Flavor Physics

Invisibles 15 School La Cristalera, Miraflores de la Sierra (Madrid), 16-19 June 2015

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Plan of Lectures

- 1. Questions for the LHC
- 2. Introduction to Flavor
 - Definitions and Motivation
 - Flavor in the Standard Model
- 3. Past: What have we learned?
 - Lessons from the B-factories
- 4. Present: The open questions
 - The flavor puzzles
 - Flavor models
- 5. Future: What will we learn?
 - Flavor@LHC
 - The flavor of h

Flavor Physics

Questions for the LHC

Questions for the LHC

- What is the mechanism of electroweak symmetry breaking?
- What separates the electroweak scale from the Planck scale?
- What happened at the electroweak phase transition?
- How was the baryon asymmetry generated?
- What are the dark matter particles?
- What is the solution of the flavor puzzles?

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- What is the solution of the flavor puzzles? The topic of these lectures

Flavor Physics

Introduction to Flavor

What are flavors?

Copies of the same gauge representation:

$$SU(3)_{\rm C} \times U(1)_{\rm EM}$$

Up-type quarks $(3)_{+2/3}$ u, c, t

Down-type quarks $(3)_{-1/3}$ d, s, b

Charged leptons $(1)_{-1}$ e, μ, τ

Neutrinos $(1)_0 \quad \nu_1, \nu_2, \nu_3$

What are flavors?

In the interaction basis:

$$SU(3)_{\rm C} \times SU(2)_{\rm L} \times U(1)_{\rm Y}$$

Quark doublets $(3,2)_{+1/6}$ Q_{Li}

Up-type quark singlets $(3,1)_{+2/3}$ U_{Ri}

Down-type quark singlets

 $(3,1)_{-1/3}$ D_{Ri}

Lepton doublets

 $(1,2)_{-1/2}$ L_{Li}

Charged lepton singlets $(1,1)_{-1}$ E_{Ri}

In QCD:

$$SU(3)_{\rm C}$$

Quarks

(3) u,d,s,c,b,t

What is flavor physics?

- Interactions that distinguish among the generations:
 - Neither strong nor electromagnetic interactions
 - Within the SM: Only weak and Yukawa interactions
- In the interaction basis:
 - The weak interactions are also flavor-universal
 - The source of all SM flavor physics: Yukawa interactions among the gauge interaction eigenstates
- Flavor parameters:
 - Parameters with flavor index (m_i, V_{ij})

More flavor dictionary

- Flavor universal:
 - Couplings/paremeters $\propto \mathbf{1}_{ij}$ in flavor space
 - Example: strong interactions $\overline{U_R}G^{\mu a}\lambda^a\gamma_\mu\mathbf{1}U_R$
- Flavor diagonal:
 - Couplings/paremeters that are diagonal in flavor space
 - Example: Yukawa interactions in mass basis $\overline{U_L} \lambda_u U_R H$, $\lambda_u = \text{diag}(y_u, y_c, y_t)$

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And more flavor dictionary

- Flavor changing:
 - Initial flavor number \neq final flavor number
 - Flavor number = # particles # antiparticles
 - $-B \rightarrow \psi K \quad (\bar{b} \rightarrow \bar{c}c\bar{s}): \quad \Delta b = -\Delta s = 1; \ \Delta c = 0$
- Flavor changing neutral current (FCNC) processes:
 - Flavor changing processes that involve either U or D but not both and/or either ℓ^- or ν but not both
 - $-\mu \to e\gamma$; $K \to \pi\nu\bar{\nu} \ (s \to d\nu\bar{\nu})$; $D^0 \overline{D}^0 \ \text{mixing} \ (c\bar{u} \to u\bar{c})...$
 - FCNC are highly suppressed in the SM

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Why is flavor physics interesting?

- Flavor physics is sensitive to new physics at $\Lambda_{\rm NP} \gg E_{\rm experiment}$
- The Standard Model flavor puzzle:
 Why are the flavor parameters small and hierarchical?
 (Why) are the neutrino flavor parameters different?
- The New Physics flavor puzzle:

 If there is NP at the TeV scale, why are FCNC so small?

A brief history of FV

- $\Gamma(K \to \mu\mu) \ll \Gamma(K \to \mu\nu) \implies \text{Charm [GIM, 1970]}$
- $\Delta m_K \implies m_c \sim 1.5~GeV$ [Gaillard-Lee, 1974]
- $\varepsilon_K \neq 0 \implies \text{Third generation}$ [KM, 1973]
- $\Delta m_B \implies m_t \gg m_W$ [Various, 1986]

What is CP violation?

- Interactions that distinguish between particles and antiparticles (e.g. $e_L^- \leftrightarrow e_R^+$)
 - Neither strong nor electromagnetic interactions (Comment: θ_{QCD} is irrelevant to our discussion)
 - Within the SM: Charged current weak interactions ($\delta_{\rm KM}$)
 - With NP: many new sources of CPV
 - Manifestations of CP violation:
 - $-\Gamma(B^0 \to \psi K_S) \neq \Gamma(\overline{B^0} \to \psi K_S)$
 - $-K_S, K_L \neq K_+, K_-$

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Why is CPV interesting?

- Within the SM, a single CP violating parameter η : In addition, QCD = CP invariant (θ_{QCD} irrelevant) Strong predictive power (correlations + zeros) Excellent tests of the flavor sector
- η cannot explain the baryon asymmetry a puzzle: There must exist new sources of CPV Electroweak baryogenesis? (Testable at the LHC) Leptogenesis? (Window to $\Lambda_{\rm seesaw}$)

A brief history of experimental CPV

- 1964 2000
 - $|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}$; $\Re(\varepsilon'/\varepsilon) = (1.65 \pm 0.26) \times 10^{-3}$

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A brief history of experimental CPV

- \bullet 1964 2000
 - $|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}$; $\Re(\varepsilon'/\varepsilon) = (1.65 \pm 0.26) \times 10^{-3}$
- 2000 2015, 5σ
 - $S_{\psi K_S} = +0.68 \pm 0.02$
 - $S_{\phi K_S} = +0.74 \pm 0.12$, $S_{\eta' K_S} = +0.63 \pm 0.06$, $S_{fK_S} = +0.69 \pm 0.11$
 - $S_{K^+K^-K_S} = +0.68 \pm 0.10$
 - $S_{\pi^+\pi^-} = -0.66 \pm 0.06, C_{\pi^+\pi^-} = -0.31 \pm 0.05$
 - $S_{\psi\pi^0} = -0.93 \pm 0.15$, $S_{DD} = -0.98 \pm 0.17$, $S_{D^*D^*} = -0.71 \pm 0.09$
 - $A_{K^{\mp}\pi^{\pm}} = -0.082 \pm 0.006$
 - $A_{D_{+}K^{\pm}} = +0.19 \pm 0.03$
 - $A_{B_s \to K^-\pi^+} = +0.26 \pm 0.04$

The Flavor Factories

- B-factories: Belle and BaBar Asymmetric $e^+ - e^-$ colliders producing $\Upsilon(4S) \to B\bar{B}$
- Tevatron: CDF and D0 $p \bar{p}$ colliders at 2 TeV $(B_s...)$
- MEG $\mu \to e\gamma$
- LHC: LHCb, ATLAS, CMS
- Future: Belle-II, LHCb-upgrade...

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The Standard Model

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The Standard Model

- $G_{\rm SM} = SU(3)_C \times SU(2)_L \times U(1)_Y$
- $\langle \phi(1,2)_{+1/2} \rangle \neq 0$ breaks $G_{\rm SM} \to SU(3)_C \times U(1)_{EM}$
- Quarks: $3 \times \{Q_L(3,2)_{+1/6} + U_R(3,1)_{+2/3} + D_R(3,1)_{-1/3}\}$ Leptons: $3 \times \{L_L(1,2)_{-1/2} + E_R(1,1)_{-1}\}$

$$\downarrow \downarrow$$
 $\mathcal{L}_{\mathrm{SM}} = \mathcal{L}_{\mathrm{kin}} + \mathcal{L}_{\mathrm{Higgs}} + \mathcal{L}_{\mathrm{Yuk}}$

- \mathcal{L}_{SM} depends on 18 parameters
- All have been measured

A comment on \mathcal{L}_{ψ}

$$\mathcal{L}_{\psi} = 0$$

• Quarks:

- $-Q_L(3,2)_{+1/6}, \ U_R(3,1)_{+2/3}, \ D_R(3,1)_{-1/3} = \text{chiral rep}$ No Dirac mass
- $-Q_L(3,2)_{+1/6},\ U_R(3,1)_{+2/3},\ D_R(3,1)_{-1/3}=U(1)_Y$ -charged No Majorana mass

• Leptons:

- $L_L(1,2)_{-1/2}$, $E_R(1,1)_{-1}$ = chiral representation No Dirac mass
- $-L_L(1,2)_{-1/2}, E_R(1,1)_{-1} =$ charged under $U(1)_Y$ No Majorana mass

$\mathcal{L}_{ ext{SM}}$

$$\mathcal{L}_{kin} = -\frac{1}{4} G_{a}^{\mu\nu} G_{a\mu\nu} - \frac{1}{4} W_{b}^{\mu\nu} W_{b\mu\nu} - \frac{1}{4} B^{\mu\nu} B_{\mu\nu}$$

$$+ i \overline{Q_{Li}} D Q_{Li} + i \overline{U_{Ri}} D U_{Ri} + i \overline{D_{Ri}} D D_{Ri}$$

$$+ i \overline{L_{Li}} D L_{Li} + i \overline{E_{Ri}} D E_{Ri}$$

$$+ (D^{\mu} \phi)^{\dagger} (D_{\mu} \phi)$$

$$\mathcal{L}_{Higgs} = -\mu^{2} \phi^{\dagger} \phi - \lambda (\phi^{\dagger} \phi)^{2} \quad (\mu^{2} < 0, \ \lambda > 0)$$

$$\mathcal{L}_{Yuk} = \overline{Q_{Li}} Y_{ij}^{u} \tilde{\phi} U_{Rj} + \overline{Q_{Li}} Y_{ij}^{d} \phi D_{Rj} + \overline{L_{Li}} Y_{ij}^{e} \phi E_{Rj} + \text{h.c.}$$

Flavor Symmetry

- $\mathcal{L}_{kin} + \mathcal{L}_{Higgs}$ has a large global symmetry: $G_{global} = [U(3)]^5$
- $Q_L \to V_Q Q_L$, $U_R \to V_U U_R$, $D_R \to V_D D_R$, $L_L \to V_L L_L$, $E_R \to V_E E_R$
- Take, for example \mathcal{L}_{kin} for $Q_L(3,2)_{+1/6}$: $i\overline{Q_L}_i(\partial_{\mu} + \frac{i}{2}g_sG^a_{\mu}\lambda^a + \frac{i}{2}g_sW^b_{\mu}\tau^b + \frac{i}{6}g'B_{\mu})\gamma^{\mu}\delta_{ij}Q_{Lj}$
- $\overline{Q_L} \mathbf{1} Q_L \rightarrow \overline{Q_L} V_Q^{\dagger} \mathbf{1} V_Q Q_L = \overline{Q_L} \mathbf{1} Q_L$

Flavor Violation

- $\mathcal{L}_{\text{Yuk}} = \overline{Q_L}_i Y_{ij}^u \tilde{\phi} U_{Rj} + \overline{Q_L}_i Y_{ij}^d \phi D_{Rj} + \overline{L_L}_i Y_{ij}^e \phi E_{Rj}$ breaks $G_{\text{global}} \to U(1)_B \times U(1)_e \times U(1)_\mu \times U(1)_\tau$
- Flavor physics: interactions that break the $[SU(3)]^5$ symmetry



- $Q_L \to V_Q Q_L$, $U_R \to V_U U_R$, $D_R \to V_D D_R$ = Change of interaction basis
- $Y^d \to V_Q Y^d V_D^{\dagger}, \quad Y^u \to V_Q Y^u V_U^{\dagger}$
- Can be used to reduce the number of parameters in Y^u, Y^d

Counting flavor parameters

- Quark sector:
 - $Y_u, Y_d \implies 2 \times [9_R + 9_I]$
 - $[SU(3)]_q^3 \to U(1)_B \implies -3 \times [3_R + 6_I] + 1_I$
 - Physical parameters: $9_R + 1_I$

- Lepton sector:
 - $\bullet Y_e \implies 9_R + 9_I$
 - $[SU(3)]_{\ell}^2 \to [U(1)]^3 \implies -2 \times [3_R + 6_I] + 3_I$
 - Physical parameters: 3_R

The quark flavor parameters

• Convenient (but not unique) interaction basis:

$$Y^d \to V_Q Y^d V_D^{\dagger} = \lambda^d, \quad Y^u \to V_Q Y^u V_U^{\dagger} = V^{\dagger} \lambda^u$$

• λ^d, λ^u diagonal and real:

$$\lambda^d = \begin{pmatrix} y_d & & \\ & y_s & \\ & & y_b \end{pmatrix}; \quad \lambda^u = \begin{pmatrix} y_u & & \\ & y_c & \\ & & y_t \end{pmatrix}$$

• V unitary with 3 real (λ, A, ρ) and 1 imaginary (η) parameters:

$$V \simeq \begin{pmatrix} 1 & \lambda & A\lambda^3(\rho + i\eta) \\ -\lambda & 1 & A\lambda^2 \\ A\lambda^3(1 - \rho + i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

• Another convenient basis: $Y^d \to V\lambda^d$, $Y^u \to \lambda^u$

Kobayashi and Maskawa

CP violation \leftrightarrow Complex couplings:

- Hermiticity: $\mathcal{L} \sim g_{ijk}\phi_i\phi_j\phi_k + g_{ijk}^*\phi_i^{\dagger}\phi_j^{\dagger}\phi_k^{\dagger}$
- CP transformation: $\phi_i \phi_j \phi_k \leftrightarrow \phi_i^{\dagger} \phi_j^{\dagger} \phi_k^{\dagger}$
- CP is a good symmetry if $g_{ijk} = g_{ijk}^*$

The number of real and imaginary quark flavor parameters:

• With two generations:

$$2 \times (4_R + 4_I) - 3 \times (1_R + 3_I) + 1_I = 5_R + 0_I$$

• With three generations:

$$2 \times (9_R + 9_I) - 3 \times (3_R + 6_I) + 1_I = 9_R + 1_I$$

• The two generation SM is CP conserving The three generation SM is CP violating

The mass basis

- To transform to the mass basis: $D_L \to D_L$, $U_L \to VU_L$
- $m_q = y_q \langle \phi \rangle$
- V = The CKM matrix

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \overline{U_L} V \gamma^{\mu} D_L W_{\mu}^+ + \text{h.c.}$$

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

• η - the only source of CP violation

$\overline{\text{FCNC}}$

- FCNC \equiv FC processes involving only down-type or only up-type quarks
- Example: Neutral meson mixing: $K^0 \overline{K}{}^0, B^0 \overline{B}{}^0, B^0_s \overline{B}{}^0_s, D^0 \overline{D}{}^0$

Sector	CP-conserving	CP-violating
sd	$\Delta m_K/m_K = 7.0 \times 10^{-15}$	$\epsilon_K = 2.3 \times 10^{-3}$
cu	$\Delta m_D/m_D = 8.7 \times 10^{-15}$	$A_{\Gamma}/y_{\mathrm{CP}} \lesssim 0.2$
bd	$\Delta m_B/m_B = 6.3 \times 10^{-14}$	$S_{\psi K} = +0.67 \pm 0.02$
bs	$\Delta m_{B_s}/m_{B_s} = 2.1 \times 10^{-12}$	$S_{\psi\phi} = -0.04 \pm 0.09$

FCNC: Loop suppression I

- The W-boson cannot mediate FCNC process at tree level since it couples to up-down pairs;
 Only neutral bosons can potentially mediate FCNC at tree level
- Massless gauge bosons have flavor-universal and, in particular, flavor diagonal couplings;

 The gluons and the photon do not mediate FCNC at tree level

What about Z? h?

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FCNC: Loop suppression II

- Within the SM, the Z-boson does not mediate FCNC at tree level because all fermions with the same color and charge originate in the same $SU(2)_L \times U(1)_Y$ representation
- Within the SM, the h-boson does not mediate FCNC at tree level because
 - All SM fermions are chiral \Longrightarrow no bare mass terms
 - The scalar sector has a single Higgs doublet

Within the SM, all FCNC processes are loop suppressed

FCNC: CKM- and GIM-suppression

- All FC processes \propto off-diagonal entries in the CKM matrix $|V_{us}|, |V_{cd}| \sim \lambda; \quad |V_{cb}|, |V_{ts}| \sim \lambda^2; \quad |V_{ub}|, |V_{td}| \sim \lambda^3$ $\Gamma(b \to s\gamma) \propto |V_{tb}V_{ts}|^2 \sim \lambda^4$ $\Delta m_B \propto |V_{tb}V_{td}|^2 \sim \lambda^6$
- If all quarks in a given sector were degenerate \Longrightarrow No FC W-couplings
- FCNC in the down (up) sector $\propto \Delta m^2$ between the quarks of the up (down) sector
- The GIM-suppression effective for processes involving the first two generations
 - $-\Delta m_K \propto (m_c^2 m_u^2)/m_W^2$
 - $-\Delta m_D^{\rm s.d.} \propto (m_s^2 m_d^2)/m_W^2$

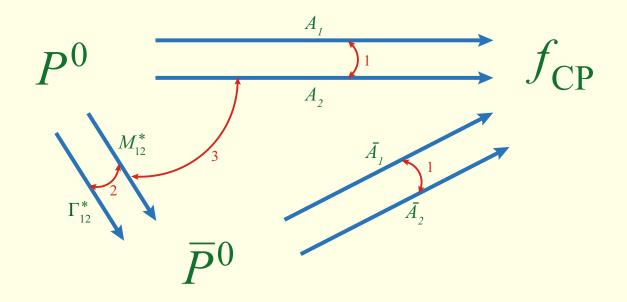
Intermediate summary I

- Flavor violation: m_q , V_{CKM}
- Flavor changing processes: V_{CKM}
- CP violation: η
- FCCC: tree level
- FCNC: loop- (α_2^2) , CKM- (V_{ij}) , GIM- $(\frac{m_2^2 m_1^2}{m_W^2})$ suppressed

Flavor Physics and CP Violation

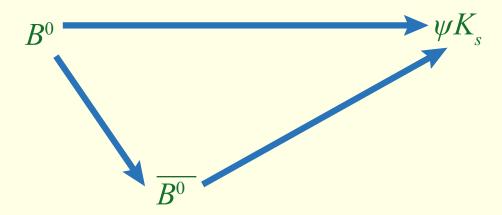
What have we learned?

The three types of CPV



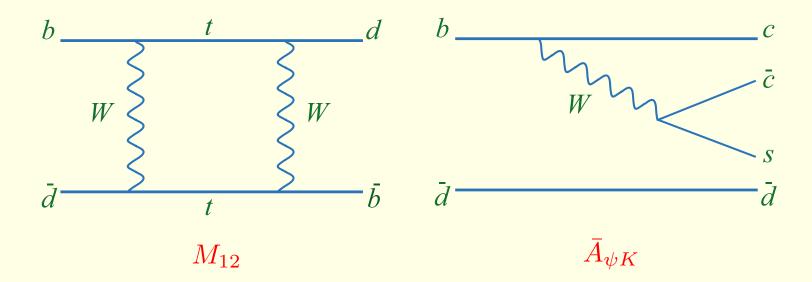
1 Decay
$$|\bar{A}/A| \neq 1$$
 $\frac{\bar{A}}{A} = \frac{\bar{A}_1 + \bar{A}_2}{A_1 + A_2}$ $A_{K^{\mp}\pi^{\pm}}$ $P^{\pm} \to f^{\pm}$
2 Mixing $|q/p| \neq 1$ $\frac{q}{p} = \frac{2M_{12}^* - i\Gamma_{12}^*}{\Delta M - i\Delta \Gamma}$ $\mathcal{R}e \ \varepsilon$ $P^0, \overline{P}^0 \to \ell^{\pm}X$
3 Interference $\mathcal{I}m\lambda \neq 0$ $\lambda = \frac{M_{12}^*}{|M_{12}|} \frac{\bar{A}}{A}$ $S_{\psi K_S}$ $P^0, \overline{P}^0 \to f_{\mathrm{CP}}$

$S_{\psi K_S}$



- Babar/Belle: $A_{\psi K_S}(t) = \frac{\frac{d\Gamma}{dt}[\overline{B_{\text{phys}}^0}(t) \to \psi K_S] \frac{d\Gamma}{dt}[B_{\text{phys}}^0(t) \to \psi K_S]}{\frac{d\Gamma}{dt}[\overline{B_{\text{phys}}^0}(t) \to \psi K_S] + \frac{d\Gamma}{dt}[B_{\text{phys}}^0(t) \to \psi K_S]}$
- Theory: $A_{\psi K_S}(t)$ dominated by interference between $A(B^0 \to \psi K_S)$ and $A(B^0 \to \overline{B^0} \to \psi K_S)$
- $\Longrightarrow A_{\psi K_S}(t) = S_{\psi K_S} \sin(\Delta m_B t)$ $\Longrightarrow S_{\psi K_S} = \mathcal{I}m \left[\frac{A(B^0 \to \overline{B^0})}{|A(B^0 \to \overline{B^0})|} \frac{A(\overline{B^0} \to \psi K_S)}{A(B^0 \to \psi K_S)} \right]$

$S_{\psi K_S}$ in the SM



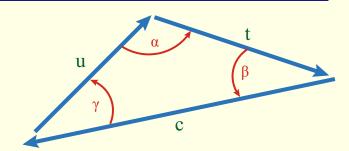
•
$$S_{\psi K_S} = \mathcal{I}m \left[\frac{V_{tb}^* V_{td}}{V_{tb} V_{td}^*} \frac{V_{cb} V_{cd}^*}{V_{cb}^* V_{cd}} \right] = \frac{2\eta (1-\rho)}{\eta^2 + (1-\rho)^2}$$

- In the language of the unitarity triangle: $S_{\psi K_S} = \sin 2\beta$
- The approximations involved are better than one percent!
- Experiments: $S_{\psi K_S} = 0.68 \pm 0.02$

The Unitarity Triangle

• A geometrical presentation of
$$V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$$

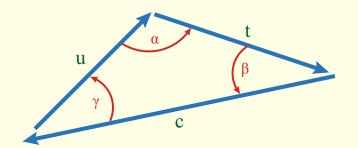
$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



The Unitarity Triangle

• A geometrical presentation of
$$V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$$

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



• Rescale and rotate: $A\lambda^{3} \left[(\rho + i\eta) + (1 - \rho - i\eta) + (-1) \right] = 0$

$$V = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \begin{pmatrix} \overline{\rho}, \overline{\eta} \end{pmatrix}$$
Wolfenstein (83); Buras *et al.* (94) (0,0) (1,0)

$$\alpha \equiv \phi_2; \quad \beta \equiv \phi_1; \quad \gamma \equiv \phi_3$$

Testing CKM – Take I

- Assume: CKM matrix is the only source of FV and CPV \Longrightarrow Four CKM parameters: λ, A, ρ, η
- λ known from $K \to \pi \ell \nu$ A known from $b \to c \ell \nu$
- Many observables are $f(\rho, \eta)$:

$$-b \rightarrow u\ell\nu \implies \propto |V_{ub}/V_{cb}|^2 \propto \rho^2 + \eta^2$$

$$-\Delta m_{B_d}/\Delta m_{B_s} \implies \propto |V_{td}/V_{ts}|^2 \propto (1-\rho)^2 + \eta^2$$

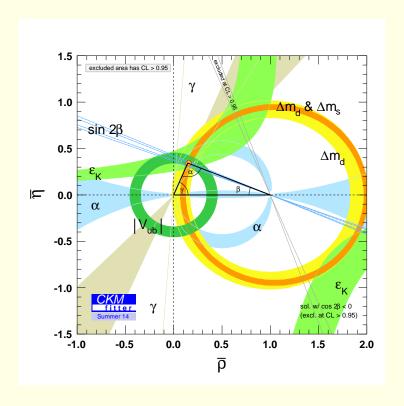
$$-S_{\psi K_S} \implies \frac{2\eta(1-\rho)}{(1-\rho)^2+\eta^2}$$

$$-S_{\rho\rho}(\alpha)$$

$$-\mathcal{A}_{DK}(\gamma)$$

 $-\epsilon_K$

The B-factories Plot

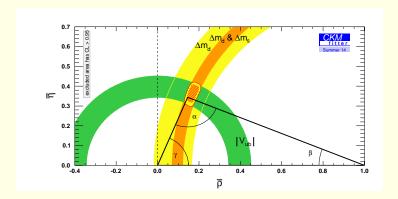


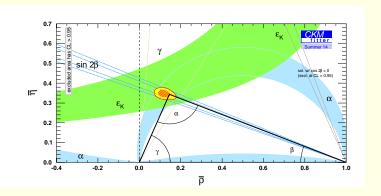
CKMFitter

Very likely, the CKM mechanism dominates FV and CPV

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CPC vs. CPV



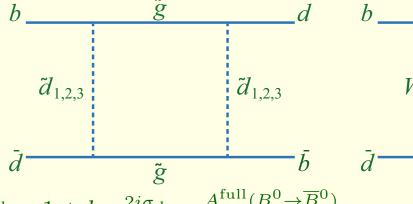


Very likely, the KM mechanism dominates CP violation

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$S_{\psi K_S}$ with NP

- Reminder: $S_{\psi K_S} = \mathcal{I}m \left[\frac{A(B^0 \to \overline{B^0})}{|A(B^0 \to \overline{B^0})|} \frac{A(\overline{B^0} \to \psi K_S)}{A(B^0 \to \psi K_S)} \right]$
- NP contributions to the tree level decay amplitude negligible
- NP contributions to the loop + CKM suppressed mixing amplitude could be large
- Define $h_d e^{2i\sigma_d} = \frac{A^{\text{NP}}(B^0 \to \overline{B}^0)}{A^{\text{SM}}(B^0 \to \overline{B}^0)}$



$$r_d e^{2i\theta_d} = 1 + h_d e^{2i\sigma_d} = \frac{A^{\text{full}}(B^0 \to \overline{B}^0)}{A^{\text{SM}}(B^0 \to \overline{B}^0)}$$

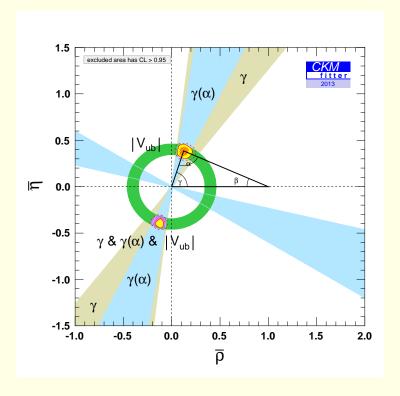
• $S_{\psi K_S} = \sin[2(\beta + \theta_d)] = f(\rho, \eta, h_d, \sigma_d)$

Testing CKM - take II

- Allow arbitrary new physics in $B^0 \overline{B}^0$ mixing: $\implies h_d e^{2i\sigma_d} = A^{\rm NP}(B^0 \to \overline{B})/A^{\rm SM}(B^0 \to \overline{B})$
- Consider only tree decays and $B^0 \overline{B}^0$ mixing: $|V_{ub}/V_{cb}|$, \mathcal{A}_{DK} , $S_{\psi K}$, $S_{\rho\rho}$, Δm_{B_d} , $\mathcal{A}_{\rm SL}^d$
- Fit to the four parameters: ρ, η (CKM), h_d, σ_d (NP)
- Find whether $\eta = 0$ is allowed If not \Longrightarrow The KM mechanism is at work
- Find whether $h_d \gtrsim 1$ is allowed If not \Longrightarrow The CKM mechanism is dominant

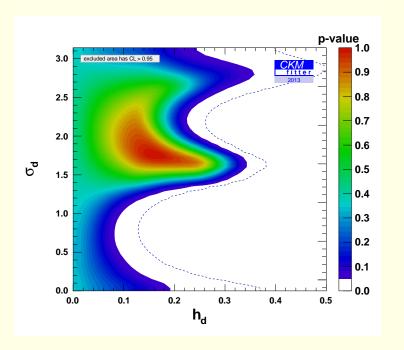
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$$\eta \neq 0$$
?



• The KM mechanism is at work

$$h_d \ll 1$$
?



- The KM mechanism dominates CP violation
- The CKM mechanism dominates flavor violation

NP in flavor?

- Most tensions either disappeared or below 3σ or involve large hadronic uncertainties:
 - Lepton universality in $B \to D^{(*)} \tau \nu$
 - Lepton universality in $B \to K\ell^+\ell^-$
 - Angular distribution in $B \to K^* \ell^+ \ell^-$
 - CP violation in $D \to K^+K^-, \pi^+\pi^-$
 - CP violation in $B_{d,s} \to \ell \nu X$

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$$B \to D^{(*)} \tau \nu$$

• BaBar: 3.4 σ deviation from SM in $R(D^{(*)}) = \frac{\Gamma(B \to D^{(*)}\tau\nu)}{\Gamma(B \to D^{(*)}\ell\nu)}$

	BaBar	Belle	LHCb	SM
R(D)	0.44 ± 0.07	0.37 ± 0.07		0.30 ± 0.02
$R(D^*)$	0.33 ± 0.03	0.29 ± 0.04	0.34 ± 0.04	0.252 ± 0.003

$$B \to D^{(*)} \tau \nu$$

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- Naively: $R(D) = 0.41 \pm 0.05 = 3.1\sigma$, $R(D^*) = 0.32 \pm 0.02 = 3.4\sigma$, $R(D^{(*)}) = 4.6\sigma$
- τ 's difficult for B-factories
- SM predictions fairly robust: HQS + lattice QCD

Z. Ligeti in Naturalness 2014 (WIS)

Intermediate summary II

- The KM phase is different from zero (SM violates CP)
- The KM mechanism is the dominant source of the CP violation observed in meson decays
- Complete alternatives to the KM mechanism are excluded (Superweak, Approximate CP)
- CP violation in D, B_s may still hold surprises
- The CKM mechanism is the dominant source of the flavor violation observed in meson decays
- NP contributions to the observed FCNC are small $(s \leftrightarrow d, c \leftrightarrow u, b \leftrightarrow d, b \leftrightarrow s)$
- So what remains to be understood?

Flavor Physics

The Flavor Puzzles

Smallness and Hierarchy

$$Y_t \sim 1, \quad Y_c \sim 10^{-2}, \quad Y_u \sim 10^{-5}$$
 $Y_b \sim 10^{-2}, \quad Y_s \sim 10^{-3}, \quad Y_d \sim 10^{-4}$
 $Y_\tau \sim 10^{-2}, \quad Y_\mu \sim 10^{-3}, \quad Y_e \sim 10^{-6}$
 $|V_{us}| \sim 0.2, \quad |V_{cb}| \sim 0.04, \quad |V_{ub}| \sim 0.004, \quad \delta_{\rm KM} \sim 1$

• For comparison: $g_s \sim 1$, $g \sim 0.6$, $g' \sim 0.3$, $\lambda \sim 0.1$

Smallness and Hierarchy

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- For comparison: $g_s \sim 1$, $g \sim 0.6$, $g' \sim 0.3$, $\lambda \sim 0.1$
- SM flavor parameters have structure: smallness + hierarchy
- Why? = The SM flavor puzzle

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The ν flavor puzzle

Neither Smallness Nor Hierarchy

- $\Delta m_{21}^2 = (7.5 \pm 0.2) \times 10^{-5} \text{ eV}^2$, $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.55 \pm 0.01$, $|U_{\mu 3}| = 0.67 \pm 0.03$, $|U_{e3}| = 0.148 \pm 0.003$

Gonzalez-Garcia et al., 1409.5439

The ν flavor puzzle

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- $\Delta m_{21}^2 = (7.5 \pm 0.2) \times 10^{-5} \text{ eV}^2$, $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
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Gonzalez-Garcia et al., 1409.5439

- $|U_{\mu 3}| > \text{any } |V_{ij}|;$
- $|U_{e2}| > \text{any } |V_{ij}|$
- $|U_{e3}| \not\ll |U_{e2}U_{\mu3}|$
- $m_2/m_3 \gtrsim 1/6 > \text{any } m_i/m_j \text{ for charged fermions}$
- So far, neither smallness nor hierarchy
- Why is the ν flavor structure different?
 - = The ν flavor puzzle

The ν flavor puzzle

Structure is in the eye of the beholder

$$|U|_{3\sigma} = \begin{pmatrix} 0.80 - 0.85 & 0.51 - 0.58 & 0.14 - 0.16 \\ 0.22 - 0.52 & 0.44 - 0.70 & 0.61 - 0.79 \\ 0.25 - 0.53 & 0.46 - 0.71 & 0.59 - 0.78 \end{pmatrix}$$

Structure is in the eye of the beholder

$$|U|_{3\sigma} = \begin{pmatrix} 0.80 - 0.85 & 0.51 - 0.58 & 0.14 - 0.16 \\ 0.22 - 0.52 & 0.44 - 0.70 & 0.61 - 0.79 \\ 0.25 - 0.53 & 0.46 - 0.71 & 0.59 - 0.78 \end{pmatrix}$$

• Tribimaximal-ists:

$$|U|_{\text{TBM}} = \begin{pmatrix} 0.82 & 0.58 & 0\\ 0.41 & 0.58 & 0.71\\ 0.41 & 0.58 & 0.71 \end{pmatrix}$$

• Anarch-ists:

$$|U|_{\text{anarchy}} = \begin{pmatrix} \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \\ \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \\ \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \end{pmatrix}$$

The SM = Low energy effective theory

1. Gravity
$$\Longrightarrow \Lambda_{\rm Planck} \sim 10^{19} \ GeV$$

2.
$$m_{\nu} \neq 0 \Longrightarrow \Lambda_{\text{Seesaw}} \leq 10^{15} \ GeV$$

3. m_H^2 -fine tuning $\Longrightarrow \Lambda_{\text{top-partners}} \sim TeV$ Dark matter $\Longrightarrow \Lambda_{\text{wimp}} \sim TeV$



- The SM = Low energy effective theory
- Must write non-renormalizable terms suppressed by $\Lambda_{\rm NP}^{d-4}$
- $\mathcal{L}_{d=5} = \frac{y_{ij}^{\nu}}{\Lambda_{\text{seesaw}}} L_i L_j \phi \phi$
- $\mathcal{L}_{d=6}$ contains many flavor changing operators

New Physics

- The effects of new physics at a high energy scale $\Lambda_{\rm NP}$ can be presented as higher dimension operators
- For example, we expect the following dimension-six operators:

$$\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_{\mu} s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_{\mu} u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_{\mu} b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_{\mu} b_L)^2$$

• New contribution to neutral meson mixing, e.g.

$$\frac{\Delta m_B}{m_B} \sim \frac{f_B^2}{3} \times \frac{|z_{bd}|}{\Lambda_{\rm NP}^2}$$

• Generic flavor structure $\equiv z_{ij} \sim 1$ or, perhaps, loop – factor

Some data

Sector	CP-conserving	CP-violating
sd	$\Delta m_K/m_K = 7.0 \times 10^{-15}$	$\epsilon_K = 2.3 \times 10^{-3}$
cu	$\Delta m_D/m_D = 8.7 \times 10^{-15}$	$A_{\Gamma}/y_{\rm CP} \lesssim 0.2$
bd	$\Delta m_B/m_B = 6.3 \times 10^{-14}$	$S_{\psi K} = +0.67 \pm 0.02$
bs	$\Delta m_{B_s}/m_{B_s} = 2.1 \times 10^{-12}$	$S_{\psi\phi} = -0.04 \pm 0.09$

High Scale?

- $\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_\mu u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_\mu b_L)^2$
- For $|z_{ij}| \sim 1$, $\mathcal{I}m(z_{ij}) \sim 1$:

Mixing	$\Lambda_{ m NP}^{CPC} \gtrsim$	$\Lambda_{ m NP}^{CPV} \gtrsim$	Mixing	$\Lambda_{ m NP}^{CPC} \gtrsim$	$\Lambda_{ m NP}^{CPV} \gtrsim$
$K - \overline{K}$	$1000~{\rm TeV}$	$20000~{ m TeV}$	$D - \overline{D}$	1000 TeV	$3000~{\rm TeV}$
$B - \overline{B}$	400 TeV	800 TeV	$B_s - \overline{B_s}$	$70 \mathrm{TeV}$	200 TeV

High Scale?

•
$$\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_\mu u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_\mu b_L)^2$$

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- Did we misinterpret the Higgs fine-tuning problem?
- Did we misinterpret the dark matter puzzle?

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Degeneracy and Alignment?

•
$$\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_\mu u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_\mu b_L)^2$$

• For $\Lambda_{\rm NP} \sim 1 \ TeV$:

Mixing	$ z_{ij} \lesssim$	$\mathcal{I}m(z_{ij}) \lesssim$	Mixing	$ z_{ij} \lesssim$	$\mathcal{I}m(z_{ij}) \lesssim$
$K - \overline{K}$	8×10^{-7}	6×10^{-9}	$D - \overline{D}$	5×10^{-7}	1×10^{-7}
$B - \overline{B}$	5×10^{-6}	1×10^{-6}	$B_s - \overline{B_s}$	2×10^{-4}	2×10^{-5}

Degeneracy and Alignment?

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$$\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_\mu u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_\mu b_L)^2$$

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$B - \overline{B}$	5×10^{-6}	1×10^{-6}	$B_s - \overline{B_s}$	2×10^{-4}	2×10^{-5}

- The flavor structure of NP@TeV must be highly non-generic Degeneracies/Alignment
- How? Why? = The NP flavor puzzle

How does the SM $(\Lambda_{\rm SM} \sim m_W)$ do it?

		$z_{ij} \sim$	$z_{ij}^{ m SM}$
$\Delta m_K/m_K$	7.0×10^{-15}	5×10^{-9}	$\alpha_2^2 y_c^2 V_{cd} V_{cs} ^2$
$\Delta m_D/m_D$	8.7×10^{-15}	5×10^{-9}	Long Distance
$\Delta m_B/m_B$	6.3×10^{-14}	7×10^{-8}	$\alpha_2^2 y_t^2 V_{td} V_{tb} ^2$
$\Delta m_{B_s}/m_{B_s}$	2.1×10^{-12}	2×10^{-6}	$\alpha_2^2 y_t^2 V_{ts} V_{tb} ^2$
		$rac{\mathcal{I}m(z_{ij})}{ z_{ij} }\sim$	$rac{\mathcal{I}m(z_{ij}^{ ext{SM}})}{ z_{ij}^{ ext{SM}} }$
ϵ_K	2.3×10^{-3}	O(0.01)	$\mathcal{I}m \frac{y_t^2 (V_{td}^* V_{ts})^2}{y_c^2 (V_{cd}^* V_{cs})^2} \sim 0.01$
A_{Γ}	≤ 0.004	≤ 0.2	0
$S_{\psi K_S}$	0.67 ± 0.02	$\mathcal{O}(1)$	$\mathcal{I}m \frac{V_{tb}V_{td}^*}{V_{tb}^*V_{td}} \frac{V_{cb}^*V_{cd}}{V_{cb}V_{cd}^*} \sim 0.7$
$S_{\psi\phi}$	≤ 0.1	≤ 0.1	$\mathcal{I}m \frac{V_{tb}V_{ts}^*}{V_{tb}^*V_{ts}} \frac{V_{cb}^*V_{cs}}{V_{cb}V_{cs}^*} \sim 0.02$

• Does the new physics know the SM Yukawa structure? (MFV)

Two Higgs Doublets Models (2HDM)

- $\mathcal{L}_{\text{Yukawa}} = -\sum_{i=1,2} \left(\overline{Q} \tilde{\phi}_i Y_i^U U + \overline{Q} \phi_i Y_i^D D + \overline{L} \phi_i Y_i^E E + \text{h.c.} \right)$
- Without loss of generality, choose a basis

$$egin{aligned} \langle \phi_M
angle &= v/\sqrt{2}, & \langle \phi_A
angle &= 0 \ \begin{pmatrix} \phi_M \ \phi_A \end{pmatrix} &= \begin{pmatrix} c_eta & s_eta \ -s_eta & c_eta \end{pmatrix} \begin{pmatrix} \phi_1 \ \phi_2 \end{pmatrix} \end{aligned}$$

- In this basis: $Y_M^F = \sqrt{2}M^F/v$, $Y_A^F = \text{arbitrary}$
- Five scalar mass eigenstates: h, H, A, H^{\pm}

$$\begin{pmatrix} \phi_H \\ \phi_h \end{pmatrix} = \begin{pmatrix} c_{\alpha} & s_{\alpha} \\ -s_{\alpha} & c_{\alpha} \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

The 2HDM flavor puzzle

- $Y_h^F = c_{\alpha-\beta}Y_A^F s_{\alpha-\beta}Y_M^F$ $Y_H^F = s_{\alpha-\beta}Y_A^F + c_{\alpha-\beta}Y_M^F$
- Proportionality is lost: $Y_{h,H,A}^F \not\propto Y_M^F$
- Diagonality is lost: $(Y_{h,H,A}^F)_{ij} \neq 0$ for $i \neq j$
- FCNC at tree level
- For example, $z_{sd}^h \sim c_{\alpha-\beta}^2(Y_A^D)_{sd}(Y_A^D)_{ds}/m_h^2$ $\implies c_{\alpha-\beta}^2(Y_A^D)_{sd}(Y_A^D)_{ds} \lesssim 10^{-10}$

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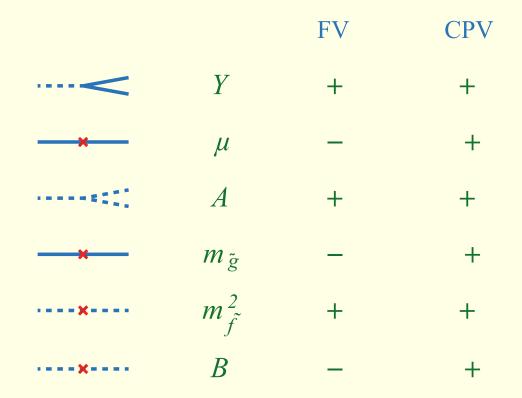
The NP flavor puzzle

The 2HDM flavor puzzle

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Why? = The 2HDM flavor puzzle

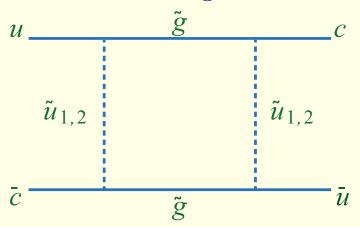
Supersymmetry (for Phenomenologists)



80 real + 44 imaginary parameters

The $D^0 - \overline{D^0}$ mixing challenge

Take, for example, the contribution from the first two generations of squark doublets to $D - \bar{D}$ mixing:



$$\begin{split} \Lambda_{\mathrm{NP}} &= m_{\tilde{Q}} \\ z_{cu} \sim 3.8 \times 10^{-5} \frac{(\Delta m_{\tilde{Q}}^2)^2}{m_{\tilde{Q}}^4} (K_{21}^{u_L} K_{11}^{u_L*})^2 \\ &\Longrightarrow \frac{TeV}{m_{\tilde{Q}}} \times \frac{\Delta m_{\tilde{Q}}^2}{m_{\tilde{Q}}^2} \times \sin 2\theta_u \leq 0.05 - 0.10 \end{split}$$

The NP flavor Puzzle

The SUSY flavor puzzle

$$\frac{TeV}{\tilde{m}} \times \frac{\Delta \tilde{m}_{ij}^2}{\tilde{m}^2} \times K_{ij} \ll 1$$

Why? = The SUSY flavor puzzle

The SUSY flavor puzzle

$$\left| \frac{TeV}{\tilde{m}} \times \frac{\Delta \tilde{m}_{ij}^2}{\tilde{m}^2} \times K_{ij} \ll 1 \right|$$

Why? = The SUSY flavor puzzle

- Solutions:
 - Heaviness: $\tilde{m} \gg 1 \ TeV$
 - Degeneracy: $\Delta \tilde{m}_{ij}^2 \ll \tilde{m}^2$
 - Alignment: $K_{ij} \ll 1$

- Split Supersymmetry
- Gauge-mediation
- Horizontal symmetries

The Flavor Puzzles

Intermediate summary III

• The SM flavor puzzle:

Why is there smallness and hierarchy in the SM flavor parameters?

• The ν flavor puzzle:

Why is there neither smallness nor hierarchy in the neutrino flavor parameters?

• The NP flavor puzzle:

Why is there alignment and/or degeneracy in NP@TeV flavor parameters?

Flavor Physics

Flavor Models

Natural Flavor Conservation (NFC)

- A solution to the 2HDM flavor puzzle
- NFC \equiv Each fermion sector (U, D, E) couples to a single Higgs doublet
- Type II: $\overline{Q}Y^UU\phi_2 + \overline{Q}Y^DD\phi_1 + \overline{L}Y^EE\phi_1$ $\implies Y_A^U = \cot\beta \ Y_M^U, \ Y_A^{D,E} = \tan\beta \ Y_M^{D,E}$
- In all NFC models, $Y_A \propto Y_M$:
 - Proportionality is restored $Y_{h,H,A}^F \propto Y_M^F$
 - Diagonality is restored $(Y_{h,H,A}^F)_{ij} = 0$ for $i \neq j$
- No Higgs-mediated FCNC at tree level

Minimal Flavor Violation (MFV)

- A solution to the NP flavor puzzle
- SM: When $Y^F = 0 \Longrightarrow A$ large global symmetry $SU(3)_Q \times SU(3)_U \times SU(3)_D \times SU(3)_L \times SU(3)_E$
- MFV \equiv The only NP breaking of the $SU(3)^5$ symmetry: $Y^U(3, \bar{3}, 0, 0, 0), Y^D(3, 0, \bar{3}, 0, 0), Y^E(0, 0, 0, 3, \bar{3})$ $(\lambda_u, \lambda_d, V, \lambda_e)$
- Example: Gauge mediated supersymmetry breaking
- FV suppressed by small fermion masses and CKM angles

Flavor models

MFV, Operationally...

1. SM = Low energy effective theory:

All higher dimensional operators, constructed from SM fields and the Y_q -spurions are formally invariant under $SU(3)^3$

2. A new high energy physics theory:

All operators, constructed from SM and NP fields and the Y_q -spurions are formally invariant under $SU(3)^3$ Example: Gauge mediated supersymmetry breaking (GMSB)

Flavor models

MFV-EFT Example

- Consider $\frac{z_{sd}}{\Lambda_{NP}^2} (\overline{s_L} \gamma_{\mu} d_L)^2$
- $\overline{s_L} \in (\overline{3}, 1, 1), \quad d_L \in (3, 1, 1) \implies (\overline{s_L} \gamma_\mu d_L) \in (8, 1, 1)$
- $Y_d Y_d^{\dagger} = (\bar{3}, 1, 3) \times (3, 1, \bar{3}) \supset (8, 1, 1)$ $Y_u Y_u^{\dagger} = (\bar{3}, 3, 1) \times (3, \bar{3}, 1) \supset (8, 1, 1)$
- But we are in the down mass basis: $Y_d = \lambda_d \Longrightarrow (Y_d Y_d^{\dagger})_{12} = 0$
- Must be $(Y_u Y_u^{\dagger})_{12} = (V^{\dagger} \lambda_u^2 V)_{12} \approx y_t^2 V_{td}^* V_{ts}$
- $z_{sd} \propto y_t^4 (V_{td}^* V_{ts})^2$
- $z_{cu} \propto y_b^4 (V_{ub} V_{cb}^*)^2$ $z_{bd} \propto y_t^4 (V_{td}^* V_{tb})^2$ $z_{bs} \propto y_t^4 (V_{ts}^* V_{tb})^2$
- With the help of a loop factor, phenomenologically OK!

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The Froggatt-Nielsen mechanism (FN)

- A solution to both the SM and the NP flavor puzzles
- Can solve also the ν flavor puzzle
- Approximate "horizontal" symmetry (e.g. $U(1)_H$)
- Small breaking parameter $\epsilon_H = \langle S_{-1} \rangle / \Lambda \ll 1$
- $\bullet \implies \text{Selection rules:}$
 - $-Y_{ij}^d \sim \epsilon^{H(Q_i) + H(\bar{d}_j) + H(\phi_d)}$
 - $-Y_{ij}^u \sim \epsilon^{H(Q_i)+H(\bar{u}_j)+H(\phi_u)}$
 - $-Y_{ij}^{\ell} \sim \epsilon^{H(L_i) + H(\bar{\ell}_j) + H(\phi_d)}$
 - $-Y_{ij}^{\nu} \sim \epsilon^{H(L_i) + H(L_j) + 2H(\phi_u)}$
- Can generate hierarchy and alignment, but not degeneracy

Flavor models

The FN mechanism: An example

• $H(Q_i) = 2, 1, 0, \quad H(\bar{d}_j) = 2, 1, 0, \quad H(\phi_d) = 0$

$$Y^d \sim \left(egin{array}{cccc} \epsilon^4 & \epsilon^3 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & \epsilon \\ \epsilon^2 & \epsilon & 1 \end{array}
ight)$$

- $Y_b:Y_s:Y_d\sim 1:\epsilon^2:\epsilon^4$
- $(V_L^d)_{12} \sim \epsilon$, $(V_L^d)_{23} \sim \epsilon$, $(V_L^d)_{13} \sim \epsilon^2$

The FN mechanism: a viable model

- Approximate "horizontal" symmetry (e.g. $U(1)_H$)
- Small breaking parameter $\epsilon = \langle S_{-1} \rangle / \Lambda \ll 1$
- $\mathbf{10}(2,1,0), \overline{\mathbf{5}}(0,0,0)$

```
\downarrow \downarrow \\
Y_t : Y_c : Y_u \sim 1 : \epsilon^2 : \epsilon^4 \\
Y_b : Y_s : Y_d \sim 1 : \epsilon : \epsilon^2 \\
Y_\tau : Y_\mu : Y_e \sim 1 : \epsilon : \epsilon^2 \\
|V_{us}| \sim |V_{cb}| \sim \epsilon, \quad |V_{ub}| \sim \epsilon^2, \quad \delta_{\text{KM}} \sim 1 \\
+ \\
m_3 : m_2 : m_1 \sim 1 : 1 : 1 \\
|U_{e2}| \sim 1, \quad |U_{u3}| \sim 1, \quad |U_{e3}| \sim 1
```

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Intermediate summary IV

- Various solutions to the SM flavor puzzle
 - Approximate Abelian symmetry
 - Approximate non-Abelian symmetry ($[SU(2)]^3,...$)
 - Strong dynamics
 - Location in extra dimension
- Various solutions to the NP flavor puzzle
 - Approximate Abelian symmetry
 - Approximate non-Abelian symmetry ($[SU(2)]^3,...$)
 - Strong dynamics
 - MFV
 - NFC (2HDM)

Flavor Physics

The flavor of h

Dery, Efrati, Hochberg, YN, JHEP1305,039 [arXiv:1302.3229]

Dery, Efrati, Hiller, Hochberg, YN, JHEP1308,006 [arXiv:1304.6727]

Dery, Efrati, YN, Soreq, Susič, PRD90, 115022 [arXiv:1408.1371]

Can we make progress?

- NP that couples to quarks/leptons \Longrightarrow New flavor parameters (spectrum, flavor decomposition) that can be measured
- The NP flavor structure could be:
 - MFV
 - Related but not identical to SM
 - Unrelated to SM or even anarchical
- The NP flavor puzzle:
 With ATLAS/CMS we are likely to understand how it is solved
- The SM flavor puzzle:
 Progress possible if structure not MFV but related to SM

Can we make progress?

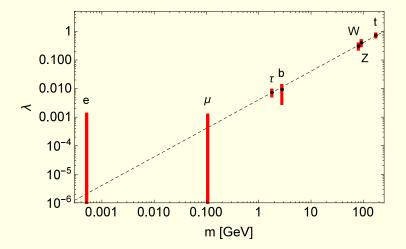
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 With ATLAS/CMS we are likely to understand how it is solved
- The SM flavor puzzle:
 Progress possible if structure not MFV but related to SM
- $h \implies$ The "NP" is already here! $Y_{\bar{f}_i f_i}$ are new flavor parameters that can be measured

Relevant data

Observable	Experiment	
$R_{\gamma\gamma}$	1.15 ± 0.18	
R_{ZZ^*}	1.2 ± 0.2	
R_{WW^*}	0.9 ± 0.2	
$R_{bar{b}}$	0.7 ± 0.3	
$R_{ au au}$	1.04 ± 0.23	
$R_{\mu\mu}$	< 7	
R_{ee}	$<4\times10^5$	

•
$$R_f = \frac{\sigma_{\text{prod}}BR(h \to f)}{[\sigma_{\text{prod}}BR(h \to f)]^{SM}}$$

$$Y_f \propto m_f$$
?



A. Efrati

- Indication that Y_t, Y_b, Y_τ not far from SM
- The beginning of Higgs flavor physics

Leptonic observables

Observable
$$(\ell = e, \mu)$$
 SM
$$R_{\tau^{+}\tau^{-}} \qquad 1$$

$$X_{\ell\ell} = \frac{\text{BR}(h \to \ell^{+}\ell^{-})}{\text{BR}(h \to \tau^{+}\tau^{-})} \qquad (m_{\ell}/m_{\tau})^{2}$$

$$X_{\ell\tau} = \frac{\text{BR}(h \to \ell^{\pm}\tau^{\mp})}{\text{BR}(h \to \tau^{+}\tau^{-})} \qquad 0$$

• What can we learn from $R_{\tau\tau}$, $X_{\ell\ell}$, $X_{\ell\tau}$?

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Leptonic observables

Observable
$$(\ell = e, \mu)$$
 SM
$$R_{\tau^{+}\tau^{-}} \qquad 1$$

$$X_{\ell\ell} = \frac{BR(h \to \ell^{+}\ell^{-})}{BR(h \to \tau^{+}\tau^{-})} \qquad (m_{\ell}/m_{\tau})^{2}$$

$$X_{\ell\tau} = \frac{BR(h \to \ell^{\pm}\tau^{\mp})}{BR(h \to \tau^{+}\tau^{-})} \qquad 0$$

- What can we learn from $R_{\tau\tau}$, $X_{\ell\ell}$, $X_{\ell\tau}$?
- ATLAS/CMS:
 - $-R_{\tau\tau} = 1.04 \pm 0.23$
 - $-X_{\mu\mu} < 15(m_{\mu}/m_{\tau})^2 \sim 0.05, X_{ee} < 8 \times 10^5 (m_e/m_{\tau})^2 \sim 0.07$
 - $-BR_{\mu\tau} = 0.009 \pm 0.004 \implies X_{\mu\tau} = 0.14 \pm 0.06 < 0.3$

Natural Flavor Conservation (NFC)

- A solution to the 2HDM flavor puzzle
- NFC \equiv Each fermion sector (U, D, E) couples to a single Higgs doublet
- Type II: $\overline{Q}Y^UU\phi_2 + \overline{Q}Y^DD\phi_1 + \overline{L}Y^EE\phi_1$
- $Y_h^E = (\sin \alpha / \cos \beta)(\sqrt{2}M_E/v)$

$h \to \mu \tau$ in EFT

- SM: Forbidden by the accidental $U(1)_{\mu} \times U(1)_{\tau}$
- $d = 5 \text{ terms } \frac{(Y^N)_{ij}}{\Lambda} L_i L_j \phi \phi$: Allowed, but FCNC \Longrightarrow
 - Loop suppression $\sim \alpha_2^2$
 - Mixing suppression $\sim |U_{\mu 3}U_{\tau 3}|^2$
 - GIM suppression $\sim (\Delta m_{23}^2/m_W^2)^2$
- d = 6 terms $\frac{1}{\Lambda^2} (\phi^{\dagger} \phi) \phi \overline{\mu_L} Z_{\mu\tau}^e \tau_R$: The leading contribution – $M_E = \frac{v}{\sqrt{2}} \left(Y^e + \frac{v^2}{2\Lambda^2} Z^e \right), \quad Y_h^E = Y^e + 3 \frac{v^2}{2\Lambda^2} Z^e$ $\implies Y_h^E = (\sqrt{2} M_E / v) + \frac{v^2}{2\Lambda^2} Z^e$
- Note: $\frac{1}{\Lambda^2} \phi \overline{\mu_L} X^e_{\mu \tau} \sigma_{\mu \nu} \tau_R F^{\mu \nu} \implies \tau \to \mu \gamma$

Minimal Flavor Violation (MFV)

- A solution to the NP flavor puzzle
- SM: When $Y^F = 0 \Longrightarrow A$ large global symmetry $SU(3)_Q \times SU(3)_U \times SU(3)_D \times SU(3)_L \times SU(3)_E$
- MFV \equiv The only NP breaking of the $SU(3)^5$ symmetry: $Y^U(3, \bar{3}, 0, 0, 0), Y^D(3, 0, \bar{3}, 0, 0), Y^E(0, 0, 0, 3, \bar{3})$
- Example: $\frac{1}{\Lambda^2} (\phi^{\dagger} \phi) \overline{L_{Li}} Z_{ij}^e \phi E_{Rj}$

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The Froggatt-Nielsen mechanism (FN)

- A solution to both the SM and the NP flavor puzzles
- A $U(1)_H$ symmetry broken by a small spurion $\epsilon_H(-1) \ll 1$
- Example: $\frac{1}{\Lambda^2} (\phi^{\dagger} \phi) \overline{L_{Li}} Z_{ij}^e \phi E_{Rj}$
- $\bullet \ | Z_{ij}^e = \mathcal{O}(y_j |U_{ij}|) |$

Flavor models

- 2HDM with Type II NFC
 - Universal correction to the diagonal couplings
- SM-EFT with MFV
 - Non-universal correction to the diagonal couplings
- SM-EFT with FN
 - Non-universal correction to the diagonal couplings +
 Off-diagonal couplings

Higgs Physics = new flavor arena

Model	$Y_{ au}^2/(2m_{ au}^2/v^2)$	$(Y_{\mu}^2/Y_{\tau}^2)/(m_{\mu}^2/m_{\tau}^2)$	$Y_{\mu au}^2/Y_{ au}^2$
SM	1	1	0
NFC-II	$(\sin \alpha / \cos \beta)^2$	1	0
MFV	$1+2av^2/\Lambda^2$	$1-4bm_{ au}^2/\Lambda^2$	0
FN	$1 + \mathcal{O}(v^2/\Lambda^2)$	$1 + \mathcal{O}(v^2/\Lambda^2)$	$\mathcal{O}(U_{23} ^2v^4/\Lambda^4)$
GL	9	25/9	$\mathcal{O}(10^{-2})$

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Higgs Physics = new flavor arena

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Measuring Y_{ij} can probe flavor models

Model building: The question

• Experimentally, the best direct probes of FC Higgs couplings:

$$-t \rightarrow hq \ (q=c,u)$$

$$-h \to \tau \ell \ (\ell = \mu, e)$$

• Are there viable and natural flavor models that have

$$-Y_{qt} \sim 0.17 \text{ but } Y_{uc} \lesssim 10^{-4}$$
?

$$-Y_{\ell\tau} \sim 0.02 \text{ but } Y_{e\mu} \lesssim 10^{-6}$$
?

Naively

$$-Y_{uc}/Y_{ct} \sim |V_{us}/V_{cb}|(m_c/m_t) \sim 10^{-2} \Longrightarrow \text{too large}$$

$$-Y_{e\mu}/Y_{\mu\tau} \sim |U_{e2}/U_{\mu3}|(m_{\mu}/m_{\tau}) \sim 0.05 \Longrightarrow \text{too large}$$

Model building: The answer

- NFC
 - Impossible $(Y_{qt} = Y_{\ell\tau} = 0)$
- MFV
 - Impossible* $(Y_{ct} \leq V_{cb} \sim 0.04, Y_{\mu\tau} = 0)$
- FN:
 - Possible only with supersymmetry and holomorphic zeros

Model building: The answer

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 - Impossible $(Y_{qt} = Y_{\ell\tau} = 0)$
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 - Impossible* $(Y_{ct} \leq V_{cb} \sim 0.04, Y_{\mu\tau} = 0)$
- FN:
 - Possible only with supersymmetry and holomorphic zeros
- The upper bounds on Y_{ct} and $Y_{\mu\tau}$ can be saturated within viable and natural flavor models
- The models are not generic and need to be carefully selected
- If $t \to hq$ or $h \to \tau \ell$ is observed in experiments, it will challenge present explanations of the flavor puzzles

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Intermediate summary V

Measure:

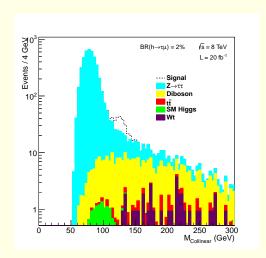
- Third generation couplings: Y_t , Y_b , Y_τ
- Second generation couplings: Y_c , Y_s , Y_{μ}
- Flavor violating couplings: $Y_{\mu\tau}$, $Y_{e\tau}$, Y_{ct} , Y_{ut}

Test:

- NFC
- MFV
- FN
- . .

$Y_{\tau\ell}$: Experiment

Shikma Bressler, Avital Dery, Aielet Efrati, PRD 90 (2014) 015025 [1405.3229]



On the blackboard if time allows...

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Concluding Comments

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Flavor Physics at the LHC era

- If ATLAS/CMS observe no NP...
- and flavor factories observe no NP...

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Flavor Physics at the LHC era

- If ATLAS/CMS observe no NP...
- but flavor factories observe NP...
 - We may have misinterpreted the fine-tuning problem
 - We may have misinterpreted the dark matter puzzle
 - Flavor will provide the only clue for an accessible scale of NP

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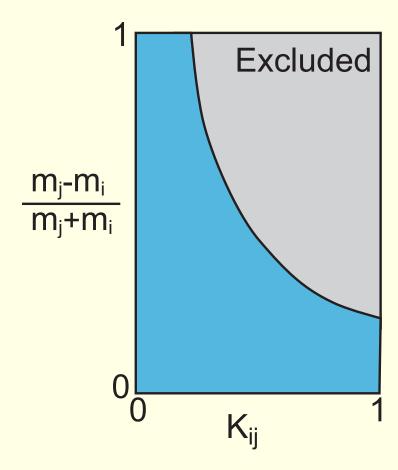
Flavor Physics at the LHC era

ATLAS/CMS will, hopefully, observe NP at $\Lambda_{\rm NP} \lesssim TeV$; In combination with flavor factories, we may...

- Understand how the NP flavor puzzle is (not) solved
- Probe NP at $\Lambda_{\rm NP} \gg TeV$
- Get hints about the solution to the SM flavor puzzle

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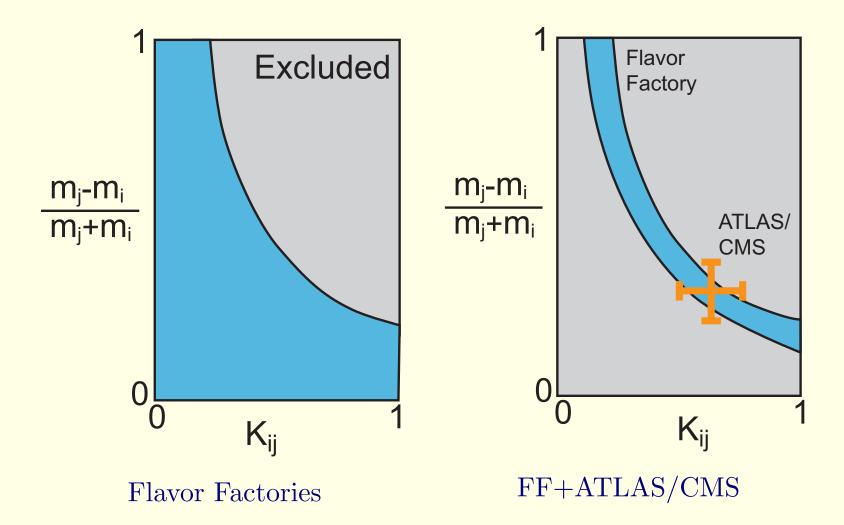
Degeneracy vs. Alignment



Flavor Factories

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Degeneracy vs. Alignment



Flavor Physics

Conclusions

- In the absence of NP at ATLAS/CMS, flavor factories will be crucial to find $\Lambda_{\rm NP}$
- The NP flavor puzzle is likely to be understood
- Understanding the NP flavor puzzle \Longrightarrow Probe physics at $\Lambda_{\rm NP} \gg \Lambda_{\rm LHC}$
- With NP that is affected by the mechanism that determines the Yukawa structure: The SM flavor puzzle may be solved
- The Yukawa couplings of h: A new arena for flavor physics

Conclusions

- In the absence of NP at ATLAS/CMS, flavor factories will be crucial to find $\Lambda_{\rm NP}$
- The NP flavor puzzle is likely to be understood
- Understanding the NP flavor puzzle \Longrightarrow Probe physics at $\Lambda_{\rm NP} \gg \Lambda_{\rm LHC}$
- With NP that is affected by the mechanism that determines the Yukawa structure: The SM flavor puzzle may be solved
- The Yukawa couplings of h: A new arena for flavor physics
- My modest request from Nature (and from ATLAS/CMS): $BR(h \to \mu \tau) \sim 0.01$ at $\gtrsim 5\sigma$

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