

Physics Prospects with the CT-PPS Forward Proton Spectrometer

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Manaus, 2-9 August 2015



CT-PPS project

CMS-TOTEM Precision Proton Spectrometer:

A new tool increasing the CMS potential in the search for New Physics

CMS-TOTEM Memorandum of Understanding:
CMS and TOTEM jointly undertake the PPS project

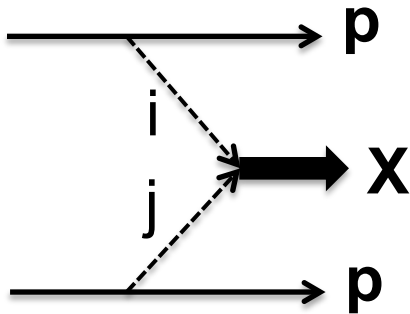
CT-PPS project approval:
CERN Research Board, December 2014



Central exclusive production

$$\text{CEP : } p p \rightarrow p p X$$

where X is a state measured in the central detector



X = high E_T jets, Z , WW , ZZ , ...

i, j = photon or gluon exchanges

Four-momentum of X is fully constrained by the two protons kinematics:

ξ – proton fractional momentum loss

t – proton Mandelstam invariant



Physics motivations

BSM

Search for new physics

- Clean events (no underlying pp event)
- Independent energy-momentum measurement by pp system
- Search for new resonances and invisible states

EWK

LHC as a photon-photon collider

- Measure $\gamma\gamma \rightarrow W^+W^-$, e^+e^- , $\mu^+\mu^-$, $\tau^+\tau^-$
- Search for AQGC with high sensitivity
- Search for SM forbidden $ZZ\gamma\gamma$, $\gamma\gamma\gamma\gamma$ couplings

QCD

QCD physics

- Test of pQCD mechanisms of exclusive production.
- Gluon jet samples with small component of quark jets



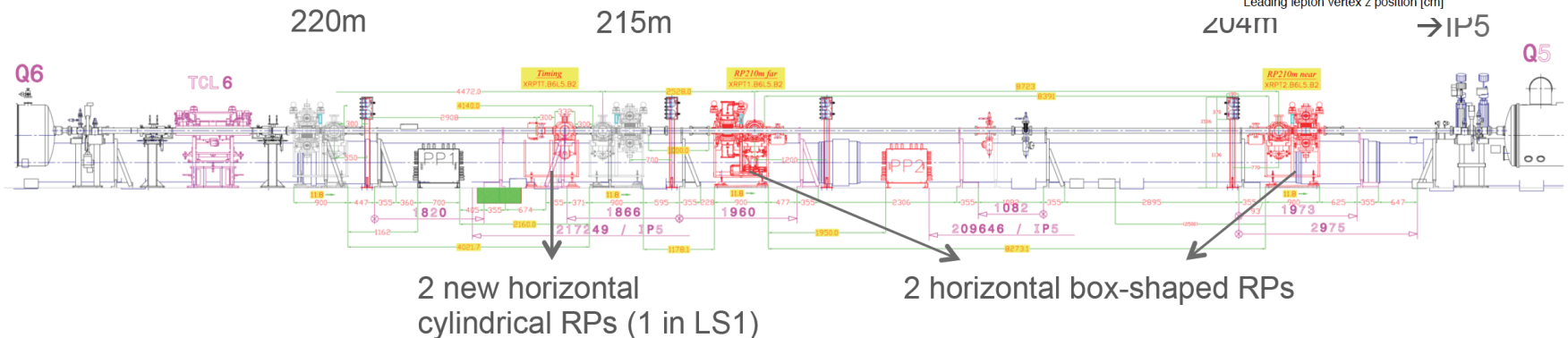
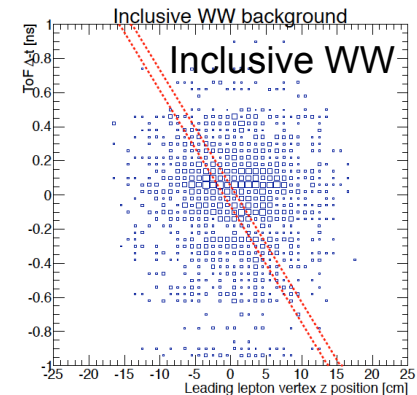
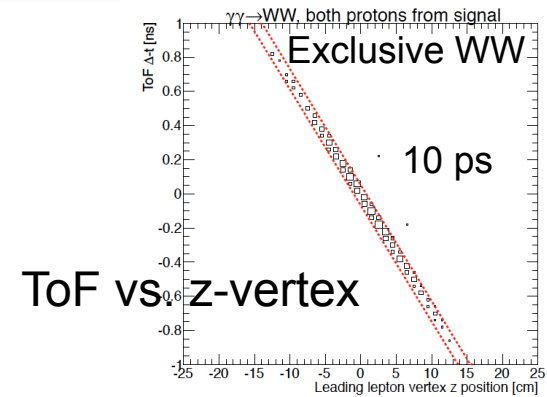
Detector concept

CT-PPS concept:

- 1) Proton spectrometer making use of **machine magnets**
- 2) Two tracking stations with **3D pixel detectors**
- 3) One stations with **10 ps timing detectors**

Use timing to reject pileup background

- time difference of two protons is correlated to collision vertex





Main experimental issues

- Physics performance at high luminosity ($2 \cdot 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$)
 - pileup background, beam background
- Detector operation close to the LHC beam
 - RF impedance, showers originated in the detectors
- Radiation levels
 - in detectors and front-end electronics
- Timing detectors
 - challenge is 10 ps resolution and high rates
- Tracking detectors
 - challenge is fluence $5 \cdot 10^{15} \text{ protons.cm}^{-2}$ (100 fb^{-1})



Project phases

- The CT-PPS plan includes an **exploratory phase** in 2015-16 followed by a **production phase**.
- **Exploratory phase (2015-16):**
Show that CT-PPS does not prevent the stable operation of the LHC beams and does not affect significantly the luminosity performance of the machine.

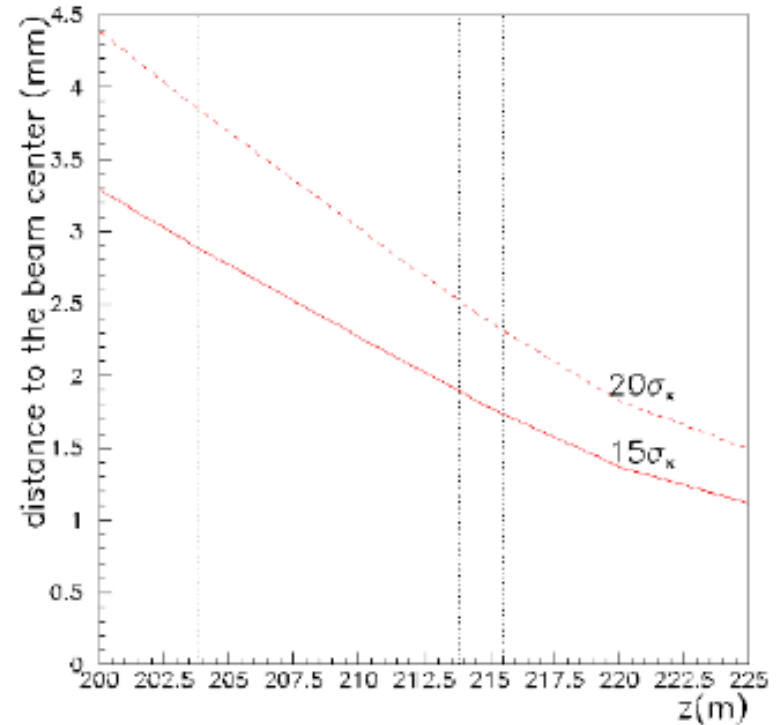
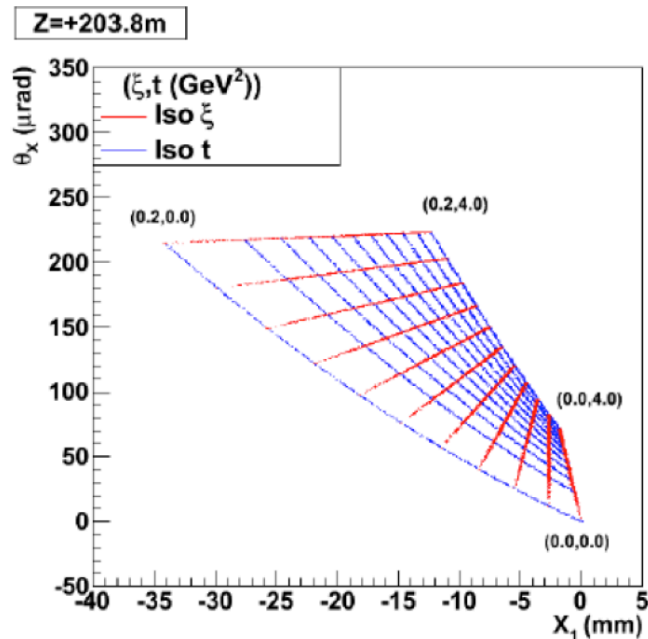


CT-PPS detector basics: acceptance and backgrounds



Beam optics

- HECTOR, a fast simulator for particle transport in a beamline
- Full transport line simulation in CMSSW



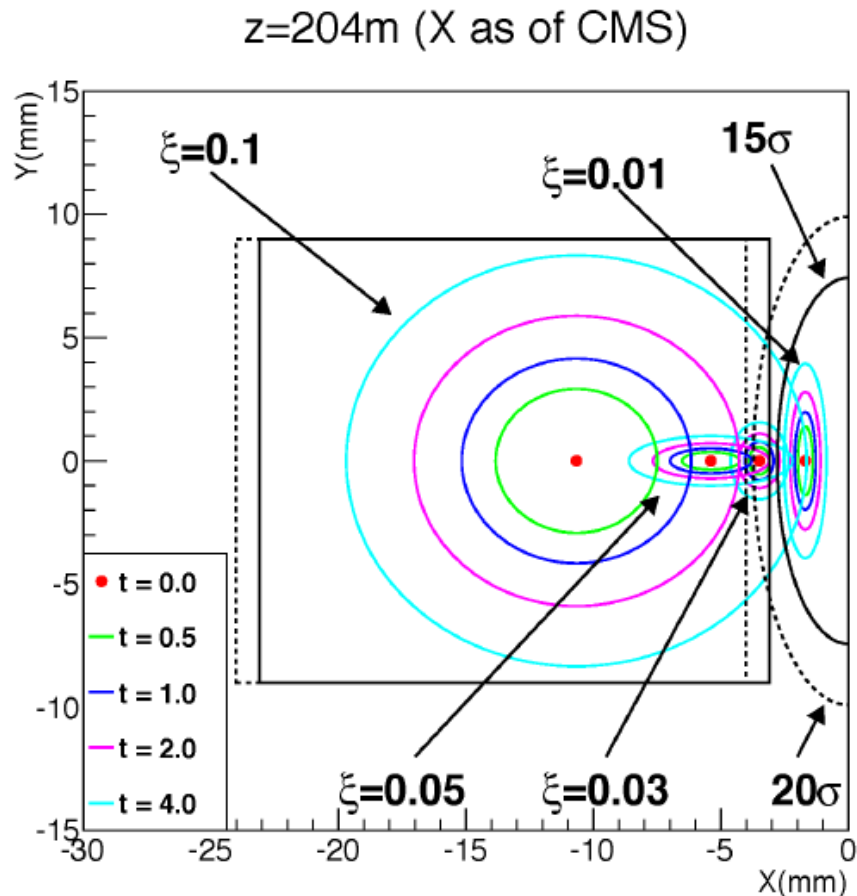
Horizontal distance to beam center
in the z-range of the PPS detectors



Detector acceptance

Acceptance ξ - t ellipses in x-y detector plane

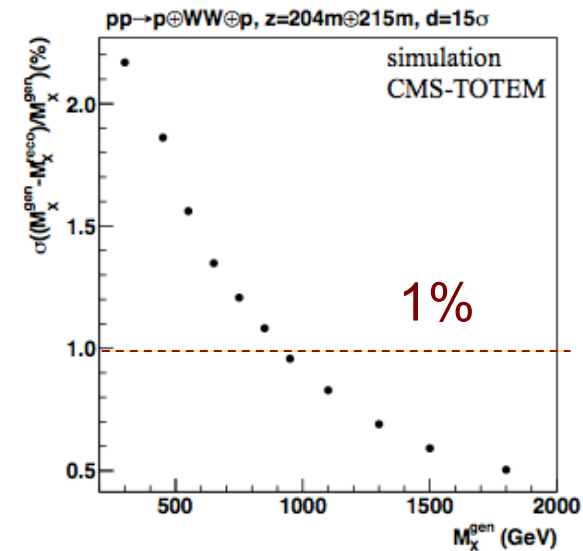
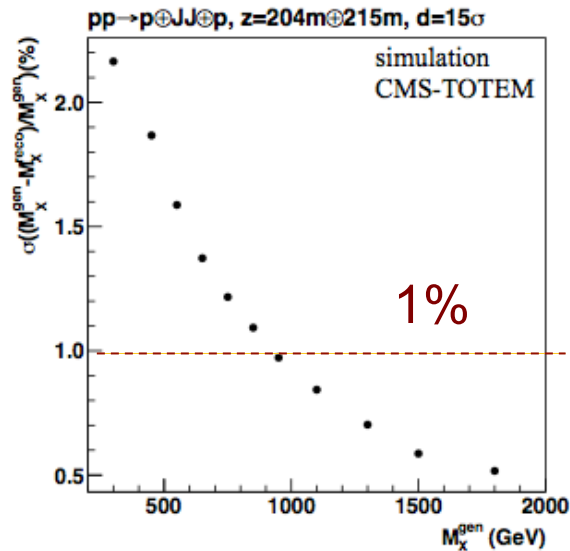
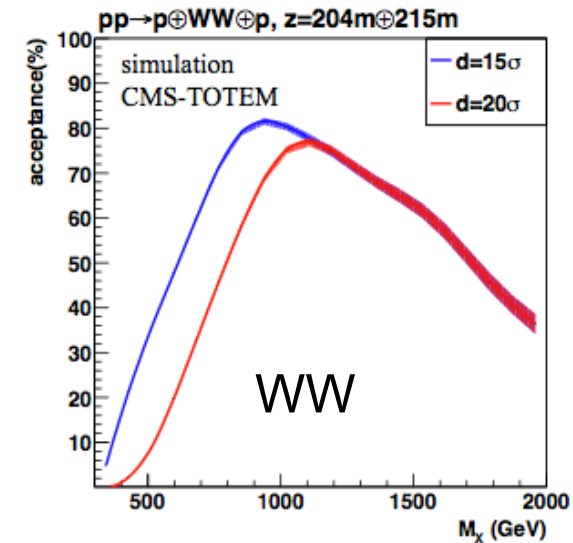
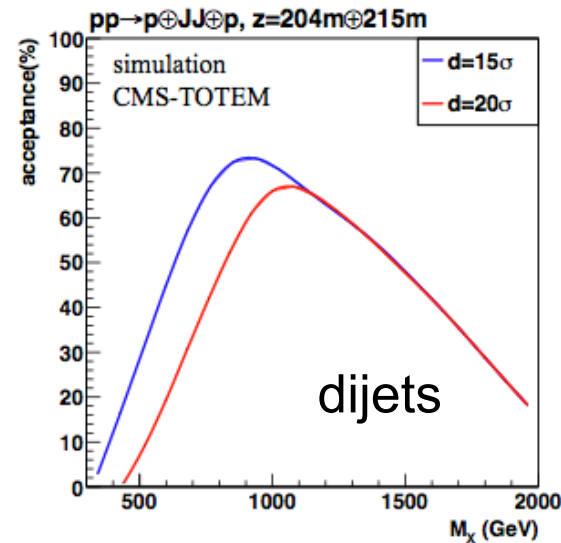
- Particle gun (t, ξ, φ) based on HECTOR at $\sqrt{s} = 13$ TeV





Mass acceptance and resolution

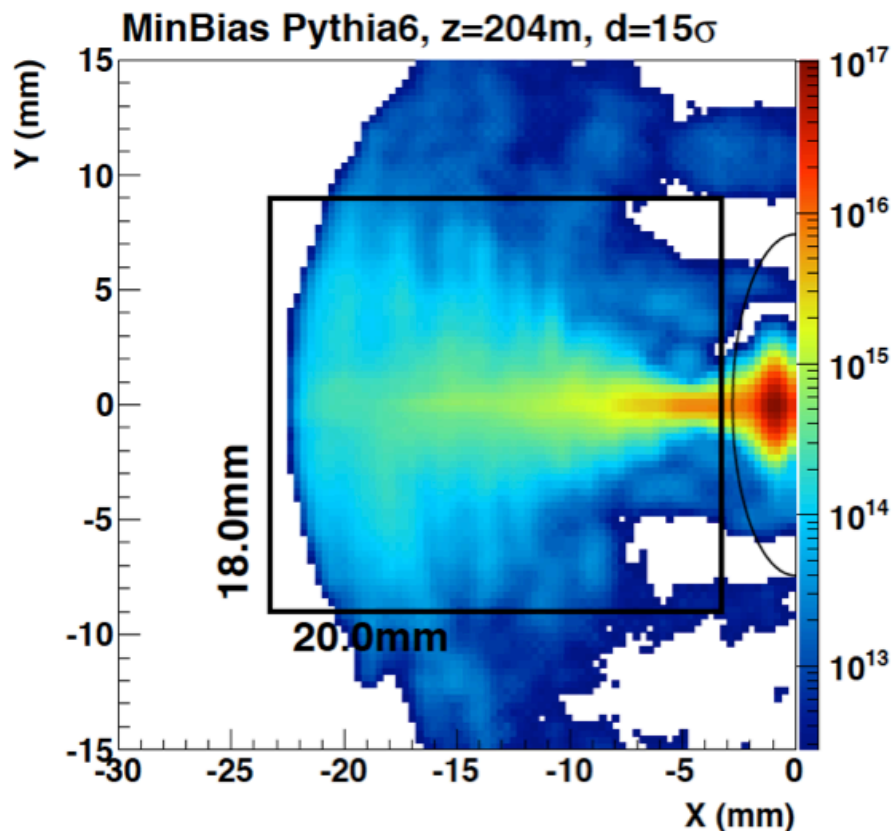
- Mass acceptance and resolution vs M_X
- PPS selects exclusive systems in 300-1700 GeV range ($\epsilon > 5\%$)
- At 15σ acceptance larger by a factor of two (wrt 20σ) for lower masses
- Mass resolution $\sim 1.5\%$ at 500 GeV





Radiation levels

Radiation levels in the detector volume were studied using TOTEM data and simulations



Per 100 fb^{-1} :

- Proton flux up to $5 \cdot 10^{15} \text{ cm}^{-2}$ in the **pixel detectors**
- 10^{12} neq/cm^2 and 100 Gy in **photosensors** and **readout electronics**



Detector occupancy

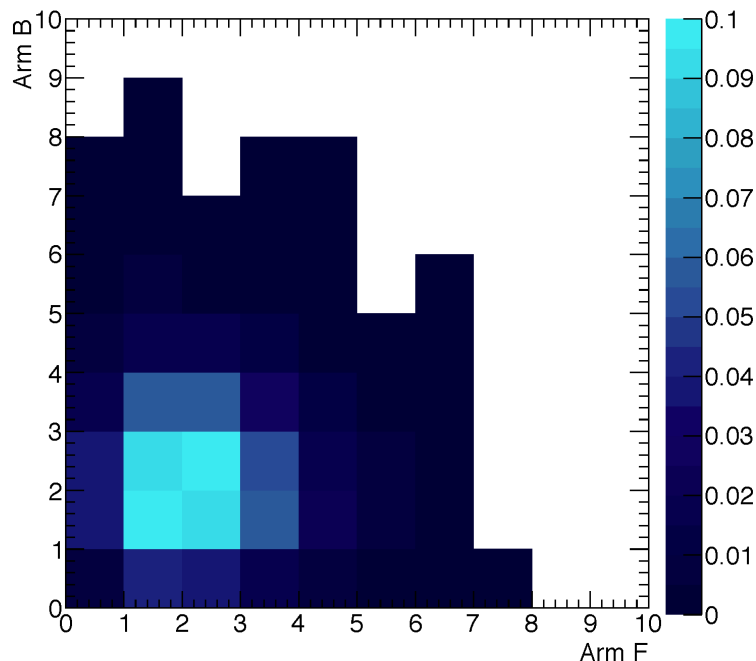
Single diffraction pileup

- Average proton multiplicity in detectors for WW signal with pileup $\mu = 50$ is approx. 2

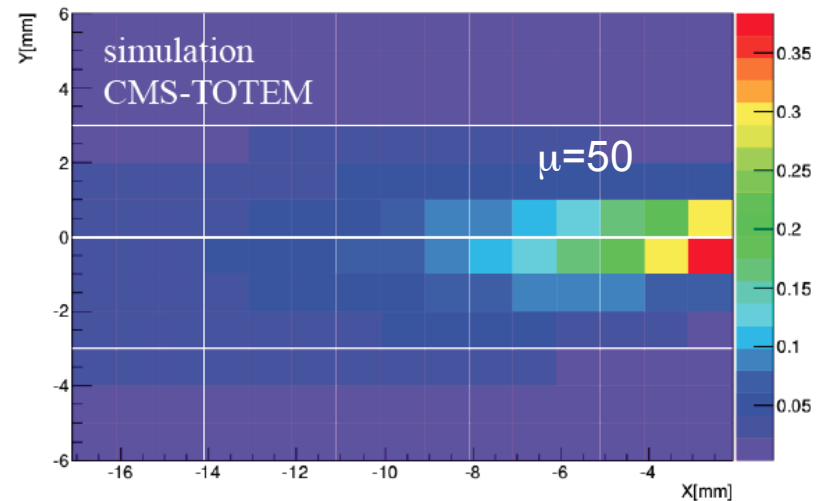
Expected occupancy:

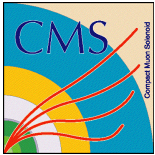
- Beam-related backgrounds and pileup interactions are included.
- Occupancy of detectors at 15σ from the beam

Particle Multiplicity

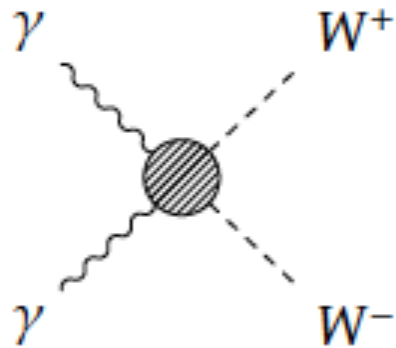


Occupancy /mm²





Physics case: quartic gauge couplings



CEP production of W pairs in CMS at 8 TeV

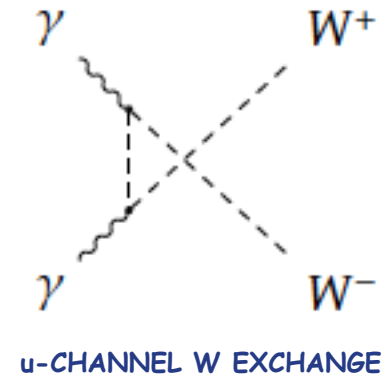
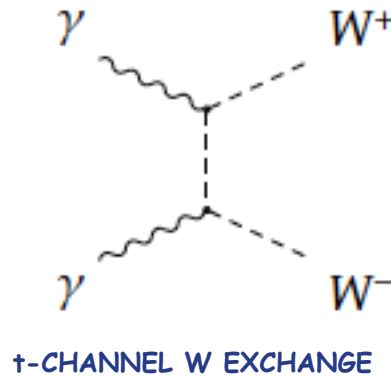
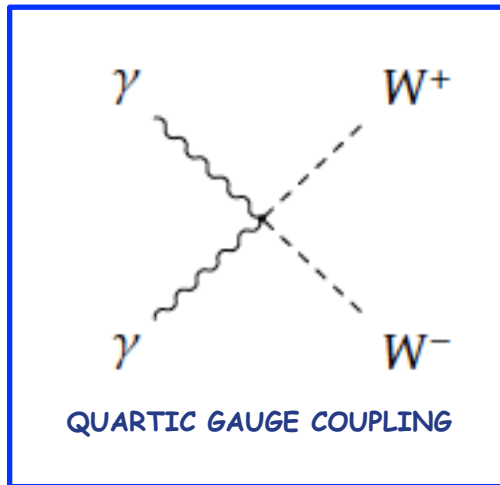


Standard model framework

SM Lagrangian has triple and quartic couplings between γ and W bosons

$$\begin{aligned}\mathcal{L}^{WW\gamma} &= -ie F_{\mu\nu} W^{+\mu} W^{-\nu} \\ \mathcal{L}^{WW\gamma\gamma} &= -e^2 (W_{\mu}^{+} W^{-\mu} A_{\nu} A^{\nu} - W_{\mu}^{+} W_{\nu}^{-} A^{\mu} A^{\nu})\end{aligned}$$

SM contribution to $\gamma\gamma \rightarrow W^{+}W^{-}$ at leading order:



Measurements of the quartic $WW\gamma\gamma$ coupling can show deviations from the SM



Anomalous quartic couplings (I)

Formalism adopted in the CMS analysis:

AQGCs introduced via additional dimension-6 operators in the Lagrangian:

$$\begin{aligned}\mathcal{L}_6^0 &= \frac{e^2}{8} \frac{a_0^W}{\Lambda^2} F_{\mu\nu} F^{\mu\nu} W^{+\alpha} W_{\alpha}^{-} - \frac{e^2}{16 \cos^2 \Theta_W} \frac{a_0^Z}{\Lambda^2} F_{\mu\nu} F^{\mu\nu} Z^{\alpha} Z_{\alpha} \\ \mathcal{L}_6^C &= \frac{-e^2}{16} \frac{a_C^W}{\Lambda^2} F_{\mu\alpha} F^{\mu\beta} (W^{+\alpha} W_{\beta}^{-} + W^{-\alpha} W_{\beta}^{+}) - \frac{e^2}{16 \cos^2 \Theta_W} \frac{a_C^Z}{\Lambda^2} F_{\mu\alpha} F^{\mu\beta} Z^{\alpha} Z_{\beta}\end{aligned}$$

containing:

- parameters a_0^W/Λ^2 and a_C^W/Λ^2
- Λ scale for new physics



Anomalous quartic couplings (II)

In the presence of the additional operators the $\gamma\gamma \rightarrow WW$ cross increases rapidly with the $\gamma\gamma$ center of mass energy ($W_{\gamma\gamma}$).

→ dipole form factors introduced to preserve unitarity

$$a_{0,C}^W \rightarrow a_{0,C}^W(W_{\gamma\gamma}^2) = a_{0,C}^W \left(1 + \frac{W_{\gamma\gamma}^2}{\Lambda_{\text{cutoff}}^2} \right)^{-2}$$

Λ_{cutoff} : energy cutoff scale

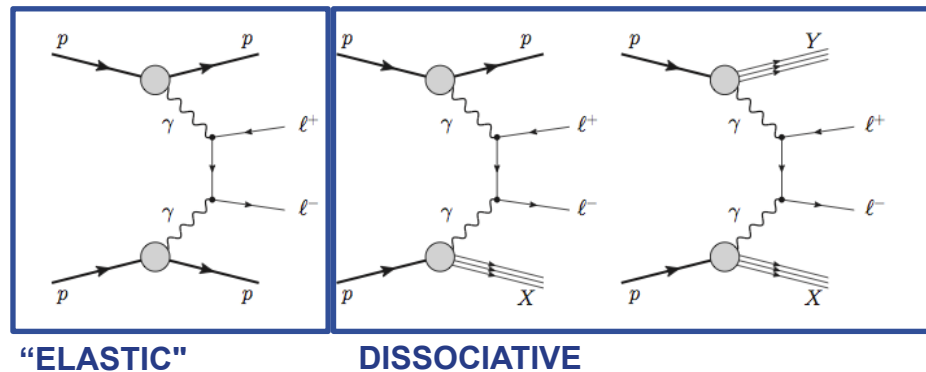
Two scenarios considered:

- $\Lambda_{\text{cutoff}} = 500 \text{ GeV}$
- $\Lambda_{\text{cutoff}} \rightarrow \infty$ (no form factor)

Signal and background

Two contributions to signal:

- “elastic” production: $pp \rightarrow pW^+W^-p$
- proton-dissociative production: $pp \rightarrow p^*W^+W^-p^*$,



Background sources:

- Inclusive WW, t \bar{t} , W+jets processes
- $\tau\tau$ pairs produced via the Drell-Yan process
- Exclusive two photon processes: $\gamma\gamma \rightarrow ll$
- WW production from single diffractive interactions
- $WW \rightarrow WW$ scattering (vector boson fusion)

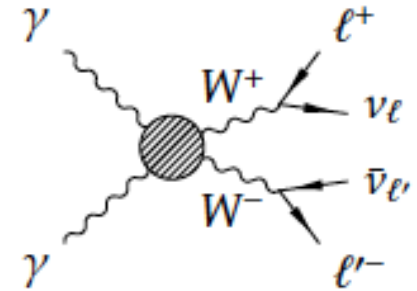
All backgrounds estimated from Monte Carlo after comparison with data in control regions



Event selection

Different flavor dilepton decay channel:

$$\gamma\gamma \rightarrow W^+W^- \rightarrow \mu^\pm e^\mp \nu\bar{\nu}$$



Selection:

- Opposite sign leptons, with $p_T > 20$ GeV and $|\eta| < 2.4$, matched to common primary vertex
- **No extra tracks associated to the dilepton vertex**
- Dilepton invariant mass greater than 20 GeV



SM signal region:

$$p_T(\mu e) > 30 \text{ GeV}$$



AQGCs search:

- 2 bins: $p_T(\mu e) = 30-130$ GeV
 $p_T(\mu e) > 130$ GeV



Correction factors from $\gamma\gamma \rightarrow ll$ sample

Control sample dominated by elastic $\gamma\gamma \rightarrow ll$ events:

- dilepton acoplanarity cut: $|1 - \Delta\phi(l^+l^-)/\pi| < 0.001$
- mass cut (to reject DY)

$$m(l^+l^-) < 70 \text{ GeV or } m(l^+l^-) > 106 \text{ GeV}$$

Zero extra-tracks efficiency correction

Data/MC: 0.63 ± 0.04 in $\gamma\gamma \rightarrow \mu\mu$ sample
 0.63 ± 0.07 in $\gamma\gamma \rightarrow ee$ sample

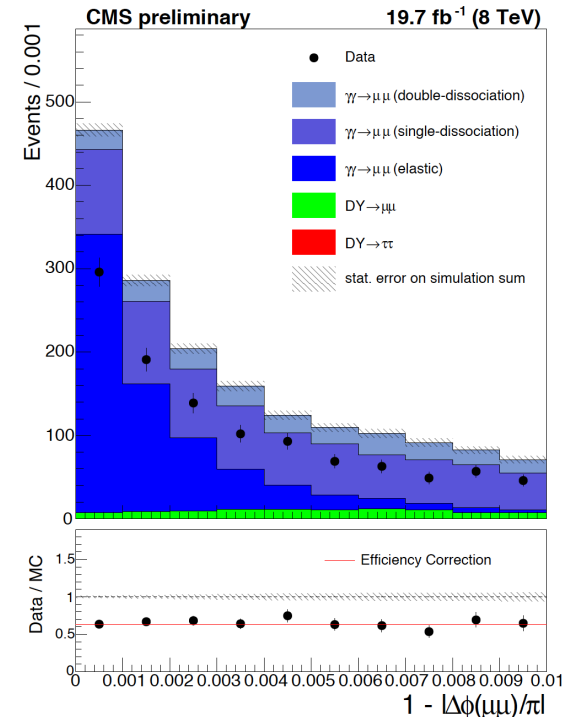
Proton dissociation contribution to $\gamma\gamma$ interactions

- Separation of elastic and proton-dissociative events in data not possible without proton tagging (CT-PPS)
- Simulation of single or double dissociation production is not reliable

$$F = \left[\frac{N_{ll \text{ data}} - N_{DY}}{N_{elastic}} \right]_{m(l^+l^-) > 160 \text{ GeV}}$$

$$F = 4.10 \pm 0.43$$

F used to correct the MC elastic $pp \rightarrow pW^+W-p$ prediction to the total $pp \rightarrow p^{(*)}W^+W-p^{(*)}$ prediction, including proton dissociation

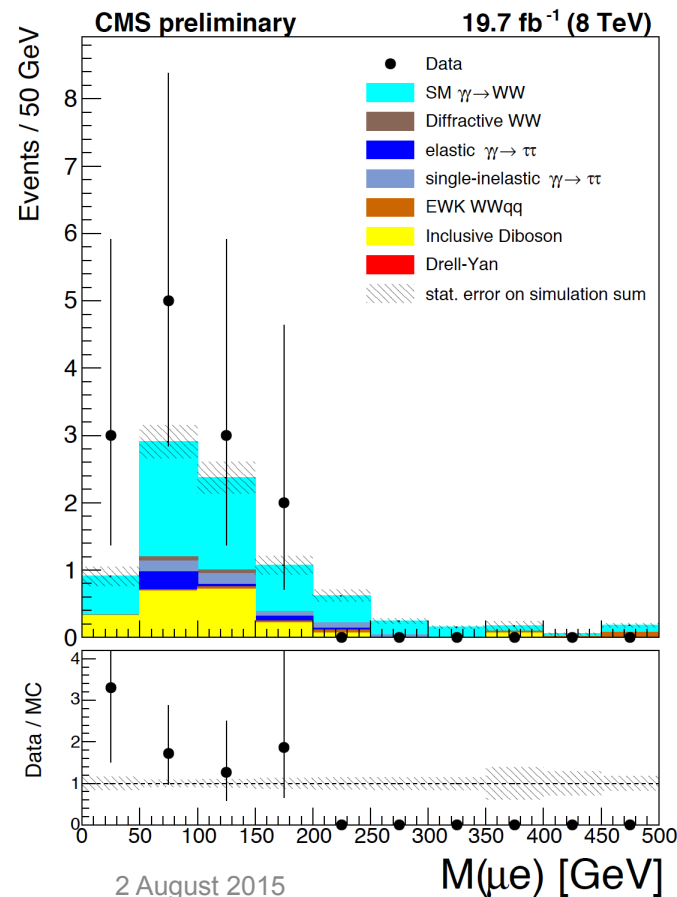




Results – cross section

Number of expected signal and background events

Signal	All backgrounds	Inclusive WW	$\gamma\gamma \rightarrow \tau\tau$	DY $\rightarrow \tau\tau$	Diff. WW	Others
5.3 ± 0.1	3.5 ± 0.5	2.0 ± 0.4	0.9 ± 0.2	0	0.1 ± 0.1	0.5 ± 0.2



13 events are observed in data

Cross section measurement:

$$\sigma(pp \rightarrow p^{(*)}W^+W^-p^{(*)} \rightarrow p^{(*)}\mu^\pm e^\mp p^{(*)}) = 12.3^{+5.5}_{-4.4} \text{fb}$$

SM prediction: 6.9 ± 0.6 fb

Observed significance above the background-only hypothesis: **3.6σ**

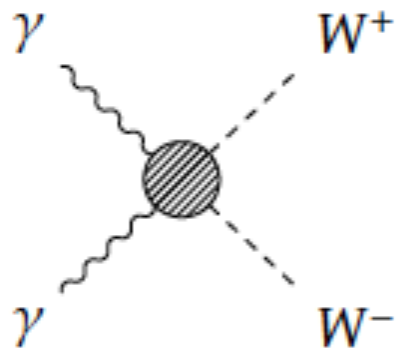


Results – anomalous $WW\gamma\gamma$ coupling

95% CL limits on a_0^W/Λ^2 and a_C^W/Λ^2 , for two scenarios:

	OPAL (2004)	DØ (2013)	CMS (2013)	CMS(2015)
$a_0^W / \Lambda^2 [GeV^{-2}]$ no form factor	$\pm 2 \times 10^{-2}$	$\pm 4.3 \times 10^{-4}$	$\pm 4.0 \times 10^{-6}$	$\pm 1.2 \times 10^{-6}$
$\Lambda_{\text{cutoff}} = 500 \text{ GeV}$		$\pm 2.5 \times 10^{-3}$	$\pm 1.5 \times 10^{-4}$	$(-1.1 - 1.0) \times 10^{-4}$
$a_C^W / \Lambda^2 [GeV^{-2}]$ no form factor	$^{+3.7}_{-5.2} \times 10^{-2}$	$\pm 1.5 \times 10^{-3}$	$\pm 1.5 \times 10^{-5}$	$\pm 4.4 \times 10^{-6}$
$\Lambda_{\text{cutoff}} = 500 \text{ GeV}$		$\pm 9.2 \times 10^{-3}$	$\pm 5.0 \times 10^{-4}$	$(-4.2 - 3.4) \times 10^{-4}$
			$\sqrt{s} = 7 \text{ TeV}$	$\sqrt{s} = 8 \text{ TeV}$

- Up to **two orders of magnitude improvement** to limits set by LEP and Tevatron
- One order of magnitude more stringent** than CMS limits from tri-boson production:
 - “A Search for $WW\gamma$ and $WZ\gamma$ production in pp Collisions at $\sqrt{s} = 8 \text{ TeV}$ “, CMS-PAS-SMP-13-009



CEP production of W pairs with CT-PPS



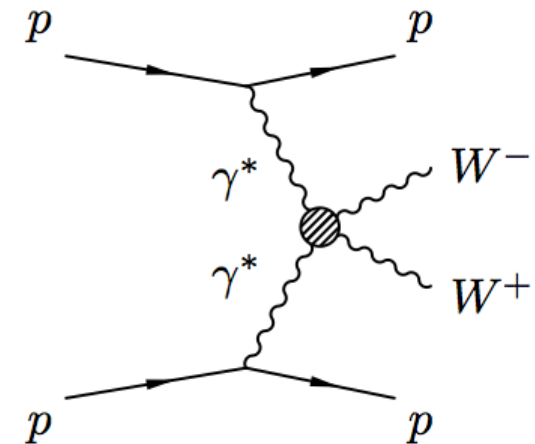
Simulation

- **Signal**
 - FPMC generator
- **Backgrounds**
 - WW inclusive, Single Diffractive (SD) and Double Pomeron Exchange (DPE), multijet QCD, exclusive $\gamma\gamma \rightarrow \tau\tau$
- **Pileup**
 - 50 and 25 pileup samples.
- **Simulation**
 - GEANT4 simulation of the CMS central detector
 - Protons are tracked through the beam-line
 - Fast simulation of CT-PPS detectors
 - Beam induced backgrounds included



Study of WW production






- Study of process: $pp \rightarrow pWWp$
 - Exclusive production of W pairs via photon exchange at 13 TeV
- **Events:** W pair in central detector, intact protons detected in CT-PPS
Study only $e\mu$ final state
- **Two steps:**
 1. SM observation of WW events
 - $\sigma_{WW} = 95.6 \text{ fb}$
 2. Study of anomalous coupling
 - Two points: $a_0^W/\Lambda^2 = 5 \times 10^{-6}$, $a_C^W/\Lambda^2 = 5 \times 10^{-6}$



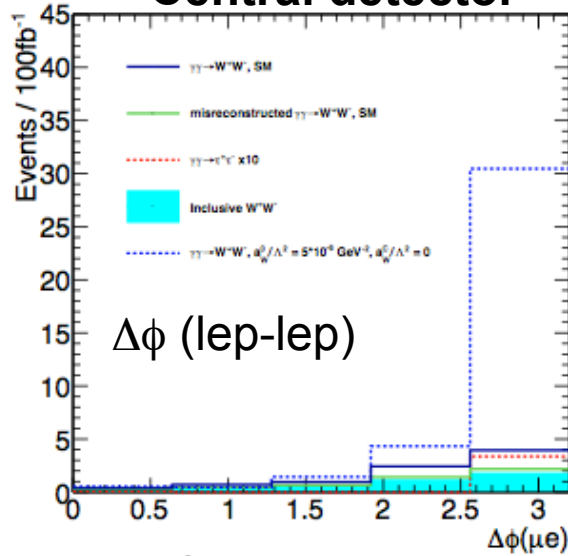


Kinematical distributions

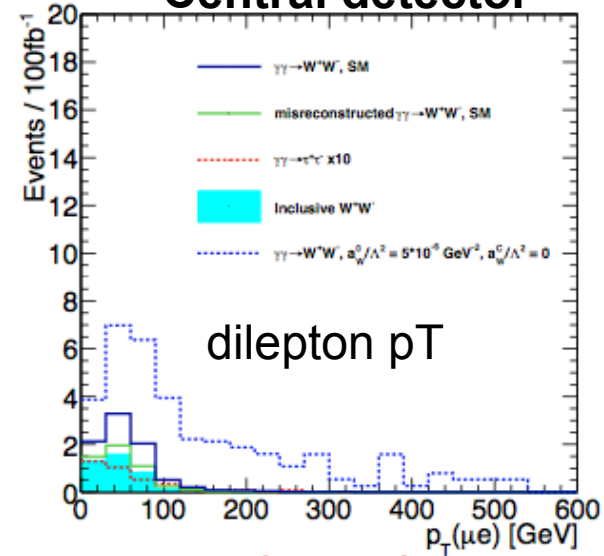
Missing mass distributions provide a very clear separation of AQC events

-  $\gamma\gamma \rightarrow W^+W^-, \text{ SM}$
-  misreconstructed $\gamma\gamma \rightarrow W^+W^-, \text{ SM}$
-  $\gamma\gamma \rightarrow \tau^+\tau^- \times 10$
-  Inclusive W^+W^-
-  $\gamma\gamma \rightarrow W^+W^-, a_W^0/\Lambda^2 = 5 \cdot 10^{-6} \text{ GeV}^{-2}, a_W^C/\Lambda^2 = 0$

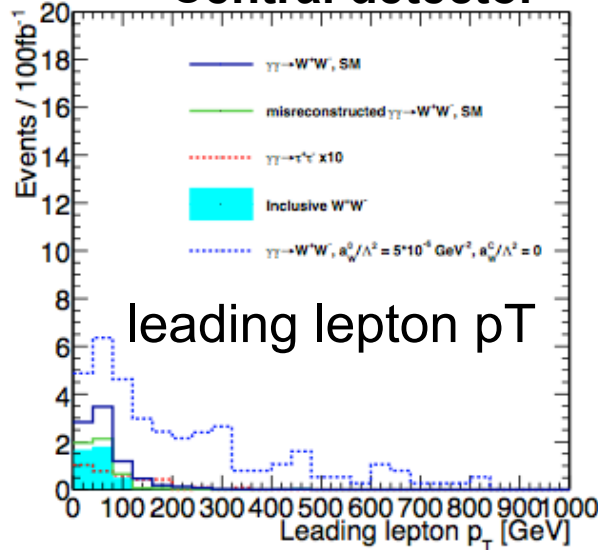
Central detector



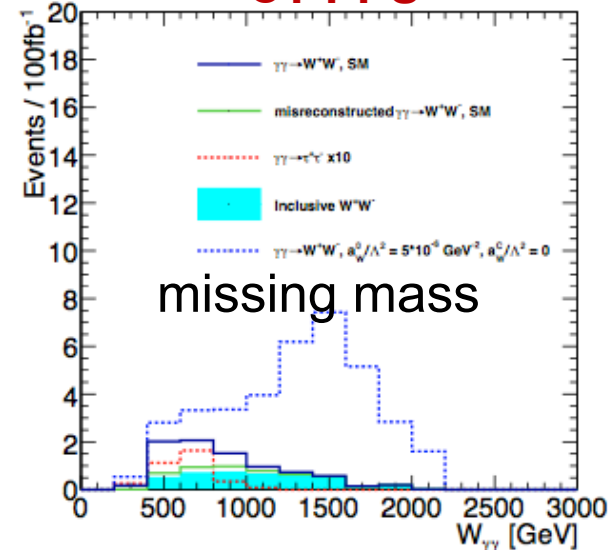
Central detector



Central detector



CT-PPS





Yields (in fb)

- Select WW events
- Apply central lepton and PPS acceptance cuts
- Additional timing and track multiplicity cuts
- Numbers in parenthesis are for time resolution of 30 ps

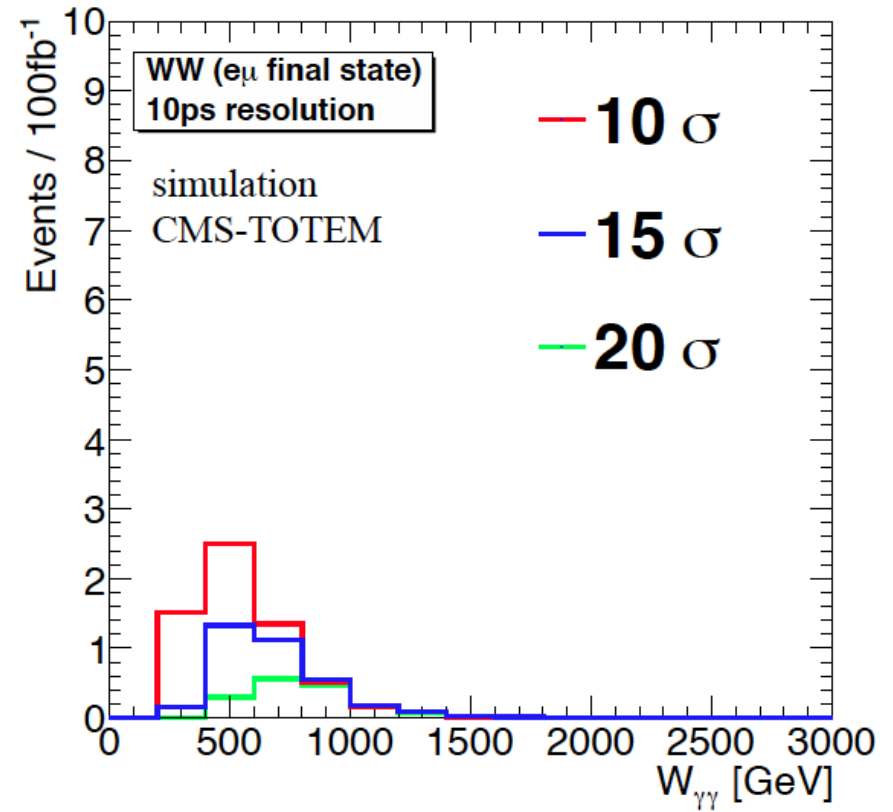
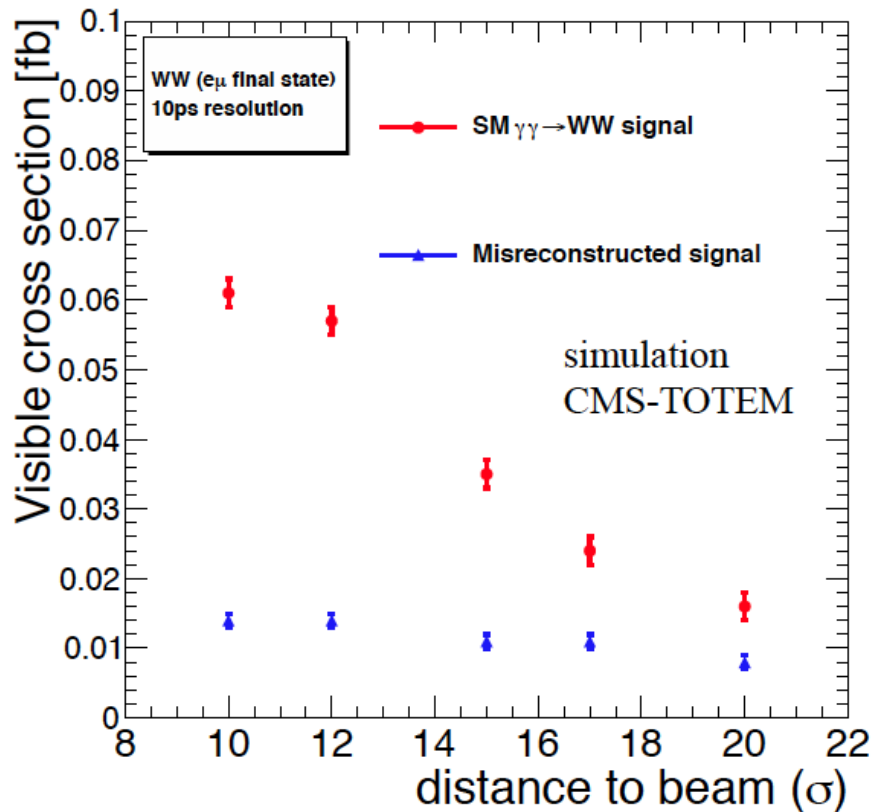
selection	cross section (fb)			
	exclusive WW	exclusive WW (incorrectly reconstructed)	inclusive WW	exclusive $\tau\tau$
generated $\sigma \times \mathcal{B}(WW \rightarrow e\mu\nu\bar{\nu})$	0.86 ± 0.01	N/A	2537	1.78 ± 0.01
≥ 2 leptons ($p_T > 20$ GeV, $\eta < 2.4$)	0.47 ± 0.01	N/A	1140 ± 3	0.087 ± 0.003
opposite sign leptons, “tight” ID	0.33 ± 0.01	N/A	776 ± 2	0.060 ± 0.002
dilepton pair $p_T > 30$ GeV	0.25 ± 0.01	N/A	534 ± 2	0.018 ± 0.001
protons in both PPS arms (ToF and TRK)	$0.055 (0.054) \pm 0.002$	$0.044 (0.085) \pm 0.003$	$11 (22) \pm 0.3$	0.004 ± 0.001
no overlapping hits in ToF + vertex matching	$0.033 (0.030) \pm 0.002$	$0.022 (0.043) \pm 0.002$	$8 (16) \pm 0.2$	$0.003 (0.002) \pm 0.001$
ToF difference, $\Delta t = (t_1 - t_2)$	$0.033 (0.029) \pm 0.002$	$0.011 (0.024) \pm 0.001$	$0.9 (3.3) \pm 0.1$	$0.003 (0.002) \pm 0.001$
$N_{\text{tracks}} < 10$	$0.028 (0.025) \pm 0.002$	$0.009 (0.020) \pm 0.001$	$0.03 (0.14) \pm 0.01$	0.002 ± 0.001

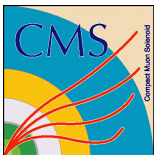
S/B ~ 1



Dependence on distance to beam

Exclusive WW event yields





AQGC expected limits

Expected limits @95%CL:

with CT-PPS, 13 TeV, 100 fb⁻¹

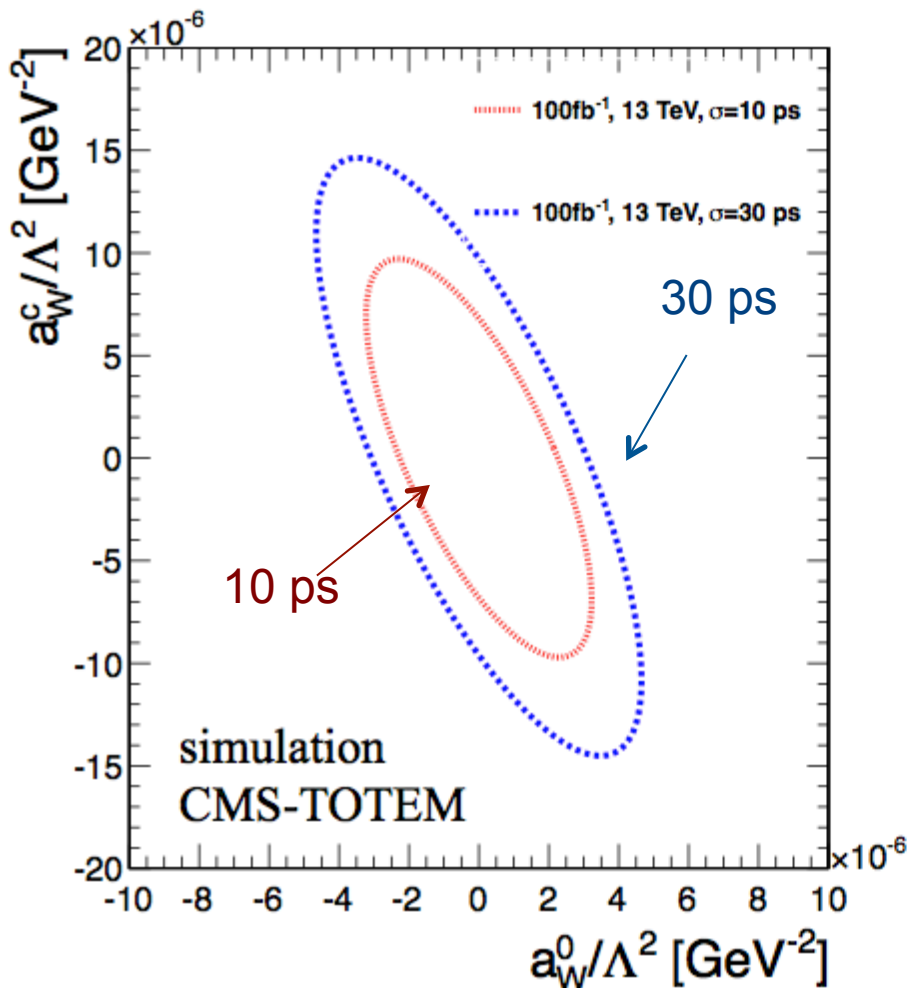
$$a_0^W / \Lambda^2 = 2 \times 10^{-6} \text{ (} 3 \times 10^{-6} \text{), GeV}^{-2}$$
$$a_C^W / \Lambda^2 = 7 \times 10^{-6} \text{ (} 10 \times 10^{-6} \text{), GeV}^{-2}$$

Observed limits @95%CL:

CMS Run 1, 8 TeV, 20 fb⁻¹

$$a_0^W / \Lambda^2 < 1.0 \times 10^{-4} \text{ GeV}^{-2}$$
$$a_C^W / \Lambda^2 < 3.4 \times 10^{-4} \text{ GeV}^{-2}$$

Two orders of magnitude improvement is expected





CT-PPS Detectors



Approaching detectors to the beam

Options considered:

- Roman Pots (RP) developed by TOTEM
- Movable Beam Pipe (MBP)

Main goals:

- Establish Roman Pot insertions for physics operation in regular fills from 2016
- Install detectors for run in 2016 and beyond

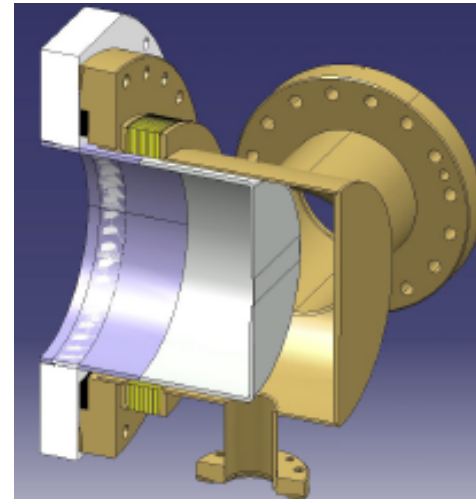
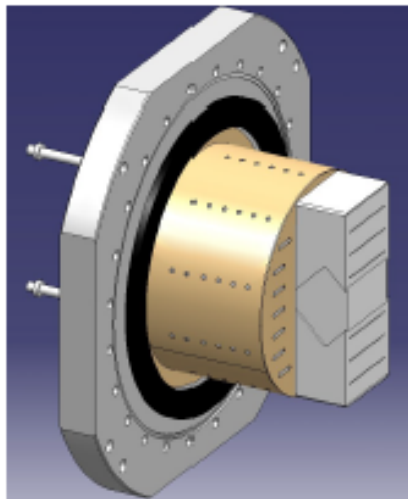
RP insertion commissioning:

- RP insertions at low β^* and highest beam intensities are being tested in the exploratory phase in 2015.



Roman Pots

- Tests of TOTEM RPs at high luminosity revealed important issues (vacuum, beam dumps, heating).
- Several improvements have been carried by TOTEM (and CMS) in collaboration with BE-ABP.
 - New RF shielding in standard box-shaped RPs
 - New cylindrical RP for timing detectors
 - 10 um thick copper coating
 - New ferrites



Components installed in tunnel

**CT-PPS
timing**

**CT-PPS
tracking 2**

**CT-PPS
tracking 1**

TCL 4 & TCL 6 in 4-5 and 5-6

Electrical patch panel

Service lines for LV/HV/DAQ

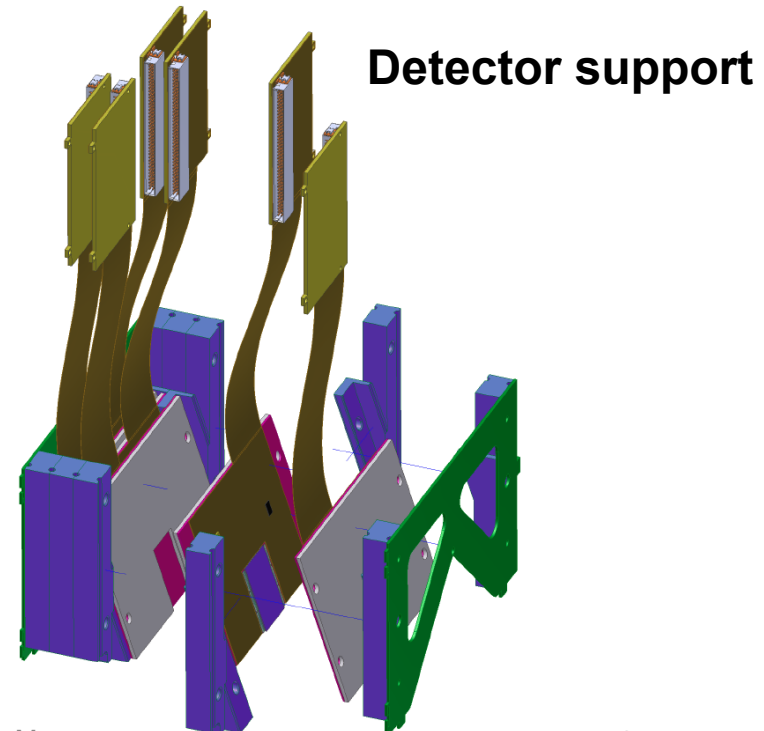
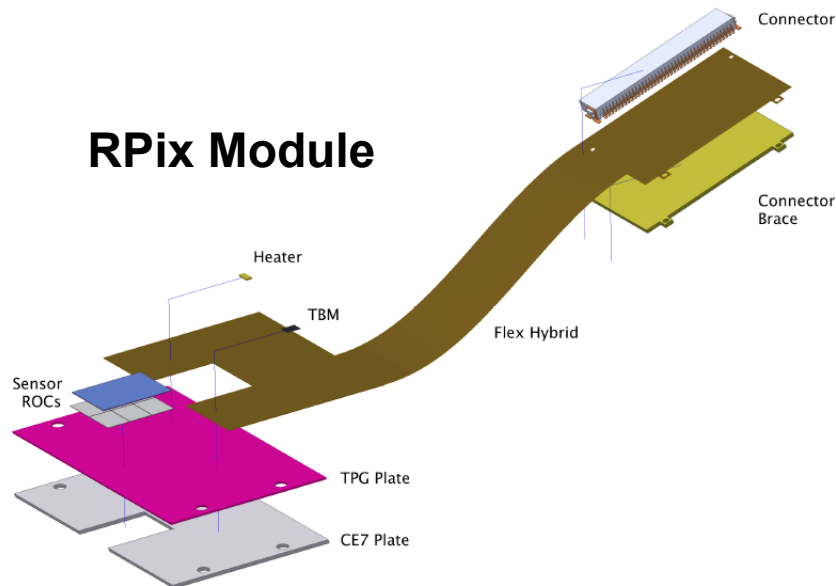
CT-PPS specific:

- 2 * RP box with RF shield in 4/5
- 2 * RP box with RF shield in 5/6
- 1 * RP cylinder in 4/5
- 1 * RP cylinder in 5/6



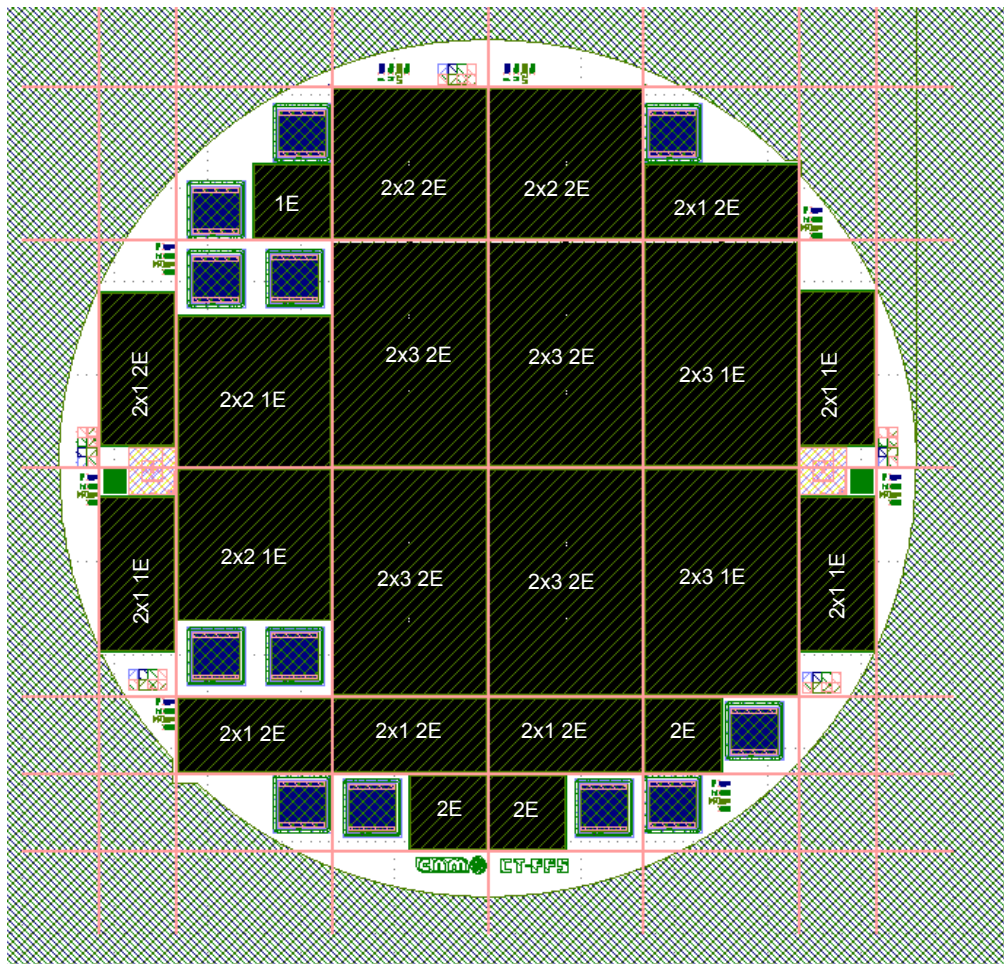
Tracking baseline

- 3D silicon sensors
- PSI46dig ROC, with same readout scheme as for Phase I Upgrade of the CMS pixel system
 - existing CMS DAQ components and software can be reused
- 6 detector planes per station
 - detectors are tilted in one direction
 - number of planes provide adequate redundancy





3D sensors – wafer layout in production



Wafer thickness $230 \mu\text{m}$

FZ HR $\langle 100 \rangle$ silicon
p-type $N=10^{12} \text{atm/cm}^3$
p-stop isolation

	2E	1E
■ 6 detectors 2x3	4	2
■ 4 detectors 2x2	2	2
■ 8 detectors 2x1	5	3
■ 4 single chip	3	1
■ Diodes	6	6

With the first 12 wafers:

- 48 sensors 2x3 & 2E
and we need 24

In case of problems we could still mount 2x2 sensors (+ 2x1 sensors)

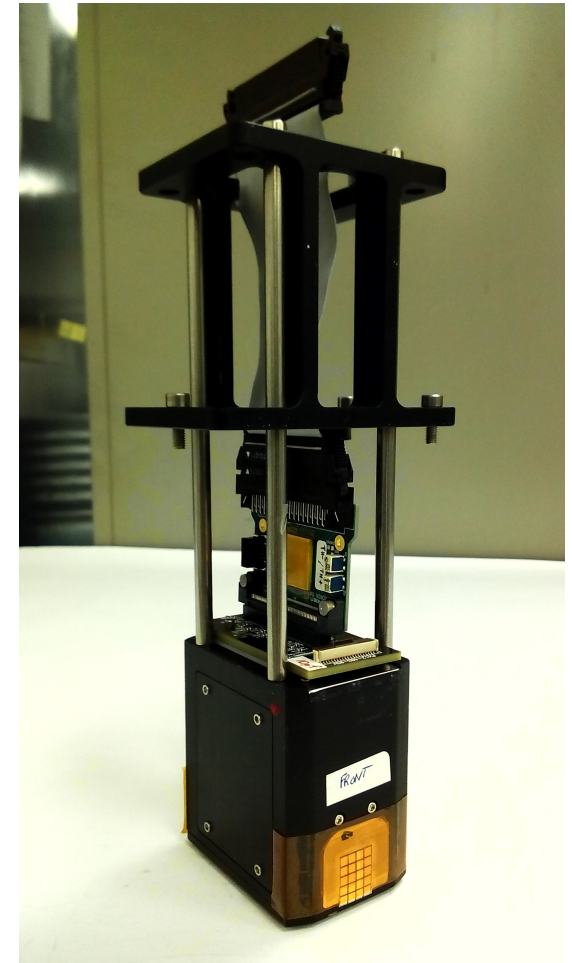
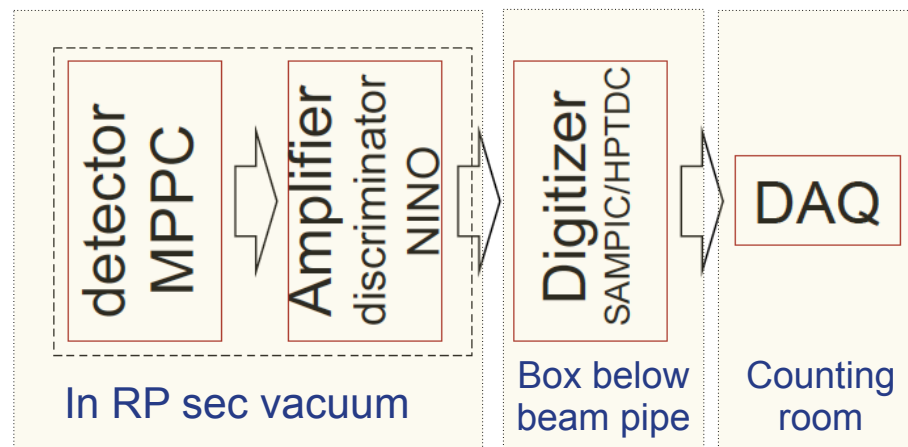


Timing baseline

Quartic detector:

- Detector is a 4 x 5 array of quartz bars, 3 x 3mm², SiPM light detection.
- Two such modules in one Roman pot in each arm.
- Radiator bars separated by 100 μm for total internal reflection
- Beam tests achieved $\sigma(t) = 30$ ps/module (~ 20 ps for 2-in-pot)

Quartic readout chain





Timing R&D

GasTOF system

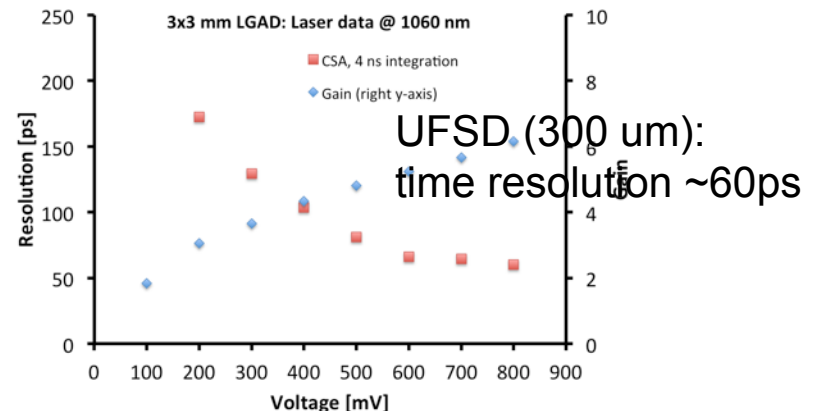
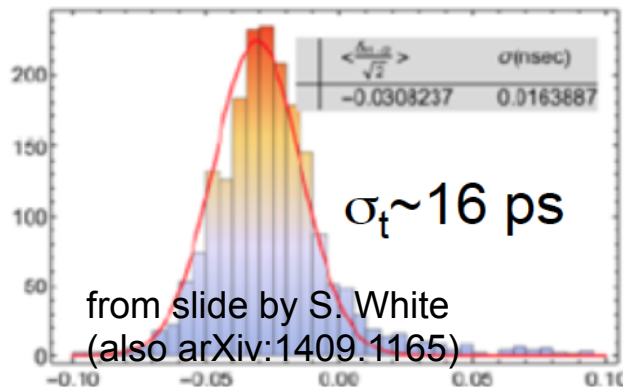
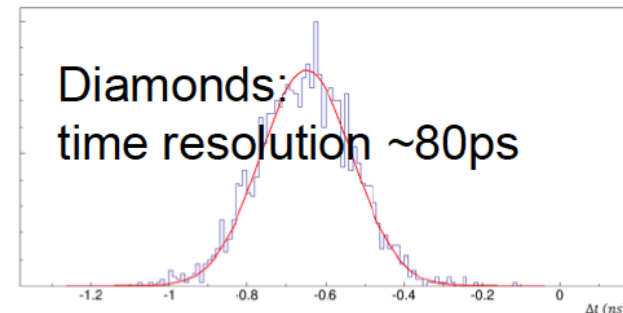
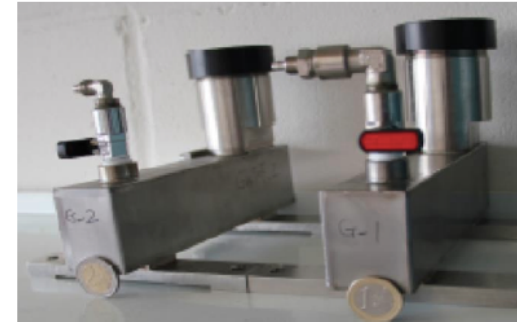
- prototype for test beam is on-going

Diamond detectors

- effort led by TOTEM
- demonstrated 50 ps with 4 planes

Silicon detectors

- Fast Silicon Detectors (UFSD):
 - 60 ps with new prototypes (laser)
- Plan to exploit Avalanche PhotoDiodes as charged particle timing detectors (Hyperfast Silicon)

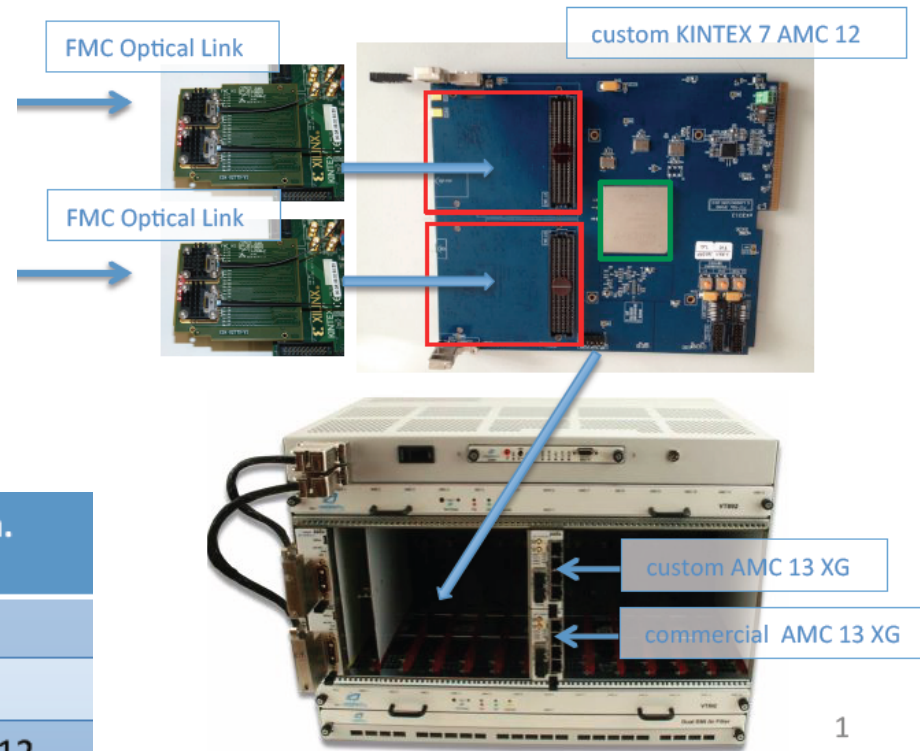




Same readout scheme as Phase-1 upgrade of the CMS Pixel Tracker.

MicroTCA crate including:

- 2 CTA-FED, 2 CTA-tkFEC, 1 CTA-pkFEC
- FMC Optical mezzanines



Component	Prod.	Test & Spares	Prelim. Req.
uTCA Crates	1	2	3
AMC13XG	1	2	3
CTA	5	5(*)	10-12
GLIB	0	2(**)	0
FED FMC	3	3-4	6-7
FEC FMC	4	6-8	10-12



Summary

- CT-PPS is a new sub-detector that increases the potential of the CMS experiment
 - joint effort by CMS and TOTEM
- Forward proton tagging allows physics in a LEP like environment:
 - photon-photon and gluon-gluon interactions at precisely known center-of-mass energy
 - no underlying pp event
- Rich physics program with emphasis in the search for New Physics:
 - Sensitivity to anomalous gauge couplings is increased by two orders of magnitude
 - Search for new resonances and invisible states
- Big experimental challenges are being addressed:
 - operation of Roman Pots at the highest LHC luminosity
 - pileup mitigation using timing detectors with 10 ps resolution
 - 3D pixels tracking at very large particle fluence
- Plan to start collecting data in 2016