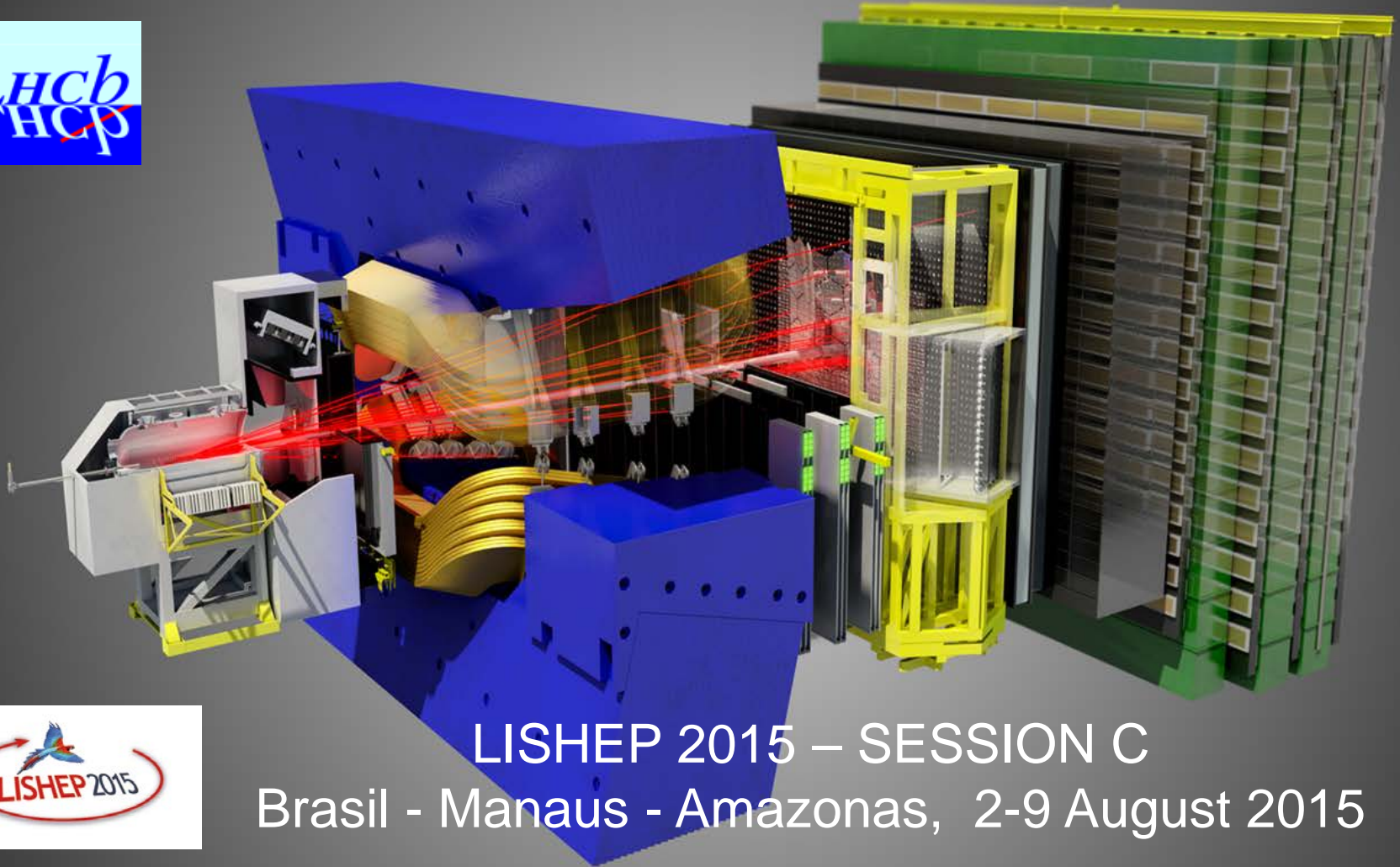


The LHCb Upgrade



LISHEP 2015 – SESSION C
Brasil - Manaus - Amazonas, 2-9 August 2015

Andreas Schopper



on behalf of



Motivation

LHCb is a high precision experiment devoted to the search for New Physics (NP) beyond the Standard Model (SM) by

- studying CP violation and rare decays in the b and c-quark sectors
- searching for deviations from the SM due to virtual contributions of new heavy particles in loop diagrams
- being sensitive to new particles above the TeV scale not accessible to direct searches

Past and running experiments have shown that:

- ✓ flavour changing processes are consistent with the CKM mechanism
- ✓ large sources of flavour symmetry breaking are excluded at the TeV scale
- ✓ the flavour structure of the NP, if it exists, would be very peculiar at the TeV scale (MFV)

However:

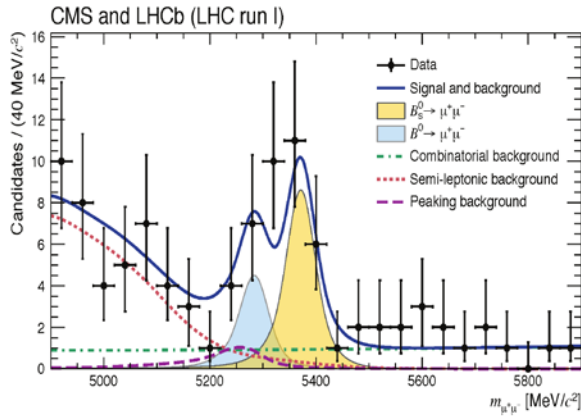
- measurable deviations from the standard model are still expected, but should be small
- need to go to very high precision measurements to probe the most clean observables

→ LHCb upgrade essential to increase statistical precision significantly

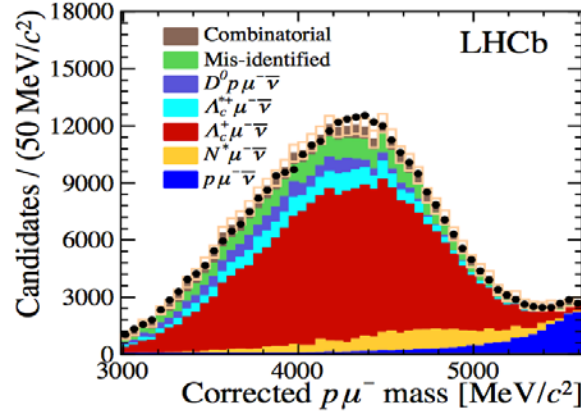
Few highlights of LHCb results

(see LHCb talks: A. Hicheur, M. Rangel, X. Did Vidal, B. Suza De Paula, J. Serrano)

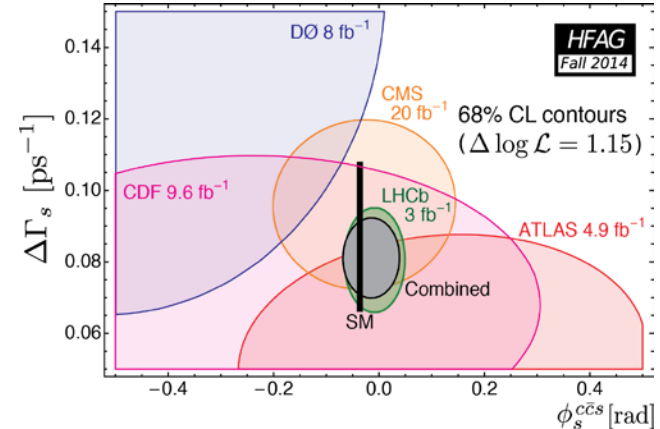
Observation of $B_s \rightarrow \mu\mu$



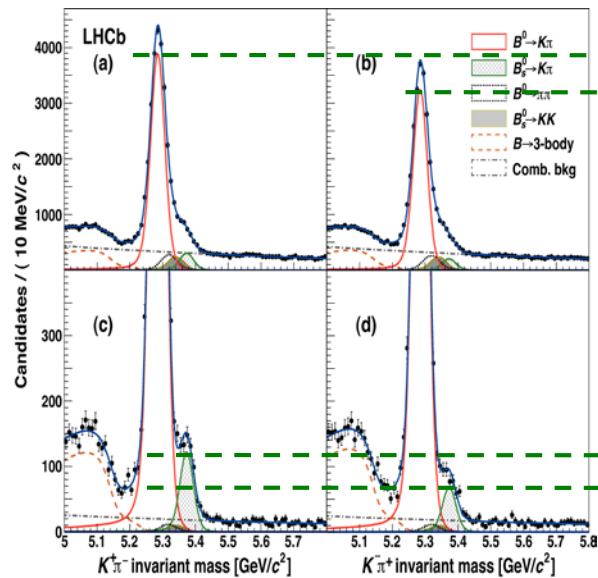
Measurement of V_{ub} in $\Lambda_b \rightarrow p\mu\nu$



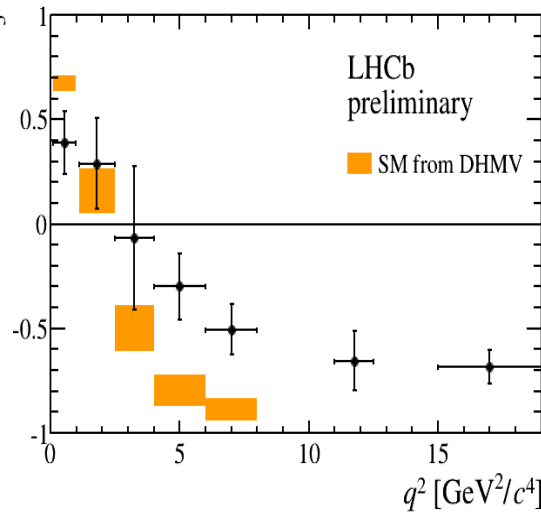
Best measurement of ϕ_s in $B_s \rightarrow J/\psi\phi$



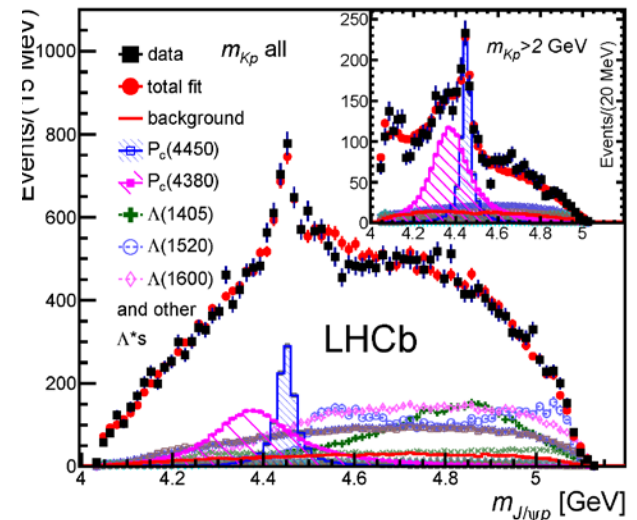
Observation of direct CP violation



Angular analysis in $B^0 \rightarrow K^{*0}\mu\mu$



Observation of pentaquarks



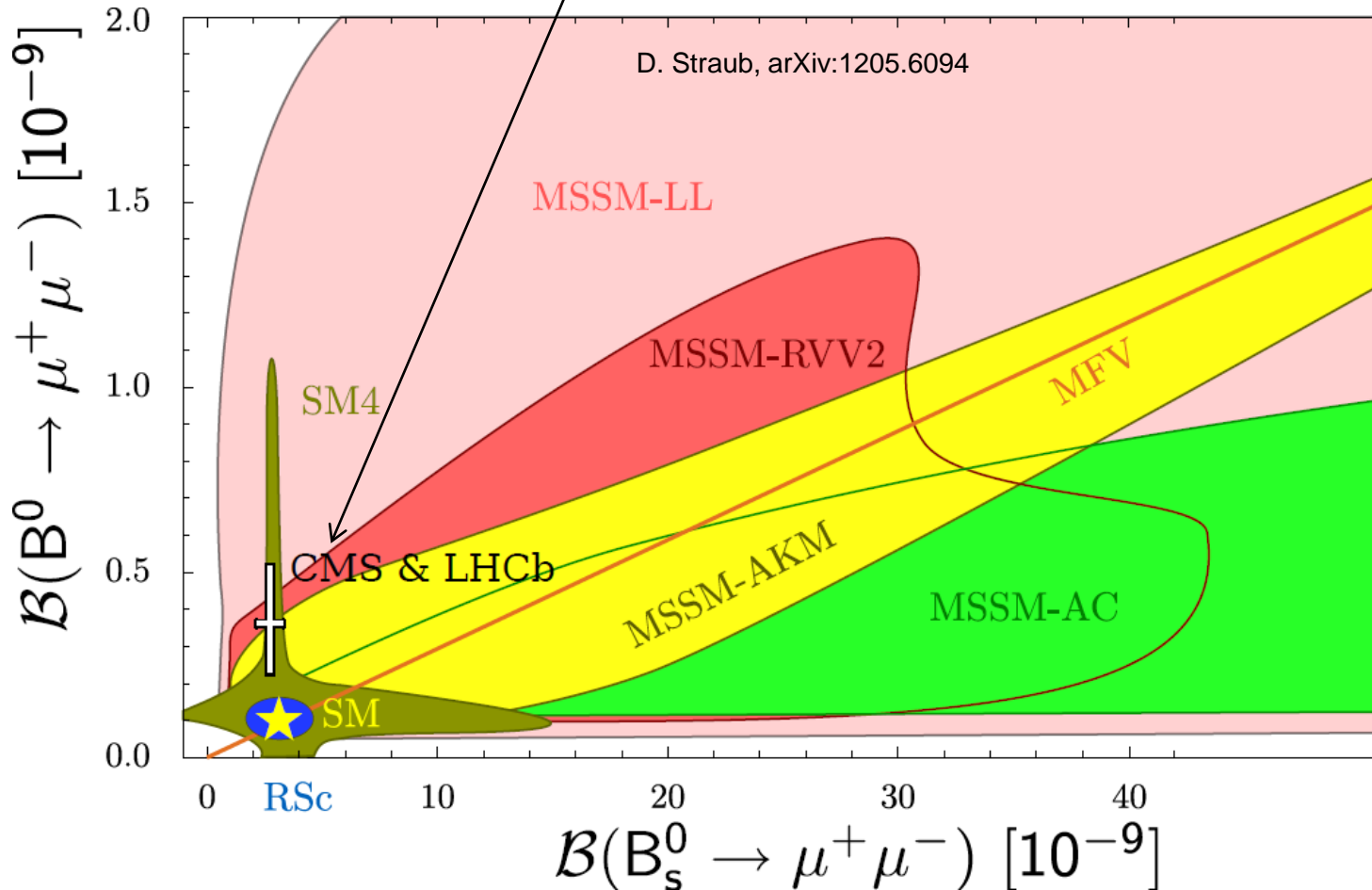
Example of impact on Super Symmetric Models

combined
CMS & LHCb
result

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (3.9^{+1.6}_{-1.4}) \times 10^{-10}$$

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (2.8^{+0.7}_{-0.6}) \times 10^{-9}$$

[Nature 522, 68–72](#)



Expected evolution of luminosity in LHCb

	LHC era			HL-LHC era	
Run # (year)	Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2021-23)	Run 4 (2025-28)	Run 5+ (2030+)
Integrated luminosity	3 fb ⁻¹	8 fb ⁻¹	23 fb ⁻¹	46 fb ⁻¹	100 fb ⁻¹
LHCb up to LS2			after LHCb upgrade		

Upgrade of LHCb during LS2 in 2019/20

LHCb up to 2019 → ≥ 8 fb⁻¹:

- ✓ find or rule-out large sources of flavour symmetry breaking at the TeV scale
- ✓ explore forward region as general purpose detector (electroweak, QCD, exotica, heavy ions, ...)

LHCb upgrade → ≥ 50 fb⁻¹:

- ✓ increase precision on quark flavour physics observables
- ✓ aim at experimental sensitivities comparable to theoretical uncertainties
- ✓ fully exploit LHC physics in the forward region

LHCb statistical sensitivity to flavour observables

Expected statistical uncertainties **before** and **after** the upgrade, compared to **theory**

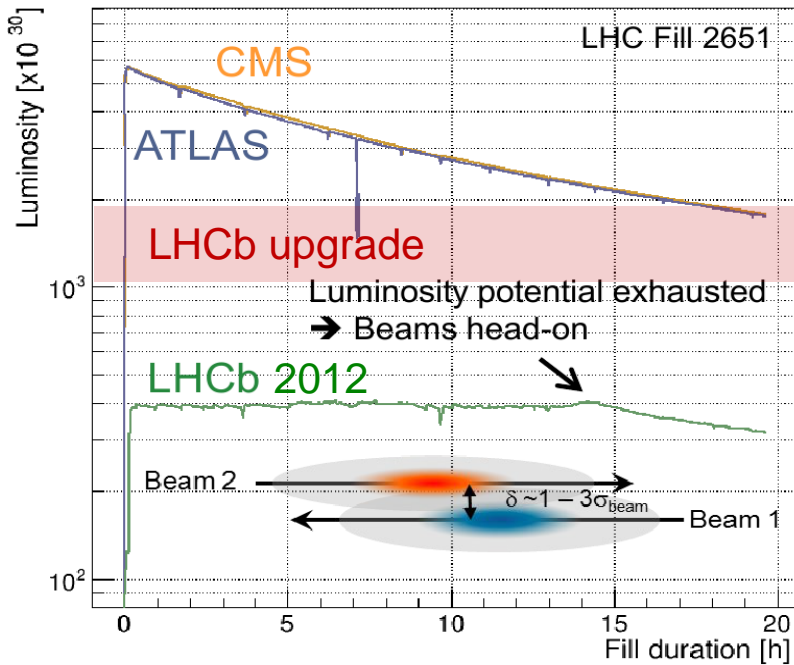
Type	Observable	LHC Run 1	LHCb 2018	Upgrade 50/fb	Theory
B_s^0 mixing	$\phi_s(B_s^0 \rightarrow J/\psi \phi)$ (rad)	0.049	0.025	0.009	~ 0.003
	$\phi_s(B_s^0 \rightarrow J/\psi f_0(980))$ (rad)	0.068	0.035	0.012	~ 0.01
	$A_{sl}(B_s^0)$ (10^{-3})	2.8	1.4	0.5	0.03
Gluonic penguin	$\phi_s^{\text{eff}}(B_s^0 \rightarrow \phi \phi)$ (rad)	0.15	0.10	0.018	0.02
	$\phi_s^{\text{eff}}(B_s^0 \rightarrow K^{*0} \bar{K}^{*0})$ (rad)	0.19	0.13	0.023	< 0.02
	$2\beta^{\text{eff}}(B^0 \rightarrow \phi K_S^0)$ (rad)	0.30	0.20	0.036	0.02
Right-handed currents	$\phi_s^{\text{eff}}(B_s^0 \rightarrow \phi \gamma)$ (rad)	0.20	0.13	0.025	< 0.01
	$\tau^{\text{eff}}(B_s^0 \rightarrow \phi \gamma)/\tau_{B_s^0}$	5%	3.2%	0.6%	0.2%
Electroweak penguin	$S_3(B^0 \rightarrow K^{*0} \mu^+ \mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.04	0.020	0.007	0.02
	$q_0^2 A_{\text{FB}}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)$	10%	5%	1.9%	$\sim 7\%$
	$A_{\text{I}}(K \mu^+ \mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.09	0.05	0.017	~ 0.02
	$\mathcal{B}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)/\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)$	14%	7%	2.4%	$\sim 10\%$
Higgs penguin	$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ (10^{-9})	1.0	0.5	0.19	0.3
	$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$	220%	110%	40%	$\sim 5\%$
Unitarity triangle angles	$\gamma(B \rightarrow D^{(*)} K^{(*)})$	7°	4°	0.9°	negligible
	$\gamma(B_s^0 \rightarrow D_s^\mp K^\pm)$	17°	11°	2.0°	negligible
	$\beta(B^0 \rightarrow J/\psi K_S^0)$	1.7°	0.8°	0.31°	negligible
Charm	$A_\Gamma(D^0 \rightarrow K^+ K^-)$ (10^{-4})	3.4	2.2	0.4	–
CP violation	ΔA_{CP} (10^{-3})	0.8	0.5	0.1	–

Eur. Phys. J. C 73 (2013) 2373

→ Experimental precision with upgraded detector comparable to theoretical uncertainties!

How to increase LHCb statistics significantly

2012 running conditions



← $1-2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

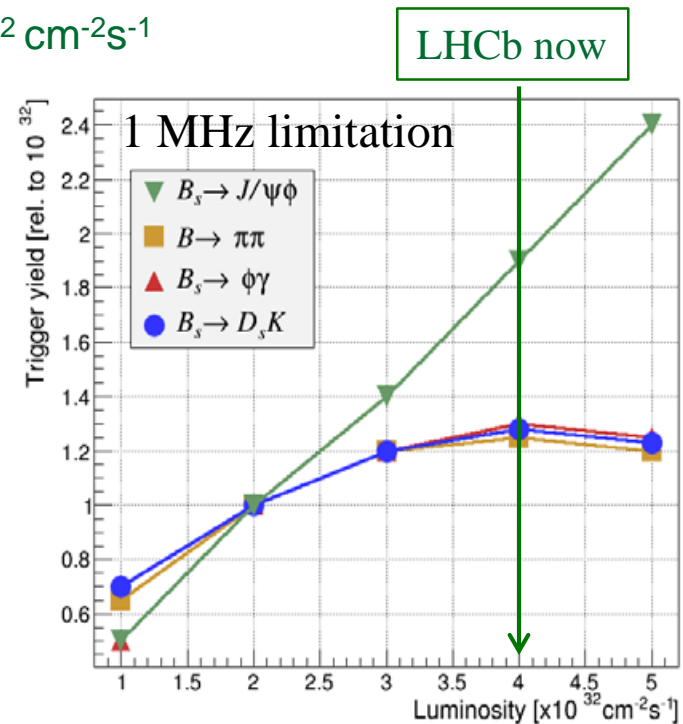
← $\sim 4 \cdot 10^{32} \text{ cm}^{-2}\text{s}^{-1}$

LHCb up to LS2

- running at levelled luminosity of $\sim 4 \cdot 10^{32} \text{ cm}^{-2}\text{s}^{-1}$, pile-up ~ 1
- first level hardware trigger running at $\sim 1 \text{ MHz}$
- record $\sim 3-5 \text{ kHz}$

LHCb upgrade

- increase luminosity to a levelled $1-2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$, pile-up ~ 5
- run fully flexible & efficient software trigger up to 40 MHz
- record $\sim 20-50 \text{ kHz}$

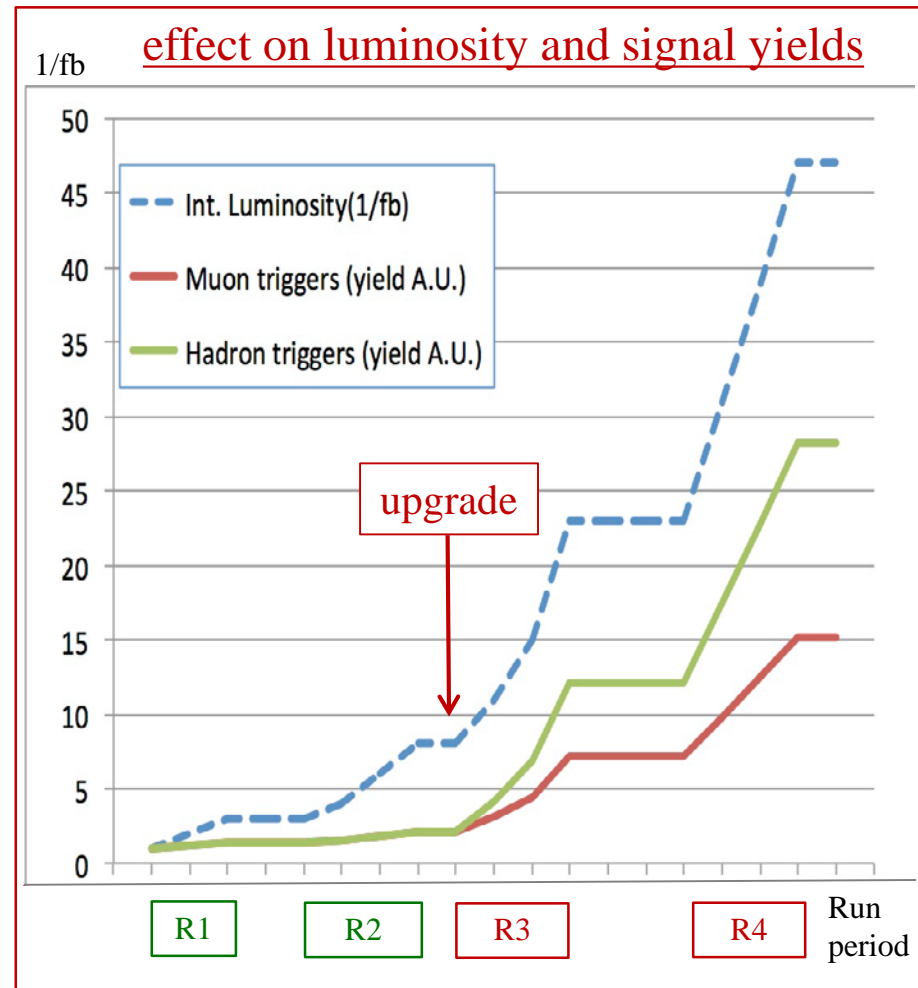
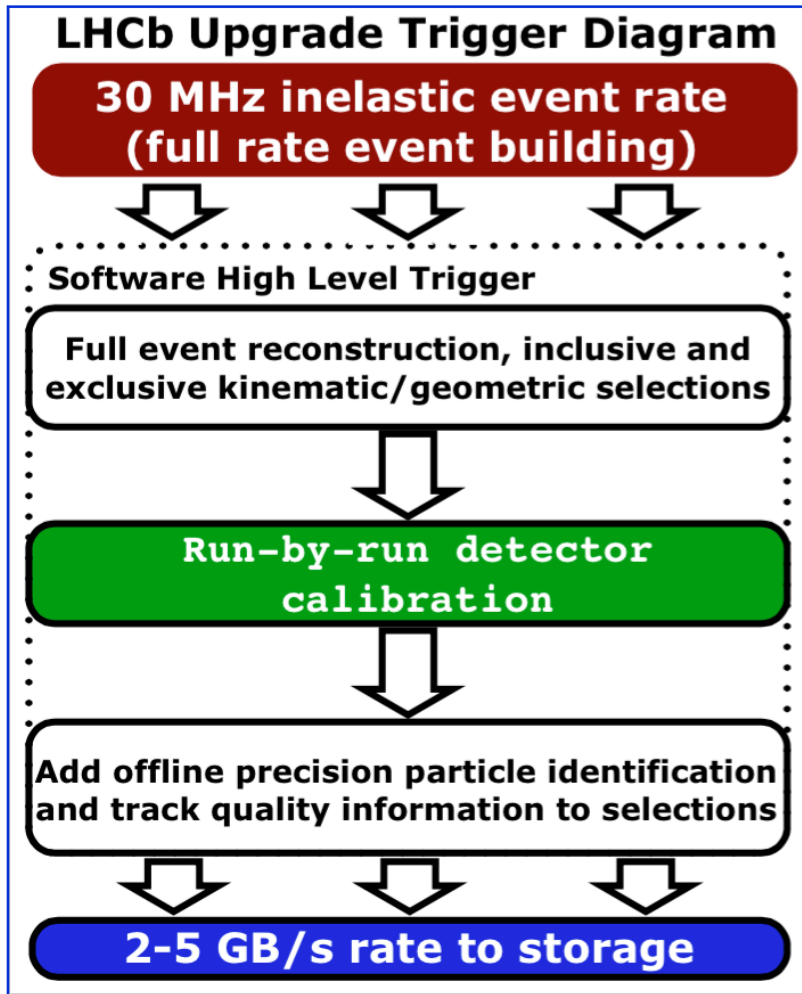


Trigger upgrade

run an efficient and selective software trigger with access to the full detector information at every 25 ns bunch crossing

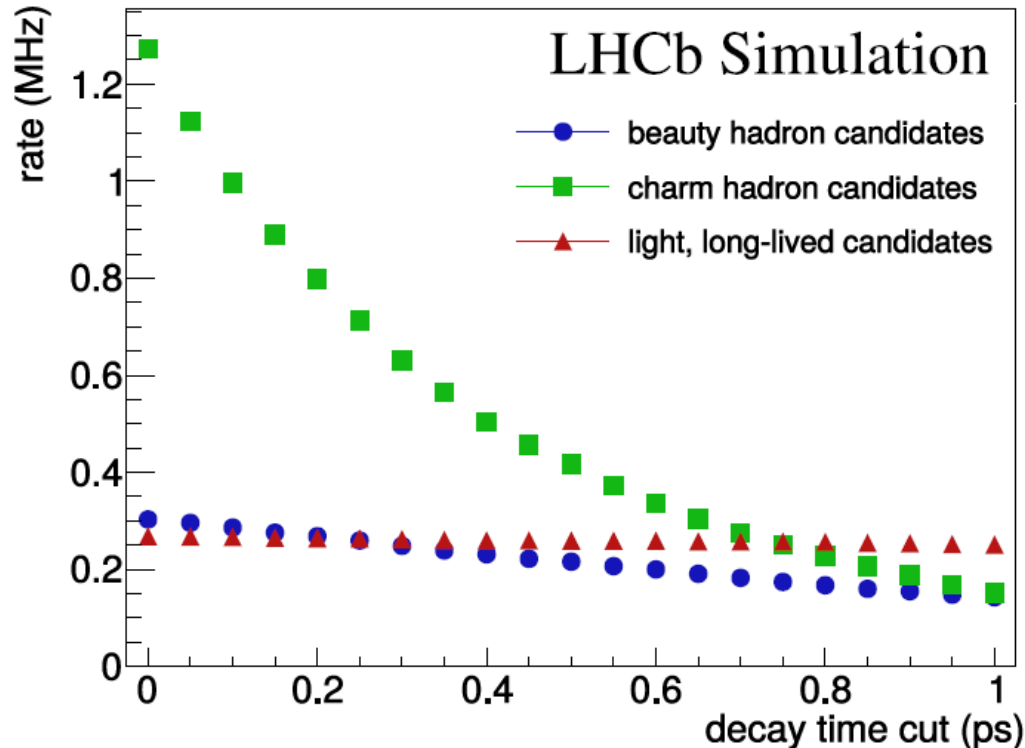


increase luminosity and signal yields



Expected signal rates

Rates as a function of decay time cut for part. reco. candidates



Rate of reconstructible hadrons:

c-hadrons: 80 GB/s

b-hadrons: 27 GB/s

light, long-lived hadrons: 26 GB/s

compared to 2-5 GB/s allowed to tape

→ turbo stream = real time data analysis!
(already implemented for Run 2)

The future of triggers



www.jolyon.co.uk

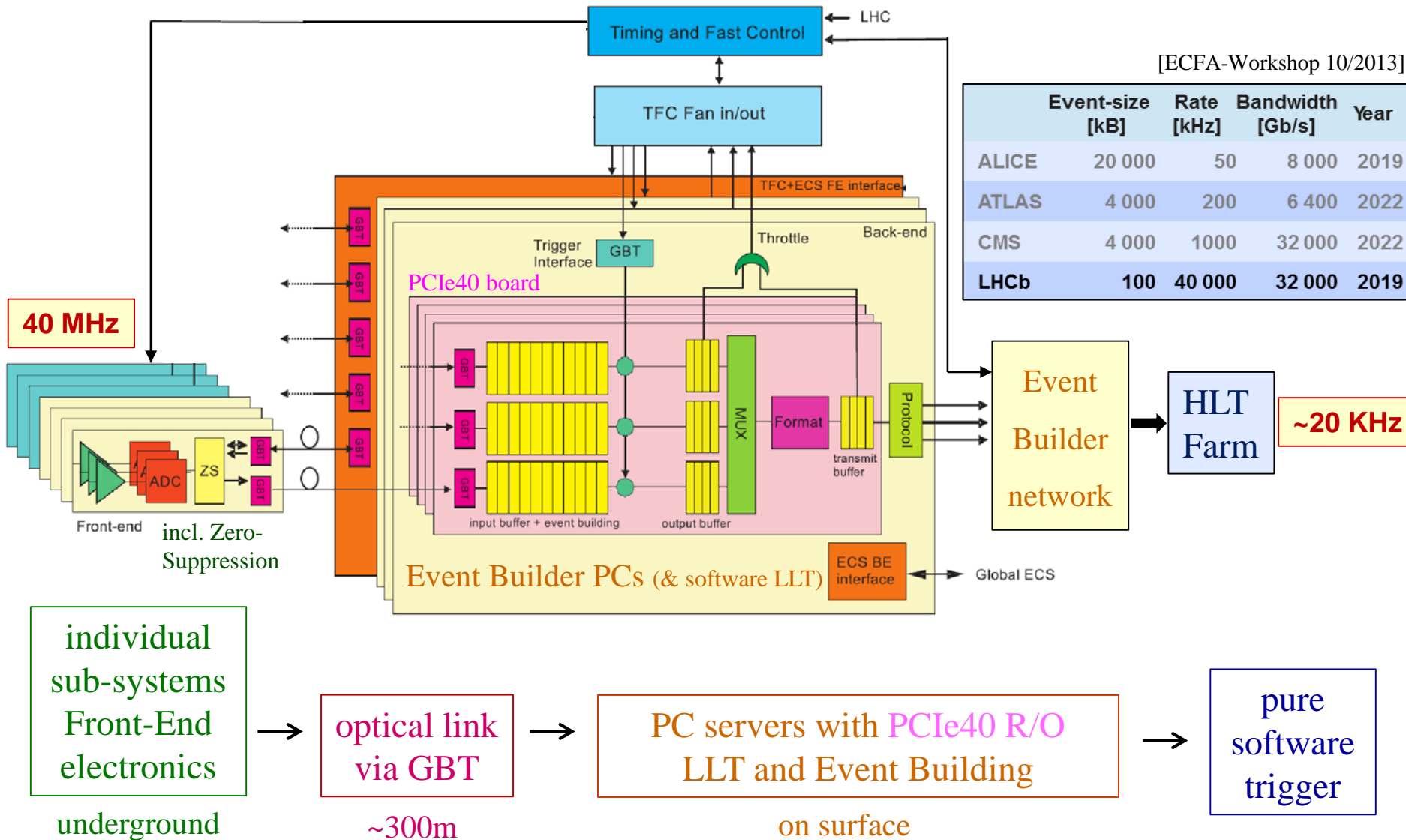
Triggers today



Triggers in the future

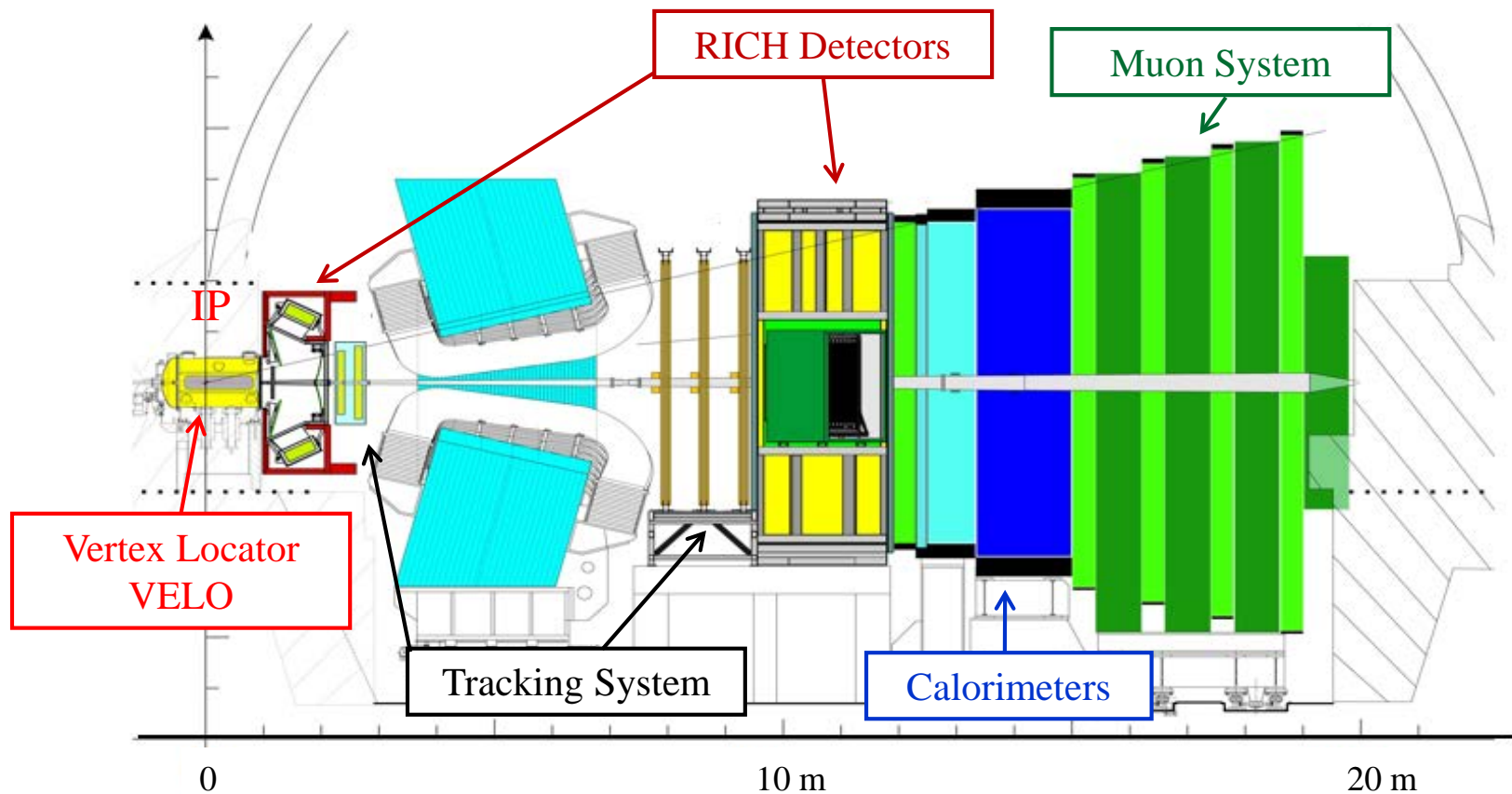
Gligorov 2014

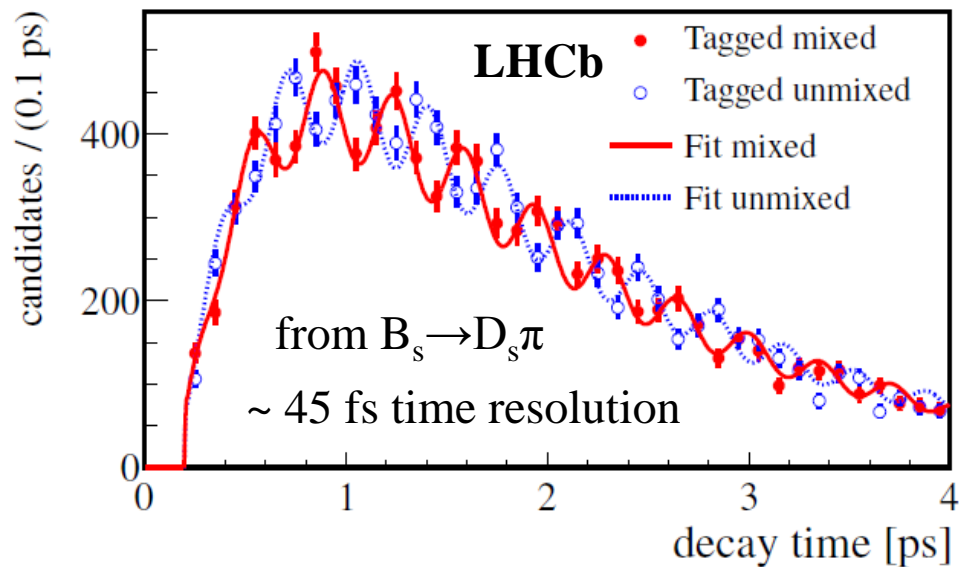
40 MHz architecture overview



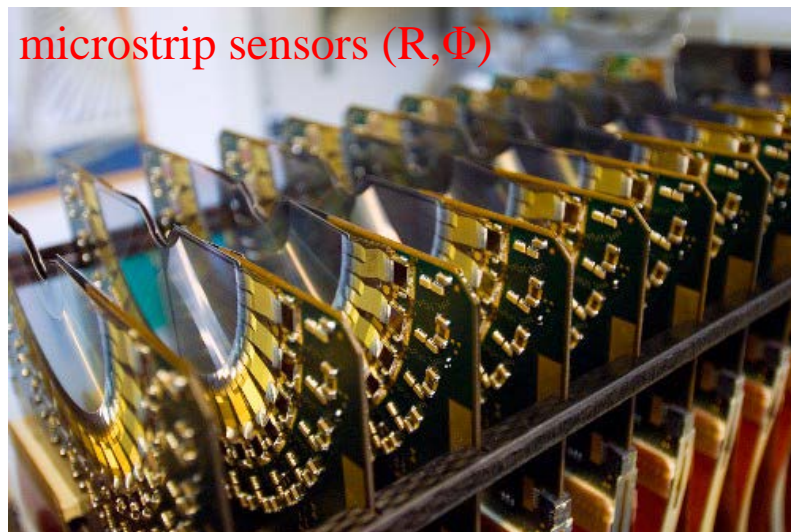
Detector upgrade to 40 MHz readout

- ✓ upgrade ALL sub-systems to 40 MHz Front-End (FE) electronics
- ✓ replace complete sub-systems with embedded FE electronics
- ✓ adapt sub-systems to increased occupancies due to higher luminosity
- keep excellent performance of sub-systems with 5 times higher luminosity and 40 MHz R/O

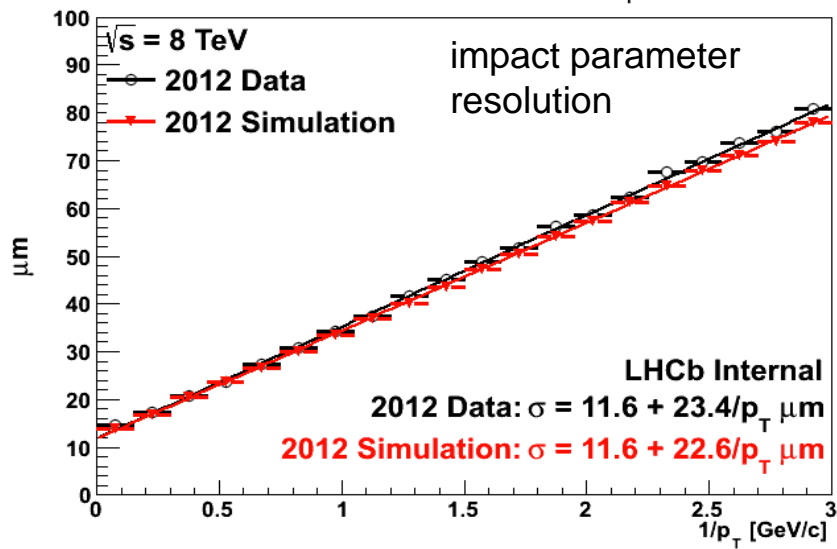




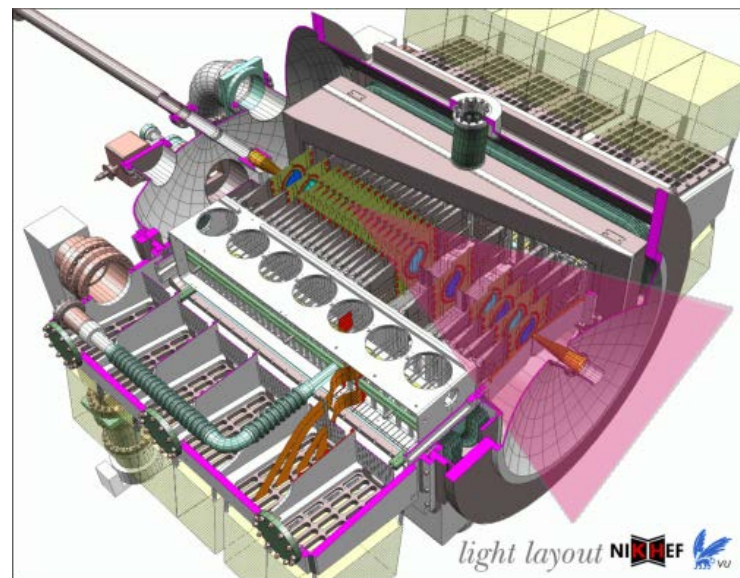
New J. Phys. 15 (2013) 053021



Resolution of IP_x vs $1/p_T$



movables halves $\rightarrow 5.5$ mm from beam



VELO upgrade

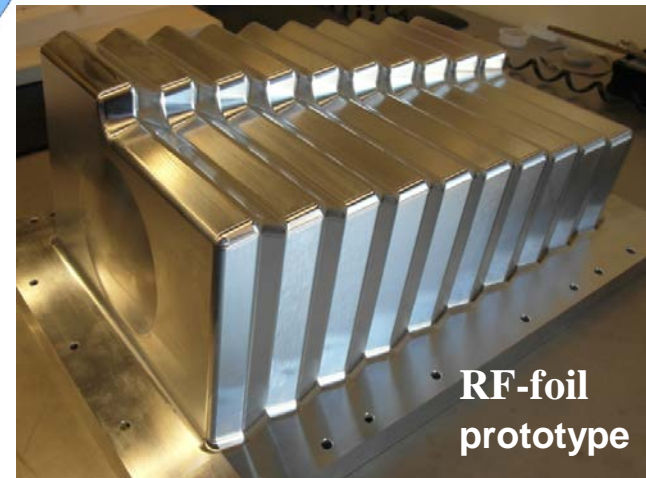
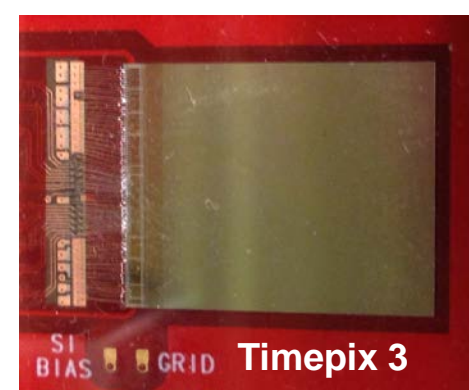
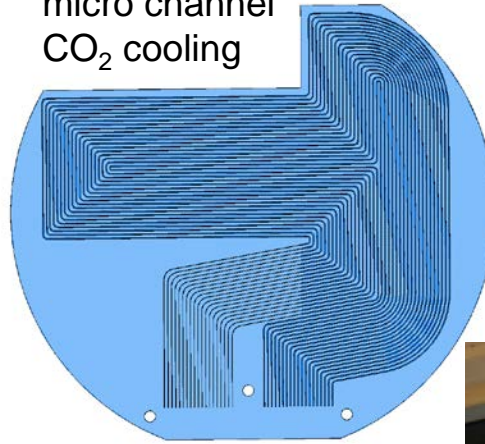
Upgrade challenge:

- ✓ withstand increased radiation (highly non-uniform radiation of up to $8 \cdot 10^{15} \text{ n}_{\text{eq}}/\text{cm}^2$ for 50 fb^{-1})
- ✓ handle high data volume
- ✓ keep (improve) current performance
 - lower material budget
 - enlarge acceptance

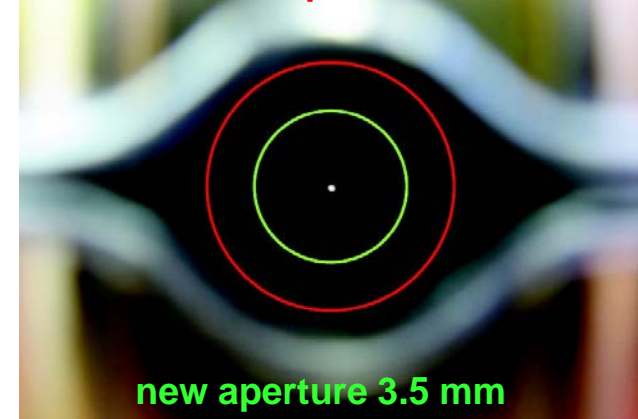
Technical choice :

- ✓ $55 \times 55 \mu\text{m}^2$ pixel sensors with micro channel CO_2 cooling
- ✓ 40 MHz VELOPIX (evolution of TIMEPIX 3, Medipix)
 - 130 nm technology to sustain $\sim 400 \text{ MRad}$ in 10 years
 - VELOPIX hit-rate = $\sim 8 \times$ TIMEPIX 3 rate
- ✓ replace RF-foil between detector and beam vacuum
 - reduce thickness from $300 \mu\text{m}$ \rightarrow $\leq 250 \mu\text{m}$
- ✓ move closer to the beam
 - reduce inner aperture from 5.5 mm \rightarrow 3.5 mm

micro channel
 CO_2 cooling



current inner aperture 5.5 mm

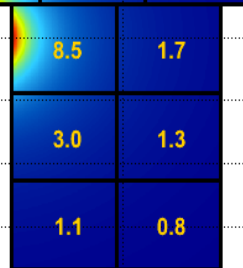
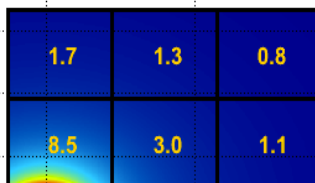
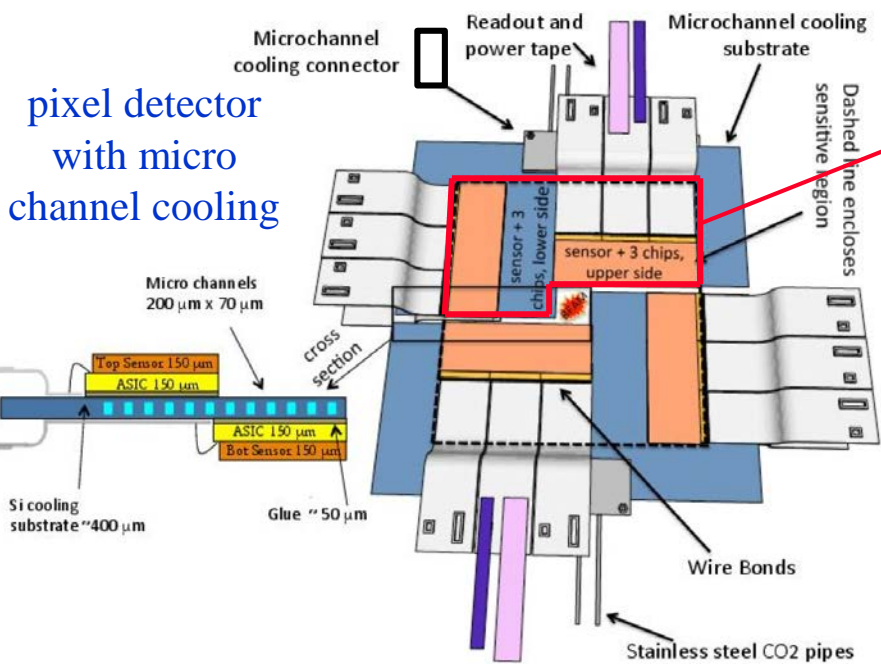


new aperture 3.5 mm

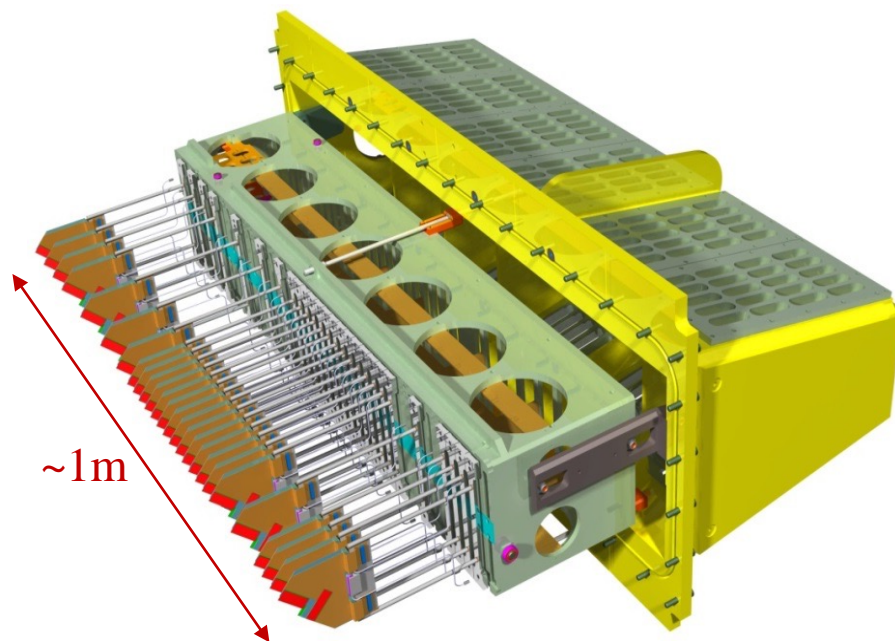
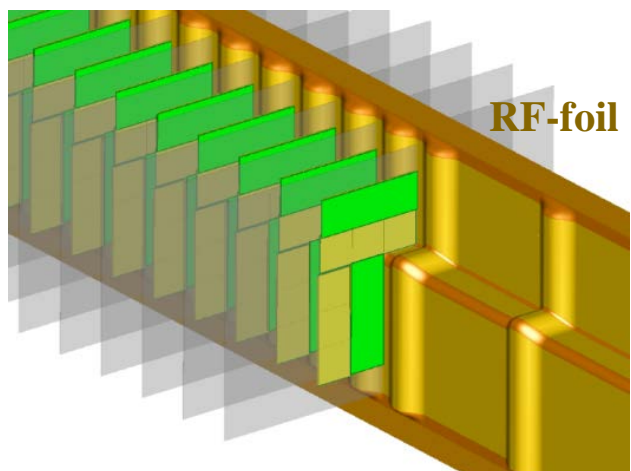
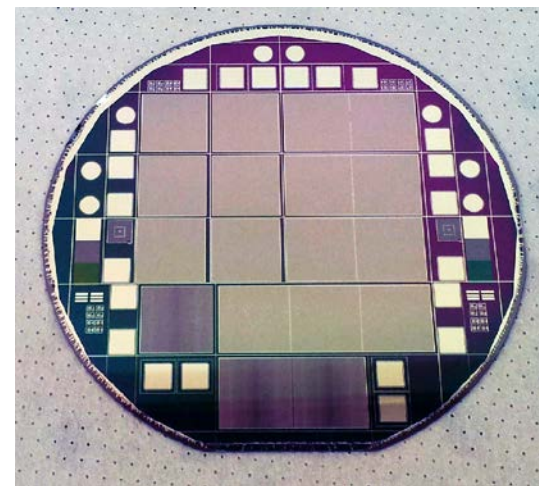
VELO upgrade

Prototype pixel sensor

pixel detector
with micro
channel cooling

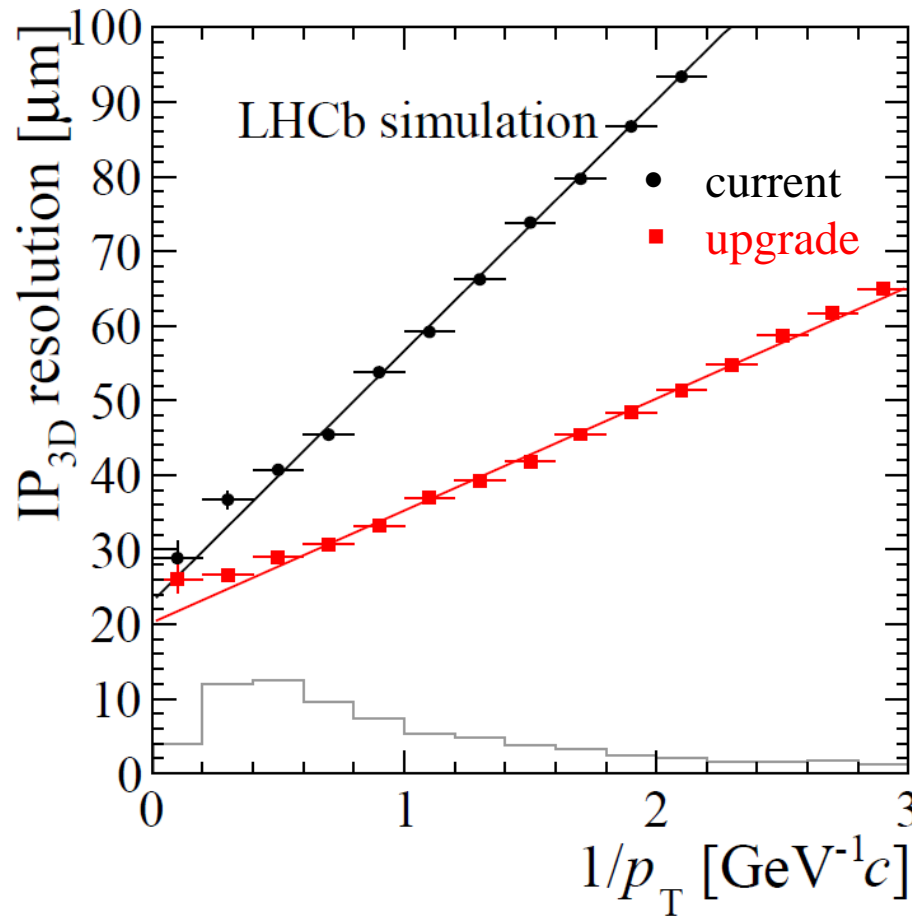


tracks/chip/event
at $L=2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$



VELO upgrade

3D Impact-Parameter resolution at $L = 2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

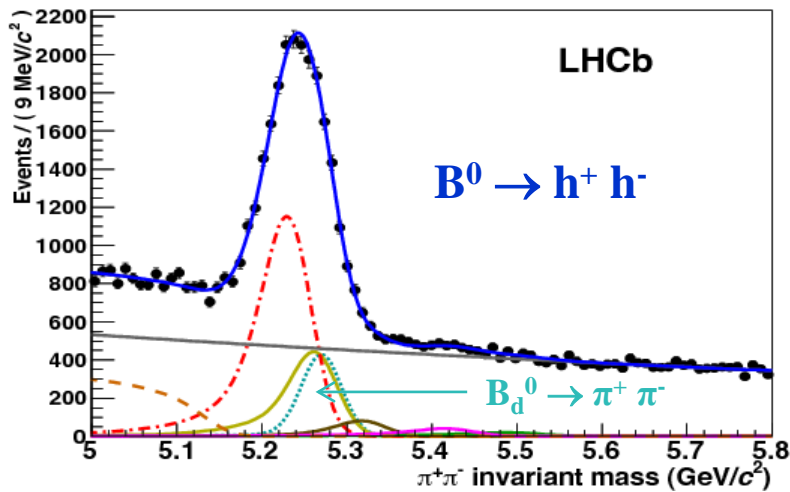


note: full GEANT Monte Carlo with standard LHCb simulation framework

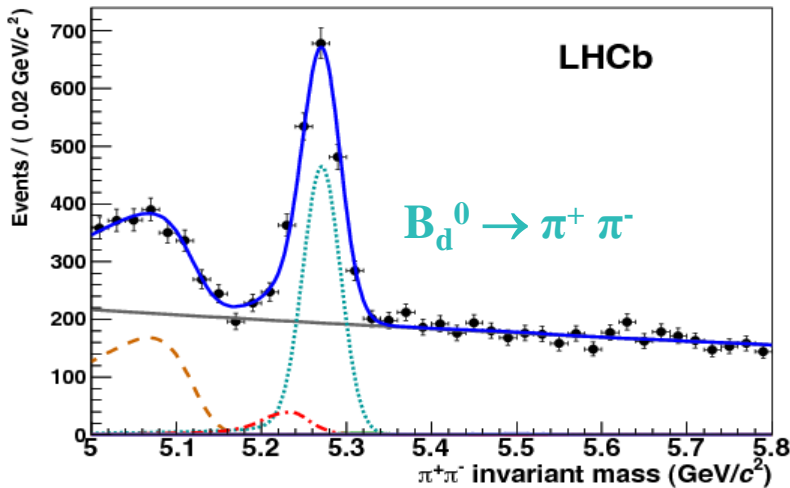
Particle Identification with RICH

Eur. Phys. J. C 73 (2013) 2431

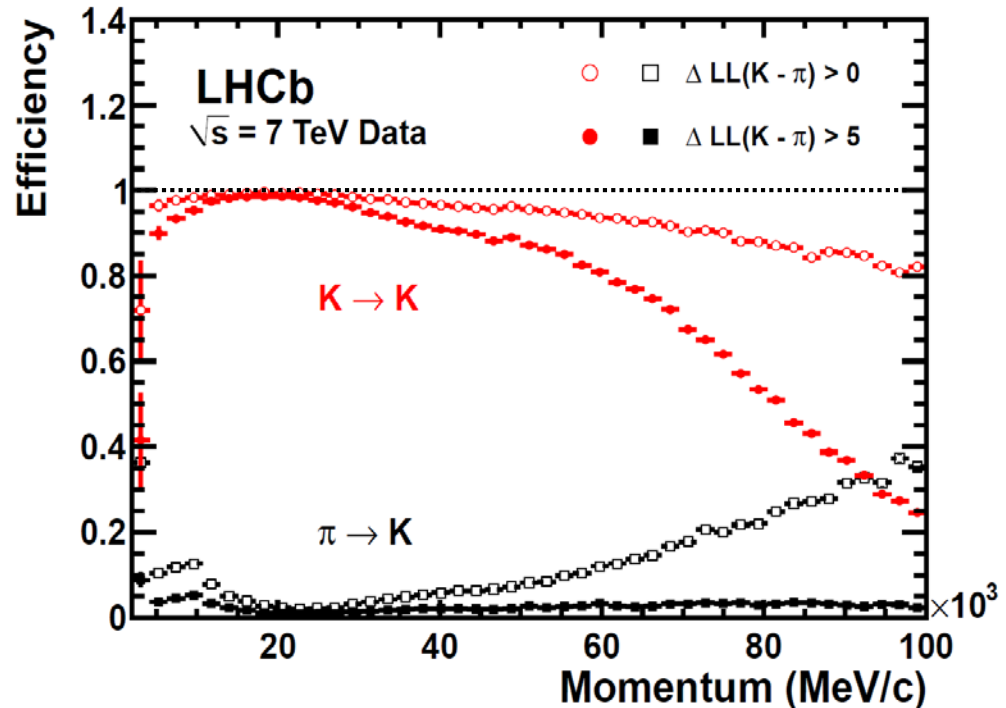
Efficient particle identification of π , K , p essential for selecting rare beauty and charm decays



↓ particle identification of 2 π
 $BR(B \rightarrow \pi^+ \pi^-) = 5 \times 10^{-6}$!

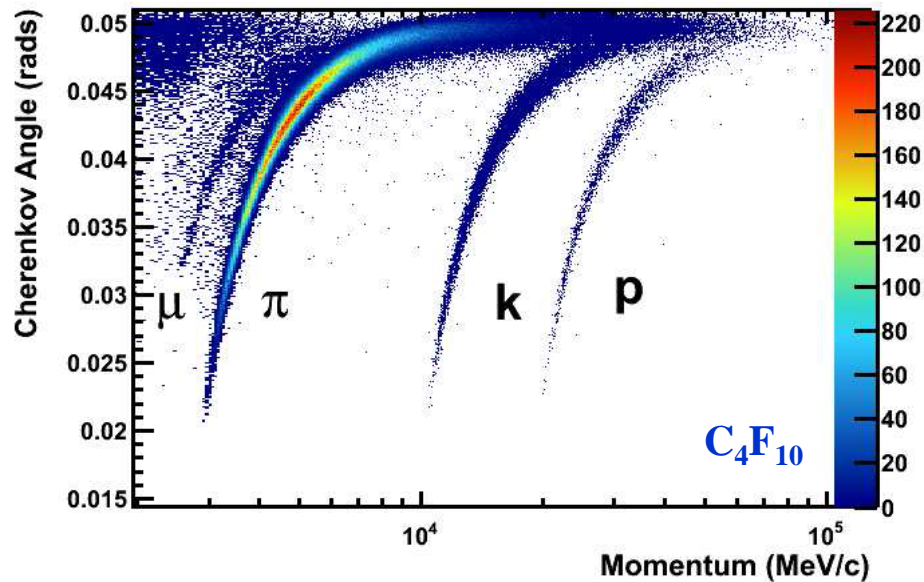


K-identification and π -misidentification efficiencies vs. particle momentum

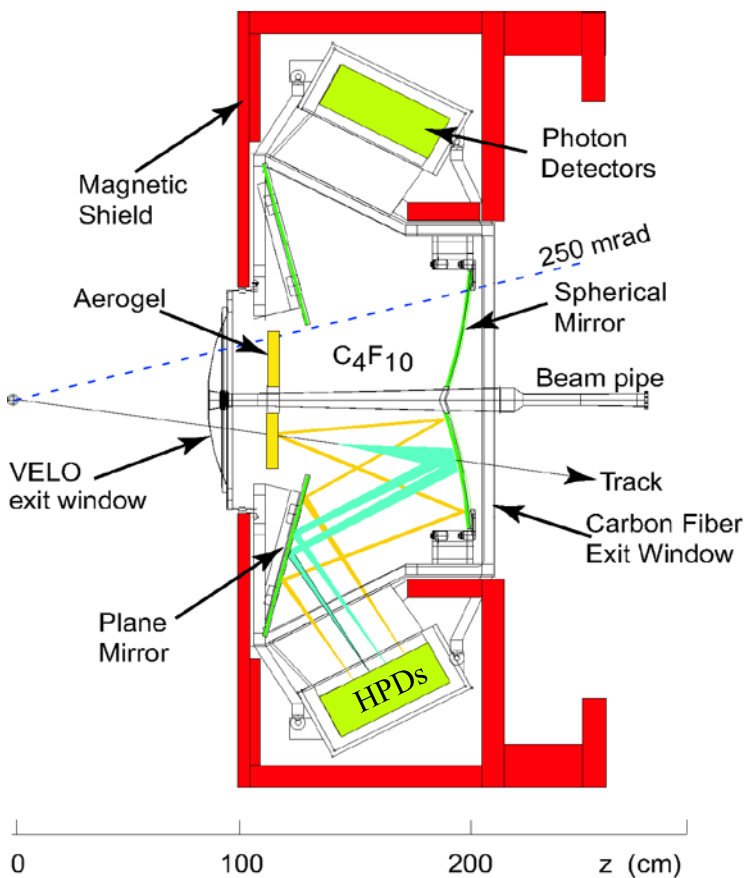


RICH(1) optics

Particles traversing radiator produce Cherenkov light rings on an array of HPDs located outside the acceptance



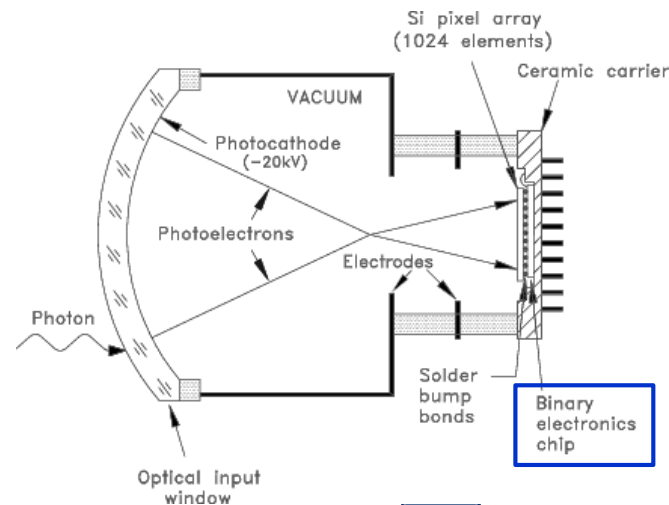
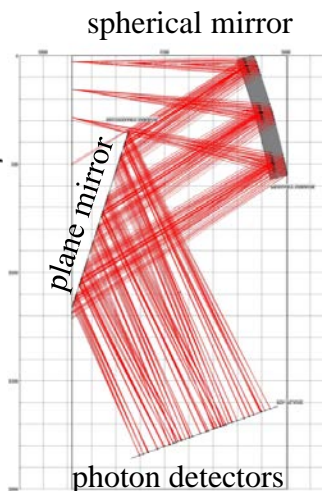
RICH 1



Hybrid
Photon
Detector



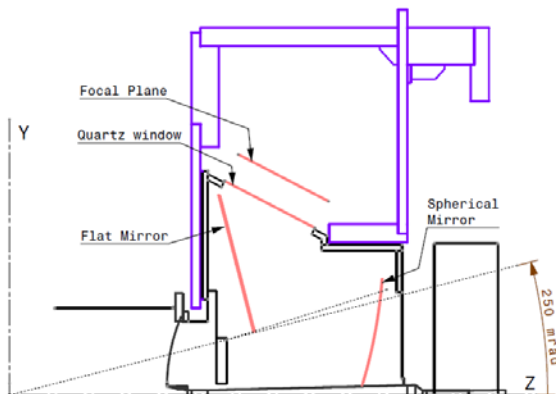
with
embedded
1 MHz
R/O chip



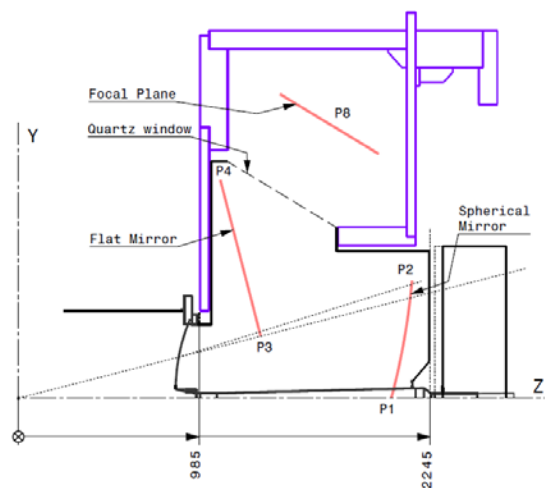
RICH upgrade

optimise RICH1 optics

current



upgrade

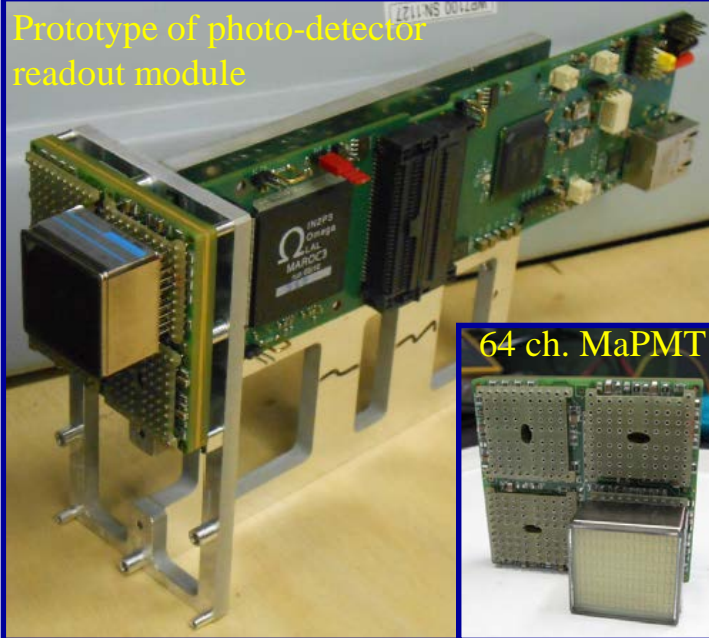


Luminosity of $2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ → adapt to high occupancies

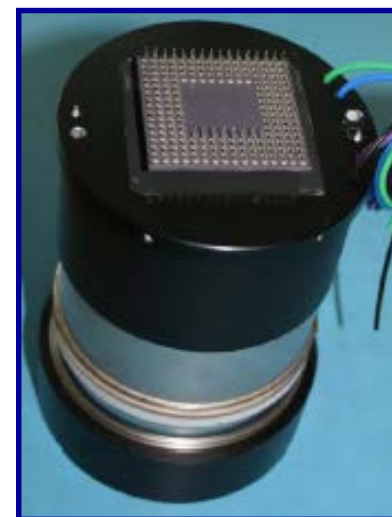
- aerogel radiator removed
- modify optics of RICH1 to spread out Cherenkov rings (optimise gas enclosure without modifying B-shield)

40 MHz readout → replace HPDs due to embedded FE

- 64 ch. multi-anode PMTs (baseline)
- 40 MHz Front-End: CLARO chip



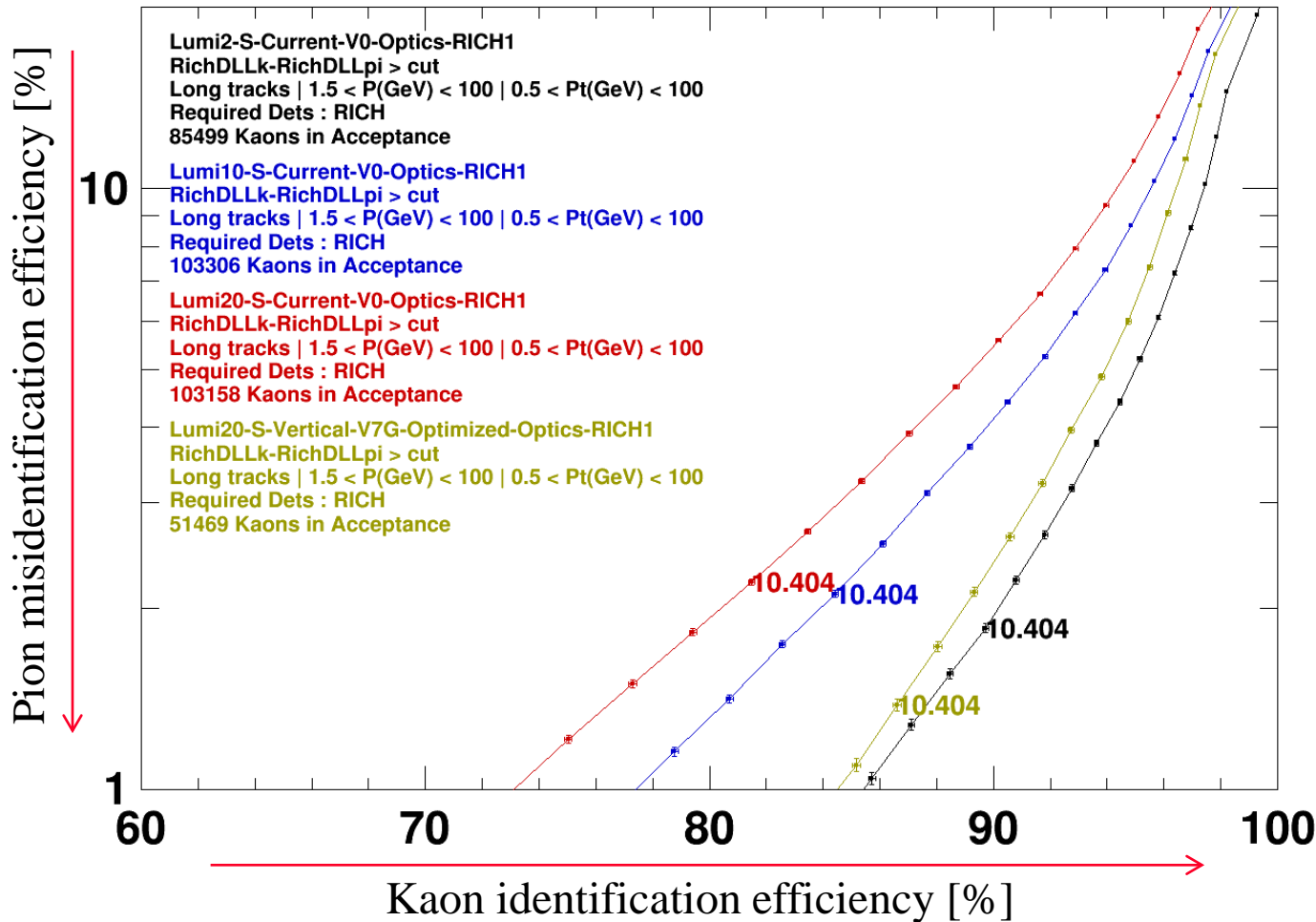
HPD R&D with external electronics



RICH upgrade

RICH Kaon ID RICH1-Optics-Comparison

as function of luminosity



Current RICH1

- $2 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- $10 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- $20 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

RICH1 upgrade

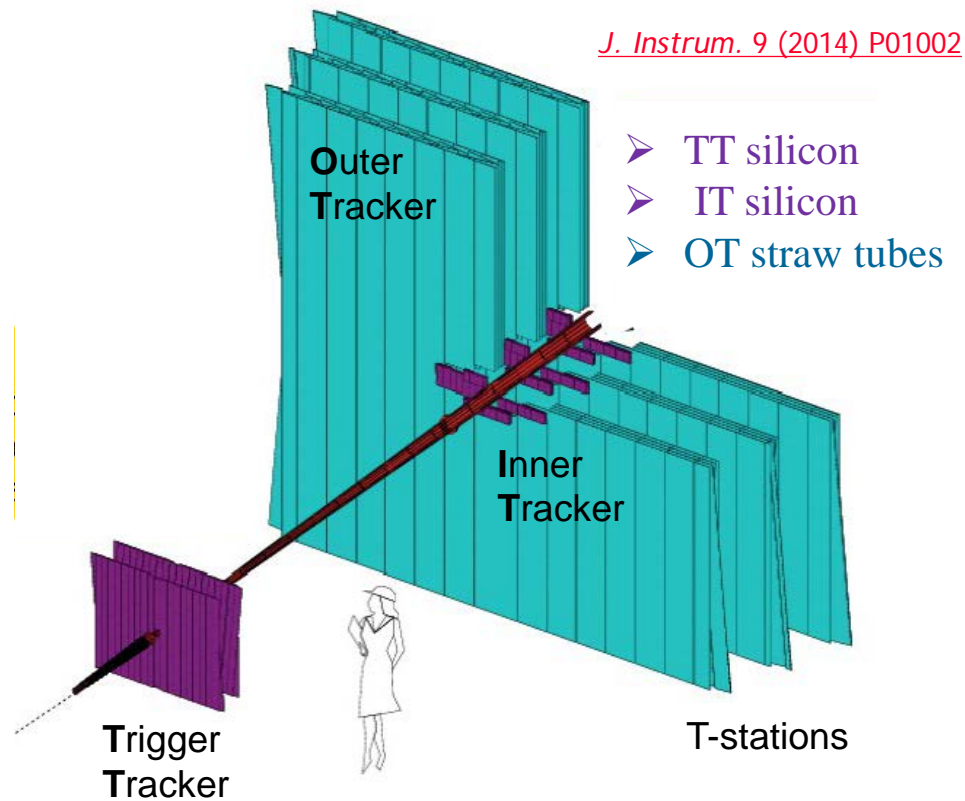
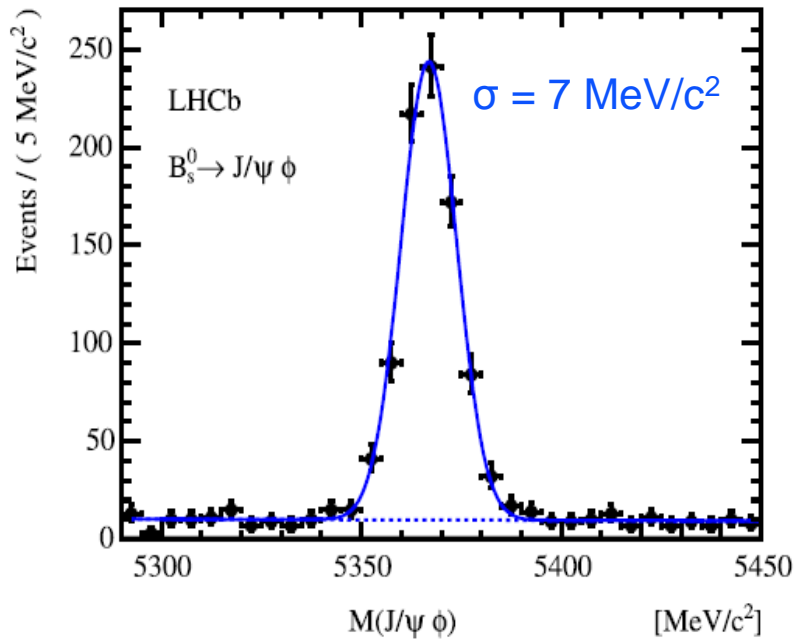
- $20 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

note:
full GEANT MC
with standard
LHCb simulation
framework

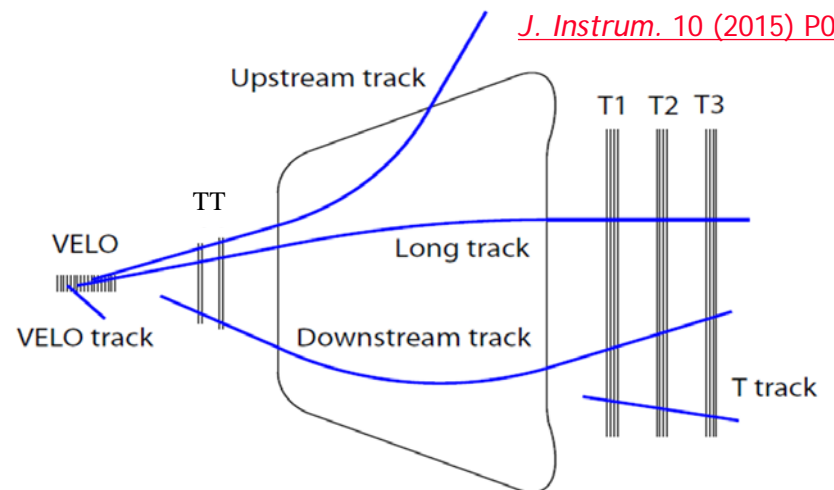
Tracking System

- excellent mass resolution
- very low background, comparable to e^+e^- machines
- worlds best mass measurements

[PLB 708 \(2012\) 241](#)



[J. Instrum. 10 \(2015\) P02007](#)



TT upgrade: Upstream Tracker (UT)

silicon strip detector

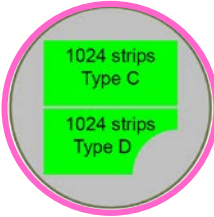
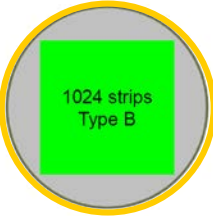
adapt segmentation to varying occupancies (out → in-side):

- 99 → 51 mm long strips
- 190 → 95 μm pitch
- p⁺-in-n → n⁺-in-p

outer

middle

inner



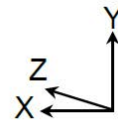
1719 mm

UTbX

UTbV

UTaU

UTaX



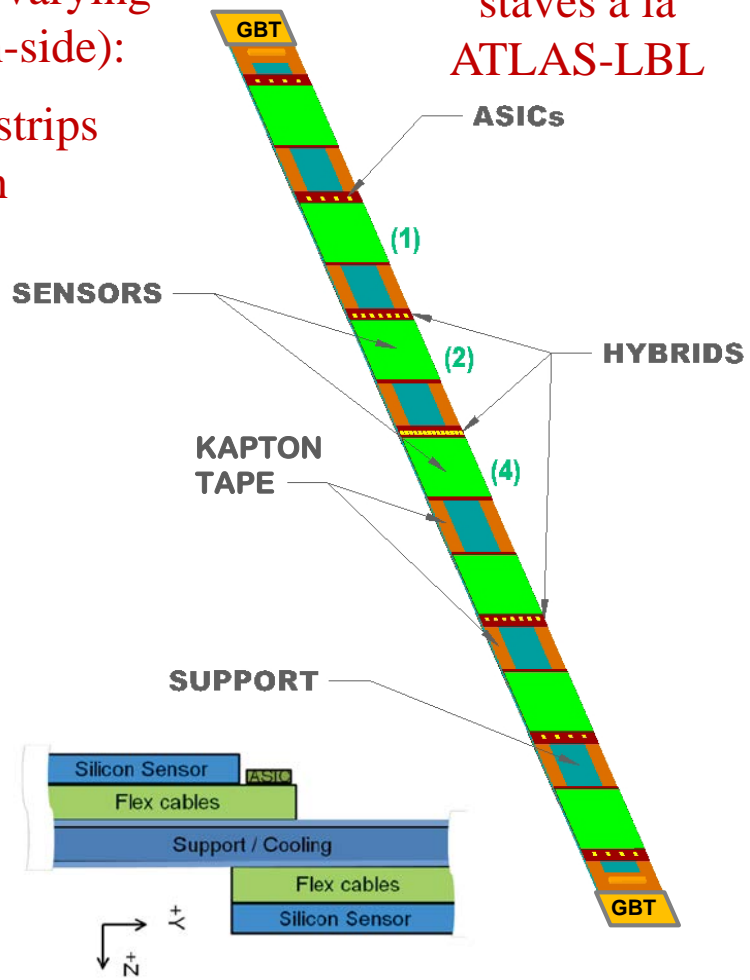
66.8 mm

1338 mm

315 mm

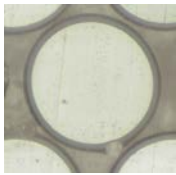
1528 mm

staves a la ATLAS-LBL



40MHz silicon strip
R/O → SALT chip

T-stations upgrade: Fibre Tracker (FT)

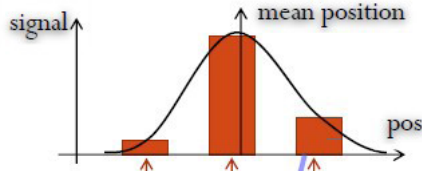


scintillating-fibre mat with 6 layers

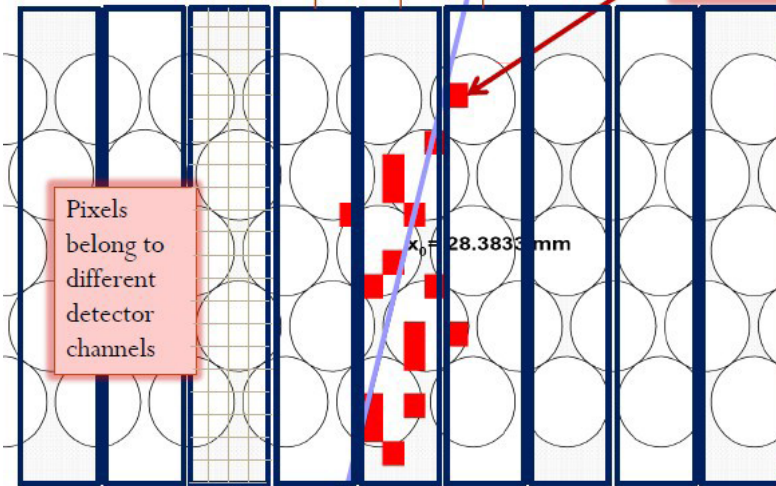


1.5 mm

Fibres:
 $\varnothing = 250 \mu\text{m}$

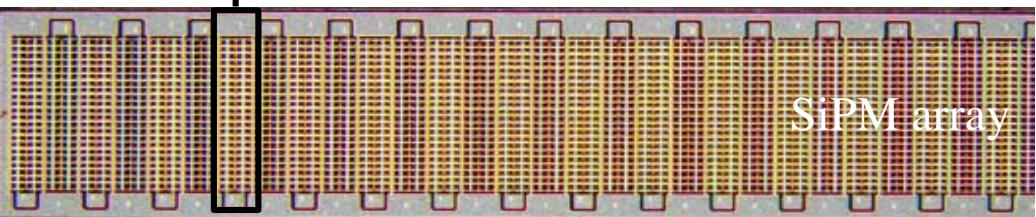


Photons can create signal
(fired pixels are red)

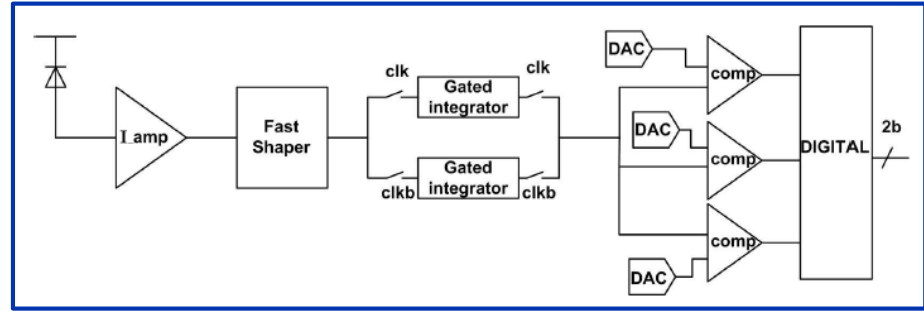
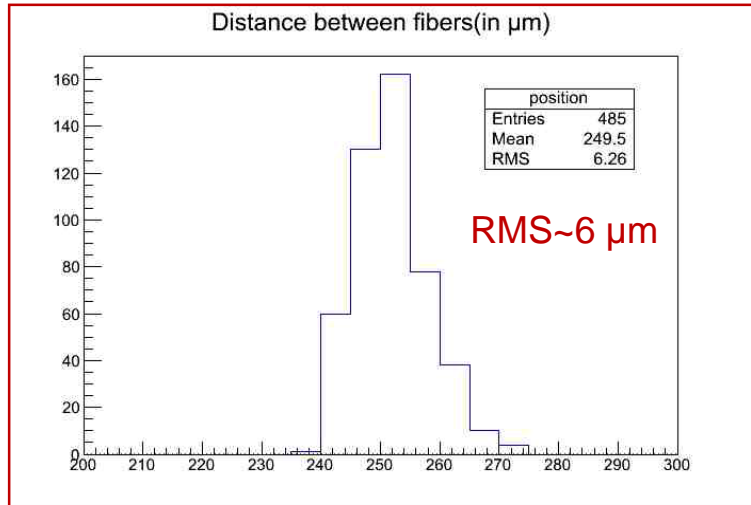


Particle creates photons in each fiber

1 SiPM channel



SiPM array



- readout by dedicated 128 ch.
40 MHz PACIFIC chip
- 3 thresholds (2 bits)
 - sum threshold (FPGA)

T-stations upgrade: Fibre Tracker (FT)

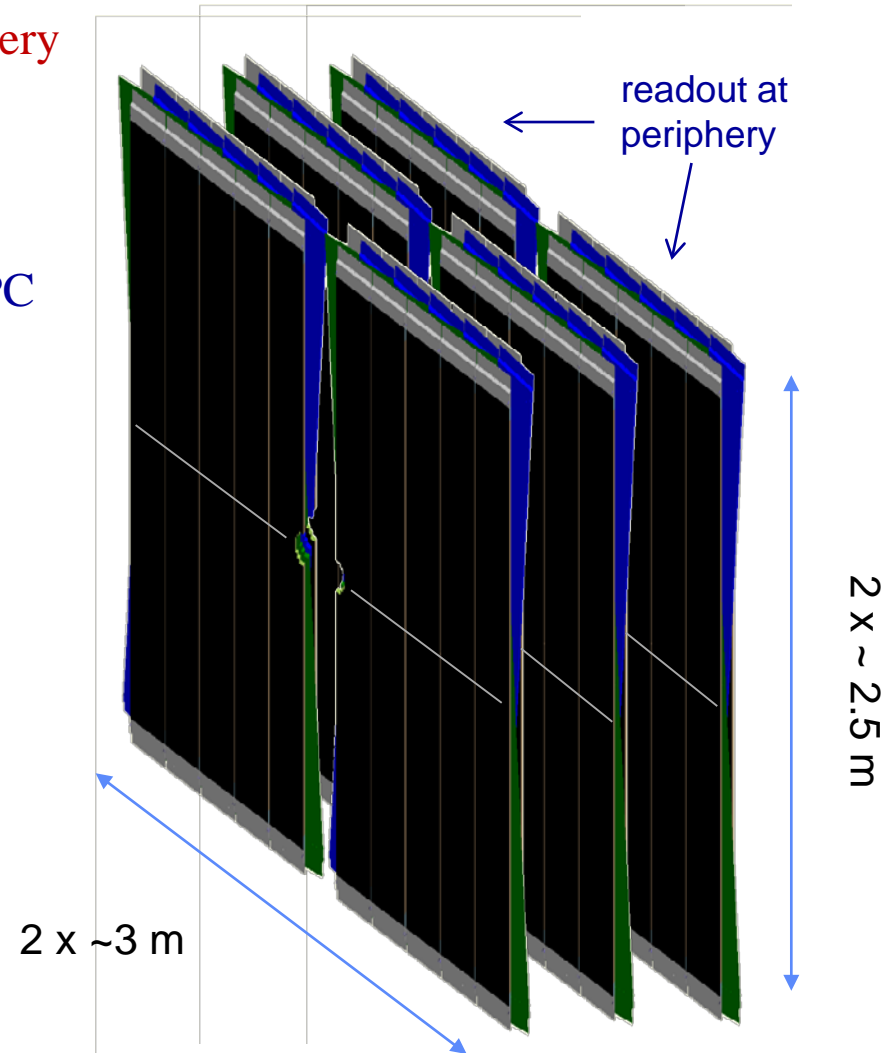
- 3 stations of X-U-V-X ($\pm 5^\circ$ stereo angle) scintillating fibre planes
- every plane made of 6 layers of $\varnothing=250\ \mu\text{m}$ fibres, 2.5 m long
- 40 MHz readout and Silicon PMs at periphery

Challenges → radiation environment

- ionization damage to fibres → tested ok
- neutron damage to SiPM → operate at -40°C

Benefits of the SciFi concept:

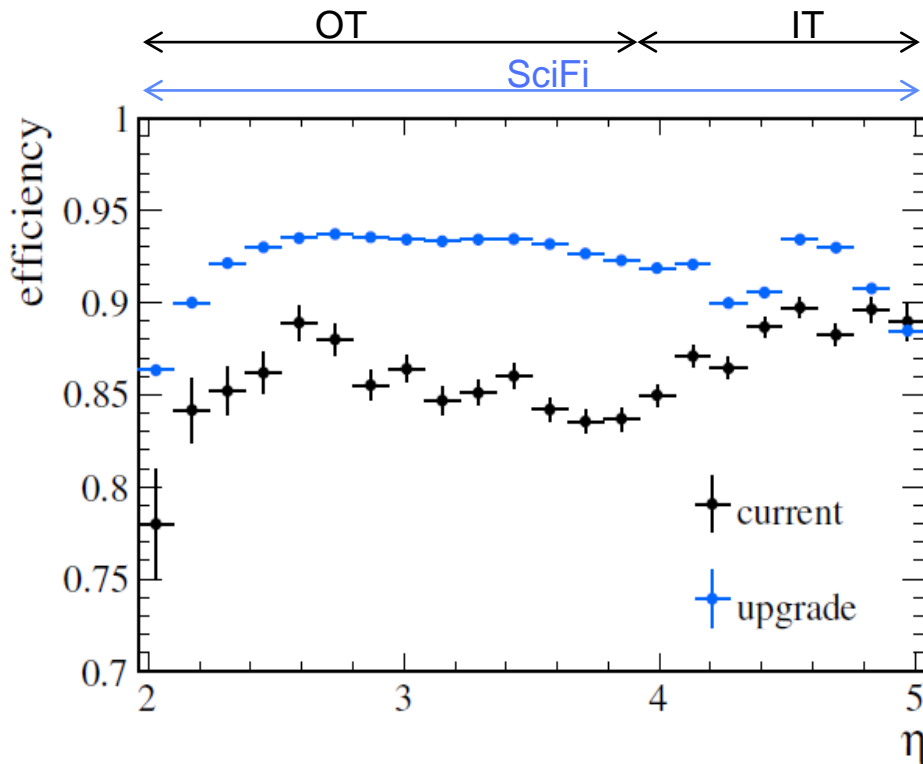
- ✓ a single technology to operate
- ✓ uniform material budget
- ✓ SiPM + infrastructure outside acceptance
- ✓ fine channel granularity of $250\ \mu\text{m}$
- ✓ x-position resolution of $\sim 75\ \mu\text{m}$
- ✓ high hit detection efficiency ($\geq 99\%$)
- ✓ fast pattern recognition for HLT



Tracking performance

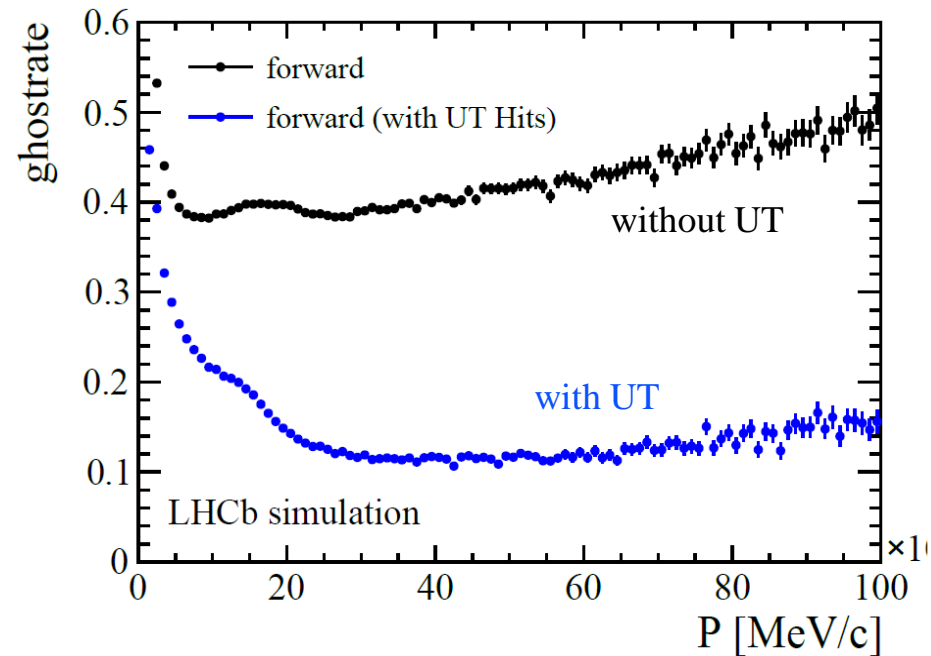
Performance of the forward pattern recognition algorithm

Efficiency for $B_s \rightarrow \Phi\Phi$ events at upgrade conditions:
current and upgraded detector



→ improve tracking performance at upgrade luminosity with Fibre Tracker

Ghost rate for long tracks for $B_s \rightarrow \Phi\Phi$ events:
without UT and with UT (≥ 3 hits)



→ reduce significantly the ghost rate using the Upstream Tracker information

Tracking algorithm for the Trigger

Expected available CPU budget with upgraded Event Filter Farm: ~13 ms (10 times 2012)

Performance of HLT tracking with upgraded VELO, UT and FT:

Tracking Algorithm	CPU time[ms]	
	No GEC	GEC = 1200
VELO tracking	2.3	2.0
VELO-UT tracking	1.4	1.3
Forward tracking	2.5	1.9
PV finding	0.40	0.38
Total @29 MHz		5.6
Total	6.6	5.4 ms

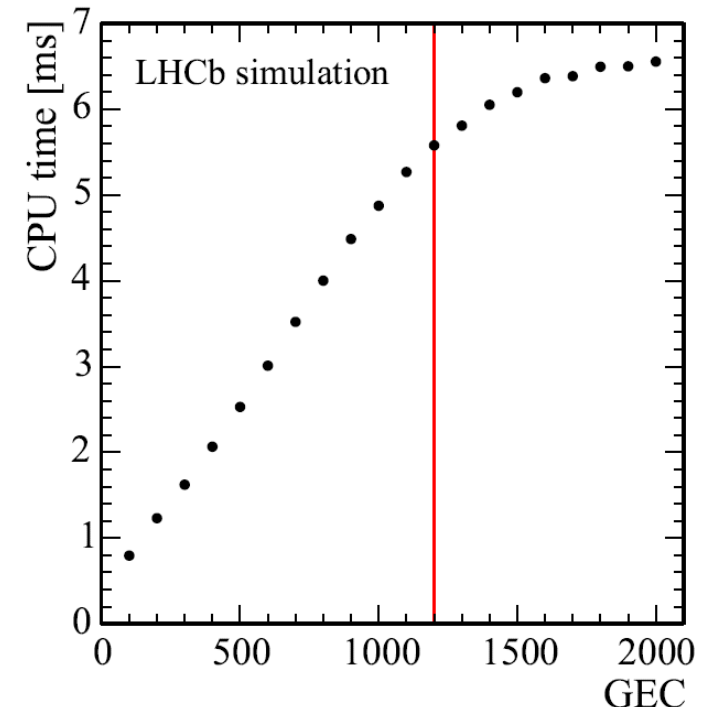
→ leaves ~ 6-7 ms for a trigger decision

	no GEC	GEC < 1200	relative
Ghost rate	10.9%	5.9%	-
long	42.7%	42.9%	50.4%
long, from <i>B</i>	72.5%	72.8%	80.3%
long, $p_T > 0.5$ GeV/ <i>c</i>	86.9%	87.4%	97.2%
long, from <i>B</i> , $p_T > 0.5$ GeV/ <i>c</i>	92.3%	92.5%	98.7%

→ high efficiency (even with GEC)

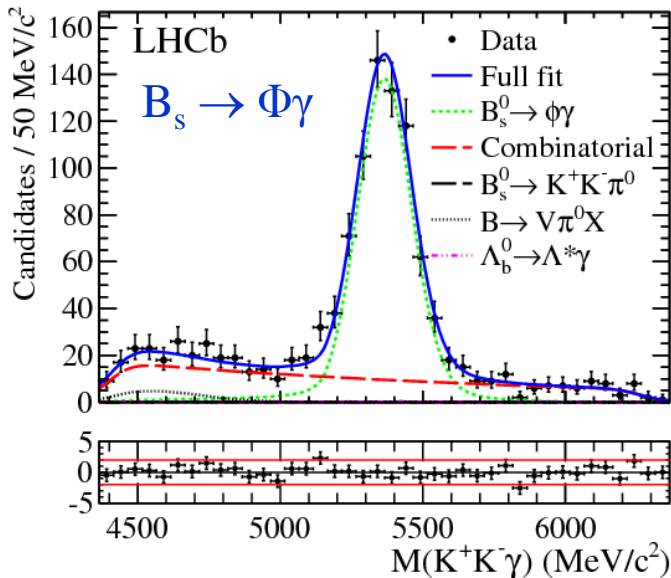
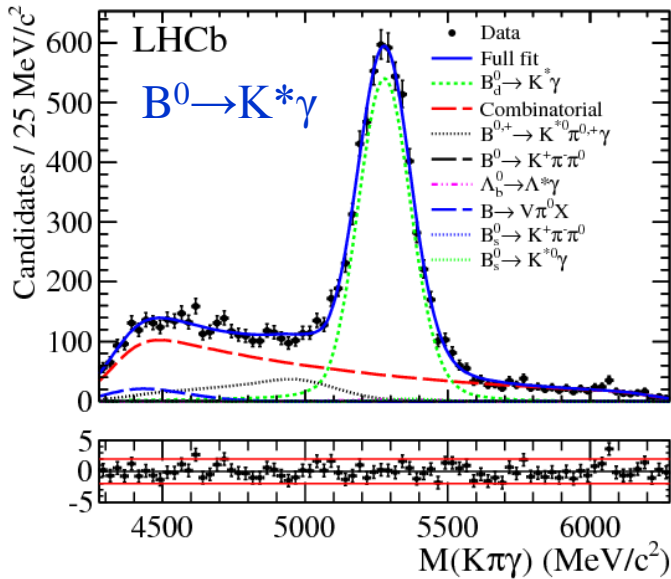
GEC: Global Event Cut

→ cut on event multiplicity
(e.g. hit multiplicity of sub-detector)



Particle identification with Calorimeters

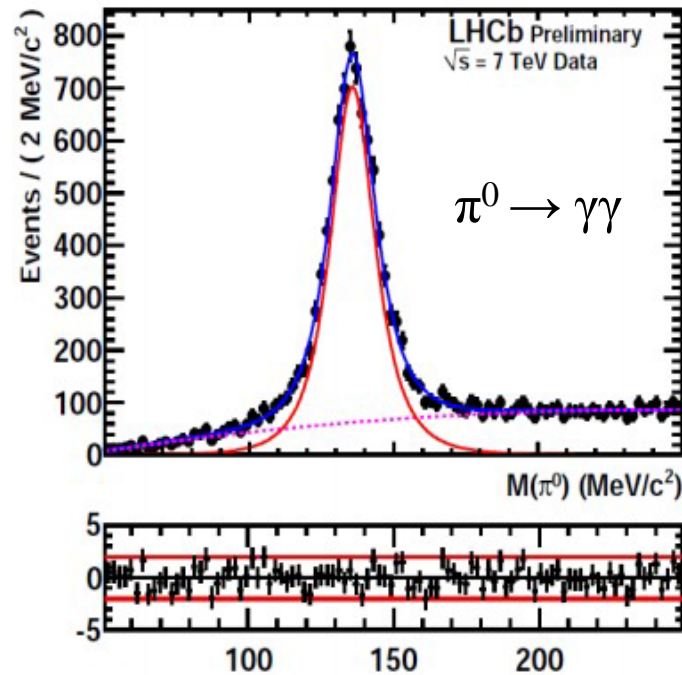
Int. J. Mod. Phys. A 30 (2015)



Nuclear Physics, Section B 867 (2013) 1

$A_{CP}(B^0 \rightarrow K^* \gamma) = (0.8 \pm 1.7 \pm 0.9) \%$
and worlds best branching ratio measurement:
 $BR(B_s \rightarrow \Phi \gamma) = (3.5 \pm 0.4) \cdot 10^{-5}$
with invariant mass resolution $\sim 94 \text{ MeV}/c^2$

Typical π^0 mass resolution $\sim 7\text{-}10 \text{ MeV}/c^2$
(depending on number of converted photons)



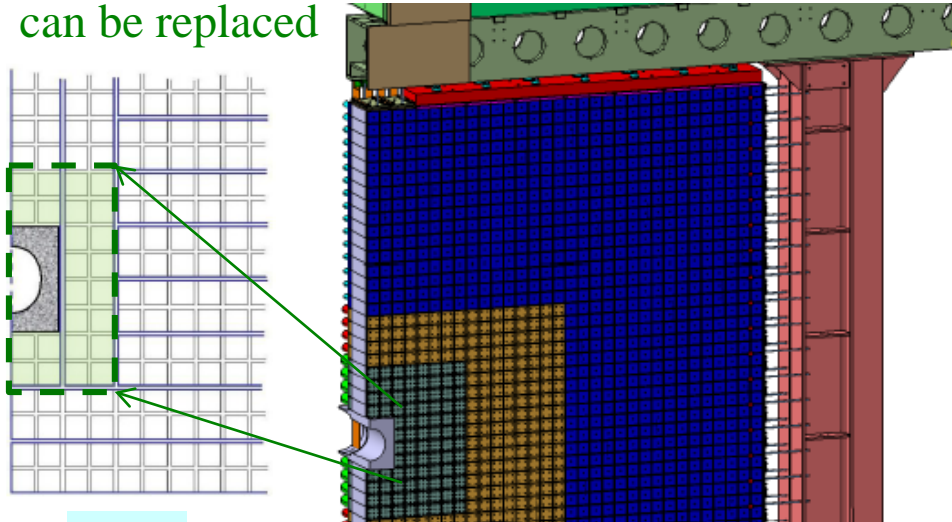
Calorimeters upgrade

Radiation damage and occupancies:

- ✓ Preshower and SPD removed
- ✓ HCAL modules ok up to $\sim 50 \text{ fb}^{-1}$
- ✓ irradiation tests show that most exposed ECAL modules resist up to $\sim 20 \text{ fb}^{-1} \rightarrow \text{LS3}$

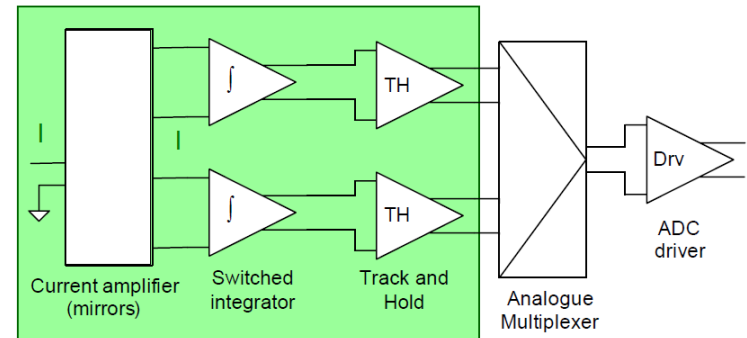
E beam, GeV	module #1 (irradiated 2Mrad)		module #2 (not irradiated)	
	light yield ph.el./GeV	resolution, %	light yield, ph.el./GeV	resolution, %
50	583±12	2.16±0.04	2598±52	1.37±0.04
100	576±12	1.57±0.03	2611±52	1.01±0.03
120	571±12	1.36±0.03	2604±52	0.98±0.03

most inner ECAL modules around beam-pipe can be replaced

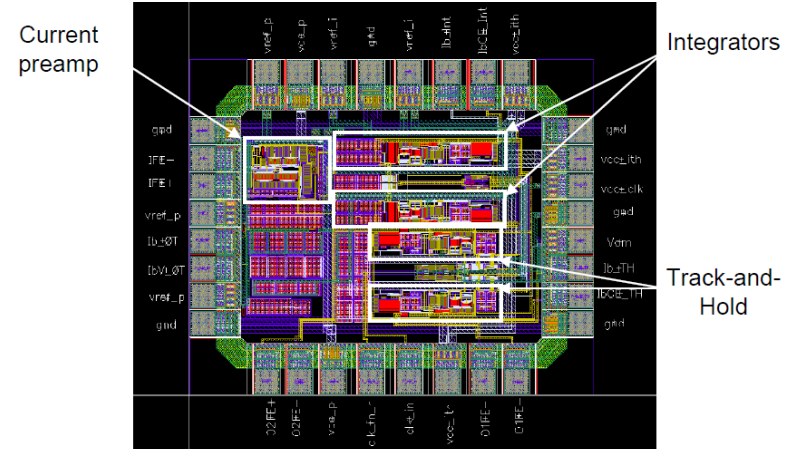


40 MHz readout electronics:

- reduce photomultiplier gain
- two interleaved integrators at 20 MHz
- fully differential implementation
- Track and Hold

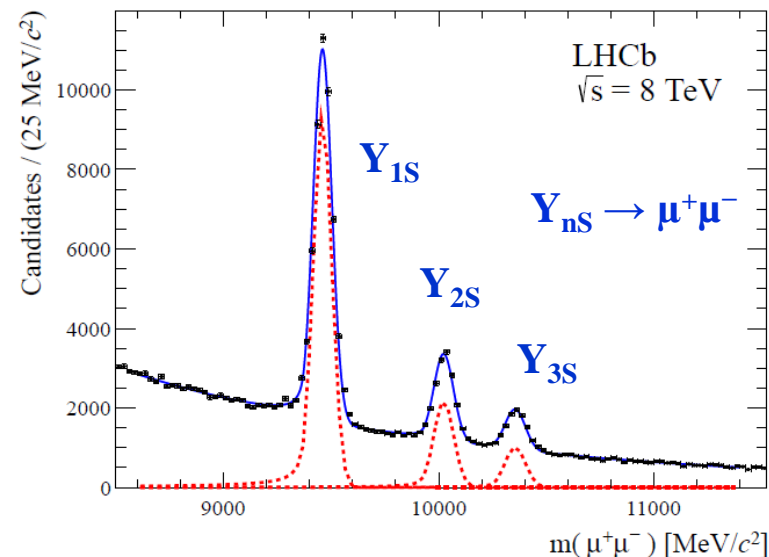
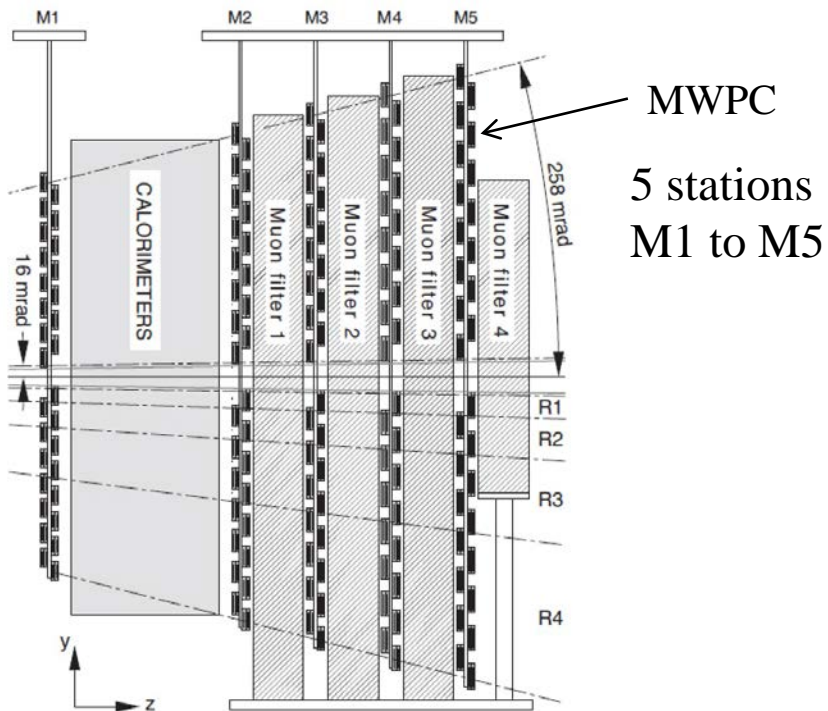


Second Prototype: ICECAL2



Particle identification with Muon System

J. Instrum. 8 (2013) P02022



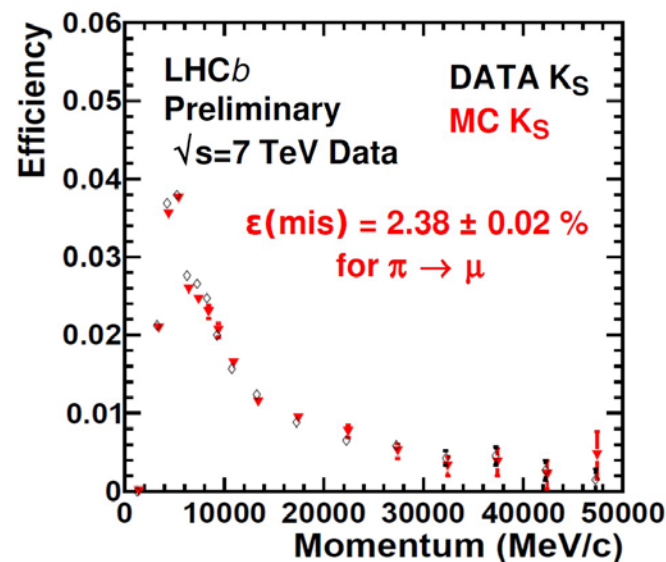
high detection efficiency: $\epsilon(\mu) = (97.3 \pm 1.2)\%$

low misidentification rates:

$$\epsilon(p \rightarrow \mu) = (0.21 \pm 0.05)\%$$

$$\epsilon(\pi \rightarrow \mu) = (2.38 \pm 0.02)\%$$

$$\epsilon(K \rightarrow \mu) = (1.67 \pm 0.06)\%$$



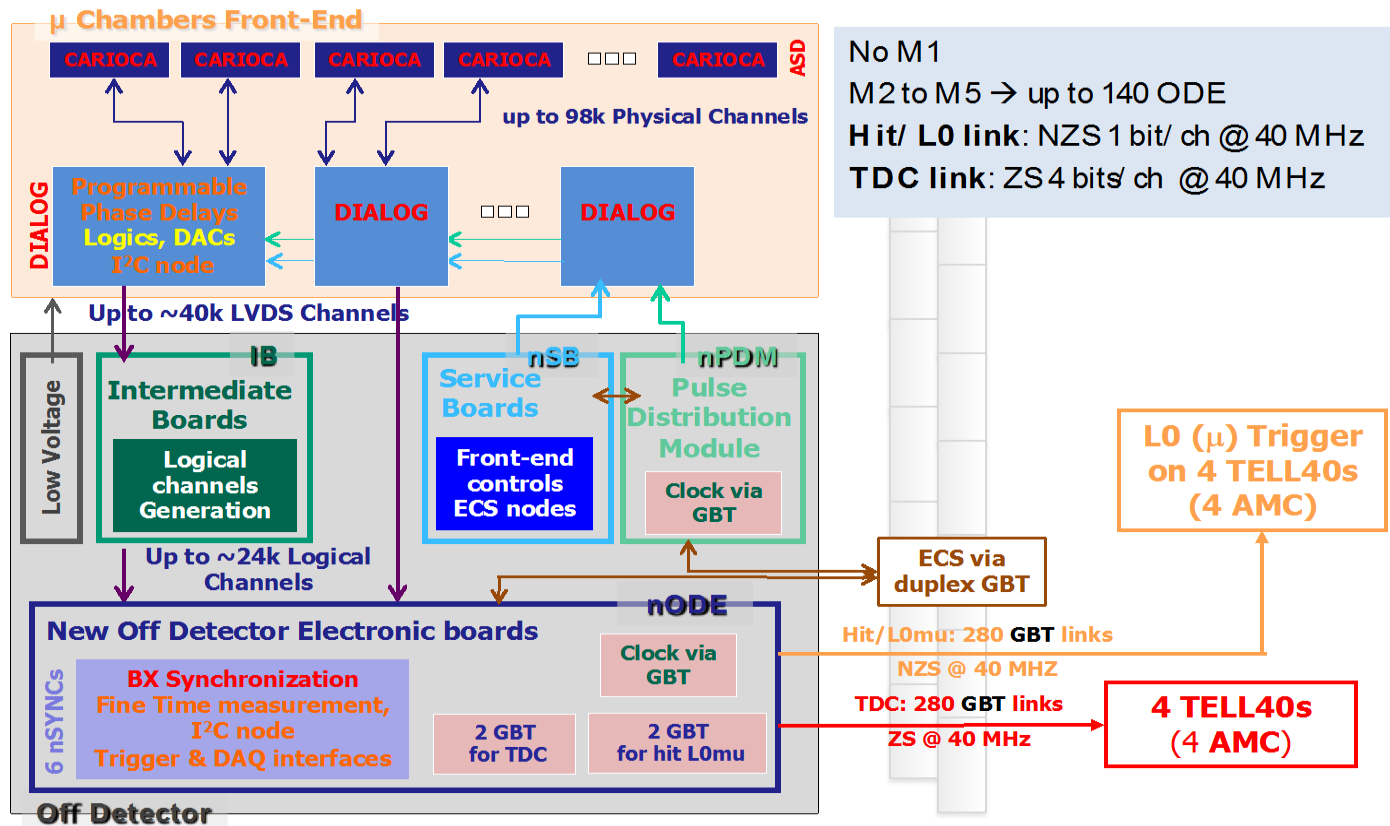
Muon System upgrade

Modifications due to higher luminosity and 40 MHz readout:

- remove M1 due to too high occupancies
- keep on-detector electronics (CARIOCA), already at 40 MHz readout
- new off-detector electronics for an efficient readout via PCIe40
- production of spare MWPC for installation in LS3 in hottest regions

on-detector electronics

off-detector electronics



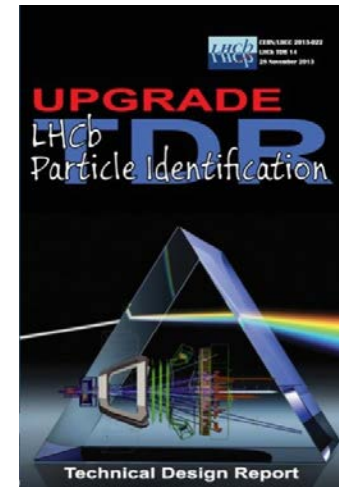
Status of the LHCb upgrade



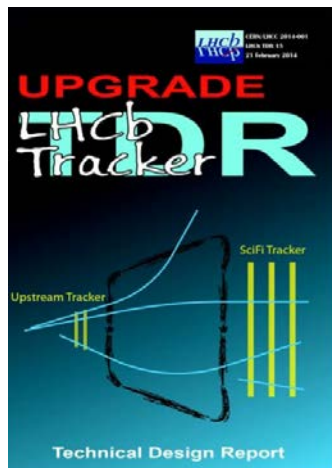
CERN-LHCC-2011-001



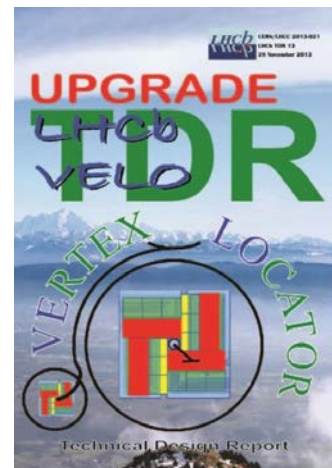
CERN-LHCC-2012-007



CERN-LHCC-2013-001



CERN-LHCC-2013-021



CERN-LHCC-2014-001



CERN-LHCC-2014-016

➤ LHCb upgrade fully approved for installation in 2019/20

Summary

- due to its excellent detector performance LHCb is producing world best measurements in the b and c-quark sector
- by 2018 with $\sim 8 \text{ fb}^{-1}$ LHCb will find or rule-out large sources of flavour symmetry breaking at the TeV scale
- the LHCb upgrade is mandatory to reach experimental precisions of the order of the theoretical uncertainties
- an efficient and selective software trigger with access to the full detector information at every 25 ns bunch crossing will allow to collect the necessary $\geq 50 \text{ fb}^{-1}$ within ~ 10 years
- the LHCb upgrade is fully approved and funds are secured
- the detector upgrade to 40 MHz readout sustaining a levelled luminosity of $2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$ at 25 ns bunch spacing will be ready for installation in 2019 and operational at the end of 2020