brass

Total Depth  $> 10\lambda$

Table 3.2: Parameters of the EE and FH.

	EE	FH	Total
Area of silicon (m <sup>2</sup> )	380	209	589
Channels	4.3M	1.8M	6.1M
Detector modules	13.9k	7.6k	21.5k
Weight (one endcap) (tonnes)	16.2	36.5	52.7
Number of Si planes	28	12	40



3 sensor active thicknesses 100-200-300  $\mu\text{m}$   
 0.5(1) cm<sup>2</sup> pads for 100(200/300)  $\mu\text{m}$

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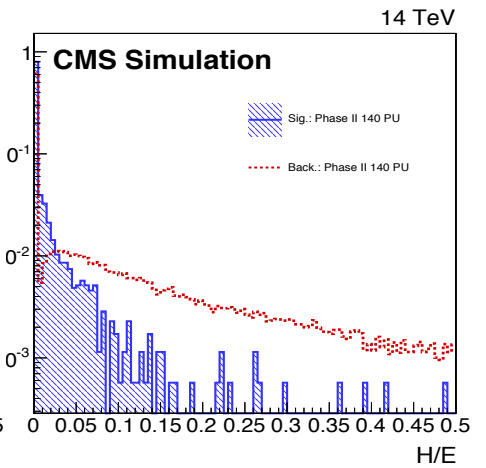
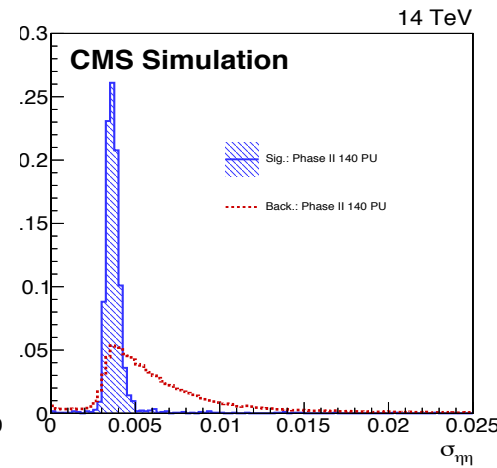
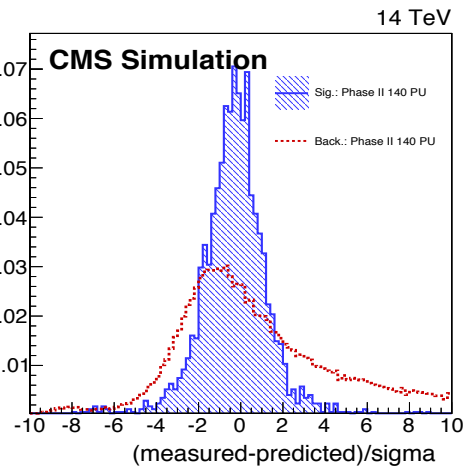
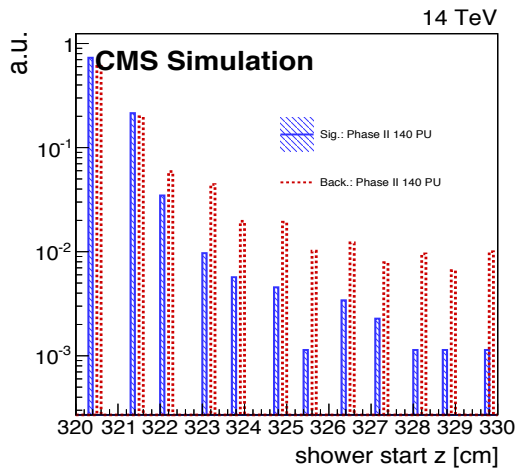
# HCG e/ $\gamma$ new ID variables

Shower start

Length compatibility

$\eta$  width

H/E



Signal (blue) - background (red)

- And to mitigate PU use trans. granularity - cells in  $\leq 1.5R_M$  - H/E in tight cone 0.05

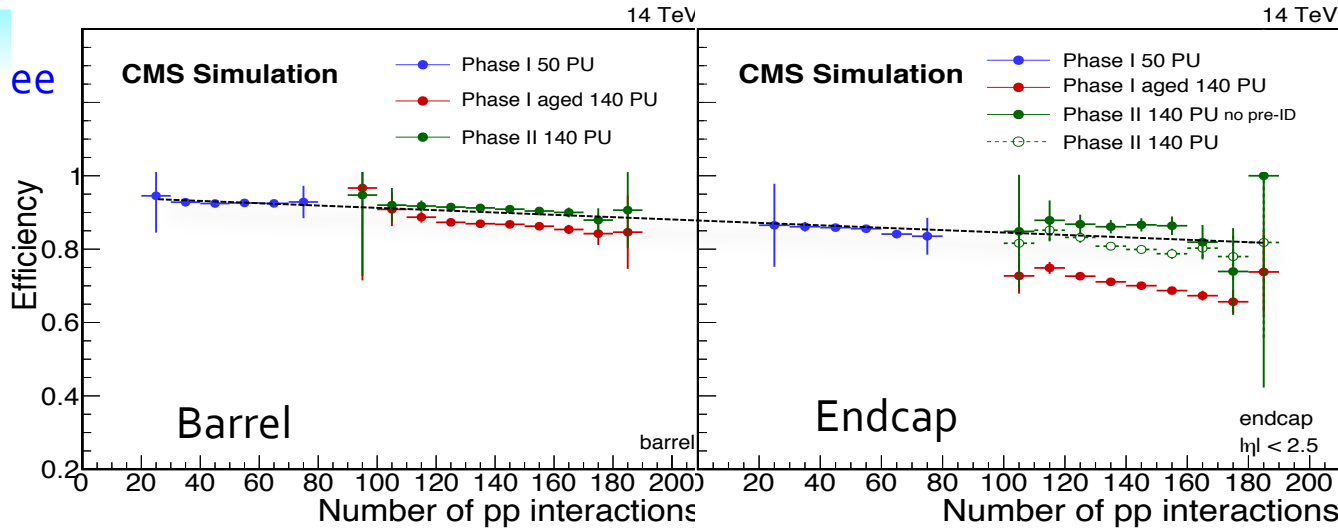
Variables used to perform the electron identification using BDT trained algorithm.



# Electron efficiency recovered with smooth decrease up to 200 PU

DY → ee

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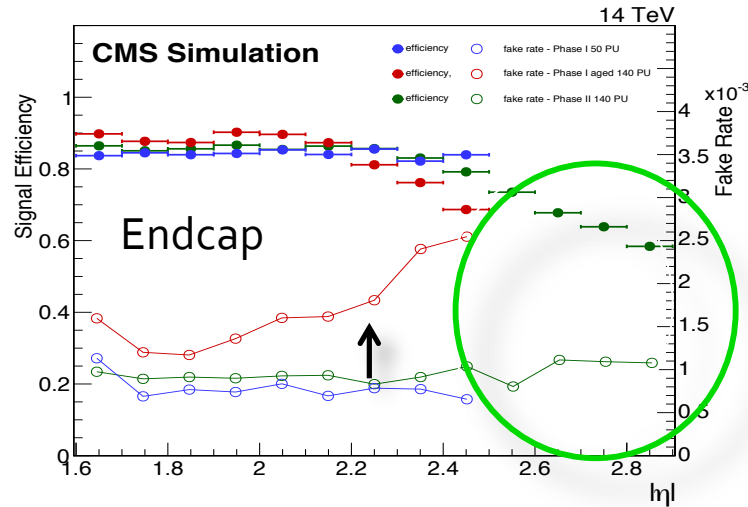
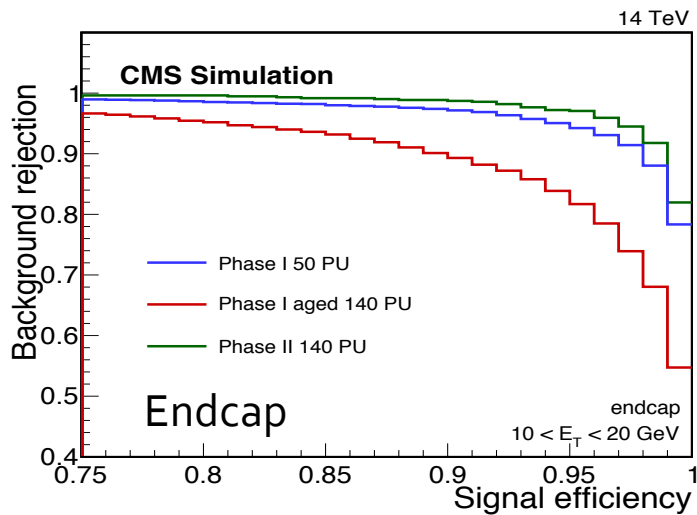


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# BDT efficiency for DY electrons vs bck rejection for Jets (left) and BDT efficiency for $\gamma$ and Jet fake rate for a WP at $\approx 85\%$ efficiency (right)

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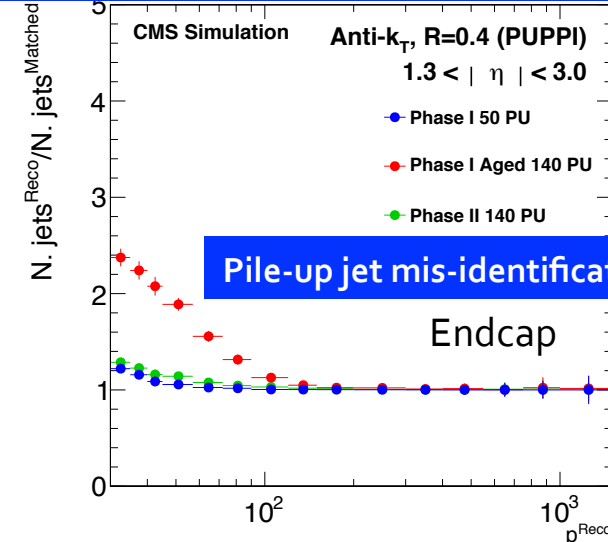
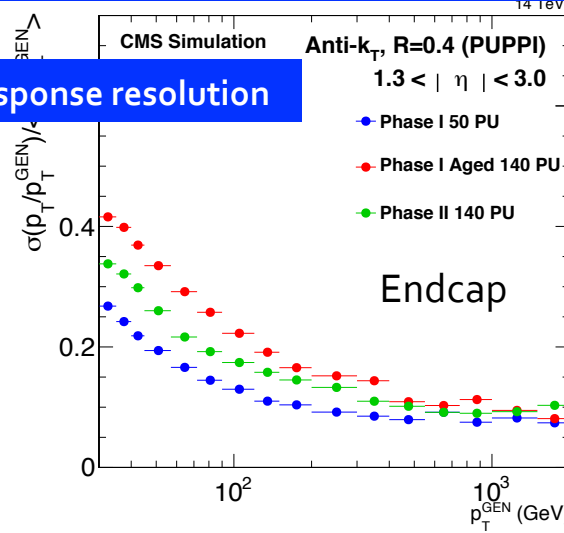
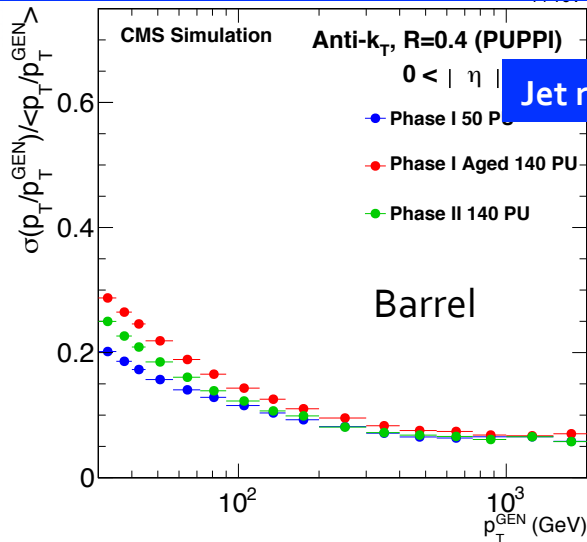
# Jet and MET performance

Combined effect of new EC and Tracker extension allows Phase-II to mostly recover energy resolution & fake rate of Phase-I detector at 50 PU

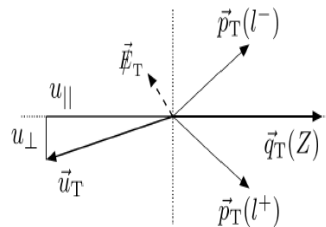
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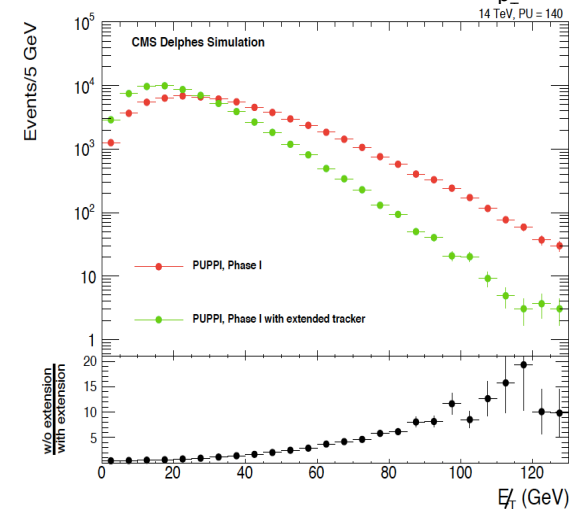
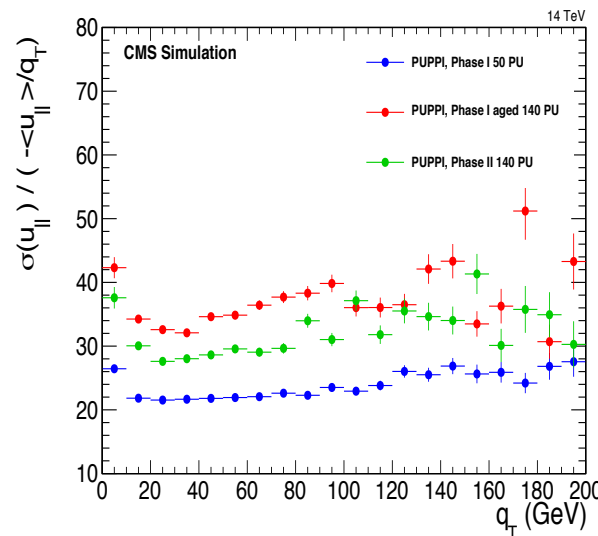
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Phase-II detector recovers MET resolution partially  
 MET tails significantly reduced by tracking extension



MET energy resolution from hadronic recoil in  $Z \rightarrow \mu\mu$





# Object Performance Summary

- Demonstrated that reconstruction of Physics Object is possible in HL-LHC environment
  - High efficiency
  - Low background
  - Good resolution
- Matching Phase 1 performance with  $PU=50$  in most cases
- Phase 2 detector will allow CMS to fully benefit from the data delivered by the HL-LHC



# Enhancement of the Physics Reach with CMS Upgrades





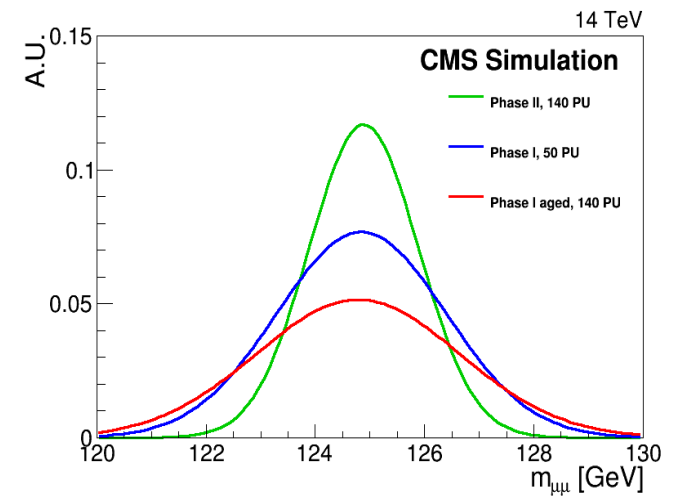
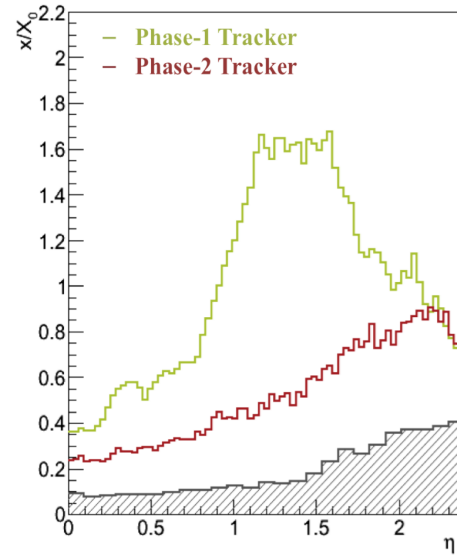
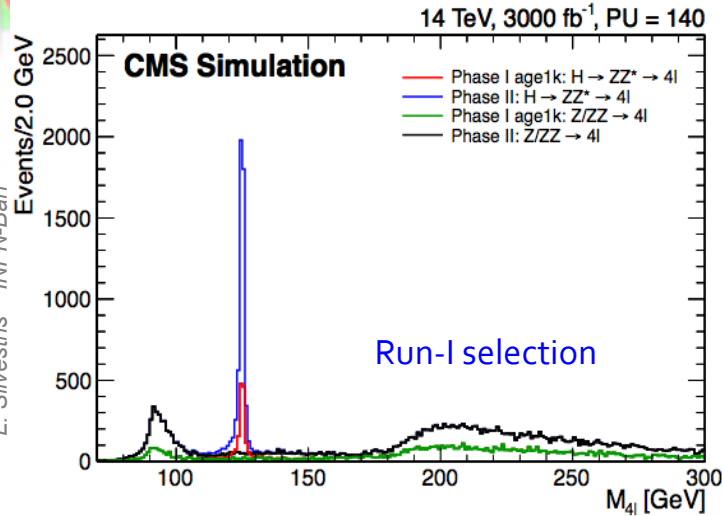
# HL-LHC is an Higgs factory !

$H \rightarrow ZZ^* \rightarrow 4l$ : precision measurements

$H \rightarrow \mu\mu$  (rare decay): probes the 2<sup>nd</sup> generation couplings  
 Search of narrow resonance with huge DY background

L (fb <sup>-1</sup> )	$\kappa_\gamma$	$\kappa_W$	$\kappa_Z$	$\kappa_g$	$\kappa_b$	$\kappa_t$	$\kappa_\tau$	$\kappa_{Z\gamma}$	$\kappa_{\mu\mu}$	BR <sub>SM</sub>
300	[5, 7]	[4, 6]	[4, 6]	[6, 8]	[10, 13]	[14, 15]	[6, 8]	[41, 41]	[23, 23]	[14, 18]
3000	[2, 5]	[2, 5]	[2, 4]	[3, 5]	[4, 7]	[7, 10]	[2, 5]	[10, 12]	[8, 8]	[7, 11]

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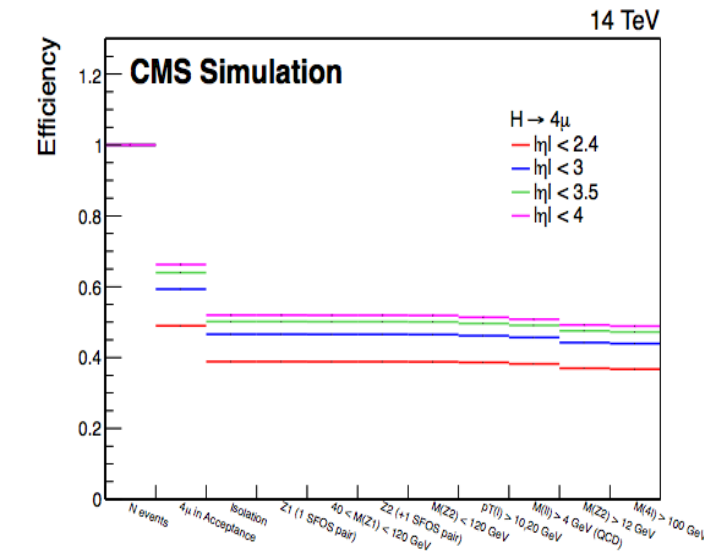
Improvements: 20% efficiency & 45% mass resolution  
 $\rightarrow$  expect ~5% uncertainty on  $\kappa_\mu$

CERN-LHCC-2015-010

LISHEP 2015

CMS Upgrade

Aug 5<sup>th</sup> 2015



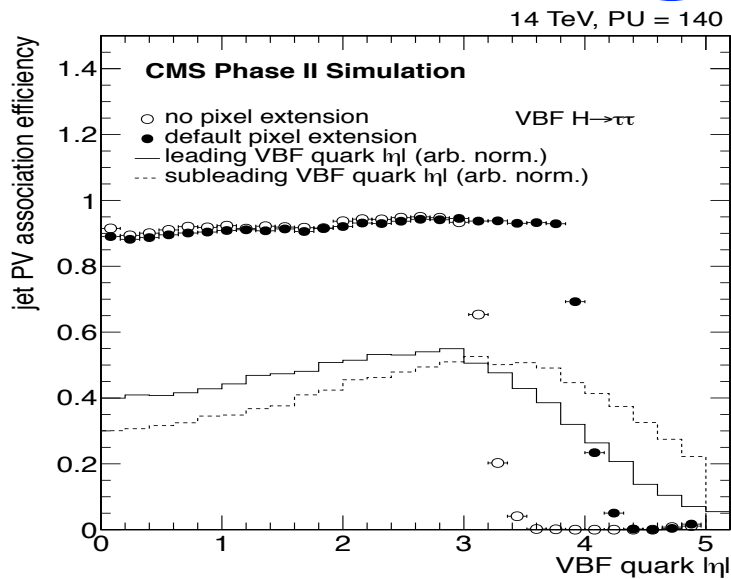
Improvements: lepton reconstruction efficiency at low-pT & ~20% acceptance



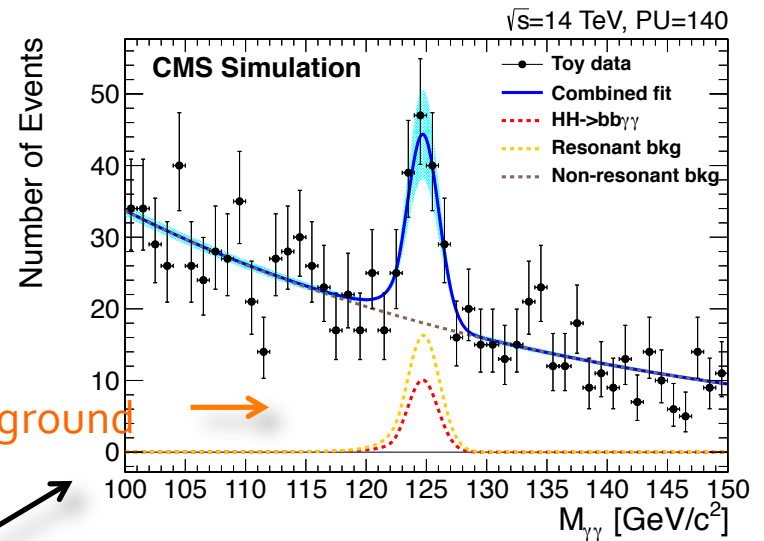
# VBF H → ττ and di-H: Excellent probes for BSM physics

VBF H → ττ: enable by VBF jet tagging, τ-ID, MET resolution

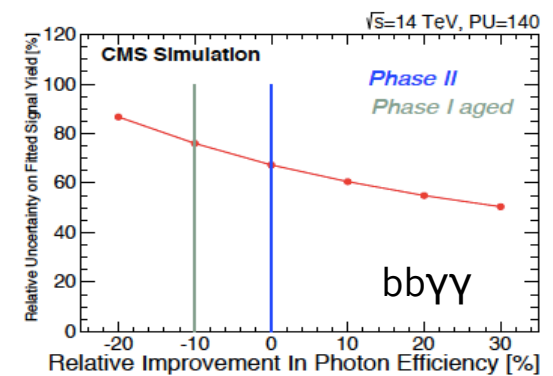
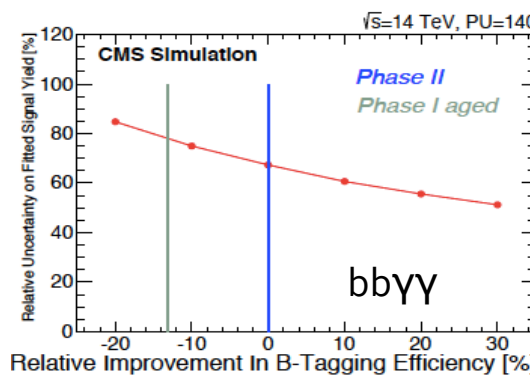
L (fb <sup>-1</sup> )	κ <sub>γ</sub>	κ <sub>W</sub>	κ <sub>Z</sub>	κ <sub>g</sub>	κ <sub>b</sub>	κ <sub>t</sub>	κ <sub>τ</sub>	κ <sub>Zγ</sub>	κ <sub>μμ</sub>	BR <sub>SM</sub>
300	[5, 7]	[4, 6]	[4, 6]	[6, 8]	[10, 13]	[14, 15]	[6, 8]	[1, 41]	[23, 23]	[14, 18]
3000	[2, 5]	[2, 5]	[2, 4]	[3, 5]	[4, 7]	[7, 10]	[2, 5]	[0, 12]	[8, 8]	[7, 11]



HH → bbγγ  
ZH, ttH, bbH background



HH → bbγγ – 60% cross section uncertainty enabled by Tracker b-tagging, EC γ-ID performance



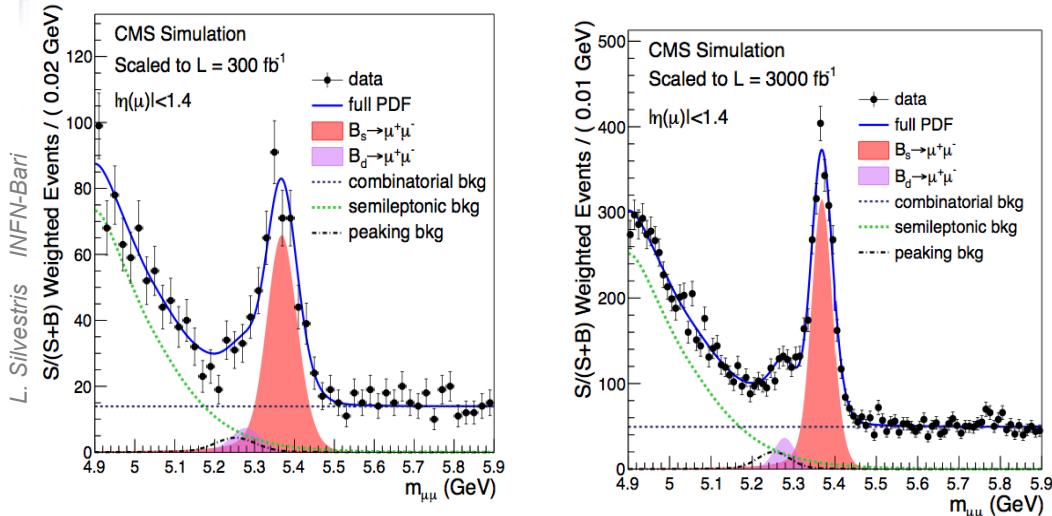
HH → bbττ- 100 % cross section uncertainty enabled by Tracker-Trigger (x2 acc) and b-tagging, τ-ID performance

### Phase II detector

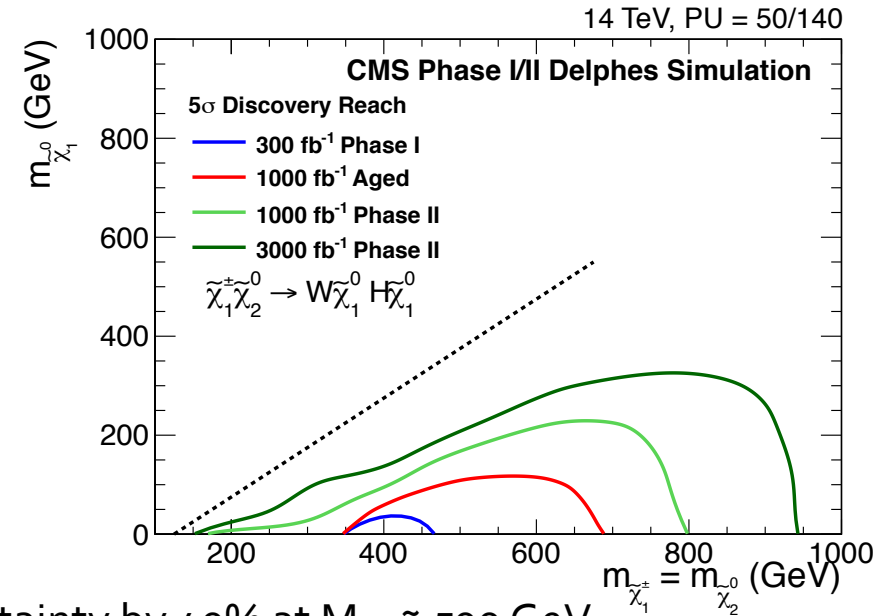
- ✓ x 5.5 acceptance with Track-Trigger
- ✓ Tracker extension help in rejecting fake jets
- ✓ 90% efficiency for Jet-ID with tracks
- ✓ 15 % gain expected from improved mass resolution (MET)



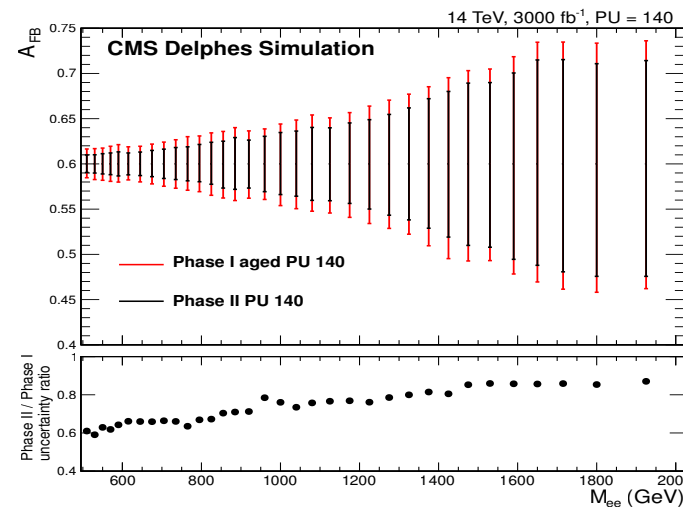
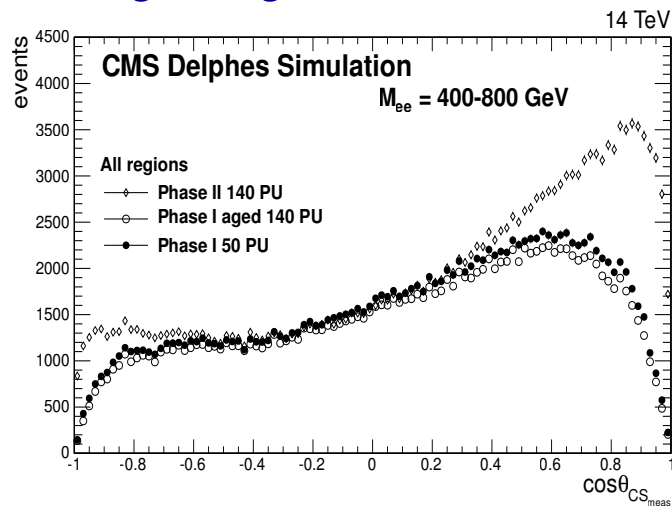
$B_d/B_s \rightarrow \mu\mu$  resolved two decay peaks  
measure also enabled by Track-Trigger



Neutralino mass range increase - enabled by Tracker b-tagging, extension (MET)



New physics search in  $A_{FB}$  of  $DY ee$  – improved uncertainty by 40% at  $M_{ee} \approx 500$  GeV  
Resolution (charge assignment) new EC and coverage up to  $\eta = 3$





# CMS Phase II Future Plans

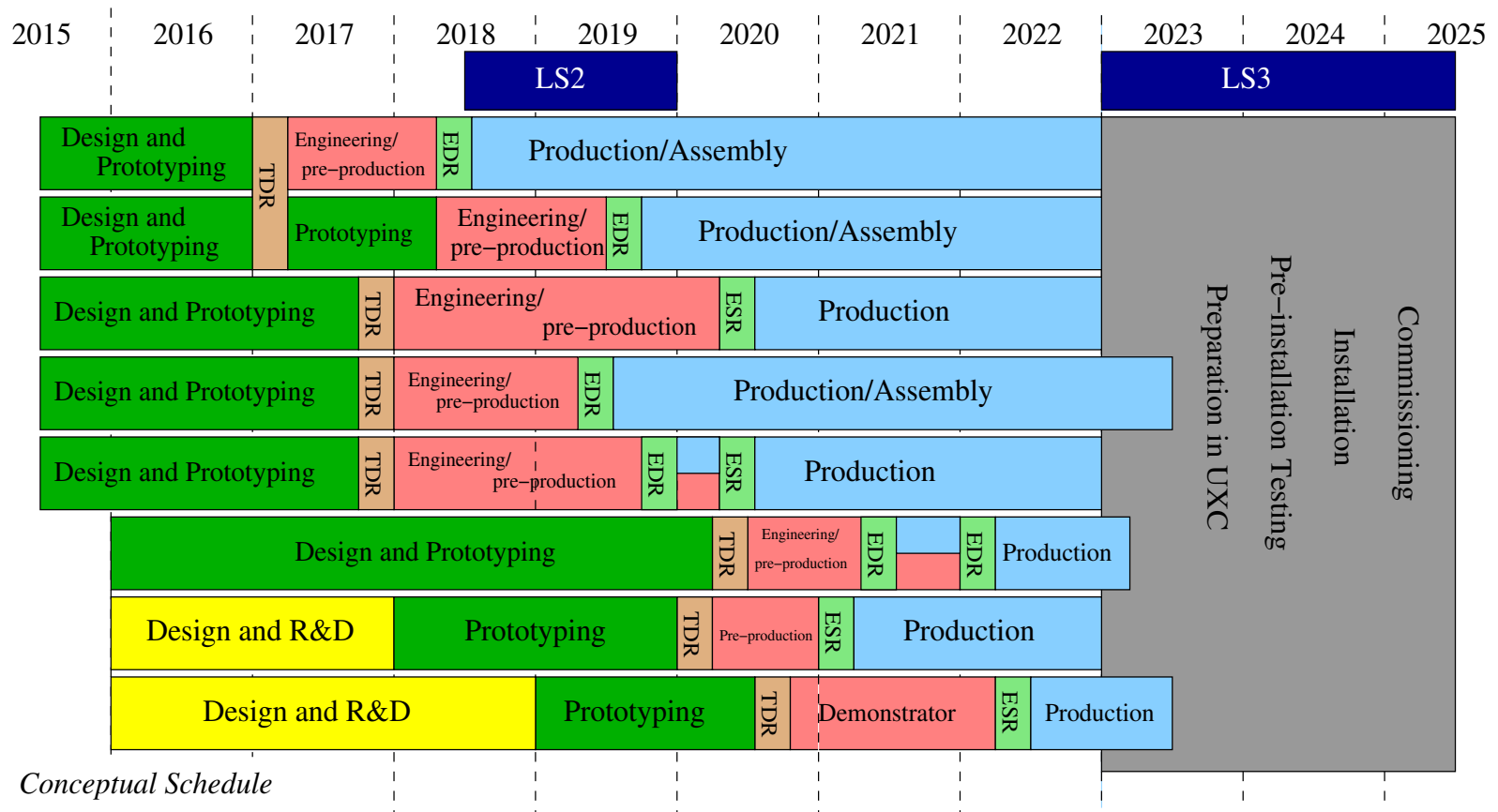
R&D program well established for all upgrades  
 TDRs foreseen in 2016-2017 will include design optimization and main technical choices

L. Silvestris INFN-Bari

LISHEP 2015

CMS Upgrade

Aug 5<sup>th</sup> 2015





# Conclusions

CMS has developed strong conceptual designs for all detector upgrades to solve aging issues and high luminosity and PU challenges, covering the entire physics reach at the HL-LHC



Preparing to launch CMS phase 2 on a “river of discovery”



Many more public results:

CERN-LHCC-2015-010 (Phase II technical Proposal)

- <https://cds.cern.ch/record/2020886>

and

- <https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFP>



# Back-up slides



# Enhancement of the Physics Reach with CMS Upgrades

	Performance/ Physics	Higgs VBF $H \rightarrow \tau\tau$	Higgs $H \rightarrow \mu\mu$	Higgs $H \rightarrow ZZ \rightarrow 4l$	Higgs $HH \rightarrow b\bar{b}\gamma\gamma$	Higgs $HH \rightarrow b\bar{b}\tau\tau$	SMP VBS	SUSY VH(bb) +MET	EXO $A_{fb}(Z')$	EXO Dark Matter	EXO HCP	BPH $B_{s,d} \rightarrow \mu\mu$
L. Silvestris	Tracker											
	Performance		<i>mass resolution</i>	<i>mass resolution</i>	<i>b-tagging</i>	<i>b-tagging</i>						<i>mass resolution</i>
	Extensions	<i>forward jets / MET</i>		<i>acceptance</i>		<i>MET resolution</i>	<i>forward jets</i>	<i>MET resolution</i>	<i>acceptance</i>	<i>acceptance</i>		
	Trigger											
LISHEP 2015	Bandwidth	<i>acceptance</i>				<i>acceptance</i>						
	Track Trigger	<i>background rejection</i>				<i>background rejection</i>						<i>background rejection</i>
	Calorimeter											
CMS Upgrade	ECAL	<i>forward jets / MET</i>		<i>acceptance</i>	<i>acceptance</i>	<i>MET resolution</i>	<i>forward jets</i>	<i>MET resolution</i>	<i>acceptance</i>	<i>acceptance</i>		
	HCAL	<i>forward jets / MET</i>				<i>MET resolution</i>	<i>forward jets</i>	<i>MET resolution</i>				
	Muons											
2015	Extension			<i>acceptance</i>					<i>acceptance</i>	<i>acceptance</i>		





# Summary & Conclusions

- The discovery of the Higgs boson at LHC-RUN 1 has opened the door towards a deeper understanding of particle physics
- With the start of RUN 2 we are now entering in the era of precision Higgs studies and even more motivated searches for new physics
- The **HL-LHC with a ten times more luminosity** will allow thorough exploration of the TeV scale with a two-pronged approach
  - Precision SM, EWSB & Higgs physics, rare processes
  - Direct searches for new physics, including low cross-section
- **It is time to prepare for HL-LHC operation in  $\geq 2026$ .**
- Ageing and radiation damage will require to rebuild the **inner tracker and forward detectors**.
  - **New detectors designed to cope with high rates, high pile-up and radiation.**
- New **trigger** concepts implemented. Larger bandwidth require upgrade of electronics.