

Optical feedback interferometry: basic concepts and metrological applications

Gaetano Scamarcio

Physics Department, Università degli Studi “Aldo Moro”, Bari, Italy

CNR – Institute of Photonics and Nanotechnologies, Bari, Italy



Introduction

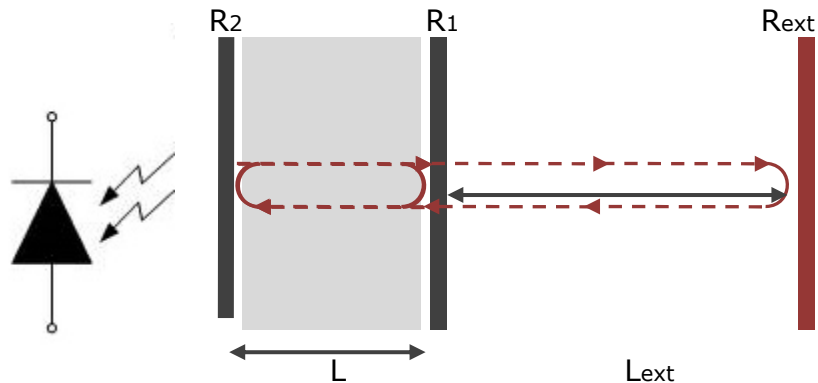


- Almost any laser can be made unstable in time by **optical feedback** (*feeding part of the output light back into the laser cavity*)
- **Semiconductor lasers are very sensitive to back injection**
 - 1st motivation: studying optical feedback from the ends of optical fibers into telecom s.c. lasers.
- **PROBLEM:**
 - Even small amount of optical feedback may dramatically increase the output noise
- **OPENING:**
 - convenient tool for probing **basic physics** of s.c. lasers
 - wheel of **applications**
- **MAIN MESSAGE:**
self-mixing makes a laser source capable of sensing its external environment

Outline

- optical feedback interferometry
 - self-mixing effect in semiconductor lasers
 - Lang-Kobayashi model
- applications in metrology
 - [linear displacement](#) (single channel)
 - translations and rotations (multiple channels): [6 DoF](#)
 - absolute distance
 - displacement or rotation velocity
 - vibrations
 - longitudinal and volume deformations ([strain](#) fiber sensor)
- laser ablation sensor
 - *real-time* characterization of [laser ablation](#) process
 - study of the ablation dynamics in the thermal regime
 - simultaneous measurement of [multiple target displacements](#)
- self-mixing in quantum cascade lasers
 - ultra-stability of QCLs vs optical feedback
 - nonlinear mixing → towards [subwavelength \(nm\) resolution](#)
 - terahertz [imaging](#)

Optical Feedback (self-mixing) Interferometry



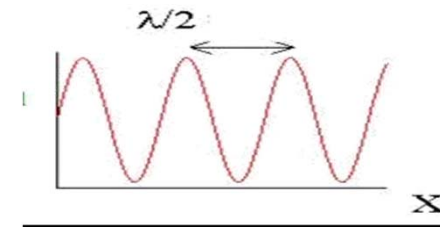
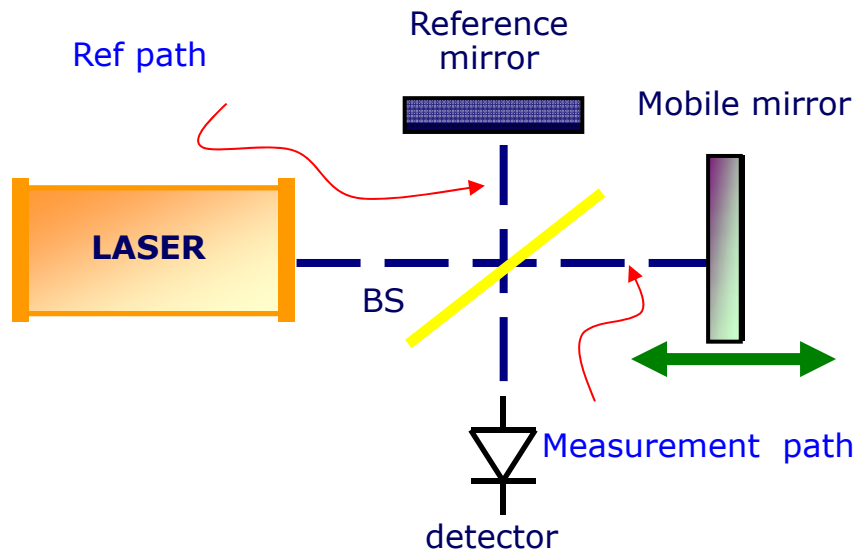
3 mirror Fabry-Perot cavity model

Self-mixing perturbes:

- threshold current (I_{th})
- emitted power (P_{out})
- lasing spectrum (λ)
- bandwidth ($\Delta\lambda$)
- junction voltage (V)

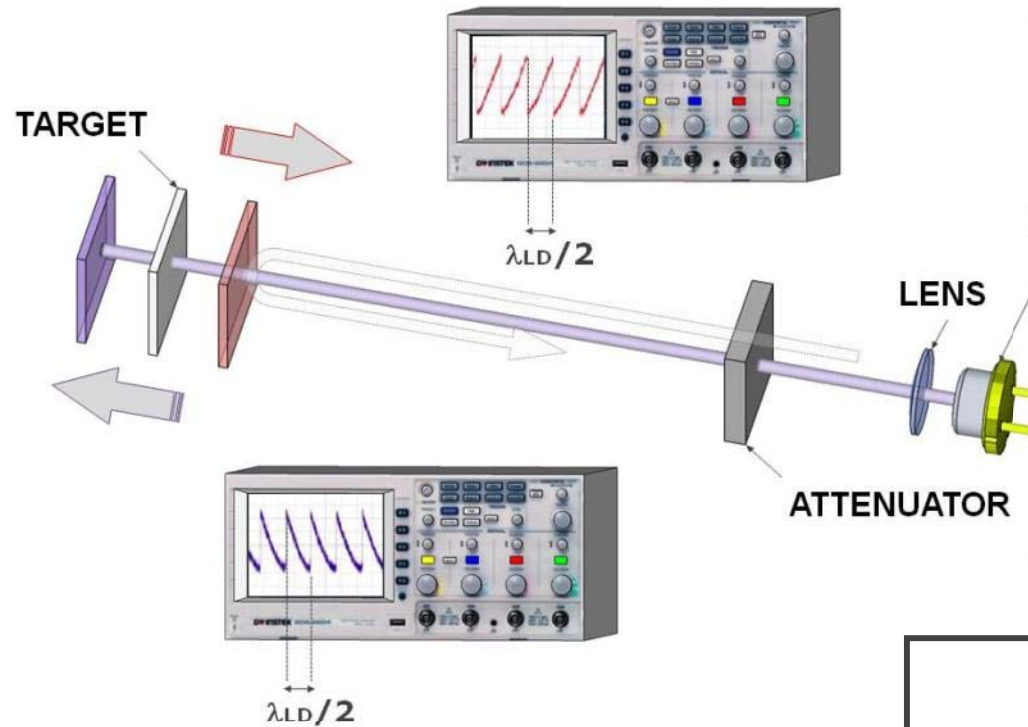
- **coherent** superposition inside the laser cavity (L) of the cavity **field** with the radiation back-reflected, or even scattered, by an external target
- modulations of the laser **power and frequency**
- can be revealed **anywhere along the light path**, also at the target side
- feedback levels: fraction of power $10^{-8} \rightarrow 10^{-2}$
- moderate feedback \rightarrow regular amplitude modulations induced by the relative phase
 - intrinsic **asymmetry** \rightarrow retrieval of the phase-change sign by a single channel detection.
- high feedback \rightarrow the laser may enter an instable or even chaotic regime

External laser interferometry



- Linear superposition
- Symmetric fringes, $\lambda/2$ period
- *Direction ambiguity*
- *Bulky, critical alignment unsuitable for field applications*

Optical Feedback Interferometry: moderate feedback regime

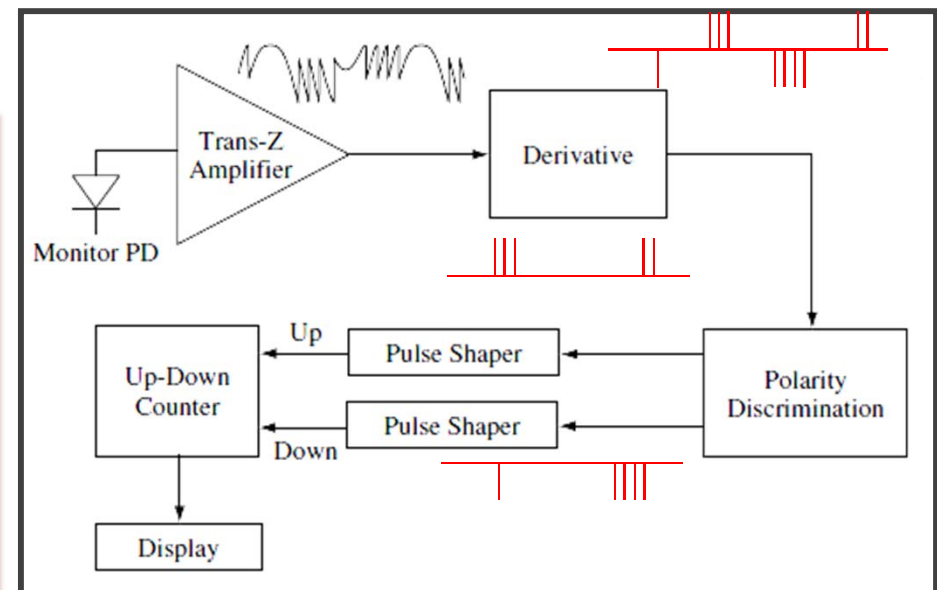


Features:

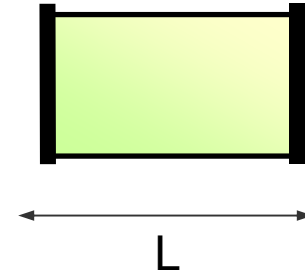
- internal detector
- internal reference arm
- internal beam-splitter
- coherent sensing
- Non-linear superposition
- asymmetric fringes
- The laser acts both as the source and the detector

Advantages:

- part-count minimal, self-aligned
- works on diffusive target surfaces
- resolution:
 - $\lambda/2$ (fringe counting)
 - sub- λ (analog processing)
- bandwidth \rightarrow MHz
- non-contact, compact, robust, cheap



Lang-Kobayashi equations



Linewidth enhancement factor Modal gain Carrier density @transparency

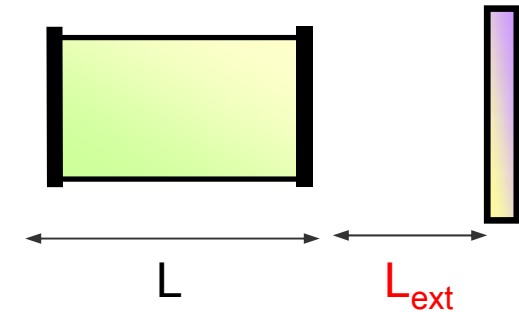
$$\frac{dE(t)}{dt} = \frac{1}{2}(1 + i\alpha) \left[G_N(N(t) - N_0) - \frac{1}{\tau_p} \right] E(t)$$

Photon lifetime

$$\frac{dN(t)}{dt} = R_P - \frac{N(t)}{\tau_e} - G_N[N(t) - N_0] |E(t)|^2$$

Pump rate (injection) Carrier lifetime

Lang-Kobayashi equations



Attenuation factor
due to mode
mismatch

Feedback coefficient

$$k = \varepsilon \sqrt{\frac{R_{ext}}{R}} (1 - R)$$

Solitary laser frequency

$$\frac{dE(t)}{dt} = \frac{1}{2} (1 + i\alpha) \left[G_N (N(t) - N_0) - \frac{1}{\tau_p} \right] E(t) + \frac{k}{\tau_c} E(t - \tau) e^{-i\omega_0 \tau}$$

$$\frac{dN(t)}{dt} = R_P - \frac{N(t)}{\tau_e} - G_N [N(t) - N_0] |E(t)|^2$$

Laser cavity
round trip
time ($2L/v$)

External cavity
round trip
Time ($2L_{ext}/c$)

Typical values: $\tau_c = 8ps$; $\tau_p = 1.6ps$; $\alpha = 5$; $N_0 = 1.4 \times 10^{18} cm^{-3}$; $G_n = 8 \times 10^7 cm^3 s^{-1}$

Lang-Kobayashi equations

writing $E(t) = E_0(t)e^{i\phi(t)}$ one gets equations for the field amplitude and phase

$$\frac{dE_0(t)}{dt} = \frac{1}{2} \left[G_N (N(t) - N_0) - \frac{1}{\tau_p} \right] E_0(t) + \frac{k}{\tau_c} E_0(t - \tau) \cos[\omega_0 \tau + \phi(t) - \phi(t - \tau)]$$

$$\frac{d\phi(t)}{dt} = \frac{1}{2} \alpha G_N (N(t) - N_T) - \frac{k}{\tau_c} \frac{E_0(t - \tau)}{E_0(t)} \sin[\omega_0 \tau + \phi(t) - \phi(t - \tau)]$$

$$\frac{dN(t)}{dt} = R_p - \frac{N(t)}{\tau_e} - G_N [N(t) - N_0] |E_0(t)|^2$$

Lang-Kobayashi equations

Looking for steady - state plane - wave solutions

$$\omega = \omega_F, \quad E_0(t) = E_s, \quad \phi(t) = (\omega_F - \omega_0)t, \quad N(t) = N_s$$

- From the eq. for the phase $\Phi = \omega_F \tau$

$$\omega_F = \omega_0 - \frac{k}{\tau_c} \sqrt{1 + \alpha^2} [\sin(\omega_F \tau + \arctg(\alpha))] \longrightarrow \text{Laser frequency}$$

- Numerically solving the last transcendental equation in the weak-moderate feedback limit ($k \ll \tau_c / 2\tau_p$)

$$N_s = N_{th} - \frac{2k}{G_n \tau_c} \cos(\omega_F \tau) \longrightarrow \text{Carrier density and gain}$$

$$|E_s|^2 = \frac{(R_p - \frac{N_s}{\tau_e})}{G_n (N_s - N_0)} \longrightarrow \text{Optical power}$$

periodic dependence from:
- feedback coefficient
- target position

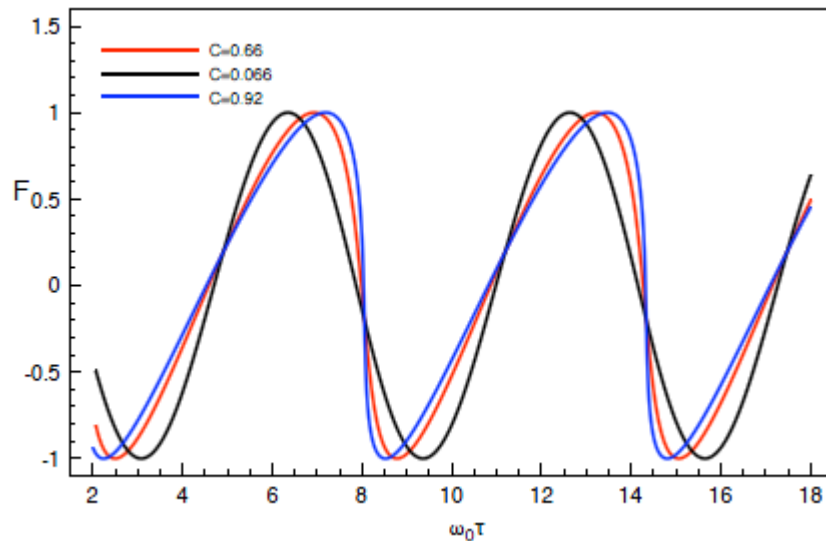
Optical power modulation

$$P^2 = P_0^2 (1 + mF(\phi))$$

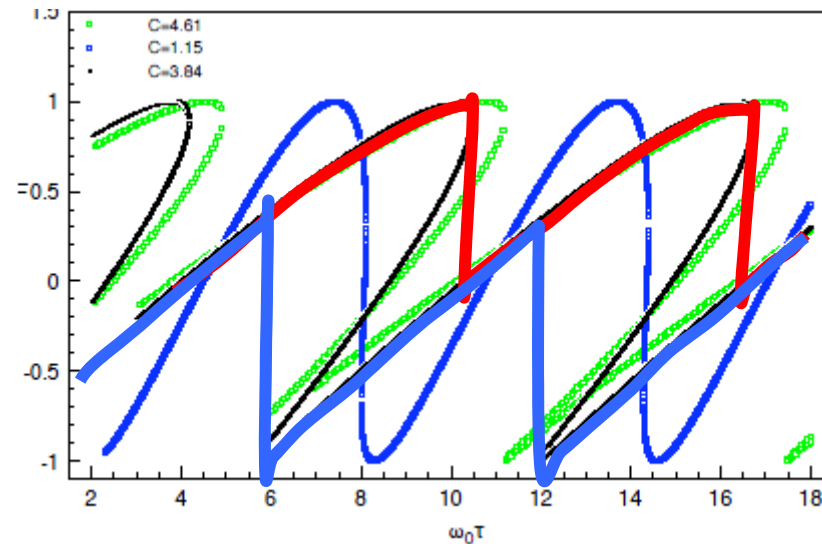
$m = 2k\tau_p / \tau_C$ Modulation index

$F(\phi) = \cos(\omega_F \tau)$ Feedback function

- F is periodic as a function of $\phi = \omega_F \tau = 4\pi L_{ext} / \lambda$



$\alpha = 5, \gamma = 0.001, I_p = 1.05, R = 0.4, R_{ext} = 0.7, \omega_0 = 2000$

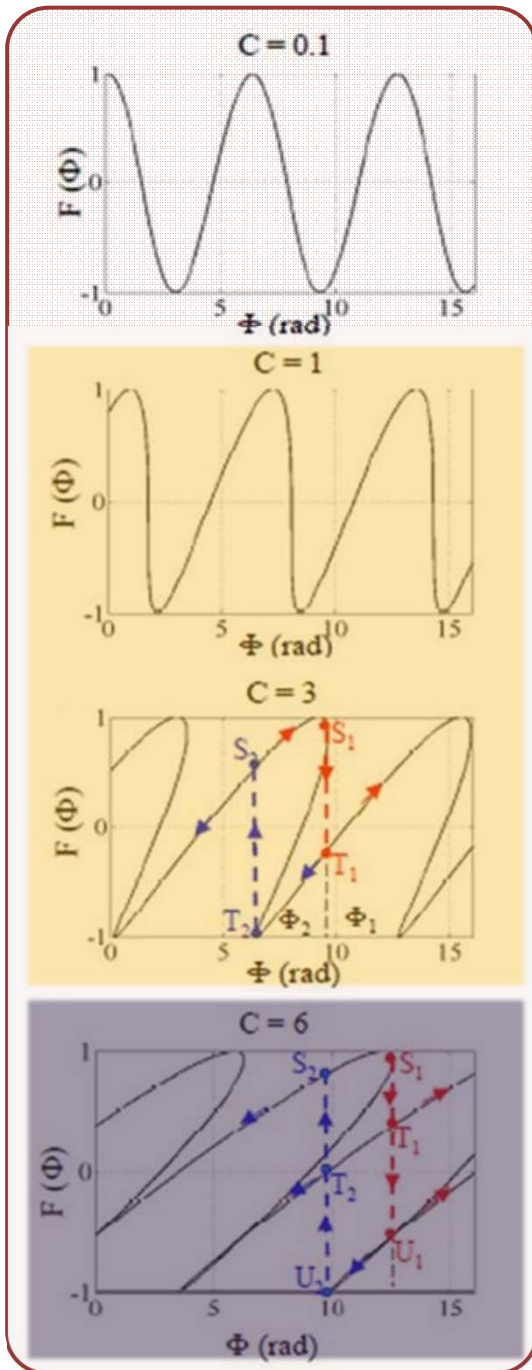


Feedback parameter

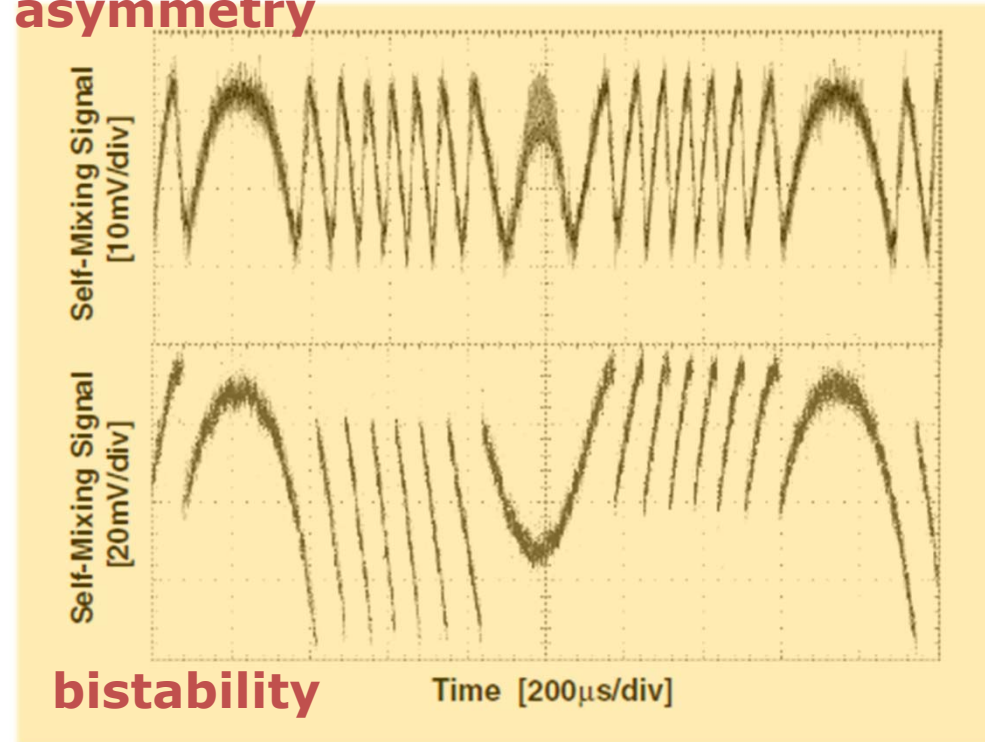
$$C = k \frac{\tau}{\tau_C} \sqrt{1 + \alpha^2}$$

- $C < 1 \rightarrow$ single solution
- $1 < C < 4.6 \rightarrow$ three solutions
sawtooth asymmetric fringes

Self-mixing regimes



asymmetry



bistability

$$\Delta\omega/\omega = 5 \times 10^{-9} - 5 \times 10^{-7} \text{ (typical)}$$

$$\Delta\omega \sim 1 \text{ GHz (max value in THz QCLs)}$$

$C > 4.6 \rightarrow$ multistability

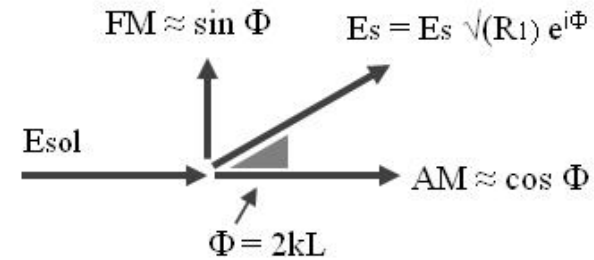
$C > 30 \rightarrow$ coherence collapse \rightarrow chaos

Outline

- optical feedback interferometry
 - self-mixing effect in semiconductor lasers
 - Lang-Kobayashi model
- applications in metrology
 - linear displacement (single channel)
 - Translations and rotations (multiple channels): 6 DoF
 - absolute distance
 - displacement or rotation velocity
 - vibrations
 - longitudinal and volume deformations (strain fiber sensor)
- laser ablation sensor
 - *in-situ* characterization of laser ablation process
 - study of the ablation dynamics in the thermal regime
 - simultaneous measurement of multiple target displacements
- self-mixing in quantum cascade lasers
 - Ultra-stability of QCLs vs optical feedback
 - Nonlinear mixing → towards subwavelength (nm) resolution
 - Terahertz imaging

Laser self-mixing interferometry: metrology

$$\Phi = \vec{k} \cdot \vec{r} = \frac{n\omega r}{c} \hat{u}_k \cdot \hat{u}_r$$



- $d\Phi \propto dn$ filled cavity spectroscopy (gas concentration, temperature)
- $d\omega$ Doppler shift (velocity), phase noise (linewidth)
- dr displacement, vibration
- $d(\hat{u}_k \cdot \hat{u}_r)$ orientation, alignment

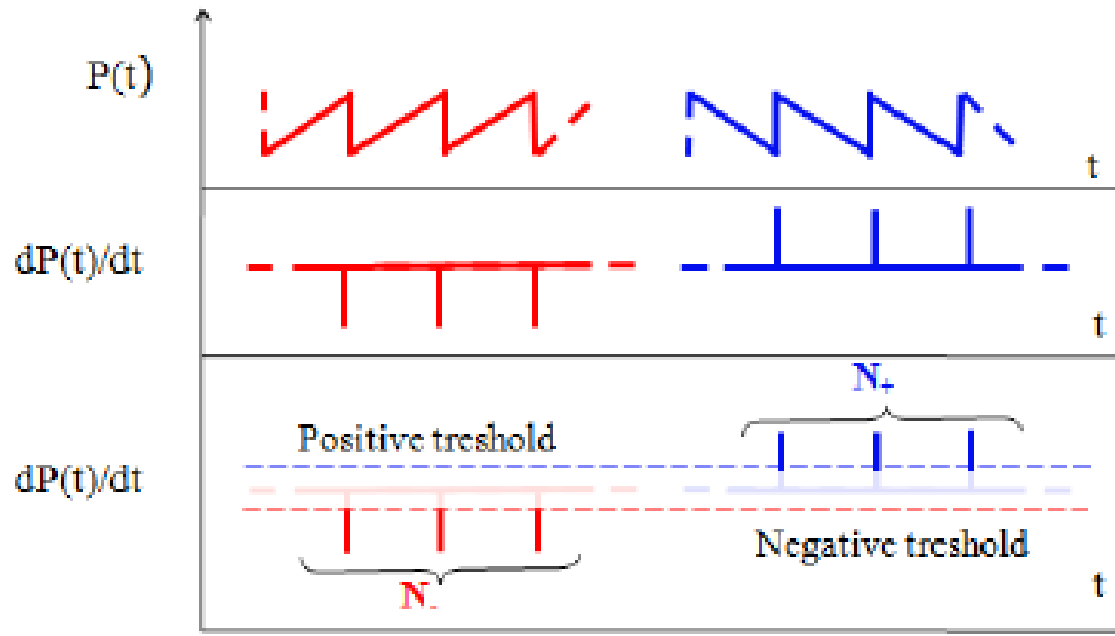
limiting factors

mode matching $\varepsilon = \varepsilon(L)$

coherence length $\tau\gamma_{\perp} < 1 \rightarrow L < c / \delta\nu$

relaxation oscillation frequency $\pi / \tau > \omega_r = \sqrt{2(\alpha - 1) / \gamma_{\parallel}}$

Linear displacement



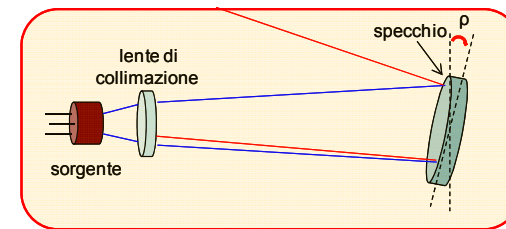
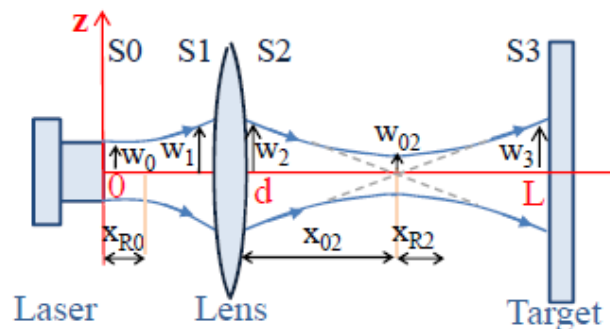
$$\Delta x = \Delta N_x \frac{\lambda}{2}$$

- Fringe counting in the moderate regime: $1.0 < C < 4.6$
- The feedback coefficient $C \propto L_{ext} \rightarrow$ displacement range: $L_{MAX}/L_{min} \leq 4.6$
- Reported continuous linear range with no change in the experimental conditions (e.g. alignment, attenuation) $\rightarrow L_{min} \sim 30 \text{ cm} - L_{MAX} \sim 1.4 \text{ m}$
- Applications in mechatronics demand sub- μm control over $> 10 \text{ m}$
 (e.g. aerospace, remote alignment in hostile spaces, particle physics experiments, ...)

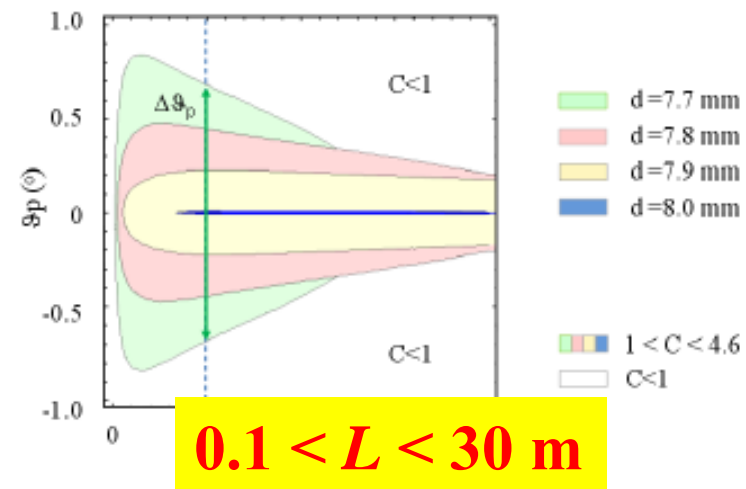
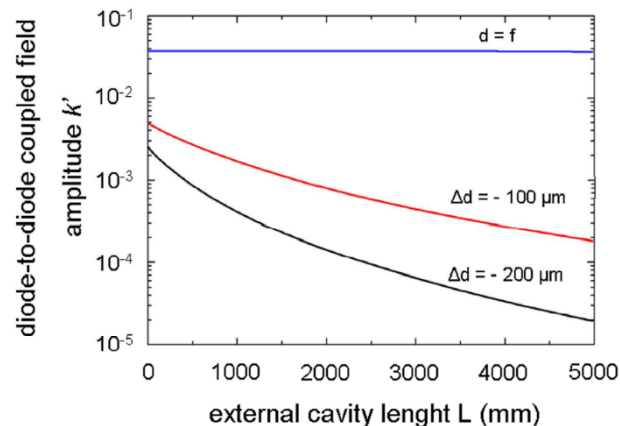
Effective feedback coefficient

[De Lucia, Putignano, Ottonelli, di Vietro, Dabbicco, Scamarcio, Opt. Exp. 2010]

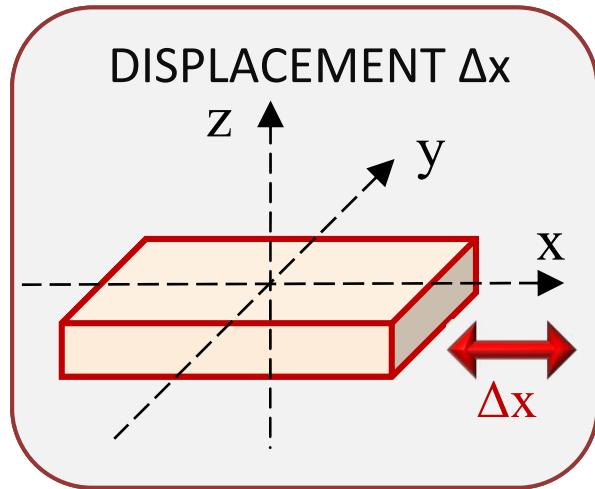
- LK model assumes plane waves and mirrors \rightarrow Gaussian beams
- Accurate mode feedback mismatch modeling



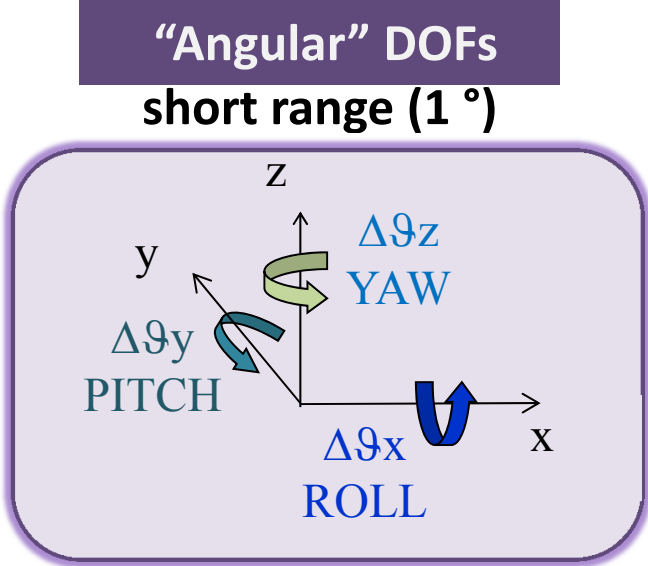
- Partial defocusing \rightarrow i) compensates for $C \uparrow$ with L ; ii) tolerant for $\Delta\theta$



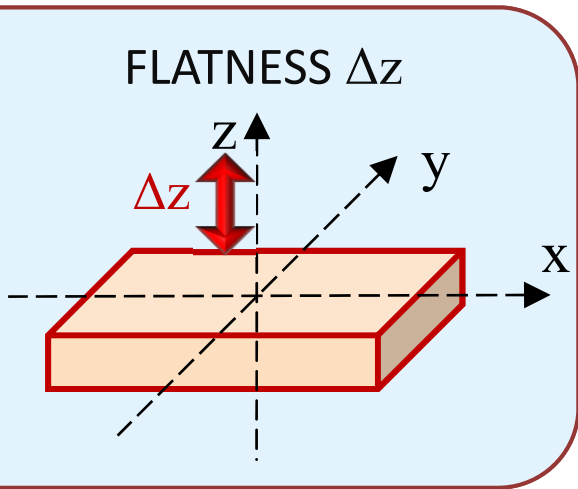
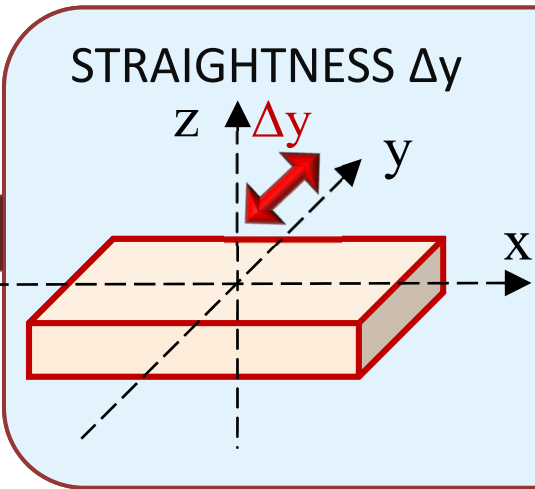
6 DOFs MEASUREMENT



“Linear” DOF
long range (m)



“Transverse” DOFs
short range (mm)



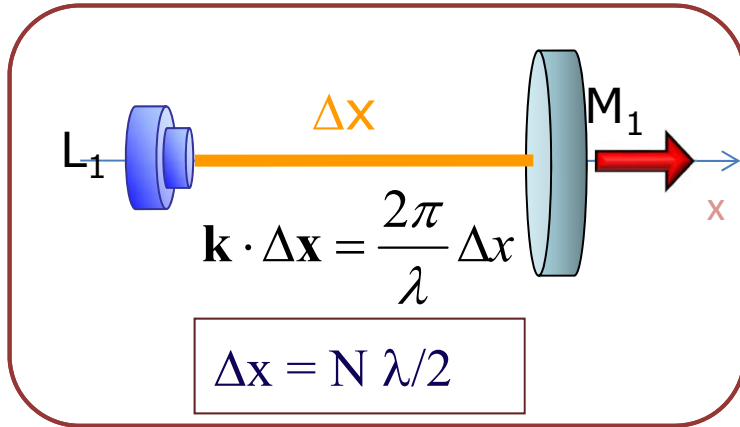
Motivation

- Central problem in precision manufacturing and micro-machining: *real-time measurement of the tool-center-point position*
- Vibrations, mechanical defects, thermal expansion → all 6 DoF must be monitored
- Standard approach for linear motion: mechanical/optical encoders, hybrids
- An all-optical, compact, simple, cheap system is highly desirable
- Possibly based on a single technique



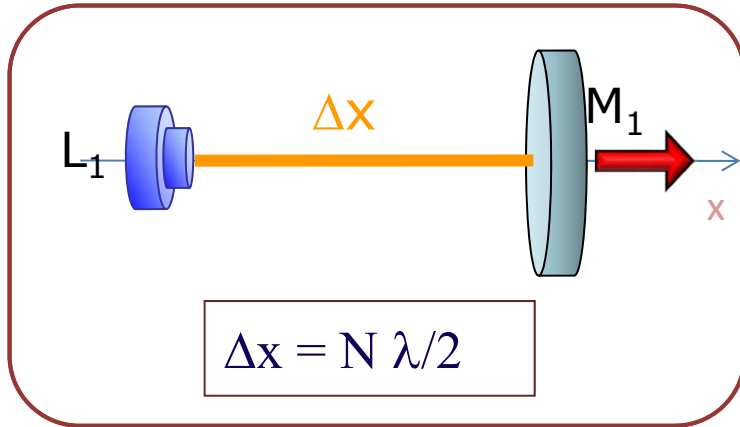
Experimental method

LINEAR DISPLACEMENT Δx

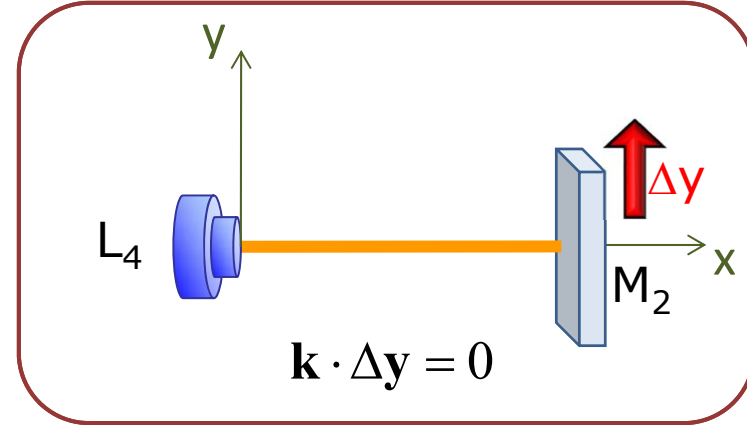


Experimental method

LINEAR DISPLACEMENT Δx

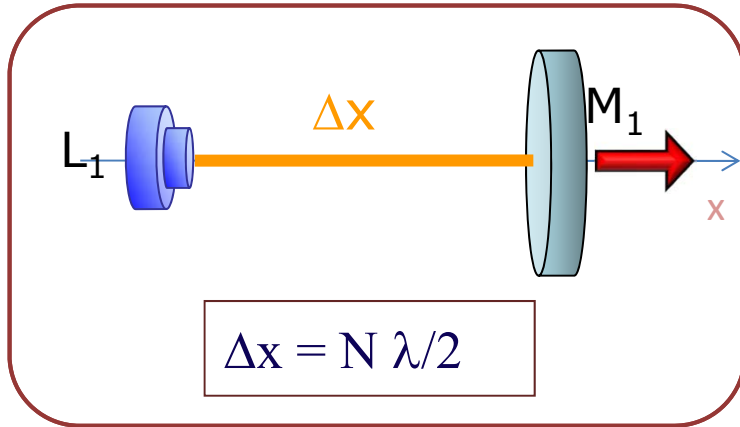


TRANSVERSE DISPLACEMENT $\Delta y, \Delta z$

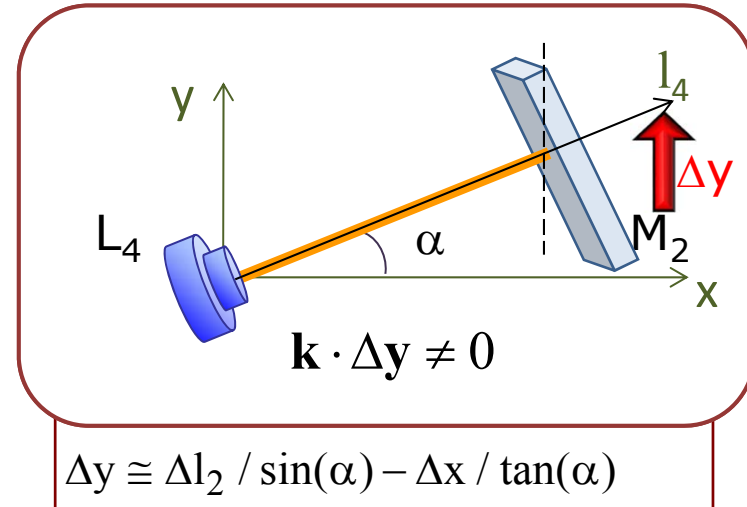


Experimental method

LINEAR DISPLACEMENT Δx

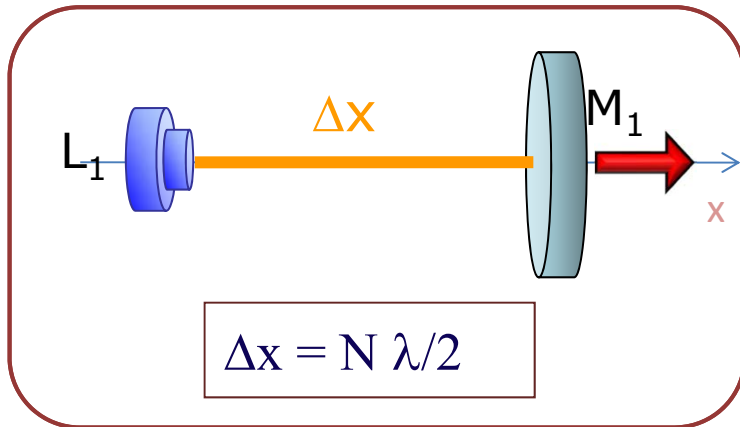


TRANSVERSE DISPLACEMENT $\Delta y, \Delta z$

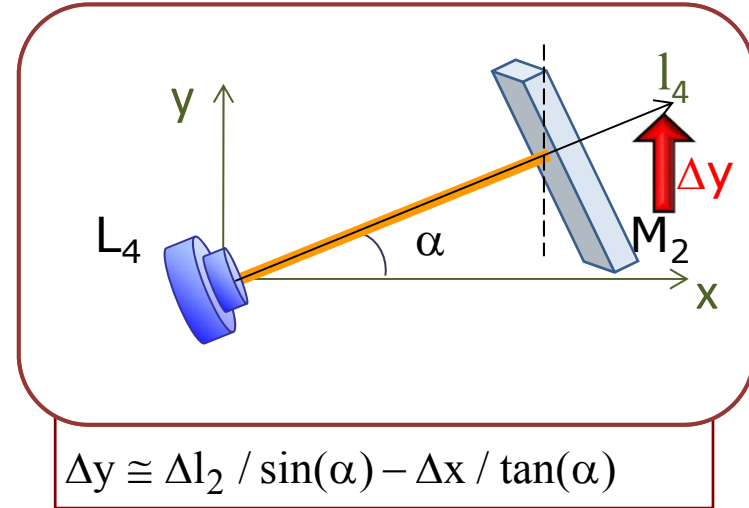


Experimental method

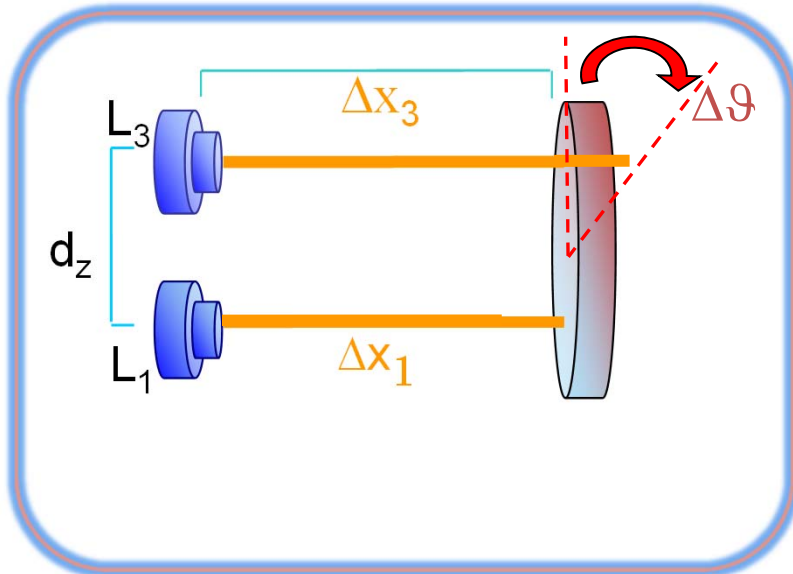
LINEAR DISPLACEMENT Δx



TRANSVERSE DISPLACEMENT $\Delta y, \Delta z$

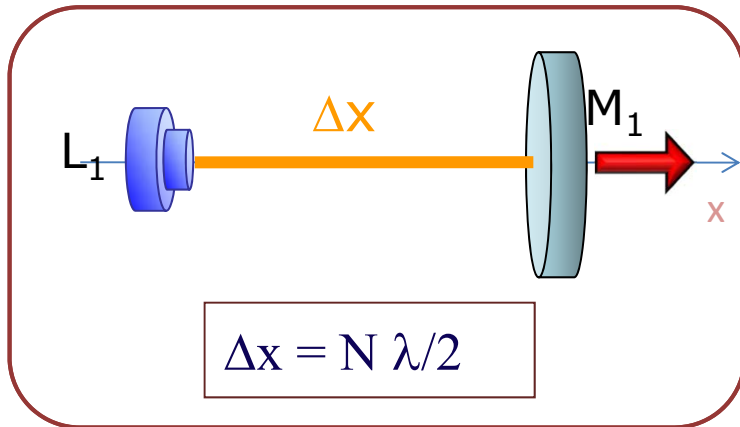


OFF-AXIS ROTATIONS $\Delta \vartheta_y, \Delta \vartheta_z$

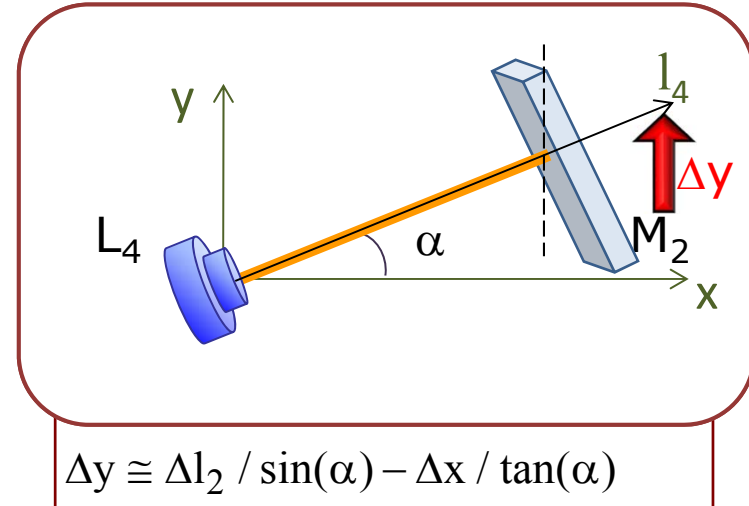


Experimental method

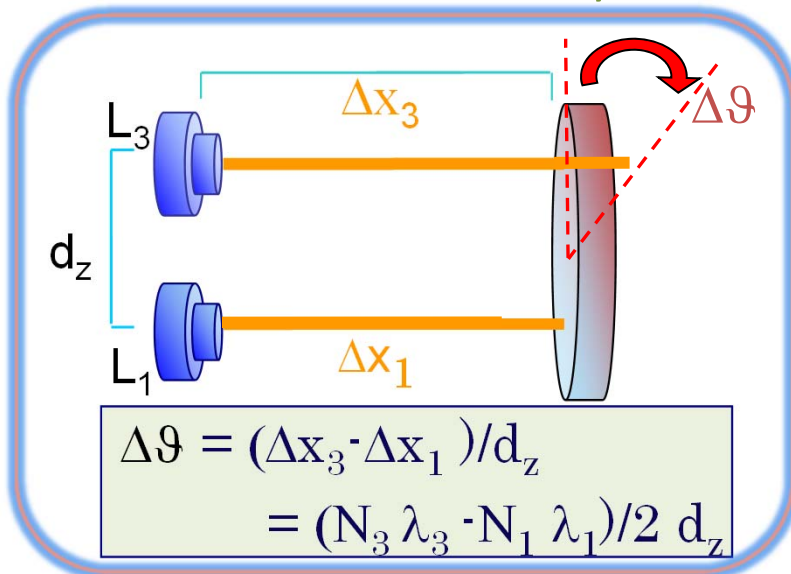
LINEAR DISPLACEMENT Δx



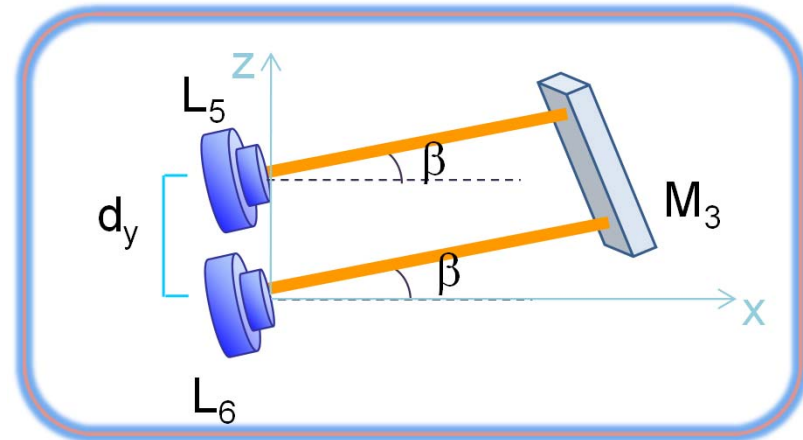
TRANSVERSE DISPLACEMENT $\Delta y, \Delta z$



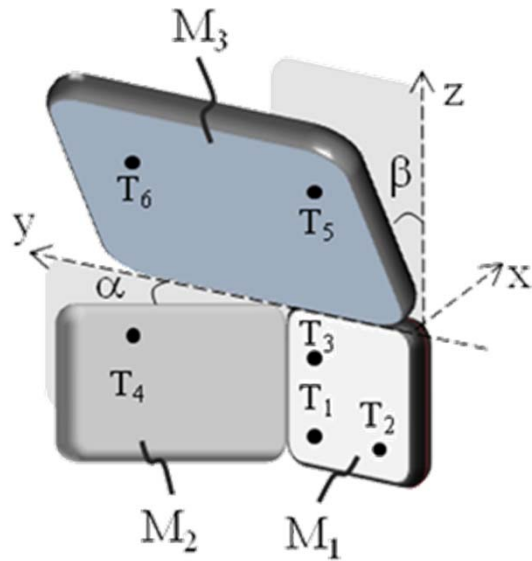
OFF-AXIS ROTATIONS $\Delta \vartheta_y, \Delta \vartheta_z$



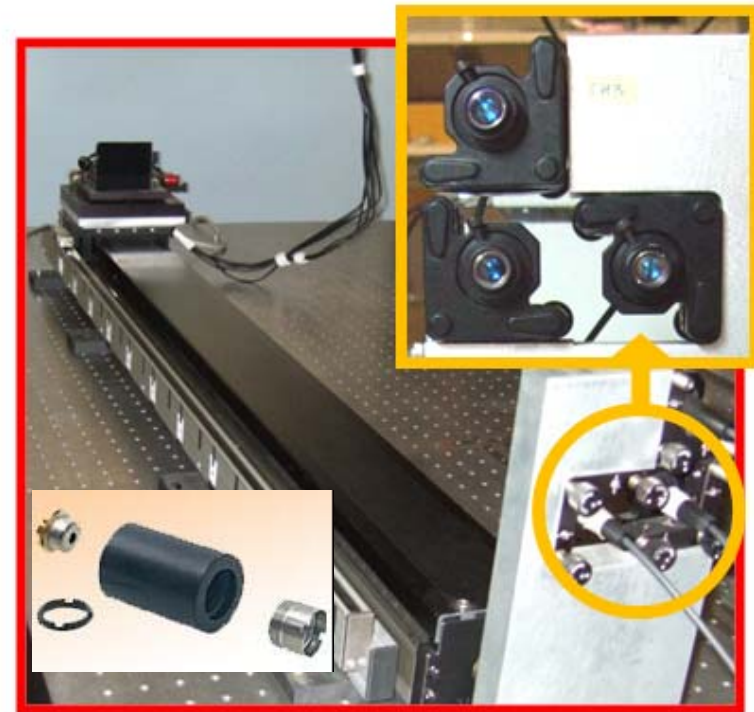
ON-AXIS ROTATIONS $\Delta \vartheta_x$



LASER INTERFEROMETRY: 6DoF



“Collaborative” target



	Longitudinal	Transverse	Yaw, Pitch	Roll
Resolution	0.7 μm	20 μm	10^{-3° (3")	0.016° (58")
Range	± 1 m	± 1 mm	± 0.5 (°) (± 1800 ")	± 0.5 (°) (± 1800 ")
Accuracy	± 7 μm @ 1 m	± 80 μm @ 1 mm	$3 \times 10^{-3^\circ}$ (11")@ 0.5°	± 0.05 ° @ 0.5 °

S.Ottonelli, F. De Lucia, M. Di Vietro; M. Dabbicco, G.Scamarcio , F. Mezzapesa, *IEEE-PTL*, 20, 1360 (2008)

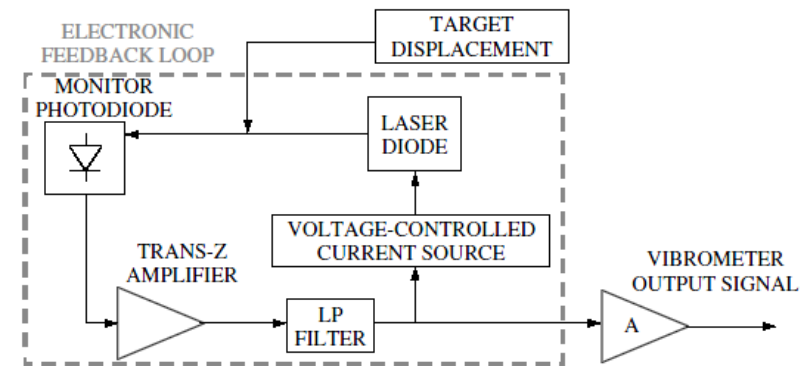
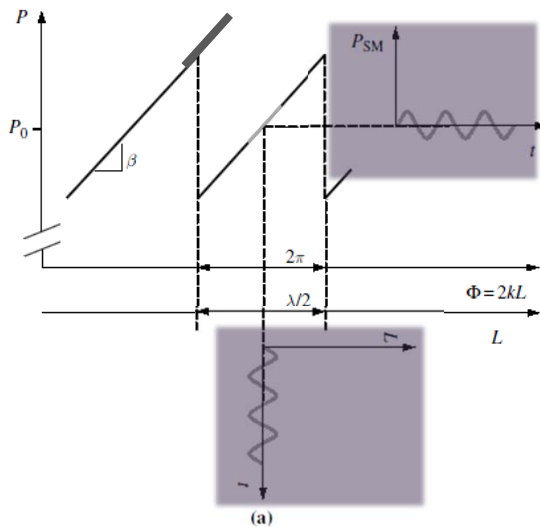
S.Ottonelli, M.Dabbicco,F. De Lucia, and G.Scamarcio , *Appl. Opt.* 48, 1784 (2009)

S.Ottonelli, M.Dabbicco, F. De Lucia, M.di Vietro and G. Scamarcio, *Sensors*, 9, 3528 (2009)

Vibrometry

- Vibration amplitudes $\gg \lambda \rightarrow$ fringe counting technique
- Vibration amplitudes $\ll \lambda \rightarrow$ closed loop fringe locking technique
- Ultimate sensitivity for vibration measurement set by quantum noise
- Noise equivalent displacement $NED = (\lambda/2\pi)/(SNR) = 10 \text{ pm}/\sqrt{\text{Hz}}$

[G. Giuliani, S. Bozzi-Pietra and S. Donati, Meas. Sci. Technol. 4, S283(2002)]

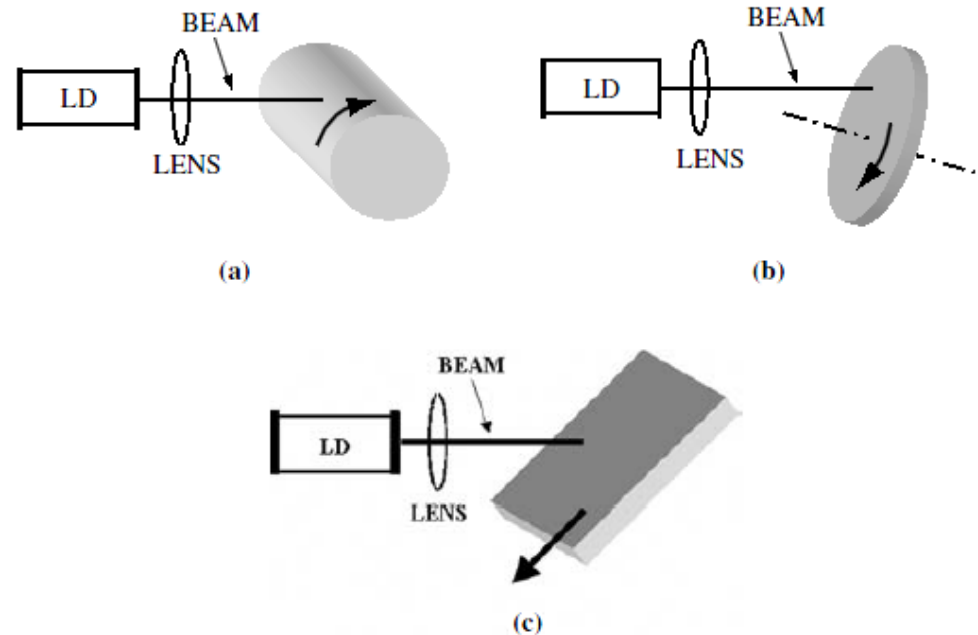


*Locking to
half-fringe*

$$\lambda/100 < \Delta L < 100 \lambda$$

Velocimetry

$$\begin{aligned} I_{SM}(t) &= I_0 \{1 + m \cdot F[\phi(t)]\} = I_0 \{1 + m \cdot F[2k \cdot L(t)]\} \\ &= I_0 \{1 + m \cdot F[2\pi \cdot (2v/c) \cdot t]\} \end{aligned}$$



- Doppler shift
- Interest for rotating targets and moving scattering fluids (in vitro + in vivo)

Fiber strain sensors

Monitoring deformations
in static structures



Deformations in
manufacturing systems and
processed specimens



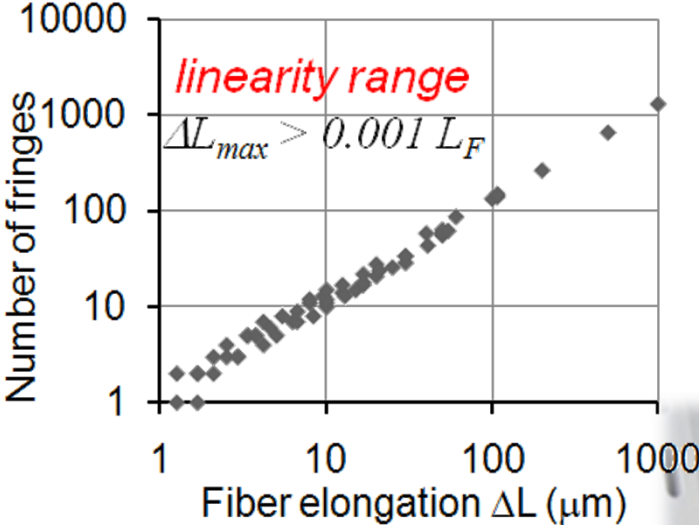
- Immune to electromagnetic noise
- Adaptable to any shape
- Suitable for hostile environments

Conventional Bragg gratings fiber sensors exploits strain induced λ shift

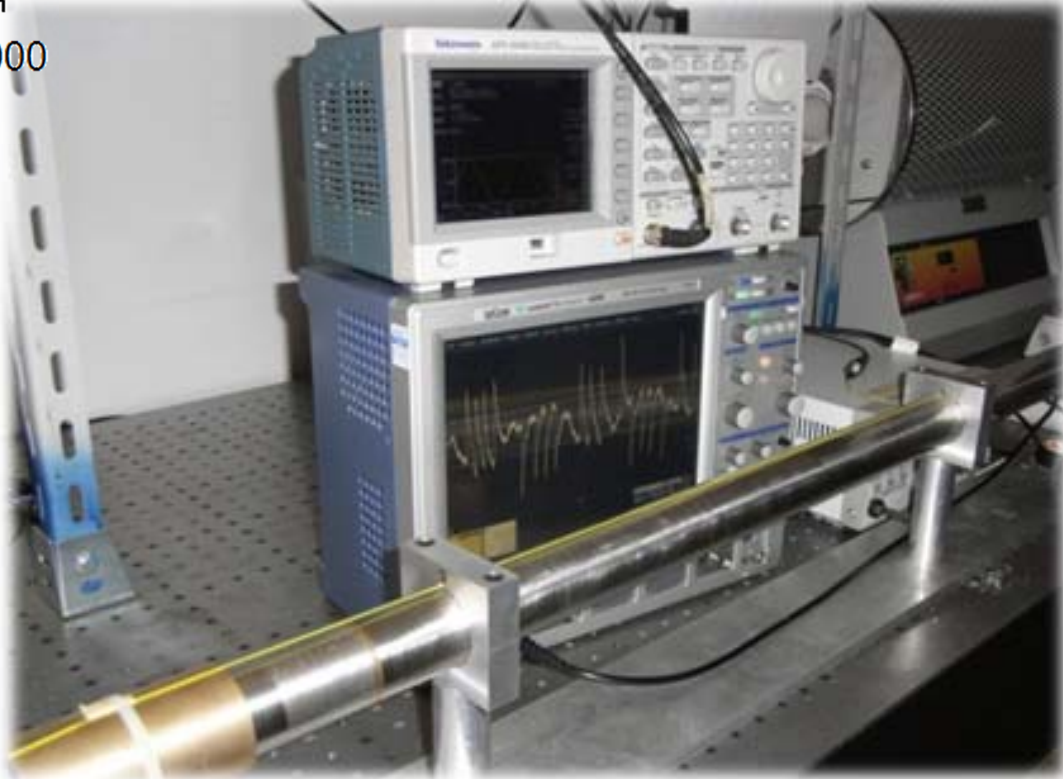
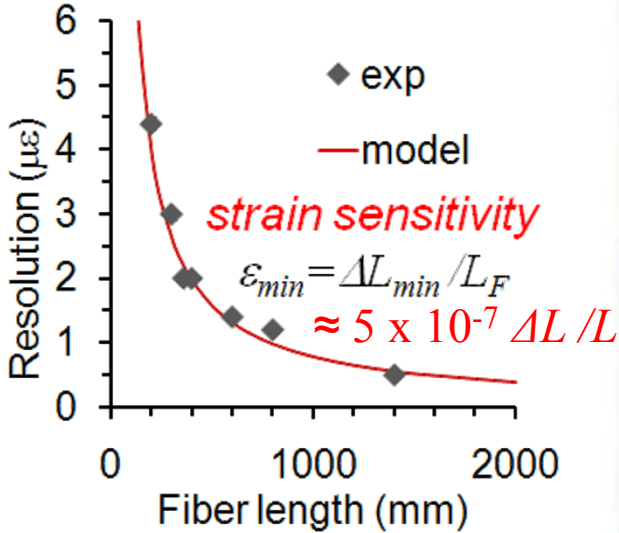
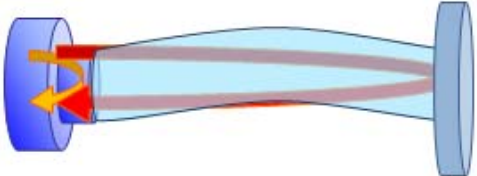
- Local strain in selected spots at the BG
- Small shifts ($\text{pm}/\mu\epsilon$) require demanding and costly detection

Self-mixing fiber sensors for integral strain measurement

[Dabbicco, Scamarcio, Ottonelli, Intermite, Bradisalijevic, Int. patent (2010), J. Lightw. Technol., (2011)]



- Pigtailed diode laser
- Fiber fixed to the stressed object
- Feedback by back reflection from fiber free end

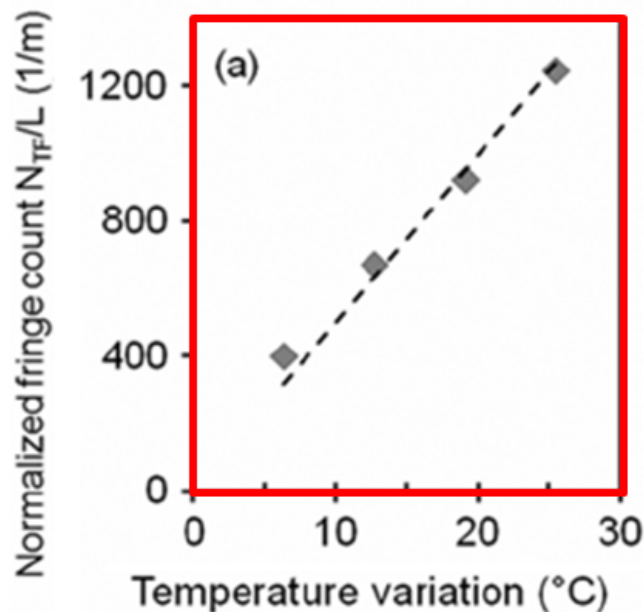
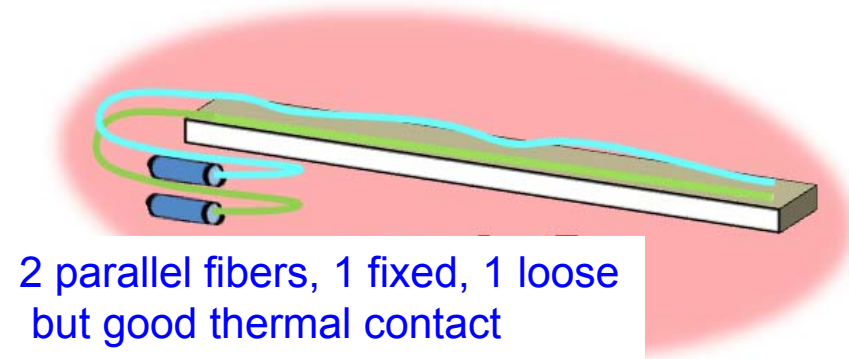


Thermal effects

$$N = N_\epsilon + N_T = \frac{1}{2\pi}(\Delta\phi_\epsilon + \Delta\phi_T)$$

$$\approx \frac{2}{\lambda} \left[1 - \frac{n_{\text{eff}}^2}{2} [(1 - \mu)p_{12} - \mu p_{11}] \right] \Delta L$$

$$+ \frac{2}{\lambda} \left[\frac{1}{n_{\text{eff}}} \frac{dn_{\text{eff}}}{dT} \right] L \Delta T$$



mechanical and thermal deformation

↓

all-purpose
integral strain
fiber sensor
Complementary to
fiber Bragg local
Strain sensors

- Strain resolution = $5 \times 10^{-7} \Delta L/L$ in a continuous range spanning 3 orders of magnitude.
- A calibrated fiber to correct phase drift in case of thermal deformations.

Outline

- optical feedback interferometry
 - self-mixing effect in semiconductor lasers
 - Lang-Kobayashi model
- applications in metrology
 - linear displacement (single channel)
 - Translations and rotations (multiple channels): 6 DoF
 - absolute distance
 - displacement or rotation velocity
 - vibrations
 - longitudinal and volume deformations (strain fiber sensor)
- laser ablation sensor
 - *in-situ* characterization of laser ablation process
 - study of the ablation dynamics in the thermal regime
 - simultaneous measurement of multiple target displacements
- self-mixing in quantum cascade lasers
 - Ultra-stability of QCLs vs optical feedback
 - Nonlinear mixing → towards subwavelength (nm) resolution
 - Terahertz imaging

Laser ablation sensors

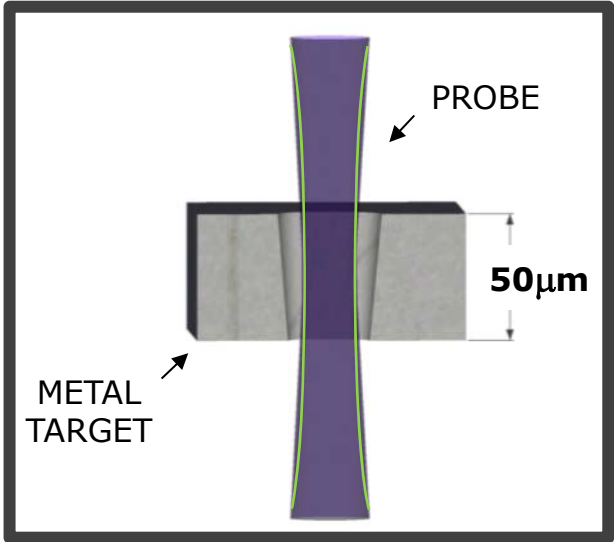
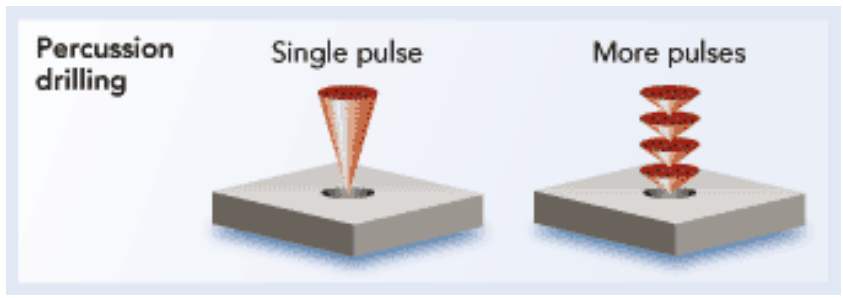
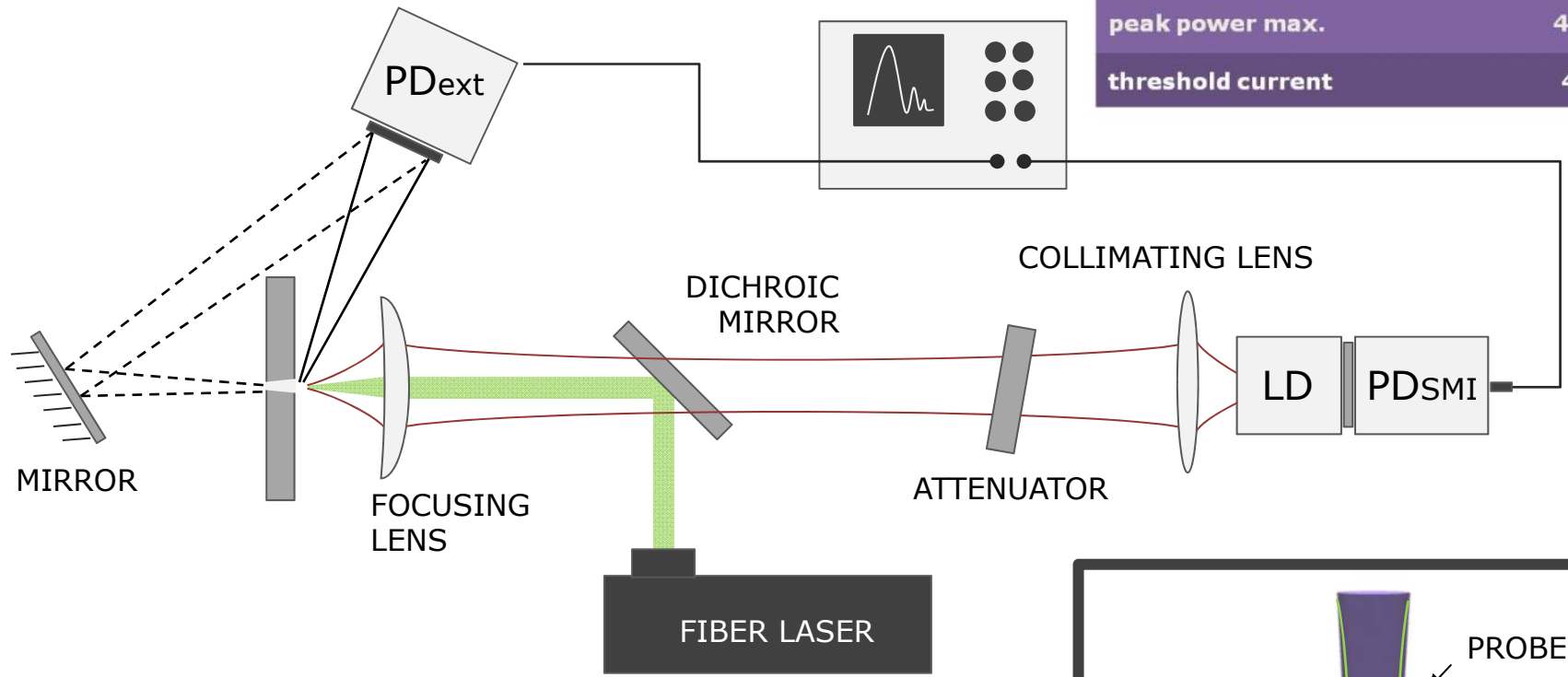
- Pulsed laser ablation is a key process in precision micromachining of solids
- Countless industrial applications (manufacturing; medical ...)
 - cutting, drilling, engraving, scribing a large variety of soft and hard materials.
- Full characterization of ultrafast (ps, fs) laser ablation requires knowledge of
 - hole penetration depth (μm resolution or better)
 - laser ablation rate (up to MHz rep rate)
- *Real-time* experimental techniques needed
- In semiconductors, imaging of the hole shape evolution during laser drilling exploit the transparency of the material at the laser wavelength.
- In opaque materials (e.g. metals) optical coherence tomography or confocal imaging
 - low hole depth resolution (10-20 μm)
 - Low repetition rate (< 100 Hz).

Q: What if the surface of a static surface is ablated (not just moved)?

→ SMI can monitor the propagation of the laser ablation front during ultrafast laser drilling of metal plates w/resolution of 0.4 μm (*patent pending*)

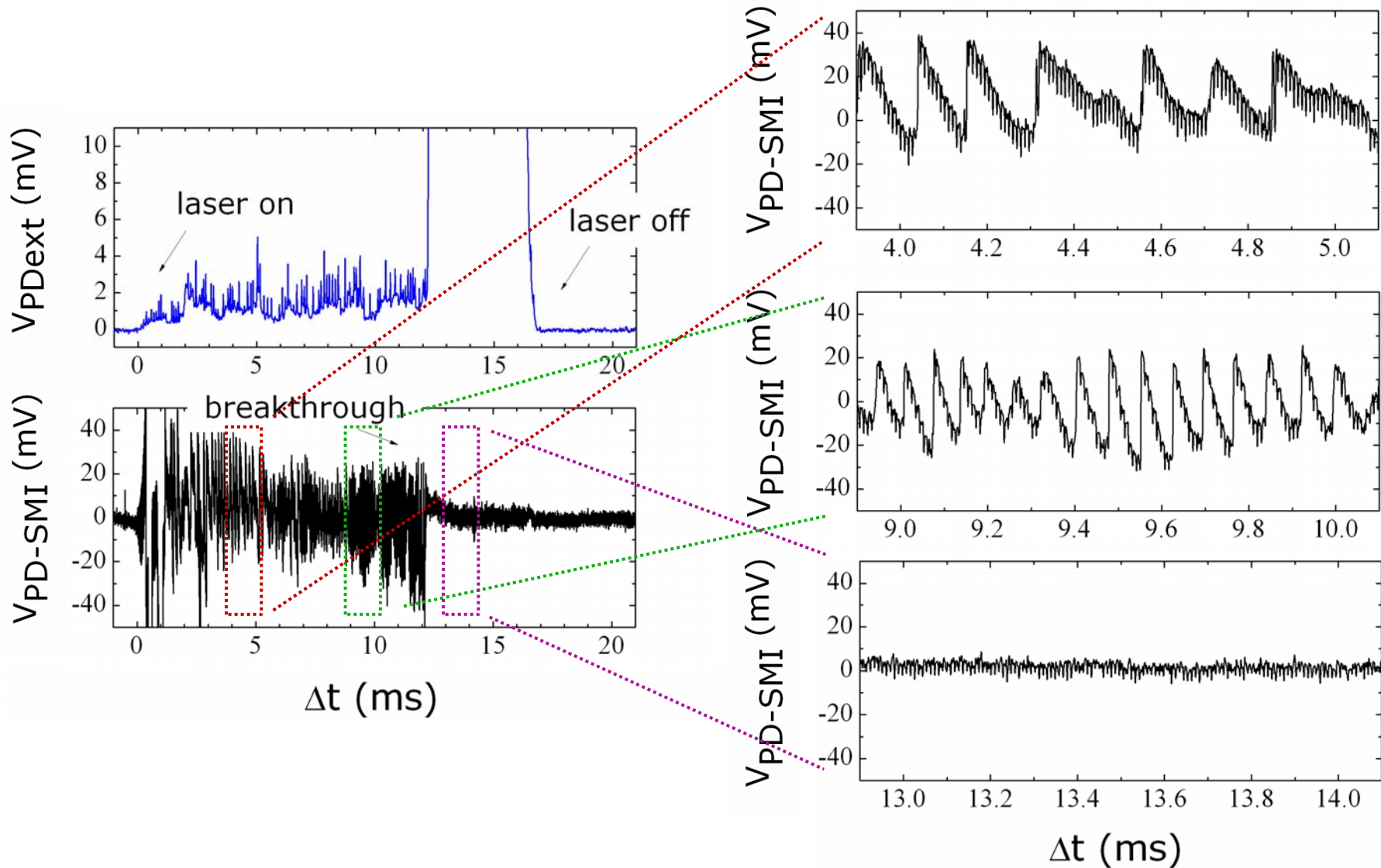
Real-time monitoring of laser ablation

laser diode (LD)	Hitachi HL8325G
wavelength (@50mA)	832 nm
peak power max.	40 mW
threshold current	40 mA



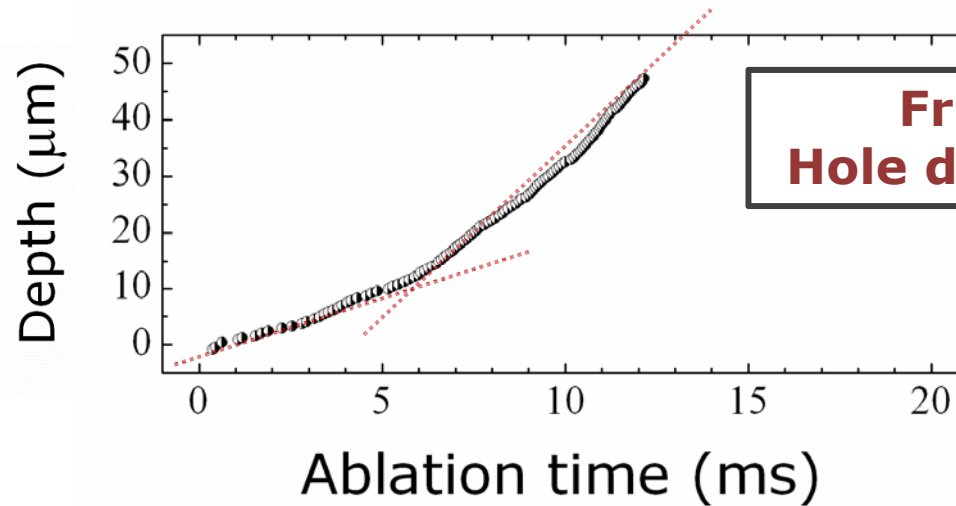
Self-mixing signal during laser ablation

[Mezzapesa, Ancona, Sibillano, De Lucia, Dabbicco, Lugarà, Scamarcio Opt. Lett. **36**, 824 (2011)]



Self-mixing signal during laser ablation

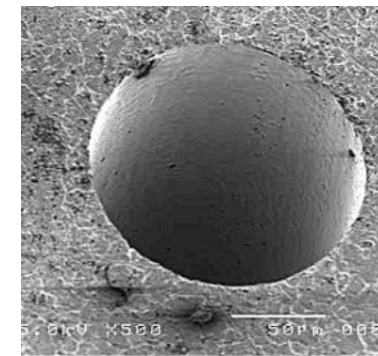
[*Scamarcio, Mezzapesa, Sibillano, Ancona, Lugarà, Dabbicco, De Lucia, Patent pending*]



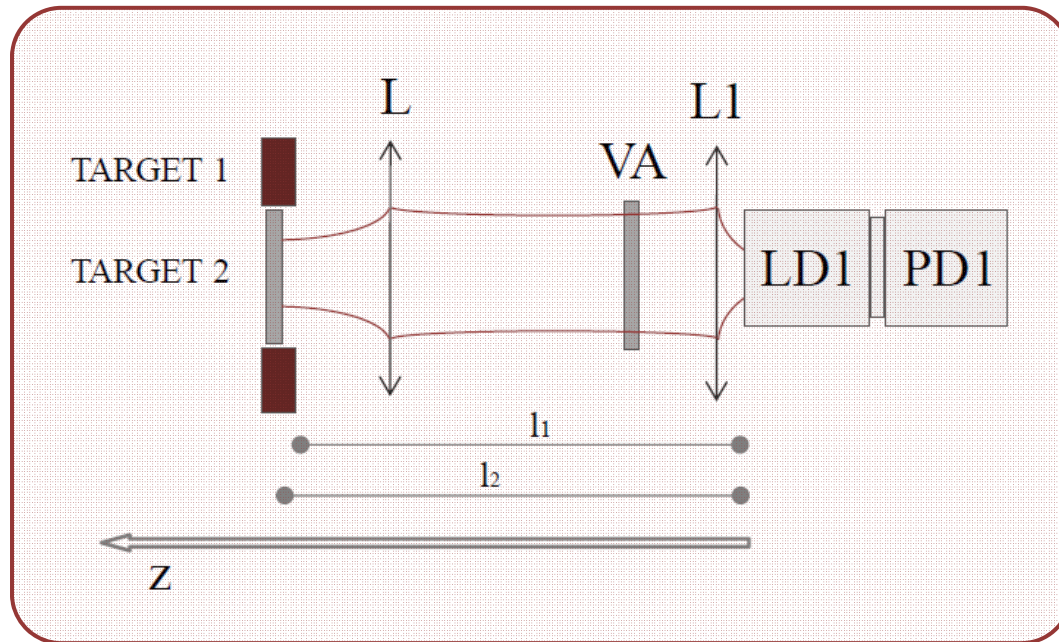
Fringes No. = 116 ± 1
Hole depth = $47.74 \pm 0.41 \mu\text{m}$



- On-line monitoring of the ablation depth
- Pulse-by-pulse monitoring feasible
- Easy integration in laser micromachining systems
- Ablation rate measurement during the process
- Systematic investigation of ultrafast laser-matter interaction physics made possible



Simultaneous measurement of multiple target displacements with a single diode laser



- Similar to wavefront split interferometry employed in astronomy
- Demonstrated using ultrafast percussion drilling of a moving target

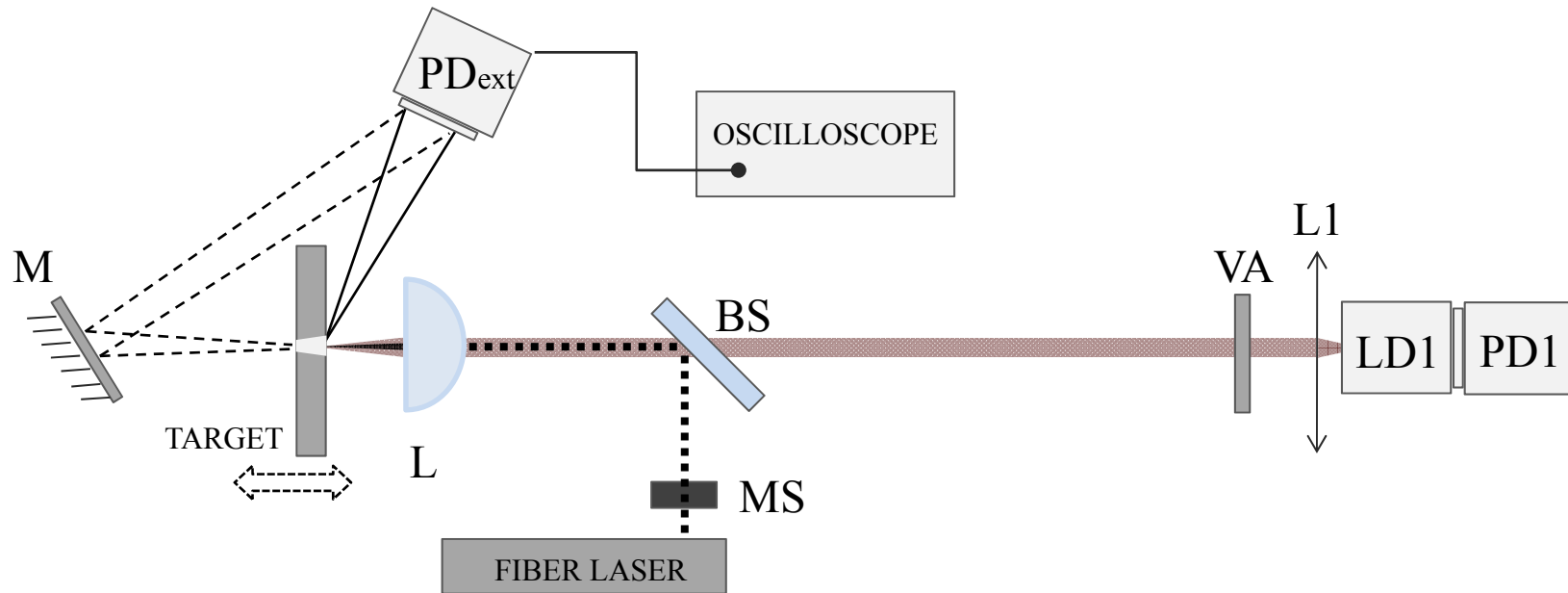
Modified Lang-Kobayashi equations:

$$\frac{dE(t)}{dt} = \frac{1}{2}(1 + \alpha) \left(G(N(t) - N_0) - \frac{1}{\tau_p} \right) E(t) + \frac{k_1}{\tau_c} E(t - \tau_1) e^{-i\alpha_0 \tau_1} + \frac{k_2}{\tau_c} E(t - \tau_2) e^{-i\alpha_0 \tau_2}$$

$$\frac{dN(t)}{dt} = \mu - \frac{N(t)}{\tau_e} - G(N(t) - N_0) |E(t)|^2$$

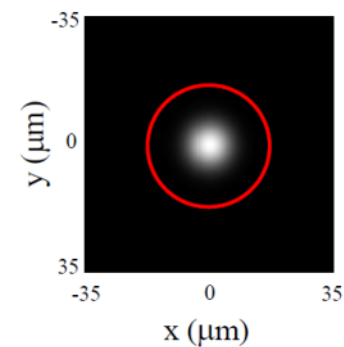
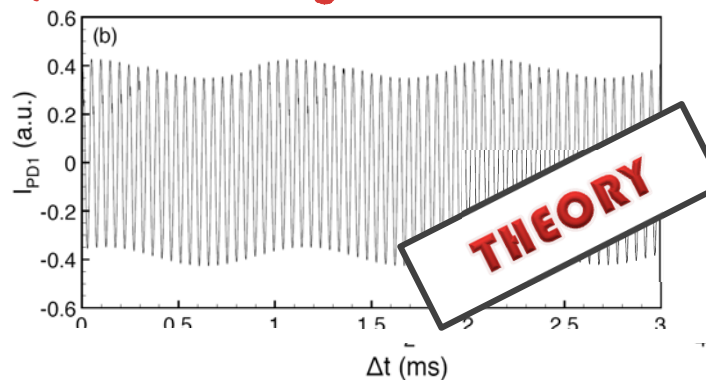
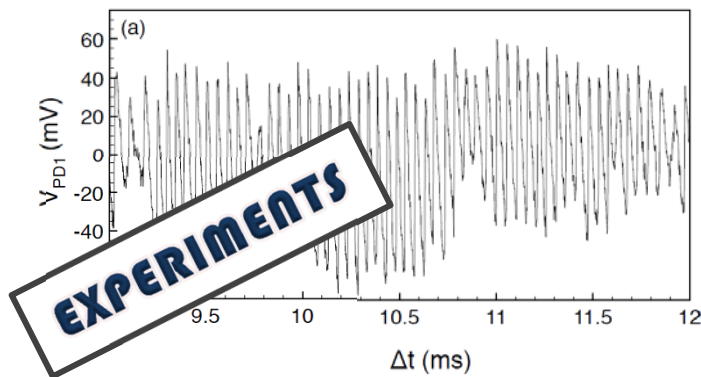
[Mezzapesa, Columbo, Brambilla, Dabbicco, Ancona, Sibillano, De Lucia, Lugarà, Scamarcio, *Opt. Exp.* **19**, 16160 (2011)]

Multi detection SM interferometry in a single diode laser

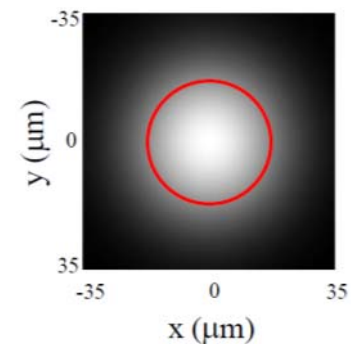
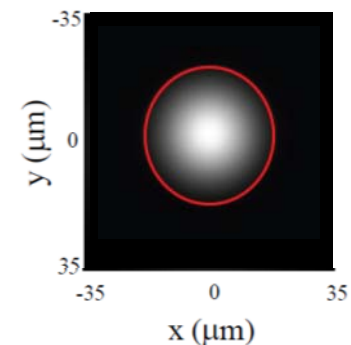
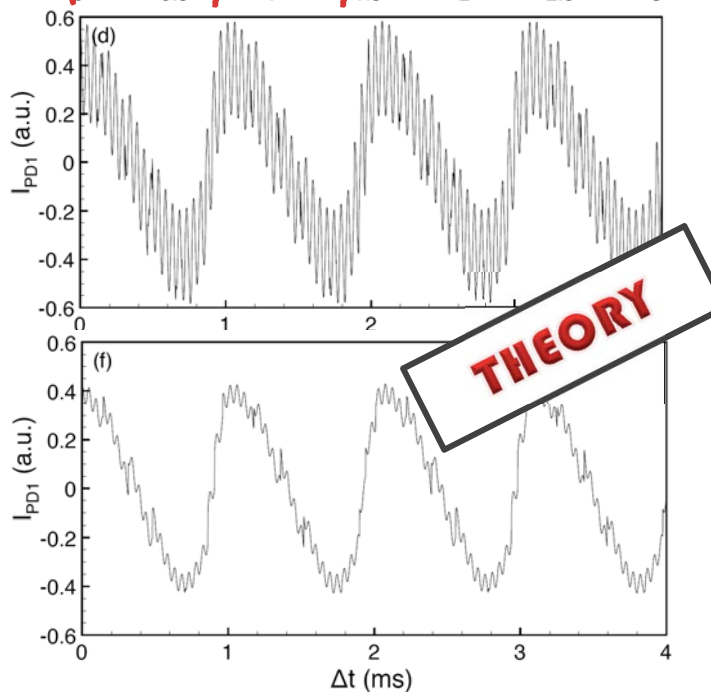
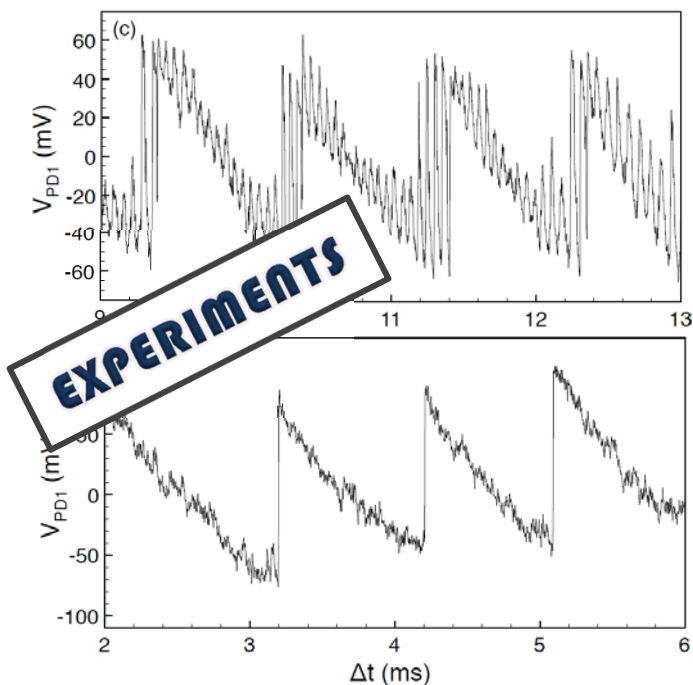


1 laser can simultaneously detects the displacement of 2 surfaces

ablation front displacement only



simultaneous measurement of multiple displacements



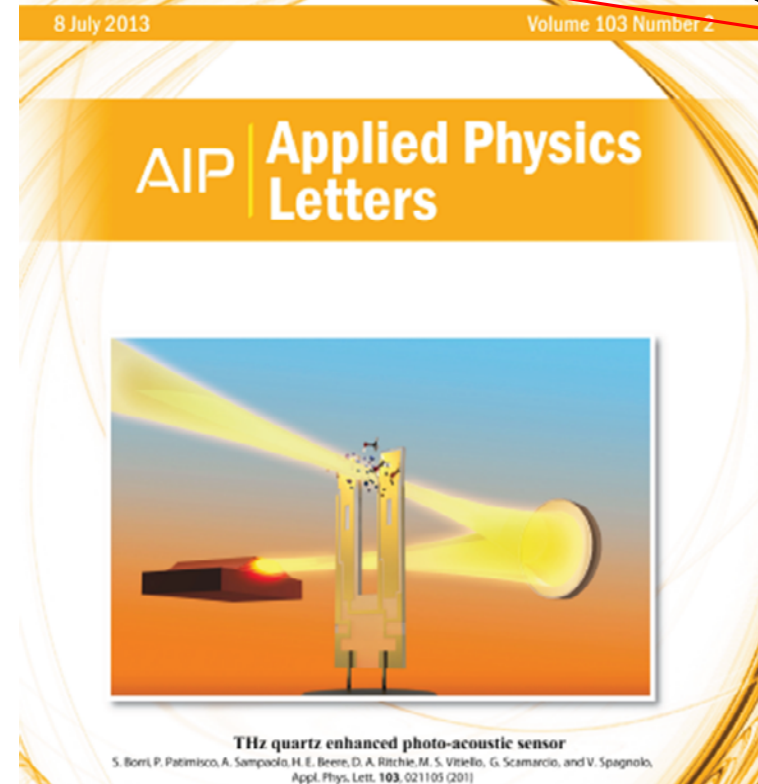
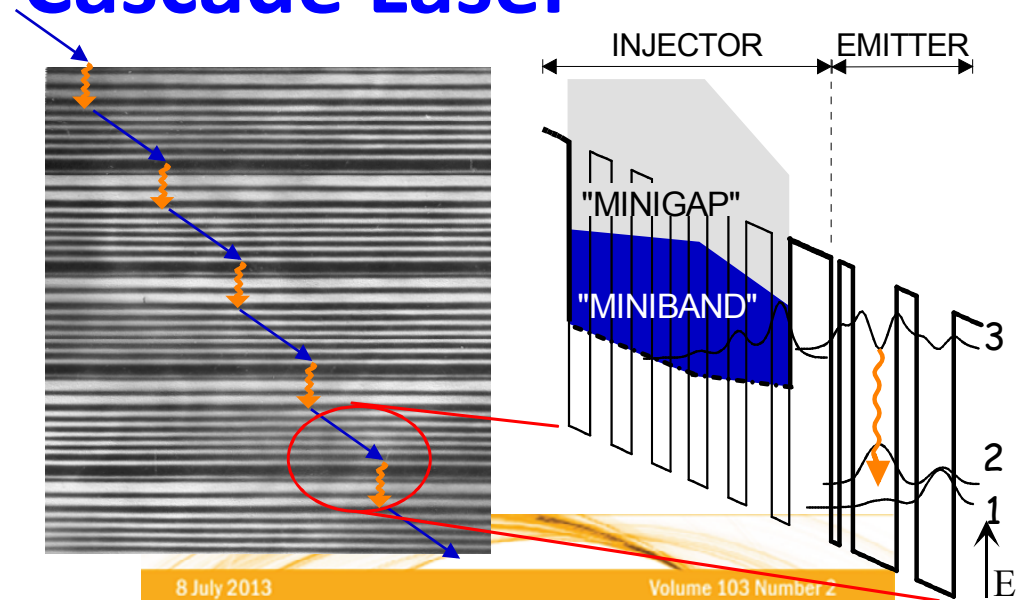
[Mezzapesa, Columbo, Brambilla, Dabbicco, Ancona, Sibillano, De Lucia, Lugarà, Scamarcio, *Opt. Exp.* **19**, 16160 (2011)]

Outline

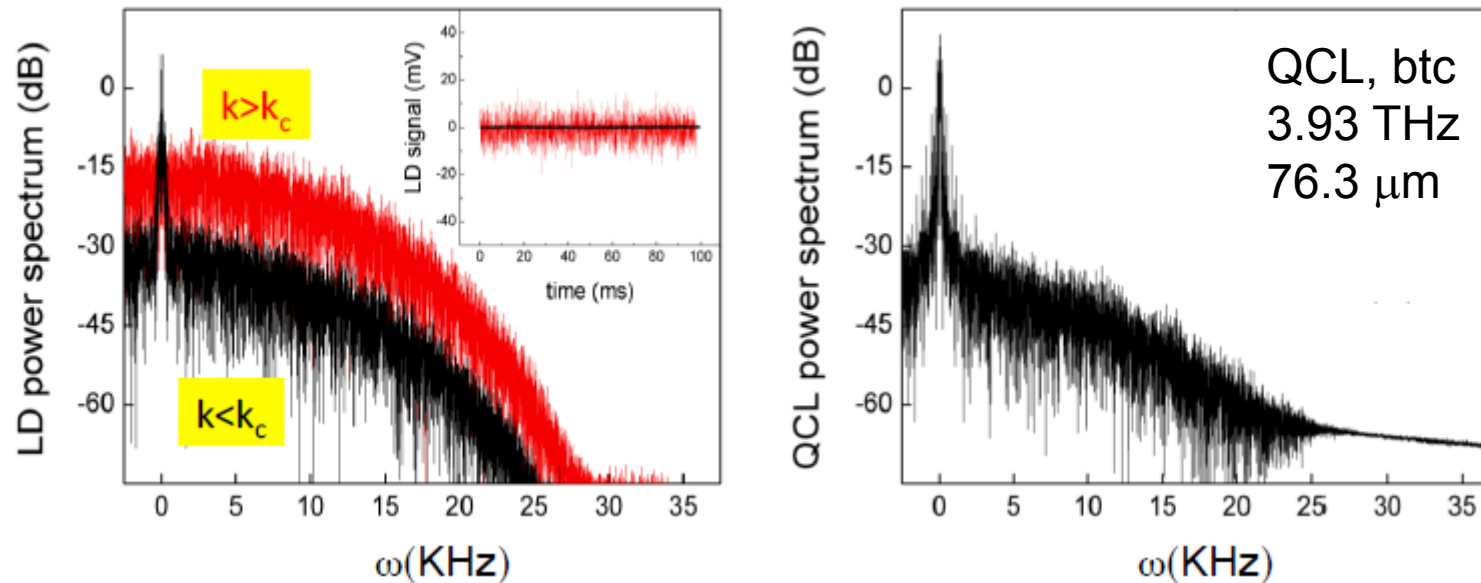
- optical feedback interferometry
 - self-mixing effect in semiconductor lasers
 - Lang-Kobayashi model
- applications in metrology
 - linear displacement (single channel)
 - Translations and rotations (multiple channels): 6 DoF
 - absolute distance
 - displacement or rotation velocity
 - vibrations
 - longitudinal and volume deformations (strain fiber sensor)
- laser ablation sensor
 - *in-situ* characterization of laser ablation process
 - study of the ablation dynamics in the thermal regime
 - simultaneous measurement of multiple target displacements
- self-mixing in quantum cascade lasers
 - Ultra-stability of QCLs vs optical feedback
 - Nonlinear mixing → towards subwavelength (nm) resolution
 - Terahertz imaging

Quantum Cascade Laser

- **unipolarity**
 - intersubband transitions
- **cascading**
 - N photons/injected electron
 - high optical power
- **wavelength agility**
 - mid-IR and beyond (3.5 - 150 μm) via MBE thickness control
- **materials by design**
 - wavefunctions, population inversion, optical gain, transport
 - Auger effect negligible (no holes!)
- **applications**
 - trace gas sensing for environmental monitoring and atmospheric chemistry
 - industrial process control
 - combustion diagnostics
 - breath analysis in medicine
 - explosive detection
 - infrared countermeasures



diode laser vs THz QCL (CW emission)



A radically different behavior!

- **Laser diode**

The laser emission becomes unstable (coherence collapse) for a feedback strength

$k > k_c \sim 10^{-3}$, where $k \propto$ reinjected power/input power

(k_c = critical value)

- **THz-QCL**

The laser emission remains stable up to $k \sim 10^2 k_c$. Theoretical estimations show that this is the maximum value achievable

No instabilities (e.g. mode-hopping, coherence collapse) are observed by continuously increasing k

ultra-stability of QCLs

experimental evidence

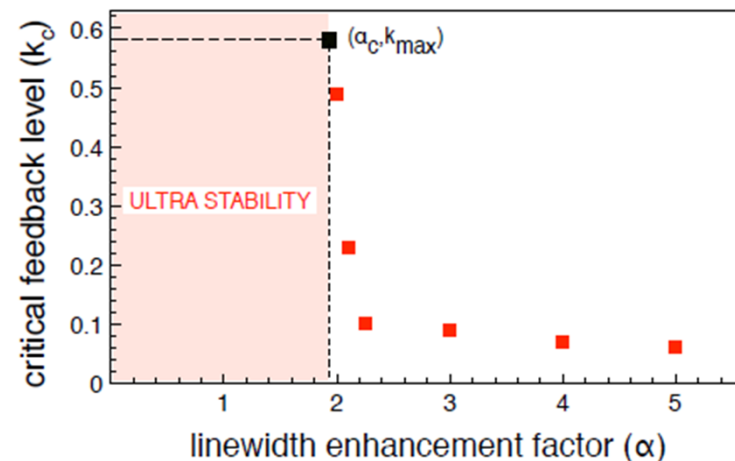
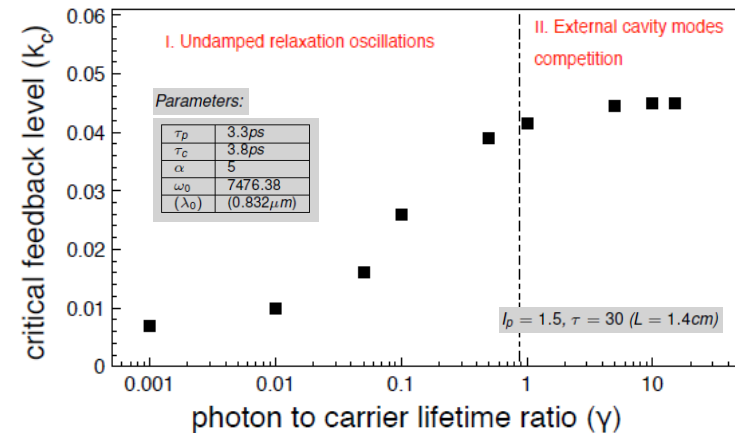
stable continuous wave (CW) emission of MIR and THz-QCLs against strong optical injection

interpretation

- **high photon to carrier lifetime ratio** $\gamma > 1$ suppresses instabilities due to undamped relaxation oscillations (*class A-laser*)
- **low alpha-factor** $\alpha \leq 2$ suppresses multimode instabilities due to external cavity modes competition

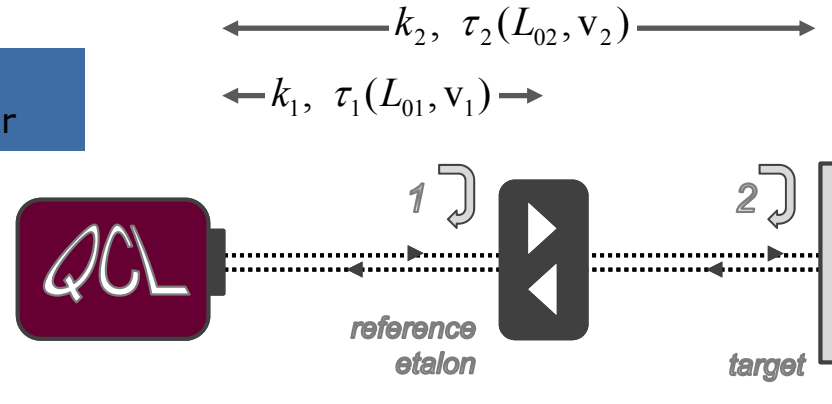
the critical feedback level calculated as the **minimum feedback strength** causing CW instability (e.g. mode-hopping, coherence collapse)

Linear stability analysis of the CW solutions



nonlinear mixing in mid-IR QCLs

dynamic reference arm
in a dual-cavity interferometer



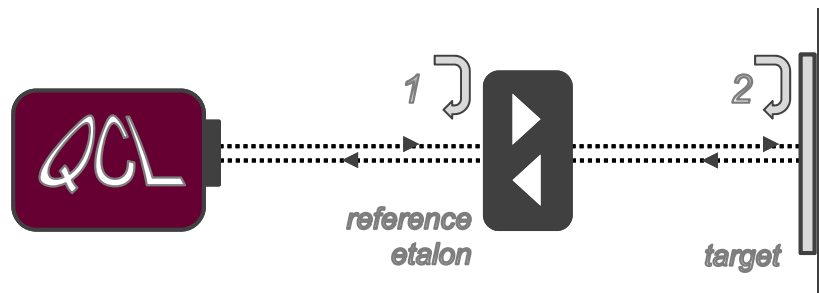
$$\Delta N = G \tau_c (N - N_{sol}) = -2[k_1 \cos(\omega_F \tau_1) + k_2 \cos(\omega_F \tau_2)]$$

$$\omega_F \tau_i = \omega_F \frac{2(L_{0i} \pm |v_i| t)}{c} = \frac{2L_{0i} \omega_F}{c} \pm \frac{2\omega_F |v_i| t}{c} \Leftrightarrow \omega_F \tau_i = A \pm \omega_i t$$

$$\omega_F = \omega_0 - \frac{k_1}{\tau_c} [\alpha \cos(\omega_F \tau_1) + \sin(\omega_F \tau_1)] - \frac{k_2}{\tau_c} [\alpha \cos(\omega_F \tau_2) + \sin(\omega_F \tau_2)]$$

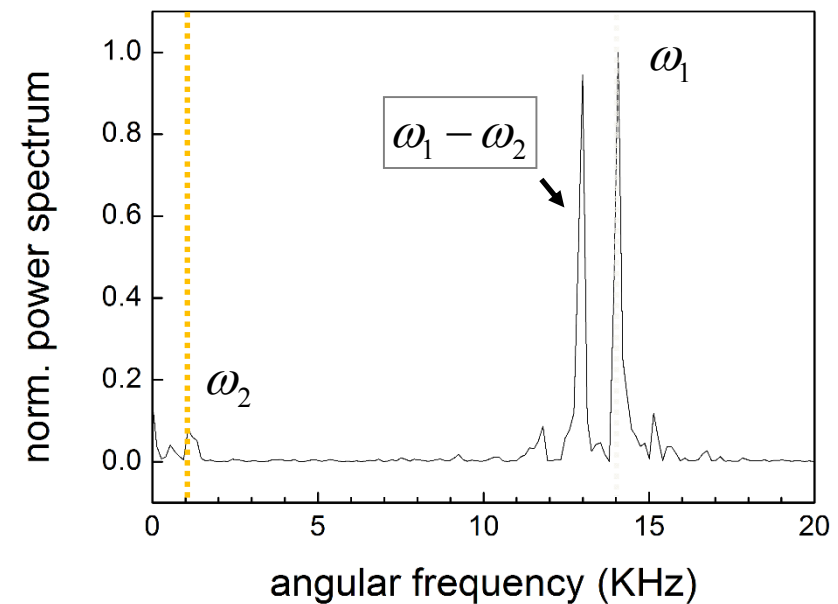
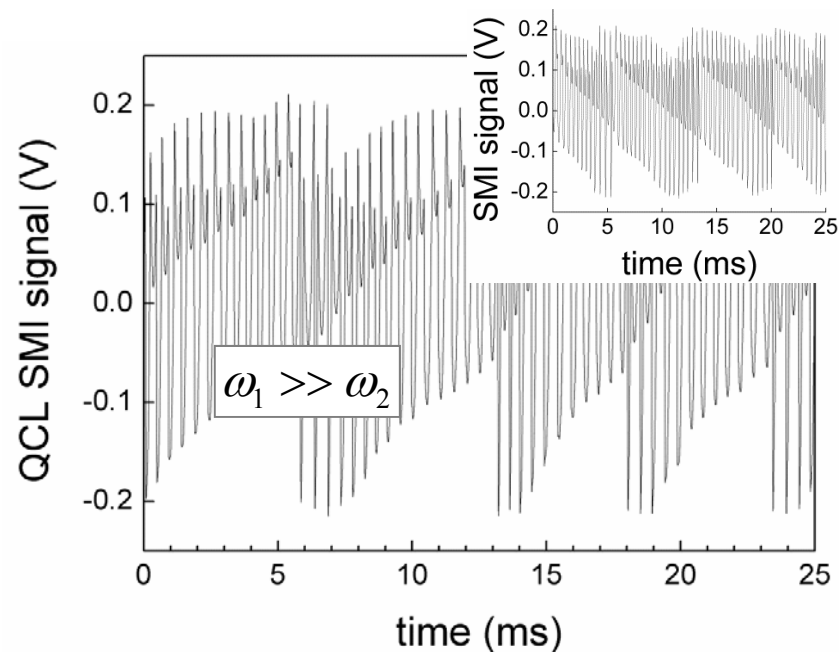
nonlinear frequency mixing due to the implicit and transcendental character of last Eq. (1) \rightarrow spectral components at $\omega_1 \pm \omega_2$

nonlinear frequency mixing



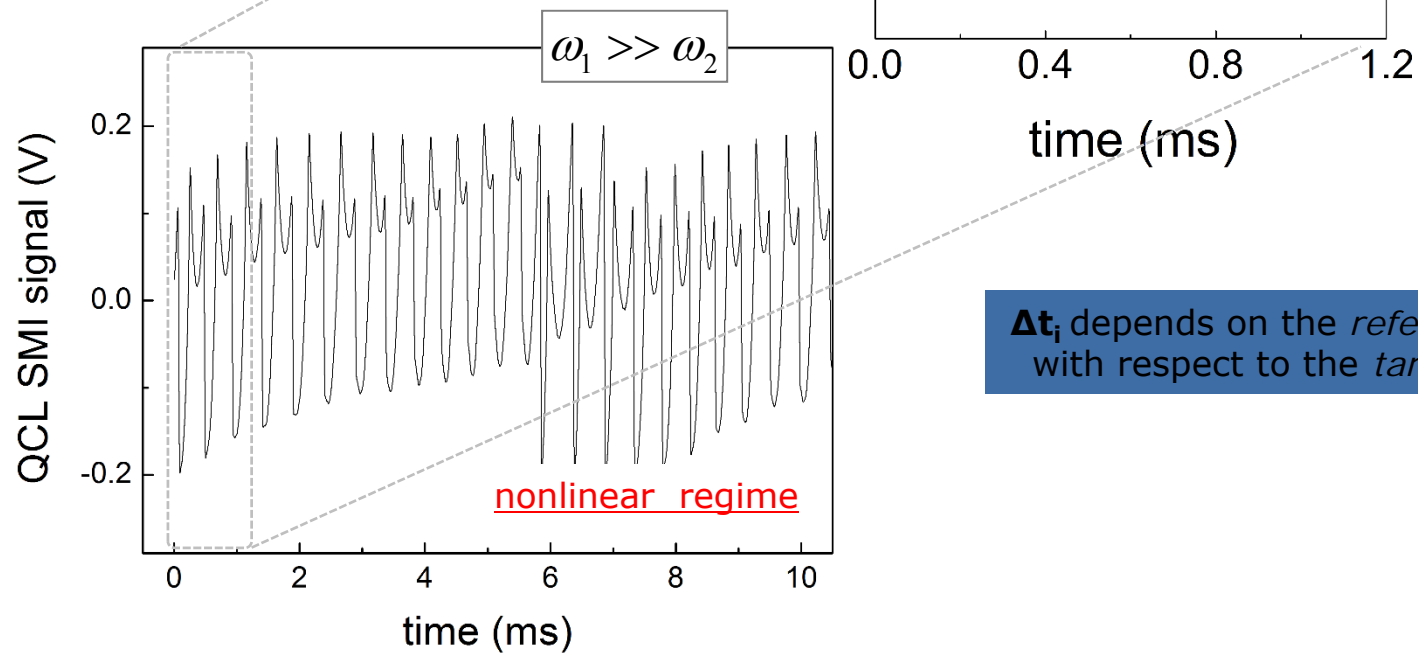
$$\omega_i = \frac{2\omega_F |v_i|}{c} = \frac{4\pi |v_i|}{\lambda}$$

$$\lambda = 6.2 \mu\text{m}; v_1 = 7 \text{ mm/s}; v_2 = 0.5 \text{ mm/s}$$



Stroboscope-like effect for resolution enhancement

sub-fringes related with discontinuities in the emitted frequency ω_F



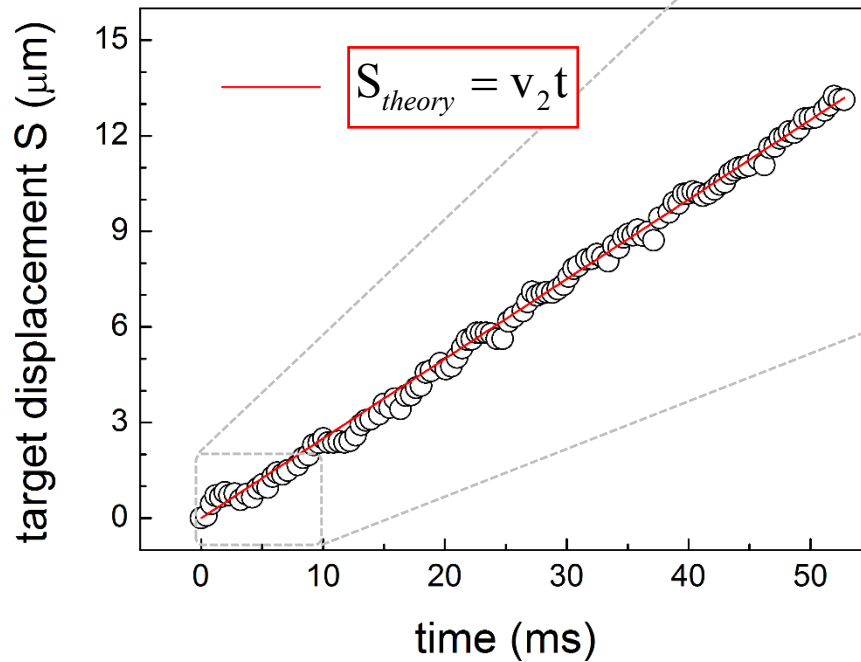
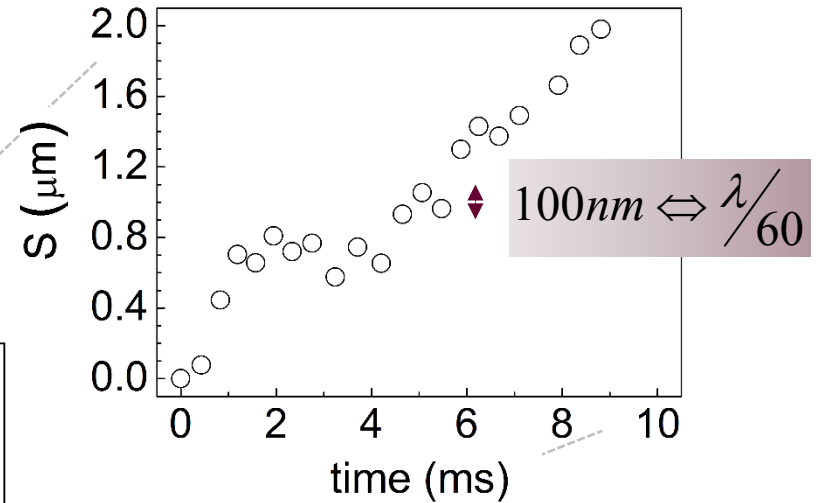
Δt_i depends on the *reference motion* with respect to the *target position*

nanoscale displacement sensor

Best fit of the expected displacement S_{theory} :

$$S = -\gamma_2 \frac{v_1^2}{\lambda} \Delta t_i^2 - \gamma_1 v_1 \Delta t_i + \gamma_0 \frac{\lambda}{2}$$

$\gamma_2 = 1.06 \pm 0.03$
 $\gamma_1 = 0.64 \pm 0.01$
 $\gamma_0 = 0.94 \pm 0.01$



$$\Delta S_{\min} = \frac{v_2}{2v_1} \lambda \ll \frac{\lambda}{2}$$

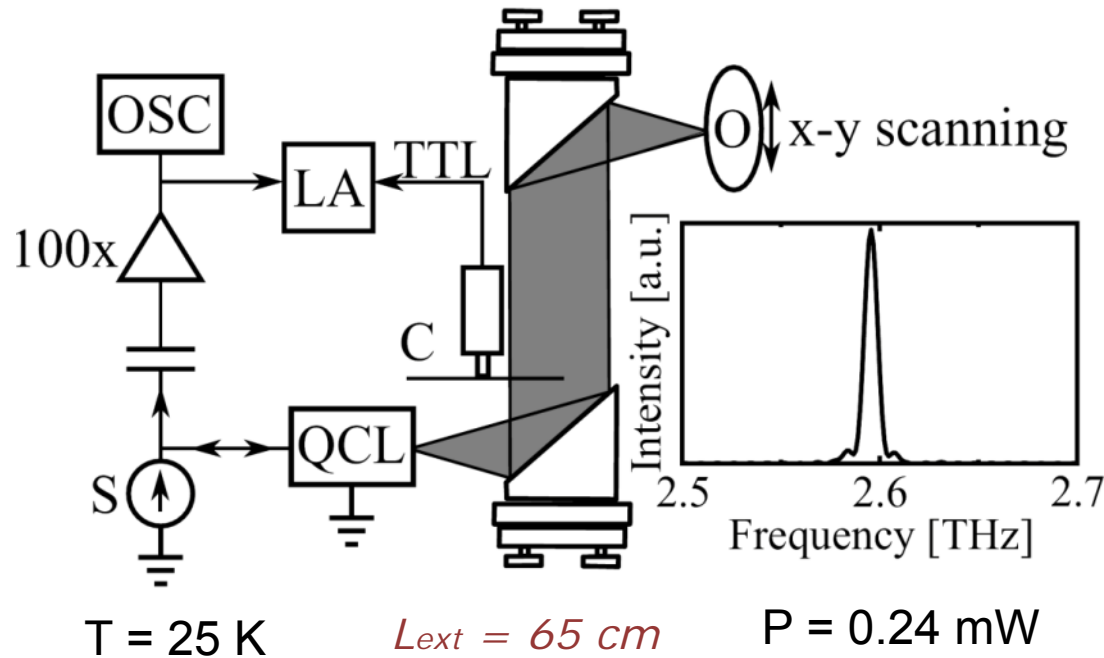
the minimum displacement ΔS_{\min} depends on the *relative velocity* between *reference* v_1 and *target* v_2 , thus potentially scalable to the **nanometer range!!**

Outline

- optical feedback interferometry
 - self-mixing effect in semiconductor lasers
 - Lang-Kobayashi model
- applications in metrology
 - linear displacement (single channel)
 - Translations and rotations (multiple channels): 6 DoF
 - absolute distance
 - displacement or rotation velocity
 - vibrations
 - longitudinal and volume deformations (strain fiber sensor)
- laser ablation sensor
 - *in-situ* characterization of laser ablation process
 - study of the ablation dynamics in the thermal regime
 - simultaneous measurement of multiple target displacements
- self-mixing in quantum cascade lasers
 - Ultra-stability of QCLs vs optical feedback
 - Nonlinear mixing → towards subwavelength (nm) resolution
 - Terahertz imaging

Terahertz imaging through self-mixing in a QCL

[Dean et al., Opt. Lett. 36, 2587(2011)]

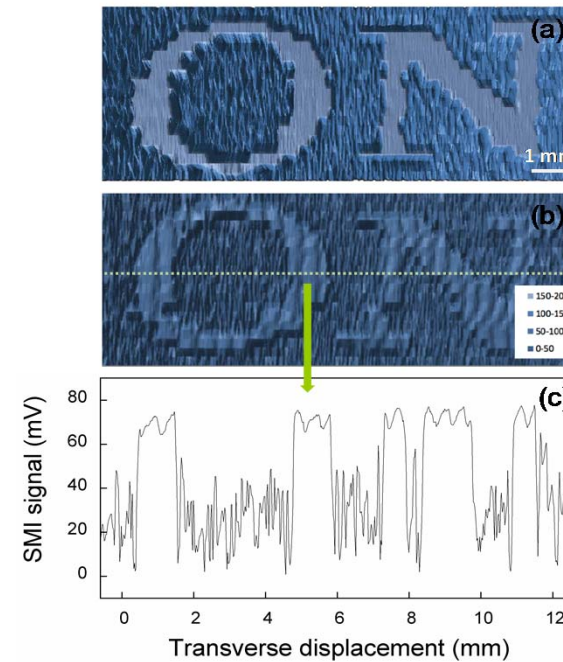
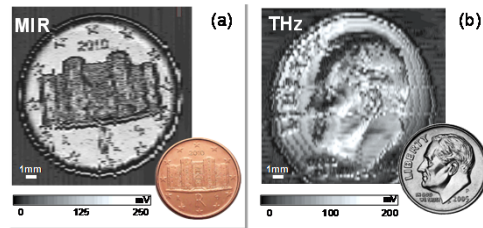
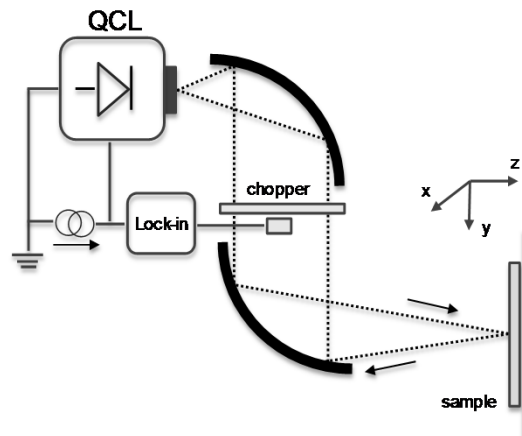


*Blade hidden in a paper envelope
sensitivity to surface morphology
(fringes at $\sim 58 \mu\text{m}$)*

- No need for external detector, simple, compact, fast modulation ($\rightarrow 100 \text{ GHz}$)
- Homodyne (coherent) nature of self-mixing \rightarrow very high-sensitivity, potentially at the quantum noise limit
- High signal-to-noise ratio
- Still cryogenic ...

Coherent imaging in mid-IR and THz QCLs

[Mezzapesa, Petruzzella, Dabbicco, Beere, Ritchie, Vitiello, and Scamarcio, *IEEE Trans. THz Sci. and Technol.* (2014)]



amplitude

phase

Imaging of free carriers density distribution through self-mixing in THz QCLs

Free carriers density modulation in a semiconductor target → strong variations of the **complex reflectivity in the THz spectral region** as accounted by the **Drude model** [R. Ulbricht,

(Fresnel relations)
$$\sqrt{R_{\text{ext}}} = \frac{n_{\text{air}} - n}{n_{\text{air}} + n}$$

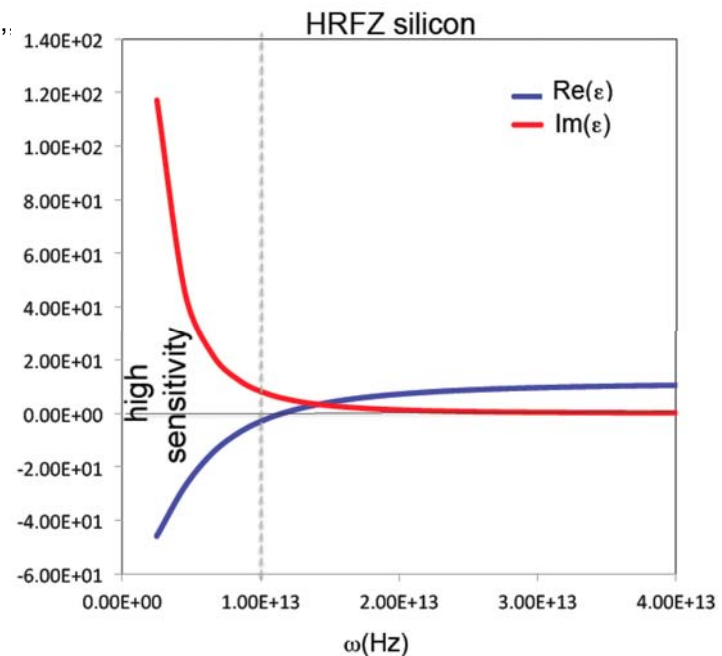
THz complex permittivity

$$n^2 = \varepsilon(\omega_{\text{TH}}) = \varepsilon_{\infty}(\omega_{\text{TH}}) - \frac{\omega_p^2 \tau^2}{1 + (\omega_{\text{TH}} \tau)^2} + i \frac{\omega_p^2 \tau}{\omega_{\text{TH}} (1 + (\omega_{\text{TH}} \tau)^2)}$$

m^* = electron reduced mass, τ = average collision time, ε_{∞} = background dielectric contribution

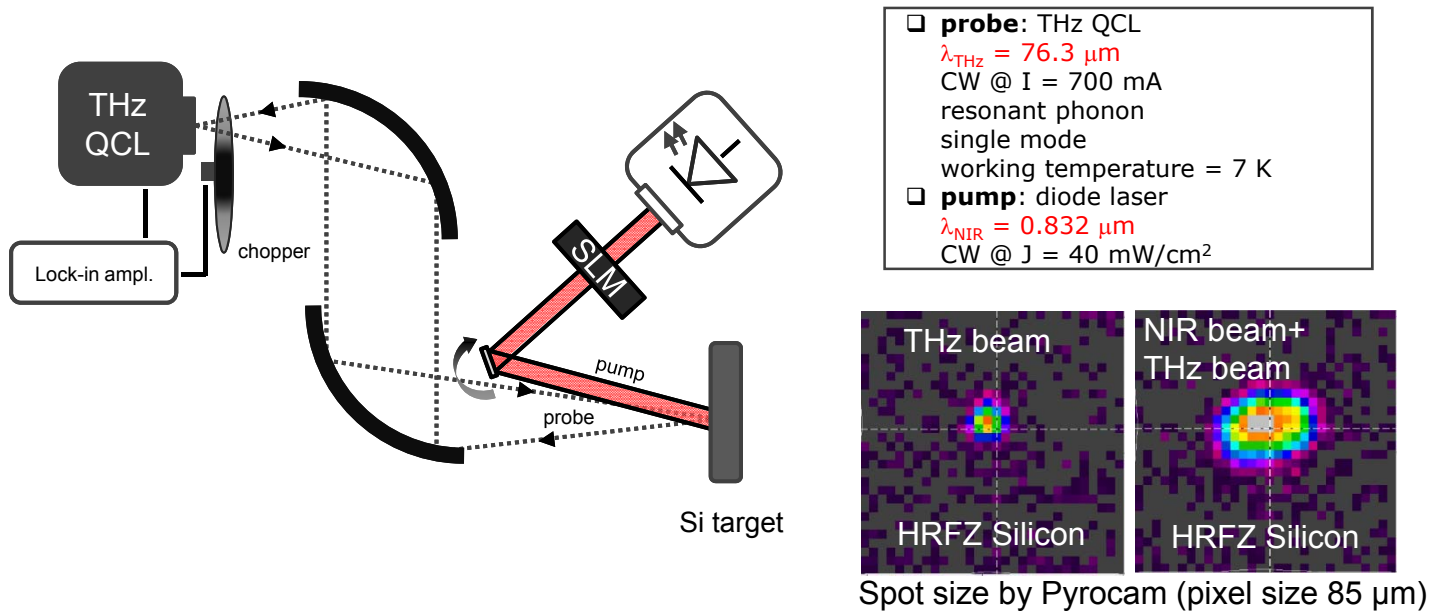
PLASMA
FREQUENCY

$$\omega_p = \sqrt{\frac{N e^2}{\varepsilon_0 m^*}}$$

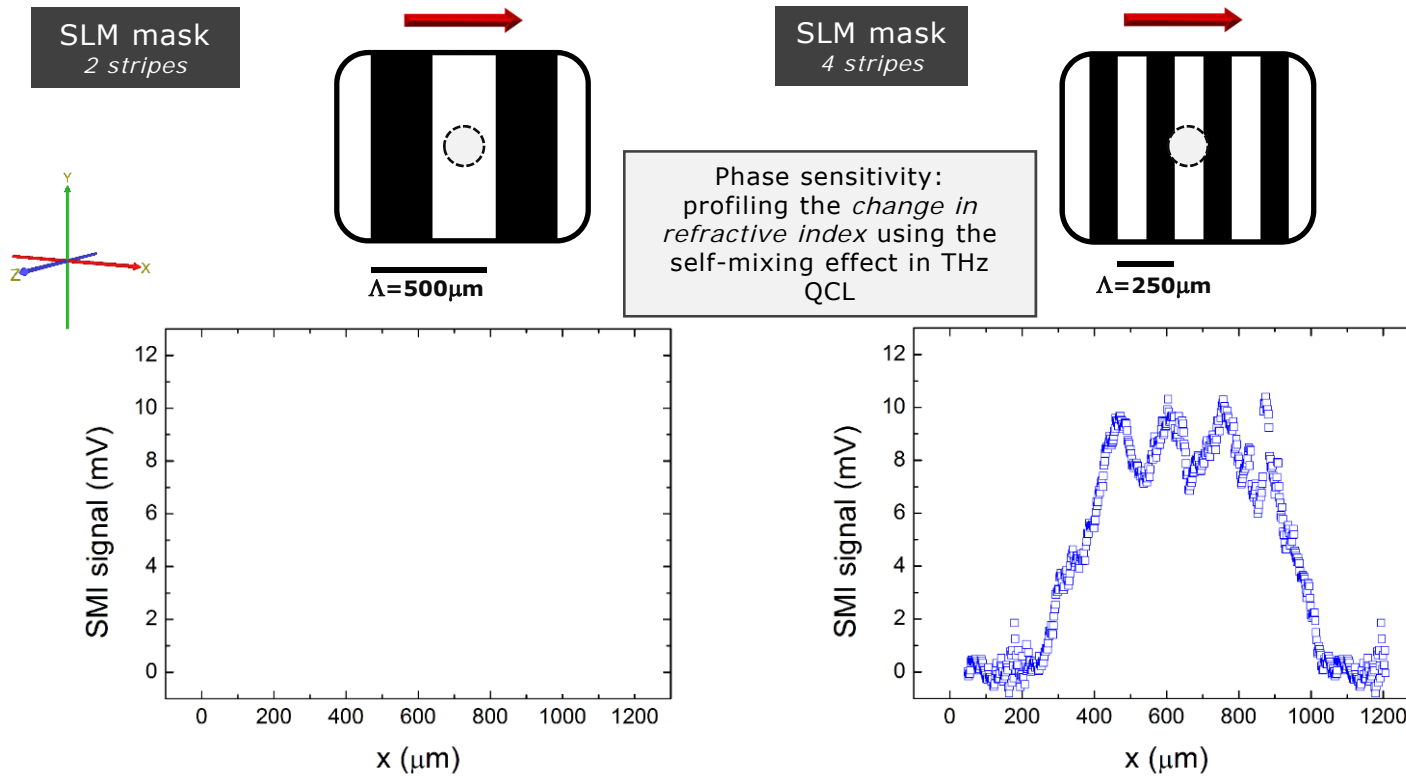


Proof-of-principle experiment

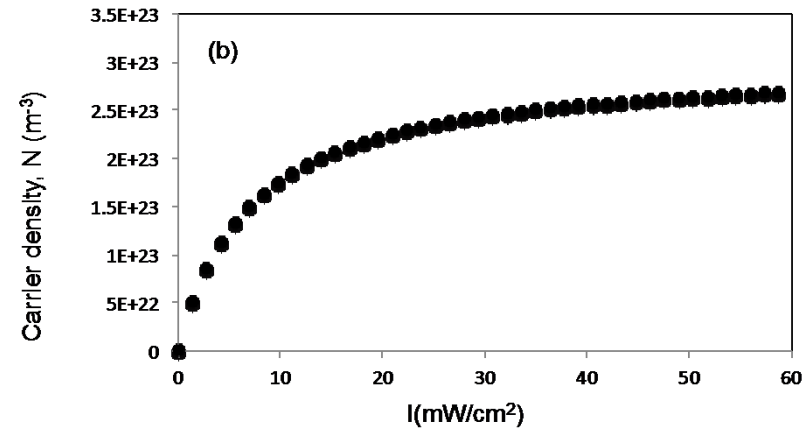
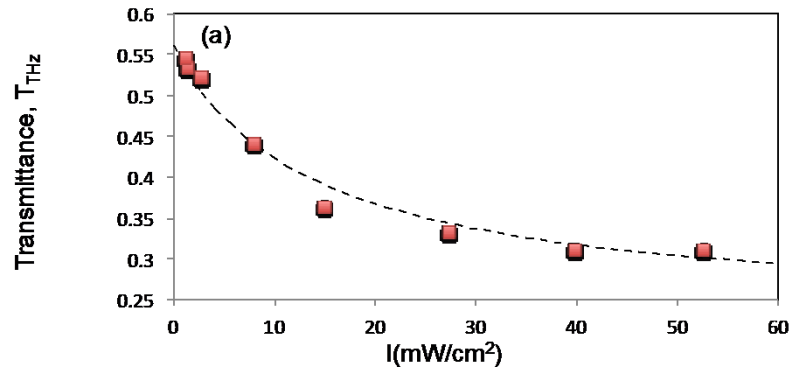
- A CW THz beam emitted by a THz-QCL “probes” a Si target in which free carriers are optically generated by a spatially modulated near-IR “pump” beam
- The photo-induced variations in the Si reflectivity produces voltage modulations at the laser terminals (V_{QCL}) in the self-mixing configuration



free carrier profile @ 4THz



charge carrier density by THz-QCL

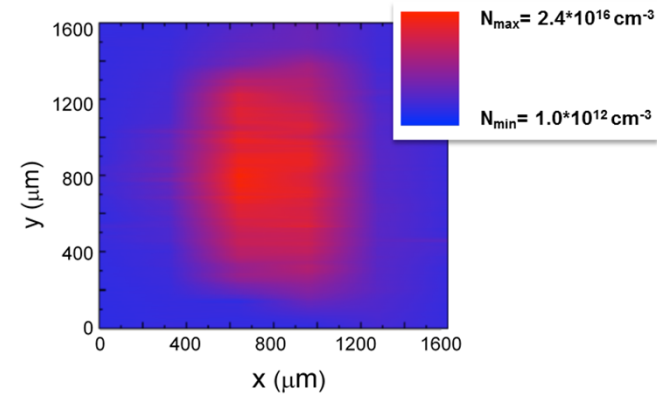


Carrier density (no pump)

$$N = \frac{N^* + \frac{N_0 J}{J_{\text{sat}}}}{1 + \frac{J}{J_{\text{sat}}}} = N^* + \left(\frac{J \alpha_{\text{IR}}}{\hbar \omega_{\text{IR}}} \right) \tau_{\text{R}}$$

Pump power density

Carrier lifetime
(non radiative)



[Mezzapesa, Columbo, Brambilla, Dabbicco, Vitiello, Scamarcio, *Appl. Phys. Lett.* 104, 041112 (2014)]

Outline

- ❑ optical feedback interferometry
 - self-mixing effect in semiconductor lasers
 - Lang-Kobayashi model
- ❑ applications in metrology
 - linear displacement (single channel)
 - Translations and rotations (multiple channels): 6 DoF
 - absolute distance
 - displacement or rotation velocity
 - vibrations
 - longitudinal and volume deformations (strain fiber sensor)
- ❑ laser ablation sensor
 - *in-situ* characterization of laser ablation process
 - study of the ablation dynamics in the thermal regime
 - simultaneous measurement of multiple target displacements
- ❑ self-mixing in quantum cascade lasers
 - Ultra-stability of QCLs vs optical feedback
 - Nonlinear mixing → towards subwavelength (nm) resolution
 - Terahertz imaging / optically induced optical metamaterials

The team



M. Vitiello



M. Dabbicco



G. Scamarcio



F. Mezzapesa



L. Columbo



F. De Lucia



M. Lugarà



M. Brambilla



T. Sibillano



S. Ottonelli



C. Di Franco



A. Ancona



M. Petruzzella, P. Patimisco
MV. Santacroce, A. Sampaolo



V. Spagnolo



Thank you !

