

# Nuclear Parton Distributions and Applications to Drell-Yan and (Anti)neutrino Scattering

---

S. Kulagin

*INR Moscow, Russia*

R. Petti

*University of South Carolina, Columbia SC, USA*

*DIS 2015*

*April 28th, 2015, Dallas, TX, USA*

## OUTLINE

### I *A Global Approach to Nuclear Parton Distributions*

- ◆ *Different mechanisms of nuclear effects in different kinematical regions;*
- ◆ *Off-shell correction  $\Leftrightarrow$  in-medium modification of bound nucleons;*
- ◆ *Constraints/connections from PDF Sum Rules.*

### II *Application to Drell-Yan production in pA*

- ◆ *Corrections from nuclear target and from projectile energy loss;*
- ◆ *Comparisons with E772 and E866 Drell-Yan data.*

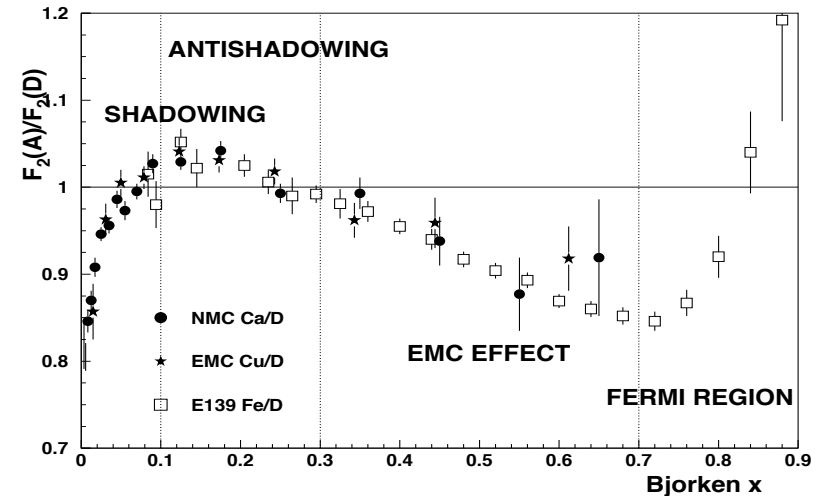
### III *Application to (Anti)neutrino Scattering*

- ◆ *Comparisons with CHORUS and NuTeV differential cross-section data;*
- ◆ *Nuclear corrections for charm production.*

# NUCLEAR PARTON DISTRIBUTIONS

- ◆ **GLOBAL APPROACH** aiming to obtain *a quantitative model* covering the complete range of  $x$  and  $Q^2$  available (S. Kulagin and R.P., NPA 765 (2006) 126):

- Scale of nuclear processes (target frame)  $L_I = (Mx)^{-1}$   
Distance between nucleons  $d = (3/4\pi\rho)^{1/3} \sim 1.2\text{Fm}$
- $L_I < d$   
For  $x > 0.2$  nuclear DIS  $\sim$  *incoherent sum* of contributions from bound nucleons
- $L_I \gg d$   
For  $x \ll 0.2$  *coherent effects* of interactions with few nucleons are important



- ◆ **DIFFERENT EFFECTS** on parton distributions (PDF) are taken into account:

$$q_{a/A} = q_a^{p/A} + q_a^{n/A} + \delta q_a^{\text{MEC}} + \delta q_a^{\text{coh}} \quad a = u, d, s, \dots$$

- $q_a^{p(n)/A}$  PDF in bound  $p(n)$  with *Fermi Motion, Binding (FMB) and Off-Shell effect (OS)*
- $\delta q_a^{\text{MEC}}$  *nuclear Meson Exchange Current (MEC) correction*
- $\delta q_a^{\text{coh}}$  contribution from coherent nuclear interactions: *Nuclear Shadowing (NS)*

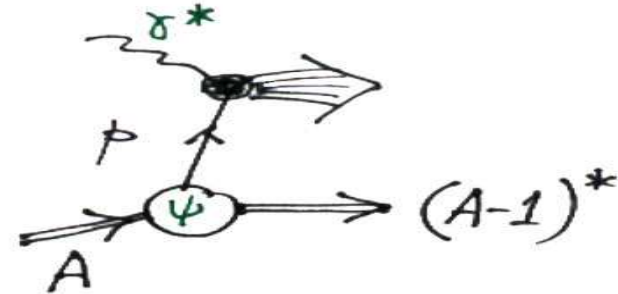
# INCOHERENT NUCLEAR SCATTERING

- ♦ **FERMI MOTION AND BINDING** in nuclear parton distributions can be calculated from the *convolution of nuclear spectral function and (bound) nucleon PDFs*:

$$q_{a/A}(x, Q^2) = q_a^{p/A} + q_a^{n/A}$$

$$xq_a^{p/A} = \int d\varepsilon d^3\mathbf{p} \mathcal{P}_p(\varepsilon, \mathbf{p}) \left(1 + \frac{p_z}{M}\right) x' q^N(x', Q^2, p^2)$$

where  $x' = Q^2/(2p \cdot q)$  and  $p = (M + \varepsilon, \mathbf{p})$  and we dropped  $1/Q^2$  terms for illustration purpose.



- ♦ Since bound nucleons are **OFF-MASS-SHELL** there appears dependence on the *nucleon virtuality*  $p^2 = (M + \varepsilon)^2 - \mathbf{p}^2$  and expanding PDFs in the small  $(p^2 - M^2)/M^2$ :

$$q_a(x, Q^2, p^2) \approx q_a^N(x, Q^2) \left(1 + \delta f(x)(p^2 - M^2)/M^2\right).$$

where we introduced a *structure function of the NUCLEON*:  $\delta f(x)$

- ♦ *Hadronic/nuclear input:*

- Proton/neutron PDFs computed in NNLO pQCD + TMC + HT from fits to DIS data
- Two-component nuclear spectral function: mean-field + correlated part

OFF-MASS-SHELL

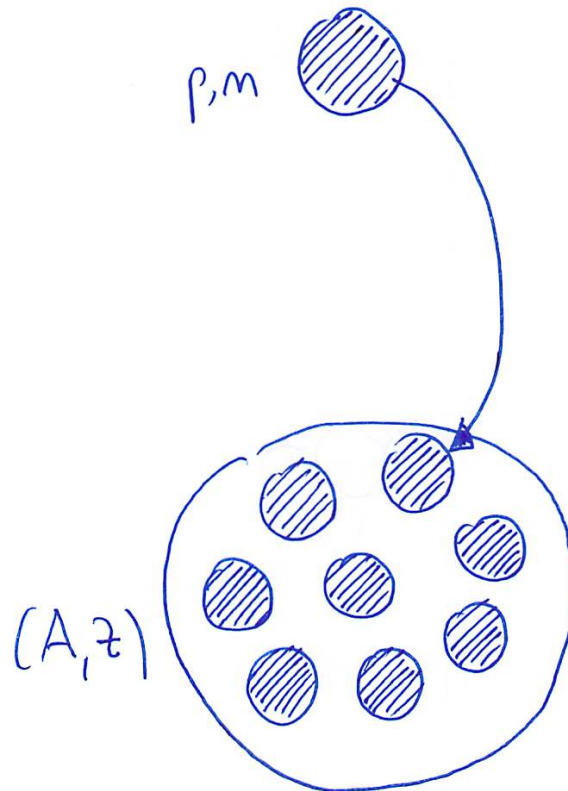
$$F_2(x, Q^2, p^2) \approx F_2(x, Q^2) \left( 1 + \delta f(x)(p^2 - M^2)/M^2 \right)$$

## DESCRIPTION OF NUCLEON

*Distribution of partons in a nucleon*

## DESCRIPTION OF NUCLEUS

*Distribution of bound nucleons*



STRUCTURE FUNCTIONS

$$F_1(x, Q^2), F_2(x, Q^2), xF_3(x, Q^2), \dots$$

$$\delta f(x)$$

SPECTRAL FUNCTION

$$\mathcal{P}(\varepsilon, \mathbf{p})$$

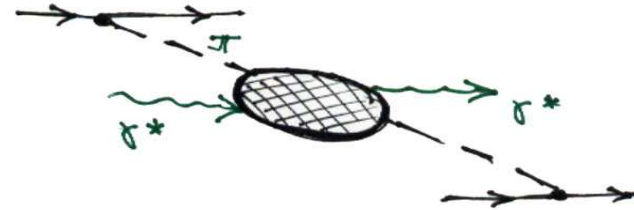
*Off-shell function measures the in-medium modification of bound nucleon*

*Any isospin (i.e.  $\delta f_p \neq \delta f_n$ ) or flavor dependence ( $\delta f_a$ ) in the off-shell function?*

# NUCLEAR MESON EXCHANGE CURRENTS

- ♦ Leptons can *scatter off mesons* which mediate interactions among bound nucleons:

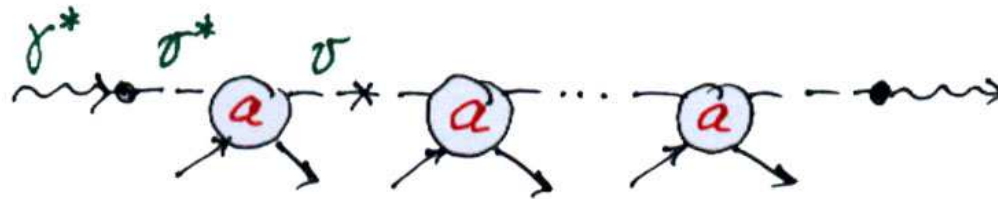
$$\delta q_a^{\text{MEC}}(x, Q^2) = \int_x dy f_{\pi/A}(y) q_a^\pi(x/y, Q^2)$$



- ♦ Contribution from nuclear pions (mesons) to *balance nuclear light cone momentum*  $\langle y \rangle_\pi + \langle y \rangle_N = 1$ . The pion distribution function is localized in a region of  $y \leq p_F/M \sim 0.3$  so that the *pion contribution is at  $x < 0.3$* . The correction is driven by the average number of “pions”  $n_\pi = \int dy f_\pi(y)$  and  *$n_\pi/A \sim 0.1$  for heavy nuclei*.
- ♦ Hadronic/nuclear input:
  - Pion Parton Density Functions from fits to Drell-Yan data
  - $f_{\pi/A}(y)$  calculated using constraints of light-cone momentum conservation and equations of motion for pion-nucleon system

# COHERENT NUCLEAR EFFECTS

- ♦ **(ANTI)SHADOWING** correction comes from *multiple interactions of the hadronic component of virtual photon* during the propagation through matter. This is described following the Glauber-Gribov approach:



$$\delta\mathcal{R} = \frac{\delta q^{\text{coh}}}{Aq^N} \approx \frac{\delta\sigma^{\text{coh}}}{A\sigma} = \text{Im } \mathcal{A}(a)/A \text{Im } a$$

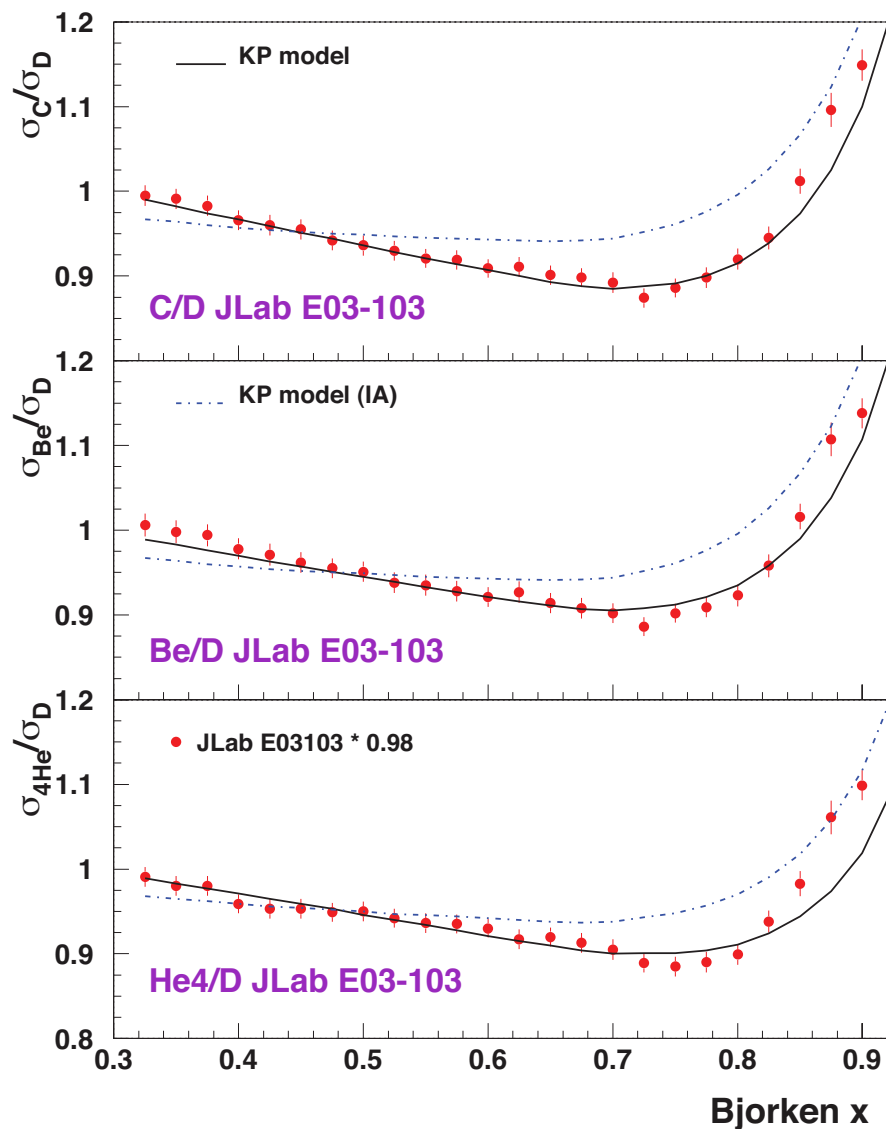
$$\mathcal{A}(a) = ia^2 \int_{z_1 < z_2} d^2\mathbf{b} dz_1 dz_2 \rho_A(\mathbf{b}, z_1) \rho_A(\mathbf{b}, z_2) e^{i \int_{z_1}^{z_2} dz' a \rho_A(\mathbf{b}, z')} e^{ik_L(z_1 - z_2)}$$

$a = \sigma(i + \alpha)/2$  is the *(effective) scattering amplitude* ( $\alpha = \text{Re } a / \text{Im } a$ ) in forward direction,  $k_L = Mx(1 + m_v^2/Q^2)$  is longitudinal momentum transfer in the process  $v^* \rightarrow v$  (accounts for finite life time of virtual hadronic configuration).

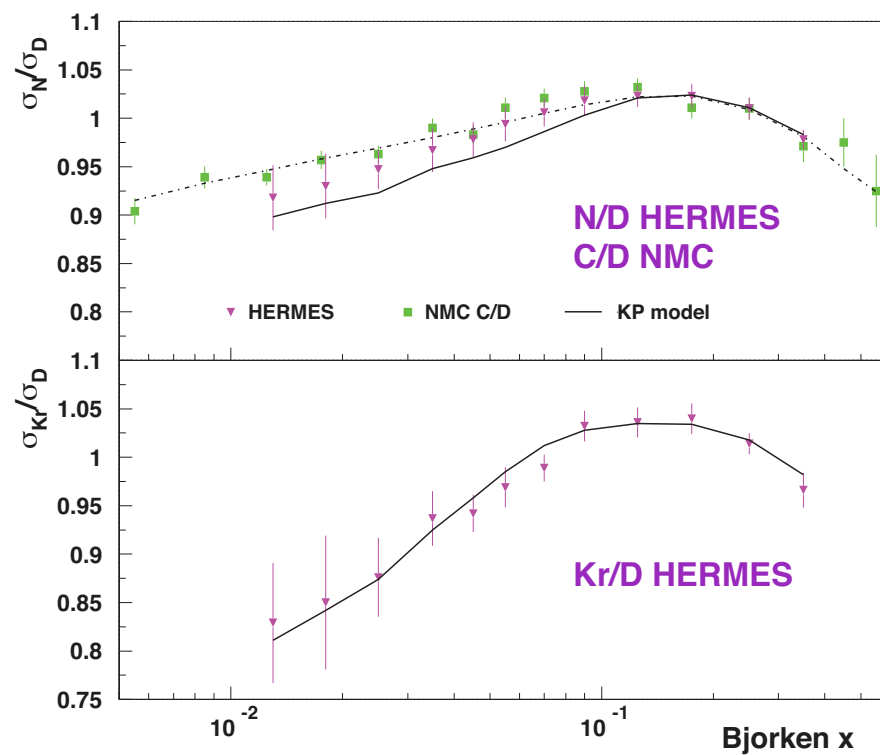
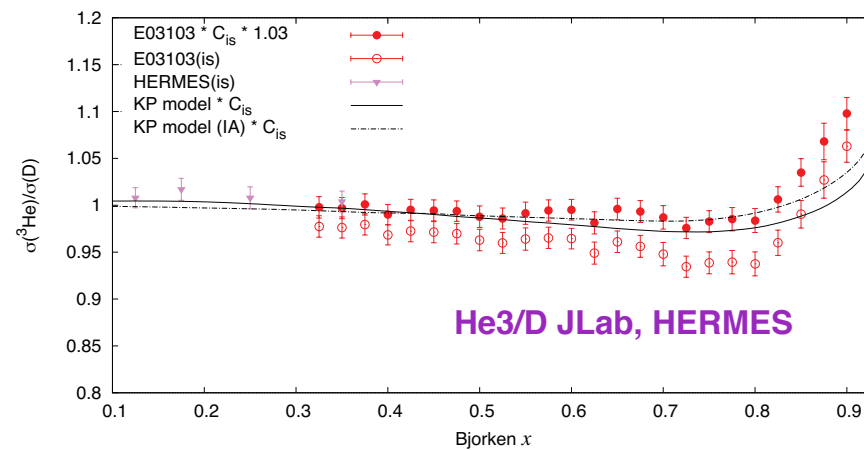
- ♦ *Hadronic/nuclear input:*

- Nuclear number densities  $\rho_A(r)$  from parameterizations based on elastic electron scattering data
- Low  $Q^2$  limit of scattering amplitude  $a$  given by Vector Meson Dominance (VMD) model

# PREDICTIONS FOR CHARGED LEPTON DIS DATA



*S. Kulagin and R.P., PRC 82 (2010) 054614*





## FLAVOR AND C-PARITY DEPENDENCE OF nPDFs

- ◆ Impulse Approximation (IA) from the *convolution of isoscalar  $q_0=u+d$  and isovector  $q_1=u-d$  nucleon PDF with the corresponding spectral functions:*

$$q_{0/A}^{\text{IA}} = (f_{p/A} + f_{n/A}) \oplus q_{0/p}$$

$$q_{1/A}^{\text{IA}} = (f_{p/A} - f_{n/A}) \oplus q_{1/p}$$

$$\mathcal{P}_0 = \mathcal{P}_{\text{MF}} + \mathcal{P}_{\text{cor}}$$

$$\mathcal{P}_1 = |\phi_F(\mathbf{p})|^2 \delta(\varepsilon - \varepsilon_F)$$

- ◆ Off-shell effect controlled by the *nucleon  $\delta f(x)$  function*  
 $\implies$  We assume universal  $\delta f$  for all partons for simplicity  
 $\implies$  Verify isospin and/or flavor dependence with data from flavor-sensitive processes.

- ◆ *Nuclear shadowing depends on C-parity  $q^\pm = q \pm \bar{q}$ :*

$$\delta\mathcal{R}^+ = \text{Im } \mathcal{A}(a^+)/A \text{Im } a^+ \quad \delta\mathcal{R}^- = \text{Im } a^- \mathcal{A}_1(a^+)/A \text{Im } a^-$$

where  $\mathcal{A}_1(a) = \partial\mathcal{A}(a)\partial a$  and  $a^\pm = a \pm \bar{a}$  are the amplitudes of definite  $C$  parity.

- $|\delta\mathcal{R}^-| > |\delta\mathcal{R}^+|$  because of the nonlinear dependence  $\mathcal{A}(a)$ .
- $\delta\mathcal{R}^-$  is independent of the cross section  $\sigma^- = 2\text{Im } a^-$ . However it nonlinearly depends on  $a^+$ .

- ◆ For isoscalar targets nuclear *pion (meson) correction to valence distributions cancels out* (isospin symmetry)  $\delta_\pi q_{0/A}^- = 0$

# CONSTRAINTS FROM PDF SUM RULES

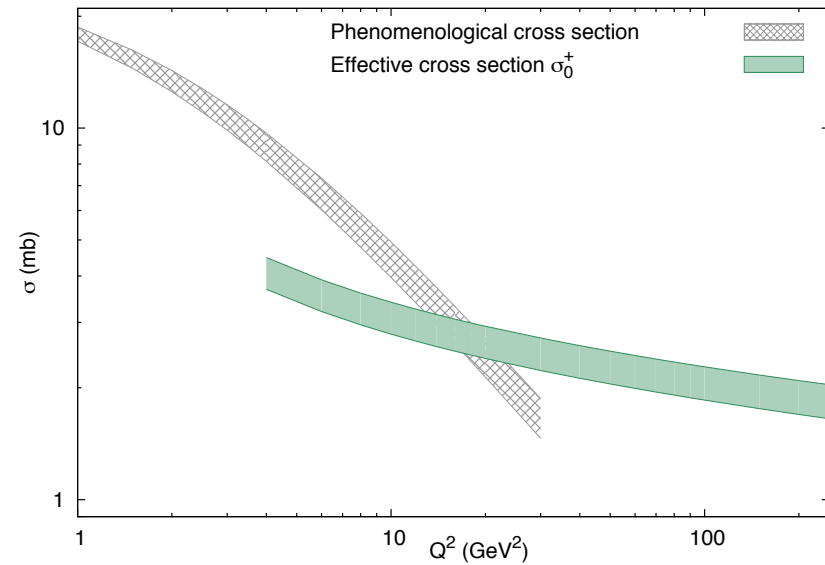
- ♦ Nuclear meson correction *constrained by light-cone momentum balance and equations of motion*. (S. Kulagin, NPA 500 (1989) 653; S. Kulagin and R.P., NPA 765 (2006) 126; PRC 90 (2014) 045204)

- ♦ At high  $Q^2$  (PDF regime) *coherent nuclear corrections* controlled by the *Leading Twist (LT) amplitudes*, which can be constrained by *normalization sum rules*:

$$\delta N_{\text{val}}^{\text{OS}} + \delta N_{\text{val}}^{\text{coh}} = 0 \longrightarrow a_0$$

$$\delta N_1^{\text{OS}} + \delta N_1^{\text{coh}} = 0 \longrightarrow a_1$$

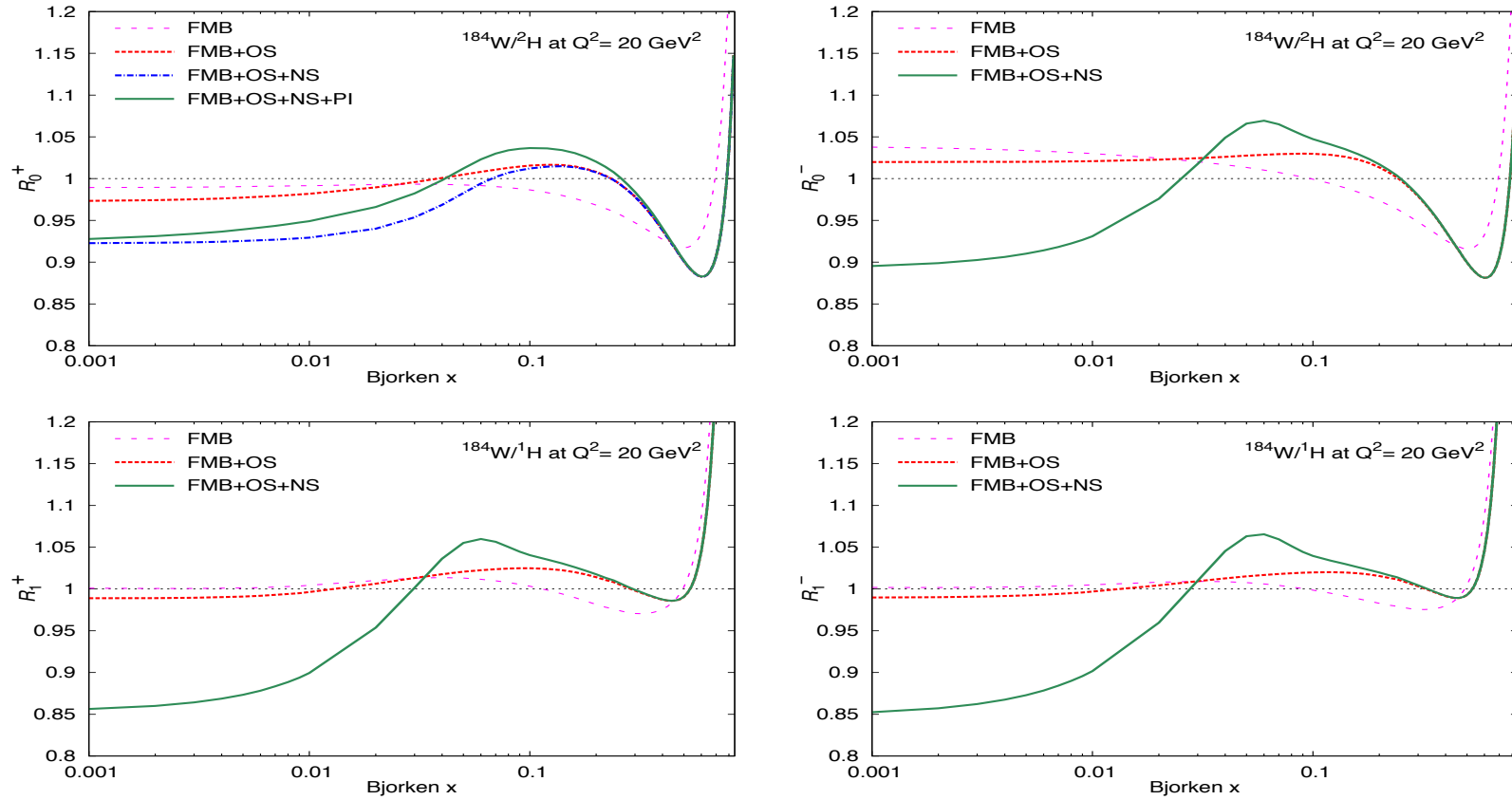
where  $N_{\text{val}}^A = A^{-1} \int_0^A dx q_{0/A}^- = 3$  and  $N_1^A = A^{-1} \int_0^A dx q_{1/A}^- = (Z - N)/A$



*Solve numerically equations above in terms of the  $\delta f$  function (input) and obtain the effective LT cross-section in the  $(I = 0, C = 1)$  state, as well as Re/Im of amplitudes*

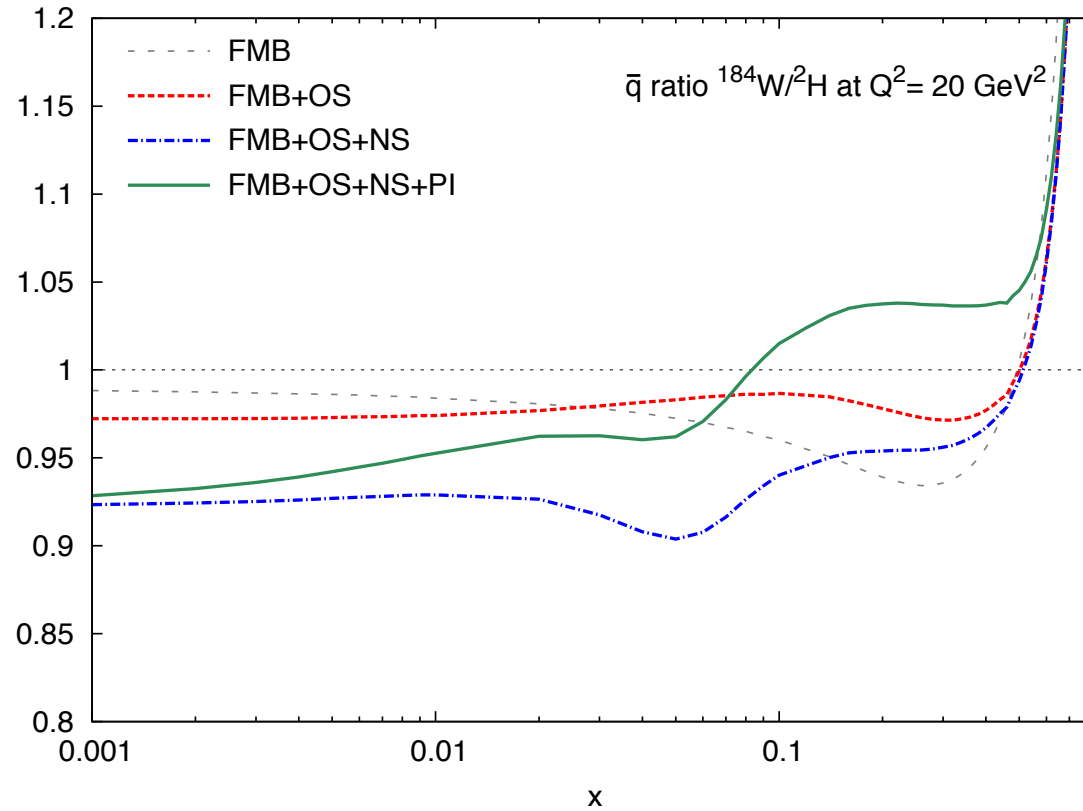
*$\implies$  In our approach nuclear corrections to PDFs essentially defined by  $\mathcal{P}(\varepsilon, \mathbf{p})$  AND  $\delta f(x)$*

# FLAVOR AND C-PARITY DEPENDENCE OF NUCLEAR EFFECTS



*Nuclear effects on  $C$ -even and  $C$ -odd combinations of isoscalar  $q_0 = u + d$  (upper panel) and isovector  $q_1 = u - d$  (lower panel) PDFs at  $Q^2 = 20 \text{ GeV}^2$ . Ratios of  $^{184}\text{W}$  to deuteron  $^2\text{H}$  (upper panel) and proton  $^1\text{H}$  (lower panel).*

# NUCLEAR ANTI-QUARKS



♦  $\delta\mathcal{R}_{\text{sea}}$  from corrections to  $C$ -even  $q_0^+ = q + \bar{q}$  and  $C$ -odd  $q_0^- = q - \bar{q} = q_{\text{val}}$

$$\delta\mathcal{R}_{\text{sea}} = \frac{\delta\bar{q}^A}{\bar{q}^N} = \delta\mathcal{R}^+ + \frac{q_{\text{val}}^N(x)}{2\bar{q}^N(x)} (\delta\mathcal{R}^+ - \delta\mathcal{R}^-)$$

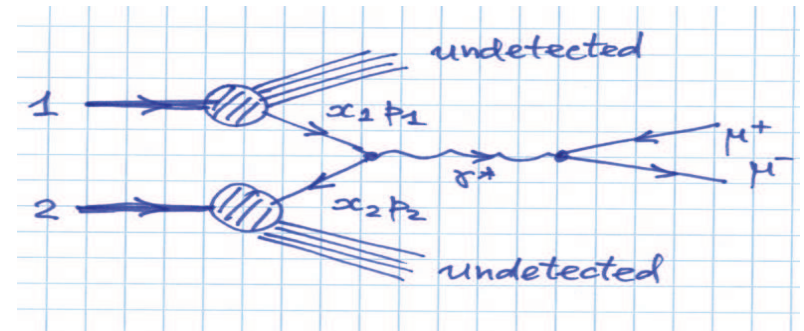
♦ Same  $C$ -parity dependence for strange sea:  $s + \bar{s}$  like  $\delta\mathcal{R}_{\text{sea}}$ ,  $s - \bar{s}$  like  $\delta\mathcal{R}_{\text{val}} = \mathcal{R}^-$

# APPLICATION TO DRELL-YAN PRODUCTION IN pA

- ♦ Selecting small  $Q^2/s$  and large  $x_F$  we probe sea quarks in the target nucleus

$$\frac{d^2\sigma}{dx_B dx_T} = \frac{4\pi\alpha^2}{9Q^2} K \sum_a e_a^2 [q_a^B(x_B) \bar{q}_a^T(x_T) + \bar{q}_a^B(x_B) q_a^T(x_T)]$$

$$x_T x_B = Q^2/s; \quad x_B - x_T = 2q_L/\sqrt{s} = x_F$$



- ♦ Need to consider the *energy loss by the projectile parton* in the target nucleus:

$$x_B \rightarrow x_B + E' L / E_B \quad E' = -dE/dz$$

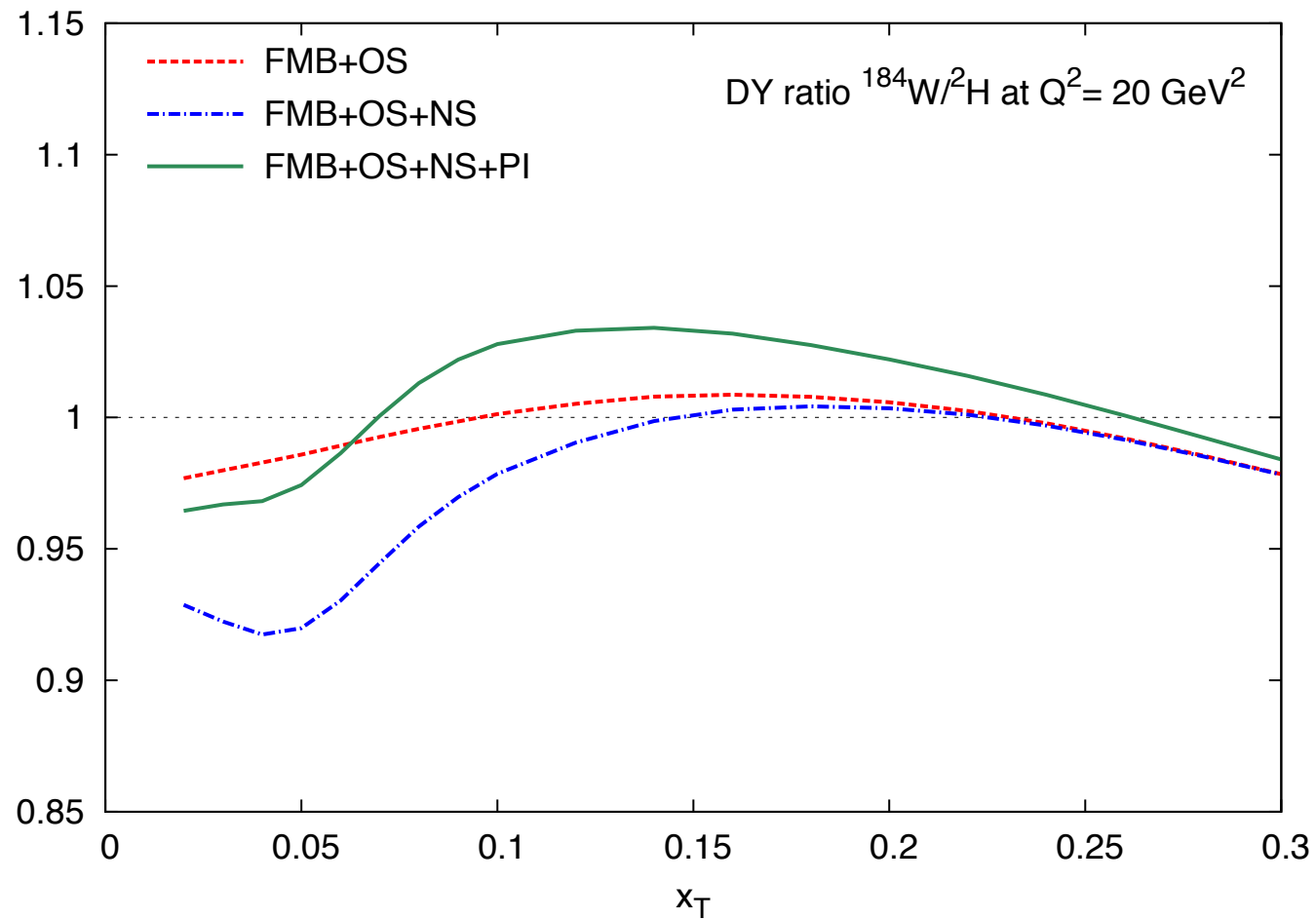
where  $E_B$  energy of proton,  $L$  distance traveled in nuclear environment

- ♦ In E772/E866  $s=1504 \text{ GeV}^2$  and at  $x_F > 0.2$  dominated by  $q^B \bar{q}^T$  annihilation:

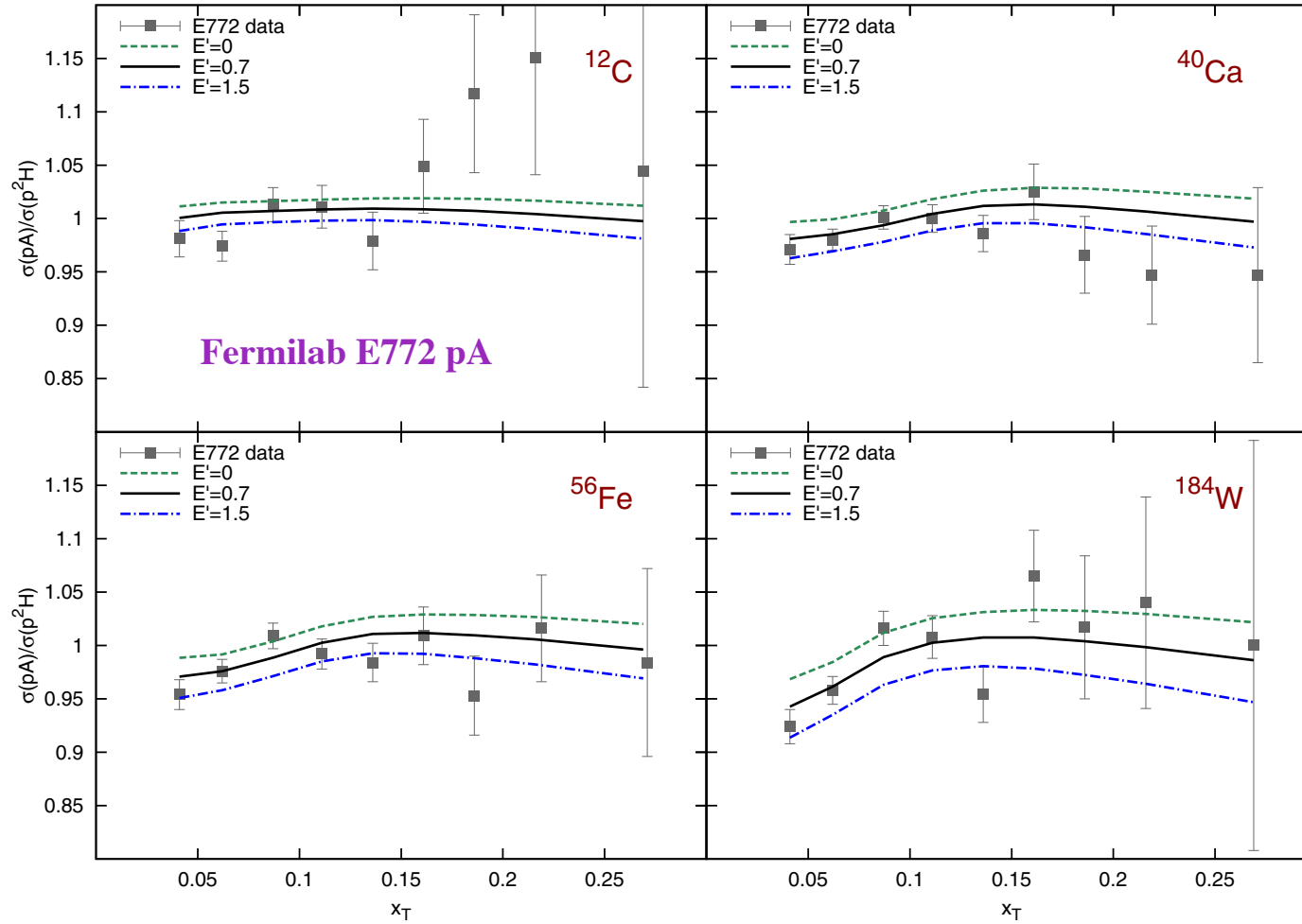
$$\frac{\sigma_A^{\text{DY}}}{\sigma_B^{\text{DY}}} \approx \frac{\bar{q}_A(x_T)}{\bar{q}_B(x_T)}$$

⇒ Nuclear data from Drell-Yan production in hadron collisions indicate no major enhancement to sea quarks for  $x_T > 0.1$  as given by nuclear  $\pi$  excess

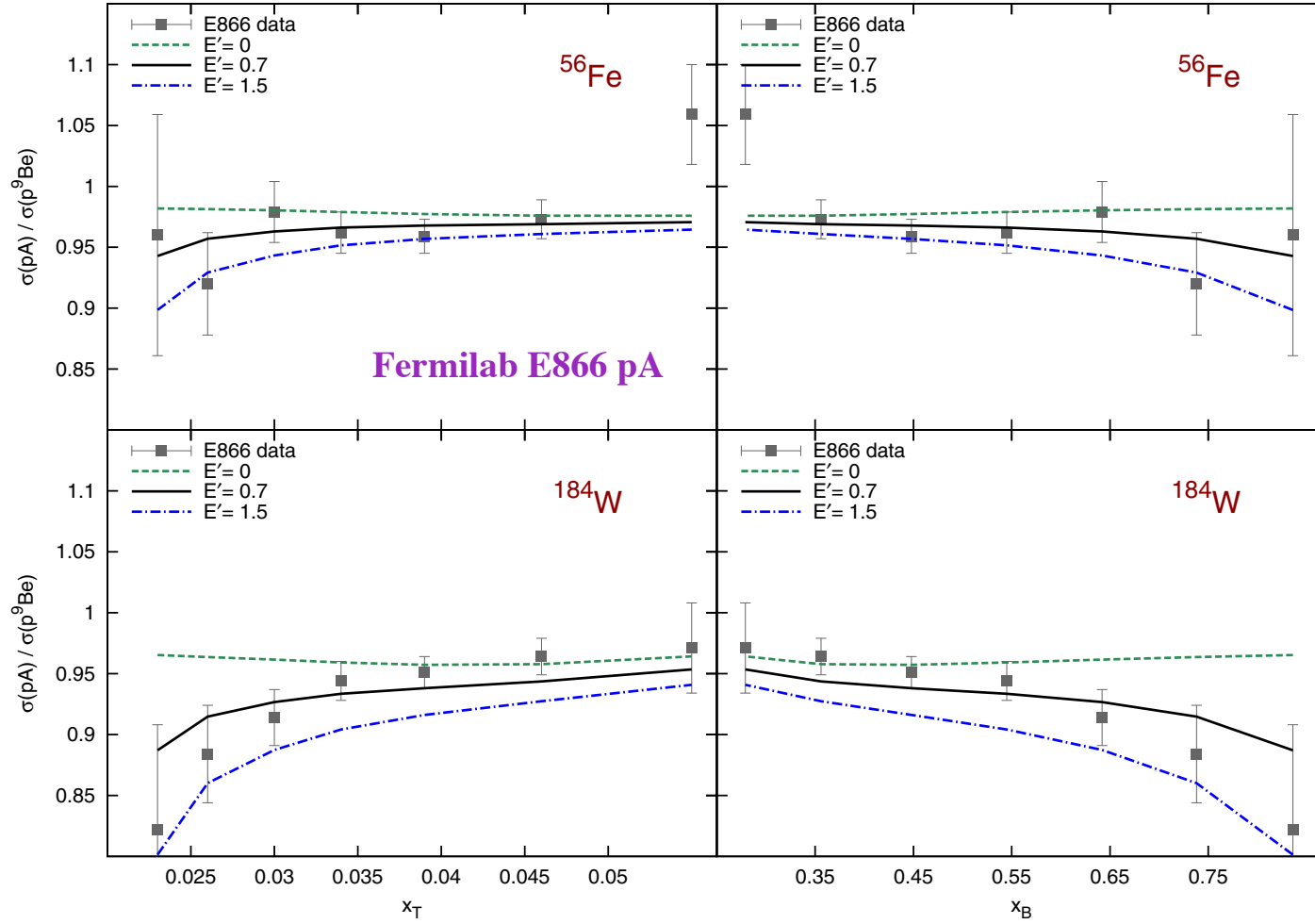
## PREDICTIONS FOR DRELL-YAN DATA



*Partial cancellation between pion and shadowing effects for large  $x \sim 0.05 - 0.1$*



- ⇒ Validation of nPDF calculation with independent physics process and kinematic range
- ⇒ No evidence of sea-valence differences in  $\delta f(x)$  from Drell-Yan data

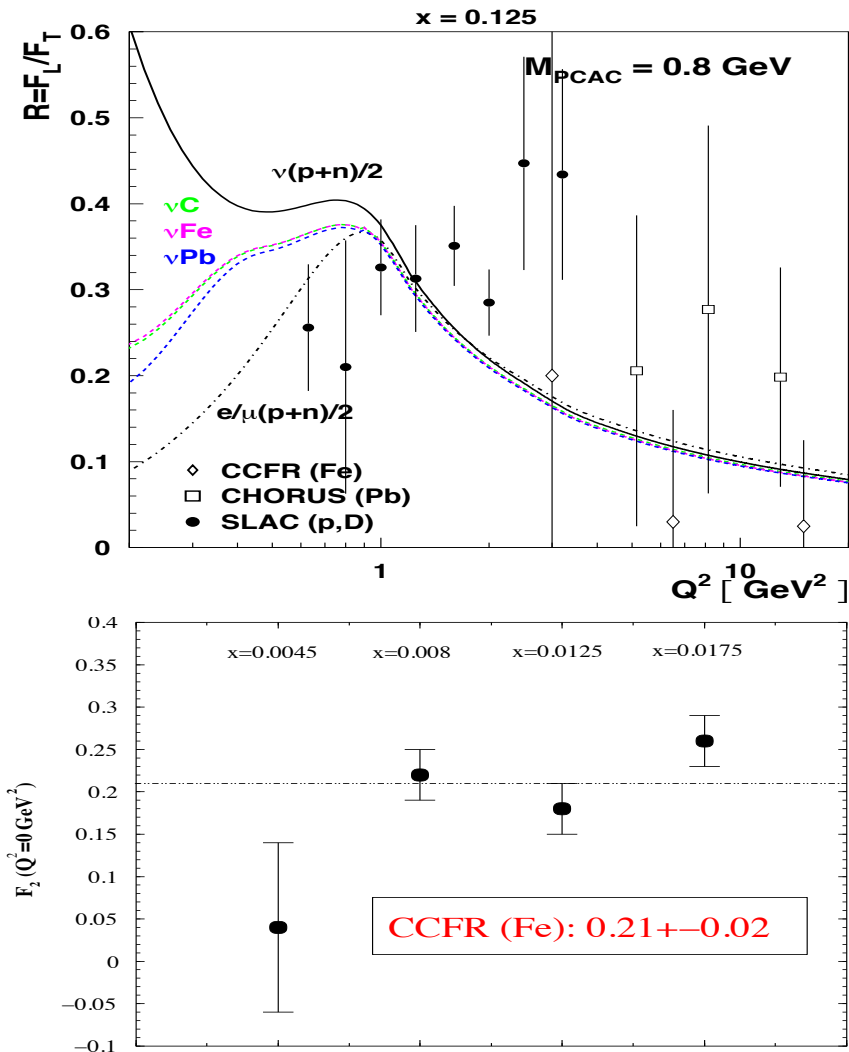




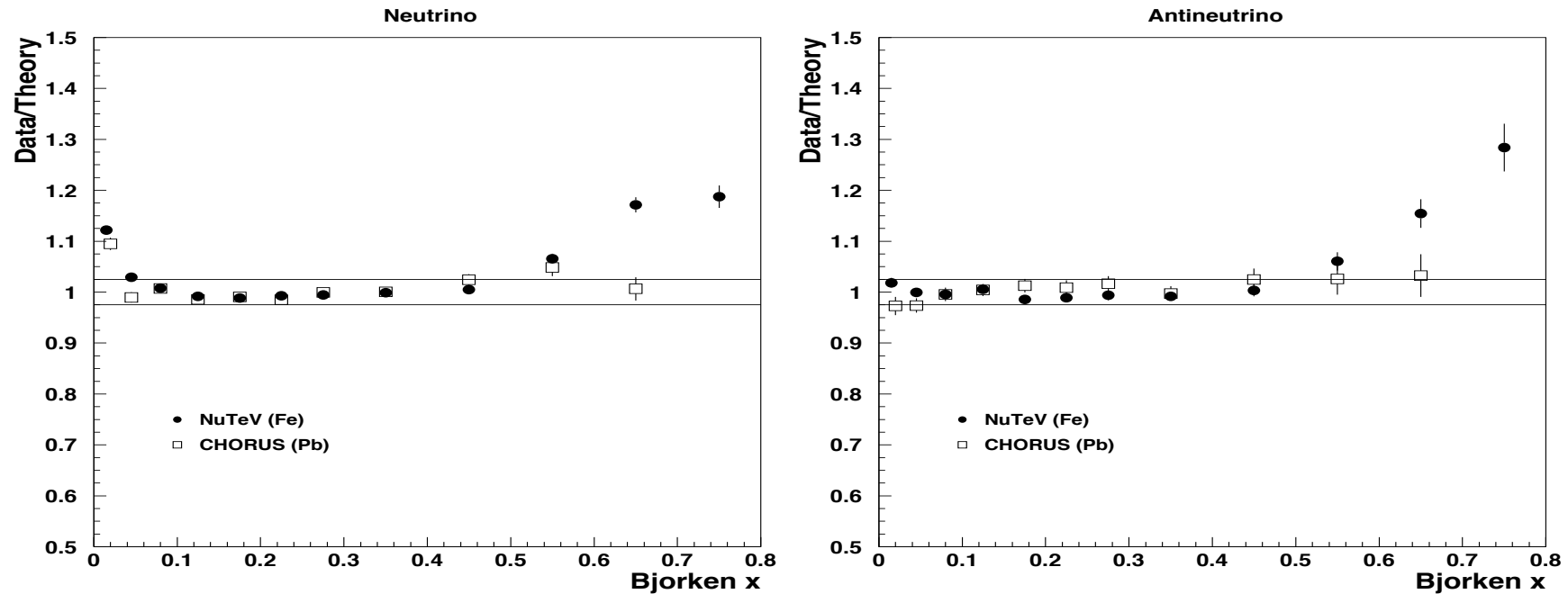
# APPLICATION TO NEUTRINO SCATTERING

- ◆ *Nuclear structure functions (SFs) for lepton-nucleus scattering not simple combination of PDFs*
  - ◆ *Modeling (anti)neutrino cross-sections in energy range relevant to modern experiments requires effects beyond nPDFs:*
    - Target Mass corrections (TM);
    - High Twist contributions  $\mathcal{O}(1/Q^2)$ ;
    - Radiative electroweak corrections;
    - *Partial Conservation of the Axial Current (PCAC) dominating SFs at low  $Q^2$ .*
- $\Rightarrow$  *Finite value of  $F_2$  in the limit  $Q^2 \rightarrow 0$*   
 $\Rightarrow$  *Finite value of  $F_L$  for  $Q^2 \rightarrow 0$  implies different  $R = \sigma_L/\sigma_T$  in (anti)neutrino and charged lepton scattering*

*S. Kulagin and R.P., PRD 76 (2007) 094023*



# PREDICTIONS FOR CHORUS AND NuTeV



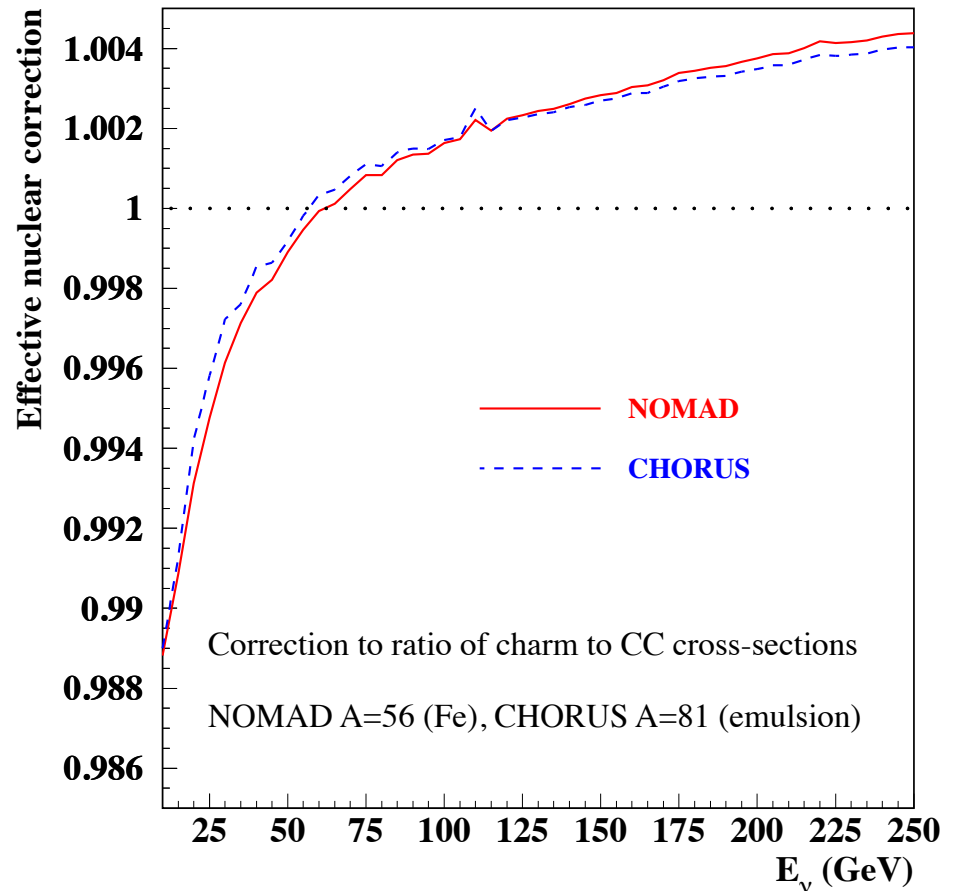
- ◆ *Model of nuclear corrections for (anti)neutrino cross-sections based on results from  $e/\mu$  DIS off nuclear targets and fully independent from (anti)neutrino data (S. Kulagin and R.P., NPA 765 (2006) 126; PRD 76 (2007) 094023, PRC 82 (2010) 054614).*
- ◆ *Comparison with NuTeV (Fe) and CHORUS (Pb) cross-section data (band  $\pm 2.5\%$ ):*
  - *Systematic excess observed for  $x > 0.5$  in both  $\nu$  and  $\bar{\nu}$  NuTeV data on Fe*
  - *CHORUS data on Pb target consistent with predictions at large x;*
  - *Consistent excess observed at  $x < 0.05$  in both CHORUS and NuTeV neutrino data*

# PREDICTIONS FOR CHARM PRODUCTION

- ♦ *Reduced nuclear corrections on total cross-sections from phase space integration*
- ♦ *Charm production in (anti)neutrino interactions direct probe of strange sea quark distributions*
- ♦ *Consider ratio of charm to inclusive CC total cross-sections*

$$\mathcal{R}_c = \sigma_{\text{Charm}} / \sigma_{\text{CC}}$$

⇒ *Reduction of nuclear uncertainties on strange sea determinations (cancellation to <1% on  $\mathcal{R}_c$ )*



*NPB 876 (2013) 339; NJP 13 (2011) 093002; arXiv:1404.6469 [hep-ph]*

## SUMMARY

- ♦ *Using a global approach we developed a detailed semi-microscopic model for the calculation of nuclear PDFs and structure functions, accounting for a number of nuclear effects like shadowing, energy-momentum distribution of bound nucleons (spectral function), nuclear meson-exchange currents and off-shell corrections*  
⇒ *Study off-shell function  $\delta f$  describing in-medium modifications of bound nucleons*
- ♦ *A quantitative study of existing data from charged lepton-nucleus DIS has been performed in a wide kinematic region of  $x$  and  $Q^2$*   
⇒ *Good agreement of predictions with data from JLab E03-103 and HERMES*
- ♦ *Predictions in good agreement with Drell-Yan data indicating a partial cancellation between different nuclear effects*
- ♦ *Predictions for neutrino scattering off nuclei in agreement with cross-section data from CHORUS at  $x > 0.05$  and from NuTeV in the region  $0.15 < x < 0.55$*   
⇒ *Discrepancies at small  $x$  and for NuTeV at  $x > 0.55$  require new precision data*

# Backup slides

# NUCLEAR SPECTRAL FUNCTION

- ♦ The description of the nuclear properties is embedded into the nuclear spectral function
- ♦ Nucleons occupy energy levels according to Fermi statistics and are distributed over momentum (Fermi motion) and energy states. In the **MEAN FIELD** model:

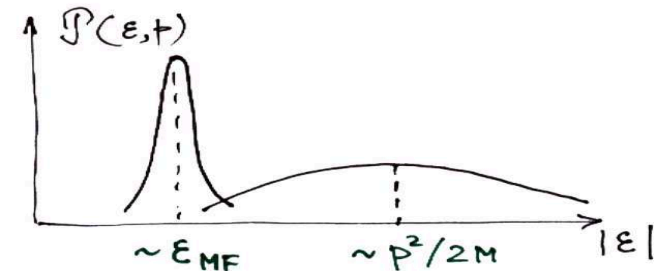
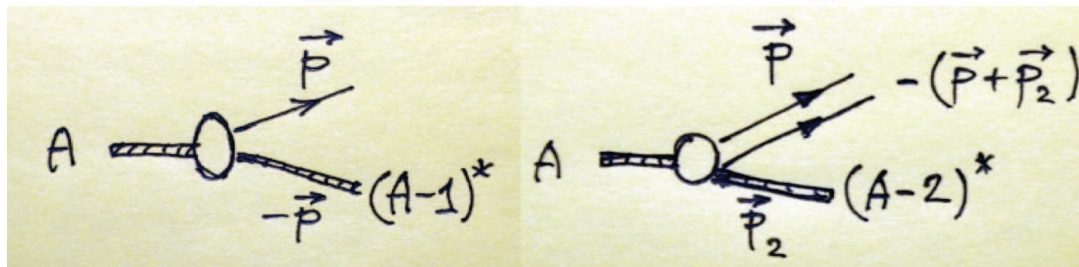
$$\mathcal{P}_{\text{MF}}(\varepsilon, \mathbf{p}) = \sum_{\lambda < \lambda_F} n_{\lambda} |\phi_{\lambda}(\mathbf{p})|^2 \delta(\varepsilon - \varepsilon_{\lambda})$$

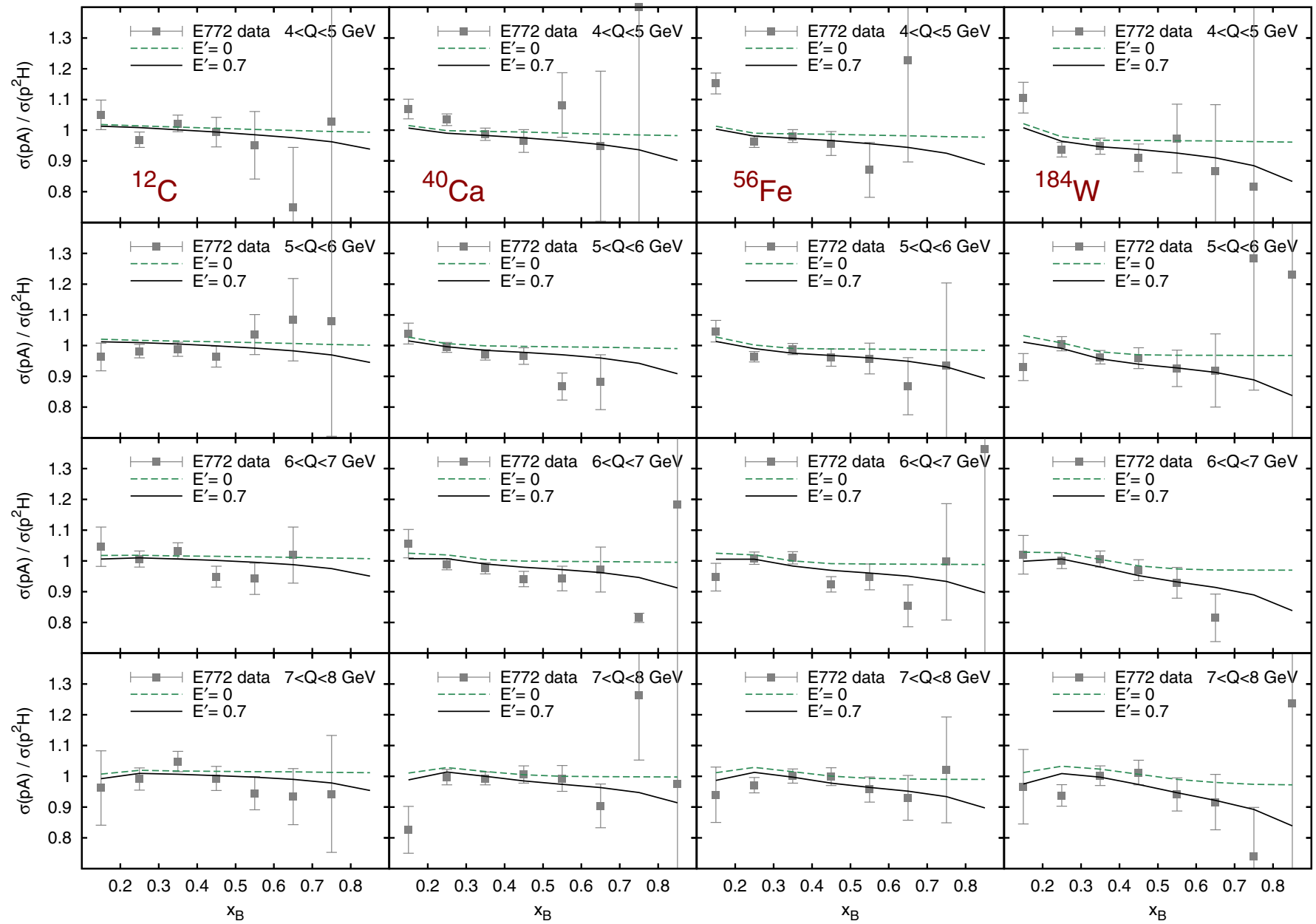
where sum over occupied levels with  $n_{\lambda}$  occupation number. Applicable for *small nucleon separation energy and momenta*,  $|\varepsilon| < 50 \text{ MeV}$ ,  $p < 300 \text{ MeV}/c$

- ♦ **CORRELATION EFFECTS** in nuclear ground state drive the *high-energy and high-momentum component* of the nuclear spectrum, when  $|\varepsilon|$  increases

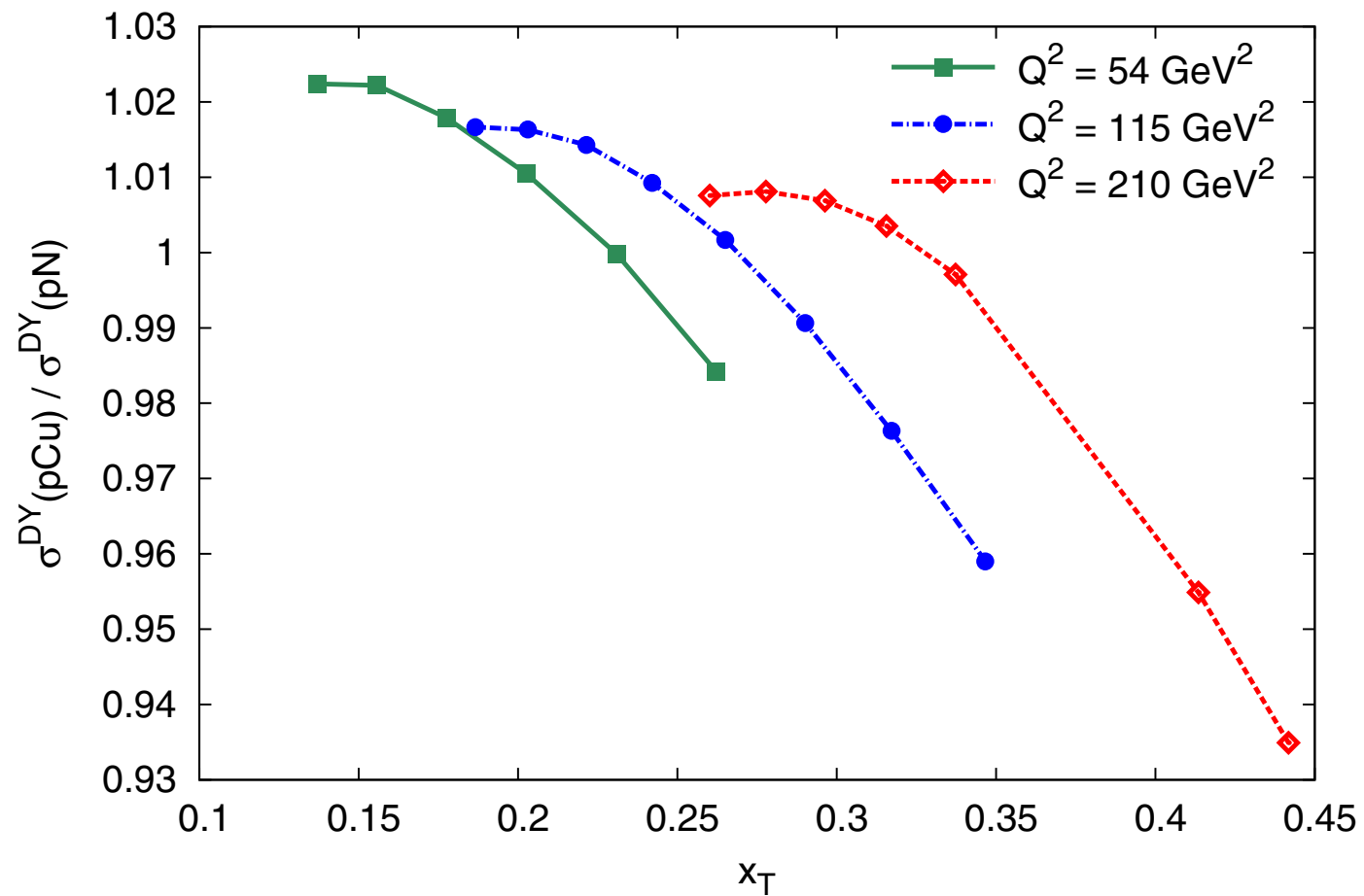
$$\mathcal{P}_{\text{cor}}(\varepsilon, \mathbf{p}) \approx n_{\text{rel}}(\mathbf{p}) \left\langle \delta \left( \varepsilon + \frac{(\mathbf{p} + \mathbf{p}_2)^2}{2M} + E_{A-2} - E_A \right) \right\rangle_{\text{CM}}$$

$$\mathcal{P} = \mathcal{P}_{\text{MF}} + \mathcal{P}_{\text{cor}}$$





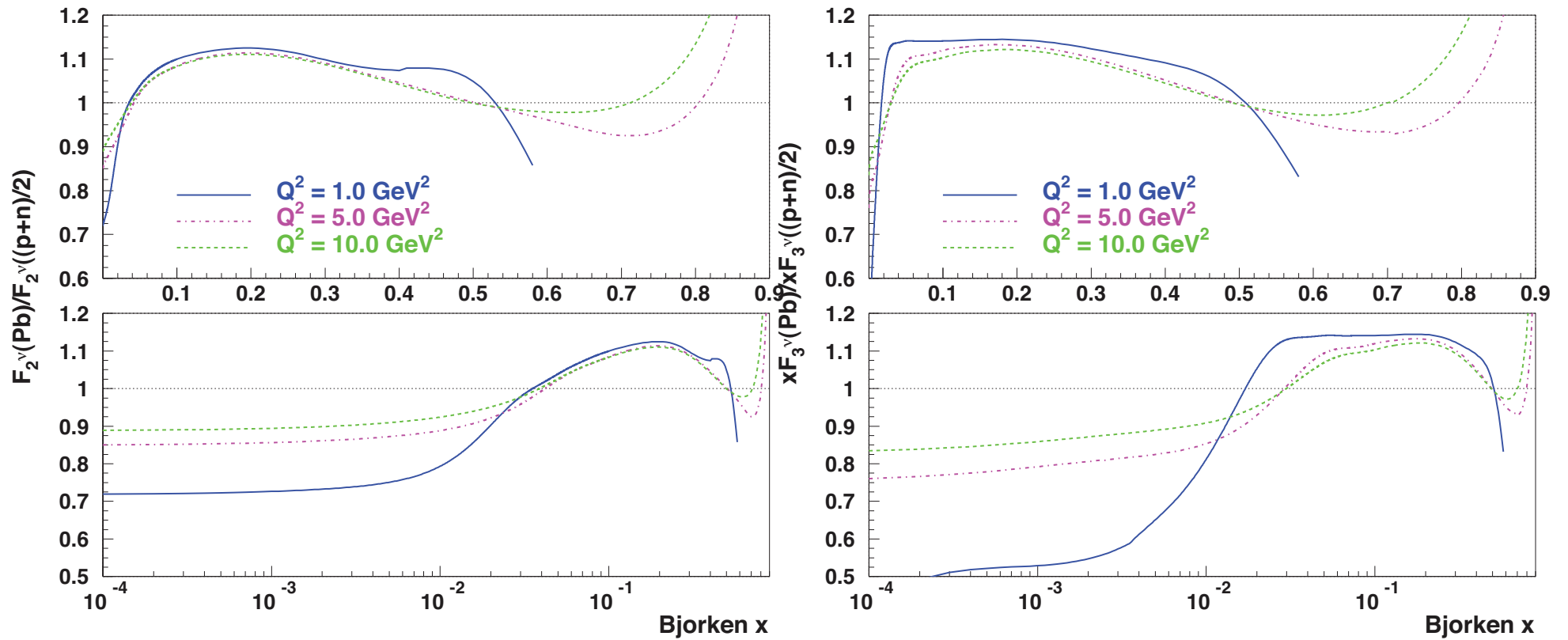
**E772 Drell-Yan p-A data**



**Nuclear correction for E605 Drell-Yan p-Cu data**

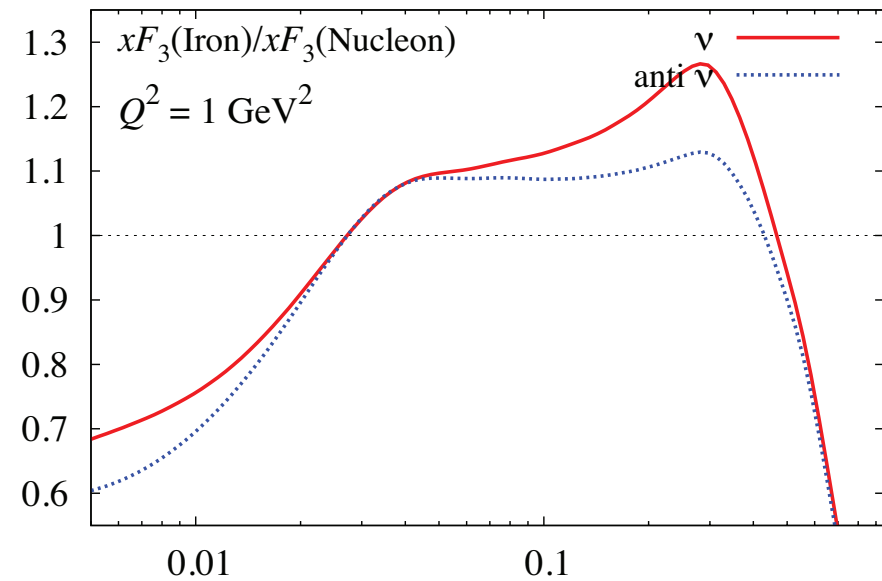
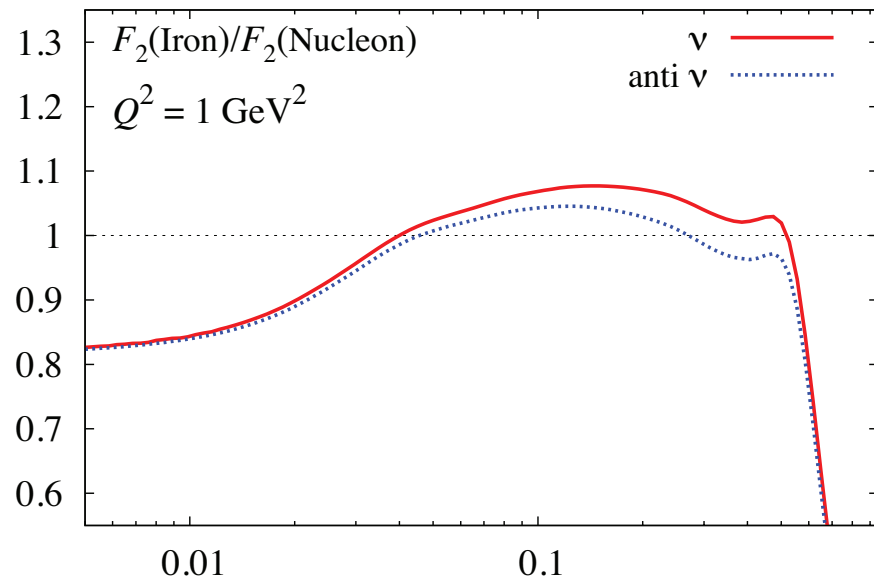
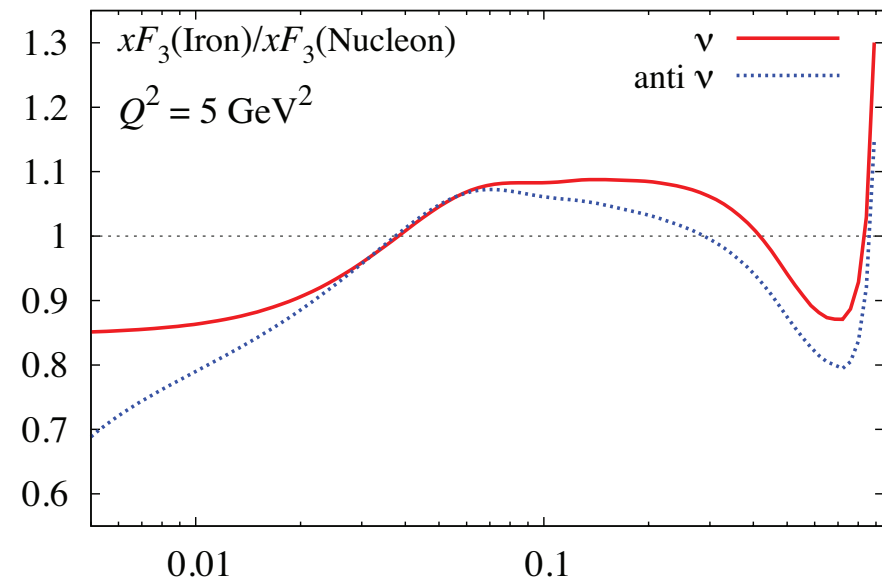
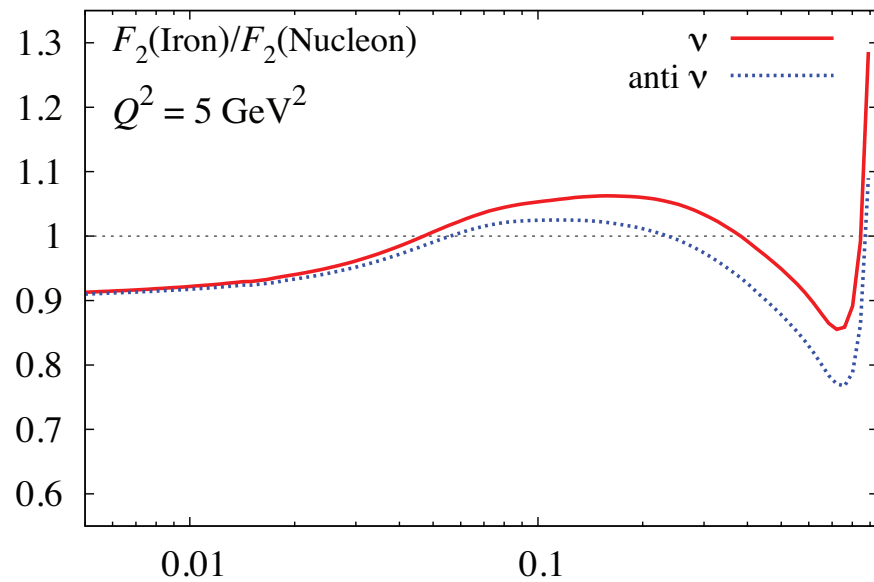


# NUCLEAR EFFECTS IN $F_2$ AND $xF_3$

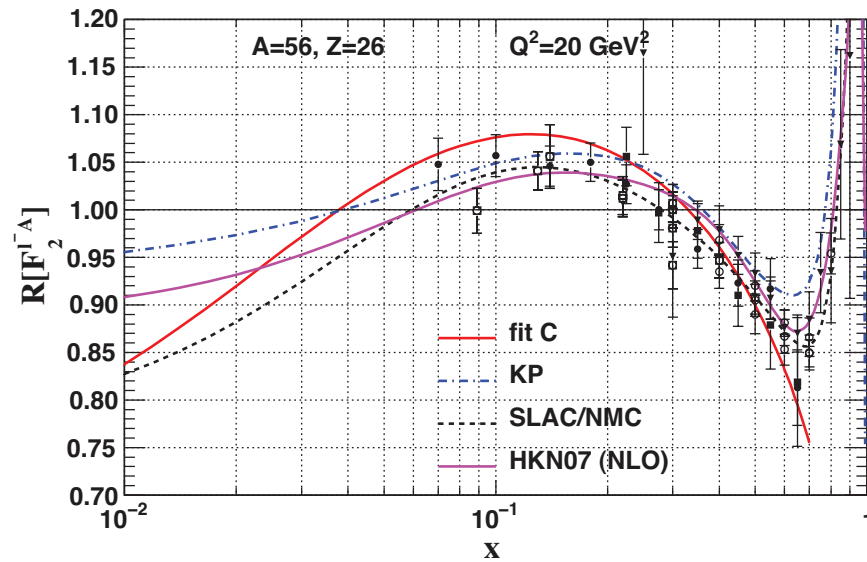


Ratio of Charged Current structure functions on  $^{207}\text{Pb}$  and isoscalar nucleon  $(p+n)/2$

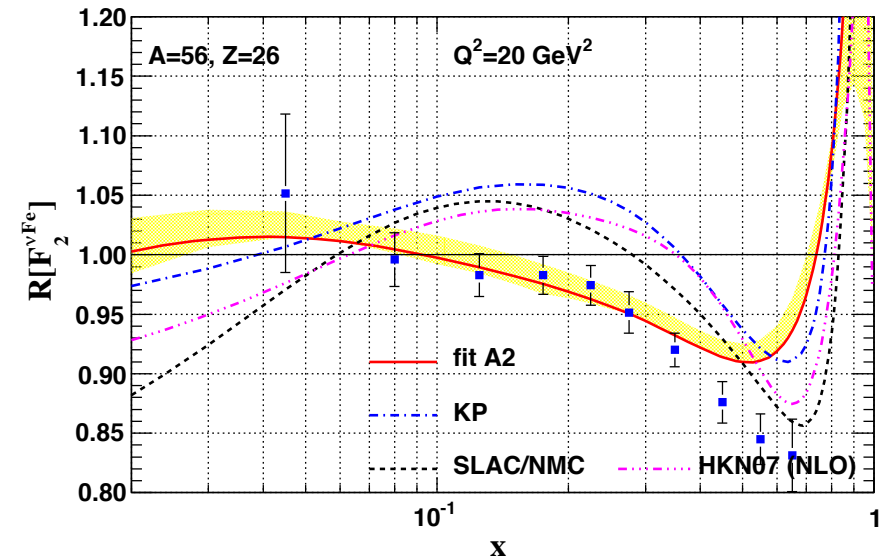
# DIFFERENCES BETWEEN $\nu$ AND $\bar{\nu}$



# CTEQ FITS TO $\nu(\bar{\nu})$ DATA



*Fit to  $e, \mu$  DIS + DY*



*Fit to  $\nu + \bar{\nu}$  DIS*

- ◆ Within the CTEQ analysis introduce *nuclear PDFs as modifications of nucleon PDFs*:

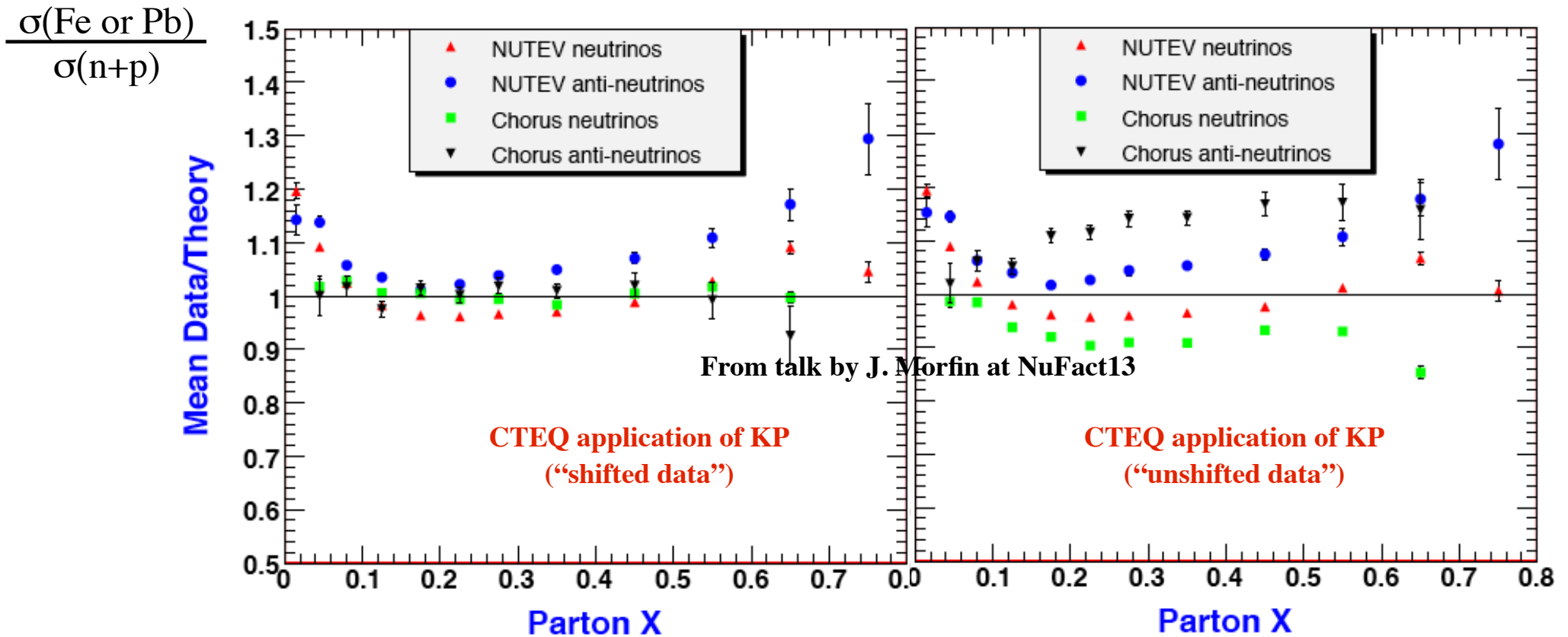
$$xf(x, Q_0) = f(x, c_0, c_1, \dots, c_n); \quad c_k \rightarrow c_k(A)$$

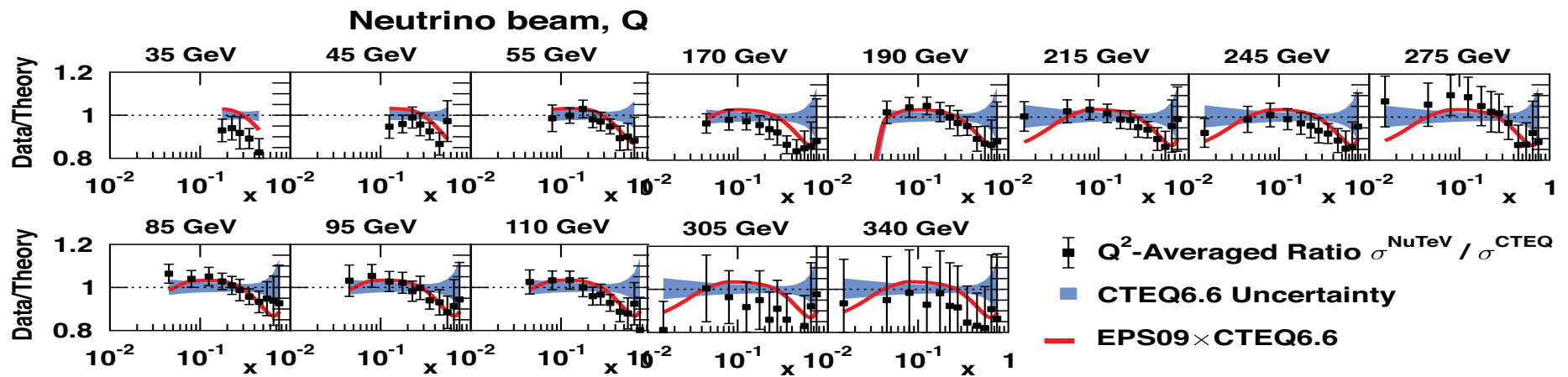
(I. Schienbein et al., PRD 80 (2009) 094004; PRL 106 (2011) 122301).

- ◆ Perform separate global fits to  $\nu(\bar{\nu})$  DIS data and  $e, \mu$  DIS + Drell-Yan nuclear data
- ◆ Results show *CHORUS+NuTeV  $\nu(\bar{\nu})$  data not consistent with  $e, \mu$  DIS*:
  - No shadowing observed at small  $x_{Bj}$ ;
  - Different EMC slope.
  - Process-dependent corrections?

## CTEQ COMPARISONS

- ◆ The ratio shown must include not only nuclear corrections, but also LT structure functions, HT contributions, electroweak corrections, heavy quark production etc.  
⇒ EW or other corrections can be comparable to nuclear ones in some regions
- ◆ Nuclear corrections vanish at  $x \sim 0.3$  as measured on a wide range of nuclei. Deviations shown above around  $x \sim 0.3$  cannot be explained by nuclear corrections.
- ◆ Perhaps problem with isovector correction or underlying nucleon SF?





- ◆ Use nuclear corrections to PDFs from *EPS09 fit to nuclear  $e, \mu$  DIS and Drell-Yan* (K. Eskola, H. Paukkunen and C. Salgado, JHEP 0904 (2009) 065; JHEP 1007 (2010) 032).
- ◆ Analysis of CHORUS, NuTeV and CDHSW  $\nu(\bar{\nu})$  differential cross-sections and comparison with calculations based upon CTEQ6.6 + EPS09
- ◆ Results indicate *CHORUS and CDHSW data are in agreement with calculations*, but in disagreement with NuTeV data  
 $\Rightarrow$  *Anomalous  $E_\nu$ -dependent fluctuations in NuTeV data*