

Symmetry aspects in  
emergent quantum mechanics

Hans-Thomas Elze

Dip. di Fisica, Univ. di Pisa

Discrete'08, Dec. 11-16, 2008, Valencia



⇔ fundamental puzzles

QM = needs external time,  $t$

$$\begin{aligned} & i\partial_t \psi = \hat{H} \psi \\ & \hookrightarrow \sum_{\text{paths}} e^{iS(t_2, t_1)/\hbar} \end{aligned}$$

GR = forbids external time,  ~~$t$~~

$$\begin{aligned} & \text{general coordinate invariance} \\ & \hookrightarrow t \rightarrow f(t) \\ & \hookrightarrow \hat{H} \psi = 0, \text{ constraint} \end{aligned}$$

QM = what is a measurement?

↳ wave fct. collapse/reduction

GR = no local gauge invar. observables



⇒ QM an emergent phenomenon?

't Hooft, Adler, Smolin, ...

distinguished from reformulations/  
alternative interpretations of QM

Bohm, Parisi & Wu, ...

(Somewhere in between Nelson, Rovelli, Hartle)

© 't Hooft, PASCOS 13 (2007), arXiv: 0707.4568

"For any quantum system there exists at least one deterministic model that reproduces all its dynamics after prequantization."

H.-T. Elze, J. Phys. A (2008), in press, arXiv: 0710.2765

$$\frac{dy}{dt} = -i\hat{H}y, \quad \hat{H}: d \times d \text{ matrix}$$



atomistic spacetime

$$l_p \approx 10^{-35} \text{ m}$$

quantum mechanics

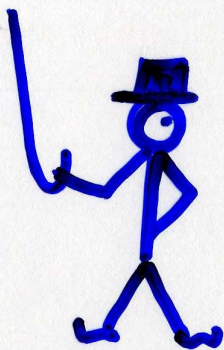
$$\frac{1}{10 \text{ TeV}} \approx 2 \cdot 10^{-20} \text{ m}$$

↳ "atomistic" = set of elements, "atoms" & "relations"

and its

dynamics, changing number  
of elements

, changing (causal)  
relations





## ... heuristic abstractions

(A) QM description = objects are identifiable ●  
electrons, molecules, tables, ---, galaxies, ---

(B) if spacetime "atomistic"  $\Leftrightarrow$  increasingly more "bits"  
are needed to characterize  
situation of object w.r.t. it ●

$\Rightarrow$  1) oblivious of "atomistic" spacetime

$\langle \sim \rangle$  information loss about situation  
(B) of objects ●

2) (A) = objects don't get lost

$\langle \sim \rangle$  conservation of probability ●



# A Model

(classical ensemble theory)

$$-\partial_t f = \{H, f\} + \epsilon \delta H f + g [f]$$

└──────────┘

Liouville eq.

└────────┘

Information  
loss

└──────────┘

probability  
Conservation



## "PART II"

↔ useful reformulation of Hamilton's mechanics

$$H(x, p) := \frac{1}{2} p^2 + V(x) \quad \bullet$$

↳ consider ensemble, distrib. fct.  $f$

$$\text{prob.} \sim f(x, p; t) dx dp$$

↳ conserv. of probability, Liouville eq. =

$$-\frac{\partial}{\partial t} f = \frac{\partial H}{\partial p} \frac{\partial f}{\partial x} - \frac{\partial H}{\partial x} \frac{\partial f}{\partial p} = p \frac{\partial}{\partial x} f - V'(x) \frac{\partial}{\partial p} f \quad \bullet$$

• Fourier transf.,  $f(x, p; t) = \int dy e^{-i p y} f(x, y; t)$

• "Wigner rotation",  $Q := x + \frac{y}{2}$ ,  $q := x - \frac{y}{2}$

$$\Rightarrow i \frac{\partial}{\partial t} f = \left\{ \hat{H}_Q - \hat{H}_q + \Delta(Q, q) \right\} f \quad \bullet$$

$$\hat{H}_\chi := -\frac{1}{2} \partial_\chi^2 + V(\chi), \quad \chi = Q, q$$

$$\Delta(Q, q) := (Q - q) V' \left( \frac{Q + q}{2} \right) - V(Q) + V(q) = -\Delta(q, Q)$$

, works for matrix or Grassmann val. vars and fields •



⟷ observations =

- looks like von Neumann eq. for dens.-op.  $\hat{\rho}$ ,  
(  $f(q, p; t)$  matrix elems.  
↳ HOWEVER = unusual interaction  $\Delta$  between bras & kets; Hilbert & dual coupled!
- looks like Schrödinger eq. for two d.o.f.;  
(  $\hat{H}_q$  and  $\hat{H}_p$  contrib. with opposite sign!  
↳ Kaplan & Sundrum (2006) = a symmetry for  $\Delta$ .  
Since  $\Delta$  is antisym.  $\Rightarrow$  Spectrum Symm. w.r.t. zero  
 $\Rightarrow$  no ground state ●
- free particle and harmonic oscill.,  $\Delta = 0$  ●

⟷ Interaction /  $\Delta$  is THE stumbling block  
for emergent QM ●



↔ pursue "von Neumann aspect" =

$$1 = \int \frac{dx dp}{2\pi} f(x, p; t) = \int dQ dq \delta(Q-q) f(Q, q; t) \\ =: \text{Tr} \hat{f}(t) \quad \bullet$$

$$\langle x \rangle := \int \frac{dx dp}{2\pi} x f(x, p; t) = \text{Tr}(\hat{X} \hat{f}(t)) \quad \bullet$$

$$\text{Tr}(\hat{X}\hat{P} + \hat{P}\hat{X}) \hat{f}(t) = \int \frac{dx dp}{2\pi} (xp + px) f(x, p; t) \quad \bullet$$

$$, X(q, Q) = \delta(Q-q) \frac{Q+q}{2}, P(q, Q) = -i(\delta(Q-q) \overset{\rightarrow}{\partial}_Q$$

↪ introduce complete orthonorm. basis =  $g_j(x; t) := e^{-iE_j t} g_j(x)$  -  $\overset{\leftarrow}{\partial}_q \delta(Q-q)$  •

$$\hat{H}_x g_j(x) = E_j g_j(x)$$

↪  $f(Q, q; t) = \sum_{j,k} f_{jk}(t) g_j(Q; t) g_k^*(q; t)$

$$\Rightarrow 1 = \sum_j f_{jj}(t); f(x, p; t) \text{ real} \Rightarrow f_{jk} = f_{kj}^* \quad \bullet$$



→ dissipative dynamics and vacuum stability

$$\dot{a}_{jm} = i\partial_t \hat{f} = [\hat{H}_x, \hat{f}] \Leftrightarrow f_{jk} \text{'s constant} \bullet$$

$$\text{we have: } i\partial_t f_{jk} = \sum_{l,m} \Delta_{jklm} f_{lm} \bullet$$

$$\Delta_{jklm} = -\Delta_{kjml}^* := \int d\alpha d\beta g_j^*(\alpha) g_k(\beta) \Delta(\alpha, \beta) g_l(\alpha) g_m^*(\beta)$$

$$\left\{ \begin{array}{l} i\hat{\Delta} = \text{Hermitian} \rightarrow \text{Hermitian} \\ \text{Tr}(\hat{\Delta} \hat{M}) = 0 \end{array} \right.$$

$$\hookrightarrow \text{Tr} \hat{f}(t) = \text{Tr}(e^{-i\hat{\Delta}t} \hat{f}(0)) = \text{Tr} \hat{f}(0)$$

→ What to do next?



↔ minimalist model = better: white noise  
 $\delta H(t)$

•  $i\partial_t \hat{f}(t) = (\hat{\Delta} + \delta H(t)) (\hat{f}(t) - \hat{g}(t))$

↳ solve, average:  $P(\delta H) \sim e^{-t \delta H^2 / 4\epsilon}$

$$\hat{f}(t) = \hat{g}(t) + e^{-i(\hat{\Delta} - i\epsilon)t} (\hat{f}(0) - \hat{g}(0)) - \int_0^t ds e^{-i(\hat{\Delta} - i\epsilon)(t-s)} \delta \hat{g}(s)$$

↳ dissip. decay  $\sim e^{-\epsilon t}$ , eff. elimin.  $\hat{\Delta}$

↳  $\text{Tr} \hat{f}(t) = \text{Tr} \hat{f}(0) = 1$ , prov.  $\text{Tr} \hat{g}(t) = 1$

$\Rightarrow t \gg 1/\epsilon$ ,  $\hat{f}(t) \approx \hat{g}(t) \xrightarrow[\text{fast}]{\text{Suft.}} g(\infty)$

and  $f_{ij}(t) \longrightarrow g_{ij}(\infty)$

↔ von Neumann eq. valid asympt.



$\Leftrightarrow$  asymptotically

$$\hat{f}(t) \longrightarrow \hat{g}(\infty) = \hat{U}^\dagger \hat{P} \hat{U}, \text{ i.e. constant}$$

$\Rightarrow$  von Neumann eq. holds, sols. are quantum states

i)  $\hat{f}(0)$  diagonal  $\Leftrightarrow \hat{U} = \hat{U}^\dagger = \mathbb{1}$

$$f(Q, q; t) \longrightarrow \sum_{j, k} P_{jk} g_j(Q; t) g_k^*(q; t) = g_{\bar{\ell}}(Q) g_{\bar{\ell}}(q)$$

$\Rightarrow$  stationary state  $\Leftrightarrow$  large equivalence class of classical distributions

ii)  $\hat{f}(0)$  not diagonal  $\Leftrightarrow \hat{U} \neq \mathbb{1}$

$$f(Q, q; t) \longrightarrow \sum_{j, k} (\hat{U}^\dagger \hat{P} \hat{U})_{jk} g_j(Q; t) g_k^*(q; t)$$

$= U_{j\bar{\ell}}^\dagger U_{\bar{\ell}k}$

$\Rightarrow$  pure state  $\Leftrightarrow$  larger equivalence class



→ completion of the model =

•  $\tau \frac{\partial}{\partial t} \hat{g}(t) = (\hat{f}(t) - \langle \hat{f}(t) \rangle_{\hat{g}(t)}) \hat{g}(t)$

•  $\langle \hat{f} \rangle_{\hat{g}} := \text{Tr}(\hat{f} \hat{g}) / \text{Tr} \hat{g}$

→ solve:  $\hat{g}(t) = e^{\hat{f}(t)t/\tau} / \text{Tr} e^{\hat{f}(t)t/\tau}$

⇒  $\text{Tr} \hat{g}(t) = 1, \hat{g}(0) = \mathbb{1} / \text{Tr} \mathbb{1}$

$\hat{g}$  is diagonalized by  $\hat{U} \hat{f}(t) \hat{U}^\dagger = \begin{pmatrix} f'_{11}(t) & & 0 \\ & f'_{22}(t) & \\ 0 & & \dots \end{pmatrix}$

→  $f'_{jj}(t) \in [0, 1]$

$\lambda := \max_j f'_{jj}(t)$

⇒  $\hat{g}_d(t) := \hat{U} \hat{g}(t) \hat{U}^\dagger = \frac{1}{\sum_j e^{(f'_{jj} - \lambda)t/\tau}} \begin{pmatrix} e^{(f'_{11} - \lambda)t/\tau} & & 0 \\ & \dots & \\ 0 & & 1 & \dots \end{pmatrix}$

• →  $\begin{pmatrix} 0 & \dots & 1 & 0 & \dots \end{pmatrix} =: \hat{\mathcal{P}}, \text{ projector}$



Remark: Source  $\hat{g}$  deterministically determined  
by  $\hat{f}(co)$

↳ modify, in order to describe QM energy measurement on general pure state

**⇒⇒⇒** "wave function collapse"  $\Leftrightarrow$  reduction of a  
larger equivalence class of classical  
distributions to a smaller one •  
 $\Leftrightarrow$  pure state  $\rightarrow$  stationary state

... to be done •



## Conclusion =

"atomistic" spacetime structure & dynamics ...

$$-\partial_t f = \{H, f\} + i\delta H f + g[f]$$

Information  
loss

probability  
conservation

$\Leftrightarrow$

$$i\partial_t \hat{f} = [\hat{H}, \hat{f}] + (\hat{\Delta} + \delta H)(\hat{f} - \hat{g}) \bullet$$

$\hookrightarrow$  asymptotic (fixed point) solutions  
are quantum states

acc. to von Neumann eq.

... might give rise to quantum phenomena  $\bullet$