

# Effects of Lightest $\nu$ Mass in Leptogenesis

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# Summary

- 1 Introduction
  - Leptogenesis Scenario and CP-Violation
  - See-Saw Mechanism
- 2 Flavour Effects in Leptogenesis
  - Neutrino Yukawa Couplings
  - CP-Violation in Flavoured Leptogenesis
  - Low Energy CP-Violation
- 3 Lightest  $\nu$  Mass Contribution
  - Inverted Ordering  $m_3 < m_1 < m_2$
  - Normal Ordering  $m_1 < m_2 < m_3$

Observed Baryon Asymmetry (per entropy density):  $Y_B \equiv \frac{n_B - n_{\bar{B}}}{s} \cong 8.6 \times 10^{-11}$



[E. M.](#), [S.T. Petcov](#), [T. Shindou](#) and [Y. Takanishi](#), “Effects of Lightest Neutrino Mass in Leptogenesis”, Nucl.Phys.B797:93-116,2008

- We work in the context of “flavoured” thermal leptogenesis.
- In this framework CP-violation necessary for the generation of the observed baryon asymmetry (matter-antimatter) of the Universe can be due exclusively to the Dirac and/or Majorana CP-violating phases in the  $U_{PMNS}$  neutrino mixing matrix  $\implies$  connection between leptogenesis and “low energy” CP-violation in the lepton (neutrino) sector (neutrino oscillations,  $(\beta\beta)_{0\nu}$ -decay, etc.).

S. Pascoli, S.T. Petcov, A. Riotto, Phys. Rev. D75 083511 (2007)

S. Pascoli, S.T. Petcov, A. Riotto, Nucl. Phys. B 739 (2006) 208

- The case of inverted hierarchical light  $\nu$  mass spectrum is investigated in detail.

## Type I See-Saw Scenario and Leptogenesis

$$\mathcal{L}^{\text{lep}}(x) = \mathcal{L}_{\text{CC}}(x) + \mathcal{L}_{\text{Y}}(x) + \mathcal{L}_{\text{M}}^{\text{N}}(x)$$

$$\mathcal{L}_{\text{CC}}(x) = -\frac{g}{\sqrt{2}} \bar{\ell}_L(x) \gamma_\alpha \nu_{\ell L}(x) W^{\alpha\dagger}(x) + \text{h.c.}$$

$$\mathcal{L}_{\text{Y}}(x) = \lambda_{ie} \bar{N}_i(x) H^\dagger(x) \psi_{\ell L}(x) + h_e H^c(x) \bar{\ell}_R(x) \psi_{\ell L}(x) + \text{h.c.}$$

$$\mathcal{L}_{\text{M}}^{\text{N}}(x) = -\frac{1}{2} M_i \bar{N}_i(x) N_i(x), \quad i = 1, 2, 3$$

- At energies below the heavy Majorana neutrino mass scale  $M_1$ , the heavy Majorana neutrino fields are integrated out  $\implies$  Majorana mass term for the LH flavour neutrinos at  $E \sim M_Z$ :

$$m_\nu = \nu^2 \lambda^T M^{-1} \lambda = U^* \text{Diag}(m_1, m_2, m_3) U^\dagger$$

## Type I See-Saw Scenario and Leptogenesis

- Light LH Majorana  $\nu$  masses introduce a new physical scale:  
 $M \sim 10^{14}$  GeV.
- RH heavy Majorana neutrinos  $N_j$ ,  $j = 1, 2, 3$ , are produced in thermal scattering after inflation (**thermal leptogenesis**). Hierarchical spectrum of heavy Majorana neutrinos is assumed:  
 $M_1 \ll M_{2,3}$ .
- A lepton asymmetry is dynamically generated through the out of equilibrium decay of the lightest RH Majorana neutrino,  $N_1$ , and then converted into a baryon asymmetry,  $Y_B$ , due to  $(B + L)$ -violating sphaleron interactions which exist within the SM.
- **Lepton flavour** contribution to the generation of the asymmetry can be significant.

## Orthogonal Parametrization of $\lambda$

- Charged lepton Yukawa interactions enter equilibrium for  $T \sim M_1 \lesssim 10^{12} \text{ GeV} \implies$  flavoured leptogenesis.
- Orthogonal parametrization:  $RR^T = R^T R = \mathbf{1}$ :

J.A. Casas, A. Ibarra, Nucl. Phys. B 618 (2001) 171

$$\lambda = \frac{1}{v} \sqrt{M} R \sqrt{m} U^\dagger \quad v = 174 \text{ GeV}$$

Flavour basis in which the charged lepton Yukawa matrix and the RH neutrino Majorana mass matrix are diagonal.

- At low energy,  $E \sim M_Z$ ,  $U \equiv U_{\text{PMNS}}$ .
- We want to understand the source of CP-violation generating the CP-asymmetries in the RH neutrino decays:
  - “Low Energy” CP-Violation encoded in  $U$ .
  - “High Energy” CP-Violation encoded in  $R$ .

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## CPV in Flavoured Leptogenesis

- Under **CP-invariance**,  $N_j$  and  $\nu_k$  have definite **CP-parities**,  $\eta_j^{NCP} = \pm i$ ,  $\eta_k^{\nu CP} = \pm i$ , and transform in the following way under the CP-symmetry transformation:

$$\begin{aligned}
 U_{CP} N_j(x) U_{CP}^\dagger &= \eta_j^{NCP} \gamma_0 N_j(x'), & \eta_j^{NCP} &= i\rho_j^N = \pm i, \\
 U_{CP} \nu_k(x) U_{CP}^\dagger &= \eta_k^{\nu CP} \gamma_0 \nu_k(x'), & \eta_k^{\nu CP} &= i\rho_k^\nu = \pm i.
 \end{aligned}$$

- CP-invariance** of  $\mathcal{L}_Y$  ( $\eta^{\ell CP} = i$ ,  $\eta^{HCP} = 1$ ):

$$\lambda_{j\ell}^* = \lambda_{j\ell} (\eta_j^{NCP})^* \eta^{\ell CP} \eta^{HCP*}, \quad j = 1, 2, 3, \quad \ell = e, \mu, \tau, \quad (1)$$

- If **CP-invariance** holds at low energy ( $E \sim M_Z$ ):

$$U_{\ell j}^* = U_{\ell j} \rho_j^\nu, \quad j = 1, 2, 3, \quad \ell = e, \mu, \tau. \quad (2)$$



## CPV in Flavoured Leptogenesis

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## CP-Violation in Flavoured Leptogenesis

- (1) and (2)  $\implies$  R CP-conserving:  $R_{jk}^* = R_{jk} \rho_j^N \rho_k^\nu$   $j, k = 1, 2, 3$ .
- The violation of CP-symmetry necessary for leptogenesis can be due exclusively to the CP-violating phases in  $U_{\text{PMNS}}$ :

## PMNS Neutrino Mixing Matrix

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \text{diag}(1, e^{i\frac{\alpha_{21}}{2}}, e^{i\frac{\alpha_{31}}{2}})$$

“low energy” CP-violation in the leptonic sector implies:  $\delta \neq \pi q$  (Dirac CPV) or  $\alpha_{21} \neq \pi q'$ ,  $\alpha_{31} \neq \pi q''$  (Majorana CPV),  $q, q', q'' = 0, 1, 2, \dots$

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## CPV in Flavoured Leptogenesis

CPV in flavoured thermal leptogenesis is triggered by the following quantity:

S. Pascoli, S.T. Petcov, A. Riotto, Nucl. Phys. B 739 (2006) 208

$$P_{jkml} \equiv R_{jk} R_{jm} U_{lk}^* U_{lm}, \quad k \neq m.$$

If CP-invariance holds then  $P_{jkml}$  is real:

$$P_{jkml}^* = P_{jkml} (\rho_j^N)^2 (\rho_k^\nu)^2 (\rho_m^\nu)^2 = P_{jkml}, \quad \text{Im}(P_{jkml}) = 0.$$

- We consider in detail the case of a **real** orthogonal matrix  $R$ .
- We consider the two possible spectra allowed by data:
  - **normal ordering**:  $m_1 < m_2 < m_3$
  - **inverted ordering**:  $m_3 < m_2 < m_1$
- Analysis performed under the condition of negligible RG running from  $M_Z$  to  $M_1$  of  $m_j$  and of the parameters in  $U_{\text{PMNS}} \implies \min(m_j) \lesssim 0.10\text{eV}$ .

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## Low Energy CP-Violation

For **real** or **purely imaginary**  $R_{1j}R_{1k}$ ,  $j \neq k$ , the CP asymmetries  $\epsilon_\ell$  is given by

$$\epsilon_\ell = -\frac{3M_1}{16\pi v^2} \frac{\sum_k \sum_{j>k} \sqrt{m_k m_j} (m_j - m_k) \rho_{kj} |R_{1k} R_{1j}| \operatorname{Im}(U_{\ell k}^* U_{\ell j})}{\sum_i m_i |R_{1i}|^2}, \operatorname{Im}(R_{1k} R_{1j}) = 0$$

$$\epsilon_\ell = -\frac{3M_1}{16\pi v^2} \frac{\sum_k \sum_{j>k} \sqrt{m_k m_j} (m_j + m_k) \rho_{kj} |R_{1k} R_{1j}| \operatorname{Re}(U_{\ell k}^* U_{\ell j})}{\sum_i m_i |R_{1i}|^2}, \operatorname{Re}(R_{1k} R_{1j}) = 0$$

with  $R_{1j}R_{1k} = \rho_{jk} |R_{1j}R_{1k}|$  and  $R_{1j}R_{1k} = i\rho_{jk} |R_{1j}R_{1k}|$ ,  $\rho_{jk} = \pm 1$ ,  $j \neq k$ .

- Real (purely imaginary)  $R_{1k}R_{1j}$  and purely imaginary (real)  $U_{\ell k}^* U_{\ell j}$ ,  $j \neq k$ , implies violation of CP-invariance by the matrix  $R$ .

e.g.  $\delta = 0$ ,  $\alpha_{31} = \pi$  and  $\alpha_{21} = 0$  and real  $R_{1j}$ ,  $j = 1, 2, 3$ .

- CP-symmetry is broken at low energies if and only if both  $\operatorname{Re}(U_{\ell k}^* U_{\ell j}) \neq 0$  and  $\operatorname{Im}(U_{\ell k}^* U_{\ell j}) \neq 0$ .

G.C. Branco, M.N. Rebelo, Acta Phys.Polnon.B38:3819-3850,2007.

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## Inverted hierarchical Light $\nu$ mass spectrum ( $m_3 \ll m_1 \cong m_2 \cong \sqrt{\Delta m_A^2}$ )

- In **one-flavour** approximation the lepton asymmetry is strongly suppressed by the factor  $\Delta m_\odot^2 / \Delta m_A^2 \cong 0.032$  and leptogenesis can reproduce the observed baryon asymmetry for  $M_1 \gtrsim 7 \times 10^{12} \text{ GeV}$ .
- In **flavoured** leptogenesis, IH light  $\nu$ 's and **real** matrix  $R$ , the CP asymmetry is suppressed w.r.t. the one in the NH case by a factor  $\sim (\Delta m_\odot^2 / \Delta m_A^2)^{3/4}$ . The observed baryon asymmetry can be generated in the case of **purely imaginary**  $R_{11}R_{12}$  or in the supersymmetric version of leptogenesis.
- Baryon asymmetry can be reproduced in flavoured leptogenesis and **real**  $R$  if one considers a non negligible lightest neutrino mass  $m_3$ .
- If  $Y_B$  is produced in the **two-flavour** regime ( $10^9 \text{ GeV} \lesssim M_1 \lesssim 10^{12} \text{ GeV}$ ) the terms  $\propto \sqrt{m_3}$  in  $\epsilon_\ell$  will be dominant if:

$$2 \left( \frac{m_3}{\sqrt{\Delta m_\odot^2}} \right)^{\frac{1}{2}} \left( \frac{\Delta m_A^2}{\Delta m_\odot^2} \right)^{\frac{3}{4}} \frac{|R_{13}|}{|R_{11(12)}} \gg 1. \quad (5)$$

$\implies R_{11} \rightarrow 0$  or  $R_{12} \rightarrow 0$  and if  $m_3$  is sufficiently large. No suppression due to the factor  $\Delta m_\odot^2 / \Delta m_A^2 \cong 0.032$ .

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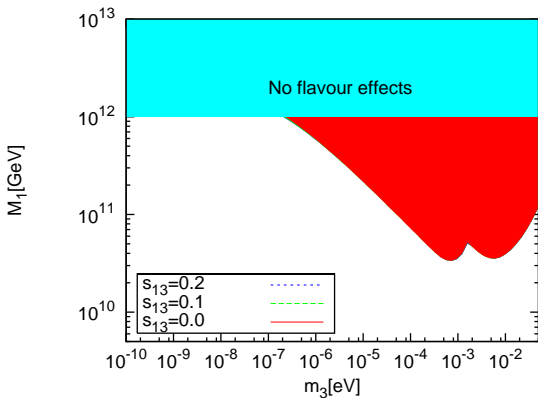
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Inverted ordering ( $m_3 < m_2 < m_1$ ) with  $10^{-10} \text{ eV} \leq m_3 \leq 0.05 \text{ eV}$ :

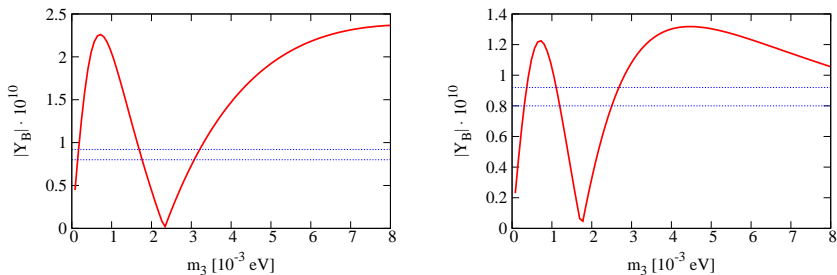
- IH mass spectrum ( $10^{-6} \text{ eV} \lesssim m_3 \lesssim 5 \times 10^{-3} \text{ eV}$ ,  $m_3 \ll m_1 < m_2$ ,  $m_{1,2} \cong \sqrt{|\Delta m_A^2|}$ ) and real elements  $R_{1j}$ . The effects are particularly large for  $R_{11} \cong 0$  or  $R_{12} \cong 0 \implies$  enhancement by a factor  $\sim 100$  with respect to the case  $m_3 \cong 0$ .

S. Pascoli, S.T. Petcov, A. Riotto, Nucl. Phys. B 739 (2006) 208

- Baryon asymmetry for CP-violation due to effective Majorana phase  $\alpha_{32} \equiv \alpha_{31} - \alpha_{21} \implies M_1 \gtrsim 3.0 \times 10^{10} \text{ GeV}$ .
- Baryon asymmetry for CP-violation due to Dirac phase  $\delta$  is obtained fo  $M_1 \gtrsim 10^{11} \text{ GeV}$ .
- Successful “flavoured” leptogenesis for Dirac CP-violation gives the following bounds:  $\sin \theta_{13} \gtrsim (0.04 - 0.09)$ ,  
 $|J_{\text{CP}}| \equiv |\text{Im}\{U_{e1}U_{\mu 2}U_{e2}^*U_{\mu 1}^*\}| \gtrsim (0.009 - 0.020)$ .

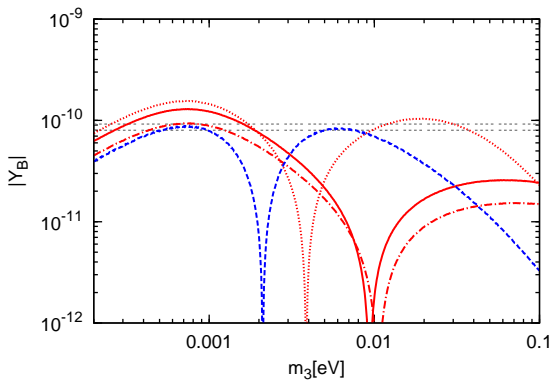


**Figure:** Values of  $m_3$  and  $M_1$  for which the “flavoured” leptogenesis is successful, generating baryon asymmetry  $|Y_B| = 8.6 \times 10^{-11}$  (red/dark shaded area). Light neutrino mass spectrum with inverted ordering (hierarchy),  $m_3 < m_1 < m_2$ , and real elements  $R_{1j}$  of the matrix  $R$ . CP-violation due to the Majorana phases in the PMNS matrix. The results shown are obtained using the best fit values of neutrino oscillation parameters:  $\Delta m_{\odot}^2 = 8.0 \times 10^{-5} \text{ eV}^2$ ,  $\Delta m_{\text{A}}^2 = 2.5 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{12} = 0.30$  and  $\sin^2 2\theta_{23} = 1$ .



**Figure:** The dependence of  $|Y_B|$  on  $m_3$  in the case of **IH spectrum**, **real  $R_{1j}R_{1k}$** , **Majorana CP-violation**,  $R_{11} = 0$ ,  $\alpha_{32} = \pi/2$ ,  $s_{13} = 0$ ,  $M_1 = 10^{11}$  GeV, and for i)  $\text{sgn}(R_{12}R_{13}) = +1$  (left panel), and ii)  $\text{sgn}(R_{12}R_{13}) = -1$  (right panel). The baryon asymmetry  $|Y_B|$  is computed for a given  $m_3$ , using the value of  $|R_{12}|$ , for which the CP-asymmetry  $|\epsilon_\tau|$  is maximal. The horizontal dotted lines indicate the allowed range of  $|Y_B|$ ,  $|Y_B| = (8.0 - 9.2) \times 10^{-11}$ .

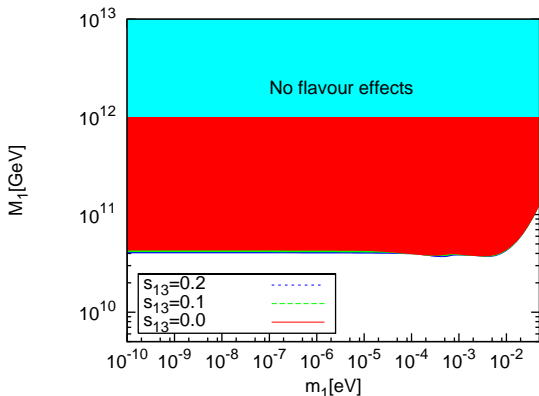




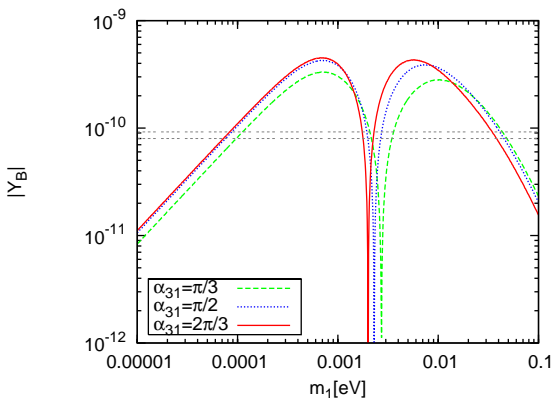
**Figure:** The dependence of  $|Y_B|$  on  $m_3$  in the case of spectrum with **inverted ordering (hierarchy)**, real  $R_{1j}R_{1k}$  and **Dirac CP-violation**, for  $R_{11} = 0$ ,  $\delta = \pi/2$ ,  $s_{13} = 0.2$ ,  $\alpha_{32} = 0$ ,  $M_1 = 2.5 \times 10^{11}$  GeV and  $\text{sgn}(R_{12}R_{13}) = +1$  ( $-1$ ) (red lines (blue dashed line)). The baryon asymmetry  $|Y_B|$  was calculated for a given  $m_3$ , using the value of  $|R_{12}|$ , for which the CP-asymmetry  $|\epsilon_\tau|$  is maximal. The results shown for  $\text{sgn}(R_{12}R_{13}) = +1$  are obtained for  $\sin^2 \theta_{23} = 0.50$ ;  $0.36$ ;  $0.64$  (red solid, dotted and dash-dotted lines), while those for  $\text{sgn}(R_{12}R_{13}) = -1$  correspond to  $\sin^2 \theta_{23} = 0.5$ .

Normal ordering ( $m_1 < m_2 < m_3$ ) with  $10^{-10} \text{ eV} \leq m_1 \leq 0.05 \text{ eV}$ :

- For  $m_1 \lesssim 7.5 \times 10^{-3} \text{ eV}$ ,  $Y_B$  is the same as in the case  $m_1 = 0$ .
- For  $m_1 \gtrsim 10^{-2} \text{ eV}$ , the lightest neutrino mass has a suppressing effect on the asymmetry  $Y_B$ .
- If  $R_{12} \cong 0$ ,  $Y_B$  has qualitatively the same dependence on the lightest neutrino mass as in the IH spectrum. It is possible to produce the observed baryon asymmetry for CP-violation due to Majorana phases in  $U_{\text{PMNS}}$  and  $M_1 \gtrsim 5.3 \times 10^{10} \text{ GeV}$ .



**Figure:** Values of  $m_1$  and  $M_1$  for which the “flavoured” leptogenesis is successful and baryon asymmetry  $Y_B = 8.6 \times 10^{-11}$  can be generated (red shaded area). Light neutrino mass spectrum with **normal ordering**. The **CP-violation** necessary for leptogenesis is due to the **Majorana** and **Dirac** phases in the PMNS matrix. The results shown are obtained using the best fit values of neutrino oscillation parameters:  $\Delta m_{\odot}^2 = 8.0 \times 10^{-5} \text{ eV}^2$ ,  $\Delta m_{\text{A}}^2 = 2.5 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{12} = 0.30$  and  $\sin^2 2\theta_{23} = 1$ .



**Figure:** The dependence of  $|Y_B|$  on  $m_1$  in the case of neutrino mass spectrum with normal ordering and **real**  $R_{1j}R_{1k}$ , for  $R_{12} = 0$ ,  $s_{13} = 0$ ,  $M_1 = 3 \times 10^{11}$  GeV and  $\text{sgn}(R_{11}R_{13}) = -1$ . The red solid, the blue dotted, and the green dashed lines correspond to  $\alpha_{31} = 2\pi/3$ ,  $\pi/2$ , and  $\pi/3$  respectively. The figure is obtained for  $\theta_{23} = \pi/4$ .