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Results on D mixing and CP violation at B factories

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for

The BaBar collaboration

Monday, December 15, 2008

Outline

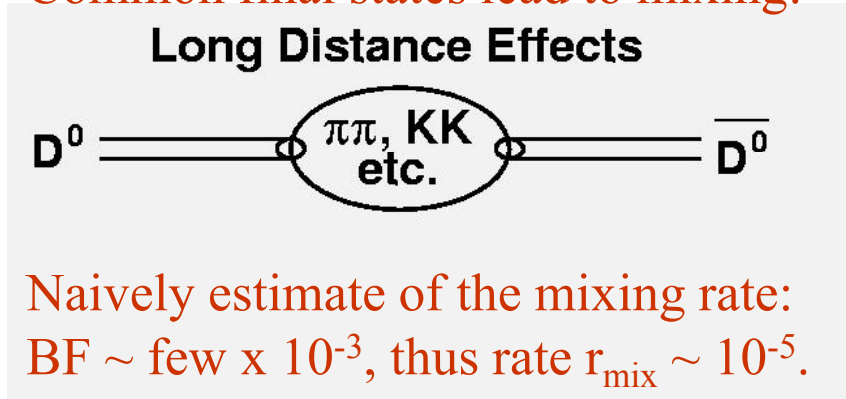
- D^0 - \bar{D}^0 mixing
- CP violation in charm decays
- Experimental results using various final states:

	BaBar	Belle	CLEO	E791	Focus	CDF
$K^+\pi^-$	X	X	X	X	X	X
$KK, \pi\pi$	X	X X	X	X	X	
$K\pi\pi^0$	X	X				
$K_S^0\pi\pi,$ K_S^0KK		X X	X			
Semi-leptonic	X	X	X	X		

- Summary

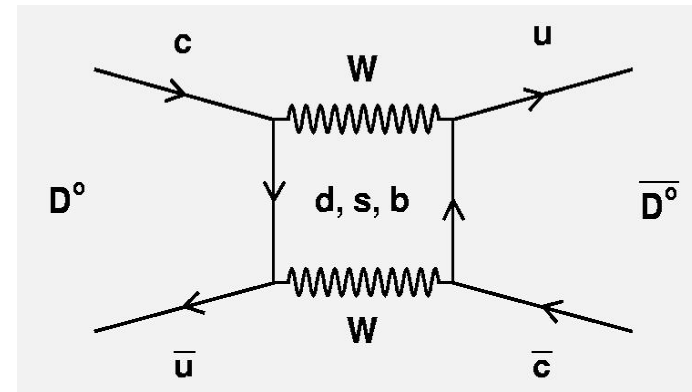
Physics of $D^0\bar{D}^0$ – mixing

- Common final states lead to mixing:



- Naively estimate of the mixing rate:
BF \sim few $\times 10^{-3}$, thus rate $r_{\text{mix}} \sim 10^{-5}$.

- Mixing at the quark level:



- Predicted rate for mixing: $r_{\text{mix}} \sim 10^{-7}$.

$$r_{\text{mix}} \equiv \Gamma(D^0 \rightarrow \bar{D}^0 \rightarrow l^- \nu X) / \Gamma(D^0 \rightarrow l^+ \nu X)$$

- If the Standard Model mixing rate were small we would have a large window for discovery of new physics.
- However, it appears that long distance contributions are large and the mixing rate $\sim 10^{-4}$.
- Possibility of CP violation first noted by Pais and Treiman, who also established parameters for mixing (x, y, r). [Phys. Rev. D12, 2744 (1975)].
- It is possible that $\Gamma(D^0 \rightarrow \bar{D}^0) \neq \Gamma(\bar{D}^0 \rightarrow D^0)$

Experimental Technique

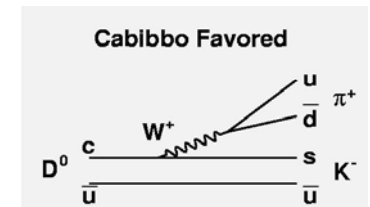
Flavor at Production:

- Charm flavor at production can be tagged by either
 - the slow pion in D^{*+} decays or
 - by double tagging

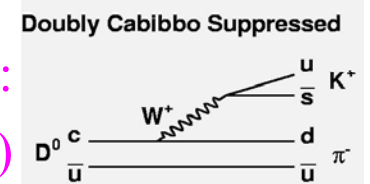
Flavor at Decay:

- Most decays of the D^0 are “Cabibbo-favored”, e.g., $D^0 \rightarrow K^- \pi^+$.
- Hadronic “wrong-sign” decays ($D^0 \rightarrow K^+ \pi^-$ in this case) can occur either via double Cabibbo-suppression (DCS) or due to mixing.
- Semileptonic “wrong-sign” decays only occur due to mixing.

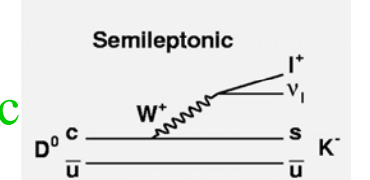
Right Sign:
(RS decays)



Wrong Sign:
(WS decays)



Semileptonic



Mixing Parameters

- Mixing in the neutral D system arises from the existence of two mass eigenstates D_1 and D_2 that are not flavor eigenstates

$$i \frac{\partial}{\partial t} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \left(M - \frac{i}{2} \Gamma \right) \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

$$\begin{aligned} |D_1\rangle &= p|D^0\rangle + q|\bar{D}^0\rangle \\ |D_2\rangle &= p|D^0\rangle - q|\bar{D}^0\rangle \end{aligned}$$

$$\begin{aligned} |D_1(t)\rangle &= |D_1\rangle e^{-i(\Gamma_1/2 - tm_1)t} \\ |D_2(t)\rangle &= |D_2\rangle e^{-i(\Gamma_2/2 - tm_2)t} \end{aligned}$$

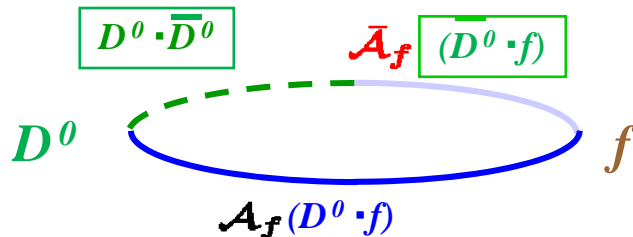
Eigenvalues are
 $m_{1,2} + i\Gamma_{1,2}/2$

with means:
 $M = (m_1 + m_2)/2$
 $\Gamma = (\Gamma_1 + \Gamma_2)/2$

- It is usual to define mixing parameters as follows:

$$x = \frac{M_1 - M_2}{\Gamma} \quad y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma} \quad \lambda_f \equiv (q/p) [\bar{\mathcal{A}}_f / \mathcal{A}_f]$$

CP violation when
 $|\bar{\mathcal{A}}_f| \neq |\mathcal{A}_f|$: Decays
 $|p| \neq |q|$: Mixing
 $\text{Im}(\lambda_f) \neq 0$: Interference



Time-dependent WS decay rate

Two types of WS Decays:

– Doubly Cabibbo-suppressed (DCS)

– Mixing followed by Cabibbo-Favored (CF) decay

$$D^0 \rightarrow K^+ \pi^-$$

$$D^0 \xrightarrow{\text{mix}} \bar{D}^0 \rightarrow K^+ \pi^-$$

Two ways to reach same final state \Rightarrow interference possible!

(assuming CP -conservation and $|x| \ll 1, |y| \ll 1$):

$$\Rightarrow \frac{d\Gamma}{dt} [|D^0(t)\rangle \rightarrow f] \propto e^{-\Gamma t} \left(R_D + \sqrt{R_D} y' \Gamma t + \frac{x'^2 + y'^2}{4} (\Gamma t)^2 \right)$$

DCS decay

Interference between DCS and mixing

Mixing

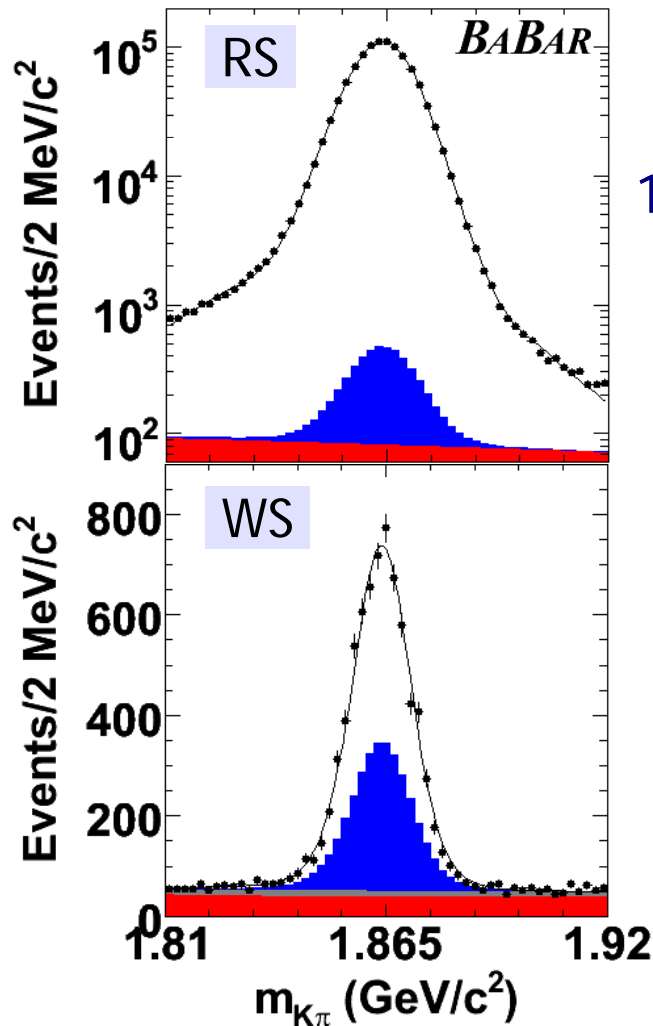
$$x' = x \cos \delta_{K\pi} + y \sin \delta_{K\pi}, \quad y' = -x \sin \delta_{K\pi} + y \cos \delta_{K\pi}$$

$\delta_{K\pi}$: strong phase difference between CF and DCS decay amplitudes
 $\sqrt{R_D}$: magnitude of $\lambda^{-1}(K^+\pi^-)$



$m_{K\pi}$ & Δm Fit Results

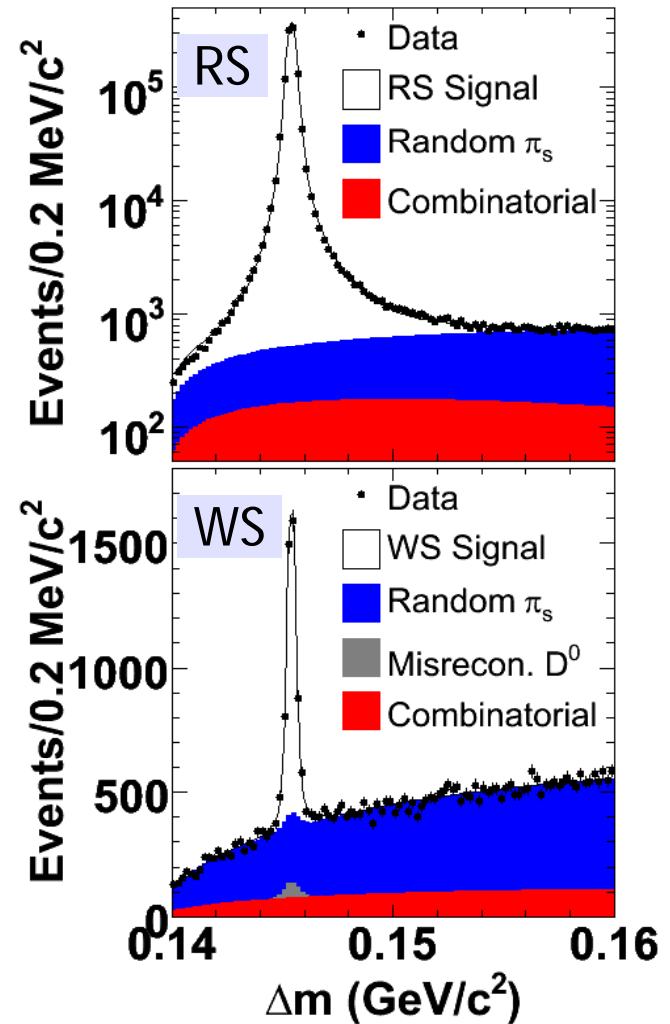
PRL 98, 211802 (2007)



RS signal:
 $1,141,500 \pm 1200$
combinations

WS signal:
 $4,030 \pm 90$
combinations

π_s is the pion from the D^{*+}

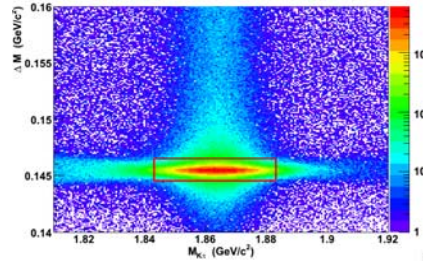


$\Delta m \equiv m_{K\pi\pi_s} - m_{K\pi}$



RS Proper Time Fit

plot selection:
 $1.843 < m < 1.883 \text{ GeV}/c^2$
 $0.1445 < \Delta m < 0.1465 \text{ GeV}/c^2$



PRL 98, 211802 (2007)

D^0 lifetime and resolution function fitted in RS sample

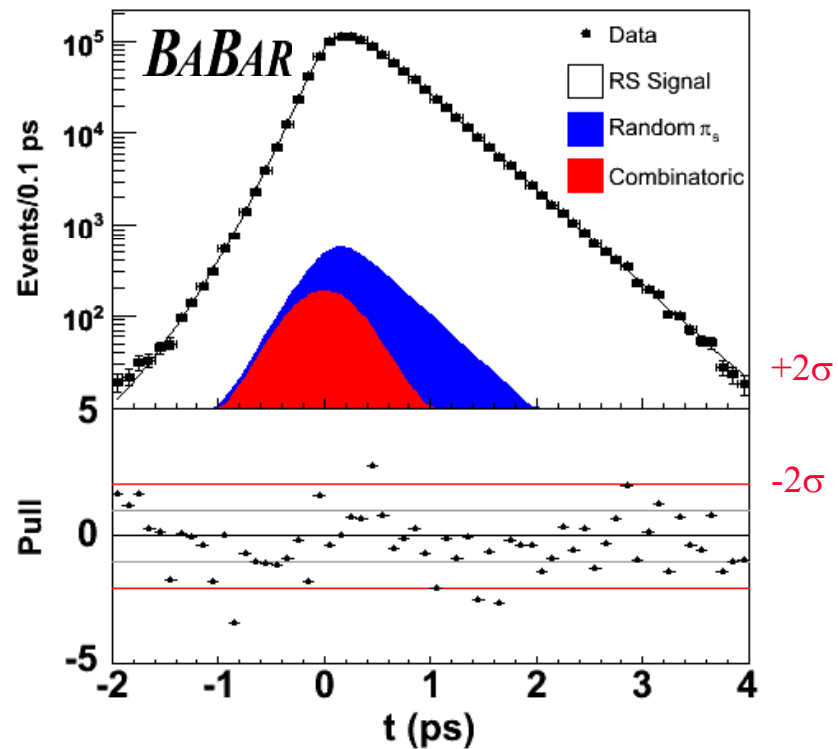
$$\tau = 410.3 \pm 0.6 \text{ (stat) fs}$$

Consistent with PDG

$$\tau_{\text{PDG}} = 410.1 \pm 1.5 \text{ fs}$$

Systematics dominated by signal resolution function

RS decay time, signal region



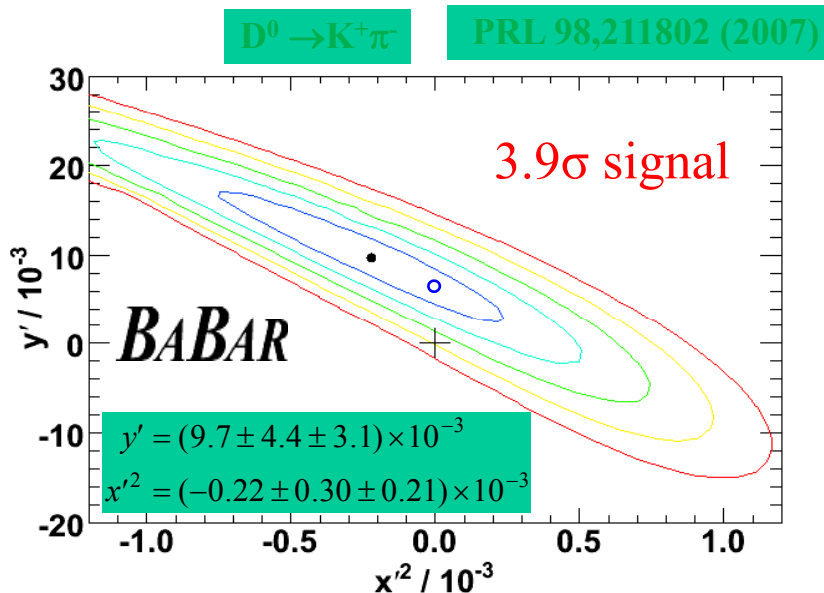
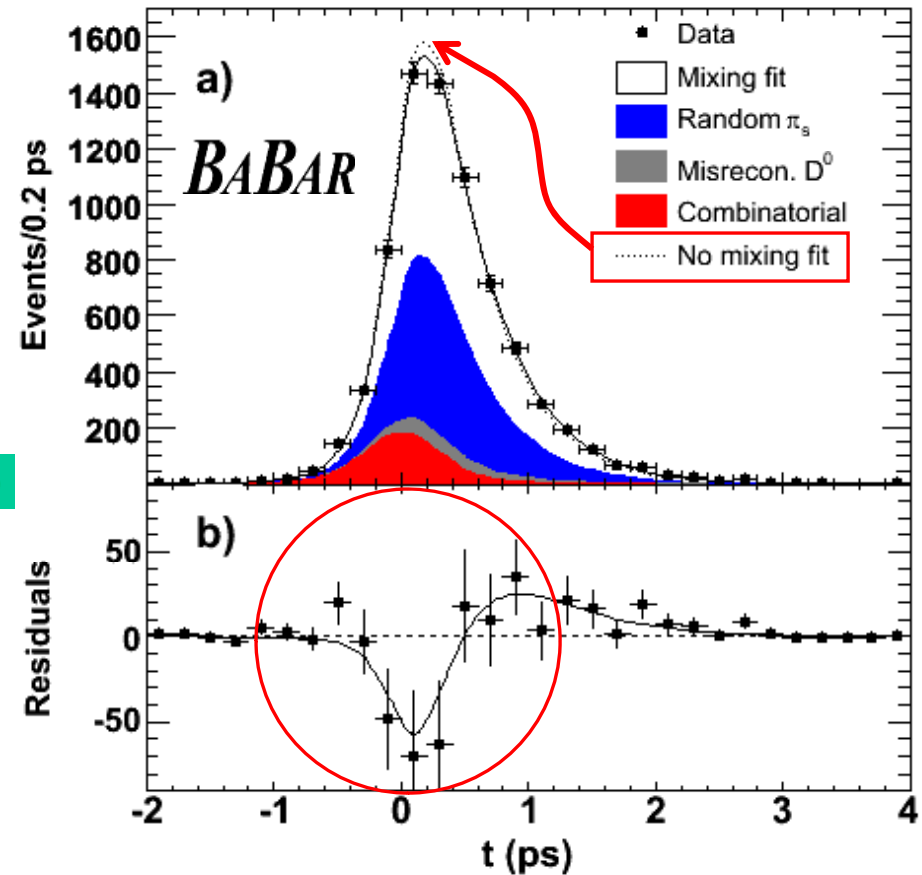


WS Decay Time Fit: Mixing Allowed

PRL 98, 211802 (2007)

Data: 384 fb⁻¹

- * The dotted line is the no-mixing expectation.
- * The solid line is the mixing fit.
- * The difference between no-mixing hypothesis and the fit with mixing is shown in the residuals plot.



$$R_D: (3.03 \pm 0.16 \pm 0.10) \times 10^{-3}$$

$$x'^2: (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3}$$

$$y': (9.7 \pm 4.4 \pm 3.1) \times 10^{-3}$$

No evidence for CPV: the results for D^0 and \bar{D}^0 are consistent with each other

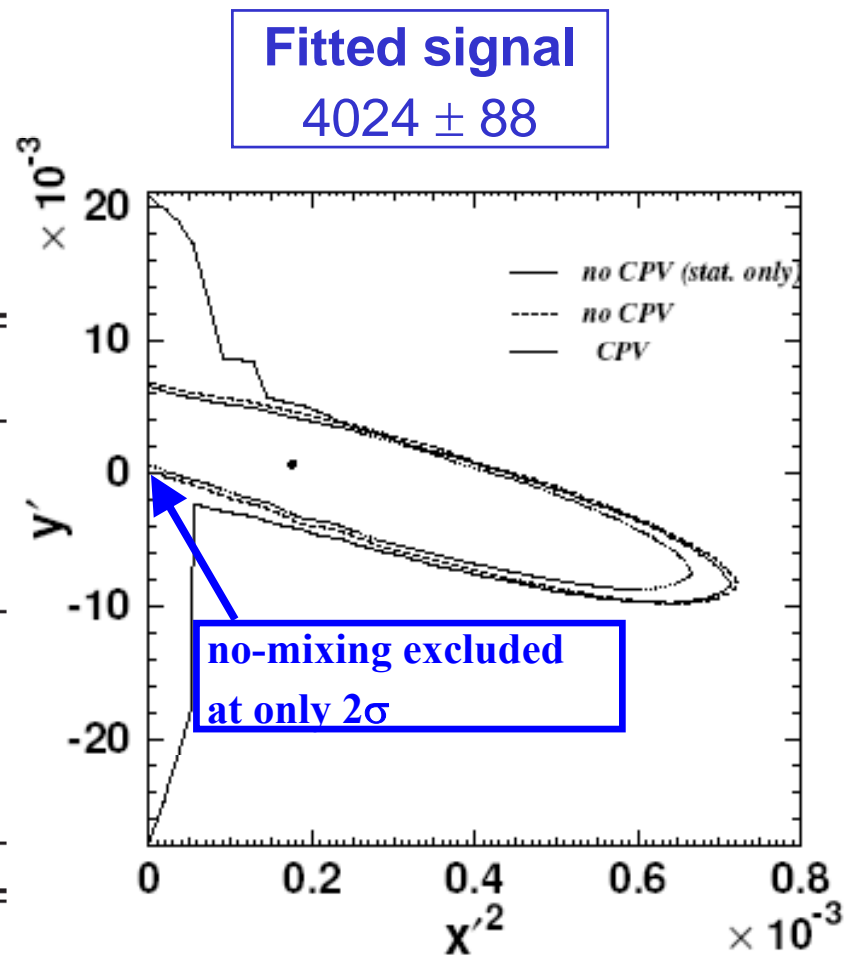


$D^0 \rightarrow K\pi$ Belle Measurement

PRL 96, 151801 (2006)

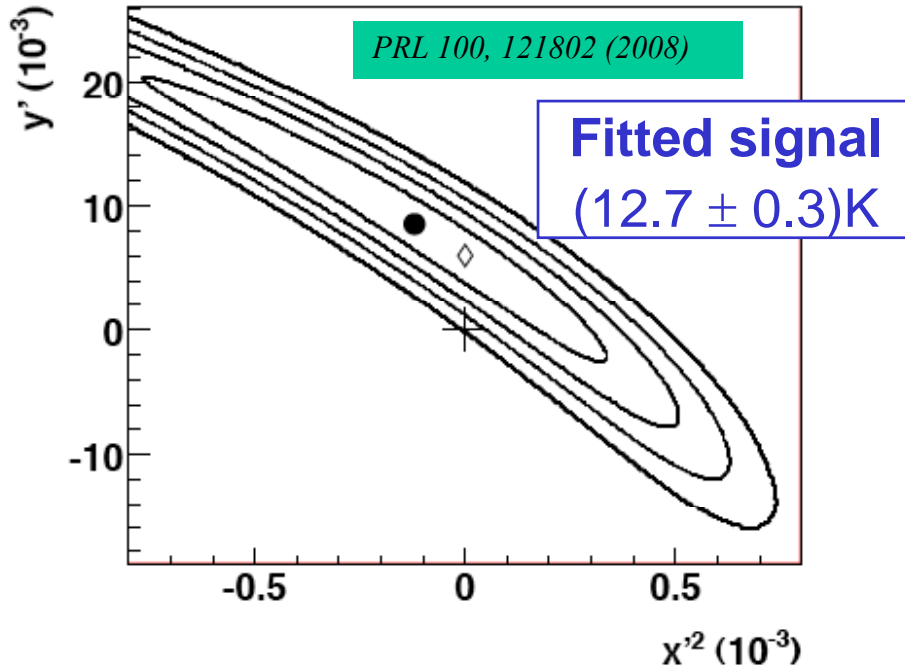
Data sample 400 fb⁻¹

Fit case	Parameter	Fit result ($\times 10^{-3}$)	95% C.L. interval ($\times 10^{-3}$)
No <i>CPV</i>	R_D	3.64 ± 0.17	(3.3, 4.0)
	x'^2	$0.18^{+0.21}_{-0.23}$	< 0.72
	y'	$0.6^{+4.0}_{-3.9}$	(-9.9, 6.8)
	R_M	-	(0.63×10^{-5} , 0.40)
<i>CPV</i>	A_D	23 ± 47	(-76, 107)
	A_M	670 ± 1200	(-995, 1000)
	x'^2	-	< 0.72
	y'	-	(-28, 21)
	R_M	-	< 0.40
No mixing	R_D	3.77 ± 0.08 (stat.) ± 0.05 (syst.)	





$D^0 \rightarrow K\pi$ CDF Measurement

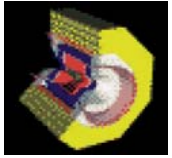


Evidence for mixing at 3.8σ

- * Different Analysis
- * Different Production Environment
- * Confirmation of *BaBar* mixing result

😊 *Nearly identical results!*

Experiment	$R_D(10^{-3})$	$y'(10^{-3})$	$x'^2(10^{-3})$	Mixing Signif.
CDF	3.04 ± 0.55	8.5 ± 7.6	-0.12 ± 0.35	3.8
BABAR	3.03 ± 0.19	9.7 ± 5.4	-0.22 ± 0.37	3.9
Belle	3.64 ± 0.17	$0.6 + 4.0 - 3.9$	$0.18 + 0.21 - 0.23$	2.0



Measurement of $\delta_{K\pi}$ by CLEO-c

➤ Motivation

PRD 78, 012001 (2008)

PRL 100, 221801 (2008)

❖ Need $\delta_{K\pi}$ to compare the measurements of y and y'

❖ $\delta = -\delta_{K\pi}$ is defined as the phase of the $K\pi$ DCS to CF amplitude

$$\text{ratio} \quad \frac{\langle K^+ \pi^- | D^0 \rangle}{\langle K^+ \pi^- | \bar{D}^0 \rangle} = r e^{-i\delta} \quad \text{with} \quad r \approx 0.06$$

➤ Determination of the strong phase in quantum correlated $D^0 \bar{D}^0$ pairs

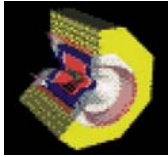
$$e^+e^- \rightarrow \psi(3770) \rightarrow D^0 \bar{D}^0 \quad \Rightarrow C = -1$$

❖ Measure time integrated yields of correlated and uncorrelated D^0 decays

❖ The ratio of correlated and uncorrelated D^0 decay rates depends on the mixing parameters and $\delta_{K\pi}$

→ Extract x^2, y, r^2 and $\cos(\delta_{K\pi})$ from time integrated yields

❖ External branching fraction are used and including external mixing parameter measurements improves the $\delta_{K\pi}$ extraction



Results of the $\delta_{K\pi}$ measurement

PRD 78, 012001 (2008)

- Extract the strong phase $\delta_{K\pi}$ in a fit to 281pb^{-1} of CLEO-c data (external branching fraction measurements are used)

PRL 100, 221801 (2008)

$x \sin(\delta_{K\pi})$ can not be determined in this fit, therefore set $x \sin(\delta_{K\pi}) = 0$

$$\cos(\delta_{K\pi}) : 1.03_{-0.17}^{+0.31} \pm 0.06$$

- Including in addition external measurements of mixing parameters improves the fit.

$x \sin(\delta_{K\pi})$ can now be determined

$$\begin{aligned} \cos(\delta_{K\pi}) &: 1.10 \pm 0.35 \pm 0.07 \\ x \sin(\delta_{K\pi}) &: (4.4_{-1.8}^{+2.7} \pm 0.29) \cdot 10^{-3} \\ \delta_{K\pi} &: (22_{-12}^{+11} \pm 9)^{\circ} \end{aligned}$$

external input parameters

Parameter	Average
y	0.00662 ± 0.00211
x	0.00811 ± 0.00334
r^2	0.00339 ± 0.00012
y'	0.0034 ± 0.0030
x'^2	0.00006 ± 0.00018

- Established a new technique using time independent measurements of mixing parameters and the strong phase.

Lifetime-Difference Measurements

- In the absence of CPV , D_1 is CP -even and D_2 is CP -odd
 - Measurement of lifetimes τ for D^0 decays to CP -even and CP -odd final states lead to a measurement for y .

$$y = \frac{\tau(D^0 \rightarrow K^- \pi^+)}{\tau(D^0 \rightarrow h^+ h^-)} - 1$$

Mixed CP . Assume τ is mean of CP -even and CP -odd

$K^+ K^-$ or $\pi^+ \pi^-$
 CP -even

$$A_\tau = \frac{\tau(\bar{D}^0 \rightarrow h^+ h^-) - \tau(D^0 \rightarrow h^+ h^-)}{\tau(\bar{D}^0 \rightarrow h^+ h^-) + \tau(D^0 \rightarrow h^+ h^-)} = \frac{1}{2} A_M \gamma \cos \phi_M - \alpha \sin \phi_M$$

$|q/p|^2 - 1$

- Allowing for CPV , measure the D^0 and \bar{D}^0 asymmetry

PRD 69,114021 (Falk, Grossman, Ligeti, Nir & Petrov)



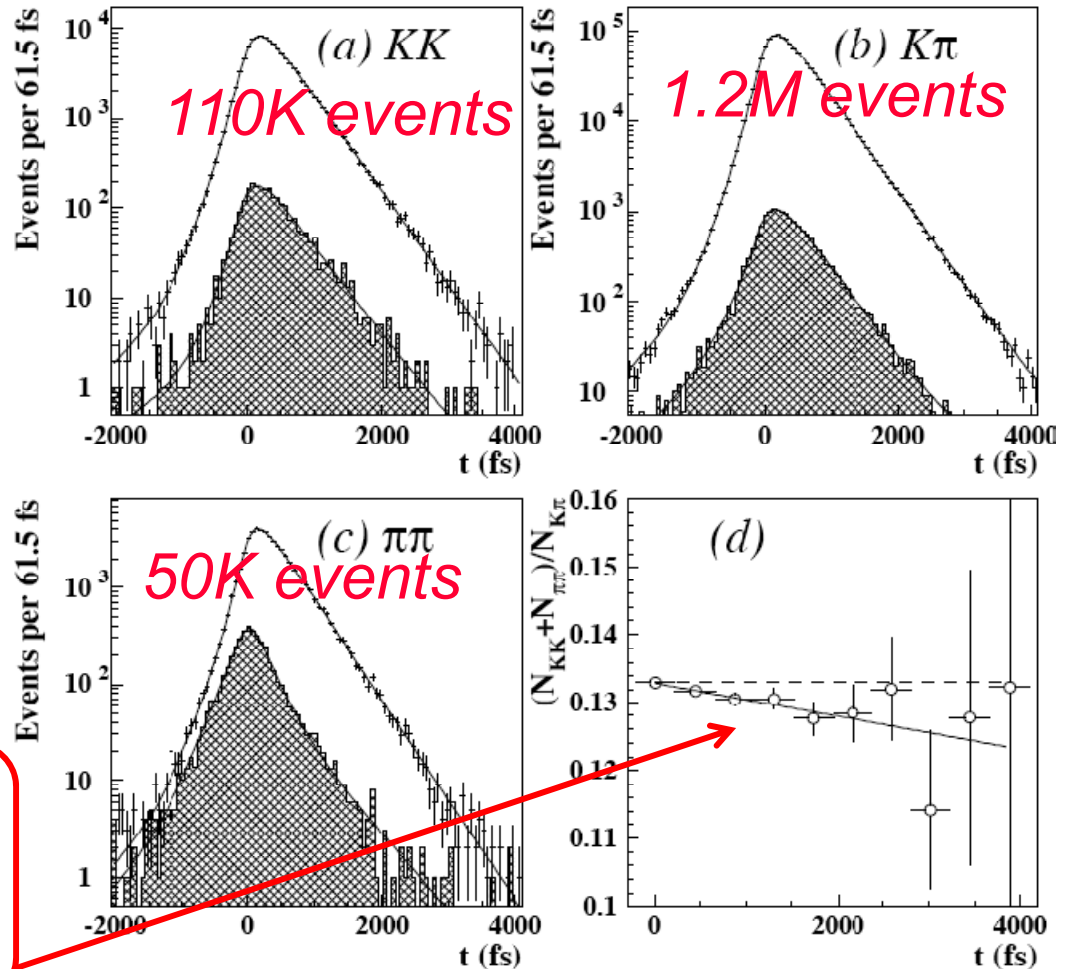
Lifetime Ratio (γ , A_T): Evidence Of Mixing At 3.2σ

PRL 98, 211803 (2007)

Data: 540 fb^{-1}

- Most of the systematic error cancels in the lifetime ratio.
- Bkgd related systematics do not.
- Require: $p^* > 2.5 \text{ GeV}/c$,
 $\sigma_t < 0.37 \text{ ps}$
- Purity of selection 98%, 98%,
92% for KK , $K\pi$, $\pi\pi$, respectively

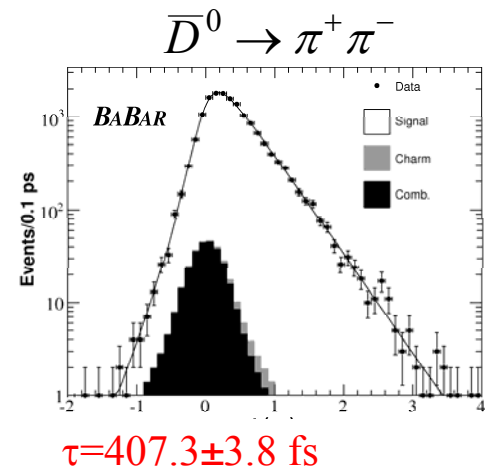
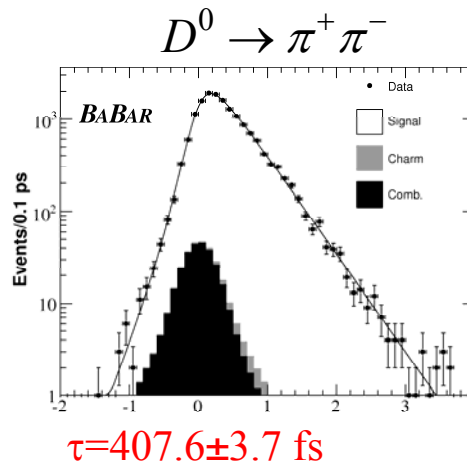
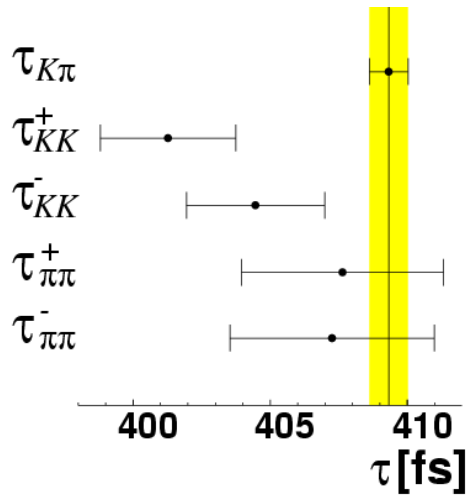
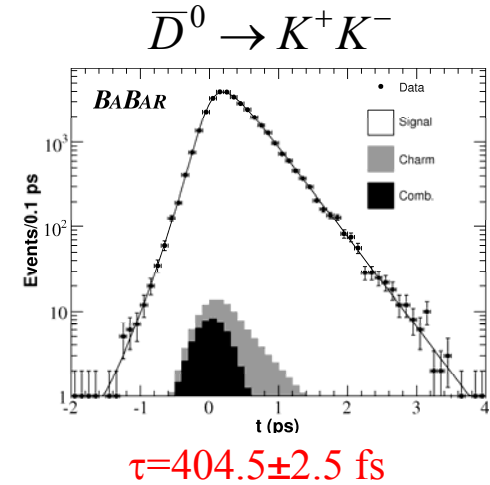
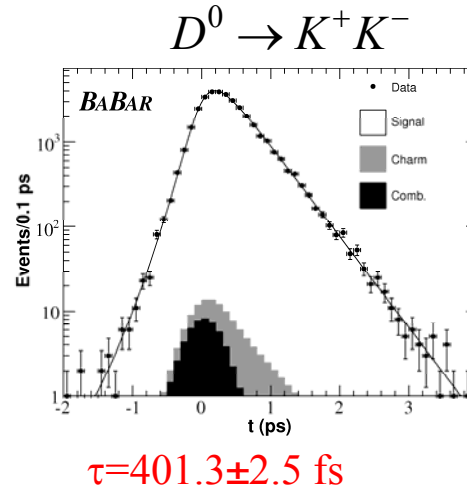
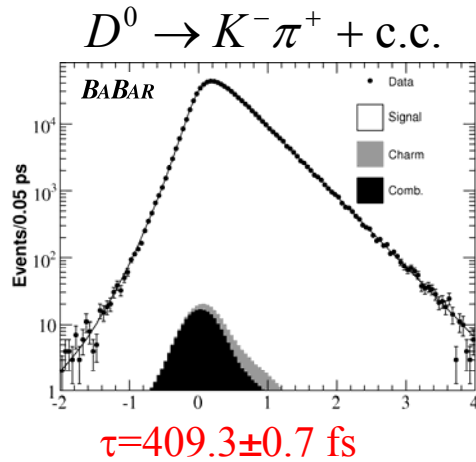
Ratio of D^0_{CP}/D^0 events varies
as a function of time due to
lifetime difference ($\gamma \neq 0$)





Decay time fits to determine γ , ΔY

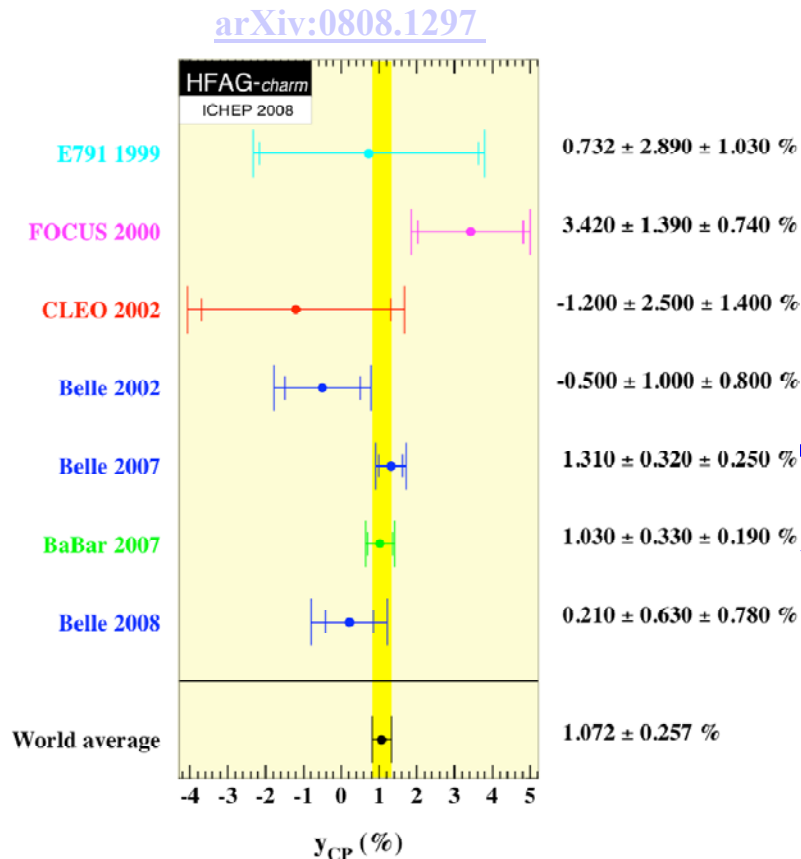
PRD 78, 011105(R) (2008) [384 fb⁻¹]



$K\pi$ and KK lifetimes differ

Lifetime-Difference Results

y_{CP} world average from HFAG



$y = (1.132 \pm 0.266)\%$

Mode	y_{CP} (%)	A_T (%)
K^+K^-	$1.25 \pm 0.39 \pm 0.28$	$0.15 \pm 0.34 \pm 0.16$
$\pi^+\pi^-$	$1.44 \pm 0.57 \pm 0.42$	$-0.28 \pm 0.52 \pm 0.30$
Combined	$1.31 \pm 0.32 \pm 0.25$	$0.01 \pm 0.30 \pm 0.15$

3.2 σ evidence - no CPV

PRL 98, 211803 (2007) 540 fb⁻¹



Mode	y_{CP} (%)	$\Delta Y = (1 - y_{CP})A_T$ (%)
K^+K^-	$1.60 \pm 0.46 \pm 0.17$	$-0.40 \pm 0.44 \pm 0.12$
$\pi^+\pi^-$	$0.46 \pm 0.65 \pm 0.25$	$0.05 \pm 0.64 \pm 0.32$
Combined	$1.24 \pm 0.39 \pm 0.13$	$-0.26 \pm 0.36 \pm 0.08$

3.0 σ evidence - no CPV

PRD 78, 011105(R) (2008) 384 fb⁻¹



384 fb⁻¹ tagged and 91 fb⁻¹ untagged (BaBar)

Time-Dependent Amplitude Analysis of $D^0 \rightarrow K^+\pi^-\pi^0$

- Similar to $D^0 \rightarrow K^+\pi^-$ but now \bar{f} is an amplitude at a point in the Dalitz plot (DP) for the $K^+\pi^-\pi^0$ final state
- CF ($\bar{\mathcal{A}}_{\bar{f}}$) and DCS ($\mathcal{A}_{\bar{f}}$) amplitudes contribute to decay and describe density of points in the DP at time t :

$$\underbrace{|\mathcal{A}_{\bar{f}}|^2}_{\text{CSD}} + \underbrace{|\mathcal{A}_{\bar{f}}||\bar{\mathcal{A}}_{\bar{f}}| [y'' \cos \delta_f - x'' \sin \delta_f]}_{\text{DCS-Mixing interference}} (\Gamma t) + \underbrace{\frac{x^2 + y^2}{4} |\bar{\mathcal{A}}_{\bar{f}}|^2 (\Gamma t)^2}_{\text{Mixing}} \quad \text{assumes } (|x|, |y| \ll 1)$$

=Arg { $\bar{\mathcal{A}}_{\bar{f}}/\mathcal{A}_{\bar{f}}$ } Depends on DP position

- The interference term permits measurement of

$$x'' = x \cos \delta_{K\pi\pi} + y \sin \delta_{K\pi\pi} \quad \text{and}$$

$$y'' = y \cos \delta_{K\pi\pi} - x \sin \delta_{K\pi\pi}$$

NOTE: $\delta_{K\pi\pi} \neq \delta$
is also unknown

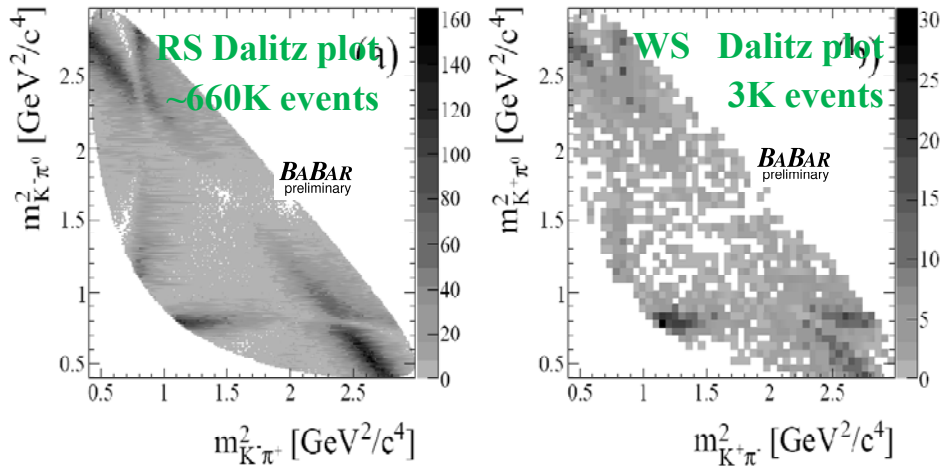


Evidence for Mixing in (WS) $D^0 \rightarrow K^+\pi^-\pi^0$

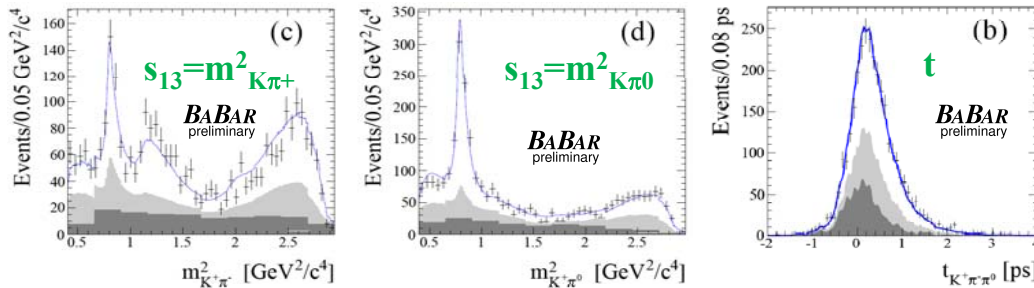
384 fb⁻¹

arXiv:0807.4544 [hep-ex]

Submitted to PRL



- ❖ Use D^* tagged sample
- ❖ Find CF amplitude $\bar{A}_{\bar{f}}$ from time-integrated fit to RS Dalitz plot
- ❖ Use isobar model description in time-dependent fit to WS plot to determine $A_{\bar{f}}$ and mixing parameters.



$$x'' = [2.61^{+0.57}_{-0.68}(\text{stat.}) \pm 0.39(\text{syst.})]\%$$

$$y'' = [-0.06^{+0.55}_{-0.64}(\text{stat.}) \pm 0.34(\text{syst.})]\%$$

Probability for no mixing = 0.1% (3.2σ)

No evidence for CPV

Time-Dependent Amplitude Analysis

of $D^0 \rightarrow K_S \pi^+ \pi^-$

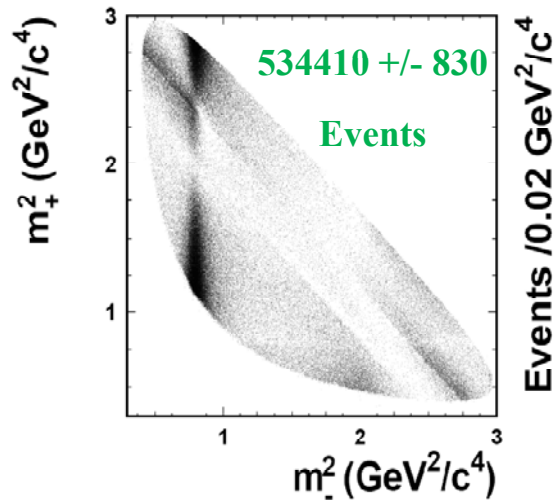


PRL 98, 211803 (2007) 540 fb⁻¹

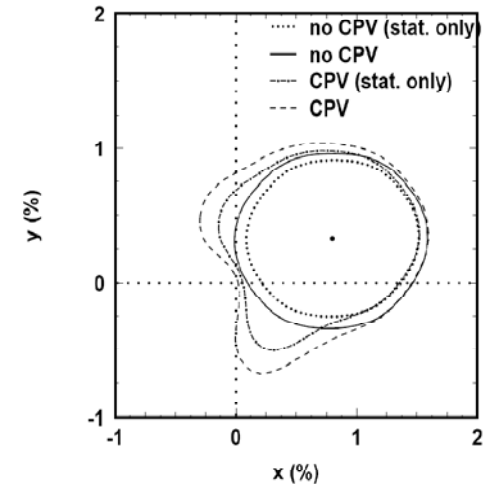


PRD 72, 012001 (2005) 9 fb⁻¹

- Here, it is possible to measure x , y , $|p/q|$ and $arg \{ \lambda_{K_S \pi^+ \pi^-} \}$; the \bar{D}^0 - D^0 strong phase δ is fixed by presence of CP eigenstates in f
 - Strong phases of all points relative to CP eigenstates measured by time-dependent amplitude analysis of the DP .



Fit case	Parameter	Fit result	95% C.L. interval
No	$x(\%)$	$0.80 \pm 0.29^{+0.09+0.10}_{-0.07-0.14}$	(0.0, 1.6)
CPV	$y(\%)$	$0.33 \pm 0.24^{+0.08+0.06}_{-0.12-0.08}$	(-0.34, 0.96)
CPV	$x(\%)$	$0.81 \pm 0.30^{+0.10+0.09}_{-0.07-0.16}$	$ x < 1.6$
	$y(\%)$	$0.37 \pm 0.25^{+0.07+0.07}_{-0.13-0.08}$	$ y < 1.04$
	$ q/p $	$0.86^{+0.30+0.06}_{-0.29-0.03} \pm 0.08$	-
	$arg(q/p)(^\circ)$	$-14^{+16+5+2}_{-18-3-4}$	-



Mixing only at 2.4 σ level.
Hint that $x > y$??



Previous result from CLEO (9 fb⁻¹)
(-4.7 < x < 8.6)%
(-6.1 < y < 3.5)% at 95% CL.

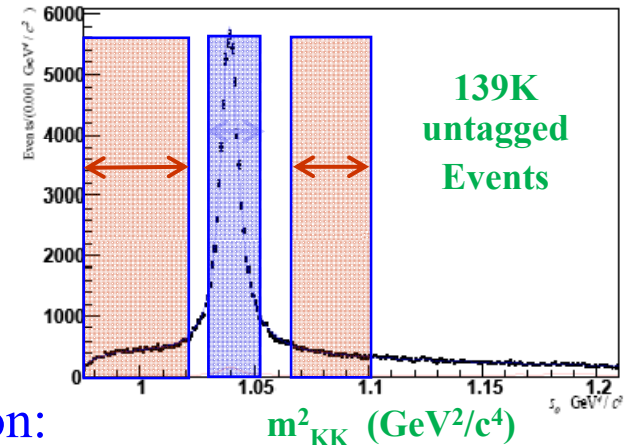


Measurement of y_{CP} in $D^0 \rightarrow K_S K^+ K^-$ decays

Arxiv:0807.0148v1 (2008) 673 fb⁻¹

- In effect, this is a measurement of lifetime τ in $CP=+1$ and $CP=-1$ parts of the $K_S K^+ K^-$ Dalitz plot.
- Choose ϕK_S region and its sidebands

$$\frac{\tau_- - \tau_+}{\tau_- + \tau_+} = y_{CP} \frac{f_+ - f_-}{1 + y_{CP}(f_+ - f_-)}$$



- Fractions f of CP -even final state in each region:

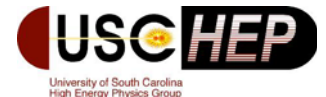
$$f = \frac{\int |A_+|^2 dm_{K^+K^-}^2 dm_{K^+K^0}^2}{\int [|A_+|^2 + |A_-|^2] dm_{K^+K^-}^2 dm_{K^+K^0}^2}$$

over appropriate $m(K^+K^-)$ range

A_+ and A_- are CP -even and odd amplitudes describing Dalitz plot population.

$$y_{CP} = (0.21 \pm 0.63(\text{stat.}) \pm 0.78(\text{syst.}) \pm 0.01(\text{model}))\%$$

Belle preliminary!



University of South Carolina High Energy Physics Group

Results from semileptonic modes

	BaBar	Belle	CLEO	E791
r_M	$< 0.12 \%$ (90% CL) [2007]	$< 0.06 \%$ (90% CL) [2008]	$< 0.78 \%$ (90% CL) [2005]	$< 0.50 \%$ (90% CL) [1996]
Data set	344 fb ⁻¹	492 fb ⁻¹	9 fb ⁻¹	2 x 10 ¹⁰ hadronic π^- interactions
Mode	e only	e+ μ	e only	e+ μ

Final Step: Combine various mixing results

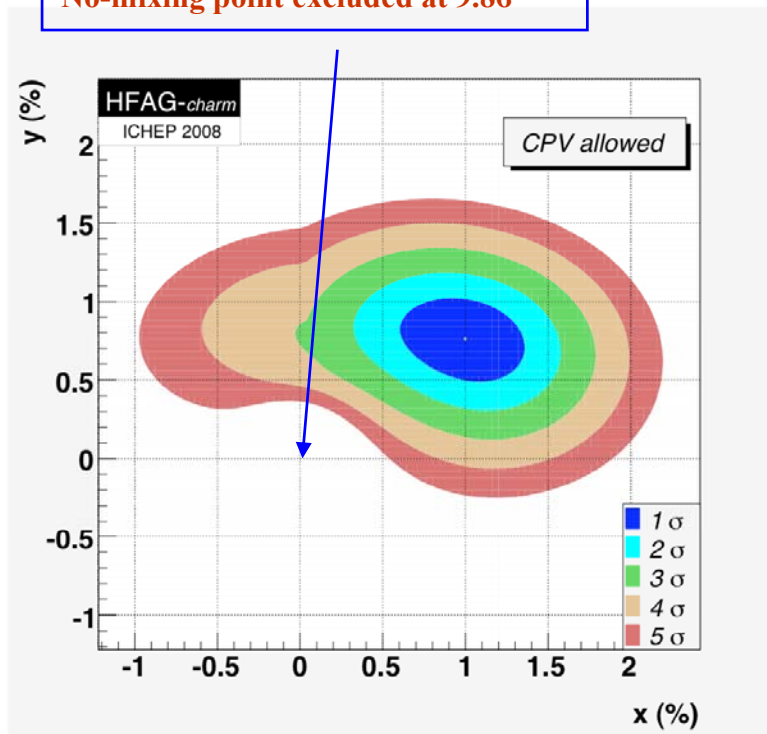
(HFAG)

New HFAG Average for ICHEP08

<http://www.slac.stanford.edu/xorg/hfag/charm/index.html>

[arXiv:0808.1297](http://arxiv.org/abs/0808.1297)

No-mixing point excluded at 9.8σ

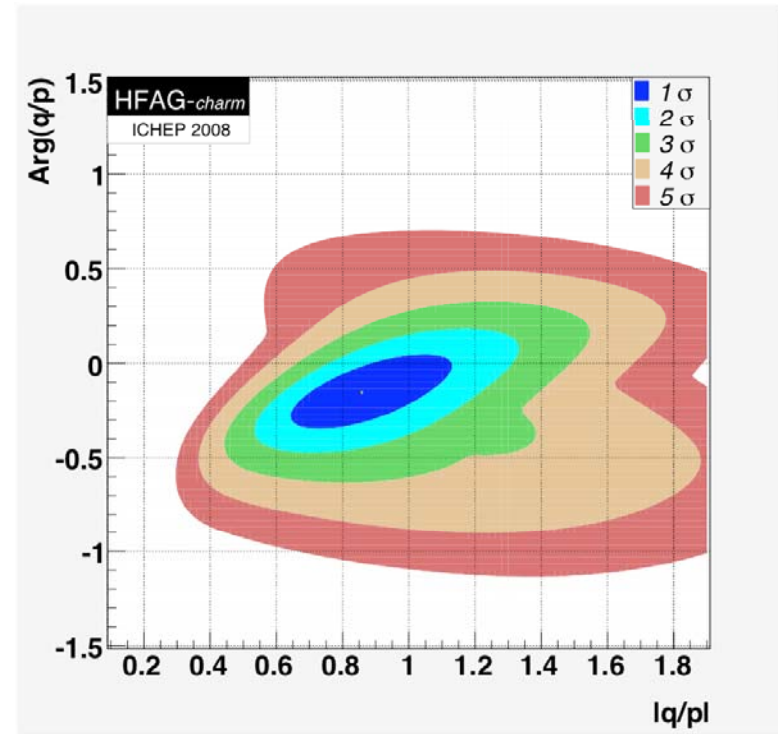


$$x = 1.00 \pm_{0.25}^{0.24} \%$$

3.4σ

$$y = 0.76 \pm_{0.18}^{0.17} \%$$

4.1σ

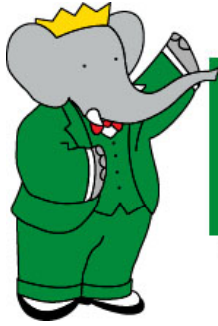


$$|q/p| = 0.86 \pm_{0.15}^{0.17}$$

$$\text{Arg}(\lambda_{K_S\pi^+\pi^-}) = (8.8 \pm_{7.2}^{7.6})^\circ$$

Summary

- After 30 years, evidence for D^0 mixing is now compelling.
 - World averages of the mixing parameters exclude “No D^0 mixing” at $\sim 10\sigma$.
 - However, currently no single measurement exceeds 5σ !
- Evidence of D^0 mixing from several independent experiments.
- Measured values of the mixing parameters $x \approx y \approx 1\%$ are compatible with Standard Model expectations.
- No evidence for CP Violation in D^0 mixing.



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Backup Slides

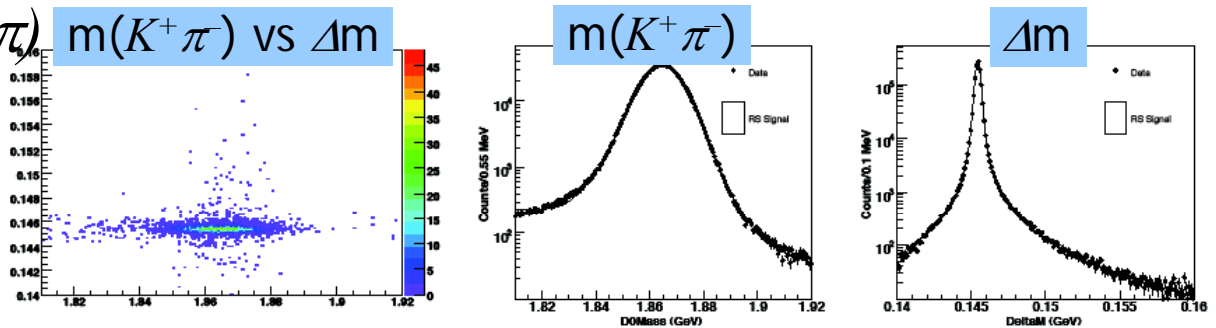
Signal and Background Components

$$\Delta m \equiv m(K\pi\pi) - m(K\pi)$$

$$Q \equiv m(K\pi\pi) - m(K\pi) - m(\pi) \quad m(K^+\pi^-) \text{ vs } \Delta m$$

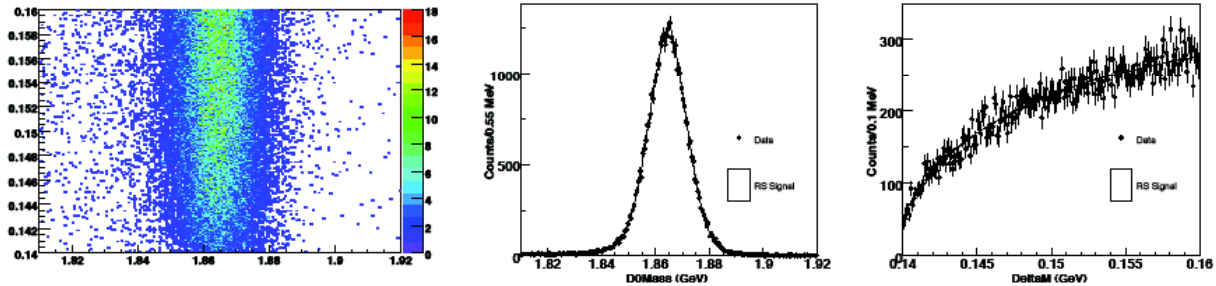
Signal: (MC)

- ❖ Correct $D^{*+} \rightarrow D^0 \pi^+$
- ❖ Peaks in $m(K\pi)$ and Δm



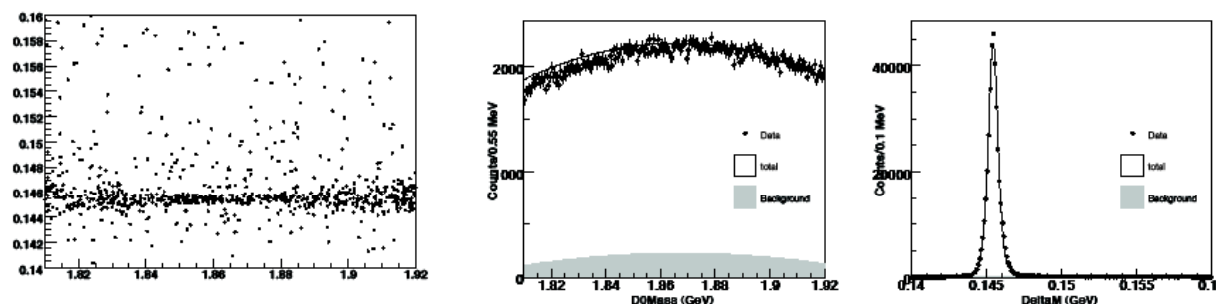
Random π_s : (MC)

- ❖ Correct \bar{D}^0 , wrong π_s
- ❖ Peaks in $m(K\pi)$, not Δm



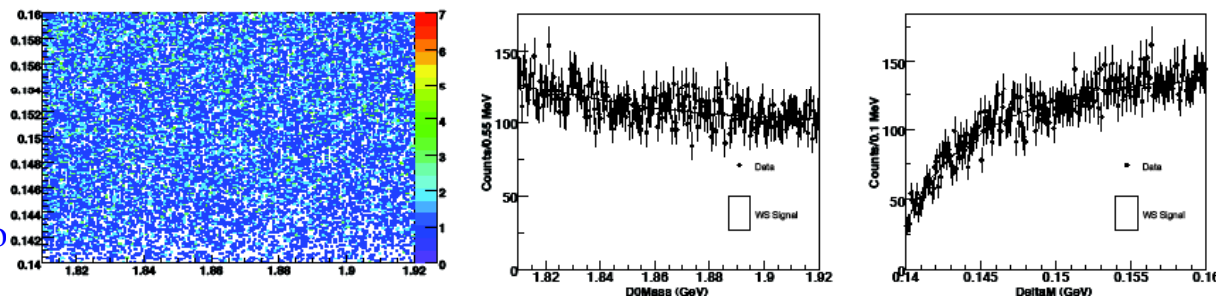
Mis-reco D^0 : (Data)

- ❖ Real $D^{*+} \rightarrow D^0 \pi^+$
- ❖ $D^0 \rightarrow K^- \mu^+ \nu$
- ❖ Double misid $D^0 \rightarrow K^- \pi^+$ (WS events only)



Combinatoric: (MC)

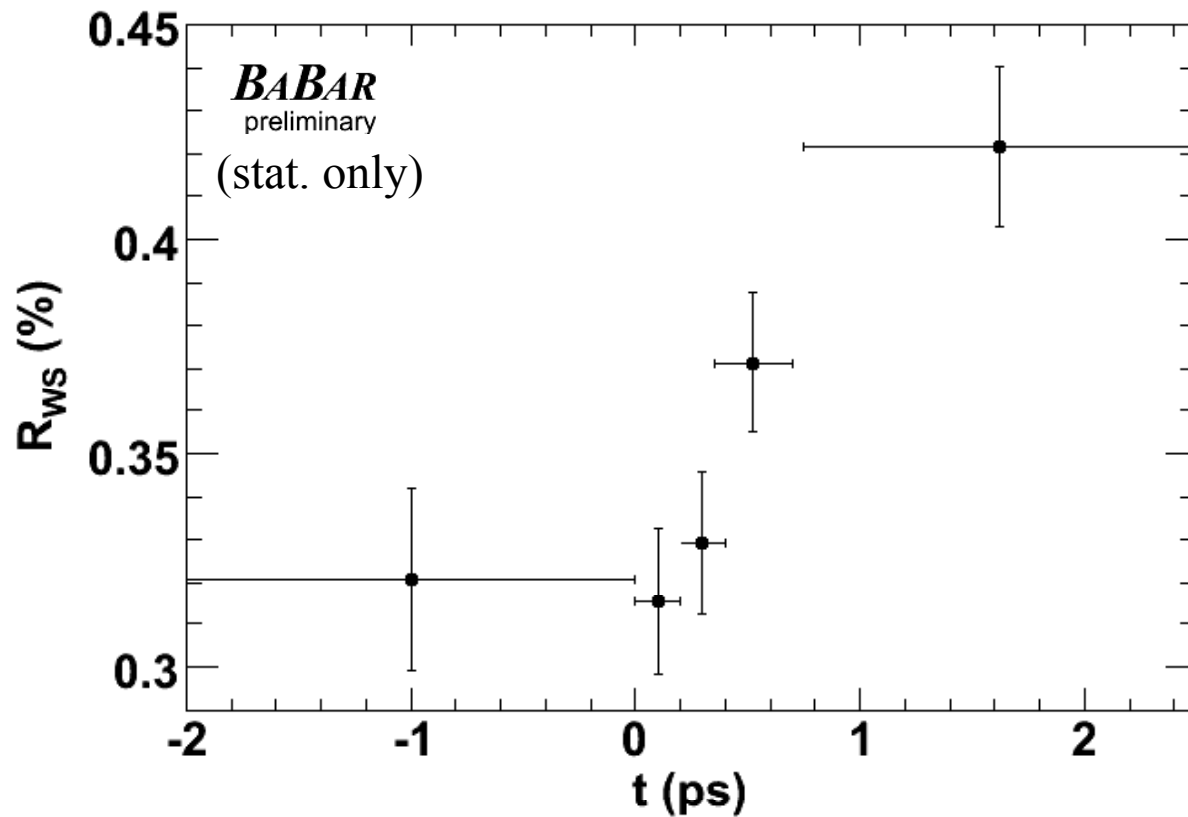
- ❖ Random tracks



M. V. Puro

Validation: Alternative Fit Strategy

Rate of WS events clearly increases with time:



CPV Allowed Contours

Results of fitting D^0 and \bar{D}^0 separately:

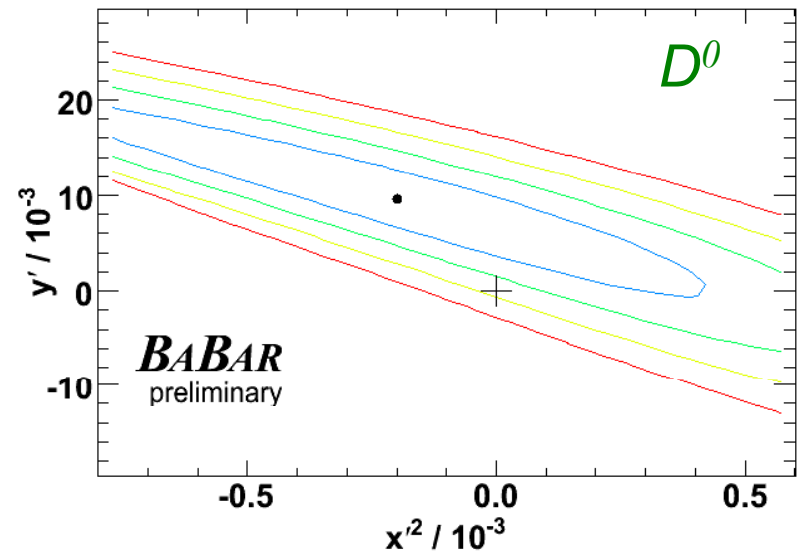
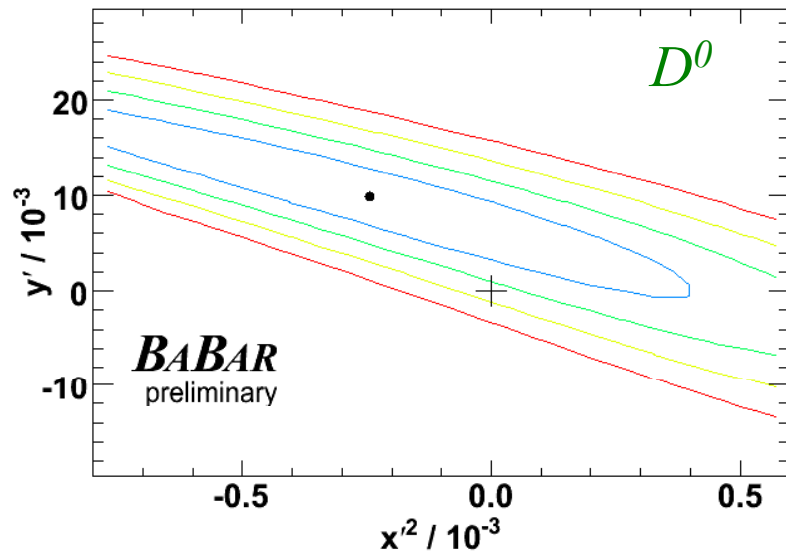
$$x'^{+2}: (-0.24 \pm 0.43 \pm 0.30) \times 10^{-3}$$

$$x'^{-2}: (-0.20 \pm 0.41 \pm 0.29) \times 10^{-3}$$

$$y'^{+}: (9.8 \pm 6.4 \pm 4.5) \times 10^{-3}$$

$$y'^{-}: (9.6 \pm 6.1 \pm 4.3) \times 10^{-3}$$

$$A_D = (-2.1 \pm 5.2 \pm 1.5)\%$$



No evidence for CP violation found

Search for direct CPV in time-integrated $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$ rates

$$A_{CP} = \frac{\Gamma(f) - \Gamma(\bar{f})}{\Gamma(f) + \Gamma(\bar{f})} = \frac{2 \operatorname{Im} A_1 A_2^* \sin(\delta_1 - \delta_2)}{|A_1|^2 + |A_2|^2 + 2 \operatorname{Re} A_1 A_2^* \cos(\delta_1 - \delta_2)}$$

2 weak amplitudes
with phase difference

strong phase difference

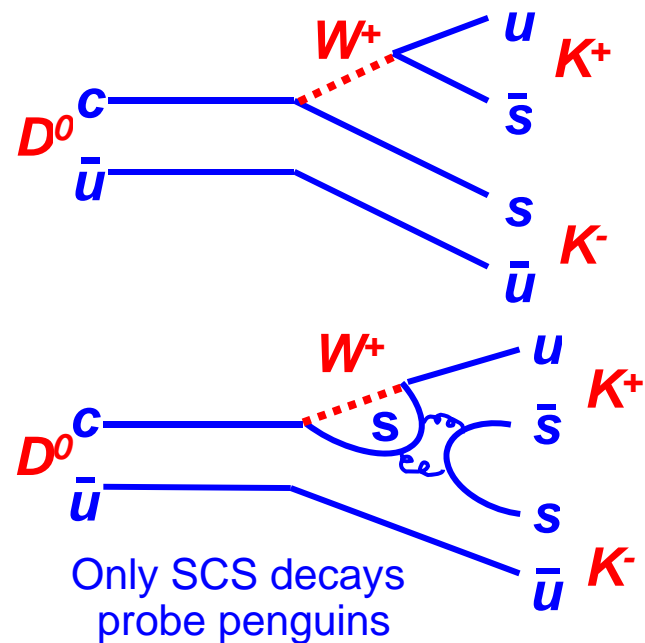
Two amplitudes with different strong & weak phases needed to observe CPV (in SM from tree and penguins)

Standard model predictions for direct CPV asymmetries in these modes:

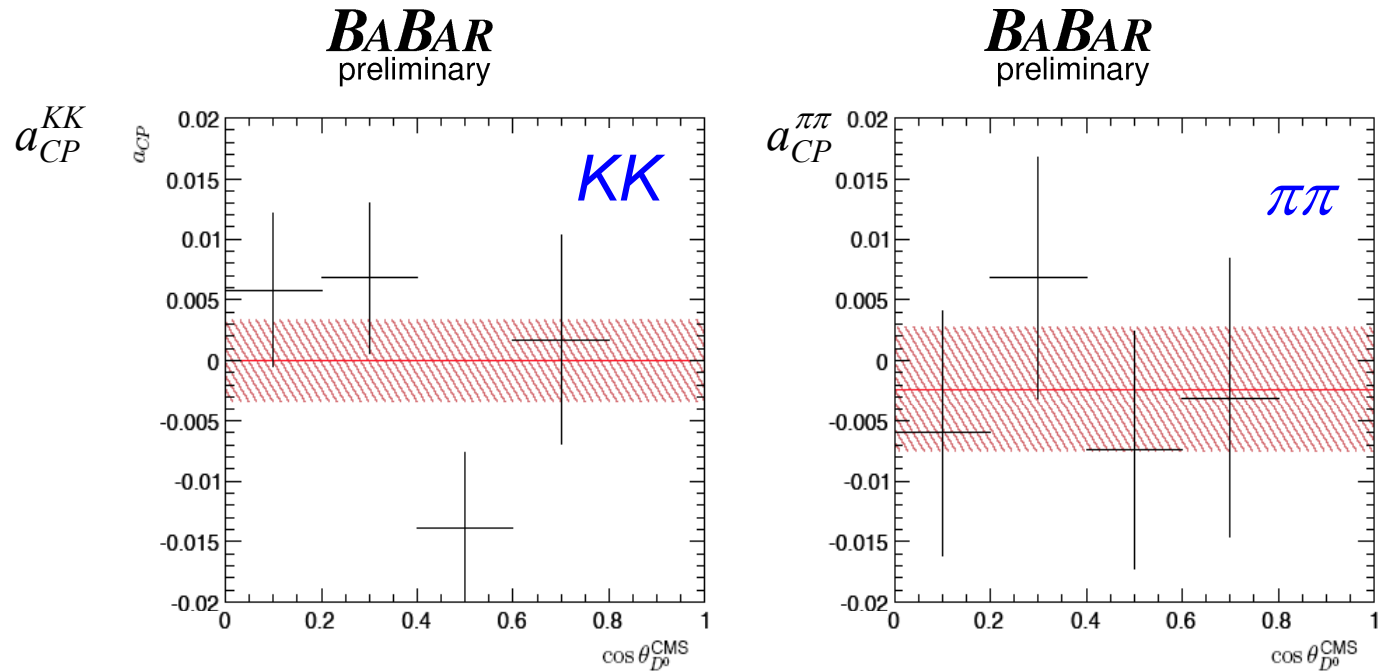
$O(0.001\% - 0.01\%)$

F. Buccella et al., Phys. Rev. **D51**, 3478 (1995).
S. Bianco et al., Riv. Nuovo Cim. 26N7, 1(2003).

e.g., $D^0 \rightarrow K^+ K^-$:



Search for CPV in $D^0 \rightarrow K^+K^-$, $\pi^+\pi^-$



$$a_{CP}^{KK} = (0.00 \pm 0.34 \text{ (stat.)} \pm 0.13 \text{ (syst.)})\%$$

$$a_{CP}^{\pi\pi} = (-0.24 \pm 0.52 \text{ (stat.)} \pm 0.22 \text{ (syst.)})\%$$

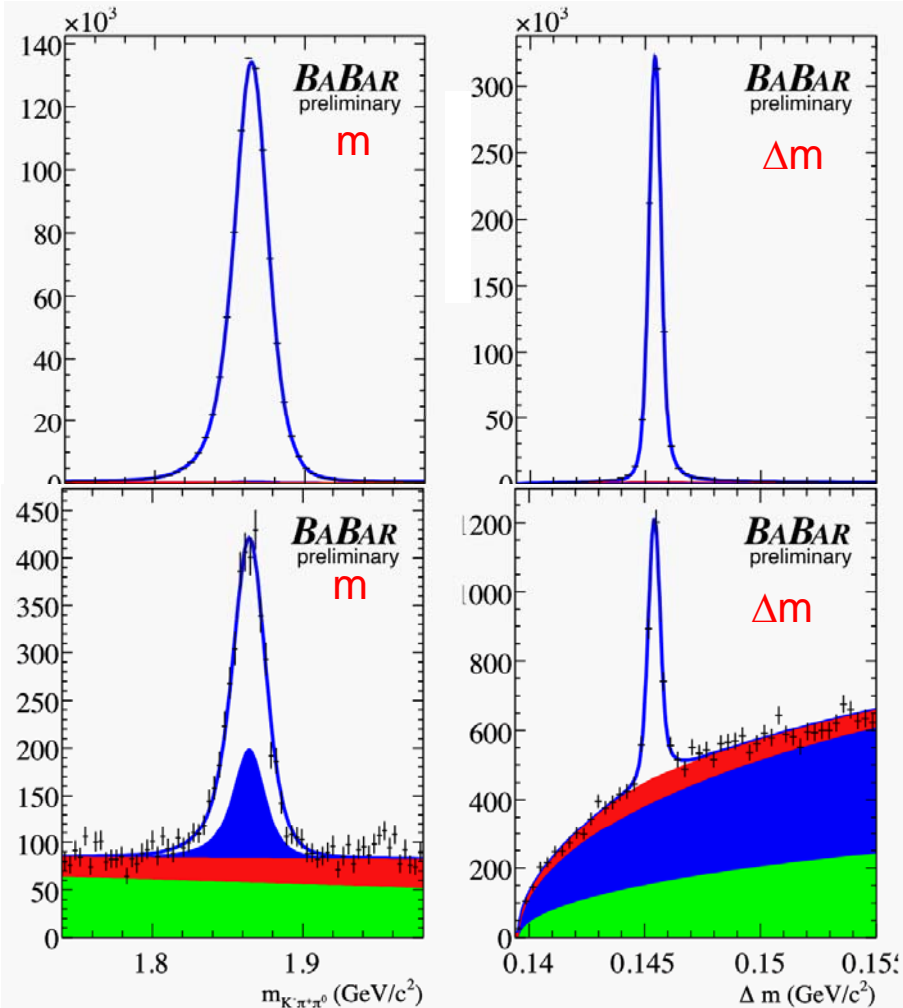
No evidence for CPV in either mode

RS and WS ($m_{K\pi\pi}$ Δm) fits

Determine signal and background yields in subsequent Dalitz analyses.

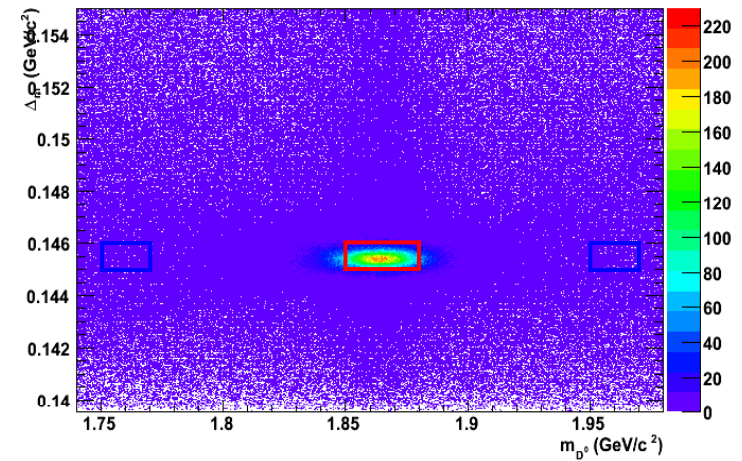
$$0.145 < \Delta m < 0.146 \text{ GeV}/c^2$$

$$1.85 < m_{K\pi\pi^0} < 1.88 \text{ GeV}/c^2$$



- signal
- mis-tagged D^0
- mis-reconstructed D^0
- combinatoric

signal and sideband regions

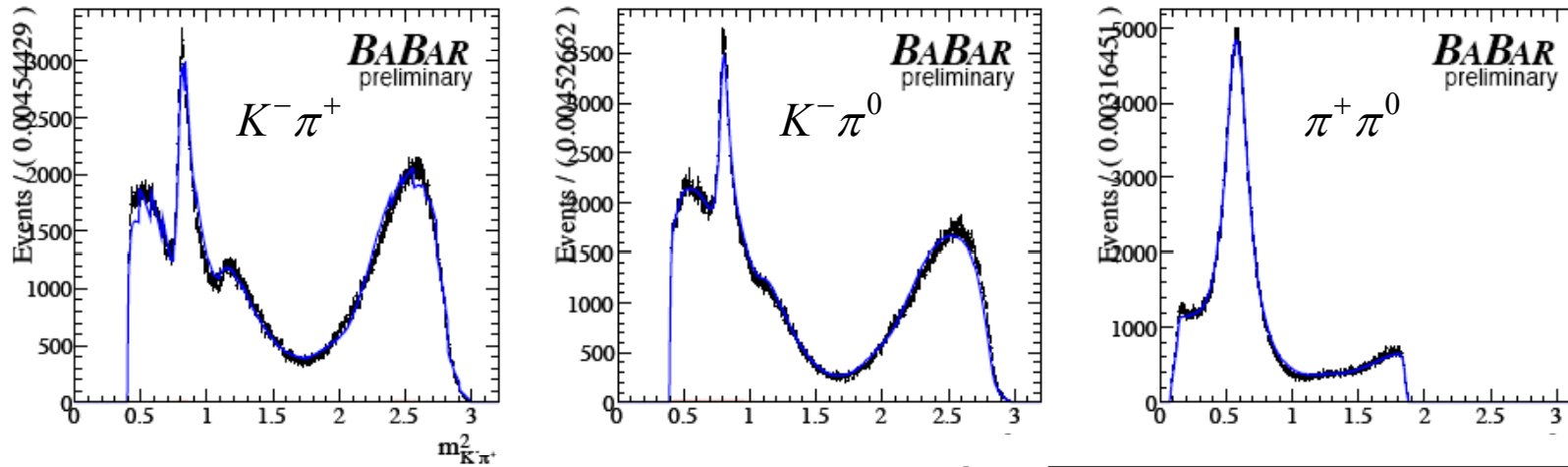


signal box yields:

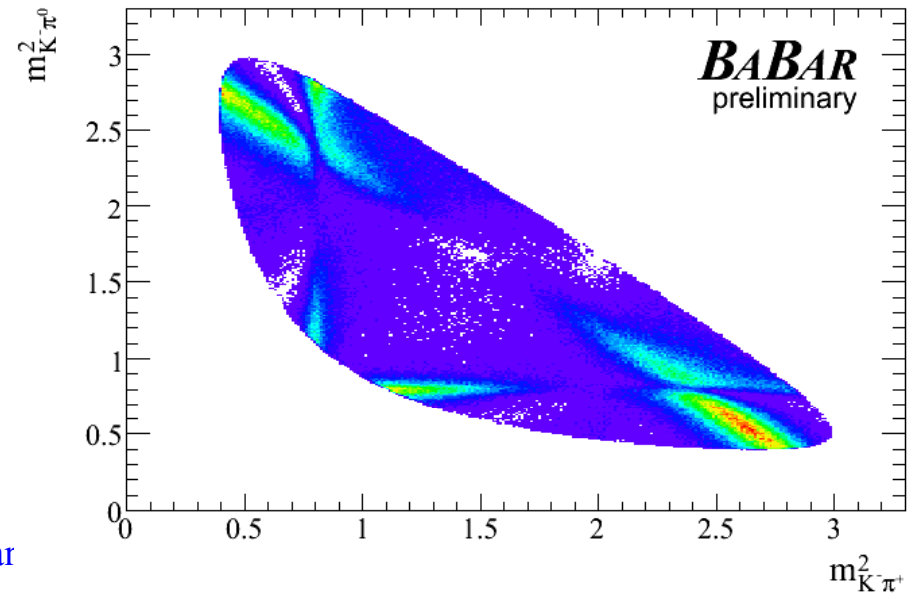
Category	N events (RS)	N events (WS)
Signal	639802 ± 1538	1483 ± 56
Combinatoric	1537 ± 57	499 ± 57
Mistag	2384 ± 57	765 ± 29
Misreconstructed D^0	3117 ± 93	227 ± 75

$D^0 \rightarrow K^- \pi^+ \pi^0$ RS Dalitz fit

Time-integrated analysis to determine CF amplitudes, $\bar{A}_{\bar{f}}$

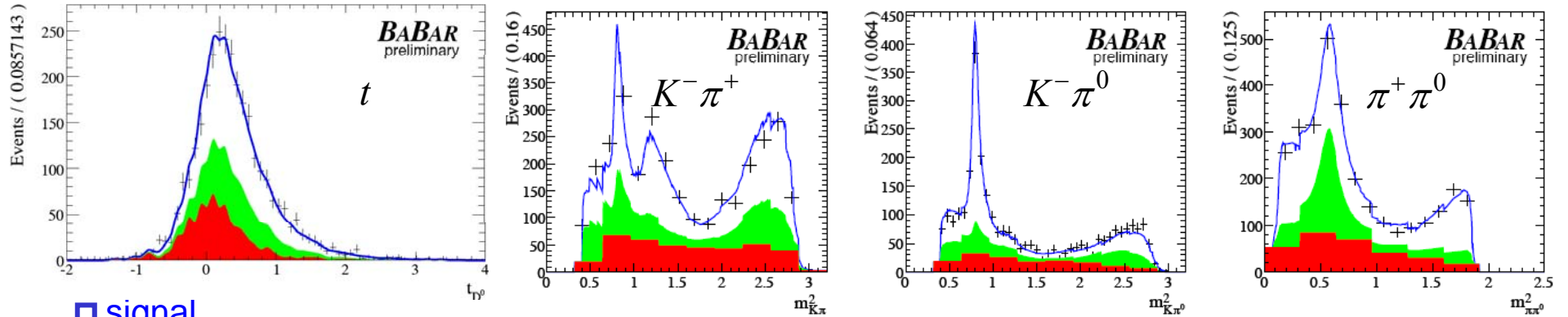


Resonance	Amplitude	Phase (degrees)	Fit Fraction (%)
$\rho(770)$	1 (fixed)	0 (fixed)	65.2 ± 4.5
$K^{*-}(1680)$	1.52 ± 0.06	144.3 ± 3.2	0.39 ± 0.04
$K_2^{*-}(1430)$	0.030 ± 0.001	-167.5 ± 2.5	0.31 ± 0.03
$K_2^{*0}(1430)$	0.0431 ± 0.0007	13.4 ± 0.9	0.73 ± 0.06
$K^{*-}(1410)$	0.24 ± 0.01	39.1 ± 4.1	0.17 ± 0.02
$K_0^{*-}(1430)$	2.95 ± 0.05	183.7 ± 0.9	3.6 ± 0.3
$K^{*-}(892)$	0.382 ± 0.001	163.3 ± 0.2	10.3 ± 0.7
$K^{*0}(1410)$	0.17 ± 0.01	-221.0 ± 3.5	0.009 ± 0.0001
$K_0^{*0}(1430)$	2.53 ± 0.01	91.6 ± 0.3	8.3 ± 0.6
$K^{*0}(1680)$	2.74 ± 0.07	-17.0 ± 1.5	1.4 ± 0.1
$K^{*0}(892)$	0.400 ± 0.001	3.4 ± 0.3	11.1 ± 0.7
$\rho(1700)$	6.06 ± 0.09	136.3 ± 0.7	4.1 ± 0.3
Total fit fraction = 106%			



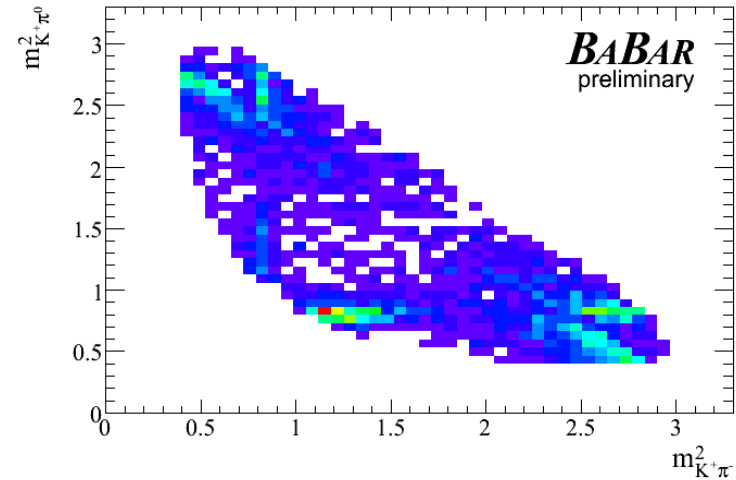
$D^0(t) \rightarrow K^+ \pi^- \pi^0$ WS Dalitz fit results

Through t-dependence, distinguish DCS amplitudes from the CF amplitudes arising from mixing.



- signal
- mis-tagged D^0
- mis-reconstructed D^0
- +combinatoric

Resonance	Amplitude	Phase (degrees)	Fit Fraction (%)
$\rho(770)$	1 (fixed)	0 (fixed)	39.8 ± 6.5
$K_2^{*0}(1430)$	0.088 ± 0.017	-17.2 ± 12.9	2.0 ± 0.7
$K_0^{*+}(1430)$	6.78 ± 1.00	69.1 ± 10.9	13.1 ± 3.3
$K^{*+}(892)$	0.899 ± 0.005	-171.0 ± 5.9	35.6 ± 5.5
$K_0^{*0}(1430)$	1.65 ± 0.59	-44.4 ± 18.5	2.8 ± 1.5
$K^{*0}(892)$	0.398 ± 0.038	24.1 ± 9.8	6.5 ± 1.4
$\rho(1700)$	5.4 ± 1.6	157.4 ± 20.3	2.0 ± 1.1
$\chi^2/ndof = 188/215 = 0.876$			
Total fit fraction = 102%			




Implications of Charm Mixing

BaBar and Belle mixing results first presented at Moriond electroweak conference on March 17

Several new hep-ph preprints on charm mixing since then, e.g.,

Five use D^0 mixing results to evaluate limits on:

- ❖ Certain SUSY models (flavor suppression by “alignment”) [hep-ph/0703204](#) [hep-ph/0703235](#)
- ❖ Several little Higgs models [hep-ph/0703254](#), [arXiv:0704.0601](#)
- ❖ Non-universal Z' model [hep-ph/0703270](#)

“Models are further constrained, but constraints are limited by lack of precise SM value”  “Light non-degenerate squarks unlikely to be observed at LHC”

Currently, only an observation of CP violation in mixing would be a clear sign of New Physics

Interpreting the results

D^0 and \bar{D}^0

weak phase $2\phi_D$ of the mixing amplitude

Ciuchini et al.
hep-ph/0703294

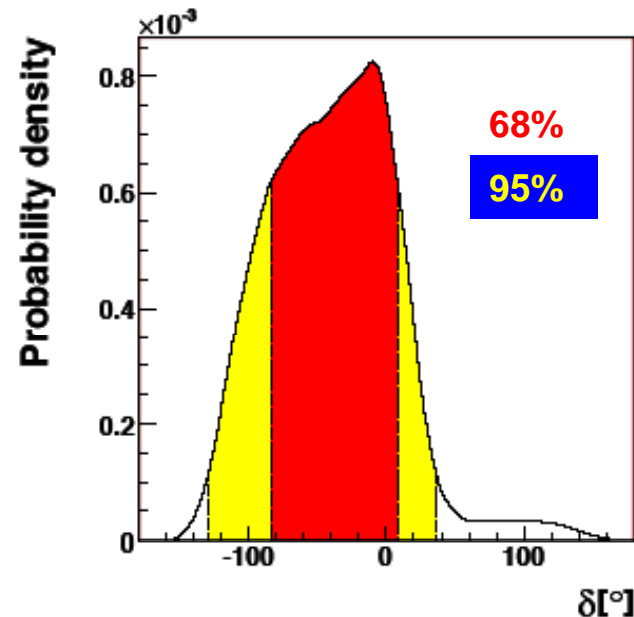
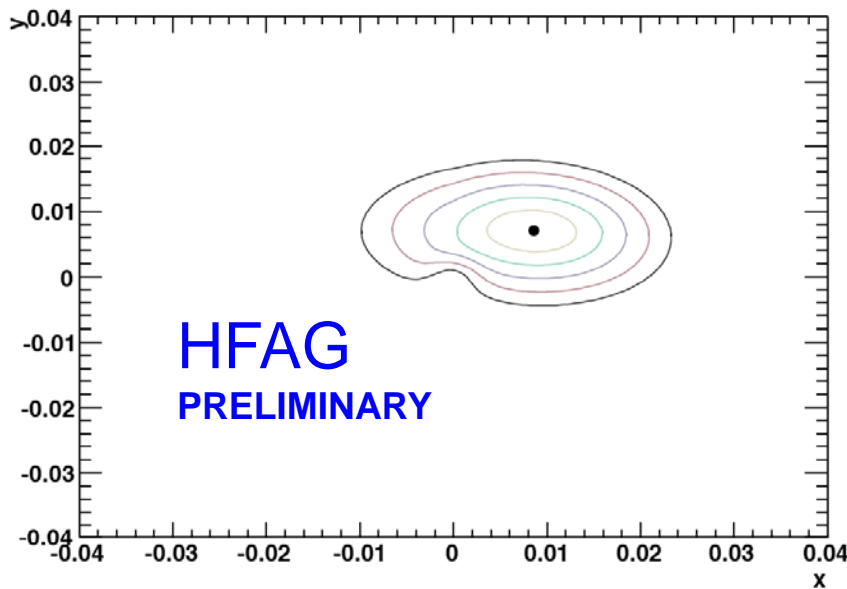
$$y'_{\pm} = (1 \pm A_m)(y' \cos 2\phi_D \mp x' \sin 2\phi_D),$$

$$x'^2_{\pm} = (1 \pm 2A_m)(x' \cos 2\phi_D \pm y' \sin 2\phi_D)^2,$$

$$y_{CP} = y \cos 2\phi_D - A_m x \sin 2\phi_D,$$

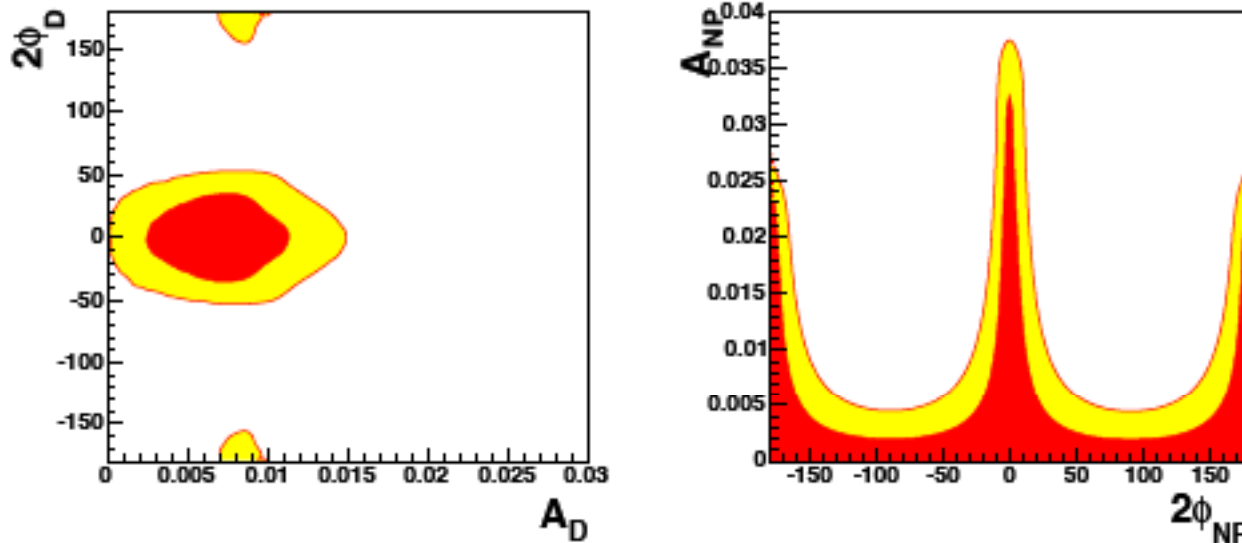
$$A_{\Gamma} = A_m y \cos 2\phi_D - x \sin 2\phi_D,$$

$$A_m = 1 - |q/p|$$



And CP violation?

In the standard model, $\phi \sim 2 A^2 \lambda^4 \eta \lesssim 10^{-3}$



Ciuchini et al.
hep-ph/0703294

In general NP weakly constrained if SM not known
Nevertheless SUSY coupling can be constrained
hints on **squark and gluino masses!**

Neutral meson mixing always a window into unknown (virtual) states!

Model	Approximate Constraint
Fourth Generation (Fig. 2)	$ V_{ub}V_{cb} \cdot m_b < 0.5$ (GeV)
$Q = -1/3$ Singlet Quark (Fig. 4)	$s_2 \cdot m_S < 0.27$ (GeV)
$Q = +2/3$ Singlet Quark (Fig. 6)	$ \lambda_{ue} < 2.4 \cdot 10^{-4}$
Little Higgs	Tree: See entry for $Q = -1/3$ Singlet Quark Box: Region of parameter space can reach observed x_D
Generic Z' (Fig. 7)	$M_{Z'}/C > 2.2 \cdot 10^3$ TeV
Family Symmetries (Fig. 8)	$m_1/f > 1.2 \cdot 10^3$ TeV (with $m_1/m_2 = 0.5$)
Left-Right Symmetric (Fig. 9)	No constraint
Alternate Left-Right Symmetric (Fig. 10)	$M_R > 1.2$ TeV ($m_{D_1} = 0.5$ TeV) $(\Delta m/m_{D_1})/M_R > 0.4$ TeV ⁻¹
Vector Leptoquark Bosons (Fig. 11)	$M_{VLQ} > 55(\lambda_{PP}/0.1)$ TeV
Flavor Conserving Two-Higgs-Doublet (Fig. 13)	No constraint
Flavor Changing Neutral Higgs (Fig. 15)	$m_H/C > 2.4 \cdot 10^3$ TeV
FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16)	$m_H/ \Delta_{uc} > 600$ GeV
Scalar Leptoquark Bosons	See entry for RPV SUSY
Higgsless (Fig. 17)	$M > 100$ TeV
Universal Extra Dimensions	No constraint
Split Fermion (Fig. 19)	$M/ \Delta y > (6 \cdot 10^2)$ GeV
Warped Geometries (Fig. 21)	$M_1 > 3.5$ TeV
Minimal Supersymmetric Standard (Fig. 23)	$ (\delta_{12}^u)_{LR,RL} < 3.5 \cdot 10^{-2}$ for $\tilde{m} \sim 1$ TeV $ (\delta_{12}^u)_{LL,RR} < .25$ for $\tilde{m} \sim 1$ TeV
Supersymmetric Alignment	$\tilde{m} > 2$ TeV
Supersymmetry with RPV (Fig. 27)	$\lambda_{12k}^u \lambda_{11k}^u / m_{\tilde{d}_{R,k}} < 1.8 \cdot 10^{-3}/100$ GeV
Split Supersymmetry	No constraint

75

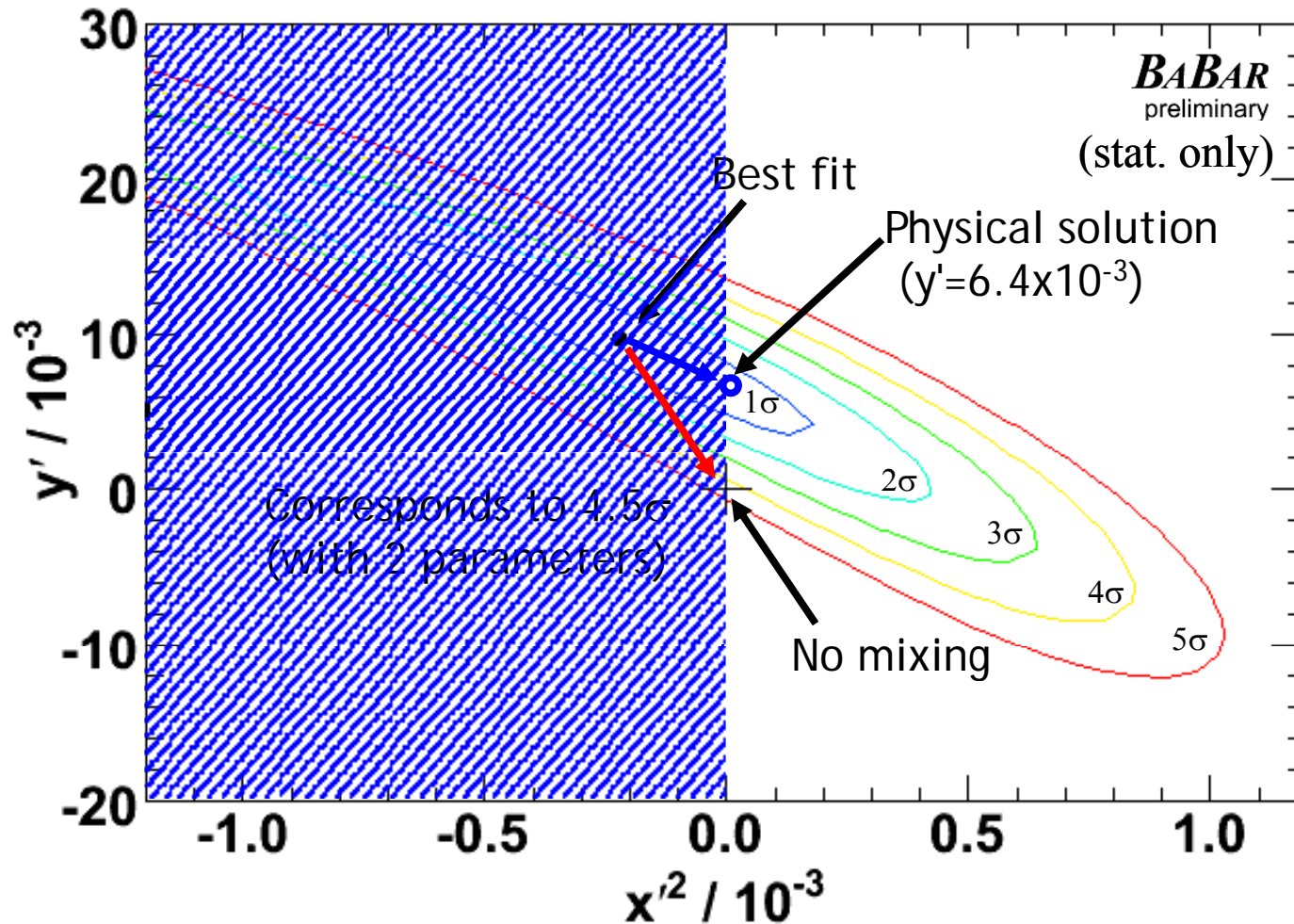
Table from
Golowich, Hewett, Pakvasa and
Petrov:
[arXiv:0705.3650](https://arxiv.org/abs/0705.3650) [hep-ph]

“... for some models (Split Fermions, Flavor Changing Neutral Higgs) the constraints can be strong.”

“Such a list is by nature approximate, and we refer the reader to the body of the paper for a more precise presentation of our results.”

Signal Significance

Best fit is in unphysical region ($x'^2 < 0$)



Systematic Uncertainties

Two types of systematic uncertainties considered:

Fit model variations:

❖ Change signal and background models used in fit, to test assumptions made

Selection criteria:

❖ Mainly decay time (error) ranges used in fit

Systematic:	R_D	χ^2	y'
Fit Model	0.59σ	0.40σ	0.45σ
Selection Criteria	0.24σ	0.57σ	0.55σ
Total	0.63σ	0.70σ	0.71σ

Fraction of statistical uncertainty

χ^2 - y' correlation also present in systematics
Effectively the (χ^2, y') contours increase by $\sim 15\%$

Double tag at $\psi(3770)$ [CLEO-c]

$D_{CP\pm}$

neutral D CP
eigenstate

$\psi(3770)$ decay
conserves CP

Need to run
On threshold

- Reconstruct Double Tags: CP vs $K\pi$
- Asymmetry in CP+ vs CP- related to $\cos\delta$

$$A \equiv \frac{B(D_{CP+} \rightarrow K^- \pi^+) - B(D_{CP-} \rightarrow K^- \pi^+)}{B(D_{CP+} \rightarrow K^- \pi^+) + B(D_{CP-} \rightarrow K^- \pi^+)}$$

- R_D is ratio of DCS to Cabibbo favored rates

$$\cos \delta = \frac{A}{2\sqrt{R_D}}$$

- Input $R_D = (3.60 \pm 0.08)\%$ from PDG2006+CDF $\sim \pm 2\%$,

- Updated results with 281 pb^{-1} at Winter Conferences
 - Expect $\sigma(y) \sim \pm 1.5\%$ and $\sigma(\cos \delta_{K\pi}) \sim \pm 0.3$
 - Including systematic uncertainties
- Full CLEO-c dataset $\sim 750 \text{ pb}^{-1}$
 - Expect $\sigma(y) \sim \pm 1.0\%$ and $\sigma(\cos \delta_{K\pi}) \sim \pm 0.1-0.2$